Aerosol physicochemical properties and implications for visibility during an intense haze episode during winter in Beijing

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# 1 Abstract

2	The evolution of physical, chemical and optical properties of urban aerosol particles
3	was characterized during an extreme haze episode in Beijing, PRC, from January 24
4	through January 31, 2013 based on in-situ measurements. The average mass
5	concentrations of PM <sub>1</sub> , PM <sub>2.5</sub> and PM <sub>10</sub> were 99 $\pm$ 67µg m <sup>-3</sup> (average $\pm$ stdev),
6	$188\pm128 \ \mu g \ m^{-3}$ and $265\pm157 \ \mu g \ m^{-3}$ , respectively. A significant increase in PM <sub>1-2.5</sub>
7	fraction was observed during the most heavily polluted period. The average scattering
8	coefficient at 550 nm was 877 Mm <sup>-1</sup> ±624 Mm <sup>-1</sup> . An increasing relative amount of
9	coarse particles can be deduced from the variations of backscattering ratios,
10	asymmetry parameter and scattering Ångström exponent. Particle number size
11	distributions between 14 nm-2500 nm diameter showed high number concentrations,
12	particularly in the nucleation mode and accumulation mode. Size-resolved chemical
13	composition of submicron aerosol from a High Resolution-ToF-Aerosol Mass
14	Spectrometer showed that the mass concentrations of organic, sulfate, nitrate,
15	ammonium and chlorine mainly resided on 500nm to 800nm (vacuum diameter)
16	particles, and nitrate and ammonium contributed greatly to particle growth during the
17	heavily polluted day (January 28).
18	Increasing relative humidity and stable synoptic conditions on January 28 combined
19	with heavy pollution on 28 January, lead to enhanced water uptake by the hygroscopic
20	submicron particles and formation of secondary aerosol, which might be the main
21	reasons for the severity of the haze episode. Light scattering apportionment showed
22	that organic, sulfate, ammonium nitrate and ammonium chloride compounds

contributed to light scattering fractions of 54%, 24%, 12% and 10%, respectively.

24 This study indicated that the organic component in submicron aerosol played an

25 important role in visibility degradation during the haze episode in Beijing.

#### 26 1. Introduction

Atmospheric aerosol particles play a significant role in radiation balance and climate 27 28 forcing through direct scattering and absorption of solar radiation (Anderson et al., 2003; Poschl, 2005; Ramanathan et al., 2001). In addition, they can act as cloud 29 30 condensation nuclei (CCN) and thereby change the cloud albedo and lifetime (Twomey, 31 1977). Accordingly, the radiative properties of clouds are indirectly influenced by aerosol (Kaufman et al., 2005; Koren et al., 2005; Lohmann and Feichter, 2005). 32 Furthermore, the general public has to pay special attention to atmospheric aerosol due 33 to its deleterious effect on human health and degradation of visibility (Nel, 2005; 34 Watson, 2002), which are closely related to the chemical components, morphology, 35 mixing state, size distribution and hygroscopic properties of aerosol particles. 36 37 Along with the rapid economic growth in China, its capital city Beijing has suffered 38 substantially from air quality deterioration and visibility degradation, though the mass 39 concentration of  $PM_{10}$  has decreased in Beijing in the last ten years (Liu et al., 2015). Accompanied by frequent fog-haze days, the visibility in Beijing has decreased 40 dramatically to an unacceptable level. The frequency of visibility between 2km and 41 10km has increased from 37% in 1999 to 43% in 2007. (Zhang et al., 2010; Zhang et 42 al., 2012). The mass loading of fine aerosol particles and their precursors (e.g. NH<sub>3</sub>, 43

44	volatil	e organic compounds (VOCs), SO <sub>2</sub> and NO <sub>x</sub> ), can accumulate to high levels
45	within	the planetary boundary layer, especially during periods of persistent synoptic
46	scale s	tagnation and strong temperature inversions (Zhang et al., 2013). In the past
47	decade	e, many research projects have been done to characterize the chemical and
48	physic	al properties of aerosol particles in Beijing and its surrounding regions. These
49	studies	s mainly focused on the following aspects:
50	i)	Chemical composition, evaluation and sources apportionment based on filter
51		sampling and Aerosol Mass Spectrometry (AMS) (Huang et al., 2010b; Sun et
52		al., 2006; Zhang et al., 2014).
53	ii)	Mass concentration and optical properties of aerosol particles using in-situ
54		measurements or combined with MODIS (Moderate Resolution Imaging
55		Spectroradiometer) satellite remote sensing optical depth products (He et al.,
56		2009; Huang et al., 2010a; Li et al., 2010; Qu et al., 2010; Wang et al., 2012a;
57		Yang et al., 2009).
58	iii)	Aerosol hygroscopic properties, number size distributions, mixing state and
59		implications for CCN activity, visibility, new particle formation, air pollution
60		and radiative forcing (Chen et al., 2012; Cheng et al., 2012; Deng et al., 2013;
61		Liu et al., 2013; Ma et al., 2012; Meier et al., 2009; Pan et al., 2009; Quan et al.,
62		2011; Wehner et al., 2008; Wu et al., 2007; Zhang et al., 2011; Zhang et al.,
63		2010).
64	The ab	ove mentioned studies, based on either long-term or short-term observations

