

1 **Carbonaceous Aerosols Recorded in a Southeastern Tibetan Glacier:**  
2 **Analysis of Temporal Variations and Model Estimates of Sources**  
3 **and Radiative Forcing**

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18

19 **Abstract.** High temporal resolution measurements of black carbon (BC) and organic  
20 carbon (OC) covering the time period of 1956-2006 in an ice core over the  
21 southeastern Tibetan Plateau show a distinct seasonal dependence of BC and OC  
22 with higher respective concentrations but lower OC/BC ratio in the non-monsoon  
23 season than during the summer monsoon. We use a global aerosol-climate model, in  
24 which BC emitted from different source regions can be explicitly tracked, to  
25 quantify BC source-receptor relationships between four Asian source regions and  
26 the southeastern Tibetan Plateau as a receptor. The model results show that South  
27 Asia has the largest contribution to the present-day (1996-2005) mean BC  
28 deposition at the ice core drilling site during the non-monsoon season (October to  
29 May) (81%) and all year round (74%), followed by East Asia (14% to the  
30 non-monsoon mean and 21% to the annual mean). The ice-core record also indicates  
31 stable and relatively low BC and OC deposition fluxes from late 1950s to 1980,  
32 followed by an overall increase to recent years. This trend is consistent with the BC  
33 and OC emission inventories and the fuel consumption of South Asia (as the  
34 primary contributor to annual mean BC deposition). Moreover, the increasing trend  
35 of OC/BC ratio since the early 1990s indicates a growing contribution of coal  
36 combustion and/or biomass burning to the emissions. The estimated radiative  
37 forcing induced by BC and OC impurities in snow has increased since 1980,  
38 suggesting an increasing potential influence of carbonaceous aerosols on the Tibetan  
39 glacier melting and the availability of water resources in the surrounding regions.  
40 Our study indicates that more attention to OC is merited because of its  
41 non-negligible light absorption and the recent rapid increases evident in the ice core  
42 record.

#### 43 **Keywords**

44 Carbonaceous aerosol, Tibetan glacier, Emissions, Radiative forcing

## 45 **1. Introduction**

46 Carbonaceous aerosol, released from fossil fuel, biofuel and/or biomass  
47 combustion, contains both black carbon (BC, a.k.a. elemental carbon, EC), a strong  
48 light absorber, and organic carbon (OC), which also absorbs in the near infrared, but  
49 more weakly than BC (Kirchstetter et al., 2004; Bond et al., 2006). Often mixed  
50 with other aerosol species, BC impacts human health, crop yields and regional  
51 climate (Auffhammer et al., 2006; Tie et al., 2009), and is believed to be the second  
52 strongest climate warming forcing agent after carbon dioxide (Jacobson, 2001; IPCC,  
53 2013).

54 Because of their high population density and relatively low combustion  
55 efficiency, developing countries in South and East Asia such as India and China are  
56 hotspots of carbonaceous aerosol emissions (Ramanathan and Carmichael, 2008).  
57 During the cold and dry winter season, haze (heavily loaded with carbonaceous  
58 aerosols) builds up over South Asia, and exerts profound influences on regional  
59 radiative forcing (Ramanathan et al., 2007; Ramanathan and Carmichael, 2008),  
60 hydrologic cycles (Menon et al., 2002; Ramanathan et al., 2005), and likely  
61 Himalaya-Tibetan glacier melting that could be accelerated by the absorption of  
62 sunlight induced by BC in the air and deposited on the ice and snow surfaces  
63 (Ramanathan et al., 2007; Hansen and Nazarenko, 2004; Ming et al., 2013).

64 Due to the lack of long-term observations of emissions and concentrations of  
65 atmospheric carbonaceous aerosols, it is difficult to evaluate the effects of BC and  
66 OC on historical regional climate and environment before the satellite era. Some  
67 studies have evaluated historical anthropogenic emissions based on the consumption  
68 of fossil fuels and biofuels (Novakov et al., 2003; Ito and Penner, 2005; Bond et al.,  
69 2007; Fernandes et al., 2007). While fossil fuel is the major energy source in the  
70 urban areas of South Asia and East Asia, biomass combustion, such as fuel wood,  
71 agricultural residue and dung cake, is prevalent in rural areas (Revelle, 1976;  
72 Venkataraman et al., 2010; Street and Waldhoff, 1998). Biomass burning has been  
73 considered as the major source of black carbon emissions (Reddy and Venkataraman,

74 2002; Venkataraman et al., 2005). However, as reliable biomass consumption data  
75 are hard to obtain, estimates of BC and OC emissions from biomass burning are  
76 ambiguous and incomplete.

77 Measurements of carbonaceous aerosol concentrations in glacier ice are an ideal  
78 means to reconstruct historical emissions and reveal long-term trends of  
79 anthropogenic aerosol impacts on local climate. Greenland ice core measurements  
80 were previously used to reconstruct the North American BC emission history and its  
81 effects on surface radiative forcing back to the 1880s (McConnell et al., 2007).  
82 Himalayan ice cores retrieved from the Tibetan Plateau have revealed the mixed  
83 historical emissions from South Asia, Central Asia and the Middle East and also  
84 been used to evaluate radiative forcing from BC in snow (Ming et al., 2008; Kaspari  
85 et al., 2011). Using the Snow, Ice, and Aerosol Radiative (SNICAR) model, Flanner  
86 et al. (2007) estimated an instantaneous regional forcing of exceeding  $20 \text{ W m}^{-2}$  by  
87 BC in snow/glaciers over the Tibetan Plateau during the spring season.

