

1 **Single-particle characterization of ice-nucleating particles and ice**  
2 **particle residuals sampled by three different techniques**

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## 29 1 Introduction

30 The impact of clouds – and in particular cloud-aerosol interactions – on the earth’s radiation  
31 balance is still one of the most uncertain aspects in our understanding of the climate system (Flato et  
32 al., 2013). The understanding of tropospheric cloud ice formation processes is crucial for predicting  
33 precipitation and cloud radiative properties. Aerosol-cloud interactions play a key role in determining  
34 cloud properties like phase, size distribution and colloidal stability of the cloud elements, as well as  
35 the lifetime, dimensions and precipitating efficiency of a cloud. Though there have been advances  
36 during the last decades, in particular for aerosol-cloud-interactions, the level of scientific  
37 understanding is still classified as “very low” to “low” (Flato et al., 2013). A considerable uncertainty  
38 of the response of aerosol and cloud processes to changes in aerosol properties still arises from the  
39 lack of fundamental understanding of the interaction of aerosol particles with the cloud ice phase  
40 (Lohmann and Feichter, 2005). Although large attention was given to field studies in the last decade  
41 (e.g., Richardson et al., 2007; Prenni et al., 2009a; Prenni et al., 2009c; Santachiara et al., 2010;  
42 Ardon-Dryer et al., 2011; Conen et al., 2012; Ardon-Dryer and Levin, 2014), these measurements  
43 cover only limited geographic regions as well as a limited time. Thus, additional field work is certainly  
44 needed.

45 Many ice nucleation experiments were performed under laboratory conditions (e.g., Hoose and  
46 Möhler, 2012, and references therein), and provided valuable knowledge on ice-nucleating particle  
47 (INP) properties of pure components and artificially generated mixtures. Mineral dust and biological  
48 particles are regarded in general as efficient INP, while experiments disagreed on the INP abilities of  
49 soot and organics (Hoose and Möhler, 2012). Sea-salt and sulfate are often not considered as INP  
50 (Pruppacher and Klett, 1997). However, this conclusion is challenged by several authors (Abbatt et  
51 al., 2006; Schill and Tolbert, 2014). Furthermore, it was shown recently in laboratory work for NaCl  
52 particles that a partial efflorescence under suitable conditions might lead to ice activation (Wise et  
53 al., 2012). The situation is even more complex in the ambient atmosphere, where particles are often  
54 present as complex mixture of different compounds. In addition, the particles may be modified by  
55 heterogeneous processes, which may change their ice nucleation ability. In laboratory experiments,  
56 these effects are currently addressed for single substances (Hoose and Möhler, 2012; Wex et al.,  
57 2014), but the level of atmospheric mixing complexity is not yet realized. Though mixing state was  
58 regarded by previous investigations (Knopf et al., 2010; Ebert et al., 2011; Hiranuma et al., 2013;  
59 Knopf et al., 2014), the data basis is still sparse and further field work is needed.

60 During the last decade, several techniques emerged which are capable of distinguishing INP or ice  
61 particle residuals (IPR) for subsequent chemical analysis. Particles are usually exposed to  
62 thermodynamic conditions favoring ice nucleation, either in the airborne state or on a substrate.  
63 Examples for these techniques are the Fast Ice Nucleus Chamber (FINCH) (Bundke et al., 2008) in  
64 combination with the IN-pumped counterflow virtual impactor (IN-PCVI) (Schenk et al., 2014), the  
65 Continuous Flow Diffusion Chamber (CFDC) in combination with the laboratory counterflow virtual  
66 impactor (LCVI) (Cziczo et al., 2003) and the Frankfurt Ice Nuclei Deposition Freezing Experiment  
67 (FRIDGE) (Bundke et al., 2008; Klein et al., 2010). While in FINCH + IN-PCVI and CFDC-LCVI the  
68 particles are kept airborne, ice nucleation occurs on an ice-inert substrate in FRIDGE. In contrast,  
69 analysis of IPR relies on the natural selection of INP by a cloud. While for cirrus clouds all cloud  
70 elements can be investigated (Cziczo and Froyd, 2014), for mixed phase clouds the ice particles need  
71 to be separated from droplets. Ice particle separation can be accomplished with different techniques.  
72 In the Ice Selective Inlet (ISI; Kupiszewski et al., 2014) droplets present in the sampling flow are  
73 evaporated in an ice-saturated environment and the remaining ice crystals are subsequently  
74 separated from non-activated particles using a PCVI. Alternatively, cloud elements can be impacted  
75 on a cooled surface collecting the droplets while bouncing the ice particles for further analysis (Ice  
76 Counterflow Virtual Impactor, Ice-CVI) (Mertes et al., 2007).

77 In the present work, three state-of-the-art techniques for INP/IPR sampling – ISI, Ice-CVI and  
78 FINCH + IN-PCVI – were operated in a joint field experiment to sample atmospheric mixed-phase  
79 clouds and characterize the sampled INP/IPR with respect to their morphology, chemical

80 composition, particle size and mixing state. The High Alpine Research Station Jungfrauoch  
81 (Switzerland) was chosen as field site for logistic reasons (easy access to a location with frequent  
82 presence of mixed phase clouds). In addition, as INP and IPR were investigated recently at this  
83 location in a number of studies, a considerable data base is available for comparison. In the previous  
84 work, enrichment of mineral dust (Kamphus et al., 2010; Chou et al., 2011; Ebert et al., 2011), metal  
85 oxides (Ebert et al., 2011), Pb-containing particles (Cziczo et al., 2009b; Ebert et al., 2011) as well as  
86 carbonaceous material/black carbon (Cozic et al., 2008; Ebert et al., 2011) among INP/IPR was  
87 reported.

## 88 **2 Experimental**

89 In January/February 2013, a field campaign of INUIT (Ice Nuclei Research Unit) was performed at  
90 the High Alpine Research Station Jungfrauoch in Switzerland (JFJ, 3580 m a.s.l., 46.55° N, 7.98° E).  
91 IPR were separated from the interstitial aerosol and droplets by ISI and Ice-CVI. INP were sampled  
92 from the total aerosol by FINCH + IN-PCVI (Table 1). INP/IPR were either collected by impactors and  
93 analyzed by scanning electron microscopy (SEM) and energy-dispersive X-ray microanalysis (EDX) or  
94 analyzed on-line by laser ablation mass spectrometry (LA-MS).

### 95 **2.1 INP/IPR sampling**

96 INP and IPR were sampled by three different techniques. INP were detected by the FINCH + IN-  
97 PCVI (details of the experimental setup are given in Bundke et al., 2008; Schenk et al., 2014). IPR  
98 were collected via selective sampling of small (< 20 µm aerodynamic diameter) ice crystals with Ice-  
99 CVI and ISI. Subsequent heating of the sampled ice crystals releases IPR. The extracted IPR were  
100 collected for SEM-EDX with a two-stage impactor system. The setup consisted of circular nozzles with  
101 0.7 and 0.25 mm diameter operated at a flow rate of 0.45 L min<sup>-1</sup> (volume), leading to approximate  
102 50 % cut-off efficiency aerodynamic diameters of 1 and 0.1 µm, respectively (for details on impactor  
103 dimensions see Kandler et al., 2007). Transmission electron microscopy grids (TEM grids type  
104 S162N9, Plano GmbH, Wetzlar, Germany) and polished elemental boron embedded in a conductive  
105 resin (for manufacturing see Choël et al., 2005) were used as impaction substrates for all methods.  
106 Both substrates provide a background signal with low interference with respect to the particle  
107 composition. While boron substrates yield a better detection of carbon in the particles and allow for  
108 larger particles numbers due to less substrate damage, the TEM grids in principle provide the  
109 possibility of being used in a TEM for phase analysis and easier detection of coatings.

#### 110 **2.1.1 Coupling of FINCH and IN-PCVI**

111 FINCH + IN-PCVI was operated in clouds as well as during cloud-free periods. Aerosol particles and  
112 cloud elements were sampled from the atmosphere by a total aerosol inlet (Weingartner et al., 1999)  
113 with an aerosol flow of approximately 2.25 L min<sup>-1</sup>. The aerosol was dried by heating to evaporate  
114 the water of the hydrometeors. The dried aerosol containing all interstitial particles and cloud  
115 element residuals was then transported into FINCH, in which a super-saturation with respect to ice is  
116 achieved by mixing air flows of different temperature and humidity. INP are activated, grow while  
117 flowing through the chamber, and are counted by an purpose-built optical particle counter (OPC; for  
118 details see Bundke et al., 2010). The OPC used in this instrument is able to distinguish between  
119 super-cooled water droplets and ice crystals by analyzing the polarization ratio of the scattered  
120 circular polarized light (P44/P11 ratio of the scattering matrix; Hu et al., 2003). In addition, the auto-  
121 fluorescence resulting from the excitation of the grown particles with UV light is detected which is an  
122 indication for biological particle material.

123 The ice crystals are then separated by the PCVI from the non-activated particles and from the  
124 small super-cooled droplets (Schenk et al., 2014). As the PCVI input flow must be identical to the  
125 FINCH output flow, the counterflow must be continuously adjusted to achieve this requirement. This

126 adjustment leads to variable cut-off diameters between 4.5 and 8  $\mu\text{m}$ . Finally, the sampled FINCH ice  
127 particles evaporate while they are transported in a dry particle free air.

128 The freezing temperature of FINCH during the campaign was slightly varied around  $-22.1\text{ }^{\circ}\text{C}$ ,  
129 which matched for most of the samples the outside air temperature with less than  $5^{\circ}\text{C}$  difference.  
130 The saturation ratio with respect to ice was varied between 1.14 and 1.80 with a mean of 1.54 for all  
131 samples. Details on the sampling conditions are given in Table S1 in the supplement.

