

Reviewer # 1

Author Response (AR): Firstly, thank you very much for taking the time to review the manuscript and providing excellent comments and suggestions to improve the manuscript!

Reviewer comment (RC): Table 7 is not required. The RMSE values from this table can be added to the respective Figures 5, 6, 7 and 8. The n, R² and bias values from this table are already on the relevant figures and the mean obs and mean predicted data do not provide useful insight – the nature of the scatter plots in the figures provide the informative insight into model performance.

AR: Indeed, as you point out it is really not required. I have removed Table 7 and blended the RMSE detail into the Figures 5, 6, 7 and 8 as requested. I have also added the C.I. (95%) of the slope and intercept to the Figures as shown in the example below. I have created on Figure panel, Fig.1 (a), (b), (c) and (d) that contains all of the four original scatter plots. The axis scales are also all the same to make interpretation easier.

P24058, l25. I have removed the sentence ‘Table 7 summarizes the four receptor model parameters used for predicting PM_{2.5} during the BORTAS-B experiment’ as it is now redundant.

P24058, l26. Because of the above change I altered ‘Table 8’ to ‘Table 7’ to now read ‘Table 7 presents the woodsmoke source apportionment descriptive statistics for each receptor model.’

RC: P24045, l25: It is not clear what is meant by the statement that burning forests is a significant source of secondary trace gases. By definition, secondary species are not emitted. Is it meant that burning forests is a significant source of precursor gases for formation of secondary components of PM? Rephrase as appropriate.

and

RC: P24045, l26: The phrasing that forest fires are a source of ‘size-resolved particulate matter’ also does not make scientific sense. Rephrase along the lines of “: : .and particulate matter (PM) of different size fractions to the: : .”

AR: Thank you, very good advice.

P24045, l26: I have changed the sentence to simply read ‘The burning of these forests is a significant source of gases and airborne particulate matter (PM) of different size fractions (Drysdale, 2008).

RC: P24046, l17: Change “will explore” to “explores”.

AR: P24046, l17: I have changed “will explore” to “explores”.

RC: P24046, l20: Rephrase the start of the sentence more directly as: “A number of different receptor modelling approaches are utilized...”

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AR: P24046, l20: I have changed the sentence to read ‘A number of different receptor modelling approaches are utilized for the source apportionment of PM_{2.5}, e.g. multivariate least squares factor analysis approaches such as Positive Matrix Factorization (PMF), Pragmatic Mass Closure (PMC) methods and Chemical Mass Balance (CMB) source profile techniques (Gibson et al., 2013b, 2009; Ward et al., 2004; Gugamsetty et al., 2012; Harrison et al., 2011).

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RC: P24048, l17 & l18: Rephrase to avoid repetition of “quantitative comparison”

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AR: I have changed the sentence on P24048, L17 to read ‘The objective is to determine the ability of these models to predict overall PM_{2.5} mass and the contributions of minor components.’

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RC: P24048, l27: Replace “DGS” here with “Dalhousie Ground Station (DGS)”, as this is the first point in this section when DGS is used.

AR: P24048, l27. As requested, I have replaced DGS with “Dalhousie Ground Station (DGS)”

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RC: P24049, l2: Replace “Dalhousie Ground Station (DGS)” with “DGS”.

AR: P24049, l2: I have replaced “Dalhousie Ground Station (DGS)” with “DGS”.

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RC: P24048, l5: *< Should be P24049, l4.* Delete the sentence beginning “The PM_{2.5} mass...”; this sentence restates what the reader already knows about this paper.

AR: P24049, l4: I have deleted the sentence ‘The PM_{2.5} mass and chemical components were used in the four receptor models presented here.’

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RC: P24049, l8: delete the word “ion” (It is superfluous as the chemical formula shows it is an ion; also the word ion is not used after nitrate).

AR: P24049, l8: I have deleted the word ‘ion’ after ‘ammonium’.

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RC: P24049, l9: delete “ion”.

AR: P24049, l9: I have deleted the word ‘ion’ after ‘sulfate’.

RC: P24051, l9: reverse the words “PM_{2.5}” and “the” to make grammatical sense.

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AR: P24051, l9: I have reversed the words “PM_{2.5}” and “the”.

RC: P24051, l11: The sentence beginning “With the GEOS-5: : .” does not make grammatical sense. Rephrase.

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AR: P24051, l11: I have rephrased the sentence to read ‘The GEOS-5 forecast model also provided additional evidence that upwind wildfire PM_{2.5} impacted the surface in Halifax during the BORTAS-B experiment.

100 **RC:** P24051, l15-l17: The two sentences of this opening paragraph can be deleted as simply repeating what the reader already knows about the work in this paper.

AR: P24051, l15-l17: I have deleted the two sentences ‘In this study, we compare the results of four receptor models for estimate the source of PM_{2.5} aerosol to Halifax during the BORTAS-B campaign. We describe these four models here.’

105 **RC:** P24051, l20-l23: The two sentences starting “Leveglocosan was added....” and “The addition of levoglucosan....” repeat almost the same thing. Replace with a single sentence.

AR: P24051, l20-l23: I have replaced the two sentences with ‘In this manuscript, levoglucosan was added to the previously modelled PM_{2.5} speciated data as a means to unambiguously identify the presence of woodsmoke (Gibson et al. 2013b)’.

110 **RC:** P24052, l7: Replace “included” with “were”.

115 **AR:** P24052, l7: I have replaced “included” with “were” so that the sentence will read ‘In the previous manuscript by Gibson et al. (2013b), six major sources were identified and were Long-Range Transport (LRT)’

RC: P24054, l19: Add the unit after the bias value 1.3.

120 **AR:** P24054, l19: The sentence now reads ‘..... bias of 1.3 $\mu\text{g m}^{-3}$.’

RC: P24054, l23: The sentence starting “From Fig. 3: :” does not make grammatical sense. Rephrase.

125 **AR:** P24054, l23: I have changed the sentence ‘From Fig. 1 c) *<note new Fig. number>* it can be seen that the CMB intercept was located at $-0.53 \mu\text{g m}^{-3}$, a slope of 1.0, R^2 of 0.88 and a bias of 4.3.... to read ‘It can be seen in Fig. 1 c) that the CMB model intercept was located at $-0.53 \mu\text{g m}^{-3}$, it has a slope of 1.0, an R^2 of 0.88 and a bias of $4.3 \mu\text{g m}^{-3}$.’

130 **RC:** P24054, l25: Add the unit after the bias value 4.3.

AR: P24054, l25: I have added the unit after the bias value 4.3 so that the sentence reads ‘...CMB model intercept was located at $-0.53 \mu\text{g m}^{-3}$, it has a slope of 1.0, an R^2 of 0.88 and a bias of $4.3 \mu\text{g m}^{-3}$.’

135 **RC:** P24054, l28: Insert “for” before “CMB”.

AR: P24054, l28: I have inserted “for” before “CMB” so that the sentence reads ‘While the PMF bias is better than for CMB,’

140 **RC:** P24055, l3: I don’t think “parsimonious” is the appropriate word here. Parsimonious indicates most restricted or most efficient. Perhaps most “useful” is more appropriate.

145 **AR:** P24055, l3: Thank you. I have changed the sentence to read ‘However, because PMF predicts the PM_{2.5} mass on all sample days, has a slope of 0.88 and the ability to predict very low PM_{2.5} mass concentrations, often seen in Halifax, in these respects it is the most useful

of the four receptor models.'

150 **RC:** P24055, l9: The start of this sentence can be written more directly as "Tables 3-6 show That..."

AR: P24055, l9: I have changed the sentence to read 'Tables 3–6 show that the four receptor models identify different number and type of PM_{2.5} source respectively, e.g.....'

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RC:P24055, l10: < *should be P24056, l10:* The word "co-vary" is probably better hyphenated, otherwise it looks odd.

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AR:P24055, l10: < *should be P24056, l10:* I have changed the sentence to read 'Conversely, PMF and APCS have other mass contributions that co-vary with the LRT....'

RC: P24056, l14: The sentence starting "Because..." is too long. Suggest starting a new sentence after "source" with "Instead, the LRT..."

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AR: P24056, l14: I have split the one sentence into two as suggested to now read. 'Because of co-varying species associated with the LRT NH₄NO₃ in the APCS and PMF models, NH₄NO₃ could not be factored into a pure apportioned source. Instead, the LRT NH₄NO₃ in both APCS and PMF is also associated with other LRT species, e.g. OM, BC, Na and is referred to as LRT Marine Mixed PM_{2.5} as the NH₄NO₃ was likely mixed with aged marine aerosol as the air mass crossed the Gulf of Maine and the Bay of Fundy en route to Halifax.

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RC: P24056, l23: Replace "related to" with "from".

AR: P24056, l23: I have replaced "related to" with "from".

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RC: P24057, l4: The sentence beginning "To identify..." is both too long and doesn't make grammatical sense. Rewrite.

AR: P24057, l4: I have re-written the sentence to read "To help identify upwind forest fire source regions, we used a combination of visible MODIS satellite images, MODIS fire hot spot maps, 5 day HYSPLIT air mass back trajectories (Gibson et al., 2013b), FLEXPART air mass trajectories (Stohl et al., 2005) chemical transport models (Palmer et al., 2013), Raman Lidar (Bitar et al., 2010) and aircraft measurements (Palmer et al., 2013). Together, these approaches helped corroborate the woodsmoke event impacting Halifax on 21 July.

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RC: P24057, l12: The "(c)" should come after "DGS".

AR: P24057, l12: The "(c)" has been moved to come after "DGS".

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RC: P24057, l20: Replace the word "parsimonious" with a more appropriate word.

AR: P24057, l20: I have replaced the word "parsimonious" with 'useful'.

RC: P24057, l22: Should read "contained in"?

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AR: P24057, l22: I have changed 'contain in' to read "contained in".

RC: P24057, l28: USA is the name of the country, so better to replace “from the NE US” with “from NE USA”.

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AR: P24057, l28: I have changed “from the NE US” to read “from NE USA”.