88 By using five ice core records, Xu et al. (2009a) elucidated an important  
89 contribution of BC to the retreat of Tibetan glaciers in addition to greenhouse gases.  
90 Due to the short atmospheric lifetime of carbonaceous aerosols compared to  
91 greenhouse gases, emission reductions may be an effective way to mitigate their  
92 warming effects. Thus it is particularly important to identify the source regions and  
93 the source types of carbonaceous aerosols observed in Tibetan glaciers. Xu et al.  
94 (2009a) suggested that BC deposited on Tibetan Plateau was broadly from Europe  
95 and Asia. However, they didn't perform in-depth analysis on emissions from more  
96 specific source regions and the source types. In this study, we use the ice core  
97 retrieved from the southeastern Tibetan Plateau, also known as the Zuoqiupu ice  
98 core in Xu et al. (2009a), to reconstruct the history of atmospheric deposition of  
99 carbonaceous aerosols in this glacier, and to characterize emissions and  
100 source-receptor relationships with the help of a global climate model in which BC  
101 emitted from different source regions can be explicitly tracked. We also estimate the

102 respective contributions from BC and OC to radiative forcing in the Zuoqiupu  
103 glacier using the ice core measurements and the SNICAR model.

## 104 **2. Methods**

### 105 **2.1 Measurements of carbonaceous aerosols in ice core**

106 Zuoqiupu glacier is in the southeastern Kangri Karpo Mountains, located at the  
107 southeastern margin of the Tibetan Plateau (Figure 1). In 2007, an ice core of 97  
108 meters in depth (9.5 cm in diameter) was retrieved within the accumulation zone of  
109 Zuoqiupu glacier at 96.92°E, 29.21°N, 5600 m a.s.l. The ice core was kept frozen  
110 and transported to laboratory facilities at the Institute of Tibetan Plateau Research  
111 (Lhasa branch) for analysis. The annual accumulation of snow/ice at the drill site  
112 was around 2 meters on average. The oxygen isotope ( $\delta^{18}\text{O}$ ) samples were cut at 10  
113 cm internals, and BC and OC samples at 10-25 cm, resulting in 18 and 9 samples per  
114 year on average, respectively. Thus this ice core provided a high temporal-resolution  
115 of  $\delta^{18}\text{O}$ , and BC and OC concentrations. BC and OC concentrations were measured  
116 by using a Desert Research Institute (DRI) Model 2001 Thermal/Optical Carbon  
117 Analyzer following the IMPROVE TOR protocol (Chow et al. 1993; Chow and  
118 Watson 2002; Cao et al. 2008). Note that according to the thermal/optical  
119 measurement method, the analytical result is technically called “EC”. Herein we use  
120 “BC” to be consistent with the notation in our model simulations and in the literature.  
121 The reported OC concentrations from the ice-core measurements can only account  
122 for water-insoluble part of OC in the ice samples because most of the water-soluble  
123 part cannot be captured by the filter-based method applied to liquid samples (melted  
124 from the ice). Further details on the analysis methods, ice core dating and  
125 calculation of BC and OC seasonal deposition fluxes can be found in Xu et al.  
126 (2009a).

### 127 **2.2 Model and experimental setup**

128 We use the Community Atmosphere Model version 5 (CAM5; Neale et al.,  
129 2012) to help understand the emissions, transport and dry/wet deposition of  
130 carbonaceous aerosols in the atmosphere. In the default aerosol scheme of CAM5,  
131 BC and primary OC are emitted into an accumulation size mode, where they  
132 immediately mix with co-existing hygroscopic species such as sulfate and sea salt  
133 (Liu et al., 2012). Hygroscopic aerosol particles in the accumulation mode are  
134 subject to wet removal by precipitation. Recent model improvements to the  
135 representation of aerosol transport and wet removal in CAM5 by Wang et al. (2013)  
136 have substantially improved the global distribution of aerosols, particularly, over  
137 remote regions away from major sources. To minimize the model biases in  
138 simulating meteorological conditions and, particularly, circulations that are critical  
139 to aerosol transport, we configure the CAM5 model to run in an offline mode (Ma et  
140 al., 2013) with wind, temperature, surface fluxes and pressure fields constrained by  
141 observations. However, cloud/precipitation fields and interactions between aerosol  
142 and clouds are allowed to evolve freely. A source tagging technique has been  
143 recently implemented in the CAM5 model to allow for explicitly tracking aerosols  
144 emitted from individual source regions and, therefore, assists in quantitatively  
145 characterizing source-receptor relationships (Wang et al., 2014). This tagging  
146 technique along with the CAM5 model is used in the present study to do source  
147 attribution for carbonaceous aerosols deposited to the Zuoqiupu glacier.

148 We conducted an 11-year (1995-2005) CAM5 simulation at horizontal grid  
149 spacing of  $1.9^{\circ} \times 2.5^{\circ}$  and 56 vertical levels, with prescribed sea surface  
150 temperatures and sea ice distribution. Reanalysis products from NASA Modern Era  
151 Retrospective-Analysis for Research and Applications (MERRA) (Rienecker et al.,  
152 2011) are used to constrain the meteorological fields of CAM5. For aerosols  
153 (including OC, BC and other important species), we use the year-2000 monthly  
154 mean emissions described by Lamarque et al. (2010) that have been used in many  
155 global climate models for present-day climate simulations, included in the fifth

156 assessment report (AR5) by the Intergovernmental Panel on Climate Change (IPCC).  
157 The monthly mean emissions are repeatedly used for each year in the 11-year  
158 simulation. Note that we do not intend to design the model experiment to simulate  
159 the whole historical record of BC in the ice core, but rather for a period of time to  
160 demonstrate the impact of meteorology (and associated transport and removal of  
161 aerosols) on the seasonal dependence of BC deposition in the target region and the  
162 lack of longer-term trend in deposition without considering the temporal variation of  
163 emissions.

164 As the ice core drill site was located at a remote and elevated area over the  
165 southeastern Tibetan Plateau, where local emissions are minimal. Deposition of  
166 carbonaceous aerosols is most likely contributed by the non-local major emission  
167 sources (e.g., distributions of mean BC emissions during non-monsoon and  
168 monsoon seasons shown in Figure 2) in South Asia and East Asia. These two  
169 regions, along with Southeast Asia and Central Asia, are identified as the potential  
170 source contributors. Thus BC emissions from the four regions and the rest of the  
171 world are explicitly tracked in the CAM5 simulation.