## 132 **2.1.2 Ice-CVI**

133 From the mixed-phase clouds prevailing at JFJ, IPR were collected by the Ice-CVI (Mertes et al.,  
134 2007). It consists of a series of different modules that allow the sampling of small ice particles by a  
135 simultaneous pre-segregation of all other cloud constituents. The vertical, omnidirectional inlet  
136 already reduces the sampling of ice crystals larger than  $50\text{ }\mu\text{m}$ , including precipitating or windblown  
137 ice particles. A virtual impactor downstream of the inlet horn limits the upper size of sampled  
138 hydrometeors to  $20\text{ }\mu\text{m}$ . This limit is reasonable, because the collection efficiency is nearly 1 for  
139 these ice particle sizes. The ice particle break-up is minimized in the subsequent Ice-CVI components,  
140 and ice particles in this size range grow by water vapor diffusion, i.e. they should contain only the  
141 former INP as a residual particle. Downstream of the virtual impactor a pre-impactor removes super-  
142 cooled drops by contact freezing on cold impaction plates. Ice particles bounce and pass the  
143 impaction plates. A conventional CVI (Mertes et al., 2005a; Mertes et al., 2005b) is located  
144 downstream of the pre-impactor to reject interstitial particles smaller than  $5\text{ }\mu\text{m}$ . Thus, only ice  
145 particles in the  $5\text{--}20\text{ }\mu\text{m}$  diameter range completely traverse the Ice-CVI. As with a conventional CVI  
146 these small ice crystals are injected into a particle-free and dry carrier gas which leads to evaporation  
147 and allows the analysis of the IPR.

## 148 **2.1.3 ISI**

149 The novel ISI (Kupiszewski et al., 2014) was designed to extract small ice crystals from mixed-  
150 phase clouds, simultaneously counting, sizing and imaging the hydrometeors contained in the cloud  
151 with the use of WELAS (white light aerosol spectrometers) 2500 sensors and a Particle Phase  
152 Discriminator (PPD-2K). The core of the ISI is a droplet evaporation unit with ice-covered inner walls,  
153 removing droplets using the Bergeron-Findeisen process, while transmitting the ice crystals. In the  
154 final stage of the ISI, a pumped counterflow virtual impactor removes interstitials and cloud  
155 condensation nuclei released in the droplet evaporation unit from the sample flow, thus ensuring  
156 only ice crystals are transmitted. The extracted ice crystals are subsequently sublimated, releasing  
157 the IPR, which are transferred into the laboratory for further on- and offline characterization of their  
158 physical and chemical properties.

## 159 **2.2 Sample characterization**

### 160 **2.2.1 Scanning electron microscopy**

161 Thirty six samples (18 from FINCH, 13 from Ice-CVI, 5 from ISI) were acquired during the field  
162 campaign. All samples were analyzed by SEM (FEI Quanta 200 FEG, FEI, Eindhoven, the Netherlands)  
163 and EDX (EDAX, Tilburg, the Netherlands). The particles of the different samples were manually  
164 characterized with respect to their chemical composition, size, morphology, internal mixing state and  
165 stability under electron bombardment. Particle size was determined as average geometrical diameter  
166 (equivalent projected area diameter) from the electron images.

167 Based on chemical composition, morphology, mixing state and beam stability, 18 particle groups  
168 were defined and combined into 11 particles classes. Table 2 lists the particle groups, particle classes  
169 and classification criteria for the manual analysis.

170 Pb-bearing particles were classified according to the presence of Pb only (i.e. as soon as Pb could  
171 be detected). They might be homogeneous Pb-rich particles or particles containing Pb-rich inclusions.

172 In the latter case, the main matrix particles can be carbonaceous, soot, sulfate, sea-salt, silicate,  
173 metal oxide, a droplet or belong to the “other” class. Droplets are identified by their typical  
174 morphology of larger residual particles centered in a halo of small residuals, originating from the  
175 splashing of the droplet at impactation. The center of the residual can consist of unstable material  
176 (e.g., sulfate) or stable sea-salt, silicate, metal oxide, Ca-rich particles, or mixtures thereof. The halo  
177 particles are usually unstable under electron bombardment. Particles which could not be classified  
178 into one of the classes mentioned above are summarized in the particle class “other”. This particle  
179 class contains for example Zn-rich, Mg-rich particles as well as Sn-, Ba-, Bi- and Br-bearing particles  
180 with a total abundance of usually less than 1 %.

181 Due to the difference in sample substrate composition between TEM grids and elemental boron,  
182 in particular for the detection of carbonaceous particles and thin carbonaceous coatings, systematic  
183 deviations may occur with a potential bias towards better detection of these particles on boron.

## 184 **2.2.2 Laser Ablation Mass Spectrometry**

185 LA-MS was carried out with ALABAMA (Aircraft-based Laser Ablation Aerosol Mass Spectrometer),  
186 which was originally developed for aircraft operation (Brands et al., 2011), but was also used in  
187 several ground-based measurement campaigns. It provides the chemical composition of single  
188 aerosol particles in an aerodynamic particle size range between 150 and 1500 nm, including  
189 refractory compounds such as metals, dust, and soot. It was used during the INUIT-JFJ campaign for  
190 the analysis of background aerosol particles and IPR (Schmidt et al., 2015). A total of 1809 IPR mass  
191 spectra were collected: 1663 with the Ice-CVI (104 operation hours) and 146 with ISI (32 operation  
192 hours).

## 193 **2.3 Statistical analysis**

194 Confidence intervals (after Clopper and Pearson, 1934) given in this manuscript and in the  
195 electronic supplement were calculated with R version 3.0.3 (R core team, 2014). For data displayed in  
196 figures, the confidence intervals are given in the electronic supplement.

## 197 **2.4 Sampling location and meteorology**

198 The JFJ station is located in a saddle between the mountains Jungfrau and Mönch, which is  
199 oriented WSW – NNE. This topography results in a channeling of the atmospheric flow leading to a  
200 near-binary distribution of wind directions as either NW or SSE. The atmospheric conditions during  
201 the campaign are illustrated in Fig. 1. Hourly 5 day backward trajectories for the JFJ station were  
202 calculated with the HYSPLIT model based on GDAS data (Draxler and Rolph, 2014).

203 At the top of Fig. 1, a period (labelled A) with comparatively homogeneous atmospheric  
204 conditions is marked. Trajectories for this period can be found in the electronic supplement (Fig. S1).  
205 It was chosen for instrumental comparison based on individual samples. Homogeneity was  
206 determined from meteorology, particle concentrations and changes in air mass origin. Period A (2  
207 February/13:00–18:00 UTC) can be described as follows. During the last day before arrival, air masses  
208 travel approximately along the Rhine valley at altitudes between 1.5 km and 2.5 km. Two days before  
209 arrival, the air mass backward trajectories cross the North Sea and the United Kingdom in the same  
210 altitude range. For the rest of the trajectory length, the air masses were over the Northern Atlantic  
211 Ocean in the region of Iceland. Wind, temperature and in-cloud conditions were very stable during  
212 this period. While the JFJ is usually in the free troposphere during the winter months (Collaud Coen  
213 et al., 2011), abrupt increases in particle concentrations may indicate a rise in the atmospheric  
214 boundary layer height to the station altitude, which leads to a local influence. This effect is visible as  
215 a sudden increase in particle concentration in the middle of this period. The samples were collected  
216 before (FINCH + IN-PCVI) and after (Ice-CVI) the highest particle concentrations, so we consider  
217 period A as of Atlantic/free-troposphere origin with minor local influence.

## 218 3 Results

### 219 3.1 Contamination artifact particles from the INP/IPR sampling instruments

220 The sampling instruments yielded different types of artifact particles indicated by their clear non-  
221 atmospheric origin. They consisted either of compounds used for manufacturing the instruments (e.  
222 g., aluminum, stainless steel) or had the same composition and morphology as calibration aerosol (e.  
223 g., Si-O spheres). Therefore, they were removed from further analysis. Fig. 2 shows secondary  
224 electron images of the most common contamination artifact particles and their energy-dispersive X-  
225 ray spectra. The relative abundance of the dominating artifact particles for each instrument is shown  
226 in Fig. 3 as box plots.

227 With all three sampling techniques, small amounts of Fe-Cr particles are observed as an artifact.  
228 They may derive from internal abrasion of the instrument or tubing. In addition, for the samples  
229 collected on boron substrates, Cu-rich particles are present, which are most likely fragments from  
230 the embedding material of the boron substrates (an epoxy resin containing copper chips for  
231 increased conductivity).

232 In the ISI samples, mainly Si-O spheres with a size of approx. 1  $\mu\text{m}$  are observed as artifacts. These  
233 particles were most likely introduced into the instrument during calibration of the optical particle  
234 spectrometers contained within the inlet. The abundance of Si-O spheres in the samples ranged from  
235 26 to 94 %. Including the Fe-Cr-rich and Cu-rich artifacts, the abundance of all artifact particles  
236 ranged from 46 to 94 % during the measurement period.

237 In the FINCH + IN-PCVI samples, Fe-Cr-rich and Cu-rich particles as well as a few Au/Ag particles  
238 (not shown as image) are identified as instrumental artifact. Their total abundance ranges from 0 to  
239 60 % with a median of 20 %.

240 In the Ice-CVI samples, Al-O particles – probably aluminium oxides/hydroxides – occur as artifacts.  
241 The relative abundance of these Al-O particles varied in the range of 0 to 94 % by number. If we  
242 consider a particle break-up during impaction as indicated by their small size in relation to the  
243 nominal impactor cut-off size, the relative number abundance might be lower for airborne particles.  
244 As all Al-O particles are classified as artifacts in the present paper, potentially occurring atmospheric  
245 aluminium oxides/hydroxide particles in the Ice-CVI would be overlooked. However, it can be safely  
246 assumed that this potential error is minor, as no Al-O particles with the characteristic morphology  
247 (Fig. 2) were identified with the other two sampling instruments. The abundance of other artifact  
248 particles in the Ice-CVI sample is small (range of 0 to 8 %).