RC: P24058, l2: Replace “from the NE US” with “from NE USA”.

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AR: P24058, l2: I have changed “from the NE US” to read “from NE USA”.

RC: P24058, l4: Replace “from the NE US” with “from NE USA”.

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AR: P24058, l4: I have changed “from the NE US” to read “from NE USA”.

RC: P24058, l11: Two sentence here can be joined and many words cut: “.... .come from the aircraft column profiles for CO, acetonitrile and aerosol backscatters shown in Figure 10d.”

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AR: P24058, l11: I have combined the two sentences to now read ‘Figure 5d shows aircraft column profiles for CO, acetonitrile and aerosol backscatter, which provide further forensic evidence of woodsmoke impacting the DGS in Halifax.’

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RC: P24058, l25: See comment above, delete Table 7 and reference to it, and add (and cite to) statistical data to Figures 5-8 as appropriate.

AR: P24058, l25: I have deleted Table 7 and placed the RMSE values in Figures 1 (a-d) panel as requested earlier. I have removed the sentence ‘Table 7 summarizes the four receptor model parameters used for predicting PM_{2.5} during the BORTAS-B experiment.’

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The new sentence reads ‘Details of the performance parameters related to the four receptor models are provided in Figure 1 (a-d).’ Please note that Figures 5-8 have been merged into a Figure panel (now Fig. 3 a-d) as per reviewer 2 recommendation.

RC: P24059, l9: < should be l8. Insert “and its” before “known”.

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AR: P24059, l6: As requested, I have inserted “and its” before “known”. The sentence now reads ‘However, because of the PMF model’s better PM_{2.5} predictive capability (especially below 2.0 µg m⁻³) and clear woodsmoke marker source identification, and its known statistical robustness over APCS, its results are likely the most accurate of the four models.

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RC: P24059, l27: Delete “relative” (the sentence presents absolute PM_{2.5} contributions, not relative contributions).

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AR: P24059, l27: I have deleted “relative” from the sentence to now read ‘The median (min : max) woodsmoke contribution to PM_{2.5} estimated using PMF was found to be 0.14....’

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Reviewer #2

250 Firstly, thank you for taking the time to conduct the review and for providing excellent advice and comments to improve the manuscript.

RC: Abstract: It would be beneficial to include a brief quantitative summary of key metrics of model performance to illustrate why one model is preferred over the others etc.

255 **AR:** I have inserted a sentence in the abstract on P24045, l11 between '... receptor model.' ... and 'The results indicate.....'
To read as follows.

260 'The performance of the four receptor models was assessed on their ability to predict the observed PM_{2.5} with an R^2 close to 1.0, an intercept close to zero, a low bias and low RSME.

If this puts the abstract over the word limit this same sentence appears again in the materials and methods (see below).

265 **RC:** Methods: It would be useful to highlight which combinations of the metrics of model performance are regarded as being indicative of suitability for the application.

AR: I have inserted a sentence in the methods section, P24053, l116, new paragraph.
270 'The performance of the four receptor models was assessed on their ability to predict the observed PM_{2.5} with an R^2 close to 1.0, an intercept close to zero, a low bias and low RSME. In addition, suitability was also based upon the models ability to closely predict the observed PM_{2.5} during low, median and elevated concentrations.'

275 **RC:** Results & Discussion: It would be more succinct and would aid comparison between models to combine Tables 4-6.

AR: Thank you for the suggestion. However, because the models identify and quantify different numbers of sources, types of sources and with different chemical species found in some of the predicted sources, there is no utility in combining the four tables. An
280 explanation of this is given on P24055, l 11. 'The reason for the different number of sources identified by each model is due to the different inherent methodology by which each model generates the source identification.'

285 **RC:** The data in Table 7 could be incorporated within Figs 1-4.

AR: Indeed, reviewer 1 also wished for Table 7 to be removed and the information it contained incorporated into Figs 1-4. This has been done.

290 **RC:** It would be more succinct and would aid comparison between models to combine Figs 1-4 in a composite figure with 4 plots. It would also be beneficial to keep the axis scale ranges the same in each plot to aid comparison.

AR: I have changed the y-axis scales to match and created a panel of the four scatter plots, Now entitled 'Fig 1. Comparison of the four receptor model predicted PM_{2.5} versus the observed PM_{2.5} a) Absolute Principal Component Scores (APCS) vs. observed PM_{2.5} b) Pragmatic Mass Closure (PMC) vs. observed PM_{2.5} c) Chemical Mass Balance (CMB) vs. observed PM_{2.5} and d) Positive Matrix Factorization (PMF) vs. observed PM_{2.5}

RC: It would be more succinct and would aid comparison between models to combine Figs 5-8 in a composite figure with 4 plots. It would also be beneficial to keep the axis scale ranges the same in each plot to aid comparison.

AR: Note there is a new Fig 2. PMF chemical species source factor profiles.

I have combined the Figs 5-8 into one panel, now Fig 3. Comparison of the four receptor model source apportionment timeseries a) Time series of the relevant source contributions to PM_{2.5} estimated by Absolute Principal Component Scores (APCS) b) Time series of the relevant source contributions to PM_{2.5} estimated by Pragmatic Mass Closure (PMC) receptor model time series c) Time series of the relevant source contributions to PM_{2.5} estimated by Chemical Mass balance (CMB) and d) Time series of the relevant source contributions to PM_{2.5} estimated by Positive Matrix Factorization (PMF).

RC: There is limited interpretation of the data in Figs 5-8; hence it would appear to be of benefit to include further discussion of these figures. The existing discussion of Ni and V concentrations is unclear.

AR: The interpretation of the trends in the sources (with the exception of woodsmoke) in Figs 3 (a-d) are provided in the previous paper (Gibson et al., 2013 ACP). This is stated on P24055, l6. Therefore there is no need to re-iterate here.

However, with reference to your comment regarding Ni and V. I have re-worded the sentences starting on P24055, l27 to read 'With reference to Fig. 3, the trace metal oxide values are worthy of note. This is because, within the PMC model, Ni and V are included in the calculation of the apportioned trace metal oxides. Where as, in the PMF and APCS models, Ni and V are used as unique chemical tracer elements of ship emissions. Because of the inclusion of Ni and V in the trace metal oxide apportioned source, it is not possible for the PMC model to apportion ship emissions.

RC: I'm not convinced by the authors' suggestion that models with intercepts in mod-obs plots are 'not able' to predict below the intercept value. The prediction can presumably be made from the regression line in the mod-obs plots with a quantifiable metric of uncertainty (which could be given and which is different from being 'not able'). Similarly it would be more appropriate to refer to the 'lowest' rather than the 'best' intercept.

AR: Indeed, a very good point!

I have provided the metric of uncertainty for each intercept and changed best to lowest in the sentence below.

P24054, l21. I have changed the sentence to read ... 'From Fig. 1 a) and Fig. 1 b) it can be seen the intercepts associated with both the APCS and PMC receptor models mean that they have difficulty predicting PM_{2.5} less than $2.0 \pm 1.2 \mu\text{g m}^{-3}$ and $2.0 \pm 0.2 \mu\text{g m}^{-3}$ respectively.

P24054, l26. I have changed the sentence to read ... 'From Fig. 1 d) it can be seen that the

PMF model has the lowest intercept ($-0.07 \pm 1.57 \mu\text{g m}^{-3}$).....'

345 P24054, l26. I have changed the sentence to read ... 'From Fig. 1 c) it can be seen that the CMB intercept was located at $-0.53 \pm 0.21 \mu\text{g m}^{-3}$, a slope of 1.0,

RC: Conclusions: The implications of the findings for wider research could be outlined in a clearer and more direct manner.

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AR: As per an earlier suggestion, on P24059, l20 I have changed the sentence to read.... 'It was found that APCS and PMC receptor models mean that they have difficulty predicting PM_{2.5} less than $2.0 \pm 1.2 \mu\text{g m}^{-3}$ and $2.0 \pm 0.2 \mu\text{g m}^{-3}$ respectively.

355 I have also looked at the conclusions in more detail. We have already made changes as per reviewer 1's recommendations. Without more direction from your good self we fail to see where we can make the conclusions any clearer or direct. Therefore, apart from the changes above, the conclusion remains the same.

360 **Note to Editor and Print Office**

Here is the full reference for Wheeler et al. (2014) that is now in print.

Wheeler, A. J., Gibson, M. D., Macneill, M., Ward, T. J., Wallace, L. A., Kuchta, J., Seaboyer, M., and Dabek-zlotorzynska, E.: Impacts of air cleaners on indoor air
365 quality in residences impacted by wood smoke, Environ. Sci. Technol., 48, 12157-12163, 2014.

I have also created a new figure that we feel is a valuable addition to the paper as shown below.

370

This new figure would be Figure 2. Therefore Figure 5-8, 9, 10, 11 and 12 would become Figures 3, 4, 5, 6 and 7 respectively.

375 P24052, l12. The sentence 'The PMF factor profile used to identify woodsmoke contained 99% of the levoglucosan mass.' Would be removed.

P24055, l5, new sentences added 'Figure 2 provides the PMF model output associated with the chemical species and their factor source profiles contributions. It can be seen in Fig 2. that the PMF factor profile used to identify woodsmoke contained
380 90% of the total levoglucosan mass.

Therefore, the following minor changes would need to be made to the manuscript incorporate the new figure.

385 P24055, l5, 'Figures 5–8 provide ...' would be changed to 'Figures 3 a-d) provide ...'

P24055, l7, '... with Figs. 5–8 are ...' changed to '...with Figs. 3 a-d) are ...'

390 P24055, l27, '... reference to Fig. 6,' changed to '...reference to Fig. 3 b),"

P24056, l22 '....series plot shown in Fig. 9.' Changed to '....series plot shown in Fig. 4.'

P24056, l22 '....feature of Fig. 9....' Changed to '....feature of Fig. 4 is'

395 P24056, l28 '....seen from Fig. 9 that' Changed to '....seen from Fig. 4 that'

P24057, l9. 'Figure 10 provides ...' changed to 'Figure 5 provides ...'.