## 172 **3. Results and Discussion**

### 173 **3.1 Seasonal dependence of carbonaceous aerosols**

174 BC and OC concentrations in the Zuoqiupu ice core both exhibit statistically  
175 significant seasonal variations at the 0.05 level corresponding to the stable oxygen  
176 isotope variability, which shows high values during the winter and low values  
177 during the summer (Xu et al., 2009a). As shown in Figure 3, concentrations of BC  
178 and OC have distinct differences between the summer monsoon and non-monsoon  
179 seasons. Seasonally varying emissions and meteorological conditions that determine  
180 the transport pathways of BC and OC emitted from major sources, removal during  
181 the transport, and local precipitation rate can cause the seasonal variations of BC and

182 OC in ice at the sampling site. The seasonal dependence of BC and OC in ice core is  
183 consistent with available observations of atmospheric aerosols in the south slope of  
184 the Himalayas and the southeastern Tibetan Plateau, where the high concentration of  
185 carbonaceous aerosols during the cold and dry season was suggested to associate  
186 with the South Asian haze (Cong et al., 2009; Marinoni et al., 2010; Kaspari et al.,  
187 2011; Zhao et al., 2013a; Zhao et al., 2013b). The consistency between the seasonal  
188 dependence of airborne BC and OC concentrations and the seasonal variation of  
189 ice-core measurements indicates that seasonal differences in local precipitation rate  
190 is less likely to be the determining factor. Our model results (details discussed in the  
191 section 3.2) suggest that the seasonal dependence of BC deposition flux in the target  
192 region could be mainly due to meteorological conditions (and associated transport  
193 pathways and wet removal processes). The small seasonal contrasts in BC emissions  
194 from the major source regions (see Table 1) that are used in the model simulation do  
195 not seem to be able to explain the large seasonal difference in BC deposition,  
196 although the BC emissions are known to have large uncertainties.

197 Our further analysis shows that the ratio of OC to BC also has clear seasonal  
198 dependence. In Figure 3, the slope of the fitted line to measured OC versus BC  
199 concentrations during monsoon season is  $\sim 6.3$ , which is twice the slope for  
200 non-monsoon season ( $\sim 3.2$ ). The analysis of covariance (ANCOVA) for slope  
201 differences of single linear regressions of OC against BC between monsoon and  
202 non-monsoon seasons indicates that the seasonal dependence of the relationship  
203 between the concentrations of OC and BC is significant (at the 0.05 significance  
204 level). This also agrees with measurements derived from the ice core drilled from  
205 the Palong-Zanbu No. 4 Glacier (Xu et al., 2009b) and in atmospheric samples  
206 collected from Lulang, southeastern Tibetan Plateau (Zhao et al., 2013b). The  
207 seasonal dependence of the OC/BC ratio can possibly be derived from the seasonal  
208 sources of carbonaceous particles, circulation strength, transport pathways, and/or  
209 atmospheric deposition processes. Compared to the respective BC and OC



210 concentrations, the seasonal dependence of OC/BC ratio is less straightforward to  
211 understand. Circulation patterns together with wet removal processes still determine  
212 the transport pathways of emissions from major BC and OC source regions to the  
213 sampling site, which however are less likely to change OC/BC ratio from certain  
214 sources. Therefore, it is more plausible due to seasonally dependent contributions  
215 from source regions and/or emission sectors (including fuel types, quantity, and  
216 combustion conditions). Cao et al. (2005) found that the average OC/BC ratios  
217 measured from plumes of residential biomass burning and coal combustion are  
218 substantially higher than from vehicle exhaust. Higher OC/BC ratio during summer  
219 monsoon might indicate more contributions from biomass and/or coal burning than  
220 fossil fuel combustion.

### 221 **3.2 Source attribution**

222 To quantitatively attribute the source of BC at the drilling site (as a receptor  
223 region), we use the CAM5 model with the BC source tagging capability to conduct  
224 an 11-year simulation, with the last 10 years (1996-2005) used for analysis. The  
225 surrounding area is divided into four source regions (see Table 1 and Figure 4):  
226 South Asia, East Asia, Southeast Asia and Central Asia. BC emissions from each of  
227 the four regions and the rest of the world are explicitly tracked, so that the fractional  
228 contributions by emissions from the individual source regions to BC deposition at  
229 the receptor region can be explicitly calculated. Figure 4 shows the spatial  
230 distribution of fractional contribution from the four source regions. BC deposition at  
231 the drilling site (indicated by the black box in Figure 4), which has a consistent  
232 seasonal dependence (i.e., more during the non-monsoon season; Figure 5) with ice  
233 core measurements, is predominately (over 95%) from South Asia and East Asia.  
234 The seasonal dependence of BC deposition is also consistent with a recent regional  
235 climate modeling study on BC deposition on the Himalayan snow cover from 1998  
236 to 2008 (Ménégoz et al., 2014).

237 The 10-year (1996-2005) average wind fields (at the surface and 500 hPa from  
238 MERRA reanalysis datasets), as shown in Figure 2, indicate distinct circulation  
239 patterns during summer monsoon (June-September) and non-monsoon  
240 (October-May) season, which in part determine the seasonal dependence of transport  
241 of aerosols emitted from the different major sources. During the non-monsoon  
242 season, strong westerly dominates the transport from west to east at all levels.  
243 Emissions from northern India and central Asia can have influence on BC in the  
244 direct downwind receptor region over southeastern Tibetan Plateau. During the  
245 summer monsoon season, the westerly moves northward and the monsoon flow from  
246 Bay of Bengal at the surface and middle levels (e.g., 500hPa), coupled with the  
247 monsoon from Indochina peninsula and South China Sea, exert influence on BC in  
248 the receptor area. The strong monsoon precipitation removes BC from the  
249 atmosphere during the transport. The high Himalayas can partly block the further  
250 transport of emissions from South Asia to Tibetan Plateau, although small local  
251 topographical features such as the Yarlung Tsangpo River valley can provide a gate  
252 for the pollution to enter the inner Tibetan Plateau (Cao et al., 2010). Elevated  
253 emissions from the west (or northern part of South Asia) can take the pathways at  
254 middle and upper levels but they have minimal contribution to deposition. Therefore,  
255 BC emissions from East Asia play a relatively more important role affecting  
256 deposition at the Zuoqiupu site during the monsoon season.

