

1     Aerosol Composition and Sources during the Chinese Spring  
2     Festival: Fireworks, Secondary Aerosol, and Holiday Effects

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18 **Abstract**

19 Aerosol particles were characterized by an Aerodyne Aerosol Chemical Speciation  
20 Monitor (ACSM) along with various collocated instruments in Beijing, China to  
21 investigate the aerosol composition and sources during the Chinese Spring Festival,  
22 2013. Three fireworks (FW) events exerting significant and short-term impacts on fine  
23 particles ( $PM_{2.5}$ ) were observed on the days of Lunar New Year, Lunar Fifth Day, and  
24 Lantern Festival. The FW showed major impacts on non-refractory potassium, chloride,  
25 sulfate, and organics in  $PM_{1}$ , of which the FW organics appeared to be mainly  
26 secondary with its mass spectrum resembling to that of secondary organic aerosol  
27 (SOA). Pollution events (PEs) and clean periods (CPs) alternated routinely throughout  
28 the study. Secondary particulate matter (SPM = SOA + sulfate + nitrate + ammonium)  
29 dominated  $PM_{1}$  accounting for 63-82% during the nine PEs observed. The elevated  
30 contributions of secondary species during PEs resulted in a higher mass extinction  
31 efficiency of  $PM_{1}$  ( $6.4 \text{ m}^2 \text{ g}^{-1}$ ) than that during CPs ( $4.4 \text{ m}^2 \text{ g}^{-1}$ ). The Chinese Spring  
32 Festival also provides a unique opportunity to study the impacts of reduced  
33 anthropogenic emissions on aerosol chemistry in the city. The primary species showed  
34 ubiquitous reductions during the holiday period with the largest reduction for cooking  
35 OA (69%), nitrogen monoxide (54%), and coal combustion OA (28%). The secondary  
36 sulfate, however, remained minor change, and the SOA and the total  $PM_{2.5}$  even slightly  
37 increased. These results have significant implications that controlling local primary  
38 source emissions, e.g., cooking and traffic activities, might have limited effects on  
39 improving air quality during PEs when SPM that is formed over regional scales  
40 dominates aerosol particle composition.

## 41 **1 Introduction**

42 Air pollution caused by fine particles (PM<sub>2.5</sub>) is of great concern in densely  
43 populated megacities because of its adverse effects on human health and regional air  
44 quality (Molina and Molina, 2004; Chan and Yao, 2008). The health risk of air  
45 pollution is greater than expected leading to around 7 million people's death in 2012  
46 according to the latest report by World Health Organization  
47 (<http://www.who.int/mediacentre/news/releases/2014/air-pollution/en/>). The Beijing  
48 metropolitan area is one of the most populous megacities in the world with the  
49 population reaching 20.69 million by the end of 2012 (Beijing Municipal Bureau of  
50 Statistics). According to Beijing Municipal Environmental Protection Bureau, the  
51 annual average concentration of PM<sub>2.5</sub> was 89.5 µg m<sup>-3</sup> in 2013, about 2.5 times the  
52 National Ambient Air Quality Standards of China (35 µg m<sup>-3</sup> for annual average),  
53 which indicates severe fine particle pollution in Beijing. As a result, extensive studies  
54 have been made recently to investigate the sources of PM<sub>2.5</sub>. The results showed that  
55 secondary inorganic aerosol (SIA = sulfate + nitrate + ammonium), coal combustion,  
56 traffic emissions (gasoline and diesel), biomass burning, cooking emissions and dust  
57 are the major sources of PM<sub>2.5</sub> (Zheng et al., 2005; Song et al., 2006; Zhang et al.,  
58 2013), and the source contributions varied significantly among different seasons.  
59 Despite this, improving air quality in Beijing remains a great challenge due to the  
60 very complex sources and dynamic evolution processes of aerosol particles.

61 Fine particles from various source emissions can be either primary from direct  
62 emissions, e.g., fossil fuel combustion and biomass burning, or secondary from  
63 atmospheric oxidation of gas-phase species. The fireworks (FW) is one of the most  
64 important primary source that can exert significant and short-time impacts on air

65 quality. The fireworks burning emits a large amount of gaseous pollutants, e.g., sulfur  
66 dioxide (SO<sub>2</sub>) and nitrogen oxide (NO<sub>x</sub>) (Vecchi et al., 2008;Huang et al., 2012), and  
67 also fine particles comprising organic/elemental carbon, sulfate, potassium, chloride  
68 and various metals, e.g., copper (Cu), barium (Ba), strontium (Sr) and magnesium  
69 (Mg) (Moreno et al., 2007;Wang et al., 2007;Li et al., 2013). The enhanced short-term  
70 air pollution by fireworks can substantially increase health risk levels (Godri et al.,  
71 2010;Yang et al., 2014) and reduce visibility for hours (Vecchi et al., 2008). However,  
72 previous studies on chemical characterization of fireworks in China were mostly  
73 based on filter measurements with a time resolution of 12 h or 24 h (Wang et al.,  
74 2007;Zhang et al., 2010;Feng et al., 2012;Huang et al., 2012;Cheng et al., 2014;Zhao  
75 et al., 2014), which may have large uncertainties in accurate quantification of  
76 chemical composition of FW particles. Drewnick et al. (2006) first conducted  
77 real-time size-resolved chemical composition measurements during the New Year's  
78 period in Mainz, Germany using an Aerodyne Time-of-Flight Aerosol Mass  
79 Spectrometer (ToF-AMS). To our knowledge, there are no such real-time  
80 measurements of chemical composition of aerosol particles during fireworks events in  
81 China yet, which limits our understanding on the rapid formation and evolution of  
82 fireworks events, and also their impacts on air pollution.

83       Secondary aerosol is of more concern compared to primary aerosol because it is  
84 formed over regional scales and exerts impacts on air quality over wider areas.  
85 Therefore, extensive studies have been conducted in recent years to characterize the  
86 sources and formation mechanisms of secondary aerosol (Yao et al., 2002;Duan et al.,  
87 2006;Sun et al., 2006;Wang et al., 2006;Guo et al., 2010;Yang et al., 2011;Sun et al.,  
88 2013b;Zhang et al., 2013;Zhao et al., 2013). SIA was observed to contribute a large

89 fraction of PM<sub>2.5</sub> and played an enhanced role during haze episodes due to the faster  
90 heterogeneous reactions associated with higher humidity. While SIA was relatively  
91 well characterized, secondary organic aerosol (SOA) is poorly understood. The recent  
92 deployments of Aerodyne Aerosol Mass Spectrometers (AMS) greatly improved our  
93 understanding on sources and evolution processes of organic aerosol (OA) in China,  
94 and also primary organic aerosol (POA) and SOA by positive matrix factorization  
95 (PMF) of organic mass spectra (Huang et al., 2010;Sun et al., 2010;He et al.,  
96 2011;Sun et al., 2012;Sun et al., 2013b;Zhang et al., 2014). SOA was found to play  
97 different roles among different seasons. While SOA is more significant in summer  
98 (Huang et al., 2010;Sun et al., 2010;Sun et al., 2012), POA generally plays a more  
99 important role during wintertime (Sun et al., 2013b). Despite this, the role of SOA in  
100 fine particle pollution in Beijing is not well known, in particular during wintertime, a  
101 season with frequent occurrences of pollution episodes (Sun et al., 2013b;Zhang et al.,  
102 2014). Of particular interest, this study took place in the month with the most  
103 important holiday in China, i.e., the Spring Festival. The source emissions (e.g.,  
104 traffic and cooking) had significant changes due to a large reduction of population and  
105 anthropogenic activities in the city. This provides a unique opportunity to investigate  
106 how source changes affect aerosol chemistry including primary emissions and  
107 secondary formation in Beijing. Although Huang et al. (2012) investigated such a  
108 holiday effect on aerosol composition and optical properties in Shanghai, the data  
109 analyses were limited by daily average composition measurements and also the  
110 significantly different meteorological conditions between holiday and non-holiday  
111 periods.

