

This discussion paper is/has been under review for the journal Atmospheric Chemistry and Physics (ACP). Please refer to the corresponding final paper in ACP if available.

# Ensemble simulations of the role of the stratosphere in the attribution of northern extratropical tropospheric ozone variability

P. Hess<sup>1</sup>, D. Kinnison<sup>2</sup>, and Q. Tang<sup>3</sup>

<sup>1</sup>Cornell University, Ithaca, NY, USA

<sup>2</sup>National Center for Atmospheric Research, Boulder, CO, USA

<sup>3</sup>Lawrence Livermore National Laboratory, Livermore, CA, USA

Received: 19 June 2014 – Accepted: 8 July 2014 – Published:

Correspondence to: P. Hess (pgh25@cornell.edu)

Published by Copernicus Publications on behalf of the European Geosciences Union.











tailed representation of stratospheric chemistry incorporating the impacts of interannual changes in stratospheric aerosol loading and ozone depleting substances (ODS). (2) Simulating the chemical coupling between the stratosphere and troposphere over a period of more than 50 years (1953–2005), a period incorporating the rapid growth and then decline of the emissions of ODS. (3) Analyzing the extent to which the coupled variability of the lower stratosphere and tropospheric ozone is externally (e.g., by changes in sea surface temperatures) vs. internally forced. (4) Incorporating further analysis of the large scale coupled modes linking stratospheric and tropospheric ozone variability.

Distinct from Hess and Zbinden (2013) we use a simulation that only simulates basic tropospheric  $\text{NO}_x$ - $\text{CH}_4$  chemistry. By examining the importance of stratospheric-tropospheric coupling using a basic set of tropospheric chemistry reactions, the importance of more complex chemistry in determining tropospheric ozone variability can be better understood. It is expected that the introduction of additional hydrocarbon chemistry as well as episodic emission variability (e.g., biomass burning) will introduce additional modes of variability not captured here. In addition more complex chemistry may possibly dampen the basic modes of ozone variability described below. However, despite the simplicity in the tropospheric chemistry, these simulations match the observed variability to a large extent. Thus we view the modes of ozone variability captured here as base-state modes which may be perturbed by more complex chemistry, but are fundamental to the coupled troposphere-stratosphere chemical system.

The model description and description of the data analyzed is given in Sect. 2. An evaluation of the simulations is given in Sect. 3. Section 4 analyzes the ozone variability. Discussion and conclusions are given in Sect. 5.

ACPD

15, 1–81, 2015

**Attribution of  
tropospheric ozone  
variability: the role of  
the stratosphere**

P. Hess et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

|

...|

...

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion







The chemistry module is based on the Model for Ozone And Related chemical Tracers version 3 (MOZART3) (Kinnison et al., 2007). The species included within this mechanism are contained within the  $O_x$ ,  $NO_x$ ,  $HO_x$ ,  $ClO_x$ , and  $BrO_x$  chemical families, along with  $CH_4$  and its degradation products (a total of 59 species and 217 gas-phase chemical reactions). This chemical mechanism includes 10 long-lived organic halogens (i.e.,  $CH_3Cl$ , CFC-11, CFC-12, CFC-113, HCFC-22,  $CCl_4$ ,  $CH_3CCl_3$ , halon-1211, halon-1301, and  $CH_3Br$ ). Rate constants are based on Sander et al. (2006). In addition, there are 17 heterogeneous reactions on three aerosol types: Nitric Acid Trihydrate (NAT), Supercooled Ternary Solution (STS), and Water-Ice. A detailed description of the chemical approach can be found in Kinnison et al. (2007).

For this work, the Chemistry Climate Model Validation Activity for SPARC, version 2 (CCMVal2) REF1 scenario was used (see Eyring et al., 2008). This scenario included observed time-dependent evolution of: greenhouse gases (GHGs); ozone depleting substances (ODSs); sea surface temperatures and sea ice concentrations (SSTs/SICs); stratospheric sulfate surface area densities (SADs); 11 year solar cycle variability, which includes spectrally resolved solar irradiances; Quasi-Biennial Oscillation (QBO), by relaxing to observed tropical winds. Surface emissions of  $CO$ ,  $NO_x$ , and formaldehyde are included but the emission trends are not simulated. The emissions are set to present day conditions. This version of WACCM was extensively evaluated in the SPARC Report of the Evaluation of Chemistry-Climate Models (SPARC-CCMVal, 2010).

Figure 1 gives the change in boundary conditions for  $CH_4$  and CFC-11. CFC-11 peaks in 1992 (World Meteorological Organization (WMO), 2007) and then begins to slowly decline. Methane shows a nonlinear growth rate with evidence of a flattening trend beginning in the early 1990s (e.g., Dlugokencky et al., 2011).

## ACPD

15, 1–81, 2015

### Attribution of tropospheric ozone variability: the role of the stratosphere

P. Hess et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

|

...|

...

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion









troposphere. Tang et al. (2013) also compare the measurements against simulations using a specified dynamics formulation of WACCM, where WACCM is nudged to analyzed winds. The more troposphericly configured model, the Community Atmospheric Model with chemistry (CAM-chem) (Lamarque et al., 2012) has been compared against a similar set of measurements in Hess and Zbinden (2013) and Tang et al. (2013).

Not until the early 1970s do ozonesonde measurements become available for the Canadian, Central European and Japanese sites (Table 2). Data is not available for the Northern European Region until the late 1980s. Figures 3–4 give the observational record for each ozonesonde site within each region and document the changes in the type of ozonesonde used at each site. The accuracy of the regionally averaged ozone records likely change with time as the number of stations and measurement techniques change (e.g., from Brewer Mast (BM) ozonesondes to electrochemical concentration cells (ECC)). The standard deviation between the regional measurements shown at the bottom of each figure gives an indication of temporal changes in the regional consistency of the measurements.

### 3.2.1 150 hPa evaluation

At 150 hPa simulated ozone remains fairly flat at the analyzed sites (e.g., Fig. 3) until the early 1970s when the earliest measurements become available. Coincident with the increase in the concentrations of ODS (e.g., see Fig. 1) simulated ozone decreases from the 1970s until the early 1990s over the four regions examined (Canada, Central Europe, Japan and Northern Europe). Measured decreases during this period are particularly notable over the Canadian and Central European regions. While the standard deviation between the regional measurement sites is comparatively large prior to 1990 over the Canadian region (Fig. 3), the standard deviation is still smaller than the overall long-term trend (1970–1990). The early record over Japan is somewhat noisier, but also suggests long-term ozone decreases during this period (Fig. 3). The negative ozone deviations at 150 hPa in the early 1990s can be attributed to Mt Pinatubo, which resulted in significant ozone depletion in the northern mid-latitudes beginning in 1991

## ACPD

15, 1–81, 2015

### Attribution of tropospheric ozone variability: the role of the stratosphere

P. Hess et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

|

...|

|

|

|

...

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion











can be attributed to forcing by sea surface temperature, volcanoes and the QBO. To the extent that the ozone record is driven by internal model dynamics versus interannually varying external forcing we would expect the ozone records from the different ensemble members to be uncorrelated with each other and uncorrelated with the measurements. Given a perfect model (and perfect measurements) the correlation between simulations and measurements should give an indication of the importance of external forcing to the simulations. The positive and significant model-measurement correlations at various sites, particularly for the period after 1990 (see Table 2), in simulations in which model dynamics is internally calculated, emphasizes the importance of forced variability in driving the ozone variations.

The correlation between ensemble members also provides an indication of the extent to which the model is externally forced. The average median detrended correlation between the different simulations at all sites is highly significant (see Table 2), suggesting the role of external forcing is significant. These correlations tend to be somewhat lower at the surface. These lower correlations likely reflect the shorter lifetime of ozone near the surface and thus an increased importance of local and regional processes. The correlations are lowest at Arkona suggesting a decreased role for forced variability in association with high surface emissions. The ensemble correlations suggest that between 6 and 19 % of the ozone variability at the surface measurement sites can be explained by external forcing and between 16 and 25 % of the variability is forced at 500 and 150 hPa. The ensemble correlations over the course of the simulation are generally less than the model-measurement correlations, but the high model-measurement correlations generally occur after 1990 when the external forcing due to Mt Pinatubo and the 1998–1999 El Niño is particularly strong.

#### 4.2 Response of tropospheric ozone to changes in methane and stratospheric ozone flux

In addition to photochemical changes in the tropospheric ozone budget, tropospheric ozone is modulated by the flux of ozone from the stratosphere (e.g., Stevenson et al.,

## ACPD

15, 1–81, 2015

### Attribution of tropospheric ozone variability: the role of the stratosphere

P. Hess et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

|

...|

...

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



















For each ensemble member, the principal component timeseries are highly correlated across vertical levels (Fig. 13 and Table 4) suggesting the modes of variability isolated by the EOF analysis are physically deep (i.e., they span from at least the surface to 150 hPa). Due to the large ozone gradients between the stratosphere and troposphere, it is difficult to escape the conclusion that the coupled variability between the stratospheric and tropospheric levels is linked through the transport of high stratospheric ozone concentrations to the troposphere (see Neu et al., 2014). This is consistent with the analysis of Hess and Zbinden (2013). As discussed below, the geographical pattern of the variability supports this conclusion.

