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Evaluation of a regional air quality model using satellite column NO₂: treatment of observation errors and model boundary conditions and emissions

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Abstract

We compare tropospheric column NO₂ between the UK Met Office operational Air Quality in the Unified Model (AQUM) and satellite observations from the Ozone Monitoring Instrument (OMI) for 2006. Column NO₂ retrievals from satellite instruments are prone to large uncertainty from random, systematic and smoothing errors. We present an algorithm to reduce the random error of time-averaged observations, once smoothing errors have been removed with application of satellite averaging kernels to the model data. This reduces the total error in seasonal mean columns by 30–90 %, which allows critical evaluation of the model. The standard AQUM configuration evaluated here uses chemical lateral boundary conditions (LBCs) from the GEMS (Global and regional Earth-system Monitoring using Satellite and in-situ data) reanalysis. In summer the standard AQUM overestimates column NO₂ in northern England and Scotland, but underestimates it over continental Europe. In winter, the model overestimates column NO₂ across the domain. We show that missing heterogeneous hydrolysis of

- N₂O₅ in AQUM is a significant sink of column NO₂ and that the introduction of this process corrects some of the winter biases. The sensitivity of AQUM summer column NO₂ to different chemical LBCs and NO_x emissions datasets are investigated. Using Monitoring Atmospheric Composition and Climate (MACC) LBCs increases AQUM O₃ concentrations compared with the default GEMS LBCs. This enhances the NO_x-O₃
 coupling leading to increased AQUM column NO₂ in both summer and winter degrading the comparisons with OMI. Sensitivity experiments suggest that the cause of the
- remaining northern England and Scotland summer column NO_2 overestimation is the representation of point source (power station) emissions in the model.

1 Introduction

²⁵ Air quality has a major influence on the UK both socially and economically. It can result in approximately 50 000 premature deaths per year and an average reduction in life



expectancy of 7–8 months (HoC, 2010). Air pollution health effects include lung disease and cancer, cardiovascular problems, asthma and eye irritation (WHO, 2011). In 2005 poor UK air quality cost £ (€) 8.5 (10.7)–20.2 (25.5) billion and between 2007–2008 there were 74 000 asthma-related hospital admissions. Overall, these air qualityasthma incidents cost society £ (€) 2.3 (2.9) billion (HoC, 2010). Poor air quality associated with ozone concentrations over 40 ppbv can also significantly reduce crop yields

e.g. Hollaway et al. (2012).

Therefore, regional models have been developed to predict hazardous levels of air pollution to help inform the public and to allow local authorities to take action to re-

- ¹⁰ duce/accommodate the respective health risks/effects. Air quality models have mainly been evaluated against surface observations, e.g. Savage et al. (2013). However, recently such models have also been compared with satellite observations, taking advantage of the better spatial coverage despite the potentially large error of individual observations. In the past NO₂ satellite data has been compared mainly with global at-
- ¹⁵ mospheric chemistry models (e.g. Velders et al., 2001; Lauer et al., 2002; Van Noije et al., 2006). However, more recently, other studies have used satellite data to evaluate models on a regional scale. Savage et al. (2008) investigated European tropospheric column NO₂ interannual variability (IAV), 1996–2000, by comparing GOME with the TOMCAT chemical transport model (CTM) (Monks et al., 2012). The best comparisons were found in the JEM and AM Lagagene capacially ever western Europe. They also
- were found in the JFM and AMJ seasons, especially over western Europe. They also found that synoptic meteorology had more influence on NO₂ IAV than NO_x emissions did.

Huijnen et al. (2010) compared Ozone Monitoring Instrument (OMI) tropospheric column NO₂ against a European global-regional air quality model ensemble median

for 2008–2009. The ensemble compared better with the OMI data than any one model, with good agreement over the urban hotspots. Overall, the spread in the models was greatest in the summer (with deviations from the mean OMI tropospheric column in the range 40–62 %), due to the more active NO_x chemistry in this season and the differences in chemistry schemes among the contributing models, when compared to



winter (20–34 %). Several of the regional models successfully detected the shipping lanes seen by OMI.

Han et al. (2011) investigated tropospheric column NO₂ over the Korean Peninsula through comparisons between OMI data and the Community Multi-scale Air Quality Model (CMAQ) (Foley et al., 2010). In summer North and South Korea had similar column NO₂ from both model and observations. However, in winter South Korea, a more developed nation with greater infractructure, had significantly greater NO.

- developed nation with greater infrastructure, had significantly greater NO₂ concentrations than North Korea. Overall, CMAQ overestimated OMI NO₂ concentrations by factors of 1.38–1.87 and 1.55–7.46 over South and North Korea, respectively.
- ¹⁰ Other studies investigating regional tropospheric column NO₂ through model simulations and satellite observations include Blond et al. (2007), Boersma et al. (2009) and Curier et al. (2014). Blond et al. (2007) compared CHIMERE 3-D CTM and SCIA-MACHY column NO₂ over western Europe with good agreement with winter and summer correlations of 0.79 and 0.82, respectively. Boersma et al. (2009) used the GEOS-Cham 2 D CTM to evaluate the accessed evaluation of 0.79 and 0.82.
- ¹⁵ Chem 3-D CTM to explain the seasonal cycle in SCIAMACHY and OMI column NO₂ over Israeli cities, with larger photochemical loss of NO₂ in summer than winter. And Curier et al. (2014) used a synergistic of OMI and the LOTOS-EUROS 3-D CTM to evaluate NO_x trends finding negative trends of 5–6% over western Europe.

