



Scattering and
absorption properties
of near-surface
aerosol

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Scattering and absorption properties of near-surface aerosol over Gangetic–Himalayan region: the role of boundary layer dynamics and long-range transport

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initiative (Hyvärinen et al., 2009, 2011a, b). Furthermore, Raatikainen et al. (2014) examined the influence of boundary-layer dynamics (BLD) and the effect of changes in boundary-layer height (BLH) on aerosol concentrations over the IGP and their transport up to the Himalayas foothills. Panwar et al. (2013) analyzed the PM and BC aerosol mass concentrations at Mukteshwar, while Komppula et al. (2009) and Neitola et al. (2011) focused on the aerosol size distribution and new particle formation at the same site.

To improve the knowledge of radiative properties of atmospheric aerosols, their origin and spatio-temporal distribution over the Gangetic–Himalayan (GH) region, the Ganges Valley Aerosol Experiment (GVAX) was initiated during June 2011 to March 2012. The GVAX project was a joint research campaign between US Department of Energy (DoE) Atmospheric Radiation Measurement (ARM) Program, and Indian Institute of Science, Bangalore conducted at Manora Peak, Nainital, in the central part of Indian Himalayas (29.21° N, 79.27° E, 1958 m a.m.s.l.). More details about the GVAX project and its operation can be found in Kotamarthi and Satheesh (2011), while some initial results have recently been published by Manoharan et al. (2014) and Dumka and Kaskaoutis (2014).

The objective of the present work is to investigate the intensive and extensive properties (scattering and absorption coefficients, their wavelength dependence and relationship between them) of near-surface aerosol over the GH region during the framework of GVAX campaign. Moreover, seasonal and diurnal evolution of absorption and scattering aerosol properties as a function of particle size ($D_{10\mu\text{m}}$ and $D_{1\mu\text{m}}$) reveals the specific role of particle size in the optical properties, BLD, uplift of aerosols and LRT. The nearly background measuring site (i.e. Nainital), gives us the possibility of exploring the specific role of aerosol-pollution uplift from the IGP to the Himalayan foothills and the seasonal influence of the LRT on aerosol optical properties.

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(~21.4 cm inner diameter), protected with a rain cap and a metal screen designed to exclude insects. The aerosols were passed from the stack through a manifold and into several sampling lines that deliver the sample air to the various instruments. Before the light scattering and absorption measurements were made, each aerosol sample passes through an inertial impactor with a cut-off particle diameter at either 1 μm ($D_{1\mu\text{m}}$) or 10 μm ($D_{10\mu\text{m}}$). An automated inlet switch operating at 5 min frequency is used to direct the air sample to $D_{1\mu\text{m}}$ and $D_{10\mu\text{m}}$ impactors in order to remove the larger particles. The AOS instrumentation that is used in the current work consists of Nephelometer and Particle Soot Absorption Photometer (PSAP) from which several extensive and intensive aerosol properties have been analyzed (see Table 1). Some of them (bold highlighted) are examined in the current work, while others are subjected of further analysis.

The σ_{ap} was measured via the three wavelengths (0.47, 0.53 and 0.66 μm) PSAP. The PSAP uses a filter-based technique in which aerosols are continuously deposited onto a glass fibre filter and the change in the transmitted light is related to the σ_{ap} of the deposited particles using the Lambert Beer's law (Bond et al., 1999). The filter was changed whenever the amount of transmitting light achieved is ~70% of the initial intensity, while the data averaging time was 1 min. The response of PSAP depends on aerosol loading on the filter, amount of light scattered by particles, flow rate (1.5 L min^{-1}) and spot size (Virkkula et al., 2011). Following the methodology from several previous works (Bond et al., 1999; Virkkula et al., 2011), the raw PSAP data were processed to estimate the σ_{ap} by incorporating the flow and spot size calibrations. The additional biases are due to the scattering and multi-sample loading on the filter, instrument noise (~6% of total absorption, Bond et al., 2001) and uncertainty in the PSAP measurements (1–4 M m^{-1} for the 1 min averaged data samples, Manoharan et al., 2014). The total uncertainty of the PSAP measurements after the transmission and scattering correction is ~20–30% (Bond et al., 1999).

The total scattering (σ_{sp} ; between 7 and 170°) and hemispheric backscattering (σ_{bsp} ; between 90 and 170°) coefficients at three wavelengths (0.45, 0.55 and 0.70 μm) were

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measured with an integrating Nephelometer (Model 3563, TSI). The Nephelometer operated at a relative humidity (RH) below 40 % to minimize the effects of changing RH on measurements, while a second Nephelometer was also connected to a humidity scanning system to provide measurements of σ_{sp} and σ_{bsp} as a function of RH for studying the light scattering enhancement factor (work under preparation). The angular non-idealities (i.e. truncation error) and non-Lambertian light source were corrected following the methodology described by Anderson and Ogren (1998) and details given in Dumka and Kaskaoutis (2014 and references therein). These corrections are needed to subtract the light scattering by air molecules, the instrument walls and the detector background noise. The averaging time was set to 1 min, and the Nephelometer was calibrated using CO₂ as high span gas and air as low span gas. On an average, the calibration constant is within $\pm 2\%$ and the overall uncertainty in the σ_{sp} is $\sim 7\%$ (Heintzenberg et al., 2006).

The aerosol coefficients σ_{ap} , σ_{bsp} and σ_{sp} measured directly by the AOS are referred to as “extensive properties”, because they are mainly pertain to the amount of aerosols in the atmosphere. These measurements were used to determine several other aerosol parameters (known as “intensive properties”), such as hemispheric backscatter fraction (b), up-scatter fraction (β), scattering Ångström exponent (SAE), absorption Ångström exponent (AAE), single scattering albedo (SSA, ω), asymmetry parameter (g), sub-micron scattering (R_{sp}) and absorption (R_{ap}) fractions, which are involved in the radiative forcing estimations, rather than being related directly to the aerosol loading. Therefore, the intensive properties relate more to the character of aerosols, such as albedo, particle size and hygroscopic behavior. The details of extensive and intensive parameters and the equations used for their calculation are given in Table 1.

3 Results and discussion

3.1 Variations in meteorological parameters

In terms of weather conditions and climatology, the observational site is characterized by four different seasons: winter (December-January-February; DJF), spring/pre-
monsoon (March-April-May; MAM), summer/monsoon (June-July-August-September; JJAS) and autumn/post-monsoon (October–November; ON), respectively. During the study period (June 2011 to March 2012), the ambient pressure, temperature (Temp), relative humidity (RH), wind speed (WS) and wind direction (WD) were continuously monitored using the surface meteorological instrumentation (MET) data from the ARM AMF facility.