The ensemble average of the area-averaged 30–90° N ozone flux at 100 hPa explains 40 % of the variability of the ensemble average principal component timeseries at the surface, 58 % at 500 hPa and 69 % at 150 hPa (with a lag of 3 to 9 months) (Table 4). The lag increases as one descends in the atmosphere consistent with timescales for the transport of ozone from the lowermost stratosphere to the surface. The correlation between the ozone flux for each ensemble member and the principal component time series for that ensemble member (instead of the correlation between the ensemble averages) reduces the variability explained by the ozone flux to between approximately 10 and 23 % (Table 4). Evidently the ensemble average of the respective timeseries removes uncorrelated “noise” from each record. Analogous results also occur in an analysis of the area-averaged ozone at each level (not shown).

The geographical pattern of the EOFs relates variability between different regions. On each level the variability explained by each EOF is mostly the same sign (Fig. 11) consistent with the relationship between the principal component time series and that of area averaged 30–90° N ozone. At all levels the ozone variability attributed to the first principal component is largest to the north and decreases to the south. The equatorward decrease in the amplitude of the EOF is less at the surface, consistent with the transport of stratospherically derived ozone downwards and southwards along isentropic surfaces. The standard deviation of the ozone variation due to the first EOF reaches 0.6–0.8 ppb at the surface, 1.5–2.0 ppb at 500 hPa and almost 80 ppb

## ACPD

15, 1–81, 2015

### Attribution of tropospheric ozone variability: the role of the stratosphere

P. Hess et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

|

...|

...

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



at 150 hPa. Obviously, at times the amplitude of the first principal component can well exceed these values. At the end of this section we examine the variation of the principal component at selected measurement locations.

Hess and Zbinden (2013) and Zbinden et al. (2006) noted the temporal variability of the ozone record is often similar over widespread geographical regions. The large percent of variability explained by the first EOF, the global nature of this mode, and the fact that it is of the same sign over large regions of the N. H. extratropics demonstrates the connection of the temporal ozone record between geographically distant regions. The vertical coupling between the principal component timeseries of the first EOF and its relation to the 100 hPa ozone flux suggests the root cause of this widespread variability is due to coupled modes of stratosphere–troposphere variability. It seems likely the region west of Ireland where the surface amplitude of the first EOF is comparatively large (Fig. 11) is captured by the measurements at the Mace Head observatory, particularly when the measurements are sampled for “baseline” tropospheric air. This region of large amplitude in the surface EOF pattern (Fig. 11c) helps to explain the relation between the ozone variability sampled at Mace Head and the variability sampled at the high alpine sites over Europe (e.g., Hess and Zbinden, 2013), where the amplitude of the first EOF is comparatively large (not shown). At the European Alpine sites it is appropriate to analyze the simulations at the site elevation and not at the model surface: the site elevation is not resolved in the simulations and they predominantly sample free tropospheric air. The EOF representative of the elevation of these sites does not resemble the surface EOF (Fig. 11c) but more closely resembles the EOF at 500 hPa (Fig. 11b). The 150 hPa and 500 hPa ozonesondes over Canada, Northern Europe and Central Europe also have similar amplitudes of the primary EOF. This suggests the variability between these regions should be highly related as shown in Hess and Zbinden (2013). The amplitude of this mode of variability is less over Japan at both 150 and 500 hPa: the ozone variability over Japan is more likely to be swamped by other modes of variability. As remarked above (also see Hess and Zbinden, 2013) the variability over Japan is not well correlated with the variability in other regions.

## ACPD

15, 1–81, 2015

### Attribution of tropospheric ozone variability: the role of the stratosphere

P. Hess et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

|

...|

...

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion









crease at all stations, ranging from 47 % over the Canadian stations to 56 % at Mace Head. A regression against methane over the entire model simulation show that increases in methane explain a relatively small fraction of the ozone increase during the 1990s at these sites: 0.8 ppb decade<sup>-1</sup> at the Lassen site, 0.27 ppb decade<sup>-1</sup> over Canada, 0.39 ppb decade<sup>-1</sup> at Mace Head and 0.27 ppb decade<sup>-1</sup> at the European Alpine sites. In fact, much of the simulated ozone increase at these sites can be traced to the principal component time series at these sites. Over Canada, the European Alpine sites, Lassen and Mace Head changes in the principal component account for 100, 68, 49 and 43 % of the simulated ozone jump, showing that much of the jump during this period can be traced to changes in this global mode of variability. As argued above, the vertical correlation of the ozone principal component timeseries from the surface through the lower stratosphere, as well as their correlation with the 100 hPa ozone flux suggests changes in the principal component are consistent with changes in the STE of ozone. Hess and Zbinden (2013) show that much of the measured ozone change during the 1990s at a variety of tropospheric sites could be traced to increases in the stratospheric portion of ozone.

Ozone increases measured on the west coast of the US at a variety of stations have been ascribed to increasing Asian emissions (e.g., Cooper et al., 2010; Parrish et al., 2012). However, the results here show substantial ozone increases have occurred during the 1990s over a wide variety of sites, many of them substantially removed from Asian emissions. In addition, the simulations, with no change in emissions, capture almost 50 % of the observed ozone jump during the 1990s, including the changes at Lassen. This suggests that a large portion of the measured jump is not due to changes in emissions, but can be traced to changes in a global mode of ozone variability. This emphasizes the difficulty in the attribution of ozone changes, but also the importance of understanding the importance of natural variability in isolating the role of emissions in modifying ozone concentrations.

## ACPD

15, 1–81, 2015

### Attribution of tropospheric ozone variability: the role of the stratosphere

P. Hess et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

|

...|

...

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## 5 Conclusions

We have analyzed an ensemble of four free running simulations from 1953–2005 using the Whole Atmosphere Community Climate Model (WACCM). The simulations are forced by time changes in observed sea-surface temperatures, concentrations of greenhouse gases (including methane), stratospheric ozone depleting species, an externally forced quasi-biennial oscillation, solar variability and stratospheric sulfate surface area density (SAD). In the stratosphere WACCM employs a sophisticated chemical mechanism. In the troposphere only the basic tropospheric  $\text{NO}_x$ - $\text{CH}_4$  chemistry is used, where the  $\text{NO}_x$  surface emissions remain interannually constant. The relation between tropospheric interannual ozone variability and lower stratospheric interannual ozone variability is analyzed from 30–90° N.

Despite the simplicity of the tropospheric chemistry, the simulations capture the measured N. H. background ozone interannual variability to a surprising extent. Particularly for the period after 1990 the simulated detrended ozone is significantly correlated with the 500 hPa ozonesonde measurements over Northern Europe, Central Europe and Canada (but not over Japan) and over surface measurement sites at Mace Head and the alpine sites over Europe (but not at Lassen or Arkona). We argue that the Arkona site (in Germany) is influenced by fresh anthropogenic emissions that may not be accurately captured with the simple tropospheric chemistry in these simulations. While the simulation appears to capture some aspects of the Lassen record, the large ozone trend in the measurements makes a simple comparison difficult. Prior to 1990 there are fewer measurements and the reliability of the measurements decreases. However, even during this period the simulated ozone record is significantly correlated with the measured record in a number of locations.

It is not a foregone conclusion that the simulated detrended ozone should be correlated with the measurements in the first place, as the model dynamics are internally calculated. The fact that the model and observations are significantly correlated implies the importance of external forcing in determining the ozone variability. Indeed, the

ACPD

15, 1–81, 2015

### Attribution of tropospheric ozone variability: the role of the stratosphere

P. Hess et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

|

...|

|

|

|

...

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion







level. As expected from the imposed change in greenhouse gas forcing, the strength of the residual circulation increases throughout the simulations. This alone would act to increase the downward extratropical N. H. stratospheric ozone flux with a resulting increase in tropospheric ozone; however, stratospheric ozone depletion counteracts this. As a consequence the 100 hPa ozone flux decreases between approximately 1970–1990 and the rate of growth of tropospheric ozone with respect to methane slows. Subsequent to Mt Pinatubo ozone increases in the extratropical N. H. stratosphere. This acts to increase the 100 hPa stratospheric ozone flux with resulting increases in tropospheric ozone. The multivariate linear regression of ozone against methane and the 100 hPa ozone flux gives an approximate 20 % sensitivity to changes in methane (percent change in ozone to percent change in methane); the average sensitivity to the ozone flux is 19 % at 500 hPa and 11 % at the surface. Without ozone depletion the approximate 15 % increase in the simulated residual circulation from 1952–2005 would have resulted in a consequent 1.5 % increase in surface ozone in the N. H. extratropics. Ozone depletion has reduced these increases by approximately half. Extrapolating these changes, a 30% increase in the ozone flux by 2100 (Hegglin and Shepherd, 2009) would result in 3% increase in surface ozone and a 6% increase in 500hPa ozone. In contrast the satellite based study of Neu et al. (2014) suggests an increase of the stratospheric circulation by 40% would lead to a tropospheric ozone increase of 2%.

On an interannual timescale changes in the ensemble averaged 100 hPa ozone flux (averaged from 30–90° N) explains 70 % of the ensemble averaged extratropical detrended ozone variability at 500 hPa and 55 % of the ensemble averaged detrended ozone variability at the surface. In regions of large emissions (e.g., Arkona) the variability explained is much less. Sampling “baseline” air just to the northwest of Mace Head suggests variations in the ozone flux explain almost 25 % of the variability of the “baseline” tropospheric ozone variability at Mace Head.

