Dear editor,

please find attached our answers to the referee's remarks. According changes in the manuscript are highlighted in red.

With best regards,

A. Worringen, K. Kandler, S. Weinbruch on behalf of the co-authors

Review of Worringen et al. 2015:

This paper focuses on three different techniques to sample ice nucleating particles and ice particle residuals during the INUIT field campaign. The comparison of these techniques is valuable and enables a better understanding of the limitations of these techniques, while also highlighting the large amount of information that can be learned about ice nuclei using these techniques. The results, and particularly the differences in results between the various techniques, were interesting and are an important contribution to the body of literature on ice nucleation.

This paper is greatly improved. It was much easier to read and the beginning is less repetitive. However, section 4 is still very repetitive and could use some smoothing and revision. Additionally, all of the discussion about previous results is nice, but more discussion on how the present results add to the literature and what is new and special about them is necessary. What does the reader learn from the comparison? What is the take home message? Due to these concerns, I would recommend this for publication after minor revisions.

General Comments:

Some abbreviations and acronyms are defined multiple times or after the second or third use of the term. Please define the acronyms only once and at the first instance of use.

Changed as requested (in numerous places, not marked in manuscript).

As noted above, section 4 is repetitive and needs to be revised to be more concise.

We have carefully read chapter 4 again and slight rearranged its structure. However, we don't see a large repetitivity here. We also do not want to over-shorten the discussion, as it would not be adequate for the complex subject.

Percentages of different particle classes should have uncertainties associated with them and how the uncertainties were calculated should be included in the Experimental section.

We give now the requested confidence intervals, directly in Table 3, and for all concerned figures in the supplement (5 tables). According cross-references were added in the figure captions. Also, a short chapter on their calculation was added to the methods section.

Specific Comments:

Abstract:

"In addition, considerable amounts of soluble material occurred." Replace "occurred" with "were observed".

Changed as requested.

The name of the field study should be added to the abstract where the author mentions it is joint field campaign.

It was a joint field campaign of the INUIT consortium, but it was not assigned a more unique name. As this manuscript is part of the INUIT special issue in ACP, we think that the interested reader will find the other according publications.

Introduction:

"Though there has been an advance during the last decades, in particular for aerosol-cloudinteractions..." Replace "has been an advance" with "have been advances".

Changed as requested.

"During the last decade, several techniques emerged which are capable to distinguish..." Replace "to distinguish" with "of distinguishing".

Changed as requested.

Experimental: The product information is given for the TEM grids but not the boron substrates. Please include this product info, as well as the OPC product info.

The boron substrates are not commercially available, but manufactured by our institute's workshop. We have added a reference (Choel et al 2005) for manufacturing instructions.

We moved the reference to the used purpose-built OPC (i.e. Bundke et al. 2010) to a more prominent position.

"The freezing temperature during the campaign was slightly..." Be clear that this is the instrument operating/freezing temperature. It is not entirely clear with this wording.

Added 'of FINCH' as requested.

"The ice supersaturation was varied between 1.14 and 1.80..." Please add percentage signs after the supersaturation percentages. Also, if the ice saturation was in the stated ranges, then the ice chamber was operating in the deposition mode. This was not mentioned anywhere in the

article. Be clear, especially when comparing to previous results, that you are comparing to deposition nucleation.

This was unfortunately an ambiguous notation. The numbers given here are the ice super-saturation ratio, which is stated explicitly now. As this means ice super-saturations of 14 to 80 %, the instrument was not operated in deposition mode.

"A virtual impactor downstream the inlet..." Add "of" between "downstream" and "the inlet".

Changed as requested.

It is mentioned that both TEM grids and boron substrates were used, but it is not clear why both were used or if certain conditions warranted one or the other. Please explain why both were used.

We have added a sentence on the end of section 2.1:

"While to boron substrates yield a better detection of carbon in the particles and allow for larger particles numbers due to less substrate damage, the TEM grids in principle provide the possibility of being used in a TEM for phase analysis and easier detection of coatings."

The HYSPLIT trajectories are mentioned, but the reader is not directed to the supplement to find them. Please add that they are located in the supplement.

We have added a sentence to chapter 2.3.

Results:

The Pb-containing particle discussion is great, but the end of it is quite repetitive. Please make it more concise.

Also here, we don't see the large repetitivity. The discussion is indeed very detailed, but the Pb-issue is an important one and was discussed in controversially by the reviewers and inside the community. Thus, we would not like to simplify it.

"During this time, higher black carbon concentrations were measured than during the earlier periods (not shown)." What is not shown? Black carbon concentrations? What instrument was used to measure this and why isn't it shown? Is there another paper you can cite that includes this information?

We have added the requested link to the GAW WDCA database.

It is suggested that the sea salt and sulfate particles observed, especially while sampling with the Ice-CVI, are potential sampling artifacts. Is it possible that the sea salt could have been from rimed droplets that got sampled through the Ice-CVI and dried down to show salt particles and whatever the original IN was in the cloud?

Well, that can't be excluded, as by Ice-CVI and ISI IPR are measured. However, also FINCH+IN-PCVI samples sea-salt, though at lower abundances. Based on our data set, we can't come to a final conclusion here.

It is mentioned that more internally mixed particles are observed with the FINCH+IN-PCVI technique, but there is no discussion on why this might be the case.

We omitted a discussion, as we could only speculate.

Could it be due to mixing in cloud, followed by drying down to form internally mixed particles that are then sampled and re-activated in the FINCH?

When INP (as they are detected by FINCH) would be internally mixed in-cloud with non-INP in supercooled droplets, it should be expected that the according cloud elements would transform into ice and would be detected also by ISI/Ice-CVI. This doesn't seem to be a pathway for increasing mixed particle abundance in INP versus IPR.

Are there any differences in how the cloud particles are dried in the different techniques?

For Ice-CVI and ISI, cloud elements are sampled and dried by heating after IPR separation. For FINCH, cloud elements are first dried in the inlet by heating and then activated as INP.

"In previous IPR measurements at the JFJ station, Pb-bearing particles were found at high abundance." Remind the reader which technique was used in the past measurements in the text.

Changed as requested.

In section 4.1.1, there is a lot of discussion about previous results. It is mentioned for some if they are from laboratory or field measurements, but not for all. Please be clear about which results are from field measurements and which are from laboratory studies.

Changed as requested.

The temperature range and nucleation mode would also be useful in the discussion to fully understand the comparisons.

This section is not meant to be a (synoptic) literature review, where it would be heading to, if we would take all the details from the previous studies – they did measure during a lot different conditions also. Instead, this section should put our results into the general context of other findings and should make indications on the plausibility. We refer in a few cases to cirrus and mixed-cloud conditions where appropriate, but we do not discuss the previous results in detail.

It is mentioned that artifacts were identified and can be avoided in the future. How? There is no mention of how these artifacts can be avoided. Either add discussion on how to avoid the artifacts or revise to say they can be readily identified.

For the already identified artifacts: They can be removed by removing their source (surface sealing, rinsing of calibration aerosol, ...). We have added a short comment.

For future experiments: We have changed the wording as requested towards artifact identification instead of avoiding.

Single-particle characterization of ice-nucleating particles and ice particle residuals sampled by three different techniques

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1 Introduction

The impact of clouds – and in particular cloud-aerosol interactions – on the earth's radiation balance is still one of the most uncertain aspects in our understanding of the climate system (Flato et al., 2013). The understanding of tropospheric cloud ice formation processes is crucial for predicting precipitation and cloud radiative properties. Aerosol-cloud interactions play a key role in determining cloud properties like phase, size distribution and colloidal stability of the cloud elements, as well as the lifetime, dimensions and precipitating efficiency of a cloud. Though there have been advances during the last decades, in particular for aerosol-cloud-interactions, the level of scientific understanding is still classified as "very low" to "low" (Flato et al., 2013). A considerable uncertainty of the response of aerosol and cloud processes to changes in aerosol properties still arises from the lack of fundamental understanding of the interaction of aerosol particles with the cloud ice phase (Lohmann and Feichter, 2005). Although large attention was given to field studies in the last decade (e.g., Richardson et al., 2007; Prenni et al., 2012; Ardon-Dryer and Levin, 2014), these measurements cover only limited geographic regions as well as a limited time. Thus, additional field work is certainly needed.

Many ice nucleation experiments were performed under laboratory conditions (e.g., Hoose and Möhler, 2012, and references therein), and provided valuable knowledge on ice-nucleating particle (INP) properties of pure components and artificially generated mixtures. Mineral dust and biological particles are regarded in general as efficient INP, while experiments disagreed on the INP abilities of soot and organics (Hoose and Möhler, 2012). Sea-salt and sulfate are often not considered as INP (Pruppacher and Klett, 1997). However, this conclusion is challenged by several authors (Abbatt et al., 2006; Schill and Tolbert, 2014). Furthermore, it was shown recently in laboratory work for NaCl particles that a partial efflorescence under suitable conditions might lead to ice activation (Wise et al., 2012). The situation is even more complex in the ambient atmosphere, where particles are often present as complex mixture of different compounds. In addition, the particles may be modified by heterogeneous processes, which may change their ice nucleation ability. In laboratory experiments, these effects are currently addressed for single substances (Hoose and Möhler, 2012; Wex et al., 2014), but the level of atmospheric mixing complexity is not yet realized. Though mixing state was regarded by previous investigations (Knopf et al., 2010; Ebert et al., 2011; Hiranuma et al., 2013; Knopf et al., 2014), the data basis is still sparse and further field work is needed.

