

1 *Replies to Anonymous Referee #1 (acpd-14-C8024-2014)*

2

3 *The word “Sensitivity” probably should appear in the title*

4 We have replaced the title with: ‘**Biomass burning related ozone damage on vegetation over**
5 **the Amazon forest: A model sensitivity study.**’.

6

7 *Other comments:*

8 *1. Has visible leaf damage to tropical trees ever been reported during/after the biomass burning*
9 *season?*

10 To our knowledge there are not published data of field experiments of ozone damage on plants for
11 the Amazon forest.

12

13 *2. Much of the paper is devoted to understanding the model’s over prediction of surface ozone at 2*
14 *sites in the Amazon. The overprediction is a problem since the damage depends on the absolute*
15 *magnitude of the ozone concentration. The authors’ honesty is appreciated. Connected to this issue,*
16 *that is not yet discussed in the paper but should be, how well does the HadGEM2 model simulate the*
17 *meteorology over the Amazon? Please include discussion of this validation, what does the model*
18 *surface temperature, precipitation etc. over Amazon look like compared with observations? Does*
19 *the model ozone bias occur everywhere in the lower troposphere? Or does the model do a better job*
20 *of ozone simulation in heavily polluted regions?*

21 We have included the model evaluation for HadGEM2 meteorology and tropospheric ozone in the
22 supplementary material.

23

24 *3. Can you use satellite data of tropospheric ozone and NOx to evaluate the model’s chemical*
25 *performance over the Amazon further?*

26 Unfortunately, we could not find reliable satellite data of tropospheric ozone and NOx for the
27 Amazon region.

28

29 *4. “The decade-mean CO2 atmospheric mixing ratio was 368 ppm”. How sensitive are your results*
30 *to this assumption i.e prescribed not dynamic CO2 levels? I imagine the atmospheric CO2 levels*
31 *near the tropical leaves will be quite variable.*

32 There are large variations on the diurnal cycle of CO₂ as well (respiration fluxes building up during
33 the night, stable shallow boundary layer / night-time inversions). Further investigation will be
34 needed, as current model simulations do not take into account of dynamic CO₂ levels. Indeed, all
35 global vegetation models are run using annual global CO₂ concentration. Between 2000 and 2009
36 CO₂ concentrations increase by ca. 18ppm. Adopting a Beta factor approach, and assuming a beta
37 factor of 0.60 from Free Air Carbon Enrichment experiments, Norby et al., 2005, this translates into
38 an expected modest increase of ca. 2% in NPP over the decade.

39

40 *5. The simulations aren't fully coupled such that the loss of forest leaves due the fires does not*
41 *manifest as a change in the dry deposition of the ozone (and BVOC emission), correct? How does*
42 *this lack of full coupling influence your results? Is it possible that the observed ozone cycles at the 2*
43 *sites might be related to the change in deposition (decreased ozone loss) over the season, rather than*
44 *localized production from fire emissions?*

45 As said in the Model Description (page 19961, line 5) and in the Discussion and Conclusions, the
46 model does not include an interactive fire scheme. Leaf area is lost due to fires and, since stomatal
47 conductance is a major sink for ozone, this may affect ozone deposition. However, the leaf area lost
48 through fire is marginal in comparison to the total leaf area over the Amazon and should thus not
49 affect the ozone concentration. Moreover, most fires occur in pasture areas, and not over forest
50 areas. Second, ozone production in Amazonia is limited by NO_x. In the dry season, NO_x
51 concentrations can rise by a factor of 5 in comparison to the wet season (e.g., Kirkman et al., 2002),
52 and an increase of the same order is expected for the chemical production of ozone. It is true that the
53 canopy resistance decreases in the dry season (Rummel et al., 2007), but not due to the loss of
54 leaves by fire. It decreases mostly because specific humidity deficit increases in the dry season,
55 resulting in closed stomata.

56

57 *6. It is intriguing that the authors included domestic biofuel emissions into their analysis. Can they*
58 *offer any reason why to do this? Isn't domestic biofuel a separate activity altogether? What are the*
59 *emissions totals for each source in the region?*

60 Domestic biofuel emissions include sources like fire wood burning, which is why we include
61 domestic biofuel sources of biomass burning, please see page 19963 line 1.

62 At page 19963 line 2 we have added: 'The dominant fire types in South America are from

63 deforestation and degradation fires in an arc around Amazonia, with some regional hotspots of
64 agricultural burning (see Figure 13 in Van der Werf et al., 2010). Between 2001 and 2009 the
65 percentage contribution to annual fire emissions from fire types (deforestation and degradation,
66 grassland and savanna, woodland, forest, agriculture) are (59%, 22%, 10%, 8%, 2%) over Southern
67 Hemisphere South America (Figure 13 van der Werf et al., 2010), with minor differences between
68 this dataset (GFedv3) and the earlier Gfedv2 in this region (see Fig. 16 in Van der Werf et al.,
69 2010).'
70

70 Replies to **Anonymous Referee #2** (acpd-14-C6162-2014)

71

72 1) *The authors mention in the Introduction (page 19958, lines 1-5) that aerosols from biomass*
73 *burning can impact the diffuse radiation and therefore, indirectly, NEE. Were these interactions*
74 *included in the model simulations of this study? I would expect that some emissions from biomass*
75 *burning (NO_x, VOCs, CO) could impact ozone production in plumes, but that the aerosols could*
76 *also impact this chemistry (by changing photolysis rates). Was this taken into account? Or any of the*
77 *feedbacks to the meteorology? (e.g., boundary layer height, temperatures)? Along these lines, were*
78 *the biogenic emissions changed with the different biomass burning scenarios, or were they kept*
79 *constant?*

80

81 At page 19958, line 5 we have added: ‘This study does not consider the effects of the changes in
82 diffuse radiation due to biomass burning on photosynthesis, or the impact of aerosol on O₃
83 chemistry via changing photolysis rate. That will be the focus of a separate study. Our specific aim
84 is to estimate the effect of ozone-induced changes on vegetation productivity due to biomass
85 burning.’

86 The feedbacks of biomass burning emissions on meteorology (e.g., boundary layer height,
87 temperatures) were not included. The idea was to focus on the biomass burning related O₃ damage
88 on vegetation.

89 Biogenic emissions are calculated interactively (page 19960, lines 8-11), so they are not constant,
90 but they are independent from the biomass burning scenario. At page 19970, line 5, we have added :
91 ‘, and BVOCs emissions,’.

92

93 2) *I would appreciate a bit more information about the fire emissions used in this study. Although the*
94 *authors state the references from which they got the estimates, it would be helpful to include a bit more*
95 *information about them here. For example, are they monthly emissions included constantly*
96 *throughout a month, or is there a daily and/or hourly variation in these emissions? Wouldn't this*
97 *make a difference in the modeled ozone production and results? Could this potentially also help*
98 *explain the discrepancies between the model and the measurements?*

99

100 At page 19960 line 13, we have added: ‘Given the difficulty in prescribing a diurnal cycle for fire

101 emissions, these monthly mean emissions are kept constant during the day.’
102 At page 19966 line 17, we have added: ‘As stated earlier in the model description section, biomass
103 burning emissions are prescribed as monthly mean and kept constant during the day, and this can
104 have an impact on the hourly and day-to-day variation of surface O₃. For example, O₃ production
105 will respond differently if biomass burning emissions occur during the day or at night, affecting
106 simulated surface O₃ mixing ratios. These issues can be improved by modelling fire and biomass
107 burning emissions interactively. The inclusion of an interactive fire model in HadGEM is currently
108 under development.’