65 provide us with comprehensive knowledge of aerosol properties on days with near

66	average aerosol concentration levels. However, only a few studies were carried out on
67	highly polluted days, and these studies mainly focus on variations of chemical
68	composition with the evaluation of synoptic conditions and planetary boundary layer
69	dynamics. (Huang et al., 2010a; Wang et al., 2012b; Zhao et al., 2013). The interaction
70	between chemical and physical properties of aerosols was seldom investigated during
71	haze episodes. Therefore, comprehensive studies of physical, optical and chemical
72	properties using high resolution measurements are necessary for a better knowledge of
73	aerosol evolution processes and related visibility degradation during pollution episodes
74	in Beijing.
75	An intense pollution episode occurred in central and eastern China from January 24
76	through 31, 2013. The hourly average $PM_{10}$ exceeded 600 µg m <sup>-3</sup> and non-refractory
77	submicron particle (NR-PM <sub>1</sub> ) exceeded 400 $\mu$ g m <sup>-3</sup> (Wang et al., 2013), which was the
78	most extreme haze episode in Beijing in the last decades as far as we know. In this

79 study, we investigated the evolution of physical, chemical, and optical properties of

80 urban aerosol particle during the haze episode by using the in-situ measurements.

## 81 2. Methodology

### 82 **2.1 Site information and instrumentation**

The aerosol sampling site was situated on the roof ( about 15m height above the
surface) of a laboratory building in the yard of the Institute of Atmospheric Physics
(IAP), Chinese Academy of Sciences, which was located between the 3<sup>rd</sup> and 4<sup>th</sup> ring
roads of northeast Beijing (Zhang et al., 2014).

87	An integrating nephelometer (Model 3563, TSI inc., Minnesota, USA) was used to
88	measure the total light scattering and hemispheric back scattering coefficients (for
89	angles of 7 ° to 170 ° and 90 ° to 170 °, respectively) of low RH aerosol at wavelengths
90	of 450, 550 and 700nm, no size-selective inlets were used. The nephelometer was
91	operated at 5L min <sup>-1</sup> with data resolution of one minute. A calibration was conducted
92	every month with filtered air and $CO_2$ as prescribed by the manufacturer. Subsequently,
93	the data were corrected for truncation errors and the non-lambertian light source based
94	on the measured Ångström exponents (Anderson and Ogren, 1998). On average, the
95	corrected values were within 10% of the measured values. The mass concentration of
96	$PM_{10}$ and $PM_{2.5}$ were measured by a Thermo TEOM 1400AB/8500 FDMS (Filter
97	Dynamic Measurement System). The mass concentration of PM <sub>1</sub> was determined using
98	a Thermo TEOM 1400.
99	The particle number-size distribution between 14nm and 2500nm diameter was
100	measured by a Scanning Mobility Particle Sizer (SMPS, TSI inc., Minnesota, USA),
101	comprising of a model TSI 3080 electrostatic classifier and a model TSI 3775
102	condensation particle counter (CPC), and an Aerodynamic Particle Sizer (APS, Model
103	3321, TSI inc., Minnesota, USA). The SMPS data covered the particle size range from
104	14nm to 533nm, and the APS covered from 542 nm to 2500nm. The size-dependent
105	diffusional and gravitational losses for the inlet line have been corrected by using the
106	empirical functions given by Willeke and Baron (1993). The data collected from these
107	two instruments were merged into one particle size spectrum matrix (14nm to 2500nm)
108	according to the methods of Liu et al. (2014) and Beddows et al. (2010).

109	The aerosol chemical composition was acquired using an Aerodyne High-Resolution
110	Time-of-Flight Aerosol Mass Spectrometer (HR-ToF-AMS, or AMS, Aerodyne
111	Research Inc., Billerica, MA, USA). The organic matter, sulfate, nitrate, ammonium
112	and chlorine in non-refractory submicron particle mass-size distributions (NR-PM $_1$ )
113	were determined under V and W ion optical modes alternatively every 7.5 minutes.
114	Detailed information of data analysis, collection efficiency (CE) and relative ionization
115	efficiency of the instrument were introduced by Zhang et al. (2014). Simultaneously,
116	the gaseous pollutants (e.g., NO, $NO_x$ , CO, $O_3$ and $SO_2$ ) were measured using Thermo
117	instruments (series of 42i, 48i, 49i and 43i, respectively, Thermo Fisher Scientific,
118	Franklin, Massachusetts, USA). Detailed introduction and calibrations were given by
119	(Tang et al., 2012; Wang et al., 2014).
120	An automatic meteorological observation instrument (Milos520, Vaisala, Finland) was
121	used to obtain meteorological parameters (relative humidity, air temperature, wind
122	speed and direction). The time base for all data in the study was Beijing zone time
123	(UTC+8).

