

1 **Variability of mixed-phase clouds in the Arctic with a focus on**
2 **the Svalbard region: a study based on spaceborne active**
3 **remote sensing.**

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9

10 **Abstract**

11 The Arctic region is known to be very sensitive to climate change. Clouds and in particular mixed
12 phase clouds (MPCs) remain one of the greatest sources of uncertainties in the modeling of the
13 Arctic response to climate change due to an inaccurate representation of their variability and their
14 quantification. In this study, we present a characterization of the vertical, spatial and seasonal
15 variability of Arctic clouds and MPCs over the entire Arctic region based on satellite active remote
16 sensing observations. MPC properties in the region of the Svalbard archipelago (78°N, 15°E) are
17 also investigated. The occurrence frequency of clouds and MPCs are determined from
18 CALIPSO/CLOUDSAT measurements processed with the DARDAR retrieval algorithm which
19 allow for a reliable cloud thermodynamic phase classification (warm liquid, supercooled liquid, ice,
20 mixing of ice and supercooled liquid). Significant differences are observed between MPC properties
21 over the entire Arctic region and over the Svalbard region. Results show that MPCs are encountered
22 all year long, with a minimum occurrence of 30% in winter and 50% during the rest of the year, in
23 average over the entire Arctic. Over the Svalbard region, MPC occurrence is more constant with
24 time with larger values (55%) compared to the average observed in the Arctic. MPCs are especially
25 located at low altitudes, below 3000 m, where their frequency of occurrence reaches 90 %, in
26 particular during winter, spring and autumn. Moreover, results highlight that MPCs are statistically
27 more frequent above open sea than land or sea ice. The temporal and spatial distribution of MPCs
28 over the Svalbard region seems to be linked to the supply of moister air and warmer water from the
29 North Atlantic Ocean, which contribute to the initiation of the liquid water phase. Over the whole

1 Arctic, and particularly in western regions, the increase of MPC occurrence from spring to autumn
2 could be connected to the sea ice melting. During this period, the open water transports a part of the
3 warm water from the North Atlantic Ocean to the rest of the Arctic region. This facilitates the
4 vertical transfer of moisture and thus the persistence of the liquid phase. A particular attention is
5 also paid on the measurement uncertainties and how they could affect our conclusions.

6

7 **1 Introduction**

8 It is now well established that the Arctic region is more sensitive to climate change than any other
9 regions of the world (Sanderson et al., 2011; Serreze et al., 2009; Solomon et al., 2007). Solar
10 elevation or surface albedo, for instance, are responsible for strong feedback mechanisms leading to
11 the so-called Arctic amplification. Clouds are one of the main components driving the Arctic
12 climate system as they play a key role in the radiation budget (Curry, 1995; Curry et al., 1996;
13 Garrett et al., 2009). They interact with shortwave and longwave radiations, cooling or warming the
14 surface and the atmosphere depending on their macrophysical and microphysical properties. The
15 low sun elevation in summer and the lack of solar radiation during the winter polar night are
16 responsible for the predominant longwave radiative effect in the Arctic (Lubin and Vogelmann,
17 2006), tending to a regional net warming effect.

18 However, our sparse knowledge of cloud-radiation interactions and cloud properties at high
19 latitudes remains one of the main source of uncertainties in predicting future climate by numerical
20 simulations (Solomon et al., 2007; Stephens, 2005). In particular, the determination of cloud
21 thermodynamic phase is crucial for assessing the cloud radiative impact and hence, its influence on
22 the radiation budget and climate feedbacks (Choi et al., 2014; Komurcu et al., 2014).

23 In addition, the Arctic is known for the frequent occurrence of mixed phase clouds (hereafter
24 referred as MPCs) especially near the surface, wherein liquid droplets and ice crystals coexist
25 (Curry et al., 2000; Korolev and Isaac, 2003; Shupe, 2011). In particular, these clouds exert a large
26 influence on the surface radiation budget (*Shupe and Intrieri, 2004*). However, the inter-annual
27 spatial variability of Arctic MPC properties has been poorly quantified at a large scale. An
28 assessment of the annual variability of MPCs was performed during the Surface Heat Budget of the
29 Arctic Ocean experiment (SHEBA, Uttal et al., (2002)), showing that MPC and liquid-containing
30 clouds are prevalent over the Western Arctic Ocean. The SHEBA experiment highlighted that liquid
31 containing clouds (i.e. including supercooled and warm liquid clouds) over the Beaufort sea
32 represent about 40 % of the clouds during winter and reaches more than 90 % in summer (*Intrieri et*

1 *al.* 2002). Additionally, *Shupe et al.* (2006) showed that nearly 60% of the clouds in Arctic are
2 MPCs.

3 Even though numerous observations, as well as numerical modeling focused on MPCs for several
4 years [*Verlinde et al.*, 2007; *de Boer et al.*, 2009; *Gayet et al.*, 2009; *Jourdan et al.*, 2010;
5 *McFarquhar et al.*, 2011, among others], results still suffer from large uncertainties and important
6 discrepancies are observed between observations and simulations (*Chernokulsky and Mokhov*,
7 2012; *Klein et al.*, 2009; *Morrison et al.*, 2009; *Thomas et al.*, 2004).

8 This implies that our understanding of all steps of the MPC life cycle needs to be improved. Indeed,
9 formation, development, persistence and dissipation of MPCs are governed by a combination of
10 local and large-scale processes (*Morrison et al.*, 2012). At the small scale, the Wegener-Bergeron-
11 Findeisen (WBF) process is one of the main mechanisms responsible for ice crystals growth at the
12 expense of supercooled water droplets (*Bergeron*, 1935; *Findeisen*, 1938; *Wegener*, 1911). Such
13 mechanism leads to a rapid glaciation of the MPCs. On the other hand, dynamical processes, such
14 as turbulence or entrainment may facilitate the formation of new supercooled water droplets. For
15 example, resupply of water vapor from the surface or from entrainment of moisture above the
16 clouds may contribute to the continuous formation of liquid droplets within MPC. The coupling of
17 such various processes is, thus, necessary to maintain the unstable equilibrium between liquid
18 droplets and ice crystals within MPCs. This may explain the longevity of MPCs up to several days
19 or weeks as it has been frequently observed (*Shupe*, 2011; *Verlinde et al.*, 2007, *Morrison et al.*,
20 2012). Previous studies from *Korolev et al.*, (2003), *Korolev and Isaac*, (2003) and *Korolev* (2007)
21 also point out that the life time of MPCs could not be simply reduced to the WBF process, but
22 depends on numerous parameters such as local thermodynamical conditions or is linked to cloud
23 dynamics. Local and long-range dynamic processes are also involved in aerosol, heat and moisture
24 transport which have a significant impact on Arctic MPC formation and properties (*Cesana et al.*,
25 2012; *Morrison et al.*, 2012; *Shupe and Intrieri*, 2004). It remains, therefore, difficult to fully
26 understand the complexity of interactions between all these processes and to assert which of them
27 play a key role in the MPC evolution (*Harrington et al.*, 1999; *Morrison et al.*, 2012).

28 The characterization of the Arctic cloud mixed phase state at global and local scales is not yet
29 accurately described since it remains particularly challenging due to remote and extreme conditions
30 encountered in this region of the world. For these reasons observations remain very sparse. Ice
31 crystals and liquid droplets exhibit significantly different microphysical and optical properties
32 (number, size, shape), leading to different interactions with radiation. The impact of mixed phase

1 clouds on the energy budget and climate is thus strongly dependent on the ice/liquid partitioning.
2 Moreover, the determination of separate properties of ice crystals and liquid droplets within the
3 same sample volume remains challenging due to instrument limitations in both remote sensing and
4 in-situ measurements. Moreover, the definition of the “mixed-phase” state depends strongly on the
5 observation scale (Baumgardner et al., 2012).

6 Multi and single-layer clouds are the two main MPC types frequently observed in the Arctic.
7 Multilayer MPCs consist of several supercooled liquid layers embedded in ice clouds at different
8 altitude levels. Ice crystals precipitate from upper layers feeding underneath layers or evaporating
9 during sedimentation, leading to very complex interactions between ice crystals and droplets
10 (Hobbs and Rangno, 1998; Luo et al., 2008). On the other hand, the typical single-layer MPC
11 structure is characterized by the presence of a single supercooled liquid layer at cloud top and ice
12 crystals below, precipitating down to the surface [*Curry et al.*, 1997; *Shupe et al.*, 2005; *Verlinde et*
13 *al.*, 2007; *Gayet et al.*, 2009...]. These particular clouds have been frequently observed in situ in the
14 Arctic at small scales for several years in previous airborne experiments such as:

- 15 - in 1994 the Beaufort and Arctic Storms Experiment (BASE, Curry et al. (1997)),
- 16 - in 1998 the First International Satellite Cloud Climatology Project (ISCCP) Regional
17 Experiment Arctic Clouds Experiment (FIRE-ACE, Curry et al. (2000)),
- 18 - in 2004 the Mixed-Phase Arctic Cloud Experiment (M-PACE, Verlinde et al. (2007)),
- 19 - in 2004 and 2007 the Arctic Study of Tropospheric cloud, Aerosol and Radiation (ASTAR,
20 Gayet et al. (2009); Jourdan et al. (2010)),
- 21 - in 2008 the Polar Study using Aircraft, Remote Sensing Surface Measurements and Models of
22 Climate, Chemistry, Aerosols and Transport (POLARCAT, Delanoë et al. (2013)) and the
23 Indirect and Semi-Direct Aerosol Campaign (ISDAC, McFarquhar et al. (2011)),
- 24 - in 2010 the Solar Radiation and Phase Discrimination of Arctic Clouds experiment (SORPIC,
25 (Bierwirth et al. 2013)),
- 26 - in 2012 the study on the Vertical Distribution of Ice in Arctic clouds (VERDI, Klingebiel et al.
27 (2014)),
- 28 - in 2014 the Radiation-Aerosol-Cloud Experiment in the Arctic Circle (RACEPAC).

29
30 However, in situ measurements are by essence localized in time and space. It is therefore of great
31 importance to know in which regional framework such observations are performed. So, a
32 description of Arctic MPCs at regional scale is of utmost importance.

1 Although clouds measurements still remain challenging in this region, important progresses have
2 been made for several years. In particular, several ground-based observation sites are now well
3 equipped for cloud observations, such as Barrow (71°N), Eureka (80°N), Summit (72°N) or Ny-
4 Alesund (78°N) observatories. However, the instruments of each ground observatory may differ
5 significantly from one site to another. Indeed, climatologies based on different instruments can be
6 biased by the sensitivity of the instruments and their capability to operate in extreme conditions. For
7 instance it is quite difficult to compare results derived from a lidar and from a cloud radar as they
8 will not be sensitive to the same hydrometeors. During the last decade the emergence of spaceborne
9 observation platforms provides a large amount of cloud related data (Advanced Very High
10 Resolution radiometer (AVHRR), International Satellite Cloud Climatology Project (ISCCP),
11 Moderate Resolution Imaging Spectroradiometer (MODIS), A-Train). Unlike ground-based sites,
12 they present the great advantage to involve a uniform measurement technique with a very large
13 coverage.

14 Observations based on passive remote sensing measurements performed by instruments such as
15 AVHRR, ISCCP, MODIS (Frey et al., 2008; Key and Barry, 1989; Rossow and Garder, 1993) have
16 well-known limitations in the Arctic region. Previous studies, based on comparisons with ground
17 based observations or spaceborne active remote sensing measurements, highlighted that passive
18 remote sensing observations might drive to an underestimation of the cloud fraction by up to 35%
19 (*Schweiger and Key (1992)*), in particular at low level altitudes (*Chan and Comiso, (2013)*). These
20 limitations are mostly due to the low contrast between clouds and the underlying surface.