During the last decade, several techniques emerged which are capable of distinguishing INP or ice particle residuals (IPR) for subsequent chemical analysis. Particles are usually exposed to thermodynamic conditions favoring ice nucleation, either in the airborne state or on a substrate. Examples for these techniques are the Fast Ice Nucleus Chamber (FINCH) (Bundke et al., 2008) in combination with the IN-pumped counterflow virtual impactor (IN-PCVI) (Schenk et al., 2014), the Continuous Flow Diffusion Chamber (CFDC) in combination with the laboratory counterflow virtual impactor (LCVI) (Cziczo et al., 2003) and the Frankfurt Ice Nuclei Deposition Freezing Experiment (FRIDGE) (Bundke et al., 2008; Klein et al., 2010). While in FINCH + IN-PCVI and CFDC-LCVI the particles are kept airborne, ice nucleation occurs on an ice-inert substrate in FRIDGE. In contrast, analysis of IPR relies on the natural selection of INP by a cloud. While for cirrus clouds all cloud elements can be investigated (Cziczo and Froyd, 2014), for mixed phase clouds the ice particles need to be separated from droplets. Ice particle separation can be accomplished with different techniques. In the Ice Selective Inlet (ISI; Kupiszewski et al., 2014) droplets present in the sampling flow are evaporated in an ice-saturated environment and the remaining ice crystals are subsequently separated from non-activated particles using a PCVI. Alternatively, cloud elements can be impacted on a cooled surface collecting the droplets while bouncing the ice particles for further analysis (Ice Counterflow Virtual Impactor, Ice-CVI) (Mertes et al., 2007).

In the present work, three state-of-the-art techniques for INP/IPR sampling – ISI, Ice-CVI and FINCH + IN-PCVI – were operated in a joint field experiment to sample atmospheric mixed-phase clouds and characterize the sampled INP/IPR with respect to their morphology, chemical composition, particle size and mixing state. The High Alpine Research Station Jungfraujoch

(Switzerland) was chosen as field site for logistic reasons (easy access to a location with frequent presence of mixed phase clouds). In addition, as INP and IPR were investigated recently at this location in a number of studies, a considerable data base is available for comparison. In the previous work, enrichment of mineral dust (Kamphus et al., 2010; Chou et al., 2011; Ebert et al., 2011), metal oxides (Ebert et al., 2011), Pb-containing particles (Cziczo et al., 2009b; Ebert et al., 2011) as well as carbonaceous material/black carbon (Cozic et al., 2008; Ebert et al., 2011) among INP/IPR was reported.

2 Experimental

In January/February 2013, a field campaign of INUIT (Ice Nuclei Research Unit) was performed at the High Alpine Research Station Jungfraujoch in Switzerland (JFJ, 3580 m a.s.l., 46.55° N, 7.98° E). IPR were separated from the interstitial aerosol and droplets by ISI and Ice-CVI. INP were sampled from the total aerosol by FINCH + IN-PCVI (Table 1). INP/IPR were either collected by impactors and analyzed by scanning electron microscopy (SEM) and energy-dispersive X-ray microanalysis (EDX) or analyzed on-line by laser ablation mass spectrometry (LA-MS).

2.1 INP/IPR sampling

INP and IPR were sampled by three different techniques. INP were detected by the FINCH + IN-PCVI (details of the experimental setup are given in Bundke et al., 2008; Schenk et al., 2014). IPR were collected via selective sampling of small (< 20 μ m aerodynamic diameter) ice crystals with Ice-CVI and ISI. Subsequent heating of the sampled ice crystals releases IPR. The extracted IPR were collected for SEM-EDX with a two-stage impactor system. The setup consisted of circular nozzles with 0.7 and 0.25 mm diameter operated at a flow rate of 0.45 L min⁻¹ (volume), leading to approximate 50 % cut-off efficiency aerodynamic diameters of 1 and 0.1 μ m, respectively (for details on impactor dimensions see Kandler et al., 2007). Transmission electron microscopy grids (TEM grids type S162N9, Plano GmbH, Wetzlar, Germany) and polished elemental boron embedded in a conductive resin (for manufacturing see Choël et al., 2005) were used as impaction substrates for all methods. Both substrates provide a background signal with low interference with respect to the particle composition. While to boron substrates yield a better detection of carbon in the particles and allow for larger particles numbers due to less substrate damage, the TEM grids in principle provide the possibility of being used in a TEM for phase analysis and easier detection of coatings.

2.1.1 Coupling of FINCH and IN-PCVI

FINCH + IN-PCVI was operated in clouds as well as during cloud-free periods. Aerosol particles and cloud elements were sampled from the atmosphere by a total aerosol inlet (Weingartner et al., 1999) with an aerosol flow of approximately 2.25 L min⁻¹. The aerosol was dried by heating to evaporate the water of the hydrometeors. The dried aerosol containing all interstitial particles and cloud element residuals was then transported into FINCH, in which a super-saturation with respect to ice is achieved by mixing air flows of different temperature and humidity. INP are activated, grow while flowing through the chamber, and are counted by an purpose-built optical particle counter (OPC; for details see Bundke et al., 2010). The OPC used in this instrument is able to distinguish between super-cooled water droplets and ice crystals by analyzing the polarization ratio of the scattered circular polarized light (P44/P11 ratio of the scattering matrix; Hu et al., 2003). In addition, the auto-fluorescence resulting from the excitation of the grown particles with UV light is detected which is an indication for biological particle material.

The ice crystals are then separated by the PCVI from the non-activated particles and from the small super-cooled droplets (Schenk et al., 2014). As the PCVI input flow must be identical to the FINCH output flow, the counterflow must be continuously adjusted to achieve this requirement. This

adjustment leads to variable cut-off diameters between 4.5 and 8 μ m. Finally, the sampled FINCH ice particles evaporate while they are transported in a dry particle free air.

The freezing temperature of FINCH during the campaign was slightly varied around -22.1 °C, which matched for most of the samples the outside air temperature with less than 5°C difference. The saturation ratio with respect to ice was varied between 1.14 and 1.80 a mean of 1.54 for all samples. Details on the sampling conditions are given in Table S1 in the supplement.

2.1.2 Ice-CVI

From the mixed-phase clouds prevailing at JFJ, IPR were collected by the Ice-CVI (Mertes et al., 2007). It consists of a series of different modules that allow the sampling of small ice particles by a simultaneous pre-segregation of all other cloud constituents. The vertical, omnidirectional inlet already reduces the sampling of ice crystals larger than 50 μ m, including precipitating or windblown ice particles. A virtual impactor downstream of the inlet horn limits the upper size of sampled hydrometeors to 20 μ m. This limit is reasonable, because the collection efficiency is nearly 1 for these ice particle sizes. The ice particle break-up is minimized in the subsequent Ice-CVI components, and ice particles in this size range grow by water vapor diffusion, i.e. they should contain only the former INP as a residual particle. Downstream of the virtual impactor a pre-impactor removes supercooled drops by contact freezing on cold impaction plates. Ice particles bounce and pass the impaction plates. A conventional CVI (Mertes et al., 2005a; Mertes et al., 2005b) is located downstream of the pre-impactor to reject interstitial particles smaller than 5 μ m. Thus, only ice particles in the 5–20 μ m diameter range completely traverse the Ice-CVI. As with a conventional CVI these small ice crystals are injected into a particle-free and dry carrier gas which leads to evaporation and allows the analysis of the IPR.

2.1.3 ISI

The novel ISI (Kupiszewski et al., 2014) was designed to extract small ice crystals from mixedphase clouds, simultaneously counting, sizing and imaging the hydrometeors contained in the cloud with the use of WELAS (white light aerosol spectrometers) 2500 sensors and a Particle Phase Discriminator (PPD-2K). The core of the ISI is a droplet evaporation unit with ice-covered inner walls, removing droplets using the Bergeron-Findeisen process, while transmitting the ice crystals. In the final stage of the ISI, a pumped counterflow virtual impactor removes interstitials and cloud condensation nuclei released in the droplet evaporation unit from the sample flow, thus ensuring only ice crystals are transmitted. The extracted ice crystals are subsequently sublimated, releasing the IPR, which are transferred into the laboratory for further on- and offline characterization of their physical and chemical properties.

