A comprehensive laboratory study on the immersion freezing behavior of illite NX particles: a comparison of seventeen ice nucleation measurement techniques

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Manuscript in preparation for *Atmospheric Chemistry and Physics* ACP – Special Issue: Results from the ice nuclei research unit (INUIT)

December 24, 2014

- 1 Abstract
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Immersion freezing is the most relevant heterogeneous ice nucleation mechanism 3 through which ice crystals are formed in mixed-phase clouds. In recent years, an increasing 4 number of laboratory experiments utilizing a variety of instruments have examined immersion 5 6 freezing activity of atmospherically relevant ice-nucleating particles. However, an inter-7 comparison of these laboratory results is a difficult task because investigators have used 8 different ice nucleation (IN) measurement methods to produce these results. A remaining 9 challenge is to explore the sensitivity and accuracy of these techniques and to understand how the IN results are potentially influenced or biased by experimental parameters associated with 10 these techniques. 11

Within the framework of INUIT (Ice Nuclei research UnIT), we distributed an illite 12 rich sample (illite NX) as a representative surrogate for atmospheric mineral dust particles to 13 investigators to perform immersion freezing experiments using different IN measurement 14 methods and to obtain IN data as a function of particle concentration, temperature (T), cooling 15 16 rate and nucleation time. Seventeen measurement methods were involved in the data intercomparison. Experiments with seven instruments started with the test sample pre-suspended 17 in water before cooling, while ten other instruments employed water vapor condensation onto 18 dry-dispersed particles followed by immersion freezing. The resulting comprehensive 19 20 immersion freezing dataset was evaluated using the ice nucleation active surface-site density, n_s , to develop a representative $n_s(T)$ spectrum that spans a wide temperature range (-37 °C < T 21 22 < -11 °C) and covers nine orders of magnitude in n_s .

23 In general, the seventeen immersion freezing measurement techniques deviate, within a range of about 8 °C in terms of temperature, by three orders of magnitude with respect to n_s . 24 In addition, we show evidence that the immersion freezing efficiency expressed in n_s of illite 25 26 NX particles is relatively independent of droplet size, particle mass in suspension, particle size and cooling rate during freezing. A strong temperature dependence and weak time- and size 27 dependence of the immersion freezing efficiency of illite rich clay mineral particles enabled 28 the n_s parameterization solely as a function of temperature. We also characterized the $n_s(T)$ 29 30 spectra and identified a section with a steep slope between -20 and -27 °C, where a large fraction of active sites of our test dust may trigger immersion freezing. This slope was 31 followed by a region with a gentler slope at temperatures below -27 °C. While the agreement 32 between different instruments was reasonable below ~ -27 $^{\circ}$ C, there seemed to be a different 33

- trend in the temperature-dependent ice nucleation activity from the suspension and dry-
- 35 dispersed particle measurements for this mineral dust, in particular at higher temperatures. For
- instance, the ice nucleation activity expressed in n_s was smaller for the average of the wet
- 37 suspended samples and higher for the average of the dry-dispersed aerosol samples between
- about -27 and -18 °C. Only instruments making measurements with wet suspended samples
- 39 were able to measure ice nucleation above -18 °C. A possible explanation for the deviation
- 40 between -27 and -18 °C is discussed. Multiple exponential distribution fits in both linear and
- 41 log space for both specific surface area and geometric surface area are provided. These new
- 42 fits, constrained by using identical reference samples, will help to compare IN measurement
- 43 methods that are not included in the present study and IN data from future IN instruments.

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1.

46 **1.1. Background**

Introduction

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Primary ice formation by atmospheric ice-nucleating particles (INPs) markedly 48 influences the formation and life cycle of mixed-phase clouds and very often also initiates 49 precipitation formation. Therefore, ice-containing clouds play a significant role in the energy 50 balance of the climate system and the hydrological cycle on Earth (Chapter 7 of IPCC 2013; 51 Boucher et al., 2013). Currently, quantitative predictions for the impact of these clouds on the 52 53 Earth's radiative budget and thereby the climate are highly uncertain. This uncertainty arises 54 primarily from a lack of fundamental understanding of ice microphysical processes, the 55 representation of these processes in cloud models and knowledge of the abundance of INPs (Hoose and Möhler, 2012; Murray et al., 2012). In particular, yearly emission rates of soil 56 57 dust are 1000 to 4000 teragrams, accounting for a major proportion of both the dust component and the total particle loading in the atmosphere (Boucher et al., 2013). The 58 resulting radiative forcing directly exerted by mineral dust is estimated to range from -0.3 to 59 $+0.1 \text{ W m}^{-2}$. Therefore, dust slightly contributes to the direct cooling effect of aerosols. 60 However, our understanding of the influence of the dust burden upon overall climate forcing, 61 including its secondary effect on cloud albedo, remains highly uncertain, in part due to the 62 63 absence of accurate INP representations in atmospheric models. Thus, the effective radiative forcing effect of airborne dust on current climate predictions remains unresolved. 64

A small subset of all particles acts as INPs across a range of subzero temperatures, 65 66 triggering ice formation in clouds via the process of heterogeneous ice nucleation. Previous laboratory experiments have taken diverse approaches in an attempt to mimic ice nucleation 67 68 and freezing processes. These heterogeneous ice formation processes include deposition 69 nucleation, immersion-, condensation- and contact freezing (Vali, 1985), inside-out contact 70 freezing (i.e., freezing of an immersed INP in contact with the droplet surface from the inside; 71 Durant and Shaw, 2005; Fornea et al., 2009) and surface condensation freezing (i.e., freezing 72 of supercooled water or residual aqueous solution trapped on particle surfaces, e.g., by the 73 inverse Kelvin effect; Christenson, 2013; Hiranuma et al., 2014a; Marcolli, 2014; Welti et al., 74 2014; Wex et al., 2014). Without INPs, pure cloud water droplets or solution within particles can be supercooled to below -37 °C before freezing (Koop et al., 2000; Murray et al., 2010; 75

76 *Rosenfeld and Woodley*, 2000).

Among the various modes of atmospheric ice nucleation, immersion freezing is one of 77 the most important mechanisms for primary ice formation, accounting for 85% of ice 78 formation in clouds that contain supercooled droplets (Hoose et al., 2010). Furthermore, many 79 of the previous experimental studies have investigated heterogeneous ice nucleation at 80 81 conditions where water is supercooled before freezing (e.g., Murray et al., 2012). However, the relative importance of the particles' physico-chemical properties [i.e., size, composition, 82 solubility, hygroscopicity, cloud condensation nuclei activity, ice nucleation (IN) active sites, 83 surface charge and/or crystallographic structure] for immersion freezing is not yet well known 84 85 (e.g., Hiranuma et al., 2013; Hiranuma et al., 2014b; Murray et al., 2012). Hence, more indepth investigations and understanding of heterogeneous ice nucleation processes in 86 87 supercooled clouds (as well as mixed-phase clouds) is of particular importance.

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1.2. State of the art of IN measurement techniques

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The concept of condensation nuclei contributing to ice formation was first introduced 91 by Alfred Wegener in 1911 (Wegener, 1911). Since then, various instruments and methods 92 have been developed to investigate the composition of atmospherically relevant INPs as well 93 94 as their abundance; for example, the rapid expansion cloud-simulation chamber (RECC) was first introduced as a detector of ionizing particles. Such instruments have been used in many 95 ice nucleation studies since the 1940s (e.g., Cwilong, 1947; Fournier d'Albe, 1949; Palmer, 96 97 1949; Bigg, 1957; Kline and Brier, 1961). Supersaturated conditions with respect to water and ice, as a function of temperature, are created in the RECC vessel by a rapid pressure drop 98 caused by mechanical expansion and concomitant cooling. Subsequently, water vapor in the 99 100 supersaturated air can either deposit or condense on sample particles, leading to the formation of water droplets and/or ice. 101

A different type of instrument widely used to measure abundance and efficiency of 102 INPs is the continuous flow diffusion chamber (CFDC). The need for portable instruments 103 104 capable of obtaining continuous measurements for aircraft applications emerged in 105 discussions during the 1970s and was a main driver of CFDC development. In CFDCs, particles are sampled into a region between two ice-coated concentric cylinders (or dual 106 parallel plates) maintained at different temperatures, which generates a region of ice 107 108 supersaturation between ice-coated walls. As the particles experience ice supersaturation conditions for a few seconds, INPs can be activated and diffusively grow to supermicron ice 109 110 crystals. Typically, these large ice crystals can be detected and counted by an optical particle

counter (OPC) downstream of the instrument while the chamber temperature and humidity 111 conditions are continuously recorded. Since its first appearance in the 1980s with horizontal 112 parallel plates (Hussain and Saunders, 1984; Tomlinson and Fukuta, 1985), several new 113 designs and operational principles have been introduced (e.g., vertically oriented cylinders; 114 Rogers et al., 1988, horizontally oriented parallel plates; Kanji and Abbatt, 2009, vertically 115 oriented parallel plates; Stetzer et al., 2008; Chou et al., 2011; Friedman et al., 2011). An 116 alternative configuration is the continuous flow mixing chamber (e.g., Fast Ice Nucleus 117 Chamber or FINCH; Bundke et al., 2008). The operation principle of this type of chamber 118 119 does not involve water vapor diffusion from the ice walls, as in CFDC, but water vapor is available for ice growth from the humidified air within the chamber flow. This leads to an 120 121 upper limit on INP concentrations that are observable with this methodology (DeMott et al., 2011). A flow tube (e.g., Leipzig Aerosol Cloud Interaction Simulator or LACIS, Hartmann 122 123 et al., 2011) has also been developed in which a humidified stream containing aerosol particles is first cooled to activate droplets on the particles, which upon further cooling may 124 125 then freeze.

In addition to chamber techniques, the mode-specific conditions for heterogeneous ice 126 127 nucleation of a known INP placed on a substrate surface have been studied using optical microscope techniques. For example, by immersing ice nuclei in water droplets placed on a 128 hydrophobic substrate surface and collecting a series of images at controlled cooling rates, the 129 change in reflectivity and opacity following ice formation can be characterized, and the 130 associated freezing conditions can be identified (e.g., Knopf and Alpert, 2013; Murray et al., 131 2011). More recently, other optical microscopy techniques coupled with a unique method of 132 encapsulating particles into droplets followed by cooling (*Iannone et al., 2011*) or using the 133 hydrophobic squalene/water emulsion (Wright and Petters, 2013) were introduced to the 134 community. Using a similar approach, substrate-supported cooling studies have been applied 135 136 to determine the freezing temperature in the contact mode (e.g., Fornea et al., 2009; Niehaus et al., 2014), or of deposition nucleation (e.g., Kanji and Abbatt, 2006; Bingemer et al., 2012; 137 Dymarska et al., 2006). The microscopy-coupled substrate-supported freezing devices are 138 advantageous to visualize the consequences of specific ice nucleation modes in controlled and 139 simulated environments. In some studies, immersion freezing of microliter scale droplet 140 volumes was analyzed at temperatures (Ts) higher than -10 °C with a sensitivity of INP 141 concentration as good as ~ 10^{-5} L⁻¹ (Ardon-Dreyer et al., 2011). 142

143 The freezing temperature of INPs either immersed in or in contact with levitated144 supercooled water droplets suspended in the air can also be determined by the change in light

scattering with a charge-coupled device (CCD) camera using an electrodynamic balance
(EDB; *Hoffmann et al.*, 2013), an acoustic levitator (*Diehl et al.*, 2014) or in a vertical wind
tunnel (*Szakáll et al.*, 2009). The advantage of these methods is the ability to provide, via
high-resolution images, substrate-free information for statistically representative ice
nucleation processes on a single droplet basis. This advantage is shared with all of the above
mentioned chamber and flow tube devices.

Undoubtedly, these enormous efforts to develop numerous IN measurement techniques 151 have advanced our basic knowledge of atmospheric ice formation. As a consequence, the 152 atmospheric science community will continue to pursue investigations of IN to unravel their 153 associated effects on climate. Accordingly, exploring the sensitivities, uncertainties and biases 154 of various experimental techniques (e.g., methods for particle generation, size segregation, 155 size estimation, ice detection and any other notable experimental procedures) in nucleating ice 156 157 on particles of known physico-chemical properties is crucial in order to compile comparative INP data of multiple and complex measurement techniques from various research institutions. 158 159 The information obtained from one technique guides other measurement techniques (DeMott et al., 2011; Riechers et al., 2013). A better understanding of the sensitivity of multiple 160 161 techniques and the role of associated experimental parameters upon INP measurements will also help in transferring the laboratory-based measurements of INPs of various atmospheric 162 constituents to their reliable parameterizations in models of atmospheric processes. 163

Since the 1960s, four international workshops have been organized to compare the 164 performance of IN measuring instruments that were emerging or available at the time (DeMott 165 et al., 2011). In particular, effort was made during the fourth international ice nucleation 166 167 workshop in 2007 (ICIS-2007) to assemble a total of nine laboratory and field IN instruments at the AIDA (Aerosol Interaction and Dynamics in the Atmosphere) facility and compare 168 them using identical test dust samples (e.g., Arizona Test Dust, or ATD, and Saharan dust) 169 170 over similar thermodynamic conditions. State-of-the-art knowledge was obtained from each workshop activity, and such measurement understanding was further incorporated to develop 171 the next generation of IN instruments. 172

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174 1.3. Objectives

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The major aim of this study, and concurrent studies within the framework of the
INUIT (Ice Nuclei research UnIT) project, is to investigate the immersion freezing behavior
of reference particles (e.g., Snomax for bacterial IN processes and potassium rich feldspar, K-

feldspar, for mineral dust IN processes). In this work, we distributed illite NX samples from 179 the same batch [with the exceptions of the samples used for Leeds-NIPI, ZINC and IMCA-180 ZINC (acronyms are defined in the Supplementary Information Sect. S4); Broadley et al., 181 2012; Welti et al., 2009] among the INUIT project and associated partners. With a total of 182 183 seventeen different IN measuring instruments, we inter-compared IN data from each instrument in order to obtain a comprehensive dataset for evaluating immersion freezing 184 properties of illite NX particles. The dataset captures the functional dependence of various 185 experimental parameter variables, such as particle concentration, particle size, droplet size, 186 temperature, cooling rate and nucleation time, on the immersion freezing properties of illite 187 NX particles. Further, some instruments used test samples suspended in water prior to 188 experiments, while others used dry-dispersed particles. The basic experimental methods and 189 parameterization approaches used to interpret the overall results and perform the inter-190 comparison are discussed. 191

Results of freezing efficiencies at specific temperatures are presented using the ice 192 193 nucleation active surface-site density (n_s) parameterization (e.g., *Connolly et al.*, 2009; Niemand et al., 2012; Hoose and Möhler, 2012) developed on the basis of suggestions by 194 195 DeMott et al. (1995). For instance, Niemand et al. (2012) showed that the singular parameterization approach of immersion freezing (i.e., freezing along water saturation 196 197 conditions while cooling) of various desert dust particles derived from AIDA experiments converge upon one representative fit as a function of temperature, which is valid across a 198 199 temperature range from -12 to -36 °C. The time-independent n_s parameterization has also been 200 used in describing INP activation by several different constituents of clay minerals, e.g., 201 microcline and kaolinite, using the cold stage droplet freezing technique (Atkinson et al., 202 2013; Murray et al., 2011; Murray et al., 2010). Hence, comparison of IN efficiencies can be readily performed for multiple types of instruments using n_s parameterizations. Moreover, 203 204 such time-independent and surface-area-scaled n_s formulations can be further adapted to 205 comprehensively assess ice nucleation in a wide range of atmospherically relevant temperatures and relative humidities with respect to ice (RH_{ice}), as was recently presented in 206 *Hiranuma et al.* (2014a). The n_s parameterization for both immersion freezing and deposition 207 nucleation can be directly implemented in cloud, weather and climate models to calculate the 208 209 temperature-dependent abundance of INPs as a function of the aerosol surface area concentration. 210