P24057, l15. 'Figure 10a shows ...' changed to 'Figure 5a shows ...'.

400 P24057, l19. '... in Fig. 10a are ...' changed to '.... In Fig. 5a are ...'.

P24057, l20. 'Fig5' changed to 'Fig 3 a)'.

405 P24057, l22. '...in Fig. 10.' changed to '... in Fig 5.'

P24057, l23. '...in Fig. 8.' changed to '... in Fig 3 d), it can ...'

P24058, l2. '...from Fig. 10b that....' changed to '... from Fig. 5b that ...'

410 P24058, l3. '...in Fig. 10 a.' changed to '... in Fig. 5a.'

P24058, l6. '... timeseries (Fig. 8) was ...' changed to '...timeseries (Fig. 3 d)) was...'

415 P24058, l8. '...from Fig. 10c that...' changed to '...from Fig. 5c that...'

P24058, l11. '...in Fig. 10d.' changed to '...in Fig. 5d.'

P24058, l11. 'Fig. 10d shows ...' changed to 'Fig. 5d shows'

420 P24058, l13. '... in Fig. 10d points ...' changed to '... in Fig. 5d points'

P24058, l14. 'Figure. 11 provides' changed to 'Figure 6 provides....'

425 P24058, l17. '... shown in Fig. 9 and 10.' changed to '... shown in Fig. 4 and 5.'

P24058, l18. '... shown in Fig. 9 on ...' changed to '... shown in Fig. 4 on ...'

P24058, l19. '... seen in Fig. 12 where ...' changed to '... seen in Fig. 7 where ...'

430

MARKED UP VERSION OF MANUSCRIPT

A comparison of four receptor models used to quantify the boreal wildfire smoke contribution to surface PM_{2.5} in Halifax, Nova Scotia during the BORTAS-B experiment

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Additions and corrections highlighted in yellow

Abstract

This paper presents a quantitative comparison of the four most commonly used receptor models, namely Absolute Principal Component Scores (APCS), Pragmatic Mass Closure (PMC), Chemical Mass Balance (CMB), and Positive Matrix Factorization (PMF). The models were used to predict the contributions of a wide variety of sources to PM_{2.5} mass in Halifax, Nova Scotia during the Quantifying the impact of BOREal forest fires on Tropospheric oxidants over the Atlantic using Aircraft and Satellites (BORTAS) experiment. However, particular emphasis was placed on the capacity of the models to predict the boreal wild fire smoke contributions during the BORTAS experiment. The performance of the four receptor models was assessed on their ability to predict the observed PM_{2.5} with an R^2 close to 1.0, an intercept close to zero, a low bias and low RSME. Using PMF, a new woodsmoke enrichment factor of 52 was estimated for use in the PMC receptor model. The results indicate that the APCS and PMC receptor models were not able to accurately resolve total PM_{2.5} mass concentrations below 2.0 $\mu\text{g m}^{-3}$. CMB was better able to resolve these low PM_{2.5} concentrations, but it could not be run on 9 of the 45 days of PM_{2.5} samples. PMF was found to be the most robust of the four models since it was able to resolve PM_{2.5} mass below 2.0 $\mu\text{g m}^{-3}$, predict PM_{2.5} mass on all 45 days, and utilized an unambiguous woodsmoke chemical tracer. The median woodsmoke relative contribution to PM_{2.5} estimated using PMC, APCS, CMB and PMF were found to be 0.08, 0.09, 3.59 and 0.14 $\mu\text{g m}^{-3}$, respectively. The contribution predicted by the CMB model seems to be clearly too high based on other observations.

The use of levoglucosan as a tracer for woodsmoke was found to be vital for identifying this source.

490

1 Introduction

It has been estimated that between 1990 and 2011 wildfires have consumed a median 1.7 million hectares yr^{-1} of Canadian boreal forest (data from Natural Resources Canada). The burning of these forests is a significant source of gases and airborne particulate matter (PM) of different size fractions (Drysdale, 2008).

The tropospheric trace gases and PM generated by wildfires are transported long distances with the potential to harm health and the environment 1000 km from their source (Palmer et al., 2013; Naeher et al., 2007; Franklin et al., 2014). During July 2011, the BORTAS (Quantifying the impact of BOREal forest fires on Tropospheric oxidants over the Atlantic using Aircraft and Satellites) experiment was conducted out of Halifax, Nova Scotia, Canada to investigate the impact of North American wildfires on the atmospheric chemistry of the troposphere (Palmer et al., 2013). Central to BORTAS-B was the operation of the UK BAe-146-301 Atmospheric Research Aircraft over Eastern Canada, which was used to characterize size-resolved particulate matter and trace gases in wildfire plumes advecting within the outflow from North America (Palmer et al., 2013). Column profile flights were also made above Halifax. In addition to the aircraft measurements there were a number of continuous and integrated surface and column observations of trace gases and size-resolved particulate matter composition made at Dalhousie University in Halifax. A description of the instrumentations and measurements

made at the Dalhousie University Ground Station (DGS) are provide in Palmer et al., (2013), Gibson et al., (2013b) and Franklin et al., (2014).

This paper explores the source attribution of boreal wildfire smoke (and other sources) to surface fine particulate matter $\leq 2.5 \mu\text{m}$ ($\text{PM}_{2.5}$) during the BORTAS-B experiment using four commonly used receptor models.

A number of different receptor modelling approaches are utilized for the source apportionment of $\text{PM}_{2.5}$, e.g. multivariate least squares factor analysis approaches such as Positive Matrix Factorization (PMF), Pragmatic Mass Closure (PMC) methods and Chemical Mass Balance (CMB) source profile techniques (Gibson et al., 2013b;Gibson et al., 2009;Ward et al., 2004;Gugamsetty et al., 2012;Harrison et al., 2011). The US Environmental Protection Agency's (USEPA) CMB receptor model has been used in many $\text{PM}_{2.5}$ source apportionment studies (Subramanian et al., 2007). The CMB receptor model uses a solution to linear equations that expresses each receptor chemical concentration as a linear sum of products of source fingerprint abundances and contributions (Ward et al., 2006b;Watson et al., 1994). The advantage of CMB is that it can be applied to individual 24 h PM mass and chemical composition. The disadvantage is that the technique relies heavily on available source profiles being representative of regional sources impacting the receptor, which is not always the case (Hellén et al., 2008;Ward et al., 2006b). One assumption of the CMB model is that chemical species emitted from a source are conserved during sampling, and that chemical species do not react with each other (Ward et al., 2006b). CMB is well suited for apportioning local or upwind sources of primary aerosols (those emitted directly as particles). To account for secondary aerosol contributions to $\text{PM}_{2.5}$ mass, ammonium sulfate and ammonium nitrate

are normally expressed as “pure” secondary source profiles, and represented by their
535 chemical form (Ward et al., 2006b). The USEPA CMB model has been applied to
numerous urban and rural PM_{2.5} source apportionment studies in environments impacted
by woodsmoke (Ward et al., 2012;Bergauff et al., 2009;Gibson et al., 2010;Ward et al.,
2006b).

Pragmatic Mass Closure is a very simple method and works well for the mass
540 closure of the major PM_{2.5} components, e.g. sea salt, secondary ions, surficial fugitive
dust, organic and elemental carbon (Gibson et al., 2009). A number of studies have used
PMC to apportion the major chemical species to PM mass (Yin and Harrison,
2008;Harrison et al., 2003;Gibson et al., 2009;Dabek-Zlotorzynska et al., 2011).

Another receptor model that has been used extensively in PM_{2.5} source
545 apportionment studies is Absolute Principal Component Scores (APCS) (Song et al.,
2006). APCS is a multivariate factorization based model developed by Thurston and
Spengler (1985) that is still widely used for the source apportionment of particulate
matter.

However, APCS can occasionally return negative mass contributions (Paatero and
550 Tapper, 1994). In order to overcome the negative source mass contribution problem,
Paatero and Hopke (2003) introduced a Positive Matrix Factorization (PMF) source
apportionment method in the late 1990’s (Paatero and Tapper, 1994). PMF has since
been applied widely to indoor, outdoor, urban, rural and regional PM_{2.5} source
apportionment studies (Gibson et al., 2013b;Harrison et al., 2011;Larson et al., 2004).

555 Chemical **tracers** can also be important when conducting source apportionment.
Both APCS and PMF rely on expert, *a priori* knowledge of chemical **tracers** found within

the PM_{2.5} chemical composition to identify the source of each PM_{2.5} component factor, e.g. high factor loadings of Al, Si, Ca and Fe are indicative of crustal re-entrained material (Song et al., 2006; Hopke, 1991; Gibson et al., 2013b). Many studies use levoglucosan (1,6-anhydro- β -D-glucopyranose) as an unambiguous chemical **tracer** of wildfire and residential woodsmoke (Gibson et al., 2010; Ward et al., 2012; Simoneit et al., 1999). Levoglucosan is derived from cellulose burning at temperatures greater than 300°C (Simoneit et al., 1999; Ward et al., 2006a). Potassium (K) is also a good tracer for woodsmoke and often used in conjunction with levoglucosan (Bergauff et al., 2010; Jeong et al., 2008; Urban et al., 2012). Other commonly used PM_{2.5} source chemical markers are described in Gibson et al., (2013b), Harrison et al., (2011) and Jeong et al., (2011). In addition, the source chemical profiles contained within SPECIATE 4.0 are another resource to aid in the identification of PM_{2.5} sources within a speciated PM_{2.5} sample (Ward et al., 2012; Jaekels et al., 2007; Gibson et al., 2013b).

This paper presents a quantitative comparison of the four most commonly used receptor models: APCS, PMC, CMB and PMF. The **objective is to determine the** ability of these models to predict overall PM_{2.5} mass and the contributions of minor components. The models are compared based on their ability to apportion boreal wildfire woodsmoke (and other sources) applied to a 45-day contiguous PM_{2.5} data set sampled at the DGS in Halifax during the BORTAS-B experiment. This dataset should provide sufficient variability and contributions of minor sources to permit a comprehensive comparison of the four receptor models.