2.2 Sample characterization

2.2.1 Scanning electron microscopy

Thirty six samples (18 from FINCH, 13 from Ice-CVI, 5 from ISI) were acquired during the field campaign. All samples were analyzed by SEM (FEI Quanta 200 FEG, FEI, Eindhoven, the Netherlands) and EDX (EDAX, Tilburg, the Netherlands). The particles of the different samples were manually characterized with respect to their chemical composition, size, morphology, internal mixing state and stability under electron bombardment. Particle size was determined as average geometrical diameter (equivalent projected area diameter) from the electron images.

Based on chemical composition, morphology, mixing state and beam stability, 18 particle groups were defined and combined into 11 particles classes. Table 2 lists the particle groups, particle classes and classification criteria for the manual analysis.

Pb-bearing particles were classified according to the presence of Pb only (i.e. as soon as Pb could be detected). They might be homogeneous Pb-rich particles or particles containing Pb-rich inclusions.

In the latter case, the main matrix particles can be carbonaceous, soot, sulfate, sea-salt, silicate, metal oxide, a droplet or belong to the "other" class. Droplets are identified by their typical morphology of larger residual particles centered in a halo of small residuals, originating from the splashing of the droplet at impaction. The center of the residual can consist of unstable material (e.g., sulfate) or stable sea-salt, silicate, metal oxide, Ca-rich particles, or mixtures thereof. The halo particles are usually unstable under electron bombardment. Particles which could not be classified into one of the classes mentioned above are summarized in the particle class "other". This particle class contains for example Zn-rich, Mg-rich particles as well as Sn-, Ba-, Bi- and Br-bearing particles with a total abundance of usually less than 1 %.

Due to the difference in sample substrate composition between TEM grids and elemental boron, in particular for the detection of carbonaceous particles and thin carbonaceous coatings, systematic deviations may occur with a potential bias towards better detection of these particles on boron.

2.2.2 Laser Ablation Mass Spectrometry

LA-MS was carried out with ALABAMA (Aircarft-based Laser Ablation Aerosol Mass Spectrometer), which was originally developed for aircraft operation (Brands et al., 2011), but was also used in several ground-based measurement campaigns. It provides the chemical composition of single aerosol particles in an aerodynamic particle size range between 150 and 1500 nm, including refractory compounds such as metals, dust, and soot. It was used during the INUIT-JFJ campaign for the analysis of background aerosol particles and IPR (Schmidt et al., 2015). A total of 1809 IPR mass spectra were collected: 1663 with the Ice-CVI (104 operation hours) and 146 with ISI (32 operation hours).

2.3 Statistical analysis

Confidence intervals (after Clopper and Pearson, 1934) given in this manuscript and in the electronic supplement were calculated with R version 3.0.3 (R core team, 2014). For data displayed in figures, the confidence intervals are given in the electronic supplement.

2.4 Sampling location and meteorology

The JFJ station is located in a saddle between the mountains Jungfrau and Mönch, which is oriented WSW – NNE. This topography results in a channeling of the atmospheric flow leading to a near-binary distribution of wind directions as either NW or SSE. The atmospheric conditions during the campaign are illustrated in Fig. 1. Hourly 5 day backward trajectories for the JFJ station were calculated with the HYSPLIT model based on GDAS data (Draxler and Rolph, 2014).

At the top of Fig. 1, a period (labelled A) with comparatively homogeneous atmospheric conditions is marked. Trajectories for this period can be found in the electronic supplement (Fig. S1). It was chosen for instrumental comparison based on individual samples. Homogeneity was determined from meteorology, particle concentrations and changes in air mass origin. Period A (2 February/13:00–18:00 UTC) can be described as follows. During the last day before arrival, air masses travel approximately along the Rhine valley at altitudes between 1.5 km and 2.5 km. Two days before arrival, the air mass backward trajectories cross the North Sea and the United Kingdom in the same altitude range. For the rest of the trajectory length, the air masses were over the Northern Atlantic Ocean in the region of Iceland. Wind, temperature and in-cloud conditions were very stable during this period. While the JFJ is usually in the free troposphere during the winter months (Collaud Coen et al., 2011), abrupt increases in particle concentrations may indicate a rise in the atmospheric boundary layer height to the station altitude, which leads to a local influence. This effect is visible as a sudden increase in particle concentration in the middle of this period. The samples were collected before (FINCH + IN-PCVI) and after (Ice-CVI) the highest particle concentrations, so we consider period A as of Atlantic/free-troposphere origin with minor local influence.

3 Results

3.1 Contamination artifact particles from the INP/IPR sampling instruments

The sampling instruments yielded different types of artifact particles indicated by their clear nonatmospheric origin. They consisted either of compounds used for manufacturing the instruments (e. g., aluminum, stainless steel) or had the same composition and morphology as calibration aerosol (e. g., Si-O spheres). Therefore, they were removed from further analysis. Fig. 2 shows secondary electron images of the most common contamination artifact particles and their energy-dispersive Xray spectra. The relative abundance of the dominating artifact particles for each instrument is shown in Fig. 3 as box plots.

With all three sampling techniques, small amounts of Fe-Cr particles are observed as an artifact. They may derive from internal abrasion of the instrument or tubing. In addition, for the samples collected on boron substrates, Cu-rich particles are present, which are most likely fragments from the embedding material of the boron substrates (an epoxy resin containing copper chips for increased conductivity).

In the ISI samples, mainly Si-O spheres with a size of approx. 1 µm are observed as artifacts. These particles were most likely introduced into the instrument during calibration of the optical particle spectrometers contained within the inlet. The abundance of Si-O spheres in the samples ranged from 26 to 94 %. Including the Fe-Cr-rich and Cu-rich artifacts, the abundance of all artifact particles ranged from 46 to 94 % during the measurement period.

In the FINCH + IN-PCVI samples, Fe-Cr-rich and Cu-rich particles as well as a few Au/Ag particles (not shown as image) are identified as instrumental artifact. Their total abundance ranges from 0 to 60 % with a median of 20 %.

In the Ice-CVI samples, AI-O particles – probably aluminium oxides/hydroxides – occur as artifacts. The relative abundance of these AI-O particles varied in the range of 0 to 94 % by number. If we consider a particle break-up during impaction as indicated by their small size in relation to the nominal impactor cut-off size, the relative number abundance might be lower for airborne particles. As all AI-O particles are classified as artifacts in the present paper, potentially occurring atmospheric aluminium oxides/hydroxide particles in the Ice-CVI would be overlooked. However, it can be safely assumed that this potential error is minor, as no AI-O particles with the characteristic morphology (Fig. 2) were identified with the other two sampling instruments. The abundance of other artifact particles in the Ice-CVI sample is small (range of 0 to 8 %).

Lead-bearing particles are frequently found in the Ice-CVI samples, but also to a much lesser extent in FINCH + IN-PCVI samples. These particles are regarded as effective INP in previous work (Cziczo et al., 2009b; Ebert et al., 2011). However, as parts of the Ice-CVI are manufactured from a Pb-containing aluminum alloy, we performed additional tests to evaluate whether the Pb-bearing particles are an instrumental contamination artifact. SEM inspection of the surface of the impaction plates revealed the presence of large, homogeneous Pb-rich particles which consist of Pb, C and O (usually without an Al signal, when removed from the plates). The atomic Pb/O ratio varied between 3 and 1, indicating a composition of partially oxidized metallic lead. No halogenides or sulfides could be detected on the plates. In contrast, the particles found as INP/IPR are mostly internally mixed with other aerosol compounds, except for a few (less than 10 %) homogeneous Pb-rich particles with a composition similar to the Pb particles encountered on the impaction plates. Therefore, the latter (homogeneous Pb particles) are considered as artifacts. In previous campaigns at the JFJ station, Pbrich IPR inclusions in other particle types were identified as PbS (Ebert et al., 2011), indicating a nonartifact origin. This interpretation is also supported by the observed particle sizes. The Pb-rich particles on the impaction plates are larger than $1 \mu m$ (geometric diameter), in contrast to the Pbbearing IPR which are mostly smaller than 1 μ m. The Pb-rich inclusions within the Pb-bearing IPR have sizes of few tens to few hundreds of nanometers. In addition, considering the low impaction speeds inside the Ice-CVI (Mertes et al., 2007), in particular an abrasion of submicron particles can be considered as improbable. The Pb-rich particles are predominantly observed in the Ice-CVI samples, but also to a lower extent in FINCH + IN-PCVI samples, where no Pb-containing alloys were used. This

observation also indicates that the majority of Pb-rich particles are not instrumental contamination artifacts. However, for the minor amount of large homogeneous Pb-rich particles an instrumental source is likely.

In summary, it must be concluded that the abundance of contamination artifacts in the separated INP and IPR is generally large and cannot be neglected. Thus, the INP/IPR concentrations must be corrected to obtain accurate results. It is highly recommended that measurements of INP/IPR concentrations are always accompanied by chemical and morphological single particle characterization in order to avoid large systematic errors caused by contamination artifacts.