112 In this study, an Aerosol Chemical Speciation Monitor (ACSM) along with

113 various collocated instruments was deployed in Beijing during February 2013. The  
114 chemical composition of PM<sub>1</sub> from fireworks is first quantified in Beijing based on  
115 the high time resolution measurements of non-refractory submicron aerosol species  
116 (organics, sulfate, nitrate, ammonium, chloride, and potassium) and black carbon. The  
117 impact of fireworks on PM pollution during Chinese Lunar New Year (LNY), Lunar  
118 Fifth Day (LFD), and Lantern Festival (LF) are investigated, and the roles of  
119 secondary formation in PM pollution are elucidated. Further, the impacts of reduced  
120 anthropogenic emissions during the holiday on primary and secondary aerosols in the  
121 city are illustrated, which has significant implications for making air pollution control  
122 strategies in Beijing.

## 123 **2 Experimental**

### 124 **2.1 Sampling site**

125 The measurements in this study were conducted at the Institute of Atmospheric  
126 Physics (IAP), Chinese Academy of Sciences (39°58'28''N, 116°22'16''E), an urban  
127 site located between the north third and fourth ring road in Beijing (Sun et al., 2012).  
128 Aerosol characterization was performed from 1 February to 1 March 2013, during  
129 which three episodes with significant influences of fireworks, i.e., Lunar New Year  
130 (LNY), Lunar Fifth Day (LFD), and Lantern Festival (LF), were observed (Fig. 1).  
131 The meteorological conditions during the measurement period are reported in Fig. 1.  
132 Winds at the ground surface were generally below 2 m s<sup>-1</sup> and temperature averaged  
133 0.6 °C. Relative humidity (RH) varied periodically with higher values generally  
134 associated with higher particulate matter (PM) pollution.

### 135 **2.2 Aerosol sampling**

136 The chemical composition of non-refractory submicron aerosol particles (NR-PM<sub>1</sub>)  
137 including organics, sulfate, nitrate, ammonium, and chloride were measured on-line  
138 by an Aerodyne Aerosol Chemical Speciation Monitor (ACSM) at an approximate  
139 15-min time intervals (Ng et al., 2011b). The ACSM was built upon previous versions  
140 of AMS (Jayne et al., 2000; DeCarlo et al., 2006). However, the ACSM has no size  
141 information and also a lower sensitivity and lower mass resolution due to the use of a  
142 commercial-grade quadrupole mass analyzer (Ng et al., 2011b). The advantage of the  
143 ACSM is its robustness for long-term and routine aerosol particle composition  
144 measurements. A two-wavelength Aethalometer (Model AE22, Magee Scientific  
145 Corp.) was used to measure refractory black carbon (BC) that the ACSM cannot  
146 detect.

147 The light extinction of dry fine particles ( $b_{\text{ext}}$ , M m<sup>-1</sup>, 630 nm) was measured by a  
148 Cavity Attenuated Phase Shift Spectrometer particle extinction monitor, CAPS PM<sub>ex</sub>  
149 (Massoli et al., 2010). The CAPS PM<sub>ex</sub> was measured at 1 s time resolution with a  
150 precision ( $3 \sigma$ ) of 1 M m<sup>-1</sup>. The mass concentration of PM<sub>2.5</sub> was determined by a  
151 heated Tapered Element Oscillating Microbalance, TEOM, and the collocated  
152 gaseous species (including CO, SO<sub>2</sub>, NO, NO<sub>x</sub> and O<sub>3</sub>) were measured by various gas  
153 analyzers (Thermo Scientific) at 1 min time resolution. The detailed descriptions of  
154 aerosol and gas measurements were given in Sun et al. (2013b).

### 155 **2.3 ACSM data analysis**

156 The ACSM data were analyzed for the mass concentrations and chemical  
157 composition of NR-PM<sub>1</sub> using standard ACSM software (v 1.5.3.2) written within  
158 Igor Pro (WaveMetrics, Inc., Oregon USA). A composition-dependent collection  
159 efficiency (CE) recommended by Middlebrook et al. (2012),  $CE = \max(0.45, 0.0833$

160 +  $0.9167 \times \text{ANMF}$ ), was used to account for the incomplete detection due to the  
161 particle bouncing effects (Matthew et al., 2008) and the influences caused by high  
162 mass fraction of ammonium nitrate (ANMF). The default relative ionization  
163 efficiencies (RIEs) were used in this study, except ammonium (RIE = 6.5) that was  
164 determined from IE calibration.

165 Quantification of  $\text{K}^+$  is challenging for ACSM because of a large interference of  
166 organic  $\text{C}_3\text{H}_3^+$  at  $m/z$  39 and also uncertainties caused by surface ionization (Slowik et  
167 al., 2010). In this work, we found that  $m/z$  39 was tightly correlated with  $m/z$  43 that is  
168 completely organics during non-fireworks (NFW) periods ( $r^2 = 0.87$ , slope = 0.45, Fig.  
169 S1). However, higher ratios of  $m/z$  39/43 during FW periods were observed due to the  
170 elevated  $\text{K}^+$  signal from burning of fireworks. Assuming that  $m/z$  39 was primarily  
171 contributed by organics during NFW periods, the excess  $m/z$  39 signal, i.e.,  $\text{K}^+$ , can  
172 then be estimated as  $m/z$  39 –  $m/z$  43  $\times$  0.45. The  $^{41}\text{K}^+$  ( $m/z$  41) was calculated using  
173 its isotopic ratio of 0.0722, i.e.,  $^{41}\text{K}^+ = 0.0722 \times \text{K}^+$ . The  $\text{K}^+$  signal was converted to  
174 mass concentration with a RIE of 2.9 that was reported by Drewnick et al. (2006). The  
175  $\text{KCl}^+$  ( $m/z$  74) and  $^{41}\text{KCl}^+/\text{K}^{37}\text{Cl}^+$  ( $m/z$  76) were estimated by the differences between  
176 the measured and PMF modeled  $m/z$  74 (see Fig. S2 for details). Not surprisingly, the  
177 quantified  $\text{KCl}^+$  highly correlates with  $\text{K}^+$  ( $r^2 = 0.82$ ). The chloride concentration was  
178 also biased at  $m/z$  35 during some periods (e.g., LNY, Fig. S3), which is likely due to  
179 the interferences of NaCl from fireworks. Therefore,  $\text{Cl}^+$  ( $m/z$  35) was recalculated  
180 based on its correlation with  $m/z$  36 (mainly  $\text{HCl}^+$  with negligible  $\text{C}_3^+$  and  $^{36}\text{Ar}$ ), i.e.,  
181  $m/z$  35 = 0.15  $\times$   $m/z$  36, and  $^{37}\text{Cl}^+$  was calculated using an isotopic ratio of 0.323, i.e.,  
182  $^{37}\text{Cl}^+ = 0.323 \times ^{35}\text{Cl}^+$ . A comparison of the time series of default and recalculated  
183 chloride is shown in Fig. S3b.