The ambient atmospheric pressure varies between 79 and 81 Kpa, gradually increasing from monsoon to winter and then, slightly decreasing towards spring (Fig. 1a). The monthly-mean temperature remains nearly steady ($\sim 20^{\circ}\text{C}$) between June and September, with a gradual decrease thereafter to a minimum value of $\sim 7^{\circ}\text{C}$ in January (Fig. 1b). The RH is greater than 90 % during summer monsoon and decreases to about 60 to 40 % during the remaining period, also exhibiting larger fluctuation due to changing weather conditions involving arrival of humid or dry air masses (Fig. 1c). In general, the wind speed (Fig. 1d) varies between 2 and 6 m s^{-1} with an average of $\sim 2\text{ m s}^{-1}$ for most of the time. Westerly-to-northwesterly winds (Fig. 1e) dominate during October–March period, carrying aerosols and pollutants from western IGP and southwest Asia, as well as dust plumes on certain occasions (Hegde et al., 2007). June is mostly considered as a transition month with changing wind from westerly to easterly, while the mean wind direction from July to September is easterly to south-easterly associated with increased monsoon rainfall.

3.2 Temporal evolution of near-surface aerosol properties

This section analyzes the temporal evolution of near-surface aerosol properties at Nainital and discusses them as a function of wavelength, particle size and prevailing atmospheric and meteorological conditions.

3.2.1 Extensive properties

The mean values (averaged during the entire study period) of spectral σ_{sp} , σ_{bsp} and σ_{ap} for $D_{1\mu m}$ and $D_{10\mu m}$ are shown in Fig. 2a–c. All the examined parameters show a slight decreasing trend with wavelength, but the largest differences are seen as a function of the particle size, since $D_{10\mu m}$ particles exhibit higher scattering, backscattering and absorption as well. On the other hand, the range of all parameters is much larger for $D_{10\mu m}$ particles since their size distribution is much more expanded, suggesting larger variation in source regions, mixing processes and optical properties. Although such a behavior is expected for the scattering and backscattering processes via the Mie theory (the larger particles are more efficient scatters especially at longer wavelengths), the higher absorption by the larger particles is an important finding that merits further investigation. In this respect, Manoharan et al. (2014) reported a 30% greater absorption for $D_{10\mu m}$ compared to $D_{1\mu m}$ during October–November 2011 (GVAX results), which was found to be the largest difference, since the two particle-size groups exhibit similar absorption efficiency (7.63 ± 5.32 and 6.38 ± 3.91 for $D_{10\mu m}$ and $D_{1\mu m}$, respectively) during monsoon. The post-monsoon season coincides with the post-harvest agricultural biomass burning period in Punjab, northwestern India (Kaskaoutis et al., 2014), implying that these absorbing aerosols can be also of larger size via mixing processes in the atmosphere (gas-to-particle conversion, coagulation, condensation, ageing) during their transportation up to Nainital. These aerosols are mostly emitted over IGP, whereas the local freshly-emitted aerosols at Nainital (mostly in the $D_{1\mu m}$ size group) seem not to affect so much the light absorption due to their significantly lower abundance (Hyvärinen et al., 2009; Ram et al., 2010). It should be noted here

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that the difference in absorption efficiency between $D_{10\mu\text{m}}$ and $D_{1\mu\text{m}}$ was found to be larger for higher aerosol loading. On the other hand, the scattering is much larger ($\sim 40\%$) for the $D_{10\mu\text{m}}$, especially at longer wavelengths, while the σ_{bsp} exhibits rather neutral wavelength dependence.

The differences in the three parameters, namely, σ_{sp} , σ_{bsp} and σ_{ap} as a function of the particle size are examined via the frequency distribution histograms (Fig. 3a–c). A large difference between the two size groups is seen in the distribution of the σ_{sp} values, whereas the frequency distributions for σ_{bsp} and σ_{ap} are similar for both size groups with larger frequency at higher (lower) values for the $D_{10\mu\text{m}}$ ($D_{1\mu\text{m}}$). The average σ_{sp} and σ_{ap} during the whole study period were found to be 177.2 and 10.9 M m^{-1} , respectively, for the $D_{10\mu\text{m}}$ and 104.4 and 8.95 M m^{-1} for the $D_{1\mu\text{m}}$, with significant seasonal variation, which is examined in the following. The mean value of σ_{sp} is similar to earlier observations over the site (Pant et al., 2006; Beegum et al., 2009). The σ_{sp} values over central Indian Himalayas (present study and Hyvärinen et al., 2009) are comparable to those found over central India during February 2004 (Jayaraman et al., 2006), but are much lower than those ($250\text{--}2000 \text{ M m}^{-1}$) reported at polluted Indian megacities, like Delhi (Ganguly et al., 2006). Lower values of σ_{sp} ($97 \pm 9.2 \text{ M m}^{-1}$) and higher of σ_{bsp} ($14 \pm 0.93 \text{ M m}^{-1}$) at 550 nm compared to Nainital were found at Anantapur in southern India during the period January–December 2011 (Gopal et al., 2014), suggesting dominance of different aerosol types. σ_{sp} value of $75.2 \pm 41.7 \text{ M m}^{-1}$ at 550 nm was reported by Andreae et al. (2002) at Sde Boker, Israel, which was typical of moderate-polluted continental air masses, while values of 26 and 410 M m^{-1} were found for clean and heavy-smog days, respectively, in Los Angeles (Seinfeld and Pandis, 1998). Table 2 summarizes the extensive and intensive aerosol properties over Nainital during the GVAX campaign along with those measured over mountainous sites.

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3.2.2 Intensive properties

The monthly values of SAE and AAE determined at three spectral bands are shown in Figs. 4 and 5, respectively, for both $D_{1\mu\text{m}}$ and $D_{10\mu\text{m}}$ size groups. Starting from the SAE (Fig. 4), a similar temporal variation is revealed for both size groups, but with much larger values for the $D_{1\mu\text{m}}$ (mean of 1.21 ± 0.35 at $0.45\text{--}0.70\mu\text{m}$) compared to $D_{10\mu\text{m}}$ (mean of 0.72 ± 0.42 at $0.45\text{--}0.70\mu\text{m}$). Their between ratio is nearly constant to 1.68 suggesting that $D_{10\mu\text{m}}$ particles possess higher scattering at longer wavelengths leading to a more neutral spectrum (Manoharan et al., 2014). Except this, the SAE values are higher at the shorter wavelength bands, suggesting higher decreasing rate of the scattering process at shorter wavelengths, which is expected according to the Mie theory. Thus, despite the fact that $D_{1\mu\text{m}}$ and $D_{10\mu\text{m}}$ particles exhibit similar annual pattern either for scattering or for absorption, the values and the wavelength dependence may be quite different. This indicates that although the seasonal variation in aerosol properties may be similar, mostly attributed to changes in weather conditions and associated air masses, the composition of the super-micron and sub-micron aerosols may alter significantly their optical properties and wavelength dependence, even if the initial source remains the same.

