



**A high ozone episode in winter 2013 in the Uinta Basin oil and gas region**

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# A high ozone episode in winter 2013 in the Uinta Basin oil and gas region characterized by aircraft measurements

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## Abstract

During the winter of 2012–2013 atmospheric surface ozone mole fractions exceeded the US 8 h standard of 75 ppb on 39 days in the Uinta Basin of Utah. As part of the Uinta Basin Winter Ozone Study (UBWOS) aircraft flights were conducted throughout the basin with continuous measurements of ozone ( $\text{O}_3$ ), methane ( $\text{CH}_4$ ), carbon dioxide ( $\text{CO}_2$ ), carbon monoxide (CO), nitrogen dioxide ( $\text{NO}_2$ ), and discrete whole air flask samples for determination of  $\sim 50$  trace gases including a number of non-methane hydrocarbons (NMHCs). During the course of seven flights conducted between 31 January and 7 February 2013, coinciding with strong, multi-day temperature inversions,  $\text{O}_3$  levels gradually built up in the shallow boundary layer from  $\sim 45$  ppb to  $\sim 140$  ppb. Near-surface  $\text{CH}_4$  mole fractions increased during the episode from near background levels of  $\sim 2$  ppm to over 10 ppm. Based on elevated levels of  $\text{CH}_4$  across the basin and high correlations of  $\text{CH}_4$  with NMHCs from the discrete air samples,  $\text{O}_3$  precursor NMHCs were also inferred to be elevated throughout the basin. Discrete plumes of high  $\text{NO}_2$  were observed in the gas production region of the basin suggesting that gas processing plants and compressor facilities were important point sources of reactive nitrogen oxides ( $\text{NO}_x$ ). Vertical profiles obtained during the flights showed that the high  $\text{O}_3$  mole fractions (as well as other elevated constituents) were confined to a shallow layer from near the ground to 300–400 m above ground level (m.a.g.l.) capped by a strong temperature inversion. The highest mole fractions of the measured constituents during the study period were in an isothermal cold layer that varied from  $\sim 300$  m depth on 4 February to  $\sim 150$  m on 5 February. A gradient layer with declining mole fractions with altitude extended above the isothermal layer to  $\sim 1900$  m a.s.l. (300–400 m a.g.l.) indicative of some mixing of air out of the boundary layer.  $\text{O}_3$  mole fractions continued to increase within the basin as the high  $\text{O}_3$  episode developed over the course of a week.  $\text{CH}_4$  mole fractions, on the other hand, leveled off after several days. On several flights, the aircraft sampled the plume of a coal-fired power plant (located east of the main gas field) flowing above the inversion layer. These measurements ruled out the effluents of

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While vigorous ozone formation in the summer under conditions with high levels of solar insolation and abundant O<sub>3</sub> precursor concentrations has long been recognized (Chameides et al., 1992), high levels of O<sub>3</sub> production during the winter has only recently been documented in two oil and gas basins in the Rocky Mountain US (Schnell et al., 2009; Oltmans et al., 2014; Rappenglueck et al., 2014). In these two basins, the strong role of meteorology, topography, and high levels of O<sub>3</sub> precursors from oil and gas extraction activities have been identified as key drivers of wintertime high O<sub>3</sub> events. The presence of snow-covered terrain acts as both an enhancer of available ultraviolet (UV) radiation by greatly increasing the surface albedo, and promoter of strong temperature inversions that trap O<sub>3</sub> precursor emissions in a shallow surface layer (Yu and Pielke, 1986; Oltmans et al., 2014). A geographic basin such as the Uinta surrounded by elevated terrain inhibits the flushing of air trapped below the inversion (Bader and McKee, 1985). Emissions from oil and gas production and processing activities as well as combustion emissions associated with vehicle traffic, drilling rigs, compressor stations and gas processing may be significant sources of both volatile organic compounds (VOCs) (Gilman et al., 2013; Warneke et al., 2014) and nitrogen oxides (NO<sub>x</sub> = NO + NO<sub>2</sub>), primary O<sub>3</sub> precursors. As part of the 2013 Uinta Basin Winter Ozone Study (UBWOS) campaign aircraft flights were carried out in the context of

extensive field measurements (Stoeckenius and McNally, 2014). These measurements included ozone profiles from tethered ozonesondes (Schnell et al., 2014) at three sites in the basin. Wind speed and direction profiles (Stoeckenius and McNally, 2014), hydrocarbon and nitrogen oxide mole fraction profiles (Helmig et al., 2014), an intensive set of chemical constituent observations (Stoeckenius and McNally, 2014), and meteorological variables (Stoeckenius and McNally, 2014) were also obtained for 6 weeks at the Horse Pool fixed site on the northern edge of the gas field south of Vernal, Utah. In addition, a number of surface observing sites were operated throughout the basin measuring O<sub>3</sub>, NO<sub>x</sub>, and meteorological parameters (Stoeckenius and McNally, 2014). During the period December 2012–March 2013 conditions favorable for strong ozone formation were present associated with continuous snow cover that produced strong, shallow, stable boundary layers capped by strong temperature inversions and high surface albedos (Oltmans et al., 2014; Stoeckenius and McNally, 2014)

The UBWOS 2013 study took place in the Uinta Basin located in NE Utah (Fig. 1). The basin is characterized by tight oil and gas formations requiring techniques such as hydraulic fracturing to access deposits. Natural gas production is found mainly on the east side of the basin in Uintah County (88 %) and oil primarily on the west side in Duchesne County (70 %). In 2013 there were ~ 6000 natural gas wells and ~ 4000 oil wells in operation. Drilling commenced on ~ 80 wells (spudded wells) each month during January–March 2013. During January–March 2013 the combined annualized oil production (4x the seasonal production for comparison with the full year production) for the two counties was ~ 28 million barrels per year and the gas production was ~ 3.5 trillion cubic feet per year. This is about 2 million barrels per year more than the average oil production and 0.2 trillion cubic feet per year less than the average gas production for the full years of 2012 and 2013 (source: Utah Oil and Gas Program – <http://oilgas.ogm.utah.gov/>). The population of Duchesne and Uintah counties is ~ 55 000 residents with the towns of Vernal and Roosevelt being the largest population centers. These two communities and the highway bisecting the basin east to west are likely sources

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of transportation related emissions, particularly of NO<sub>x</sub>, outside of oil and gas related activities.

## 2 Methodologies

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of 50+ trace gases, including CO, CO<sub>2</sub>, CH<sub>4</sub>, and light hydrocarbons such as C<sub>3</sub>H<sub>8</sub> (propane), C<sub>4</sub>H<sub>10</sub> (butane), C<sub>5</sub>H<sub>12</sub> (pentane), C<sub>2</sub>H<sub>2</sub> (acetylene), C<sub>6</sub>H<sub>6</sub> (benzene) (Karion et al., 2013a, <http://www.esrl.noaa.gov/gmd/ccgg/aircraft/analysis.html>). The same air samples were then analyzed for additional hydrocarbons, including ethane, hexane isomers, and toluene at the University of Colorado Institute of Arctic and Alpine Research (INSTAAR) (Helmig et al., 2009, 2014). GPS location information, temperature, relative humidity, and ambient pressure measurements were also collected on-board and synchronized with the ambient gas measurements. Measurements of trace gases both from the in-situ continuous analyzers (except for O<sub>3</sub> and NO<sub>2</sub>) and the flask packages are all reported on the NOAA/WMO scales as dry air mole fractions (moles per mole of dry air), using methods outlined in Karion et al. (2013a) and online at <http://www.esrl.noaa.gov/gmd/ccgg/aircraft/analysis.html>. Measurements of O<sub>3</sub> were made with a fast-response version of the 2B Technologies, Boulder, CO UV photometric analyzer which used nitric oxide (NO) in place of a solid phase scrubber to avoid potential inference from aromatics and mercury. This instrument was calibrated before and after the campaign against a NOAA GMD maintained standard that is regularly compared with a US NIST standard. Measurements of NO<sub>2</sub> have an internal instrument “zero cycle” calibration. The NO<sub>2</sub> instrument was factory calibrated prior to the field deployment. O<sub>3</sub> and NO<sub>2</sub> are expressed as mole fractions, which are equivalent to mixing ratios when referred to ambient air (as opposed to dry air).

Seven research flights were conducted over an 8 day period from 31 January to 7 February 2013. During this period snow-covered ground, low surface temperatures, low wind speeds, lack of cloud cover, and emission confining topography all provided excellent conditions for highly stratified temperature, inversion capped near-surface conditions where emissions and secondary products were trapped. Surface temperatures regularly dipped below −10 °C during the study period. Research flights surveyed the region, generally flying within the inversion capped surface layer or dipping in and out of the near surface layer.