21 Spaceborne active remote sensing is a recent alternative measurement technique which is aimed to
22 reduce these limitations. This is the case for the new generation of spaceborne instrumentation
23 onboard CALIPSO (Winker et al., 2003) and CLOUDSAT (Stephens et al., 2002) satellites. Since
24 2006, they perform lidar (532 nm and 1064 nm) and radar (94 GHz) measurements, and provide an
25 unprecedented dataset of the vertical structure of clouds, continuously in time and space (up to
26 latitude 82°N), even if these instruments have serious limitations regarding low clouds and multi-
27 layered clouds, as it will be discussed below.

28

29 The aim of this study is to characterize the vertical, spatial and seasonal variability of Arctic MPCs
30 at regional scale from space remote sensing. A focus on MPC properties in the area around the
31 Svalbard archipelago (78°N, 15°E), which benefits from distinctive meteorological conditions due
32 to the proximity of North Atlantic Ocean compared to the rest of the Arctic, will also be performed.

1 This will enable to evaluate to which extent MPCs in this region are representative of their
2 counterparts over the entire Arctic. In addition, this work will give an insight into the
3 representativity of the numerous airborne cloud measurements made in this region for several years
4 (ASTAR, POLARCAT, SORPIC among others).

5
6 This work is based on the radar-lidar spaceborne measurements synergy of CLOUDSAT/CALIPSO
7 observations. Cloud thermodynamic phase and distribution are determined from DARDAR retrieval
8 products [Delanoë and Hogan, 2008, 2010 and recently Ceccaldi et al., 2013]. Then properties over
9 the Svalbard region are investigated and compared to those at the regional scale. Section 2 describes
10 the DARDAR retrieval algorithm and presents the dataset used and the method applied to detect
11 MPC and determine cloud occurrences. In this section, uncertainties and limitations of DARDAR
12 retrievals are analyzed. In section 3, total cloud occurrence determined from DARDAR products is
13 first compared to previous works in order to validate the methodology. Then section 4 focuses on
14 mixed-phase clouds variability at regional scale and over the region of Svalbard. In section 5,
15 regional and local MPC properties are compared and differences observed between the whole
16 Arctic and the Svalbard region are discussed.

17

18 **2 Data and method**

19 Cloud thermodynamic phase distributions over the Arctic region are studied using CALIPSO and
20 CLOUDSAT measurements. Active remote sensing observations are expected to provide a detailed
21 characterization of the cloud vertical structure in the Arctic. Indeed, compared to passive
22 instruments, active remote sensing techniques are less disturbed by the important Arctic ice cover
23 throughout the year or the lack of sunlight during the polar night (Chan and Comiso, 2013; Liu et
24 al., 2010; Wang and Key, 2005).

25 **2.1 DARDAR retrieval algorithm**

26 The present study is based on the operational DARDAR (for raDAR/liDAR) retrieval products. The
27 DARDAR algorithm (Delanoë and Hogan, 2008, 2010) exploits the synergy of CALIPSO and
28 CLOUDSAT observations to retrieve vertical profiles of cloud thermodynamic phase (DARDAR-
29 MASK product) and ice cloud properties (DARDAR-CLOUD product). These products are now
30 widely used for cloud studies (Delanoë et al., 2013; Huang et al., 2012; Jouan et al., 2012, 2014).

1 DARDAR-MASK algorithm uses Level 1B CALIPSO 532 nm lidar backscatter coefficient profiles,
2 “2B-GEOPROF” CLOUDSAT 94 GHz radar reflectivity as well as thermodynamic variables
3 (pressure, temperature, humidity) from “ECMWF-AUX” products. It presents the great advantage
4 to merge all these data on the same resolution grid and to allow the cloud phase discrimination.
5 Pixel size along the track is 60 m vertically and 1.7 km horizontally. The combination of both lidar
6 and radar cloud masks is used to classify cloud hydrometeors phase. The main steps of the
7 algorithm for cloud thermodynamic phase retrieval are summarized in Fig. 1 and all DARDAR
8 retrieval classes are summarized in Table 1. This includes surface and clear sky detection (class -1
9 and 0 respectively), cloudy pixels with ice only (class 1), mixing of ice and supercooled water (class
10 2), warm liquid cloud (class 3), supercooled liquid (class 4), rain (class 5) as well as stratospheric
11 features (class 8), and aerosols and insects detection (class 6 and 7 respectively). In particular, a
12 cloud layer is classified as supercooled water if its lidar backscatter signal, β , is higher than $2 \cdot 10^{-5}$
13 $\text{m}^{-1}\text{sr}^{-1}$ and if it strongly (at least 10 times) and rapidly (in the next 480 m) attenuates the lidar
14 signal. Note that the lidar is very sensitive to small drops in high concentration and therefore the
15 backscattered signal, in presence of liquid drops, is very high and a strong attenuation is expected
16 below the backscattering peak. Moreover, to be classified as supercooled water, a cloud layer needs
17 to be located in the temperature range from 0°C to -40°C (temperature of homogenous nucleation)
18 and to have a penetration depth (detected by the lidar) thinner than 300 m. When supercooled water
19 is detected, confident detection of cloud features by radar (i.e. when CLOUDSAT cloud mask
20 values are greater than 30 according to Mace (2007)) determines if ice is present or not in the same
21 sample volume. All cloud features with a temperature less than -40°C are considered as ice. This
22 retrieval technic only works when the lidar is operational.

23 A complete description of DARDAR algorithm is presented in *Delanoë and Hogan (2008, 2010)*
24 and in *Ceccaldi et al. (2013)*. Version 1 of DARDAR-MASK (v.1.1.4), distributed by the French
25 data center ICARE, is used in this study and provides a categorization of pixels according to the
26 classes summarized in Table 1.

27

28 **2.2 Dataset and methodology**

29 In this study, mixed phase clouds are defined based on DARDAR classification. All DARDAR
30 pixels satisfying one of the following two conditions are assumed to belong to MPCs:

- 31 - they are classified as a mixing of ice and supercooled water (class 2, see Table 1)

- they are classified as supercooled only (class 4, see Table 1) associated with the presence of ice or ice and supercooled water mixing in the 3 vertical adjacent pixels.

Note that “isolated” supercooled water pixels are not classified as mixed phase clouds.

The annual and seasonal variability of the total cloud fraction and MPC is investigated using CALIPSO/CLOUDSAT measurements performed continuously from 2007 to 2010. In this study the Arctic region is defined by all latitudes between 60°N (Liu et al., 2012) and 82°N (upper limit of CALIPSO/CLOUDSAT measurements) and the Svalbard region is defined as the area between 0° and 30°E of longitude and 75°N and 80°N of latitude.

The spatial frequency of occurrence and vertical distributions are determined for both total cloud and MPC. The spatial distribution of clouds is studied for altitudes spanning from 500 m up to 12 km (according to the study of Liu et al., (2012)) as well as for low-level altitudes ranging between 500 m and 3 km (according to Zygmuntowska et al. (2012)). A minimum altitude of 500 m is chosen to avoid surface contamination in the CLOUDSAT data (Marchand et al., 2008) and also to avoid false water detection (due to the lidar high backscattered signal from the ground) in the DARDAR algorithm. These instrument shortcomings will be discussed further in section 2.3. The seasonal occurrence is calculated for March-April-May (MAM for spring), June-July-August (JJA for summer), September-October-November (SON for autumn) and December-January-February (DJF for winter). The horizontal spatial resolution of cloud occurrence is 5° in longitude and 2° in latitude and the vertical resolution is 60 m for the profiles.

For each season (or month), cloud and MPC spatial occurrences (hereafter F_{CLOUD} and F_{MPC}) in each box of 2° latitude (Δlat) by 5° longitude (Δlon), are computed according to equations (1) and (2), where (i,j) are the latitudes and longitudes of the CALIPSO/CLOUDSAT granules falling into the box (Δlat width by Δlon length). $N_{footprints}$ is the total number of footprints in the box.

$$F_{CLOUD}(\Delta lat, \Delta lon) = \frac{\sum_{i,j} N_{CLOUD}(i,j)}{N_{footprints}} \quad (1)$$

$$F_{MPC}(\Delta lat, \Delta lon) = \frac{\sum_{i,j} N_{MPC}(i,j)}{\sum_{i,j} N_{CLOUD}(i,j)} \quad (2)$$

1 $N_{\text{CLOUD}}(i, j)$ (and $N_{\text{MPC}}(i, j)$) is the occurrence of all clouds (and MPCs) in the atmospheric column
 2 at latitude i and longitude j , and is defined according to equation (3), where z_{min} and z_{max} are the
 3 altitude boundaries for occurrence calculation. $z_{\text{min}} = 500$ m and $z_{\text{max}} = 12$ km or 3 km depending on
 4 the altitude range taken into account (all-level or low-level domain respectively). $n_{\text{CLOUD}}(i, j, z)$
 5 (and $n_{\text{MPC}}(i, j, z)$) refers to the detection of clouds (and MPCs) at altitude z and coordinates (i, j) . It
 6 is equal to 1 if cloud (or MPC) is present, and 0 if not. The presence of cloud (and MPC) is detected
 7 in each atmospheric column if at least 3 consecutive pixels (i.e. minimum thickness of 180m) are
 8 classified as cloud (MPC) by DARDAR.

9

$$N_{\text{CLOUD or MPC}}(i, j) = 1 \text{ if } \sum_{z_{\text{min}}}^{z_{\text{max}}} n_{\text{CLOUD or MPC}}(i, j, z) \geq 3$$

10

(3)

$$= 0 \text{ if } \sum_{z_{\text{min}}}^{z_{\text{max}}} n_{\text{CLOUD or MPC}}(i, j, z) < 3$$

11

12 The vertical distribution of MPC occurrence (hereafter $F_{\text{MPC}}(z)$) is computed at each altitude level z
 13 according to equation (4) where i and j are the latitudes and longitudes of the
 14 CALIPSO/CLOUDSAT granules falling into the considered domain, i.e. the Svalbard region (75-
 15 80°N, 0-30°E) or the whole Arctic region (area between 60°N and 82°N).

16

$$F_{\text{MPC}}(z) = \frac{\sum_{i,j} N_{\text{MPC}}(i,j,z)}{\sum_{i,j} N_{\text{CLOUD}}(i,j,z)} \quad (4)$$

18

19 From equations (1), (2) and (4) one can note that F_{CLOUD} refers to time and F_{MPC} and $F_{\text{MPC}}(z)$ refer
 20 to time where cloud occur.

21

22 **2.3 Uncertainties and limitations**

23

1 Before deriving a climatology of the MPC occurrence, we first have to keep in mind that our
2 analysis of CALIPSO and CLOUDSAT observations and therefore DARDAR retrieval products
3 suffer from uncertainties due to both measurement technique and the retrieval method. In the
4 following we will discuss these limitations and their potential impact on the results presented in this
5 study.