The UK Met Office's Air Quality in the Unified Model (AQUM) is used for short opera-

- tional chemical weather forecasts of UK air quality. Savage et al. (2013) performed the first evaluation of the AQUM operational forecast for the period May 2010–April 2011 by using surface O₃, NO₂ and particulate matter observations from the UK Automated Urban and Rural Network (AURN) (DEFRA, 2012). Among other model-observation metrics they used the mean bias (MB), root mean square error (RMSE), modified nor-
- ²⁵ malised mean bias (MNMB) and the Fractional Gross Error (FGE) (Seigneur et al., 2000). See the Appendix for the definition of these metrics.

Savage et al. (2013) found that AQUM overestimated O_3 by 8.38 µg m⁻³ (MNMB = 0.12), with a positive bias at urban sites but no systematic bias at rural sites. The model-observation correlation was reasonably high at 0.68. For NO₂, there was



a bias of $-6.10 \,\mu\text{g}\,\text{m}^{-3}$, correlation of 0.57 and MNMB of -0.26. At urban sites there was a large negative bias while rural sites had marginal positive biases. The coarse resolution of AQUM (12 km) led to an underestimation at urban sites because the model NO_x emissions are instantaneously spread over the entire grid box. The particulate matter (PM₁₀) prediction skill was lower with a correlation and bias of 0.52 and $-9.17 \,\mu\text{g}\,\text{m}^{-3}$, respectively.

The aim of this paper is to evaluate AQUM using satellite atmospheric trace gas observations. The Met Office has previously compared the skill of AQUM only against AURN surface measurements, which in the case of NO₂ are not specific and include contributions from other oxidised nitrogen compounds (see Savage et al. (2013), and references therein). Therefore, for better spatial model-observation comparisons and to minimise the effect of measurement interferences, we use satellite observations over the UK. We focus on tropospheric column NO₂ data from OMI for the summer (April–September) and winter (January–March, October–December) periods of 2006.

¹⁵ Section 2 describes the OMI satellite data used and gives a detailed account of our error analysis which determines how we can use satellite data to test AQUM. Section 3 describes AQUM and the model experiments performed. Results from the modelobservations comparisons are given in Sect. 4. Section 5 presents our conclusions.

2 Satellite data

OMI is aboard NASA's EOS-Aura satellite and has an approximate London daytime overpass at 13:00 LT. It is a nadir-viewing instrument with a pixel size of 312 km² and 3240 km² along track and across track, respectively (Boersma et al., 2008). We have taken the DOMINO tropospheric column NO₂ product, version 2.0, from the TEMIS (Tropospheric Emissions Monitoring Internet Service) website, http://www.temis.nl/
 airpollution/no2.html (Boersma et al., 2011b, a). We have binned NO₂ swath data from 1 January to 31 December 2006 onto a daily 13:00 LT 0.25° × 0.25° grid between 43–63° N and 20° W–20° E. All satellite retrievals have been quality controlled, and re-



trievals/pixels with geometric cloud cover greater than 20 % and poor quality data flags (flag = -1) were removed. The product uses the algorithm of Braak (2010) to identify OMI pixels affected by row anomalies and sets the data flags to -1. We also filter these out in this study. Even though OMI has an approximate 13:00 LT London overpass, we

- ⁵ used all OMI retrievals in the domain between 11:00 and 15:00 LT to get more extensive spatial coverage. Several studies have validated OMI column NO₂ against surface and aircraft measurements of tropospheric column NO₂. Irie et al. (2008) compared OMI and with ground based MAX-DOAS retrievals in the Mount Tai Experiment (2006). They found the standard OMI product (version 3) overestimated the MAX-DOAS mea-
- ¹⁰ surements by approximately 1.6×10^{15} molecules cm⁻² (20 %), but within the OMI uncertainty limits. Boersma et al. (2008) compared the near real time (NRT) OMI product (version 0.8) with aircraft measurements in the INTEX-B campaign. Overall, they found a good correlation (0.69) between OMI and the aircraft column NO₂, with no significant biases. Therefore, we have confidence in the OMI column NO₂ and use it for evaluation ¹⁵ of our model.

2.1 Satellite averaging kernels

Eskes and Boersma (2003) define the averaging kernel (AK) to be a relationship between the retrieved quantities and the true distribution of the tracer. In other words, the satellite instrument's capability to retrieve a quantity is a function of altitude. For instance, the instrument may be more or less sensitive retrieving a chemical species near the boundary layer than in the stratosphere. Therefore, since satellite retrievals and model vertical profiles are not directly comparable, the AK (or weighting function) is applied to the model data, so the sensitivity of the satellite is accounted for in the comparisons. The AK comes in different forms for different retrieval methods. For the

Differential Optical Absorption Spectroscopy (DOAS) method, the AK is in the form of a column vector, while in Optimal Estimation, the AK is a matrix, whose dimensions depend on the number of pressure levels in the retrieval process.



The OMI retrievals use the DOAS technique and the AK is a column vector. Following Huijnen et al. (2010) and the OMI documentation (Boersma et al., 2011a), the AKs are applied to the model as:

 $y = A \cdot x$

⁵ where *y* is the total column, *A* is the AK and *x* is the vertical model profile. However, here the tropospheric column is needed:

 $y_{\text{trop}} = A_{\text{trop}} \cdot x_{\text{trop}}$

where A_{trop} is:

$$A_{\rm trop} = A \cdot \frac{{\sf AMF}}{{\sf AMF}_{\rm trop}}$$

AMF is the atmospheric air mass factor and AMF_{trop} is the tropospheric air mass 10 factor. For the OMI product, Huijnen et al. (2010) state the AK tends to be lower than 1 in the lower troposphere (e.g. 0.2–0.7 up to 800 hPa) and greater than 1 in the midupper troposphere. Therefore, the OMI AKs reduce model NO₂ subcolumns in the lower troposphere and increase them in the mid-upper troposphere (Huijnen et al., 2010). Figure 1 shows example tropospheric AKs for summer and winter profiles over 15 London (urban – higher column NO₂) and Dartmoor (rural area in southwest England - lower column NO₂), which have been coloured by their respective tropospheric AMF. In the lower troposphere for both seasons and locations the tropospheric AKs range around 0-1. However, in the mid-upper troposphere, the London tropospheric AKs tend to be greater than Dartmoor in both seasons. London tropospheric AKs are most pro-20 nounced in winter, with many tropospheric AKs over 8, while in the summer they range around 1-8. In both seasons, the tropospheric AMF is biggest, 5-6, in the lower range tropospheric AKs, 0–1, and smaller, 0–1.5 as the tropospheric AKs range increases, over 2. If the tropospheric AMFs are small (i.e. near 0 suggesting the majority of the