109
110 3) *The figures (particularly Figures 1, 2, 6) are very difficult to read. It would be very*
111 *helpful to have them enlarged or simplified so that they are easier to see.*

112 We have improved Figures 1, 2 and 6.

113

114 *Other comments:*

115 *Page 19958, line 8: “where” should be “were”*

116 We have replaced ‘where’ with ‘were’ at page 19958 line 8

117

118 *Page 19959, lines 18-21: Are biogenic emissions or anthropogenic emissions reduced due to*
119 *deforestation, and why would this lead to an overestimation of ozone? This statement could include*
120 *more details.*

121 At page 19959, line 21 we have added: ‘deforestation via burning, consequently reducing the amount
122 of O₃ precursors’.

123

124 *Page 19960, lines 12-14: How were monthly emissions temporally included in the model*
125 *simulations?*

126 At page 19960 line 13, we have added : ‘Given the difficulty in prescribing a diurnal cycle for fire
127 emissions, these monthly mean emissions are kept constant during the day.’

128

129 *Page 19962, line 24: Use “that” instead of “which”*

130 We have replaced ‘which’ with ‘that’ at page 19962 line 24

131

132 *Figure 5: Label the graphs “a”, “b”, “c”*

133 We have labelled the graphs in Figure 5: “a”, “b”, “c”

134

134 *Replies to F. Dentener (Referee) (acpd-14-C6433-2014)*

135

136

137 *Detailed comments*

138 *p. 19956 l. 7 bias 5-15 ppb. The abstract should guide the reader to explain what that could mean*
139 *for the calculated impacts.*

140 At page 19956, line 7, we have added: ‘. The simulated impact of ozone damage from present-day
141 biomass burning on vegetation productivity is about 230 TgC yr⁻¹. Taking into account that
142 uncertainty in these estimates is substantial, this ozone damage impact over the Amazon forest is of
143 the same order of magnitude as the release of carbon dioxide due to fire in South America; in effect
144 to potentially double the impact of biomass burning on the carbon cycle.’

145 And we have removed: ‘When biomass burning emissions are increased by 100%, our model
146 simulates a maximum impact of 10% reduction in monthly mean net plant productivity averaged
147 over the Amazon forest, with local peaks of 50-60% reduction for the months of intense fire
148 activity.’

149 We have also replaced the title with: ‘**Biomass burning related ozone damage on vegetation**
150 **over the Amazon forest: a model sensitivity study**’.

151

152 *p. 19956 l. 12 reduces ozone by how much?*

153 At page 19956, line 12 we have added : (by about 15ppb during the biomass burning season)

154

155 *p. 19956 l. 10-17 Something needs to be said about the time period of evaluation, and what*
156 *emissions are considered (there are issues).*

157 At page 19956, line 3 we have added: ‘, under present-day climate conditions’.

158 At page 19956, line 5 we have added: ‘for years 2010 to 2012’.

159 At page 19956, line 3 we have added : Here we consider biomass burning emissions from wildfires,
160 deforestation fires, agricultural forest burning, residential and commercial combustion. ’.

161

162 *p. 19956 l. 10-17 Something on the type of biomass burning deforestation fires.*

163 Please see page 19962, line 27: ‘We define biomass burning emissions as those from ...’

164 We are not able to distinguish between anthropogenic and wildfire.

165

166 *p. 19956 l. 10-17 More on implications in the abstract ('could be as large as the direct impact on the*
167 *carbon cycle' but that is an upper limit). Would it be possible to present a more realistic range?*

168 See above for modification of abstract text. In the absence of data on ozone damage to tropical forest
169 species, it is not possible to present a more realistic range; therefore we purposely chose to study
170 an upper limit to show whether ozone effects due to biomass burning could be important or not on
171 the carbon cycle.

172

173 *p. 19957 l. 9 40 ppb is kind of an arbitrary threshold- and it is not clear if it also holds for tropical*
174 *vegetation- with different genotypes. It is picked up in the discussion, but could be alluded here.*

175 At page 19957, line 13 we have added: ‘, e.g. tropical rainforest vegetation may be particularly
176 sensitive to surface O₃, even at concentrations below 40ppb (a threshold associated with extra-
177 tropical vegetation), due to high stomatal conductances.’

178

179 *p. 19957 Is Le Quere reference for 'current' budgets or missing processes?*

180 Le Queré is reference for current budgets. At page 19957, line 28 we have replaced: ‘current
181 estimates of the effects of biomass burning on the carbon cycle may be underestimated (Le Queré et
182 al., 2009).’ With ‘current estimates of the effects of biomass burning on the carbon cycle (Le Queré
183 et al., 2009) may be underestimated.’

184

185 *p. 19958 l. 8 derived from ; where=>were*

186 At page 19958, line 8 we have replaced ‘where’ with ‘were’.

187

188 *p. 19958 l. 19 describe shortly what this scheme is including (or refer to p. 19961).*

189 At page 19958, line 9 we have added: ‘flux-gradient’.

190 At page 19958, line 11 we have added: (see model description).

191

192 *p. 19959 l. 20 Is the possible bias of these emission discussed later?*

193 This is discussed at page 19967 starting from line 1.

194

195 *p. 19960 Where is ExtTC scheme evaluated, was it part of an chemistry intercomparison for*
196 *example ? Does it include state-of-the-art radical cycling and what does that mean for ozone?*

197 The model used in this study does not include state-of-science OH recycling from BVOC
198 chemistry. We use MIM (Mainz isoprene mechanism) for this study. OH recycling would increase
199 oxidation capacity of the atmosphere to some extent and thus maybe increase ozone production.
200 But that depends on other factors and would require further investigation.

201
202 *p. 19960 l. 10 What are the uncertainties of the VOC emissions (see discussion)?*

203 Please see page 19968 line 2.

204
205 *p. 19961 l 19 The use of the ‘high’ sensitivity mode seems quite critical and should at least be*
206 *mentioned in the abstract and conclusions.*

207 This is mentioned in the conclusion, please see page 19969, line 27.

208 At page 19956, line 11 we have added: ‘We used the ozone damage scheme in the “high” sensitivity
209 mode to give an upper limit for this effect.’

210
211 *p. 19961 l. 25 see earlier remark on 40 ppb as a threshold; indeed we know little about how ozone-*
212 *vegetation interactions work in the tropics.*

213 See response to earlier comment.

214
215 *p. 19962 l. 27 Can you evaluate which fraction of the emissions are deforestation?*

216 At page 19963 line 2 we have added: ‘The dominant fire types in South America are from
217 deforestation and degradation fires in an arc around Amazonia, with some regional hotspots of
218 agricultural burning (see Figure 13 in Van der Werf et al., 2010). Between 2001 and 2009 the
219 percentage contribution to annual fire emissions from fire types (deforestation and degradation,
220 grassland and savanna, woodland, forest, agriculture) are (59%, 22%, 10%, 8%, 2%) over Southern
221 Hemisphere South America (Figure 13 van der Werf et al., 2010), with minor differences between
222 this dataset (GFedv3) and the earlier Gfedv2 in this region (see Fig. 16 in Van der Werf et al.,
223 2010).’

224
225 *p. 19962 To what extent was the landcover data adjusted to represent the recent conditions?*

226 At page 19962 line 27 we have added: ‘The vegetation distribution was not adjusted for loss of
227 vegetation due to fire’.

228

229 *p. 19962 I understand that the model evaluates 9 years of ecosystem response. Can something be
230 said about response on longer-time scales?*

231 In this study we focus on the short-term ecosystem-effects. Further study and longer simulations
232 would be necessary to investigate to the longer-term effects, however prolonged reduced
233 productivity will influence the carbon cycle for some years to come, and affect vegetation
234 composition.

235

236 *p. 19963 The availability of ca. 1-2 years of ozone data is limiting the comparison. What is known
237 about interannual variability? E.g. from Shadoz sonde
238 network*<http://croc.gsfc.nasa.gov/shadoz/Paramaribo.html>

239 To our knowledge there are no longer surface ozone measurement records that are representative of
240 the Amazon forest, apart those included in this study.