6

7 **2.3.1. Limitations of observations near the surface**

8 Space remote sensing have inherent and well-known shortcomings near the ground. For example, if
9 the radar clutter is not properly removed, CLOUDSAT radar ground echoes lead to an
10 overestimation of low-level cloud amount (Marchand et al., 2008). On the other hand, the
11 probability of total or partial attenuation of lidar laser beam by upper cloud layer increases when
12 approaching ground level and could induce an underestimation of the low-level cloud amount
13 assessed by the lidar. The difficulty for DARDAR algorithm to distinguish ground lidar attenuated
14 backscattering signal from an overlaying liquid layer is another shortcoming in the retrieval method,
15 which can lead to a cloud misclassification. The final impact (overestimation or underestimation) of
16 these different issues on low-level cloud occurrence remains uncertain.

17

18 **2.3.1.1. Ground contamination on CLOUDSAT data**

19 As described in section 2.2., a minimum altitude threshold of 500 m is chosen to compute cloud
20 occurrence. However, ground contamination could also affect CLOUDSAT cloud detection
21 measurements above an altitude of 500 m.

22 We recall that DARDAR MASK algorithm is based on the CLOUDSAT cloud mask (and also the
23 CALIPSO lidar mask) to classify pixels as clouds (Delanoë and Hogan, 2010). Regarding
24 CLOUDSAT measurements, a pixel is considered as cloud if its radar mask reports a value of 30 or
25 greater (indicating a high confidence in the cloud detection according to Mace (2007)). Values
26 smaller than this threshold are considered inaccurate and possibly contaminated by ground echoes.
27 Therefore, DARDAR MASK algorithm excludes data contaminated by ground. This implies that
28 the data set is reduced between 500m and 1000m. The fraction of reliable data (clear sky or
29 atmospheric features) has been determined and represents about 40% of the total data in this altitude
30 range. The remaining 60% correspond to data assigned either to ground contamination on radar data

1 (70%) or to lidar total attenuation (30%). This result gives an insight on how ground contamination
2 affects the observations and reduces the dataset in the 500m-1km altitude range.

3 Moreover, in previous studies, Kay and Gettelman (2009) used a detection altitude limit of 720 m to
4 prevent false detection. On the other hand, *Huang et al.* (2012) showed that DARDAR data between
5 700 m and 1000 m were reliable for cloud occurrence determination. In the present study, a short
6 sensitivity test is made by computing cloud occurrences with a threshold of 1000 m in order to
7 check differences with the occurrences obtained with a 500 m altitude threshold. As expected, the
8 use of a 1000 m threshold leads to a decrease of the cloud occurrences compared to a 500 m
9 threshold. Differences lie between 4 % and 18 % depending on the cloud type and the altitude
10 domain considered. These results are consistent with similar tests made on CALIPSO/CLOUDSAT
11 standard NASA products by Zygmuntowska et al. (2012) showing that F_{CLOUD} derived with a 1000
12 m minimum altitude threshold is smaller than the F_{CLOUD} derived with a 500 m minimum altitude
13 threshold by up to 26%.

14

15 **2.3.1.2. Comparisons with ground based observations**

16 The unique way to accurately assess and quantify the limitations of DARDAR products in the low-
17 level altitude domain is to compare them with collocated ground-based measurements. Such a work
18 has been recently done by Blanchard et al. (2014). They directly compared ground-based
19 observations at the Eureka Arctic station, located in the Canadian archipelago (80.2°N, 86.2°W,
20 Nunavut, Canada), with collocated A-Train observations and DARDAR products (V1 version).
21 They performed a detailed study on cloud fraction and vertical distribution over the Eureka station,
22 identifying and quantifying uncertainties and limitations of A-Train observations and DARDAR
23 products. The largest differences occur below 2km of altitude. The cloud fraction derived from
24 spaceborne observations is lower than the one obtained from ground based observations by 10% in
25 the 500-1000m altitude domain and by up to 25% at the 0-500m altitude domain (see figure 5 from
26 Blanchard et al. (2014)). These differences are mainly attributed to undetected low-level clouds due
27 to sensitivity loss of CALIOP lidar and surface proximity for CLOUDSAT radar, but also to the
28 distance between satellites tracks and the ground station. Moreover, near the surface, ground-based
29 measurements may be affected by local effects and cloud fraction may be overestimated by the
30 presence of ice crystals or diamond dusts close to the ground which are classified as clouds, as
31 confirmed by in Shupe (2011) and Bourdages et al. (2009).

1 To complement the study of Blanchard et al. (2014) a short comparison is made between the
2 occurrence of low-level clouds using the DARDAR product and the one derived from ground-based
3 locations available in previous studies.

4 Figure 2 shows the comparison of the vertical profile of cloud occurrence from the DARDAR
5 product (with various configurations and different settings, cf. legend of the figure) with that from
6 Ny-Alesund ground based observations (Micro Pulse Lidar (MPL) measurements during March and
7 April 2007, see Hoffmann et al. (2009)). Fig. 2a displays DARDAR profiles at the original vertical
8 resolution (60 m). In Fig. 2b are shown the DARDAR profiles with 1 km vertical resolution to be
9 consistent with the measurements performed by Hoffmann et al. (2009). Above approximately 5
10 km, DARDAR detects more cloud than the ground based lidar. This is due to the attenuation of the
11 ground based lidar signal at high altitude levels. A proportion of high clouds, probably the optically
12 thin ones, are also missed when considering radar measurements alone. These results are in
13 agreement with those presented in Blanchard et al. (2014). Between 2 and 5 km, space and ground
14 based observations agree quite well, as also shown in Blanchard et al. (2014). Below 2 km, cloud
15 occurrences from space observations are larger than from ground based by about 20% between 1km
16 and 2 km, and by about 10% below 1 km. One can also note that if ground clutter is not removed
17 (red curve), cloud fraction may be greatly overestimated by up to 40%.

18 Figure 3 displays ground based observations of the single layer MPC seasonal occurrence over the
19 Eureka station (from de Boer et al. (2009), blue line) as well as the one derived from DARDAR
20 products (red and green lines). The results show that DARDAR and ground based observations are
21 in good agreement, in particular regarding the annual variability. The maximum discrepancies (5%)
22 occur in autumn even though no systematic bias can be identified. One also can note that the choice
23 of a 500 m or 0m minimum altitude threshold on DARDAR data does not significantly impact the
24 cloud occurrence.

25 These results show that the variability of F_{CLOUD} or F_{MPC} determined from space observations agree
26 well with ground based observations. However, and in contrast to the results of Blanchard et al.
27 (2014), our results do not highlight a systematic bias in the low-level cloud amount retrieved from
28 space observations. It may be due to the small data set used for the comparisons (two months and
29 several seasons), to the accuracy of the colocalisation in space or also due to the differences in the
30 cloud and MPC occurrence determination method. It is obvious that a more detailed study based on
31 comparisons of space and ground-based observations aiming to quantify the uncertainties in the
32 low-level cloud amount is needed and would be useful, but is beyond the scope of the present study.

1 The uncertainties of DARDAR products in the low-level altitude domain remain thus difficult to
2 accurately assess, but some conclusions can be drawn regarding these results. First, the DARDAR
3 product is reliable above 2 km. Secondly, below 2 km, uncertainties in cloud or MPC occurrence is
4 up to 20% between 500m and 2 km, and up to 25% below 500 m when considering ground based
5 observations as a reference. This information will be considered in the discussion regarding cloud
6 and MPC occurrences results below.

7

8 **2.3.2. Multiple layer clouds**

9 One more ambiguity concerning MPCs is the ability of the remote sensing to detect several liquid
10 layers. Indeed, the lidar suffers from a strong attenuation when liquid is present and therefore it is
11 quite difficult to detect more than one supercooled liquid layer. Therefore, the detection of multi-
12 layer MPCs from remote sensing observations may be biased, inducing an inaccurate classification
13 of multi-layer MPCs in single-layer MPCs, and thus a possible overestimation of the ratio of single-
14 layer MPCs to multi-layer ones. Note that so far none of the remote sensing techniques (ground or
15 satellite) can address this. The only solution remains to fly an aircraft between two layers.

16

17 **2.3.3. DARDAR algorithm and assumptions**

18 An additional possible source of uncertainty concerns the DARDAR retrieval algorithm. DARDAR
19 detects supercooled liquid (and warm liquid) layers from a strong lidar backscatter signal (see Fig.
20 1). But some additional pixels with a strong backscatter signal located above and below the liquid
21 layer can also be misclassified as liquid. So, these additional supercooled pixels may lead to an
22 overestimation of supercooled liquid water occurrence and supercooled liquid layers thickness. The
23 present study uses version 1 of the DARDAR products, but a new algorithm (Ceccaldi et al., 2013)
24 is expected to remove these additional pixels which may be currently included in version 1 of
25 DARDAR MASK. The detailed study by *Ceccaldi et al.* (2013) showed that ice fraction will
26 increase by a factor of about 15 % in the future version 2, associated to a decrease of the
27 supercooled water fraction (up to 25 %), as compared to version 1. Therefore, version 1 may
28 overestimate supercooled liquid layers thickness, and thus also the occurrence of mixed phase
29 clouds. However, DARDAR V2 product is currently not available for the entire
30 CALIPSO/CLOUDSAT dataset, and the results from Ceccaldi et al. (2013) are based on one case
31 study and 3 months of data only. So, in order to quantify how these differences can affect the results

1 of the present study, comparisons are performed between the two product versions over one month
2 of data (April 2007). Figure 4a shows differences between the two versions in terms of F_{CLOUD} ,
3 F_{MPC} and $F_{\text{MPC-IB}}$ for the low-level and the all-level altitude domains. Differences lie between 3 %
4 and 15 % for the whole Arctic, and between -2 % and 8 % on the Svalbard region. Figure 4b
5 presents the mean vertical profiles extracted from both data versions. The trends are similar but V2
6 present smaller values for clouds and MPC by about 5 % whereas the ice phase is larger by around
7 5-10 % in V2.

8

9 At last, as shown in Fig. 1, supercooled and mixed phase pixels detection from the DARDAR
10 algorithm is dependent on the *a priori* threshold considered for variables such as temperature or
11 layer thickness. In particular, temperature is determined based on ECMWF reanalysis, and its
12 accuracy may be a source of error in supercooled liquid retrieval algorithm. However, the ECMWF
13 temperature uncertainty is estimated to 0.6 K (Benedetti, 2005) which is considered to be acceptable
14 by Delanoë and Hogan (2010).

15

16 The Arctic region is considered northward 60°N in this study, as done in several previous studies
17 [Cesana *et al.*, 2012; Chernokulsky and Mokhov, 2012; Liu *et al.*, 2012 among others]. However, no
18 real definition of the Arctic region is truly established, and some authors use a different limit, such
19 as 65°N (Kay and Gettelman, 2009; Nygård *et al.*, 2014), 68°N (Zygmuntowska *et al.*, 2012) or
20 70°N (de Boer *et al.*, 2012). These latitude boundaries were tested (not shown here) and differences
21 observed on clouds and MPCs occurrences are not significant since they vary only by a few % (by
22 less than 5 % between 60°N and 70°N limits).

23

24

25 **3 Total Cloud Occurrence (F_{CLOUD})**

26

27 In this section, the total cloud occurrence (F_{CLOUD}) derived from the DARDAR product is first
28 compared to F_{CLOUD} derived from the main ground based observations in the Arctic region available
29 from previous studies.