(1)

(2)

(3)

 $\rm NO_2$ is within the lower layers of the London boundary layer; also small tropospheric AKs there), from Eq. (3), as the full atmospheric AKs naturally increase with altitude, the tropospheric AMFs will return larger tropospheric AKs. Also, in winter over London, the shallower boundary layer with trap larger winter emissions of $\rm NO_2$ closer to

the surface. Therefore, the tropospheric AMF will be smaller and the winter mid-upper tropospheric AKs will be larger as seen in Fig. 1. Over Dartmoor, the AKs show less seasonal variation and the majority range around 1–6 for both summer and winter. This is also seen in the tropospheric AMF, which ranges between approximately 0–6, but has no clear pattern in the Dartmoor tropospheric AKs, in both seasons.

10 2.2 Differential optical absorption spectroscopy NO₂ retrieval error

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The DOAS retrievals are subject to random, systematic and smoothing errors in the retrieval process. Random (quasi-systematic) errors include fitting errors, cloud errors, instrument noise and signal corruption. Systematic errors include absorption cross-sections, surface albedo and stratospheric correction uncertainties. Finally, smoothing errors include biases in the a priori profiles and sensitivity of the satellite when recording the slant column through the atmosphere. If multiple retrievals are averaged together, as in this study, the random errors will partially cancel leading to the random error being reduced by a factor of $\frac{1}{\sqrt{N}}$ (where *N* is the number of retrievals).

In contrast, systematic errors are unaffected by cancelling through averaging. In the following section we investigate the different error components of the satellite retrievals and derive an expression for the error in the averaged retrievals. This methodology should give smaller errors which are more representative of the time-averaged retrieval error and so allow a stricter test of the model. Boersma et al. (2004) describe the error in the DOAS NO₂ retrievals as:

$$\sigma_{\text{trop}}^{2} = \left(\frac{\sigma_{\text{total}}}{\text{AMF}_{\text{trop}}}\right)^{2} + \left(\frac{\sigma_{\text{strat}}}{\text{AMF}_{\text{trop}}}\right)^{2} + \left(\frac{(X_{\text{total}} - X_{\text{strat}})\sigma_{\text{AMF}_{\text{trop}}}}{\text{AMF}_{\text{trop}}^{2}}\right)^{2}$$
21756



(4)

where σ_{trop} , σ_{strat} and σ_{total} are the uncertainties in the tropospheric, stratospheric and total slant columns, respectively. AMF_{trop} is the tropospheric air mass factor, $\sigma_{AMF_{trop}}$ is the error in the tropospheric air mass factor, X_{total} is the total slant column and X_{strat} is the stratospheric slant column.

σ_{total} is made up of both random and systematic error, where the random error component can be reduced by ¹/_{√N}. We assume that the systematic and random errors can be combined in quadrature. In Eq. (6) there are two terms for σ_{total}; σ<sub>total_{ran} and σ<sub>total_{sys}, which are the random and systematic error components of the total slant column, respectively. Boersma et al. (2004) state that σ<sub>total_{sys} can be expressed as
 σ<sub>total_{sys} = 0.03X_{total}. We treat σ_{strat} here as systematic as both the OMI standard and DOMINO products estimate the stratospheric slant column using TM4 chemistry-transport model simulations and data assimilation (Dirksen et al., 2011). According to the DOMINO OMI product documentation (which references Boersma et al., 2004, 2007; Dirksen et al., 2011), the error in the stratospheric slant column is estimated to to 0.25 × 10¹⁵ molecules cm⁻² in all cases.
</sub></sub></sub></sub>

Boersma et al. (2004) state that the tropospheric column is calculated as:

$$N_{\rm trop} = \frac{X_{\rm total} - X_{\rm strat}}{\rm AMF_{\rm trop}}$$
(5)

where N_{trop} is the vertical tropospheric column and can be substituted, including the σ_{total} and σ_{strat} estimates, into Eq. (4). This leads to:

$$\sigma_{\text{trop}}^{2} = \left(\frac{\sigma_{\text{total}_{\text{ran}}}}{\text{AMF}_{\text{trop}}}\right)^{2} + \left(\frac{0.03X_{\text{total}}}{\text{AMF}_{\text{trop}}}\right)^{2} + \left(\frac{0.25 \times 10^{15}}{\text{AMF}_{\text{trop}}}\right)^{2} + \left(\frac{N_{\text{trop}}\sigma_{\text{AMF}_{\text{trop}}}}{\text{AMF}_{\text{trop}}}\right)^{2}$$
(6)

 $\sigma_{\rm trop}$ is reduced in the model-satellite comparisons when the AK is applied to the model data. Therefore, the error product, $\sigma_{\rm trop_{ak}}$, from the OMI retrieval files with the smoothing error removed is used instead of $\sigma_{\rm trop}$ in Eqs. (4) and (6).