3.2 Composition of INP/IPR at the Jungfraujoch in winter

During the field campaign 5 ISI, 18 FINCH + IN-PCVI, and 13 Ice-CVI samples were analyzed with a total (non-artifact) INP/IPR particle number of 2627. Due to the low particle number on individual samples, the INP/IPR from all samples were integrated for each technique (Fig. 4) to yield better statistics. Particles were classified according to their size in a sub- and supermicron range.

Silicates are the main group of INP/IPR independent of sampling technique and size range (with the exception of submicron particles encountered in ISI). Ca-rich particles are predominantly found in the supermicron range with all three sampling techniques, in contrast to soot and sulfate particles, which occur mainly in the submicron range. Metal oxides are present in both size ranges with a tendency to the submicron range while sea-salt particles tend to be in the supermicron range. However, if the low number of analyzed particles and the resulting statistical uncertainty are considered, the observed differences between the techniques are regarded only as a trend. In addition, the three instruments could not be operated strictly in parallel and thus, sampled different time periods. In particular, ISI samples were taken only at the end of the field campaign.

The main difference in composition trends between the three sampling methods are the high content of carbonaceous particles measured downstream of the ISI, and the high content of Pbbearing particles obtained by Ice-CVI. The high concentration of carbonaceous particles in the ISIsamples may result from different air masses being sampled at the end of the field campaign, when the ISI was operated. During this time, higher black carbon concentrations were measured than during the earlier periods (WDCA, 2014). The Pb-bearing particles are discussed later in Sect. 3.4 in more detail.

If the eleven particle classes are grouped into four simplified components – particles of potential terrigenous origin (i.e., silicates and Ca-rich particles), C-dominated particles (carbonaceous, soot), metal-oxides-dominated and soluble particles (sulfate, droplets, sea-salt) – the terrigenous particles are the main component with relative abundances of 32 % (ISI), 51 % (FINCH + IN-PCVI) and 55 % (Ice-CVI). The C-rich particles show a higher variation due to sampling of different air masses and range from 9 % (Ice-CVI), 13 % (FINCH + IN-PCVI) to 34 % (ISI). The soluble particles vary between 22 % (ISI and Ice-CVI) and 32 % (FINCH + IN-PCVI).

The composition of the INP/IPR-samples varies between different cloud events as well as between the INP/IPR sampling techniques. The heterogeneity of the INP/IPR composition is illustrated with the example of February 2nd (Fig. 5), where relatively stable atmospheric conditions prevailed. During this period, two samples were taken between 17:40–18:10 (Ice-CVI) and 14:50–17:11 (FINCH + IN-PCVI). The relative number abundance of the major components is quite similar, i.e., dominating silicates with a fraction of 71 % (Ice-CVI) and 65 % (FINCH + IN-PCVI) as well as the presence of organics and metal oxides. The relative abundance of the minor INP/IPR classes seems to differ considerably. However, due to the small number of particles sampled by FINCH + IN-PCVI, no further conclusions can be drawn. In addition, a part of these differences may be caused by the different INP/IPR sampling techniques and short-term changes in meteorological conditions.

3.2.1 Potential INP/IPR sampling artifacts

In addition to the clearly identifiable instrumental contamination artifacts, potential INP/IPR sampling artifacts may occur. We define potential sampling artifacts as particles, which pass the selection mechanisms similar to INP/IPR, while being questionable to act as INP/IPR (e.g., Pruppacher

and Klett, 1997). The potential sampling artifacts include sea-salt particles, sulfate particles and particles which impact on the sampling substrates as droplets. As we cannot exclude that these particles are INP/IPR, we do not exclude them from further analysis in contrast to the instrumental contamination artifacts.

Droplets are characterized by their morphology of a residual with a halo (Fig. 6). While in principle the heating and drying line should lead to total evaporation of particle-bound water, obviously some particles were still in liquid state during impaction sampling. As we cannot distinguish incompletely dried IPR from super-cooled droplets, which were falsely identified as INP/IPR, we consider droplets as potential INP/IPR sampling artifacts. Sulfate particles were preferentially found in the submicron size range, while sea-salt particles have a tendency to be of larger size. Droplets, however, occur rather uniformly in both size ranges.

The relative number abundances of the three potential sampling artifacts (droplets, (non-droplet) sulfate and sea-salt) are shown as box plots (in Fig. 7), separately for each INP/IPR sampling instrument. All potential INP/IPR sampling artifacts are observed for all three techniques, and their relative abundances are on comparable levels of 0–10 % for each particle type. However, in particular the Ice-CVI extracted a higher number of sea-salt particles as INP/IPR. For single measurements, the abundance of these potential sampling artifacts can reach up to 40 %.

3.3 Size distribution of INP/IPR components

To allow for the display of a size distribution (Fig. 8), we combined the classes into generalized components of INP/IPR to achieve higher particle counts for each particle size interval. Instrumental contamination artifacts and Pb-bearing particles are excluded in this presentation. Note that size distributions obtained with the different techniques cannot be compared directly due to different inlet and transmission efficiencies. However, all three methods yield a maximum between 0.3 to 0.5 μ m geometric diameter. In addition, ISI shows a secondary maximum around 1 to 1.5 μ m. With ISI and FINCH-IN-PCVI, silicates and Ca-rich particles are predominantly found at the larger particles sizes. The relative abundance of carbonaceous/soot as well as metal oxides is higher within the submicron range. The soluble and secondary particles do not show a particular size preference in their relative abundance. For the Ice-CVI, there seems to be a trend towards a higher abundance of soluble/secondary material with increasing particle size. However, this cannot be regarded as significant due to the extremely low particle numbers for supermicron particles (less than 10 for each sample and size interval). In the submicron range, no size dependency is visible.

3.4 Mixing state and Pb-bearing INP/IPR

A significant fraction of the INP/IPR consists of particles with coatings or inclusions (see groups in Fig. 4). The relative abundance of internally mixed particles for each particle type is summarized in Table 3. It is apparent that mainly silicate particles and to a lesser extent metal oxides are internally mixed. Mixing partners are mostly sulfate and carbonaceous matter, but also sea-salt, if present in the total aerosol. The other particle types are less frequently internally mixed. Regarding differences between the sampling techniques, in particular INP measured by FINCH + IN-PCVI are considerably more frequently internally mixed than IPR of ISI and Ice-CVI. The (non-droplet) sulfates obtained as INP/IPR contain in most cases no heterogeneous inclusions. Also, most of the soot and Ca-rich particles have no coating, which is consistent for all sampling techniques. In contrast, the mixing state of carbonaceous particles was found to be highly different, rarely mixed for ISI (7 %) and frequently mixed for FINCH + IN-PCVI (64 %).

In previous IPR measurements at the JFJ station (Cziczo et al., 2009b; Ebert et al., 2011), Pbbearing particles were found at high abundance with the Ice-CVI. For comparison with the previous work (Fig. 9), we have selected the Pb-bearing particles from the total INP/IPR and determined their mixing partner. For comparability, the particles were classified in the same way as for the CLACE 5 campaign (Ebert et al., 2011). Pb-bearing particles are only found with Ice-CVI and FINCH + IN-PCVI. The Pb inclusions occur within the same main particle classes identified as INP/IPR in general, i.e., mainly silicates, Ca-rich particles, sulfates, sea-salt, and carbonaceous particles. In addition, externally mixed (homogeneous) Pb-bearing particles are present at minor abundance. While fewer externally mixed Pb-bearing particles were observed in the present field campaign (compared to Ebert et al., 2011), the abundance of the other Pb-bearing groups is similar, except for the more abundant Al-rich and the less abundant homogeneous Pb-rich.

4 Discussion

4.1 Composition of INP/IPR

4.1.1 Which particle classes can be regarded as INP/IPR?

Silicates were identified as common INP/IPR in laboratory experiments as well as in field experiments (Hoose and Möhler, 2012; Murray et al., 2012). Also in our field campaign, silicates are the most abundant INP/IPR component. Ca-rich particles – e.g., carbonates like calcite – are not frequently regarded as INP (e.g., Murray et al., 2012). However, according to laboratory experiments calcite can act as INP (Zimmermann et al., 2008). Therefore, the Ca-rich particles are regarded as INP/IPR. Metal oxides are also commonly observed as IPR in field experiments (Chen et al., 1998; DeMott et al., 2003). Similar to our study, Fe-rich particles are usually the main group within the metal oxides. In addition, Al-, Ti-, Zn-, Cr-, and Ca-rich particles were found in the present investigation and by Chen et al. (1998).

Based on field experiments and laboratory studies, Pb-bearing particles are in general regarded as good ice nuclei (for a detailed discussion refer to Cziczo et al., 2009b). In the present study, lead is found in two forms: as Pb-rich inclusions in other particles (major abundance) and as homogeneous Pb-rich particles (minor abundance). The minor fraction of homogeneous Pb-rich particles is regarded as instrumental artifact (see discussion above), but due to its low abundance of less than 10 % (equaling about 10 particles), it is neglected from the further discussion.