1 Figure 5a shows the monthly total cloud occurrence F_{CLOUD} over the Arctic region derived from
2 DARDAR along with an overview of the main previous studies (namely Atqasuk, Barrow, Eureka,
3 the Surface Heat Budget of the Arctic Ocean experiment (SHEBA), summarized in *Orbaek et al.*
4 (1999), *Dong et al.* (2010) and *Shupe et al.* (2011) works), as well as the standard NASA
5 CALIPSO/CLOUDSAT products for 2007 and 2008 (Zygmuntowska et al., 2012). Figure 5b
6 focuses on the Svalbard region and reports the monthly F_{CLOUD} determined from DARDAR
7 products along with visual and MPL (Micro Pulse Lidar) ground based observations in Ny-Alesund
8 and ISCCP measurements (Orbaek et al., 1999; Shupe et al., 2011).

9
10 Ground based observations are expected to capture more accurately low level clouds below 500m
11 (Blanchard et al. (2014) and discussion in section 2.3). This could explain the differences between
12 space borne and ground based measurements observed in Fig. 5a. However, the variability within
13 ground based measurements still remains significant due to the discrepancies of the measurement
14 technics or the localization of the observatories. Furthermore, comparing the annual or seasonal
15 variability over western Arctic regions (Fig. 5a) and over the Svalbard region (Fig. 5b), no
16 noticeable trend is observed: on Fig. 5b, Ny-Alesund ground visual and MPL observations show a
17 maximum occurrence in summer and early autumn (~70-80%), while ISCCP observations display
18 an opposite trend over the ocean on the west side of Svalbard. In the western Arctic (SHEBA
19 experiment and Eureka, Barrow and Atqasuk sites on Fig. 5a), cloud variability is significantly
20 different as the maxima of cloud occurrences are observed in spring and mostly in autumn, with
21 values ranging from 80% to nearly 100%.

22 The standard NASA retrieval products from CALIPSO/CLOUDSAT in 2007 and 2008 (2B-
23 GEOPROF-LIDAR products, (Zygmuntowska et al., 2012)) as well as the DARDAR products are
24 also reported in Fig. 5a. Both products show an annual variability with two maxima in spring and
25 autumn. As expected, NASA and DARDAR products are consistent, justifying the methodology
26 based on DARDAR products proposed for this study. The small differences observed between the
27 two products could result from the data processing methodology (differences linked to the
28 geographical domain of the studied area and the surface type taken into account as well as the
29 difference in the vertical resolution between NASA and DARDAR products). Figure 5b shows that
30 the annual variability of F_{CLOUD} derived from active and passive space remote sensing observations
31 (A-Train and ISCCP) are not in accordance with Ny-Alesund ground based measurements. Indeed,

1 ground based observations show a maximum of cloud occurrence during summer (between 60%
2 and 80%), while spaceborne observations show a minimum in that season.

3 Finally, Figs. 5a and 5b highlight that, except during summer, the Svalbard region exhibits all year
4 long a total cloud occurrence exceeding the mean Arctic occurrence by at least 5%. This is
5 especially true in winter and spring where the cloud occurrence over Svalbard is larger than the
6 Arctic average by about 20%.

7

8 **4 Mixed Phase Clouds and Single Layer Mixed Phase Clouds Occurrence**

9

10 The arctic stereographic projections of the mean seasonal occurrences of clouds (F_{CLOUD}) and MPC
11 in particular (F_{MPC}) as well as the corresponding monthly average time series are displayed in Figs.
12 6, 7a and 7b respectively. In these figures and in accordance with the equations of section 2.2., we
13 recall that F_{CLOUD} refers to time and F_{MPC} refers to time where clouds occur. One can note that
14 MPCs are encountered in all seasons in the Arctic region. They seem to be linked to the surface
15 type as larger occurrences are observed over ocean than over land, especially in the Greenland and
16 Barents seas region. In particular from May to October, about 50 % of the clouds over the entire
17 Arctic region are MPCs, (Fig. 7a). In winter and early spring, F_{MPC} is close to 30 %. However, the
18 spatial and temporal distribution of MPCs is highly inhomogeneous. Larger occurrences up to 60 %
19 are observed over the Greenland Sea throughout the year and over the Canadian basin and the
20 Chukchi Sea in autumn. Over the Svalbard region (Fig. 7b), F_{MPC} is equal or larger compared to the
21 Arctic average, and less contrasted, with values around 55 %, ranging between 45 % and 60 % all
22 year long.

23 Figure 8a presents the vertical profiles of the mean seasonal vertical distribution ($F_{\text{MPC}}(z)$), over the
24 whole Arctic and over the Svalbard region. The grey zone on each profile in Fig. 8a symbolizes the
25 region below 500 m, where space remote sensing data are considered not accurate enough due to
26 surface contamination. For altitudes below 3000 m, and mainly over the Svalbard region, $F_{\text{MPC}}(z)$
27 sharply increases with decreasing altitude except for summer observations. During the transition
28 seasons (spring and autumn), the maximum occurrence of MPCs is reached between 500 m and
29 1500 m above sea level with values higher than 15 % and 20 % over the entire Arctic and over the
30 Svalbard region respectively. Above a typical altitude of 3000 m, the MPC frequencies decrease
31 below 10 %. The main result from these figures is that MPCs prevail mainly at altitudes lower than

1 3000 m both over the whole Arctic and over the Svalbard region. The largest occurrences are
2 observed below this altitude level with values almost twice as large as the ones encountered above.
3 $F_{\text{MPC}}(z)$ in both regions exhibit similar characteristics despite a more pronounced low level cloud
4 increase over the Svalbard region. However, in summer, the vertical profile $F_{\text{MPC}}(z)$ is characterized
5 by a higher cloud amount above 3000 m and less clouds below 3000 m than during the other
6 seasons. This finding is confirmed by figures 7c-d and 7e-f, which display respectively the monthly
7 low-level F_{MPC} and the ratio of low-level MPC over total MPC. Monthly low-level F_{MPC} is clearly
8 characterized by two peaks in spring and autumn, and a clear decrease in summer over the Svalbard
9 region as well as over the entire Arctic. Figs. 7e, 7f and 8a show that MPC clouds are mostly
10 confined to low altitudes, except in summer. As pictured in Figs. 7e and 7f, nearly 70 % and 90 %
11 of the MPCs (over the Arctic region and the Svalbard region respectively) are located below 3000
12 m. Summer season presents a large decrease of this ratio, with values down to 30 % for the whole
13 Arctic and 40 % for the Svalbard region. In summer, low level MPCs are less frequent (30 %)
14 which is consistent with the vertical profiles displayed in Fig. 8a showing a significant occurrence
15 of MPC in the mid-level altitude domain (3000-6000 m). Finally, Figs. 7e and 7f highlight that the
16 fraction of low level MPCs in the Svalbard region is systematically higher than the Arctic average
17 (10 % to 20 % more, mainly in winter and spring).

18 Finally, Fig. 8b displays the mean seasonal F_{MPC} computed from Eq. (1), (2) and (3), according to
19 the surface type (open water, sea ice and land surfaces). This figure displays that MPCs are more
20 prominent over open water than over sea ice and land. This difference is observed both over the
21 entire Arctic as well as the Svalbard region, and both for low-level and all-level MPCs, except in
22 summer. In particular during winter and spring, MPCs are more present over open water than land
23 or sea ice by up to 15 %. This result is consistent with the spatial variability displayed in Fig. 6b.
24 Moreover, results for all-level altitude domain (500 m to 12 km, Fig. 8b top panel) and for low-level
25 domain (500 m to 3 km, Fig. 8b bottom panel) are quite similar. Only the summer period shows no
26 significant differences according to surface type.

27

28 At last, we determine the occurrence of a specific type of Arctic MPCs: single layer mixed phase
29 clouds characterized by a single supercooled liquid layer at the cloud top and ice below,
30 precipitating down to the surface. This type of cloud will be noted thereafter MPC-IB (for Mixed-
31 Phase Cloud with Ice Below). In DARDAR products, pixels identified as mixed-phase and

1 presenting at least 3 ice pixels below are classified as MPC-IB type. Clouds characterized by ice
2 pixels above a liquid layer (i.e. corresponding to an embedded mixed-phase) are rejected.

3 First, we estimate the proportion of MPC-IB among MPCs. Figure 9 displays the ratio of MPC-IB
4 over MPC for the low-altitude domain (500 m - 3 km), both for the entire Arctic and the Svalbard
5 region. The variability of this ratio is rather small throughout the year. The proportion of MPC-IB
6 among MPC varies between 55 and 70 % over the whole Arctic and between 65 and 80 % over the
7 Svalbard region.

8 As was done for MPCs, the main characteristics of the MPC-IB occurrences are studied. Results are
9 not shown here because the vertical distribution and seasonal variability of MPC-IB clouds are quite
10 similar to those of MPCs: they are present all along the year, more frequently above open water
11 than land or sea ice, and more frequently in the Svalbard region, particularly in spring.

12 However, MPC-IB statistics must be used with caution as the measurement uncertainties linked to
13 the discrimination of multilayer MPCs from single-layer MPCs based on CALIPSO/CLOUDSAT
14 observations remains difficult to assess, as described in section 2.3.2. For comparison purpose, only
15 few previous studies tried to quantify the multilayer cloud occurrence. For example, *Verlinde et al.*
16 (2013) and *Intrieri et al.* (2002) show that multi-layers clouds may be as frequent as single-layer
17 clouds.

18

19 **5 Discussion**

20

21 In this study, the spatial and vertical occurrence of Arctic MPCs at the regional scale has been
22 assessed. Results highlight that the Arctic, and particularly the Svalbard area, is a very favorable
23 region for the formation and the evolution of MPCs and MPC-IB. However, all the processes
24 controlling the MPC life cycle remain difficult to understand. Indeed, these processes are numerous
25 and occur at different spatial and temporal scales, leading to complex interactions between them
26 (Morrison et al., 2012). Additionally, the Arctic region benefits from peculiar meteorological
27 conditions (Orbaek et al., 1999) and large-scale atmospheric circulation patterns.

28 The larger MPC and MPC-IB occurrences observed over the Svalbard compared to the Arctic
29 average may be linked to the contribution of the humid air and warmer water transported from the
30 North-Atlantic Ocean. This specific synoptic regime brings to the Svalbard region (and region of
31 Greenland sea) more moisture as compared to the rest of the Arctic (Serreze and Barry, 2005). Thus

1 cloud formation and its development can be amplified, since the supply of moisture by the North
2 Atlantic Ocean is favorable for the nucleation of liquid droplets. The initiation of new supercooled
3 liquid droplets will balance the loss due to the WBF process within the MPCs, and therefore
4 participate to maintain the equilibrium between ice and supercooled droplets. However, moisture is
5 not sufficient by itself to maintain the ice-liquid equilibrium. As shown in Korolev et al. (2003),
6 Korolev (2007) and Morrison et al. (2012), it has to be coupled with dynamics processes, such as
7 updraft from cloud base, or turbulence and entrainment at cloud top. Moreover, winter and
8 transition seasons are characterized most of the time by stable atmospheric conditions (Orbaek et
9 al., 1999), coupled with a temperature range favorable for mixed phase conditions. These conditions
10 associated with temperature and eventually humidity inversions at cloud top, which frequently
11 occur in these seasons (Nygård et al., 2014; Sedlar et al., 2012), can contribute to the containment
12 of Arctic clouds and MPCs at low altitudes by limiting their vertical extension. Summer and
13 autumn seasons exhibit large occurrence values for MPCs in the western Arctic (Chucki and
14 Canadian seas, Fig. 3a). During these seasons, the large open seas enable the warm water to be
15 transported across the Arctic, resulting in warm and moist air advection in the western Arctic. Open
16 water facilitates the vertical transfer of moisture, responsible for an increase of cloud formation as
17 compared to sea ice, which could explain the prevalence of MPCs during this period in the western
18 Arctic.