The first empirical orthogonal function (EOF) of 30–90° N ozone variability explains from 40 % of the ozone variability at the surface to over 80 % of the ozone variability at 150 hPa. The spatial pattern of this EOF at the surface is consistent with expected pat-

## ACPD

15, 1–81, 2015

### Attribution of tropospheric ozone variability: the role of the stratosphere

P. Hess et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

|

...|

...

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion







ing the interannual variability of ozone away from regions of immediate photochemical production.

These results suggest the difficulty in the attribution of ozone changes without understanding the root causes of the natural variability of ozone. Simply examining changes in ozone precursor emissions, even on the decadal timescale, is insufficient to link changes in ozone to changes in emissions. A full attribution of ozone variability may require more sophisticated models with a good resolution of stratospheric processes.

*Acknowledgements.* P.G. Hess would like to acknowledge NSF grant #1042787 for supporting this work. Work at LLNL was performed under the auspices of the US Department of Energy (DOE), Office of Science, Office of Biological and Environmental Research by Lawrence Livermore National Laboratory under contract DE-AC52-07NA27344 and supported by the Atmospheric Radiation Measurement Program of the Office of Science at the US Department of Energy. The CESM project is supported by the National Science foundation and the US Department of Energy. The National Center for Atmospheric Research is operated by the University Corporation for Atmospheric Research under sponsorship of the National Science Foundation. We acknowledge the World Ozone and Ultraviolet Radiation Data Centre (WOUDC) for providing the ozonesonde data, the US National Park Service for providing Lassen NP ozone data, A. Volz-Thomas for the Arkona data, and to D. Parrish for providing access to his surface composited ozone datasets.

## References

- Andrews, D. G., Holton, J. R., and Leovy, C. B.: Middle Atmosphere Dynamics, Academic Press, New York, NY, USA, 489 pp., 1987.
- Butchart, N., Scaife, A. A., Bourqui, M., de Grandpre, J., Hare, S. H. E., Kettleborough, J., Langematz, U., Manzini, E., Sassi, F., and Shibata, K.: Simulations of anthropogenic

ACPD

15, 1–81, 2015

### Attribution of tropospheric ozone variability: the role of the stratosphere

P. Hess et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

|

...|

...

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





- Creilson, J. K., Fishman, J. and Wozniak, A. E.: Arctic Oscillation-induced variability in satellite-derived tropospheric ozone, *Geophys. Res. Lett.*, 32, L14822, doi:10.1029/2005GL023016, 2005.
- Danielsen, E. F.: Stratospheric-tropospheric exchange based on radioactivity, ozone and potential vorticity, *J. Atmos. Sci.*, 25, 502–518, doi:10.1175/1520-0469(1968)025<0502:STEBOR>2.0.CO;2, 1968.
- Derwent, R. G., Simmonds, P. G., Manning, A. J., and Spain, T. G.: Trends over a 20-year period from 1987 to 2007 in surface ozone at the atmospheric research station, Mace Head, Ireland, *Atmos. Environ.*, 41, 9091–9098, 2007.
- Derwent, R. G., Manning, A. J., Simmonds, P. G., Spain, T. G., and O'Doherty, S.: Analysis and interpretation of 25 years of ozone observations at the Mace Head Atmospheric Research Station on the Atlantic Ocean coast of Ireland from 1987 to 2012, *Atmos. Environ.*, 80, 361–368, doi:10.1016/j.atmosenv.2013.08.003, 2013.
- Dlugokencky, E. J., Nisbet, E. G., Fisher, R., and Lowry, D.: Global atmospheric methane: budget, changes and dangers, *Philos. T. R. Soc. A*, 369, 2058–2072, doi:10.1098/rsta.2010.0341, 2011.
- Doherty, R. M., Stevenson, D. S., Johnson, C. E., Collins, W. J. and Sanderson, M. G.: Tropospheric ozone and el Nino-Southern Oscillation: Influence of atmospheric dynamics, biomass burning emissions, and future climate change, *J. Geophys. Res.*, 111, D19304, doi:10.1029/2005JD006849, 2006.
- EPA: National air pollutant emission trends: 1900–1998, EPA Report 454/R-00-002, United States Environmental Protection Agency, Research Triangle Park, NC 27711, 2000.
- Eyring, V., Chipperfield, M. P., Giorgetta, M. A., Kinnison, D. E., Manzini, E., Matthes, K., Newman, P. A., Pawson, S., Shepherd, T. G., and Waugh, D. W.: Overview of the New CCMVal Reference and Sensitivity Simulations in Support of Upcoming Ozone and Climate Assessments and the Planned SPARC CCMVal Report, *SPARC Newsletter No. 30*, 20–26, 2008.
- Eyring, V., Cionni, I., Bodeker, G. E., Charlton-Perez, A. J., Kinnison, D. E., Scinocca, J. F., Waugh, D. W., Akiyoshi, H., Bekki, S., Chipperfield, M. P., Dameris, M., Dhomse, S., Frith, S. M., Garny, H., Gettelman, A., Kubin, A., Langematz, U., Mancini, E., Marchand, M., Nakamura, T., Oman, L. D., Pawson, S., Pitari, G., Plummer, D. A., Rozanov, E., Shepherd, T. G., Shibata, K., Tian, W., Braesicke, P., Hardiman, S. C., Lamarque, J. F., Morgenstern, O., Pyle, J. A., Smale, D., and Yamashita, Y.: Multi-model assessment of stratospheric ozone re-

ACPD

15, 1–81, 2015

## Attribution of tropospheric ozone variability: the role of the stratosphere

P. Hess et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

| ...|

| ...|

Back Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

















An analysis of European rural background ozone trends 1996–2005, *Atmos. Chem. Phys.*, 12, 437–454, doi:10.5194/acp-12-437-2012, 2012.

WMO (World Meteorological Organization), *Scientific Assessment of Ozone Depletion: 2006, Global Ozone Research and Monitoring Project-Report No. 50*, 572 pp., Geneva, Switzerland, 2007.

Zbinden, R. M., Cammas, J.-P., Thouret, V., Nédélec, P., Karcher, F., and Simon, P.: Mid-latitude tropospheric ozone columns from the MOZAIC program: climatology and interannual variability, *Atmos. Chem. Phys.*, 6, 1053–1073, doi:10.5194/acp-6-1053-2006, 2006.

Zeng, G. and Pyle, J. A.: Influence of El Niño Southern Oscillation on stratosphere/troposphere exchange and the global tropospheric ozone budget, *Geophys. Res. Lett.*, 32, L01814, doi:10.1029/2004GL021353, 2005.

ACPD

15, 1–81, 2015

**Attribution of  
tropospheric ozone  
variability: the role of  
the stratosphere**

P. Hess et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

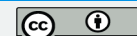
Figures



Back

Close

Full Screen / Esc



Printer-friendly Version

Interactive Discussion

Table 1: Measurement sites used in this paper.

Measurement sites	Platform	Lon.	Lat.	Elev.
<b>Canada</b>				
Alert <sup>1</sup>	Ozonesonde <sup>2</sup>	62° W	82° N	NA
Churchill <sup>1</sup>	Ozonesonde	94° W	59° N	NA
Eureka <sup>1</sup>	Ozonesonde	85° W	80° N	NA
Goose Bay <sup>1</sup>	Ozonesonde	60° W	53° N	NA
Resolute <sup>1</sup>	Ozonesonde	95° W	75° N	NA
<b>Central Europe</b>				
Debit <sup>3</sup>	Ozonesonde	5° E	52° N	NA
Hohenpeissenberg <sup>3</sup>	Ozonesonde	11° E	48° N	NA
Leginowo <sup>3</sup>	Ozonesonde	21° E	52° N	NA
Lindenberg <sup>3</sup>	Ozonesonde	14° E	52° N	NA
Payerne <sup>3</sup>	Ozonesonde	8° E	47° N	NA
Uccle <sup>3</sup>	Ozonesonde	4° E	51° N	NA
Arkona <sup>4</sup>	Surface	13° E	54° N	42 m
Jungfrauoch <sup>5,6</sup>	Surface	8.0° E	47° N	3580 m
Mace Head <sup>7</sup>	Surface	10° W	53° N	25 m
Zugspitze <sup>5,6</sup>	Surface	11° E	47° N	2960 m
<b>Japan</b>				
Kagoshima <sup>8</sup>	Ozonesonde	131°E	32°N	NA
Sapporo <sup>8</sup>	Ozonesonde	141°E	43°N	NA
Tateno <sup>8</sup>	Ozonesonde	140°E	36°N	NA
<b>Northern Europe</b>				
Ny Alesund <sup>9</sup>	Ozonesonde	12° E	79° N	NA
Scoresbysund <sup>9</sup>	Ozonesonde	22° W	70° N	NA
Sodankyla <sup>9</sup>	Ozonesonde	26° W	67° N	NA
<b>United States</b>				
Lassen <sup>10</sup>	Surface	122° W	41° N	1769 m

<sup>1</sup> Canadian ozonesonde sites are averaged together

<sup>2</sup> Ozonesonde data is from the World Ozone and Ultraviolet Radiation Data Centre (WOUDC)

<sup>3</sup> Central European (C. Europe) ozonesonde sites are averaged together

<sup>4</sup> Arkona data courtesy of Andreas Volz-Thomas

<sup>5</sup> For the Jungfrauoch and Zugspitze measurements please see Gilge et al. (2010)

<sup>6</sup> Jungfrauoch and Zugspitze (JFJ/ZUG) measurements are averaged together.

<sup>7</sup> For the Mace Head measurements please see Derwent et al. (2013).