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213 2.1. Illite NX characterization

Methods

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In this study, we have chosen illite NX (Arginotec, NX Nanopowder) as a surrogate 215 for natural desert dusts. This choice of an illite rich material is based on a comparison of its 216 217 mineralogical composition to that of desert dusts, which are also rich in illite but are also mixed with a range of other minerals (Broadley et al., 2012). The present work gives an 218 219 overview of laboratory experiments for immersion freezing of particles of illite NX, used as a surrogate for atmospheric desert dust particles. Illite NX bulk powder was previously 220 characterized for its physico-chemical properties, such as mineralogy and specific surface area 221 (SSA or θ for brevity). It was observed that illite NX samples contained more than 74 weight 222 percent (wt%) illite (Broadley et al., 2012; Friedrich et al., 2008) along with other 223 components [kaolinite, quartz, calcite and feldspars (most likely orthoclase/sanidine), see 224 225 Sect. 3.1 for more detail] which is similar to the X-ray diffraction (XRD) data specified by the manufacturer. These test particles typically have aggregates of many nanometer-sized grains, 226 vielding an order of magnitude greater SSA (104.2 m² g⁻¹; *Broadley et al.*, 2012). The 227 aspherical and elongated nature of illite NX particles (aspect ratio up to ~4.8; Veghte and 228 Freedman, 2014) emphasizes the importance of considering its irregular shape. The 229 manufacturer reports the particle density, after mechanical granulation, as 2.65 g cm^{-3} . 230

To determine the purity of our sample, and to compare this with previous observations,
the dust mineralogy of a bulk illite NX sample was characterized using XRD (*Waseda et al.*,
2011) prior to distribution. In addition, complementary energy dispersive X-ray (EDX)
spectroscopy analysis was performed to characterize the elemental composition of individual
particles. The illite NX particles were sampled directly from the AIDA chamber using a 47
mm Nuclepore[®] filter (Whatman, 0.2 µm pore-size, filter Cat. No. 111106) and used in the
EDX analysis.

The N₂-adsorption-based SSA (or BET surface, *Brunauer, Emmett, and Teller*, 1938) of the illite NX sample was also measured. BET is a gas adsorption technique where the quantity of various gases required to form a monolayer over the entire available surface of dry particles, including internal surfaces, is measured (*Gregg and Sing*, 1982; *Bickmore et al.*, 2002). From the knowledge of the size of a molecule on the surface, it is possible to determine the total surface area (S_{total}). In this work, BET surface areas were determined using

- two different gas adsorbents: N₂ and H₂O (resulting in θ_{N2} and θ_{H2O}), with the latter being the surface area exposed to water. BET measurements with H₂O were limited to 28% relative
- humidity with respect to water (RH_w) to correctly account for a monolayer of H_2O

247 (Quantachrome Instruments, 2013).

The effect of particle processing, such as removal of hydrophilic ions by water, in a 248 water suspension was examined by ion chromatography (IC). The influence of dust washing 249 and discharge of soluble materials on IN propensity has been previously proposed (Welti et 250 251 al., 2014). More specifically, the authors postulated two different scenarios at different temperatures based on their observations. At temperatures below ~ -38 °C, the washed dust 252 253 component may have enhanced water condensation below water saturation, and a formed liquid layer presumably may have stabilized the subcritical ice embryo entrapped inside the 254 255 liquid. The authors proposed this capillary condensation process as a part of condensation freezing or homogeneous nucleation based on the previous observation (Christenson, 2013) 256 257 and the theoretical framework (Marcolli, 2013). Above ~ -38 °C, on the other hand, heterogeneous nucleation might have been suppressed because the liquid layer derived from 258 259 the deliquescence of soluble impurities from individual particles may have diminished accessibility of water vapor to active sites (e.g., localized surface features such as cracks and 260 edges), originally proposed by Koehler et al. (2010), preventing the ice embryo formation. In 261 this study, suspended samples were prepared by stirring illite NX powders (0.1 g in 10 mL of 262 18.2 M Ω cm nanopure water) over three weeks. IC (Dionex DX-500 IC System equipped with 263 Dionex CD20 Conductivity Detector) was used to determine the concentrations of washed out 264 cations (K^+ , Ca^{2+} and Mg^{2+}) as a function of time. A weak solution of sulfuric acid [5mL 265 H₂SO₄ (96 wt%) diluted in 2 L of Nanopure water] was used as the eluent. The measurements 266 267 were conducted in three series: every 5 to 10 s (seconds) within the first 2 min (minutes) (ultra-short time series, USTS), then every 10 min within the first hour after immersion (short 268 time series, STS) followed by a long time series (LTS) with cation concentration 269 270 measurements conducted every 2 days thereafter for a three week period.

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- 272 2.2. Particle size distribution
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Size distributions and the S_{total} (in m² cm⁻³) of both suspended and dry-dispersed illite NX particles were characterized using four size measurement techniques (i.e., aerosol size spectrometers and light scattering instruments). In particular, the dynamic light scattering

(DLS) size of suspended illite NX particles (0.05 to 1 mg bulk illite NX sample in 1 mL of 277 triple-distilled water) was determined using the StabiSizer[®] (Microtrac Europe GmbH, PMX 278 200CS) over the range of 0.0008 to 6.5 µm hydrodynamic diameter. A more detailed 279 description of this instrument and its application for studying the size of particles in 280 281 suspension are addressed in Hiranuma et al. (2014b), and only a brief discussion is given here. The DLS measurements were carried out with negligible contribution of multiple 282 scattering due to the utilized 180° backscattering mode. The hydrodynamic diameter, which 283 was comparable to the volume equivalent diameter, is determined using a refractive index of 284 1.55 to 1.58 for illite and of 1.333 for water, and a viscosity of water of 1.002 and 0.797 mPa 285 s at 20 and 30 °C, respectively. From this metric, the surface area was calculated assuming 286 spherical particles. 287

Size distributions of dry polydisperse illite NX particles were measured at AIDA 288 controlled expansion cloud-simulation chamber (CECC) and MRI dynamic CECC (DCECC) 289 prior to the expansion experiments. For AIDA-CECC, de-agglomerated illite NX particles 290 291 from a rotating brush disperser (PALAS, RGB 1000) were passed through a series of inertial cyclone impactor stages ($D_{50} \sim 1$ and 5 µm) and introduced to the 84 m³ volume AIDA vessel. 292 293 Subsequently, a scanning mobility particle sizer (SMPS, TSI Inc., Model 3081 differential mobility analyzer, DMA, and Model 3010 condensation particle counter, CPC) and an 294 aerodynamic particle sizer (APS, TSI Inc., Model 3321) were used to measure particle size 295 distributions over the range of 0.01 to 15.4 µm volume equivalent diameter. The assumption 296 297 of particle sphericity, a dynamic shape factor (DSF or γ in equations) of 1.49 ± 0.12 (average of ten measurements \pm standard deviation) and a particle density of 2.65 g cm⁻³ were used to 298 299 obtain the geometric-based (volume equivalent) diameter from an APS (Hiranuma et al., 300 2014b). At MRI-DCECC, a combination of an SMPS (TSI Inc., Model 3936) and a welas optical particle counter (welas-OPC, PALAS, Sensor series 2500) was used to acquire a size 301 302 distribution for the size range of 0.01 to 47.2 µm volume equivalent diameter directly from the 1.4 m³ volume vessel. The same disperser type was used at both chambers for particle 303 generation, and the upstream cyclone impactors ($D_{50} \sim 1$ and 2.5 µm) were similarly deployed 304 to filter out any larger particles and safeguard against injecting these particles into the vessel. 305 We note that a linear correction factor of ~ 2 was applied to convert the optical diameter 306 measured by the welas-OPC to the APS-inferred volume equivalent diameter in several 307 studies (Wagner et al., 2011; Hiranuma et al., 2014a). 308

The particle number size distribution of dry particles in the 0.3-10 μm diameter range
was also measured by a TSI 3330 optical particle sizer (OPS, TSI Inc.; TSI-OPS hereafter).

For particle generation, the illite NX sample was dispersed using a magnetic stirrer in a 100 311 mL glass vessel that was purged with 200 mL min⁻¹ of dry particle-free compressed laboratory 312 air, and then diluted further in two stages by approximately 1:100 with dry air. Subsequently, 313 the backward scattering intensity of scattered light from a particle illuminated by a laser ($\lambda =$ 314 315 660 nm) was measured. The instrument estimated the particle size distribution, assuming spherical particles, using Mie theory. As a result, the reported size is a volume equivalent 316 spherical diameter. Additionally, these dry-dispersed particles were used for the immersion 317 mode experiments of FRIDGE as described in the Supplementary Methods. 318

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- 320 **2.3.** Ice nucleation measurements
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The ice nucleation measurement techniques contributing to this collaborative effort are 322 listed in Table 1. Descriptions of each measurement technique and their acronyms are 323 available in the Supplementary Information Sect. S4. Briefly, four CFDC-type instruments, 324 325 one continuous flow mixing chamber, two cloud simulation chambers, one diffusion cell, two 326 levitators, one vertical wind tunnel, one laminar flow tube and five cold stage-type systems were employed in the inter-comparison. As seen in Table 1, measurement techniques with the 327 328 first seven instruments (i.e., ID 1 to 7) and the immersion mode measurements of FRIDGE (ID 12) examined droplets produced from bulk illite NX samples in suspension, while the rest 329 330 used dry-dispersed illite NX powder, sometimes followed by size selection with a DMA. Methods working with suspensions and those using dry particles employed different ways to 331 332 determine the particle surface area, and the influence of these differences on the determination of n_s was investigated. For instance, CSU-IS was used to investigate the freezing activity of 333 334 both bulk suspension and size-segregated particles in suspension. Two cloud expansion chambers, AIDA-CECC and MRI-DCECC, examined both polydisperse and size-selected dry 335 illite NX particles. LACIS and IMCA-ZINC measured immersion freezing of droplets, where 336 each droplet contained a single particle, and examined differently sized dry particles. The role 337 of IN modes upon the estimation of n_s was also examined across various temperature ranges. 338 The EDB-based method was used to measure the contact and immersion mode efficiencies of 339 size segregated dry illite NX particles around -30 °C. Immersion freezing results from IMCA-340 ZINC were compared to previously reported ZINC data (Welti et al., 2009) at temperatures 341 below -31 °C and to PINC data for temperatures below -26 °C. In the present study, we 342 derived ZINC's n_s values from the results reported in Welti et al. (2009). Specifically, ice 343

formation above 105% RH_w up to the water drop survival line was used to calculate n_s based on given illite NX particle sizes. We note that the latent heat of condensation has minimal impact on droplet temperature, such that $RH_w > 105\%$ maintains a water supersaturating condition for droplet freezing.

FRIDGE investigated ice nucleation of both dry-dispersed particles on a substrate at fixed temperatures (-25 °C < T < -18 °C) with increasing humidity ('default' deposition mode nucleation) as well as immersed particles. In the case of immersion freezing experiments with suspended samples, the cell temperature was lowered by 1 °C min⁻¹.

The range of mass concentrations of the bulk illite NX sample in suspension varied 352 from 3.1 x 10⁻⁶ wt% (CSU-IS) to 2.6 wt% (M-WT). For dry-dispersed particle measurements, 353 particle concentrations varied from ~ 10 cm⁻³ (AIDA) up to ~ 9000 cm⁻³ (MRI-DCECC). 354 Experiments with M-AL, M-WT, EDB, and IMCA-ZINC were performed on a single drop 355 basis. The shortest residence time of roughly 1.6 s was used for the laminar flow tube, LACIS, 356 and the slowest cooling rate of 0.3 °C min⁻¹ (time-average cooling rate over an expansion, 357 which translates to the equivalent updraft rate of $\sim 0.5 \text{ m s}^{-1}$) was used in AIDA-CECC. 358 Altogether, immersion freezing was examined across the temperature range from ~ -10 to ~ -359 360 38 °C, and over a varied range of cooling rates, nucleation times and particle concentrations (summarized in publically accessible data base available at http://imk-aaf-s1.imk-361 aaf.kit.edu/inuit/). 362

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364 2.4. Ice nucleation parameterization

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We now describe a method to parameterize surface area-scaled immersion freezing activities using the size equivalent ice nucleation active surface-site density based on geometric size ($n_{s,geo}$; *Connolly et al.*, 2009; *Niemand et al.*, 2012; *Hoose and Möhler*, 2012). In short, this surface-site density approach approximates ice crystal formation observed in an experiment as a function of temperature, thus not accounting for time dependence. Accordingly, $n_{s,geo}$ can be expressed by:

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$$n_{s,\text{geo}}(T) = -\ln\left(1 - \frac{N_{\text{ice}}(T)}{N_{\text{total}}}\right) \left(\frac{1}{S_{\text{ve}}}\right), \qquad (1)$$

in which, N_{ice} is the number concentration of formed ice crystals (cm⁻³), N_{total} is the total number concentration of particles prior to any freezing event (cm⁻³), and S_{ve} is the volume equivalent surface area of an individual particle (m²). As demonstrated in *Niemand et al.* (2012), if the activated ice fraction is small (<0.1), the Taylor series approximation can be applied to Eqn. 1. Assuming a uniform distribution of $n_{s,geo}$ over a given S_{total} and a size independency of $n_{s,geo}$, we can approximate $n_{s,geo}$ as:

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$$n_{s,\text{geo}}(T) \approx \frac{N_{\text{ice}}(T)}{N_{\text{total}}S_{\text{ve}}} = \frac{N_{\text{ice}}(T)}{S_{\text{total}}}.$$
 (2)

In addition, the IN efficiency can be related to the BET-SSA to estimate BET-inferred ice nucleation surface-site density, $n_{s,BET}$. A description of the procedures used to estimate both n_s metrics is given in *Hiranuma* et al. (2014b). The advantage of using $n_{s,geo}$ is its applicability to both measurements and modeling activities due to the assumption of particle sphericity. Conversely, $n_{s,geo}$ cannot be directly obtained through suspension experiments because the size distribution of a suspended sample for each experiment is not available; therefore, S_{total} is determined from BET and the sample mass suspended in water.