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On 31 January, two days after the basin had been swept out by the passage of a cold front  $\text{CH}_4$  values over most of the basin were generally below 3 ppm except near the gas field (Fig. 5). However, by 1 February  $\text{CH}_4$  mole fractions of 5 ppm were widespread over the basin. After 2 February,  $\text{CH}_4$  mole fractions were > 6 ppm over much of the basin with much higher values (> 8 ppm) over the gas field and immediately to the west. Of particular note were the extremely high values (> 10 ppm) in the vicinity of the primary gas field. Unlike  $\text{O}_3$ ,  $\text{CH}_4$  did not exhibit a continuous buildup through the entire period appearing to plateau after 4 February. The lack of buildup after 4 February, suggests that later in the episode air was partially mixed out of the boundary layer. This is investigated in a later section discussing the vertical profile observations. The leveling off of  $\text{CH}_4$  is consistent with the surface  $\text{CH}_4$  measurements made at the Horse Pool site (Helmig et al., 2014). As shown in a later section discussing the discrete flask samples, the high  $\text{CH}_4$  values reflect strong emissions from oil and gas operations leading to very high atmospheric non-methane hydrocarbon (NMHC) atmospheric mole fractions across the entire basin during inversion episodes.

$\text{CO}$  with a relatively long lifetime (> 2 months) is indicative of combustion sources.  $\text{NO}_2$  has a relatively short lifetime as a result of reactions with  $\text{NO}$  mediated by high  $\text{O}_3$  mole fractions. The spatial patterns for  $\text{CO}$  and  $\text{NO}_2$  are shown in Fig. 6 for 2 February.  $\text{CO}$  values were moderately elevated above the gas field (200–300 ppb) but the highest values of  $\text{CO}$  (> 600 ppb) measured during the flights were in the SW sector over the region of oil production in Duchesne County. No other constituents measured in this sector showed values elevated above those seen in other parts of the basin. Neither high  $\text{CO}_2$  nor  $\text{NO}_2$  values accompanied these high  $\text{CO}$  mole fractions measured over the oil fields

$\text{NO}_2$  mole fractions were very high (> 10 ppb) over large portions of the gas field while only occasional local elevated values (> 4 ppb) were measured over the rest of the basin. Although the flight tracks within the near surface layer on days other than 2 February did not cover the basin as systematically, the patterns for  $\text{CO}$  and  $\text{NO}_2$  replicate the basic patterns seen on 2 February.

## 3.2 Relationships between chemical constituents in the basin

To analyze the trace gas enhancements and their relationships across the different regions of the basin, the flight tracks were separated into four spatial quadrants: South-East (SE), South-West (SW), North-East (NE), and North-West (NW). Trace gas observations from each quadrant are colored differently (Fig. 7a) and correlations between the different measured species for altitudes below 1650 m a.s.l. are investigated (Fig. 7b–d). An analysis of the measurements collected on 2 February shows elevated CO (up to 700 ppb) in the SW quadrant relative to all the other species and relative to the other quadrants. In the SE, CH<sub>4</sub> was enhanced more per unit CO than in the SW, while in the SW, more CO was present per unit CH<sub>4</sub> (Fig. 7c). In the SW, more CO was generated per unit CO<sub>2</sub> as well, indicating the presence of an inefficient combustion source in that area. The enhancement ratio of CO to CO<sub>2</sub> in the SW (green in Fig. 7b) was significantly greater than those reported in the literature for either direct tailpipe vehicular emissions (9–18 ppb CO (ppm CO<sub>2</sub>)<sup>-1</sup> (Bishop and Stedman, 2008)) or urban areas (10–14 ppb CO (ppm CO<sub>2</sub>)<sup>-1</sup>), (Wunch et al., 2009; Turnbull et al., 2011; Miller et al., 2012; Peischl et al., 2013) shown by the black lines in Fig. 7b). The relatively larger enhancements of CO in the SW quadrant and enhancements of CH<sub>4</sub> in the SE were present in all flights. The correlations plots for the emitted species that were measured with the aircraft; CO to CH<sub>4</sub> (Fig. 8), CO to NO<sub>2</sub> (Fig. 9), and CH<sub>4</sub> to NO<sub>2</sub> (Fig. 10) are shown for all seven flights in 2013.

On most days, CH<sub>4</sub> mole fractions were lower in the NW and SW quadrants than in the SE, an indication that the gas field in the southern part of Uintah County has higher CH<sub>4</sub> emissions than the oil field on the western side of the basin. This was also observed in flights conducted over the same region the previous year, in February 2012 (Lyman and Shorthill, 2013; Karion et al., 2013b), indicating that a significant portion of CH<sub>4</sub> emissions in this quadrant were persistent. Possible CH<sub>4</sub> emissions sources in the SE quadrant include a dense array of compressor stations and two large processing

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plants (the Chipeta Plant Complex and Stagecoach/QEP). Quantitative estimates of contributions from these various O<sub>3</sub> precursor sources are still unknown.

Although NO<sub>x</sub> (NO+NO<sub>2</sub>) are mainly emitted from combustion sources (just as CO is), the most elevated NO<sub>2</sub> was not observed in the same region as the elevated CO (Fig. 9). In the flight data, NO<sub>2</sub> mole fractions were highest in the same SE region as the elevated CH<sub>4</sub>, although they were not well correlated with CH<sub>4</sub> (Fig. 10), which indicates there were CH<sub>4</sub> point sources that have no NO<sub>2</sub> emissions and vice versa. Based on the flight data, it appears that release of NO<sub>2</sub> was occurring preferentially near the processing plants and compressor stations in the SE quadrant.

Figure 11 (panels 1–5) shows the measurements of light NMHC species that are components of raw natural gas as they correlate with CH<sub>4</sub> in air samples collected in flasks aboard the aircraft. The data for 2013 are shown by quadrant with the colors designating the same sectors as in Fig. 7. For 2012 samples are shown as light gray symbols; this comparison emphasizes the higher values in 2013. Mole fractions of propane (C<sub>3</sub>H<sub>8</sub>), *n*-butane (*n*-C<sub>4</sub>H<sub>10</sub>), *n*-pentane (*n*-C<sub>5</sub>H<sub>12</sub>), iso-pentane (*i*-C<sub>5</sub>H<sub>12</sub>), and benzene (C<sub>6</sub>H<sub>6</sub>) show high correlation with CH<sub>4</sub> in both years. Given the high correlations between the NMHCs and CH<sub>4</sub> in the aircraft air samples in winters 2011–2012 and 2012–2013 the inference is that these NMHCs are likely distributed across the basin similarly to CH<sub>4</sub> since they are co-emitted with CH<sub>4</sub> when natural gas is leaked into the atmosphere. Figure 11 (bottom right panel) also shows the relationship between CO and CH<sub>4</sub> measured in flask samples in each year. These gases are less well correlated, as discussed in the previous section for the continuous data, with the SW sector having higher CO relative to CH<sub>4</sub>. The similar relationship between CH<sub>4</sub> and CO seen in the flasks compared to the continuous measurements provides evidence that the more limited flask samples are representative of conditions in the basin. Figure 12 shows winter 2012–2013 measurements of heavier hydrocarbons in the same flasks which are all strongly correlated with CH<sub>4</sub> and significantly enhanced. This correlation is indicative of the availability of O<sub>3</sub> precursor NMHCs along with CH<sub>4</sub> emissions. All species were present in significantly higher concentrations in winter 2012–2013

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compared with 2011–2012. In winter 2011–2012, boundary layer heights were between 500 and 1000 m a.g.l. during the campaign, while in winter 2012–2013 the emissions were contained within a layer of only 100–300 m as may be observed in the vertical profile measurements discussed later.

### 3.3 Relationship of O<sub>3</sub> to other constituents measured on the aircraft

CH<sub>4</sub> values were highest near the gas fields in the SE sector but high CH<sub>4</sub> values (Fig. 5) and high coincident NMHC mole fractions in discrete air samples were seen across the basin (Figs. 11 and 12). Also, O<sub>3</sub> mole fractions were high throughout the near-surface layer in the Uinta Basin (Fig. 4).

In general, O<sub>3</sub> increases with increasing CH<sub>4</sub> (Fig. 13) although for the very highest CH<sub>4</sub> values (> 8 ppm), seen primarily in the SE sector where the primary gas field is located, this is not the case, especially when peak O<sub>3</sub> values are < 100 ppb (Fig. 13). The relationship between O<sub>3</sub> and CH<sub>4</sub> appears similar throughout the basin with the exception of the very high CH<sub>4</sub> in the SE.

Figure 14 shows a general O<sub>3</sub> increase with increasing CO. The most anomalous feature is the very large CO values in the SW sector that do not correlate with O<sub>3</sub>. These much higher CO values in the SW are apparent in the relationship with all the trace gases measured on the aircraft including CH<sub>4</sub>, CO<sub>2</sub>, and NO<sub>2</sub> (see Figs. 7–10).

As can be seen in Fig. 14, O<sub>3</sub> and NO<sub>2</sub> are not well correlated. While occasional values of NO<sub>2</sub> > 5 ppb are seen in all of the sectors, this level is regularly seen and often exceeded (NO<sub>2</sub> > 10 ppb) in the SE sector (red points) indicating that this is an area of large NO<sub>x</sub> emissions.

