

## **Review #1:**

In my previous review I had major concerns with the structure of this paper which was not coherent. It was presented as a research article with an experimental part, results and discussion sections but it looked more like a review on the domain. Also the supplement part was unusual, closer to a patchwork of book chapters, the idea being to help some readers to understand the rest of the paper. I did suggest to completely rewrite this paper as a review and to delete some basic information present in the Supplement part.

The authors did not completely take into account these remarks as they did not write a review. However they rewrote this paper in a form closer to that of a “classical article” by transferring most of the introduction part in the discussion. They also shortened the Supplement. I still believe that it is not the best choice and I would have really preferred a review. However, because the content is interesting anyway, I would accept this paper with minor revisions if the editor agrees with the final form of the manuscript.

Minor changes:

P 17 lines 14 to 16 “At last, microorganisms are present in precipitation samples (Vaïtilingom et al. 2012)... altitudes”. This is not exact, they were found in cloud waters directly (and not precipitations). Also INA Bacteria were isolated from cloud waters (Joly et al. Ice nucleation activity of bacteria isolated from cloud water. Atmospheric Environment, 2013, 70, 392-400). So basically the whole sentence should be rephrased and this last reference added.

We changed p.18, L.10-13 to: "Cultivable microorganisms are also present in the stratosphere (Griffin, 2004) and in cloud water samples (e.g. Vaitilingom et al., 2012, Joly et al, 2013)."

Supplement:

S1.1 Macromolecular chemistry

This part is still too basic. I suggest making it even shorter and referring to text books for undergraduates. In addition the paragraph p2 line 11 to p3 line 4 “The solubility of a macromolecule... elementary cells” should be deleted.

we shortened this part by:

- deletion of p.1, L.21 - p.2, L.3 (until "stated in the manuscript"), replaced with "Polymers are a subgroup of macromolecules, which are built up by a chain of covalently linked small molecules."
- shortening p.2, L.5-6 to: "can be either random or in a well-defined manner"
- deletion of p.2, L.7-8 "and therefore a very distinct form. Common elements of protein folding are a-helices, b-sheets and b-helices."
- shortening p.2, L.11-19 to: "The solubility of a macromolecule depends on the chemistry of the macromolecule and the solvent. Based on the protein classification approach by T. B. Osborne (Osborne, 1910) biological matter is suspended and shaken in a certain solvent. Then the matter is centrifuged or filtrated off, thus removing particulate matter and yielding a transparent supernatant. Molecules that are extracted into the supernatant are considered to be soluble in that medium."
- deletion of p.2, L.19 ("Depending on") - L.25 ("glutelines")
- deletion of p.3, L.4-6 (everything from "Despite its size")

## **Review #2:**

First of all I am satisfied that the authors have gone to a lot of effort to address my earlier comments, which centred around making the manuscript clearer and less like a review article in places. The review has been a useful process and clarified many aspects of the paper. There are

sections that contain a lot of detailed methods. This makes for a difficult read in places, but nevertheless some readers will find it useful. I have a few remaining comments below. The key one is the last specific comment, which may help put biological particles into context, although I may have misinterpreted the findings.

## General

Abstract: much improved. However, can it be stated what the findings from the paper are? i.e. you mention you provide new data, but maybe it would be more substantive to say something like, "we argue that our data support this view of ice nucleation by macromolecules"? I am just thinking of some statement to link your paper to the data you've collected.

we added to the abstract:

p.2, L.12-14: "Our investigation on the fungal species *Acremonium implicatum*, *Isaria farinosa*, and *Mortierella alpina* shows that their ice nucleation activity is caused by proteinaceous water-soluble INMs."

p.2, L.17-19: "This has atmospheric implications, since many of these INMs can be released by fragmentation of the carrier cell and subsequently may be distributed independently. Up to now, this process has not been accounted for in atmospheric models."

Throughout: quite a few typos, grammar issues that should get picked up by copyediting.

In my opinion the discussion could be stronger. For example on line 27 of page 43 you state that contact angle is a macroscopic interpretation, but don't really develop this any further. You also state in several places that you want to develop a more molecular view of ice nucleation, but it is not clear what you mean by this. After all you are still using an nm approach, which is not really specific to a molecular view. The discussion then ends with some very speculative ideas.

we expanded the paragraph starting from p.12, L.27 (old version), respectively p.16., L.27 (new version):

"[...] process of heterogeneous ice nucleation. For example, the contact angle, which is useful in atmospheric models, is a macroscopic interpretation of the affinity between two phases: the ice embryo and the IN. Water molecules show a high affinity towards hydroxy (-OH) and amino (-NH<sub>2</sub>) groups, since they form hydrogen bonds with them. The contact angle quantifies the outcome of the molecular interaction and allows comparison of different INs, but it does not allow to trace back the complex molecular structures that are responsible. If we understand which structures are the characteristics of INMs, it will make predictions of INA possible for any macromolecule with a known sequence. As an example, the already-mentioned TXT-sequences (Sect. 4.1) are one such element that foster INA, but there have to be others as well, since non-proteinaceous INs exist. Classification and precise characterization of the currently known INs might reveal other INA elements."

Note: We switched the positions of chapters 4.1 (solubility) and 4.2 (previous findings), since the text flows better this way.

## Specific

Introduction: in reference to figure 1 you describe 1c as heterogeneous ice nucleation on an anti-freeze protein. I must be missing something subtle here. I would have thought anti-freeze inhibited ice nucleation. You also use the acronym BINMS in the figure, but the definition has been removed from the intro now.

We replaced the capture for 1c with: "and an antifreeze protein that has a similar sequence and structure as the bacterial INMs"

The intriguing detail here is that a certain class of antifreeze proteins is very similar to the bacterial INMs and also fixates water molecules in an ice-like manner, but the key difference is

that they are much smaller and so create only subcritical clusters that are too small to induce ice nucleation. Alternatively, the AFPs block the growth sites of the formed clusters and so prevent ice formation.

The introduction ends rather abrupt with reference to a table and no discussion of it. I think a sentence to lead into the next section would make the text flow better.

we added to the paragraph at p.3, L23: "They occur in several species of bacteria, fungi, plants and animals. Apart from being INMs, they are very diverse in their properties, like size or heat tolerance, as their diverse chemical nature suggests."

“some low-molecular organic compounds”? Not sure what is meant here

we rephrased it as "some compounds with low molar mass" throughout the text

When discussing the atmospheric implications I was wondering if you are able to put your measurements into perspective. It seems that the highest nm measured is about 1013 kg<sup>-1</sup>, which when multiplied by the size of a typical IN ~1x10<sup>5</sup> kDa as an upper estimate (or about 1.6x10<sup>-19</sup> kg) is 1x10<sup>-6</sup>. Does this mean that only 1 in 10<sup>6</sup> of these particles would be IN?

It is correct that the mass of 100 kDa INMs as part of the whole fungal mass is - for these specific numbers - somewhere around 1.7 ppb. The assumption that therefore one in a billion particles is therefore INA-positive could be an approximation. But it has to be taken with care, since it is only exact if the whole fungal matter is portioned into 100 kDa fragments, which is in practice not possible. The reference whole fungal mass consists of cells and molecules of all kinds of sizes from small molecules (d < 1 nm) to macroscopic fungal tissue fragments (d > 1 mm). The latter are filtered off in the lab study, respectively are not elevated into cloud formation heights.

We added these calculations to the Results section, where we compare the different setups (p.9, L.26-29): "If we assume that the mass of 1 INM is 100 kDa or 1.7\*10<sup>-19</sup> grams, and that the maximum number density we found was about 10<sup>10</sup> per gram (Fig. 3), the INMs amount to approximately 1.6 ppb of the total mycelium mass."

### **List of significant changes:**

p.2, L.12-14: we added "Our investigation on the fungal species *Acremonium implicatum*, *Isaria farinosa*, and *Mortierella alpina* shows that their ice nucleation activity is caused by proteinaceous water-soluble INMs."

p.2, L.17-19: we added "This has atmospheric implications, since many of these INMs can be released by fragmentation of the carrier cell and subsequently may be distributed independently. Up to now, this process has not been accounted for in atmospheric models."

p.3, L-23-25: we added "They occur in several species of bacteria, fungi, plants and animals. Apart from being INMs, they are very diverse in their properties, like size or heat tolerance, as their diverse chemical nature suggests."

p.9, L.26-29: we added "If we assume that the mass of a single INM is about 100 kDa = 1.7·10<sup>-19</sup> grams and that the maximum number density we found was  $n_m = 10^{10}$  per gram (Fig. 3), the INMs amount to approximately 1.7 ppb of the total mycelium mass."

chapters 4.1 and 4.2 switched places

p.15, L.27-30: we added "Alternatively, other organic compounds such as oxalic acid can act as an immersion IN in the crystalline state (Zobrist et al., 2006, Wagner et al. 2011) Also cellulose,

which is the most common biopolymer on Earth due to its ubiquity in plant cell walls, shows INA in the form of microcrystalline or fibrous particles (Hiranuma et al., 2015)."

p.16, L.28 - p.17, L.10: we changed the paragraph and expanded it: "[...] heterogeneous ice nucleation. For example, the contact angle, which is useful in atmospheric models, is a macroscopic interpretation of the affinity between two phases: the ice embryo and the IN. Water molecules show a high affinity towards hydroxy (-OH) and amino (-NH<sub>2</sub>) groups, since they form hydrogen bonds with them. The contact angle quantifies the outcome of the molecular interaction and allows comparison of different INs, but it does not allow to trace back the complex molecular structures that are responsible. If we understand which structures are the characteristics of INMs, it will make predictions of INA possible for any macromolecule with a known sequence. As an example, the already-mentioned TXT-sequences (Sect. 4.1) are one such element that foster INA, but there have to be others as well, since non-proteinaceous INs exist. Classification and precise characterization of the currently known INs might reveal other INA elements."

p.18, L.10-13: we changed the text to "Cultivable microorganisms are also present in the stratosphere (Griffin, 2004) and in cloud water samples (e.g. Vaitilingom et al., 2012, Joly et al., 2013)."

p.18, L.29-31: we added "Recently, the presence of nanosized biological particles with INA were detected in precipitation (Santl-Temkiv et al., 2015) and soil (O'Sullivan et al., 2015)."

p.36: we changed the figure caption "[...] and an antifreeze protein that has a similar sequence and structure as the bacterial INMs [...]"

Supplement:

p.1, L.21 - p.3, L.6: was cut short to "Polymers are a subgroup of macromolecules, which are built up by small molecules that are covalently linked in a chain-like manner. Such a molecular chain will not stay linear, but will fold into a more compact form – especially if it contains hydrophobic elements in a hydrophilic surrounding or the other way round. This folding can be either random or in a well-defined manner. Proteins in their functional state usually have a very distinct folding. Protein chains that are not properly folded lack in most cases their functionality. Since the non-covalent forces holding the protein structure intact are usually weak, stress treatments lead to unfolding and therefore inactivation of the protein.

The solubility of a macromolecule depends on the chemistry of the macromolecule and the solvent. Based on the protein classification approach by T. B. Osborne (Osborne, 1910) biological matter is suspended and shaken in a certain solvent. Then the matter is centrifuged or filtrated off, thus removing particulate matter and yielding a transparent supernatant. Molecules that are extracted into the supernatant are considered to be soluble in that medium.

In the case of large molecules, it is disputable where to draw the line between solution and suspension. Per definition, a solution consists of a single phase, while a suspension consists of two phases with phase interfaces. If the particles sizes are close to the wavelength of visible light, a suspension shows light scattering, which makes it opaque. A solution, in contrast, shows neither light scattering, nor visible particles. Furthermore, a solution shows no phase separation over time, while sedimentation or agglutination lead to a progressive phase separation in time. Additionally, solutions cannot be separated by centrifugation. From a molecular point of view, a molecule in solution is fully covered with an energetically favorable hydration shell."

# 1 Ice nucleation by water-soluble macromolecules

2

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26

1 **Abstract**

2 Cloud glaciation is critically important for the global radiation budget (albedo) and for initiation  
3 of precipitation. But the freezing of pure water droplets requires cooling to temperatures as low  
4 as 235 K. Freezing at higher temperatures requires the presence of an ice nucleator, which serves  
5 as a template for arranging water molecules in an ice-like manner. It is often assumed that these  
6 ice nucleators have to be insoluble particles. We point out that also free macromolecules which  
7 are dissolved in water can efficiently induce ice nucleation: The size of such ice nucleating  
8 macromolecules (INMs) is in the range of nanometers, which corresponds to the size of the  
9 critical ice embryo. As the latter is temperature-dependent, we see a correlation between the size  
10 of INMs and the ice nucleation temperature as predicted by *Classical Nucleation Theory*.  
11 Different types of INMs have been found in a wide range of biological species and comprise a  
12 variety of chemical structures including proteins, saccharides, and lipids. Our investigation on  
13 the fungal species *Acremonium implicatum*, *Isaria farinosa*, and *Mortierella alpina* shows that  
14 their ice nucleation activity is caused by proteinaceous water-soluble INMs. We combine these  
15 new ~~measurement~~-results and literature data on INMs from fungi, bacteria, and pollen with  
16 theoretical calculations to foster a chemical perspective of ice nucleation and water-soluble  
17 INMs. This has atmospheric implications, since many of these INMs can be ~~set free~~released by  
18 fragmentation of the carrier cell and subsequently may be distributed independently. Up to now,  
19 this process has not been accounted for in atmospheric models.

