

1 **Estimating local atmosphere-surface fluxes using eddy**
2 **covariance and numerical Ogive optimization**

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1 **Abstract**

2 Estimating representative surface-fluxes using eddy covariance leads invariably to questions
3 concerning inclusion or exclusion of low-frequency flux contributions. For studies where fluxes are
4 linked to local physical parameters and up-scaled through numerical modeling efforts, low-
5 frequency contributions interfere with our ability to isolate local biogeochemical processes of
6 interest, as represented by turbulent fluxes. No method currently exists to disentangle low-
7 frequency contributions on flux estimates. Here, we present a novel comprehensive numerical
8 scheme to identify and separate out low-frequency contributions to vertical turbulent surface fluxes.
9 For high flux-rates ($|\text{Sensible heat flux}| > 40 \text{ Wm}^{-2}$, $|\text{latent heat flux}| > 10 \text{ Wm}^{-2}$ and
10 $|\text{CO}_2 \text{ flux}| > 170 \text{ mmol m}^{-2}\text{d}^{-1}$) we found that the average relative difference between fluxes
11 estimated by Ogive optimization and the conventional method was low (5–20%) suggesting
12 negligible low-frequency influence and that both methods capture the turbulent fluxes equally well.
13 For flux-rates below these thresholds, however, the average relative difference between flux
14 estimates was found to be very high (23–80%) suggesting non-negligible low-frequency influence
15 and that the conventional method fails in separating low-frequency influences from the turbulent
16 fluxes. Hence, the Ogive optimization method is an appropriate method of flux analysis, particularly
17 in low-flux environments.

18

19 **1 Introduction**

20 The eddy covariance (EC) technique allows for direct, continuous and non-invasive tower-based
21 ecosystem-scale estimation of surface-atmosphere scalar fluxes by simultaneous sampling of
22 atmospheric fluctuations of wind and scalars (e.g. Baldocchi, 2008). These characteristics, along
23 with ease of operation, have promoted the widespread application of the technique in both short-
24 term experiments and long-term monitoring network operations (e.g. FLUXNET, CarboEurope,
25 EuroFlux, and AmeriFlux).

26 Reliable flux estimation in a local environment is often complicated by a number of issues relating
27 to the large range of fluctuation-scales which drive fluxes (Stull, 1988). Fluxes driven by high-
28 frequency fluctuations (turbulence) are inherently local in nature whereas fluxes driven by low-
29 frequency fluctuations are associated with e.g. topographical forcing on the observed flow, or large-
30 scale meteorological phenomena, including gravity waves, deep convection and large roll vortices

1 (Lee et al., 2004). Traditionally the presence of a spectral gap (Stull, 1988) is assumed to exist
2 between these contributions, allowing investigators to disentangle contributions simply by
3 separating continuous observations into quasi-stationary intervals each yielding one flux estimate.
4 However, the existence of a distinct spectral gap is unclear (Lee et al., 2004) and a growing body of
5 work suggests that low-frequency contributions may often be non-negligible even for relatively flat
6 sites. Furthermore studies have shown that the low-frequency contributions are highly site-specific
7 and characterized by significant uncertainty (Aubinet et al., 2010; Loescher et al., 2006; Yi et al.,
8 2008). Hence, observations of atmospheric fluctuations are likely to reflect some degree of
9 convolution between signals of local turbulent contributions and site/time-specific low-frequency
10 contributions.

11 The importance of including vertical low-frequency contributions in studies is debated. For
12 instance, some studies suggest that inclusion may improve closure in energy and carbon-balance
13 studies (Finnigan et al., 2003; Mahrt, 1998; Sakai et al., 2001; von Randow et al., 2002), while
14 other studies suggest otherwise (Aubinet et al., 2010). Kanda et al. (2004) demonstrated that,
15 although the systematic bias decreased when including low-frequency contributions, the variance
16 between flux-estimates increases greatly. In other words, any single flux-estimate becomes
17 vulnerable to random low-frequency contributions, and thus increasingly difficult to interpret in
18 terms of local surface fluxes. Moreover, it has been commented that horizontal low-frequency
19 contributions, which are typically assumed negligible, may become significant during certain
20 conditions (Yi et al., 2008; Zeri et al., 2010).

21 Accordingly, we can distinguish between two principal applications of the EC technique: (1)
22 process-oriented studies in which fluxes are being linked to local biogeochemical processes for
23 parametric insight into universal causal flux-relationships and up-scaled through numerical
24 modeling, and (2) long-term net ecosystem-exchange studies in which the flux estimates are
25 understood to be site-specific, applying only for the unique conditions of a particular ecosystem.
26 This study will focus on (1) and we will refer to the turbulence driven fluxes as locally meaningful
27 fluxes, following Lee et al. (2004).

28 For process-oriented studies, a number of typical approaches exist to estimate locally meaningful
29 fluxes. These include: (1) adjusting the flux averaging time to strike an appropriate balance between
30 adequate sampling of the turbulent flux contribution while avoiding excessive inclusion of low-
31 frequency contributions (Sun et al., 2006); (2) ensuring horizontal homogeneous conditions within

1 the foot print of the flux; (3) estimating vertical low-frequency contribution by performing profile
2 measurements of fluxes on a single tower (Lee, 1998; Leuning et al., 2008) and filtering out
3 observations reflecting excessive low-frequency influence (Novick et al., 2014); (4) filtering
4 observations based on co-spectral similarity with theoretical co-spectra assumed to represent local
5 flux distributions for ideal site-conditions (Hojstrup, 1981, 1982; Hunt et al., 1985; Kaimal, 1978;
6 Kaimal et al., 1972; Moore, 1986; Moraes, 1988; Moraes and Epstein, 1987; Olesen et al., 1984);
7 (5) estimating the ideal turbulent contribution by matching the observed co-spectral peak with that
8 of a theoretical distribution (Sorensen and Larsen, 2010).

9 While each method has its merits none is universally applicable and without its caveats. In the
10 absence of a distinct spectral gap between contributions, separating flux contributions by adjusting
11 the flux averaging time will inevitably fail. Moreover given the evolving nature of the natural flow
12 a proposed spectral gap is likely to change in character over time, indicating that setting a fixed
13 averaging time for an entire experiment inevitably causes some misrepresentation of fluxes. In the
14 limit of low absolute covariance (i.e.: small fluxes) a relative large variance of the co-spectra
15 estimates complicate the comparison between observed and theoretical co-spectra. While such cases
16 could be treated as reflecting observations approaching the detection limit of the system, and
17 discarded accordingly, they are important for exchange studies over low-flux surfaces such as sea-
18 ice creating a demand for a new approach here.

19 Ensuring co-spectral similarity requires a number of site/system-specific empirical co-spectral
20 corrections to account for high-frequency noise/dampening produced by the presence of the EC
21 system in the observed flow as well as signal dampening in closed path systems, greatly
22 complicating the approach (Aubinet et al., 2000; De Ligne et al., 2010; Kaimal, 1968; Massman and
23 Ibrom, 2008; Moncrieff et al., 1997; Moore, 1986; Silverman, 1968). Matching the co-spectral peak
24 solves the issue of excessive scaling-offset mentioned above, but increases the risk of subjective
25 analysis.

26 Here, we present a novel method for estimating locally meaningful atmosphere-surface fluxes
27 despite low-frequency influences, using a single eddy covariance system and a numerical modeling
28 scheme for Ogive optimization. Accordingly we call this method Ogive optimization. Ogive
29 optimization makes no assumptions regarding optimal flux averaging time or the presence of a
30 spectral gap and improves the flux estimates by also considering contributions in the very high/low
31 frequency ranges. To evaluate the method, we applied it on eddy covariance observations of

1 sensible heat, latent heat and CO₂-flux at five sites covering different ranges of fluxes, ecosystem-
 2 types and topographical conditions. Results were compared with the conventional eddy covariance
 3 method both in terms of flux estimate yield and flux difference relative to flux strength.

4

5 **2 Method and theory**

6 **2.1 Eddy covariance and spectral analysis**

7 The theory of eddy covariance is well established (e.g. Baldocchi, 2008). Average surface fluxes of
 8 sensible heat, latent heat and CO₂ may be estimated over a large upwind area (Kljun et al., 2004;
 9 Kormann and Meixner, 2001) using fast response instruments by:

$$Q_{\text{SENS}} = c_p \rho_d \overline{w' \theta_v'} \quad (1a)$$

$$Q_{\text{LAT}} = L_h c_{ds} \overline{w' r_q'} \quad (1b)$$

$$F_{\text{CO}_2} = c_{ds} \overline{w' r_c'} \quad (1c)$$

10 Where Q_{SENS} is the sensible heat flux, c_p is the specific heat of dry air, ρ_d is the mass density of dry
 11 air, e.g. $w = \bar{w} + w'$ is the Reynolds decomposition of vertical wind-speed into its average (\bar{w}) and
 12 turbulent (w') components, θ_v is potential virtual temperature, Q_{LAT} is the latent heat flux, L_h is the
 13 latent heat of vaporization, c_{ds} is the molar concentration of dry air [$\text{mol}_{\text{dry}} \text{m}^{-3}$], F_{CO_2} is the CO₂-
 14 flux and $r_q = \text{mol}_q \text{mol}_{\text{dry}}^{-1}$ and $r_c = \text{mol}_c \text{mol}_{\text{dry}}^{-1}$ are the dry mixing ratio of humidity and CO₂
 15 concentration scalars, respectively. The terms $\overline{w' \theta_v'}$, $\overline{w' r_q'}$ and $\overline{w' r_c'}$ are the covariance between
 16 turbulent fluctuations of vertical wind and turbulent fluctuations of potential virtual temperature and
 17 dry mixing ratio of humidity and CO₂ concentration, respectively. For Eqn. (1) to truly represent the
 18 vertical fluxes a number of assumptions should be met during field operation. Principal among
 19 these are stationarity of the observation ($\frac{\partial \xi}{\partial t} = 0$), horizontal homogeneity ($\frac{\partial \xi}{\partial x_1} = 0$ & $\frac{\partial \xi}{\partial x_2} = 0$),
 20 mass conservation ($\bar{w} = 0$ & $\frac{\partial s_j}{\partial x_j} = 0$), negligible density flux ($\frac{\rho'}{\rho} \ll 1$) and a vertical constant
 21 flux layer (e.g. $\frac{dF_{\text{CO}_2}}{dz} = 0$) (Foken and Wichura, 1996). Here, ρ is the air density, x_j represents the
 22 three axes of observed flow, $\zeta = \{u, v, w\}$ is the wind vectors, $s = \{\theta_v, r_q, r_c\}$ is the scalars of
 23 interest and $\xi = (\zeta, s)$ is the latter two combined.

1 Flux estimates (eq. 1) may be decomposed into frequency-dependent contributions, called co-
2 spectra $Co_{ws}(f)$, between vertical wind-velocity w and the scalars of interest s , for frequencies f .
3 Deviations of observed co-spectra from theoretical co-spectra (Kaimal et al., 1972; Moore, 1986)
4 can be linked to a number of issues including influence of the eddy covariance system on the flow,
5 oscillations of the tower (or ship), topographical forcing on the flow, etc., and is often used to filter
6 out observations characterized by excessive non-turbulent influence (Novick et al., 2014).

7 Subsequently we may perform an Ogive analysis (Desjardins et al., 1989; Foken et al., 2006; Lee et
8 al., 2004). The analysis requires the same basic assumptions and involves the cumulative
9 summation of co-spectral energy, starting from the highest frequencies,

$$Og_{ws}(f_0) = \int_{f_0}^{\infty} Co_{ws}(f)df \quad (2)$$

10 The principal use of Ogives is to estimate the optimal flux averaging time as the point of
11 convergence of cumulative co-spectral energy to an asymptote (Berger et al., 2001; Foken et al.,
12 2006). However, low-frequency influences may result in Ogives which instead either converge to
13 an extremum or diverge, depending on the direction of low-frequency fluxes. Such conditions may
14 arise in the absence of a distinct spectral gap during significant overlap of high-frequency
15 (turbulent) and low-frequency flux contributions. Depending on the severity of the deviation from
16 asymptotic behaviour an optimal averaging time can be impossible to determine. Such cases are
17 conventionally considered to be in-stationary and no flux estimation is possible.

18

19 **2.2 Why eddy covariance often fails to capture local fluxes**

20 Fig. 1 illustrates a number of observational situations showing examples of how low-frequency
21 influence could affect our ability to capture local fluxes. In the figure, situations are shown using
22 both co-spectral and Ogive plots.

23 In the ideal case (Fig. 1a), turbulent and low-frequency flux contributions are separated by a
24 spectral gap, allowing investigators to isolate the former simply by choosing an appropriate flux
25 averaging time T_1 and using fast response instruments recording at frequency T_2 . Accordingly, the
26 corresponding Ogive distribution is seen to converge to a stable flux estimate within T_1 (Fig. 1b).
27 Both turbulent fluxes and the low-frequency contribution, shown in Fig. 1b as a blue region of

1 Ogive-divergence relative to asymptotic convergence, may be positive or negative, though the
2 former has been illustrated as positive here.