19 In an attempt to strengthen this assumption and to bring perspective to this work, a short analysis of
20 the variability of sea ice extent is done and its potential link with humidity, temperature and cloud
21 occurrence is investigated. Sea ice concentration data included in CALIOP Level 1 data from the
22 National Snow and Ice Data Center (NSIDC) are used. Two specific areas are selected:

- 23 - over the Greenland sea, between 70°N and 80°N and between 10°W and 20°E.
- 24 - over the Western Arctic (Chucki and Beaufort seas), between 70°N and 80°N and between
25 150°W and 180°W.

26 Figure 10a displays the annual variability of the specific humidity (top panel) and the 2m
27 temperature (bottom panel) in solid lines between 2007 and 2010 for the whole Arctic region
28 (black), over the Greenland sea (blue) and over the Western Arctic (orange). On both figures,
29 dashed lines represent the sea ice concentration from NSIDC (in %). Humidity and temperature are
30 from ECMWF and are interpolated onto the DARDAR grid. Specific humidity is averaged over the
31 0-500m altitude range. Note that the accuracy of these ECMWF retrievals is not discussed here
32 since we use them only to demonstrate their variability.

1 From this figure, one can see that sea ice variability is very pronounced in the Western Arctic with a
2 large decrease from late spring to late autumn (values larger than 90% in April decrease to less than
3 10% in September). Over the Greenland sea, sea ice variability is rather small, with values between
4 5% and 20%. A small decrease is still observed from spring to autumn. This figure highlights
5 clearly that sea ice concentration is inversely correlated with humidity and temperature. Moreover,
6 a delay is observed between the sea ice concentration minimum (September) and the temperature
7 and humidity maxima (July).

8 Finally, Figure 10b compares directly the total cloud and MPC occurrences with sea ice
9 concentration. Cloud and MPC occurrences clearly decrease when sea ice concentration increases.
10 This trend is observed both for all clouds and MPCs (slope around -0.30, with a correlation
11 coefficient of 0.7). Note that the results over the Greenland sea (blue dots) do not point out a
12 significant trend due to the small variability of the sea ice concentration throughout the year in this
13 region. This is in agreement with the high cloud occurrence observed all along the year in this
14 region. From these results, it seems that cloud and MPC cover are linked to the sea ice melting.
15 However, a more detailed investigation is needed to confirm this assumption, such as the study of
16 cloud and sea ice over the entire Arctic region since our study is limited to the region below 82°N.

17 Therefore, the results of the present study seem to indicate that sea ice melting and large-scale
18 circulation can have an important impact on MPC annual variability in the Arctic, by preventing
19 warm water and moisture advection to the entire Arctic during winter and early spring, and favoring
20 it the rest of the year (which is consistent with *Kay and Gettelman (2009)* and *Palm et al.*
21 *(2010)*). The decrease of low-level clouds and MPCs in summer could be explained by an increase
22 in air temperature coupled with less stable atmospheric conditions than during the rest of the year,
23 causing the formation of MPC at higher altitudes (as shown on vertical profiles in Fig. 8a).

24

25 At last, it is obvious that numerous other regional and local processes are involved in the MPC life
26 cycle and exert an influence on their spatial and temporal variability. Further studies are needed to
27 investigate these different processes and establish a link between them. For example, efforts are
28 currently focused on the study of local sources or long-range transported aerosols in the Arctic
29 region and their role to act as cloud condensation nuclei (CCN) or ice nuclei (IN). The link between
30 the variations of aerosol concentrations or compositions and the MPC characteristics should be
31 investigated as it might partially explain some variations in the cloud and MPC occurrences. It
32 would improve our understanding in the aerosol-cloud interactions in the Arctic, like the studies

1 performed by *Avramov et al. (2011)*, *Jackson et al. (2012)* or *Tjernström et al. (2014)*. Additionally,
2 *Orellana et al. (2011)* and *Tjernström et al. (2014)* highlighted that sea ice melting during summer
3 produces biogenic aerosol particles which could potentially act as CCN or IN for cloud and MPC
4 formation. The influence of the surface, the turbulence and dynamics effects at small and large
5 temporal and spatial scales on MPC occurrence also needs to be assessed more accurately. The most
6 difficult task remains to couple all these processes and quantify their respective importance in the
7 Arctic MPC evolution.

8

9

10 **6 Conclusions and outlook**

11

12 This work presents a study of cloud and mixed phase cloud occurrences over the Arctic region with
13 a focus on the Svalbard region. The methodology used is based on satellite active remote sensing
14 measurements. The CALIPSO/CLOUDSAT observations are processed with the DARDAR
15 retrieval algorithm to perform a cloud thermodynamic phase classification. The uncertainties and
16 limitations of this method are first evaluated from comparison with ground based observations. The
17 spatial frequencies of occurrence and vertical distributions are then determined for total cloud and
18 MPCs, over the entire Arctic region and over the Svalbard region. The cloud occurrences are also
19 investigated and discriminated according to the surface type (open water, sea ice and land). The
20 main results are summarized below:

21 • Based on comparisons with ground based observations, uncertainties in the determination of
22 cloud and MPC occurrence from the DARDAR product below 2 km of altitude are estimated to
23 up to 20% between 500m and 2km, and around 25% below 500m.

24

25 • Clouds are present in the Arctic throughout the year, with occurrences between 50 % and nearly
26 100 %, depending on the season and the location. Moreover, clouds are more frequent in the
27 Svalbard region than in the rest of the Arctic by around 5-10 %.

28

29 • Over the whole Arctic region, MPCs represent around 30 % of the clouds during winter and
30 around 50 % of the clouds the rest of the year. Over the Svalbard region, MPC occurrence is
31 almost constant all along the year, with values around 55 %. MPCs are mainly present at low-

1 altitudes as 70 % to 90 % of the MPCs are located below 3 km (both for the entire Arctic and the
2 Svalbard region), especially in winter, spring and autumn. In summer the MPC occurrence
3 becomes significant at mid-level altitudes (3-6 km). During spring, MPCs are located mainly
4 over the Greenland, Barents and Norwegian seas. In autumn, large occurrences are observed in
5 the western Arctic (over the Chucki and the Canadian seas). Moreover, MPCs are generally more
6 frequently encountered over open sea than over land or sea ice.

- 7
- 8 • The particular Arctic MPCs composed of a single supercooled liquid layer at cloud top and ice in
9 the lower part of the cloud (MPC-IB) represent between 55 % and 70 % of the MPCs over the
10 whole Arctic and between 65 % and 80 % of the MPC over the Svalbard region. Spatial,
11 seasonal and vertical variability of this cloud type have strong similarities with MPCs.
 - 12
 - 13 • Differences between MPC seasonality over the Svalbard region and over the entire Arctic region
14 can be partly explained by the vicinity of the North Atlantic Ocean and the particular
15 atmospheric conditions encountered around the Svalbard archipelago. The mixture of cold air
16 and warm water from the North Atlantic Ocean seems to be responsible for the large MPC
17 amount observed during spring over the Svalbard. In the western Arctic, the MPC maximum
18 frequency occur later during summer and autumn when heat and moisture are released due to the
19 melting of sea ice. A short analysis based on comparisons of sea ice concentration with
20 temperature, humidity and clouds and MPC occurrences seems to establish a link between sea
21 ice and cloud and MPC occurrences. Evidently, here a more detailed study is needed, as MPC
22 variability is also dependent on the regional scale characteristics such as the oceanic circulation,
23 and on more local conditions (such as the proportion of open water/sea ice which exhibits a
24 seasonal variability).

25

26 Space remote sensing observations of Arctic MPCs at regional scale highlighted large occurrence
27 frequencies, in particular concerning low-level MPCs. Thus, the present study contributes to
28 understand in which regional frame airborne campaigns and ground based observations have been
29 performed. However, space remote sensing observations present well-known uncertainties near the
30 surface which may have an important impact on low-level cloud amount determination. Therefore,
31 airborne campaigns will provide a more thorough characterization of MPC properties at small scale.

1 In particular, in situ measurements will help to understand microphysical processes involved in
2 MPCs.

3 As an example, in situ airborne measurements performed during ASTAR 2007 and POLARCAT
4 2008 campaigns, in spring, over the open water around the Svalbard region and the Greenland sea,
5 provided data from several boundary layer mixed phase clouds, with a typical MPC-IB structure
6 (Gayet et al., 2009). Several ascents and descents in all of these clouds have been performed
7 between clouds top and the sea level. Despite the small amount of data collected and the fact that
8 they are very localized in time and space, these in situ measurements can be considered a very
9 useful dataset for studying MPC at small scale.

10 In particular the quantification of ice and liquid particle microphysical and optical properties,
11 vertical profiles of water droplet and ice crystal microphysical properties, will give an insight into
12 the microphysical processes responsible for particles growth within MPCs. Accurate profiles of
13 relevant cloud parameters (for example asymmetry parameter, optical depth, ice/liquid water mass
14 fraction, ice crystal morphology, size and concentration, among others) may also be provided to
15 contribute to an improvement of cloud representation in global and meso-scale models and to
16 improve airborne and spatial remote sensing retrieval algorithms such as those of
17 CALIPSO/CLOUDSAT or in the near future EarthCare.

18

19 Finally, it would be of great interest to associate the present work with further studies at various
20 scales, especially at the small scale. The synergy of in situ and remote sensing observations will
21 allow the study of MPCs at multi-scale and it will particularly help to resolve some actual
22 unanswered questions concerning the definition of mixed phase clouds according to the scale of
23 observation. Such a multi-scale approach will, thus, improve the parameterization of MPCs in
24 remote sensing retrieval algorithms and their representation in models at different resolutions.