241

242 *p. 19963 Figure 1/Figure 2 The figures are quite hard to read (font size of legenda, spaghetti of lines
243); if I understand it well the full dots are the monthly average measurements, and the individual lines
244 are the single measurement days? It is not clear why the authors want to present these single days-
245 since as expected the model standard deviation is much lower (monthly av. Emissions, coarse
246 resolution). Perhaps the plots can be simplified and include an monthly average (or monthly daytime
247 comparison of data in one additional panel, which would highlight the magnitude of seasonal bias.*

248 We have improved figure 1 and 2.

249

250 *p. 19964 l. 23 higher than other months? Higher than measurements?*

251 We have replaced the sentence at page 19964, line 23 with: ‘Surface O₃ mixing ratios simulated with
252 HadGEM2 are higher during the months of August, September and October over the Amazon
253 forest, and in particular over our region of analysis, because of the higher biomass burning emissions
254 in the model during these months.’

255

256 *p. 19965 l.5 l. 22 I am missing an evaluation of NPP. It would be good to know if 'current' NPP is of*
257 *the right order of magnitude. Is there any field evidence that vegetation was damaged by ozone in*
258 *plumes?*

259 We have added an evaluation of NPP in the supplementary material. To our knowledge there are no
260 published data of field experiments of ozone damage on plants for the Amazon forest.

261 We added the following text in the supplementary material:

262 'Simulated HadGEM2 NPP are compared against a meta-analysis of field data from the Ecosystem
263 Model Data Model Intercomparison project (EMDI). Measurements from the 81 'class A' ('well
264 documented and intensively studied') sites, representative of all major global biomes, are compared
265 against our simulations. Traditionally, global vegetation models underestimate NPP in tropical
266 ecosystems, and tend towards an asymptote of $\sim 1000 \text{ g C m}^{-2}$ (Prentice et al., 2007). HadGEM2 is
267 able to reproduce the main geographical variations of NPP globally (Figure 3), especially in the
268 Northern Hemisphere, where more observations are available. In addition HadGEM2 is able to
269 better simulate higher tropical NPP, although it appears to overestimate NPP over the Amazon
270 region.'

271

272 *p. 19966 l. 6 which variability is discussed? Interannual or daily variability?*

273 At page 19966, line 6 we have added : 'inter-annual'.

274

275 *p. 19666 l. 10 Why is this low productivity threshold chosen. Do the authors mean, i.e. the analysis*
276 *focuses on high productivity regions?*

277 At page 19666, line 10 we have replaced : '(i.e. forest, high productivity regions).' With '(i.e. we
278 focus on high productivity regions, e.g. forests)'

279

280 *p. 19666 l. 17 It would be good to include in Figure 1 and Figure 2 a panel that shows this increase*
281 *more clearly; it is hard to read the numbers.*

282 We have improved figure 1 and 2.

283

284 *p. 19666 l. 23 : :not impossible: : => redundant sentence.*

285 At page 19666, line 23 we have removed 'however, it is not altogether impossible.'

286

287 *p. 19967 resolutions=>resolution.*

288 At page 19967 we have replaced ‘resolutions’ with ‘resolution’.

289

290 *p. 19967 There has been no evaluation of the isoprene/terpenes/OVoc in this paper. What are*
291 *tentatively the uncertainties (specifically for this region) and how could it contribute to the O3*
292 *overestimate.*

293 For the evaluation of modelled isoprene emission we refer to Pacifico et al., (2011), please see page
294 19960 line 10.

295 For the evaluation of modelled terpenes, methanol, and acetone emission we refer to Guenther et al.,
296 (1995), please see page 19960 line 11.

297

298 *p. 19969 A recurring science is issue the re-cycling of HOx. How was this included in the chemistry*
299 *scheme and how could it influence ozone?*

300 Our chemistry scheme does not include state-of-science OH recycling from BVOC chemistry. We
301 use MIM (Mainz isoprene mechanism) for this study. OH recycling would increase oxidation
302 capacity of the atmosphere to some extent and thus may increase ozone production. But that
303 depends on many other factors and would require a separate study.

304

305 *p. 19969 l. 10 this is an interesting perspective, and could already be alluded to in the introduction*
306 *motivating this study.*

307 At page 19957, line 13 we have added: ‘Moreover, tropical vegetation evolved in low background
308 O₃ concentrations and could be more sensitive to O₃.’

309

310 *p. 19969 l. 16 mention that this is for the ‘high’ sensitivity case, and for the Amazonian (whole or*
311 *only the ‘box’?).*

312 At page 19969, line 17 we have added: ‘over our area of analysis’.

313 At page 19969, line 18 we have added: ‘using the “high” sensitivity mode in the O₃ damage scheme’.

314

315 *p. 19969 l. 27 It is not clear why the authors can use the +100 % case to estimate an ‘maximum’*
316 *effect, while earlier it was stated that even the ‘current’ emissions are probably overestimate since*
317 *deforestation fires have declined. In my opinion these numbers, which also figure in the abstract are*

318 *somewhat handwaving, the authors have the means to do better; e.g. explore the low sensitivity case,*
319 *a range between -50 and 50 % of emissions.*
320 We think that the high-sensitivity -100% (i.e. no biomass burning) compared with the present-day
321 biomass burning case establishes an upper limit for the expected effect. Referring to smaller
322 perturbation scenarios (e.g. +/-50%) for the low-sensitivity ozone damage scheme would give a
323 lower-perturbation response and is thus already included in our range. Sensitivity is only one factor,
324 chemistry, and above all process understanding is at least as important. We are establishing a first
325 assessment not a final definitive answer. It is more a qualitative than quantitative assessment and
326 just points out that more research is needed. We also explored both increases and decreases in
327 biomass burning to investigate possible non-linearities in response.
328

328 *Replies to M. O. Andreae (Editor) (acpd-14-C8192-2014)*

329

330 *I would like to raise some questions/comments regarding the ozone measurements that are being*
331 *compared with the model output. The measurement height at the TT34 site is being reported as 54 m.*
332 *According to Artaxo et al. (2013), the inlet height for ozone is 39 m. Based on our experience at the*
333 *ATTO site, which is very similar to TT34, this can make a difference of almost a factor of two.*
334 *Please verify which inlet height is the correct one.*

335 We double-checked the information. Trace gas measurements at TT34 were made at 39 m a.g.l.,
336 while aerosol measurements at the same site were made at 54 m a.g.l. We have corrected the
337 manuscript at page 19963 line 27.

338

339 *An advantage of the TT34 site is that the tower is located in essentially undisturbed forest, so that*
340 *strong horizontal gradients are not to be expected. This makes it a relatively good candidate for*
341 *comparison with a low-resolution model. But pronounced vertical gradients exist in the height range*
342 *from 0 to 100 above ground (see Rummel et al. 2007 and unpublished data from the ATTO site),*
343 *which may seriously affect model/observation comparisons. The Porto Velho site is even more*
344 *complex. To my knowledge, the measurements were made from a shelter located in a cleared area*
345 *with adjacent forest. The air intake was not very high above the ground (5 m). This needs to be*
346 *specified in some detail in the paper, since it can possibly explain a part of the model/observation*
347 *discrepancies. Small-scale circulations between forest and clearing can bring significant amounts of*
348 *sub-canopy forest air into the clearing, which can reduce O₃ levels to near-zero, especially at low*
349 *levels. This introduces considerable uncertainty into what type of air and what effective height is*
350 *actually sampled at such a site.*

351 We agree that the height at which ozone is measured have a crucial impact over the comparison
352 between measurements and model. More information about the height of detection for each site was
353 added to the ‘Model site-level Evaluation’ section (page 19963). The following sentence was added
354 to the ‘Discussion and Conclusions’ section (page 19967 line 17):

355 “The measurement level may explain part of the model overestimation, since it is well known that
356 O₃ mixing ratios strongly decrease with height due to deposition within the canopy. The lowest
357 layer of the model is 48 m (which corresponds to canopy top over vegetated grid-cells), while
358 measurements were taken at 5 m and 39 m a.g.l. respectively at Porto Velho and ZF2. Rummel et al.