249 Lead-bearing particles are frequently found in the Ice-CVI samples, but also to a much lesser  
250 extent in FINCH + IN-PCVI samples. These particles are regarded as effective INP in previous work  
251 (Cziczo et al., 2009b; Ebert et al., 2011). However, as parts of the Ice-CVI are manufactured from a  
252 Pb-containing aluminum alloy, we performed additional tests to evaluate whether the Pb-bearing  
253 particles are an instrumental contamination artifact. SEM inspection of the surface of the impaction  
254 plates revealed the presence of large, homogeneous Pb-rich particles which consist of Pb, C and O  
255 (usually without an Al signal, when removed from the plates). The atomic Pb/O ratio varied between  
256 3 and 1, indicating a composition of partially oxidized metallic lead. No halides or sulfides could be  
257 detected on the plates. In contrast, the particles found as INP/IPR are mostly internally mixed with  
258 other aerosol compounds, except for a few (less than 10 %) homogeneous Pb-rich particles with a  
259 composition similar to the Pb particles encountered on the impaction plates. Therefore, the latter  
260 (homogeneous Pb particles) are considered as artifacts. In previous campaigns at the JFJ station, Pb-  
261 rich IPR inclusions in other particle types were identified as PbS (Ebert et al., 2011), indicating a non-  
262 artifact origin. This interpretation is also supported by the observed particle sizes. The Pb-rich  
263 particles on the impaction plates are larger than 1  $\mu\text{m}$  (geometric diameter), in contrast to the Pb-  
264 bearing IPR which are mostly smaller than 1  $\mu\text{m}$ . The Pb-rich inclusions within the Pb-bearing IPR  
265 have sizes of few tens to few hundreds of nanometers. In addition, considering the low impaction  
266 speeds inside the Ice-CVI (Mertes et al., 2007), in particular an abrasion of submicron particles can be  
267 considered as improbable. The Pb-rich particles are predominantly observed in the Ice-CVI samples,

268 but also to a lower extent in FINCH + IN-PCVI samples, where no Pb-containing alloys were used. This  
269 observation also indicates that the majority of Pb-rich particles are not instrumental contamination  
270 artifacts. However, for the minor amount of large homogeneous Pb-rich particles an instrumental  
271 source is likely.

272 In summary, it must be concluded that the abundance of contamination artifacts in the separated  
273 INP and IPR is generally large and cannot be neglected. Thus, the INP/IPR concentrations must be  
274 corrected to obtain accurate results. It is highly recommended that measurements of INP/IPR  
275 concentrations are always accompanied by chemical and morphological single particle  
276 characterization in order to avoid large systematic errors caused by contamination artifacts.

## 277 **3.2 Composition of INP/IPR at the Jungfraujoch in winter**

278 During the field campaign 5 ISI, 18 FINCH + IN-PCVI, and 13 Ice-CVI samples were analyzed with a  
279 total (non-artifact) INP/IPR particle number of 2627. Due to the low particle number on individual  
280 samples, the INP/IPR from all samples were integrated for each technique (Fig. 4) to yield better  
281 statistics. Particles were classified according to their size in a sub- and supermicron range.

282 Silicates are the main group of INP/IPR independent of sampling technique and size range (with  
283 the exception of submicron particles encountered in ISI). Ca-rich particles are predominantly found in  
284 the supermicron range with all three sampling techniques, in contrast to soot and sulfate particles,  
285 which occur mainly in the submicron range. Metal oxides are present in both size ranges with a  
286 tendency to the submicron range while sea-salt particles tend to be in the supermicron range.  
287 However, if the low number of analyzed particles and the resulting statistical uncertainty are  
288 considered, the observed differences between the techniques are regarded only as a trend. In  
289 addition, the three instruments could not be operated strictly in parallel and thus, sampled different  
290 time periods. In particular, ISI samples were taken only at the end of the field campaign.

291 The main difference in composition trends between the three sampling methods are the high  
292 content of carbonaceous particles measured downstream of the ISI, and the high content of Pb-  
293 bearing particles obtained by Ice-CVI. The high concentration of carbonaceous particles in the ISI-  
294 samples may result from different air masses being sampled at the end of the field campaign, when  
295 the ISI was operated. During this time, higher black carbon concentrations were measured than  
296 during the earlier periods (WDCA, 2014). The Pb-bearing particles are discussed later in Sect. 3.4 in  
297 more detail.

298 If the eleven particle classes are grouped into four simplified components – particles of potential  
299 terrigenous origin (i.e., silicates and Ca-rich particles), C-dominated particles (carbonaceous, soot),  
300 metal-oxides-dominated and soluble particles (sulfate, droplets, sea-salt) – the terrigenous particles  
301 are the main component with relative abundances of 32 % (ISI), 51 % (FINCH + IN-PCVI) and 55 %  
302 (Ice-CVI). The C-rich particles show a higher variation due to sampling of different air masses and  
303 range from 9 % (Ice-CVI), 13 % (FINCH + IN-PCVI) to 34 % (ISI). The soluble particles vary between 22  
304 % (ISI and Ice-CVI) and 32 % (FINCH + IN-PCVI).

305 The composition of the INP/IPR-samples varies between different cloud events as well as between  
306 the INP/IPR sampling techniques. The heterogeneity of the INP/IPR composition is illustrated with  
307 the example of February 2<sup>nd</sup> (Fig. 5), where relatively stable atmospheric conditions prevailed.  
308 During this period, two samples were taken between 17:40–18:10 (Ice-CVI) and 14:50–17:11 (FINCH  
309 + IN-PCVI). The relative number abundance of the major components is quite similar, i.e., dominating  
310 silicates with a fraction of 71 % (Ice-CVI) and 65 % (FINCH + IN-PCVI) as well as the presence of  
311 organics and metal oxides. The relative abundance of the minor INP/IPR classes seems to differ  
312 considerably. However, due to the small number of particles sampled by FINCH + IN-PCVI, no further  
313 conclusions can be drawn. In addition, a part of these differences may be caused by the different  
314 INP/IPR sampling techniques and short-term changes in meteorological conditions.

### 3.2.1 Potential INP/IPR sampling artifacts

In addition to the clearly identifiable instrumental contamination artifacts, potential INP/IPR sampling artifacts may occur. We define potential sampling artifacts as particles, which pass the selection mechanisms similar to INP/IPR, while being questionable to act as INP/IPR (e.g., Pruppacher and Klett, 1997). The potential sampling artifacts include sea-salt particles, sulfate particles and particles which impact on the sampling substrates as droplets. As we cannot exclude that these particles are INP/IPR, we do not exclude them from further analysis in contrast to the instrumental contamination artifacts.

Droplets are characterized by their morphology of a residual with a halo (Fig. 6). While in principle the heating and drying line should lead to total evaporation of particle-bound water, obviously some particles were still in liquid state during impaction sampling. As we cannot distinguish incompletely dried IPR from super-cooled droplets, which were falsely identified as INP/IPR, we consider droplets as potential INP/IPR sampling artifacts. Sulfate particles were preferentially found in the submicron size range, while sea-salt particles have a tendency to be of larger size. Droplets, however, occur rather uniformly in both size ranges.

The relative number abundances of the three potential sampling artifacts (droplets, (non-droplet) sulfate and sea-salt) are shown as box plots (in Fig. 7), separately for each INP/IPR sampling instrument. All potential INP/IPR sampling artifacts are observed for all three techniques, and their relative abundances are on comparable levels of 0–10 % for each particle type. However, in particular the Ice-CVI extracted a higher number of sea-salt particles as INP/IPR. For single measurements, the abundance of these potential sampling artifacts can reach up to 40 %.

### 3.3 Size distribution of INP/IPR components

To allow for the display of a size distribution (Fig. 8), we combined the classes into generalized components of INP/IPR to achieve higher particle counts for each particle size interval. Instrumental contamination artifacts and Pb-bearing particles are excluded in this presentation. Note that size distributions obtained with the different techniques cannot be compared directly due to different inlet and transmission efficiencies. However, all three methods yield a maximum between 0.3 to 0.5  $\mu\text{m}$  geometric diameter. In addition, ISI shows a secondary maximum around 1 to 1.5  $\mu\text{m}$ . With ISI and FINCH-IN-PCVI, silicates and Ca-rich particles are predominantly found at the larger particles sizes. The relative abundance of carbonaceous/soot as well as metal oxides is higher within the submicron range. The soluble and secondary particles do not show a particular size preference in their relative abundance. For the Ice-CVI, there seems to be a trend towards a higher abundance of soluble/secondary material with increasing particle size. However, this cannot be regarded as significant due to the extremely low particle numbers for supermicron particles (less than 10 for each sample and size interval). In the submicron range, no size dependency is visible.

### 3.4 Mixing state and Pb-bearing INP/IPR

A significant fraction of the INP/IPR consists of particles with coatings or inclusions (see groups in Fig. 4). The relative abundance of internally mixed particles for each particle type is summarized in Table 3. It is apparent that mainly silicate particles and to a lesser extent metal oxides are internally mixed. Mixing partners are mostly sulfate and carbonaceous matter, but also sea-salt, if present in the total aerosol. The other particle types are less frequently internally mixed. Regarding differences between the sampling techniques, in particular INP measured by FINCH + IN-PCVI are considerably more frequently internally mixed than IPR of ISI and Ice-CVI. The (non-droplet) sulfates obtained as INP/IPR contain in most cases no heterogeneous inclusions. Also, most of the soot and Ca-rich particles have no coating, which is consistent for all sampling techniques. In contrast, the mixing state of carbonaceous particles was found to be highly different, rarely mixed for ISI (7 %) and frequently mixed for FINCH + IN-PCVI (64 %).



362 In previous IPR measurements at the JFJ station (Cziczo et al., 2009b; Ebert et al., 2011), Pb-  
363 bearing particles were found at high abundance with the Ice-CVI. For comparison with the previous  
364 work (Fig. 9), we have selected the Pb-bearing particles from the total INP/IPR and determined their  
365 mixing partner. For comparability, the particles were classified in the same way as for the CLACE 5  
366 campaign (Ebert et al., 2011). Pb-bearing particles are only found with Ice-CVI and FINCH + IN-PCVI.  
367 The Pb inclusions occur within the same main particle classes identified as INP/IPR in general, i.e.,  
368 mainly silicates, Ca-rich particles, sulfates, sea-salt, and carbonaceous particles. In addition,  
369 externally mixed (homogeneous) Pb-bearing particles are present at minor abundance. While fewer  
370 externally mixed Pb-bearing particles were observed in the present field campaign (compared to  
371 Ebert et al., 2011), the abundance of the other Pb-bearing groups is similar, except for the more  
372 abundant Al-rich and the less abundant homogeneous Pb-rich ones.