184 The positive matrix factorization (PMF) with the algorithm of PMF2.exe in robust  
185 mode (Paatero and Tapper, 1994) was performed on organic aerosol (OA) mass  
186 spectra ( $m/z$  12 – 120) to resolve distinct OA components from different sources. The  
187 PMF results were evaluated with an Igor Pro-based PMF Evaluation Tool (PET, v  
188 2.04) (Ulbrich et al., 2009) following the procedures detailed in Zhang et al. (2011).  
189 After a careful evaluation of the spectral profiles, diurnal variations and correlations  
190 with external tracers, a 6-factor solution with rotational forcing parameter  $f_{\text{peak}} = -1$   
191 ( $Q/Q_{\text{exp}} = 4.3$ ) was chosen, yielding a hydrocarbon-like OA (HOA), a cooking OA  
192 (COA), a coal combustion OA (CCOA), and three oxygenated OA (OOA)  
193 components, which were recombined into one OOA component. The four OA  
194 components show very similar mass spectral profiles ( $r^2 = 0.86 - 0.99$ ) and diurnal  
195 variations (Fig. S4) to those observed during winter 2011-2012 (Sun et al., 2013b). A  
196 detailed summary of key diagnostic plots of the PMF solution are given in Fig. S5 –  
197 Fig. S8.

### 198 **3 Results and discussion**

#### 199 **3.1 Identification and quantification of fireworks events**

200 Burning of fireworks has been found to emit a large amount of  $K^+$ , which can be  
201 used to identify the FW events (Drewnick et al., 2006; Wang et al., 2007). As shown  
202 in Fig. 1 and Fig. S9, three FW events with significantly elevated  $K^+$  were observed  
203 on the days of Lunar New Year (LNY, 9-10 February), Lunar Fifth Day (LFD, 14  
204 February), and Lantern Festival (LF, 24 February), respectively. All three FW events  
205 started approximately at 18:00 and ended at midnight except LNY with a continuous  
206 FW impact until 4:00 on the second day. Fig. 1 shows that the relative humidity was  
207 generally below 30% during LNY and LFD. While the wind speed at the ground

208 surface remained consistently below  $2 \text{ m s}^{-1}$ , it was increased to  $\sim 4 \text{ m s}^{-1}$  at the height  
209 of 100 m. Also note that there was a wind direction change in the middle of the two  
210 events. The meteorological conditions during LF were stagnant with wind speed  
211 generally below  $2 \text{ m s}^{-1}$  across different heights. The relative humidity was  $\sim 50\%$  and  
212 the temperature averaged  $3.5^\circ\text{C}$ .

213 To estimate the contributions of fireworks, we first assume that the background  
214 concentration of each species has a linear variation during FW period. A linear fit is  
215 then performed on the 6 h data before and after FW events. The difference between  
216 the measured and the fitted value is then assumed as the contribution from FW. The  
217 typical examples for estimating FW contributions are shown in Fig. S10. It should be  
218 noted that this approach might significantly overestimate the FW contributions of  
219 primary species (e.g., HOA, COA, CCOA, and BC) that were largely enhanced during  
220 the typical FW periods (18:00 – 24:00) due to the increased local emissions (see Fig.  
221 S11 for diurnal variations). However, it should have a minor impact on secondary  
222 species (e.g.,  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ , and OOA) because of their relatively stable variations  
223 between 18:00-24:00. As shown in Fig. 1, all aerosol species showed substantial  
224 increases from 15:00 to 21:00 on the day of LNY which coincidentally corresponded to  
225 a gradual change of wind direction. Therefore, regional transport might have played  
226 dominant roles for the evolution of chemical species during this period. For these  
227 reasons, only the FW contributions between 23:30, 9 February and 3:30, 10 February  
228 when the meteorological conditions were stable were estimated. The FW  
229 contributions during LFD might also be overestimated due to the influences of  
230 regional transport as suggested by the wind direction change in the middle.

231 Considering above, the contributions estimated in this work would represent the upper  
232 limits of FW.

### 233 **3.2 Mass concentration and chemical composition of FW aerosols**

234 Figure 1 shows the time series of mass concentrations of PM<sub>1</sub>, PM<sub>2.5</sub>, and  
235 submicron aerosol species from 1 February to 1 March 2013. Because ACSM cannot  
236 measure the metals (e.g., Sr, Ba, Mg, etc.) that were significantly enhanced during  
237 FW periods (Wang et al., 2007; Vecchi et al., 2008), the PM<sub>1</sub> in this study refer to  
238 NR-PM<sub>1</sub> (= Org + SO<sub>4</sub><sup>2-</sup> + NO<sub>3</sub><sup>-</sup> + NH<sub>4</sub><sup>+</sup> + Chl + K<sup>+</sup> + KCl) + BC. The PM<sub>2.5</sub> showed  
239 three prominent FW peaks with the maximum concentration occurring at ~00:30  
240 during LNY and ~21:30 during LFD and LF, respectively. The peak concentration of  
241 PM<sub>2.5</sub> during LNY is more than 10 times higher than the China National Ambient Air  
242 Quality Standard (75 μg m<sup>-3</sup>, 24 h average). The average FW-PM<sub>2.5</sub> mass  
243 concentrations during three FW events all exceeded 100 μg m<sup>-3</sup>. These results suggest  
244 that fireworks have large impacts on fine particle pollution, yet generally less than  
245 half day (approximately 10 h for LNY, and 6 h for LFD and LF). The PM<sub>1</sub> also  
246 showed increases during the FW periods, yet not as significant as PM<sub>2.5</sub>. In fact the  
247 correlation of PM<sub>1</sub> versus PM<sub>2.5</sub> shows much lower PM<sub>1</sub>/PM<sub>2.5</sub> (0.08 – 0.19) ratios  
248 during three FW events than that observed during NFW periods (0.90) (Fig. 2). One  
249 of the reasons is likely due to the mineral dust component and metals from fireworks  
250 that ACSM did not measure. However, the metals that were largely enhanced during  
251 FW periods generally contribute a small fraction of PM (Wang et al., 2007; Vecchi et  
252 al., 2008). Therefore, our results suggest that burning of fireworks has the most  
253 impact on aerosol particles in the size range of 1 – 2.5 μm. Consistently, Vecchi et al.  
254 (2008) found the best correlation between the fireworks tracer, Sr, and the particles

255 between 700-800 nm (mobility diameter,  $D_m$ ) which is approximately equivalent to  
256 1.9 – 2.2  $\mu\text{m}$  in  $D_{va}$  (vacuum aerodynamic diameter,  $D_{va}$ ) with a density of  $2.7 \text{ g cm}^{-3}$   
257 (Zhang et al., 2010).