In order to convert $n_{s,geo}$ values of all dry-dispersed particle measurements into $n_{s,BET}$, the geometric size-based ice-nucleating mass, $n_{m,geo}$ (g⁻¹), is first calculated from the IN active surface using either the surface-to-mass conversion factor (in m² g⁻¹) of $6/D_{ve}\rho$ (size-selected case) or S_{total}/M_{total} (polydisperse case) by:

391
$$n_{m,\text{geo}}(T) = \frac{N_{\text{ice}}(T)}{N_{\text{total}}M_{\text{ve}}} = \frac{6}{D_{\text{ve}}\rho} n_{s,\text{geo}}(T) \approx \left(\frac{S_{\text{total}}}{M_{\text{total}}}\right) n_{s,\text{geo}}(T)$$
(3)

where M_{ve} is the mass of a spherical particle of volume-equivalent diameter (g), D_{ve} is the 392 volume equivalent midpoint diameter of particles (m), ρ is the particle density of illite NX 393 (2.65 x 10^6 g m⁻³), and M_{total} is the total particle mass concentration (g cm⁻³). We note that the 394 DLS size distribution-derived $S_{\text{total}}/M_{\text{total}}$ (i.e., DLS-SSA) is 6.54 m² g⁻¹ and use for the 395 measurements with suspended particles. We also note that the conversion factor ranges from 396 11.3 to 2.26 m² g⁻¹ for size-selected particle diameters from 200 nm to 1000 nm, respectively, 397 where these sizes denote the range of particle diameters used in the size-selected cases in the 398 present study. Therefore, ice-nucleating mass can be scaled to the BET-SSA (θ , 124.4 m² g⁻¹) 399 400 to derive $n_{s,BET}$ as:

401 $n_{s,\text{BET}}(T) = \frac{n_{m,\text{geo}}(T)}{\theta} \approx \frac{n_{m,\text{sus}}(T)}{\theta} = \frac{\alpha}{M_{\text{ve}}\theta},$ (4)

in which, $n_{m,sus}$ is the IN active mass for suspension measurements, α represents the ice activated fraction (= N_{ice}/N_{total}), which is the direct measurement of suspension experiments and some of the dry-dispersed particle methods. With an assumption of a uniform BET-SSA, the resulting $n_{s,BET}$ may be representative of measurements with suspended samples because minimal corrections (only α and θ) are involved when compared to that with dry-dispersed particles. Owing to internal surface area and surface roughness, BET-SSA may be greater than DLS-SSA (*O'Sullivan et al.*, 2014).

409 Alternatively, we can also convert ice-nucleating mass derived from suspension measurements, $n_{m,sus}$, to $n_{s,geo}$ using DLS-SSA to provide a reasonable comparison to dry-410 dispersed particle measurements. However, this process requires one more step than when 411 using $n_{s,BET}$ (with an additional assumption of constant size distribution for all suspensions) 412 and two more steps than when using n_m . For our inter-comparison study, we used both $n_{s,BET}$ 413 and $n_{s,geo}$. Because fewer conversion factors are involved, $n_{s,BET}$ may be best suited for 414 suspension measurements, and $n_{s,geo}$ may be best suited for dry-dispersed particle 415 416 measurements (Eqn. 3 to 4 or vice versa).

417 The usage of DLS-SSA for the calculation of $S_{\text{total}}/M_{\text{total}}$ of suspension measurements

418 appears to be reasonable, as this leads to $n_{s,geo}$ for suspension measurements nearly equivalent

419 to $n_{s,geo}$ for dry-dispersed particles. When S_{total}/M_{total} is derived based on TSI-OPS

420 measurements, a value of 0.49 m^2 g⁻¹ is obtained, which is smaller by a factor of about

421 thirteen compared to DLS-SSA. This difference may be mainly due to the fact that dry-

dispersed particles are typically prone to agglomeration (discussed below, i.e., Sect. 3.1)

423 compared to the measurements with suspended particles. The presence of fewer agglomerates

424 in suspended particles is shown in Fig. 1 of *Hiranuma et al.* (2014b). Since the size

distribution of a suspended sample for each experiment was not measured, DLS-SSA was

426 used for the data evaluation for suspension measurements throughout this study.

- 427
- 3. Results
- 428
- 429 3.1. Illite NX characterization
- 430

XRD results from the present and previous studies (Friedrich et al., 2008; Broadley et 431 al., 2012) of the major minerals in bulk samples of illite NX are presented in Table 2. The 432 results show that the bulk illite NX powder is composed of various minerals: illite, kaolinite, 433 quartz, calcite and feldspar, but the relative mass of these minerals for this study differs from 434 435 previous studies. For example, our measurement shows that the illite NX sample is composed 436 of ~69 wt% illite mineral, whereas others report a larger amount of illite from 74 to 86 wt%. 437 Similarly, we observed a somewhat different content of other minerals compared to previous studies as listed in Table 2 (see also the Supplement Fig. S1). We note that the fractional 438 439 values in compositional fingerprints may deviate even within the same batch, as all three XRD measurements deviated from the manufacturer's data (Table 2). Furthermore, our XRD 440 441 result indicates that the illite NX sample contains a smaller quartz fraction (3%) than IMt1-442 illite from the Clay Mineral Society (10 to 15% quartz according to the official XRF data and 443 20% based on our own measurements).

444 To complement bulk XRD analysis, the abundances of thirteen elements (Pt, K, C, Ca, O, Fe, Mg, Al, Si, P, S, Pb and Ti), which are commonly identified in illite rich samples, were 445 measured by EDX spectroscopy on a single particle basis. Four representative EDX spectra 446 are presented in Figure 1. The presence of Fe and Mg is typical and characteristic for illite NX 447 particles. The observed large amounts of Si and Al are due to the presence of layered 448 449 aluminosilicate structures (i.e., layer of SiO_2 and Al_2O_3). The observed dominant platinum (Pt) signals in all spectra originate from the sputter coating conducted prior to EDX analyses. 450 451 Figure 1a shows the typical illite spectrum, which is similar to the one previously published in 452 Welton (1984). Illite rich minerals, which included impurities of calcite, TiO₂ and Pb-P, were 453 located by the brightness difference in the backscattered electron detector micrograph images. 454 The results are shown in Fig. 1b, c and d (inclusion of calcite, TiO₂ and Pb-P, respectively). However, the EDX technique is not automated to detect these impurities present within the 455 456 illite NX particles because of their very small weight fraction. Therefore, the possible effect of these observed impurities in illite NX upon the ice nucleation activity cannot be evaluated on 457 458 the basis of its bulk analysis of the chemical composition. Nonetheless, detection of non-illite

mineral components may reflect the complexities of natural dust particles, which typically
contain multiple sites with differing nucleation abilities. Thus, illite rich clay mineral can be
used as a reference material to mimic the ice nucleation activity of physically and chemically
complex natural dusts (*Murray et al.*, 2012).

The measured BET-SSA are 124.4 and 123.7 m² g⁻¹ with N₂ and H₂O vapor, 463 respectively, as the adsorbing gas on illite NX particle surfaces. The similar BET surface 464 areas for both N₂ and H₂O vapor gas adsorption suggest that the formation of a few 465 monolayers of H₂O does not alter the surface morphology or the mineralogical phase of illite 466 NX particles. For comparison, our measurements of θ_{N2} for illite NX particles agreed with 467 previously reported data within 20% (104.2 m² g⁻¹; *Broadley et al.*, 2012). Since illite NX 468 particles have significant internal surface area, BET-derived surface areas can be expected to 469 be larger than those derived from the laser diffraction technique. Supporting this notion, an 470 471 SEM image of an illite NX particle from *Broadley et al.* (2012) shows how micron-sized particles are made up of many nanometer-sized grains. 472

473 Normalized surface area distributions to the total surface area concentration measured by four different techniques are shown in Fig. 2. According to the manufacturer, 95% (by 474 475 mass) of the dry and mechanically de-agglomerated illite NX particles have a diameter smaller than 650 nm (i.e., D_{95}). This mass-based particle size is substantially smaller than that 476 477 of another type of Arginotec illite (Arginotec, SE-illite, $D_{95} = 5 \mu m$). Interestingly, all mass size distributions measured in this study (not shown here) indicate a substantial mass fraction 478 479 above 650 nm which is, in all cases, larger than 5% (18%, 24%, 77% and 99.9% for DLS, AIDA, MRI-DCECC and TSI-OPS for the FRIDGE immersion experiments, respectively), 480 481 indicating the presence of agglomerates in the aerosol and suspension phases prepared for the IN experiments. The surface area distribution of the DLS hydrodynamic diameter-based 482 measurement (Fig. 2a) agreed well with *in situ* measurements from the AIDA chamber (Fig. 483 484 2b), suggesting the size distributions of dry illite NX particles during AIDA experiments were similar to those of suspension measurements. This observation is consistent with results 485 486 presented in *Hiranuma et al.* (2014b). Briefly, the authors found agreement between the DLSbased hydrodynamic diameter and the AIDA-derived volume equivalent diameter of hematite 487 particles. As opposed to the AIDA observation, the wider distributions and the shift in the 488 mode diameters in the MRI-DCECC measurements towards a larger size (0.62 μ m, Fig. 2c) 489 when compared to Fig. 2a and b may indicate a higher degree of particle agglomeration as a 490 491 result of different degrees of pulverization during the particle generation processes or particle 492 coagulation at the high aerosol number concentration used for these measurements. A more

16

493 pronounced agglomeration effect was observed by the TSI-OPS measurements (Fig. 2d), such 494 that a surface area distribution of supermicron-sized particles was obtained. Thus, different 495 types of dry particle dispersion methods can contribute to varying degrees of agglomeration 496 and the observed differences in surface area distributions. Though all size segregating 497 instruments used in the present study are well calibrated, we cannot rule out the effect of 498 measurement techniques themselves on the observed differences in particle size distribution. 499 In Sect. 4.4 we discuss whether agglomeration has an effect on the IN activity.

The cation release by illite NX in the aqueous suspension was measured with IC as a function of time. The suspension was kept mechanically agitated for three weeks. The following cations were identified in the samples: K^+ , Ca^{2+} and Mg^{2+} . As seen in Fig. 3, IC data clearly demonstrates that roughly all cations were released into the aqueous environment by illite NX almost instantaneously. The concentration of the cations increased rapidly and reached equilibrium within the first 2 min after immersion of sample into water. Of all the cations measured, only Ca^{2+} exhibited a slow concentration raise on the longer time scales.

507

508 **3.2.** Immersion freezing measurements and inter-comparisons

509

510 All ice nucleation spectra with $n_{s,BET}(T)$ and $n_{s,geo}(T)$ are shown in Figs. 4 and 5, respectively. A similar figure with $n_m(T)$ is also shown in the Supplement Fig. S2. 511 512 Furthermore, we compare the n_s data from seventeen instruments to four literature results. Specifically, IN spectra reference curves of previously reported illite NX particles (Broadley 513 514 et al. 2012, hereafter B12), microcline particles (Atkinson et al., 2013, hereafter A13), ATD and desert dusts (*Niemand et al.*, 2012, hereafter N12) are also expressed as both $n_{s \text{BET}}(T)$ and 515 516 $n_{s,geo}(T)$. The conversion between $n_{s,geo}(T)$ and $n_{s,BET}(T)$ was performed according to Eqns. 3 and 4. The $n_s(T)$ (m⁻² as a function of °C) fits from the reference literature are: 517 518

519
$$n_{s,BET}^{A13} = 10^4 \times \exp(-1.038(T - 273.150) + 275.260)$$
 (5)
520 $n_{s,BET}^{B12} = 10^4 \times \exp[(6.530 \times 10^4) + ((-8.215 \times 10^2) \times (T - 273.150)) + (3.447 \times (T - 273.150)^2) + ((-4.822 \times 10^{-3}) \times (T - 273.150)^3)]$ (6)
522 $n_{s,geo}^{N12(ATD)} = \exp(-0.380T + 13.918)$ (7)
523 $n_{s,geo}^{N12(Dust)} = \exp(-0.517T + 8.934).$ (8)
524

17

- For microcline (K-feldspar), the $n_{s,\text{geo}}$ to $n_{s,\text{BET}}$ conversion was performed using a laser 525 diffraction-based surface-to-mass conversion factor of 0.89 $m^2~g^{\text{-1}}and$ an N_2 BET-SSA of 3.2 526 $m^2 g^{-1}$ (Atkinson et al., 2013). For ATD and natural dust, we used a surface-to-mass 527 conversion factor of 3.6 m² g⁻¹, assuming a monodisperse particle size at the lognormal fit 528 mode diameter of 0.64 μ m (*Niemand et al.*, 2012) and the measured N₂ BET-SSA of 34.4 m² 529 g⁻¹ (*this study*). We note that the ATD parameterization is valid only for -26.7 °C < T < -17.7 530 °C. In addition, we also present 14, 0.14 and 0.0014% scaled A13 n_s curves to see if K-531 feldspar (microcline) can be used as a scaling factor to determine the $n_s(T)$ of illite NX. 532 We do not attempt to completely discuss the immersion freezing activity of illite NX 533 particles measured by each measurement technique. Instead, brief remarks regarding each 534
- method are summarized below. The detailed discussion of the methods inter-comparisonfollows in Sect. 3.3.

3.2.1. BINARY: This recently developed microliter droplet assay technique 537 demonstrated its capability of measuring immersion freezing of clay minerals in the 538 temperature range of -15 to -24 °C. Similar to most of the other suspension-based techniques, 539 BINARY identified a steep $n_s(T)$ increase, which started just below -20 °C. The BINARY 540 541 $n_s(T)$ spectrum was derived by compiling measurements with varied illite NX mass concentrations over two orders of magnitude (0.1 to 10 mg mL⁻¹, see the Supplementary 542 Methods). Immersion freezing efficiency of illite NX particles collapsed into a single $n_s(T)$ 543 spectrum, i.e. IN efficiency does not depend on suspended particle mass for the concentration 544 545 range studied here. This observation is a check for consistency and it implies that ice nucleation is indeed triggered by suspended illite NX particles, and neither by impurities 546 547 contained in the water used for dilution nor at the glass surface supporting the droplets. If IN efficiency did depend on suspended particle mass, different $n_s(T)$ spectra would result from 548 the various illite NX concentrations, which are shifted by the respective dilution factor. 549

550 **3.2.2. CSU-IS:** This new immersion freezing device was used to investigate the freezing activity of both bulk suspension and size-segregated particles in suspension. A new 551 approach was employed for size-selected measurements, wherein 500 nm mobility diameter 552 size-selected particles were collected on a Nuclepore filter and then rinsed from it for the 553 immersion freezing measurements. The results suggest size independence of n_s within the 554 experimental uncertainties (a combination of binomial sampling error and the uncertainty of 555 conversion of aerodynamic particle diameter to mass) for the range of examined size (500 nm 556 vs. bulk) and mass concentrations of bulk illite NX powder in suspensions from 3.1×10^{-6} to 557

558 0.5 wt%, for non-size-segregated particles, and 2.2×10^{-5} to 4.4×10^{-4} wt% for size-559 segregated particles.

3.2.3. Leeds-NIPI: This suite of cold stage instruments has the capacity to operate 560 using droplets with volumes in the microliter to picoliter range. This enables high resolution 561 immersion freezing analysis for a wide range of temperatures from higher (-22 °C < T < -11562 563 °C) to lower temperatures (-37 °C < T < -26 °C). The highest freezing temperatures are 564 attained with the largest droplets, which contain the largest surface area of illite NX. Combined with the previous parameterization reported in Broadley et al. (2012), the Leeds-565 NIPI data follows the overall $n_s(T)$ spectrum defined by the bulk of the instruments. This 566 suggests that immersion freezing efficiency, inferred by $n_s(T)$, of illite NX particles is 567 dependent on neither droplet volume nor mass of illite NX particles in suspension (i.e., wt% 568 0.1 or 1%); instead the freezing efficiency only depends on the surface area per droplet. 569 Together with CSU-IS, these two instruments provided data points for temperature as high as 570 ~ -11 °C, estimating a similar lower-limit of $n_{s,BET}$ values of ~10 m⁻². 571

572 **3.2.4. M-AL and M-WT:** Both methods examine individual drops that are freely suspended without any contact with walls or substrates. In M-WT drops are floated at their 573 574 terminal velocities in a laminar air stream, in which conditions of ventilation and heat transfer are similar to those of droplets falling through the atmosphere. Both M-AL and M-WT 575 576 techniques analyzed the freezing efficiency of drops containing polydisperse illite NX particles in the temperature range between -14 and -26 °C. The n_s values agree reasonably 577 578 well with substrate-supported suspension experiments (with the exception of FRIDGE 579 experiments), implying that the surface making contact with the substrate has a negligible 580 effect on immersion freezing for our experimental conditions.