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20  
21 **1 Introduction**

22 Although ice is thermodynamically favored over liquid water at temperatures below 273.15 K,  
23 the phase transition is kinetically hindered. Consequently, supercooled droplets of ultrapure  
24 water stay liquid, until temperatures as low as 235 K are reached. The spontaneous self-  
25 assembling of water molecules in an ice-like arrangement, which is necessary for freezing to  
26 occur, is called homogeneous ice nucleation (Fig. 1a). At higher temperatures, catalytic surfaces  
27 which act as an ice-mimicking template are necessary. The process, in which water molecules  
28 are stabilized in an ice-like arrangement by an impurity, is called heterogeneous ice nucleation  
29 (Fig. 1b+c). An impurity that possesses this ability is called ice nucleator (IN), or sometimes ice  
30 nucleus. The driving force that causes ice nucleation activity (INA) is the interaction between the

1 partial charges on the H and O atoms in the water molecules and the properly arranged (partial)  
2 charges on the IN surface. Therefore, the IN has to carry functional groups at the proper position  
3 to be effective (Liou et al., 2000, Zachariassen and Kristiansen, 2000). In most cases it is not the  
4 whole surface of an IN that participates in ice nucleation, but only certain sections, which are  
5 known as “active sites” (Edwards et al., 1962, Katz, 1962).

6 The larger the active site of an IN, and the more fitting functional groups it carries, the more  
7 effective it stabilizes ice clusters, and so the higher the freezing temperature. Consequently,  
8 single molecules ~~of low molecular compounds with a low molar mass~~ cannot are not well suited  
9 to nucleate ice. In fact, soluble compounds consisting of ions, such as salts, or very small  
10 molecules, such as sugars and short-chained alcohols, cause a depression of the thermodynamic  
11 freezing point and the homogeneous ice nucleation temperature (Koop, 2004). However, if single  
12 molecules are so large that they allocate a large enough active surface, they are INs by  
13 themselves. Such ice nucleating macromolecules (INMs) are especially common among  
14 biological INs. More information about INMs is given in Sect. S1.1. Due to the same reason  
15 some ~~low molecular~~ compounds with low molar mass which show no INA in solution can act as  
16 IN, if they are crystallized in layers of a certain arrangement (Fukuta, 1966). Further information  
17 related to the ice nucleation process is compiled in the supplement (Sect. S1.2, S1.3, and S1.4).  
18 INA has been discovered in various forms of life, including certain bacteria, fungi, algae, plants  
19 and animals. Studies to characterize the active sites of some of these organisms have revealed  
20 that they are biopolymers in almost all regarded cases. The chemistry of these INMs is as diverse  
21 as the range of species they represent (Table 1, Sect. 4.1): Overall, proteins, higher saccharides  
22 and lipids, as well as hybrid compounds can play a role in INA, both as singular molecules as  
23 well as in aggregated form (Table 1, Sect. 4.2). They occur in several species of bacteria, fungi,  
24 plants and animals. Apart from being INMs, they are very diverse in their properties, like size or  
25 heat tolerance, as their diverse chemical nature suggests.

26 In this study, we chemically characterize the water-soluble INMs found in the fungal species  
27 *Acremonium implicatum* and *Isaria farinosa* and we compare the results with other recent studies  
28 of water-soluble INMs from the fungus *Mortierella alpina* (Fröhlich-Nowoisky et al.,  
29 20142015), from birch pollen (Pummer et al., 2012, Augustin et al., 2013), and from bacteria  
30 (Niedermeijer et al., 2014). We also discuss relevant key findings of related earlier studies on  
31 the INA of biological materials (e.g. Govindarajan and Lindow, 1988a). Combining these data

1 with calculations derived from *Classical Nucleation Theory* (Zobrist et al., 2007), we draw  
2 conclusions about the nature, sources, and potential atmospheric effects of biological INMs.

3

## 4 **2 Methods**

### 5 **2.1 Characterization of new fungal INMs**

6 The fungi *A. implicatum* and *I. farinosa* were cultivated on a plate of potato dextrose agar  
7 (VWR™), incubated at ambient temperature for 1–2 weeks, until the first mycelium was formed,  
8 and then left to grow at ~280 K for 2–3 months (*A. implicatum*) or 6–10 months (*I. farinosa*).  
9 The mycelium was scratched off with either a scalpel or an inoculating loop and put into a 15 ml  
10 Falcon tube. High-purity water (18.2 MΩ·cm) was tapped from a water purification system  
11 (Thermoscientific™ Barnstead GenPure xCAD plus), autoclaved at 394 K for 20 min, and  
12 filtrated through a sterile 0.1 μm PES filter (Corning™). Then 10 ml of the high-purity water  
13 were added to the mycelium in the tube, which was then shaken with a vortex device (VWR™  
14 lab dancer) three times for 30 seconds and filtrated through a 5 μm PES syringe filter  
15 (Acrodisc®), yielding a transparent solution. A small aliquot of the 5 μm filtrate was branched  
16 off for INA measurement as described later in this section, while the rest was further filtrated  
17 through a 0.1 μm PES syringe filter (Acrodisc®). A small aliquot of the 0.1 μm filtrate was saved  
18 for INA tests. Further aliquots were exposed to different procedures, which are listed below, and  
19 then tested for changes in their INA. This provides information about the chemistry of the INMs.  
20 In all cases, not only the filtrates but also pure water samples which were treated the same way  
21 were tested as a negative reference.

- 22 • Filtration through size exclusion filtration tubes (Vivaspin® 500): 300 kDa and 100 kDa  
23 cutoff. The passage through a filter indicates that the molecules are smaller than the given  
24 cutoff.
- 25 • Exposure to heat for 1 hour: 308 K and 333 K, providing information about the thermal  
26 stability.
- 27 • Addition of 6.0 M guanidinium chloride (Promega®), which is a chaotropic reagent used  
28 for protein denaturation.
- 29 • Addition of 0.3 M boric acid (National Diagnostics®), which esterifies with saccharide  
30 OH groups and thereby blocks the site.



1 • Digestion with enzymes (Applichem®) for at a given incubation temperature: Lipase for 1  
2 hour at 308 K for fat digestion, Papain for 5 hours at 296 K for protein digestion. For the  
3 latter, two more temperatures were investigated (5 hours at 308 K, 1 hour at 333 K), since  
4 its optimum temperature is about 338 K, but the investigated INMs turned out to be rather  
5 thermolabile. Conveniently, Papain still functions at far lower than its optimum  
6 temperature, but with lower reaction rates. In our case, the lowest investigated  
7 temperature was sufficient.

8 To determine the IN concentration per gram of mycelium, the same setup and procedure as in  
9 Fröhlich-Nowoisky et al. (20154) were applied: Each sample was diluted with ultrapure water to  
10 an INM concentration where Eq. (1) gives finite results (the proper dilution was determined by  
11 trial and error). Then, 50 µl aliquots of the dilute were pipetted into 24–32 wells of a 96 well  
12 PCR tray (Axon™), which was sealed with adhesive foil. The plate was inserted into an isolated  
13 PCR-plate thermal block, which was tempered by a cooling bath (Julabo™ Presto A30). For  
14 recording a nucleation spectrum, the block was cooled to an initial temperature of 269.15 or  
15 270.15 K. Then the block was further cooled in 0.5 to 2 K steps each 12 minutes. After each step,  
16 the number of frozen droplets was counted. They can be discriminated from liquid droplets, since  
17 they reflect the incident light differently, and therefore appear much darker. We calculated the IN  
18 concentration (number of INs per grams of mycelium) via a variant of the Vali formula (Eq.(1),  
19 Vali 1971):

$$20 \quad n_m [g^{-1}] = -\ln(1 - f_{ice}) \cdot \frac{V_{wash}}{V_{drop}} \cdot \frac{F_{dil}}{m_{myc}} \quad (1)$$

21  $n_m$  is the number of INMs per gram of mycelium,  $f_{ice}$  the fraction of frozen droplets,  $V_{wash}$  the  
22 volume of water added for washing (10 ml in this study),  $V_{drop}$  the droplet volume in the freezing  
23 assay (0.05 ml in this study),  $F_{dil}$  the dilution factor of the extract and  $m_{myc}$  the mass of the  
24 mycelium. For the formula to work, a proper dilution, where  $0 < f_{ice} < 1$  is fulfilled, is necessary. In  
25 case of  $f_{ice}=0$ , the dilution is too high, and the formula gives  $n_m=0$  as a result. In case of  $f_{ice}=1$ , the  
26 sample is too concentrated, since  $n_m$  becomes infinite. We note that Eq. (1) assumes that each  
27 droplet contains the same number of IN, i.e. the mean number of IN. However, at very small  
28 concentrations, the distribution of INMs in the droplets follows Poisson statistics, so that even  
29 for a mean number of one INM per droplet some droplets may contain two or more INMs and

1 others no INMs at all. Without the use of Poisson statistics all of these would be counted as one  
2 in the analysis (Augustin et al., 2013).

3 To quantify the efficacy of the new-found INMs of *A. implicatum* and *I. farinosa* in comparison  
4 with others, we used the *Soccer Ball Model* (Niedermeier et al., 2011, 2014), which combines  
5 *Classical Nucleation Theory* with the assumption of a contact angle distribution to calculate  
6 mean contact angles  $\theta$  and standard deviations  $\sigma$  from the 0.1  $\mu\text{m}$  filtrate curves. This is done by  
7 determining values for  $\theta$  and  $\sigma$  such that the measured values of  $f_{\text{ice}}$  are reproduced by the model.  
8 The corresponding equation describing the contact angle distribution and the Soccer Ball Model  
9 are given explicitly in Niedermeier et al. (2014). Via a mass-to-size conversion table for proteins  
10 by Erickson (2009), we estimated the diameter of our INMs to be about 4 nm, which was used  
11 for the *Soccer Ball Model* parameterization. In comparison, we also calculated mean  $\theta$  and  $\sigma$  of  
12 *M. alpina* from comparable filtrates (Fröhlich-Nowoisky et al., 2015<sup>4</sup>), and added literature data  
13 for INMs from birch pollen (Augustin et al., 2013) and bacteria (Niedermeier et al., 2014). The  
14 concept of contact angles has, in the past, been applied for ice nucleating particles consisting of  
15 mineral dust, for which reasonable results were obtained (e.g. Niedermeier et al., 2014; Marcolli et  
16 al., 2007; Welts et al., 2012). Here we apply it to describe the ice nucleation induced by water-  
17 soluble INMs, and we were able to derive contact angle distributions such that all measured data  
18 can be reproduced by the *Soccer Ball Model*. More specifically, a contact angle distribution  
19 determined for a sample reproduced all measurements done for that sample, even if different  
20 concentrations, different cooling times or completely different measurement approaches, as those  
21 described in the following paragraphs, were used.

22 INA was also measured with two additional experimental techniques. For both setups, 0.1  $\mu\text{m}$   
23 filtrates that were prepared as described at the top of this section were diluted and applied. These  
24 two additional methods were included to expand the data to lower temperatures, which was  
25 possible due to the smaller droplet sizes these methods examined (BINARY droplet volumes are  
26 about 1  $\mu\text{l}$ ) and to ensure that a possible interaction between the examined droplets and the  
27 substrates did not influence the results (LACIS examined freely floating droplets). Resulting  
28 values for  $n_m$  are compared to the  $n_m$  derived from the conventional freezing droplet array. Those  
29 systems are:

- 1 (i) A droplet freezing array termed “Bielefeld Ice Nucleation ARraY” (BINARY), which  
2 consists of a 6x6 array of microliter droplets on a hydrophobic glass slide on top of a  
3 Peltier cooling stage. A detailed description of the technique, the preparation of  
4 droplets, and the data acquisition and evaluation is given in Budke and Koop  
5 (~~2014~~2015).
- 6 (ii) A vertical flow tube named “Leipzig Aerosol Cloud Interaction Simulator” (LACIS),  
7 which is described in detail in Hartmann et al. (2011). Basically, droplets are  
8 generated from the filtrate and dried. The residual particles are then size-selected,  
9 humidified to form uniform droplets and inserted into the tube, where they are cooled  
10 to the temperature of interest. The procedure was similar to that for the birch pollen  
11 washing waters described in Augustin et al. (2013).