<sup>8</sup> Japanese ozonesonde sites are averaged together

<sup>9</sup> Northern European (N. Europe) ozonesonde sites are averaged together.

<sup>10</sup> Provided by the National Park Service.

Table 2. Comparison between ensemble mean simulated and measured ozone at various sites (see Table 1).

Stations	Years <sup>1</sup>	Meas mean (ppbv)	Model bias <sup>2</sup> (ppbv)	Correlation <sup>3</sup>		Ensemble <sup>4</sup> correlation
				< 1990	> 1990	
<b>150 hPa</b>						
Canada	15 May 1966–15 Sep 2005	627.1	13.6	<b>0.46</b>	<b>0.54</b>	0.22, <b>0.46</b> , 0.52
N. Europe	15 Dec 1988–15 Sep 2005	602.9	6.9	–	0.57	0.21, <b>0.42</b> , 0.53
C. Europe	15 Feb 1967–15 Sep 2005	423.9	11.2	<b>0.64</b>	0.32	0.14, <b>0.43</b> , 0.55
Japan	15 May 1969–15 Sep 2005	242.4	–1.1	0.12	0.03	0.31, <b>0.40</b> , 0.45
<b>500 hPa</b>						
Canada	15 May 1966–15 Sep 2005	54.6	–2.1	<b>0.40</b>	<b>0.57</b>	0.29, <b>0.50</b> , 0.57
N. Europe	15 Dec 1988–15 Sep 2005	57.9	–5.9	–	<b>0.73</b>	0.26, <b>0.47</b> , 0.56
C. Europe	15 Feb 1967–15 Sep 2005	60.0	–6.1	0.16	<b>0.66</b>	0.25, <b>0.49</b> , 0.62
Japan	15 May 1969–15 Sep 2005	58.0	–4.2	–0.07	0.07	0.34, <b>0.42</b> , 0.56
<b>Surface</b>						
JFJ/ZUG <sup>5</sup>	15 Oct 1978–15 Sep 2005	50.9	–2.4	–0.16	<b>0.66</b>	0.24, <b>0.44</b> , 0.63
Lassen	15 Sep 1988–15 Sep 2005	40.4	5.2	–	0.25	0.21, <b>0.31</b> , 0.44
Mace Head	15 Jan 1988–15 Sep 2005	38.5	–6.1	–	<b>0.65</b>	0.09, <b>0.39</b> , 0.54
Arkona	15 May 1957–15 Nov 2002	28.7	–3.0	<b>0.63</b>	0.01	0.02, <b>0.25</b> , 0.45

<sup>1</sup> Years over which measurements and the simulation are evaluated.

<sup>2</sup> The bias is evaluated between 1990 and 2005.

<sup>3</sup> Correlation between 12-month smoothed detrended ensemble mean ozone and 12-month smoothed detrended measurements before and after 1990. Significant correlations (at 99%) are in bold.

<sup>4</sup> Correlation between ensemble members: lowest correlation, median correlation, high correlation. Median correlations significant at 95% in bold. Correlations are between 12-month smoothed records.

<sup>5</sup> Jungfraujoch/Zugspitze.

Table 3. Sensitivity coefficients (percent change in ozone to a percent change variable) between 12 month smoothed normalized simulated ozone and normalized globally averaged methane and the normalized lagged 30–90° N ozone flux. Coefficients given for the lag with the smallest chi squared. Variables are normalized by their averaged value from 1980–1985.

Stations	Sensitivity CH <sub>4</sub>	Flux	Lag <sup>1</sup> (months)	Corr <sup>2</sup>	CHISQ
<b>500 hPa</b>					
Canada	0.15	0.25	5	<b>0.86</b>	0.071
N. Europe	0.16	0.24	6	<b>0.83</b>	0.088
C. Europe	0.18	0.17	5	<b>0.77</b>	0.072
Japan	0.22	0.08	5	<b>0.47</b>	0.084
30–90° N Aver <sup>5</sup>	0.17	0.19	4	<b>0.84</b>	0.053
<b>Surface</b>					
JFJ/ZUG	0.20	0.13	6	<b>0.67</b>	0.068
Lassen	0.15	0.14	5	<b>0.67</b>	0.082
Arkona	0.45	0.04	5 <sup>3</sup>	0.11	0.581
Mace Head	0.20	0.09	5	<b>0.28<sup>4</sup></b>	0.307
30–90° N Aver <sup>5</sup>	0.21	0.11	6	<b>0.73</b>	0.035

<sup>1</sup> Lag in months between the ozone record and the 30–90° N averaged ozone flux resulting in the smallest regressed chi-squared. The lag is measured as the number of months by which the ozone concentration lags the ozone flux.

<sup>2</sup> Correlation is between the regressed ozone record and the simulated ozone record after removing the regressed dependence on methane from each (see text). Values significant at the 99 % level are shown in bold.

<sup>3</sup> There is no well defined minimum chi-squared at Arkona. We give coefficients at five months.

<sup>4</sup> Correlation is 0.49 for the point 10° W and 5° N of Mace Head.

<sup>5</sup> Average from 30–90° N.



Table 4. Explained variances and correlations between EOFs on various levels.

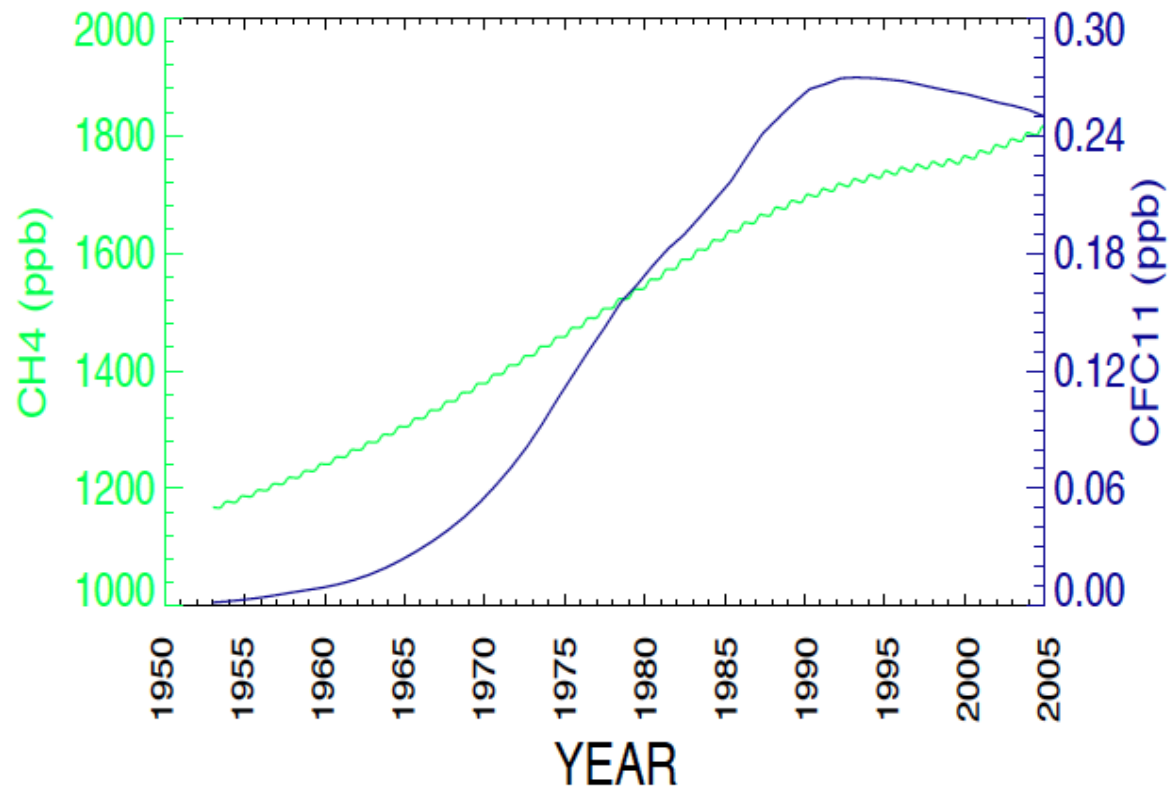
Level	Explained variance <sup>1</sup>	Correlation 150 hPa PC <sup>2</sup>	Correlation O <sub>3</sub> flux 100 hPa <sup>3</sup>	Lag <sup>4</sup>
150 hPa	79–85 %	NA	0.85 (0.43)	2(2)
500 hPa	71–77 %	0.78	0.85 (0.50)	5(5)
1000 hPa	40–48 %	0.66	0.73 (0.34)	6(6)

<sup>1</sup> Range of variances explained by the 1st EOF over the model ensembles.

<sup>2</sup> Temporal correlation between principal components at 1000 hPa and 500 hPa and the principal component at 150 hPa. Correlation is computed between levels of the same ensemble simulation; however, the overall correlation coefficient comprises the relationship for all ensembles. All correlations are significant at the 99 % level.

<sup>3</sup> Temporal lagged correlation between principal components on various pressure levels and the 30–90° N averaged ozone flux at 100 hPa. The correlation without parenthesis is between the the ensemble averaged principal component and the ensemble averaged 30–90° N 100 hPa ozone flux. The correlation in parenthesis is between the principal component and the 30–90° N 100 hPa ozone flux of each individual ensemble member. All correlations are significant at the 99 % level.