The monthly variability depends on the dominant aerosol type, the contribution of local and transported aerosols, the prevailing meteorological conditions and the mixing processes in the atmosphere. The maximum monthly-mean SAE is observed in August (1.11 ± 0.31 and 1.53 ± 0.26 for $D_{10\mu\text{m}}$ and $D_{1\mu\text{m}}$, respectively) and, in general, the wavelength dependence of scattering seems to be higher during monsoon. On the other hand, the minimum values are shown in October and November, when the site is under significant influence of the smoke-laden air masses from northwestern India, and both scattering and absorption are at their highest levels (Manoharan et al., 2014; Dumka and Kaskaoutis, 2014). Many studies (Guleria et al., 2011; Dumka et al., 2008; Srivastava et al., 2012) have shown larger columnar values of Ångström exponent (i.e. smaller particles) over IGP and GH regions during the late post-monsoon

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a fingerprint of the larger contribution from dust particles either locally emitted or long-range transported. The AAE for the $D_{1\mu\text{m}}$ is found to be larger (mean of 1.14 ± 0.18 at $0.47\text{--}0.60\mu\text{m}$) than that of $D_{10\mu\text{m}}$ (mean of 1.07 ± 0.20 at $0.47\text{--}0.60\mu\text{m}$), with a maximum during December–March (~ 1.3) and minimum during monsoon (~ 1.0). Manoharan et al. (2014) found that the absorption spectrum was much less wavelength dependent for $D_{10\mu\text{m}}$ particles during October–November, suggesting either a reduction in absorption at $0.47\mu\text{m}$ with respect to $0.66\mu\text{m}$ and/or enhanced absorption at $0.66\mu\text{m}$ resulting in less difference between 0.47 and $0.66\mu\text{m}$. This indicates that a significant amount of the $D_{10\mu\text{m}}$ particles contributes to the ambient aerosols that cause an increase in absorption. The AAEs for $D_{1\mu\text{m}}$ and $D_{10\mu\text{m}}$ have shown a correlation coefficient of ~ 0.9 and most of the data points lie close to the 1-1 line (Manoharan et al., 2014), suggesting rather consistency in the sources, at least for the absorption capability. The increase in both absorption and scattering coefficients during the last week of October and November due to enhanced biomass burning activity over northwestern India is associated with a weaker wavelength dependence of both scattering (Fig. 4) and absorption (Fig. 5), especially for $D_{10\mu\text{m}}$ particles, suggesting an abundance of super-micron aerosols that absorb in the whole spectrum (Manoharan et al., 2014).

The frequency distribution of the SAE and AAE for both $D_{1\mu\text{m}}$ and $D_{10\mu\text{m}}$ is shown in Fig. 6 along with the mean and median values. The SAE provides information about the average size distribution of the particles. In this respect, the percentage occurrence could be roughly grouped into two categories i.e., $\text{SAE} < 1$ (coarse-mode aerosols) and $\text{SAE} > 1$ (fine-mode aerosols). The SAE follows an anti-correlation with the coarse-to-fine mode ratio exhibiting much higher values for sub-micron aerosols especially at shorter wavelengths (Andreae et al., 2002). The frequency distribution of SAE clearly differentiates the $D_{1\mu\text{m}}$ and $D_{10\mu\text{m}}$ particles, which exhibit means of 1.21 ± 0.35 and 0.71 ± 0.42 , respectively. This indicates the large sensitivity of scattering on the particle size that can be used as a measure for the aerosol-type identification. On the other hand, the wide range ($\sim 0.1\text{--}2.4$) of SAE values for both particle groups suggests large variability in sources, seasonality and mixing processes at the measuring site, as

shown in Fig. 4. The average value of SAE at 0.45–0.7 μm was found to be 1.02 ± 0.3 at Anantapur (Gopal et al., 2014), which is well within the average values for $D_{1\mu\text{m}}$ and $D_{10\mu\text{m}}$ at Nainital.

In contrast to the SAE, the frequency distribution of the AAE shows a more complicated pattern with $D_{1\mu\text{m}}$ and $D_{10\mu\text{m}}$ values to strongly overlap. However, $D_{1\mu\text{m}}$ shows higher values (1.14 ± 0.18), suggesting larger wavelength dependence of the absorption. Similar to Nainital, the frequency distribution of AAE at Kanpur (Giles et al., 2012) showed significant overlap among different aerosol types, suggesting large difficulty in their discrimination based only on absorption properties. A wide range (from 0.16–2.16) in AAE values was also found by Andreae et al. (2002), with a mean of 1.43 ± 0.41 , indicative of very contrasting air masses and aerosol absorption efficiencies in arid Israel. Monthly-mean AAE values in the range of 1.0–1.6 are reported for Mukteshwar, near to Nainital (Hyvärinen et al., 2009), exhibiting larger values during winter as also observed in the present study (Fig. 5).

The monthly evolution of the spectral backscatter fraction (b) is shown in Fig. 7 for both particle sizes. The results show that the b is strongly wavelength dependent, with larger values at longer wavelengths (opposite to that found for σ_{sp} and σ_{ap} , SAE and AAE). The larger SAE that was found in monsoon (Fig. 4) indicates particles of smaller size, which is expected to produce more isentropic scattering leading to smaller b values. During post-monsoon and winter, the b increases (except a small decrease in November) reaching its highest value in March suggesting more irregular type of scattering and favoring of backscatter, which is characteristic of the dust particles (Liu et al., 2008). The b is larger at longer wavelengths, especially for the $D_{1\mu\text{m}}$ particles, since the backscatter wavelength dependence is lower than that of total scattering (Fig. 2) and, therefore, the backscatter-to-total scattering ratio (b) is more enhanced at longer wavelengths.

A slight higher b values are found for the sub-micron particles over Nainital at 0.45 and 0.55 μm , which become significantly higher at 0.7 μm compared to those of $D_{10\mu\text{m}}$ (Fig. 7). This is because the coarse particles favor the forward scattering (i.e. larger

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asymmetry parameter and smaller b) than the smaller particles. On average, the b values at $0.55\ \mu\text{m}$ were found to be 0.067 ± 0.009 for $D_{10\ \mu\text{m}}$ and 0.073 ± 0.012 for $D_{1\ \mu\text{m}}$, which are much lower than those (0.13 ± 0.09) reported at Anantapur (Gopal et al., 2014), suggesting presence of more aged aerosols and of larger size over Nainital.