2 Measurements

A full description of the PM_{2.5} speciated sampling methods employed for this paper are described in Gibson et al., (2013b). Additional supporting instrumentation used at the DGS during BORTAS-B are described in Palmer et al., (2013) and Franklin et al., (Franklin et al., 2014), but we will describe the most relevant sampling and analysis methods for this study here. In summary, 45, 24-h PM_{2.5} filter samples were collected at the DGS from 19:00 UTC on 11 July 2011 to 19:00 UTC on 26 August 2011. The PM_{2.5} mass and chemical components were used in the four receptor models presented here.

The PM_{2.5} chemical species used in the four receptor models included aluminum (Al), black carbon (BC), bromine (Br), calcium (Ca), chloride (Cl), iron (Fe), potassium (K), magnesium (Mg), sodium (Na), ammonium (NH₄⁺), nickel (Ni), nitrate (NO₃⁻), organic matter (OM), selenium (Se), sulfur (S), silicone (Si), sulfate (SO₄²⁻), vanadium (V) and zinc (Zn). The post sample chemical analysis, detection limits, data completeness, precision and bias for the PM_{2.5} chemical species listed above are described in detail in Gibson et al., (2013b). The PM_{2.5} mass filter weighing MDL was 20 µg filter⁻¹ (X. Feng, personal communication, 2014). For this paper, the woodsmoke marker levoglucosan was added to the above chemical species in order to unambiguously apportion the boreal forest wildfire woodsmoke contribution to PM_{2.5} at the DGS (Simoneit et al., 1999).

The levoglucosan-PM_{2.5} samples were collected using 47 mm diameter, pre-fired quartz filters. The quartz filters were obtained from Concord Analytical (8540 Keele Street, Unit 38, Concord, Ontario). The quartz filters were housed in a Thermo ChemComb sampler that operated at a flow rate of 10 L min⁻¹ over a 24 h period, synchronous with the other PM_{2.5} chemical speciation filter based sampling described in Gibson et al., (Gibson et al., 2013b). Each quartz filter was spiked with deuterated levoglucosan as an internal standard, placed in a covered vial, and allowed to stand for 30

minutes. The filter was then extracted by ultrasonication using ethylacetate containing 3.6 mM triethylamine. The extract was filtered, evaporated to dryness and derivatized with *N-O* bis(trimethylsilyl)trifluoroacetamide, trimethylchlorosilane, and trimethylsilylimidazole to convert the levoglucosan to its trimethylsilyl derivative. The extract was analysed by gas chromatography/mass spectrometry on a Hewlett-Packard GC/MSD (GC model 6890, MSD model 5973, Hewlett-Packard Company, Palo Alto, CA, USA) using an HP-5 MS capillary column. Splitless injection was employed. The levoglucosan and internal standard were detected by extracted ion signals at 217 and 220 m/z, respectively. Levoglucosan analysis recoveries for 100 ng to 2000 ng averaged 96 ±12 % (n = 18, ± 1 sigma). Six laboratory blanks were used to calculate an average levoglucosan blank concentration and the standard deviation and 95% confidence interval for the blank. The limit levoglucosan of detection (LOD) is reported as the average laboratory blank and was found to be 7.7 ng m⁻³ (Bergauff et al., 2008) level plus one 95% confidence interval for the blank. Local meteorological data at the DGS was collected using a Davis Vantage Pro II weather station (Davis Instruments Corp. Hayward, California 94545 USA). Further information on the meteorological sensors onboard the Davis Vantage Pro II and results are provided in Gibson et al., (2013b). In addition, a daily climatology review of synoptic meteorology in the greater Halifax Regional Municipality observed during the PM_{2.5} sampling is also provided in Gibson et al., (2013b).

HYSPLIT 10 day, 5 day and 2 day air mass back trajectories were used to identify the likely upwind source regions of PM_{2.5} (Gibson et al., 2013b). A plot of ensemble HYSPLIT back trajectories by source region during the sampling campaign is provided in

Gibson et al., (2013). From Gibson et al., (2013b) it was observed that 40% of the air masses entering Halifax during BORTAS-B originated from the marine sector, 16% from the SW (NE US), 27% from the WNW (Windsor-Québec source region) and 16% from the N. The SW cluster and WNW cluster appear to be mainly associated with boundary layer flow from known upwind source regions of PM_{2.5} that was mainly composed of ammonium sulfate ((NH₄)₂SO₄), ammonium nitrate (NH₄NO₃) and organic matter (up to 70% of the total PM_{2.5} mass).

Fire hotspot maps were used to identify active burning regions of Canada. MODIS hotspot locations from NASA (see <http://earthdata.nasa.gov/data/near-real-time-data/firms>) and AVHRR hotspots from NOAA FIMMA (see <http://www.ssd.noaa.gov/PS/FIRE/Layers/FIMMA/fimma.html>) were used to generate the fire hot spot maps (Giglio et al., 2003; de Groot et al., 2013).

A Raman Lidar was collocated with the DGS PM_{2.5} sampling (Palmer et al., 2013). The Lidar employs a high-energy Nd:YAG laser that emits pulses of 532 nm wavelength light at a repetition rate of 20 Hz. Two telescopes allow backscattered light to be collected separately from both the near (0–5 km) and far (>1 km) ranges. This allows the simultaneous measurement of aerosols in the boundary layer and free troposphere. Further details of the Raman Lidar are contained in Bitar et al., (2010). The Lidar was used to help guide the airborne atmospheric measurements BAe146 research aircraft into boreal forest wildfire smoke plumes passing over Halifax and to also confirm when aerosol impacted the surface during the PM_{2.5} sampling related to this manuscript (Palmer et al., 2013). The Lidar was also used to verify the GEOS-5 carbon monoxide (CO) forecast model output over Halifax (Palmer et al., 2013). The GEOS-5 forecast model

also provided additional evidence that upwind wildfire PM_{2.5} impacted the surface in Halifax during the BORTAS-B experiment.

655 3 **Receptor Models**

~~In this study, we compare the results of four receptor models for estimate the source of PM_{2.5} aerosol to Halifax during the BORTAS-B campaign. We describe these four models here.~~

660 We employed the Absolute Principal Component Scores method developed by Thurston and Spengler (1985) to determine the relative source contributions to the BORTAS-B PM_{2.5} mass. In this manuscript, levoglucosan was added to the previously modelled PM_{2.5} speciated data as a means to unambiguously identify the presence of woodsmoke (Gibson et al., 2013b). Principal Component Analysis (PCA) was performed
665 using IBM SPSS Statistics software on Al, BC, Br, Ca, Cl, Fe, K, Mg, Na, NH₄⁺, Ni, NO₃⁻, OM, S, Si, SO₄²⁻, V, Zn and levoglucosan. Eigenvalues greater than 1 were retained in the analysis. Using the varimax rotated coefficients and scaled concentrations it was possible to calculate the APCS values. Following the method of Thurston and Spengler (1985) the relative source contributions were then determined by multiple linear
670 regression on the measured concentrations. The developed linear regression equations could then be used to produce a time series plot and to identify the relative contributions of the various sources.

 We also used the USEPA PMF v3.0 receptor model for the source apportionment of the PM_{2.5} at the DGS. In the previous manuscript by Gibson et al., (Gibson et al.,

2013b), six major sources were determined and were Long-Range Transport (LRT) Pollution $1.75 \mu\text{g m}^{-3}$ (47%), LRT Pollution Marine Mixture $1.0 \mu\text{g m}^{-3}$ (27.9%), Vehicles $0.49 \mu\text{g m}^{-3}$ (13.2%), Fugitive Dust $0.23 \mu\text{g m}^{-3}$ (6.3%), Ship Emissions $0.13 \mu\text{g m}^{-3}$ (3.4%) and Refinery $0.081 \mu\text{g m}^{-3}$ (2.2%). The PMF model described 87% of the observed variability in total $\text{PM}_{2.5}$ mass (bias = $0.17 \mu\text{g m}^{-3}$ and RSME = $1.5 \mu\text{g m}^{-3}$) (Gibson et al., 2013b). ~~The PMF factor profile used to identify woodsmoke contained 95% of the levoglucosan mass.~~ The PMF model initialization procedure used in this paper was the same as described in Gibson et al., (2013b).

We also utilized the pragmatic mass closure (PMC) method as another alternative receptor model (Yin and Harrison, 2008). PMC offers a simple approach to estimate the source attribution or the chemical composition of size-resolved airborne particulate matter (PM) (Harrison et al., 2003). The PMC receptor modelling method is limited to major PM species only, e.g. sodium chloride (NaCl), ammonium nitrate (NH_4NO_3), ammonium sulphate ($(\text{NH}_4)_2\text{SO}_4$), non sea salt- SO_4 , sodium nitrate (NaNO_3), organic carbon (OC), elemental carbon (EC), crustal matter, trace element oxides and particle bound water (Gibson et al., 2009; Yin and Harrison, 2008; Dabek-Zlotorzynska et al., 2011). In PMC, molar weight correction factors, or enrichment factors, are applied to the individual measured PM chemical components. This then allows an estimate of the probable species that was present in the original sample, e.g. multiplying NO_3^- by 1.29 yields an estimate of the NH_4NO_3 concentration present in the original $\text{PM}_{2.5}$ sample (Dabek-Zlotorzynska et al., 2011). PMC has been used to apportion contributions to urban and rural PM_{10} , $\text{PM}_{2.5}$ and $\text{PM}_{2.5-10}$ in Ireland (Yin et al., 2005), coastal, rural and urban PM_{10} in Scotland (Gibson et al., 2009), urban background and roadside locations in

England (Harrison et al., 2003) and to urban, rural and coastal PM_{2.5} in Canada (Dabek-Zlotorzynska et al., 2011). For the BORTAS-B study a new PMC woodsmoke

700 enrichment factor was calculated. The enrichment factor was calculated by taking the median apportioned woodsmoke concentration (determined by PMF) and dividing it by the levoglucosan concentration. The calculated PMC woodsmoke enrichment factor was found to be 52. Therefore, the woodsmoke apportioned to the PM_{2.5} for each day using the PMC approach is equal to the levoglucosan concentration multiplied by 52 (Gibson et al., 2013a). This new method for determining the woodsmoke contribution to PM_{2.5} using the PMC receptor modelling was first described by Gibson et al., (2013b).