The ice nucleation ability of soot and carbonaceous particles is discussed controversially in the previous literature. While an enrichment of black carbon in IPR was observed in field experiments (Cozic et al., 2008), there are also other findings where organic-rich particles preferentially remain unfrozen (Cziczo et al., 2004). It has to be mentioned, however, that carbon-rich particles are often named ambiguously depending on the technique used for analysis (see also Murray et al., 2012; Petzold et al., 2013). Thus, discrepancies may arise from the fact that different types of carbonaceous material (e.g., nano-crystalline graphite, organic material) are compared. Laboratory experiments show that the ice forming activity of soot is influenced by size, surface area and the concentration of the surface chemical groups that can form hydrogen bonds with water molecules (Gorbunov et al., 2001; Koehler et al., 2009). According to the latter, the ice forming activity of soot is close to that of metal oxides. In summary, we conclude that soot and carbonaceous particles observed in our samples were active as INP.

Also for secondary aerosol particles, the ice nucleation ability is discussed controversially. As in the case of soot and carbonaceous matter, secondary aerosol particles are found in field measurements of INP (Abbatt et al., 2006; Prenni et al., 2009b) and in laboratory experiments under cirrus cloud conditions (Hoose and Möhler, 2012). In contrast, Cziczo et al. (2004) report from a field study that organic-rich particles (internally mixed particles of sulfates and organic species) preferentially remain unfrozen. Based on our data, where secondary material is present in many INP/IPR samples, we consider these particles to be INP/IPR.

Sea-salt as INP/IPR was described for field studies by Cziczo and Froyd (2014) and Targino et al. (2006). While crystalline salts were found in a laboratory study to be able to act as INP under uppertropospheric conditions (Zuberi et al., 2001), there has been a lack in clarifying the process by which a hygroscopic and soluble material should act as IN. However, recently Wise et al. (2012) explained this behavior by fractional crystallization of the solute component under decreasing temperatures. Based on these findings, we consider sea-salt as potential sampling artifacts. Similar to sea-salt, no agreement exists on the ice nucleation ability of sulfate particles. Sulfates may act as INP in cirrus clouds in the upper troposphere and lower stratosphere, both in immersion and deposition mode (Abbatt et al., 2006, and references therein; Hoose and Möhler, 2012). Sulfates acting as INP are found in a field study at increasing abundance with decreasing temperature under cirrus conditions (-56 °C to -39 °C; Twohy and Poellot, 2005), but usually not in the warmer mixed phase clouds as encountered during our field experiment. Considering the usually high relative abundance of sulfates in the total aerosol (Ebert et al., 2011), we cannot exclude the possibility that sulfates are an artifact of the INP/IPR discrimination techniques not having perfect (i.e., 100 %) discrimination efficiency. Thus, we consider sulfate particles as potential sampling artifacts. Similar considerations apply to the observed droplets.

As explained in the methods section, contamination artifact particles were removed from the further analysis, while potential sampling artifacts are included in the data.

4.1.2 Relative abundance of particle classes among INP/IPR

If all INP/IPR particles of the three sampling methods are summed up, the following averaged INP/IPR composition of the whole field campaign is obtained: 52 % terrigenous particles (38 % silicates, 9 % metal oxides, 5 % Ca-rich particles), 14 % C-rich (12 % carbonaceous particles, 2 % soot), 1 % secondary particles, 11 % sulfate, 11 % droplets, 4 % sea-salt, 5 % Pb-bearing particles, and 2 % other particles.

A compilation of INP/IPR composition encountered in mixed-phase clouds is shown in Table 4. In general, the results of the present study are in good agreement with the findings of previous work. Silicates are the most abundant component of INP/IPR with a relative number abundance varying between 40 and 71 %. The second most abundant component is carbonaceous material (16 - 43 %), followed by salts (sea salt, sulfates, droplets) with a relative number abundance between 5 and 27 %. The high abundance of coated particles observed in the present study is in good agreement with Targino et al. (2006) who observed sulfur coatings for all groups indicating ageing and in-cloud processing.

An overview of IPR compositions found during 13 field campaigns of cirrus clouds is given by Cziczo and Froyd (2014). Also here, the main particle types are mineral dust, metals, BC/soot, seasalt, sulfate, and biomass burning.

A relative high abundance of Pb-bearing particles, in particular internally mixed ones, seems to be characteristic for IPR at the JFJ station. They were found in previous work (Cziczo et al., 2009b; Ebert et al., 2011) and during the present field campaign. However, the fraction of Pb-bearing particles in the whole INUIT campaign is 1 % for FINCH + IN-PCVI, and 10 % for Ice-CVI. In contrast, a higher fraction of up to 20 % was found during CLACE 5. As helicopter flights – where Pb-rich particles might be emitted due to leaded fuel usage – around the JFJ station were more frequent during CLACE 5 than during the present field campaign, the decrease in the abundance of Pb-bearing particles indicates a considerable contribution of local emissions to the INP formation at the JFJ station.

Feldspar minerals and in particular K-feldspars (e. g. microcline) were discussed as efficient INP (Atkinson et al., 2013; Yakobi-Hancock et al., 2013). Despite the fact that we did not determine the mineralogical phase of the silicate particles, we can show by SEM-EDX that they have low potassium contents (K/Si atomic ratio < 0.1). Thus, it is concluded that K-feldspar particles do not occur as INP/IPR at JFJ in winter. Ca-rich particles appear in the supermicron fraction with a number abundance ratio of 0.1 to 0.33 relative to silicates (depending on method and sample), which is in the range reported for natural mineral dust (Kandler et al., 2007; Coz et al., 2009; Kandler et al., 2009; Kandler et al., 2011). Thus, Ca-rich particles can be considered as similarly effective IN as silicates.

4.2 Significance of mixing state and particle class for ice nucleation

A significant fraction of the INP/IPR occurs as internal mixtures (Table 3). This fraction is similar to previous literature data. Chen et al. (1998) reported a fraction up to 25 % of INP which were mixtures of sulfates and elements indicative of insoluble particles. The same relative abundance of mixtures of

metal oxides/dust with either carbonaceous components or salts/sulfates was reported by Prenni et al. (2009a). For the JFJ station, a slightly lower fraction of internally mixed particles was found during the CLACE 5/6 campaigns: 9–15 % by Ebert et al. (2011) and up to 15 % by Kamphus et al. (2010).

Especially notable is the observed difference between silicates and Ca-rich particles. While silicates are usually internally mixed, the Ca-rich particles do not have a detectable coating. This may indicate that for silicates a coating is less effective in reducing their IN ability than for Ca-rich particles, pointing to a more pronounced processing (e.g., destruction of the surface structure) of the latter. However, the influence of coatings on the ice nucleation ability of silicates is discussed controversely. In field experiments, coatings on silicates and metal oxides are commonly observed (Chen et al., 1998; Targino et al., 2006; Prenni et al., 2009a). In laboratory experiments, conflicting results are obtained. While Cziczo et al. (2009a) as well as Hoose and Möhler (2012) reported a deactivation of the ice nuclei due to coatings, Sullivan et al. (2010) found that coatings do not always effect the ice nucleation ability. In contrast, Archuleta et al. (2005) and Zuberi et al. (2002) discuss mineral dust as efficient nucleus for ice in NH₄SO₄–H₂O aerosols and demonstrated that mineral particles coated with sulfate increase the freezing temperature up to 10 K compared to pure sulfate solutions. In addition, Richardson et al. (2007) reported that soluble coatings favor condensationfreezing nucleation and inhibit nucleation by vapor deposition. But they also mention, that coatings itself may act either to increase or decrease ice nucleation efficiency independent of the nucleation mechanism.

4.3 Comparison between FINCH + IN-PCVI, Ice-CVI and ISI

A reasonable agreement between the different sampling techniques is obtained for the major particle classes observed among the INP/IPR. However, the variation in INP/IPR composition due to meteorological conditions in connection with the non-parallel sampling introduces a systematic error. The non-parallel sampling could not be avoided during the present field campaign, as the sampling techniques were not yet in a state allowing for synchronized operation and the available flow from the INP/IPR samplers was insufficient for a sampling for SEM and operation of LA-MS in parallel. Consequently, INP/IPR composition snapshots from different time periods needed to be integrated for comparison of the INP/IPR composition.

The reasons for the different instrumental contamination artifacts were identified. Thus, these artifacts can be avoided in future by removing their sources (e.g., replacement/sealing of contaminating surfaces, thoroughly purging). The relative abundance of potential sampling artifacts is in general low (median < 5 %), except for sea-salt particles sampled by the Ice-CVI with a median of 10 % (Fig. 7).

Despite the frequent non-parallel sampling, the major INP/IPR classes found by all three techniques include silicates, Ca-rich particles, carbonaceous material, and metal oxides. In addition, soot was observed as minor component in the fine fraction (< 1 μ m diameter) by all methods. These observations are also in general agreement with previous work (see above).

In contrast, in the fine fraction a considerably higher relative abundance of carbonaceous material was found by ISI and a higher relative abundance of silicates and silicate mixtures by Ice-CVI. These differences are most likely caused by the non-parallel sampling. It must be emphasized again that samples from the ISI were only obtained during the last week of the field campaign (Fig. 1).