Boersma et al. (2007) suggest that the uncertainty in the tropospheric AMF is between 10–40 %. Therefore, we take the conservative estimate of $\sigma_{AMF_{trop}} = 0.4 \cdot AMF_{trop}$. This leads to the new retrieval error approximation of:

$$\sigma_{\text{trop}_{ak}}^{2} = \left(\frac{\sigma_{\text{total}_{ran}}}{\text{AMF}_{\text{trop}}}\right)^{2} + \left(\frac{0.03X_{\text{total}}}{\text{AMF}_{\text{trop}}}\right)^{2} + \left(\frac{0.25 \times 10^{15}}{\text{AMF}_{\text{trop}}}\right)^{2} + \left(0.4N_{\text{trop}}\right)^{2} \tag{7}$$

All of these terms are known apart from $\sigma_{\text{total}_{ran}}$. We can rearrange to calculate this based on other variables provided in the OMI product files. This leads to:

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$$\left(\frac{\sigma_{\text{total}_{\text{ran}}}}{\mathsf{AMF}_{\text{trop}}}\right)^2 = \sigma_{\text{trop}_{\text{ak}}}^2 - \left(0.4N_{\text{trop}}\right)^2 - \left(\frac{0.03X_{\text{total}}}{\mathsf{AMF}_{\text{trop}}}\right)^2 - \left(\frac{0.25 \times 10^{15}}{\mathsf{AMF}_{\text{trop}}}\right)^2 \tag{8}$$

In the rare case that the left hand side is negative, the random error component cannot be found as it would be complex, so the random error component is then set to 50 % (H. Eskes, personal communication, 2012). Now, rearranging for $\sigma_{\text{total}_{ran}}$, and assuming the left hand side is positive, Eq. (8) becomes:

$$\sigma_{\text{total}_{\text{ran}}} = \mathsf{AMF}_{\text{trop}} \sqrt{\left(\sigma_{\text{trop}_{ak}}^{2}\right) - \left(0.4N_{\text{trop}}\right)^{2} - \left(\frac{0.03X_{\text{total}}}{\mathsf{AMF}_{\text{trop}}}\right)^{2} - \left(\frac{0.25 \times 10^{15}}{\mathsf{AMF}_{\text{trop}}}\right)^{2}} \tag{9}$$

This quantity was calculated for each retrieval in each grid square and then the new seasonal retrieval error was calculated taking the reduced random component into account:

$$\overline{\sigma_{\text{trop}_{ak}}} = \sqrt{\left(\frac{\overline{\sigma_{\text{total}_{ran}}}}{\sqrt{N}\overline{AMF_{\text{trop}}}}\right)^2 + \left(\frac{0.03\overline{X_{\text{total}}}}{\overline{AMF_{\text{trop}}}}\right)^2 + \left(\frac{0.25 \times 10^{15}}{\overline{AMF_{\text{trop}}}}\right)^2 + \left(0.4\overline{N_{\text{trop}}}\right)^2} \quad (10)$$

where a bar superscript represents the seasonal time average.



Figure 2 shows how averaging, by decreasing the random error component, reduces the seasonal satellite tropospheric column error as calculated by our algorithm. The figure compares the simple mean of the total satellite column NO_2 error (calculated for each pixel) with our new method which reduces the estimated random error component

- ⁵ by one over the square root of the number of observations. The reduction in the satellite column error is then presented as a percentage of the original satellite column seasonal mean error. In both summer and winter, the seasonal mean column error is reduced to 30–90% across the domain, therefore making the OMI data much more useful for model evaluation. Only for a few retrievals over Scandinavia, does this methodology
- of reducing the random error component increase the overall column error (not shown here).

3 Air quality in the unified model (AQUM)

3.1 Model setup

The AQUM domain covers the UK and part of continental Europe on a rotated grid between approximately 45–60° N and 12° W–12° E. The model has a horizontal resolution of 0.11° × 0.11° with 38 vertical levels between the surface and 39 km. The model has a coupled, online tropospheric chemistry scheme using the UK Chemistry and Aerosols (UKCA) subroutines. The chemistry scheme (Regional Air Quality, RAQ) includes 40 tracers, 23 photolysis reactions and 115 gas-phase reactions (Savage et al., 2013)

including the reaction of nitrate radical with formaldehyde, ethene, ethane, propane, n-butane, acetaldehyde and isoprene. The standard model setup does not include any heterogeneous chemistry. A complete chemical mechanism is included in the online supplement to Savage et al. (2013).

The model uses the Coupled Large-scale Aerosol Simulator for Studies In Climate (CLASSIC) aerosol scheme. This is a bulk aerosol scheme with the aerosols treated as an external mixture. It contains six prognostic tropospheric aerosol types: ammo-



nium sulphate, mineral dust, fossil fuel black carbon (FFBC), fossil fuel organic carbon (FFOC), biomass burning aerosols and ammonium nitrate. In addition, there is a diagnostic aerosol scheme for sea salt and a fixed climatology of biogenic secondary organic aerosols (BSOA). For more details of the aerosol scheme see Bellouin et al.

5 (2011). In common with most regional AQ forecast models in Europe, AQUM shows a small negative bias for PM_{2.5} and a larger negative bias for PM₁₀. For full details of the performance of the model for aerosols, NO₂ and ozone see Savage et al. (2013).