Backscatter ratio value of 0.13 was reported at the Negev desert, Israel under continental pollution conditions (Formenti et al., 2001; Andreae et al., 2002), while similar values (0.14 ± 0.02) were found for polluted air masses in the northwestern and eastern United States (Anderson et al., 1999; Sheridan and Ogren, 1999). The b at $0.55\ \mu\text{m}$ lies in the range 0.034–0.089 (0.027–0.100) with higher values in March 0.080 ± 0.005 (0.092 ± 0.006) and lower in August 0.054 ± 0.010 (0.058 ± 0.012), where the values inside parentheses represent the $D_{1\ \mu\text{m}}$. Previous studies (Carrico et al., 2003; Doherty et al., 2005) have shown that the b values are higher for dust and particles emitted from forest fires than other types of aerosol, while they may be also sensitive to composition (organic content and particle size distribution) of aerosol (Twardowski et al., 2001; Boss et al., 2004).

Figure 8 shows the temporal evolution of the σ_{sp} and σ_{ap} for the sub-micron ($D_{1\ \mu\text{m}}$) particles as fraction of the respective efficiencies of $D_{10\ \mu\text{m}}$ (i.e. $D_{1\ \mu\text{m}}/D_{10\ \mu\text{m}}$). Both fractions are below 1, especially for the scattering as was seen in Figs. 2 and 3, suggesting that the particles larger than $1\ \mu\text{m}$ are responsible for the largest fractions of scattering and absorption. The decreasing trend with the wavelength for the sub-micron scattering fraction implies more wavelength sensitivity compared to $D_{10\ \mu\text{m}}$, whereas it becomes rather neutral for the absorption, suggesting that the spectral absorption is similar for both $D_{1\ \mu\text{m}}$ and $D_{10\ \mu\text{m}}$. The sub-micron absorption fraction is higher than that of scattering suggesting that the single scattering albedo would be higher for $D_{1\ \mu\text{m}}$. Indeed, in a previous study (Dumka and Kaskaoutis, 2014) we found higher (0.93) SSA for $D_{1\ \mu\text{m}}$ compared to that of $D_{10\ \mu\text{m}}$ (0.91). The high (> 0.9) SSA values are attributed to the relatively high (7.7 ± 3.4) values of the OC / EC ratio over Nainital suggesting dominance of scattering OC over the absorbing EC (Ram et al., 2010).

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Both absorption and scattering sub-micron fractions exhibit a similar pattern with higher values during July–August, which are decreasing in the post-monsoon, increase again in December–January and decrease in March. The larger SAE in monsoon (Fig. 4) implies abundance of fine aerosols (large Aitken-to-Accumulation ratio, Hyvärinen et al., 2009) leading to enhanced sub-micron scattering. The concurrent high values of sub-micron absorption fraction in July–August suggest that either $D_{1\mu\text{m}}$ particles are more absorbing than the rest of the year or $D_{10\mu\text{m}}$ would be less absorbing in monsoon. Sub-micron scattering and absorption are sensitive to the local anthropogenic emissions (at the Aitken size) during the monsoon and winter months, thus exhibiting higher fractions compared to the rest of the period. Except of the particle size and shape that mostly define the scattering processes, the aerosol chemical composition plays a vital role in the absorption efficiency. The carbonaceous aerosols were found to contribute about 25 % of the total aerosol mass in Nainital (Ram et al., 2010), while the WSOC / OC (water soluble organic carbon / organic carbon) ratio (0.55 ± 0.15) was found to be larger than that over the IGP locations, suggesting enhanced contribution from secondary organic aerosols (mostly in the Aitken size). Concerning the coarse-mode particles, except of the LRT dust from the arid and semi-arid regions in southwestern Asia, dust particles may originate from local wind-blown dust, dust re-suspension due to road traffic or dust from farming activity over the GH region (Raatikainen et al., 2014).

3.3 Diurnal cycle of aerosol properties

The monthly-mean diurnal evolutions of σ_{sp} , σ_{ap} , SAE and AAE are shown in Fig. 9a–d, respectively, for both $D_{1\mu\text{m}}$ and $D_{10\mu\text{m}}$. The σ_{sp} and σ_{ap} exhibit similar diurnal and seasonal patterns, with post-monsoon to winter (and March) highs and monsoon lows. Both maxima are observed in November due to significant influence of transported smoke from agricultural burning (Dumka and Kaskaoutis, 2014), while a slight decrease is observed in January. The particle size seems to play an important role in both processes, resulting in higher scattering and absorption for particles larger than

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The seasonal pattern of SAE reveals larger values during monsoon, which can be explained by the rain washout of the coarser aerosols, and a secondary increase during December–January mostly associated with local emissions from biofuel combustion (morning and evening maxima). The diurnal variation observed in December–January is smoothed during the rest of the year for both particle groups. In contrast to SAE, the diurnal pattern of AAE exhibits significant variability during October–March, indicating dominance of different aerosol types and mixing processes. Higher AAE values (> 1.3 – 1.4) are observed during night-to-early morning hours in the winter season, while during noontime the AAE goes down to 1 – 1.2 . Peaks in AAE during the morning and evening hours were also found over Mukteshwar (Hyvärinen et al., 2009) suggesting influence of local biomass burning i.e. burning of leaves and wood for heating, which were not so obvious in the diurnal patterns of σ_{sp} and σ_{ap} (Fig. 9a and b) because they are mostly affected by the LRT from IGP. The diurnal pattern of AAE reveals the influence of different aerosol sources and combustion processes, i.e. local emissions from biofuel burning in the early morning and evening (high values of AAE) and transport of mostly aged aerosols from fossil-fuel combustion in IGP during noon to early afternoon (low values of AAE) (Bergstrom et al., 2007). In contrast, any diurnal pattern flattens out during monsoon, when the low AAE values (below 1) are associated with lowest σ_{ap} .

Raatikainen et al. (2014) noted that the air masses up to Himalayan sites in winter travel at higher altitudes than the maximum BLH (~ 1 – 1.5 km) over the IGP, thus not being able to carry significant amount of aerosol and pollutants. Such pollution transportation is very much favored during pre-monsoon when the BLH is at its maximum (> 3 – 3.5 km) and the dilution of aerosols in the vertical favors their uplift to Himalayan foothills. As a consequence, the role of the IGP to the aerosol concentrations over the Himalayas is strongly related to BLH and dynamics (Raatikainen et al., 2014). However, the rain washout during monsoon strongly reduces the aerosol concentrations and seems to be the most effective scavenging factor that controls the aerosol loading and evolution.