4.4 Comparison between scanning electron microscopy and laser ablation mass spectrometry

The results of offline SEM-EDX analysis of the collected INP/IPR particles can be compared to the findings of online LA-MS. Unfortunately, both techniques could not be run in parallel because of the limited available sample flow that could be provided by the sampling systems. Due to the low INP/IPR concentrations, it was necessary to integrate all available data, which may lead to systematic errors due to significant variations in the IPR chemical composition as function of changing air masses

and meteorological conditions. Furthermore, for a comparison between SEM-EDX and LA-MS a more general particle classification scheme, combining the detailed SEM-EDX classes, was necessary.

The average particle class number abundance, derived by SEM-EDX – separately for all IPR from the ISI and Ice-CVI – is compared in Fig. 10 to the results of the LA-MS (Schmidt et al., 2015). The most obvious difference between the two analysis techniques is the presence of 10–18 % of secondary particles (mostly mixtures of sulfates/nitrates and/or organics), pure sulfates and droplets (residuals of volatile species like nitrates and organics) in SEM-EDX. These classes are completely absent in LA-MS. This difference can be explained by the fact that due to technical issues anions were not measured by LA-MS during the present campaign. Without the detection of anions, sulfate and nitrate cannot be identified by LA-MS, such that these particles are classified according to their dominant cations and are assigned to one of the listed particle groups.

For the other classes, a fair agreement of the results is obtained. First, the sum of mineral dust, sea-salt, carbonaceous material and soot (red and green colors in Fig. 10) contributes 70–90 % to the IPR. Second, metal oxides (based on SEM-EDX: mainly iron oxides) occur at an abundance of 5–10 %. Third, Ice-CVI samples contain Pb-rich particles (5–10 %), while these particles are absent in the ISI. These results do not change considerably, if for SEM-EDX the particles outside the LA-MS size range (> 1.5 μ m diameter) are neglected.

However, pronounced discrepancies exist between SEM-EDX and LA-MS data, in particular for Ice-CVI. For this sampling technique, a lower abundance of carbonaceous material is found by SEM-EDX, and a higher abundance of silicates. This quantitative comparison of compositional data from both analysis techniques is hampered by the different approach in particle characterization. The particle classification with SEM-EDX relies on the characteristic X-ray signals, which can be used to quantify the chemical composition of a particle. Our classification scheme uses mainly the major elements (i. e., relative contribution excluding oxygen larger than 10 atom %) detected inside a particle to assign it to an according group. Minor elements (less than approximately 10 atom %) are mostly neglected in particle classification. Trace elements (less than 0.5 atom %) cannot be measured at all. In contrast, single particle LA-MS relies on ionized compounds, so ionization efficiency plays a major role. Thus, strong signals often originate from the atoms or molecules, which can be ionized best in LA-MS, but are not necessarily a major component of the particle. While LA-MS works usually well for externally mixed particles, problems can arise for the classification of internally mixed particles. In our particular case, it cannot be excluded that, for example, a silicate particle with a thin organic coating is classified as silicate in SEM-EDX (based on Si as major element), but as carbonaceous particle in LA-MS (based on a strong signal of ionized carbonaceous matter). This example clearly demonstrates the need for further systematical comparison between these two analytical techniques.

5 Summary and conclusions

For the first time, the chemical composition of individual INP/IPR collected by three techniques – ISI, FINCH + IN-PCVI and Ice-CVI – was analyzed in a field experiment. In winter, the INP/IPR composition at the Jungfraujoch station is composed of five main classes: the dominating terrigenous silicates/Ca-rich particles, carbonaceous particles, metal oxides/hydroxides (Fe-, Ti, or Al-oxides/hydroxides), soot, and soluble particles like sea-salt, sulfates and droplets. However, the latter class of soluble particles was considered as potential sampling artifacts. Lead inclusions occur in several INP/IPR, while large homogeneous Pb-rich particles are considered partially as artifacts. The composition is generally similar to earlier field experiments. Despite the non-parallel sampling the methods agree roughly regarding major and minor components. Thus, we consider this experiment as a successful step in improving the accuracy of measuring the INP/IPR chemical composition.

For all three INP/IPR separation techniques, different contamination artifacts and potential sampling artifacts were identified. These artifacts are easily detectable by the chemical and morphological analysis. In contrast, counting or size distribution techniques would consider these contamination and sampling artifacts as real INP/IPR and, consequently, overestimate the INP/IPR

concentration. Thus, the present work provides information suitable for correction of counting techniques, for the contamination artifacts as well as for sampling artifacts. While for the former correction is necessary, interpretation of the latter might change with advancing knowledge regarding the INP/IPR abilities of soluble compounds.

Deeper data investigation reveals that beyond the agreement in maximum of the INP/IPR size distribution there are considerable differences between the instruments pointing to different efficiencies in INP activation and IPR separation. This is particularly obvious when we consider the large difference in internally-mixed particle abundance. While a part of these discrepancies might be explained by atmospheric variability in connection with non-parallel sampling (an issue, which is expected to be overcome in future experiments by increased stability in instrument operation), they also indicate lack in understanding regarding the chemical selectivity of the different INP/IPR-discriminating techniques.

Finally, a few statements regarding limitations of the investigated techniques as well as recommendations for future work on INP/IPR can be made:

a) Measurements of INP/IPR concentrations should be always accompanied by characterization of the INP/IPR chemistry to readily identify strong contributions of instrumental artifacts. Although different techniques are in principal possible, scanning electron microscopy with high resolution instruments has proven to be especially suited for this purpose.

b) More work is needed to clarify the ice nucleation ability of sea salt and sulfates in mixed phase clouds.

c) More emphasis should be placed on the particle mixing state in the atmosphere. Due to its complexity, laboratory tests on the performance of the different INP/IPR sampling techniques may lead to overconfidence in the results of field measurements.

d) Substantial work is still necessary to develop the here presented approaches of INP/IPR sampling to robust routine techniques.

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INP/IPR-separating technique	Principle of operation	Mode of operation	lce nucleation location	Separated particle type	Freezing mechanisms	Size fraction of sampled ice hydrometeors
Fast Ice Nucleus Chamber (FINCH) + Ice Nuclei pumped Counterflow Virtual Impactor (IN-PCVI)	activation of INP under suitable thermodynamic conditions, separation of INP by inertia	in-cloud and out of cloud, continuous in periods	instrument	INP	deposition, condensation, immersion	not applicable
Ice-Counterflow Virtual Impactor (Ice-CVI)	removal of super-cooled droplets, transmission of ice particles	in-cloud, continuous	atmosphere	IPR	deposition, condensation, immersion, contact	5 μm < d < 20 μm
Ice Selective Inlet (ISI)	use of Bergeron-Findeisen process to evaporate super- cooled droplets, separation of ice crystals by inertia	in-cloud, continuous	atmosphere	IPR	deposition, condensation, immersion, contact	4.9 μm < d < 20 μm

 Table 1: Techniques and operation principles used for ice-nucleating particle (INP) and ice particle residual (IPR) differentiation.

Table 2: Classification criteria for particle classes and particle groups. Common features for certain particle types not used for classification are given in parentheses.

Class	Group	Major elements	Morphology	Mixing state ^a	Beam stability
Carbonaceous ^b	Carbonaceous	С	non-soot	no inclusion	
	Carbonaceous + inclusion	С	non-soot	inclusion	
Secondary	Secondary	C, O, S			
Sulfate	Sulfate	S, O, (Na, K)		no residual	unstable
	Sulfate + inclusion	S, O, (Na, K)		residual	unstable
Soot	Soot	С	soot-like	no coating	
	Soot mixture	С	soot-like	coating	
Sea-salt	Sea-salt	Na, Cl, (K, Mg)		no inclusion	
	Sea-salt + inclusion	Na, Cl, (K, Mg)		inclusion	
Ca-rich	Ca-rich	Ca, O, (Mg, S, C)		no inclusion	
	Ca-rich + inclusion	Ca, O, (Mg, S, C)		inclusion	
Metal oxide	Metal oxide	Fe, Al, Ti, (Mn)		no coating	
	Metal oxide + coating	Fe, Al, Ti, (Mn)		coating	
Silicate	Silicate	Si, Al, (K, Ca, Mg, Fe, Ti)		no coating	
	Silicate mixture	Si, Al, (K, Ca, Mg, Fe, Ti)		coating or agglomerates	
Pb-bearing	Pb-bearing	Pb present (also as minor			
		component)			
Droplet	Droplet		particle centered in		
			ring of smaller		
			particles		
Other	other				

^a Based on detailed SEM observations. Inclusion refers to a small object with different chemical composition inside a particle. Residuals are compounds left after evaporation of a volatile matrix. Coating is a small film on the surface of a particle. Agglomerates are composed of distinguishable objects of similar size. ^b Carbonaceous particles are interpreted as organic compounds which condensed from the gas phase due to their unspecific morphology and the absence of tracer elements for primary biological particles (i.e. N, P, K).