257 The fractional contributions to 10-year mean BC deposition at the drilling site  
258 from the four tagged regions are summarized in Table 1. Results show that South  
259 Asia is the dominant contributor (~81%) during the non-monsoon season with ~14%  
260 from East Asia, while the contribution of East Asia (~56%) is larger than that of  
261 South Asia (~39%) during the monsoon season. For the annual mean BC deposition,  
262 South Asia (~75%) is the biggest contributor, followed by East Asia (~21%).  
263 Emissions from the central Asia and Southeast Asia regions have much smaller  
264 contributions (<3%) for all seasons. These results agree well with the short-term

265 source attribution study by Lu et al. (2012) using the Hybrid Single-Particle  
266 Lagrangian Integrated Trajectory (HYSPLIT) model.

267 For comparison, seasonal and annual mean BC emissions from the individual  
268 tagged source regions are also included in Table 1. Apparently, the contrast in  
269 strengths of regional emissions alone cannot explain their relative contributions to  
270 BC deposition at the sampling site, and the small seasonal variations in emissions  
271 are unlikely the cause of seasonal dependence of source attribution. Note that the BC  
272 emission inventory (Lamarque et al., 2010) used in CAM5 doesn't consider seasonal  
273 variations in anthropogenic emissions, which is likely to have introduced biases in  
274 the quantitative model estimates of seasonal dependence of contributions, but the  
275 relative importance of source regions should be robust.

### 276 **3.3 Interannual variations and long-term trend**

277 Based on annual snow accumulation and BC and OC concentrations derived  
278 from the ice record, the annual BC and OC deposition fluxes can be estimated,  
279 which are then used to examine the interannual variations and long-term trend in the  
280 fluxes and the ratio of OC/BC, as well as the relationship with emissions from the  
281 major contributor. As illustrated in Figure 6, from late 1950s to 1980, the BC and  
282 OC fluxes in the Zuoqiupu ice core are relatively low and stable in comparison to  
283 those after 1980. During the period 1956 to 1979, average fluxes are 9.1 and 28.7  
284  $\text{mg m}^{-2} \text{ a}^{-1}$  for BC and OC, respectively. Both BC and OC fluxes began to show  
285 increasing trends from early 1980s. These trends continued in the early 1990s but  
286 started to drop in the mid-1990s, reaching a minimum in 2002 followed by a rapid  
287 increase. In 2006, BC and OC fluxes are 19.2 and 93.9  $\text{mg m}^{-2} \text{ a}^{-1}$ , respectively,  
288 which are two and three times the respective average fluxes before 1980. The  
289 five-year average OC/BC flux ratio is steady before 1990; however, it shows a  
290 continual increase afterwards and has been higher than the average value (3.2) for  
291 the period of 1956-1979 since mid-1990s (Figure 6). The 10-year CAM5 model

292 simulation, in which annual emissions are fixed but meteorological conditions vary,  
293 shows no increasing trend in BC and OC deposition fluxes (BC deposition shown in  
294 Figure 5), indicating that the increasing trend seen in the observations was not due to  
295 changes in meteorology.

296 As shown in the CAM5 model simulation, the annual mean atmospheric  
297 deposition of BC over southeastern Tibetan Plateau is mostly contributed by  
298 emissions from South Asia, particularly, in the non-monsoon season. The BC and  
299 OC deposition fluxes derived from the ice-core measurements may reflect changes  
300 in South Asian emissions to some extent. The temporal variations of BC and OC  
301 deposition fluxes (see Figure 6) are compared with the primary BC and OC  
302 emissions from fossil fuel and biofuel combustion in South Asia during 1955-2000  
303 (Bond et al., 2007). BC and OC emissions during 1996-2010 from Lu et al. (2011)  
304 are also illustrated in Figure 6 to extend the emission data to cover the entire time  
305 period that the ice core data span. Note that the emission data from Lu et al. (2011)  
306 are only for India, which is the largest energy consumer and carbonaceous  
307 aerosol-emitting country in South Asia. There are differences between the emissions  
308 of Bond et al. and Lu et al. during the overlap time period (1996-2000). However,  
309 good agreements on the increasing trend can be found in the respective deposition  
310 fluxes and emissions of BC and OC (Figure 6). The OC/BC emission ratio also  
311 shows an increasing trend from the late 1990s to 2003, which is consistent with that  
312 of OC/BC ratio in the ice core record. The annual mean aerosol index over industrial  
313 and populated cities in the northern part of India increased from 1982-1993 and  
314 more significantly from 2000-2003 (Sarka et al., 2006). This trend is similar to that  
315 of carbonaceous aerosols in the ice core record, and it might indicate a causal  
316 relationship between BC and OC over southeastern Tibetan Plateau and emissions  
317 from north part of South Asia.

### 318 **3.4 Emission source analyses**

319 BC and OC in the atmosphere are co-emitted from a variety of natural and  
320 anthropogenic sources, including combustion of fossil fuel, biofuel and/or biomass  
321 burning. In general, open biomass burning typically produces more abundant OC  
322 (i.e., larger OC/BC ratio) compared to fossil fuel combustion due to a lower process  
323 temperature (Ducret and Cachier, 1992). The OC/BC ratio has often been used to  
324 discriminate fossil fuel combustion and biomass burning emissions in the  
325 atmosphere and in precipitation (Novakov et al., 2000; Stone et al., 2007; Ducret  
326 and Cachier, 1992; Xu et al., 2009b). For example, Cao et al. (2005) collected  
327 particulate matter samples from the plumes of residential biomass burning, coal  
328 combustion, and motor-vehicle exhaust sources, and analyzed OC and BC with DRI  
329 Thermal/Optical Carbon Analyzer (Model 2001). They reported average OC/BC  
330 ratios of 60.3, 12.0, and 4.1 for biomass burning, coal-combustion and vehicle  
331 exhaust, respectively. The increasing OC/BC ratios based on the ice core  
332 measurements since the early 1990s (Figure 6) suggest an expanded coal  
333 consumption and/or usage of biomass fuel, although the ratios might have been  
334 underestimated because water-soluble OC was not captured in the sample analyses.  
335 However, such bias would have occurred to all the samples and had little impact on  
336 the trend, unless including water-soluble OC could dominate the temporal variation  
337 of OC/BC ratio. Otherwise, our results indicate that the relative contribution of coal  
338 combustion and biomass burning to the carbonaceous particles deposited into the ice  
339 core in southeastern Tibetan Plateau has been increasing faster than the contribution  
340 of fossil fuel combustion since early 1990s. Improved combustion technologies may  
341 have reduced both BC and OC emissions from the combustion of the same amount  
342 of fuels, but the influence on OC/BC ratio is unclear. Presumably improved  
343 combustion technologies after 1990 in South and East Asia did not dominate the  
344 OC/BC ratio.