### 3.4 Vertical distribution of O<sub>3</sub> and other constituents

During each of the seven aircraft flights in 2013, the aircraft conducted two or more vertical profiles, in which measurements were collected either in a spiral ascent or descent, or during an ascent/descent that also transited horizontally. Data collected



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during these profiles are valuable in assessing the extent of vertical mixing within the inversion layer, quantifying the gradient in mole fractions of species above and within the inversion layer, and observing features that exist above the inversion layer. The sharp vertical gradient in the measured constituents associated with the persistent temperature inversion are captured in profile measurements at a number of locations within the basin. A set of four profiles early in the episode (31 January–2 February) (Fig. 16) presents a picture of the early buildup and variation across the basin. The profile on 31 January (profile 1/31) located to the south of the oil field shows only a slight enhancement of the measured constituents including  $O_3$  below the inversion. Above the inversion to near the top of the aircraft profile all of the constituents show constant values near the regional tropospheric background levels. Two profiles on 1 February illustrate conditions over the gas field and appreciably away from the gas field. Near the gas field below  $\sim 1600$  m a.s.l. ( $\sim 150$  m a.g.l.)  $CH_4$  was  $> 10$  ppm,  $NO_2 > 10$  ppb, and CO and  $CO_2$  were also enhanced.  $O_3$  was modestly enhanced to  $\sim 60$  ppb compared to the layer above. All the constituents showed a strong gradient with values declining markedly between the lowest altitude and the top of the cold layer defined by the nearly constant temperatures  $< -5^\circ C$ . Above this layer the concentrations declined further to near background values at  $\sim 1700$  m a.s.l.. The second profile on 1 February was obtained in the northwest quadrant away from the gas field. At this location there was a weaker temperature inversion with a top at  $\sim 1700$  m a.s.l.. All of the constituents were enhanced with  $O_3$  much higher ( $\sim 80$  ppb) than near the gas field. There was also a weaker gradient with all the constituents enhanced up to 2000 m compared to the near-background levels seen in the upper portion of the profile over the gas field. The profile on 2 February was above the Horse Pool surface site. A relatively shallow cold layer defined by the nearly constant temperatures  $< -5^\circ C$  from the surface to  $\sim 1600$  m a.s.l. was overlain by a more vertically structured layer up to the level where the strong temperature increase ( $\sim 5^\circ C$ ) ended at  $\sim 1750$  m.  $O_3$  mole fractions of  $\sim 90$  ppb reflect an increase from the previous day.

A set of six profiles on 4 February (Fig. 17) provides a picture of the profile structure at various locations in the basin covering a period from early to late afternoon (Fig. 17a). In all of these profiles  $O_3$  in the layer below 1700–1750 m a.s.l. was  $> 80$  ppb. All of the other aircraft measured constituents also showed significant enhancements.

A number of profiles in relatively close proximity to the Bonanza power plant encountered a layer downwind of the plant at an altitude of 1900–2000 m a.s.l. containing enhanced CO, CO<sub>2</sub> and NO<sub>2</sub> but with no enhancement in CH<sub>4</sub> and depleted O<sub>3</sub>. Notably, the depression of O<sub>3</sub> mole fractions below the O<sub>3</sub> measured outside the plume was a clear indication of O<sub>3</sub> titration by the power plant emitted NO. On 4 February this plume was located near the top or just above the cold pool layer at ~ 1800 m a.s.l. On 5 February the plume was a bit higher at ~ 1900 m a.s.l. The buoyant plume of emissions from the power plant, whose stack height is at 192 m a.g.l., or 1815 m a.s.l., appeared to be lofted above the stack height as seen in Fig. 19 but then settled somewhat as the plume cooled. The plume was then stabilized above the top of the temperature capped inversion layer, and remained above the inversion in a plume that varied in altitude between 1800–1900 m a.s.l. The combustion products observed in the plume (CO, CO<sub>2</sub>, and NO<sub>2</sub>) all declined immediately below the plume before rising again at lower altitudes, evidence that the plume was not mixing to lower altitudes and was not contributing to precursors near the surface where O<sub>3</sub> was formed. The sharp boundary of the bottom of the plume also provided a marker for the top of the layer influenced by the surface emissions and O<sub>3</sub> production.

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## 4 Discussion and conclusions

Extensive airborne measurements (including vertical profiles) across the Uinta Basin over a one week span encompassing a major ozone episode, gives a unique and comprehensive picture of emissions from oil and gas production activities and their role in wintertime O<sub>3</sub> formation in the Uinta Basin. While the largest CH<sub>4</sub> mole fractions were found near the primary gas field in the eastern portion of the basin, high CH<sub>4</sub>, mole fractions > 5 ppm were seen in all four quadrants of the basin (Fig. 5). There is a strong relationship between CH<sub>4</sub> and the suite of NMHCs obtained from the flask samples (Fig. 12) that was consistent across all four quadrants of the basin. The implication is that these compounds were not only emitted together, but also dispersed together across the basin. Even in the northeast and northwest quadrants, where there are few oil and gas wells, CH<sub>4</sub> (> 5 ppm) and NMHCs can be significantly elevated. Although wind measurements were not made on the airplane, the tethered ozonesonde measurements at Ouray and Fantasy Canyon (Schnell et al., 2014) provided useful wind direction information with height throughout the day for the period of the aircraft flights (an example for two days is shown in Fig. 20). In the height interval from near the surface to top of the inversion layer wind direction consistently had an easterly component (winds from the east). This flow feature was also found during daytime hours in the modeling performed by Neemann et al. (2014). This provides evidence that the high CH<sub>4</sub> (and strongly correlated NMHC) mole fractions seen across the basin were a result of air movement across the basin from the gas field.

The largest concentrations of NO<sub>2</sub> were seen in the SE quadrant (Fig. 6) where CH<sub>4</sub> was also highest. While both of these constituents were high in this sector they are not well correlated (Fig. 10) suggesting separate point sources. In the SE sector there is a tendency for NO<sub>2</sub> and CO to be better correlated (Fig. 9) suggesting a common combustion related source for both. In other quadrants there is not a consistent relationship. A notable feature of CO was the very high concentrations in the SW quadrant in comparison to all other sectors (Figs. 6, 7, 8, and 9). Beginning on 1 February when the

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temperature inversion over the basin became well-established CO values > 600 ppb were seen every day in the SW. Based on the relationship with CO<sub>2</sub> (Fig. 7) these high CO mole fractions suggest an inefficient combustion source. Since this quadrant encompasses the main oil field a potential source for the high CO was inefficient pump jack engine operation.

The general increases of O<sub>3</sub> mole fractions with increasing CH<sub>4</sub> and CO except at the very highest values (CH<sub>4</sub> > 8 ppm, CO > 400 ppb) in all sectors of the basin on each flight day (Figs. 13 and 14) are strong indicators of the link between CH<sub>4</sub> and CO and the O<sub>3</sub> precursors responsible for vigorous O<sub>3</sub> production. The primary source of elevated CO mole fractions in the basin is combustion based on the relationship between CO and CO<sub>2</sub> with O<sub>3</sub> precursor NO<sub>x</sub> as a known additional emitted product of combustion. Because of the chemical interchange between NO and NO<sub>2</sub> in the production of O<sub>3</sub> the relationship between O<sub>3</sub> and NO<sub>2</sub> in the aircraft measurements is not straightforward (Fig. 15). However, large mole fractions of NO<sub>2</sub> (> 5 ppb) were seen throughout the basin with especially large mole fractions in the SE quadrant signaling the availability of NO<sub>x</sub> as well as precursor NMHCs for very active O<sub>3</sub> photochemistry.

O<sub>3</sub> mole fractions increased across the basin during the course of the ozone episode (Figs. 3 and 4) while CH<sub>4</sub> values increased over the first four days but did not appear to continue to rise along with O<sub>3</sub> through the remainder of the flight period (Figs. 5 and 13). On the assumption that CH<sub>4</sub> emissions remain relatively constant, transport out of the boundary layer appears to limit the increase in CH<sub>4</sub> during the latter days of elevated ozone event. This is suggested by the profiles of 4 and 5 February (Figs. 18 and 19) where there is a falloff in mole fractions of CH<sub>4</sub> in the gradient region between the top of the near constant cold layer and the level where tropospheric background levels were reached at 1900–2000 m, indicative of a weaker capping of air above the cold layer. O<sub>3</sub> mole fractions continued to increase across the basin through the flight period (also seen in surface measurements and tether ozonesonde profiles – Fig. 3 and Schnell et al., 2014) although they also showed the drop off in the gradient layer on 4 and 5 February seen in CH<sub>4</sub> and CO and CO<sub>2</sub>. Unlike CH<sub>4</sub>, O<sub>3</sub> levels were strongly

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influenced by chemical production and loss processes over the time interval of the aircraft flights. During the course of the O<sub>3</sub> event daily minimum values, seen during the night, continued to rise (Fig. 3) (Schnell et al., 2014) indicating that chemical loss, surface deposition, and transport out of the boundary layer did not fully remove O<sub>3</sub> from the previous day's production. Although CH<sub>4</sub> (and likely also accompanying NMHC) levels stabilize after a few days, they remained sufficiently high to fuel O<sub>3</sub> production and increasing O<sub>3</sub> mole fractions throughout the event captured by the aircraft flights.