25

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3

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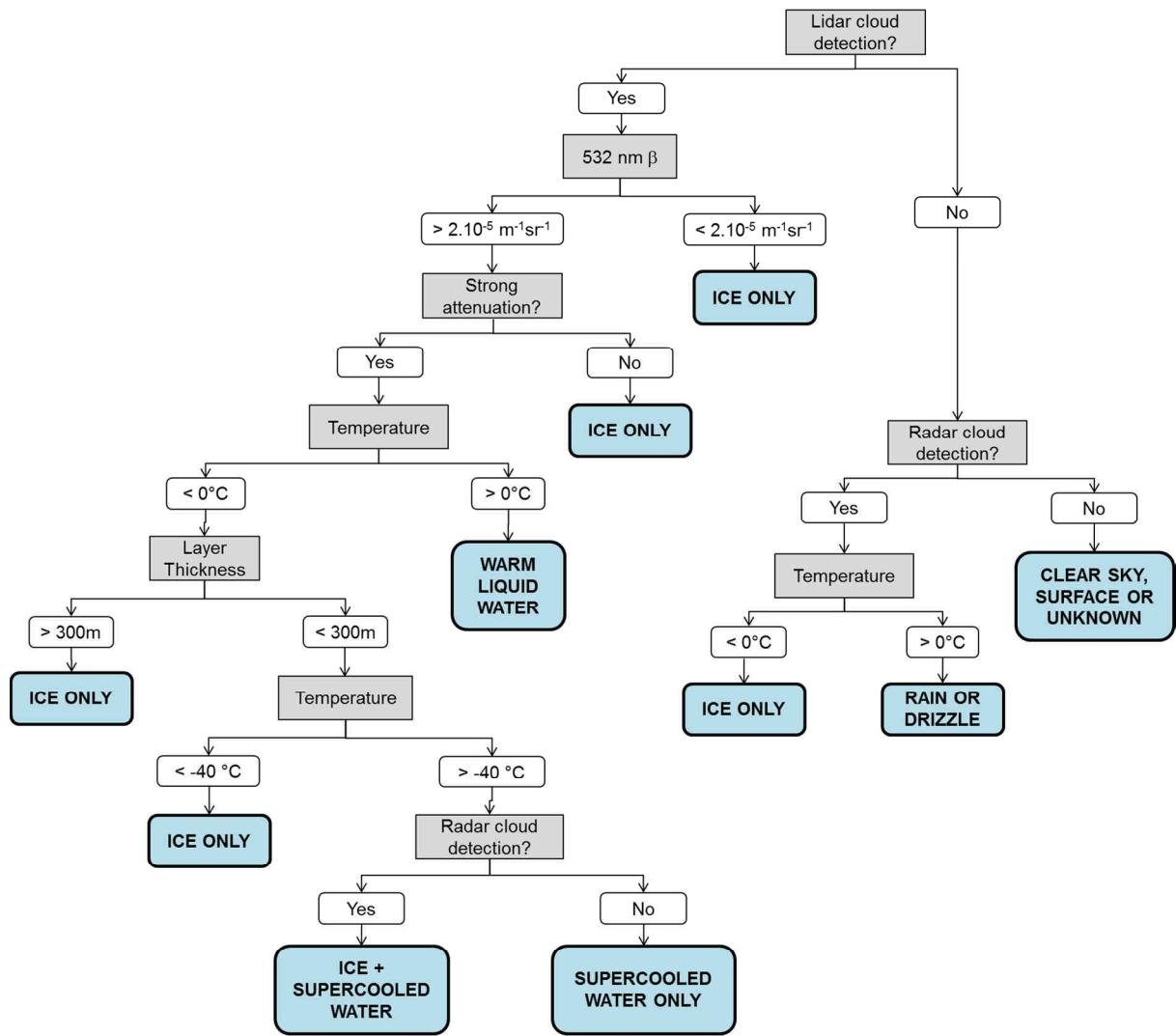
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1 Table 1. DARDAR classes used in V1 version.

2

Class number	Definition
-9	Unknown
-1	Surface and subsurface
0	Clear sky
1	Ice only
2	Ice + supercooled water
3	Warm liquid
4	Supercooled water only
5	Rain or drizzle
6	Aerosols
7	Possibly insects
8	Stratospheric features

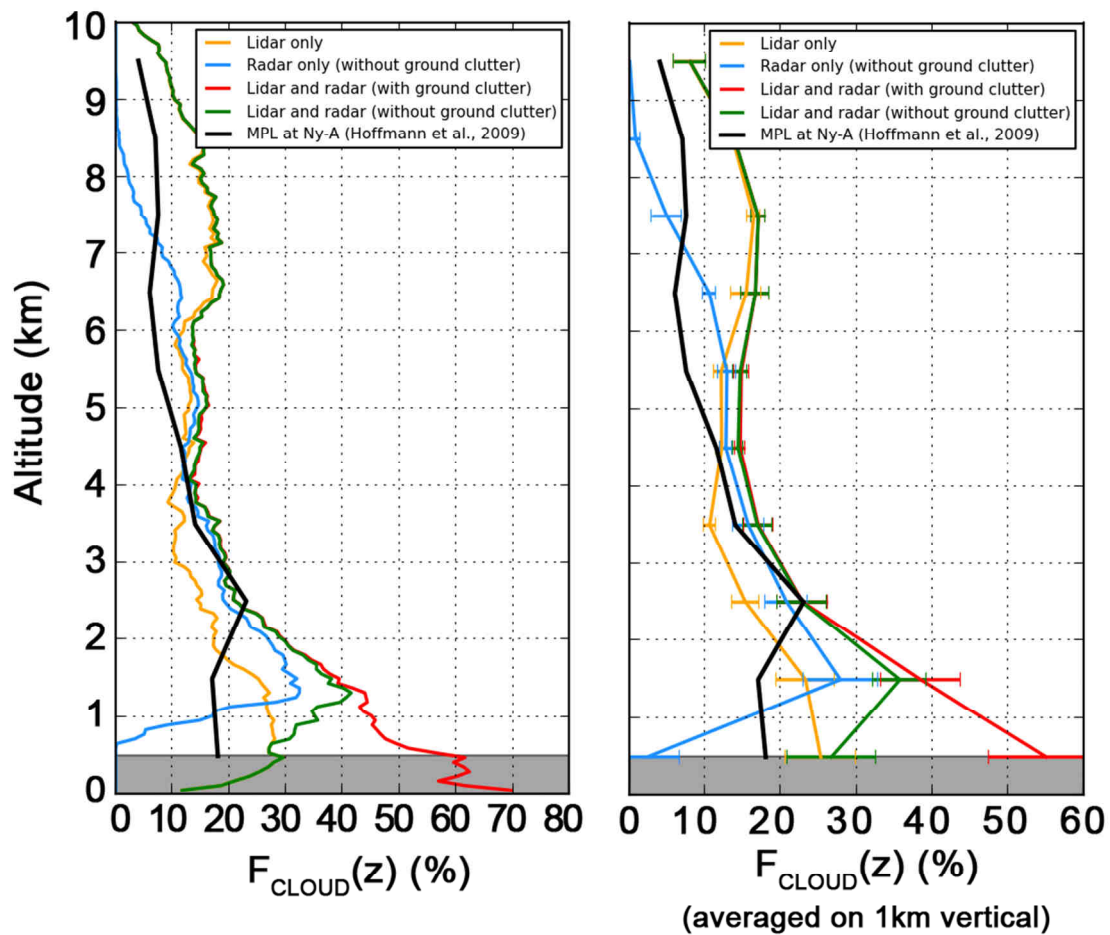
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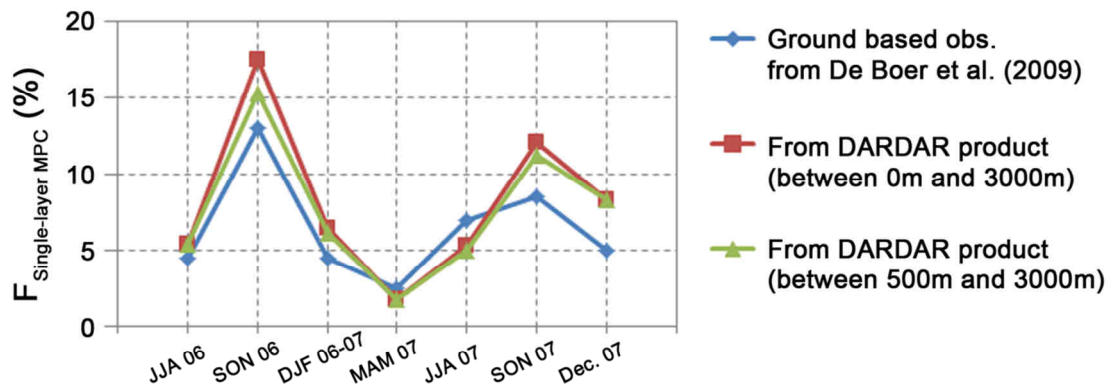
3 Figure 1. Representation of the DARDAR algorithm retrieval steps for cloud thermodynamic phase.



1

2

3 Figure 2. Vertical profiles of cloud occurrences over the Ny-Alesund station during March and
 4 April 2007. The black line refers to ground based lidar observations at 1 km vertical resolution
 5 (Hoffmann et al., 2009) and coloured lines refer to a DARDAR product according to different
 6 configurations and settings. DARDAR retrievals have a vertical resolution of 60m on the left panel
 7 and 1 km on the right panel.

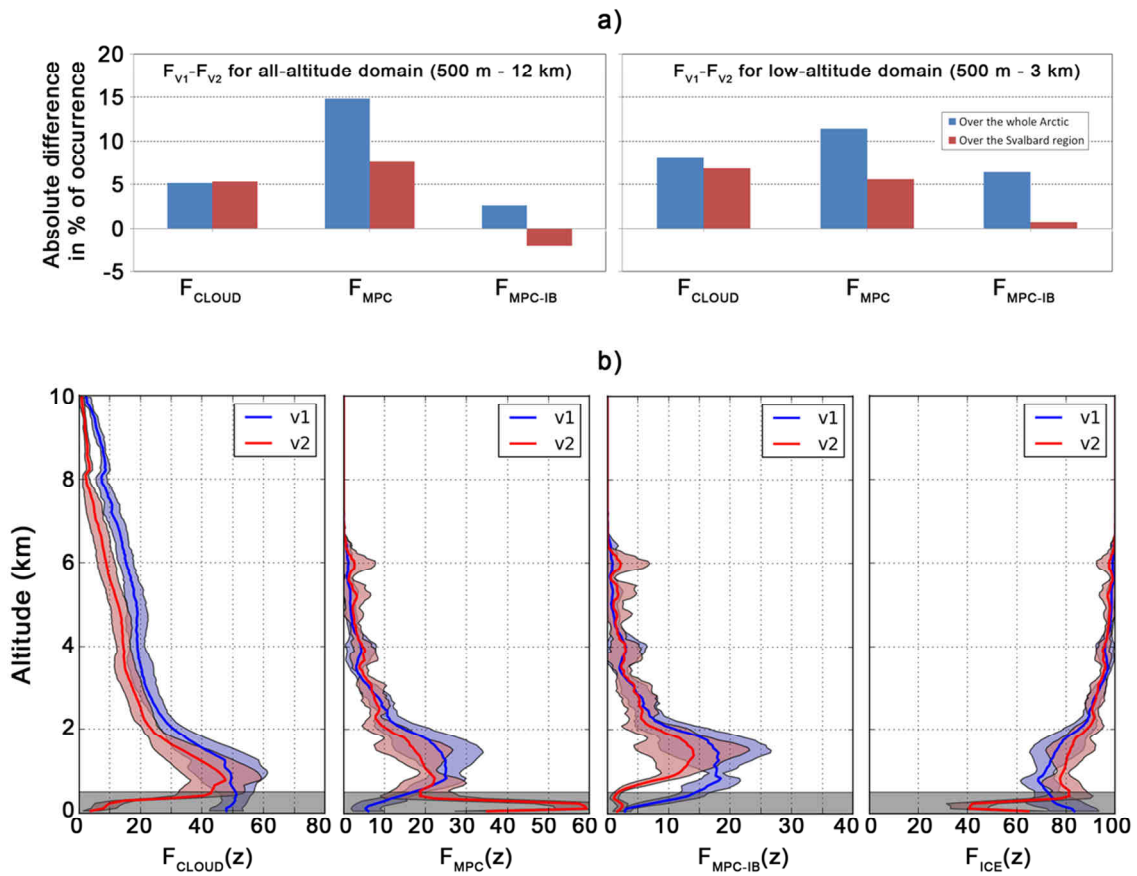


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2 Figure 3. Seasonal occurrence of MPCs over the Eureka station from June 2006 to December 2007
 3 from ground based observations (blue line from De Boer et al., (2009)) and from DARDAR product
 4 (green and red lines).