The fourth receptor model applied to the BORTAS-B PM_{2.5} data set was the USEPA Chemical Mass Balance (CMB) model described by Ward et al., (2012). For this paper the source profile for marine salt was taken directly from SPECIATE 4.0. The
710 marine salt profile was then combined with SPECIATE profiles used previously by Ward and Smith (2005) and Ward et al., (2006b). The CMB model fit, quality assurance and quality control criteria are described in Watson et al., (1998) and Ward et al., (2012). The performance of the four receptor models was assessed on their ability to predict the observed PM_{2.5} with an R^2 close to 1.0, an intercept close to zero, a low bias and low
715 RSME. In addition, suitability was also based upon the models ability to closely predict the observed PM_{2.5} during low, median and elevated concentrations.

4 Results and discussion

The descriptive statistics and discussion corresponding to the observed Al, BC, Br, Ca, Cl, Fe, K, Mg, Na, NH₄⁺, Ni, NO₃⁻, OM, PM_{2.5} mass, S, Si, SO₄²⁻, V and Zn are
720

provided in Gibson et al., (2013b). From Table 1 it can be seen that the median (min : max) levoglucosan concentration was 1.6 (0.2 : 46.0) ng m^{-3} . These concentrations are two orders of magnitude lower than the winter median (min : max) 234 (155 and 274) ng m^{-3} levoglucosan concentrations observed in the nearby Annapolis Valley, Nova Scotia in 2010, a region impacted by wintertime residential woodsmoke (Gibson et al., 2010). Ward et al. (2006b) found an average levoglucosan concentration of $2840 \pm 860 \text{ ng m}^{-3}$ in Libby, Montana, a city impacted by wintertime residential woodsmoke. Leithead et al. (2006) reported summertime average levoglucosan concentrations related to biomass burning in the Fraser Valley, BC of 14.4, 14.7 and 26.0 ng m^{-3} respectively, which are similar to the concentrations measured in Halifax during BORTAS-B. The levoglucosan concentrations observed in the Fraser Valley, BC are an order of magnitude greater than seen during the same season in Halifax during BORTAS-B. Jordan et al., (2006) reported 2003 summertime bushfire related levoglucosan concentrations in Launceston, Australia of 150, 440 and 470 ng m^{-3} respectively, ranging between 10 to 29 times the concentrations seen in Halifax during BORTAS-B.

The first step in APCS is Principal Components Analysis (PCA) of the $\text{PM}_{2.5}$ speciated data. When PCA was performed, five factors were identified as shown in Table 2. Following conventional PCA analysis protocols (Harrison et al., 1997), factor loadings ± 0.3 were retained as shown in Table 2 (Harrison et al., 1997). High factor loadings of the species in each factor enabled source identification (Viana et al., 2006). Five factors were identified, which explained 85.4% of the variance of the $\text{PM}_{2.5}$ mass. APCS was then used to attribute the mass of each factor to the total $\text{PM}_{2.5}$ mass. The five sources identified using PCA are shown in Table 3 and included sea salt, LRT $(\text{NH}_4)_2\text{SO}_4$,

surface dust, ship emissions and woodsmoke (identified by the woodsmoke tracer
745 levoglucosan).

Figure 1. provides parity plots of observed vs. predicted $PM_{2.5}$ estimated by
the four receptor models (a) Absolute Principal Component Scores (APCS) (b)
Pragmatic Mass Closure (PMC) (c) Chemical Mass Balance (CMB) (d) Positive Matrix
Factorization (PMF). It can be seen from Fig. 1a that the intercept is located at $1.9 \mu g m^{-3}$,
750 3 , the slope was 0.85, R^2 of 0.84, $n = 45$ and with a bias of $1.3 \mu g m^{-3}$. From Fig. 1b it can
be seen from the parity plot of observed vs. PMC predicted $PM_{2.5}$ that the intercept is
located at $2.1 \mu g m^{-3}$, the slope was found to be 0.57, R^2 of 0.84, $n = 45$ and bias of $1.4 \mu g$
 m^{-3} . From Fig. 1a and Fig. 1b it can be seen the intercepts associated with both the APCS
and PMC receptor models mean that they have difficulty predicting $PM_{2.5}$ less than $2.0 \pm$
755 $1.2 \mu g m^{-3}$ and $2.0 \pm 0.2 \mu g m^{-3}$ respectively. From Fig. 1c it can be seen that the CMB
intercept was located at $-0.53 \mu g m^{-3} \pm 0.21 \mu g m^{-3}$, a slope of 1.0, R^2 of 0.88 and a bias of
 $4.3 \mu g m^{-3}$. The CMB model was only able to predict $PM_{2.5}$ mass on 36 of the 45 $PM_{2.5}$
sample days. From Fig. 1d it can be seen that the PMF model has the lowest intercept
($-0.07 \pm 1.57 \mu g m^{-3}$) of the four models, a slope of 0.88, R^2 of 0.88, $n = 45$ and a bias of
760 $2.9 \mu g m^{-3}$. While the PMF bias is better than for CMB, it is not as good as the bias seen
for APCS and PMC. However, because PMF predicts the $PM_{2.5}$ mass on all sample days,
has a slope of 0.88 and the ability to predict very low $PM_{2.5}$ mass concentrations, often
seen in Halifax, in these respects it is the most useful of the four receptor models.

Figure 2 provides the chemical species source factor profiles and associated
765 percentage mass contributions obtained using the Positive Matrix Factorization receptor
model. Figure 2 clearly shows that 95% of the levoglucosan sample total mass and 45%

of the K sample total mass are associated with the factor profile identified as woodsmoke.

The chemical species used to identify the source in the other seven factor profiles are

clearly observed, e.g. NO_3^- and Se for LRT coal/industry originating from the NE USA,

770 $\text{PM}_{2.5}$, Ca, Mg, Na, NO_3^- for LRT Pollution Marine Mixture originating from the NE

USA and crossing the Gulf of Maine en route to Nova Scotia, Ni and V are unambiguous

tracers of ship emissions, Br and Zn are tracers of gasoline vehicles/tire wear, and OM,

BC, Ba, Fe and Zn are tracers for diesel vehicle/tire wear (Gibson et al. 2013b).

Figure 3 provides a time series from 7 July 2011 to 25 August 2011 of (a) APCS,

775 (b) PMC, (c) CMB and (d) PMF daily $\text{PM}_{2.5}$ source apportionment. Time series plots of

the individual $\text{PM}_{2.5}$ chemical species (not including levoglucosan) associated with

Figure 3 is provided in Gibson et al., (2013b).

Table 3 - 6 show that the four receptor models identify different number and type of $\text{PM}_{2.5}$ source respectively, e.g. the APCS model identified 6 sources, PMC 10 sources,

780 CMB 13 sources and PMF 9 sources. The reason for the different number of sources

identified by each model is due to the different inherent methodology by which each

model generates the source identification. In the case of PMC, a molar correction factor is

applied to individual $\text{PM}_{2.5}$ species. Therefore, if the species is present and there is a

corresponding molar correction factor the source will be identified and quantified. In the

785 case of CMB receptor modelling, the sample chemical species are identified by matching

with known source chemical profiles. With CMB, the number of statistically significant

and logical matches determines the number of sources identified and quantified by the

model, whereas APCS and PMF both use factorization and are open to identify as many

sources that meet each model's inclusion criteria and would make sense being observed

at the receptor. In PMC the source name is assigned from the molar factor associated with the source, in CMB the source name is assigned from the matching source profile, in APCS and PMF the source name is subjective and assigned by the user, reflecting the chemical species observed within each factor profile. It can be seen from Table 3 - 6 that surface dust and woodsmoke were identified in all four models.

With reference to Fig. 3b, the trace metal oxide values are worthy of note. This is because, within the PMC model, Ni and V are included in the calculation of the apportioned trace metal oxides. Whereas, in the PMF and APCS models, Ni and V are used as unique chemical tracer elements of ship emissions. Because of the inclusion of Ni and V in the trace metal oxide apportioned source, it is not possible for the PMC model to apportion ship emissions. The descriptive statistics for the four receptor model results over the 45 days of PM_{2.5} sampling are contained in Tables 3 through 6. The median LRT (NH₄)₂SO₄ estimated by the four models ranges from 0.57 µg m⁻³ (PMC), 0.67 µg m⁻³ (CMB), 1.15 µg m⁻³ (PMF) and 3.06 µg m⁻³ (APCS). Clearly APCS tends to estimate a larger contribution of (NH₄)₂SO₄ to PM_{2.5} compared with the other three models. The close agreement between PMC and CMB stems from the fact that both of these models use the actual molar values of the pure salt in the sample. Conversely, PMF and APCS have other mass contributions that co-vary with the LRT (NH₄)₂SO₄, e.g. OM. It can be seen from Tables 4 and 5 that the median LRT NH₄NO₃ estimated by PMC and CMB were 0.09 µg m⁻³ and 0.54 µg m⁻³, respectively. Table 3 (APCS) and Table 6 (PMF) contain estimates of the LRT Pollution Aged Marine Aerosol PM_{2.5} (0.61 µg/m³) and LRT Marine Mixed PM_{2.5} (0.44 µg/m³) respectively. Because of co-varying species associated with the LRT NH₄NO₃ in the APCS and PMF models, NH₄NO₃ could not be factored into a pure apportioned source. Instead, the LRT NH₄NO₃ in both APCS and PMF is also associated

with other LRT species, e.g. OM, BC, Na and is referred to as LRT Marine Mixed PM_{2.5}

815 as the NH₄NO₃ was likely mixed with aged marine aerosol as the air mass crossed the
Gulf of Maine and the Bay of Fundy en route to Halifax. This assumption was back by
the HYSPLIT air mass back trajectories shown in Gibson et al., (2013b).