The persistent snow cover in the Uinta Basin through the winter of 2012–2013 led to extended periods with well-developed temperature inversions resulting in a stable near surface layer. Although the entire period of the aircraft flights was characterized by a stable surface layer (Figs. 16, 17, and 18) significant variation was seen in this layer both in the temperature structure and the distribution of the gas constituents within the layer. In the early flights (Fig. 16) the temperature gradient was weaker with the near surface inversion at 1600–1700 m a.s.l. and the strongest enhancements of the measured gas constituents below the temperature inversion. However, some enhancement was seen above the inversion especially in a profile on 1 February (Profile 2/1 in Fig. 16) when only a very weak inversion was present indicating at least some venting from the boundary layer even early in the high  $O_3$  episode. On 4 February the cold (isothermal) layer was deeper with the top at  $\sim 1750$  m a.s.l. in all of the profiles (Fig. 17) and the strongest enhancement in  $O_3$  and the other measured constituents was found within this layer. The decline to near tropospheric background mole fractions occurred within a relatively narrow layer of  $\sim 150$  m. This deeper, isothermal temperature layer up to 1700–1800 m a.s.l., may have been partially responsible for the lower (though still high) peak surface  $O_3$  seen on 4 February than on the previous day and following day (Fig. 3).  $CH_4$  and  $O_3$  have near-constant mole fractions through this thicker layer. On 5 February there is a much shallower cold isothermal layer with a sharp change in temperature gradient at  $\sim 1600$  m a.s.l. This layer has very high mole fractions of all of the other measured constituents, including  $O_3$ . A consistent feature of the profiles throughout the aircraft flights was an isothermal layer near the surface in the coldest portion of

measured gas constituents below the temperature inversion. However, some enhancement was seen above the inversion especially in a profile on 1 February (Profile 2/1 in Fig. 16) when only a very weak inversion was present indicating at least some venting from the boundary layer even early in the high  $O_3$  episode. On 4 February the cold (isothermal) layer was deeper with the top at  $\sim 1750$  m a.s.l. in all of the profiles (Fig. 17) and the strongest enhancement in  $O_3$  and the other measured constituents was found within this layer. The decline to near tropospheric background mole fractions occurred within a relatively narrow layer of  $\sim 150$  m. This deeper, isothermal temperature layer up to 1700–1800 m a.s.l., may have been partially responsible for the lower (though still high) peak surface  $O_3$  seen on 4 February than on the previous day and following day (Fig. 3).  $CH_4$  and  $O_3$  have near-constant mole fractions through this thicker layer. On 5

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O<sub>3</sub> event while continued O<sub>3</sub> production led to increasing O<sub>3</sub> through the episode. The meteorological conditions seen in the Uinta Basin during winter 2013 promoted the formation of a shallow inversion layer due to persistent snow cover and relatively long periods (approximately one week) without basin flushing frontal passages. Based on precursor emission levels and meteorological conditions similar to those seen in 2013 in the Uinta Basin, it is likely that high O<sub>3</sub> episodes can be expected in the future. Modeling of the conditions in winter in 2011–2012 and 2012–2013 (Edwards et al., 2013; Neemann et al., 2014; Ahmadov et al., 2014) also show the vital role of snow cover that promotes and sustains strong temperature inversions, enhanced UV from high albedos, and reduced O<sub>3</sub> deposition in combination with highly elevated levels of O<sub>3</sub> precursors.

*Acknowledgements.* Funding for the aircraft flights was provided by the NOAA Climate Program Office. The NO<sub>2</sub> analyzer used on the aircraft was generously loaned to NOAA/GMD by Russ Dickerson of the University of Maryland. Partial funding for the purchase of the NO<sub>2</sub> analyzer was provided through Russ Dickerson's participation in the NASA AQUEST program. The skilled piloting of the aircraft by C. Midyett was a key element in obtaining the extensive data set used in this study.

The 2013 Uinta Basin Ozone Study was funded by and provided in-kind support by the: Uintah Impact Mitigation Special Service District (UIMSSD), Western Energy Alliance, QEP Resources, Inc., Bureau of Land Management (BLM), National Oceanic and Atmospheric Administration (NOAA), Environmental Protection Agency (EPA), Utah Department of Environmental Quality (UDEQ) and Utah Science Technology and Research Initiative (USTAR), and Utah School and Institutional Trust Lands Administration (SITLA).

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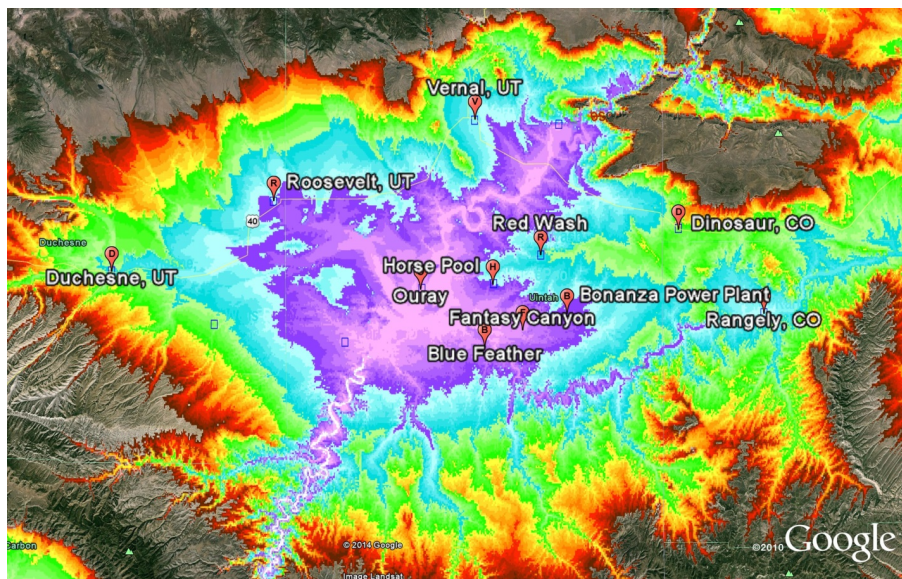
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**Figure 1.** Topography of the Uinta Basin. The locations of surface measurement sites and several towns in the basin are also shown.

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**Figure 2.** Photograph of the Cessna 210 aircraft that sampled over the Uinta Basin in February 2013. Three inlets and two temperature and humidity probes were installed under the starboard wing.

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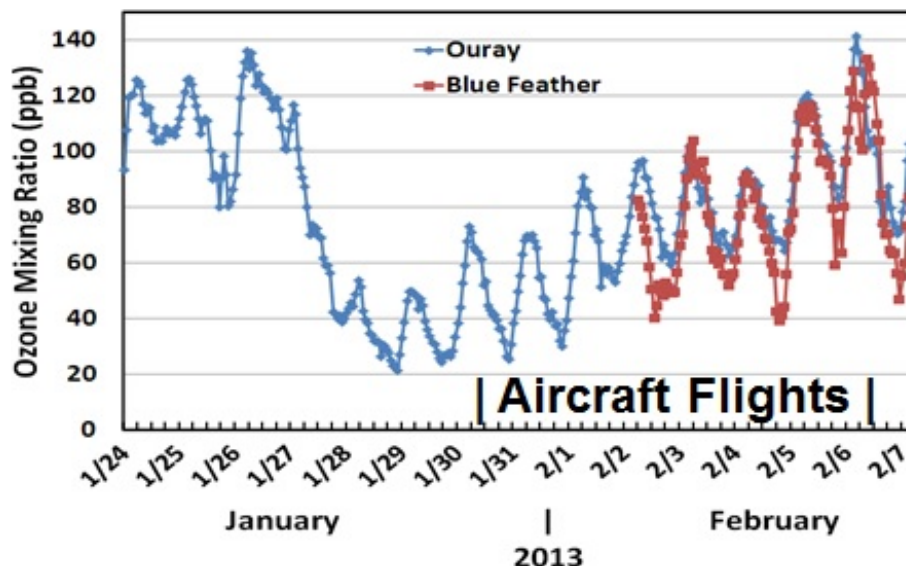
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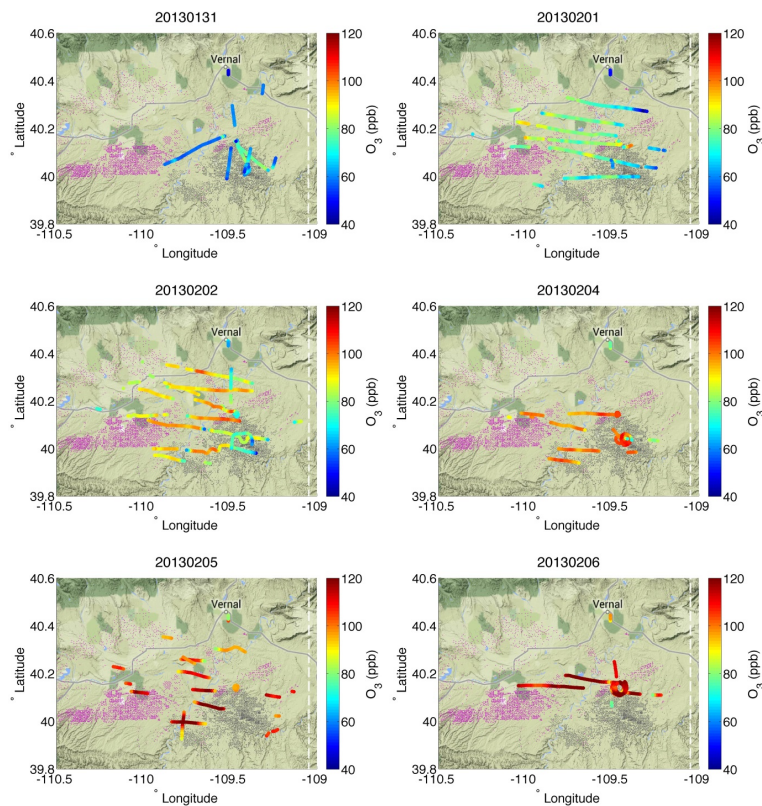
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**Figure 3.** Hourly surface ozone (mole fractions) mixing ratios at two sites in the Uinta Basin (shown in Fig. 1) during January and February 2013 during the period of the aircraft flights showing the ozone buildup.