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3. The wavelength dependence of scattering and absorption, which is defined via the Ångström exponents of scattering (SAE) and absorption (AAE), respectively, exhibited a marked seasonal variation, with monsoon high for SAE and late winter-to-March high for AAE. The higher values of SAE during monsoon may be related to rain washout and the removal of the coarser aerosol particles, while a secondary increase of SAE during winter was associated with local emissions from bio-fuel combustion. The latter seems to have an effect in increasing AAE values during the same period, in association with increased dust occurrence in March.
4. The particle size played a major role in the scattering coefficient and SAE, while its effect was much lesser in absorption processes. Thus, the absorption fraction by the sub-micron particles ($< 1 \mu\text{m}$) was about 0.9 of that of $< 10 \mu\text{m}$ particles, while the respective scattering fraction was only 0.6.
5. The diurnal variation of both scattering and absorption coefficients revealed a noon-to-early afternoon maximum, which was clearly defined during October to March, while it was negligible during monsoon. This suggests that the higher aerosol concentrations were mostly attributed to transport of air masses from the Ganges valley and not to local emissions, while the rain washout effect modulates the diurnal cycle. Furthermore, the diurnal patterns of SAE and AAE revealed that the local emissions, mostly observed during early morning and evening hours, increased both parameters during these parts of the day. This suggests that the local emissions, although they did not have a clear signal in scattering and absorption coefficients, showed different characteristics than the transported air masses from the Ganges valley and affect mostly the aerosol intensive properties.
6. The highest values for both scattering and absorption were associated with south-west air flow, suggesting strong influence of transported aerosols from northwestern India, which were found to have lower SAE values.

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Table 1. Details of AOS instruments, parameters and equations used for the calculation of aerosol optical properties. The bold-highlighted properties are examined in the current work.

Instruments	Primary measurements	Derived parameters	Equation
Three wavelength Nephelometer (TSI Model 3563)	Total scattering and hemispheric backscattering coefficients (σ_{sp} and σ_{bsp}) from D_1 and D_{10} particles at blue (0.45), green (0.55) and red (0.70) μm	Hemispheric backscatter fraction Scattering Ångström exponent Backscattering Ångström exponent Up scatter fraction Asymmetry parameter Single scattering albedo Submicron scattering fraction Hygroscopic growth factor	$b = \sigma_{bsp}/\sigma_{sp}$ $\alpha_{sp} = -\log[\sigma_{sp}(\lambda_1)/\sigma_{sp}(\lambda_2)]/\log[\lambda_1/\lambda_2]$ $\alpha_{abs} = -\log[\sigma_{bsp}(\lambda_1)/\sigma_{bsp}(\lambda_2)]/\log[\lambda_1/\lambda_2]$ $\beta = 0.0817 + 1.8495 \times b - 2.9682 \times b^2$ $g = 1.011 - 1.036 \times \beta - 2.005 \times \beta^2$ $\omega = \sigma_{sp}/(\sigma_{sp} + \sigma_{ap})$ $R_{sp} = \sigma_{sp}(D_1)/\sigma_{sp}(D_{10})$ $f(\text{RH}) = \sigma_{sp}(\text{RH}_{85\%})/\sigma_{sp}(\text{RH}_{40\%}) = ((1 - (\text{RH}_{wet}/100))/(1 - (\text{RH}_{dry}/100)))^{-\gamma}$
Radiance Research Particle Soot Absorption Photometer (PSAP)	Light absorption coefficient (σ_{ap}) from D_1 and D_{10} particles at blue (0.467), green (0.53) and red (0.66) μm	Absorption Ångström exponent Single scattering albedo Submicron absorption fraction	$\alpha_{ap} = -\log[\sigma_{ap}(\lambda_1)/\sigma_{ap}(\lambda_2)]/\log[\lambda_1/\lambda_2]$ $\omega = \sigma_{sp}/(\sigma_{sp} + \sigma_{ap})$ $R_{ap} = \sigma_{ap}(D_1)/\sigma_{ap}(D_{10})$

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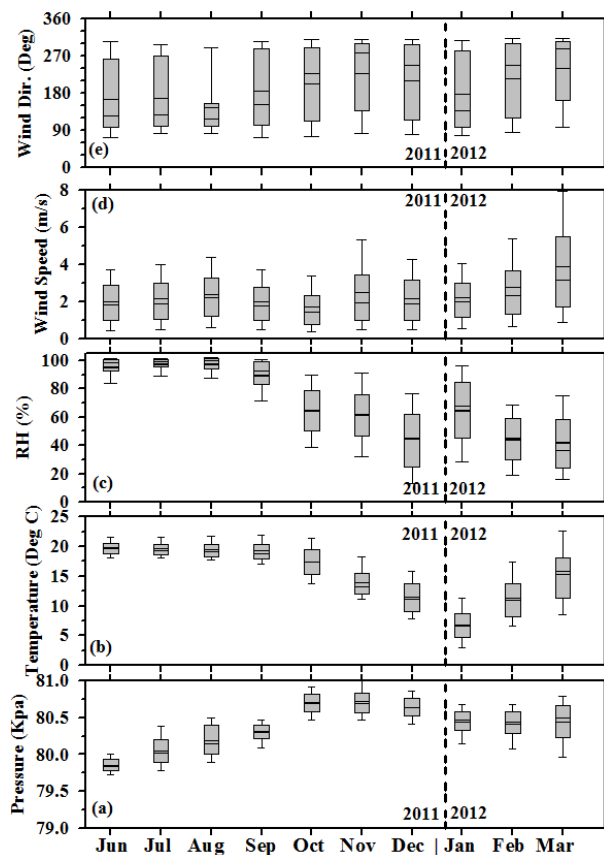


Figure 1. Monthly surface meteorological parameters (ambient pressure, air Temperature, Relative Humidity, wind speed and direction) at Nainital during the period June 2011 to March 2012 in box and whisker charts.