The trends in the apportioned woodsmoke estimated from the four receptor
models is provided in the time series plot shown in Fig. 4. One obvious feature of Fig. 4

820 is the large woodsmoke estimate, especially between 17 July and 25 July, from the CMB
model. Clearly the CMB estimate is a large departure from the woodsmoke predicted by
the remaining three receptor models which are in closer agreement. The reason for this is
not known at this time, but it does suggest that the CMB SPECIATE source profiles may
not be appropriate for predicting woodsmoke in this region. It can be seen from Fig. 4

825 that generally the woodsmoke contribution to PM_{2.5} is low or absent with the exception of
elevated concentrations of woodsmoke on 17 July, 24 July, 1 August, 6 August and 13
August 2011. The low or absent woodsmoke days are either associated with air flow from
the ocean or from Northern Canada when boreal wild fire activity was absent. These days
are also associated with low PM_{2.5} mass as described in Gibson et al., (2013b). To help

830 identify upwind forest fire source regions, we used a combination of visible MODIS
satellite images, MODIS fire hot spot maps, 5 day HYSPLIT air mass back trajectories
(Gibson et al., 2013b), FLEXPART air mass trajectories (Stohl et al., 2005) chemical
transport models (Palmer et al., 2013), Raman Lidar (Bitar et al., 2010) and aircraft
measurements (Palmer et al., 2013). Together, these approaches helped corroborate the

835 woodsmoke event impacting Halifax on 21 July. Figure 5 provides an example match up
of Lidar aerosol backscatter measurements at the DGS (a), GEOS-5 forecast of CO

mixing ratio associated with boreal biomass burning above the DGS (b), FLEXPART vertical profile of $\text{PM}_{2.5}$ at the DGS (c), a plot of the aircraft profile measurements of CO, acetonitrile and aerosol backscatter obtained at midnight (d). Acetonitrile was used as it is an effective tracer for biomass fire plumes in the atmosphere (Karl et al., 2007). Figure 5a shows elevated aerosol backscatter below 2 km between 00:00 UTC 20 July and 24:00 UTC 21 July 2011. Also there is then a “V-shaped notch” of clear air located above 2 km and below 5 km, followed by further aerosol backscatter between 6 km and 8 km. The elevated surface aerosol backscatter measurements seen in Figure 5a are accompanied by elevated surface $\text{PM}_{2.5}$ concentrations as seen in Figure 3. Since the PMF model appears to be the most useful at predicting $\text{PM}_{2.5}$ mass, and is anticipated to be the most robust at predicting woodsmoke, it was used to compare with the features contained in Figure 5. From the PMF source apportionment timeseries plot in Figure 3d, it can be seen that the $\text{PM}_{2.5}$ was chiefly composed of LRT $(\text{NH}_4)_2\text{SO}_4$ and LRT Pollution Marine Mixture (NO_3^- , Na, NH_4NO_3), with a small spike in woodsmoke seen on 20 July 2011. Scrutiny of HYSPLIT air mass back trajectories in Gibson et al., (2013b) and HYSPLIT dispersion models in Franklin et al., (2014) show that the air flow crossed a region experiencing extensive boreal forest wildfire in Northern Ontario prior to reaching Nova Scotia. On July 20 that air flow from the NE USA mixed with the air flow from Northern Ontario en route to Halifax, providing a mixture of boreal wildfire smoke from Northern Ontario together with anthropogenic LRT aerosol from the NE USA. It can be seen from Figure 5b that GEOS-5 predicts the exact same feature for CO as the aerosol backscatter observed by the Lidar in Figure 5a. The CO is related to both the LRT from the NE USA mixed with wildfire woodsmoke from Ontario. Evidence for the woodsmoke entrainment

on 20 July 2011 in the PMF source apportionment timeseries (Fig. 4) was further corroborated by FLEXPART forward trajectory modelling from the large forest fires in Ontario that were burning on 17 July 2011 (Franklin et al., 2014). It can be seen from Fig. 5c that FLEXPART predicted the impact of woodsmoke particles at the surface in Halifax, which helps explain the small spike in levoglucosan on 20 July 2011. Finally, further proof of woodsmoke impacts at the DGS come from the aircraft spiral profiles shown in Fig. 5d. Figure 5d shows aircraft column profiles for CO, acetonitrile and aerosol backscatter, which provide further forensic evidence of woodsmoke impacting the DGS in Halifax. Figure 6 provides a NASA AQUA MODIS true colour satellite image that clearly shows boreal forest fire smoke from Northern Ontario advecting over Halifax, Nova Scotia on July 18. These fires continued to impact the DGS on 20 July 2011 as shown in Figs. 4 and 5. In a similar way the largest woodsmoke spike shown in Fig. 4 on 31 July 2011 was due to boreal forest fires in Northern Quebec. This can be seen in Fig. 7 where a NOAA HYSPLIT 5 day air mass trajectory passes over the forest fires in Northern Québec 3 days prior to arriving at the DGS. Using the same approach, it was seen that HYSPLIT 5 day air mass back trajectories together with the fire hot spot maps for 6 August showed that the elevated woodsmoke was related to wild fires in Labrador, while the woodsmoke spike on the 12 August was related to another large fire in Ontario on 8 August 2011.

Table 7 presents the woodsmoke source apportionment descriptive statistics for each receptor model. Details of the performance parameters related to the four receptor models are provided in Fig. 1. It can be seen that the estimated mean woodsmoke contribution to PM_{2.5} by APCS and PMC are almost identical, 0.32 and 0.35 $\mu\text{g m}^{-3}$. The

close agreement between the woodsmoke contribution estimated by APCS validates the new enrichment factor in this paper generated from previous PMF and PMC analyses

885 (Gibson et al., 2013a). It can be seen that CMB estimated the mean woodsmoke contribution to be $3.23 \mu\text{g m}^{-3}$, which is an order of magnitude greater than APCS and PMC. In addition, it can be observed that PMF estimated the mean woodsmoke contribution to be $0.61 \mu\text{g m}^{-3}$, which is approximately double that estimated by APCS and PMC. However, because of the PMF model's better $\text{PM}_{2.5}$ predictive capability
890 (especially below $2.0 \mu\text{g m}^{-3}$) and clear woodsmoke **tracer** source identification, **its** known statistical robustness over APCS, its results are likely the most accurate of the four models. However, boreal forest wood combustion product emissions source profiling followed by source apportionment using these four models would be needed to completely validate PMF's superiority over APCS, PMC and CMB receptor model
895 methodologies.

5. Conclusion

Four receptor models were used to improve our understanding of the source contribution of woodsmoke, and other major sources, to $\text{PM}_{2.5}$ total mass during the
900 BORTAS-B experiment. During the process, PMF was used to generate a new woodsmoke enrichment factor of 52. The new enrichment factor was used in the PMC model to convert levoglucosan into a woodsmoke concentration (levoglucosan multiplied by 52). Cross-referencing the woodsmoke contribution estimated by APCS helped to validated the utility of this new enrichment factor. **It was found that APCS and PMC**
905 **receptor models mean that they have difficulty predicting $\text{PM}_{2.5}$ less than $2.0 \pm 1.2 \mu\text{g}$**

m^{-3} and $2.0 \pm 0.2 \mu\text{g m}^{-3}$ respectively. Further, although CMB had an improved intercept and a slope of 1, it could not be run on 9 of the 45 days of $\text{PM}_{2.5}$ samples. PMF is considered to be the most robust of the four models since it is able to predict $\text{PM}_{2.5}$ mass below $2.0 \mu\text{g m}^{-3}$, predict $\text{PM}_{2.5}$ mass on all 45 days, has a slope close to 1, has a low bias, and utilizes an unambiguous woodsmoke chemical marker within the model. The median (min : max) woodsmoke contribution to $\text{PM}_{2.5}$ estimated using PMF was found to be 0.14 (0.0 : 4.14) $\mu\text{g m}^{-3}$. The use of a woodsmoke tracer such as levoglucosan is critical when carrying out $\text{PM}_{2.5}$ source apportionment studies of boreal forest wild fire smoke. Controlled wood combustion product sampling followed by source apportionment modeling with these four models would greatly improve our understanding of their performance for predicting woodsmoke contributions to $\text{PM}_{2.5}$ in future studies of this nature.

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930 **Tables**

Table 1. Descriptive statistics for levoglucosan

	n	Mean	Std	Min	25th Pctl	Median	75th Pctl	Max
Levoglucosan [ng m ⁻³]	45	6.1	10.0	0.2	0.9	1.6	6.2	46.0

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Table 2. Principal component analysis (PCA) of the PM_{2.5} chemical species

	Sea Salt	LRT (NH ₄) ₂ SO ₄	Surface Dust	Woodsmoke	Ship Emissions
BC		0.52		0.426	
Al			0.91		
Br	0.78				
Ca			0.90		
Fe			0.70		
K				0.74	
Mg	0.96				
Na	0.97				
Ni					0.95
Si			0.98		
V					0.94
Zn				0.86	
Cl	0.81				
S		0.94			
NO ₃	0.82				
SO ₄		0.97			
NH ₄		0.96			
OM		0.74		0.56	
Levoglucosan				0.91	

Eigenvalue	5.72	3.65	3.11	2.03	1.72
Cumulative % var	30.1	49.3	65.6	76.3	85.4

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Table 3. Absolute Principal Component Scores (APCS) PM_{2.5} source apportionment descriptive statistics

Metric [$\mu\text{g m}^{-3}$]	n	Mean	Median	Min	Max	Std Dev	C.I.
Observed PM _{2.5}	45	4.36	3.96	0.08	12.50	3.13	0.91
LRT Pollution Aged Marine Aerosol	45	0.75	0.61	0.16	3.42	0.61	0.18
LRT Pollution (NH ₄) ₂ SO ₄	45	3.76	3.06	0.28	13.95	2.65	0.78
Surface Dust	45	0.73	0.63	0.13	3.32	0.54	0.16
Woodsmoke	45	0.35	0.09	0.01	2.71	0.62	0.18
Ship Emissions	43	0.14	0.09	0.00	0.76	0.15	0.04