<sup>4</sup> Lag (months) of the maximum correlation between the ozone flux and the principal component: without parenthesis for the ensemble average; with parenthesis for individual ensemble members. The lag is measured as the number of months by which the ozone concentration lags the ozone flux.



**Figure 1.** Model Forcings. Concentrations of CH<sub>4</sub> (green, left axis) and CFC-11 (blue, right axis) globally area-averaged at lower boundary and used to force the WACCM simulations.

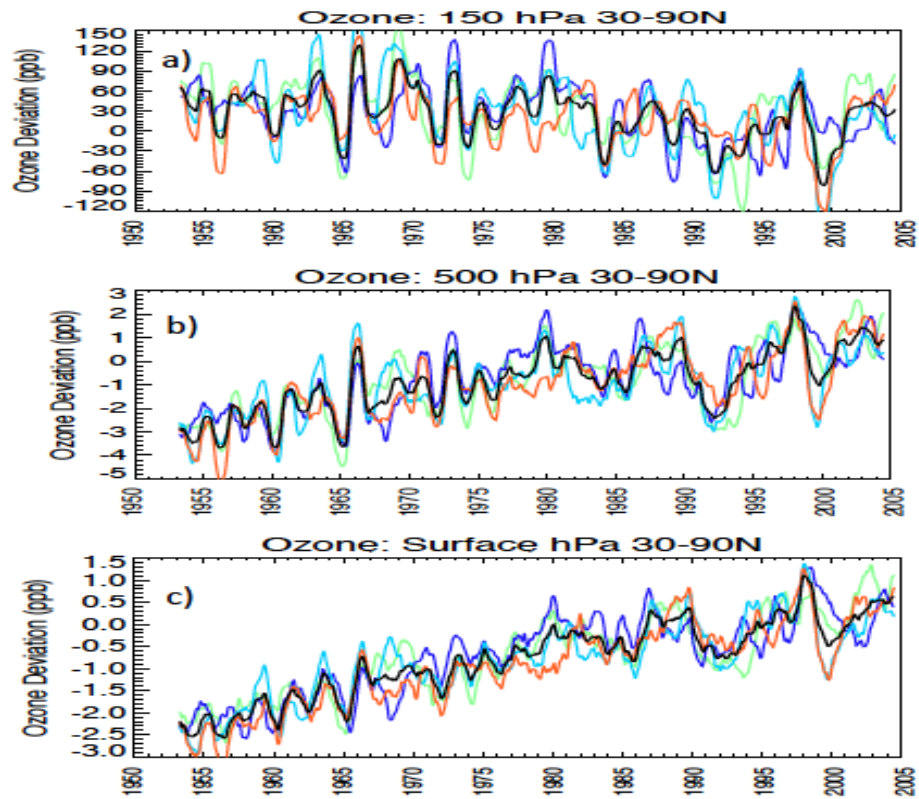
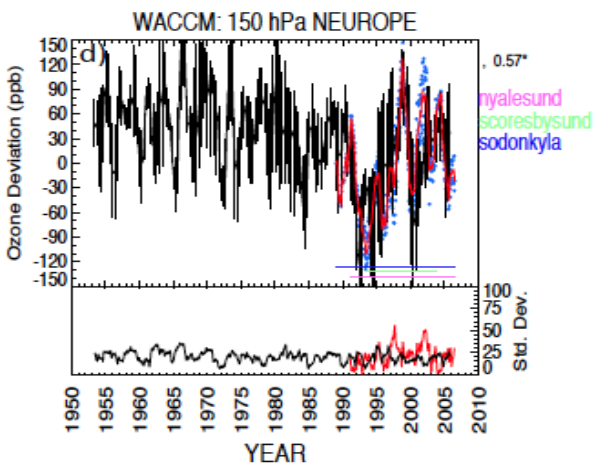
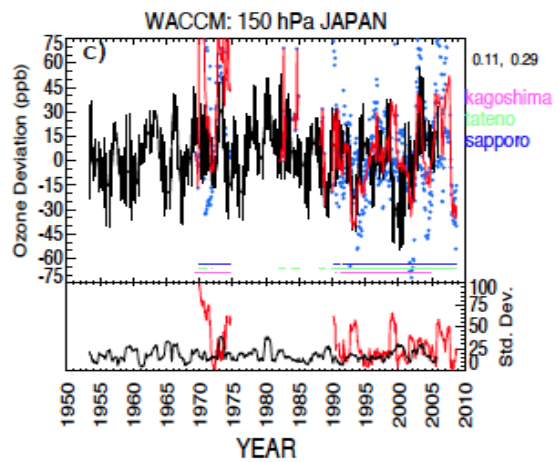
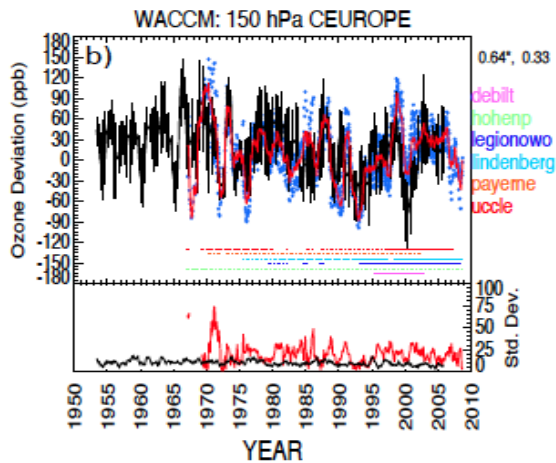
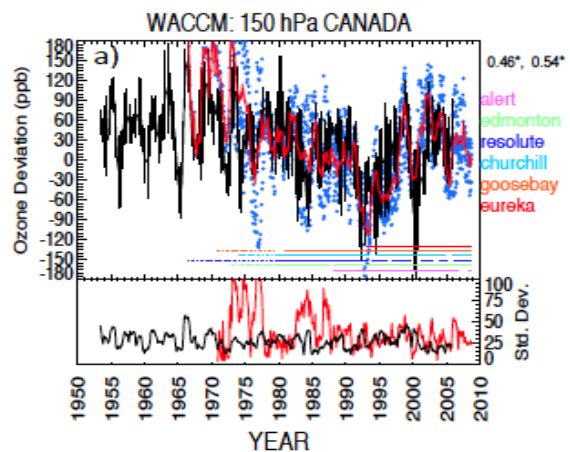
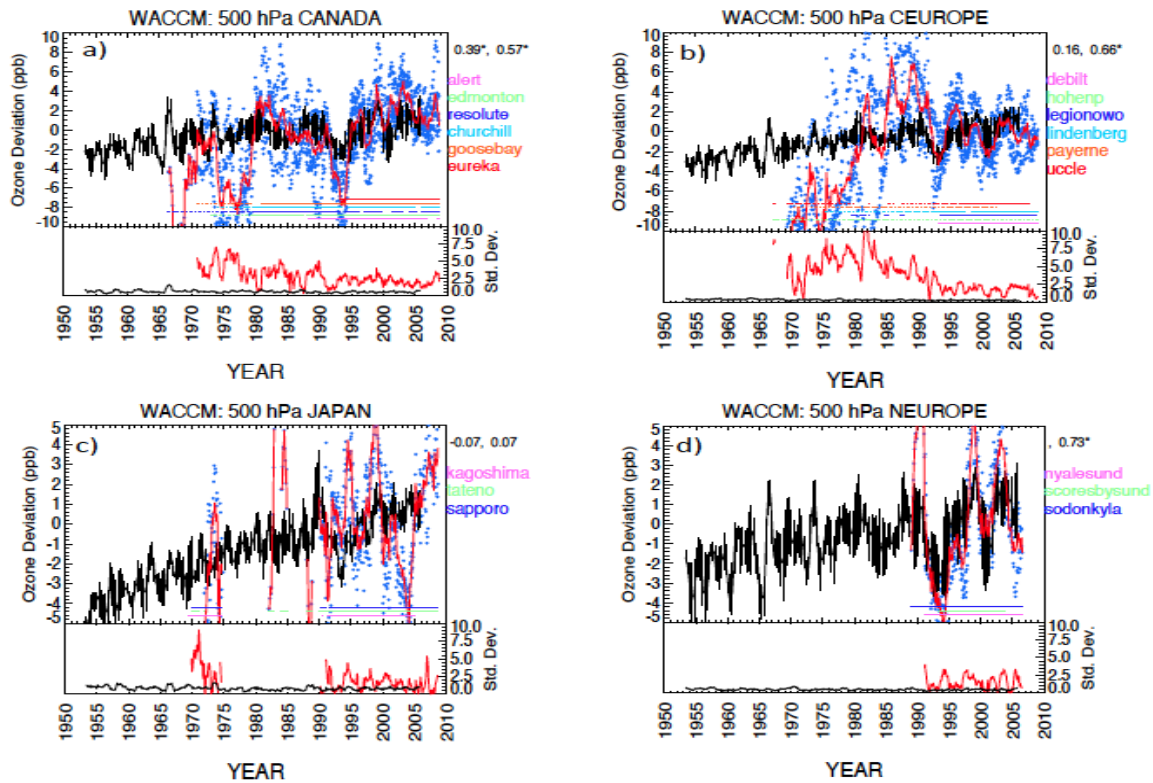