**Figure 4.** Map of flight tracks on 31 January, 1, 2, 4, 5, and 6 February 2013 over the Uinta Basin colored by mole fraction in parts per million (ppb) for  $O_3$ . The flight tracks show portions below 1650 m a.s.l. only. Locations of oil and gas wells are shown as magenta and gray dots, respectively. The flight date is indicated above each panel (YYYYMMDD).

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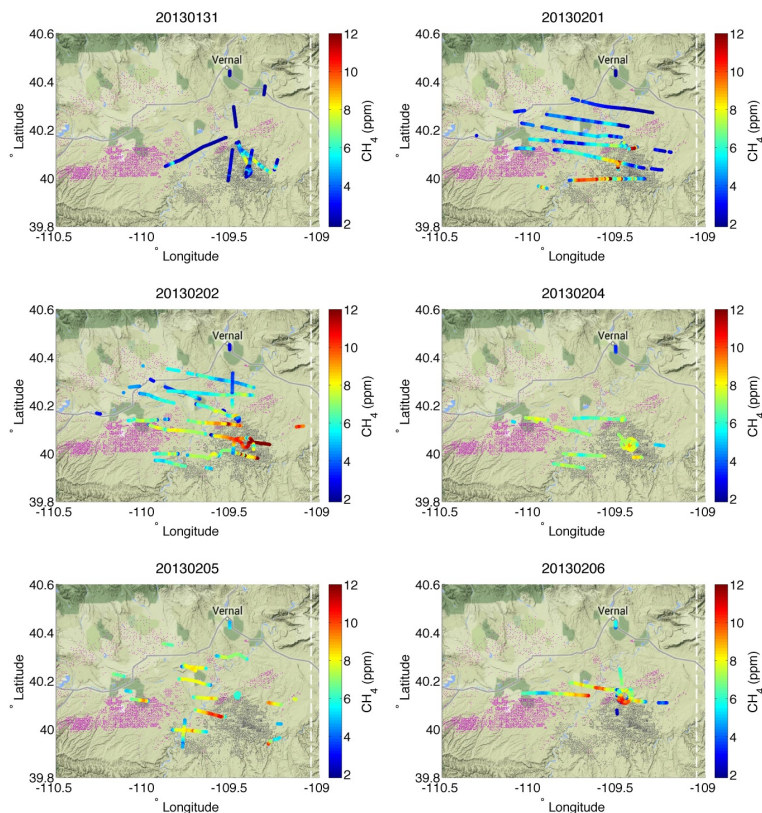
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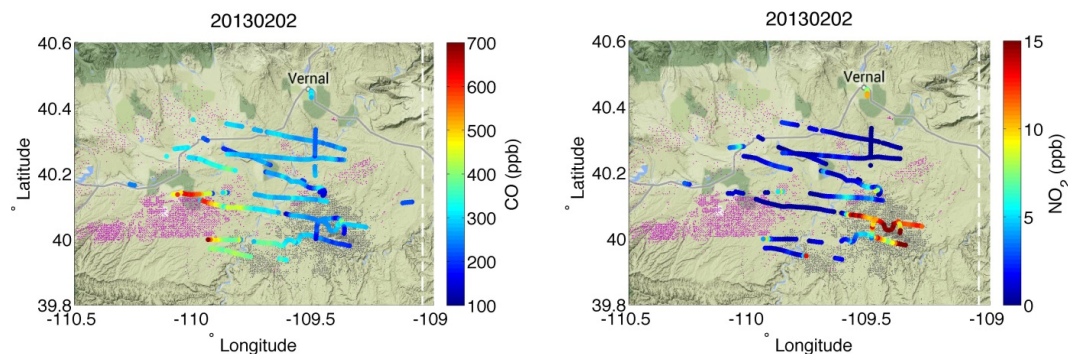




**Figure 5.** Map of flight tracks for  $\text{CH}_4$  on 31 January, 1, 2, 4, 5, and 6 February 2013 over the Uinta Basin colored by mole fraction in parts per million (ppm). The flight tracks are for portions below 1650 m a.s.l. only. Locations of oil and gas wells are shown as magenta and gray dots, respectively. The flight date is indicated above each panel (YYYYMMDD).

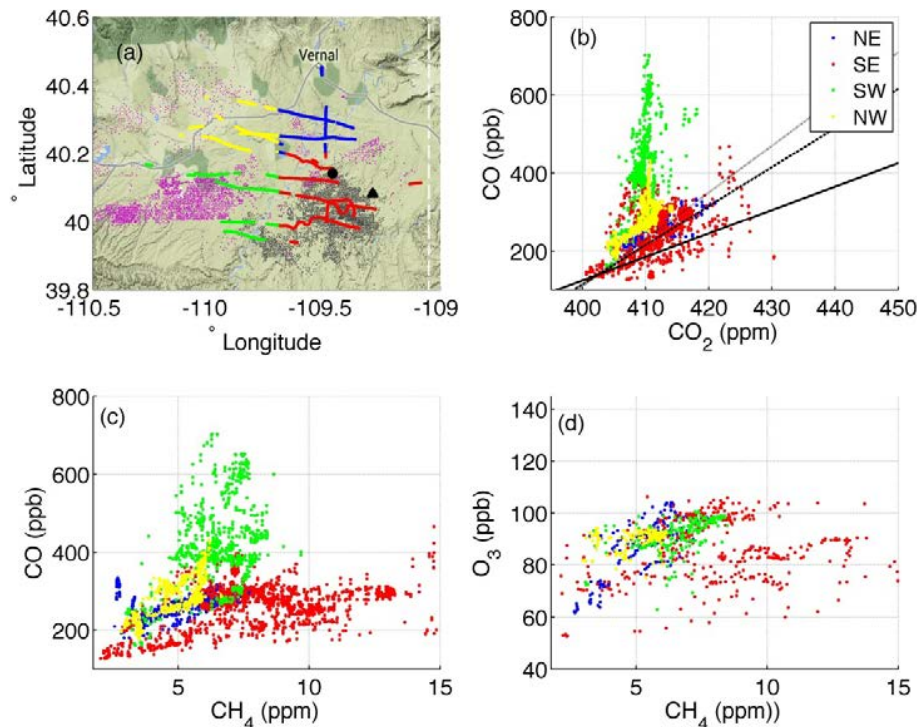
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**Figure 6.** Map of flight tracks for CO and NO<sub>2</sub> on 2 February 2013 over the Uintah Basin colored by mole fraction in parts per million (ppb). The flight tracks are for portions below 1650 m a.s.l. only. Locations of oil and gas wells are shown as purple and gray dots, respectively. The flight date is indicated above each panel (YYYYMMDD).