Meteorological initial conditions and lateral boundary conditions (LBCs) come from the Met Office's operational global Unified Model (25 km × 25 km) data. Initial chemi-

- ¹⁰ cal conditions come from the previous day's AQUM forecast and aerosol and chemistry LBCs come from the ECMWF GEMS (Global and regional Earth-system Monitoring using Satellite and in-situ data) reanalyses (Hollingsworth et al., 2008). The GEMS fields, available at http://www.gmes-atmosphere.eu/, provide boundary fluxes for regional air quality models such as AQUM.
- ¹⁵ This configuration of AQUM uses emission datasets from the National Atmospheric Emissions Inventory (NAEI) (1 km × 1 km) for the UK, ENTEC (5 km × 5 km) for the shipping lanes and European Monitoring and Evaluation Programme (EMEP) (50 km × 50 km) for the rest of the model domain. Over the UK the NAEI NO_x emissions datasets are made up of two source types: area and point. Area sources include
- ²⁰ traffic, light industry and urban emissions, while point sources are power stations, landfill, incinerators and refineries. Typically, the point source emissions are $100 \, g \, s^{-1}$ in magnitude, while the area sources tend to be $10 \, g \, s^{-1}$. For most of the experiments we use 2007 instead of 2006 NO_x sources because the ENTEC shipping emissions (5 km × 5 km resolution) are available for this year, while only the coarse EMEP ship-
- ²⁵ ping emissions are available for the earlier years (Savage et al., 2013). The difference between 2006 and 2007 point source emissions are negligible in altering the AQUM column NO₂ (not shown). Therefore, we use the 2007 emissions datasets throughout this study. The lightning emissions are based on a parameterisation linked to the model's convection scheme. For details see O'Connor et al. (2014). We do not have a separate



parameterisation for soil NO_x emissions but given the large emissions from transport and industry, the soil NO_x emissions are unlikely to be important in this region.

3.2 Sensitivity experiments

We performed one control and five sensitivity experiments to investigate the AQUM's simulation of column NO₂. Two experiments used different LBCs, two experiments used modified point source emissions and two included heterogeneous chemistry. These are summarised in Table 1.

Simulation MACC investigates the sensitivity of AQUM column NO₂ to different chemical LBCs from the global Monitoring Atmospheric Composition and Climate (MACC) reanalyses, which is the follow-on project of GEMS (Inness et al., 2013). Savage et al. (2013) have undertaken a similar analysis of the MACC LBCs in AQUM. They showed that when compared with the AURN observations of O₃, AQUM-MACC performs well during the first quarter of 2006 and overestimates observations afterwards, while AQUM-GEMS has a negative bias during the first quarter of the year but compares well with observations afterwards.

We have performed additional runs to examine the impact of the point sources over the UK on NO₂ columns. The motivation behind Run E1 was to determine the impact of the NO_x point sources on the simulated column NO₂ budget, as we hypothesised that the AQUM's representation of them was the cause of the AQUM–OMI column NO₂

²⁰ positive biases (see Sect. 4.1). Run E2 uses an idealised passive tracer from the point sources with a lifetime of one day to examine if the tracer columns correlated with summer AQUM-OMI positive biases (see Sect. 4.3). Runs N₂O₅High and N₂O₅Low investigate the impact of heterogeneous chemistry on NO₂ columns. Tropospheric NO_x (NO + NO₂) sources are dominated by anthropogenic emissions and the loss of NO₂



to HNO₃ is through two pathways:

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$$NO_2 + OH + M \rightarrow HNO_3 + M$$

$$NO_2 + O_3 \rightarrow NO_3 + O_2$$

 $NO_2 + NO_2 + M \Longrightarrow N_2O_2 + M$

$$N_2O_5 + H_2O \xrightarrow{\text{aerosol}} 2HNO_{3(aq)}$$
 (R4)

The standard configuration of AQUM does not include any heterogeneous reactions such as the hydrolysis of N_2O_5 on aerosol surfaces (see details of the chemistry scheme in the Supplement of Savage et al., 2013). Previous global modelling studies have shown that this process can be a significant NO_x sink at mid-latitudes in winter (e.g. Tie et al., 2003; Macintyre and Evans, 2010). Following those analyses, we have implemented this reaction, with rate k (s⁻¹) calculated as:

$$k = \frac{A\gamma\omega}{4} \tag{11}$$

where A is the aerosol surface area (cm² cm⁻³), γ is the uptake coefficient of N₂O₅ on aerosols (non-dimensional) and $\omega = 100 [8RT/(\pi M)]^{\frac{1}{2}}$ (cm s⁻¹) is the root-meansquare molecular speed of N_2O_5 at temperature T (K), M is the molecular mass of N_2O_5 (kg mol⁻¹) and $R = 8.3145 \text{ J mol}^{-1} \text{ K}^{-1}$.

Macintyre and Evans (2010) investigated the sensitivity of N_2O_5 loss on aerosol by using a range of uptake values (0.0, 10^{-6} , 10^{-4} , 10^{-3} , 5×10^{-3} , 10^{-2} , 2×10^{-2} , 0.1, 0.2, 0.5 and 1.0). They found that limited sensitivity occurs at low and high values of γ . At low values, the uptake pathway is an insignificant route for NO_x loss. At high 20 values, the loss of NO_v through heterogeneous removal of N_2O_5 is limited by the rate of production of NO_3 , rather than the rate of heterogeneous uptake. However, in the northern extra-tropics (including the AQUM domain), intermediate values of γ (0.001– 0.02) show a significant loss of NO_x. Therefore, we experiment with $\gamma = 0.001$ and 0.02

to investigate the sensitivity of AQUM column NO₂ to heterogeneous chemistry. The 25

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(R1)

(R2)

(R3)



aerosol surface area, *A*, includes the contribution of seven aerosol types present in CLASSIC: sea salt aerosol, ammonium nitrate, ammonium sulphate, biomass burning aerosol, black carbon, fossil fuel organic carbon (FFOC) and biogenic secondary organic aerosol (BSOA). To account for hydroscopic growth of the aerosols, the formu-

- lation of Fitzgerald (1975) is used for growth above the deliquescence point for ammonium sulphate (RH = 81 %), sea salt (RH = 75 %) and ammonium nitrate (RH = 61 %) up to 99.5 % RH. We apply a linear fit between the efflorescence (RH = 30 % for sulphate, 42 % for sea-salt and 30 % for nitrate) and deliquescence points. There is no hydroscopic growth below the efflorescence point. Look-up tables are used for the other aerosol types. Biomass burning and FFOC aerosol growth rates are taken from Magi and Hobbs (2003), BSOA growth rates come from Varutbangkul et al. (2006) and black
 - carbon is hydrophobic (no growth).