359 (2007) reports a 5-15 ppb O₃ decrease from 52 to 11 m a.g.l. in a forest site in Amazonia.”

360

361

362 *These considerations point to a more general issue, which is the difficulty of comparing model*
363 *results with observations for a species with strong near-surface gradients. The paper points out that*
364 *the lowest model layer depth is 48 m. It needs to be stated whether this is 48 m from the ground*
365 *surface or from the canopy top.*

366 Please see the comment above.

367

368 *In the Amazon forest, the mean concentration in the 0-50 m layer would be typically about 1/3 or 1/4*
369 *of that in the 30-80 m layer (heights relative to the ground); for example in the dry season at ATTO,*
370 *3 ppb vs 10 ppb. A corollary of this is that for model/observation comparisons of ozone over*
371 *vegetated surfaces, measurements at a single level may not be very useful. Instead, one needs to*
372 *measure a profile and then extrapolate to a height (maybe 100 m over forest, 50 m over grass)*
373 *where concentration gradients become small.*

374 *The results in this paper highlight the general difficulty models have in accurately predicting ozone*
375 *over vegetated surfaces, especially in clean regions. I suspect this is dominated by underestimation*
376 *of surface deposition to vegetation, but incorrect treatment of vertical mixing and problems with*
377 *clean-air oxidant chemistry may also play a role. In your paper you correctly point out that for*
378 *plants there is a compensation effect, when concentrations are overestimated while deposition*
379 *velocities are underestimated. (Actually, I think there is an error in the text: “Underestimating the*
380 *O₃ dry deposition flux not only leads to a positive bias in the O₃ concentration, and consequently an*
381 *underestimation of the damage caused by O₃, : :” – shouldn’t it be “overestimation” here?).*

382 To clarify this concept we have added, at page 19968 line 16:

383 ‘However, the total O₃ flux (or dose) is a function of both O₃ surface concentrations and dry
384 deposition, i.e. for plants there is a compensation effect when concentrations are overestimated
385 while deposition velocities are underestimated. Underestimating the O₃ dry deposition flux implies
386 reduced O₃ plant uptake, and consequently an underestimation of the plant damage and
387 productivity losses. However, it also leads to higher O₃ concentrations, which subsequently act to
388 increase plant O₃ uptake and damage, compensating for the initial effects on productivity.’

389

390 *BUT, for human exposure, it is actually the concentration at 2 m that is relevant. Given the*
391 *importance of a correct representation of O₃ deposition, the paper should contain a comparison*
392 *between the deposition velocities used in the model and those obtained in field studies, particularly*
393 *Rummel et al. (2007).*

394 We have included a comparison against Rummel et al. (2007) ozone deposition fluxes in the
395 supplementary material.

396

396
397 Biomass burning related ozone damage on vegetation over the Amazon forest: A model
398 sensitivity study.

399
400 F. Pacifico¹, G. A. Folberth², S. Sitch³, J. M. Haywood^{1,2}, L. V. Rizzo⁵, F. F. Malavelle, and P.
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402
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409

410

411

412

413 **Abstract**

414

415 The HadGEM2 Earth System climate model was used to assess the impact of biomass burning on
416 surface ozone concentrations over the Amazon forest and its impact on vegetation, under present-
417 day climate conditions. Here we consider biomass burning emissions from wildfires, deforestation
418 fires, agricultural forest burning, residential and commercial combustion. Simulated surface ozone
419 concentration is evaluated against observations taken at two sites in the Brazilian Amazon forest for
420 years 2010 to 2012. The model is able to reproduce the observed diurnal cycle of surface ozone
421 mixing ratio at the two sites, but overestimates the magnitude of the monthly averaged hourly
422 measurements by 5-15 ppb for each available month at one of the sites. We vary biomass burning
423 emissions over South America by +/-20, 40, 60, 80 and 100% to quantify the modelled impact of
424 biomass burning on surface ozone concentrations and ozone damage on vegetation productivity
425 over the Amazon forest. We used the ozone damage scheme in the “high” sensitivity mode to give
426 an upper limit for this effect. Decreasing South American biomass burning emissions by 100% (i.e.
427 to zero) reduces surface ozone concentrations (by about 15ppb during the biomass burning season)
428 and suggests a 15% increase in monthly mean net primary productivity averaged over the Amazon
429 forest, with local increases up to 60%: this gives us an estimate of the effect of current biomass

430 | burning on plant productivity. The simulated impact of ozone damage from present-day biomass
431 | burning on vegetation productivity is about 230 TgC/yr. Taking into account that uncertainty in
432 | these estimates is substantial, this ozone damage impact over the Amazon forest is of the same
433 | order of magnitude as the release of carbon dioxide due to fire in South America; in effect to
434 | potentially double the impact of biomass burning on the carbon cycle.
435

435 **Introduction**

436
437 Biomass burning is a global source of aerosol and trace gases, including ozone (O₃) precursors, and
438 can lead to local and regional O₃ pollution. Tropospheric O₃ is a greenhouse gas and, above
439 background concentrations, an air pollutant: it is harmful to human health (e.g. Lippmann 1993;
440 Burnett et al., 1997) and it damages plants (e.g. Rich et al., 1964; Fiscus et al., 2005; Felzer et al.,
441 2007; Ainsworth et al., 2012). Tropospheric O₃ is a product of photochemical reactions whose main
442 precursors are nitrogen oxides (NO_x), carbon monoxide (CO), methane (CH₄) and volatile organic
443 compounds (VOCs) (Seinfeld and Pandis, 1998). VOCs are particularly important in Amazonia
444 because of the large natural biogenic and biomass burning emissions (Karl et al., 2007).

445
446 In the Amazon forest, biomass burning is mostly anthropogenic, and mainly occurs during the dry
447 season (August to October). Biomass burning emissions drastically change the composition of the
448 atmosphere, e.g. diurnal maximum mixing ratios of tropospheric O₃ varies from 12 parts per billion
449 (ppb), during the wet season, to values as high as 100 ppb in the biomass burning affected dry
450 season (Kirkman et al., 2002, Sigler et al., 2002, Artaxo et al., 2002, 2005, Rummel et al., 2007).

451
452 Surface O₃ mixing ratios over 40 ppb are known to produce visible leaf injury and damage to plants,
453 reducing crop productivity and posing a threat to food security; nonetheless different climatic
454 conditions (e.g. soil moisture and water stress) also play a role in determining leaf stomatal closure
455 and hence there will be variable impacts of the same O₃ concentrations (Ashmore, 2005). e.g.
456 tropical rainforest vegetation may be particularly sensitive to surface O₃, even at concentrations
457 below 40ppb (a threshold associated with extra-tropical vegetation), due to high stomatal
458 conductances. Moreover, tropical vegetation evolved in low background O₃ concentrations and
459 could be more sensitive to O₃. In leaves, cellular damage caused by O₃ not only reduces
460 photosynthetic rates but also requires increased resource allocation to detoxify and repair leaves
461 (Ainsworth et al., 2012). Ozone damage to vegetation reduces plant productivity, decreasing the
462 amount of carbon absorbed by plants, hence has an impact on climate via and indirect radiative
463 forcing (Sitch et al., 2007).