## 373 **4 Discussion**

### 374 **4.1 Composition of INP/IPR**

#### 375 **4.1.1 Which particle classes can be regarded as INP/IPR?**

376 Silicates were identified as common INP/IPR in laboratory experiments as well as in field  
377 experiments (Hoose and Möhler, 2012; Murray et al., 2012). Also in our field campaign, silicates are  
378 the most abundant INP/IPR component. Ca-rich particles – e.g., carbonates like calcite – are not  
379 frequently regarded as INP (e.g., Murray et al., 2012). However, according to laboratory experiments  
380 calcite can act as INP (Zimmermann et al., 2008). Therefore, the Ca-rich particles are regarded as  
381 INP/IPR. Metal oxides are also commonly observed as IPR in field experiments (Chen et al., 1998;  
382 DeMott et al., 2003). Similar to our study, Fe-rich particles are usually the main group within the  
383 metal oxides. In addition, Al-, Ti-, Zn-, Cr-, and Ca-rich particles were found in the present  
384 investigation and by Chen et al. (1998).

385 Based on field experiments and laboratory studies, Pb-bearing particles are in general regarded as  
386 good ice nuclei (for a detailed discussion refer to Cziczo et al., 2009b). In the present study, lead is  
387 found in two forms: as Pb-rich inclusions in other particles (major abundance) and as homogeneous  
388 Pb-rich particles (minor abundance). The minor fraction of homogeneous Pb-rich particles is  
389 regarded as instrumental artifact (see discussion above), but due to its low abundance of less than 10  
390 % (equaling about 10 particles), it is neglected from the further discussion.

391 The ice nucleation ability of soot and carbonaceous particles is discussed controversially in the  
392 previous literature. While an enrichment of black carbon in IPR was observed in field experiments  
393 (Cozic et al., 2008), there are also other findings where organic-rich particles preferentially remain  
394 unfrozen (Cziczo et al., 2004). It has to be mentioned, however, that carbon-rich particles are often  
395 named ambiguously depending on the technique used for analysis (see also Murray et al., 2012;  
396 Petzold et al., 2013). Thus, discrepancies may arise from the fact that different types of carbonaceous  
397 material (e.g., nano-crystalline graphite, organic material) are compared. Laboratory experiments  
398 show that the ice forming activity of soot is influenced by size, surface area and the concentration of  
399 the surface chemical groups that can form hydrogen bonds with water molecules (Gorbunov et al.,  
400 2001; Koehler et al., 2009). According to the latter, the ice forming activity of soot is close to that of  
401 metal oxides. In summary, we conclude that soot and carbonaceous particles observed in our  
402 samples were active as INP.

403 Also for secondary aerosol particles, the ice nucleation ability is discussed controversially. As in  
404 the case of soot and carbonaceous matter, secondary aerosol particles are found in field  
405 measurements of INP (Abbatt et al., 2006; Prenni et al., 2009b) and in laboratory experiments under  
406 cirrus cloud conditions (Hoose and Möhler, 2012). In contrast, Cziczo et al. (2004) report from a field  
407 study that organic-rich particles (internally mixed particles of sulfates and organic species)

408 preferentially remain unfrozen. Based on our data, where secondary material is present in many  
409 INP/IPR samples, we consider these particles to be INP/IPR.

410 Sea-salt as INP/IPR was described for field studies by Cziczo and Froyd (2014) and Targino et al.  
411 (2006). While crystalline salts were found in a laboratory study to be able to act as INP under upper-  
412 tropospheric conditions (Zuberi et al., 2001), there has been a lack in clarifying the process by which  
413 a hygroscopic and soluble material should act as IN. However, recently Wise et al. (2012) explained  
414 this behavior by fractional crystallization of the solute component under decreasing temperatures.  
415 Based on these findings, we consider sea-salt as potential sampling artifacts.

416 Similar to sea-salt, no agreement exists on the ice nucleation ability of sulfate particles. Sulfates  
417 may act as INP in cirrus clouds in the upper troposphere and lower stratosphere, both in immersion  
418 and deposition mode (Abbatt et al., 2006, and references therein; Hoose and Möhler, 2012). Sulfates  
419 acting as INP are found in a field study at increasing abundance with decreasing temperature under  
420 cirrus conditions (-56 °C to -39 °C; Twohy and Poellot, 2005), but usually not in the warmer mixed  
421 phase clouds as encountered during our field experiment. Considering the usually high relative  
422 abundance of sulfates in the total aerosol (Ebert et al., 2011), we cannot exclude the possibility that  
423 sulfates are an artifact of the INP/IPR discrimination techniques not having perfect (i.e., 100 %)   
424 discrimination efficiency. Thus, we consider sulfate particles as potential sampling artifacts. Similar  
425 considerations apply to the observed droplets.

426 As explained in the methods section, contamination artifact particles were removed from the  
427 further analysis, while potential sampling artifacts are included in the data.

#### 428 **4.1.2 Relative abundance of particle classes among INP/IPR**

429 If all INP/IPR particles of the three sampling methods are summed up, the following averaged  
430 INP/IPR composition of the whole field campaign is obtained: 52 % terrigenous particles (38 %  
431 silicates, 9 % metal oxides, 5 % Ca-rich particles), 14 % C-rich (12 % carbonaceous particles, 2 % soot),  
432 1 % secondary particles, 11 % sulfate, 11 % droplets, 4 % sea-salt, 5 % Pb-bearing particles, and 2 %  
433 other particles.

434 A compilation of INP/IPR composition encountered in mixed-phase clouds is shown in Table 4. In  
435 general, the results of the present study are in good agreement with the findings of previous work.  
436 Silicates are the most abundant component of INP/IPR with a relative number abundance varying  
437 between 40 and 71 %. The second most abundant component is carbonaceous material (16 – 43 %),  
438 followed by salts (sea salt, sulfates, droplets) with a relative number abundance between 5 and 27 %.  
439 The high abundance of coated particles observed in the present study is in good agreement with  
440 Targino et al. (2006) who observed sulfur coatings for all groups indicating ageing and in-cloud  
441 processing.

442 An overview of IPR compositions found during 13 field campaigns of cirrus clouds is given by  
443 Cziczo and Froyd (2014). Also here, the main particle types are mineral dust, metals, BC/soot, sea-  
444 salt, sulfate, and biomass burning.

445 A relative high abundance of Pb-bearing particles, in particular internally mixed ones, seems to be  
446 characteristic for IPR at the JFJ station. They were found in previous work (Cziczo et al., 2009b; Ebert  
447 et al., 2011) and during the present field campaign. However, the fraction of Pb-bearing particles in  
448 the whole INUIT campaign is 1 % for FINCH + IN-PCVI, and 10 % for Ice-CVI. In contrast, a higher  
449 fraction of up to 20 % was found during CLACE 5. As helicopter flights – where Pb-rich particles might  
450 be emitted due to leaded fuel usage – around the JFJ station were more frequent during CLACE 5  
451 than during the present field campaign, the decrease in the abundance of Pb-bearing particles  
452 indicates a considerable contribution of local emissions to the INP formation at the JFJ station.

453 Feldspar minerals and in particular K-feldspars (e. g. microcline) were discussed as efficient INP  
454 (Atkinson et al., 2013; Yakobi-Hancock et al., 2013). Despite the fact that we did not determine the  
455 mineralogical phase of the silicate particles, we can show by SEM-EDX that they have low potassium  
456 contents (K/Si atomic ratio < 0.1). Thus, it is concluded that K-feldspar particles do not occur as  
457 INP/IPR at JFJ in winter. Ca-rich particles appear in the supermicron fraction with a number

458 abundance ratio of 0.1 to 0.33 relative to silicates (depending on method and sample), which is in the  
459 range reported for natural mineral dust (Kandler et al., 2007; Coz et al., 2009; Kandler et al., 2009;  
460 Kandler et al., 2011). Thus, Ca-rich particles can be considered as similarly effective IN as silicates.

## 461 **4.2 Significance of mixing state and particle class for ice nucleation**

462 A significant fraction of the INP/IPR occurs as internal mixtures (Table 3). This fraction is similar to  
463 previous literature data. Chen et al. (1998) reported a fraction up to 25 % of INP which were mixtures  
464 of sulfates and elements indicative of insoluble particles. The same relative abundance of mixtures of  
465 metal oxides/dust with either carbonaceous components or salts/sulfates was reported by Prenni et  
466 al. (2009a). For the JFJ station, a slightly lower fraction of internally mixed particles was found during  
467 the CLACE 5/6 campaigns: 9–15 % by Ebert et al. (2011) and up to 15 % by Kamphus et al. (2010).

468 Especially notable is the observed difference between silicates and Ca-rich particles. While  
469 silicates are usually internally mixed, the Ca-rich particles do not have a detectable coating. This may  
470 indicate that for silicates a coating is less effective in reducing their IN ability than for Ca-rich  
471 particles, pointing to a more pronounced processing (e.g., destruction of the surface structure) of the  
472 latter. However, the influence of coatings on the ice nucleation ability of silicates is discussed  
473 controversially. In field experiments, coatings on silicates and metal oxides are commonly observed  
474 (Chen et al., 1998; Targino et al., 2006; Prenni et al., 2009a). In laboratory experiments, conflicting  
475 results are obtained. While Cziczo et al. (2009a) as well as Hoose and Möhler (2012) reported a  
476 deactivation of the ice nuclei due to coatings, Sullivan et al. (2010) found that coatings do not always  
477 effect the ice nucleation ability. In contrast, Archuleta et al. (2005) and Zuberi et al. (2002) discuss  
478 mineral dust as efficient nucleus for ice in  $\text{NH}_4\text{SO}_4\text{-H}_2\text{O}$  aerosols and demonstrated that mineral  
479 particles coated with sulfate increase the freezing temperature up to 10 K compared to pure sulfate  
480 solutions. In addition, Richardson et al. (2007) reported that soluble coatings favor condensation-  
481 freezing nucleation and inhibit nucleation by vapor deposition. But they also mention, that coatings  
482 itself may act either to increase or decrease ice nucleation efficiency independent of the nucleation  
483 mechanism.