345 The temporal variations of BC and OC in the Zuoqiupu ice core, along with the  
346 source attribution analysis of the CAM5 model results, suggest an increasing trend

347 in emissions and altered emission sources in South Asia during the late 20<sup>th</sup> century.  
348 Coal has been the primary energy source in South Asia. For example, in India coal  
349 accounted for 41% of the total primary energy demand in 2007, followed by  
350 biomass (27%) and oil (24%) (IEA, 2009). The consumption data of coal and crude  
351 oil in South Asia (BP Group, 2009) is compared with the BC and OC fluxes in  
352 Figure 6 (bottom right). Coal consumption had an increasing trend from 1965 to  
353 2008, particularly in the two time periods 1980-1995 and 2003-2008 after a level off  
354 during 1996-2002. This trend is consistent with the variations of BC and OC  
355 deposition fluxes in the Zuoqiupu ice core. The correlations between coal  
356 consumption and BC ( $R^2 = 0.43$ ,  $p < 0.001$ ) and OC ( $R^2 = 0.62$ ,  $p < 0.001$ ) in the ice  
357 core are both statistically significant. The oil consumption had a comparable  
358 increasing trend as coal before it slowed down during 2000-2006.

359 Biomass is the second largest energy resource in South Asia, and it is essential  
360 in rural areas. In India, 70% of the population lives in rural areas, and depends  
361 substantially on solid fuels (i.e., firewood, animal dung, and agriculture residues) for  
362 cooking and heating (Heltberg et al., 2000). Even in urban areas, biomass  
363 contributes to 27% of the household cooking fuel (Venkataraman et al., 2010).  
364 Although the consumption of biomass is lower than coal, the OC/BC emission ratio  
365 for biomass burning is much higher than from coal combustion (60.3 vs. 12.0) (Cao  
366 et al., 2005). BC emission factor for biomass burning (varying from  $0.48 \pm 0.18$  g  
367  $\text{kg}^{-1}$  for savanna and grassland burning to  $1.5$  g  $\text{kg}^{-1}$  for charcoal burning) is also  
368 generally higher than that for coal ( $0.2$  g  $\text{kg}^{-1}$  for most combustion conditions) and  
369 oil combustion ( $0.3$  g  $\text{kg}^{-1}$  on average, varying from  $0.08$  g  $\text{kg}^{-1}$  for heavy fuel oil to  
370  $0.66$  g  $\text{kg}^{-1}$  for diesel) (Andreae and Merlet, 2001; Bond et al., 2004, 2007).  
371 Therefore, it is very likely that the OC/BC ratio of atmospheric carbonaceous  
372 aerosols and in the ice-core samples (Figure 6) was dominated by biomass burning  
373 emissions. Previous studies have concluded that carbonaceous aerosol emissions  
374 from biomass burning are the largest source in South Asia (Venkataraman et al.,

375 2005; Gustafsson et al., 2009). A general increase in energy-intensive life-styles  
376 associated with the accelerated growth of population and economy put pressure on  
377 energy resources, and induced energy transitions and use of non-sustainable biomass  
378 in South Asia (Sathaye and Tyler, 1991; Pachauri, 2004; Fernandes et al., 2007). For  
379 instance, biofuel consumption in South Asia increased by 21% per decade on  
380 average during 1950-2000 (Bond et al., 2007; Fernandes et al., 2007). In addition,  
381 fuel wood, a more desirable biofuel option, contributed 68% in 1978 to total energy  
382 demand by rural populations in India, and increased to 78% in 2000 (Fernandes et  
383 al., 2007).

### 384 **3.5 Radiative forcing induced by carbonaceous aerosols in Tibetan Glaciers**

385 BC is often the most important light-absorbing impurity in surface snow  
386 because of its strong absorption of solar radiation. Effect of BC in snow on surface  
387 albedo reduction and resultant positive radiative forcing have been widely addressed  
388 and reported (e.g., Warren and Wiscombe, 1980; Clarke and Noone, 1985; Hansen  
389 and Nazarenko, 2004; Hadley and Kirchstetter, 2012; Flanner et al., 2007; 2009;  
390 McConnell et al., 2007; Ming et al., 2008; Kaspari et al., 2011; Qian et al., 2011,  
391 2014a,b). In contrast, the impact of OC in snow has not been widely assessed  
392 because of its relatively weak light-absorption over the entire spectrum compared to  
393 BC, and because of large uncertainties associated with OC light-absorbing  
394 properties and measurements of OC in snow. However, there have been increasing  
395 interests in light-absorbing OC (a.k.a. brown carbon) and its radiative effect in the  
396 atmosphere (e.g., Kirchstetter et al., 2004; Andreae and Gelencsér, 2006; Hoffer et  
397 al., 2006; Moosmüller et al., 2009; Yang et al., 2009; Lack and Cappa, 2010; Cheng  
398 et al., 2011). Hoffer et al. (2006) estimated that humus-like substances as part of OC  
399 from biomass burning contribute ~7% to the absorption over the entire spectrum,  
400 which is not negligible. Yang et al. (2009) highlighted that as the contribution to  
401 absorption from BC decreases towards the ultra violet wavelengths, absorption due

402 to brown carbon and dust becomes more significant, and they reported that at an  
403 observation site near Beijing brown carbon contributes over 10% to total absorption  
404 at mid-visible wavelengths. Thus the contribution of OC in snow to the surface  
405 albedo reduction is likely to be important, which has also been considered in recent  
406 climate modeling studies (Qian et al., 2014b).