Particle class	ISI	FINCH + IN-PCVI	Ice-CVI
Silicate	58.5 (44.1 – 71.9)	61.5 (56.9 – 65.9)	36.9 (33.0 – 40.9)
Metal oxide	4.3 (0.1 – 21.9)	66.7 (58.3 – 74.3)	38.8 (27.1 – 51.5)
Ca-rich	9.1 (1.1 – 29.2)	7.5 (3.1 – 14.9)	7.4 (0.9 – 24.3)
Sea-salt	10.0 (0.3 – 44.5)	53.8 (25.1 – 80.8)	8.7 (3.8 – 16.4)
Soot	12.5 (0.3 – 52.7)	5.0 (0.1 – 24.9)	6.3 (0.8 – 20.8)
Sulfate	0.0 (0.0 – 30.8)	2.0 (0.6 – 5.1)	3.9 (0.5 – 13.5)
Carbonaceous	6.8 (1.9 – 16.5)	63.9 (55.9 – 71.4)	27.8 (16.5 – 41.6)

Table 3: Number fraction [%] of internally mixed particles in each particle class (95 % confidence interval in parentheses).

Table 4: Average INP/IPR composition encountered in mixed-phase clouds for several field experiments.

Location	Particle type	Reference	Terrigeneous ^a	Carbonaceous ^b	Salts	Others
Alaska/Arctic	INP	Prenni et al. (2009a)	64 %	35 %	IM ^c	
Northern Scandinavia	IPR	Targino et al. (2006)	58 %	23 %	7 %	12 %
Jungfraujoch	IPR	Kamphus et al. (2010)	57 %	25 % [°]	IM ^c	15 %
Jungfraujoch	IPR	Ebert et al. (2011) ^d	40 %	43 %	12 %	5 %
Jungfraujoch	INP+IPR	this study ^d	71 %	21 %	5 %	3 %
Jungfraujoch	INP+IPR	this study ^e	55 %	16 %	27 %	2 %

^a containing internal mixtures of terrigeneous materials with sulfate and organics

^b containing also sulfate

^c IM = present in internal mixtures only

^d excluding droplets and sulfate; Pb-bearing particles classified according to major particle composition

^e including droplets and sulfate; Pb-bearing particles classified according to major particle composition

Figure Captions

Fig. 1: Atmospheric and FINCH operating conditions and INP/IPR sampling periods in February 2013. Times are given in UTC. Particle number concentrations were taken from the World Data Centre for Aerosols homepage (WDCA, 2014). Temperature and wind direction were provided by the Jungfraujoch station operated by International Foundation High Altitude Research Stations Jungfraujoch and Gornergrat. Cloud presence was detected by measuring the liquid water content using a Particulate Volume Monitor (PVM-100, Gerber Scientific, Reston, VA, USA) and a Cloud Droplet Probe (Droplet Measurement Technologies, Boulder, CO, USA). Sampling phases for SEM are marked by wide, intensely-colored bars; sampling phases for MS are shown as narrower, pale-colored bars. 'A' marks a period used for case comparison.

Fig. 2: Secondary electron images and energy-dispersive X-ray spectra of instrumental contamination artifact particles. Characteristic X-ray peaks of elements are labeled. Elements contained in the sample substrate are given in parentheses.

Fig. 3: Box plots of the different instrumental contamination artifact particles for each sampling technique. Shown are minimum, lower quartile, median, upper quartile, and maximum.

Fig. 4: Relative number abundance (integrated over all samples) of different particle groups as function of sampling technique and particle size. The total number of analyzed particles is shown above the bars. For confidence intervals see Tables S2 and S3 in the electronic supplement.

Fig. 5: Relative number abundance of different particle groups among INP/IPR for 2 February determined by FINCH + IN-PCVI and Ice-CVI. The total number of analyzed particles is shown above the bars. For confidence intervals see Table S4 in the electronic supplement.

Fig. 6: Secondary electron images of droplets with their typical morphology of a halo around a residual.

Fig. 7: Box plots of impacted droplets, (non-droplet) sulfate and sea-salt abundance for ISI, FINCH + IN-PCVI and Ice-CVI. Shown are the minimum, lower quartile, median, upper quartile, and maximum.

Fig. 8: Average of all single sample size distributions of major INP/IPR components for ISI, FINCH + IN-PCVI and Ice-CVI. Particle groups were combined according to potential sources to obtain a sufficient number of particles in each size interval. Left column: number size distribution in dN/dd. Note that the different size distributions cannot be compared directly due to different instrumental inlet and transmission efficiencies. Right column: relative number abundance. Size intervals with less than 15 particles are not shown.

Fig. 9: Comparison of the composition/mixing state of Pb-bearing particles from INUIT (present contribution) and CLACE 5 (Ebert et al., 2011) from the Ice-CVI. Note that classification criteria and nomenclature of Ebert et al. (2011) were used for this graph. For confidence intervals see Table S5 in the electronic supplement.

Fig. 10: Comparison of particle class relative number abundance determined by SEM-EDX and LA-MS for IPR sampled by ISI and Ice-CVI. To allow for a comparison of the two different analytical approaches of SEM-EDX and LA-MS, classes were combined accordingly. For confidence intervals see Table S6 in the electronic supplement.

sampling day	sampling time, UTC	mean temperature, °C	temperature standard	mean saturation ratio	standard deviation of the
			deviation, °C	with respect to ice	saturation ratio
Feb. 2, 2013	14:50 - 17:11	-22.0	0.5	1.72	0.18
Feb. 4, 2013	06:16 - 08:27	-23.3	1.1	1.70	0.08
Feb. 4, 2013	12:00 - 14:51	-21.1	1.2	1.80	0.06
Feb. 5, 2013	17:45 – 20:15	-19.9	1.0	1.72	0.15
Feb. 6, 2013	15:47 – 21:05	-21.3	2.0	1.35	0.07
Feb. 7, 2013	08:05 - 11:32	-19.6	0.9	1.40	0.18
Feb. 8, 2013	18:23 – 22:52	-22.8	0.3	1.20	0.03
Feb. 9, 2013	17:08 – 22:39	-22.2	0.7	1.14	0.08
Feb. 10, 2013	10:00 - 13:41	-22.9	0.7	1.31	0.06
Feb. 10, 2013	17:34 – 21:20	-21.8	1.2	1.70	0.06
Feb. 11, 2013	08:08 - 13:26	-21.8	1.5	1.50	0.11
Feb. 13, 2013	14:02 - 15:30	-24.9	0.4	1.61	0.18
Feb. 14, 2013	08:41 - 13:01	-25.1	1.2	1.49	0.11
Feb. 17, 2013	08:54 - 12:36	-23.4	0.4	1.50	0.13
Feb. 19, 2013	08:47 – 12:59	-20.8	1.8	1.66	0.17
Feb. 20, 2013	08:37 - 11:58	-21.2	1.1	1.66	0.06
Feb. 21, 2013	08:35 - 14:18	-21.9	1.4	1.65	0.11
Feb. 22, 2013	06:09 - 13:49	-21.7	1.2	1.57	0.07

Table S1: sampling times and operating conditions for the FINCH+IN-PCVI / SEM impactor coupling

Table S2: Relative number abundance [%] integrated over all samples of different particle groups as function of sampling technique and for a particle size < 1 μm. Also given are 95 % confidence intervals [%]. The data are displayed in Fig. 4 of the publication.

		ISI	FINCH +	IN-PCVI	lce-	CVI
	(n	= 91)	(n = 9	(n = 902)		962)
d < 1 µm	Rel. abund.	95 % CI	Rel. abund.	95 % CI	Rel. abund.	95 % CI
Carbonaceous	39.6	29.5 – 50.4	5.1	3.8 - 6.7	3.8	2.7 – 5.3
Carbonaceous + inclusion	2.2	0.3 – 7.7	5.7	4.2 - 7.4	1.1	0.6 – 2.0
Secondary	5.5	1.8 - 12.4	0.4	0.1 - 1.1	0.6	0.2 - 1.4
Sulfate	9.9	4.6 - 17.9	21.2	18.6 – 24.0	5.1	3.8 – 6.7
Sulfate + inclusion	0.0	0.0 - 4.0	0.3	0.1 - 1.0	0.1	0.0 – 0.6
Soot	2.2	0.3 – 7.7	2.1	1.3 – 3.3	2.9	1.9 – 4.2
Soot mixture	1.1	0.0 - 6.0	0.1	0.0 - 0.6	0.2	0.0 - 0.7
Sea-salt	2.2	0.3 – 7.7	0.2	0.0 - 0.8	6.9	5.3 – 8.6
Sea-salt + inclusion	0.0	0.0 - 4.0	0.2	0.0 - 0.8	0.5	0.2 - 1.2
Ca-rich	2.2	0.3 – 7.7	3.3	2.3 – 4.7	2.2	1.4 - 3.3
Ca-rich + inclusion	0.0	0.0 - 4.0	0.3	0.1 - 1.0	0.1	0.0 - 0.6
Metal oxide	17.6	10.4 – 27.0	4.9	3.6 - 6.5	4.2	3.0 - 5.6
Metal oxide + coating	0.0	0.0 - 4.0	6.1	4.6 – 7.9	2.1	1.3 – 3.2
Silicate	6.6	2.5 - 13.8	11.2	9.2 – 13.4	35.9	32.8 – 39.0
Silicate mixture	4.4	1.2 – 10.9	18.1	15.6 – 20.7	20.1	17.6 – 22.7
Pb-bearing	0.0	0.0 - 4.0	0.3	0.1 - 1.0	9.6	7.8 – 11.6
Droplet	4.4	1.2 – 10.9	17.2	14.8 – 19.8	3.6	2.5 – 5.0
Other	2.2	0.3 – 7.7	3.2	2.2 – 4.6	1.0	0.5 – 1.9

Table S3: Relative number abundance [%] integrated over all samples of different particle groups as function of sampling technique and for a particle size > 1 μm. Also given are 95 % confidence intervals [%]. The data are displayed in Fig. 4 of the publication.