3.3 Statistical comparisons

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For the AQUM-satellite comparisons the following model-observation statistics were used: Mean Bias (MB), Root Mean Square Error (RMSE) and the Fractional Gross Error (FGE, bounded by the values 0 to 2). These statistics are described by Han et al. (2011) and Savage et al. (2013). Further details are given in the Appendix.

4 Results

4.1 Control run

Figure 3 compares observed column NO₂ with the AQUM control Run C (with AKs applied). The AQUM and OMI averages have similar spatial patterns, with maximum and minimum column NO₂ over the urban and rural/ocean regions, respectively. In summer, AQUM and OMI background concentrations are around $0-3 \times 10^{15}$ molecules cm⁻².



The OMI peak column NO₂ of $16-20 \times 10^{15}$ molecules cm⁻² is over London. AQUM simulates similar London column NO₂, but the model peak concentrations are over northern England at over 20×10^{15} molecules cm⁻². In winter, the background column NO₂ is elevated with a larger spatial extent ranging between 0–6 × 10¹⁵ molecules cm⁻²

- in both the AQUM and OMI fields. However, the elevated AQUM background state has a larger coverage than that of OMI. Over the source regions, OMI column NO₂ peaks over London at 12–13 × 10¹⁵ molecules cm⁻², with similar concentrations seen in AQUM. However, AQUM peak column NO₂ are over northern England at 12–16 × 10¹⁵ molecules cm⁻². Therefore, independent of season, AQUM overestimates northern England column NO₂. Interestingly, the background column NO₂ is larger in
- winter for both AQUM and OMI, but column NO_2 is lower over the source regions in winter than summer (Pope et al., 2014).

Figure 4 shows the MB between AQUM Run C and OMI. The black polygoned regions show significant differences, i.e. where the magnitude of the MB ¹⁵ is greater than the satellite error. In summer, there are significant positive, 5– 10×10^{15} molecules cm⁻², and negative, -10 to -1×10^{15} molecules cm⁻², biases in northern England and the Benelux region, respectively. The negative biases are potentially linked to the coarser resolution EMEP NO_x emissions datasets (50 km × 50 km) which average emissions over a larger grid square causing AQUM to simulate lower column NO₂ than seen by OMI. We hypothesise that the northern England biases are linked to the point source (power station) NO_x emissions from NAEI. This is further discussed in Sect. 4.3. In winter, AQUM overestimates OMI by 1–3 × 10¹⁵ molecules cm⁻² over the North Sea and Scotland, as the modelled winter background column NO₂ is

larger; this is further investigated in Sect. 4.4 by including an additional NO_x sink in the chemistry scheme of the model. The northern England biases seen in summer also extend to winter, $3-5 \times 10^{15}$ molecules cm⁻², suggesting the northern England biases are annual instead of seasonal. Finally, the large bias dipole in the Po Valley appears to be related to the LBCs or the winter emissions, as summer biases are small.



We also compared AQUM against surface observations of NO₂ from AURN, found at http://uk-air.defra.gov.uk/networks/network-info?view=aurn, and maintained by DE-FRA. This was to see if there was a consistent pattern in the biases in the model column and surface NO₂. However, we find similar problems to Savage et al. (2013) where surface AQUM – observation comparisons have systematic negative biases at urban sites. The coarse model resolution, compared to the observation point measurements (even with roadside and traffic sites removed), results in significant model underestimation of NO₂ at all urban regions. Therefore, it is difficult to draw any conclusions on the AQUM skill as the model grid-point data will struggle to reproduce the point measurement observations. Also the spatial coverage of the AURN data is very sparse over the UK and AURN NO₂ measurement interferences from molybdenum converters (Steinbacher et al., 2007) overestimate surface concentrations in rural sites. Therefore, satellite (pixel area) data are the primary observations used to evaluate AQUM in this paper.

15 4.2 Impact of lateral boundary conditions

Figure 5a and b shows results of the sensitivity run with the MACC boundary conditions (Run MACC) and can be compared with Fig. 3a and b. The MACC LBCs have a limited impact on summer column NO₂ with peak concentrations over London and Northern England between 15–20 × 10¹⁵ molecules cm⁻² for both runs MACC and C.
However, in winter Run MACC increases column NO₂ from approximately 12 × 10¹⁵ to 16 × 10¹⁵ molecules cm⁻² over the UK and Benelux region. When compared with OMI (Fig. 5a and b) the limited summer impact of the MACC LBCs results in biases which are similar to those in Fig. 4 from the control run, with biases over northern England, 5–10 × 10¹⁵ molecules cm⁻², and continental Europe, –5 to –3 × 10¹⁵ molecules cm⁻².
In winter Run MACC has enhanced column NO₂ resulting in biases with OMI of between 2–5 × 10¹⁵ molecules cm⁻² across the whole domain, unlike Run C with GEMS LBCs in Fig. 4. The peak positive biases are again over northern England (and the Po



Valley), 5×10^{15} molecules cm⁻², suggesting that AQUM overestimates NO₂ in the region, at the OMI overpass time, independent of season or LBCs. Therefore, the GEMs LBCs appear to give better AQUM column NO₂ forecast skill than MACC does, which is consistent with the findings of Savage et al. (2013) for the comparisons with surface ⁵ ozone.