3.2.5. NC State-CS: Extensive experimental conditions were realized by NC State-CS 581 (Wright and Petters, 2013; Hader et al., 2014). Unique aspects of this instrument are the 582 583 sampling of drops within a squalene oil matrix that allows for experiments using cooling rates as slow as 0.01 K min⁻¹ and an automated freeze detection algorithm that allows rapid 584 processing of more than 1,000 drops per experiment to improve sample statistics. Drops 585 containing ~0.0001 to 1.0 wt% of the illite NX test sample were studied at a cooling rate of 1 586 K min⁻¹ to find the immersion freezing ability. A total of nine immersion mode freezing 587 experiments, spanning a range of drop volumes from ~400 picoliter to 150 nanoliter, were 588 performed. Using this instrument a wide range of temperatures was investigated (-34 $^{\circ}C < T <$ 589 -14 °C) yielding $n_s(T)$ values ranging from 10^2 to 10^{10} m⁻². The data from the nine individual 590 runs collapsed into a single $n_s(T)$ spectrum suggesting that the mass loading of dust in the 591

592 drop did not affect the measurements for the wt% values investigated. At the high *T* end (T > -593 20 °C), the data are in reasonable quantitative agreement with the CSU-IS measurements. At 594 the low *T* end (T < -20 °C), the data are in agreement with the B12 reference spectrum.

3.2.6. CU-RMCS: The University of Colorado (CU)-RMCS examined the freezing abilities of droplets containing 1.0 wt% illite NX. CU-RMCS detected the warmest immersion freezing of illite NX particles at about -23 °C under the experimental conditions used in the present work (see the Supplementary Methods for further details). Results for -32 °C < T < -23 °C are from six different experiments using four different droplet size bins: 10-20 µm, 20-60 µm, 60-120 µm, and 120-200 µm (lateral diameter). These droplet sizes correspond to a variation in droplet volume from ~0.3 picoliter to 2.5 nanoliter.

3.2.7. AIDA: The AIDA cloud simulation chamber generates atmospherically relevant 602 droplet sizes (several µm in diameter, varying with cooling rates), and therefore closely 603 604 simulates mixed-phase cloud conditions. Ice-nucleating efficiencies of both polydisperse and quasi-monodisperse illite NX particles were investigated in this study. n_s of DMA size-605 selected illite NX particles (200, 300 and 500 nm mobility diameter) agreed well with that of 606 the polydisperse population for immersion freezing experiments, within previously reported 607 uncertainties ($T \pm 0.3$ °C and $n_s \pm 35\%$; Steinke et al., 2011). Thus, a negligible size 608 dependency of n_s for 'submicron' dry illite NX particles for temperatures below -27 °C was 609 found. Previously, *Hiranuma et al* (2014a) demonstrated the size independence of the n_s value 610 using two different sizes of submicron hematite particles (200 and 1000 nm volume 611 equivalent diameter) based on AIDA deposition mode nucleation experiments. Such a 612 similarity might remain true for the immersion mode freezing of mineral dust particles that are 613 614 smaller than 1 µm diameter.

615 **3.2.8. CSU-CFDC:** This CFDC provided data for condensation/immersion freezing at around -21.2, -25.1 and -29.7 °C (a total of eight data points with two, two and four points at 616 around each temperature, respectively), which extends to a warmer region than the AIDA 617 measurements. As demonstrated in DeMott et al. (2014), higher RHw values were required for 618 full expression of immersion freezing in CSU-CFDC. The use of 105% RH_w in CSU- CFDC 619 does not capture INP activity for many natural dusts, up to a factor of three INP activities. 620 Comparably, the CSU-CFDC results agreed well with the AIDA measurements within a 621 factor of three in $n_{s,geo}$ estimation (AIDA $n_s > CSU-CFDC n_s$; *DeMott et al.*, 2014). All the 622 623 CFDC measurements were conducted with 500 nm mobility diameter size-selected particles, as discussed in the Supplementary Methods. 624

- **3.2.9. EDB:** With EDB, both the contact and immersion mode freezing efficiencies of illite NX particles were investigated. The contact nucleation mode n_s were clearly higher than the immersion mode n_s (by more than one order of magnitude in terms of $n_{s,geo}$, Fig. 5i). This was in part due to the fact that immersion freezing experiments were conducted only when illite NX particles were not frozen via contact nucleation but remained immersed in a supercooled droplet in the EDB cell (see the Supplementary Methods).
- **3.2.10. FINCH:** The immersion freezing results from FINCH showed the highest n_s 631 values in the -22 to -27 °C temperature range out of all of the other instrument results. All the 632 FINCH measurements were conducted with 500 nm mobility diameter size-selected particles. 633 Two possible reasons for high n_s values when compared to the other measurements are: 1) an 634 overestimation of n_s due to excess N_{ice} and/or underestimated S_{total} or 2) a large temperature-635 uncertainty. It is noteworthy that the total INP concentration was kept below 140 L⁻¹ in order 636 to avoid saturation limitation due to a high number of growing ice crystals (DeMott et al., 637 2011). A constant total concentration of particles continuously passing through the chamber 638 was maintained at 1.07 ± 0.17 cm⁻³ (average \pm standard deviation). 639
- 3.2.11. FRIDGE: FRIDGE data, which cover both measurements of dry and 640 641 immersed particles with the same instrument but with different sample processing, lie within the upper edge of the bulk of other n_s data points. There are a few important implications from 642 the FRIDGE results. First, on average, the measurements with dry particles in the 'default' 643 setting showed more than an order of magnitude higher n_s in comparison to the immersed 644 particles in FRIDGE experiments (both $n_{s,BET}$ and $n_{s,geo}$, Figs. 4 and 5) at -25 °C < T < -18 °C. 645 For instance, FRIDGE experiments in the pure immersion mode showed much lower n_s than 646 647 that with the default setting (i.e., combined deposition and immersion mode), but agreed with other immersion datasets. Second, a sudden increase in $n_s(T)$ was found for the measurements 648 with immersed particles at ~ -20 °C, suggesting a dominant activation around -20 °C. This 649 650 transition is a unique behavior only found with the FRIDGE's IN detecting sensitivity. A temperature shift (i.e., shifting the data ~7 °C lower) results in FRIDGE data overlapping with 651 the bulk of other data and may offset discrepancies. However, other mechanistic 652 interpretations (e.g., contribution of agglomeration) are also plausible causes of this 653 discrepancy. More detailed discussions of the role of agglomerates upon n_s and sample 654 processing are available in Sect. 4.4 and 4.5. 655 3.2.12. LACIS: With the shortest instrument residence time (~1.6 s), LACIS measured 656
- immersion mode freezing of illite NX particles for three different mobility diameters (300,
 500 and 700 nm) from -31 °C down to the homogeneous freezing temperature. Similar to

AIDA results, a size independence of n_s of submicron illite NX particles was observed within 659 defined experimental uncertainties (see the Supplementary Methods). Further, without any 660 data corrections, the results of LACIS reasonably agreed with AIDA measurements. 661 Furthermore, though there is no overlapping temperature range for LACIS and CSU-CFDC in 662 the present study, consistency between data from LACIS and CSU-CFDC for other clay 663 minerals (i.e., different kaolinite samples) has been described previously (Wex et al., 2014). 664 The results from both instruments agreed well with each other from a data evaluation based on 665 n_s , and this agreement was even improved when the different residence times in LACIS and 666 the CSU-CFDC were accounted for (i.e., when nucleation rate coefficients were compared). 667 Furthermore, a size independence of the immersion mode freezing was seen for Fluka-668 kaolinite particles with mobility diameters of 300 and 700 nm in Wex et al. (2014), and for 669 illite NX particles when comparing particles with mobility diameters of 500 nm to bulk 670

671 material (*Augustin-Bauditz et al.*, 2014).

3.2.13. MRI-DCECC: Comparison between polydisperse and size-selected (300 nm 672 673 mobility diameter) measurements in this cloud simulation chamber demonstrated the size independency of n_s for submicron illite NX particles for slightly higher temperatures (up to -674 675 21 °C) than AIDA results. Interestingly, MRI-DCECC data exhibited at least an order of magnitude higher n_s values than most other suspension measurements. We note that only 676 negligible freezing events were detected above -21 °C even with a ~9000 cm⁻³ number 677 concentration of polydisperse illite NX particles in part due to the detection limit of the welas 678 679 optical counter of $N_{\rm ice} = 0.1 \text{ cm}^{-3}$.

3.2.14. PINC: PINC provided data for immersion freezing at around -25.4, -30.2 and -680 681 34.6 °C (a total of nine data points with one, four and four points at around each temperature, respectively). The estimated n_s values are in agreement with other measurements for the test 682 range of -35 °C < T < -25 °C after applying a residence time correction of about a factor of 683 684 three. The data are for ice nucleation onto 500 and 1000 nm mobility diameter illite NX particles; therefore, an OPC threshold size of 2 µm for ice detection is used. The impactor 685 used for sampling particles into PINC was characterized for size-resolved particle losses and 686 was found to have a cutoff (D_{50}) of 725 nm mobility diameter. As such, when determining 687 $n_{s,\text{geo}}$ the particles losses (25 to 60%, see the Supplementary Methods for more details) were 688 taken into account for calculating activated fractions. We note that $n_{s,geo}$ increased after 689 adjusting the data, resulting in agreement between the data from PINC and data from LACIS, 690 AIDA and UC-RMCS in the temperature range from -25 to -35 °C. 691

3.2.15. PNNL-CIC: The IN efficiency of illite NX particles in the immersion mode in 692 the temperature range of -35 °C < T < -27 °C was observed to increase at lower temperatures. 693 Estimated n_s values were somewhat higher in this temperature range when compared to those 694 from most of the other measurements. Data were obtained at conditions where PNNL-CIC 695 696 was operated at 105% RH_w at three different temperatures. Dust particles greater than $\sim 1 \,\mu m$ 697 (50% cut size) were removed before they were size-selected and transported to the PNNL-CIC. The OPC detection threshold was set $\geq 3 \mu m$; see the Supplementary Methods for more 698 details. 699

3.2.16. IMCA-ZINC: Coupled with IMCA, ZINC showed reasonable agreement with 700 AIDA and PNNL-CIC. This reproducibility verified the performance of the IMCA-ZINC 701 combination, which was not tested during ICIS-2007 (DeMott et al., 2011), perhaps due to the 702 similarity in the experimental conditions (i.e., particle generation) to the other two methods. 703 704 We also note that the residence time in ZINC is about a factor of three longer than that in 705 PINC. The IMCA-ZINC measurements in comparison to the measurements with ZINC alone 706 (i.e., a combination of deposition nucleation, contact-, condensation-, surface condenstationand immersion freezing) is discussed in Sect. 4.5 in more detail. 707

Overall, as described above (Sects. 3.2.1 to 3.2.6), suspension experiments with cold stage devices and levitation techniques provide IN measurements under more controlled (with respect to droplet size, concentration and mass of particles) conditions and a wider temperature range (up to -11 °C) than comparable dry-dispersed particle experiments. The resulting n_s values from these suspension experiments are also independent of the total number of droplets and suspended dust particle mass.

714 The estimated n_s values of dry test particles below -25.5 °C are in reasonable agreement with a previous study (Broadley et al., 2012) at temperatures below -25 °C. 715 Furthermore, the strong temperature dependence and size independence of n_s may suggest a 716 717 uniform distribution of freezing sites over the total surface of illite NX particles in the immersion mode in this temperature range. Specifically, AIDA and MRI-DCECC have shown 718 size-independent n_s values for submicron dry-dispersed particles. Overall, compared to 719 720 suspension measurements, dry-dispersed particle measurements showed higher n_s values. For example, FINCH is the only instrument which showed higher n_s values than the 721 722 parameterization by Niemand et al. (2012) for ATD. Likewise, AIDA results indicated slightly higher n_s values than CSU-CFDC's results. The lower n_s of CSU-CFDC may be a 723 consequence of underestimation of N_{ice} , possibly due to its constrained RH_w (at 105%) and/or 724 725 the disturbance of aerosol lamina between two plates in a CFDC (DeMott et al., 2014).

3.3. Inter-comparisons based on the slope parameter of $n_s(T)$ spectra

- A compilation of seventeen n_s spectra from seventeen instruments in a temperature 728 range between -10.1 and -37.5 °C is presented in Fig. 6. For both the geometric area-based 729 730 and the BET area-based n_s , the differences among measurements can be more than one order 731 of magnitude at any given temperature. Diversity is especially pronounced for several orders of magnitude in n_s at -27 °C $\leq T \leq$ -18 °C, where the results from suspension measurements 732 and a majority of dry measurements coexist (see the investigated T range for each technique in 733 Table 1). Another notable feature of this specific temperature range in Fig. 6 is the 734 coincidence of the steepest slope in the spectrum (i.e., the absolute value of $\Delta \log(n_s)/\Delta T$ in log 735 m⁻² °C⁻¹, hereafter denoted as $\Delta \log(n_s)/\Delta T$) when compared to other temperature ranges. For 736 instance, n_s increases sharply at temperatures colder than -18 °C to be nearly parallel to the 737 738 A13 parameterization down to -27 °C, where it starts leveling off and is eventually 739 overlapping with the N12 parameterization at the low temperature segment. 740 Correspondingly, the overall trend of the spectrum is traced by the measurements from NC State-CS alone (Fig. 4e). Moreover, the slopes of the spectrum for three sub-segments (-741 34 °C < T < -27 °C, -27 °C < T < -20 °C, and -20 °C < T < -14 °C) can be calculated from 742 interpolated data and compared to N12 and A13 parameterizations. As expected, the steepest 743 slope in the spectrum (= 0.66) of the NC State-CS data was found in the -27 $^{\circ}C < T < -20 ~^{\circ}C$ 744 range, which was similar to that of the A13 parameterization (0.45 for T > -25 °C). However, 745 746 smaller slope values are found for the other two segments (0.18 for T < -27 °C and 0.29 for T > -20 °C), which are comparable to the temperature-independent N12 slopes (0.17 for ATD 747 748 and 0.22 for Dust) and the B12 slope (0.25 for -35 $^{\circ}C < T < -27 ^{\circ}C$), suggesting that a 749 dominant fraction of INP contained in our test dust becomes ice active in immersion freezing 750 at -27 °C < T < -20 °C. In addition, FRIDGE immersion mode measurements also show a 751 sharp decrease in $\Delta \log(n_s)/\Delta T$ (from 0.59 to 0.25, Figs. 4k and 5k) for the measurements with immersed particles at ~ -20 °C. Similar observations are made by most of the other suspension 752 753 measurement techniques. In short, most suspension methods capture the steepest segment of the $n_s(T)$ spectral slopes ($\Delta \log(n_s)/\Delta T$) at -27 °C < T < -20 °C, where the slope is nearly 754 parallel to the A13 parameterization. One exception is CU-RMCS (Fig. 4f). The highest 755 possible freezing temperature investigated by this experimental system was about -23 °C with 756 ~2.5 nanoliter droplets containing 1.0 wt% illite NX (see the Supplementary Methods). 757 Hence, CU-RMCS did not capture the transition in $\Delta \log(n_{s,BET})/\Delta T$ at around -20 °C, but the 758
- steep slope of the spectrum (= 0.36) validated the high density of IN active sites below -23 $^{\circ}$ C.