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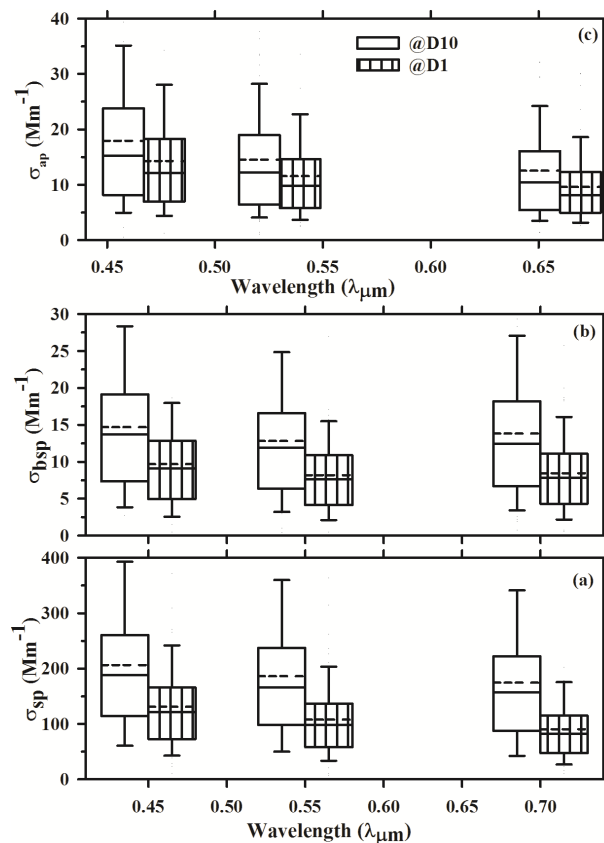


Figure 2. Statistical (box and whisker chart view) spectral distribution of **(a)** scattering coefficient (σ_{sp}), **(b)** back scattering coefficient (σ_{bsp}) and, **(c)** absorption coefficient (σ_{ap}) at Nainital during June 2011–March 2012. The dotted line represents the mean and the solid line the median. The box contains the range of values from 25 % (bottom) to 75 % (top).

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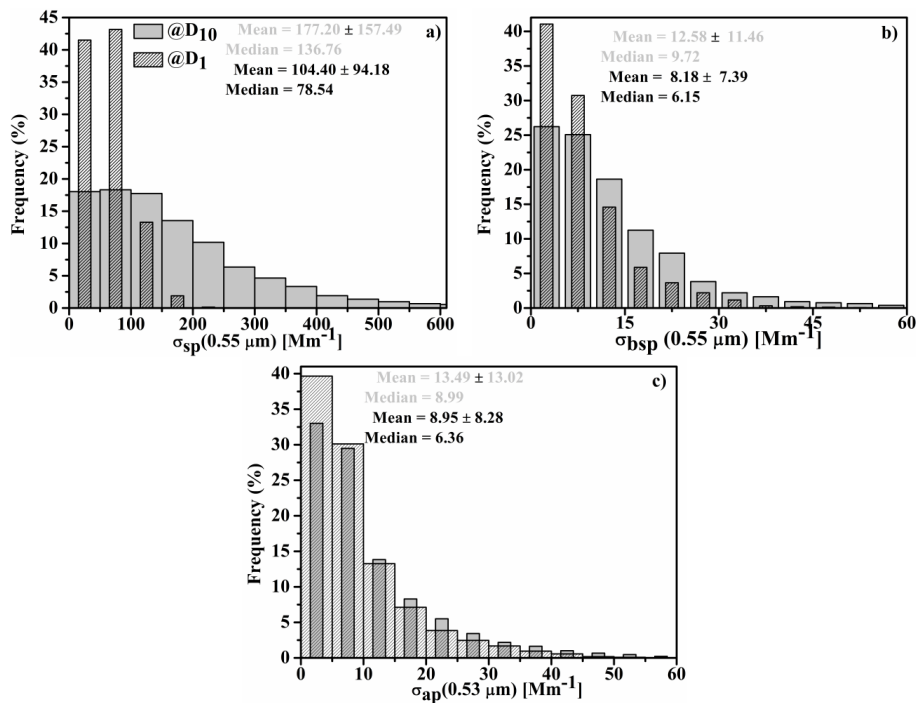


Figure 3. Frequency distribution of scattering coefficient **(a)** back scattering coefficient **(b)** and absorption coefficient **(c)** for $D_{1\mu\text{m}}$ and $D_{10\mu\text{m}}$ size groups.

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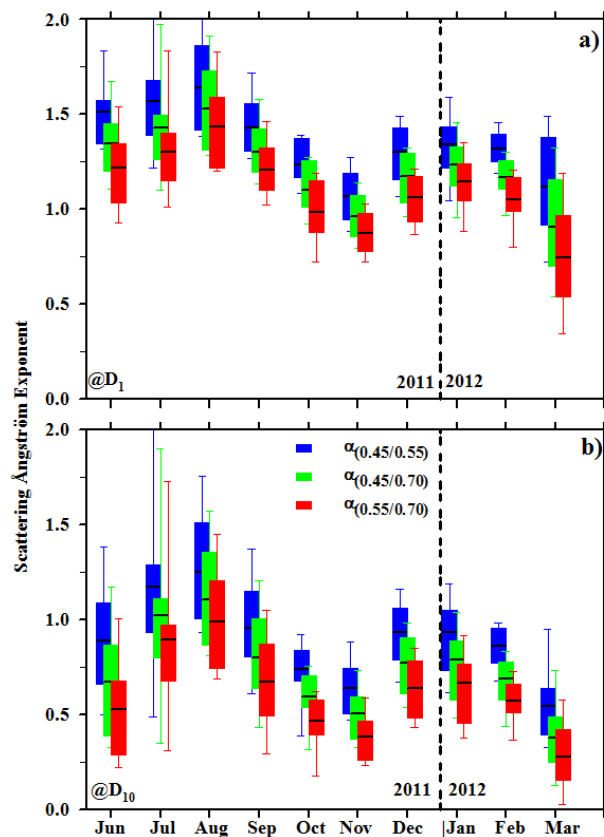


Figure 4. Monthly-mean variation of scattering Ångström exponent for $D_{1\mu\text{m}}$ (a) and $D_{10\mu\text{m}}$ (b) during June 2011 to March 2012. The box and whiskers denote the 95th and 5th percentiles, respectively. The box's upper and lower limits are 75th and 25th percentiles and black straight line shows the mean value. The vertical dotted line separates the years 2011 and 2012.

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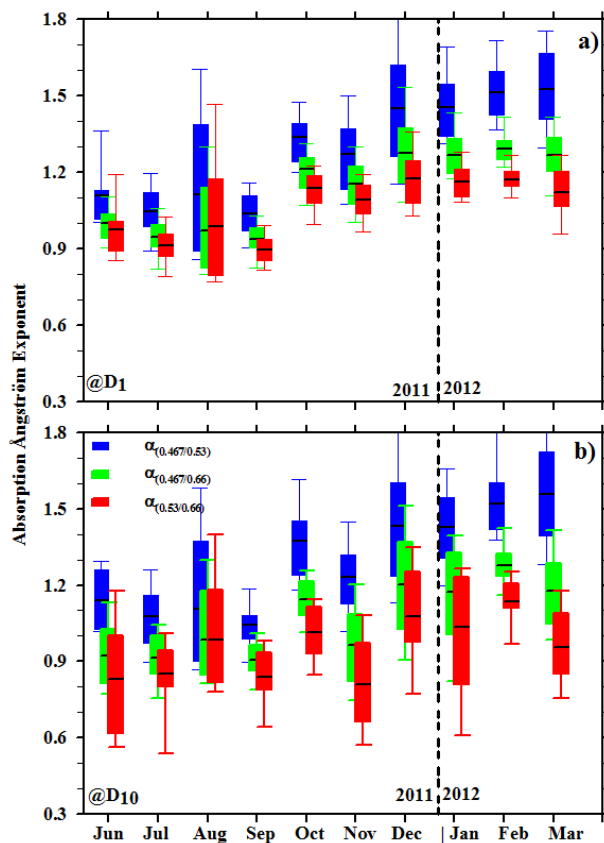


Figure 5. Same as in Fig. 4, but for the absorption Ångström exponent.