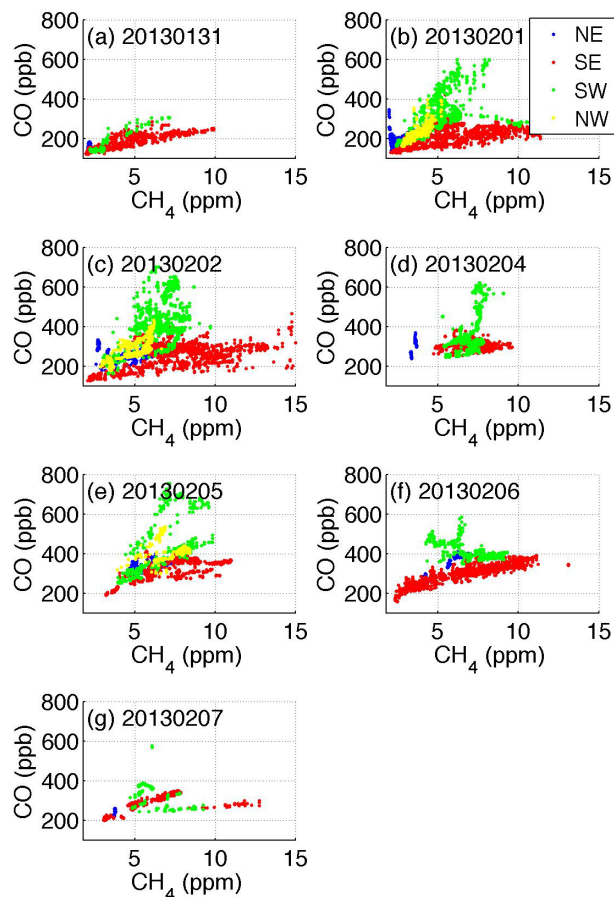
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**Figure 7.** Spatial analysis of trace gas dry air mole fraction correlations during the 2 February 2013 flight. **(a)** Map of the flight track below the inversion (1650 m a.s.l.) colored by quadrant (red: SE, blue: NE, yellow: NW, green: SW). The location of Horse Pool is noted with a black circle; the Bonanza power plant is a black triangle. **(b)** Correlation plot of CO with CO<sub>2</sub> in the four quadrants. Black dotted line shows a molar ratio of 12 ppb CO per ppm of CO<sub>2</sub>, dashed line is 10 ppb ppm<sup>-1</sup>, and solid line is 6 ppb ppm<sup>-1</sup>. **(c)** Correlation plot of CO with CH<sub>4</sub>, indicating more CO emission per unit CH<sub>4</sub> in the SW quadrant, and more CH<sub>4</sub> per unit CO in the SE. **(d)** Correlations of CH<sub>4</sub> with O<sub>3</sub> mole fractions show less spatial separation, supporting the observation that O<sub>3</sub> is observed more uniformly through the region.

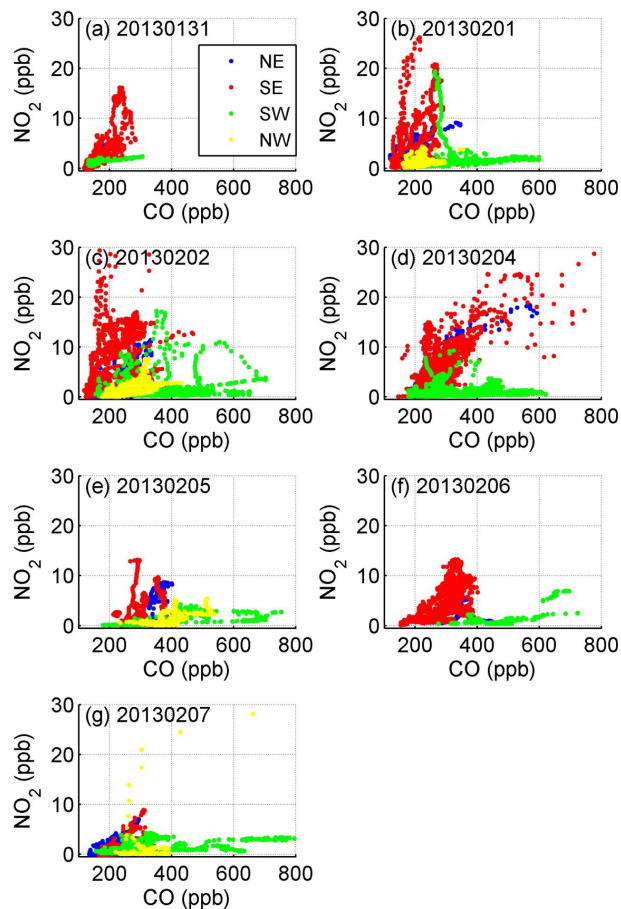
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**Figure 8.** Relationship between CO with  $\text{CH}_4$  over the different quadrants of the basin for the seven flights with the flight date indicated in each panel (YYYYMMDD).

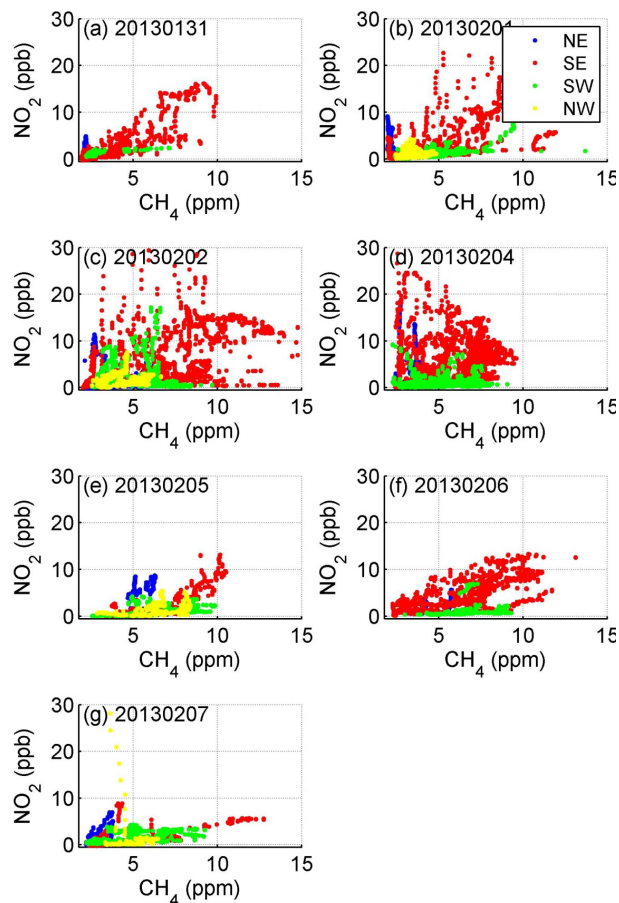
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**Figure 9.** Relationship between  $\text{NO}_2$  with CO over the different quadrants of the basin for the seven flights with the flight date indicated in each panel (YYYYMMDD).

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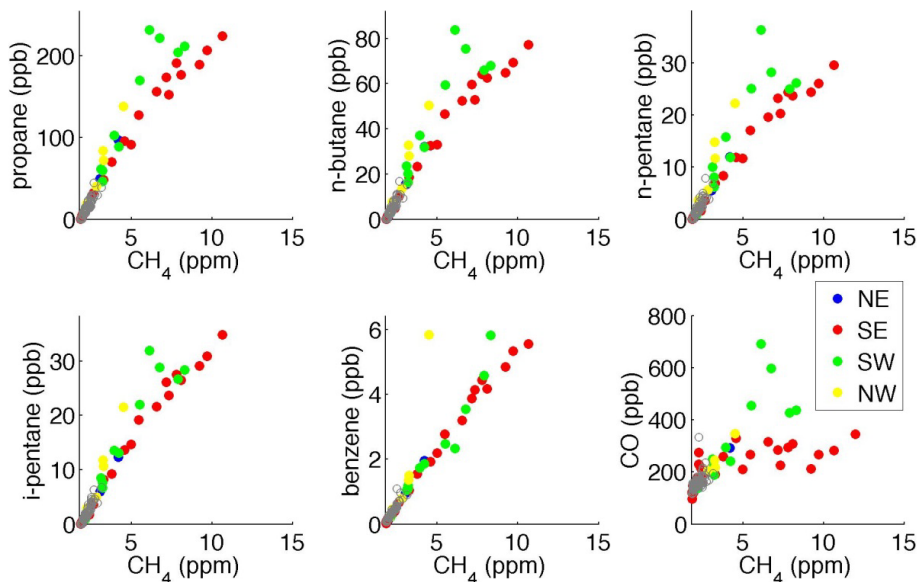
**Figure 10.** Relationship between  $\text{NO}_2$  and  $\text{CH}_4$  over the different quadrants of the basin for the seven flights with the flight date indicated in each panel (YYYYMMDD).

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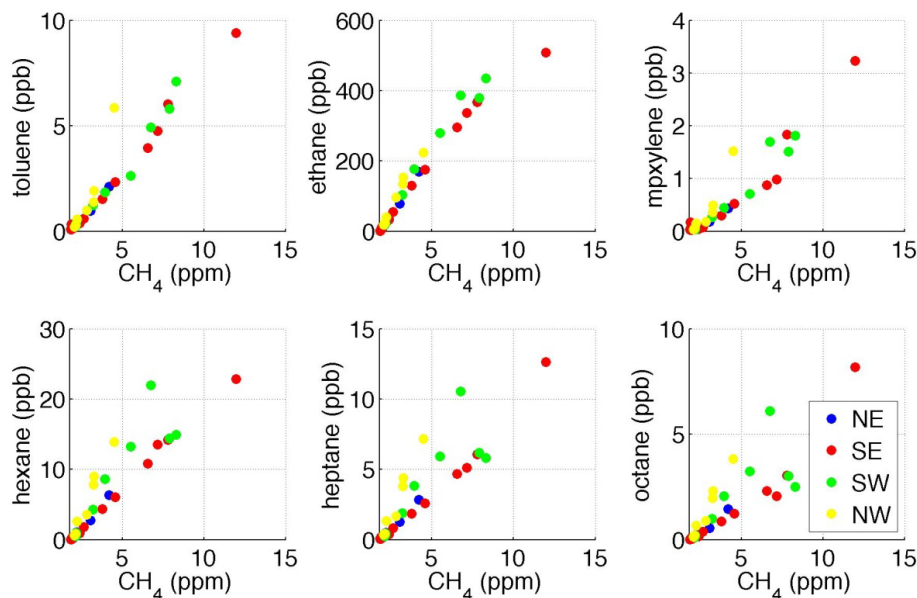
**Figure 11.** Measurements of light hydrocarbons (first five panels) and CO (bottom right) plotted against  $\text{CH}_4$  mole fraction from air samples collected in flasks over the Uinta Basin in 2013 (blue, red, green or yellow based on quadrant) and 2012 (gray).