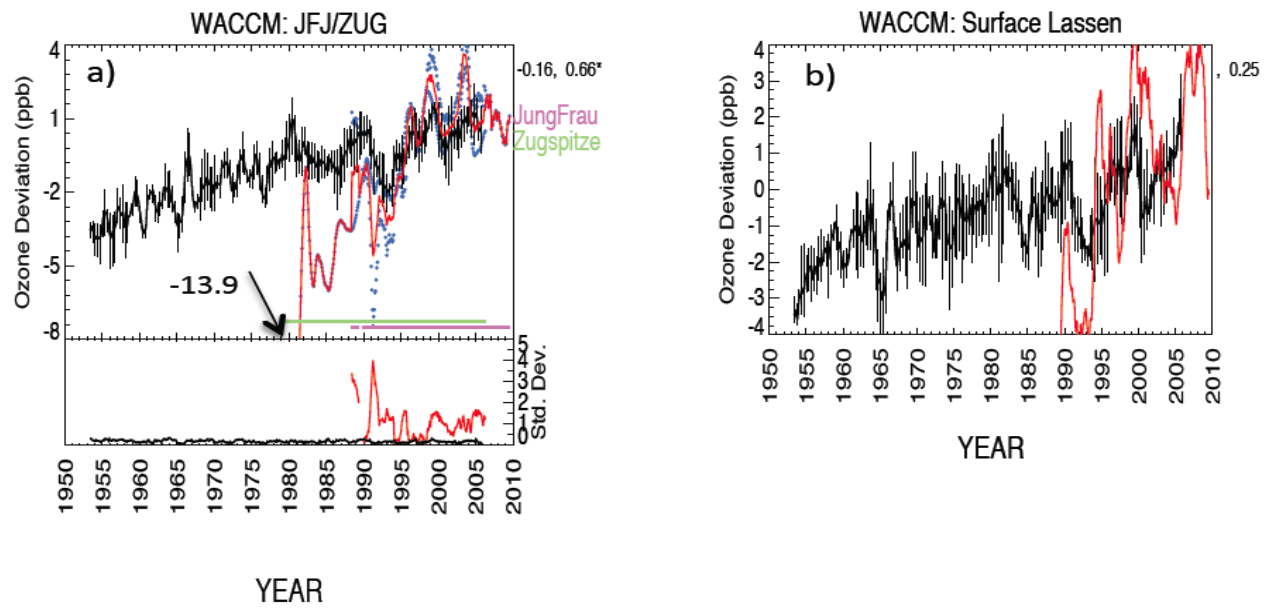
Figure 2. Ozone deviations (ppb) averaged from 30–90° N for each WACCM ensemble member (colored) and the deviation averaged over all ensemble members (black) at: (a) 150 hPa, (b) 500 hPa and (c) surface. Monthly ozone deviations are smoothed over 12 months. Deviations are from ozone averaged 1 January 1990–31 December 1994.



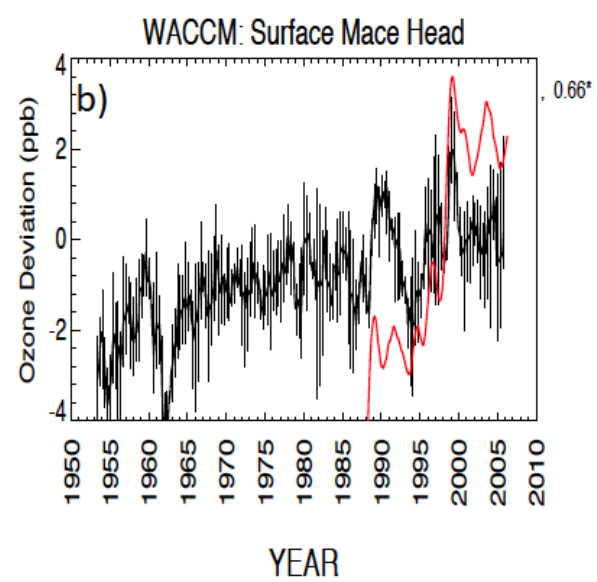
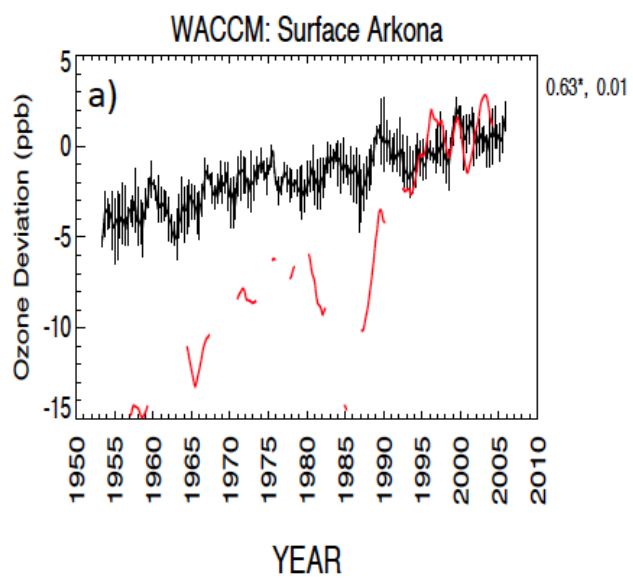
**Figure 3.** Simulated and measured ozone deviations (ppb) at 150 hPa averaged over the **(a)** Canadian ozonesonde sites, **(b)** the Central European ozonesonde sites, **(c)** the Japanese ozonesonde sites and **(d)** the Northern European ozonesonde sites. The simulated ensemble average is given as the bold black line, the thin black lines bracket the maximum and minimum ensemble ozone deviation, the measured average is given as the red line, the blue dots give the measured ozone deviation for each site comprising the regional average. Colored bars indicate when each measurement site (color coded as indicated on right) made sufficient measurements to calculate an annual ozone concentration: solid lines indicate an ECC measurement and dotted lines a BrewerMast ozonesonde measurement. The black and red lines at the bottom give the simulated (black) and measured (red) standard deviation of ozone (ppb) calculated across all sites within each region. Numbers in the upper right give the model-measurement correlation of the average ozone within each region prior to 1990 (left) and after 1990 (right). Correlations use detrended data. Significant correlations at the 95 % level are starred. Monthly ozone deviations are smoothed over 12 months. Deviations are from ozone averaged 1 January 1990–31 December 1994. The simulated ozone record uses monthly averaged output at each measurement site throughout the simulation with no attempt to duplicate the actual temporal sampling of the measurements.



**Figure 4.** As in Fig. 3, but at 500 hPa averaged over the (a) the Canadian ozonesonde sites, (b) the the Central 500 hPa European ozonesonde sites, c the Japanese ozonesonde sites and d the Northern European ozonesonde sites.

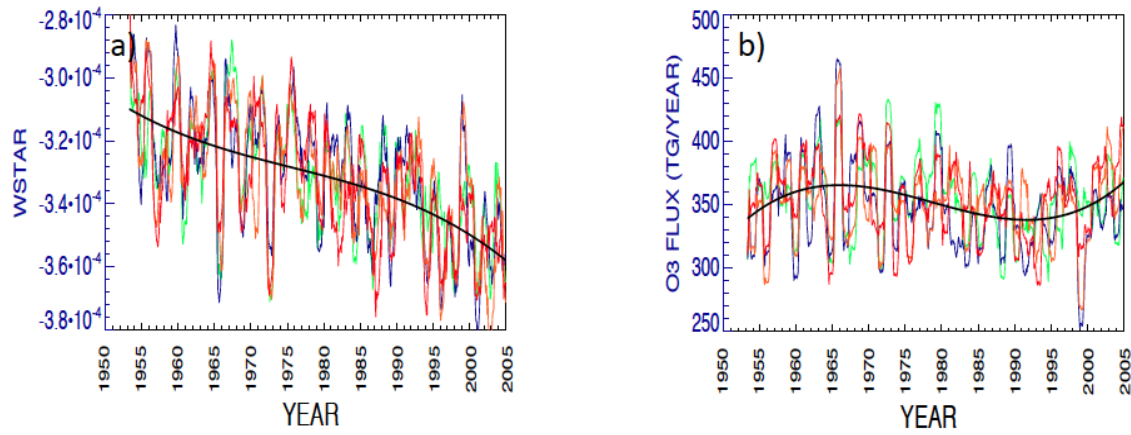


**Figure 5.** As in Fig. 3 but for the surface simulated and measured ozone deviations (ppb): **(a)** averaged for the Jungfraujoch and Zugspitze sites; **(b)** the Lassen site. The bottom bars in **(a)** indicate the years for which an annually averaged measurement was available at the Jungfraujoch and Zugspitze sites.



**Figure 6.** As in Fig. 3, but for (a) the surface measurements at Arkona and (b) the surface measurements at Mace Head.





**Figure 7.** The **(a)** vertical residual velocity ( $w^*$ ,  $\text{m s}^{-1}$ ) and the **(b)** ozone flux ( $\text{Tg yr}^{-1}$ ) averaged on the 100 hPa surface between 30 and 90° N for each ensemble simulation (colored). The ensemble average fields are fit cubically and shown in black. A 12 month smoothing is used for all fields.