#### 124 **3. Results and discussion**

### 125 **3.1** Aerosol mass concentration and meteorological parameters

126 Figure 1 shows the mass concentrations of PM<sub>1</sub>, PM<sub>1-2.5</sub>, PM<sub>2.5-10</sub> and mass

127 concentration ratios of  $PM_1/PM_{2.5}$ ,  $PM_{2.5}/PM_{10}$  during the period. The average mass

128 concentrations of PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub> are 99.1  $\pm$ 67.1µg m<sup>-3</sup>, 188.3  $\pm$ 128.8 µg m<sup>-3</sup> and

129  $265.2\pm157.1\mu g m^{-3}$ , indicative of the high level of aerosol pollution. The average

130	mass ratios of $PM_1/PM_{2.5}$ and $PM_{2.5}/PM_{10}$ are 0.56 ±0.16 and 0.64±0.15, respectively.
131	As we can see in Figure 1(b), the mass ratio of $PM_1/PM_{2.5}$ is higher than that of
132	$PM_{2.5}/PM_{10}$ before January 28, indicating that $PM_1$ dominated the total mass. The
133	aerosol concentration increased gradually and reached the maximum values at12:00 of
134	January 29, with $PM_1$ , $PM_{2.5}$ and $PM_{10}$ values of 243.1µg m <sup>-3</sup> , 504.6µg m <sup>-3</sup> and
135	$620.8\mu g m^{-3}$ . The detailed interpretations of the high values will be presented in
136	following section. Thereafter, the aerosol concentrations decreased rapidly to a lower
137	level. The mass ratios of $PM_1/PM_{2.5}$ and $PM_{2.5}/PM_{10}$ showed opposite pattern with
138	time variation during the period, indicating a decreasing fraction of $PM_1$ compared
139	with $PM_{2.5}$ and an increasing fraction of $PM_{2.5}$ compared with $PM_{10}$ with increasing
140	aerosol pollution. It is worth noting that the increase of $PM_{1-2.5}$ was greatest during the
141	period January 28 to 29, as showed in Figure 1(a). Figure S1 displays meteorological
142	parameters during the episode. During this period, the average wind speed was 2.5
143	m/s. Figure S2 shows an overview of wind rose of the local wind and the wind is
144	mainly in the southerly and northerly quadrant, which can bring relative dirty or clean
145	air masses, respectively. Figure 2 exhibits 72 hours backward trajectories of air
146	parcels every 3hours using Hysplit model from a height of 100m, with a total of six
147	clusters yielded (http://ready.arl.noaa.gov/HYSPLIT.php). We should clarify that the
148	southern area of Beijing often suffers more polluted atmosphere than that in the
149	northern area due to more cities and population. The clusters of 1 to 5 are from the
150	northerly direction, with clean air and high transport height. Furthermore, a long
151	transport pathway within 72 hours implies that those air parcels have a higher

transport speed compared with cluster 6. The cluster 6, from southern and local 152 directions with a fraction of 47%, has the highest frequency. The cluster has a short 153 154 transport distance of nearly 400 km, low transport height and speed, resulting in a sufficient loading of surface air pollutions compared with other clusters. We also 155 156 present sounding data in Beijing from University of Wyoming twice a day (http://weather.uwyo.edu/upperair/), as shown in Figure 3. These lines with different colors 157 represent soundings during the observation period. It is worth noting that an inversion 158 layer between 1000m to 1500m exists after January 27<sup>th</sup>. Particularly at 08:00 of 28<sup>th</sup> 159 (Beijing time), the lapse rate of temperature is nearly  $0.6^{\circ}$ C/100m, which indicates a 160 very stable synoptic condition. Combined with low wind speed shown in figure S1, 161 the horizontal motion is also limited during the pollution episode. 162

163 **3.2 Aerosol optical properties** 

The aerosol scattering coefficient ( $\sigma_{sp}$ ) and backscattering coefficient ( $\sigma_{bsp}$ ) can be 164 directly measured by the nephelometer and then aerosol backscattering fraction  $(b_{1})$ , 165 scattering Ångström exponent (Å<sub>sp</sub>) and asymmetry parameter ( $g_{\lambda}$ ) can be calculated 166 from the scattering coefficients, which have rarely been reported in Beijing using 167 168 in-situ measurements. The aerosol light scattering coefficients show the same pattern as mass concentration of PM, as shown in Figure 4. Table 1 shows the statistics of the 169 aerosol optical properties during this haze episode, and the average aerosol scattering 170 coefficients  $\sigma_{sp}^{450}$ ,  $\sigma_{sp}^{550}$  and  $\sigma_{sp}^{700}$  are 1088.5 ±748.1 Mm<sup>-1</sup>, 877.2 Mm<sup>-1</sup> ±624.2 Mm<sup>-1</sup> 171 and 718.4 Mm<sup>-1</sup>±530.8 Mm<sup>-1</sup>, respectively. After converting the aerosol light 172 scattering coefficients at 550nm to that of 525nm, the average  $\sigma_{sp}$  at 525nm are 3.2 173

times greater than the yearly average values at another site in Beijing, reported by He et al. (2009). The average aerosol backscattering coefficients  $\sigma_{bsp}^{450}$ ,  $\sigma_{bsp}^{550}$  and  $\sigma_{bsp}^{700}$  are 134.4 Mm<sup>-1</sup>±87.1 Mm<sup>-1</sup>, 108.1 Mm<sup>-1</sup>±71.1 Mm<sup>-1</sup> and 98.7 Mm<sup>-1</sup>±66.5 Mm<sup>-1</sup>, respectively, as presented in Figure 4 (b). During the whole campaign,  $\sigma_{sp}$  and  $\sigma_{bsp}$ at three wavelengths were highly correlated. Both  $\sigma_{sp}$  and  $\sigma_{bsp}$  increase gradually from 24 to 29 January and decrease sharply to lower levels, which are consistent with

180 the variations of aerosol mass concentrations.