3 Given the unclear existence of a spectral gap (Lee et al., 2004), however, another more general
4 situation is the case (Fig. 1c) of overlapping contributions from low-frequency motions, turbulence
5 and site and instrument-specific noise/dampening. One way to strike a balance between adequate
6 inclusion of the turbulent contribution and exclusion of excessive low-frequency influence is by
7 adjusting T_1 . Typically a fixed averaging time is set for an entire experiment (here 30 min is shown)
8 and the flux errors are assumed, or tested (e.g. Novick et al., 2014), to be negligible. In the high-
9 frequency end of the spectrum, instrument response limitations may prevent observation of the
10 smallest scales of turbulence contribution (Here 10 Hz is shown). Furthermore, noise and/or
11 dampening may at times be reduced by application of site-specific co-spectral corrections, called
12 transfer functions (Aubinet et al., 2000; De Ligne et al., 2010; Massman and Ibrom, 2008; Kaimal,
13 1968; Moncrieff et al., 1997; Moore, 1986; Silverman, 1968). Accordingly the Ogive distribution
14 indicates negligible influence on the flux estimates for a 30 min averaging time and an instrument
15 response time of 20 Hz (Fig. 1d). Note that the influence of dampening and noise on the Ogive
16 distribution occurs in the reverse (Fig. 1d) relative to co-spectral space (Fig. 1c).

17 Observations reflecting excessive low-frequency influence, relative to the turbulent contribution,
18 (Fig. 1e) are typically discarded. This is because strong relative low-frequency influence results in
19 non-negligible flux contribution to the overall estimate and further obstructs any efforts to separate
20 contributions by adjusting the flux averaging time (Figure 1f). The use of the term relative in this
21 context refers to the fact that an identical problem can arise despite modest low-frequency influence
22 when estimating fluxes in a low-flux environment. Flux estimation in such environments are often
23 further complicated by a high ratio of co-spectral variance to actual turbulent flux contribution. This
24 prohibits unambiguous evaluation of similarity between observed co-spectra and theoretical co-
25 spectrum distributions, as well as proper estimation of the co-spectral peak (Sorensen and Larsen,
26 2010).

27

28 **2.3 Formation of averaging intervals**

29 In order to fulfil the stationarity requirement described in Sect. 2.1, continuous observations are
30 typically subdivided into averaging intervals. Averaging interval time T_1 has conventionally been

1 assumed constant, based on the requirements that T_1 should be long enough to reduce random error
2 (Berger et al., 2001; Lenschow and Stankov, 1986) and short enough to avoid low-frequency
3 influence associated with non-stationarity (Foken and Wichura, 1996; Vickers and Mahrt, 1997).
4 However, as noted, adjustment of T_1 will not generally allow for separation of turbulent and low-
5 frequency flux contributions. Here, we propose a method that makes no assumption regarding the
6 presence of a spectral gap. Instead we require averaging time to be as long as necessary while
7 ensuring stationarity of the local processes, irrespective of the temporal evolution of low-frequency
8 contributions.

9 The following is an iterative scheme for developing averaging intervals based on basic data quality
10 requirements. Data collected during a field-experiment is considered continuous with end-points
11 T_{\min} and T_{\max} , despite the presence of gaps. A range of possible subsets are preliminarily
12 determined based on a linear series of interval midpoints $T_m(i)$ within the range $T_{\min} + \frac{T_1}{2}$ to
13 $T_{\max} - \frac{T_1}{2}$ in intervals of T_1 , and dataset lengths $T_w(i)$ within the range 10 min to 60 min in 5 min
14 intervals. Here T_1 is set according to desired temporal resolution of flux-estimates. For this study
15 we use site-specific settings of $T_1 = 5$ min, $T_1 = 15$ min and the conventional $T_1 = 30$ min to
16 strike a balance between desired temporal resolution and computational cost of running the Ogive
17 optimization method. The minimum dataset length is chosen to be 10 min for the Ogive function to
18 yield statistically representative estimates of the scales of turbulence-driven fluxes and the
19 maximum dataset length is chosen to be 60 min to ensure approximate stationarity of the local
20 turbulence-driven fluxes.

21 Using an iterative bisectional algorithm for enhanced computational speed, combinations of $T_m(i)$
22 and $T_w(j)$ are evaluated with regard to a number of basic quality assessment criteria to obtain the
23 longest dataset around $T_w(j)$, which passes the assessment criteria. These include absence of
24 instrument diagnostics errors, absence of long data gaps, favourable mean wind-direction and
25 reasonably narrow range of wind-directions ($\leq 60^\circ$). Minor spiking ($\leq 1\%$) is corrected based on
26 the median of surrounding data-points and the dataset is discarded otherwise (for spiking $> 1\%$)
27 according to Vickers and Mahrt (1997). Other quality assessment includes the requirement that
28 momentum fluxes should be negative. Evidence to the contrary would imply a disconnection
29 between the upwind surface processes and the point of observation on the tower, a condition
30 typically associated with low wind conditions. However, momentum fluxes may also be affected by
31 low-frequency contributions. As direction, but not strength, of the turbulent momentum flux is

1 relevant we may simplify by calculating an Ogive distribution Og_{wU} based on the momentum flux
2 co-spectrum Co_{wU} , where U is the horizontal along-wind component, and simply verify that
3 $Og_{wU} < 0$ at the mid-range natural frequency of $f_m = 0.1$ Hz. The choice of f_m reflects a spectral
4 region least impacted by noise, dampening and low-frequency influences. Typically around 4
5 estimates of $T_w(j)$ are evaluated by the iterative bisectional algorithm for each $T_m(i)$ before the
6 optimal $T_w(j)$ is determined.

7 Finally, signals with very rapid evolutions such as transient signals in dynamic systems like eddy
8 covariance observations may undergo abrupt changes associated with observational interference e.g.
9 electrical interference or instrument error. These are referred to as dropouts and discontinuities in
10 Vickers and Mahrt (1997). Global transforms, like the Fourier transform, are usually not able to
11 detect these events. In contrast, Wavelet transforms such as the Haar transform, permit a localized
12 evolutionary spectral study of signals, thus allowing for detection of subtle signal discontinuities
13 leading to semi-permanent changes (Lee et al., 2004; Mahrt, 1991; Vickers and Mahrt, 1997). In
14 this study we perform the Haar analysis for the data-set $T_w(j)$, given by the bisectional algorithm,
15 and observations are sorted in three categories (good, soft flag, hard flag) according to the presence
16 and severity of signal discontinuities (Vickers and Mahrt, 1997). Conventionally these events are
17 thought to preclude flux estimation on the basis of stationarity violations. Accordingly we discard
18 such datasets (hard flags) when applying the conventional eddy covariance method. However, as
19 will be shown, we find in this study that the Ogive optimization method allows for convincing flux
20 estimation in many cases of soft and hard flags. Therefore no flux estimates derived using the Ogive
21 optimization are discarded, unless visually inspected.

22

23 **2.4 The Ogive optimization method**

24 **2.4.1 Mass Ogive calculation**

25 As noted, adjusting the flux averaging time will not generally allow for separation of turbulent and
26 low-frequency flux contributions. Subtracting a running mean from observed signals, as opposed to
27 the conventional linear detrending, allows for enhanced filtering of low-frequency contributions
28 alone (Sakai et al., 2001; Mcmillen, 1988). Consequently some combination of data-set length
29 (averaging time) and running mean window size might allow for filtering out of low-frequency

1 contributions while retaining turbulent contributions. Note that both adjusting the flux averaging
2 time and subtracting a running mean from the observed signal may, in many cases, provide
3 sufficient separation of turbulent fluxes and low-frequency contributions. Here we apply both to
4 arrive at a more generally applicable approach. We visualize this concept by calculating co-spectra,
5 and corresponding Ogives, for a very large number of data permutations and derive a map of the
6 resulting Ogive density pattern (Fig. 2a). The figure illustrates the density of 10,000 individual
7 sensible heat-flux Ogives based on the following data perturbations: 50 linear increments on the
8 averaging time axis between 5 min and the maximum time available (60 min in this example) and
9 200 linear increments for the running mean window in the range of one minute to half the length of
10 the dataset in question (30 min in this example). The standard 30 min linear detrended Ogive is
11 marked in red.

12 What is clear immediately in this particular example is the strong consistency between individual
13 Ogive representations. This suggests that the fluxes are very well defined for this particular period
14 with an actual flux around -55 Wm^{-2} following the convergence to a horizontal asymptote. A
15 classic Ogive shape. The flux estimate for a regular 30 min linear detrended dataset (red) appears
16 representative of the overall Ogive pattern as well. The presence of haze on the graph below
17 -60 Wm^{-2} suggests that a small part of the permutations states are affected by low-frequency
18 motions. The presence of these large-scale motions is in part supported by the Haar analysis, which
19 has soft-flagged the temperature signal (Fig. 2b). Additionally, inspection of a running covariance
20 with a 5 min window (Fig. 2c) indicates the onset of increased flux variability in the range 20 min –
21 60 min.

22

23 **2.4.2 The model and the optimization method**

24 Unfortunately not all Ogive density maps indicate as well defined fluxes as shown in Fig. 2. In such
25 cases, answering the overall question of most likely flux requires the fitting, or optimization, of an
26 Ogive model to the Ogive density map. With the introduction of an optimization aspect the
27 advantage of performing the analysis for Ogives, as opposed to co-spectra, becomes clear. In the
28 limit of low absolute covariance (i.e.: small fluxes), co-spectra typically become increasingly
29 characterized by both positive and negative frequency-wise flux contributions. The co-spectral

1 model, however, can only account for fluxes in one direction. Observed and modelled Ogives, in
 2 contrast, are able to describe and account for this bi-directionality.

3 The basic premise in our model solution is that a region exists in the mid-to-high frequency range of
 4 the Ogive representation, which is least impacted by noise, dampening and low-frequency
 5 influences. This was illustrated in Fig. 1bdf. While such a region is clearly evident in Fig. 2a for
 6 $f > 5 \cdot 10^{-2}$ the example also illustrates the real advantage of performing a large number of data
 7 perturbations and deriving a density map of possible solutions: the most likely Ogive distribution of
 8 the observation in question stands out as a very well defined pattern, which for this particular
 9 observation allows us to extend our understanding of the ongoing fluxes all the way to the lower
 10 observational bound ($f = 2.5 \cdot 10^{-4}$, or 60 min). While the co-spectral peak method (Sorensen and
 11 Larsen, 2010) bases its flux estimation on one point within this representation (i.e. the peak) we
 12 base our flux estimate on the entire range to enhance the certainty.

13 To describe the most likely flux resulting from a given Ogive density pattern we apply the
 14 generalized co-spectral distribution model (Lee et al., 2004)

$$fCo(f) = A_0 \frac{\left(\frac{f}{f_x}\right)}{\left[1 + m \left(\frac{f}{f_x}\right)^{2\mu}\right]^{\frac{1}{2\mu} \left(\frac{m+1}{m}\right)}} \quad (3)$$

15 Where a number of parameters are tuned to change the appearance of the co-spectral distribution:
 16 A_0 is a normalization parameter, μ is a broadness parameter controlling the shape of the spectrum, f
 17 is the natural frequency, f_x is a horizontal offset of the distribution and $m = 3/4$ is a constant
 18 describing co-spectra characterized by a $-4/3$ power law in the inertial subrange. Subsequently an
 19 Ogive distribution is calculated using (eq. 2). We set $A_0 = 1$ and instead scale the low-frequency
 20 end-point f_1 (equivalent to the averaging time T_1) of the Ogive distribution to a variable parameter
 21 F_0 to allow for more direct flux control. This is particularly convenient when formulating
 22 reasonable limits on fluxes for the optimization algorithm described below.

23 One important aspect considered is the concept of local fluxes that cannot be observed directly. The
 24 problem may arise in the low-frequency range as over/under-estimation of covariance due to
 25 inclusion of low-frequency contributions or the use of inadequate averaging times. Similarly in the
 26 high-frequency range the problem may arise as under-estimation of covariance due to inadequate
 27 sensor frequency, attenuation and distortion by both the spatial averaging of the sensors and the

1 sampling and filtering of the sensor electronics. This is illustrated in Fig. 3a. Actual flux,
 2 represented by an ideal theoretical co-spectrum (red line) is shown alongside a corresponding Ogive
 3 (black line). In the case of insufficient observation time (here 20 min) and observational frequency
 4 (here $f_{\text{nyquist}} = 5\text{hz}$) the missing range of observed fluxes can be illustrated as an orange area
 5 below the co-spectrum and as equivalent blue ranges in the negative and positive Ogive axes,
 6 respectively. The corrected flux is shown as a dashed black line and is derived as $F_{\text{cor}} = F +$
 7 $F_{\text{LF1}} - F_{\text{HF1}}$, where F is the uncorrected flux. Note that F_{HF1} is subtracted as it is of opposite sign
 8 relative to F . Secondly, because theoretical models are empirical representations, verified only
 9 within a certain frequency range, it becomes tempting to investigate how much, if any, of the fluxes
 10 are being left out by such a restriction in observational range, assuming that the model is valid
 11 outside this frequency range. The consequent extrapolation of model results beyond actual observed
 12 frequencies is illustrated in Fig. 3b and the corrected flux (dashed black line) may similarly be
 13 derived as $F_{\text{cor}} = F + F_{\text{LF2}} - F_{\text{HF2}}$.

14 Combined the corrections amount to $F^{O^2} = F + (F_{\text{LF1}} + F_{\text{LF2}}) - (F_{\text{HF1}} + F_{\text{HF2}})$ where O^2 is
 15 adopted as shorthand mathematical notation for Ogive optimization. In practice the corrections are
 16 accounted for by combining F and all low-frequency contributions into one parameter: $F_0 = F +$
 17 $F_{\text{LF1}} + F_{\text{LF2}}$, which controls the shape of the Ogive model, and by adding a high-frequency vertical
 18 offset $F_{\text{HF}} = -(F_{\text{HF1}} + F_{\text{HF2}})$. In total we are thus left with four tunable parameters: F_0 , F_{HF} , μ and
 19 f_x , for which the final model-estimated flux is:

$$F^{O^2} = F_0 + F_{\text{HF}} \quad (4)$$

20 Our goal is to tune the parameters of the Ogive model to achieve an optimal fit to the density map.
 21 That is, to find the parameter combination, for which the model Ogive follows optimally the
 22 strongest densities in the density map (Fig. 2a). A number of local and global optimization
 23 techniques were investigated in terms of accuracy and speed. The final steps taken in optimizing the
 24 model with respect to the Ogive density map include optimization of a random parameter guess
 25 within reasonable parameter bounds using a fast local optimization algorithm and a slower, but
 26 robust, Darwinian evolution-style global optimization algorithm called Differential Evolution (Storn
 27 and Price, 1997). The optimization is performed for a number of frequency intervals and the final
 28 solution is chosen by subjective visual inspection. An in-depth explanation of these steps can be
 29 found in Appendix A.