## 13 2.2 Characterization of birch pollen INMs

14 To test the hypotheses that birch pollen INMs are polysaccharides and not proteins (Pummer et  
15 al., 2012), further procedures for characterization of the birch pollen INMs were carried out.  
16 Therefore, birch pollen extracts were prepared by suspending and shaking 10 mg/ml pollen in  
17 ultrapure water for several hours, and then vacuum filtering the suspension through a 0.1 µm  
18 PES filter (Corning™). The aqueous fraction was then exposed to different treatments, and  $n_m$   
19 was determined the same way as for the fungi, with 24 or 32 droplets per sample, at 258 K or  
20 256 K. In all cases, reference samples without addition of the reagents were measured and  
21 defined as 100% INA. The results are listed in Table 2.

22 First, boric acid was added to an aliquot of fungal extract to a concentration of 0.75 M. The  
23 aliquot was left overnight at room temperature, as boric acid is known to esterify with sugars.  
24 This treatment should alter the INA of the birch pollen INMs, in case that saccharides play a  
25 role. However, since the esterification process does not necessarily affect all functional groups,  
26 the INA might be only partially eliminated. Since the INA assay preparation has a certain  
27 statistical uncertainty, minor changes in the INA are difficult to interpret. Therefore, we also  
28 investigated untreated birch pollen extracts as a reference. The same procedure was repeated  
29 with heating aliquots with and without boric acid to 343 K for 2 h to accelerate the esterification  
30 process.

1 To check if birch pollen INMs are indeed non-proteinaceous, three separate 100 µl aliquots were  
2 mixed with 94 µl of (i) water, (ii) medium without enzyme, (iii) medium with Trypsin, and  
3 incubated for 18 h at 310 K. Additionally, 100 µl water was treated like (iii). Trypsin is an  
4 enzyme that breaks down proteins, but demands a certain medium. For each sample an INA  
5 assay as described in Sect. 2.1 was run.

6 In addition, aliquots of the birch pollen extracts digested with Trypsin and medium before and  
7 after incubation were forwarded through a Size Exclusion Chromatography (SEC) column, and  
8 the different eluted fractions were tested for their INA. Details about the setup and the  
9 measurements are presented in Sect. S2.2. This way, we checked if the enzymatic treatment  
10 changed the mass range of the birch pollen INMs.

11

### 12 **2.3 Ice nucleation experiments with bacterial INM peptides**

13 A sample of the 16-amino acid peptide fragment which is the repetitive element in the bacterial  
14 INM (BINM) of *Pseudomonas syringae* was investigated for its INA. The peptide with the  
15 primary sequence GSTQTAGEESSLTAGY was obtained from PSL (Heidelberg, Germany) and  
16 purified chromatographically using a HiTrap Desalting column (GE Healthcare) with high-purity  
17 water (18.2 MΩ·cm) from a Milli-Q water purification system (Millipore). The yield of pure  
18 peptide was determined using a NanoPhotometer ( $\epsilon_0 = 1490 \text{ M}^{-1}\text{cm}^{-1}$ ).

19 We measured peptide solutions with 10, 20, and 30 mg/ml via the oil immersion cryo-  
20 microscopic method, which is described in detail in Pummer et al. (2012). Therefore we prepared  
21 emulsions consisting of 45%wt aqueous peptide solution and 55%wt oil (paraffin-lanolin). The  
22 frozen fractions of droplets with diameters of 20–50 µm were documented with the software  
23 Minisee<sup>®</sup> as a function of temperature.

24

## 25 **3 Results**

### 26 **3.1 Experimental characterization of INMs**

27 The results of the chemical characterization of the fungal filtrates are composed in Fig. 2. The  
28 quantitative passage through the 0.1 µm pore size filters, yielding optically transparent, particle-

1 free filtrates, demonstrates that those INMs are cell-free and stay in solution, when they are  
2 extracted with water.

3 The initial freezing temperature was 269 K for *I. farinosa* and 264 K for *A. implicatum*. The  
4 calculated contact angles for *I. farinosa* and *M. alpina* are the highest, while the one of *A.*  
5 *implicatum* lies in the range of the BINM one (Table 1). The reduction of INA by Papain and by  
6 guanidinium chloride indicates that the INMs of both species are proteinaceous. Lipids seem to  
7 play a role in *A. implicatum*, but none in *I. farinosa*. Both were resistant against boric acids,  
8 making a contribution of carbohydrates to the INA unlikely. Both INMs are more heat sensitive  
9 than other fungal INMs, since they were already destroyed at 333 K. *A. implicatum* has a mass of  
10 approximately 100 to 300 kDa, since it quantitatively passes through the 300 kDa filter, but not  
11 through the 100 kDa filter. About 95% of *I. farinosa* INM were retained in the 300 kDa filter in  
12 comparison to the 0.1  $\mu\text{m}$  filter, and the initial freezing temperature is shifted below 268 K. This  
13 suggests that there are larger, more active states of *I. farinosa* INMs and smaller ones active at  
14 lower temperatures.

15 Figure 3 shows the comparison between the data from BINARY, LACIS, and the droplet  
16 freezing array (Sect. 2.1). Each strain shows a relatively good overlap of the plateaus obtained  
17 with the different methods. Only when comparing the C-strain measurements, a difference in  
18 total  $n_m$  can be seen, which, however, is less than one order of magnitude. The initial freezing  
19 temperatures are higher for the conventional droplet freezing array in Mainz in comparison with  
20 BINARY. This may indicate that the investigated INMs show a small time-dependence, which  
21 would lead to an increase in  $n_m$  at lower temperature for the experiment with the larger cooling  
22 rate (i.e. BINARY), in agreement with the observations. From that it becomes evident that onset  
23 temperatures, which were often reported in the past, do not properly describe the ice nucleation  
24 process. They depend on the detection limit of the measurement method, as well as the INM  
25 content per droplet, and they are influenced by impurities or statistical outliers. Hence, the  
26 temperature at which 50% of all droplets froze ( $T_{50}$ ) was taken for interpretation. If we assume  
27 that the mass of a single INM is about 100 kDa =  $1.7 \cdot 10^{-19}$  grams and that the maximum number  
28 density we found was  $n_m = 10^{10}$  per gram (Fig. 3), the INMs amount to approximately 1.7 ppb of  
29 the total mycelium mass.

1 The results of the birch pollen measurements, which are given in Table 2, suggest that both the  
2 medium for the Trypsin test and the boric acid led to a reduction in INA. The addition of Trypsin  
3 had no additional effect, which speaks against a proteinaceous nature of those INMs. It is most  
4 likely that it is the formic acid from the medium that decreases the INA in the respective  
5 measurement, since it esterifies with hydroxyls similar to the boric acid. This is consistent with  
6 the resistance against other proteases and guanidinium chloride (Pummer et al., 2012), and the  
7 lack of the spectroscopic signature typical for proteins in the most active eluates. Overall, we  
8 confirm that the birch pollen INMs are not proteins, but most likely polysaccharides. After the  
9 elution from the SEC column, small amounts of INMs were spread across all fractions of the  
10 eluate. This might be caused by the adhesion of the organic matter in the extracts to the column  
11 packing, what undermines the separation principle. The tendency for adhesion of organic matter  
12 from pollen was already investigated by Pummer et al. (2013b). Nevertheless, there was an  
13 unambiguous maximum in the 335 to 860 kDa fraction before and after digestion. This is the  
14 more intriguing, since we recorded the absorbance of the eluate at 280 nm via a UV detector,  
15 which is a quite reliable way to detect most proteins. However, the detector showed no signal  
16 when the INA maximum was eluted. This alone makes it very unlikely that the birch pollen  
17 INMs are proteinaceous. The discrepancy with the mass range stated by Pummer et al. (2012)  
18 could be explained by the slightly higher investigation temperatures, which was a necessity of  
19 the setup, which corresponds to a larger critical ice embryo or INM size. We suggest that the  
20 birch pollen INMs might be capable of forming aggregates that are larger, active at higher  
21 temperatures, but also less frequent. Consequently, they are overseen in INA assay devices with  
22 lower material loads per droplet, such as the oil immersion cryo-microscopy.

23 The examination shows that the 16-amino acid BINM peptide shows INA, when a certain  
24 concentration in solution is surpassed. This molecule should barely show INA, since its  
25 molecular mass is only 1.6 kDa and the number of fitting functional groups is limited to one  
26 TXT motif. However, these peptides tend to self-assemble into aggregates (Garnham et al.  
27 2011), which consequently follow equilibrium of formation and decay. These aggregates may  
28 have different sizes and shapes, and consequently different INAs.

29 The 10 mg/ml sample showed only homogeneous ice nucleation. The 30 mg/ml sample showed  
30 an initial freezing temperature at about 250 K, a flat slope of  $n_m(T)$  towards lower temperatures,  
31 and a  $T_{50}$  between 240 and 245 K in different experiments. The variance is rather high, since the

1 aggregate formation seems to be very sensitive to the handling of the sample. This is in contrast  
2 to the typical biological INMs, which show a very steep slope at a given temperature and then  
3 reach a saturation plateau (e.g. Fig. 2 and 3). Further investigations are in progress to measure  
4 the aggregates and get a better understanding of the process.

5

### 6 **3.2 Comparison with theoretical calculations of the critical ice embryo size**

7 In Fig. 4, we plot the experimentally determined molecular masses of INMs against the observed  
8 ice nucleation temperature. For comparison, we show the theoretical parameterization of the  
9 critical ice embryo size by Zobrist et al. (2007), which is based on *Classical Nucleation Theory*.  
10 The sources of the plotted data are specified in Table 3. Apart from the fungal and birch pollen  
11 INMs investigated in our groups, we added BINM data by Govindarajan and Lindow (1988a),  
12 who already indicated the good agreement between aggregate size and critical ice embryo size.  
13 INA data of polyvinyl alcohol (PVA) were incorporated, since it also showed a slight INA in  
14 experiments (Ogawa et al., 2009). Its peculiarities are first that the formula is quite simple for a  
15 macromolecule, which is a sequence of  $\text{CH}_2\text{CHOH}$ -units, and second that the chain is rather  
16 randomly coiled. Therefore, the near-range molecular order is quite well defined, while the far-  
17 range order is merely statistical.

18 The data of birch pollen and fungal INMs are in appreciable agreement with the theoretical  
19 parameterization. We deduce that these free biological INMs which carry a suitable hydration  
20 shell mimic a theoretical ice embryo of the same size well enough to show the same INA.  
21 However, ice embryos of this size are almost impossible to form spontaneously, what explains  
22 the low temperatures that are necessary for homogeneous ice nucleation. In contrast, the  
23 biological INMs have a given shape, what explains their high INA.

24 In the case of PVA, we see that an increase in size does not lead to an appropriate increase in the  
25 freezing temperature. This can be easily explained by the different degrees of structure of  
26 biological macromolecules and technical homopolymers. Both PVA and BINMs consist of a  
27 sequence of monomers covalently linked to each other. Longer chains fold into compact three-  
28 dimensional structures. Without any further forces, polymers coil randomly. Therefore, confined  
29 geometries do not exceed the size of a few monomers, where it is the limited flexibility of the  
30 monomer-to-monomer bond that enforces certain geometries. Hence, an increase in the total

1 INM mass will not increase its INA. In contrast, intact proteins have a strongly determined  
2 folding, which is held together by intramolecular forces, and sometimes even forced on them by  
3 folding-supporting proteins. Therefore, a native protein's structure is stabilized in a certain  
4 geometry, as is the molecular surface. The unfolding of a biological macromolecule – a process  
5 called denaturation – changes many of its properties. This is also valid for the INA of INMs, and  
6 explains their deactivation by heat far below the temperatures where the covalent molecular  
7 bonds are broken. It is also responsible for the destruction of most INMs by the chaotropic  
8 guanidinium chloride. Summed up, randomly coiled INMs like PVA allocate only small, one-  
9 dimensional templates for ice nucleation (Fig. 1b) and therefore nucleate ice at very low  
10 temperatures (Fig. 4). On the other hand, molecules in long-range confined geometries, like the  
11 BINM, allocate stable two-dimensional surfaces as ice nucleating templates (Fig. 1c), which are  
12 larger and therefore nucleate at higher temperatures (Fig. 4). Also long-chained alcohols show  
13 appreciable INA, if they are crystallized in well-defined monolayers, depending on the chain  
14 length, the position of the OH group, and substitutions on the side chains (Popovitz-Biro et al.,  
15 1994).