181 The backscattering ratio, which is also called the hemispheric backscatter fraction, is 182 the ratio of light scattered in the backward hemisphere to the total light scattered by

183 particles. It is related to particle size distribution and can be calculated as following;

184 
$$b_{\lambda} = \frac{\sigma_{bsp}^{\lambda}}{\sigma_{sp}^{\lambda}}$$
 (1)

185 The average  $b_{\lambda}$  at three wavelengths are 0.13 ±0.02, 0.14 ±0.02 and 0.15 ±0.02,

respectively. A higher value of  $b_{\lambda}$  at 700nm indicates relatively more small size particles that scatter light in the backward hemisphere. The scattering Ångström exponent (Å<sub>sp</sub>) represents the wavelength dependence of scattering coefficient and is related to the slope of the number-size distribution or the mean size and relative concentrations of the accumulation and coarse mode aerosol. It is calculated using any two of three channels as following;

193 The average  $\stackrel{0}{A}_{450/550}$  and  $\stackrel{0}{A}_{550/700}$  are 1.2±0.3 and 0.94±0.3, respectively. The average 194  $\stackrel{0}{A}_{450/700}$  is 1.1±0.3, which is smaller than that of 1.46 in Guangzhou (Garland et al.,

195	2008) and 1.7 in Spain reported by (Titos et al., 2012), which indicates a more
196	dominant coarse mode particle compared with the other locations.
197	The asymmetry parameter g is a fundamental parameter for radiative transfer
198	calculation, and is defined as the intensity-weighted averaged cosine of the scattering
199	angle:
200	$g_{\lambda} = \frac{1}{2} \int_0^{\pi} \cos \theta P(\theta) \sin \theta d\theta \tag{3}$
201	Where $\theta$ is the angle between incident light and scattering direction and P ( $\theta$ ) is the
202	angular distribution of scattered light (the phase function). The value of $g_{\lambda}$ ranges
203	between -1 for completely backscattered light to +1 for completely forward scattered
204	light. Because there is no measurements method that can directly obtain the values of
205	g, a fit equation applied by Andrews et al. (2006) was used as in equation 4.
206	$g_{\lambda} = -7.143889 * b_{\lambda}^{3} + 7.464439 * b_{\lambda}^{2} - 3.9356 * b_{\lambda} + 0.9893 $ (4)
207	The average value of $g_{\lambda}$ at 450nm, 550nm and 700nm are 0.58±0.04, 0.59±0.05 and
208	0.54±0.05, respectively. The three parameters of $b_{\lambda}$ , $A_{sp}$ and $g_{\lambda}$ can show a relative
209	contribution of particle size to light scattering. During 24 and 25 January, $b_{\lambda}$ and $\text{\AA}_{sp}$
210	shows higher values, which shows lower ones, as showed in Figure 4. However, the
211	opposite feature occurs when the haze developed. Especially during the highest
212	pollution periods (from 28 to 30 January), higher values of $b_{\lambda}$ , $Å_{sp}$ and lower values of
213	$g_{\lambda}$ appear, which indicates an increasing fraction of relative coarse aerosol, consistent
214	with the variation pattern of $PM_1/PM_{2.5}$ showed in Figure 1(b).
215	3.3 particle number size distribution

216 The particle number-size distribution from January 25 to 31 is shown in Figure 5(a).

217	The particle number concentration peaks at a diameter of around 100 nm. These
218	particles are mainly from direct emissions of vehicles, cooking and new particle
219	formation (Shi et al., 2001). Particle volume concentration and mass concentration are
220	shown in figure 5(b) and (c), respectively, assuming an average aerosol bulk density
221	of 1.5 g.cm <sup>3</sup> and that all particles are regular spheres based on the research by (Zhang
222	et al., 2004) in Pittsburgh PA, USA. The coarse mode particles between diameters of
223	1000nm to 2500nm increased significantly during the most heavily pollution periods
224	(28 and 29 January), as shown in Figure 5 (b) and 5 (c), which is consistent with
225	interpretations of variation ratio of $PM_1/PM_{2.5}$ . The time series of calculated mass
226	concentration of $PM_{2.5}$ , number concentrations of nucleation mode (14nm-25nm),
227	Aitken mode (25nm-100nm), accumulation mode (100nm-1000nm) and coarse mode
228	(1000 nm-2500nm) are presented in Figure 6. The calculated mass concentration of
229	$PM_{2.5}$ matches well with measured values, with $R^2$ values of 0.97, as shown in Figure
230	S3. The nucleation mode particles show the highest number concentration during the
231	period, with an average value greater than $1.5 \times 10^6$ cm <sup>-3</sup> , indicating large emission of
232	reactive or low volatility, aerosol precursor gases (e.g. sulfur dioxide and organic
233	vapors). The lowest particle number concentration is in coarse mode (D <sub>m</sub> >1000nm),
234	with an average value of $3.18 \times 10^3$ cm <sup>-3</sup> . The Aitken mode and accumulation mode
235	also show high number concentrations, with the average values of $1.90 \times 10^5$ cm <sup>-3</sup> and
236	$1.01 \times 10^6$ cm <sup>-3</sup> . Compared with three years of measurements of particle number
237	concentration at another urban site in Beijing, the number concentrations of
238	nucleation, Aitken and accumulation mode during this haze episode are more than 170