1 One intriguing consequence of including a modeling and optimization aspect is that the inevitable
2 occurrence of overlapping data-intervals does not relate linearly to interdependency of successive
3 flux estimates, suggesting that the Ogive optimization approach allows for very high temporal
4 resolution of flux evolution at less expense in terms of flux independence.

5

6 **2.5 Field sites**

7 To evaluate the Ogive optimization method, five sites reflecting different environments in terms of
8 ecosystem, topography and flux strengths (Q_{SENS} , Q_{LAT} and F_{CO_2}) are investigated (Fig. 4).

9 **2.5.1 High-flux environment**

10 The Abisko field-site (Fig. 4a) is located in Stordalen (68°21.248N, 19°3.02E), a mixed mire 10 km
11 east of Abisko in subarctic Sweden, the site of a number of past and ongoing studies on mire carbon
12 fluxes (e.g. Christensen et al., 2012; Jackowicz-Korczynski et al., 2010). The measuring mast is
13 situated on the coastal edge of a minerotrophic fen dominated by sedges and a lake environment.
14 Wind patterns consistently alternate between the upwind fen-environment signal towards the west
15 and the upwind lake-environment signal towards the east. Hence we treat the observations
16 separately as fen- and lake-sites, respectively. Continuous eddy covariance observations were
17 conducted from 2 July to 1 August, 2012. Site instruments include an R3 sonic anemometer (Gill
18 Instruments®, Lymington UK) mounted on top of the mast at 2.9 m height and an LI-7500 open
19 path gas analyzer (LI-COR®, Lincoln, NE, USA) on a boom extending towards the southwest at
20 2.5 m height, with a 0.43 m horizontal offset along the boom and a slight tilt of the instrument
21 relative to the vertical plane to allow water dripping. Data were logged at 10 Hz. Observations
22 reflecting wind origins along the boom axis were filtered out to limit flow distortion. Flux estimates
23 were evaluated in intervals of: $T_1^{\text{ABI}} = 30$ min.

24 **2.5.2 Intermediate-flux environment**

25 The RIMI site (Fig. 4b) is an active FLUXNET site (e.g. Groenendijk et al., 2011; Stoy et al., 2013;
26 Yi et al., 2010) located in a large flat homogeneous grassland area (55°40.998'N, 12°04.998'E)
27 south of the research campus Risø in Eastern Denmark, surrounded by water and a suburban
28 neighbourhood beyond 500 m. The dataset presented here consists of continuous eddy covariance
29 observations from the period 16 March to 3 May, 2009. Site conditions suggest intermediate fluxes

1 with limited impact from topographical flow distortion. Site instruments include an R2 sonic
2 anemometer (Gill Instruments®, Lymington UK) and an LI-7500 open path gas analyzer (LI-
3 COR®, Lincoln, NE, USA) mounted on the same boom at heights of 2.2 m and 2.1 m respectively
4 extending from the side of a 10 m mast, with a horizontal offset along the boom of 0.40 m. Raw
5 data were logged at 20 Hz. Observations reflecting wind origins along the boom axis were filtered
6 out to limit flow distortion. Flux estimates were evaluated in intervals of: $T_1^{\text{RIMI}} = 30$ min.

7 **2.5.3 Low-flux environment**

8 Young Sound (Fig. 4c) is the entrance of a 7 km wide fjord in NE Greenland characterized by thick
9 fast sea-ice within the fjord and an ice-free polynia at the mouth of the fjord (Rysgaard et al., 2003).
10 Continuous eddy covariance observations were conducted at three sites within the fjord system in
11 the period 20 March to 27 April 2012. Two separate field-stations, one static and one mobile, were
12 used at three different locations (ICEI, POLYI and DNB). ICEI (74°18.576'N, 20°18.275'W) was
13 located 2 km from the coastline from 20 to 27 March and DNB (74°18.566'N, 20°13.998'W) was
14 located approximately 200 m from the coastline from 30 March to 27 April. POLYI (74°13.883'N,
15 20°07.758'W) was located at the mouth of the sound close to the ice-free polynia region from 24 to -
16 27 March. For the mobile tower (POLYI and DNB) a METEK USA-1 sonic anemometer
17 (METEK®, Elmshorn, Germany) was mounted at a height of 3.1 m and an LI-7500A open path gas
18 analyzer (LI-COR®, Lincoln, NE, USA) was mounted at an angle of 70° degrees relative to the
19 horizontal plane at a height of 2.7 m relative to the snow-surface. Raw data were logged at 20 Hz.
20 The sonic anemometer and gas analyzer were displaced horizontally by 0.4 m in orthogonal
21 alignment to the prevailing wind direction, so as to limit the instrument flow distortion and
22 temporal offset between simultaneous signals. In addition to filtering for tower based flow
23 distortion, observations from the shore-adjacent DNB site reflecting wind-directions associated with
24 the shoreline were likewise filtered out due to anthropogenic interference. For the static tower a Gill
25 Windmaster pro sonic anemometer (Gill Instruments®, Lymington UK) was mounted at a height of
26 3.7 m relative to the snow-surface and an enclosed LI-7200 gas analyzer (LI-COR®, Lincoln, NE,
27 USA) was mounted with a 65 cm inlet tube terminating directly under the sonic anemometer. Raw
28 data were logged at 10 Hz. Sea-ice and snow-cover thickness was approximately 110 cm and 75 cm
29 for the ICEI and DNB sites, respectively, and approximately 25 cm and 20 cm for the POLYI site.
30 Average air temperature increased from -35°C to -15°C during the period. As such all sites were
31 expected to be characterized by significantly smaller turbulent fluxes relative to the Abisko and the

1 RIMI sites while simultaneously being subjected to varying degrees of low-frequency motions due
2 to their locations in a fjord surrounded by mountains. Flux estimates were evaluated at the three
3 sites for the following intervals: $T_1^{\text{POLYI}} = T_1^{\text{ICEI}} = 5 \text{ min}$ and $T_1^{\text{DNB}} = 15 \text{ min}$. The higher
4 resolutions of flux-estimates, relative to the Abisko and RIMI sites, were chosen for the purpose of
5 another study concerning CO_2 -fluxes on sea-ice.

6

7 **2.6 Instrument corrections and post-processing**

8 During post-processing a number of instrument-specific corrections are needed to adjust for
9 instrument-bias. For the sonic anemometers (Gill R2, Gill R3, Gill Windmaster Pro and METEK
10 USA-1) these include: An empirical angle of attack correction (Nakai and Shimoyama, 2012), and
11 humidity and crosswind corrections (Liu et al., 2001; Schotanus et al., 1983). We convert all
12 observations to mixing ratios (Burba et al., 2012) using the Webb-Pearman-Leuning correction
13 when necessary (Sahlee et al., 2008; Webb et al., 1980) as recommended by Ibrom et al. (2007).
14 The need for instrument heating corrections (Burba et al., 2008) associated with operation of the
15 open path LI-7500 in a cold environment (Daneborg, POLYI and ICEI) is alleviated by using the
16 newer LI-7500A with a ‘cold’-setting correcting observations down to -25°C (Burba et al., 2011).
17 Sites featuring the LI-7500 (Abisko and RIMI) never reached sufficiently cold temperatures to
18 warrant instrument heating corrections during this experiment. Coordinate rotation, linear de-
19 trending and iterative de-spiking of raw data is performed according to Vickers and Mahrt (1997).
20 Temporal offset between sensor signals is typically corrected based on a maximum cross-
21 covariance analysis (Berger et al., 2001; Fan et al., 1990). In the limit of low absolute covariance,
22 however, actual temporal offset may be obscured by secondary cross-covariance optima. Here, we
23 locate the optimal cross-correlation automatically based on the incident horizontal wind flow and
24 the specific geometry of each covariance system.

25

1 **3 Results and discussion**

2 **3.1 Examples of Ogive optimization performance**

3 In the following, we describe several typical cases observed and the associated performance of the
4 Ogive optimization method.

5 **(1)** Near-absence of low-frequency influence is observed leading to a strong similarity between the
6 Ogive density pattern, the 30 min linear detrended Ogive and the modelled Ogive. This is illustrated
7 in Fig. 5a for a case of sensible heat flux at the Abisko site. Disregarding the high-frequency
8 component associated with extrapolation of model results, seen here to contribute $F_{HF} \approx -5 \text{ Wm}^{-2}$
9 to the overall modelled sensible heat flux (eq. 4), the standard 30 min linear detrending approach
10 will suffice to provide the turbulent flux estimate. The observational period in question was
11 characterized by neutral atmospheric stability, high wind-speed $\bar{U} = 8.25 \text{ ms}^{-1}$ and winds
12 originating from the fen area (Fig. 5a), along with fairly constant temperature $8.2 \pm 0.4^\circ\text{C}$ (Fig. 5b)
13 and a slight gradual increase in uptake (Fig. 5c).

14 **(2)** Cases where non-negligible low-frequency influence on the flux-estimate is observed for CO_2
15 flux (Fig. 6). The low-frequency contribution is seen to be positive just like the turbulent flux (Fig.
16 6a). The Ogive optimization method is seen to separate the turbulent and the low-frequency
17 contributions completely, yielding only the locally meaningful turbulent flux. The observational
18 period in question was characterized by a slightly unstable atmospheric stability $zL^{-1} = -0.19$,
19 moderate wind-speed $\bar{U} = 3.65 \text{ ms}^{-1}$ and wind originating from the lake area (Fig. 6a), along with
20 a slightly increasing atmospheric temperature and a varying CO_2 concentration (Fig. 6b). A
21 consequent marked increase in flux covariance around 35-45 min is evident in Fig. 6c.

22 **(3)** An example of ambivalence caused by bimodality in the Ogive density pattern is illustrated in
23 Fig. 7, for a case of sensible heat flux at the Abisko site. Such cases indicate that fluxes are
24 changing within the sampling period. The Ogive optimization method is seen to capture the
25 turbulent flux contribution with the strongest data density. Had both modes been of equal Ogive
26 density, the choice of mode during subjective evaluation would be based on the quality of the model
27 Ogive optimization, and the length of the time-series responsible for the modes. If both Ogive
28 models are equally good, the choice would fall on the mode produced by the Ogives which consist
29 of shorter time-series as they represent a more instantaneous flux-estimate relative to the mode
30 produced by longer time-series. The sampling period in question was characterized by a slightly

1 stable atmosphere $zL^{-1} = 0.12$, low wind-speed $\bar{U} = 2 \text{ ms}^{-1}$ and wind originating from the fen
2 area (Fig. 7a), along with a steady decline in atmospheric temperature (Fig. 7b) and a strong
3 variation in flux covariance (Fig. 7c).

4 **(4)** The inadequacy of applying a fixed averaging interval for flux estimation becomes apparent in
5 Fig. 8, for a case of sensible heat flux at the Daneborg site. Here, the Ogive density pattern is seen
6 to reflect a gradual evolution in the Ogive flux pattern with increasing averaging time. The standard
7 30 min averaging time is seen to be too long and also to increasingly reflect low-frequency
8 interference (Fig. 8a). This is consistent with an abrupt increase in atmospheric temperature (Fig.
9 8b) and decrease in covariance (Fig. 8c) around 30-40 min. The Ogive optimization method
10 identifies the appropriate flux estimate (Fig. 8a), whereas the standard 30 min linear detrending
11 method fails on account of in-stationarity. In addition, the case is a perfect example of how co-
12 spectral evaluation of frequency-wise contributions can be misleading (Fig. 8a, inner plot). The
13 observational period in question was characterized by a stable atmosphere $zL^{-1} = 0.29$, low wind-
14 speed $\bar{U} = 2.6 \text{ ms}^{-1}$ and wind originating from the fen area (Fig. 8a).

15 **(5)** Signals may be degraded for a number of reasons such as instrument failure, electronic
16 interference etc. Such a case is illustrated in Fig. 9, for a case of CO_2 -flux at the Abisko site. Here a
17 brief drop in atmospheric CO_2 concentration, hard flagged by the Haar analysis (Fig. 9b), gives rise
18 to an intermittent three-fold increase in flux covariance (Fig. 9c), ultimately resulting in the low-
19 frequency influences illustrated in Fig. 9a. Nonetheless, the Ogive optimization method is seen to
20 identify the actual prevalent flux during this period. The observational period in question was
21 characterized by near-neutral atmospheric stability $zL^{-1} = -0.07$, moderate wind-speeds $\bar{U} =$
22 5 ms^{-1} and wind originating from the lake area (Fig. 9a), along with a near-constant air
23 temperature $T_{\text{air}} = 6.9^\circ\text{C}$ (Fig. 9b).