16

## 17 **4 Discussion**

### 18 **4.12 Previous findings on biological INMs**

19 The already mentioned BINMs that have been found so far are a certain class of bacterial  
20 lipoglycoproteins that are fully sequenced and characterized (e.g. Abe et al., 1989). In some  
21 cases, biological INMs of one type or species show more than one freezing temperature in an ice  
22 nucleation spectrum. This variation in INA can be explained by the presence of different  
23 functional groups, foldings or aggregation states (e.g. Govindarajan and Lindow, 1988a,  
24 Augustin et al., 2013, Dreischmeier et al., 2014, this study). The presence of INMs seems to have  
25 certain advantages, which might be the motivation for certain species to produce them (Sect.  
26 S1.5).

27 The bacterial gene is highly conserved and codes for a 120 kDa  $\beta$ -helical membrane protein with  
28 many repeated octapeptides (Green and Warren, 1985, Abe et al., 1989, Kajava and Lindow,  
29 1993, Schmid et al., 1997, Graether and Jia, 2001, Garnham et al., 2011). The INA induced by  
30 this protein also involves glycosides and lipids that stabilize it in the outer membrane of the

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cm



1 bacterial cell and assure its conformation for an optimum functioning (Kozloff et al., 1984,  
2 Govindarajan and Lindow, 1988a, Turner et al., 1991, Kawahara, 2002). With the side chains,  
3 the total mass of a single BINM is about 150–180 kDa (Table 1). It is assumed that the initiation  
4 point for ice formation is the amino acid sequence TXT in the repeated octapeptide, where T  
5 designates threonine and X any other amino acid. The OH groups of the two threonine moieties  
6 match the position of oxygen atoms in the ice lattice. Since a BINM contains several of these  
7 sequences at positions and distances that correspond to the ice lattice structure it can stabilize an  
8 ice embryo and so decrease the activation barrier for ice nucleation (Graether and Jia, 2001). As  
9 sequence modification studies on a structurally related antifreeze protein have shown, the loss of  
10 the TXT has a devastating effect on the interaction with water molecules, while other  
11 modifications have a much weaker impact (Graether et al., 2000).

12 The expression of BINMs is an exclusive property of certain bacterial species. It has been  
13 reported for a wide range of strains in the *P. syringae* species complex (Lindow et al., 1982,  
14 Berge et al. 2014), *P. fluorescens* and *borealis* (Fall and Schnell, 1985, Obata et al., 1987,  
15 Foreman et al., 2013), *Erwinia uredovora* (Obata et al., 1990a), *Pantoea agglomerans*, formerly  
16 called *E. herbicola* (Phelps et al., 1986.), *Pantoea ananatis* (Coutinho and Venter, 2009),  
17 *Xanthomonas campestris* (Kim et al., 1987), a *Pseudoxanthomonas* sp. (Joly et al., 2013), and  
18 more. The efficacy of their INA depends on both the strain and the cultural growth conditions,  
19 e.g. the available nutrients and the growth temperature (Rogers et al., 1987, Nemecek-Marshall  
20 et al., 1993, Fall and Fall, 1998). In most cases, these BINMs are aggregated and anchored in the  
21 outer cell membrane, where the strength of the INA depends on the aggregation state and the  
22 chemistry of the membrane (Govindarajan and Lindow, 1988a+b, Kozloff et al., 1991).  
23 However, free BINMs still show appreciable INA, although less than in the native state (Schmid  
24 et al., 1997). Since these complexes match the ice crystal lattice perfectly, these bacteria are the  
25 most active IN known at present.

26 These anchored aggregates of BINMs on the otherwise ice nucleation inactive cell surface are a  
27 demonstrative example of active sites on a larger IN, which is the micro-sized bacterial cell. In  
28 some cases, bacteria release their active sites carried on much smaller membrane vesicles. These  
29 are spherical pieces of the outer cellular membrane that are excised from the cell, a natural and  
30 common phenomenon in bacteria in general (Deatherage and Cookson, 2012). The expression of  
31 such vesicles with BINMs has been reported for *Pantoea agglomerans* / *E. herbicola* (Phelps et

1 al., 1986), *E. uredovora* (Kawahara et al., 1993), and *P. fluorescens* (Obata et al., 1993). *P.*  
2 *syringae* and *viridiflava* express such BINM-carrying vesicles only under certain growth  
3 conditions (Obata et al., 1990b, Pooley and Brown, 1990). For *P. putida*, the INA found in  
4 culture supernatants was associated with a 164 kDa lipoglycoprotein and had activity both as an  
5 IN and as an antifreeze protein. In this case, removal of the approximately 92 kDa of  
6 carbohydrates eliminated the INA, however, not the antifreeze properties (Xu et al., 1998).

7 INMs were also found in the kingdom of fungi (Kieft, 1988, Kieft and Ahmadjian, 1989, Kieft  
8 and Ruscetti, 1990, Pouleur et al., 1992, Hasegawa et al., 1994, Tsumuki and Konno, 1994,  
9 Tsumuki et al., 1995, Richard et al., 1996, Humphreys et al., 2001, Morris et al., 2013, Haga et  
10 al., 2013, Fröhlich-Nowoisky et al., [20142015](#)). Similarly to the bacteria, only a limited fraction  
11 of investigated strains showed INA, while the majority was inactive (Pouleur et al., 1992,  
12 Tsumuki et al., 1995, Iannone et al., 2011, Pummer et al., 2013a, Huffman et al., 2013, Fröhlich-  
13 Nowoisky et al., [20142015](#)). Fungal INMs can be divided into two subgroups, both of which  
14 differ from the BINMs. The INMs of rust fungi show properties of polysaccharide compounds  
15 (Morris et al., 2013). The already characterized INMs from *Rhizoplaca chrysoleuca* (Kieft and  
16 Ruscetti, 1990), *F. avenaceum* (Pouleur et al., 1992, Hasegawa et al., 1994, Tsumuki and Konno,  
17 1994), and *M. alpina* (Fröhlich-Nowoisky et al., [20142015](#)) are evidently proteins, but show  
18 barely any other similarities with the BINMs. They are more tolerant to stresses, have a different  
19 amino acid sequence, seem to have less to no lipid and carbohydrate functionalizing, and are  
20 easily released from the cells. Only recently, a 49 kDa protein from *F. acuminatum* was  
21 suggested as being the INM (Lagzian et al., 2014).

22 Proteins and lipoproteins with INA were also found in extracellular fluids of insects like *Tipula*  
23 *trivittata* larvae (Duman et al., 1985, Neven et al., 1989, Duman et al., 1991, Warren and  
24 Wolber, 1991), *Vespa maculata* queens (Duman et al., 1984), and *Dendroides canadensis*  
25 larvae (Olsen and Duman, 1997). The only non-proteinaceous insect INs found up to date are  
26 phosphate spherules and fat cells in the larvae of *Eurosta solidaginis* (Mugnano et al. 1996). INs  
27 have also been detected in other animal taxa, e.g. amphibians (Wolanczyk et al., 1990) and  
28 mollusks (Aunaas, 1982, Hayes and Loomis, 1985, Madison et al., 1991, Lundheim, 1997), as  
29 well as in spider silk (Murase et al., 2001).

1 The fluid reservoirs of some succulent plants, namely *Lobelia telekii* and *Opuntia* species,  
2 contain polysaccharide INMs (Krog et al., 1979, Goldstein and Nobel, 1991, Goldstein and  
3 Nobel, 1994). Other reported non-proteinaceous plant INs are from the wood of *Prunus* species  
4 (Gross et al., 1988), or the lignin in a waste water sample (Gao et al., 1999). Among plant INs,  
5 only those of *Secale cereale* were identified as proteins (Brush et al., 1994). The pollen of some  
6 plant species showed appreciable INA in different lab studies, among which that of silver birch  
7 (*Betula pendula* or *alba*) was the most active one (Diehl et al., 2001, Diehl et al., 2002, von  
8 Blohn et al., 2005, Pummer et al., 2012, Augustin et al., 2013). The birch pollen contain easily  
9 extractable, very robust INMs, which are non-proteinaceous and most likely some type of  
10 polysaccharide (Pummer et al., 2012). The extracts were characterized via vibrational  
11 spectroscopy, which indicated that they contained sugar-like compounds, proteins, and other  
12 biological molecules, but no sporopollenin, which is the fabric of the outer pollen wall (Pummer  
13 et al., 2013b).

14 Other organic aerosols in the focus of ice nucleation research are Humic-Like Substances  
15 (HULIS) and Secondary Organic Aerosols (SOAs). They show certain similarities to the  
16 presented INMs, since they consist of a large variety of organic macromolecules that have  
17 undergone complex biochemical processing. Analogously, several exponents showed little to no  
18 INA in experiments, or even oppressed INA in mixed particles by blocking the active sites (e.g.  
19 Möhler et al., 2008, Prenni et al., 2009), while others showed appreciable INA. Certain HULIS  
20 standards (Wang and Knopf, 2011) and some SOAs (Wang et al., 2012, Schill et al., 2014)  
21 induced ice nucleation in the deposition and the immersion mode. The O/C-ratio of the latter did  
22 not affect the INA, although it influenced several other properties, such as the kinetics of the  
23 water uptake, in agreement with recent model simulations (Berkemeier et al., 2014). Among  
24 glassy aerosols composed of saccharidic components, some chemical species showed significant  
25 INA that might even compete with mineral dust INA in mid-latitude clouds (Wilson et al., 2012).  
26 Even a simple compound like citric acid shows INA when it is in the state of a glassy aerosol  
27 (Murray et al., 2010). [Alternatively, other organic compounds such as oxalic acid can act as an](#)  
28 [immersion IN in the crystalline state \(Zobrist et al., 2006, Wagner et al. 2011\) Also cellulose,](#)  
29 [which is the most common biopolymer on Earth due to its ubiquity in plant cell walls, shows](#)  
30 [INA in the form of microcrystalline or fibrous particles \(Hiranuma et al., 2015\).](#) The inorganic  
31 salt ammonium sulfate possesses INA in the crystalline state in both the immersion and

1 deposition mode, despite it being a highly soluble compound (Zuberi et al., 2001, Abbatt et al.,  
2 2006).

3

#### 4 **4.2. Solubility of INMs**

5 In atmospheric science, INs are traditionally regarded as insoluble particles on the surface of  
6 which ice nucleation takes place. According to Raoult's law, soluble substances are expected to  
7 decrease the freezing point with increasing molar concentration. Furthermore, any ice nucleating  
8 template requires a certain size to be able to support a critical ice embryo that is large enough to  
9 grow into a macroscopic crystal. Consequently, particles that dissociate into molecules or ions  
10 with low molar mass in solution (e.g. NaCl, mono- and disaccharides) cannot act as IN.  
11 However, data by Pummer et al. (2012) showed that the ice nucleation active components of  
12 pollen have a mass between 100 and 300 kDa. This means, the INs have the size of single  
13 macromolecules. If these molecules are fully dissolved in water, one can regard them as being in  
14 solution and not in suspension. Many proteins are soluble in water (e.g. Osborne, 1910, Macedo,  
15 2005; Sect. S1.1), but single protein molecules are far larger than e.g. salt ions or low molecular  
16 weight saccharides. Therefore, a deviation from the simplistic approach of Raoult's law is  
17 expectable. In this case, a soluble compound can also act as an IN, if the active molecular surface  
18 is large enough to stabilize ice embryos of critical size. The freezing point depression is expected  
19 to be rather weak for a dissolved >100 kDa molecule, because even a high mass concentration  
20 correlates with only a low molar concentration. The resulting small reduction of the solution's  
21 water activity is likely to affect the heterogeneous ice nucleation temperature only slightly (Sect.  
22 S1.4, Koop and Zobrist, 2009, Attard et al., 2012). Accordingly, certain macromolecules can act  
23 as IN in spite of being water-soluble, because the water-structuring effect over-compensates the  
24 colligative freezing point depression. Most molecules carry a well-defined hydration shell. In  
25 case of INMs, the geometry of water molecules in the hydration shell is supposedly similar to the  
26 geometry in an ice embryo, what triggers the freezing process (Fig. 1). We therefore emphasize  
27 that a more molecular view on IN allows a better understanding of the process of heterogeneous  
28 ice nucleation. ~~We see a link between this molecular view and the macroscopic view that is~~  
29 ~~necessary for developing atmospheric models.~~ For example, the contact angle, which is useful in  
30 atmospheric models, is a macroscopic interpretation of the ~~molecular interaction affinity~~ between

1 ~~two phases, which depends on the surface tension of;~~ the ice embryo ~~growing on an~~ and the IN.  
2 Water molecules show a high affinity towards hydroxy (-OH) and amino (-NH<sub>2</sub>) groups, since  
3 they form hydrogen bonds with them. The contact angle quantifies the outcome of the molecular  
4 interaction and allows comparison of different INs, but it does not allow to trace back the  
5 complex molecular structures that are responsible. If we understand which structures are the  
6 characteristics of INMs, it will make predictions of INA possible for any macromolecule with a  
7 known sequence. As an example, the already-mentioned TXT-sequences (Sect. 4.1) are one such  
8 element that foster INA, but there have to be others as well, since non-proteinaceous INs exist.  
9 Classification and precise characterization of the currently known INs might reveal other INA  
10 elements.