464
465 Tropical rain forests play an important role in the global carbon budget, as they cover 12% of the
466 Earth's land surface and contain around 40% of the terrestrial biosphere's carbon (Ometto et al.,
467 2005, Taylor & Lloyd, 1992). It has been estimated that they may account for as much as 50% of
468 the global net primary productivity (Grace et al., 2001). Depending on age, land use and large scale

469 meteorological conditions, tropical forest ecosystems can act as net carbon sources, sinks, or they
470 can be in approximate balance (Lloyd. et al., 2007, Gatti et al., 2013), but it is uncertain if global
471 environmental changes are forcing these ecosystems outside their range of natural variation (Sierra
472 et al., 2007). However, biomass burning may further reduce natural sinks in the neighbouring intact
473 forest, via air pollution and O₃ damage on vegetation, and thus current estimates of the effects of
474 biomass burning on the carbon cycle ([Le Quéré et al., 2009](#)) may be underestimated. Biomass
475 burning is also an important aerosol source: regional levels of particulate matter are very high in the
476 dry season in Amazonia (Artaxo et al., 2013), and the increase in diffuse radiation due to changes in
477 aerosol loadings can increase net ecosystem exchange (NEE) quite significantly (Oliveira et al.,
478 2007, Cirino et al., 2013). After a certain level of aerosol optical depth, the decrease in radiation
479 fluxes can reduce significantly NEE over Amazonia (Cirino et al., 2013). [This study does not
480 consider the effects of the changes in diffuse radiation due to biomass burning on photosynthesis, or
481 the impact of aerosols on O₃ chemistry via changing photolysis rate. That will be the focus of a
482 separate study. Our specific aim is to estimate the effect of O₃-induced changes on vegetation
483 productivity due to biomass burning.](#)

484
485 Importantly, Sitch et al. (2007) performed their assessment of the potential impact of O₃ on
486 vegetation using an offline simulation where monthly mean O₃ concentrations derived with a global
487 chemistry climate model were used in determining the impacts of O₃ damage. Here we use an
488 online [flux-gradient](#) approach to quantify the impact of biomass burning on surface O₃
489 concentration and O₃ damage on vegetation over the Amazon forest ([see model description](#)). The
490 HadGEM2 (Hadley Centre Global Environment Model 2; Collins et al., 2011; Martin et al., 2011)
491 Earth System climate model is used to study these interactions. We show results of the evaluation of
492 surface O₃ simulated with HadGEM2 against observations in the Amazon forest and model
493 experiments quantifying the impact of biomass burning on plant productivity.

494

495

496 **Methods**

497

498 We used HadGEM2 to simulate surface O₃ concentrations and O₃ damage on vegetation for present-
499 day (2001-2009) climate conditions. Our version of HadGEM2 includes the O₃ damage scheme
500 developed by Sitch et al. (2007). We evaluated simulated surface O₃ against observations taken at
501 two sites in the Amazon forest: Porto Velho (Brazil; 8.69°S; 63.87°W), a site heavily impacted by
502 biomass burning emissions, and site ZF2 in the Cuieiras forest reserve in Central Amazonia (Brazil;

503 2.59°S; 60.21°W). A description of the sites can be found in Artaxo et al. (2013). In a sensitivity
504 study we varied biomass burning emissions over South America by +/-20, 40, 60, 80, 100% to
505 quantify the potential impact of biomass burning on surface O₃ concentrations and O₃ damage over
506 the Amazon forest.

507

508

509 **Model description**

510

511 HadGEM2 is a fully coupled Earth-system model (Collins et al., 2011). It is built around the
512 HadGEM2 atmosphere-ocean general circulation model and includes a number of earth system
513 components: the ocean biosphere model diat-HadOCC (Diatom-Hadley Centre Ocean Carbon
514 Cycle, a development of the HadOCC model of Palmer and Totterdell, 2001), the Top-down
515 Representation of Interactive Foliage and Flora Including Dynamics (TRIFFID) dynamic global
516 vegetation model (Cox, 2001), the land-surface and carbon cycle model MOSES2 (Met Office
517 Surface Exchange Scheme; Cox et al. 1998, 1999; Essery et al. 2003), the interactive Biogenic
518 Volatile Organic Compounds (iBVOC) emission model (Pacífico et al., 2012), the United Kingdom
519 Chemistry and Aerosol (UKCA) model (O'Connor et al., 2014) and an interactive scheme of O₃
520 damage on vegetation (Sitch et al., 2007; Clark et al., 2011).

521

522 The configuration used here is a version of HadGEM2-UKCA with extended tropospheric
523 chemistry (N96L38), the resolution is 1.25° latitude x 1.875° longitude (~200 x 140 km) with 38
524 vertical levels extending up to 39 km altitude. The land-based anthropogenic, biomass burning, and
525 shipping emissions are taken from Lamarque et al. (2010), and represent a decadal (1997-2006)
526 mean centered on the year 2000. The use of an emission pattern from 1997-2006 can lead to an
527 overestimation of O₃ concentrations by the model, since the emissions vary on a year to year basis
528 and are expected to be lower in recent years due to the reduction in Amazonian deforestation [via](#)
529 [burning, consequently reducing the amount of O₃ precursors](#). HadGEM2 runs at a 30 minute time
530 step with the exception of global radiation, which is updated every 3 hours and provides radiative
531 fluxes between those time steps via interpolation. This configuration is described and evaluated in
532 O'Connor et al. (2014) with the exception of the Extended Tropospheric Chemistry (ExtTC) that
533 has been applied in this work. The ExtTC mechanism has been designed to represent the key
534 species and reactions in the troposphere in as much detail as is necessary to simulate atmospheric
535 composition-climate couplings and feedbacks while retaining the capability to conduct decade-long
536 climate simulations. UKCA-ExtTC simulates the spatial distribution and evolution in time of 89

537 chemical species, 63 of which are model tracers. The model includes emissions from anthropogenic,
538 biogenic, soil, and wildfire sources for 17 species: nitrogen oxides ($\text{NO}_x = \text{NO} + \text{NO}_2$), CH_4 , carbon
539 monoxide (CO), hydrogen (H_2), methanol, formaldehyde, acetaldehyde and higher aldehydes,
540 acetone, methyl ethyl ketone, ethane (C_2H_6), propane (C_3H_8), butanes and higher alkanes, ethene
541 (C_2H_4), propene (C_3H_6), isoprene, (mono)terpenes, and a lumped species representing aromatics
542 (toluene + xylene) from anthropogenic sources.

543
544 Emissions of biogenic species (isoprene, terpenes, methanol, acetone) are computed by iBVOC and
545 provided to UKCA at every time step. The isoprene emission scheme is that of Pacifico et al.
546 (2011). Terpenes, methanol, and acetone emissions are simulated with the model described in
547 Guenther et al. (1995). Anthropogenic and wildfire emissions are prescribed from monthly mean
548 emission data sets prepared for CMIP5 using the historic scenario (Lamarque et al., 2010). Given
549 the difficulty in prescribing a diurnal cycle for fire emissions, these monthly mean emissions are
550 kept constant during the day. Wetland methane emissions are prescribed from data from Gedney et
551 al. (2004). Soil-biogenic NO_x emissions are prescribed using the monthly distributions provided by
552 the Global Emissions Inventory Activity (<http://www.geiacenter.org/inventories/present.html>),
553 which are based on the global empirical model of soil-biogenic NO_x emissions of Yienger and Levy
554 (1995). NO_x emissions from global lightning activity are parameterized based on the convective
555 cloud top height following Price and Rind (1992, 1994) and are thus sensitive to the model climate.
556 UKCA also includes a dry deposition scheme based on the resistance in-series approach as outlined
557 in Wesely (1989). Physical removal of soluble species is parameterized as a first-order loss process
558 based on convective and stratiform rainfall rates (Collins et al., 2011).