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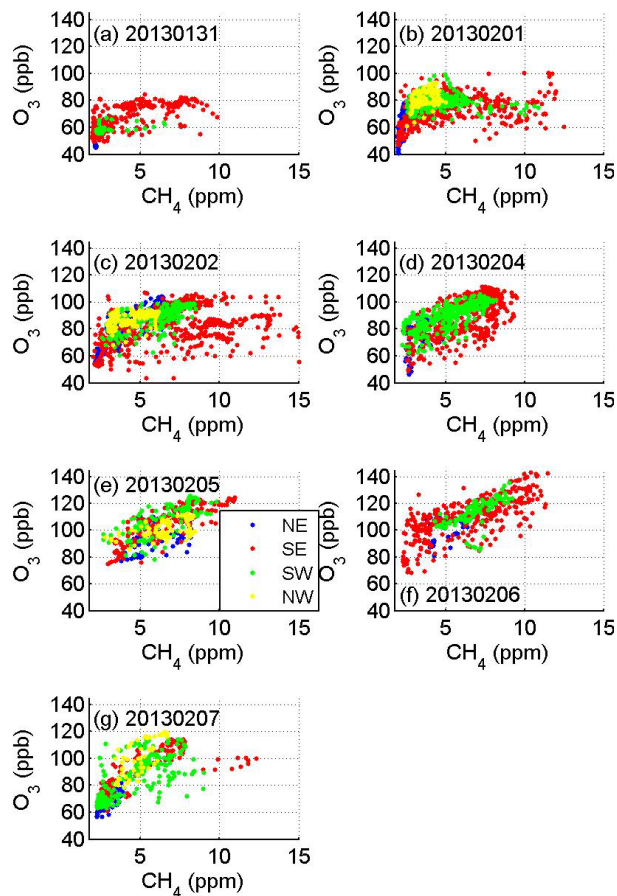


**Figure 12.** Measurements of heavier hydrocarbons in flasks collected aboard the aircraft, 31 January–7 February 2013, colored by quadrant.

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**Figure 13.** Relationship between  $O_3$  and  $CH_4$  over the different quadrants of the basin for the seven flights with the flight date indicated in each panel (YYYYMMDD).

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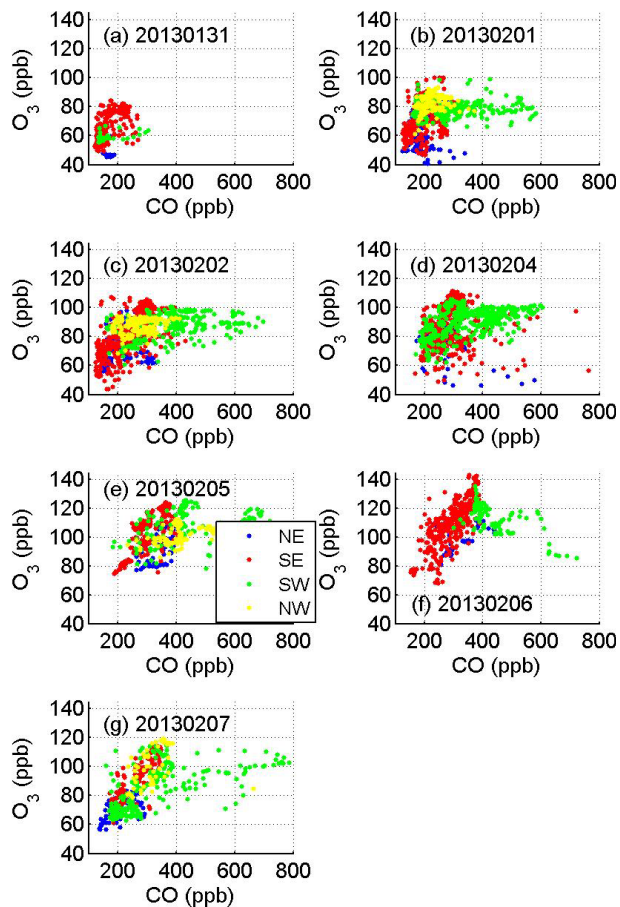
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**Figure 14.** Relationship between  $O_3$  and CO over the different quadrants of the basin for the seven flights with the flight date indicated in each panel (YYYYMMDD).

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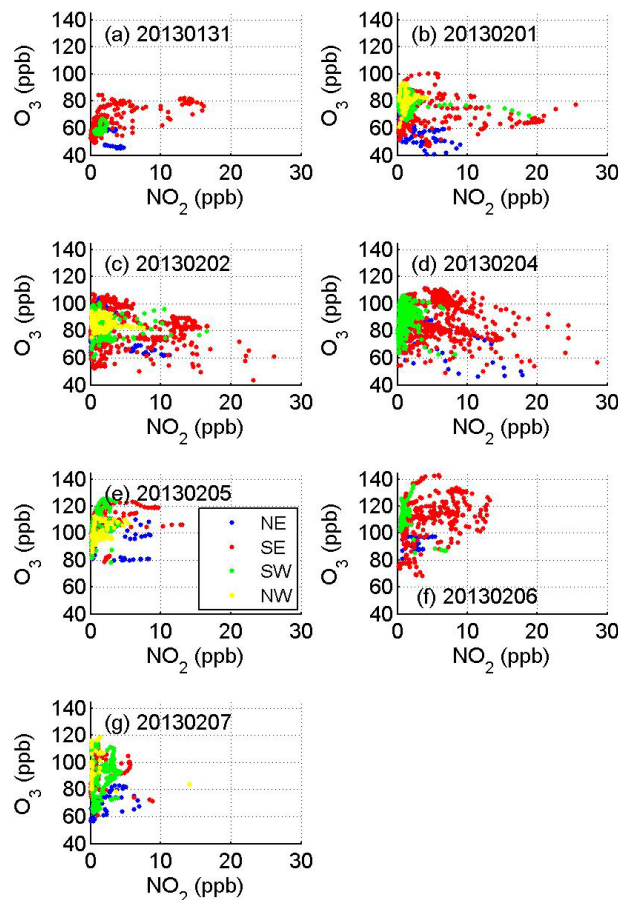
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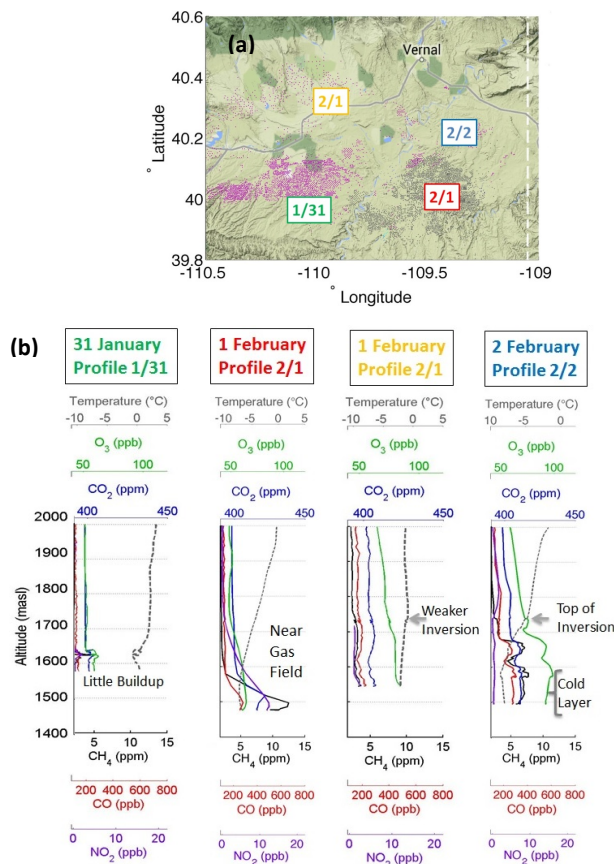
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**Figure 15.** Relationship between  $\text{O}_3$  and  $\text{NO}_2$  over the different quadrants of the basin for the seven flights with the flight date indicated in each panel (YYYYMMDD).