11 As shown in Fig. 4, molecular size and INA exhibit a positive correlation. Deviations from the  
12 model line can be explained by different properties of different types of INMs. If molecules are  
13 larger than expected, like the birch pollen INMs, the active site might not cover the whole  
14 molecule, but just a small part of it. The INMs of *I. farinosa* and *M. alpina* seem to be too small.  
15 This can be either explained by spontaneous aggregation of several molecules after the filtration  
16 step, or by the ability of forming a larger hydration shell that has to be taken into account. Also,  
17 when data were derived from measurements in which droplets were examined which contain  
18 higher numbers of INM per droplet, the freezing temperature is shifted to higher temperatures, as  
19 can e.g. be seen when comparing data of birch pollen from Pummer et al. (2012) and Augustin et  
20 al. (2013). Very speculatively, one could try to go the other way and use experimentally  
21 determined freezing temperatures of IN, e.g. mineral dust and soot, to roughly estimate the size  
22 of their active sites. In combination with chemical and structural analyzing of the IN, one could  
23 try to identify which elements of these IN can be considered to be responsible for the INA.  
24 Considerations about the INA and active sites of mineral dust are given in Sect. S1.6.

25

### 26 **4.3 Potential atmospheric effects**

27 Apart from its cryobiological and evolutionary aspect, heterogeneous ice nucleation is of high  
28 importance for atmospheric research, since it causes cloud glaciation, and therefore impacts the  
29 global radiation budget (albedo) and initiates precipitation.

1 It is a common argument against the atmospheric INA potential of bioaerosols that whole cells  
2 which are at least some micrometers in size are far too large to reach altitudes higher than a few  
3 kilometers. However, the detection of cultivable microorganisms even in the mesosphere  
4 (Imshenetsky et al., 1978) shows that there have to be mechanisms that elevate intact cells to the  
5 higher atmosphere despite their size. As an example, the atmospheric turbulences caused by  
6 volcanic activity support a high- and far-range distribution of all kinds of aerosols (van Eaton et  
7 al., 2013). Furthermore, certain pollen (e.g. pine) and fungal spores (e.g. urediospores) are very  
8 buoyant, as they possess wing-like projections and other aerodynamic surface properties.  
9 Urediospores have been collected from the air at over 3 km above the ground level along with  
10 other microorganisms (Stakman and Christensen, 1946). Cultivable microorganisms ~~have also~~  
11 ~~been collected from~~ are also present in the stratosphere (Griffin, 2004). ~~At last, microorganisms~~  
12 ~~are present in precipitation and in cloud water~~ samples (e.g. Vaitilingom et al., 2012, Joly et al.  
13 2013); ~~what indicates their presence at cloud formation altitudes~~. Even more intriguingly, some  
14 of these organisms are even able to proliferate in supercooled cloud droplets (e.g. Sattler et al.,  
15 2001).

16 Biological cells are not rigid spheres, but rather a composition of many different membranes,  
17 organelles and fluids, which further consist of many different molecules, ranging from water to  
18 small organic molecules and to biopolymers. Therefore, the release of molecular matter, as well  
19 as cell fragmentation, is common. Several studies detected molecular tracers from pollen grains  
20 and fungi in atmospheric fine particulate matter even in the absence of whole cells (e.g. Solomon  
21 et al., 1983, Yttri et al., 2007). In most cases, biological INMs are easily released from the  
22 producing cell (Table 1). Since a single primary biological particle can carry up to hundreds and  
23 thousands of INMs, and since the INMs are also much lighter, we expect their atmospheric  
24 concentration to be significantly higher as well. A possible mechanism of INM release is cell  
25 rupture caused by a rapid change in moisture. Scanning electron microscopy studies on wet  
26 pollen back up this idea by visualizing the release of organelles and organic matter (Grote et al.,  
27 2001, Grote et al., 2003, Pummer et al., 2013b). This explains why rainfall, which is expected to  
28 wash out aerosols, can indeed increase the concentration of allergens (Schäppi et al., 1999) or  
29 INs (Huffman et al., 2013) in the air. Recently, the presence of nanosized biological particles  
30 with INA were detected in precipitation (Santl-Temkiv et al., 2015) and soil (O'Sullivan et al.,  
31 2015).

1 Quantifying the atmospheric impact of fungi is even more difficult, as presumably 1 to 5 million  
2 fungal species exist (Hawksworth, 2001). Due to mutation and adaptation, every species consists  
3 of numerous strains, which differ in their INA (Tsumuki et al., 1995). Even if all studies are  
4 combined, it is only a minor fraction of all fungal species that have been tested for their INA.  
5 Furthermore, the expression of INMs is triggered by yet unknown conditions, which could be the  
6 availability of nutrients, the local climate or competition with other microorganisms. As a  
7 consequence, INA-positive strains can lose their activity when they are cultivated under  
8 laboratory conditions (Tsumuki et al., 1995, Pummer et al., 2013a). Therefore, more atmospheric  
9 IN counting and sampling will be necessary to understand the contribution of biological INA  
10 better.

11 Several former studies aimed at quantifying biological INs either by analyzing precipitation  
12 samples (Christner et al., 2008a+b), or by atmospheric modeling based on emission and  
13 deposition data (Hoose et al., 2010). In both cases, however, only whole cells were regarded.  
14 Christner et al. (2008a+b) filtered the particles of interest out of the samples, and so lost the  
15 molecular fraction, ~~to~~ which contains the INMs we described ~~belong~~. Hoose et al. (2010) did not  
16 include fragmentation or phase separation processes that can release molecular compounds from  
17 the carrier particles in the atmosphere. This might have led to an underestimation of the  
18 atmospheric relevance of biological INs.

19

## 20 **5 Conclusions**

21 Even free water-soluble macromolecules are able to nucleate ice, since they are in the same size  
22 range as the critical ice embryos. INMs can be diverse in chemical structure and origin, which  
23 may range from biopolymers in primary biological aerosols (proteins, saccharides, lipids, hybrid  
24 compounds), to secondary organic aerosol components (HULIS, etc.), to synthetic polymers  
25 (PVA).

26 The allocation of functional groups, as well as the confinement that keeps them in place, is  
27 essential for the efficacy of the INMs. An increase of the template size that can be realized by  
28 aggregation of single molecules leads also to an enhancement of the INA. In this study we have  
29 shown that the water-soluble INMs from the fungal species *A. implicatum* and *I. farinosa* are

1 proteins, and we have obtained additional evidence that the birch pollen INMs are  
2 polysaccharides without relevant protein content.

3 Water-soluble INMs are released by a wide range of biological species. They may be associated  
4 not only with primary biological aerosols but also with other atmospheric aerosol particles such  
5 as soil dust or sea spray. The potential effects of such INMs should be considered and pose an  
6 additional challenge in the quantification and assessment of the importance of biological ice  
7 nucleation in the atmosphere.

8

## 9 **Acknowledgement**

10 We thank the Max Planck Society and the INUIT Research Unit (projects PO1013/5-1,  
11 KO2944/2-1, and WE4722/1-1; all for INUIT 1525) for project funding. We acknowledge M.  
12 Linden and D. Sebazungu for technical support, as well as Z. Dráb from Pharmallerga® for the  
13 donation of the birch pollen.

14 D. Niedermeier acknowledges financial support by the Alexander von Humboldt Foundation. H.  
15 Grothe and T. Loerting are grateful for support from the Austrian Science Fund FWF (projects  
16 P23027 and P26040). We thank the ESF for funding the workshops on ice nucleation within the  
17 research networking programme MicroDICE in the years 2013, 2014, and 2015.

18

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Type	Organism	cell-free?	protein?	saccharide?	lipid?	T stability	size (1 unit)	$\theta[^\circ] \pm \sigma[^\circ]$
BINMs:	<i>P. syringae</i>	-	+	+	+	<313 K	150–180 kDa	34.1 ± 2.3
	<i>E. herbicola</i>	+	+	+	+	<313 K	150–180 kDa	
Fungal INMs:	<del>Rhiz.</del> <i>chrysoleuca</i>	+	+	-	-	>333 K	<0.22 μm	
	<i>F. avenaceum</i>	+	+	-	-	>333 K	<0.22 μm	
	<i>A. implicatum</i>	+	+	-?	+	308–333 K	100–300 kDa	33.2 ± 2.3
	<i>I. farinosa</i>	+	+	-?	-	308–333 K	~300 kDa	24.6 ± 0.6
	<i>M. alpina</i>	+	+	-?	-	333–371 K	100–300 kDa	26.4 ± 1.1
	rust spores	??	??	+	??	~373 K	??	
Animal INMs:	<i>Tipula</i>	+	+	+?	+	??	800 kDa	
	<i>Dendroides</i>	+	+	-?	+/-	??	>70 kDa	
	<i>Vespula</i>	+	+	-	??	<373 K	74 kDa	
	<i>Eurosta</i> *	+	-	-	-	??	>100 μm	
Plant INMs:	<i>Secale</i> leaves	??	+	+	+	<363 K	??	
	<i>Prunus</i> wood	-	-	??	??	313–323 K	??	
	<i>Betula</i> pollen	+	-	+	-	445–460 K	100–300 kDa	58.2 ± 4.6
	<i>Lobelia</i> fluid	+	-	+?	-	>373 K	??	
	<i>Opuntia</i> fluid	+	-	+	-	??	<70 μm	
	different algae	??	??	??	??	??	??	

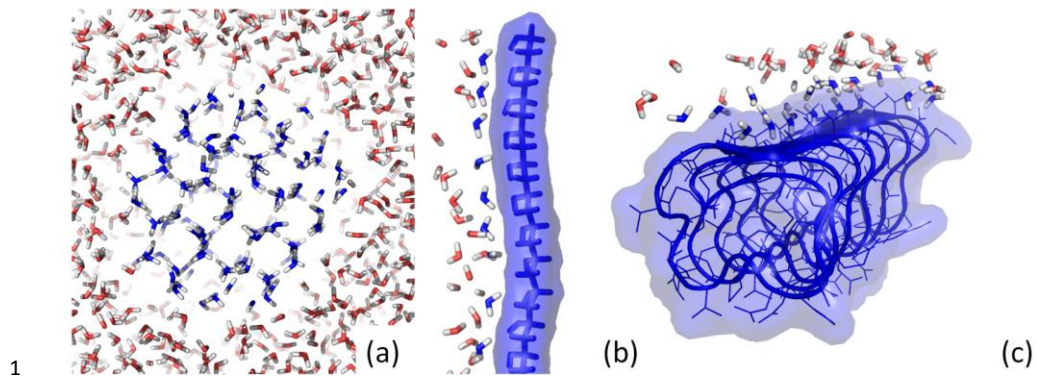
1 Table 1: Chemical properties of some INMs. “T stability” shows the temperature above which  
2 they ~~are~~ denatured. A question mark indicates uncertainty. See Sect. 4.12 for the sources of  
3 these data.  $\theta[^\circ] \pm \sigma[^\circ]$  are the calculated contact angle distribution according to the *Soccer Ball*  
4 *Model*. \*) Only the calcium phosphate spherules are regarded here, not the fat cells.

Treatment	% INA	$T$ [K]
none	100	both
none (ref)	<9	both
boric acid	15	256
boric acid (ref)	0	256
343 K	29	256
343 K + boric acid	3	256
medium	34	258
medium + Trypsin	30	258
medium + Trypsin (ref)	13	258

1 Table 2: An overview over the investigation on birch pollen extracts. The percentage is the  
2 relative number of INs in comparison to the untreated aliquot at a given temperature  $T$  [K]. Lines  
3 labeled with “(ref)” refer to reference measurements under the same conditions with pure water  
4 instead of extract.