## 484 **4.3 Comparison between FINCH + IN-PCVI, Ice-CVI and ISI**

485 A reasonable agreement between the different sampling techniques is obtained for the major  
486 particle classes observed among the INP/IPR. However, the variation in INP/IPR composition due to  
487 meteorological conditions in connection with the non-parallel sampling introduces a systematic  
488 error. The non-parallel sampling could not be avoided during the present field campaign, as the  
489 sampling techniques were not yet in a state allowing for synchronized operation and the available  
490 flow from the INP/IPR samplers was insufficient for a sampling for SEM and operation of LA-MS in  
491 parallel. Consequently, INP/IPR composition snapshots from different time periods needed to be  
492 integrated for comparison of the INP/IPR composition.

493 The reasons for the different instrumental contamination artifacts were identified. Thus, these  
494 artifacts can be avoided in future by removing their sources (e.g., replacement/sealing of  
495 contaminating surfaces, thoroughly purging). The relative abundance of potential sampling artifacts  
496 is in general low (median < 5 %), except for sea-salt particles sampled by the Ice-CVI with a median of  
497 10 % (Fig. 7).

498 Despite the frequent non-parallel sampling, the major INP/IPR classes found by all three  
499 techniques include silicates, Ca-rich particles, carbonaceous material, and metal oxides. In addition,  
500 soot was observed as minor component in the fine fraction (< 1  $\mu\text{m}$  diameter) by all methods. These  
501 observations are also in general agreement with previous work (see above).

502 In contrast, in the fine fraction a considerably higher relative abundance of carbonaceous material  
503 was found by ISI and a higher relative abundance of silicates and silicate mixtures by Ice-CVI. These  
504 differences are most likely caused by the non-parallel sampling. It must be emphasized again that  
505 samples from the ISI were only obtained during the last week of the field campaign (Fig. 1).

#### 506 **4.4 Comparison between scanning electron microscopy and laser ablation** 507 **mass spectrometry**

508 The results of offline SEM-EDX analysis of the collected INP/IPR particles can be compared to the  
509 findings of online LA-MS. Unfortunately, both techniques could not be run in parallel because of the  
510 limited available sample flow that could be provided by the sampling systems. Due to the low  
511 INP/IPR concentrations, it was necessary to integrate all available data, which may lead to systematic  
512 errors due to significant variations in the IPR chemical composition as a function of changing air  
513 masses and meteorological conditions. Furthermore, for a comparison between SEM-EDX and LA-MS  
514 a more general particle classification scheme, combining the detailed SEM-EDX classes, was  
515 necessary.

516 The average particle class number abundance, derived by SEM-EDX – separately for all IPR from  
517 the ISI and Ice-CVI – is compared in Fig. 10 to the results of the LA-MS (Schmidt et al., 2015). The  
518 most obvious difference between the two analysis techniques is the presence of 10–18 % of  
519 secondary particles (mostly mixtures of sulfates/nitrates and/or organics), pure sulfates and droplets  
520 (residuals of volatile species like nitrates and organics) in SEM-EDX. These classes are completely  
521 absent in LA-MS. This difference can be explained by the fact that due to technical issues anions were  
522 not measured by LA-MS during the present campaign. Without the detection of anions, sulfate and  
523 nitrate cannot be identified by LA-MS, such that these particles are classified according to their  
524 dominant cations and are assigned to one of the listed particle groups.

525 For the other classes, a fair agreement of the results is obtained. First, the sum of mineral dust,  
526 sea-salt, carbonaceous material and soot (red and green colors in Fig. 10) contributes 70–90 % to the  
527 IPR. Second, metal oxides (based on SEM-EDX: mainly iron oxides) occur at an abundance of 5–10 %.  
528 Third, Ice-CVI samples contain Pb-rich particles (5–10 %), while these particles are absent in the ISI.  
529 These results do not change considerably, if for SEM-EDX the particles outside the LA-MS size range  
530 (> 1.5  $\mu\text{m}$  diameter) are neglected.

531 However, pronounced discrepancies exist between SEM-EDX and LA-MS data, in particular for Ice-  
532 CVI. For this sampling technique, a lower abundance of carbonaceous material is found by SEM-EDX,  
533 and a higher abundance of silicates. This quantitative comparison of compositional data from both  
534 analysis techniques is hampered by the different approach in particle characterization. The particle  
535 classification with SEM-EDX relies on the characteristic X-ray signals, which can be used to quantify  
536 the chemical composition of a particle. Our classification scheme uses mainly the major elements (i.  
537 e., relative contribution excluding oxygen larger than 10 atom %) detected inside a particle to assign  
538 it to an according group. Minor elements (less than approximately 10 atom %) are mostly neglected  
539 in particle classification. Trace elements (less than 0.5 atom %) cannot be measured at all. In  
540 contrast, single particle LA-MS relies on ionized compounds, so ionization efficiency plays a major  
541 role. Thus, strong signals often originate from the atoms or molecules, which can be ionized best in  
542 LA-MS, but are not necessarily a major component of the particle. While LA-MS works usually well for  
543 externally mixed particles, problems can arise for the classification of internally mixed particles. In  
544 our particular case, it cannot be excluded that, for example, a silicate particle with a thin organic  
545 coating is classified as silicate in SEM-EDX (based on Si as major element), but as carbonaceous  
546 particle in LA-MS (based on a strong signal of ionized carbonaceous matter). This example clearly  
547 demonstrates the need for further systematical comparison between these two analytical  
548 techniques.

#### 549 **5 Summary and conclusions**

550 For the first time, the chemical composition of individual INP/IPR collected by three techniques –  
551 ISI, FINCH + IN-PCVI and Ice-CVI – was analyzed in a field experiment. In winter, the INP/IPR  
552 composition at the Jungfraujoch station is composed of five main classes: the dominating terrigenous  
553 silicates/Ca-rich particles, carbonaceous particles, metal oxides/hydroxides (Fe-, Ti, or Al-

554 oxides/hydroxides), soot, and soluble particles like sea-salt, sulfates and droplets. However, the latter  
555 class of soluble particles was considered as potential sampling artifacts. Lead inclusions occur in  
556 several INP/IPR, while large homogeneous Pb-rich particles are considered partially as artifacts. The  
557 composition is generally similar to earlier field experiments. Despite the non-parallel sampling the  
558 methods agree roughly regarding major and minor components. Thus, we consider this experiment  
559 as a successful step in improving the accuracy of measuring the INP/IPR chemical composition.

560 For all three INP/IPR separation techniques, different contamination artifacts and potential  
561 sampling artifacts were identified. These artifacts are easily detectable by the chemical and  
562 morphological analysis. In contrast, counting or size distribution techniques would consider these  
563 contamination and sampling artifacts as real INP/IPR and, consequently, overestimate the INP/IPR  
564 concentration. Thus, the present work provides information suitable for correction of counting  
565 techniques, for the contamination artifacts as well as for sampling artifacts. While for the former  
566 correction is necessary, interpretation of the latter might change with advancing knowledge  
567 regarding the INP/IPR abilities of soluble compounds.

568 Deeper data investigation reveals that beyond the agreement in maximum of the INP/IPR size  
569 distribution there are considerable differences between the instruments pointing to different  
570 efficiencies in INP activation and IPR separation. This is particularly obvious when we consider the  
571 large difference in internally-mixed particle abundance. While a part of these discrepancies might be  
572 explained by atmospheric variability in connection with non-parallel sampling (an issue, which is  
573 expected to be overcome in future experiments by increased stability in instrument operation), they  
574 also indicate lack in understanding regarding the chemical selectivity of the different INP/IPR-  
575 discriminating techniques.

576

577 Finally, a few statements regarding limitations of the investigated techniques as well as  
578 recommendations for future work on INP/IPR can be made:

579 a) Measurements of INP/IPR concentrations should be always accompanied by characterization of  
580 the INP/IPR chemistry to readily identify strong contributions of instrumental artifacts. Although  
581 different techniques are in principal possible, scanning electron microscopy with high resolution  
582 instruments has proven to be especially suited for this purpose.

583 b) More work is needed to clarify the ice nucleation ability of sea salt and sulfates in mixed phase  
584 clouds.

585 c) More emphasis should be placed on the particle mixing state in the atmosphere. Due to its  
586 complexity, laboratory tests on the performance of the different INP/IPR sampling techniques may  
587 lead to overconfidence in the results of field measurements.

588 d) Substantial work is still necessary to develop the here presented approaches of INP/IPR  
589 sampling to robust routine techniques.