The error in temperature for this technique is always ± 0.5 °C, based on freezing experiments without any foreign substances in supercooled drops (i.e., homogeneous freezing experiments).

Similarly, dry-dispersed particle measurements also exhibit scattered data for their 763 764 measured temperature ranges. Both agreements and equally important disagreements were observed. First, the agreements are summarized. AIDA data show that the values of 765 $\Delta \log(n_{s,geo})/\Delta T$ (= 0.22, Fig. 5g) are identical for both polydisperse and size-selected 766 measurements, perhaps suggesting a uniform distribution of active sites over the available 767 S_{total} of illite NX in this study. Similarly, IMCA-ZINC's $\Delta \log(n_{s,\text{geo}})/\Delta T$ (= 0.24, Fig. 5p) 768 derived from 200, 400 and 800 nm mobility diameters is virtually identical to the slope 769 770 estimated from AIDA measurements. PINC estimated $\Delta \log(n_{s,geo})/\Delta T$ (= 0.26, Fig. 5n) values are in reasonable agreement with AIDA and IMCA-ZINC and N12 parameterizations at 771 772 temperatures below -25 °C. From the CSU-CFDC results, $\Delta \log(n_{s,geo})/\Delta T$ derived from interpolated data is 0.40 (Fig. 5h). Considering the AIDA and CSU-CFDC data, the $n_s(T)$ 773 774 spectrum depicts similar trends (i.e., n_s or temperature deviation around -27 °C) compared to those seen in the NC State-CS results (Fig. 5e) and is also parallel to the A13 curve (slope = 775 776 0.45) down to temperatures around -27 °C and is parallel to the N12 Dust curve (slope = 0.22) for the lower temperature segment. LACIS measurements show that $\Delta \log(n_{s,geo})/\Delta T$ (= 0.19, 777 Fig. 51) is also in agreement with that from AIDA, verifying a deteriorated freezing ability of 778 illite NX particles in the investigated temperature range. EDB was used to examine both the 779 780 contact and immersion freezing modes. Nonetheless, the slopes of the spectra for both modes (0.11 for immersion mode freezing and 0.16 for contact mode freezing, Fig. 5i) are similar to 781 782 the N12 ATD curve (slope = 0.17). From the fact that the value of $\Delta \log(n_{s,geo})/\Delta T$ of FINCH (= 0.27, Fig. 5j) above -27 °C is similar to that of the N12 dust parameterization (whereas this 783 relationship would be expected below -27 °C), we suspect that a temperature uncertainty may 784 785 be the main cause of the observed deviation of its data from others. Lastly, at -35 $^{\circ}C < T < -27$ °C, PNNL-CIC's $\Delta \log(n_{s,geo})/\Delta T$ (= 0.19, Fig. 50) agreed well with that of the N12 dust 786 787 parameterization in the same temperature range.

Next, the disagreements between dry-dispersed particle and suspension measurements are discussed. Specifically, the MRI-DCECC results show lower values of $\Delta \log(n_{s,geo})/\Delta T$ (= 0.29) up to -21 °C as compared to the suspension measurements. Additionally, in the temperature range from -29 °C < *T* < -21 °C, the MRI-DCECC data show higher values of n_s than those observed in suspension measurments. This relatively constant $\Delta \log(n_s)/\Delta T$ value along with higher n_s values through the range contrasts with the observed sharp transition in

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794 $\Delta \log(n_s)/\Delta T$ in suspension measurements. We note that MRI-DCECC experiments may have

- been carried out in the presence of a high degree of agglomeration (Fig. 2c and d). Hence,
- particle processing (i.e., drying and suspension) may not be the only factor causing this

difference and other contributions cannot be ruled out (see Sect. 4).

798To conclude, the results from suspension and dry measurements suggest evidence that

the n_s of illite NX particles derived from immersion freezing is independent of or only weakly

dependent on droplet size, mass percent of illite NX sample in suspension and droplets,

particle size of the tested illite NX and cooling rate during freezing in the range of conditions

probed; see the Supplementary Methods for more detailed information regarding experimental

803 conditions for each instrument. Overall, the sample-processing (i.e., dry vs. suspension

sample) may have an effect on the immersion freezing efficiency of illite clays. A more

detailed discussion will follow in Sect. 4 below.

4. Discussion 806

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For detailed comparison of methodologies, the immersion freezing properties of illite 808 NX particles in a wide range of temperatures is further discussed by comparing $n_s(T)$ spectra 809 810 from all seventeen instruments (Sect. 4.1). Specifically, we present T-binned average data (i.e., 1 °C bins for -37 °C < T < -11 °C). A moving average (where original data points are finer 811 than 1 °C) or a Piecewise Cubic Hermite Interpolating Polynomial function (where original data 812 points are coarser than 1 °C) was used for data interpolation. All data from the seventeen 813 814 instruments, as shown in Figs. 4 and 5, were interpolated. We also discuss potential reasons for the diversity observed from inter-comparisons of 815 dry and suspension measurement techniques. Both systematic errors (Sect. 4.2) and 816 mechanistic uncertainties (Sect. 4.3 to 4.6) are qualitatively evaluated to understand the 817

measurement uncertainties of such techniques. Some factors may introduce diversity in n_s , 818 whereas others may shift activation temperatures horizontally to match the n_s values from 819

other instruments, perhaps biasing the overall accuracy and precision of instruments. Here we 820 821 address the relative importance of those factors with respect to their effect on the estimation of 822 n_s .

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Dry vs. suspension $n_s(T)$ data 824 4.1.

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The multiple exponential distribution fits (also known as the Gumbel cumulative 826 distribution function) for T-binned data are shown in Fig. 7. The fits for T-binned maxima and 827 minima n_s from seventeen measurement techniques are presented as pink shaded areas. All 828 829 fits presented in this figure are derived using parameters shown in Table 3. As can be inferred from the table, a higher correlation coefficient (r) was found when inter-comparing the 830 suspension measurements as compared with inter-comparing the dry-dispersed methods, 831 832 suggesting reasonable agreement and consistency for the results from immersion freezing 833 studies with suspensions. Interestingly, a higher r for $n_{s,geo}$ than $n_{s,BET}$ was found for drydispersed particle measurements as compared to the suspension measurements. The use of 834 more conversion factors to estimate $n_{s,BET}$ (i.e., from Eqn. 3 and 4) may introduce 835 uncertainties and discrepancies between these measurement techniques. It is also noteworthy 836 837 that the T-binned ensemble maximum and minimum values are largely influenced by drydispersed particle and suspension results, respectively, implying the previously discusseddiscrepancy between these two techniques.

840 It is observed that the largest deviation between the maxima and minima in the 841 horizontal and vertical axes, corresponding to Hor_{Max-Min} and Ver_{Max-Min}, respectively, shown in Fig. 7, is similar for both $n_{s,BET}$ (Fig. 7a) and $n_{s,geo}$ (Fig. 7b). Nevertheless, $n_{s,BET}$ is 842 representative of measurements with suspended samples because fewer corrections and 843 assumptions are involved for its estimation when compared to that with dry-dispersed 844 particles. Hence, $n_{s,BET}$ may be a good proxy for comparing IN efficiencies of dust particles 845 from various instruments. We also report the absolute values of $\Delta \log(n_s)/\Delta T$ for four T-846 segregated segments based on T-binned Lin. Avg. (multiple exponential distribution fit to the 847 848 T-binned average data in the linear space), T-binned Max. (fit to the T-binned maxima in the linear space) and T-binned Min. (fit to the T-binned minima in the linear space) in Fig. 7 (i.e., 849 T_1 to T_4). The slopes are comparable to the slope of the A13 parameterization in the T_1 to T_3 850 segments (-11 to -27 °C), while the slope in the T_4 segment is similar to those of the N12 851 852 parameterizations. These results are consistent with the results described in Sect. 3.3. Further, $Ver_{Max-Min}$ for roughly three orders of magnitude with respect to n_s is observed in a 853 854 temperature region around ~ -20 °C for both $n_{s,BET}(T)$ and $n_{s,geo}(T)$ spectra. Such high n_s variability was expected due to the contribution from MRI-DCECC, FINCH and FRIDGE 855 measurements, which may have influenced the overall fit in that temperature range. Likewise, 856 our Hor_{Max-Min} shows that the seventeen measurements are in reasonable agreement within 7.8 857 °C (-36.8 °C, -33.0 °C, -29.0 °C (min, log fit, max)) at $n_{s,BET}$ of 5.2 x 10⁹ m⁻² and 7.5 °C (-36.7 °C, -858 32.8 °C, -29.2 °C (min, log fit, max)) at $n_{s,geo}$ of 1.5 x 10¹¹ m⁻². 859

T-binned $n_{s,BET}(T)$ and $n_{s,geo}(T)$ spectra are presented in Fig. 8a and b, respectively. In 860 this figure, panels i, ii and iii show T-binned data averaged in the linear space of all seventeen 861 instruments, all suspension type measurements, and all measurements that involved dry 862 863 particles, respectively, while panel iv shows a comparison between suspension and dryparticle measurements. We note that the data from 'EDB (contact)' and 'ZINC' (Welti et al., 864 2009) were not used for generating *T*-binned data since our focus was on immersion mode 865 freezing. We also note that the n_s results from nine IN measurement techniques provide n_s 866 data at -23 °C and -24 °C, where we find an abrupt increase in $\Delta \log(n_s)/\Delta T$ and n_s deviations. 867 Investigated *T* ranges for each instrument are listed in Table 1. 868

As described in Sect. 3.2, suspension measurements possess sensitivity at high temperatures (up to -11 °C), indicating that their ability to control the concentration or dilution of suspension over a wide range is of great advantage in detecting rare INPs. Moreover,

suspension experiments with small picoliter or nanoliter droplets allow measurements right 872 down to the homogeneous freezing limit (~ -37 °C; Koop et al., 2000). In turn, suspension 873 methods with microliter droplets may run into 'background problems' at temperatures below 874 about -20 °C to -25 °C for samples that do not contain many IN active at these temperatures, 875 because then impurities contained in the water may trigger freezing. Conversely, dry aerosol 876 877 methods lack sensitivity for detecting rare IN at high temperatures because of their low sample volume. These dry particle measurements are in general good for low temperature 878 measurements, where the number of particles nucleating ice increases and instruments have 879 higher ice detection efficiencies. For temperatures below -27 °C, our T-binned fits exhibit a 880 reasonable agreement with the suspension experiments reported by Broadley et al. (2012). 881 882 Furthermore, dry-dispersed particle measurements show higher n_s values when compared to suspension measurements above about -27 °C (Fig. 8iv). We will discuss possible 883 884 explanations for the observed diversity of data from different techniques in detail below. In addition, T-binned $n_{s,BET}(T)$ and $n_{s,geo}(T)$ spectra averaged in the log space are 885 886 presented in Fig. S3. Similarly, we also present T-binned ratios of the individual measurements to the log fit of the data [All (log), Sus (log) or Dry (log) from Table 3] across 887 the temperature range covered for all the measurement techniques (-37 $^{\circ}C < T < -11 ^{\circ}C$) in the 888 Supplement Figs. S4-S8. These figures provide inter-comparisons of the n_s deviations across 889 890 the various techniques employed in this study.

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892 4.2. Limitations of instrument types

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Groups participating in this study used different experimental setups to measure immersion freezing efficiencies of illite NX test samples. As a consequence, various experimental procedures, such as particle generation, particle size-segregation, S_{total} estimation, ice crystal detection or counting, ice crystal detection size limits for OPCs or CCDs, and particle loss at the inlet and/or in the chamber can potentially yield substantial systematic uncertainties in the estimation of n_s . Below we qualitatively discuss potential errors and limitations involved in each instrument-type (cold stage, levitator, CECC and CFDC).

Limitations of substrate-supported optical microscopy and cold stage experimental
setups may come from inhomogeneous cooling of the substrate and the surrounding media,
the effects of RH changes surrounding the drops for non-substrate-supported cold stage
setups, potential contamination during sample preparation and measurements (e.g., particle

processing in a solvent) and/or uncontrollable heat transfer between the cold plate surface and
the particle substrate (e.g., FRIDGE).

907 Levitator techniques require extensive pre-characterization of physico-chemical
908 properties. Furthermore, since the overall system characterization is more complex and labor
909 intensive, only specific subsets (i.e., suspended samples or reference particles) can be
910 examined using this method.

The development of AIDA-CECC allows the simulation of atmospherically 911 representative cloud parcel formation and evolution (Möhler et al., 2003). Therefore, it is an 912 advantage of CECC that the parameterization derived from its experiments can be most 913 914 readily extended to atmospheric conditions (Niemand et al., 2012). Development of large (up to 84 m³, i.e., AIDA) and/or temperature-controlled dynamic cloud simulation chambers (e.g., 915 MRI-DCECC; Tajiri et al., 2013, a design which follows from DeMott and Rogers, 1990) 916 917 enabled the exploration of heterogeneous ice nucleation properties of typical particulate samples in a wide range of particle concentrations, temperatures (-100 $^{\circ}C < T < 0 ^{\circ}C$), cooling 918 919 rates and nucleation times. However, the utilization of such an instrument to correctly measure the totality of INPs with a reasonable detection sensitivity ($<0.1 L^{-1}$), both in the lab 920 921 and field settings, has not yet been realized due to CECC's limitations. These limitations include ice losses by settling (e.g., DeMott and Rogers, 1990) over the relatively long 922 expansion periods in the confined vessel and internal turbulence during the expansion leading 923 to heterogeneously supersaturated water vapor and temperature fields. These artifacts can bias 924 925 IN measurements.

926 CFDCs are the most widely used technique to measure INPs in the atmosphere, but 927 their inability to quantify INPs at high temperatures is an issue that exists due to the physical principals of operation, the limited sample volume (typically 1 to 2 L min⁻¹) and background 928 929 frost formation in the chamber over periods of operation. Based on the operational equations 930 in Rogers (1988), the warmest operating temperature of a CFDC is approximately -6.5 °C, controlled by the fact that the warmest wall cannot exceed 0 °C. Low sample volumes 931 necessitate integration over longer sample periods and result in a general lower detection limit 932 of 0.2 L⁻¹ of sampled air, absent any particle pre-concentration (*Prenni et al.*, 2009). 933 According to Tobo et al. (2013), the highest temperature that can be achieved in a CFDC is -9 934 °C. Above this threshold, temperature and ice saturation conditions cannot be maintained in 935 the chamber. Rogers et al. (2001) and other papers since have identified measurement issues 936 due to frost emanating from the walls of the chamber when the dew point temperature of the 937 938 sample air is not effectively controlled, although this appears to be an operational issue that

can be mitigated if monitored properly, and will be most obtrusive for atmospheric samplingscenarios.