4.3 AQUM NO_x emissions sensitivity experiments

We hypothesise that significant summer Run C–OMI positive biases in northern England and Scotland (Fig. 4) are caused by the AQUM's representation of point source (mainly power station) NO_x emissions. Therefore, to better understand these biases, we investigate sensitivity experiments of NO_x emissions (Table 1) for June-July-August (JJA) 2006 (Fig. 6a shows JJA Run C–OMI positive biases). Figure 6b–d shows the JJA AQUM NO_x emissions for runs C and E1 (with point sources removed) and their difference. The peak Run C NO_x emissions are around 1.8×10^{-9} kg m⁻² s⁻¹. However, with point sources removed, the differences are 1.8×10^{-9} kg m⁻² s⁻¹ in point source locations, showing that they make up significant part of the emissions budget.

Figure 7a and b highlights the impact of removing point sources as column NO₂ over northern England reduces from $15-25 \times 10^{15}$ molecules cm⁻² to $4-5 \times 10^{15}$ molecules cm⁻². The Run E1-OMI MB now ranges between -10 to -6×10^{15} molecules cm⁻², while the Run C-OMI MB (Fig. 6a) is between 6- 10×10^{15} molecules cm⁻². Therefore, the switch in sign of the biases, of similar magnitude, indicates that the point source emissions play a significant role in the AQUM column NO₂ budget.

Run E2 aimed to test whether the point sources were responsible for the positive biases in Fig. 6a by using an idealised tracer of the power station emissions. Figure 7c shows the JJA tracer column with the OMI AKs applied, where peak columns range around 16–20 × 10¹⁵ molecules cm⁻² over northern England. Inspection of Figs. 6a and



7c suggest that the peak tracer columns overlap with the large Run C-OMI positive biases.

To test this more quantitatively, the spatial correlation between these peak concentrations from Run E2 were compared against a random tracer-MB (Run C) correlation distribution. The largest 100 tracer column pixels in Fig. 7c were compared against the MBs over the same locations in Fig. 6a, yielding a correlation of 0.45. Then, using a Monte-Carlo approach, a random 100 sample of the Fig. 6a land-based MB pixels (we use land bias pixels only as the biases in Fig. 6a are over land) were correlated against the largest 100 tracer sample. This was repeated 1000 times and then sorted from lowest to highest. The 5th and 95th percentiles were calculated at -0.162 and 10 0.158, respectively. Our theory is that if the point sources are responsible for the peak Run C-OMI biases, then the peak tracer concentrations, which represent the point source emissions, should be in the same location as the peak biases. By looking at the random samples correlation, we see how the tracer-MB peak value concentration compares with randomly sampled MB locations. Since 0.45 is above the 95th percentile, 15 this shows the tracer-MB peak correlation value is significant (is actually the greatest correlation - see Fig. 7d) and that AQUM's representation of point source emissions are linked to the AQUM overestimation of column NO₂ in northern England and Scot-

$_{20}$ 4.4 Sensitivity to heterogeneous removal of N₂O₅

land.

Figure 8 shows the winter and summer MBs between AQUM (with LBCs from GEMS) and OMI when heterogeneous hydrolysis of N_2O_5 is implemented in the model with $\gamma = 0.001$ (Run N_2O_5 Low) and $\gamma = 0.02$ (Run N_2O_5 High). In the Run C summer case (see Fig. 4a) there are positive northern England and Scotland biases of around 5– 10×10^{15} molecules cm⁻². We have shown that these positive biases are likely linked to AQUM's representation of point source emissions. However, by introducing N_2O_5 heterogeneous chemistry these positive biases are significantly reduced. In Run N_2O_5 Low (Fig. 8a) there is some impact on the biases as RMSE (over UK domain 8° W–2° E



and 50–60° N) decreases from 3.68×10^{15} to 3.39×10^{15} molecules cm⁻² and FGE (over UK domain 8° W–2° E and 50–60° N) also reduces slightly. In Run N₂O₅High (Fig. 8c) many of the positive biases over point sources are now insignificant and the RMSE decreases to 3.08×10^{15} molecules cm⁻². However, over parts of continental Europe the intensity and spread of negative biases has increased, thus suggesting that $\gamma = 0.02$ might be too strong an uptake here. The FGE does go up slightly to 0.67 and we suspect that this is due to the introduction of negative biases over relatively clean or moderately polluted areas (e.g. the Irish Sea and parts of the continent). Note that the correction of errors of large magnitude (e.g. over point sources) reduces

- RMSE because this metric penalises the large deviations between the model and the satellite-retrieved columns, while the introduction of errors of low magnitude over less polluted areas might increase the normalised errors given by FGE. The changes at the point source locations are most significant because of the large emissions of NO_x and aerosols suitable for this heterogeneous process to take place. Therefore,
- ¹⁵ we suggest that while AQUM's representation of point sources results in the summer northern England/Scotland positive biases, including N₂O₅ heterogeneous chemistry with $\gamma = 0.02$ will partially account for this. In winter, the positive biases seen in Fig. 4b, 2–5 × 10¹⁵ molecules cm⁻², decrease as γ increases, similarly as found for summer. In Run N₂O₅Low (Fig. 8b) the spatial spread of significantly positive biases ²⁰ is only partially reduced, resulting in small decreases of RMSE (from 5.12 × 10¹⁵ to
- ²⁰ Is only partially reduced, resulting in small decreases of RMSE (from 5.12 × 10⁻⁻ to 5.05×10^{15} molecules cm⁻²) and FGE (from 0.63 to 0.62). For Run N₂O₅High (Fig. 8d) the cluster of significantly positive biases has decreased spatially yielding the best comparisons, with RMSE and FGE values of 4.48×10^{15} molecules cm⁻² and 0.60, respectively.

25 5 Conclusions

We have successfully used OMI satellite observations of column $\rm NO_2$ over the UK to further explore the AQUM performance, extending on previous validation of the model



which had only used surface data. In order to do this we have looked in detail at the satellite errors (random, systematic and smoothing) and derived an algorithm which reduces the retrieval random error component when averaging retrievals. This allows more critical AQUM-satellite comparisons as the time average random error component 5 can be reduced by 30–90 % in all seasons.