Type	Source	$m$ [kDa]	$T_{\text{nuc}}$ [K]
BINM (~560 units)	Burke and Lindow, 1990	~83700	272
BINM (~130 units)	Govindarajan and Lindow, 1988a	~19000	271
BINM (~60 units)	Govindarajan and Lindow, 1988a	~8700	270
BINM (~20 units)	Govindarajan and Lindow, 1988a	~2500	268
crit. ice embryo	Zachariassen and Kristiansen, 2000	810	268
<i>Isa</i> -INM (>1 units)	this study	>300	268
<i>Isa</i> -INM (1 unit?)	this study	100–300	267
<i>Mor</i> -INM	Fröhlich-Nowoisky et al., <a href="#">20142015</a>	100–300	266
BINM (3 units)	Gurian-Sherman and Lindow, 1995	~360	263
BINM (1 unit)	Govindarajan and Lindow, 1988a	~150	261
INAFP	Xu et al., 1998	164	261
<i>Acr</i> -INM	this study	100–300	259
birch INM	this study	335–860	257
birch INM	Pummer et al., 2012	100–300	255
birch INM*	Augustin et al., 2013	100–300	250
PVA	Ogawa et al., 2009	1.7–98	239
crit. ice embryo	Zachariassen and Kristiansen, 2000	1.26	233

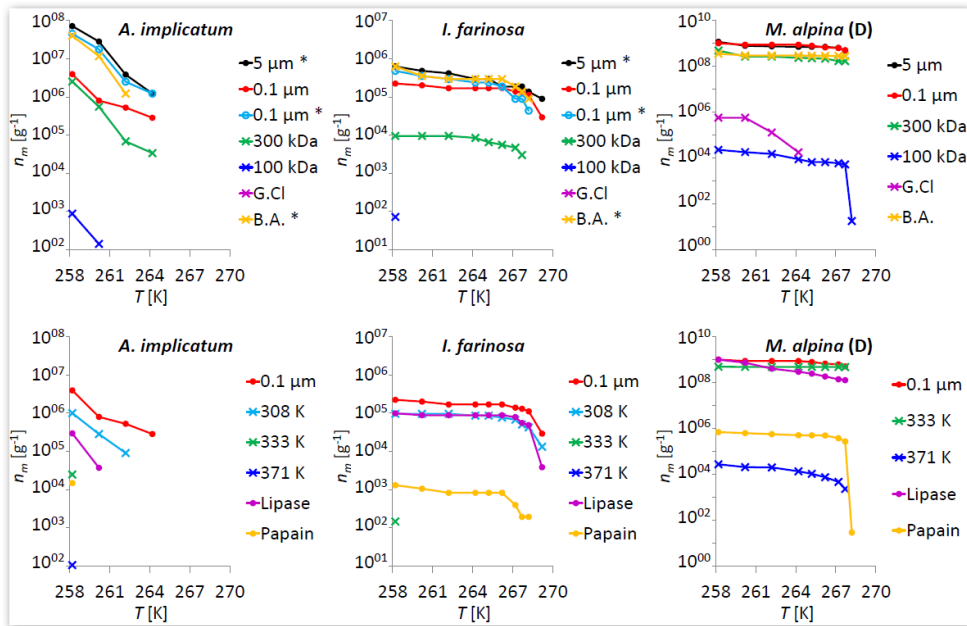
1 Table 3: Overview over masses ( $m$ ) and activation temperatures ( $T_{\text{nuc}}$ ) of certain IN. \*)  $T_{\text{nuc}}$  here  
2 are  $T_{50}$  of both the LACIS measurement with 800 nm particles and the oil immersion cryo-  
3 microscopy measurement with 5  $\mu\text{g/ml}$  pollen.



1  
 2 Figure 1: Visualization of water molecule ordering based on molecular model calculations (Sect.  
 3 S2.1): homogeneous ice nucleation (a); heterogeneous ice nucleation by ordering of water  
 4 molecules on a PVA strain, which is a 1D-templated (b), and an antifreeze protein ~~related that has~~  
 5 a similar sequence and structure as the bacterial BINMs, which is a 2D-templated (c). Each  
 6 image contains water molecules that are ordered (blue) and some randomly distributed water  
 7 molecules (red).

8





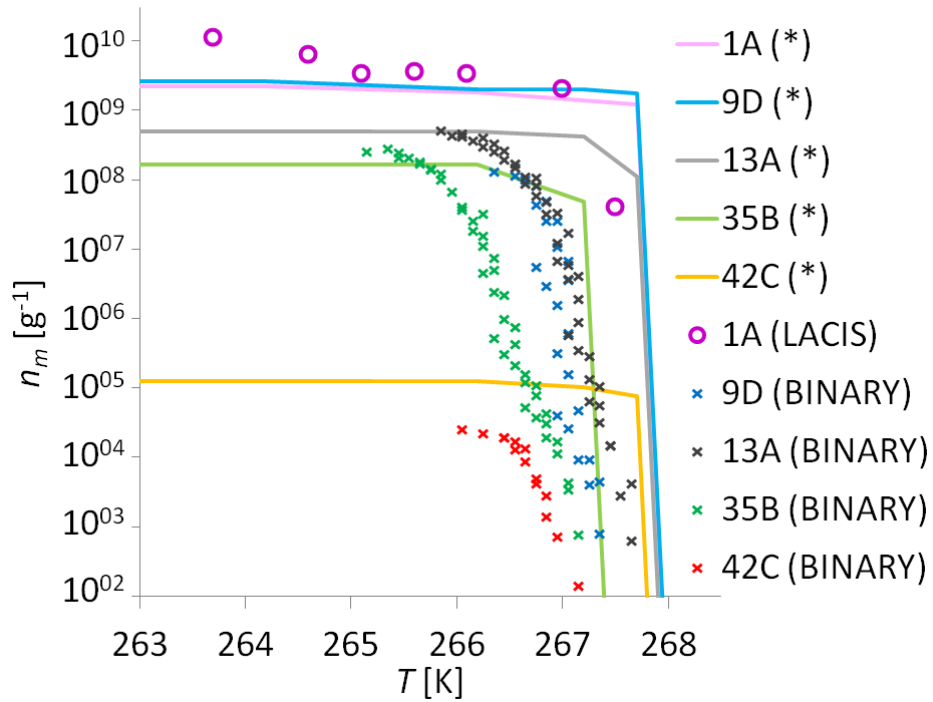
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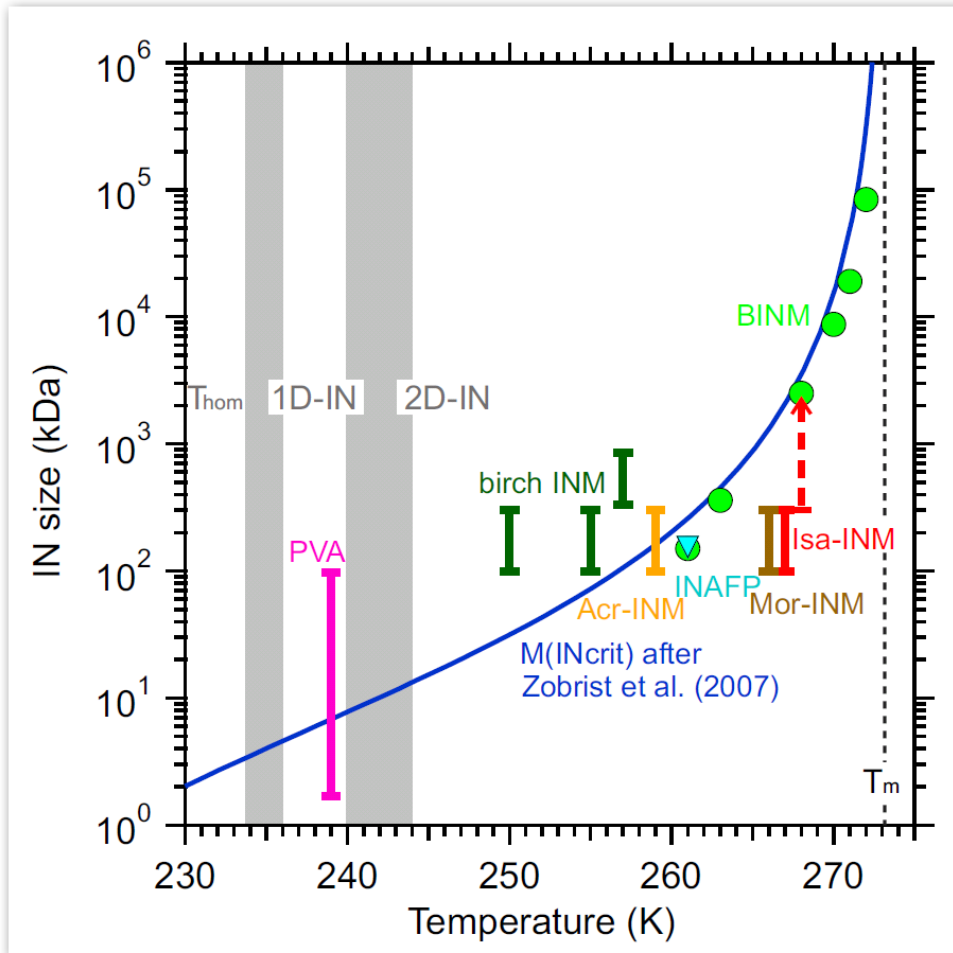
2 Figure 2:  $n_m(T)$ -curves for *A. implicatum*, *I. farinosa*, and *M. alpina* (subgroup D) INMs after  
 3 different treatments. “G.Cl” stands for guanidinium chloride treatment, “B.A.” for boric acid  
 4 treatment. A reduction of  $n_m$  suggests that this method partly or fully destroyed the INMs. The  
 5 absence of data points despite the listing in the figure legend indicates that  $n_m$  lied below the  
 6 detection limit. For *M. alpina*, the data are the mean curves of all investigated strains of the  
 7 phylogenetic subgroup D, which is the most representative (Fröhlich-Nowoisky et al.,  
 8 [20142015](#)).

9 \*) for *A. implicatum* and *I. farinosa*: these ~~0.1 μm~~ measurements were executed with the filtrates  
 10 of another harvest, ~~as were the 5 μm and the B.A. measurements~~, what explains the higher values  
 11 in comparison to the other results.

12



1  
 2 Figure 3: Comparison of ice nucleation curves of 0.1 µm filtrates from a few *M. alpina* strains.  
 3 The number and letter combination labels the strain. The devices used for generating the  
 4 respective curves are shown in brackets. "\*" stands for the setup described in Fröhlich-Nowoisky  
 5 et al. (2014,2015).



1  
 2 Figure 4: The dependence of the median freezing temperature on the size for different types of  
 3 IN (colored dots). The blue curve is the calculated critical ice cluster size derived from *Classical*  
 4 *Nucleation Theory* (Zobrist et al., 2007). The sources of the presented IN data are listed in Table  
 5 3. The graph further shows the region where we assume the domains where 1D- and 2D-  
 6 templates act as IN. The grey areas mark the transition regions between the domains. The  
 7 acronyms *Acr*, *Isa*, and *Mor* stand for the respective fungal species.

## 1 Supplement:

### 2 Ice nucleation by water-soluble macromolecules

3

4 B. G. Pummer, C. Budke, S. Augustin-Bauditz, D. Niedermeier, L. Felgitsch, C. J.  
5 Kampf, R. G. Huber, K. R. Liedl, T. Loerting, T. Moschen, M. Schauperl, M.  
6 Tollinger, C. E. Morris, H. Wex, H. Grothe, U. Pöschl, T. Koop, and J. Fröhlich-  
7 Nowoisky

8 Correspondence to: B. G. Pummer ([b.pummer@mpic.de](mailto:b.pummer@mpic.de))

9

#### 10 S1 Theoretical considerations

##### 11 S1.1 Macromolecular chemistries and solubility

12 Macromolecules are per definition molecules with a molecular mass of  $>10$  kg/mol (Staudinger  
13 and Staudinger, 1954), which is equivalent to  $>10$  kDa. In contrast to crystals or metals, which  
14 consist of subunits that are held together by non-covalent forces (e.g. ionic, metal or dipole  
15 bonds), each atom of a macromolecule is covalently bound to the rest of the molecule. Since  
16 covalent bonds are usually much stronger than non-covalent bonds, they stay intact in solution.  
17 In contrast, a sodium chloride crystal is broken down into single sodium cations and chloride  
18 anions and thereby loses its former structure. The variety of macromolecules ranges from  
19 inorganic (e.g. diamond, silicate) to organic (e.g. plastics) to biological (e.g. proteins,  
20 polysaccharides, sporopollenin, lignin) exponents.