559
560 The TRIFFID vegetation module of HadGEM2 simulates the dynamics of five plant functional
561 types (PFTs): broadleaf trees, needleleaf trees, shrubs, and C_3 and C_4 grass (i.e., grasses using the
562 C_3 and C_4 photosynthetic pathway, respectively). Changes in the extent of croplands over time are
563 not simulated but are prescribed from land use maps prepared for the Coupled Model
564 Intercomparison Project 5 (CMIP5, Taylor et al., 2012). Here we use the historic (1850–2000; Hurtt
565 et al., 2009) data sets, as described in Jones et al. (2011). A further four surface types (urban, inland
566 water, bare soil, and ice) are used in the land-surface scheme for the calculation of water and energy
567 exchanges between the land and the atmosphere. Each model grid box can include varying
568 proportions of several vegetation and/or surface types. The model does not include interactive
569 deforestation due to fire.

570

571 The parameterization of O₃ damage on vegetation is that of Sitch et al., (2007). This scheme uses a
572 flux-gradient approach to model O₃ damage, rather than empirical approaches based on the
573 accumulated O₃ exposure above 40 ppb (e.g. Felzer, et al. 2005). The Sitch et al. (2007)
574 parameterization assumes a suppression of net leaf photosynthesis by O₃ that varies proportionally
575 to the O₃ flux through stomata above a specified critical O₃ deposition flux. The critical deposition
576 flux depends on O₃ concentration near the leaves, but also on stomatal conductance. This scheme
577 also includes a relationship between stomatal conductance and photosynthesis, determining a
578 reduction in stomatal conductance through O₃ deposition. As the O₃ flux itself depends on the
579 stomatal conductance, which in turn depends upon the net rate of photosynthesis, the model requires
580 a consistent solution for the net photosynthesis, stomatal conductance and the O₃ deposition flux.
581 This approach to modelling O₃ effects on photosynthesis accounts for the complex interaction
582 between CO₂ and O₃ effects, and can be used to study future climate impacts. This scheme includes
583 a ‘high’ and ‘low’ parameterization for each PFT to represent species more sensitive and less
584 sensitive to O₃ effects; in our analysis we use the ‘high’ sensitivity mode to establish the maximum
585 response. The model was calibrated with data from temperate and boreal vegetation. Calibration
586 data for other ecosystems, including tropical vegetation, are currently unavailable.

587

588 **Description of the model experiments**

589

590 All simulations use HadGEM2 in its atmosphere-only configuration, i.e., with all implemented
591 couplings between atmosphere and land surface (including carbon cycle) active but without the
592 atmosphere-ocean coupling. HadGEM2 was initialized with equilibrium concentrations of the major
593 chemical components (O₃, CO, H₂, total reactive nitrogen (NO_y), BVOCs) taken from the CMIP5
594 simulation (see description of the simulations in Jones et al., 2011). Methane mixing ratios were
595 prescribed as specified by CMIP5, with values of 1750 ppb for present-day. The decade-mean CO₂
596 atmospheric mixing ratio was 368 ppm.

597 Monthly means of sea surface temperature and sea ice cover were prescribed using climatologies
598 derived from the appropriate decade of the Hadley Centre CMIP5 transient climate run Jones et al.,
599 (2011). The vegetation distribution for each of our simulations was prescribed using the simulated
600 vegetation averaged for the same decade from this transient climate run, on which we superimposed
601 crop area as given in the CMIP5 historic and future land use maps (Hurtt et al., 2009; Riahi et al.,
602 2007).

603

604 We performed a 9-year (2001-2009) control simulation for present-day climate conditions

605 initialized from a centennial transient climate simulation with ocean couplings (Jones et al., 2011).
606 We analysed the last 8 years of the simulation, as the first year of simulation was used as spin-up. A
607 single year is considered sufficient for spin-up because one year is around five times longer than the
608 lifetime of the longest lived atmospheric species (with the exclusion of methane) involved in O₃
609 chemistry. The control simulation was driven by anthropogenic and wildfire emissions of trace
610 gases and aerosols via historical scenarios ([Global Fire Emissions Database GFEDv2](#); Lamarque et
611 al., 2010; [Van der Werf et al., 2006](#)) of anthropogenic and wildfire emissions. We also perform 10
612 experiments that differ from the control simulation in terms of assumed biomass burning emissions,
613 i.e. biomass burning emissions over South America are either increased or decreased by +/-20, 40,
614 60, 80, 100%, while emissions over the rest of the world are kept unchanged. [The vegetation
615 distribution was not adjusted for loss of vegetation due to fire.](#) We define biomass burning
616 emissions as those from wildfires, deforestation fires, agricultural forest burning, residential and
617 commercial combustion, including fuel wood burning, charcoal production and biofuel combustion
618 for cooking and heating (Lamarque et al., 2010). [The dominant fire types in South America are
619 from deforestation and degradation fires in an arc around Amazonia, with some regional hotspots of
620 agricultural burning \(see Figure 13 in Van der Werf et al., 2010\). Between 2001 and 2009 the
621 percentage contribution to annual fire emissions from fire types \(deforestation and degradation,
622 grassland and savanna, woodland, forest, agriculture\) are \(59%, 22%, 10%, 8%, 2%\) over Southern
623 Hemisphere South America \(Figure 13 van der Werf et al., 2010\), with minor differences in this
624 region between this dataset \(Global Fire Emissions Database GFEFv3\) and the earlier GFEDv2 used
625 in this study \(see Fig. 16 in Van der Werf et al., 2010\).](#)

626
627 This set of experiments allows us to simulate the impact of biomass burning on surface O₃ and
628 vegetation productivity. The control simulation was also used to evaluate surface O₃ mixing ratios
629 against measurements over the Amazon forest.

630

631

632 **Model site-level Evaluation**

633

634 Over the data-sparse Amazonian region, comprehensive spatial data sets of surface O₃
635 concentration are extremely limited. We evaluated simulated surface O₃ against observations from
636 two sites that have full annual analyses of O₃ concentration: Porto Velho (Brazil; 8.69°S; 63.87°W)
637 and site ZF2 in the Cuieiras forest reserve (Brazil; 2.59°S; 60.21°W). O₃ mixing ratios were

638 measured with a UV absorption analyser (Thermo 49i, USA). Observations from both sites have an
639 estimated 4% uncertainty, considering zero noise, zero and span drifts reported in the instrument
640 manual, and the frequency of zero and span checks performed along the experiments.

641

642 The Porto Velho sampling site is located in a forest reserve about 5 km NE (generally upwind) from
643 the city of Porto Velho. Large land use change and regional biomass burning makes its atmospheric
644 conditions characteristic of those of the Amazon forest with significant human interference (Brito et
645 al., 2014). The whole region of Porto Velho has been subject to land use change since the 1980s. In
646 Porto Velho, the dry season is from June to October and the wet season from November to May.
647 Measurements of surface O₃ mixing ratios were taken from November 2011 to October 2012 [in a](#)
648 [forest clearance, at 5 m a.g.l.](#)

649

650 The Cuieiras forest reserve in Central Amazonia encloses 380 km² of pristine tropical rainforest
651 forest. The reserve is located in the central Amazon Basin, 60 km NNW of downtown Manaus and
652 40 km from the metropolis margins. This site is relatively undisturbed, as no biomass burning
653 occurs in the forest reserve. Here rain showers are frequent with a short dry season from July to
654 October. Measurements were taken at [39 m a.g.l. at the TT34](#) tower. The forest canopy height near
655 the tower varied between 30 and 35 m, and the site is described in Martin et al. (2010), Rizzo et al.
656 (2013) [and Artaxo et al. \(2013\)](#). Most of the time, the prevailing trade winds blow over 2000 km of
657 the intact tropical forest before reaching the measurement tower. However, the site was also
658 affected by regional transport of pollutants, either from biomass burning or urban (Rizzo et al.,
659 2013). Measurements of surface O₃ mixing ratios were taken from April 2010 to June 2012, with
660 the exclusion of a few months due to instrument maintenance.