258 Figure 3 shows the average chemical composition of  $\text{PM}_{10}$  and OA from  
259 fireworks and also the background composition during LNY, LFD and LF. The  
260 background  $\text{PM}_{10}$  during LNY and LFD showed typical characteristics of clean  
261 periods with high fraction of organics ( $> \sim 50\%$ ), whereas that during LF was  
262 dominated by SIA (52%). As a comparison, organics constituted the major fraction of  
263 FW- $\text{PM}_{10}$ , contributing 44 – 55% on average. During LNY, FW exerted large impacts  
264 on potassium and chloride whose contributions were elevated to 21% and 15% of  
265  $\text{PM}_{10}$ , respectively, from less than 7% (Chl) in the background aerosols. The large  
266 increases of potassium and chloride were also observed during LFD and LF, and  
267 previous studies in Beijing (Wang et al., 2007; Cheng et al., 2014). As shown in Fig. 3,  
268 FW also emitted a considerable amount of sulfate, accounting for 7% - 14% of  $\text{PM}_{10}$ .  
269 Sulfate correlated strongly with  $\text{SO}_2$  during all three FW events ( $r^2 = 0.49 - 0.92$ ).  
270 Given that the relative humidity was low,  $< 30\%$  during LNY and LFD, and  $\sim 50\%$   
271 during LF, aqueous-phase oxidation of  $\text{SO}_2$  could not play significant roles for the  
272 sulfate formation (Sun et al., 2013a). Therefore, sulfate in FW- $\text{PM}_{10}$  was mainly from  
273 the direction emissions of FW. Compared to sulfate, FW appeared to show minor  
274 impacts on nitrate, for example, 4% and 2% during LNY and LF, respectively.  
275 Although nitrate contributed 12% of FW- $\text{PM}_{10}$  during LFD, most of it was likely from  
276 local sources and/or regional transport as supported by the large contributions of local  
277 HOA and COA in OA (Fig. 3b) and also a wind direction change in the middle.

278 The OOA contributed dominantly to OA during LNY, which is 79% on average

279 (Fig. 3a). As shown in Fig. 4, the mass spectrum of FW-organics is highly similar to  
280 that of low-volatility OOA (LV-OOA,  $r^2 = 0.94$ ;  $r^2 = 0.89$  by excluding  $m/z$  18 and 44)  
281 (Ng et al., 2011a) indicating that the FW-organics is likely emitted in secondary.  
282 Consistently, Drewnick et al. (2006) also found large enhancements of OOA-related  
283  $m/z$ 's (e.g.,  $m/z$  44) during New Year's fireworks, but HOA-related  $m/z$ 's (e.g.,  $m/z$  57)  
284 are not significant contributors to FW organics. OOA contributed a much smaller  
285 fraction of OA during LF (28%) due to the large contributions of POA components  
286 (72%). Although the OOA contributions varied during three FW events, their absolute  
287 concentrations were relatively close ranging from 5.8 to 7.9  $\mu\text{g m}^{-3}$ . It should be noted  
288 that our approach might overestimate the POA components in FW-OA because of the  
289 influences of NFW sources, in particular during the FW period of LF when the local  
290 HOA, COA, and CCOA happened to have large increases. By excluding the POA  
291 components in FW-OA, FW on average contributed 15 – 19  $\mu\text{g m}^{-3}$   $\text{PM}_{10}$  during three  
292 FW events.

### 293 **3.3 Secondary aerosol and PM pollution**

294 The  $\text{PM}_{10}$  (NR- $\text{PM}_{10}$  + BC) varied largely across the entire study with daily average  
295 mass concentration ranging from 9.1 to 169  $\mu\text{g m}^{-3}$ . The average  $\text{PM}_{10}$  mass  
296 concentration was 80 ( $\pm 68$ )  $\mu\text{g m}^{-3}$ , which is approximately 20% higher than that  
297 observed during winter 2011-2012 (Sun et al., 2013b). Organics composed the major  
298 fraction of  $\text{PM}_{10}$ , accounting for 43%, followed by nitrate (22%), sulfate (14%),  
299 ammonium (13%), BC (5%) and chloride (3%). The OA composition was dominated  
300 by OOA (53%) with the rest being POA. Compared to winter 2011-2012 (Sun et al.,  
301 2013b), this study showed significantly enhanced OOA (53% vs. 31%) and secondary  
302 nitrate (22% vs. 16%), indicating that secondary formation have played important

303 roles in the formation of pollution episodes.

304 Figure 1d shows that submicron aerosol species alternated routinely between  
305 pollution events (PEs) and clean periods (CPs) throughout the entire study. The PEs  
306 generally lasted ~1 – 2 days except the one on 23 – 28 February that lasted more than  
307 5 days, whereas the CPs were shorter, generally less than 1 day. In total, 9 PEs and 9  
308 CPs were classified in this study (Fig. 1). A statistics of the mass concentrations and  
309 mass fractions of aerosol species during the 9 PEs is presented in Fig. 5. The average  
310 PM<sub>1</sub> mass concentration ranged 68 – 179  $\mu\text{g m}^{-3}$  during PEs with the total secondary  
311 particulate matter (SPM = OOA + SO<sub>4</sub><sup>2-</sup> + NO<sub>3</sub><sup>-</sup> + NH<sub>4</sub><sup>+</sup>) accounting for 63 – 82%.  
312 The average mass concentration of SPM for the 9 PEs was 86 ( $\pm 32$ )  $\mu\text{g m}^{-3}$ , which is  
313 nearly 3 times primary PM (PPM = HOA + COA + CCOA + BC + Chl) (30  $\pm 9.5$   $\mu\text{g}$   
314  $\text{m}^{-3}$ ). SPM consistently dominated PM<sub>1</sub> across different PM levels (69 – 75%), but  
315 generally with higher contributions (up to 81%) during daytime (Fig. 6b). The diurnal  
316 cycle of SPM presented a gradual increase from 50 to 70  $\mu\text{g m}^{-3}$  between 10:00 –  
317 20:00, indicating evident photochemical production of secondary species during  
318 daytime. It should be also noted that all secondary species showed ubiquitously higher  
319 mass concentrations than those of primary species (Fig. 5a).