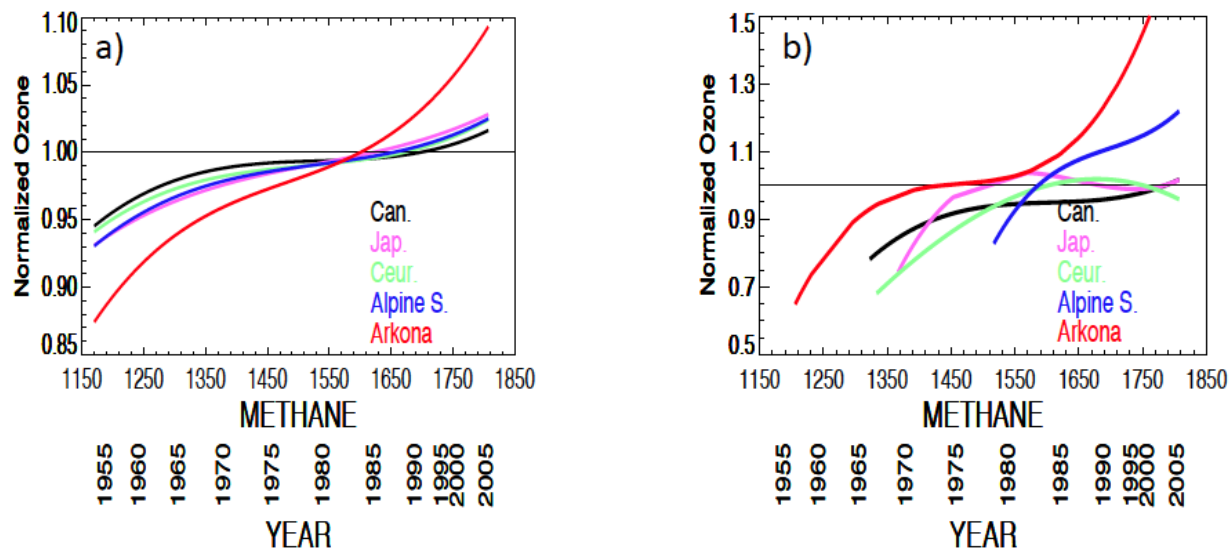


Figure 8. (a) Simulated and (b) measured cubic fits of normalized ozone vs. global surface methane concentration for various long-term tropospheric measurement sites: the regional average of the Canadian (Can.), Central European (Ceur.) and Japanese (Jap.) ozonesonde sites at 500 hPa, the average of the JungfrauJoch and Zugspitze sites (Alpine S.) and the Arkona surface site. Ozone is normalized by its 1980–1985 concentration at each site. Globally averaged methane is from the WACCM simulation. The year corresponding to the methane concentration is given. Simulated ozone is the ensemble mean

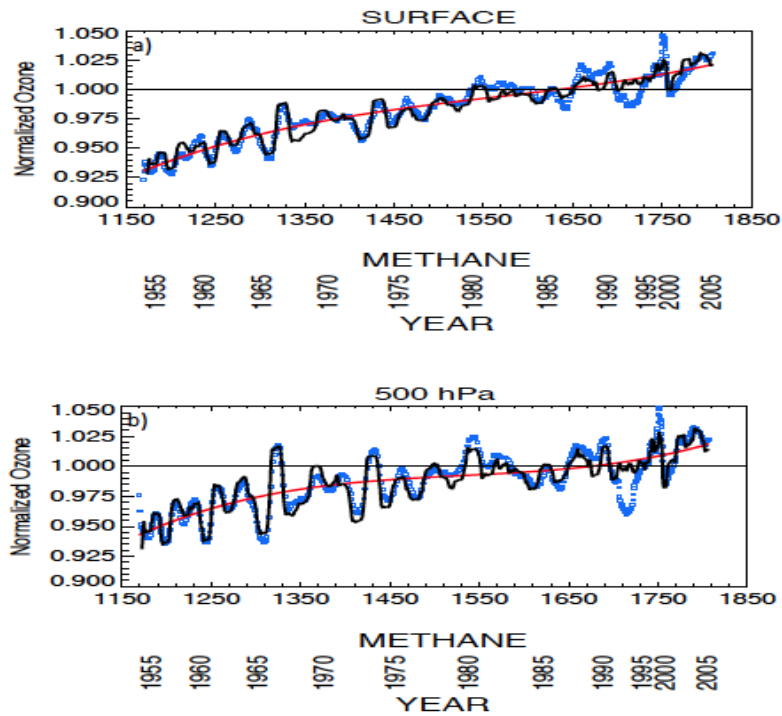


Figure 9. Simulated normalized ensemble mean ozone against global methane concentrations (blue squares), the cubic fit of normalized ozone against methane (red line) and the regressed fit (black line). Ozone is averaged from 30–90° N at (a) the surface, (b) 500 hPa. The year corresponding to the methane concentrations is also shown.

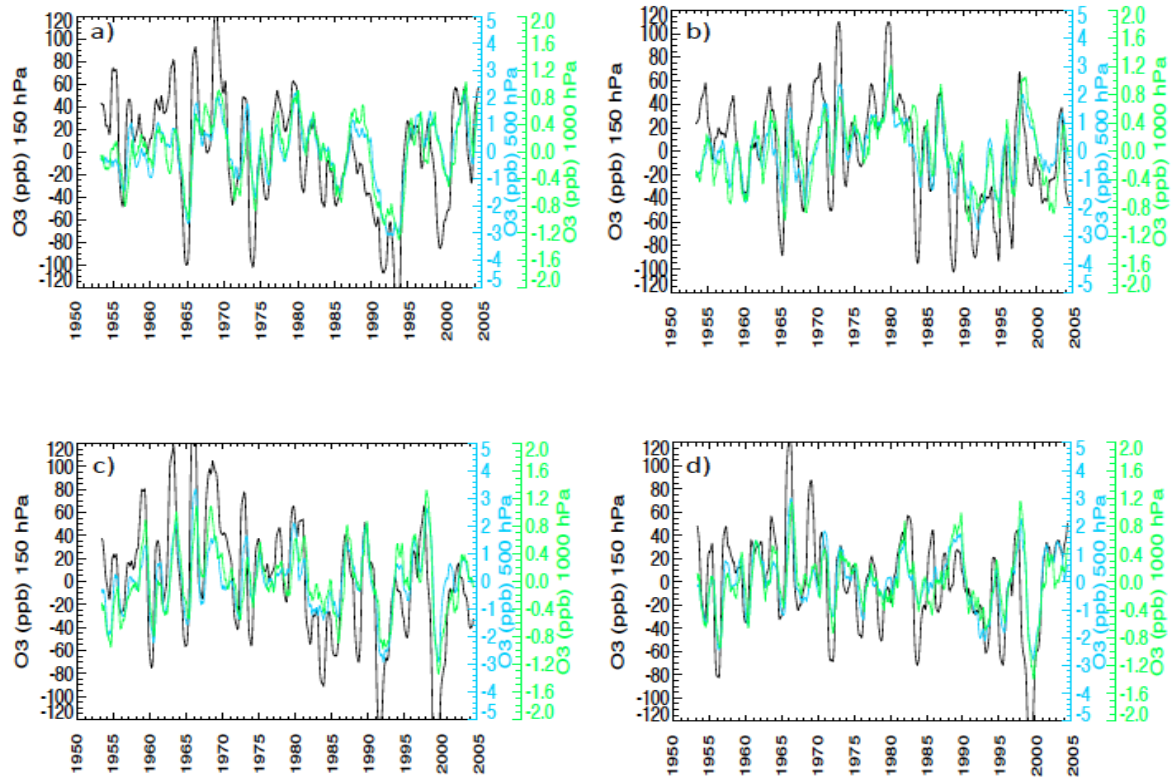


Figure 10. Ozone deviations (ppb) averaged from 30–90° N for each of the four WACCM ensemble members at 150 hPa (black), 500 hPa (blue) and the surface hPa (green). The linear dependence on global methane has been removed from the ozone records at 500 and 1000 hPa. Monthly ozone deviations are smoothed over 12 months. Deviations are from ozone averaged over the entire simulation. Note the different scales for each level.