407 In this study, we use the SNICAR-online model (available at  
408 <http://snow.engin.umich.edu/>; Flanner et al., 2007) to estimate radiative forcing  
409 induced by the observed BC and OC as if they were present in snow. Detailed  
410 description of the SNICAR model has been documented by Flanner and Zender  
411 (2005, 2006) and Flanner et al. (2007). Here we only briefly describe the setup of  
412 input parameters required for running the SNICAR model. A mass absorption  
413 cross-section (MAC) of  $7.5 \text{ m}^2 \text{ g}^{-1}$  at 550 nm for uncoated BC particle and  $0.6 \text{ m}^2 \text{ g}^{-1}$   
414 for OC (Bond and Bergstrom, 2006; Kirchstetter et al., 2004; Yang et al., 2009) is  
415 assumed, and thus one of the input parameters for the online SNICAR model, MAC  
416 scaling factor, should be 1 for BC and 0.08 for OC. According to the previous  
417 studies (Cuffey and Paterson, 2010; Wiscombe and Warren 1980) and  
418 measurements in Qiyi glacier and Zuoqiupu glacier, an effective radius of  $100 \mu\text{m}$   
419 with density of  $60 \text{ kg m}^{-3}$  for new snow, and the effective radius of  $400 \mu\text{m}$  with  
420 density of  $400 \text{ kg m}^{-3}$  for aged snow are adopted for the forcing calculation. As we  
421 focus on the estimation of radiative forcing by carbonaceous particles, other  
422 impurity contents, such as dust and volcanic ash, are set to be zero. The annual mean  
423 BC and OC concentrations during 1956-1979 was  $4.4 \text{ ng g}^{-1}$  and  $13.8 \text{ ng g}^{-1}$ ,  
424 respectively, and they increased to 12.5 and  $61.3 \text{ ng g}^{-1}$  in 2006. As a consequence,  
425 the annual mean radiative forcing induced by BC (OC) in snow increases from 0.75  
426 ( $0.20 \text{ W m}^{-2}$ ) to  $1.95 \text{ (}0.84 \text{ W m}^{-2}\text{)}$ . Our estimate of mean BC forcing is lower than  
427 the estimated Eurasian radiative forcing ( $2.7 \text{ W m}^{-2}$ ) in spring (Flanner et al., 2009),  
428 but it's comparable to that in the East Rongbuk glacier over Himalayas, which was  
429 in the range of  $1\text{-}2 \text{ W m}^{-2}$  (Ming et al., 2008). Kaspari et al. (2009) reported a



430 three-fold increase in radiative forcing from BC in snow over Himalayas after 1975,  
431 which is consistent with the increasing trend in our results. Although BC  
432 concentration is one order of magnitude lower than OC, radiative forcing of BC is  
433 about two times larger than OC due to its much stronger absorption of solar  
434 radiation. Note that the MAC value of OC is highly spectral dependent (Kirchstetter  
435 et al., 2004; Hoffer et al., 2006; Barnard et al., 2008; Yang et al., 2009). It increases  
436 greatly towards shorter wavelengths. Consequently, the absorption of OC may be  
437 biased. It is also important to note that we didn't consider variations in chemical  
438 compounds of OC, or the changes of OC during sample filtration. Although the  
439 estimation of OC radiative forcing herein is rather crude, the increasing trend should  
440 be robust.

441 BC and OC concentrations in the ice core increased rapidly since 1980, and the  
442 induced radiative forcing rose as a consequence. According to the estimates using  
443 the SNICAR model, the average BC radiative forcing had increased 43% after 1980,  
444 and OC radiative forcing had an increase of 70%. These numbers are by no means  
445 accurate, but the stronger increasing trend in the ice core recorded OC than BC  
446 during 1990-2006 (Figure 6) suggests that the contribution of OC to the total  
447 radiative forcing in the glacier induced by snow/ice impurities deserves more  
448 attention.

## 449 **4. Summary and Conclusions**

450 Light-absorbing carbonaceous aerosols can induce significant warming in the  
451 atmosphere and in snow and glaciers, which likely accelerates the melting of  
452 glaciers over Himalayas and Tibetan Plateau. Ice-core measurement of carbonaceous  
453 aerosols is a useful mechanism for evaluating historical emission inventories and  
454 revealing long-term changes in anthropogenic aerosols and their impacts on regional  
455 climate. In this study, we analyze carbonaceous aerosols recorded in an ice core (97  
456 meters in depth and 9.5 cm in diameter) retrieved from the Zuoqiupu glacier

457 (96.92°E, 29.21°N, 5600 m above sea level) in the southeastern Tibetan Plateau for  
458 their seasonal dependence and long-term trend. The glacier has a unique  
459 geographical location that is in close proximity to major Asian emission sources.  
460 With the help of a global climate model (CAM5) in which black carbon (BC)  
461 emitted from different source regions can be explicitly tracked, we are able to  
462 characterize BC source-receptor relationships between four Asian source regions  
463 (i.e., South Asia, East Asia, Southeast Asia and Central Asia) and the Zuoqiupu  
464 glacier area as a receptor. We also estimate the radiative forcing in snow due to BC  
465 and OC using the ice core measurements and an offline snow-ice-aerosol-radiation  
466 model (called SNICAR).

467 BC and OC concentrations in small segments of the Zuoqiupu ice core were  
468 measured using a thermal-optical method. Ice core dating based on significant  
469 seasonal variations of oxygen isotope ratios ( $\delta^{18}\text{O}$ ) was used to construct the time  
470 series of BC and OC concentrations, which turned out to span the time period of  
471 1956–2006. Not only do the concentrations of OC and BC in the ice core exhibit  
472 significant differences between the summer monsoon and non-monsoon seasons,  
473 which is likely due to changes in transport pathways and wet removal, but also the  
474 ratio of OC to BC shows a clear seasonal dependence that might be due to seasonal  
475 change in contributions from source regions and/or emission sectors. The CAM5  
476 results show a similar seasonal dependence of BC and OC deposition to the glacier.