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942 **4.3.** Stochastic nature of freezing and time dependence

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The longstanding discussion of the stochastic theory (i.e., the freezing process is time-944 dependent) vs. the deterministic approximation (i.e., freezing occurs at specific temperature 945 and humidity conditions) of heterogeneous freezing has introduced another complication 946 947 towards complete understanding of heterogeneous ice nucleation in the atmosphere (Vali, 948 2014). Many studies have attempted to characterize ice nucleation based on the classical nucleation theory (CNT), which incorporates a nucleation rate (Murray et al., 2012; 949 950 Kashchiev, 2000; Mullin, 2001). In this treatment, the ice nucleation process is always of a 951 stochastic nature (i.e., time-dependent; Bigg, 1953; Vali, 1994; Vali, 2014). According to the 952 nucleation rate approach, the heterogeneous ice nucleation rate is strongly sensitive to INP 953 size and the kinetic activation energy of the ice embryo on the nucleating site/surface at a 954 specific temperature (Khvorostyanov and Curry, 2000; Fletcher, 1962). A few variants of the CNT-based approaches have been developed over the past few decades. These approaches 955 assume uniform surface characteristics and only one ice nucleation probability (i.e., a single 956 contact angle), nominally categorized as the single component nucleation rate approach (e.g., 957 *Bigg*, 1953). Several recent studies have applied a probability density function (PDF) of 958 959 contact angles and active sites over the INP surface in CNT, or in other words described a 960 distribution of nucleation efficiencies, bridging the gap between the stochastic theory and the deterministic treatment (Marcolli et al., 2007; Lüönd et al., 2010; Kulkarni et al., 2012; 961 962 Niedemeier et al., 2011; Wright and Petters., 2013; Broadley et al., 2012).

The deterministic or time-independent singular approximation has been developed as 963 an alternative option to quantitatively understand atmospheric ice nucleation. The concept was 964 965 first developed by Levine (1950), while the term "active sites" per surface area was introduced by Fletcher (1969). More recently, Connolly et al. (2009) introduced the n_s density 966 967 parameterization (see Sect. 2.4). This specific approach neglects the time dependence of 968 freezing, and assumes that a characteristic condition (e.g., temperature) must be met to 969 nucleate ice. The semi-deterministic forms of the singular approach have a cooling rate dependence incorporated (Vali, 2008; Herbert et al., 2014). Predicting ice nucleation from a 970 971 singular perspective does not require a vast knowledge of particle-specific parameters (e.g., surface composition, structures, surface tension and solubility) that are particular to each ice 972

973 nucleus and, therefore, enables ice nucleation parameterization to be relatively simple and
974 efficient compared to the CNT-based approaches (*Murray et al.*, 2011).

The assumption that the time dependence of the freezing of droplets is of secondary 975 importance when compared to temperature dependence is supported by a recent modeling 976 977 sensitivity study that shows that common INPs are substantially more sensitive to temperature 978 than to time (Ervens and Feingold, 2013). Furthermore, while Broadley et al. (2012) shows 979 that freezing by illite NX is time-dependent through isothermal experiments, the shift in freezing temperature on changing cooling rates by an order of magnitude is less than 0.6 °C, 980 which is within the experimental uncertainty. A similar observation of weak time dependence 981 of immersion freezing for various types of suspended samples, inferred by comparing the 982 results with varied cooling rates from 0.01 °C min⁻¹ to 1 °C min⁻¹, is reported by Wright et al. 983 (2013). 984

In the context of dry-dispersed measurements, the sensitivity of the ice nucleation to a 985 possible time dependence, and the respective influence on n_s , was examined to further discern 986 987 its importance and uncertainty. Specifically, a contact angle distribution was fitted to the LACIS measurements and was used, together with the soccer ball model (SBM; Niedermeier 988 989 et al., 2011 and 2014), to simulate frozen fractions for different residence times varying over four orders of magnitude (i.e., 1, 10, 100 and 1000 s residence time). These frozen fractions 990 were then used to calculate n_s , shown as lines in Fig. 9. More specifically, frozen fractions for 991 500 nm diameter illite NX particles were calculated based on SBM to obtain $n_s(T)$ spectra. To 992 993 accomplish this, a contact angle distribution was used which was derived based on LACIS 994 data for the illite NX particles as shown in this work, resulting in values of 1.90 rad for the 995 mean and 0.27 rad for the width of the contact angle distribution. Frozen fractions were obtained for ice nucleation residence times of 1, 10, 100 and 1000 s. An increase in the 996 997 residence time by a factor of 10 resulted in a shift of approximately 1 °C towards higher 998 freezing temperatures. This is similar to the results found in a previous study by Welti et al. (2012) for measurements of kaolinite rich clay minerals. Indeed, $n_{s,geo}$ data obtained from 999 AIDA agree within the measurement uncertainty with LACIS data without accounting for 1000 1001 time dependence. These results suggest that time dependence of immersion freezing for illite NX particles can be neglected as a factor in the comparisons shown in Figs. 4, 5 and 6. They 1002 also imply that the immersion freezing nature of illite NX is only slightly dependent on 1003 1004 cooling rate across a wider range of temperatures (as compared to a -26 °C to -37 °C range as shown in *Broadley et al.*, 2012), regardless of the sample preparation process. 1005

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4.4. Potential effect of agglomerates

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As seen in the particle surface area distributions (Fig. 2) and agglomerated-fractions 1009 1010 based on a relative comparison to D_{95} , aggregates are rather persistent and dominant for most 1011 of the dry-dispersed particle measurements. Since dry aggregates can have large 1012 'supermicron' sizes, they may have different IN propensities and efficiencies (Wheeler et al., 1013 2014) as compared to the smaller sizes investigated in the present study (i.e., up to 1000 nm from PINC). Further, the degree of agglomeration may conceivably affect the surface area 1014 exposed to liquid water when suspended in supercooled droplets. Hence, an overall 1015 quantification of the effect of agglomerates is difficult. Moreover, the degree of 1016 1017 agglomeration seems to vary from experiment to experiment, introducing diversity on the estimation of S_{total} of particles and n_s for dry-dispersed particle measurements. For instance, a 1018 combination of several methods for particle dispersion and subsequent particle size selection 1019 was employed for particle generation from illite NX samples. Further, most of the dry 1020 1021 dispersion techniques used upstream impactors to filter out large agglomerated particles and avoid counting these large particles as INPs. The different types of dispersion methods, 1022 1023 impactors and size segregating instruments used in the present work are listed in the 1024 Supplement Table S1. These different aerosol generation processes may have caused different degrees of agglomeration. This may in part explain why n_s measurements obtained using dry 1025 dispersion techniques deviated from those using suspension measurements. Further 1026 1027 quantification of the influences of different methods for particle dispersion, size-segregation and particle impaction/filtration on the estimation of S_{total} and n_s is an important topic for 1028 1029 future works.

1030 In contrast, in suspension experiments, illite NX samples were directly suspended in water. Despite no pre-treatments (e.g., pre-impaction or size segregation), suspended particles 1031 1032 appeared adequately de-agglomerated (Fig. 2a). Though the number of immersed particles can vary from droplet to droplet and the random placement of particles in the drop may have an 1033 effect on the n_s values, the n_s spectra from suspension measurements are in reasonable 1034 agreement with slight deviations even over a wide range of wt% of illite NX samples (the 1035 Supplement Figs. 6, 8, S4-S8). Thus, the influence of the random placement of particles in the 1036 drop and agglomeration on the n_s estimation for suspension measurements seems small. To 1037 support this, Wright and Petters (2013) and Hader et al. (2014) simulated the role of a 1038 1039 statistical distribution in drops. The authors demonstrated that the random component due to 1040 drop placement seemed to be small relative to the statistical variation due to nucleation

1041 probability. Hence, assuming the degree of agglomeration or flocculation is similar in all 1042 suspension samples, the degree of agglomeration and the random placement of particles in the 1043 drop may lead to less pronounced deviations in n_s when compared to dry-dispersed 1044 measurements.

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5 4.5. Nucleation mode dependence

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While all suspension methods only measured immersion mode freezing of the illite 1048 NX particles, a contribution of other nucleation or freezing modes cannot be ruled out for dry-1049 1050 dispersed particle measurements. Hence, we now discuss inferences in the present experiments regarding the mode dependency of the ice nucleation ability of illite NX 1051 1052 particles. Figure 10a and b show the comparison of n_s derived from the two different operation types of FRIDGE measurements. For instance, 'default mode' considers deposition 1053 1054 mode nucleation and immersion mode freezing of dry particles in which RH_w is scanned upwards and 'imm.mode' counts immersion freezing of suspended particles in which the 1055 1056 particles are first washed into droplets and then placed on the substrate. With these two different operational modes, FRIDGE investigated the ice nucleation ability of both dry and 1057 droplet suspended particles deposited on a substrate (see the Supplementary Methods). 1058 FRIDGE scans RH_{ice} and RH_w (low to high) at a constant temperature. During such scans an 1059 abrupt increase in an activated ice fraction near water saturation as well as the highest N_{ice} is 1060 typically observed. We consider ice crystals formed at the highest RH_w (near 100% RH_w) as a 1061 1062 measure of immersion N_{ice} from dry-dispersed particle measurements in this study. Some default runs of FRIDGE show much higher $n_{s,BET}$ values compared to the immersion mode 1063 1064 runs. This difference may be a consequence of the different IN efficiencies of nucleation modes (deposition + immersion vs. immersion alone) in the examined temperature range (-25 1065 $^{\circ}C < T < -18 ^{\circ}C$), the different sample preparation processes (dry or suspended sample), 1066 1067 effects of agglomeration or a combination of the three. We note that a major difference between the two measurement setups is the pressure within the instrument. For instance, 1068 1069 default conditions involve processing at a few hPa of water vapor while the immersion 1070 measurements are conducted at atmospheric pressure. In addition, corrective post-analysis of 1071 droplet/ice separation was taken into account in this study, so that errors from counting large droplets as ice crystals were successfully removed. Interestingly, our comparison suggests that 1072 1073 n_s values derived from the FRIDGE default mode seem similar to those from MRI-DCECC, in which experiments were carried out with a high degree of particle agglomeration (Fig. 2c). 1074

Some other variations on applied methods suggest nucleation mode effects on the IN 1075 efficiency of illite NX particles at lower temperatures (Fig. 10c and d). For instance, the 1076 comparison between ZINC and IMCA-ZINC show about an order of magnitude diversity in 1077 $n_{s,BET}$ beyond experimental uncertainties at -33 °C, suggesting a mode-dependent IN 1078 1079 efficiency of clay minerals at this temperature. This observation is consistent with a statement that the immersion freezing parameterization from CNT may not reliably predict the activated 1080 fraction observed at $RH_w > 100\%$ as observed from condensation freezing (*Welti et al.*, 2014). 1081 However, this is in contrast to observations indicated by PNNL-CIC below -25 °C and to 1082 1083 results presented in Wex et al. (2014), where $n_{s,geo}$ obtained from kaolinite measurements made with LACIS and the CSU-CFDC (at $104\% > RH_w > 106\%$ for the latter) agreed well. 1084 1085 When a freezing point depression is taken into account, even data obtained with the CSU-1086 CFDC for water-vapor-sub-saturated conditions is in agreement with data obtained from both 1087 LACIS and CSU-CFDC at water-vapor super-saturated conditions. Concerning data presented here, PNNL-CIC and IMCA-ZINC measure condensation/immersion and purely immersion 1088 1089 mode freezing efficiency of particles, respectively, and are in reasonable agreement within experimental uncertainties (Fig. 10c and d). Thus, the observed inconsistencies between 1090 1091 methods should be subject to further methodological improvements to provide accurate data 1092 as a basis for model parameterization. Similar heterogeneous ice nucleation mode-dependent observations were made by our EDB experiments. We observed that n_s values derived from 1093 contact freezing experiments were higher than those derived from immersion experiments 1094 1095 (Fig. 10c and d). As described in the Supplementary Methods, immersion mode experiments were performed for the droplets, which were not activated via contact freezing. 1096 1097

4.6. Effect of mineralogical properties: which component of illite NX nucleates ice? 1098 1099

Atkinson et al. (2013) suggested that the mass fraction of K-feldspar in a sample can 1100 1101 be used as a scaling factor to estimate the n_s values of other K-feldspar containing dust and soil samples. O'Sullivan et al. (2014) showed that this scaling rule could be used as an 1102 1103 approximate predictor for the n_s of soil samples once the biological ice-nucleating particles 1104 were deactivated. However, inspection of Fig. 6 reveals that the line based on 14% feldspar 1105 (assuming all microcline) significantly over predicts the n_s values for illite NX. There are a 1106 number of reasons why this might be.

1107 The K-feldspar sample used by Atkinson et al. (2013) was the British Chemical Standard Chemical Reference Material (BCS-CRM) number 376/1 and X-ray diffraction 1108

analysis shows that the crystal structure is consistent with that of microcline. Microcline is 1109 one possible form of a K-feldspar and, as discussed above, other feldspars are sanidine and 1110 orthoclase, which have distinct crystal structures. The ice nucleation abilities of sanidine and 1111 orthoclase are not yet published, but given they have different crystal structures they may 1112 1113 have different nucleating abilities. Unfortunately, the X-ray diffraction analysis of illite NX is unable to identify the K-feldspar(s) present in illite NX, although the minerological analysis 1114 conducted as part of this study concluded that there was no detectable microcline in illite NX. 1115 Hence, one explanation for the K-feldspar scaling rule not working for illite NX is that there is 1116 only a trace of the strongly ice active microcline present in illite NX. For suspension 1117 measurements, only the 0.0014% microcline parameterization reproduces the slope and 1118 magnitude of the illite NX data in Fig. 6, but this quantity of microcline is well below the 1119 detection limit of the X-ray diffraction technique. Perhaps, in the case of illite NX, it may not 1120 be the feldspar which triggers nucleation, but instead it could be another mineral present in 1121 this sample. For example, Atkinson et al. (2013) found that a quartz sample nucleated ice 1122 1123 more efficiently than the clay minerals, but less efficiently than the feldspar samples they used. At about -28 °C, they reported an n_s of ~10¹⁰ m⁻². The X-ray analysis in this study 1124 revealed the presence of 3% quartz, hence we would predict an n_s of 3×10^8 m⁻², which is 1125 consistent with the illite NX data. Finally, an alternative explanation is that the surfaces of K-1126 feldspars are chemically altered in illite NX. The surfaces of feldspars are known to transform 1127 to an amorphous silicate which can then recrystallize as a clay if exposed to an acidic 1128 1129 environment. Wex et al. (2014) suggested that it was the acid processing of K-feldspar which deactivated Fluka-kaolinite. It is feasible that the surfaces of feldspar grains in illite NX have 1130 at some point become deactivated. More quantitative investigations of the acid processing of 1131 1132 both reference and atmospherically relevant materials and its influence on their immersion mode ice nucleation efficiencies are needed. 1133

1134 Recently, re-partitioning of soluble components of both swelling and non-swelling 1135 clay minerals and their effect on cloud condensation nucleation activity was reported (*Sullivan* 1136 *et al.*, 2010; *Kumar et al.*, 2011; *Garimella et al.*, 2014). To address a potential importance of 1137 this effect on the ice-nucleating activity of illite NX in the wet dispersion experiments, we 1138 have measured the concentration of cations released by the illite NX sample placed into 1139 deionized water as a function of time, as described in Sect. 3.1 (i.e., Fig. 3).