21 Polymers are a subgroup of macromolecules, which are built up by a chain of covalently linked  
22 small molecules. ~~low molecular units that are stable molecules by themselves (which are called~~  
23 monomers) that are covalently linked in a chain-like manner. ~~If the monomers have more than~~  
24 two functional groups that allow a covalent link, polymer chains can also be branched. The  
25 individual building units can either be all the same in the case of a homopolymer, or can be two  
26 or more different molecules. ~~In the latter case, the sequence of the monomers determines the~~  
27 properties of the whole polymer. For example, PVA is a homopolymer built up only by vinyl

1 ~~alcohol, while the monomers of proteins are 20 different amino acids. The frequency and~~  
2 ~~sequence of these amino acids is responsible for the large variety of proteins that exist in nature.~~  
3 ~~As already stated in the manuscript, s~~Such a molecular chain will not stay linear, but will fold  
4 into a more compact form – especially if it contains hydrophobic elements in a hydrophilic  
5 surrounding or the other way round. This folding can be either random ~~like in a ball of wool,~~ or ~~it~~  
6 ~~can be~~ in a well-defined manner. Proteins in their functional state usually have a very distinct  
7 folding, ~~and therefore a very distinct form. Common elements of protein foldings are  $\alpha$  helices,~~  
8  ~~$\beta$  sheets and  $\beta$  helices.~~ Protein chains that are not properly folded lack in most cases their  
9 functionality. Since the non-covalent forces holding the protein structure intact are usually weak,  
10 stress treatments lead to unfolding and therefore inactivation of the protein.

11 The solubility of a macromolecule depends on ~~both~~ the chemistry of the macromolecule and the  
12 solvent. ~~A practical classification of proteins according to their solubility is given by the Based~~  
13 ~~on the protein classification approach by T. B. Osborne fractions, which were originally based on~~  
14 ~~T. B. Osborne's analysis of cereal samples (e.g. Osborne, 1910), which has been upgraded for~~  
15 ~~application in modern biotechnology and food chemistry. A granular (resp. ground) biological~~  
16 ~~mattersample~~ is suspended and shaken in a certain solvent. Then the ~~biological~~ matter is  
17 centrifuged or filtrated off, thus removing particulate matter and yielding a transparent  
18 supernatant ~~free of turbidity. Proteins Molecules~~ that ~~we~~ are extracted into the supernatant are  
19 considered to be soluble in that medium. ~~Depending on the solvent, different proteins are~~  
20 ~~extracted, which is the basis of this classification:~~

- 21 ● ~~soluble in pure water: albumins~~
- 22 ● ~~soluble in 10% NaCl solution: globulins~~
- 23 ● ~~soluble in 70% ethanol: prolamins~~
- 24 ● ~~soluble in diluted HCl: histones~~
- 25 ● ~~soluble in NaOH: glutelins~~

26 In the case of large molecules, it is disputable where to draw the line between solution and  
27 suspension. Per definition, a solution consists of a single phase, while a suspension consists of  
28 two phases with phase interfaces. If the particles sizes are close to the wavelength of visible  
29 light, a suspension shows light scattering, which makes it opaque. A solution, in contrast, shows

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1 neither light scattering, nor visible particles. Furthermore, a solution shows no phase separation  
2 over time, while sedimentation or agglutination lead to a progressive phase separation in time.  
3 Additionally, solutions cannot be separated by centrifugation. From a molecular point of view, a  
4 molecule in solution is fully covered with an energetically favorable hydration shell. ~~Despite its  
5 size, a protein is a single molecule, while insoluble suspended particles consist of either several  
6 molecules or a crystal lattice of elementary cells.~~

7

## 8 **S1.2 Basic physics of INA**

9 At temperatures below the melting point (273.15 K at atmospheric pressure), ice is  
10 thermodynamically favored over liquid water. Nevertheless, the spontaneous freezing of liquid  
11 water that is supercooled below this point is statistically very unlikely, because the phase  
12 transition is kinetically hindered. To form ice, water molecules have to be arranged in a defined  
13 ice crystal structure instead of the more random orientation and translational degrees of freedom  
14 they have in a liquid. Due to energetic propitiousness, which comes from the crystallization  
15 energy, clusters of a few water molecules will tend to arrange in an ice-like structure in the liquid  
16 water body. These clusters, which are also known as ice embryos, however, are then ripped apart  
17 by their surface tension, so in supercooled water, there is equilibrium between formation and  
18 decay of ice embryos.

19 Crystallization energy is proportional to the volume of the ice embryo, and therefore to the radius  
20 cubed. In contrast surface tension is proportional to the surface, and therefore to the radius  
21 squared. The outcome of the battle between crystallization energy and surface tension depends  
22 on the value of the Gibbs Energy  $\Delta G$ , which is therefore a function of the radius  $r$  (see Eq.(S1)),  
23 in other words the size of the water molecule cluster.  $\Delta G(r)$  initially increases with  $r$ , then  
24 reaches a maximum  $\Delta G^*$ , which is equivalent to the activation energy of the process (see  
25 Eq.(S2)). After that,  $\Delta G$  strongly decreases with  $r$ . Once the critical radius  $r^*$  (see Eq.(S3)) is  
26 reached, meaning that the activation barrier  $\Delta G^*$  is overcome, the ice embryo will grow  
27 unimpededly and subsequently catalyze the freezing of the entire supercooled body of water.

28 The critical ice embryo size in turn depends on the temperature, decreasing in size as the  
29 intensity of supercooling increases, or, in other words as the temperatures drop below 273.15 K.  
30 For example, 45000 arranged water molecules constitute the critical ice embryo size at 268 K,

1 while only 70 are required at 233 K (Zachariassen and Kristiansen, 2000). Furthermore, the  
2 probability of forming a cluster decreases with its size. Therefore, freezing becomes very  
3 unlikely at higher temperatures (so far we take only water molecules into account). This situation  
4 is the basis of why ultrapure water can be cooled down to temperatures about 235 K before it  
5 will eventually freeze. The manifestation of a critical ice embryo, which eventually leads to ice  
6 formation, is called ice nucleation. When only water molecules are involved, it is called  
7 homogeneous ice nucleation (see Fig. 1a).

$$8 \quad \Delta G = 4\pi \cdot \gamma \cdot r^2 + \frac{4}{3}\pi \cdot \rho \cdot \Delta\mu \cdot r^3 \quad (S1)$$

$$9 \quad \Delta G^* = \frac{16\pi \cdot \gamma^3}{3 \cdot \rho^2 \cdot (\Delta\mu)^2} \quad (S2)$$

$$10 \quad r^* = -\frac{2 \cdot \gamma}{\rho \cdot \Delta\mu} \quad (S3)$$

11  $\Delta G$ ...Gibbs energy,  $r$ ...cluster radius,  $\gamma$ ... surface free energy,  $\rho$ ...bulk density,  $\Delta\mu$ ...phase  
12 transition chemical potential,  $\Delta G^*$ ...activation energy,  $r^*$ ...critical radius

13 The probability of freezing increases when water contains or comes in contact with structured  
14 surfaces that simulate ice and arrange water molecules in an ice-like manner. This stabilizes ice  
15 embryos, and therefore decreases the activation barrier in the manner of a catalyst. These ice-  
16 template structures are known as ice nucleators (INs) or ice nuclei, and the process they catalyze  
17 is known as heterogeneous ice nucleation (see Fig. 1b+c). The driving force of the arrangement  
18 of water molecules on IN surfaces is interaction between the partially charged ends of the water  
19 molecule and oppositely charged functional groups on the IN surface. This involves H-bonds  
20 between hydrogen atoms with partial positive charges and oxygen or nitrogen atoms with partial  
21 negative charges. Therefore, the IN has to carry functional groups at the proper position to be  
22 effective (Liou et al., 2000, Zachariassen and Kristiansen, 2000). In most cases only certain  
23 sections, which are known as “active sites”, participate in the INA, while the majority of the IN  
24 surface is inactive (Edwards et al., 1962, Katz, 1962).

25 The larger the active site of an IN, and the more fitting functional groups it carries, the more  
26 effective it stabilizes clusters, and so the higher the freezing temperature. Consequently, single  
27 molecules of low-molecular compounds cannot nucleate ice. In fact, soluble compounds  
28 consisting of very small molecules or ions, like salts, sugars or short-chained alcohols, cause a

1 freezing point depression. However, if single molecules are very large, they can allocate enough  
2 active surface to be INs by themselves. Such ice nucleating macromolecules (INMs) are  
3 especially common among biological INs. Due to the same reason some low-molecular organic  
4 compounds which do not induce ice formation in solution, can act as IN, if they are crystallized  
5 in layers of a certain arrangement (Fukuta, 1966).

6

### 7 **S1.3 INA modes**

8 Throughout the manuscript we present the physics of ice nucleation mainly with regard to  
9 immersion freezing where the IN is inside a cooling water droplet. But in fact, three more modes  
10 of ice nucleation are defined. Immersion freezing is the most-investigated mode, and is suspected  
11 to be the dominant ice formation mechanism in mixed-phase clouds (Ansmann et al., 2009,  
12 Wiacek et al., 2010, de Boer et al., 2011). The other modes are contact, deposition and  
13 condensation ice nucleation. Contact ice nucleation means that the IN collides with a  
14 supercooled droplet, which freezes on contact. Deposition ice nucleation is adsorption of water  
15 vapor on the IN surface as ice, and condensation ice nucleation is condensation of water vapor as  
16 liquid layer on the IN, which then freezes at the same temperature. Deposition ice nucleation is  
17 somewhat different, since the water molecules from the gas phase have to be arranged, while in  
18 the other modes freezing occurs in the liquid phase. Consequently, some particles that have  
19 shown ice nucleation activity (INA) in the other three modes are inactive in the deposition mode  
20 (Diehl et al., 2001, Diehl et al., 2002). Condensation and deposition mode depend additionally on  
21 atmospheric pressure and humidity, which play no role, if ice nucleation occurs in pre-existing  
22 droplets. For condensation mode activity, the IN additionally has to carry hygroscopic functional  
23 groups, which also make it an efficient cloud condensation nucleus (CCN). Since all four modes  
24 are theoretical models, they are permanently under discussion. Debates go so far as to question  
25 not only the real-life relevance, but also the existence itself of some modes. For example, one  
26 could claim that a condensation IN is consecutively acting as a CCN and an immersion IN  
27 (Fukuta and Schaller, 1982, Wex et al., 2014). In light of this debate we focus only on immersion  
28 freezing.

29

### 30 **S1.4 Water activity**



1 It is possible to view INA in the light of the water activity ( $a_w$ ). The thermodynamic freezing and  
2 melting temperature of water ( $T_m$ ), which is independent of insoluble INs, is a function of  $a_w$ . A  
3 reduction of  $a_w$  due to the addition of solutes leads to a freezing point depression, as it is  
4 illustrated in Fig. S1. The effective freezing / ice nucleation temperature shows the same  
5 dependence on  $a_w$ , but is horizontally shifted relative to the  $T_m(a_w)$ -curve (Zobrist et al., 2008,  
6 Koop and Zobrist, 2009). The distance between the ice nucleation and melting curve at a given  
7 temperature is named  $\Delta a_w$ , which is the measure of the INA of a water sample. For example, for  
8 the homogeneous freezing on IN-free samples,  $\Delta a_w$  is about  $0.31 \pm 0.02$  (Koop et al., 2000, Koop  
9 and Zobrist, 2009). The addition of IN in the water leads to a horizontal shift of the ice  
10 nucleation curve towards the melting curve, or a reduction in  $\Delta a_w$ . In the experiment, a  
11 nucleation spectrum of a water droplet ensemble with given INA and a given  $a_w$  is like a vertical  
12 trajectory going through the phase diagram in Fig. S1 from top to bottom. Therefore, the ice  
13 nucleation temperature depends on both the present INs and  $a_w$ .

14 Instead of assigning a certain ice nucleation temperature to a sample, it is more accurate for  
15 stochastic, time-dependent INs to assign nucleation rate coefficients  $J(T, a_w)$ , which increase with  
16 decreasing  $T$  and increasing  $a_w$  (Knopf and Alpert, 2013). Therefore, one can add  $J$  contour lines  
17 to Fig. S1, which show the same shape as the thermodynamic and the homogeneous freezing  
18 curve (Koop et al., 2000, Attard et al., 2012, Knopf and Alpert, 2013). This means that from the  
19 thermodynamic freezing line to the homogeneous freezing line we have a gradient of increasing  
20  $J$ . Accordingly, cooling is a steady increase in  $J$ . This makes  $J$  independent of the absolute  
21 freezing temperature, and therefore of the IN type.