24 **(6)** During conditions of strong high-frequency dampening caused by the use of a closed path
25 instrument, the Ogive optimization method automatically shifts the high-frequency bound on
26 optimization towards lower frequencies to avoid influence of the dampened frequencies during
27 optimization. This is illustrated in Fig. 10 for a case of latent heat flux at the ICEI site. Here the
28 upper optimization bound is shifted back to 1 Hz thus allowing for an accurate description of the
29 high-frequency fluxes as well (Fig. 10a, inner plot). The observational period in question was
30 characterized by a slightly unstable atmosphere $zL^{-1} = -0.08$ and moderate wind-speeds $\bar{U} =$

1 5 ms^{-1} (Fig. 10a), along with gradual increases in both atmospheric H_2O content and atmospheric
 2 temperature (Fig. 10b) and a gradual increase in flux covariance (Fig. 10c).

3

4 **3.2 Comparison of Ogive optimization and the conventional method**

5 The difference in flux estimates of the standard 30 min linear detrending approach and the Ogive
 6 optimization method is associated with both the inclusion/exclusion of low-frequency contributions,
 7 the inadequacy of the fixed averaging interval and the extrapolation of modelled Ogives into un-
 8 observable high/low-frequencies. The relative flux difference δ is evaluated within $i = 10$ intervals
 9 of absolute flux estimates $[[F^{30\text{min}}]]_i$ as the standard deviation of difference in flux estimate relative
 10 to the mean absolute Ogive optimization flux estimate within respective intervals:

$$\delta(i) = \frac{\text{std} \left([F^{30\text{min}}]_i - [F^{O^2}]_i \right)}{[[F^{30\text{min}}]]_i} \cdot 100\% \quad (5)$$

11 Where square brackets $[]_i$ signify flux estimates native to interval i of the equivalent absolute flux
 12 estimates by the standard eddy covariance method $[[F^{30\text{min}}]]_i$. Estimates of relative flux difference
 13 δ are shown logarithmically in Fig. 11 for all three scalar flux types, at all five observation sites and
 14 for all 10 intervals of the respective Ogive optimization flux ranges. Outliers have been excluded
 15 from the flux ranges shown in the bottom of the figure to ensure a minimum of 3 flux estimates
 16 within the largest absolute flux-estimate bin and resolution of the resulting δ estimates have been
 17 doubled by spline interpolation. The median relative difference is shown (red line) along with
 18 standard deviation (light gray area) and 25-75% percentile range (dark gray area).

19 As hypothesized in section 2.2, the average relative flux difference is seen to be very high for small
 20 absolute flux estimates, peaking at $\bar{\delta}_{\text{SENS}} = 80\%$, $\bar{\delta}_{\text{LAT}} = 23\%$ and $\bar{\delta}_{\text{CO}_2} = 79\%$ for the lowest
 21 absolute flux estimates. The variation in δ is quite high for low absolute flux estimates, with the
 22 13.6 and 86.4 percentiles of δ reaching as much as $\delta_{\text{SENS}} = 40 - 208\%$, $\delta_{\text{LAT}} = 11 - 100\%$ and
 23 $\delta_{\text{CO}_2} = 42 - 511\%$. For larger absolute flux estimates ($|Q_{\text{SENS}}| > 40 \text{ Wm}^{-2}$, $|Q_{\text{LAT}}| > 10 \text{ Wm}^{-2}$
 24 and $|F_{\text{CO}_2}| > 170 \text{ mmol m}^{-2}\text{d}^{-1}$) the relative difference is seen for all three flux types to drop and
 25 level off to a near-stable range of $\delta = 5\% - 20\%$. These absolute flux thresholds thus mark clear
 26 shifts between non-negligible low-frequency contributions on one side and plausibly negligible
 27 low-frequency contributions on the other.

1 Depending on perspective and the character of observed fluxes at a particular site the described
2 thresholds may either serve as an indicator of a lower limit to local-scale flux resolvability by the
3 standard 30 min linear detrending approach, or as an argument for the application of enhanced flux
4 estimation techniques such as the presented method. For the presented observations the
5 consequences are illustrated by the histograms of the different sites (Fig. 11). Although the location
6 of the flux threshold is a bit unclear for latent heat flux, estimation of locally meaningful fluxes at
7 the three sea-ice sites Daneborg, POLYI and ICEI is essentially impossible without accounting for
8 low-frequency contributions. The same applies for sensible heat flux at the Abisko lake site, latent
9 heat flux at the grassland site RIMI and CO₂-flux at both the Abisko lake site and the RIMI site.
10 Note that only the Abisko Fen environment showed a dynamic range in excess of $|F_{CO_2}| =$
11 $3.5 \mu\text{mol m}^{-2}\text{s}^{-1}$ and that most flux estimates from RIMI are from the morning or late
12 evening/night, which explains the range of relatively small fluxes.

13 The relative flux difference was furthermore investigated in terms of atmospheric stability (Fig. 12).
14 Though variation in δ is significant for all flux types, average δ appears to be lowest between
15 slightly unstable $zL^{-1} \approx -0.2$ and neutral conditions ($\delta \approx 10 - 20\%$). In contrast δ is significantly
16 larger for $|zL^{-1}| > 0.2$ ($20 < \delta < 1000\%$). This is consistent with current consensus on turbulence
17 spectra (Kaimal et al., 1972; Olesen et al., 1984): For strongly unstable conditions ($zL^{-1} < -0.2$)
18 all spectra have increased low frequency components (Hojstrup, 1982), which would have been
19 filtered out using the Ogive optimization method. For strongly stable conditions ($zL^{-1} > 0.2$) the
20 turbulence spectral intensity is often small relative to low frequency variation associated with meso-
21 scale variability (Larsen et al., 1980; Vickers and Mahrt, 2003). Exactly for neutral and slightly
22 unstable conditions boundary layer turbulence structure is at its simplest being dominated by shear
23 produced turbulence that is best described by the standard spectral expressions, being the
24 background for the standard eddy-correlation flux determination methods (Kaimal et al., 1972;
25 Olesen et al., 1984).

26 For many flux estimates the vertical wind speed signal or the scalar signal are non-stationary to the
27 point of prohibiting a flux estimation using traditional methodology. Hence the Ogive optimization
28 method may also provide a greater number of flux estimates. This is shown in Table 1 to generally
29 be true for the Abisko and Daneborg sites, both of which characterized by degraded signal quality at
30 times. Sites RIMI and POLYI are inconclusive in this respect and the conventional method appears
31 superior in the case of ICEI. The latter may be related to the very low fluxes observed for this site

1 (Fig. 11) suggesting the presence of a detection limit for the Ogive optimization method when using
2 the particular instrument setup at ICEI (LI-7200 enclosed gas analyser) within the respective ranges
3 $|Q_{\text{SENS}}| < 25 \text{ Wm}^{-2}$, $|Q_{\text{LAT}}| < 3 \text{ Wm}^{-2}$ and $|F_{\text{CO}_2}| < 10 \text{ mmol m}^{-2}\text{d}^{-1}$. No similar
4 characteristics indicate the presence of a detection limit of the Ogive optimization method at any of
5 the other sites (open path gas analyzers), suggesting superiority of open path instruments in very
6 low flux environments when using the Ogive optimization method.

7 Low-frequency shifts in flux direction were found to be common in this study. To our knowledge
8 such occurrences are not described by any existing theoretical framework, indicating a puzzling
9 caveat to current theory. The occurrences challenge the notion that fluxes should be of same sign
10 regardless of incident eddy scales. One explanation might be that vertical low-frequency
11 contributions represent only one part of a net low-frequency contribution and hence is balanced by a
12 horizontal component. Indeed the horizontal low-frequency component has been shown to be
13 significant during certain conditions (Yi et al., 2008; Zeri et al., 2010), despite typically being
14 assumed negligible. The finding indicates that further investigation of the interplay between low-
15 frequency contributions, and their influence on turbulent flux estimates, is necessary.

16

17 **4 Conclusion**

18 The presented Ogive optimization method has been shown to successfully separate local from non-
19 local flux contributions. In addition, it enhances flux estimation by both investigation of a large
20 range of averaging times and running mean detrending, and extrapolation of optimized Ogive model
21 results. The method makes no assumptions concerning appropriate averaging time or the presence
22 of a spectral gap, does not require the application of transfer functions and allows for very high
23 temporal resolution of flux evolution. For high flux-rates ($|Q_{\text{SENS}}| > 40 \text{ Wm}^{-2}$, $|Q_{\text{LAT}}| >$
24 10 Wm^{-2} and $|F_{\text{CO}_2}| > 170 \text{ mmol m}^{-2}\text{d}^{-1}$) we found that the average relative difference between
25 fluxes estimated by Ogive optimization and the conventional method was low (5–20%) suggesting
26 negligible low-frequency influence and that both methods capture the turbulent fluxes equally well.
27 For flux-rates below these thresholds, however, the average relative difference between flux
28 estimates was found to be very high (23–80%) suggesting non-negligible low-frequency influence
29 and that the conventional method fails in separating low-frequency influences from the turbulent
30 fluxes. The average relative flux difference was found to be lowest (10 – 20%) for slightly

1 unstable and neutral atmospheric stabilities $zL^{-1} = -0.2$ to $zL^{-1} = 0$. In contrast δ was
2 significantly larger (20 – 1000%) for $|zL^{-1}| > 0.2$. This is consistent with current consensus on
3 turbulence spectra. Furthermore, the Ogive optimization model has been shown to allow for flux
4 estimation despite signal disruption. Fewer flux estimates could be derived relative to the
5 conventional method for an LI-7200 enclosed gas analyzer in very low-flux conditions, suggesting
6 the possible presence of a detection limit in the $|Q_{\text{SENS}}| < 25 \text{ Wm}^{-2}$, $|Q_{\text{LAT}}| < 3 \text{ Wm}^{-2}$ and
7 $|F_{\text{CO}_2}| < 10 \text{ mmolm}^{-2}\text{d}^{-1}$ ranges with this particular instrument setup, as well as a superiority of
8 open path instruments in low-flux environments. The study suggests favourable application of the
9 Ogive optimization method in most environments, particularly in environments characterized by
10 small fluxes such as over sea-ice. Overall, the notion of a dynamic and generally non-negligible
11 overlap of low-frequency and turbulent flux contributions is confirmed.

12 Finally, low-frequency shifts in flux direction were found to be common in this study. To our
13 knowledge such occurrences are not described by any existing theoretical framework. Based on
14 studies indicating non-negligible horizontal low-frequency contributions during certain conditions
15 (Yi et al., 2008; Zeri et al., 2010) we hypothesize a more intricate balancing interplay between
16 vertical and horizontal low-frequency flux contributions which, if confirmed, suggests the need for
17 more sophisticated eddy covariance system arrays if low-frequency contributions are to be
18 accurately included (i.e.: for site-specific studies). If exclusion of low-frequency contributions is
19 desired (i.e.: for universal process oriented studies), the presented method should be unaffected by
20 these questions.

21

22 **Appendix A: Ogive optimization steps**

23 As described in section 2.4.2 our goal is to tune the four final model parameters F_0 , F_{HF} , μ and f_x to
24 achieve the optimal fit between a modelled Ogive and the Ogive density map (e.g. Fig. 2). The
25 process is called optimization and involves the following steps:

- 26 1. A random guess of parameters is made within a set of reasonable bounds. The speed and
27 accuracy of any optimization method involving pre-set bounds depend greatly on the
28 reasonable choice of these bounds. Here we set $0.05 < \mu < 1$ and $-2 < \log(f_x) < 1$. The
29 bounds for F_0 and F_{HF} are a bit more complicated. If $>80\%$ of the summed density map is
30 located on, say, the positive side (suggesting $F_0 > 0$), bounds on F_0 and F_{HF} are set as

1 $0 < F_0 < |2R_+|$ and $-|R_+| < F_{HF} < 0$, where R_+ is the 95th percentile range of the
2 positive side of the density map. Reverse bounds are applied if >80% of the summed density
3 map is located on the negative side ($-|2R_-| < F_0 < 0$ and $0 < F_{HF} < |R_-|$), and
4 optimization is performed twice, using the two different sets of bounds, if neither side
5 contains >80% of the summed density map.

- 6 2. Think of optimizing a model Ogive to an Ogive density map as choosing a path between
7 two points in the Pyrenees for which you travel at the highest possible average altitude, all
8 the while being constrained to a certain type of path (the Ogive form and the associated
9 parameters). In more technical terms optimizing the four parameters of the model Ogive
10 may be thought of as locating the point in a parameter-wise four-dimensional probability
11 space, for which the net Ogive density reached along the path is the highest. In this context
12 we seek a global, as opposed to local, solution within the probability space formed by the
13 four parameters. Based on the initial random guess, a local solution is determined using the
14 MATLAB function `fminsearchbnd` (available through the Mathworks® file exchange)
15 which is a nelder-mead polytope direct search optimization algorithm. The algorithm is fast
16 for problems of low dimensionality such as ours, but not certain to converge to a global
17 solution. The goal is to perform a rough, but fast, improvement of the random guess to limit
18 processing time for the next step, which is far more computationally expensive.
- 19 3. Based on the local optimization of parameters produced by `fminsearchbnd`, a global solution
20 is determined using the Differential Evolution (DE) algorithm (Storn and Price, 1997).
21 Differential evolution is a simple and reliable evolutionary population-based search
22 technique, which has been successfully applied on a wide range of problems in a variety of
23 scientific fields (Mallipeddi et al., 2011). Inspired by Darwinian evolutionary theory it
24 optimizes a problem by iteratively improving a population of NP candidate solutions
25 (agents) based on random candidate mutation (motion) and survivability within the
26 probability space of a multivariate problem. Mutations are governed by predefined
27 mathematical relations, called strategies, which depend on crossover probability $CR = [0,1]$
28 and differential weight $F \in [0,2]$, and survivability relates to the change in probability (i.e.
29 the sum of Ogive density below a given Ogive model solution) between two generations.
30 The performance of the optimization algorithm varies with each problem and depends
31 greatly on the choice of strategy and algorithm parameters. For the purpose of optimizing
32 the algorithm performance a number of observational cases were investigated using various

1 strategies and a large number of parameter variations resulting in the application of the
2 strategy called DE/best/1/exp and parameters $NP = 40$, $CR = 1$ and $F = .8$ for a maximum
3 of 100 iterations. If enough agents (NP) are initiated and allowed to evolve throughout the
4 probability space sufficiently long (iterations) the DE algorithm is certain to locate a global
5 solution (optimal Ogive parameters).