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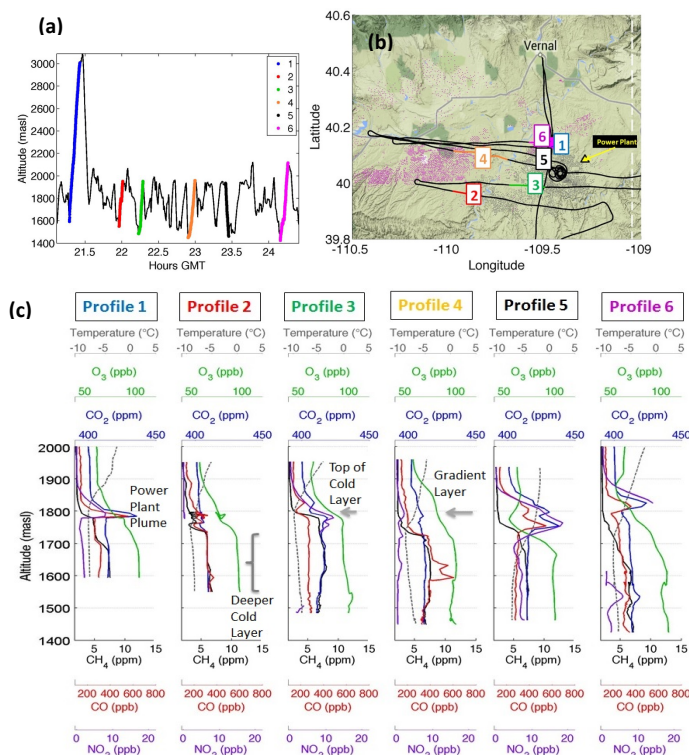


**Figure 16.** (a) Locations of the profiles in the Uinta Basin obtained on 31 January (1/31), 1 February (2/1 – 2 profiles) and 2 February (2/2), shown along with the oil (magenta) and gas (gray) well locations. (b) Four vertical profiles of CH<sub>4</sub> (black), CO (red), CO<sub>2</sub> (blue), O<sub>3</sub> (green) and NO<sub>2</sub> (purple) on 31 January, 1 February (2 profiles), and 2 February 2013.

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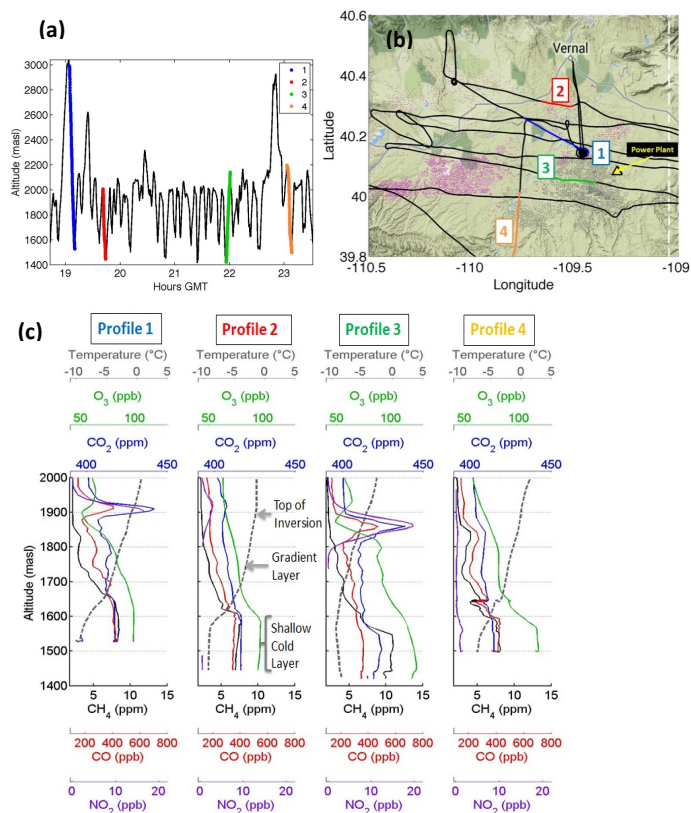


**Figure 17.** (a) Time series of flight on 4 February 2013, with the profiles highlighted. Local Standard Time is GMT-7 h. (b) Corresponding locations of the profiles in the Uinta Basin, shown along with the oil (magenta) and gas (gray) well locations. The Bonanza Power Plant is located at the black triangle. (c) Six vertical profiles of CH<sub>4</sub> (black), CO (red), CO<sub>2</sub> (blue), O<sub>3</sub> (green) and NO<sub>2</sub> (purple) from the flight on 4 February 2013. Temperature is shown in a dashed gray line (top axis).

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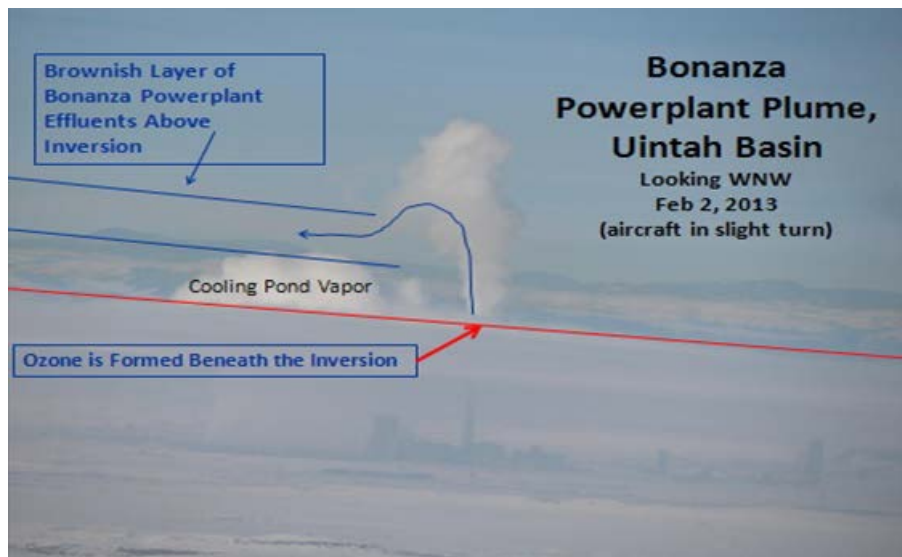
# A high ozone episode in winter 2013 in the Uinta Basin oil and gas region

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**Figure 18.** (a) Time series of flight on 5 February 2013, with the profiles highlighted. Local Standard Time is GMT-7 h. (b) Corresponding locations of the profiles in the Uinta Basin, shown along with the oil (magenta) and gas (gray) well locations. The Bonanza Power Plant is located at the black triangle. (c) Four vertical profiles of CH<sub>4</sub> (black), CO (red), CO<sub>2</sub> (blue), O<sub>3</sub> (green) and NO<sub>2</sub> (purple) from the flight on 5 February 2013. Temperature is shown in a dashed gray line (top axis).





**Figure 19.** Photograph taken from the aircraft of the Bonanza Power Plant and its plume rising above the inversion layer defined by the haze below the inversion.

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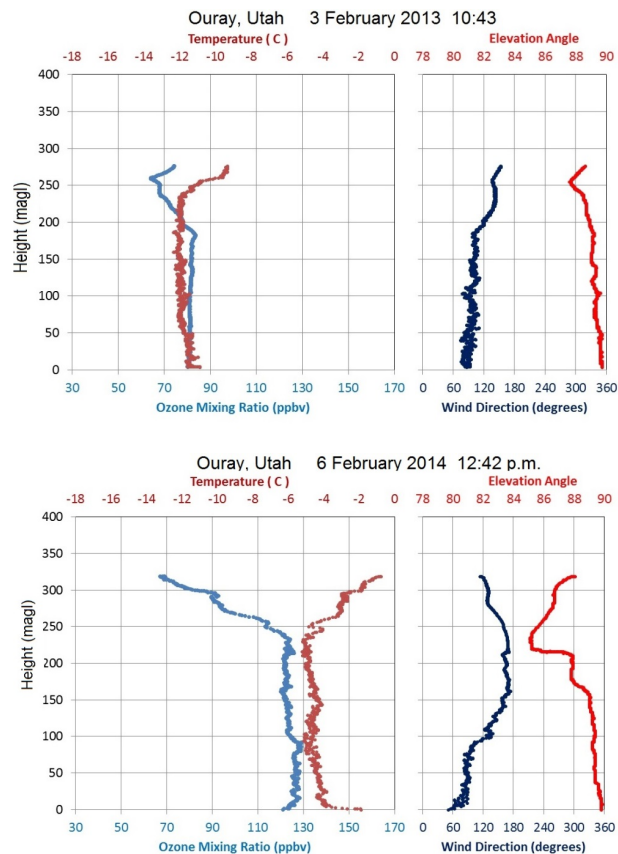
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**Figure 20.** Tethered ozonesonde profiles on 3 and 6 February at Ouray. The left panel for each sounding shows  $O_3$  (blue) and  $T$  (red). The right panel shows elevation angle (red) of the balloon from which the wind direction (dark blue) is derived.