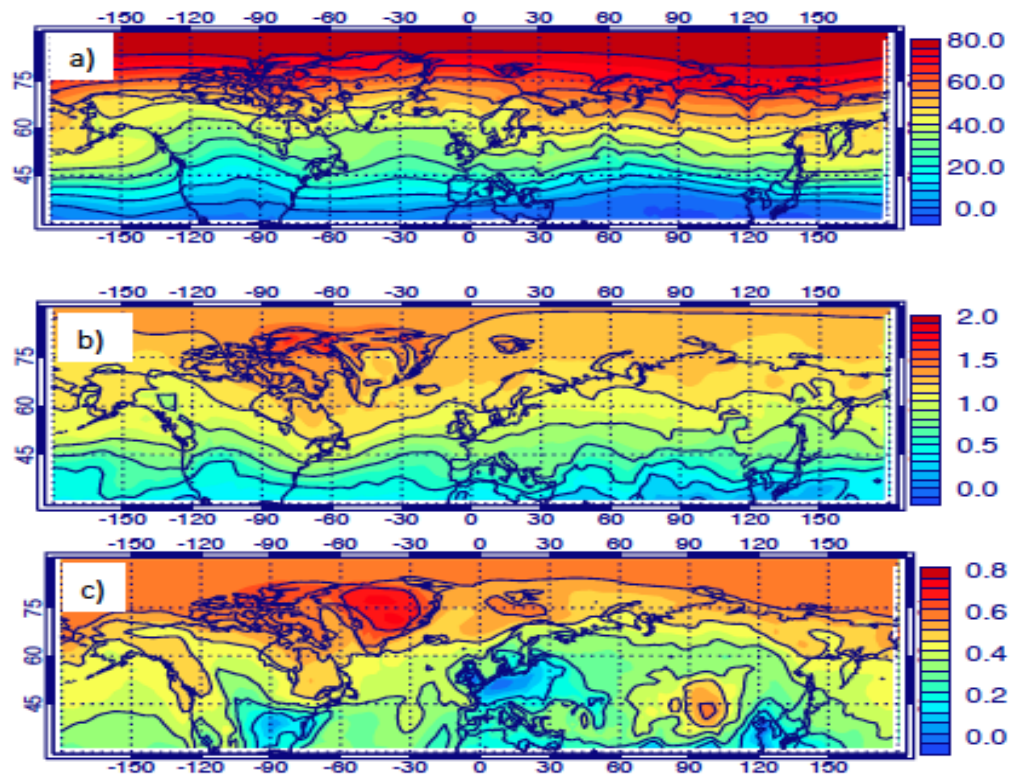
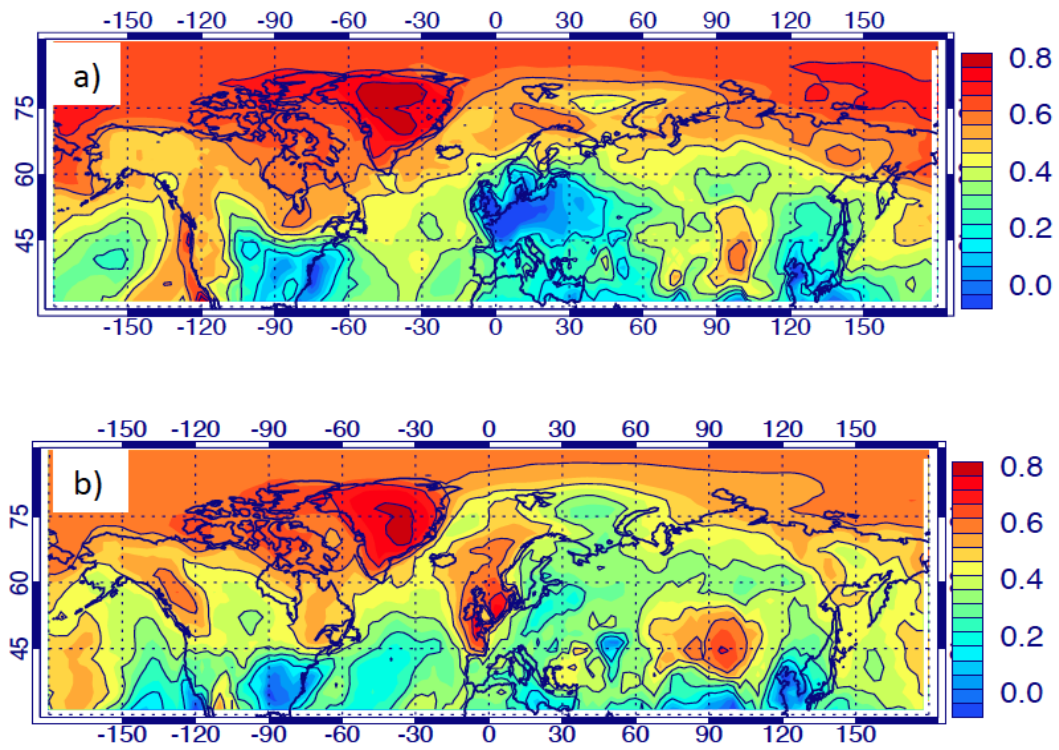


Figure 11. Normalized first EOF component of detrended ozone at (a) 150 hPa, (b) 500 hPa and (c) surface. Shown is the average for all four ensembles of the EOF multiplied by the standard deviation of the principal component. The absolute value of the result shows the variability of ozone (ppb) expected due to variations in the first EOF component, the sign of the result shows the relation between variability in different locations.



**Figure 12.** As in Figure 11, but for the normalized first EOF component of detrended ozone at the surface for two different ensemble members.

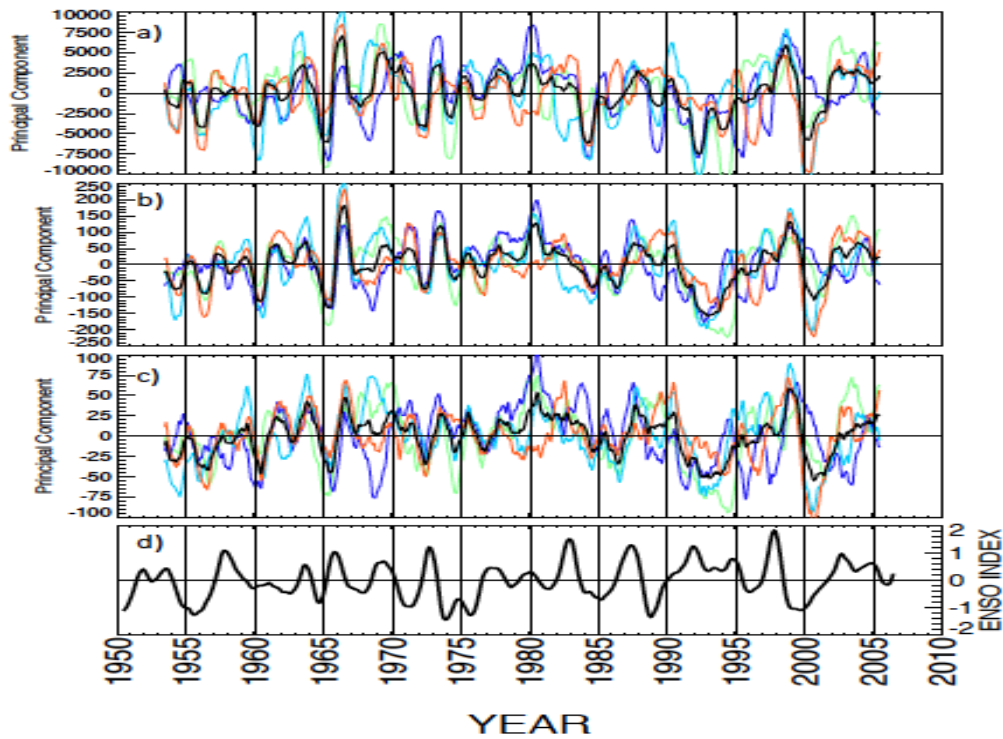
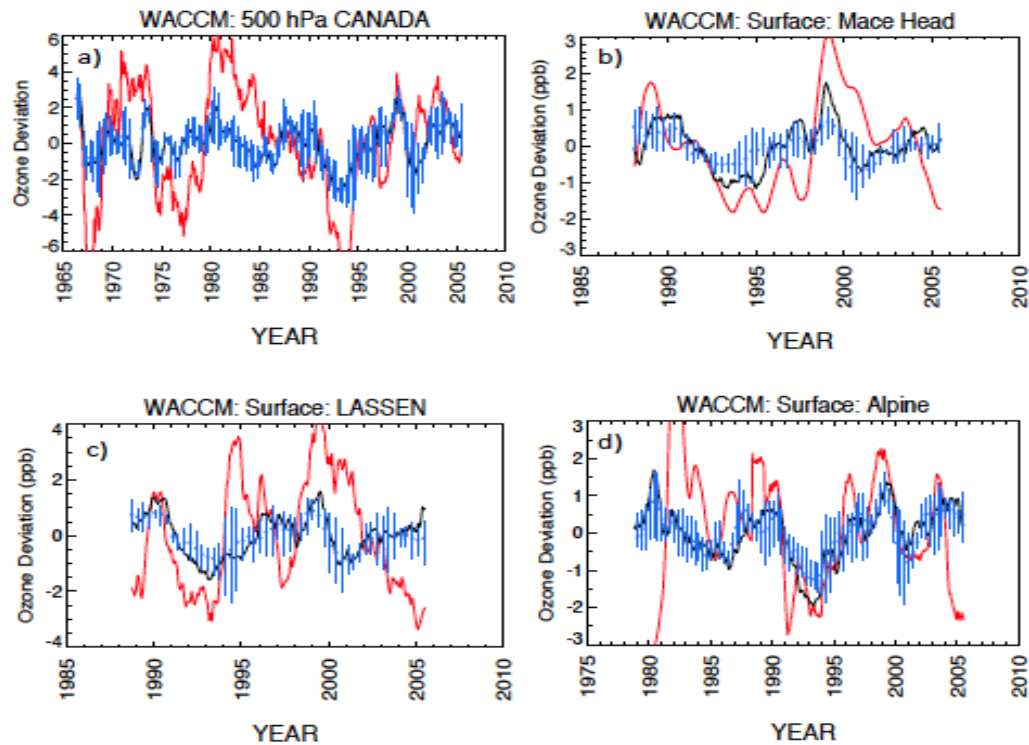


Figure 13. Timeseries of the principal component for the first EOF of ozone from 30–90° N. for each ensemble simulation (color) and for the ensemble mean (black) at (a) 150 hPa, (b) 500 hPa and (c) surface. (d) The ENSO index is shown in the lower panel



**Figure 14.** 12 month smoothed ozone deviations (ppb) for **(a)** the 500 hPa Canadian ozonesondes, and the surface sites at **(b)** Mace Head, **(c)** Lassen, and **(d)** the European Alps (note different scales in each figure): detrended measurements (red), ensemble average detrended ozone (black), the time variation of the EOF (blue), where the vertical blue lines bracket the range of the EOF over the ensemble members and the blue dot gives the ensemble average EOF. In each case ozone deviations are detrended against globally averaged methane over the common range of simulated and measured ozone.