5

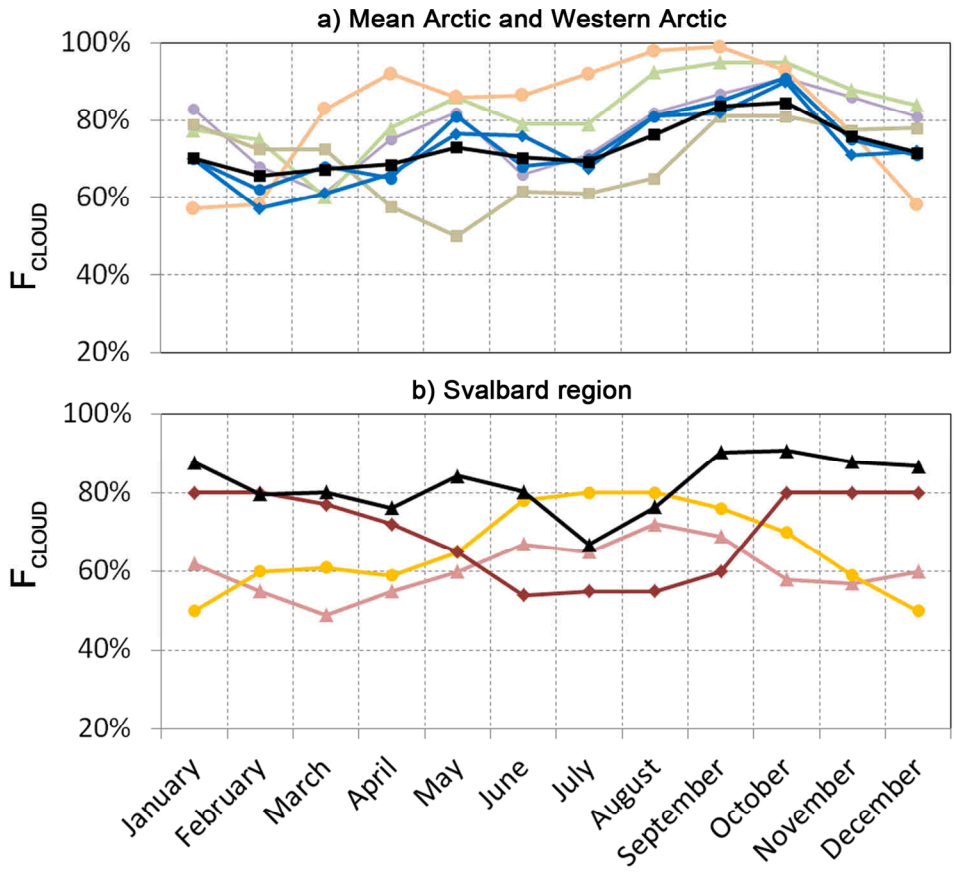
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3 Figure 4. a) Absolute differences in % of occurrence between occurrences computed from V1 and
 4 V2 DARDAR products for total cloud, MPC and MPC-IB and b) Mean vertical profiles of clouds,
 5 MPC, MPC-IB and ice clouds occurrences computed from V1 (blue lines) and V2 (red lines)
 6 DARDAR products for April 2007 over the Svalbard region. Filled areas represent the standard
 7 deviation.

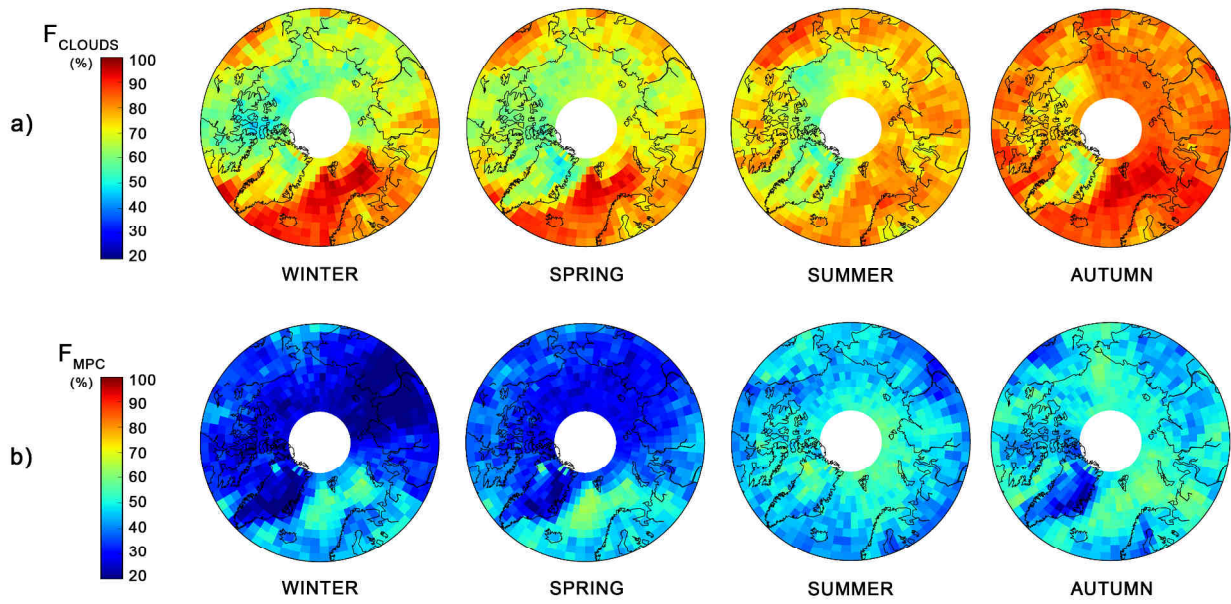


- Shupe et al. (2011) - Atqasuk site (Celiometer, MWR)*
- Shupe et al. (2011) - Dong et al. (2010) - Barrow site (MPL, MWR, AERI, Celiometer, Radar)*
- Shupe et al. (2011) - SHEBA experiment (Radar, Lidar, Celiometer, MWR, AERI)*
- Shupe et al. (2011) - Eureka site (HSRL, Radar, AERI, MWR, MPL)*
- Zygmuntowska et al. (2012) - Arctic region (CALIPSO/CloudSat NASA product 2007)**
- Zygmuntowska et al. (2012) - Arctic region (CALIPSO/CloudSat NASA product 2008)**
- This study - Arctic region (DARDAR product 2007-2010)**
- Shupe et al. (2011) - Ny-Alesund site (MPL)*
- Orbaek et al. (1999) - Ny-Alesund site (Manual obs.)*
- Orbaek et al. (1999) - West side of Svalbard (ISCCP)*
- This study - Svalbard region (DARDAR product 2007-2010)**

* observations above ground level (no lower limit); ** observations above 500m

MWR = Micro Wave Radar
MPL = Micro Pulse Lidar
AERI = Atmospheric Emitted Radiance Interferometer
HSRL = High Spectral Resolution Lidar
ISCCP = International International Satellite Cloud Climatology Project
SHEBA = Surface Heat Budget of the Arctic Ocean

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2 Figure 5. Monthly total cloud occurrence in the Arctic from ground and spaceborne remote sensing
3 observations: a) over the entire Arctic region and western Arctic ground based sites; b) over the
4 Svalbard region.

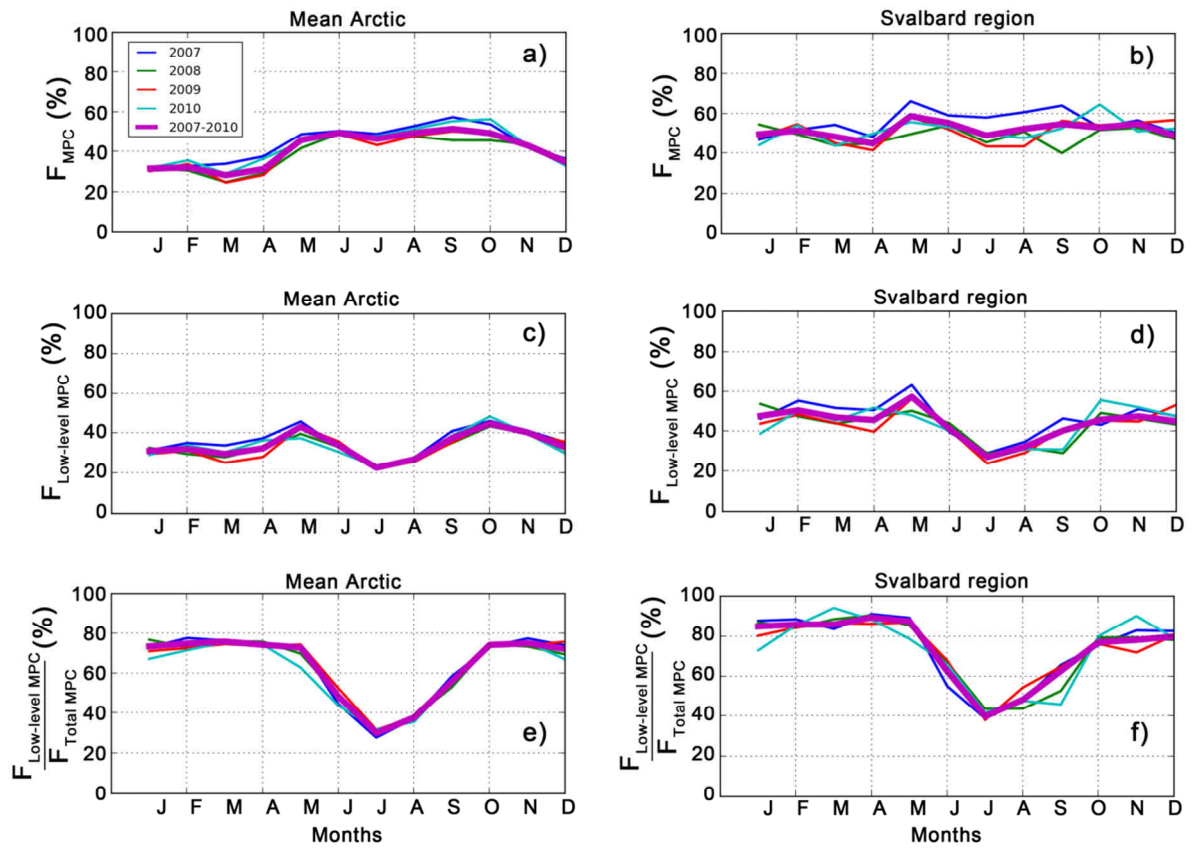


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3 Figure 6. Stereographic projections of the seasonal occurrence of: a) all clouds (referring to time)
 4 and b) MPCs (referring to clouds). Occurrences are computed taking into account the 500m to
 5 12000m altitude range.