661

662 We compared simulated (averaged over 8 years of simulations) against observed average diurnal
663 cycle at each site for each available month. The model overestimates observed monthly averaged
664 hourly O₃ mixing ratios at the surface by about 5-15 ppb for all months at the Porto Velho site, but
665 it reproduces the diurnal and seasonal cycle, including those months affected by biomass burning,
666 i.e. August and September, at the Porto Velho site (Figure 1). The model is able to reproduce the
667 diurnal cycle, including magnitude, at the ZF2 site for about 8 months out of 24. The model
668 overestimates surface monthly averaged hourly O₃ mixing ratios by about 5-10 ppb for the rest of
669 months, which are also the months with lower surface O₃ mixing ratios (Figure 2).

670

671

672 **Results**

673

674 Our analysis is focused on the region enclosed in the red rectangle in figure 3, this is a highly
675 vegetated region with homogeneous topography, and it includes the two sites used for the model
676 evaluation (Porto Velho and ZF2 in the Cuieiras forest reserve). This region of analysis is covered
677 by two PFTs in HadGEM2: broadleaf trees, which is the predominant, and C₃ grass (Figure 3).

678

679 Surface O₃ mixing ratios simulated with HadGEM2 are higher during the months of August,
680 September and October over the Amazon forest, and in particular over our region of analysis,
681 because of the higher biomass burning emissions in the model during these months. Monthly
682 average surface O₃ mixing ratios in our control simulation peaks at 55-60 ppb in this region (Figure
683 4), while the average over the region of analysis is peaked at about 30 ppb in August and
684 September, less in October (Figure 5a, black line).

685

686 Monthly total Net Primary Productivity (NPP) in our control simulation reaches its minimum
687 during the months of August and September (Figure 5b, black line), at about 300 TgC/month,
688 corresponding to the end of the dry season.

689

690 Decreasing biomass burning emissions over South America by -20%, -40%, -60%, -80%, -100%
691 decreases surface O₃ mixing ratios and increases net productivity. Vice versa, increasing biomass
692 burning emissions over South America by +20%, +40%, +60%, +80%, +100% increases surface O₃
693 mixing ratios over the region of analysis and subsequently reduces net productivity because of O₃
694 damage on vegetation (Figure 5c).

695 These sensitivity tests suggest that decreasing biomass burning emission by 100% over South
696 America brings monthly mean surface O₃ mixing ratios averaged over the region of analysis to
697 about the observed 15 ppb for each month (Figure 5a, dark blue line), even during the dry season,
698 with no values over 35 ppb for any grid-cell (Figure 6). Increasing biomass burning emissions by
699 100% suggests that monthly mean mixing ratios of surface O₃ averaged over the region of analysis
700 reach 40 ppb in August (Figure 5a), with peaks of about 65-70 ppb in some grid-cells (Figure 6a).
701 For both increases and decreases of between 20 and 80% in South American biomass burning the
702 model simulates almost linear changes in surface O₃ mixing ratios (Figure 6, the figure shows
703 increases and reductions by 40, 60 and 100%).

704

705 Suppressing biomass burning emissions (i.e. decreasing biomass burning emission by 100%) over
706 South America increases total NPP over the region of analysis by about 15%, to about 350-370
707 TgC/month, with peak increases of 60% for a few grid-cells, in August and September (Figure 6b):
708 this quantifies the impact of present-day biomass burning on vegetation productivity. When
709 increasing biomass burning emissions over South America by 100%, monthly total NPP over the
710 region of analysis is reduced by about 10%, i.e. to about 250 TgC/month, in August and September
711 (Figure 5b), with peak values of 50-60% reductions for few grid-cells (Figure 6c). For reductions by
712 20 to 80% in South American biomass burning the model varies NPP almost linearly (Figure 5c).
713 However, the increase in South American biomass burning by 20 to 80% determine a very similar
714 decrease in NPP, e.g. between 7 and 10% decrease in August (Figure 5c). Both increasing and
715 reducing South American biomass burning from 20 to 80% increases the number of grid-cells
716 where a significant variation of NPP takes place (Figure 6b). The percentages given above are
717 significant against inter-annual variability in the control simulation, i.e. we only take into account of
718 the variations above one standard deviation in the control simulation. We also exclude from our
719 analysis the grid-cells with low productivity, i.e. where NPP in the control simulation is below 50
720 gC/m²/month (i.e. we focus on high productivity regions, e.g. forests).

721

722

723 **Discussion and Conclusions**

724

725 The HadGEM2 model overestimates the magnitude of the O₃ diurnal cycle at the two sites used in
726 the evaluation. Overestimation of simulated O₃ in the Amazonian boundary layer has been observed
727 in other modelling studies, especially in clean air conditions (Bela et al., 2014). Nonetheless, our
728 model reproduces the main features of the diurnal and seasonal cycle. In particular, the model is
729 able to reproduce the increase in surface O₃ during the biomass burning season.

730

731 As stated earlier in the model description section, biomass burning emissions are prescribed as
732 monthly mean and kept constant during the day, and this can have an impact on the hourly and day-
733 to-day variation of surface O₃. For example, O₃ production will respond differently if biomass
734 burning emissions occur during the day or at night, affecting simulated surface O₃ mixing ratios.
735 These issues can be improved by modelling fire and biomass burning emissions interactively. The
736 inclusion of an interactive fire model in HadGEM is currently under development.

737

738 The model overestimates surface O₃ mixing ratios by 5-15 ppb for several months at the ZF2 site in
739 the Cuieiras forest reserve and for all available months at the Porto Velho site. The reasons for these
740 systematic biases in surface O₃ mixing ratio are likely manifold. In a complex, highly coupled
741 system such as the HadGEM2 Earth System Model (ESM) it is not always easy to disentangle all
742 processes and attribute model biases to specific components.

743 We attribute the systematic biases in the surface O₃ mixing ratio to the following, most likely
744 reasons:

- 745 1. Model resolution in both the horizontal and the vertical dimension
- 746 2. Uncertainties in emissions, both magnitude, seasonality and location
- 747 3. Uncertainties in the O₃ dry deposition at the surface

748 Other factors such as photolysis rates, lightning NO_x production over the area and transport of O₃
749 and precursors will certainly contribute. We will briefly discuss the three most important (in our
750 opinion) factors that contribute to the systematic biases.

751
752 The relatively coarse resolution of a global ESM simulates mixing ratios of trace species (both trace
753 gases and aerosols) that represent averages over large areas. This issue has been discussed
754 previously in the literature, mostly in relation to air quality modelling (see, e.g., Valari and Menut,
755 2008; Tie et al., 2010; Appel et al. 2011; Thompson and Selin, 2012). In our case one grid box
756 equals approximately 30,000 km² (i.e., 200x150 km² in longitude and latitude). The implicit
757 averaging pertains both to emission and concentration fields; the predominant consequence is a
758 dilution in each grid-cell. Depending on the chemical regime, this can lead to reduced or enhanced
759 net O₃ production. Additionally, HadGEM2-ES has a relatively coarse vertical resolution.
760 HadGEM2-ES has a lowest model layer depth of 48m (global average) and the vertical profile of O₃
761 will undoubtedly show a gradient as the loss mechanism for O₃ is dominated by the surface (e.g.
762 Colbeck and Harrison, 1967). The measurement level may explain part of the model overestimation,
763 since it is well known that O₃ mixing ratios strongly decrease with height due to deposition within
764 the canopy. The lowest layer of the model is 48 m (which corresponds to canopy top over vegetated
765 grid-cells), while measurements were taken at 5 m and 39 m a.g.l. respectively at Porto Velho and
766 ZF2. Rummel et al. (2007) reports a 5-15 ppb O₃ decrease from 52 to 11 m a.g.l. in a forest site in
767 Amazonia.