320 The SOA generally contributed more than 50% to OA with an average of 55%  
321 during the PEs except the episode on 3 February (35%). It's interesting to note that  
322 the contribution of POA increased as a function of organic loadings which varied  
323 from ~35% to 63% when organics was above 80  $\mu\text{g m}^{-3}$  (Fig. 6c). Such behavior is  
324 mainly caused by the enhanced CCOA at high organic mass loadings, which was also  
325 observed during winter 2011 – 2012 (Sun et al., 2013b). These results suggest that  
326 POA played more important roles than SOA in PM pollution during periods with high

327 organic mass loadings. In fact, POA showed even higher concentrations than OOA at  
328 nighttime (0:00 – 8:00) due to the intensified local emissions, e.g., coal combustion  
329 for heating. Despite this, the role of POA in PM pollution was compensated by the  
330 elevated secondary inorganic species as a function of PM loadings (Fig. 6a) leading to  
331 the consistently dominant SPM across different pollution levels. Figure 7a shows an  
332 evidently lower contribution of organics to  $PM_1$  during PEs than CPs. The elevated  
333 secondary inorganic species during PEs were closely related to the increase of RH  
334 (Fig. 1). For example, during the pollution episode on 3 February, the sulfate  
335 concentration increased rapidly and became the major inorganic species when RH  
336 was increased from ~60% to > 90%. The gaseous  $SO_2$  showed a corresponding  
337 decrease indicating aqueous-phase processing of  $SO_2$  to form sulfate, consistent with  
338 our previous conclusion that aqueous-phase processing could contribute more than 50%  
339 of sulfate production during winter 2011-2012 (Sun et al., 2013a).

340 The compositional differences between PEs and CPs also led to different mass  
341 extinction efficiency (MEE, 630 nm) of  $PM_1$  (Fig. 7b). The higher MEE ( $6.4 \text{ m}^2 \text{ g}^{-1}$ )  
342 during PEs than CPs ( $4.4 \text{ m}^2 \text{ g}^{-1}$ ) is primarily due to the enhanced secondary species,  
343 and also likely the increases of aerosol particle sizes although we don't have size data  
344 to support it. Similar increases of mass scattering efficiency from clean periods to  
345 relatively polluted conditions were also observed previously in Beijing and Shanghai  
346 (Jung et al., 2009; Huang et al., 2013). It should be noted that the MEE of  $PM_1$  in this  
347 study refers to  $PM_{2.5} b_{\text{ext}}/PM_1$ . If assuming  $PM_1$  on average contributed 70% of  $PM_{2.5}$ ,  
348 the real MEE of  $PM_1$  during PEs and CPs would be 4.5 and  $3.1 \text{ m}^2 \text{ g}^{-1}$ , respectively.

### 349 **3.4 Holiday Effects on PM Pollution**

350 Figure 8 shows a comparison of aerosol species, gaseous species, and

351 meteorological parameters between holiday (HD) and non-holiday (NHD) periods.  
352 The official holiday for the Spring Festival was 9 – 15 February. However, we noted a  
353 large decrease of cooking aerosols from 7 February until 19 February (Fig. S4c),  
354 whose emissions were expected to be stable under similar meteorological conditions.  
355 The decrease of COA was likely due to the reduction of the number of population in  
356 Beijing, which agreed with the fact that most migrants from outside Beijing were  
357 leaving for hometown before the official holiday. Therefore, 7 – 19 February was  
358 used as a longer holiday for a comparison. It was estimated that approximately half of  
359 population (9 million) left Beijing before Spring Festival  
360 ([http://news.xinhuanet.com/yzyd/local/20130208/c\\_114658765.htm](http://news.xinhuanet.com/yzyd/local/20130208/c_114658765.htm)). Such a great  
361 reduction in human activities would exert a large impact on aerosol composition and  
362 sources in the city during holidays. To better investigate the HD effects on PM  
363 pollution, the data shown in Fig. 8 excluded the CPs marked in Fig. 1. The data with  
364 the CPs included are presented in Fig. S12.

365 The differences between HD and NHD for primary species varied largely among  
366 different species. COA showed the largest reduction (69%) among aerosol species  
367 with the average concentration decreasing from  $5.8 \mu\text{g m}^{-3}$  during NHD to  $1.8 \mu\text{g m}^{-3}$   
368 during HD. The contribution of COA to OA showed a corresponding decrease from  
369 12% to 4%. Given the similar meteorological conditions between HD and NHD, e.g.,  
370 RH (46% vs. 52%) and wind speed ( $1.3 \text{ m s}^{-1}$  vs.  $1.2 \text{ m s}^{-1}$ ), the reduction of COA  
371 clearly indicated a large decrease of population and the number of restaurants open  
372 during HD. The CCOA showed approximately 30% reduction during HD, and its  
373 contribution to OA decreased from 23% to 18%. Not surprisingly, chloride showed a  
374 similar reduction as CCOA because it was primarily from coal combustion emissions



375 during wintertime (Sun et al., 2013b). Figure 8 also shows a significant reduction  
376 (54%) for NO, indicating much less traffic emissions in the city during HD. The HOA,  
377 however, even showed a slight increase during HD, which appeared to contradict with  
378 the reduction of two combustion-related tracers, BC and CO (~20%). This can be  
379 explained by the fact that coal combustion is a large source of BC and CO during  
380 heating season (Tian et al., 2008;Zhi et al., 2008). Consistently, BC and CO showed  
381 relatively similar reductions to CCOA. Therefore, the minor variations of HOA might  
382 indicate that the number of heavy-duty vehicles and diesel trucks that dominated  
383 HOA emissions (Massoli et al., 2012;Hayes et al., 2013) remained little change during  
384 HD period although that of gasoline vehicles was largely decreased. It should be  
385 noted that HOA showed a large peak on 9 February – the first day of the official  
386 holiday (Fig. S4) when more traffic emissions were expected due to many people  
387 leaving for hometown. After that, HOA showed slightly lower concentration during  
388 11 – 17 February than other periods. In fact, the average HOA showed a slight  
389 reduction (~5%) during the long holiday period (7 – 19 February) suggesting a small  
390 holiday effect on HOA reduction. Together, the total primary aerosol species (PPM)  
391 showed an average reduction of 22% because of holiday effects.

392 Nitrate showed the largest reduction among secondary species by 22% during HD,  
393 primarily due to a reduction of its precursors NO and NO<sub>2</sub>. Results here suggest that  
394 reducing traffic emissions would help mitigate the nitrate pollution in the city.  
395 Compared to nitrate, sulfate showed minor changes (2%) between HD and NHD, and  
396 OOA even showed a slight increase (6%) during HD. One of the reasons is that  
397 secondary sulfate and OOA were mainly formed over regional scale and less affected  
398 by local production, consistent with their relatively flat diurnal cycles (Fig. S11).

399 Ammonium showed a reduction between nitrate and sulfate because ammonium  
400 mainly existed in the form of  $(\text{NH}_4)_2\text{SO}_4$  and  $\text{NH}_4\text{NO}_3$ . Overall, secondary species  
401 showed generally lower reductions than primary species with the total secondary  
402 species (SPM) showing an average reduction of 9% during HD. The joint reductions  
403 of PPM and SPM led to an average reduction of 13% for  $\text{PM}_{10}$  during HD. However,  
404 these reductions did not help alleviate the fine particle pollution during HD. The  
405  $\text{PM}_{2.5}$  excluding FW impacts even showed 27% increase from  $96 \mu\text{g m}^{-3}$  during NHD  
406 to  $122 \mu\text{g m}^{-3}$  during HD. The longer holiday (LHD, 7 – 19 February) showed similar  
407 influences on both primary and secondary species as the official holiday (9 – 15  
408 February). COA, CCOA, and NO are the three species with the largest reductions  
409 during LHD ( $> 50\%$ ). However, HOA,  $\text{SO}_4^{2-}$ , OOA, and  $\text{PM}_{2.5}$  showed rather small  
410 changes ( $< \pm 7\%$ ). Therefore, results in this study suggest that controlling the primary  
411 source emissions, e.g., cooking and traffic emissions in the city can reduce the  
412 primary particles largely, yet has limited effects on secondary species and the total  
413 fine particle mass. One of the reasons is that the severe PM pollution in Beijing is  
414 predominantly contributed by secondary species (see discussions above) that are  
415 formed over regional scales. Reducing the primary source emissions in local areas  
416 would have limited impacts on mitigation of air pollution in the city. Similarly, Guo et  
417 al. (2013) reported a large reduction of primary organic carbon (OC) from traffic  
418 emissions and coal combustion during the 2008 Olympic Summer Games when traffic  
419 restrictions and temporary closure of factories were implemented. However,  
420 secondary OC was not statistically different between controlled and non-controlled  
421 periods. Our results highlight the importance of implementing joint efforts over  
422 regional scales for air pollution control in north China.