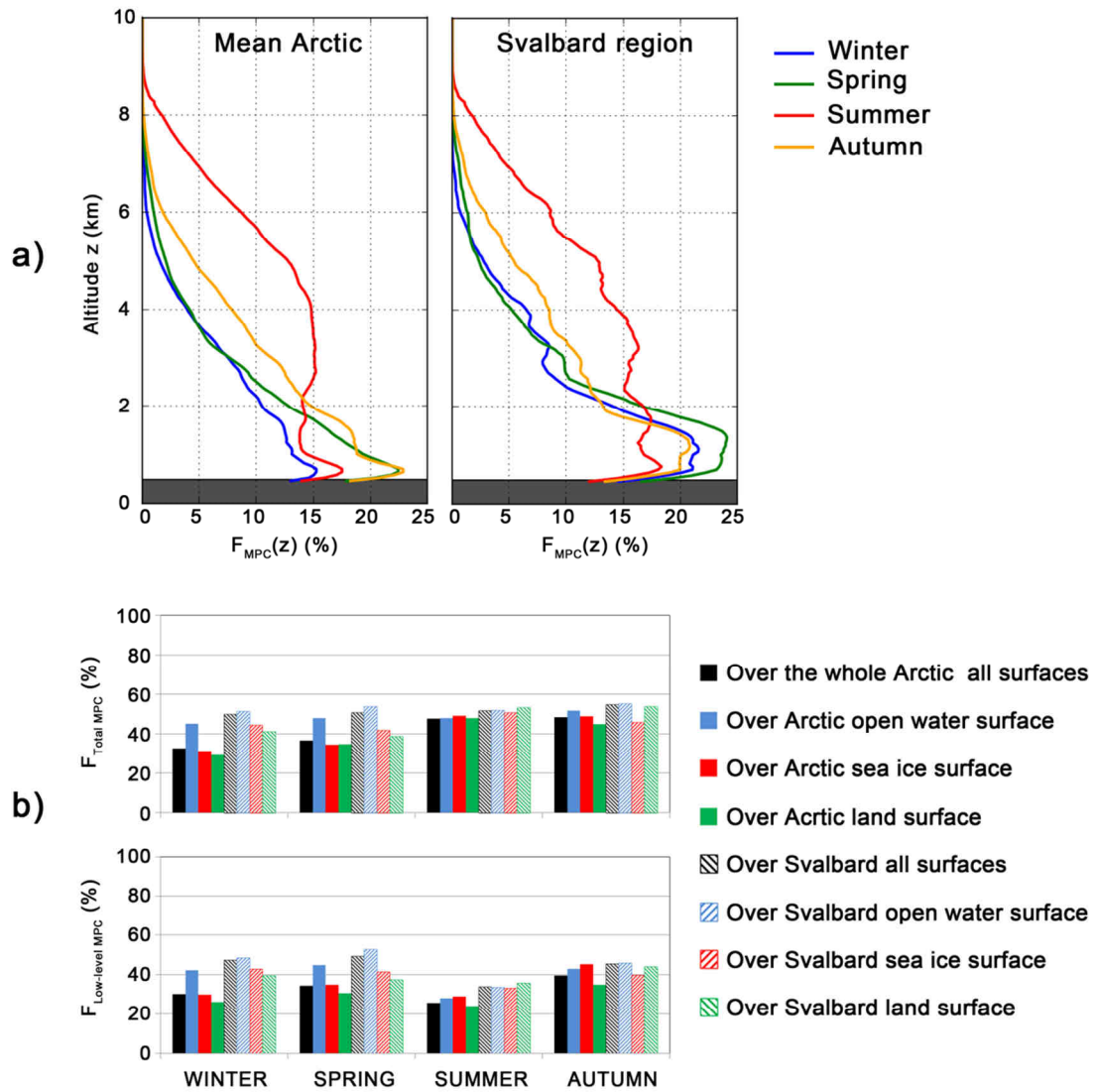
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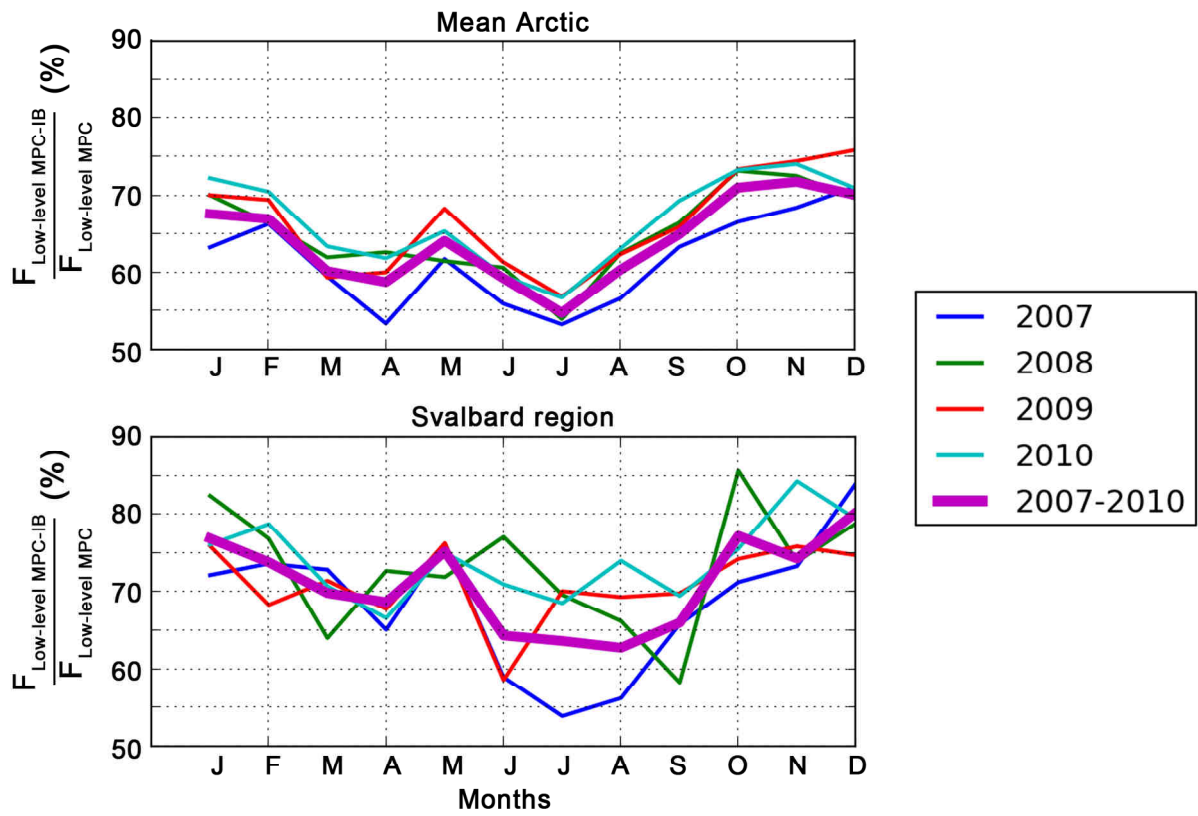
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4 Figure 7. Monthly total MPC (a and b) and low-level MPC (c and d) occurrence, and ratio of low-
5 level MPCs over total MPCs (e and f) over the whole Arctic region (left side panels) and over the
6 Svalbard region (right side panels).



1

2 Figure 8. a) Mean seasonal vertical profiles of the MPC occurrence over the Arctic region (left
 3 panel) and over the Svalbard region (right panel); b) Mean seasonal MPC occurrence according to
 4 the surface type for all-level (500m-12km, top panel) and low-level (500m-3km, bottom panel)
 5 altitudes.

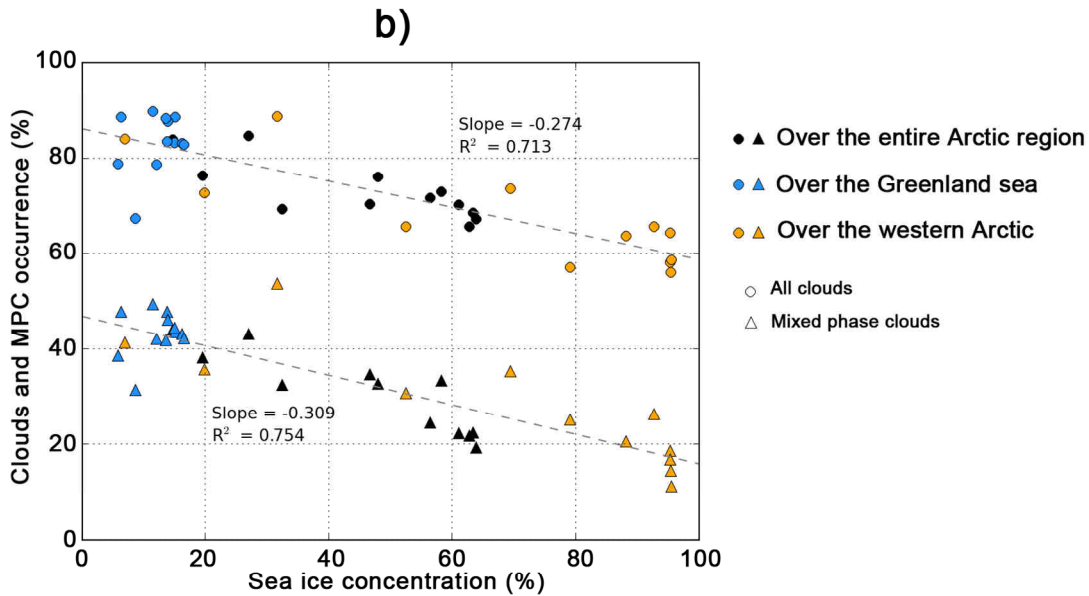
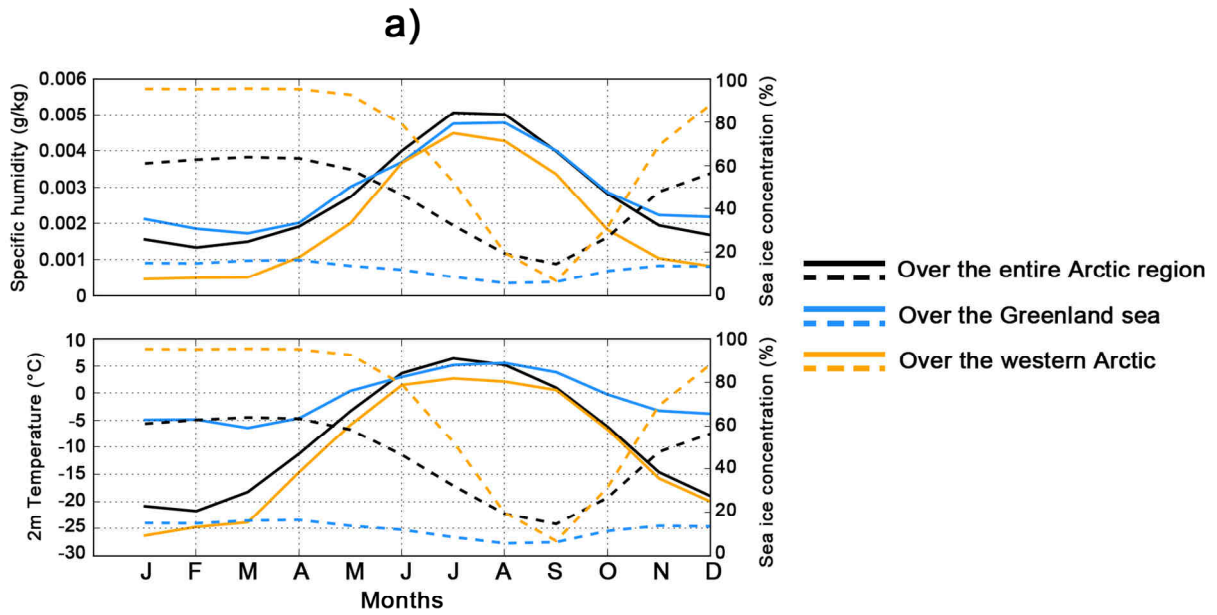


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3 Figure 9. Monthly-averaged ratio of low-level MPC-IB over low-level MPC over the Arctic region
 4 (top) and over the Svalbard region (bottom)

5



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2 Figure 10. a) Annual variability (monthly averaged) of specific humidity (straight lines on top
 3 panel) and 2m temperature (straight lines on bottom panel) and sea ice concentration (dotted lines
 4 on each panel), and b) Clouds (circle symbols) and MPC (triangle symbols) occurrences as a
 5 function of sea ice concentration. Colors refer to values over the whole Arctic region (black), over
 6 the Greenland sea (blue) and over the Western Arctic (orange).