590

591

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814 **Table 1: Techniques and operation principles used for ice-nucleating particle (INP) and ice particle residual (IPR) differentiation.**

INP/IPR-separating technique	Principle of operation	Mode of operation	Ice nucleation location	Separated particle type	Freezing mechanisms	Size fraction of sampled ice hydrometeors
Fast Ice Nucleus Chamber (FINCH) + Ice Nuclei pumped Counterflow Virtual Impactor (IN-PCVI)	activation of INP under suitable thermodynamic conditions, separation of INP by inertia	in-cloud and out of cloud, continuous in periods	instrument	INP	deposition, condensation, immersion	not applicable
Ice-Counterflow Virtual Impactor (Ice-CVI)	removal of super-cooled droplets, transmission of ice particles	in-cloud, continuous	atmosphere	IPR	deposition, condensation, immersion, contact	$5 \mu\text{m} < d < 20 \mu\text{m}$
Ice Selective Inlet (ISI)	use of Bergeron-Findeisen process to evaporate super-cooled droplets, separation of ice crystals by inertia	in-cloud, continuous	atmosphere	IPR	deposition, condensation, immersion, contact	$4.9 \mu\text{m} < d < 20 \mu\text{m}$

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818 **Table 2: Classification criteria for particle classes and particle groups. Common features for certain particle types not used for classification are given in**  
 819 **parentheses.**

<i>Class</i>	<i>Group</i>	<i>Major elements</i>	<i>Morphology</i>	<i>Mixing state<sup>a</sup></i>	<i>Beam stability</i>
Carbonaceous <sup>b</sup>	Carbonaceous	C	non-soot	no inclusion	
	Carbonaceous + inclusion	C	non-soot	inclusion	
Secondary	Secondary	C, O, S			
Sulfate	Sulfate	S, O, (Na, K)		no residual	unstable
	Sulfate + inclusion	S, O, (Na, K)		residual	unstable
Soot	Soot	C	soot-like	no coating	
	Soot mixture	C	soot-like	coating	
Sea-salt	Sea-salt	Na, Cl, (K, Mg)		no inclusion	
	Sea-salt + inclusion	Na, Cl, (K, Mg)		inclusion	
Ca-rich	Ca-rich	Ca, O, (Mg, S, C)		no inclusion	
	Ca-rich + inclusion	Ca, O, (Mg, S, C)		inclusion	
Metal oxide	Metal oxide	Fe, Al, Ti, (Mn)		no coating	
	Metal oxide + coating	Fe, Al, Ti, (Mn)		coating	
Silicate	Silicate	Si, Al, (K, Ca, Mg, Fe, Ti)		no coating	
	Silicate mixture	Si, Al, (K, Ca, Mg, Fe, Ti)		coating or agglomerates	
Pb-bearing	Pb-bearing	Pb present (also as minor component)			
Droplet	Droplet		particle centered in ring of smaller particles		
Other	other				

820 <sup>a</sup> Based on detailed SEM observations. Inclusion refers to a small object with different chemical composition inside a particle. Residuals are compounds left  
 821 after evaporation of a volatile matrix. Coating is a small film on the surface of a particle. Agglomerates are composed of distinguishable objects of similar size.

822 <sup>b</sup> Carbonaceous particles are interpreted as organic compounds which condensed from the gas phase due to their unspecific morphology and the absence of  
 823 tracer elements for primary biological particles (i.e. N, P, K).

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827 **Table 3: Number fraction [%] of internally mixed particles in each particle class (95 % confidence interval in parentheses).**

Particle class	ISI	FINCH + IN-PCVI	Ice-CVI
Silicate	58.5 (44.1 – 71.9)	61.5 (56.9 – 65.9)	36.9 (33.0 – 40.9)
Metal oxide	4.3 (0.1 – 21.9)	66.7 (58.3 – 74.3)	38.8 (27.1 – 51.5)
Ca-rich	9.1 (1.1 – 29.2)	7.5 (3.1 – 14.9)	7.4 (0.9 – 24.3)
Sea-salt	10.0 (0.3 – 44.5)	53.8 (25.1 – 80.8)	8.7 (3.8 – 16.4)
Soot	12.5 (0.3 – 52.7)	5.0 (0.1 – 24.9)	6.3 (0.8 – 20.8)
Sulfate	0.0 (0.0 – 30.8)	2.0 (0.6 – 5.1)	3.9 (0.5 – 13.5)
Carbonaceous	6.8 (1.9 – 16.5)	63.9 (55.9 – 71.4)	27.8 (16.5 – 41.6)

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834 **Table 4: Average INP/IPR composition encountered in mixed-phase clouds for several field experiments.**

Location	Particle type	Reference	Terrigenous <sup>a</sup>	Carbonaceous <sup>b</sup>	Salts	Others
Alaska/Arctic	INP	Prenni et al. (2009a)	64 %	35 %	IM <sup>c</sup>	
Northern Scandinavia	IPR	Targino et al. (2006)	58 %	23 %	7 %	12 %
Jungfraujoch	IPR	Kamphus et al. (2010)	57 %	25 % <sup>c</sup>	IM <sup>c</sup>	15 %
Jungfraujoch	IPR	Ebert et al. (2011) <sup>d</sup>	40 %	43 %	12 %	5 %
Jungfraujoch	INP+IPR	this study <sup>d</sup>	71 %	21 %	5 %	3 %
Jungfraujoch	INP+IPR	this study <sup>e</sup>	55 %	16 %	27 %	2 %

835 <sup>a</sup> containing internal mixtures of terrigenous materials with sulfate and organics836 <sup>b</sup> containing also sulfate837 <sup>c</sup> IM = present in internal mixtures only838 <sup>d</sup> excluding droplets and sulfate; Pb-bearing particles classified according to major particle composition839 <sup>e</sup> including droplets and sulfate; Pb-bearing particles classified according to major particle composition

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841

842 **Figure Captions**

843

844 **Fig. 1: Atmospheric and FINCH operating conditions and INP/IPR sampling periods in February 2013. Times are given in UTC. Particle number**  
845 **concentrations were taken from the World Data Centre for Aerosols homepage (WDCA, 2014). Temperature and wind direction were provided by the**  
846 **Jungfraujoch station operated by International Foundation High Altitude Research Stations Jungfraujoch and Gornergrat. Cloud presence was detected by**  
847 **measuring the liquid water content using a Particulate Volume Monitor (PVM-100, Gerber Scientific, Reston, VA, USA) and a Cloud Droplet Probe (Droplet**  
848 **Measurement Technologies, Boulder, CO, USA). Sampling phases for SEM are marked by wide, intensely-colored bars; sampling phases for MS are shown as**  
849 **narrower, pale-colored bars. 'A' marks a period used for case comparison.**

850

851 **Fig. 2: Secondary electron images and energy-dispersive X-ray spectra of instrumental contamination artifact particles. Characteristic X-ray peaks of**  
852 **elements are labeled. Elements contained in the sample substrate are given in parentheses.**

853

854 **Fig. 3: Box plots of the different instrumental contamination artifact particles for each sampling technique. Shown are minimum, lower quartile, median,**  
855 **upper quartile, and maximum.**

856

857 **Fig. 4: Relative number abundance (integrated over all samples) of different particle groups as function of sampling technique and particle size. The total**  
858 **number of analyzed particles is shown above the bars. For confidence intervals see Tables S2 and S3 in the electronic supplement.**

859

860 **Fig. 5: Relative number abundance of different particle groups among INP/IPR for 2 February determined by FINCH + IN-PCVI and Ice-CVI. The total**  
861 **number of analyzed particles is shown above the bars. For confidence intervals see Table S4 in the electronic supplement.**

862

863 **Fig. 6: Secondary electron images of droplets with their typical morphology of a halo around a residual.**

864

865 **Fig. 7: Box plots of impacted droplets, (non-droplet) sulfate and sea-salt abundance for ISI, FINCH + IN-PCVI and Ice-CVI. Shown are the minimum, lower**  
866 **quartile, median, upper quartile, and maximum.**

867

868 **Fig. 8: Average of all single sample size distributions of major INP/IPR components for ISI, FINCH + IN-PCVI and Ice-CVI. Particle groups were combined**  
869 **according to potential sources to obtain a sufficient number of particles in each size interval. Left column: number size distribution in dN/dd. Note that the**  
870 **different size distributions cannot be compared directly due to different instrumental inlet and transmission efficiencies. Right column: relative number**  
871 **abundance. Size intervals with less than 15 particles are not shown.**

872

873 **Fig. 9: Comparison of the composition/mixing state of Pb-bearing particles from INUIT (present contribution) and CLACE 5 (Ebert et al., 2011) from the**  
874 **Ice-CVI. Note that classification criteria and nomenclature of Ebert et al. (2011) were used for this graph. For confidence intervals see Table S5 in the**  
875 **electronic supplement.**

876

877 **Fig. 10: Comparison of particle class relative number abundance determined by SEM-EDX and LA-MS for IPR sampled by ISI and Ice-CVI. To allow for a**  
878 **comparison of the two different analytical approaches of SEM-EDX and LA-MS, classes were combined accordingly. For confidence intervals see Table S6 in**  
879 **the electronic supplement.**