1140 It is instructive to compare the quantity of cations released by illite NX into an 1141 aqueous environment with the value of the Cation Exchange Capacity (CEC) for illite, which 1142 is known to be 25 to 40 cmol kg⁻¹(*Meunier and Velde*, 2004). CEC is defined as the amount of

cations retained by all the negative charges in 100g of clay immersed in water at pH7 (e.g., 1143 see Meunier, 2005). Per this definition, CEC describes the total quantity of exchangeable 1144 cations, including interlayer cations which are in fact not accessible for substitution in non-1145 swelling clays. The molar fraction of external cations, located on the basal planes of the 1146 1147 crystals and on the crystal edges is roughly evaluated for illites as 20% of the total CEC, yielding 5 to 8 cmol kg⁻¹ (*Wilson*, 2013). Remarkably, the total amount of all cations (K^+ , 1148 Mg^{2+} and Ca^{2+}) released within the first hour by illite NX, if recalculated with account for 1149 cation valence and for the actual mass of illite in the aqueous suspension (0.1 g), gives the 1150 number 7.5 cmol kg⁻¹, which corresponds nicely with the upper bound of the external CEC (8 1151 cmol kg⁻¹). Furthermore, *Grim* (1953) has shown that the CEC of illite increases with 1152 decreasing size of the clay particle size, with the upper bound (~40 cmol kg⁻¹) being 1153 characteristic for illite with a particle size below 100 nm. This is again consistent with the 1154 1155 very small size of particles in illite NX.

These findings have two potential implications for the measurements of illite NX ice-1156 1157 nucleating efficiency obtained with different instruments. First, in the methods where dry illite NX particles are activated to droplets prior to cooling, the concentration of cations released 1158 1159 into the water surrounding the particles is still far from the equilibrium and is a function of the residence time (e.g., ~2-3 s for LACIS, ~4 s for PINC, ~12 s for PNNL-CIC, and over the 1160 range of several tens of seconds to a few minutes for AIDA depending on initial chamber T1161 and RH). At the same time, the amount of external cations retained on the surface of illite 1162 1163 particles determines the charge properties, such as charge distribution landscape and zero charge point. A potential importance of the surface charge of hematite particles for their IN 1164 activity was suggested recently in *Hiranuma et al.* (2014b). These considerations, however 1165 speculative, might shed some light on the observed scattering of experimentally measured 1166 values of n_s . Second, for the freezing measurements where the illite rich sample was 1167 1168 suspended in water prior to cooling, all accessible external cations were already released into the aqueous environment. In these cases the concentration of cations in the droplets is a 1169 function of mass concentration of illite in suspension. To access high freezing temperatures, 1170 high concentrations of illite are needed in the droplet assay techniques, resulting in the 1171 possibility that not all cations are released into solution due to the inhibition of the ion 1172 exchange process. Again, this would change the surface charge distribution and potentially 1173 affect the ice-nucleating efficiency of illite particles. If wet particle generation (dispersion of 1174 aqueous suspension by means of a pressurized air atomizer) is used, the redistribution of 1175 1176 cations between suspended particles may be an issue, as suggested by *Garimella et al.* (2014)

- 1177 for the case of CCN experiments. Further studies of samples without modification or ageing
- after dry dispersion or wet suspension are needed to get a better idea of the method inter-
- 1179 comparison.

5.

Conclusion

1181

1182 The framework of the present work is designed to advance the existing state of 1183 knowledge regarding IN measurement techniques. After ICIS-2007, there has been an 1184 increase in new instrument development, especially off-line, substrate-supported cold stage 1185 techniques, and modifications of existing online techniques. Concepts to formulate area-1186 scaled IN efficiency with n_s parameters have also since been introduced to the community. 1187 These improvements are comprehensively evaluated in this work.

1188 The partners of the INUIT group and external partners have for the first time identified 1189 and shared a reference mineral dust sample (illite NX) in order to obtain a comprehensive dataset for evaluating immersion freezing properties of atmospherically relevant particles 1190 1191 across a wide range of particle concentrations, temperatures, cooling rates and nucleation 1192 times. Illite NX samples were extensively characterized for their physico-chemical properties 1193 before they were distributed to INUIT partners and collaborators. Both bulk and single particle elemental composition analyses were conducted by XRD and EDX analyses, 1194 1195 respectively.

A total of seventeen IN measurement techniques were inter-compared based on their 1196 immersion freezing measurements. Our inter-comparison exercise provided unique results that 1197 1198 would not have been achieved by individual investigators in isolation. Both consistencies and discrepancies among the instruments have been identified. Our results suggest that the immersion 1199 freezing efficiency (i.e., n_s) of illite rich clay minerals is relatively independent of droplet size, 1200 mass percent of illite NX sample in droplets for the methods examining suspensions, physical 1201 size of illite NX particles for the methods examining dry-dispersed particles and cooling rate 1202 during freezing within typical experimental uncertainties, verifying the premise of the n_s 1203 concept (i.e., size independency for submicron illite NX particles, strong temperature 1204 dependency and weak time dependency of immersion freezing for illite rich clay mineral 1205 1206 particles).

Furthermore, comparisons of the suspension subsets against the dry-dispersed particle techniques were performed. Dry samples alone showed higher n_s values compared to the presuspended samples above -27 °C. A possible explanation for this deviation (i.e., n_s from drydispersed methods > n_s from suspension methods) may be the surface modification of the illite NX particles (e.g., due to ion dissolution effects in the aqueous suspension). 1212 Comparisons of the absolute values of $\Delta \log(n_s)/\Delta T$ as an ice activation parameter 1213 suggest that the predominant freezing sites of illite NX particles exist in a temperature range 1214 between -20 °C and -27 °C for suspension experiments. In comparison to previous 1215 measurements, our synergetic work, which covers a wide temperature range, shows a similar 1216 result to the Broadley parameterization (B12), and our overall fit for the low temperature 1217 region below -27 °C also agrees with the Niemand parameterization (N12).

Overall accuracy and precision of the IN measurement techniques was examined by 1218 evaluating T-binned (i.e., 1 °C bins) $n_s(T)$ data derived from all seventeen instruments for the 1219 temperature range from -11 °C to -37 °C. Our analysis revealed that discrepancies among 1220 measurements were within about 8 °C in terms of temperature and up to three orders of 1221 1222 magnitude with respect to n_s . This diversity is much larger than the individual uncertainties of 1223 each instrument, suggesting that all instruments may be reasonably precise but it is still 1224 difficult to find overall accuracy of current IN measurement techniques, at least while using illite NX as the standard and allowing partners to investigate it independently. In addition, two 1225 1226 different n_s metrics, $n_{s,\text{geo}}$ and $n_{s,\text{BET}}$, were compared, and we found that $n_{s,\text{BET}}$ is a better proxy for suspension-based IN measurements, while $n_{s,geo}$ is better for dry-dispersed particle 1227 1228 measurements.

1229 Other than the inter-comparison aspects described above, several important implications were inferred from our study and enhanced our basic knowledge of immersion 1230 freezing. First, the existence of only a comparably small contribution of time dependence to 1231 1232 the inter-comparison was reconciled by the SBM simulation. Specifically, a change of the residence time, from 1 to 10 s, shifts n_s values towards higher temperatures by only about 1 1233 1234 °C. Second, several nucleation modes and their contribution to nucleation efficiency were also 1235 evaluated. A comparison among EDB, ZINC and IMCA-ZINC below -25 °C implied some mode dependencies. Likewise, a mode dependency was also pronounced based on FRIDGE 1236 1237 results at temperatures above -25 °C. Third, immersion freezing experiments were performed with both polydisperse and size-selected illite NX particles for the AIDA-CECC, MRI-1238 DCECC and CSU-IS measurements, and size independence of n_s for immersion freezing of 1239 submicron illite NX particles (DMA size-selected 200, 300 and 500 nm diameter) was also 1240 demonstrated. Finally, our observations show that temperature is the major variable 1241 influencing the immersion freezing of illite NX particles, as the n_s values in general increase 1242 while temperature decreases. In addition, our results of n_s and absolute values of $\Delta \log(n_s)/\Delta T$ 1243 distributions across a wide range of temperatures imply that clay minerals may contain 1244 1245 various freezing activation energies, and the immersion freezing nature of clay minerals (e.g.,

illite NX) in a wide range of temperatures cannot be fitted by simple exponential functions but
are governed by a hybrid of multi-exponential functions (a combination of scaled A13 and
N12 parameterizations).

Though we shared identical test samples with each other, it is still difficult to compare 1249 1250 n_s results because sample preparation techniques and measurement methods (e.g., particle 1251 dispersion and size distribution characterization) differ from group to group, which can result in different degrees of agglomeration or different nucleation modes. Therefore, a continued 1252 investigation to obtain further insights into consistencies or diversity of IN measurement 1253 techniques from an experimental perspective is important to explore freezing conditions for 1254 specific compositions and more atmospherically relevant particles (e.g., soil dusts and long 1255 range transported weathered dusts). In parallel, an empirically constrained model including 1256 parameterizations of immersion freezing that correctly and efficiently represent particle-1257 1258 specific experimental data is also in high demand for overall predictions of current and future climate. We demonstrated that the n_s formulation offers a simplified expression for 1259 1260 quantitatively parameterizing immersion freezing. Further developments of more simplified (efficient but accurate) descriptions, constrained by more accurate IN counting techniques, of 1261 1262 governing atmospheric IN processes are needed.

Acknowledgements

Part of this work is funded by Deutsche Forschungsgemeinschaft (DFG) under 1265 contracts BU 1432/4-1, DI 1539/1-1, KO2944/2-1, MO668/4-1 and WE 4722/1-1 within 1266 1267 Research Unit FOR 1525 (INUIT). The authors acknowledge partial financial support by Deutsche Forschungsgemeinschaft and Open Access Publishing Fund of Karlsruhe Institute of 1268 1269 Technology. The authors gratefully acknowledge skillful and continuous support from their technical teams. G. Kulkarni acknowledges support from the Department of Energy (DOE) 1270 1271 Atmospheric System Research Program and thanks J. Fast for useful discussion. Battelle Memorial Institute operates the Pacific Northwest National Laboratory for DOE under 1272 contract DE-AC05-76RLO 1830. Z. A. Kanji acknowledges funding from Swiss National 1273 Funds. P. J. DeMott and T. Hill were funded by NSF grant award number AGS-1358495. M. 1274 A. Tolbert and G. P. Schill were funded by NSF Grant AGS 1048536. The MRI-DCECC 1275 work was partly funded by JSPS KAKENHI Grant Numbers 23244095. T. P. Wright, J. D. 1276 Hader, and M. D. Petters were funded by NSF Grant AGS 1010851. B. J. Murray, D. 1277 1278 O'Sullivan and T. F. Whale acknowledge the Natural Environment Research Council (NE/K004417/1; NE/I020059/1; NE/I013466/1; NE/I019057/1) and The European Research 1279 Council (240449 – ICE) for funding. D. Niedermeier acknowledges financial support from the 1280 Alexander von Humboldt-foundation, Germany. 1281

1282 T. C. J. Hill would like to thank E. Levin and C. McCluskey for generation of sizeselected particles. K. Diehl would like to thank S. K. Mitra for technical support on M-AL and 1283 1284 M-WT and fruitful discussions. A. Kiselev and A. Peckhaus acknowledge O. Dombrowski for her support in IC measurements. N. Hiranuma thanks C. Anquetil-Deck for useful discussion. 1285 1286 N. Hiranuma and G. Kulkarni thank T. Kisely and R. Ahmad for the N₂ BET and H₂O BET 1287 measurements, respectively. M. Ebert acknowledges R. Petschik for the additional high 1288 precision XRD measurements. N. Hiranuma and O. Möhler gratefully acknowledge technical support from M. Koyro and F. Schwartz for setting up the database for storing and updating 1289 the INUIT laboratory results. N. Hiranuma also thanks the AIDA technical team, including R. 1290 Buschbacher, T. Chudy, O. Dombrowski, E. Kranz, G. Scheurig and S. Vogt, for their 1291 1292 professional support for the chamber maintenance and operation.

1293 Author contributions

J. Curtius and O. Möhler proposed the framework of this collaborative multi-1295 institutional laboratory work. The overall manuscript, coordinated and led by N. Hiranuma, 1296 1297 was a collaborative effort of the partners of the INUIT group and external partners. C. Budke and T. Koop designed and conducted the BINARY experiments, analyzed the data, and 1298 1299 contributed the BINARY text. T. C. J. Hill carried out the CSU-IS measurements, analyzed the data, and contributed to the CSU-IS text. B. J. Murray, D. O'Sullivan and T. F. Whale 1300 1301 performed the Leeds-NIPI experiments, analyzed the data, and contributed to the Leeds-NIPI text. K. Diehl performed the experiments and data analysis of M-AL and W-WT, and K. Diehl 1302 also contributed to their method summary text. J. D. Hader performed the NC State-CS 1303 experiments and analyzed the data, T. P. Wright contributed the analysis software, M. D. 1304 Petters designed the experiments, and J. D. Hader and M. D. Petters contributed to the NC 1305 State-CS text. G. P. Schill and M. A. Tolbert conducted the CU-RMCS experiments, analyzed 1306 the data, and contributed to the CU-RMCS text. N. Hiranuma and O. Möhler conceived the 1307 1308 AIDA experiments, analyzed and discussed the results and contributed to the AIDA text. P. J. 1309 DeMott, E. J. T. Levin and C. S. McCluskey performed CSU-CFDC experiments, analyzed the data, and contributed to the CSU-CFDC text. N. Hoffmann and A. Kiselev carried out the 1310 1311 EDB measurements with input on experimental techniques from T. Leisner and SEM 1312 measurements and contributed to the associated data analysis and text. Björn Nillius and Fabian Frank performed the FINCH experiments and analyzed the data, and D. Rose 1313 1314 contributed to the FINCH uncertainty analysis and method summary text. A. Danielczok and 1315 H. Bingemer conducted the FRIDGE experiments, analyzed the data, and contributed to the FRIDGE text. S. Augustin-Bauditz did the LACIS experiments, D. Niedermeier derived 1316 contact angle distributions with SBM, and H.Wex performed SBM calculations and 1317 contributed to the LACIS text. M. Murakami, K. Yamashita, T. Tajiri and A. Saito designed 1318 and performed the MRI-DCECC experiments with assistance and contributions from N. 1319 Hiranuma and O. Möhler, K. Yamashita and N. Hiranuma analyzed the MRI-DCECC data, 1320 and K. Yamashita contributed to the method summary text. Z.A. Kanji conducted the PINC 1321 1322 experiments, Y. Boose analyzed the data, Y. Boose and Z.A. Kanji interpreted and discussed the PINC data, and contributed to the PINC text. G. Kulkarni carried out the PNNL-CIC 1323 1324 measurements, analyzed the data, and contributed to the PNNL-CIC text. A. Welti performed 1325 the IMCA-ZINC experiments, analyzed the data, and A. Welti and Z. A. Kanji contributed to

- the supplementary text. XRD measurements and analysis of illite NX were conducted by M.
- 1327 Ebert, K. Kandler and S. Weinbruch, and M. Ebert contributed the XRD text. IC
- 1328 measurements and analysis were carried out by A. Peckhaus and A. Kiselev, and A. Kiselev
- 1329 contributed to the IC text. DLS measurements and analysis were performed by K.
- 1330 Dreischmeier, and K. Dreischmeier also contributed to the DLS text. N. Hiranuma interpreted
- and analyzed the compiled data and wrote the paper. A. Kiselev and B. J. Murray co-wrote
- 1332 Sect. 4.6 with N. Hiranuma. All authors discussed the results and contributed to the final
- 1333 version of the manuscript.

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Tables and figures of "A comprehensive laboratory study on the immersion freezing behavior of illite NX particles: a comparison of seventeen ice nucleation measurement techniques"

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Citation:

N. Hiranuma, et al. A comprehensive laboratory study on the immersion freezing behavior of illite NX particles: a comparison of seventeen ice nucleation measurement techniques, for Atmospheric Chemistry and Physics – Special Issue: Results from the ice nuclei research unit (INUIT).