#### 423 **4 Conclusions**

424 We have characterized the aerosol particle composition and sources during the  
425 Chinese Spring Festival, 2013. The average PM<sub>1</sub> mass concentration was 80 (±68) μg  
426 m<sup>-3</sup> for the entire study with organics being the major fraction (43%). Nine pollution  
427 events and nine clean periods with substantial compositional differences were  
428 observed. The secondary particulate matter (= SOA+ sulfate + nitrate + ammonium)  
429 played a dominant role for the PM pollution during the nine PEs. The contributions of  
430 SPM to PM<sub>1</sub> varied from 63% to 82% with SOA on average accounting for ~55% of  
431 OA. As a result, the average mass extinction efficiency of PM<sub>1</sub> during PEs (6.4 m<sup>2</sup> g<sup>-1</sup>)  
432 was higher than that during CPs (4.4 m<sup>2</sup> g<sup>-1</sup>). Three FW events, i.e., LNY, LFD, and  
433 LF, were identified, which showed significant and short-term impacts on fine particles,  
434 and non-refractory potassium, chloride, and sulfate in PM<sub>1</sub>. The FW also exerted a  
435 large impact on organics that presented mainly in secondary as indicated by its similar  
436 mass spectrum to that of oxygenated OA. The holiday effects on aerosol composition  
437 and sources were also investigated by comparing the differences between holiday and  
438 non-holiday periods. The changes of anthropogenic source emissions during the  
439 holiday showed large impacts on reduction of cooking OA (69%), nitrogen monoxide  
440 (54%), and coal combustion OA (28%) in the city, yet presented minor influences on  
441 secondary species. The average SOA and the total PM<sub>2.5</sub> even increased slightly  
442 during the holiday period. Results here have significant implications that controlling  
443 the local primary source emissions, e.g., cooking and traffic activities, might have  
444 limited effects on improving air quality during polluted days when SPM dominated  
445 aerosol composition for most of time. Our results also highlight the importance of  
446 implementing joint measures over regional scales for mitigation of air pollution.

447

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651 **Figure Captions:**

652 **Fig. 1.** Time series of meteorological parameters (a) relative humidity (RH) and  
653 temperature (T); (b) wind direction (WD) and wind speed (WS) at the height of 100 m;  
654 mass concentrations of (c) PM<sub>2.5</sub> and NR-PM<sub>1</sub> + BC and (d) submicron aerosol  
655 species. Also shown in (a) and (b) is the temperature and wind speed at the height of 8  
656 m which are in blue. The extinction coefficient ( $b_{\text{ext}}$ ) at 630 nm is shown in (c). Three  
657 events, i.e., Lunar New Year (LNY), Lunar Fifth Day (LFD) and Lantern Festival (LF)  
658 with significant influences of fireworks are marked in (c). In addition, the classified  
659 clean periods (CPs) and polluted events (PEs) are marked as shaded light blue and  
660 pink areas, respectively. A more detailed time series of aerosol species during the  
661 three fireworks events are shown in Fig. S9.

662 **Fig. 2.** Correlation of PM<sub>1</sub> vs. PM<sub>2.5</sub> with the data segregated into three fireworks  
663 events (LNY, LFD, and LF) and non-fireworks periods (NFW). The blank circles  
664 represent FW data between 18:00 – 23:30 on 9 February which had large influences  
665 from NFW sources.

666 **Fig. 3.** Average chemical composition of PM<sub>1</sub> and OA from fireworks and  
667 background during three FW events.

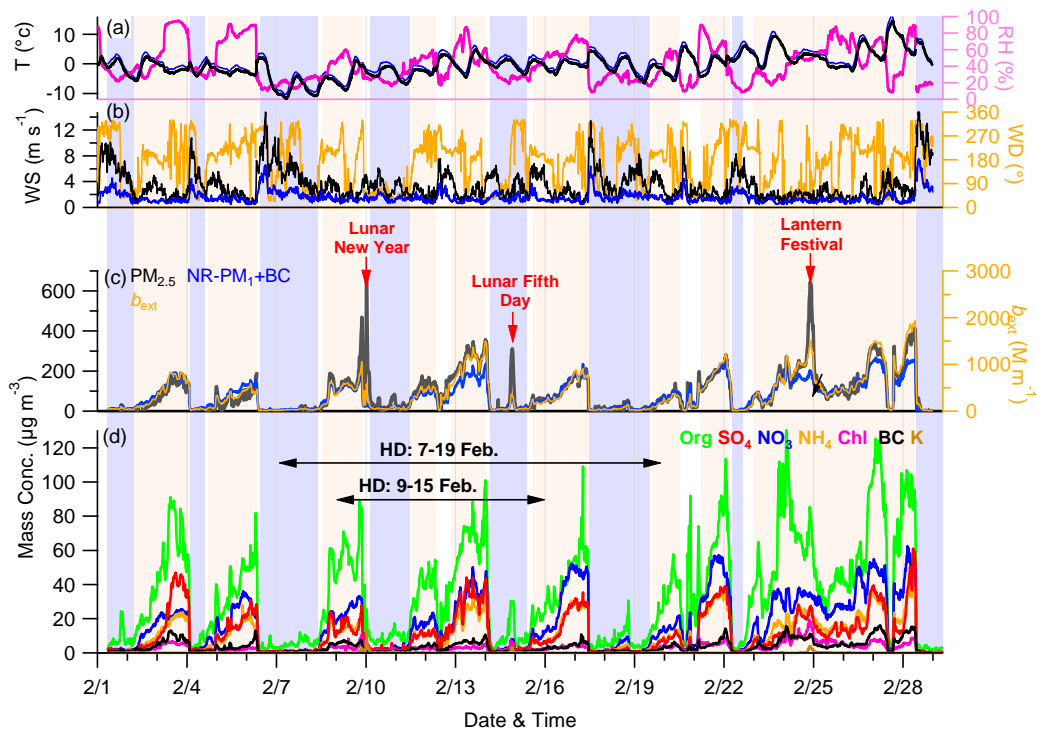
668 **Fig. 4.** (a) Average mass spectra (MS) of OA during the firework period of Lunar  
669 New Year (23:30, 9 February – 3:30, 10 February) and the period of background (BG,  
670 4:30 – 11:00, 10 February). (b) Comparison of the difference spectrum from (a), i.e.,  
671  $MS_{\text{FW+BG}} - MS_{\text{BG}}$ , with the average LV-OOA spectrum in Ng et al.(2011a). Five  
672  $m/z$ 's, 37 ( $^{37}\text{Cl}^+$ ), 58 ( $\text{NaCl}^+$ ), 60 ( $\text{Na}^{37}\text{Cl}^+$ ), 74 ( $\text{KCl}^+$ ), and 76 ( $\text{K}^{37}\text{Cl}^+ / ^{41}\text{KCl}^+$ ) with  
673 significant influences of fireworks are marked.