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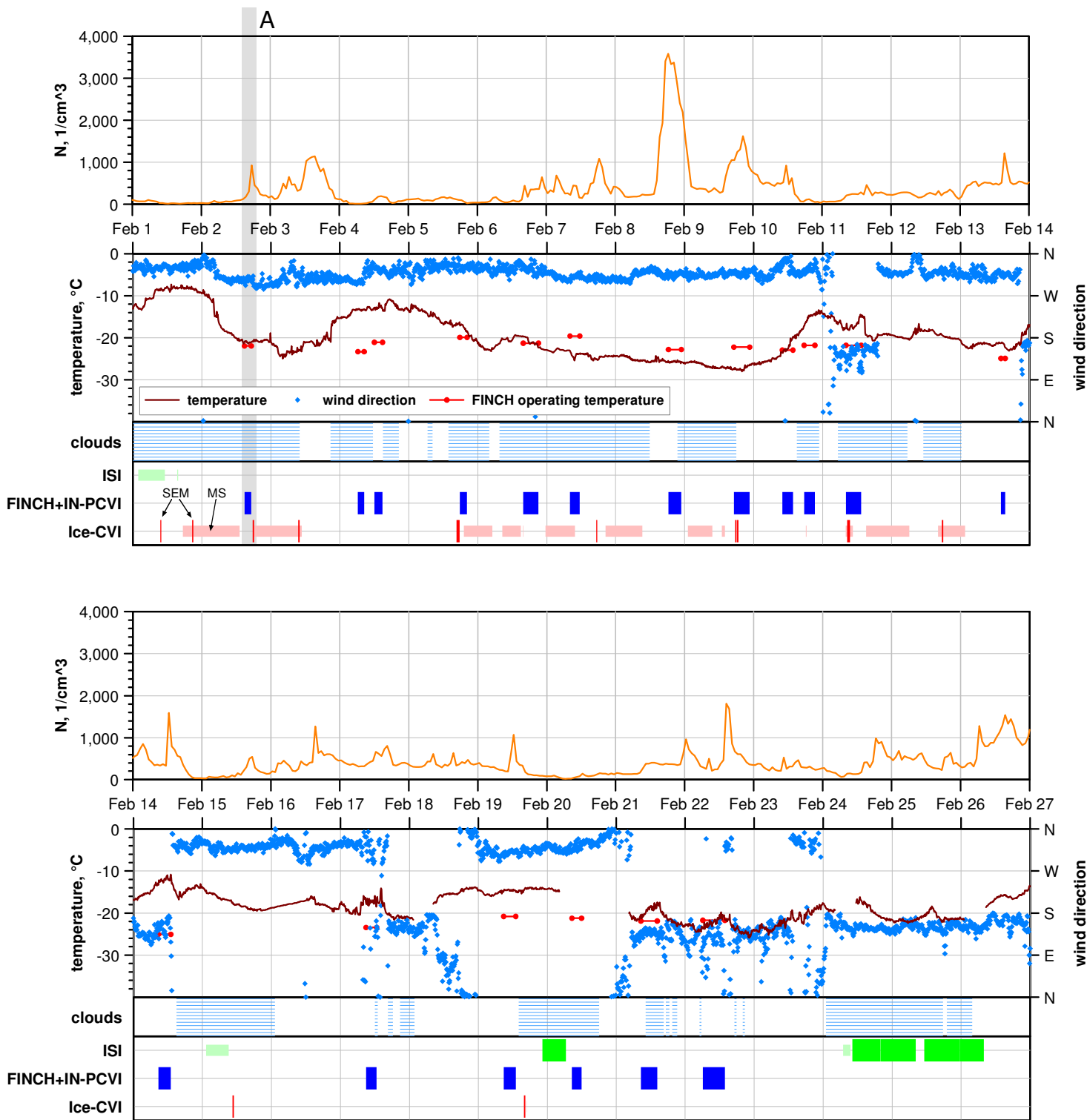


Fig. 1



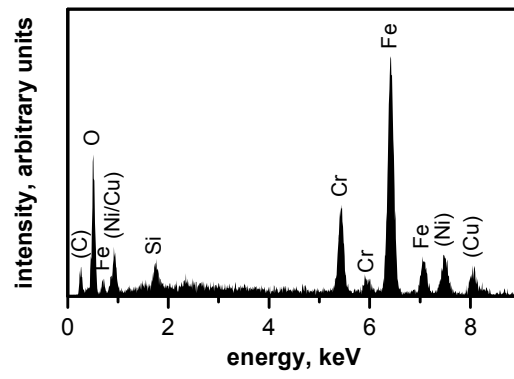
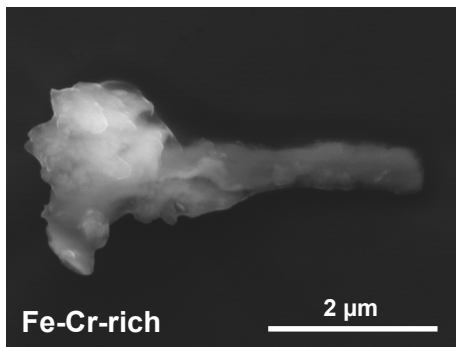
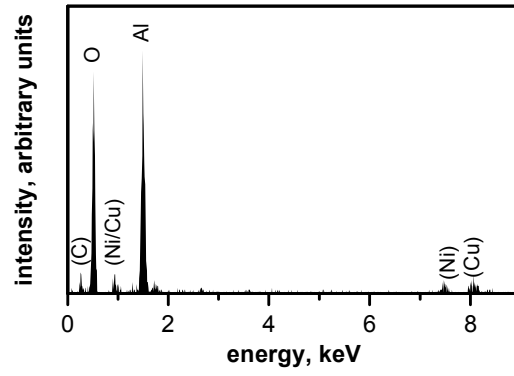
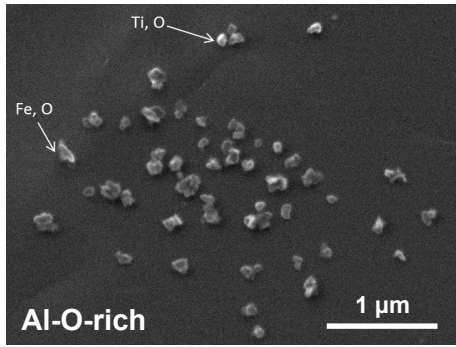
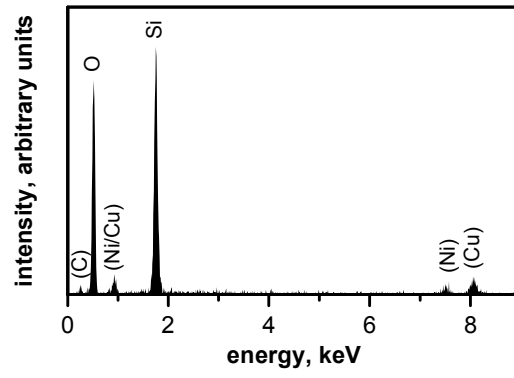
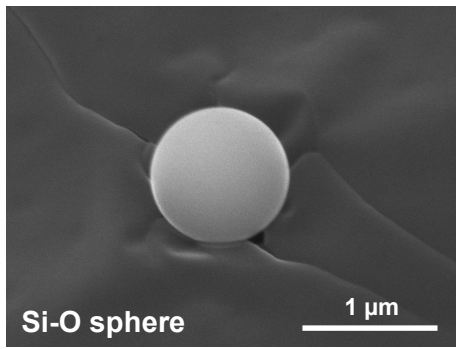
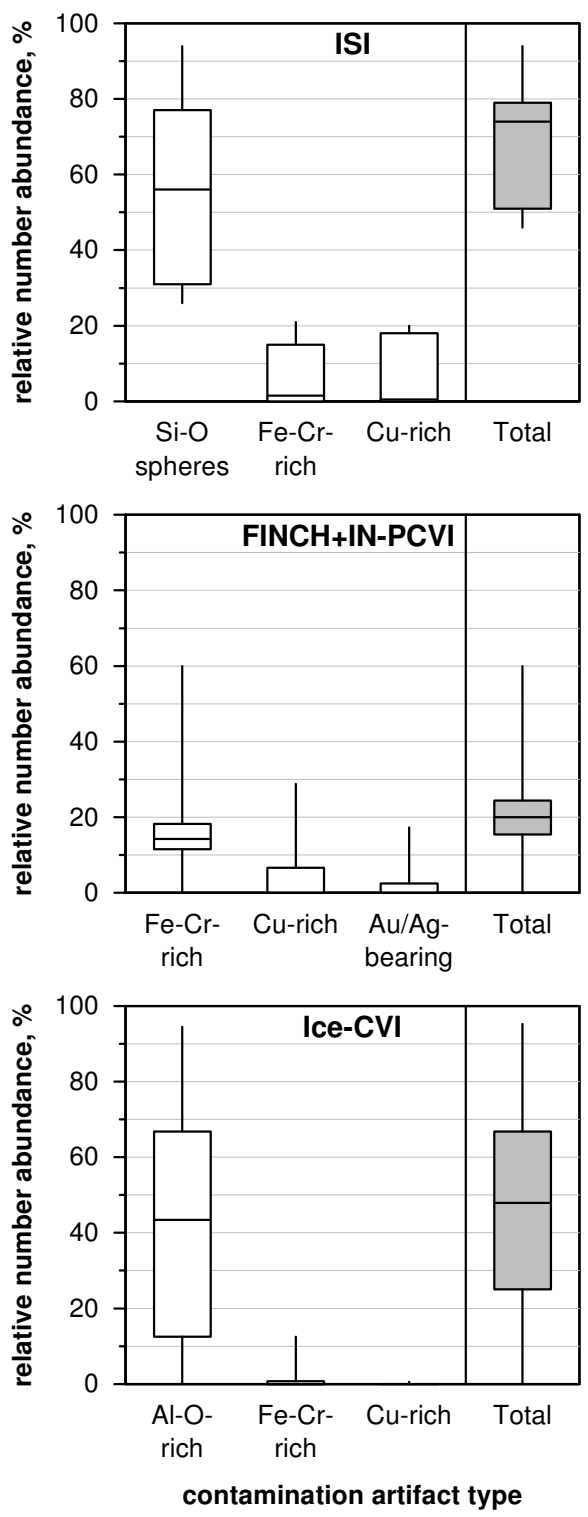
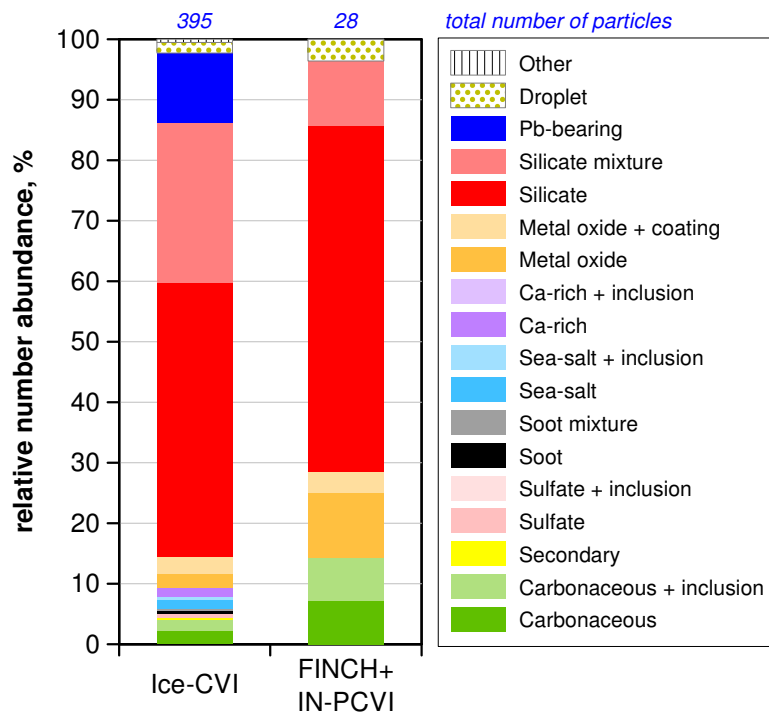