- 6 4. Often optimizing a smaller subset of the problem is an advantage, particularly during low-
7 frequency interference which persists despite data-perturbation in the mass Ogive phase.
8 One such case is shown in Fig. 13. Optimizing in subsets is achieved by subdividing the
9 problem into 18 frequency interval weights in the range 0 to 1, signifying 0 to 100%
10 influence of a given part of the density map on the optimization output (Fig. 13a, black
11 lines). Corresponding solutions for the 18 frequency interval weights are shown in Fig. 13b
12 (green lines). All solutions based on frequency intervals with lower bound before or after the
13 Ogive density peak ($f \approx 7 \cdot 10^{-4}$) are seen to underestimate the actual undisturbed turbulent
14 flux. Accordingly an appropriate solution (blue line) may be estimated within the subset
15 solution for which the frequency interval features the Ogive density peak as its lower bound.
16 Essentially, the optimization problem, as posed to the optimization algorithm, lacks an
17 element mirroring our basic sense of intuition. Different schemes to address this issue were
18 investigated, though none proved robust enough at this time to compete with basic
19 subjective evaluation during visual inspection. Further development of this aspect is of
20 continued interest as subjective visual inspection, aside from being a very time consuming
21 process, may result in personal bias on final flux estimates. Note the gradual decrease in
22 optimization weighting of high-frequency Ogive density (Fig. 13a), which has been added to
23 limit any influence of high-frequency noise and dampening during the optimization. The
24 high-frequency limit of the fitting interval is furthermore allowed to move to lower
25 frequencies for closed-path instruments to account for excessive dampening of the high-
26 frequency end of the spectrum often observed with this type of instrument. The latter is
27 illustrated in the results and discussion section of this study.

28

1 **Availability**

2 The executable code of our procedure Ogive optimization will be made available and can be
3 acquired by e-mailing the corresponding author (jasi@envs.au.dk or lls@bios.au.dk). The program
4 is coded in MATLAB and is optimized for use with the parallel computing toolbox.

5

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19

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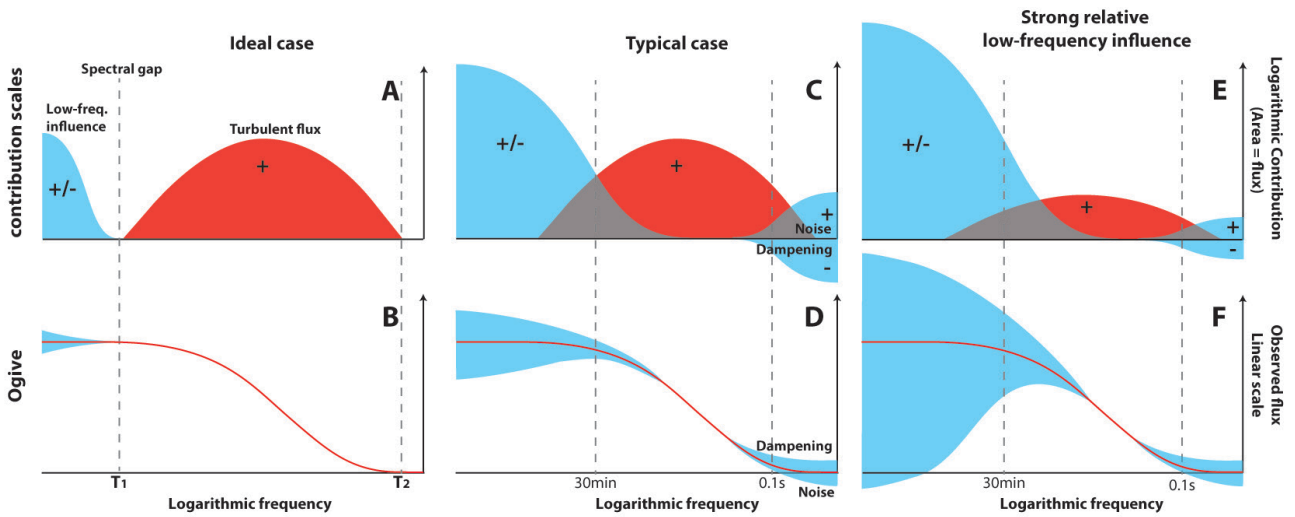
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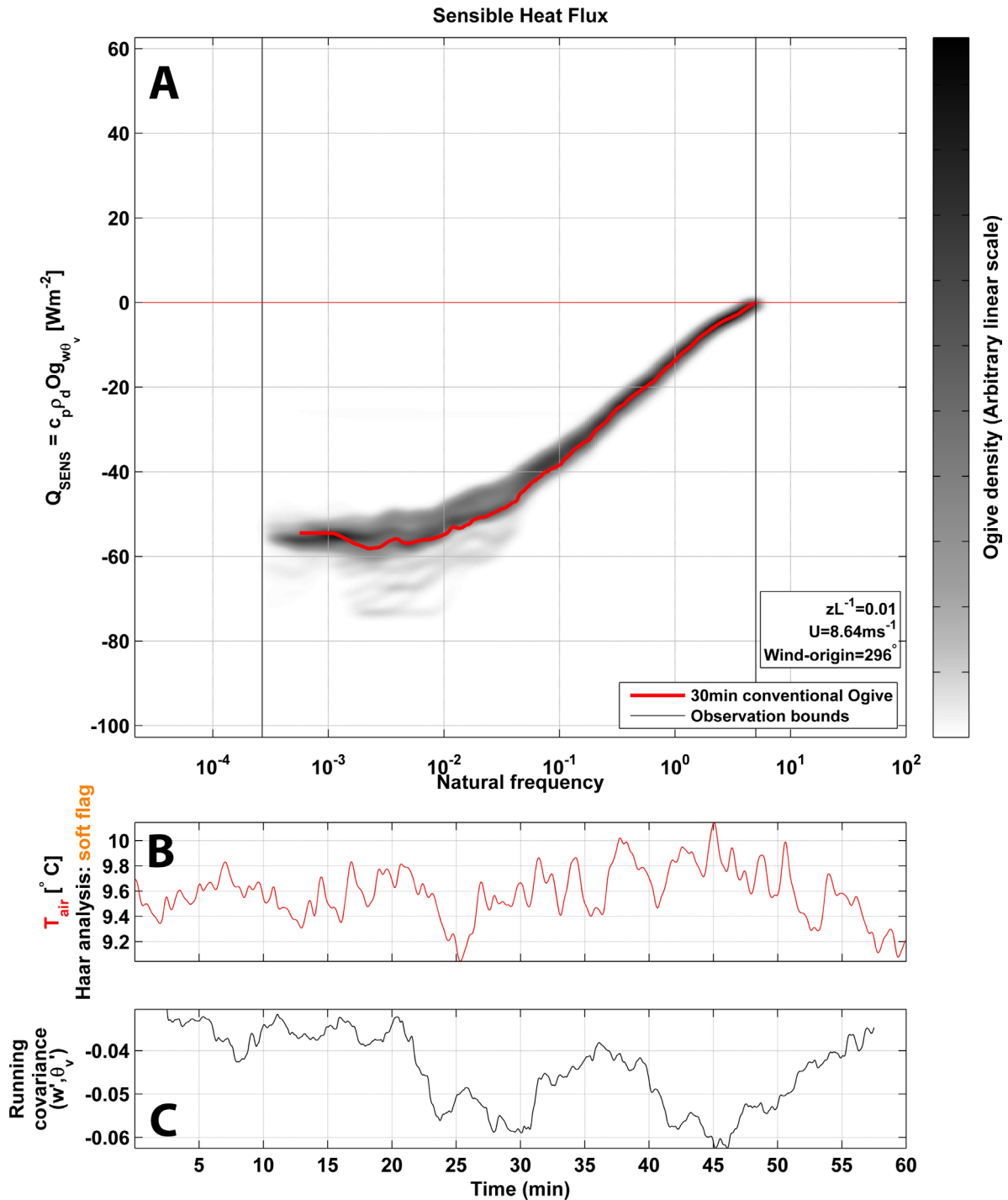
1 **Table 1:** Number of flux estimates from the conventional method (N_{30min}), the Ogive optimization
 2 method (N_{O_2}) and the number of combined pairs of estimates (N_{Both}) used to determine the relative flux-
 3 estimate differences illustrated in Fig. 10.

Site	Flux	N_{30min}	N_{O_2}	N_{Both}
Abisko (fen)	Q_{SENS}	391	418	373
	Q_{LAT}	344	422	342
	F_{CO_2}	310	385	297
Abisko (Lake)	Q_{SENS}	270	247	233
	Q_{LAT}	199	260	197
	F_{CO_2}	146	195	128
RIMI	Q_{SENS}	325	369	294
	Q_{LAT}	270	232	194
	F_{CO_2}	264	156	132
Daneborg	Q_{SENS}	328	388	289
	Q_{LAT}	291	402	265
	F_{CO_2}	324	411	310
POLYI	Q_{SENS}	313	306	282
	Q_{LAT}	301	297	263
	F_{CO_2}	321	261	246
ICEI	Q_{SENS}	459	335	316
	Q_{LAT}	464	257	254
	F_{CO_2}	469	238	230

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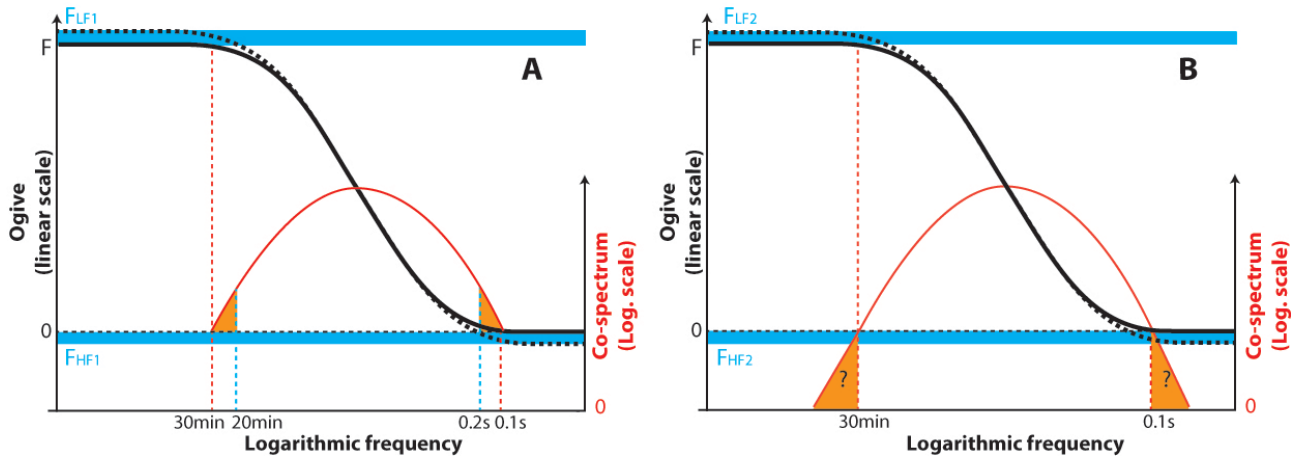
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3 **Figure 1:** A number of typical observational situations shown in terms of co-spectra (top row) and Ogives
4 (bottom row). Shown are the turbulent fluxes (red) and advective/noise/dampening components (blue).



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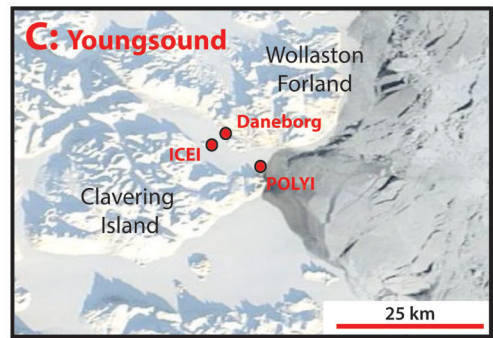
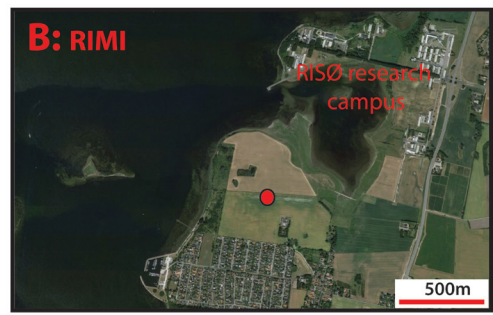
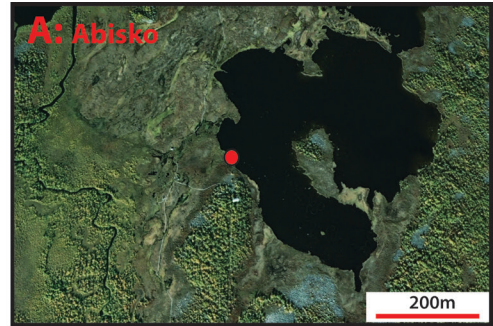
2 **Figure 2:** (A) Density pattern of 10.000 individual Ogive flux distributions following data perturbation of flux
 3 averaging time and running mean detrending for a 60 min observation from Abisko on the 2nd of July 2012
 4 at 7.45PM. The standard 30 min linear detrending is shown in red and atmospheric stability, mean wind-
 5 speed and wind-origin (clockwise) are given in the bottom right. Also shown are (B) a smoothed raw
 6 atmospheric temperature signal (red line) and (C) a running covariance (5 min window, linear detrending)
 7 between w' and θ'_v .