768
769 The remote environment of the Amazon forest is dominated by relatively high concentrations of
770 VOC, particularly of biogenic origin, and low concentrations of nitrogen oxides, NO_x. It is a NO_x-
771 limited environment. In such an environment O₃ is destroyed by reactions with BVOC (mainly

772 isoprene and (mono-)terpenes). This destruction is more pronounced the higher the BVOC
773 concentration becomes. Consequently, conditions in the global model are likely to differ from that
774 of a measurement at a specific point such as those we compare to in Figures 1 and 2. It is a known
775 problem in model evaluation.

776
777 Another issue related to model resolution, when comparing global models to point-like
778 observations, is the uncertainty in global emission inventories, both with respect to magnitude and
779 location. In particular the latter will result in discrepancies between modelled concentrations of O₃
780 and its precursors and point-like observations. But the uncertainties in emission magnitude are also
781 substantial and can reach a factor of two or more in case of biogenic VOC (e.g., Guenther et al.,
782 2006; Arneth et al., 2008, 2011; Pacifico et al., 2011, 2012).

783
784 Thirdly, and again related to model resolution, is the representation of O₃ dry deposition at the
785 surface. Its magnitude and diurnal cycle will depend on boundary layer turbulence, surface
786 roughness, land surface type, vegetation type, soil moisture, photosynthetic activity, and more. In a
787 recent sensitivity study by Folberth et. al (in preparation) O₃ surface concentrations showed the
788 largest sensitivity to perturbations in O₃ surface dry deposition fluxes. Underestimating O₃ surface
789 dry deposition, in particular during the night preventing a complete flush of the PBL with respect to
790 O₃, will lead to systematic biases.

791
792 Interestingly, however, the latter process may also represent a redeeming feature of the model.
793 According to our model of O₃ plant damage it is the total O₃ flux into the plant that determines the
794 amount of damage caused to the photosynthetic activity and, hence, carbon assimilation. However,
795 the total O₃ flux (or dose) is a function of both O₃ surface concentrations and dry deposition. i.e. for
796 plants there is a compensation effect when concentrations are overestimated while deposition
797 velocities are underestimated. Underestimating the O₃ dry deposition flux implies reduced O₃ plant
798 uptake, and consequently an underestimation of the plant damage and productivity losses. However,
799 it also leads to higher O₃ concentrations, which subsequently act to increase plant O₃ uptake and
800 damage, compensating for the initial effects on productivity. Still, a detailed assessment and
801 quantification of this interdependence of O₃ concentration and dry deposition fluxes is beyond the
802 scope of this study and must be referred to future research.

803
804 August, September and October are the months when biomass burning and surface O₃
805 concentrations are higher over the Amazon forest, but also the months when plant productivity is at

806 its lowest which will tend to suppress the impact of O₃ damage on plant productivity. This is
807 because stomatal conductance is reduced due to water limitations (also accounted for in the model)
808 during the dry season, thus reducing the flux of both carbon dioxide and O₃ into the leaves, and
809 consequently reducing O₃ plant damage.

810
811 Ashmore (2005), noted how O₃ exposure is poorly correlated with flux into leaves and also the
812 potential for damagingly high O₃ fluxes in leaves at concentrations significantly below 40 ppb at
813 maximum stomatal conductance. Consequently, global vegetation models as used in this study have
814 adopted flux-based parameterizations to represent O₃ impacts on vegetation, moving away from
815 application of the earlier exposure based metrics, e.g. accumulated O₃ exposure above a threshold
816 of 40 ppb, AOT40.

817
818 The parameterization of O₃ damage used in this study is calibrated for high-latitude vegetation.
819 Unfortunately data for calibrating this O₃ damage scheme for tropical vegetation are currently not
820 available and observations of O₃ damage in the Amazon forest are very limited. Observations of O₃
821 damage on tropical forests are urgently needed, including observations at moderate (e.g. 20-30 ppb)
822 and high surface O₃ mixing ratios.

823
824 The simulated impact of present-day biomass burning on vegetation productivity over our area of
825 analysis is about 230 TgC/yr (i.e. the difference between the dark blue line and the black line in Fig.
826 5b) using the “high” sensitivity mode in the O₃ damage scheme. Taking into account that the
827 uncertainty in these estimates is substantial, this O₃ damage impact over the Amazon forest is of the
828 same order of magnitude as the release of CO₂ due to land fire in South America, as quantified in
829 van der Werf et al., (2010; 293 TgC/yr from table 7 of that paper); in effect to potentially double the
830 impact of biomass burning on the CO₂ fluxes. This highlights the urgent need for more tropical data
831 on plant O₃ damage to better constrain estimates.

832
833 Despite overestimating surface O₃ mixing ratios our model simulates only a moderate reduction in
834 NPP associated with elevated O₃ due to biomass burning emissions. Given that our model
835 systematically overestimates O₃ mixing ratio, assuming accurate dry deposition, and that we use our
836 model in the high sensitivity mode, our simulations where we increase biomass burning emissions
837 by 100% suggest a maximum 10% average reduction in monthly plant productivity, and peak
838 reductions of 50-60% reductions in few grid-cells. This is because, despite the increase in biomass
839 burning, monthly average surface O₃ mixing ratios do not exceed a moderate 40 ppb. Moreover, our

840 model does not include deforestation due to fire, which would reduce vegetation cover when
841 increasing biomass burning emissions in our sensitivity experiments, reducing NPP, and BVOC
842 emissions, further. However, local and daily/hourly impact of O₃ damage on plant productivity can
843 be higher.

844
845 Estimates of the magnitude of the reduction in plant productivity due to O₃ damage can be
846 improved with additional field studies and improving the representation of tropospheric O₃ in ESMs
847 (sources, chemistry and sinks). Nevertheless, considering these processes in a coupled system can
848 provide an improvement in robustness of conclusions, as e.g. it can treat processes with a specific
849 diurnal cycle, like photosynthesis and surface O₃, interactively on a short time scale (e.g. half an
850 hour in our model).

851

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852

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861

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099 Figures

100

101 1. Comparison of measured (dots) and simulated (stars) monthly averaged diurnal cycle of
102 surface O₃ mixing ratios at the Porto Velho site, including measured day-to-day variability (grey
103 lines) and standard deviation (dashed lines) for the model results. The measurements have an
104 uncertainty of 4%.

105

106 2. Comparison of measured (dots) and simulated (stars) monthly averaged diurnal cycle of
107 surface O₃ mixing ratios at the ZF2 site in the Cuieiras forest reserve, including measured day-to-
108 day variability (grey lines) and standard deviation (dashed lines) for the model results. The
109 measurements have an uncertainty of 4%. We show one of the two available years of observations.
110 Legend as in Figure 1.

111

112 3. Vegetation cover in HadGEM2 for the month of September. The red rectangle is our region
113 of analysis. The two sites used in the model evaluation (the sites of Porto Velho and ZF2 site in the
114 Cuieiras forest reserve) are also marked.

115

116 4. Monthly average surface O₃ mixing ratio simulated with HadGEM2 for the month of
117 September (average over 8 years of simulations).

118

119 5. Clockwise from the top-left: (a) Simulated monthly surface O₃ mixing ratios; (b) Simulated
120 monthly total NPP; (c) Simulated monthly variation in total NPP. The plots show the results for the
121 control simulation (i.e. using the decadal mean biomass burning emissions from Lamarque et al.
122 (2010) centered on year 2000; 2000 BB emissions) and the various experiments with increased (+)
123 or decreased (-) biomass burning emissions over South America by 20, 40, 60, 80 and 100%. All
124 data are averaged over the region of analysis for 8 years of simulations.

125

126 6. From the left: simulated variation in surface O₃ mixing ratios and NPP over the region of
127 analysis for the months of August, September and October.

128

129 7. Probability density function (histogram) of the variation in NPP for the same months. The
130 plots show the variation between the experiments with South American biomass burning
131 increased/decreased by 40, 60 and 100% and the control simulation.