		ISI	FINCH +	IN-PCVI	lce-	CVI
	(n = 105)		(n = 4	156)	(n =	111)
d > 1 μm	Rel. abund.	95 % Cl	Rel. abund.	95 % Cl	Rel. abund.	95 % Cl
Carbonaceous	17.1	10.5 – 25.7	2.4	1.2 – 4.3	0.9	0.0 – 4.9
Carbonaceous + inclusion	1.9	0.2 – 6.7	11.0	8.2 - 14.2	3.6	1.0 - 9.0
Secondary	2.9	0.6 - 8.1	0.9	0.2 – 2.2	0.0	0.0 - 3.3
Sulfate	0.0	0.0 - 3.5	1.3	0.5 – 2.8	0.0	0.0 - 3.3
Sulfate + inclusion	0.0	0.0 - 3.5	0.2	0.0 - 1.2	0.9	0.0 - 4.9
Soot	3.8	1.0 - 9.5	0.0	0.0 - 0.8	0.0	0.0 – 3.3
Soot mixture	0.0	0.0 – 3.5	0.0	0.0 - 0.8	0.0	0.0 – 3.3
Sea-salt	2.9	0.6 - 8.1	0.9	0.2 – 2.2	11.7	6.4 - 19.2
Sea-salt + inclusion	1.0	0.0 - 5.2	1.1	0.4 – 2.5	2.7	0.6 – 7.7
Ca-rich	14.3	8.2 – 22.5	12.3	9.4 - 15.6	3.6	1.0 - 9.0
Ca-rich + inclusion	1.9	0.2 - 6.7	0.9	0.2 – 2.2	0.9	0.0 – 4.9
Metal oxide	5.7	2.1 - 12.0	0.9	0.2 – 2.2	0.0	0.0 - 3.3
Metal oxide + coating	0.0	0.0 - 3.5	9.0	6.5 – 12.4	4.5	1.5 – 10.2
Silicate	10.5	5.3 – 18.0	18.0	14.5 – 21.8	19.8	12.9 – 28.5
Silicate mixture	21.0	13.6 - 30.0	28.3	24.2 - 32.7	18.0	11.4 – 26.4
Pb-bearing	0.0	0.0 - 3.5	1.5	0.6 - 3.1	22.5	15.1 – 31.4
Droplet	15.2	9.0 - 23.6	8.1	5.8 - 11.0	10.8	5.7 – 18.1
Other	1.9	0.2 - 6.7	3.3	1.9 – 5.4	0.0	0.0 - 3.3

Table S4: Relative number abundance [%] of different particle groups among INP/IPR for 2 February determined by Ice-CVI and FINCH + IN-PCVI. Also given are 95 % confidence intervals [%]. The data are displayed in Fig. 5 of the publication.

	lce-CVI (n = 395)		FINCH+ II (n = 2	IN-PCVI 28)	
	Rel. abund.	95 % CI	Rel. abund.	95 % CI	
Carbonaceous	2.3	1.0 - 4.3	7.1	0.9 – 23.5	
Carbonaceous + inclusion	1.8	0.7 – 3.6	7.1	0.9 – 23.5	
Secondary	0.3	0.0 - 1.4	0.0	0.0 - 12.3	
Sulfate	0.8	0.2 – 2.2	0.0	0.0 - 12.3	
Sulfate + inclusion	0.0	0.0 - 0.9	0.0	0.0 - 12.3	
Soot	0.5	0.1 - 1.8	0.0	0.0 - 12.3	
Soot mixture	0.3	0.0 - 1.4	0.0	0.0 - 12.3	
Sea-salt	1.5	0.6 - 3.3	0.0	0.0 - 12.3	
Sea-salt + inclusion	0.5	0.1 - 1.8	0.0	0.0 - 12.3	
Ca-rich	1.5	0.6 - 3.3	0.0	0.0 - 12.3	
Ca-rich + inclusion	0.0	0.0 - 0.9	0.0	0.0 - 12.3	
Metal oxide	2.3	1.0 - 4.3	10.7	2.3 – 28.2	
Metal oxide + coating	2.8	1.4 – 4.9	3.6	0.1 - 18.3	
Silicate	45.3	40.3 – 50.4	57.1	37.2 – 75.6	
Silicate mixture	26.6	22.3 - 31.2	10.7	2.3 – 28.2	
Pb-bearing	11.4	8.4 - 14.9	0.0	0.0 - 12.3	
Droplet	1.8	0.7 – 3.6	3.6	0.1 - 18.3	
Other	0.5	0.1 - 1.8	0.0	0.0 – 12.3	

Table S5: Comparison of the composition/mixing state of Pb-bearing particles from INUIT (present contribution) and CLACE 5 (Ebert et al., 2011) from the Ice-CVI. Also given are 95 % confidence intervals [%]. The data are displayed in Fig. 9 of the publication.

	INUIT (n = 118)		CLAC (n = 5	E 5 575)
	Rel. abund.	95 % CI	Rel. abund.	95 % CI
C-O-(S)-bearing	8.5	4.1 - 15.0	11.0	8.5 - 13.8
Soot	0.8	0.0 - 4.6	0.0	0.0 - 0.6
Sea-salt / sulfate	26.3	18.6 - 35.2	19.0	15.8 – 22.4
Ca-rich	5.1	1.9 – 10.7	3.0	1.7 – 4.7
Al-rich	11.9	6.6 - 19.1	4.0	2.6 - 5.9
Metal oxides	0.0	0.0 - 3.1	1.0	0.4 – 2.3
Silicates	27.1	19.3 – 36.1	32.0	28.2 – 36.0
Homogeneous Pb-rich	5.1	1.9 – 10.7	13.0	10.4 - 16.1
Other	15.3	9.3 – 23.0	17.0	14.1 – 20.4

Table S6: Comparison of particle class relative number abundance [%] determined by SEM-EDX and LA-MS for IPR sampled by ISI and Ice-CVI. Also given are 95 % confidence intervals [%]. The data are displayed in Fig. 10 of the publication.

	ISI		lce–(CVI
	Rel. abund.	95 % CI	Rel. abund.	95 % CI
SEM-EDX	(n =	196)	(n = 10	073)
Carbonaceous + soot	35.9	29.2 – 43.0	7.8	6.3 – 9.6
Pb-rich	0.0	0.0 - 1.8	9.8	8.1 - 11.7
Metal oxides	10.1	6.3 - 15.2	6.0	4.6 - 7.6
Silicates + sea-salt	32.8	26.3 - 39.8	63.8	60.9 – 66.7
Sulfates	5.1	2.4 - 9.1	6.2	4.8 - 7.8
Secondary	4.0	1.8 – 7.8	1.4	0.8 – 2.3
Droplets	9.1	5.5 - 14.0	4.0	2.9 – 5.4
Other	3.0	1.1 – 6.5	1.0	0.5 - 1.8
LA–MS	(n =	146)	(n = 10	663)
OC/BC	30.1	22.8 - 38.3	34.0	31.7 – 36.3
Pb-bearing	0	0.0 – 2.5	11.0	9.5 – 12.6
Industrial metals	6.8	3.3 – 12.2	2.0	1.4 – 2.8
Minerals + metals	62.3	53.9 – 70.2	42.0	39.6 - 44.4
Other	0.7	0.0 - 3.8	11.0	9.5 – 12.6

Fig S1: Hourly backward-trajectories for period A of Fig. 1 at the Jungfraujoch station. Trajectories were calculated by the offline HYSPLIT4 model (rev. 521) (Draxler and Rolph, 2014), based on GDAS data (available from http://gdas-server.iarc.uaf.edu). Vertical wind field was used for calculation vertical movement.

HYSPLIT (HYbrid Single-Particle Lagrangian Integrated Trajectory) Model access via NOAA ARL READY Website: <u>http://www.arl.noaa.gov/HYSPLIT.php</u>, access: Nov 25, 2014, 2014.