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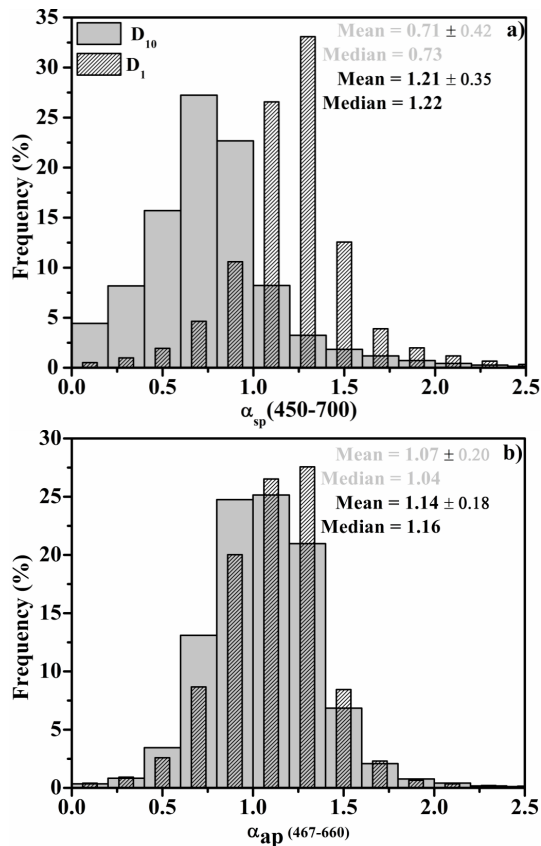


Figure 6. Frequency distribution of scattering Ångström exponent (a) and absorption Ångström exponent (b) for $D_{1\mu\text{m}}$ and $D_{10\mu\text{m}}$ size groups.

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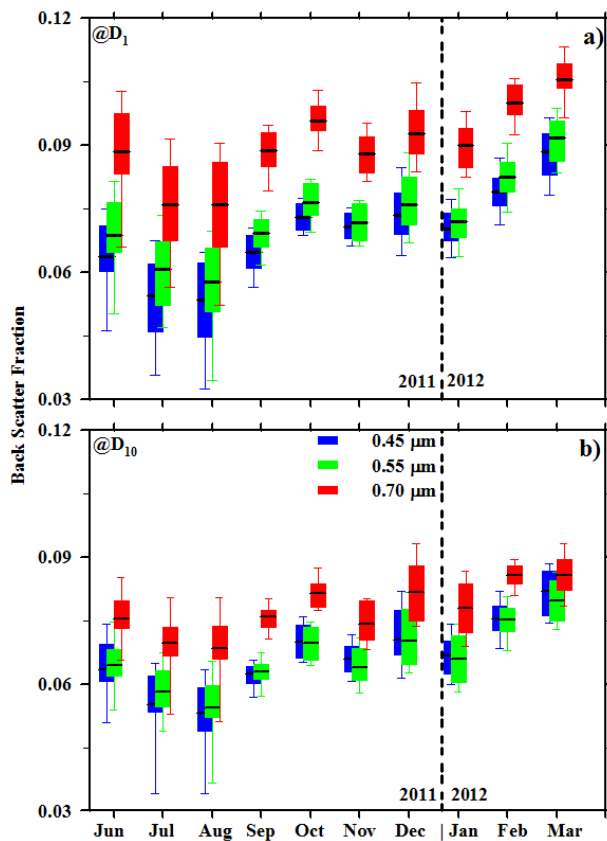


Figure 7. Same as in Fig. 4, but for the back-scatter fraction.

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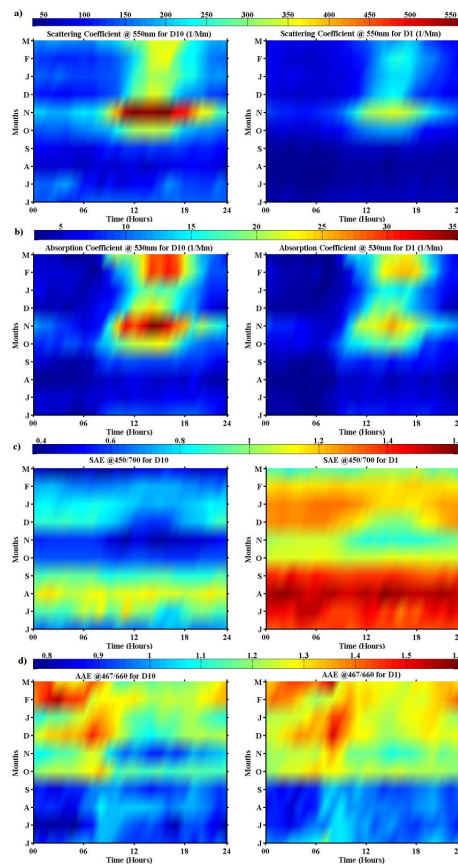


Figure 9. Monthly mean diurnal variation of **(a)** scattering coefficient, **(b)** absorption coefficient, **(c)** Scattering Ångström Exponent (SAE) and, **(d)** Absorption Ångström Exponent (AAE) for D_{10} and D_1 size particles.