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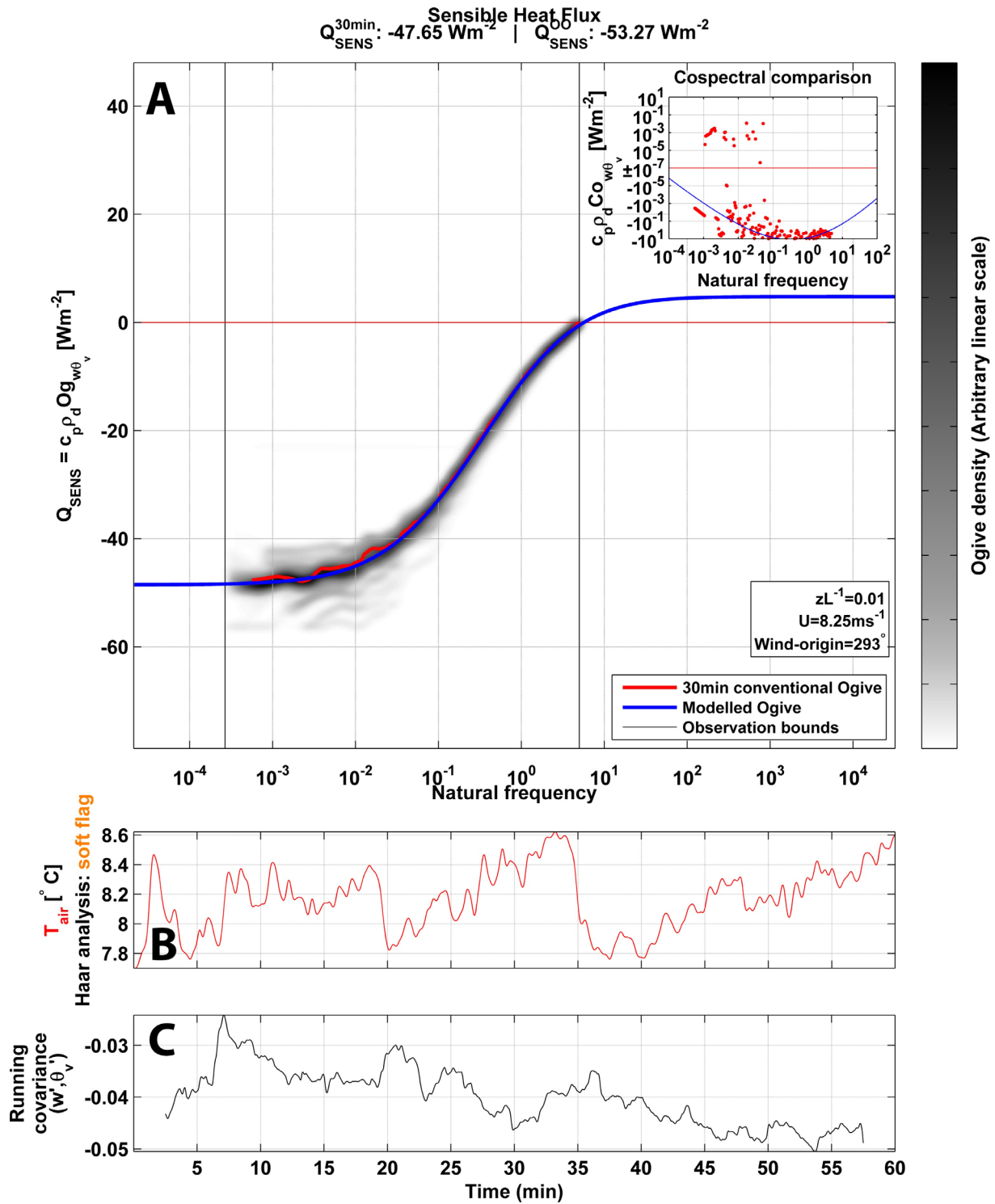
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Figure 3: Theoretical cospectra (red line) and equivalent Ogives (black line) are shown for two cases: **(A)** instrument limitations (10 Hz as opposed to 20 Hz observation frequency) and insufficient flux averaging time (20 min as opposed to 30 min), both marked in blue/red dashed lines, may result in underestimation of the outer parts of the flux spectrum. The missing cospectral area and Ogive range (F_{LF1} and F_{HF1}) is marked in orange and in blue respectively. The corrected Ogive is shown as a dashed black line. **(B)** Equivalently, if assuming the model is valid beyond 30 min and an observational frequency of 20 Hz respectively, the flux is likely somewhat underestimated using conventional methods, giving rise to additional Ogive correction terms (F_{LF2} and F_{HF2}). Although illustrated similarly, generally $F_{LF1} \neq F_{LF2}$ and $F_{HF1} \neq F_{HF2}$.



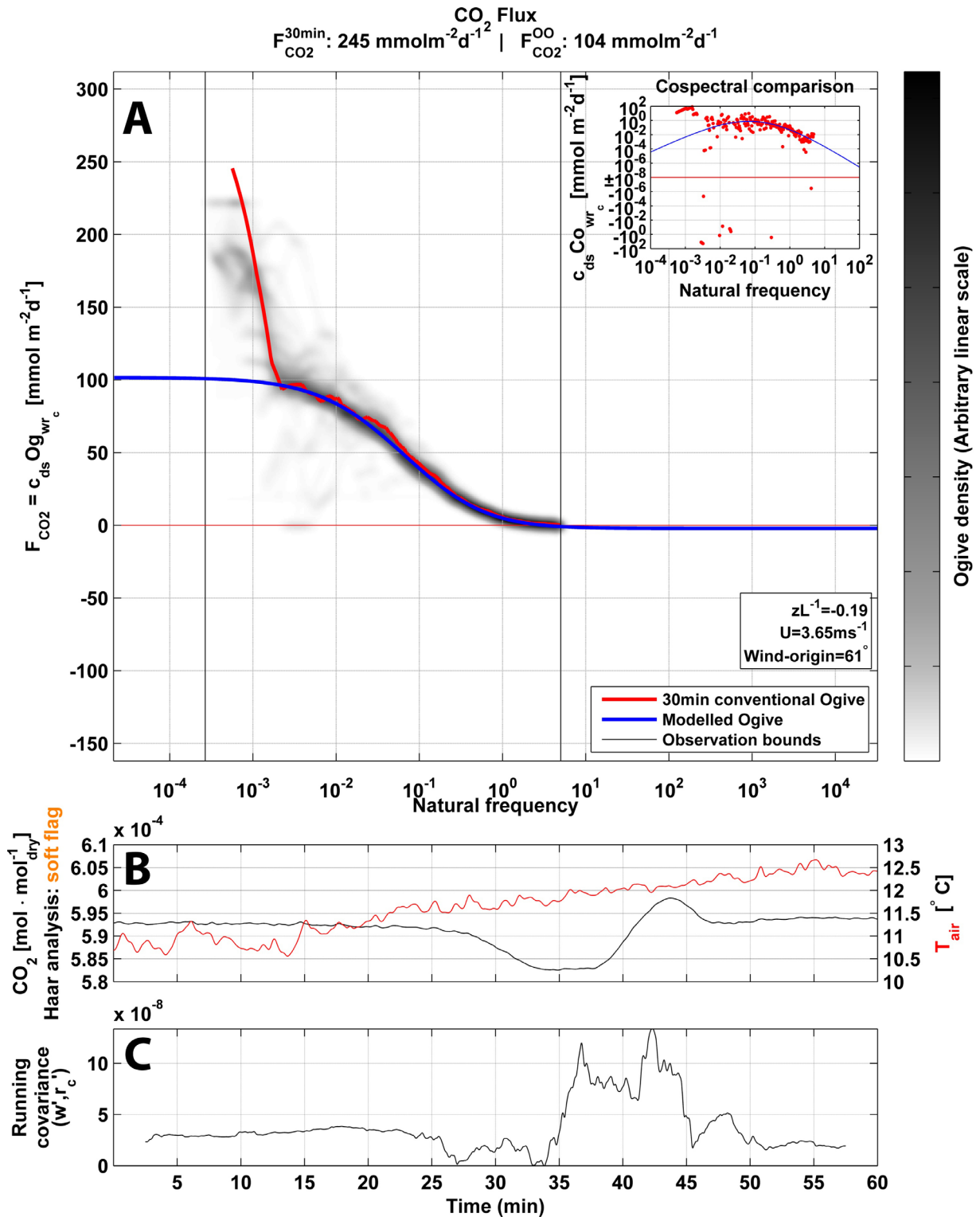
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Figure 4: Illustration of site locations and conditions.



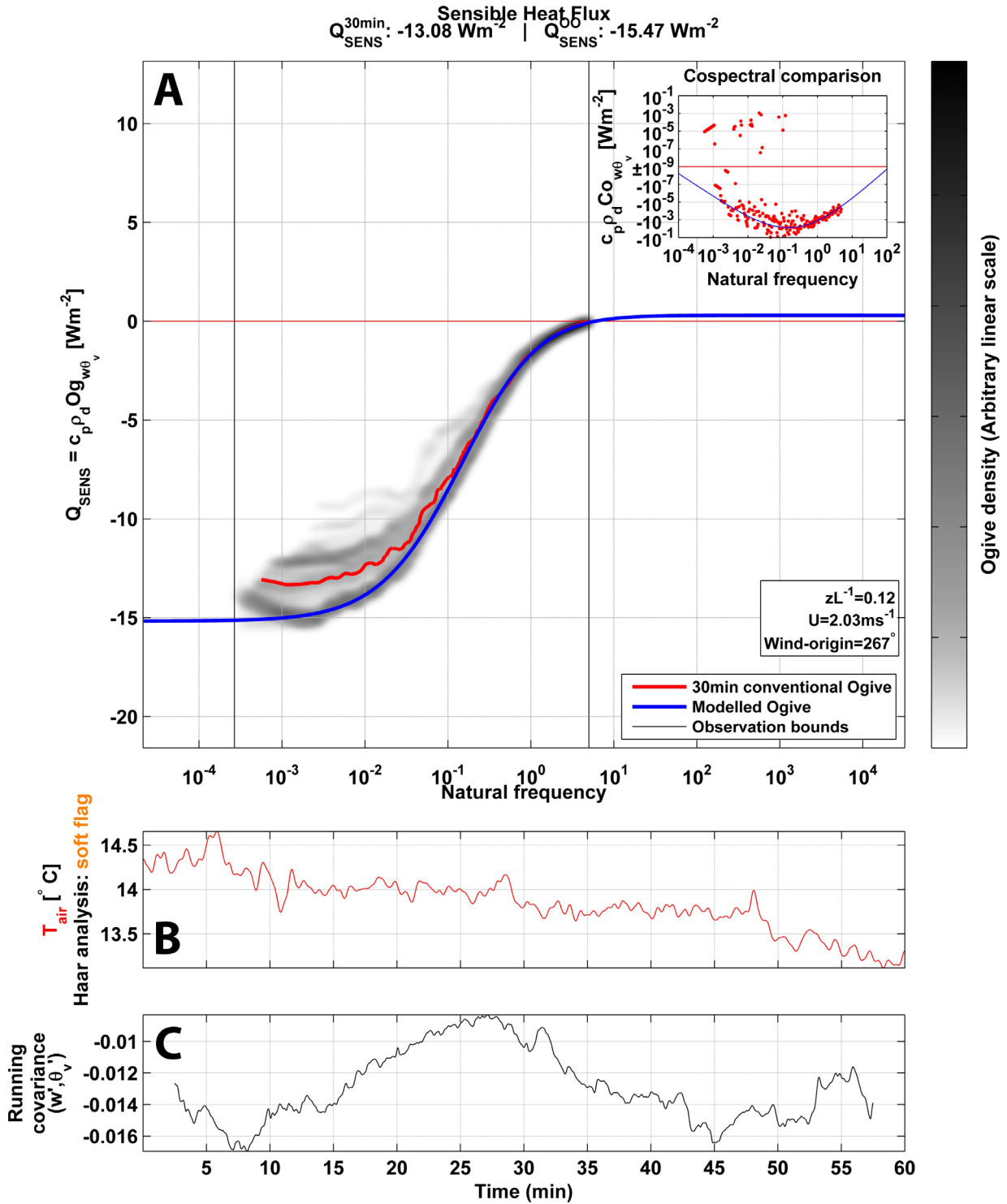
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2 **Figure 5:** 60 min observation of sensible heat flux recorded at Abisko on the 2nd of July 2012 at 9.15PM.
 3 Shown are **(A)** the Ogive density pattern (gray shading), modelled Ogive (Blue line), the standard 30 min
 4 linear detrended Ogive (red line) and the equivalent co-spectra of the modelled Ogive and standard 30min
 5 observation (Inner figure, top right). Atmospheric stability, mean wind-speed and clockwise wind-origin are
 6 given in the bottom right box; **(B)** smoothed raw atmospheric temperature signal (red line); **(C)** running
 7 covariance (5 min window, linear detrend) between w' and θ_v' .