477 The MERRA reanalysis products used to drive the CAM5 model simulation  
478 show distinct circulation patterns during summer monsoon (June-September) and  
479 non-monsoon (October-May) seasons. Both the circulation patterns (and associated  
480 aerosol transport and wet removal) and seasonal variation of emissions in major  
481 source regions influence the seasonal deposition of aerosol at the Zuoqiupu site. The  
482 CAM5 simulation with tagged BC regional sources shows that South Asia is the  
483 dominant contributor (81%) to the 10-year mean BC deposition at the Zuoqiupu site  
484 during the non-monsoon season with 14% from East Asia, while the contribution of

485 East Asia (56%) is larger than that of South Asia (39%) during the monsoon season.  
486 For the annual mean BC deposition, South Asia (75%) is the biggest contributor,  
487 followed by East Asia (21%).

488 The annual mean BC and OC deposition fluxes into the ice core are also  
489 estimated to explore the interannual variations and long-term trends. Results show  
490 stable and relatively low BC and OC fluxes from late 1950s to 1979, followed by a  
491 steady increase through the mid-1990s. A more rapid increase occurred after the  
492 minimum in 2002. The BC and OC deposition fluxes in 2006 were two and three  
493 times the respective average before 1980.

494 The overall increasing trend in deposition fluxes since 1980 is consistent with  
495 the BC and OC emissions in South Asia as the major contributor. Moreover, the  
496 increasing trend of OC/BC ratio since early 1990s indicates a growth of the  
497 contribution of coal combustion and/or biomass burning to the carbonaceous aerosol  
498 emissions in the major contributing source regions, which is consistent with the  
499 trends in the consumption of coal, oil and biomass in South Asia.

500 Our offline calculation using the SNICAR model shows a significant increase of  
501 radiative forcing induced by the observed BC and OC in snow after 1980, which has  
502 implications for the Tibetan glacier melting and availability of water resources in the  
503 surrounding regions. More attention to OC is merited because of its non-negligible  
504 light absorption and the recent rapid increases evident in the ice core record.

505

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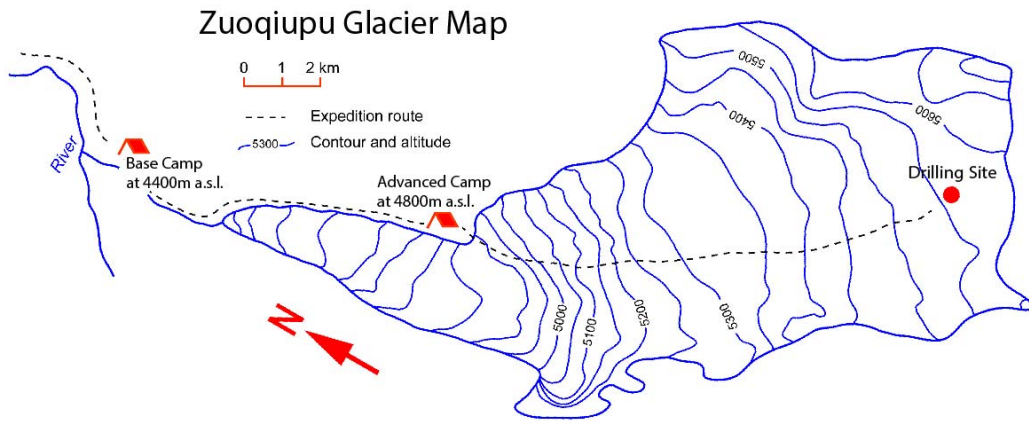
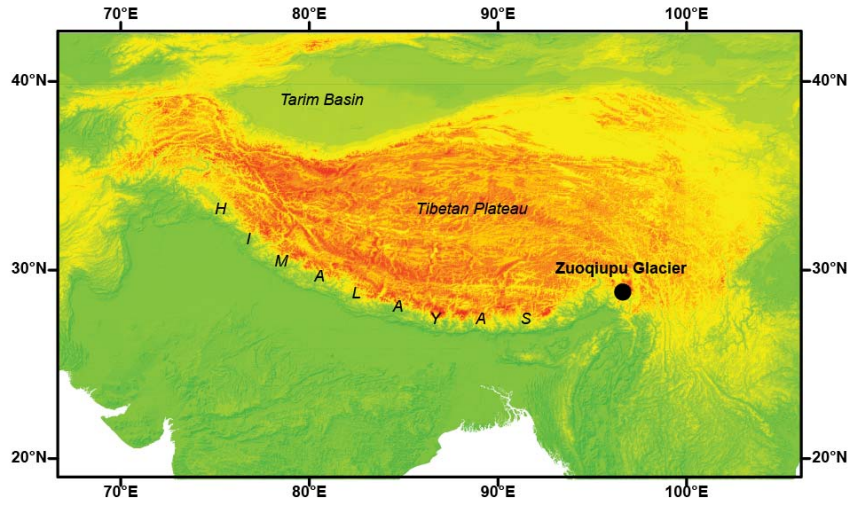
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757 implications for pollution transport from South Asia, *J. Geophys. Res.-Atmos.*, 118,  
758 11360–11375, doi:10.1002/jgrd.50599, 2013b.

759 Table 1. Source regions (South Asia, East Asia, Southeast Asia, and Central Asia) and corresponding BC emissions ( $\text{Tg a}^{-1}$ ) and  
 760 fractional contributions (%) to BC deposition flux at the Zuoqiupu site in monsoon (June-September), non-monsoon (October-May),  
 761 and all months during 1996-2005.