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Table 4. Pragmatic Mass Closure (PMC) PMC PM_{2.5} source apportionment descriptive statistics

Metric [$\mu\text{g m}^{-3}$]	n	Mean	Median	Min	Max	Std Dev	C.I.
Observed PM _{2.5}	45	4.36	3.96	0.08	12.50	3.13	0.91
LRT Pollution NH ₄ NO ₃	45	0.12	0.09	0.01	0.83	0.13	0.04
LRT Pollution (NH ₄) ₂ SO ₄	45	0.87	0.57	0.14	4.15	0.84	0.25
Organic Matter	45	1.03	0.77	0.18	2.66	0.68	0.20
Black Carbon	45	0.41	0.39	0.12	1.03	0.21	0.06
Surface Dust	45	0.27	0.22	0.02	1.53	0.24	0.07
Trace Element Oxides	45	1.48	1.48	1.47	1.49	0.00	0.00
Sea Salt	45	0.16	0.11	0.01	1.06	0.18	0.05
Particle Bound Water	45	0.29	0.20	0.05	1.33	0.27	0.08
Woodsmoke	45	0.32	0.08	0.01	2.38	0.55	0.16

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Table 5. Chemical Mass Balance (CMB) PM_{2.5} source apportionment descriptive statistics

Metric [$\mu\text{g m}^{-3}$]	n	Mean	Median	Min	Max	Std Dev	C.I.
Observed PM _{2.5}	45	4.57	4.04	0.08	13.73	3.39	0.98
Surface Dust	2	0.81	0.81	0.39	1.24	0.6	0.83
LRT Pollution (Coal/Industrial)	5	0.83	0.85	0.57	1.09	0.2	0.17
Woodsmoke	14	3.23	3.59	1.38	4.72	1.04	0.54
Marine Aerosol	34	0.3	0.24	0.04	1.64	0.3	0.1
Ship Auxiliary Engines	17	1.43	1.2	0.3	3.2	0.84	0.4
LRT Pollution (NH ₄) ₂ SO ₄	21	1.45	0.67	0.24	6.77	1.58	0.68
Tire Wear	1	0.82	0.82	0.82	0.82	NA	NA
Diesel Trucks	2	1.11	1.11	1.1	1.12	0.02	0.02
Vegetative Burning	2	2.25	2.25	1.42	3.08	1.18	1.63
Small Gasoline Vehicles	5	2.35	2.51	0.58	5.08	1.87	1.63
LRT Pollution NH ₄ NO ₃	2	0.54	0.54	0.14	0.94	0.57	0.79
SO ₄	35	1.31	0.95	0.35	5.4	1.08	0.36

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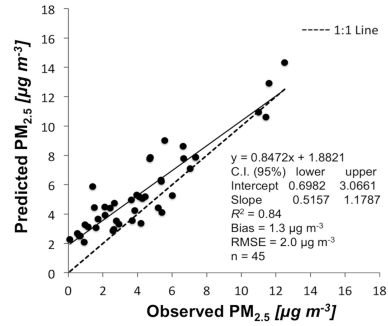
Table 6. Positive Matrix Factorization (PMF) PM_{2.5} source apportionment descriptive statistics

Metric [$\mu\text{g m}^{-3}$]	n	Mean	Median	Min	Max	Std Dev	C.I.
Observed PM _{2.5}	45	4.57	4.04	0.08	13.73	3.39	0.98
Diesel Vehicles/Tire Wear	39	0.05	0.03	0.00	0.17	0.04	0.01
Gasoline/Tire Wear	30	0.14	0.02	0.00	3.43	0.62	0.22
LRT Pollution (NH ₄) ₂ SO ₄	33	2.05	1.15	0.09	12.12	2.45	0.84
Ship Emissions	34	0.55	0.49	0.04	1.15	0.31	0.11
LRT Pollution Marine Mixture	38	0.88	0.44	0.02	7.00	1.31	0.42
Woodsmoke	29	0.61	0.14	0.00	4.14	1.00	0.36
LRT Pollution (Coal/Industry)	34	0.74	0.48	0.00	2.97	0.69	0.23
Surface Dust	38	0.33	0.19	0.00	2.55	0.44	0.14

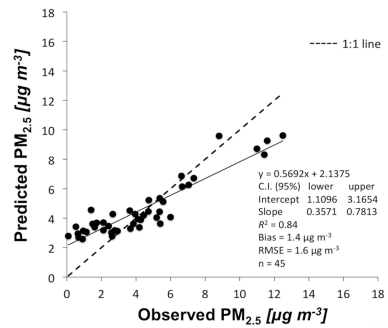
Table 7. Boreal wildfire woodsmoke source apportionment ($\mu\text{g m}^{-3}$) descriptive statistics by receptor model

Receptor Model	n	Mean	Median	Min	Max	Std.Dev.	C.I.
PMC	45	0.32	0.08	0.01	2.38	0.55	0.16
APCS	45	0.35	0.09	0.01	2.71	0.62	0.18
CMB	14	3.23	3.59	1.38	4.72	1.04	0.54
PMF	29	0.61	0.14	0.00	4.14	1.00	0.36

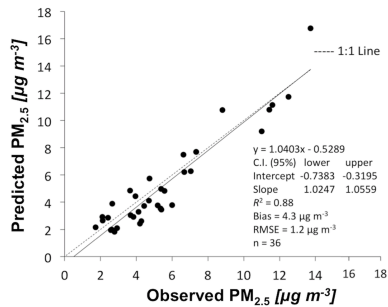
Figures



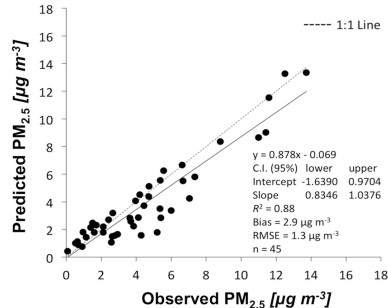
a) Absolute Principal Component Scores (APCS)
predicted vs. observed $\text{PM}_{2.5}$



b) Pragmatic Mass Closure (PMC)
predicted vs. observed $\text{PM}_{2.5}$



c) Chemical Mass Balance (CMB)
predicted vs. observed $\text{PM}_{2.5}$



d) Positive Matrix Factorization (PMF)
predicted vs. observed $\text{PM}_{2.5}$

Figure 1. Parity plots of observed vs. predicted $\text{PM}_{2.5}$ estimated by the four receptor models (a) Absolute Principal Component Scores (APCS) (b) Pragmatic Mass Closure (PMC) (c) Chemical Mass Balance (CMB) (d) Positive Matrix Factorization (PMF)

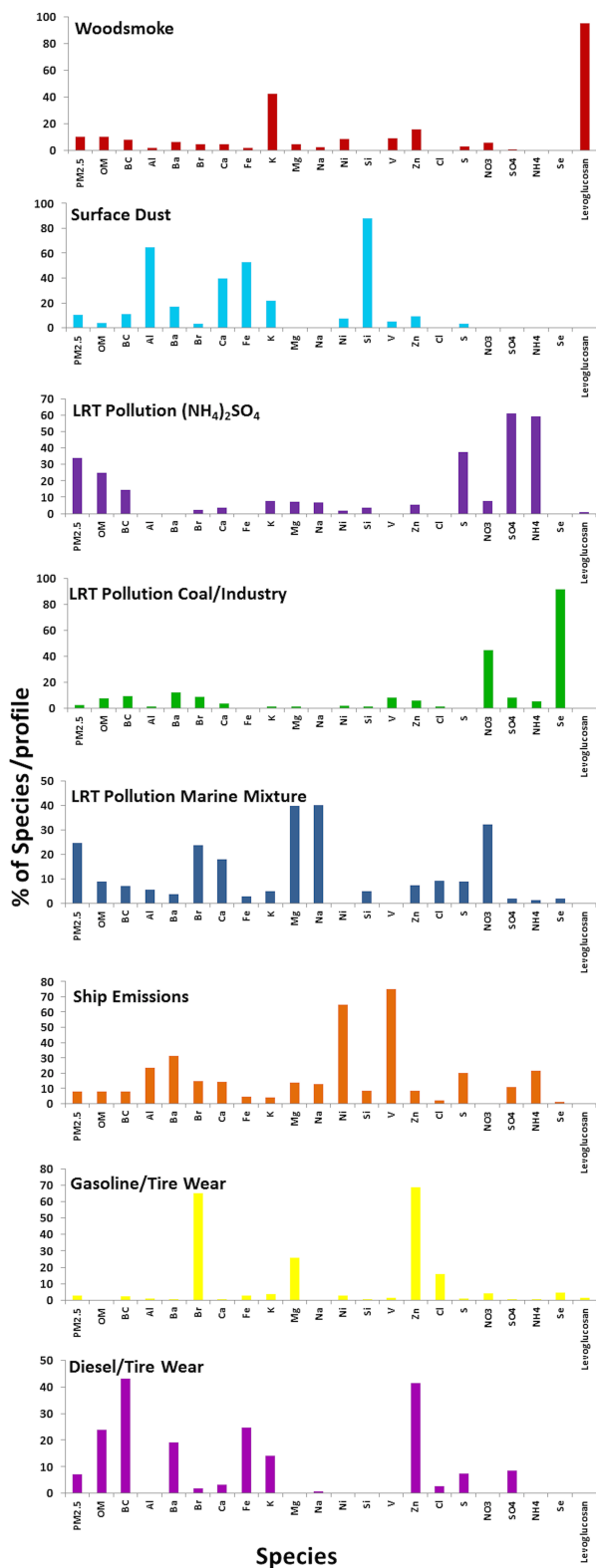
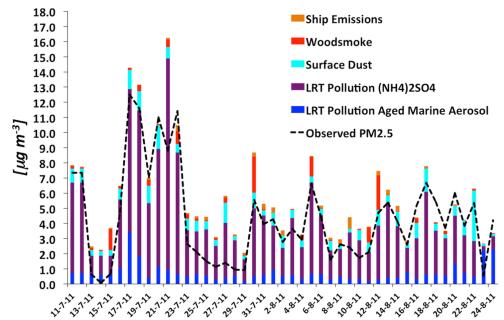
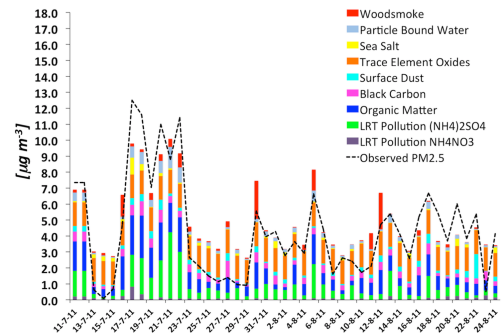