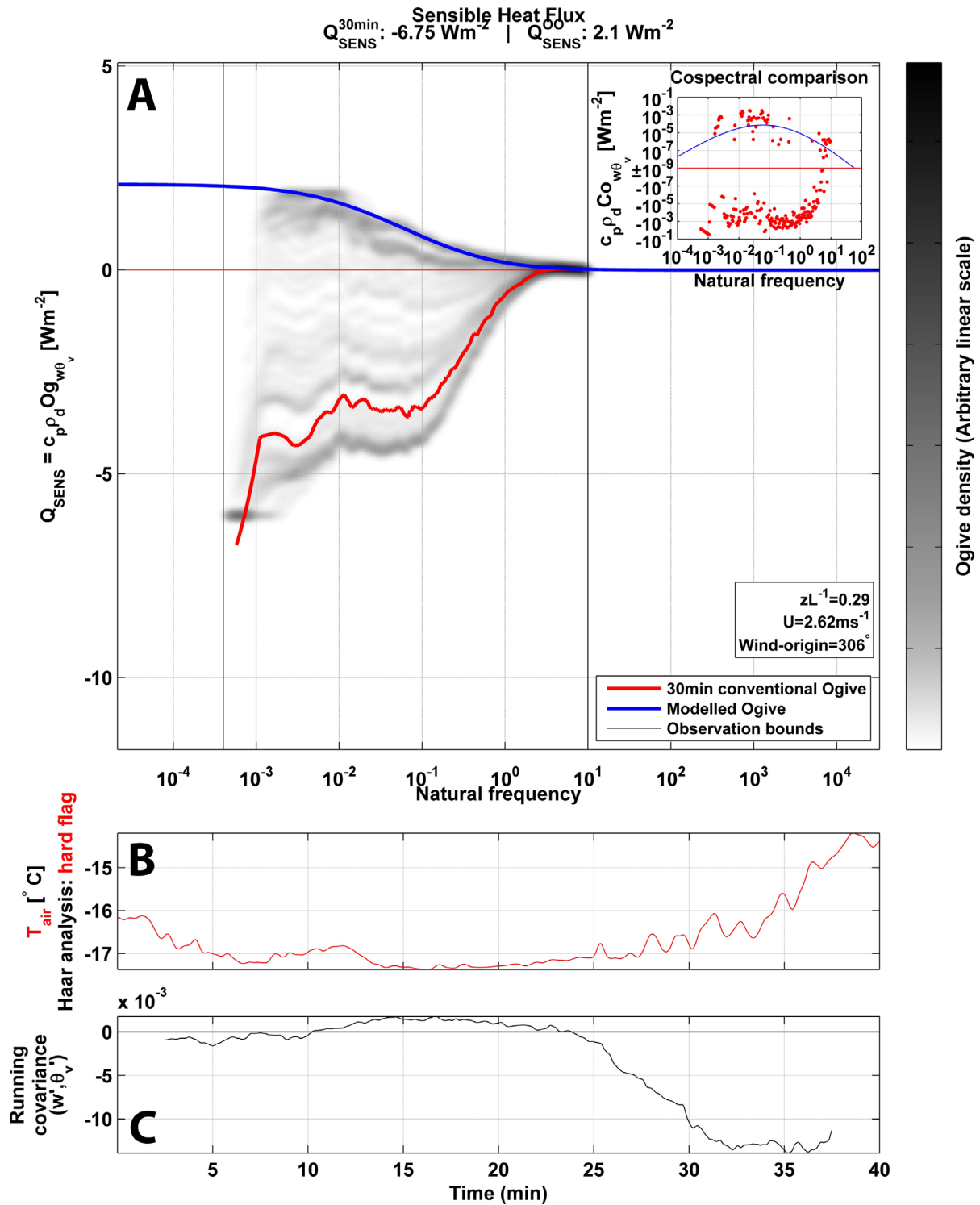
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2 **Figure 6:** 60 min observation of CO₂ flux recorded at Abisko on the 10th of July 2012 at 10AM. Shown are **(A)**
3 the Ogive density pattern (gray shading), modelled Ogive (Blue line), the standard 30 min linear detrended
4 Ogive (red line) and the equivalent co-spectra of the modelled Ogive and standard 30min observation
5 (Inner figure, top right). Atmospheric stability, mean wind-speed and clockwise wind-origin are given in the
6 bottom right box; **(B)** smoothed raw signals of atmospheric CO₂ (black line) and temperature (red line); **(C)**
7 running covariance (5 min window, linear detrend) between w' and r'_c .



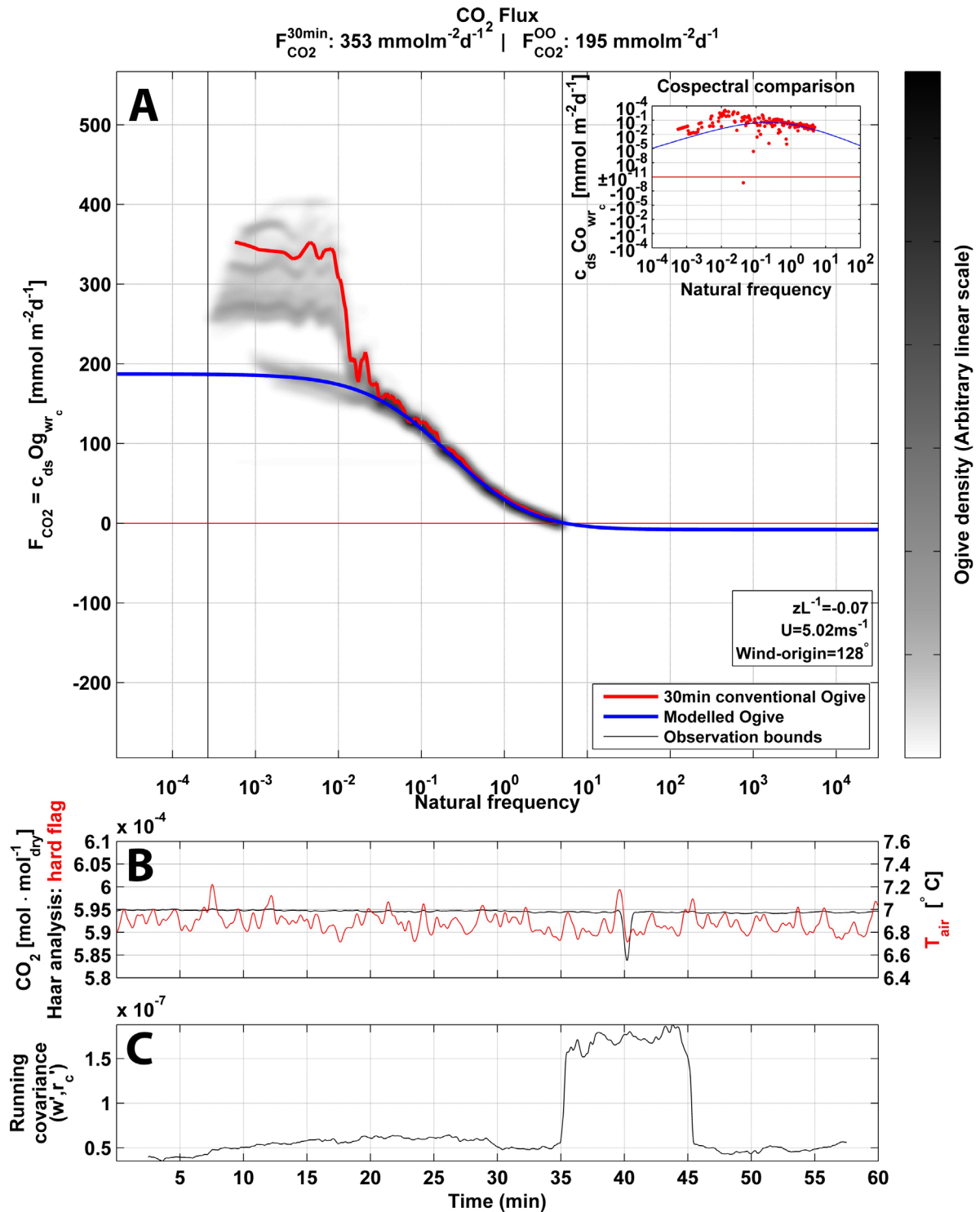
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2 **Figure 7:** 60 min observation of sensible heat flux recorded at Abisko on the 10th of July 2012 at 8.50PM.
 3 Shown are **(A)** the Ogive density pattern (gray shading), modelled Ogive (Blue line), the standard 30 min
 4 linear detrended Ogive (red line) and the equivalent co-spectra of the modelled Ogive and standard 30min
 5 observation (Inner figure, top right). Atmospheric stability, mean wind-speed and clockwise wind-origin are
 6 given in the bottom right box; **(B)** smoothed raw atmospheric temperature signal (red line); **(C)** running
 7 covariance (5 min window, linear detrend) between w' and θ'_v .



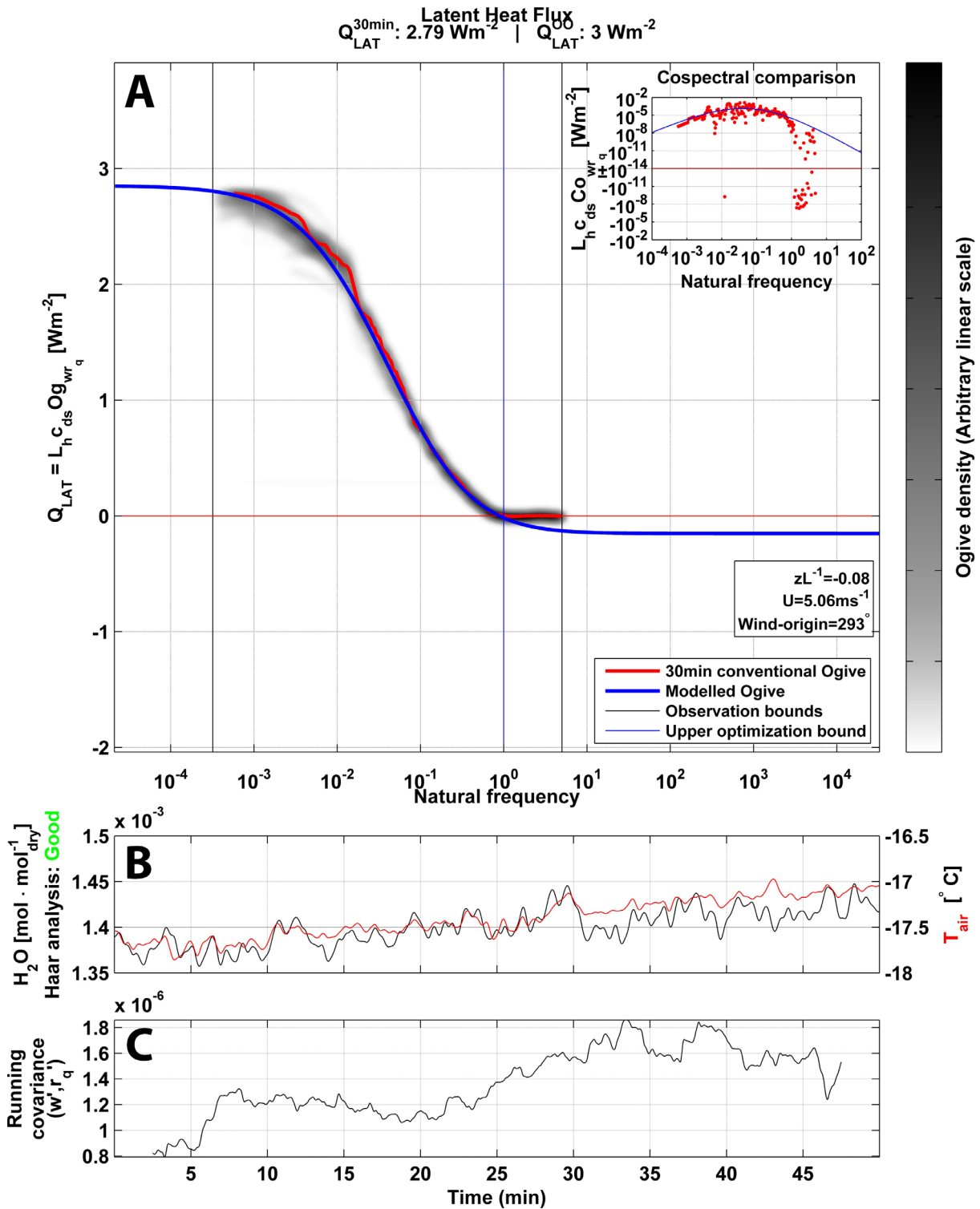
1

2 **Figure 8:** 40 min observation of sensible heat flux recorded at Daneborg on the 13th of April 2012 at
 3 3.30PM. Shown are **(A)** the Ogive density pattern (gray shading), modelled Ogive (Blue line), the standard
 4 30 min linear detrended Ogive (red line) and the equivalent co-spectra of the modelled Ogive and standard
 5 30min observation (Inner figure, top right). Atmospheric stability, mean wind-speed and clockwise wind-
 6 origin are given in the bottom right box; **(B)** smoothed raw atmospheric temperature signal (red line), hard-
 7 flagged by the Haar analysis; **(C)** running covariance (5 min window, linear detrend) between w' and θ'_v .