times, 10 times and 120 times, respectively (Hu et al., 2009). The nucleation mode 239 and Aitken mode particle show a significant increase at mid-day on 28 January, while 240 241 the accumulation mode is not significant. This may be ascribed to the emissions from vehicle and cooking nearby our sampling site. It is worth noting that the concentration 242 243 of coarse mode particle was highest on the 28th and 29th of January, which is consistent with the pattern of  $PM_{2.5}/PM_{10}$ . After the coagulation, condensation and 244 hygroscopic growth, the number concentrations of nucleation mode and Aitken mode 245 particle decrease on 12:00 of 30 January, as shown in Figure 6. 246 247 **3.4** aerosol chemical properties The time series of chemical compositions, mass fractions, O:C ratio and m/z 44 of 248 NR-PM<sub>1</sub> are presented in Figure7(a), (b) and (c). The average mass concentrations of 249 organic, sulfate, nitrate, ammonium and chloride are  $62.1 \pm 46.1 \mu \text{g m}^{-3}$ , 250  $28.4 \pm 22.1 \mu g m^{-3}$ ,  $37.2 \pm 30.6 \mu g m^{-3}$ ,  $17.4 \pm 12.7 \mu g m^{-3}$  and  $5.5 \pm 4.2 \mu g m^{-3}$ , respectively. 251 The organic component is dominant in NR- PM<sub>1</sub>, with an average mass fraction of 252 253  $44.9\% \pm 11.7\%$ . Sulfate and nitrate species concentrations are also very high during the

heavy haze event.

AMS enables the real time determination of size-resolved chemical compositions of

different modes of particles as a function of time. Figure 8 shows the temporal

257 variations of the size distributions of the organic (a), sulfate (b), nitrate (c),

ammonium (d) and chloride (e). The organic and chloride containing particles display

a slightly broader distribution than the other three species. All the aerosol components

260 mainly reside in the accumulation mode with vacuum aerodynamic diameters around

261	700nm. Note that the AMS size distributions here are shown as a function of vacuum
262	aerodynamic diameter, $D_{va}$ , which is the aerodynamic diameter measured under
263	free-molecular regime flow conditions. To a first approximation, 700nm in $D_{va}$
264	corresponds roughly to 470 nm in physical diameter for spherical particles. It is worth
265	noting that particles with optical diameters between 100nm and 1000nm have the
266	highest scattering efficiency in the visible range (Liou, 2002), so a high concentration
267	at this optimum aerosol size will lead to strong light scattering and reduced visibility
268	during the period.
269	These five aerosol components all show high concentrations from the afternoon of
270	28 January to noon of 29 January, corresponding with the highest mass loading and
271	light scattering of the whole pollution period. The detailed behaviors of particle
272	number concentration, size-resolved organic, sulfate, nitrate, ammonium and particle
273	mass concentration on January 28 are presented in Figure S5 and S6. The particle
274	number concentrations show a burst at nearly 12:00, with $D_m$ less than 100nm.
275	Observations by Sakurai et al. (2005) in Atlanta, GA, USA recognized this as a plume
276	related to a new particle formation event, which was accompanied by advection of
277	local emissions. However, an increasing concentration of aerosol chemical
278	components at about 11:00 on 28 January is observed by the AMS as shown in Figure
279	S5. The mass concentrations mainly reside on between 300nm and 1000nm in
280	vacuum diameter. This may be due to the accumulation of air pollutants in the
281	stagnant boundary layer. As we can see in figure S1, the meteorological parameters
282	are characterized by calm wind, low RH and increasing temperature in the morning,