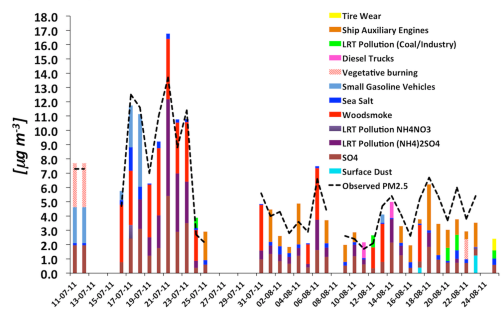
Figure 2. Positive Matrix Factorization chemical species source factor profiles and associated percentage mass contributions



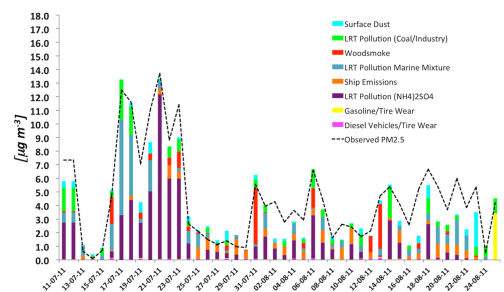
a) Time series of the relevant source contributions to $PM_{2.5}$ estimated by Absolute Principal Component Scores (APCS)



b) Time series of the relevant source contributions to $PM_{2.5}$ estimated by Pragmatic Mass Closure (PMC) receptor model time series



c) Time series of the relevant source contributions to $PM_{2.5}$ estimated by Chemical Mass balance (CMB)



d) Time series of the relevant source contributions to $PM_{2.5}$ estimated by Positive Matrix Factorization (PMF)

Figure 3. A comparison of the four receptor model $PM_{2.5}$ source apportionment timeseries in Halifax during BORTAS-B (a) Absolute Principal Component Scores (APCS) (b) Pragmatic Mass Closure (PMC) (c) Chemical Mass balance (CMB) (d) Positive Matrix Factorization (PMF)

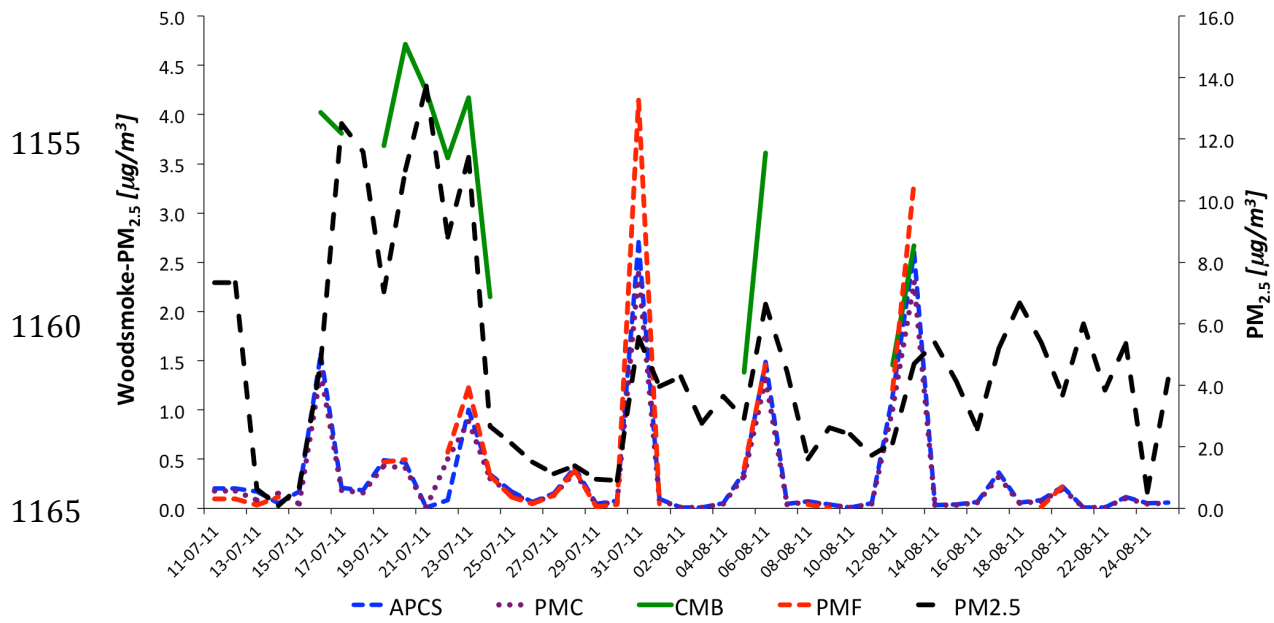
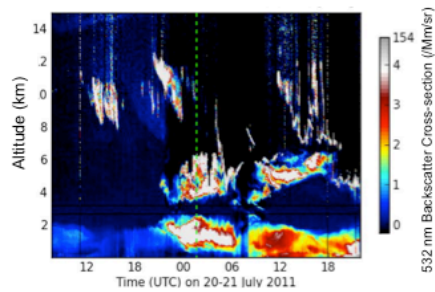
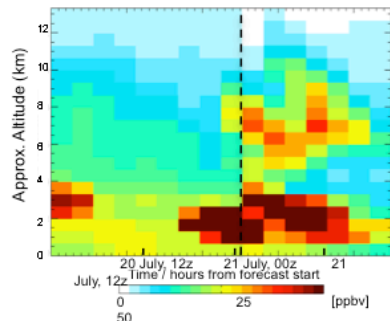


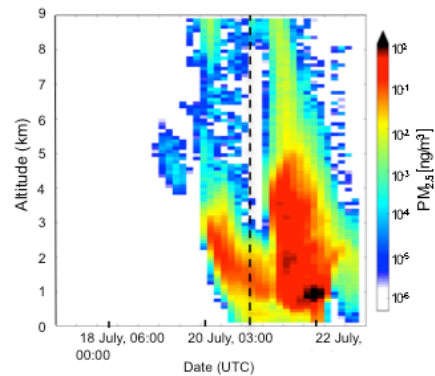
Figure 4. Time series of the woodsmoke contribution to the total PM_{2.5} mass estimated from the four receptor models during BORTAS-B



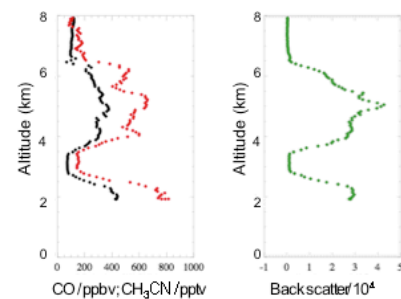
a) Lidar back scatter cross section DGS, 20/21 July 2011



b) GEOS-5 predicted CO at the DGS 20/21 July, 2011



c) FLEXPART vertical $PM_{2.5}$ profile, DGS, 21 July 2011



d) Spiral aircraft profiles over the DGS, 21 July 2011

1175 Figure 5. Comparison of simultaneous observations (a) Lidar backscatter cross section
 DGS, 20/21 July 2011 (b) GEOS-5 CO forecast at the DGS 20/21 July, 2011 (c)
 FLEXPART vertical $PM_{2.5}$ profile, DGS, 21 July 2011 (d) Spiral aircraft profiles over the
 DGS, 21 July 2011. Vertical dashed lines in (a), (b) and (c) indicate the time of the spiral
 aircraft profiles in (d).

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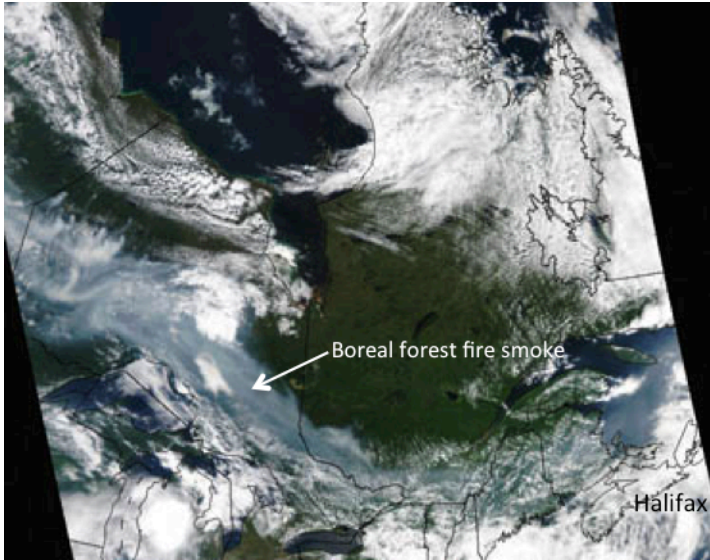


Figure 6. NASA AQUA MODIS true colour satellite image at 18:00 UTC on 18 July 2011 clearly showing boreal forest fire smoke from Northern Ontario advecting over Halifax, Nova Scotia.



Figure 7. 5 day HYSPLIT air mass back trajectory arriving at 12:00 UTC overlaying the fire hot spot map for 28 July 2011.

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