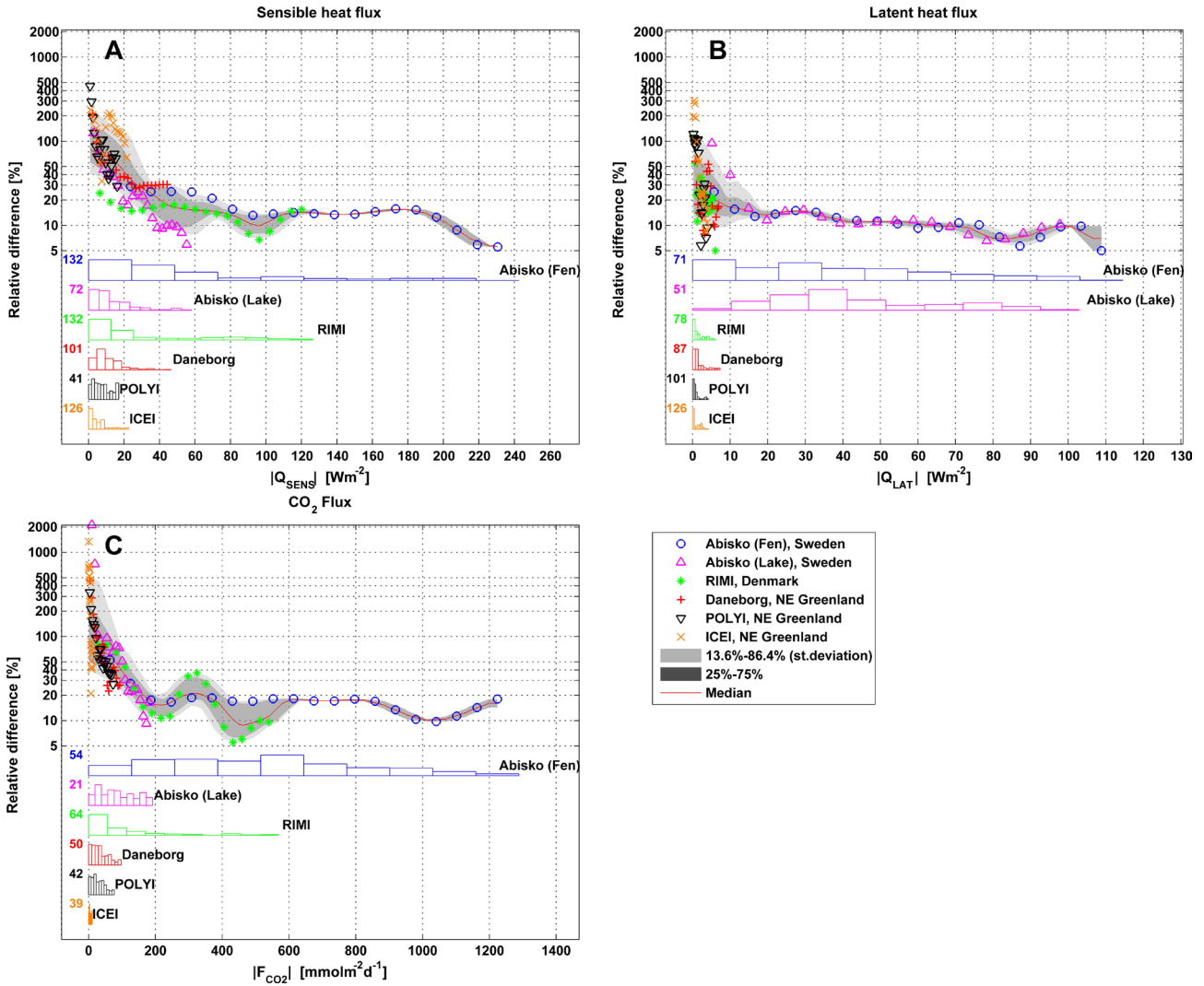
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2 **Figure 9:** 60 min observation of CO₂-flux recorded at Abisko on the 10th of July 2012 at 3.20AM. Shown are
 3 **(A)** the Ogive density pattern (gray shading), modelled Ogive (Blue line), the standard 30 min linear
 4 detrended Ogive (red line) and the equivalent co-spectra of the modelled Ogive and standard 30min
 5 observation (Inner figure, top right). Atmospheric stability, mean wind-speed and clockwise wind-origin are
 6 given in the bottom right box; **(B)** smoothed raw signals of atmospheric CO₂ (black line), hard-flagged by the
 7 Haar analysis, and temperature (red line); **(C)** running covariance (5 min window, linear detrend) between
 8 w' and r'_c .



1

2 **Figure 10:** 60 min observation of latent heat flux recorded at ICEI on the 27th of March 2012 at 1.30AM.
 3 Shown are **(A)** the Ogive density pattern (gray shading), modelled Ogive (Blue line), the standard 30 min
 4 linear detrended Ogive (red line) and the equivalent co-spectra of the modelled Ogive and standard 30min
 5 observation (Inner figure, top right). Atmospheric stability, mean wind-speed and clockwise wind-origin are
 6 given in the bottom right box; **(B)** smoothed raw signals of atmospheric H_2O (black line) and temperature
 7 (red line); **(C)** running covariance (5 min window, linear detrend) between w' and r'_q .

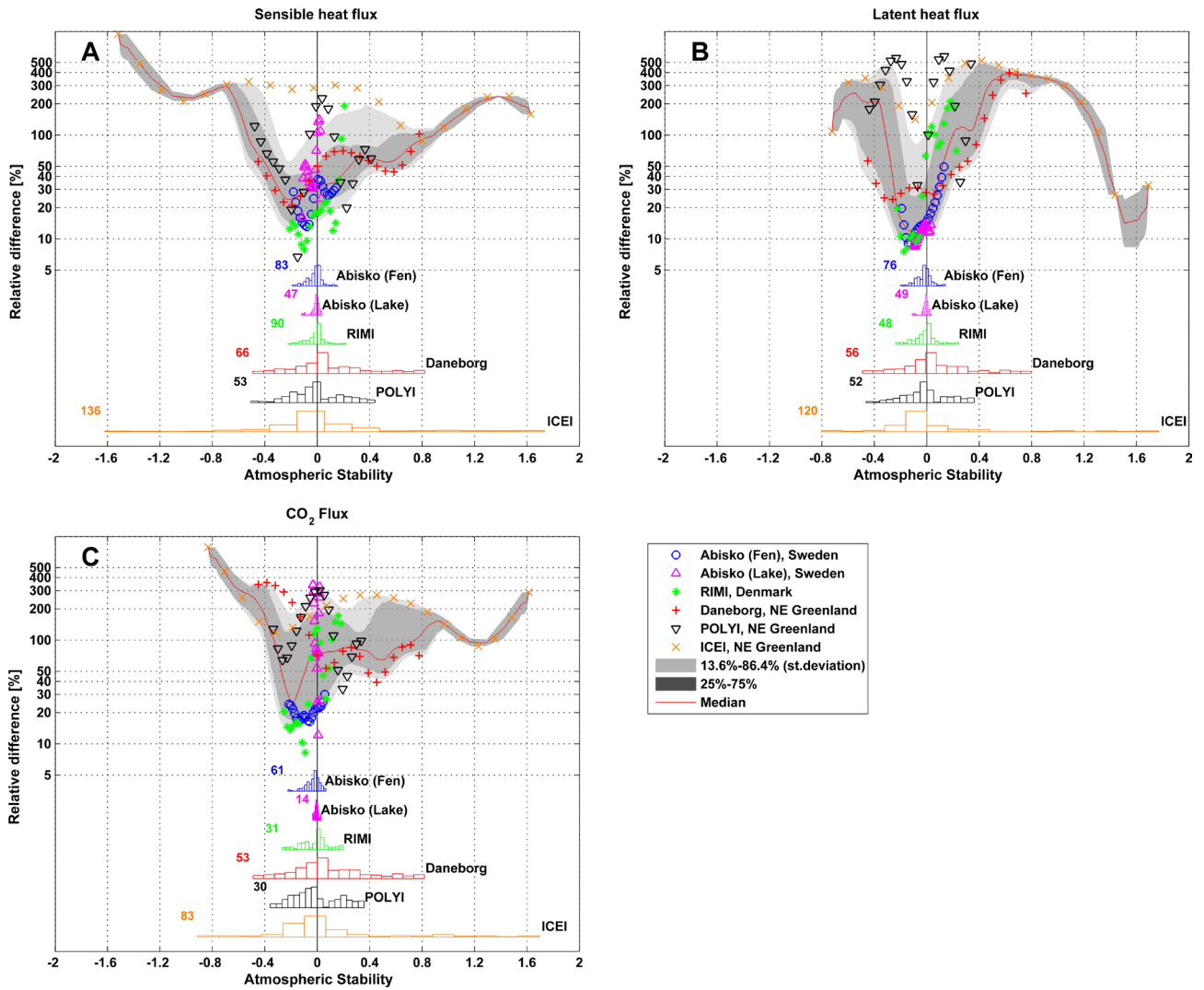


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3 **Figure 11:** Relative difference in percent (See eq. 5) is shown logarithmically as a function of absolute flux
 4 estimate for all investigated sites. Also shown are the median (red line), standard deviation (light gray area)
 5 and 25-75% percentile (dark gray area) of the relative differences. In the bottom of the figure, histograms
 6 of absolute Ogive optimization flux estimate ranges are shown for each site. Numbers indicated to the left
 7 of the histograms are the respective maximum values.

8

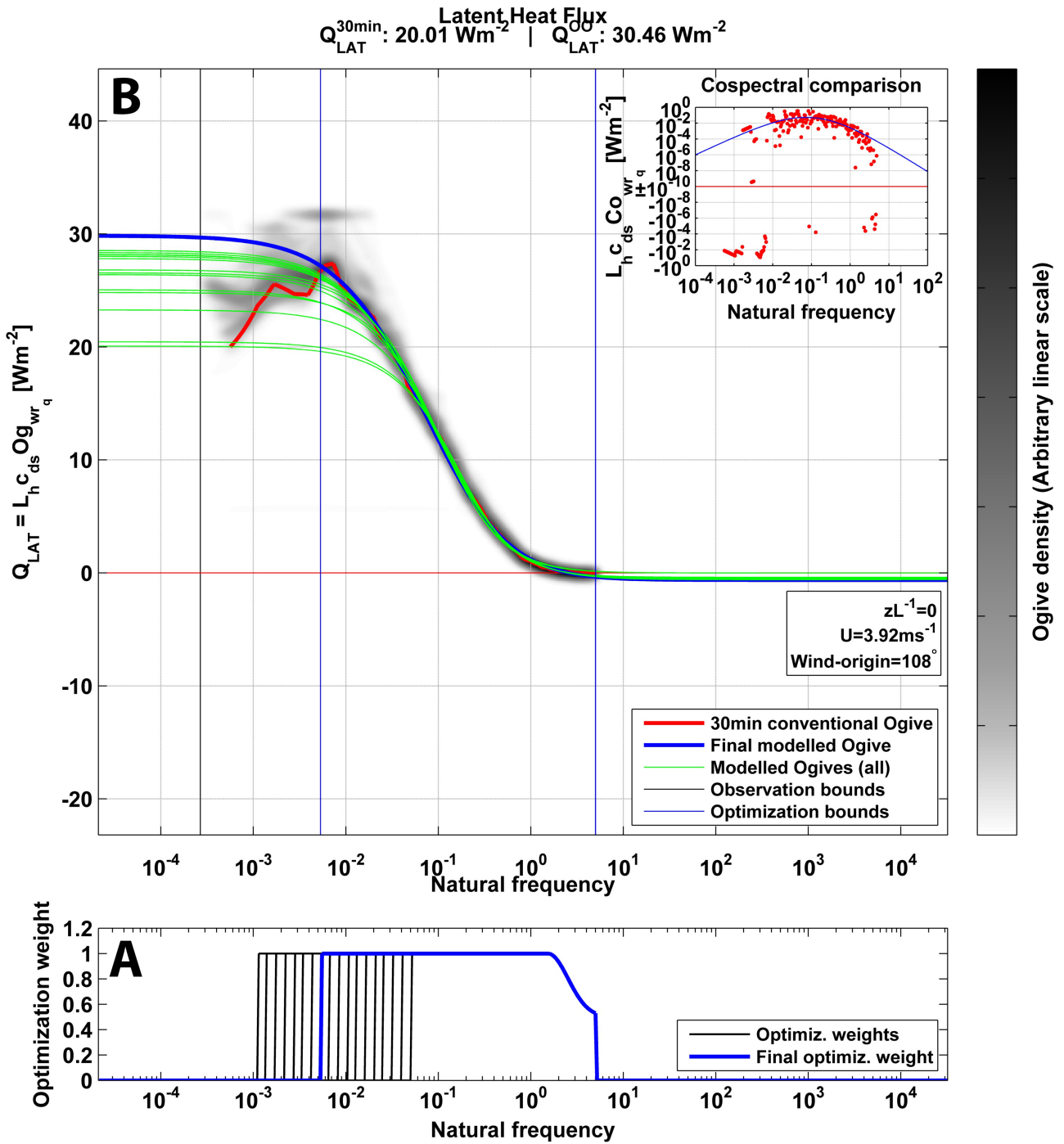


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3 **Figure 12:** Relative difference in percent (See eq. 5) is shown logarithmically as a function of atmospheric
 4 stability zL^{-1} for all investigated sites. Also shown are the median (red line), standard deviation (light gray
 5 area) and 25-75% percentile (dark gray area) of the relative differences. In the bottom of the figure,
 6 histograms of absolute Ogive optimization flux estimate ranges are shown for each site. Numbers indicated
 7 to the left of the histograms are the respective maximum values.

8



1

2 **Figure 13:** 60 min observation of latent heat flux recorded at Abisko on the 12th of July 2012 at 8.50PM. **(A)**
 3 18 frequency interval optimization weights are shown (blue lines) alongside **(B)** the corresponding solutions
 4 (green lines). Also shown are the Ogive density pattern (gray shading), final modelled Ogive (Blue line), the
 5 standard 30 min linear detrended Ogive (red line) and the equivalent co-spectra of the modelled Ogive and
 6 standard 30min observation (Inner figure, top right). Atmospheric stability, mean wind-speed and clockwise
 7 wind-origin are given in the bottom right box.

8