674 **Fig. 5.** Box plots of (a) mass concentrations and (b) mass fractions of aerosol species  
675 for 9 pollution events marked in Fig. 1. The mean (cross), median (horizontal line),  
676 25<sup>th</sup> and 75<sup>th</sup> percentiles (lower and upper box), and 10<sup>th</sup> and 90<sup>th</sup> percentiles (lower  
677 and upper whiskers) are shown for each box.

678 **Fig. 6.** Left: variations of chemical composition of (a) organics, SNA (=sulfate +  
679 nitrate + ammonium), and others (the rest species in PM<sub>1</sub>); (b) SPM and PPM; and (c)  
680 SOA and POA as a function of PM<sub>1</sub> and organics loadings, respectively. Right panels  
681 show their corresponding diurnal compositions.

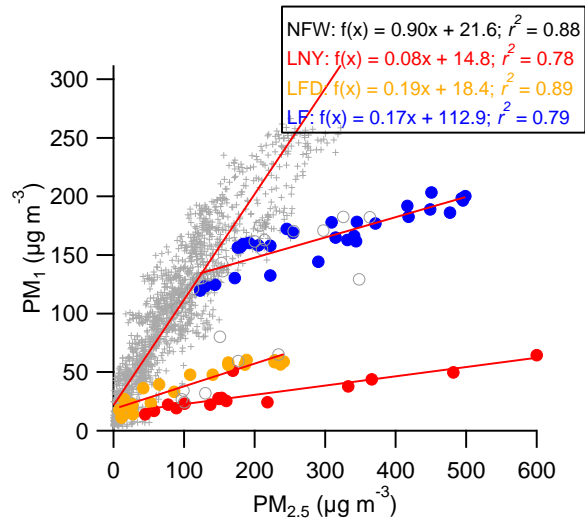
682 **Fig. 7.** (a) Average mass fraction of organics ( $f_{\text{Org}}$ ) as a function of PM<sub>1</sub> mass, and (b)  
683 correlations of extinction coefficients (PM<sub>2.5</sub>) vs. PM<sub>1</sub> for 9 pollution events (PEs) and  
684 9 clean periods (CPs) marked in Fig. 1. The error bar represents one standard  
685 deviations of the average for each event.

686 **Fig. 8.** The average ratios of aerosol species, gaseous species, PM mass  
687 concentrations, extinction coefficient, and meteorological parameters between holiday  
688 (HD) and non-holiday (NHD) periods. Two different holidays, i.e., the official  
689 holiday of 9 – 15 February and the longer holiday of 7 – 20 February were used for  
690 averages. Also note that the averages were made by excluding clean periods and  
691 firework events during both HD and NHD days.



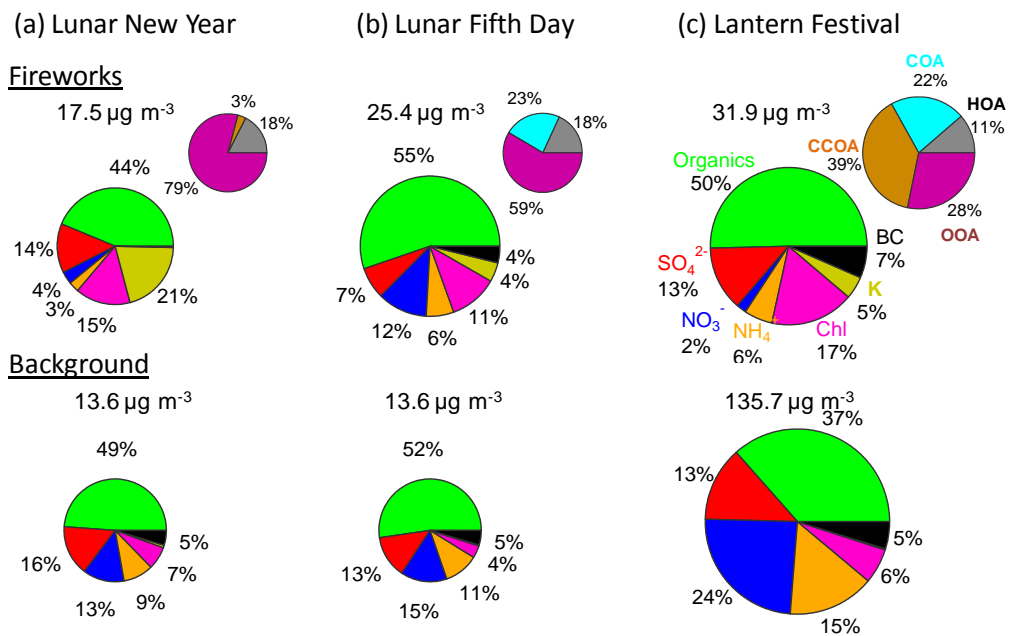
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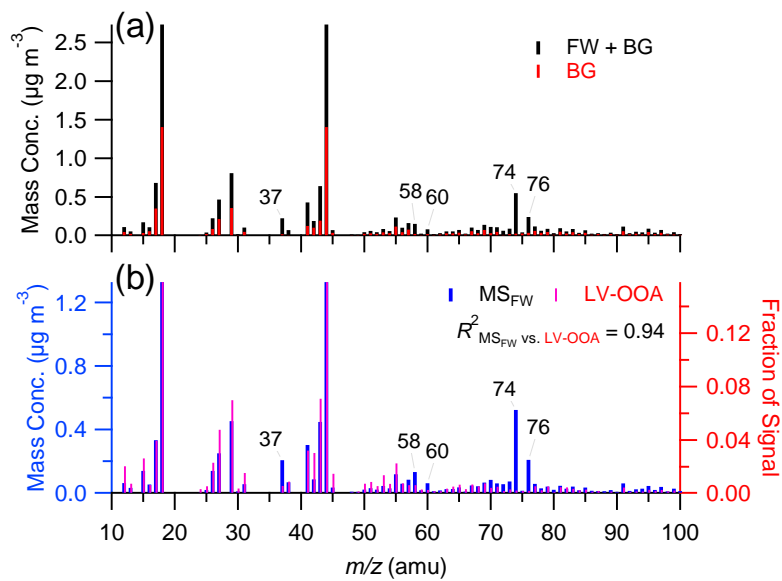
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 707 from NFW sources.