283	which leads to a stable boundary layer. Then, with increasing surface temperature and
284	PBL height, the dilution causes the aerosol concentration decreasing in the afternoon.
285	The concentrations of sulfate, ammonium and nitrate show an increasing trend from
286	18:00. The major reasons are: (1) Increasing RH may enhance the heterogeneous
287	reaction of $SO_2$ and $NH_3$ to produce sulfate and nitrate. (2) Decreased PBL height at
288	night leads to accumulation of air pollutant. (3) Conversion of $N_2O_5$ to nitrate via
289	heterogonous or homogenous ways and reaction of OH and NO <sub>2</sub> (Kim et al., 2014).
290	All of the above aspects result in the mass concentrations of nitrate and ammonium
291	having a distinct growth of particles with diameters between 100nm and 500nm on 28
292	January.
293	3.5 Increased formation of Secondary Organic Aerosol (SOA) during haze
294	pollution episode
294 295	<b>pollution episode</b> Figure 10 shows the variations of signal of m/z 44 as a function of organic
294 295 296	<b>pollution episode</b> Figure 10 shows the variations of signal of m/z 44 as a function of organic aerosol mass concentration and the influence of relative humidity. The frequency
294 295 296 297	<ul> <li>pollution episode</li> <li>Figure 10 shows the variations of signal of m/z 44 as a function of organic</li> <li>aerosol mass concentration and the influence of relative humidity. The frequency</li> <li>distributions of organic mass and m/z 44 during the period are presented as well.</li> </ul>
294 295 296 297 298	pollution episodeFigure 10 shows the variations of signal of m/z 44 as a function of organicaerosol mass concentration and the influence of relative humidity. The frequencydistributions of organic mass and m/z 44 during the period are presented as well.The greatest frequency of occurrence of organic aerosol concentration appears
294 295 296 297 298 299	<ul> <li>pollution episode</li> <li>Figure 10 shows the variations of signal of m/z 44 as a function of organic</li> <li>aerosol mass concentration and the influence of relative humidity. The frequency</li> <li>distributions of organic mass and m/z 44 during the period are presented as well.</li> <li>The greatest frequency of occurrence of organic aerosol concentration appears</li> <li>nearly between mass concentrations of 20 to 35µg m<sup>-3</sup>, corresponding with</li> </ul>
294 295 296 297 298 299 300	<ul> <li>pollution episode</li> <li>Figure 10 shows the variations of signal of m/z 44 as a function of organic</li> <li>aerosol mass concentration and the influence of relative humidity. The frequency</li> <li>distributions of organic mass and m/z 44 during the period are presented as well.</li> <li>The greatest frequency of occurrence of organic aerosol concentration appears</li> <li>nearly between mass concentrations of 20 to 35µg m<sup>-3</sup>, corresponding with</li> <li>signal fraction of m/z 44 less than 2. The signal of m/z 44 shows an increasing</li> </ul>
<ol> <li>294</li> <li>295</li> <li>296</li> <li>297</li> <li>298</li> <li>299</li> <li>300</li> <li>301</li> </ol>	<ul> <li>pollution episode</li> <li>Figure 10 shows the variations of signal of m/z 44 as a function of organic</li> <li>aerosol mass concentration and the influence of relative humidity. The frequency</li> <li>distributions of organic mass and m/z 44 during the period are presented as well.</li> <li>The greatest frequency of occurrence of organic aerosol concentration appears</li> <li>nearly between mass concentrations of 20 to 35µg m<sup>-3</sup>, corresponding with</li> <li>signal fraction of m/z 44 less than 2. The signal of m/z 44 shows an increasing</li> <li>trend with increasing organic mass. The lower concentration of organic</li> </ul>
<ol> <li>294</li> <li>295</li> <li>296</li> <li>297</li> <li>298</li> <li>299</li> <li>300</li> <li>301</li> <li>302</li> </ol>	<ul> <li>pollution episode</li> <li>Figure 10 shows the variations of signal of m/z 44 as a function of organic</li> <li>aerosol mass concentration and the influence of relative humidity. The frequency</li> <li>distributions of organic mass and m/z 44 during the period are presented as well.</li> <li>The greatest frequency of occurrence of organic aerosol concentration appears</li> <li>nearly between mass concentrations of 20 to 35µg m<sup>-3</sup>, corresponding with</li> <li>signal fraction of m/z 44 less than 2. The signal of m/z 44 shows an increasing</li> <li>trend with increasing organic mass. The lower concentration of organic</li> <li>component mainly exists at RH below 40%, which is indicative of a relatively</li> </ul>
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uptake ability is enhanced and the more highly hydrated particles are able to
capture more water-soluble volatile organic compounds (VOCs). In this way, the
dry mass concentration of organic aerosol increases after the water evaporated in
the AMS. The studies of Ge et al. (2012) in central valley of California and
Dall'Osto et al. (2009) in London also showed that aqueous-phase processes are
responsible for the production of secondary organic aerosol species, most

311 significantly during fog events.

312 **3.6 Light scattering apportionment** 

Light scattering by atmospheric aerosols is highly dependent on their size,

morphology and compositions (Liou, 2002). Sulfate, nitrate, ammonium and organic

315 components in aerosol contribute most to light scattering, and particularly for

diameters ranging from 100nm to 1000nm, they have the greatest light extinction

317 efficiency (Seinfeld and Pandis, 1998). Here, a modified IMPROVE algorithm was

employed to apportion light scattering coefficients at  $\lambda$ =550nm (Pitchford et al., 2007).