ID	Instrument	Description	Portable ?	Reference	Investigable T range	Ice detected <i>T</i> range for this study	
1	BINARY [*]	Cold stage-supported droplet assay	No	Budke and Koop., 2014	$-25 \ ^{\circ}C < T < \sim 0 \ ^{\circ}C$	-24 °C < <i>T</i> < -15 °C	
2	CSU-IS	Immersion mode ice spectrometer	Yes	Hill et al., 2014	$-30 ^{\circ}\mathrm{C} < T < \sim 0 ^{\circ}\mathrm{C}$	poly: $-25 \text{ °C} < T < -11 \text{ °C}$ mono: $-26 \text{ °C} < T < -20 \text{ °C}$	
3	Leeds-NIPI	Nucleation by immersed particles instrument	No	O'Sullivan et al., 2014	-36 °C < T < ~0 °C	$-21 ^{\circ}\text{C} < T < -11 ^{\circ}\text{C}$	
4	$M-AL^*$	Acoustic droplet levitator	No	Diehl et al., 2014	$-30 \ ^{\circ}C < T < \sim 0 \ ^{\circ}C$	$-25 \ ^{\circ}\text{C} < T < -15 \ ^{\circ}\text{C}$	
5	$M-WT^*$	Vertical wind tunnel	No	Szakáll et al., 2009; Diehl et al., 2011	$-30 \ ^{\circ}C < T < \sim 0 \ ^{\circ}C$	$-21 ^{\circ}\text{C} < T < -19 ^{\circ}\text{C}$	
6	NC State-CS	Cold stage-supported droplet assay	No	Wright and Petters, 2013	-40 °C < T < ~0 °C	$-34 \ ^{\circ}C < T < -14 \ ^{\circ}C$	
7	CU-RMCS	Cold stage-supported droplet assay	No	Schill and Tolbert, 2013	-40 °C < T < -20 °C	$-32 \ ^{\circ}\text{C} < T < -23 \ ^{\circ}\text{C}$	
8	AIDA [*]	CECC	No	<i>Möhler et al.</i> , 2003 <i>Hiranuma et al.</i> , 2014a,b	$-100 \ ^{\circ}\text{C} < T < -5 \ ^{\circ}\text{C}$	poly: $-35 \degree C < T < -27 \degree C$ mono: $-34 \degree C < T < -28 \degree C$	
9	CSU-CFDC	Cylindrical plates CFDC	Yes	<i>Tobo et al.</i> , 2013	$-34 ^{\circ}\mathrm{C} < T < -9 ^{\circ}\mathrm{C}$	$-29 \ ^{\circ}\text{C} < T < -22 \ ^{\circ}\text{C}$	
10	${ m EDB}^{*}$	Electrodynamic balance levitator	No	Hoffmann et al., 2013	-40 °C < T < -1 °C	^a imm.: -31 °C < T < -28 °C ^b contact: -34 °C < T < -27 °C	
11	FINCH [*]	Continuous flow mixing chamber	Yes	Bundke et al., 2008	$-60 \ ^{\circ}\text{C} < T < -2 \ ^{\circ}\text{C}$	$-27 \ ^{\circ}\text{C} < T < -22 \ ^{\circ}\text{C}$	
12	FRIDGE [*]	Substrate-supported diffusion and condensation/immersion cell	Yes	Bingemer et al., 2012	$-25 ^{\circ}\text{C} < T < -8 ^{\circ}\text{C}$	°default: -25 °C < T < -18 °C dimm.: -25 °C < T < -18 °C	
13	LACIS*	Laminar flow tube	No	Hartmann et al., 2011; Wex et al., 2014	-40 °C < T < -5 °C	$-37 ^{\circ}\text{C} < T < -31 ^{\circ}\text{C}$	
14	MRI-DCECC	Dynamic CECC	No	Tajiri et al., 2013	-100 °C < T < ~0 °C	poly: $-26 \degree C < T < -21 \degree C$ mono: $-29 \degree C < T < -21 \degree C$	
15	PINC	Parallel plates CFDC	Yes	Chou et al., 2011; Kanji et al., 2013	$-40 \ ^{\circ}\text{C} < T < -9 \ ^{\circ}\text{C}$	$-35 \ ^{\circ}\text{C} < T < -26 \ ^{\circ}\text{C}$	
16	PNNL-CIC	Parallel plates CFDC	Yes	Friedman et al., 2011	$-55 \ ^{\circ}C < T < -15 \ ^{\circ}C$	-35 °C < <i>T</i> < -27 °C	
17	IMCA-ZINC	Parallel plates CFDC	No	Lüönd et al., 2010 Stetzer et al., 2008; Welti et al., 2009	$-65 ^{\circ}\text{C} < T < -5 ^{\circ}\text{C}$	^e imm.: -36 °C < T < -31 °C ^f ZINC: -33 °C < T < -32 °C	

Table 1. Summary of INUIT measurement techniques and instruments. All acronyms are available in the Supplementary Information Sect. S4. Note 'poly' and 'mono' denote polydisperse and quasi-monodisperse size-selected particle distributions, respectively.

*Instruments of INUIT project partners, a. immersion freezing, b. contact freezing, c. default deposition nucleation, d. immersion freezing with suspended particles, e. immersion freezing with IMCA, f. ZINC alone.

	Weight Percentage (wt%)			
Mineral	This study	Manufacturer Data	Broadley et al., 2012	Friedrich et al., 2008 [†]
Illite	69	86	74	76
Kaolinite	10	10	7	5
Quartz	3	4	7	<1
Calcite/Carbonate	3	N/A	2	2
Feldspar (Orthoclase/Sanidine)	14	N/A	10	4

Table 2. X-ray diffraction analyses of the bulk composition of illite NX powder.

[†]*Friedrich et al.* (2008) noted 11 wt% additional impurities, including phlogopite (7.8 wt%), anhydrite (1.4 wt%), plagioclase (1.1 wt%), and apatite (0.7 wt%).

Table 3. List of the Gumbel cumulative distribution fit parameters to the $n_{s,BET}$ and $n_{s,geo}$ for *T*binned ensemble dataset fitted in the linear space [All (lin)], ensemble dataset fitted in the log space [All (log)], ensemble maximum values (All_{max}), ensemble minimum values (All_{min}), suspension subset fitted in the linear space [Sus (lin)], suspension subset fitted in the log space [Sus (log)], dry-dispersed particle subset fitted in the linear space [Dry (lin)] and drydispersed particle subset fitted in the log space [Dry (log)]. Note that All_{max} and All_{min} are fitted in the linear space. The correlation coefficient, *r*, for each fit is also shown. *T* is in °C.

Fitted	Fitted <i>T</i> range	Fit Parameters $[n_{s,\text{BET}}(T) = \exp(a \cdot \exp(-\exp(b \cdot (T+c))) + d)]$					
ulluser		a	b	с	d	r	
[†] All (lin)	$-37 \ ^{\circ}\text{C} < T < -11 \ ^{\circ}\text{C}$	23.82	0.16	17.49	1.39	0.60	
[†] All (log)	$-37 \ ^{\circ}\text{C} < T < -11 \ ^{\circ}\text{C}$	22.00	0.16	20.07	3.00	0.80	
[†] All _{max}	$-37 \degree C < T < -11 \degree C$	24.72	0.15	17.27	1.56	0.63	
$^{\dagger}\mathrm{All}_{\mathrm{min}}$	$-37 \degree C < T < -11 \degree C$	21.86	0.16	22.73	2.70	0.94	
Sus (lin)	$-34 \ ^{\circ}C < T < -11 \ ^{\circ}C$	24.38	0.14	19.61	1.89	0.99	
Sus (log)	$-34 \ ^{\circ}C < T < -11 \ ^{\circ}C$	24.28	0.14	21.19	2.70	0.99	
[†] Dry (lin)	$-37 \ ^{\circ}\text{C} < T < -18 \ ^{\circ}\text{C}$	27.35	0.07	16.48	3.19	0.59	
[†] Dry (log)	$-37 \ ^{\circ}\text{C} < T < -18 \ ^{\circ}\text{C}$	26.22	0.07	16.27	3.31	0.72	

Fitted dataset	Fitted T range	Fit Parameters $[n_{s,geo}(T) = \exp(a \cdot \exp(-\exp(b \cdot (T+c))) + d)]$					
dutuset		а	b	С	d	r	
[†] All (lin)	$-37 ^{\circ}\text{C} < T < -11 ^{\circ}\text{C}$	25.75	0.13	17.17	3.34	0.73	
[†] All (log)	$-37 ^{\circ}\text{C} < T < -11 ^{\circ}\text{C}$	22.93	0.16	20.31	5.72	0.80	
[†] All _{max}	$-37 ^{\circ}\text{C} < T < -11 ^{\circ}\text{C}$	25.72	0.15	16.39	3.52	0.75	
$^{\dagger}All_{min}$	$-37 \ ^{\circ}\text{C} < T < -11 \ ^{\circ}\text{C}$	22.16	0.16	22.13	5.64	0.98	
Sus (lin)	$-34 \ ^{\circ}C < T < -11 \ ^{\circ}C$	22.72	0.16	19.52	5.50	1.00	
Sus (log)	$-34 \ ^{\circ}C < T < -11 \ ^{\circ}C$	22.64	0.16	20.93	5.92	0.98	
[†] Dry (lin)	-37 °C < <i>T</i> < -18 °C	29.38	0.05	16.49	7.19	0.64	
[†] Dry (log)	-37 °C < <i>T</i> < -18 °C	27.92	0.05	13.25	6.32	0.83	

[†]To derive the fits that are representative for immersion mode freezing, we excluded EDB (contact) and ZINC data.



Figure 1. EDX spectra of representative illite NX particles. (a) typical illite, (b) calcite rich mineral, (c) titanium oxide rich mineral, and (d) lead rich mineral. Scanning electron microscopy images of characterized particles are shown in subpanels. A schematic representation of the illite's crystal structure (silicon in yellow, aluminum in black, oxygen in red and potassium in purple) is also shown.



Figure 2. Surface area distributions of (a) suspended and (b-d) dry illite NX particles. Hydrodynamic size-based surface area distributions are measured in suspension using DLS. The average (\pm standard error) of five measurements with different concentrations of suspended illite NX powder (0.05, 0.1, 0.25, 0.5 and 1 mg mL⁻¹) is presented in (a). Volume equivalent diameter-based dry-dispersed particle surface area distributions measured in the AIDA chamber (mean of ten measurements \pm standard error) and MRI-DCECC (two individual measurements) are shown in (b) and (c), respectively. Panel (d) shows optical diameter-based particle surface area distributions measured by a TSI-OPS used for the FRIDGE immersion mode experiments. Dotted lines represent log-normal fits, and corresponding mode diameters are (a) 0.32 µm, (b) 0.36 µm, (c) 0.62 µm and (d) 4.75 µm. The width-parameters of log-normal fittings are (a) 0.55, (b) 0.65, (c) 0.95 and (d) 1.10.



Figure 3. Evolution of the cation concentration in aqueous suspension of 0.1g illite in 10ml deionized water with time. The scaling of the time-axis is different for three different subsections of the time series (USTS, STS and LTS).



Figure 4. Inter-comparison of seventeen instruments using $n_{s,BET}$. Black or red cross markers are interpolated $n_s(T)$ used for *T*-binned averaging. Note that M-AL and M-WT results are presented in (d). In (k), FRIDGE results of default (solid square) and imm.mode (open diamond) measurements are presented. Both ZINC (solid square) and IMCA-ZINC (open diamond) data are shown in (p). Reference immersion freezing $n_s(T)$ spectra for illite NX (B12; *Broadley et al.*, 2012), K-feldspar (A13; *Atkinson et al.*, 2013), ATD and desert dusts (Dust) (N12; *Niemand et al.*, 2012) are also shown (See Sect. 3.2).



Figure 5. Geometric size-based ice nucleation active surface-site density, $n_{s,geo}$, of seventeen measurement techniques. Black or red cross markers are interpolated $n_s(T)$ used for *T*-binned averaging. Note that M-AL and M-WT results are presented in (d). In (k), FRIDGE results of default (solid square) and imm.mode (open diamond) are presented. Both ZINC (solid square) and IMCA-ZINC (open diamond) data are shown in (p). Reference immersion freezing $n_s(T)$ spectra are provided as in Fig. 4.



Figure 6. Immersion freezing $n_s(T)$ spectra of illite NX particles from seventeen instruments calculated as a function of the BET (a) and geometric (b) surface areas. Reference immersion freezing $n_s(T)$ spectra are provided as in Figs. 4 and 5. Dry-dispersed particle (red markers) and suspension (blue markers) results for $n_{s,BET}$ and $n_{s,geo}$ are shown in (c) and (d), respectively, to highlight the difference between dry particle and suspension subsets.



Figure 7. The n_s parameterization, based on the BET (a) and geometric (b) surface areas, as a function of temperature (*T*). The multiple exponential distribution fit in the linear space (*T*-binned Lin. Avg.) is expressed as $n_{s,BET}(T) = \exp(23.82 \times \exp(-\exp(0.16 \times (T + 17.49))) + 1.39)$ or $n_{s,geo}(T) = \exp(25.75 \times \exp(-\exp(0.13 \times (T + 17.17))) + 3.34)$. The same fit in the log space (*T*-binned Log. Avg.) is expressed as $n_{s,BET}(T) = \exp(22.00 \times \exp(-\exp(0.16 \times (T + 20.07))) + 3.00)$ or $n_{s,geo}(T) = \exp(22.93 \times \exp(-\exp(0.16 \times (T + 20.31))) + 5.72)$. Note that n_s and *T* are in m⁻² and °C, respectively. The maximum deviation between maxima and minima in horizontal axis (in *T* °C) and vertical axis [in $\log(n_{s,max}/n_{s,min})$] corresponds to Hor_{Max-Min} and Ver_{Max-Min}, respectively. All fit parameters are shown in Table 3.



Figure 8. *T*-binned $n_{s,\text{geo}}$ (a) and $n_{s,\text{BET}}$ (b). *T*-binned data (i.e., average in the linear space with 1 °C bins for -37 °C < *T* < -11 °C) of $n_s(T)$ spectra are presented for (i) All interpolated dataset (All), (ii) Suspension measurements (Sus), (iii) Dry-dispersed particle measurements (Dry), and (iv) comparison between Sus and Dry. Red sticks represent maxima (positive direction) and minima (negative direction) and black sticks represent ± standard error. Literature results (B12, A13, and N12) are also shown.



Figure 9. Soccer ball model analysis for time dependency of immersion freezing of illite NX particles. Comparison to LACIS measurements in $n_{s,\text{geo}}$ space is also shown. Error bars represent experimental uncertainties ($T \pm 0.3$ °C and $n_s \pm 28\%$). The subpanel shows a magnified section of T (-31 to -38 °C) and $n_{s,\text{geo}}$ (1.2 x 10¹⁰ to 5.1 x 10¹¹ m⁻²) space without error bars. A shift in the residence time from 1s to 10 s shifts n_s (as well as n_m , not shown) towards higher temperatures by about 1 °C.



Figure 10. Examination of mode dependency of heterogeneous ice nucleation of illite NX particles. A comparison of FRIDGE (default) and FRIDGE (imm.mode) in $n_{s,BET}$ and $n_{s,geo}$ are shown in (a) and (b), respectively. (c) and (d) show a comparison between EDB (contact), EDB (imm.), ZINC, IMCA-ZINC, and PNNL-CIC data in $n_{s,BET}$ and $n_{s,geo}$, respectively.