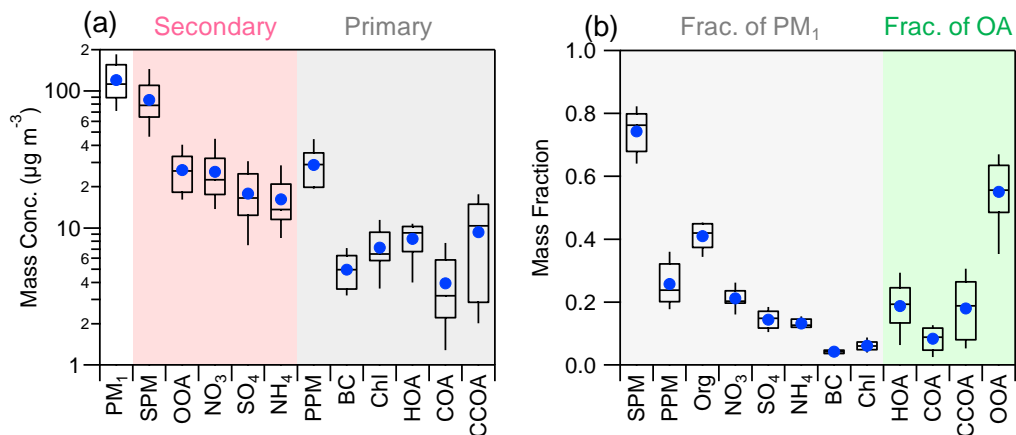
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709 **Fig. 3.** Average chemical composition of  $\text{PM}_{10}$  and OA from fireworks and  
 710 background during three FW events.



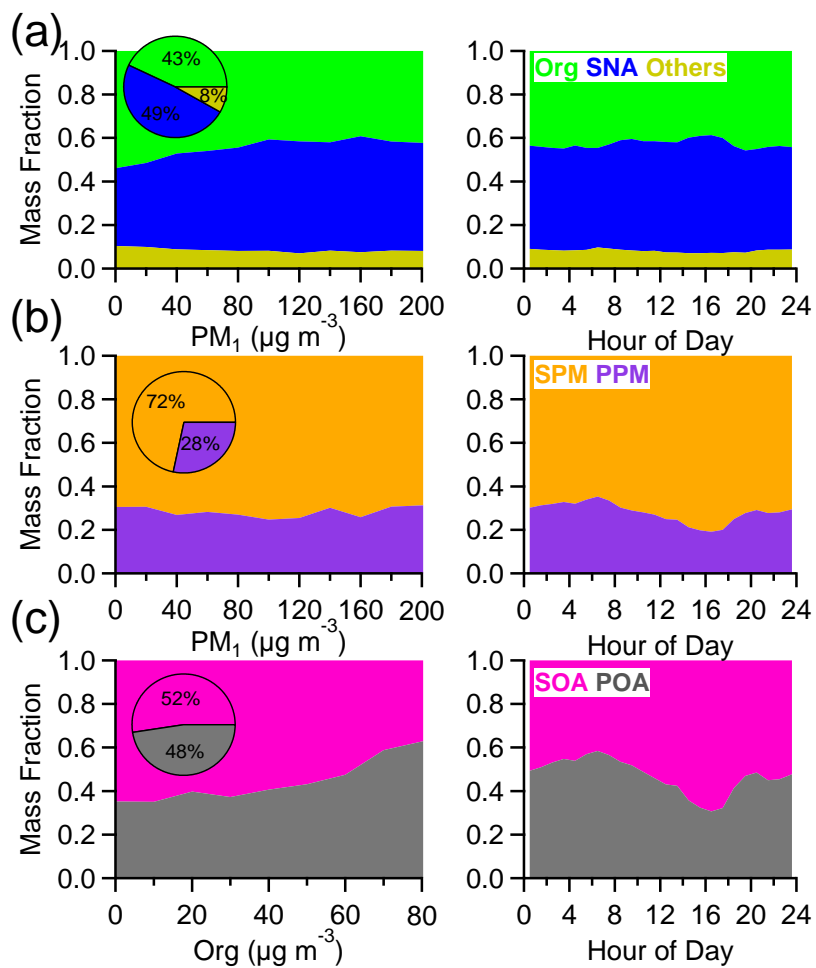
711

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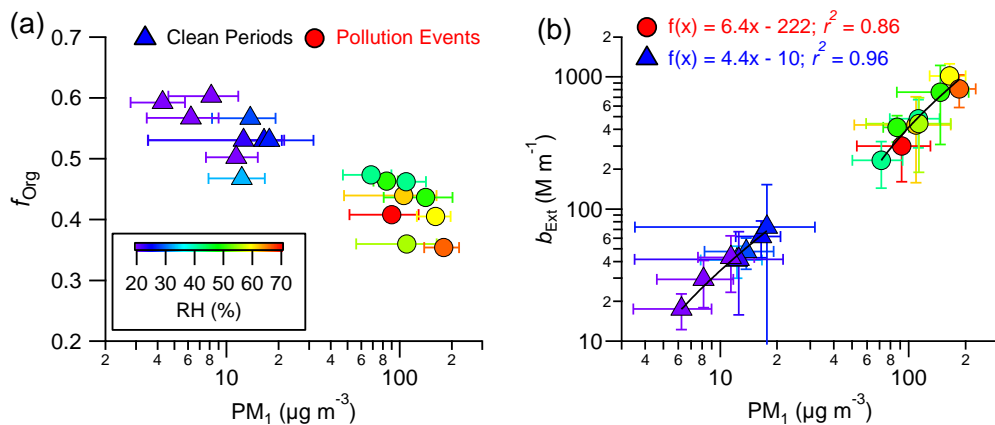
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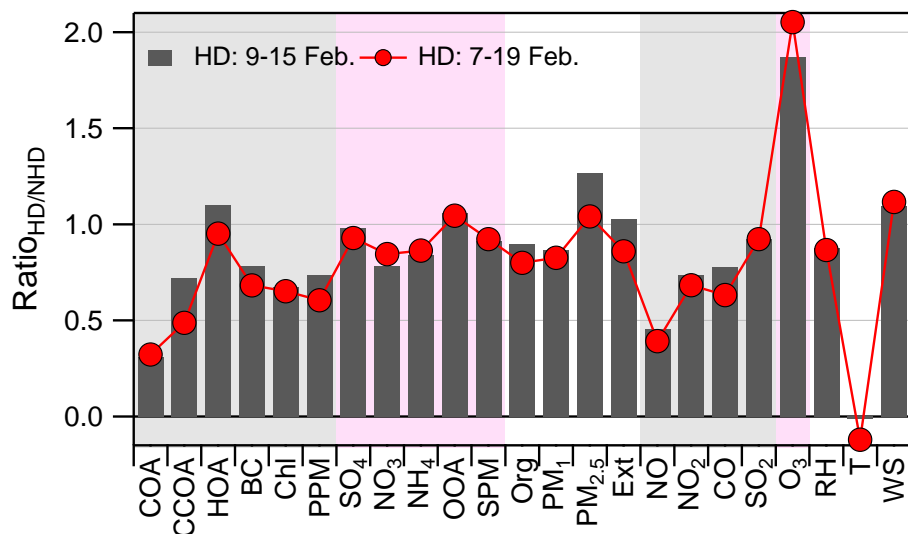
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