319 The IMPROVE algorithm was based on a multiple liner regression method (Chan et

al., 1999), which considers the degree to which aerosol light scattering is related to

321 the mass concentration of each component combined with water uptake of inorganic

322 component. The detailed introduction of the method can be can be found in

Lowenthal et al. (1995). The f(RH) curve obtained by Chen et al. (2014) during

324 January in Northern China Plain is used here.

In our light apportionment calculation, the mass concentrations of ammonium sulfate,

326 ammonium bisulfate, ammonium nitrate, ammonium chloride and organic were

327	required. However, the AMS can only provide us with mass concentrations of sulfate,
328	nitrate, ammonium chloride and organic compounds. Here, a commonly accepted ion
329	pairing scheme of calculating the neutral aerosol from the molar number of all ions
330	simplified by Gysel et al. (2007) is applied. In this scheme, by setting the fraction of
331	nitric acid to zero, the molar fraction of ammonium nitrate is equal to the molar
332	fraction of nitrate ions. The rest of ammonium ions are assigned to ammonium
333	bisulfate, ammonium sulfate and ammonium chloride according to ammonium molar
334	fraction.
335	In the IMPROVE algorithm, the light scattering growth due to inorganic components
336	were considered, while the contribution from organic aerosol did not take into account.
337	Then, using the highly resolution mass concentrations of sulfate containing aerosol,
338	ammonium nitrate, ammonium chloride and organic in submicron aerosol and aerosol
339	scattering growth curve, we calculated a relationship of scattering coefficient and
340	aerosol components and light scattering growth factor as showed in formula 9 . The
341	fitting was computed with MATLAB software (MATLAB R2010a). Figure 11 (a)
342	shows the time series of apportioned light scattering coefficients of each of the
343	aerosol components compared with measured values during observation period. At the
344	beginning of the periods, organic components dominated light scattering. With the
345	development of the haze, the contribution of inorganic components increased as
346	shown in Figure 11 (b). The total average light scattering contribution of each aerosol
347	component is presented in Figure 12. The apportionment contributions from organic,
348	sulfate, ammonium nitrate and ammonium chloride were 54%, 24%, 12% and 10%,

349	respectively, which indicated the dominant contribution of organic and sulfate
350	compounds to light scattering during this haze episode in Beijing. One should note
351	that the apportioned light scattering coefficient using the IMPROVE method is highly
352	related with its mass concentration, and organic aerosol is a large fraction of the mass
353	Yao et al. (2010) showed that the organic components contributed greatly to the light
354	extinction (about 45% contribution) by using AMS data during winter in Shenzhen,
355	PRC. Watson (2002) also found the organic aerosol dominated light extinction in
356	some cities, with fractions of 9% $\sim$ 50% in east USA.
357	$\sigma_{\rm sp}^{550} = 6.5 f(RH)[(NH_4)_2 SO_4] + 6.5 f(RH)[NH_4HSO_4] + 2.2 f(RH)[NH_4NO_3] $ (9)
551	+4.3f(RH)[NH4Cl]+5.7[organic]+57.3
358	4. Summary and Conclusion

Based on in-situ measurements, the physical and chemical properties of aerosol 359 360 particles were characterized during a severe haze episode in Beijing from 24 January to 31January during, 2013. The average mass concentrations of PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub> 361 were 99.1  $\pm$ 67.1µg m<sup>-3</sup>, 188.3  $\pm$ 128.8 µg m<sup>-3</sup> and 265.2  $\pm$ 157.1µg m<sup>-3</sup>, respectively, and 362 363 an increasing fraction of PM<sub>1-2.5</sub> was significant during the most heavy pollution periods. The averaged scattering coefficient at 550 nm was 877.2 Mm<sup>-1</sup> ±624.2 Mm<sup>-1</sup>, 364 and an increasing amount of relative coarse particle also can be seen from the 365 variations of backscattering ratios, asymmetry parameter and scattering Ångström 366 exponent. Particle number size distribution (14 nm to 2500nm) showed high number 367 concentrations in the nucleation and accumulation modes. Size-resolved chemical 368 composition of submicron aerosol from a HR-ToF-AMS showed that the mass 369 concentration of organic, sulfate, nitrate, ammonium and chlorine mainly resided on 370

371	500nm-800nm in vacuum diameter, and sulfate and ammonium contributed to the
372	growth of particle during the most heavily polluted day on January 28.
373	High emissions of regional background pollutants combined with stable synoptic
374	conditions and increasing of relative humidity, which lead to enhanced water uptake
375	ability of submicron aerosol and formation of secondary aerosol, may be the main
376	reasons for the heavy haze episode. Light scattering apportionment showed that
377	organic, sulfate containing components, ammonium nitrate and ammonium chloride
378	contributed to light scattering fractions of 54%, 24%, 12% and 10%, respectively.
379	Considering their dominant fractional contribution to light scattering and light
380	extinction, our study indicated that organic components also played an important role
381	in visibility degradation during the winter haze episode in Beijing.
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### 553 Table 1 The statistic of aerosol optical properties during observation period.