Fig. 2

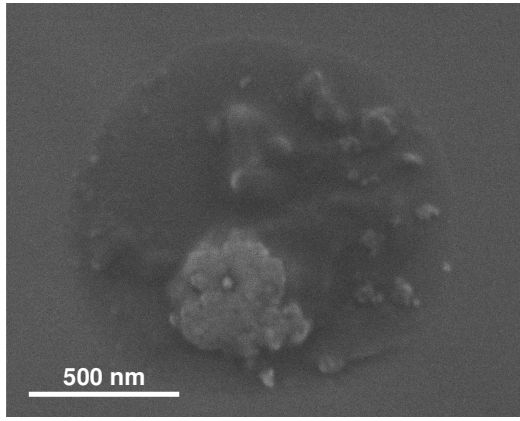
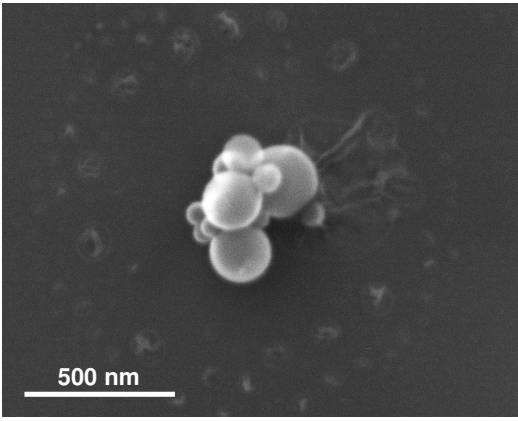


**Fig. 3**

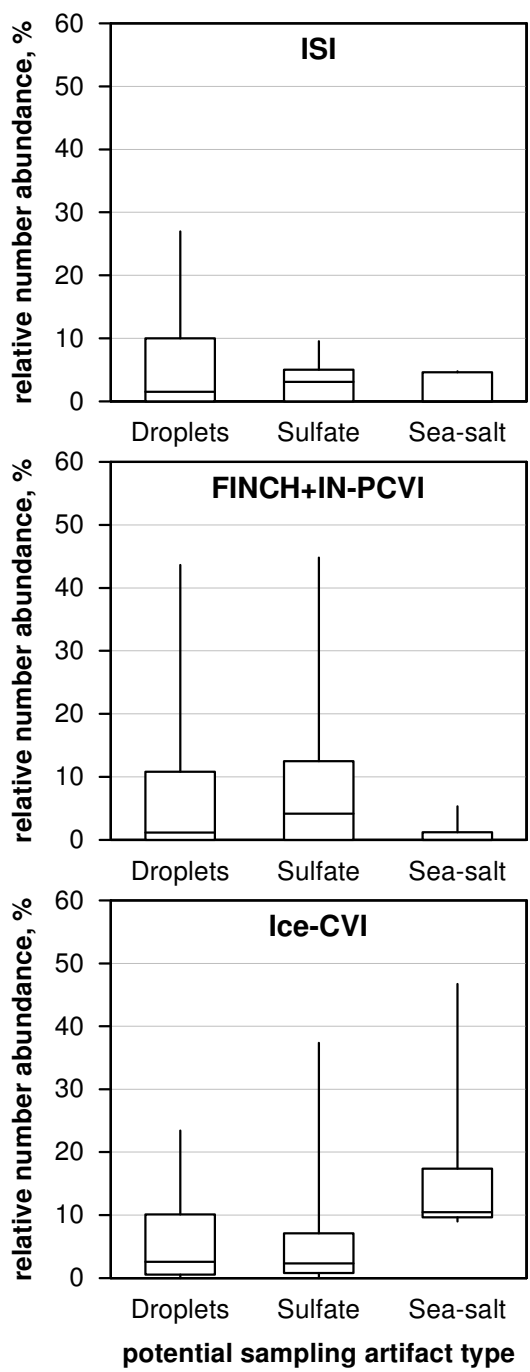




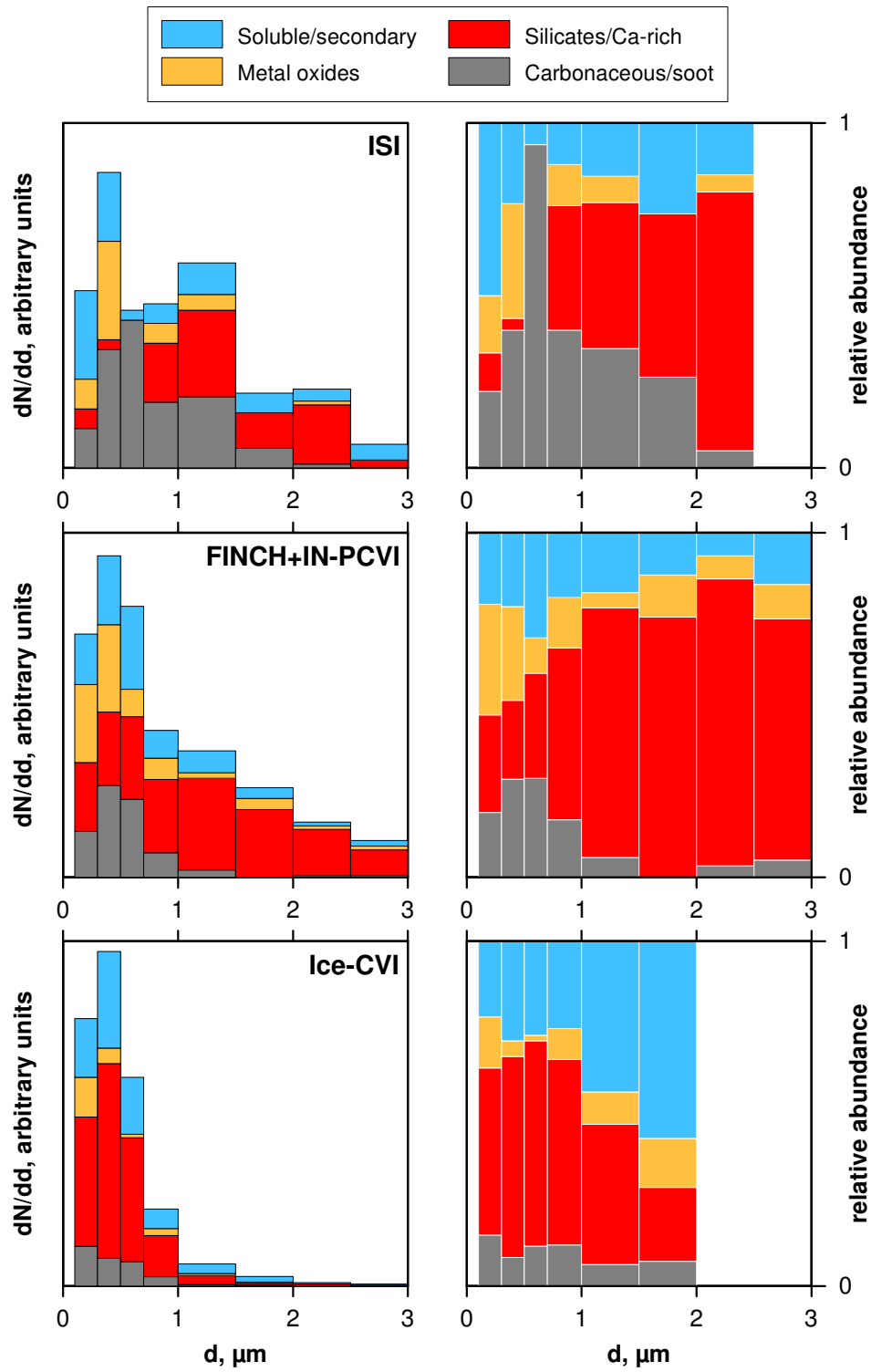
**Fig. 5**



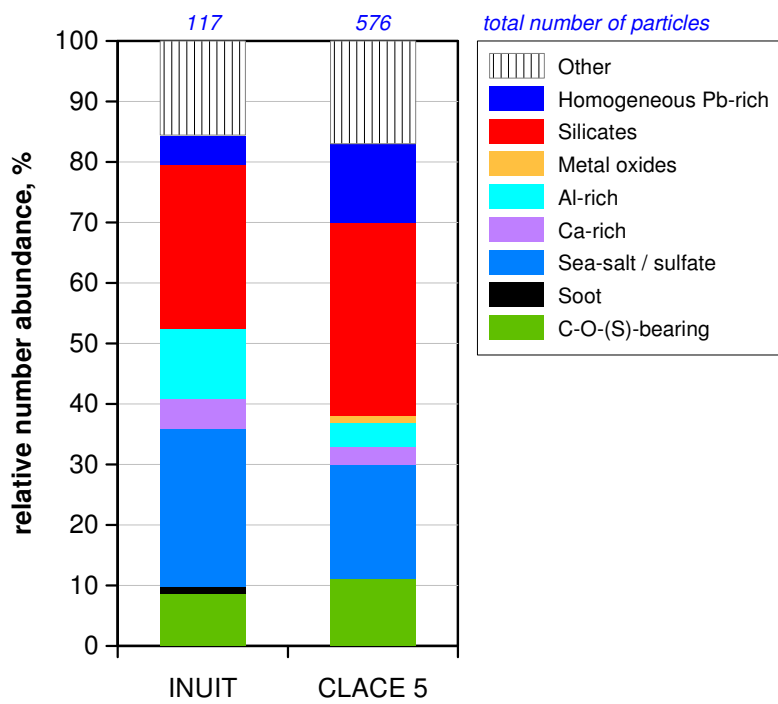
**Fig. 6**



**Fig. 7**



**Fig. 8**



**Fig. 9**