Based on the summer and winter comparisons, the standard (operational) AQUM overestimates column NO₂ over northern England/Scotland by 5– 10×10^{15} molecules cm⁻² and over the northern domain by 2– 5×10^{15} molecules cm⁻². The use of a different set of lateral boundary conditions (from the MACC reanalysis), which are known to increase AQUM's surface ozone positive bias (Savage et al., 2013), also increases the error in the NO₂ columns. The AQUM column NO₂ is increased, especially in winter, by 2– 5×10^{15} molecules cm⁻², resulting in poorer comparisons with OMI.

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From multiple sensitivity experiments on the UK NO_x point source emissions we con-¹⁵ clude that it was AQUM's representation of these emissions which caused the northern England/Scotland summer biases. By emitting an idealised tracer in the NO_x points sources we found a significant correlation of the peak tracer columns to the AQUM – OMI MBs. Finally, introducing N₂O₅ heterogeneous chemistry in AQUM improves the AQUM–OMI comparisons in both seasons. In winter, the spatial extent of positive bi-²⁰ ases, 2–5 × 10¹⁵ molecules cm⁻², decreases. In summer, the northern England biases decrease both spatially and in magnitude from 5–10 to 0–5 × 10¹⁵ molecules cm⁻².

Therefore, this suggests that in summer the AQUM's representation of NO_x point sources is inaccurate but can be partially masked by the introduction of N_2O_5 heterogeneous chemistry.

As this study has shown the potential use of satellite observations, along with the time-averaged random error algorithm, to evaluate AQUM, the data could be used in future to evaluate operation air quality forecasts. We also show that the heterogeneous loss of N_2O_5 on aerosol is an important sink of NO_2 and should be included in the operational AQUM.



Appendix A

The equations for mean bias (MB), root mean square error (RMSE), modified normalised mean bias (MNMB) and the fractional gross error (FGE) are given here, where *f* is the model output, *o* is the satellite measurements, *N* is the total number of elements and *i* is the index. Mean Bias (MB):

$$MB = \frac{1}{N} \sum_{i} (f_i - o_i)$$

Modified Normalised Mean Bias (MNMB):

$$MNMB = \frac{2}{N} \sum \frac{(f_i - o_i)}{f_i + o_i}$$

Root Mean Square Error (RMSE):

10 RMSE =
$$\sqrt{\frac{1}{N}\sum_{i}(f_i - o_i)^2}$$

Fractional Gross Error (FGE):

$$FGE = \frac{2}{N} \sum_{i} \left| \frac{f_{i} - o_{i}}{f_{i} + o_{i}} \right|$$
(A4)

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Table 1. List of AQUM runs and experiments.

Run ID	Run Description
С	Control run (GEMS LBCs)
MACC	MACC LBCs
E1	No point sources emissions
E2	Idealised point source tracer
N ₂ O ₅ Low	With N_2O_5 heterogeneous chemistry with $\gamma = 0.001$
N ₂ O ₅ High	As run N_2O_5 Low but with $\gamma = 0.02$





Figure 1. Example OMI averaging kernels for London (top) and Dartmoor (bottom) for summer (right) and winter (left) 2006. Averaging kernels have been coloured to their respective tropospheric air mass factor values.





Figure 2. New seasonal satellite mean error, obtained by reduction of random error using the methodology described in Sect. 2, as a percentage of simple seasonal mean of satellite total error for 2006. Smoothing errors have been removed. **(a)** Summer and **(b)** winter.

















Figure 5. Tropospheric NO₂ column (× 10¹⁵ molecules cm⁻²), 2006, from AQUM Run MACC (AKs applied) for **(a)** summer and **(b)** winter. AQUM Run MACC (AKs applied) and OMI mean bias for **(c)** summer (RMSE = 3.74×10^{15} molecules cm⁻² and FGE = 0.63) and **(d)** winter (RMSE = 6.00×10^{15} molecules cm⁻² and FGE = 0.65).





Figure 6. AQUM Run C (AKs applied)–OMI tropospheric NO₂ column (× 10^{15} molecules cm⁻²) JJA 2006 mean bias. These are the control MBs to compare to the point source sensitivity experiments (RMSE = 3.64×10^{15} molecules cm⁻² and FGE = 0.66). NO_x emissions (× 10^{-9} kg m⁻² s⁻¹), JJA 2006, used in AQUM for (**b**) Run C and (**c**) Run E1. (**d**) shows the difference between (**b**) and (**c**).





Figure 7. Tropospheric column ($\times 10^{15}$ molecules cm⁻²), JJA 2006, for **(a)** AQUM Run E1 NO₂ (AKs applied), **(b)** AQUM Run E1 NO₂ (AKs applied)–OMI (RMSE = 3.02×10^{15} molecules cm⁻² and FGE = 0.68) and **(c)** AQUM Run E2 Tracer (AKs applied). **(d)** Peak Run E2 and co-located Run C–OMI MB correlation (red star) significance distribution. Black dots are Run E2 and random Run C–OMI MB correlations. Blue X = 5th and 95th percentiles of the 1000 size sample.





Figure 8. MB in tropospheric NO₂ column (× 10¹⁵ molecules cm⁻²), 2006, between AQUM (AKs applied)–OMI for **(a)** summer $\gamma = 0.001$ (RMSE = 3.39×10^{15} molecules cm⁻² and FGE = 0.65), **(b)** winter $\gamma = 0.001$ (RMSE = 5.05×10^{15} molecules cm⁻² and FGE = 0.62), **(c)** summer $\gamma = 0.02$ (RMSE = 3.08×10^{15} molecules cm⁻² and FGE = 0.67) and **(d)** winter $\gamma = 0.02$ (RMSE = 4.48×10^{15} molecules cm⁻² and FGE = 0.60).