22

### 23 **S1.5 Motivation for expression of biological INMs**

24 There are several theories addressing the question of why some organisms produce IN. Overall,  
25 it is proposed that INA is a form of adaption for survival or enhanced fitness in cold  
26 environments. More than 80% of the total biosphere volume is exposed to temperatures below  
27 278 K, thriving either in the oceans or in frosty regions (Christner 2010). Also in temperate  
28 climate zones, temperatures can regularly drop below the freezing point. The formation of ice  
29 crystals can pierce cell walls and membranes, which leads to loss of cell fluids. Consequently,  
30 adaptations for either avoiding or managing freezing make sense for the many species that are

1 exposed to such hostile conditions. The correlation between the INA of bacteria and the  
2 geographic latitude that was found by Schnell and Vali (1976) supports the idea of a selective  
3 advantage for organisms with INA in cold environments. For the  $\gamma$ -Proteobacteria the gene for  
4 the BINM most likely originates from the common ancestor of this class of bacteria and  
5 therefore has been part of the genome of these organisms for at least 0.5 to 1.75 billion years  
6 (Morris et al., 2014). To be maintained for this length of time, the gene is likely to be under  
7 positive natural selection because it confers a fitness advantage. The possible advantages that  
8 have been proposed are:

- 9 (i) Nutrient mining (Lindow et al., 1982): Highly active INMs were mainly found in  
10 plant pathogenic species (bacteria, *Fusarium*, rust fungi) or in lichen. By inciting the  
11 growth of ice crystals, these organisms can essentially “dig” into the substrate on  
12 which they are growing (mainly plant tissues, but also rocks in the case of lichens),  
13 thereby acquiring nutrients.
- 14 (ii) Cryoprotection (Krog et al., 1979, Duman et al., 1992): The INA of plants and  
15 animals, but possibly also of lichens, is protective against frost injury. Ice growth in  
16 organisms is dangerous, because it ruptures the sensitive cell membranes thereby  
17 damaging or killing the cells. If the ice is formed on a less sensitive location, such as  
18 outside of the cells (e.g. in intercellular fluids), the danger of frost injury is far lower.  
19 Forming ice on the INMs prevents further ice formation at other places – partly  
20 because of the change in water activity, but also due to the release of crystallization  
21 heat, which prevents a further temperature decrease. This might explain why most  
22 known biological INMs are extracellular (see Table 1), and why they are active at  
23 such high temperatures, where the heat of fusion is sufficient to warm the cells to  
24 survivable temperatures.
- 25 (iii) Water reservoir (Kieft and Ahmadjian, 1989): Ice crystals might serve as water  
26 storage in cold and dry environments. The form stability of ice and its low vapor  
27 pressure reduce the potential loss of water in comparison to the loss from liquid water  
28 droplets.
- 29 (iv) Cloud seeding to assure deposition (Morris et al., 2008, 2013a, 2013b): The lifecycles  
30 of some species involve long distance dissemination that takes them up into clouds  
31 but where they will not proliferate unless they return to Earth’s surface. Particles that

1           attain cloud height are generally too small to deposit due to their own weight.  
2           Therefore, they require means of active deposition, such as precipitation that forms  
3           from ice initiated in clouds via ice nucleation.

4       (v) Incidental (Lundheim 2002): In some cases, INA was detected where it cannot be  
5           explained by any reason. In this case, the INA might be an accidental property of a  
6           bioparticle that has another function in the organism. For example, the low density  
7           lipoproteins in human blood show INA, although their purpose lies in fat metabolism.

8   Advantages (i) and (ii) might be distinguishable by the freezing temperature (Duman et al.,  
9   1992): Since (i) demands ice formation as soon as possible, and the formation of few large ice  
10   crystals, such INMs are active at a very high temperature. On the other hand, type-(ii)-INMs are  
11   active at lower temperatures, only before other parts of the organism would start freezing.  
12   Furthermore, less efficient IN favor formation of smaller, less sharp and damaging ice crystals  
13   than those formed by type-(i)-INMs.

14

## 15   **S1.6 Mineral dust IN**

16   Apart from biological INMs, some types of mineral dust and soot have shown INA in different  
17   laboratory experiments (e.g. Murray et al., 2012), what might make them relevant for  
18   atmospheric ice formation.

19   Among mineral dust, potassium feldspar and fluorine phlogopite (a type of potassium micas)  
20   showed by far the highest INA (Shen et al., 1977, Atkinson et al., 2013, [Augustin-Bauditz et al.,](#)  
21   [2014](#), Zolles et al., 2015). The reason for this higher accentuated activity compared to other  
22   closely related minerals is thought to be due to the potassium cations, whose hydration shell  
23   density matches that of ice. In contrast, the hydration shells of sodium and calcium ions are far  
24   tighter due to the higher ion charge density. So they likely disturb the ice-like water molecule  
25   arrangement, while potassium is neutral or supportive (Shen et al., 1977). It should be pointed  
26   out that this hypothesis is not valid for low molecular weight compounds. Soluble potassium  
27   salts (e.g. KCl, KNO<sub>3</sub>, etc.) lead to a freezing point depression, as do salts with other cations. In  
28   the crystal lattice of feldspar the ions are fixed in a confined geometry that seems to match the  
29   ice crystal lattice. This probably causes the INA. Other ions with the same charge and the  
30   approximately same diameter, for example ammonium, might also have a favorable effect on the

1 INA. It is interesting to note that several studies suggest that traces of ammonium contaminants  
2 in silver iodine increase its INA (e.g. Corrin et al., 1964, Steele and Krebs, 1966, Bassett et al.,  
3 1970).

4

## 5 **S2 Details about methods**

### 6 **S2.1 Molecular modeling**

7 The insect antifreeze protein (AFP) from the beetle *Tenebrio molitor* was simulated (see Fig. 1c).  
8 The 8.4 kDa AFP is composed of 12-residue repeats and is stabilized by disulfide- bonds in the  
9 core of the protein. A defined structure of six parallel beta-sheets built up from the sequence  
10 TCT shows a high ordered surface to the water. The starting structure was taken from the *Protein*  
11 *Data Bank* (Liou et al., 2000), protonated with “prontonate3d” from the MOE2013.08 modeling  
12 package, and solvated in TIP4P-2005 water (Abascal and Vega, 2005) with 12 Å wall separation.  
13 Minimization and equilibration were performed according to Wallnoefer et al. (2010). Then 100  
14 nanoseconds of NpT (isothermal and isobaric) molecular dynamics simulation at 220 K were  
15 recorded using an 8 Å cutoff for non-bonded interaction and the *Particle Mesh Ewald* algorithm  
16 for treating long-range electrostatics (Darden et al., 1993).

17 Water Analysis: Snapshots were taken every picosecond, and water density was estimated as  
18 described by Huber et al.(2013). Afterwards, the most likely water positions were extracted.  
19 During the simulation of 1EZG a very well structured first layer of water, which we colored blue,  
20 could be observed. Water less structured than the first layer was colored red.

21

### 22 **S2.2 Size exclusion chromatography**

23 High-purity water (18.2 MΩ·cm) was taken from an ELGA LabWater system (PURELAB Ultra,  
24 ELGA LabWater Global Operations, UK). Ammonium acetate (NH<sub>4</sub>Ac; ≥ 98%, puriss p.a.), DL-  
25 dithiothreitol (DTT; > 99%), iodoacetamide (IAM; ≥ 99%), 2,2,2-trifluoroethanol (TFE; ≥ 99%,  
26 ReagentPlus), ammonium bicarbonate (NH<sub>4</sub>HCO<sub>3</sub>; ≥ 99%, ReagentPlus), Trypsin from porcine  
27 pancreas (proteomics grade) and protein standard mix (15–600 kDa) were obtained from Sigma  
28 Aldrich, Steinbach, Germany. Formic acid (FA; > 99%, for analysis) was from Acros Organics,  
29 Geel, Belgium. Guanidinium chloride was from Promega, Madison, WI, USA.

1 The HPLC-DAD system consisted of a binary pump (G1379B), an autosampler with thermostat  
2 (G1330B), a column thermostat (G1316B), and a photo-diode array detector (DAD; G1315C)  
3 from Agilent Technologies (Waldbronn, Germany). Chemstation software (Rev. B.03.01,  
4 Agilent) was used for system control and data analysis. A size exclusion column (Agilent Bio  
5 SEC-3, 300 Å, 4.6 x 150 mm, 3 µm particle size) with exclusion limits of 5 kDa to 1.25 MDa  
6 was used for chromatographic separation. 50 mM NH<sub>4</sub>Ac in ultrapure water (pH 6.7) was used  
7 as the eluent. Isocratic analyses with a runtime of 10 min were performed at 303 K with a flow  
8 rate of 350 µL min<sup>-1</sup>. After each measurement the column was flushed for 5 min with the same  
9 eluent before the next run. Absorbance was monitored at wavelengths of 220 and 280 nm. The  
10 sample injection volume was 40 µL. Sample fractions were collected at different retention time  
11 intervals corresponding to different molecular weight intervals as shown in Table S1. Molecular  
12 weights are calculated according to a protein standard mix with four calibration points ranging  
13 from 15 to 600 kDa. To get rid of the residues from the birch pollen extract, the column was  
14 cleaned after each work day with 6 M guanidinium chloride overnight, and then with pure water.

15 The protocol for the protein digestion was as follows: 5 µL of a 100 mM NH<sub>4</sub>HCO<sub>3</sub> solution and  
16 5 µL TFE were added to 100 µL of sample. Then 0.5 µL 200 mM DTT solution were added, the  
17 sample was briefly vortexed and then incubated for 1 h at 333 K to denature the proteins. After  
18 letting the sample cool to room temperature 2 µL of 200 mM IAM solution were added and the  
19 sample was allowed to stand for 1h in the dark (covered with aluminum foil) to alkylate the  
20 protein cysteine residues. The sample was allowed to stand for another hour in the dark after  
21 adding 0.5 µL 200 mM DTT solution to destroy excess IAM. Now 60 µL autoclaved water and  
22 20 µL 100 mM NH<sub>4</sub>HCO<sub>3</sub> solution were added to adjust the sample pH for digestion. Two  
23 microliters of 1 µg/µL Trypsin in 50 mM acetic acid was added and the sample was incubated at  
24 310 K for 18 h. To stop the digestion 0.5 µL FA were added. The procedure for the treatment of  
25 samples and controls is given in Table 2.

26

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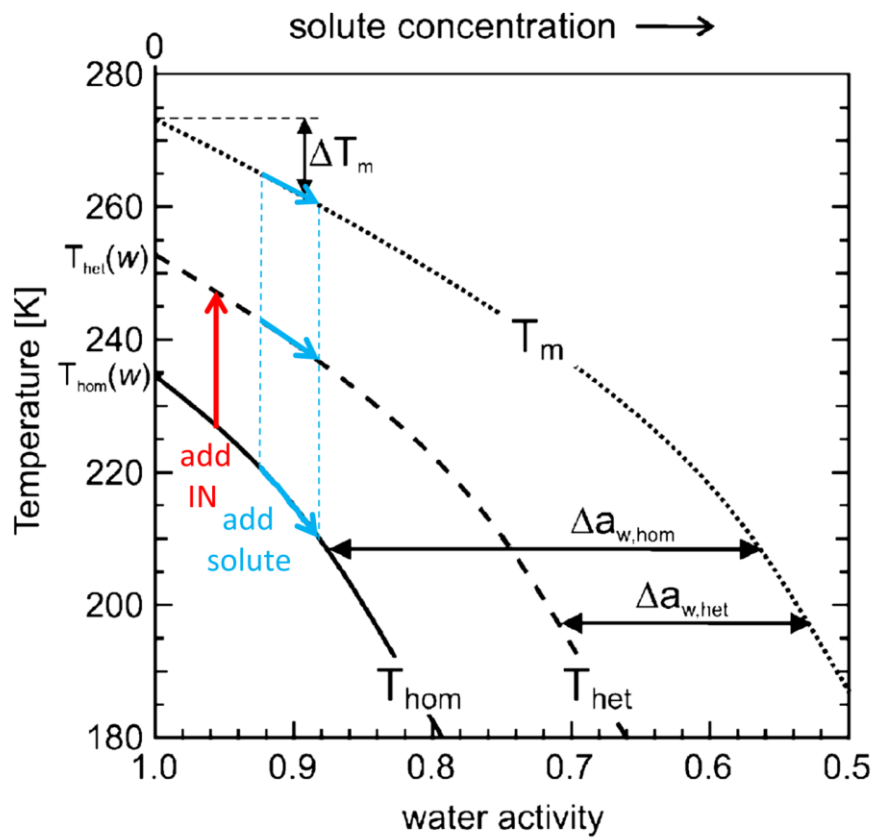
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Elution time [min]	Mass range [kDa]
2.8–3.5	335–860
3.5–4.5	50–335
4.5–5.2	13–50
5.2–6.0	5–13
6.0–7.5	<5

1 Table S1: Sample fractions collected for INA tests and corresponding approximate molecular  
2 weights as estimated by calibration with standards. Although all fractions contained INMs,  
3 the first fraction contained the highest number concentration.



1

2 Figure S1: Correlation between  $a_w$  and  $T$ , based on Koop and Zobrist (2009). The vectors show  
 3 the impact of INs (red) and freezing point depressing solutes (blue).