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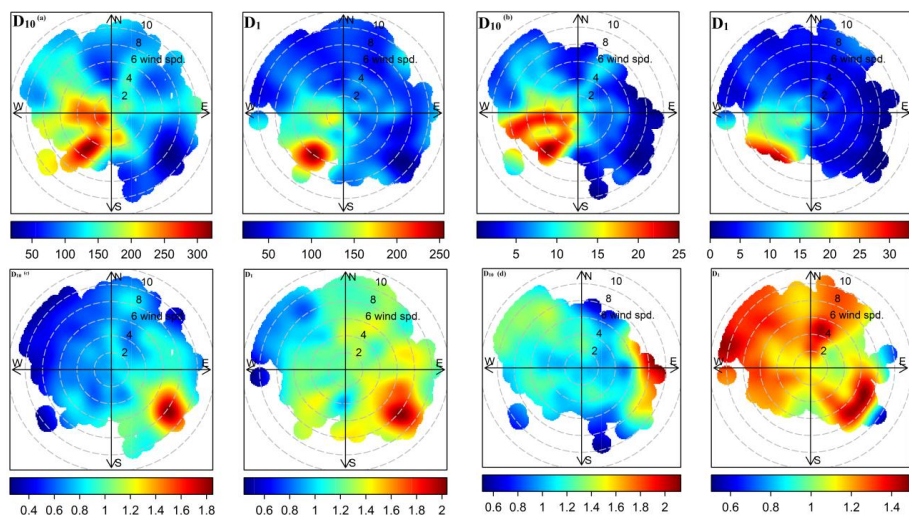


Figure 10. Bivariate plots of the scattering coefficient **(a)**, absorption coefficient **(b)**, scattering Ångström exponent **(c)** and absorption Ångström exponent **(d)** for $D_{10\mu\text{m}}$ and $D_{1\mu\text{m}}$ size groups.

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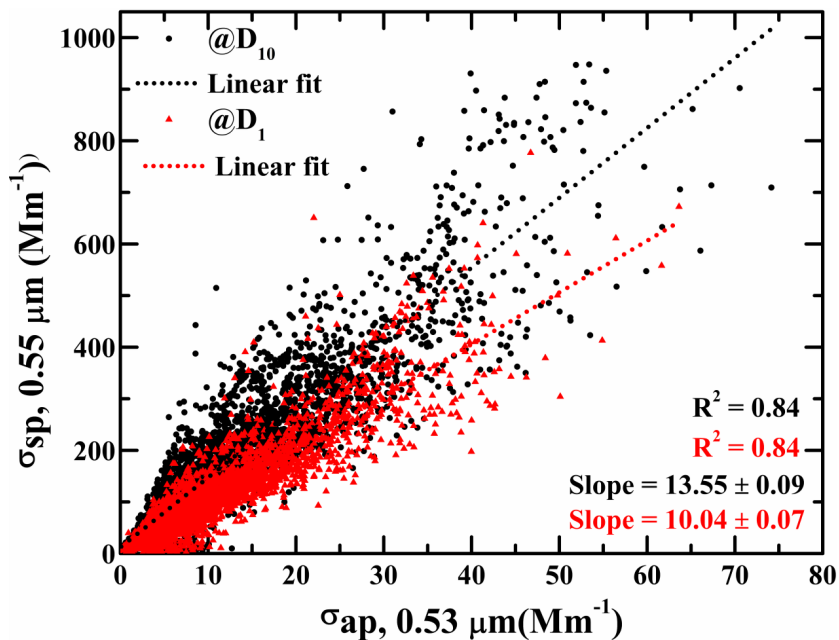


Figure 11. Correlation between the scattering and absorption coefficients for $D_{1\mu m}$ and $D_{10\mu m}$ particle-size groups.

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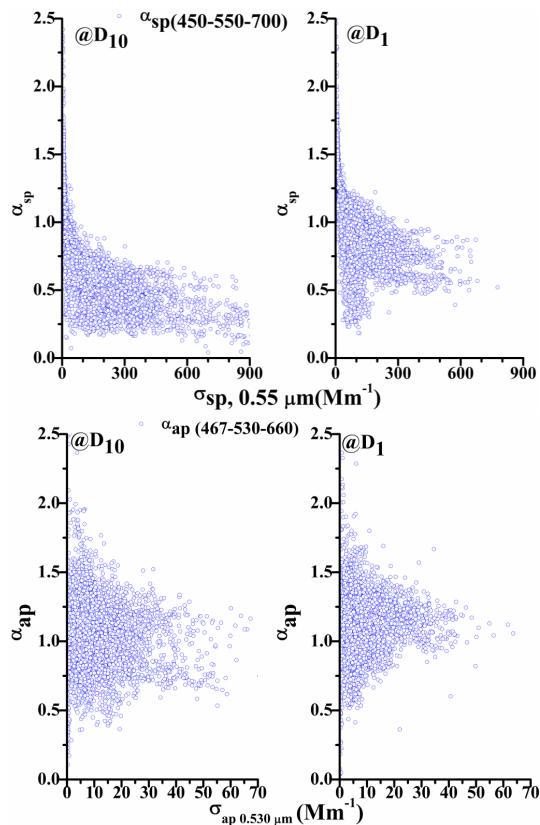


Figure 12. Correlation between the hourly-averaged values of the scattering coefficient with the scattering Ångström exponent (above) and for the absorption coefficient with the absorption Ångström exponent (below) for $D_{1\mu\text{m}}$ and $D_{10\mu\text{m}}$ particle-size groups.

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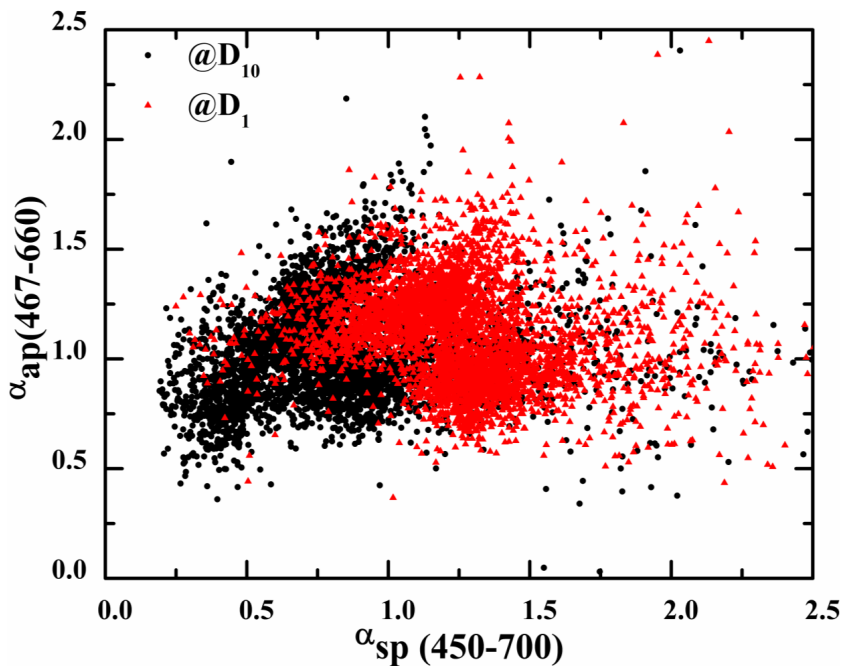


Figure 13. Correlation between scattering and absorption Ångström exponents (hourly-averaged values) at Nainital for $D_{1\mu\text{m}}$ and $D_{10\mu\text{m}}$ particle-size groups.

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