Parameter	mean	median	Standard	5%	95%
			derivation	quantile	quantile
$\sigma^{450}_{_{sp}}$ (Mm <sup>-1</sup> )	1088.5	924.4	748.1	48.1	2386.3
$\sigma_{sp}^{550}$ (Mm <sup>-1</sup> )	877.2	748.4	624.2	36.6	1993.4
$\sigma_{sp}^{700}$ (Mm <sup>-1</sup> )	718.4	628.2	530.9	28.7	1703.3
$\sigma^{450}_{bsp}$ (Mm <sup>-1</sup> )	134.4	122.8	87.1	7.6	281.4
$\sigma^{^{550}}_{^{bsp}}$ (Mm <sup>-1</sup> )	108.1	96.4	71	6.1	228.5
$\sigma^{700}_{bsp}$ (Mm <sup>-1</sup> )	98.7	89.3	66.5	7.3	214.4
$b_{450}$	0.13	0.13	0.02	0.11	0.16
$b_{550}$	0.14	0.12	0.02	0.11	0.17
$b_{700}$	0.15	0.14	0.02	0.13	0.19
0 A450/550	1.2	1.3	0.3	0.74	1.7
0 A550/700	0.94	1.0	0.3	0.41	1.4
$g_{450}$	0.58	0.6	0.04	0.52	0.62
<i>8</i> 550	0.57	0.6	0.05	0.50	0.63
<i>g</i> <sub>700</sub>	0.54	0.56	0.05	0.46	0.60

Table 2 The statistic of particle number concentration during observation period.

	Parameter	Mean	median	Standard	5%	95%
				derivation	quantile	quantile
_	Nucleation(cm <sup>-3</sup> )	1.90×10 <sup>5</sup>	1.8×10 <sup>5</sup>	8.3×10 <sup>4</sup>	6.3×10 <sup>4</sup>	3.4×10 <sup>5</sup>
	Aitken(cm <sup>-3</sup> )	1.5×10 <sup>6</sup>	$1.4 \times 10^{6}$	6.4×10 <sup>5</sup>	5.7×10 <sup>5</sup>	2.7×10 <sup>6</sup>
	Accumulation(cm <sup>-3</sup> )	1×10 <sup>6</sup>	9.9×10 <sup>6</sup>	3.9×10 <sup>5</sup>	4.7×10 <sup>5</sup>	1.6×10 <sup>6</sup>
	Coarse (cm <sup>-3</sup> )	3.1×10 <sup>3</sup>	2.9×10 <sup>3</sup>	2.3×10 <sup>3</sup>	2.5×10 <sup>2</sup>	7.0×10 <sup>3</sup>
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Figure 1 Time series of (a) mass concentrations of  $PM_1$ ,  $PM_{1-2.5}$  and  $PM_{2.5-10}$ , (b) mass ratios of  $PM_1/PM_{2.5}$  and  $PM_{2.5}/PM_{10}$ 



Figure 2 The three days backward trajectory of air parcels during the observation

period; the colors of air trajectories represent height during transport.



Figure 3 The temperature profiles during observation period. The legend stands for

UTC time. For example, 012400 means 0:00 on January 24<sup>th</sup>.



Figure 4 Time series of (a) scattering coefficients  $\sigma_{sp}$ , (b) backscattering coefficients  $\sigma_{bsp}$ , (c) backscattering ratios  $b_{\lambda}$ , (e) asymmetry parameter  $g_{\lambda}$  at wavelengths of 450nm (blue), 550nm (green) and 700nm (red) (d) scattering Ångström exponent (Å<sub>sp</sub>) from 450nm-550nm (black) and 550nm-700nm(brown).



Figure 5Time series of (a) particle number size distribution (b) particle volume size distribution (c) particle mass size distribution between 14.1nm-2458nm using SMPS combined with APS from January 25 to 31.The x-axis represents the data of January

and y-axis represents particle diameter (nm). The color in the figure 3 represents particle concentration ( $dN/d\log D_p$ ).



Figure 6 Time series of (a) particle mass concentration calculated from number size distribution and number concentrations of (b) nucleation mode (14.1nm-25nm), (c) Aitken mode (25nm-100nm), (d) accumulation mode (100nm-1000nm) and (e) coarse mode (1000nm-2458nm) from January 25 to January 31.



Figure 7 Time series of (a) mass concentrations of organic, sulfate, nitrate, ammonium and chloride in submicron aerosol (b) mass fractions of organic, sulfate, nitrate, and ammonium and chloride (c) O: C ratio and m/z 44 during the haze episode.





Figure 8 Size-resolved chemical compositions of (a) organic (b) sulfate (c) nitrate (d) ammonium and (e) chlorine



Figure 9 Mixing ratios of (a) NO and CO (b)  $NO_x$  and SO\_2 (c)  $O_x$  and  $O_3$ 



Figure 10 (left panel) the frequency distribution of m/z 44, (top panel) the frequency distribution of organic mass, (center panel) abundance of m/z 44 as a function of organic aerosol mass concentration and the influence of RH (left, color scale).



Figure 11 Time series of (a) apportioned light scattering coefficients of each aerosol components compared with measured (b) light scattering fractions of each aerosol components.



Figure 12 Averaged light scattering contribution of each aerosol components during

the haze episode