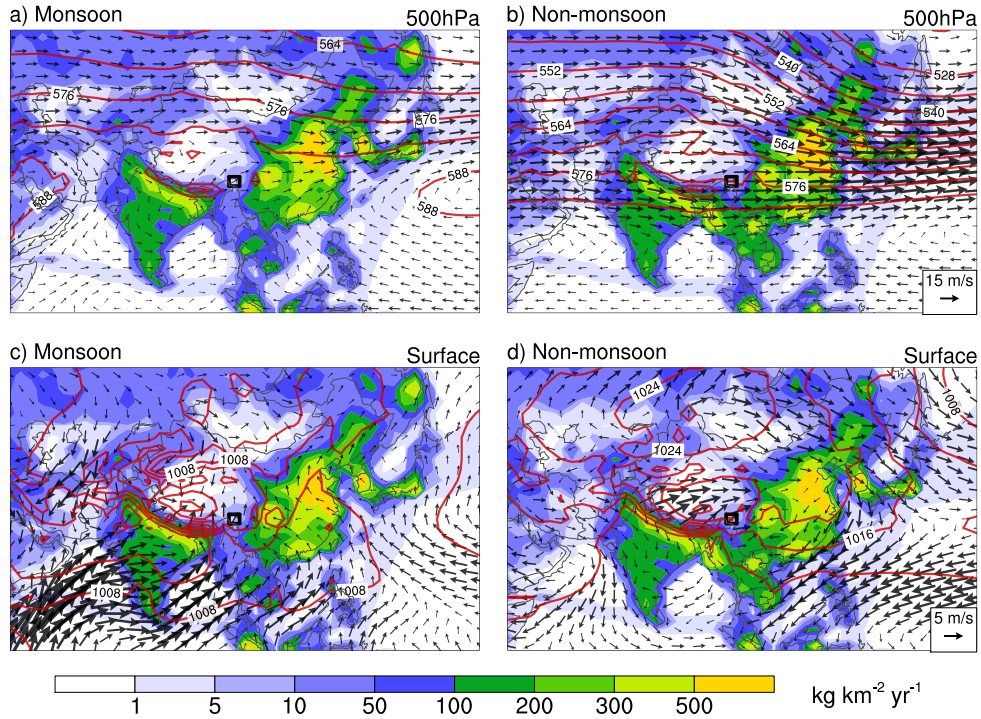
Source Regions	Latitude	Longitude	Monsoon		Non-monsoon		Annual	
			Contribution	Emission	Contribution	Emission	Contribution	Emission
South Asia	5-35°N	50-95°E	38.51	0.65	81.26	0.74	74.48	0.71
East Asia	15-50°N	95-150°E	56.24	1.75	13.91	1.90	20.66	1.85
Southeast Asia	0-15°N	95-130°E	0.05	0.28	0.16	0.33	0.15	0.31
Central Asia	35-50°N	50-95°E	2.62	0.11	0.86	0.09	1.14	0.10

762



763

764 Figure 1. Site location of Zuoqiupu Glacier (top): black circle represents the location of  
 765 Zuoqiupu Glacier, and warm colors indicate high elevations over the Tibetan Plateau.  
 766 Detailed elevation contours of the Zuoqiupu Glacier are shown in the bottom panel. Red  
 767 circle marks the ice core drill site.



768

769 Figure 2. 10-year (1996-2005) mean wind vectors (denoted by arrows) at 500hPa (a, b)

770 and the surface (c, d) during summer monsoon (June-September; a, c) and non-monsoon

771 season (October-May; b, d) from MERRA reanalysis datasets used to drive the CAM5

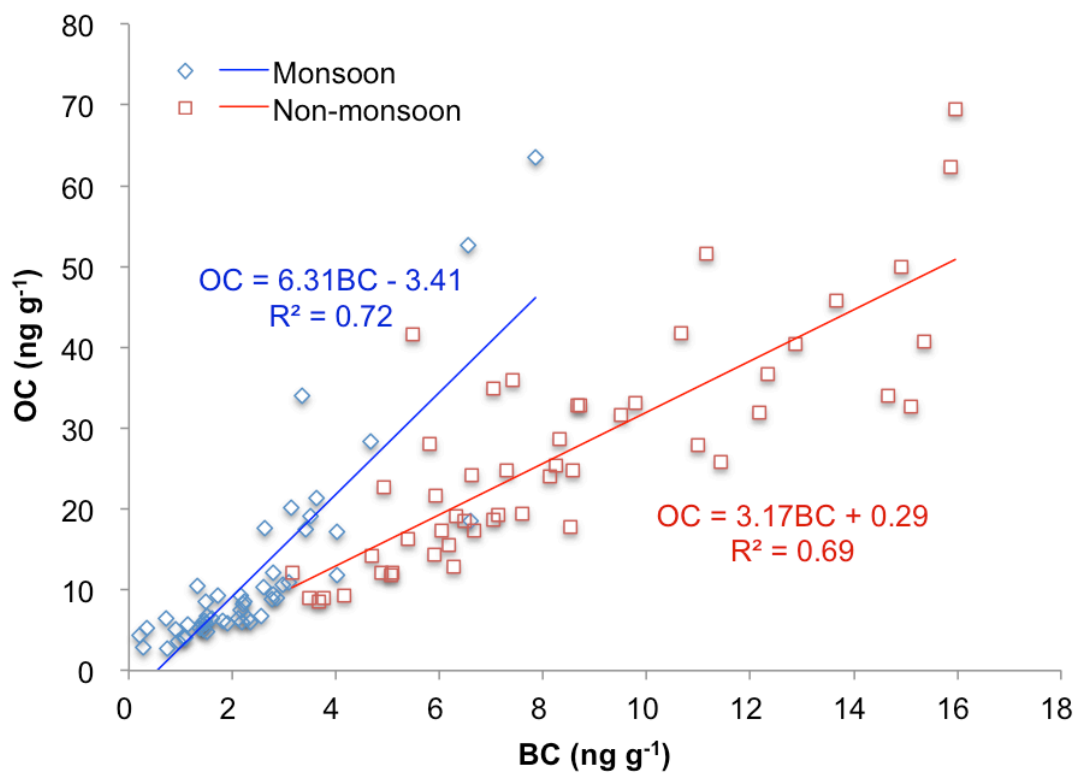
772 simulation. 500 hPa Geopotential height (units: 10 m) contours with an interval of 60 m

773 and mean sea-level pressure (units: hPa) contours with an interval of 4 hPa are

774 superimposed on panels (a, b) and (c, d), respectively. The background colors show mean

775 BC emission rates based on the IPCC present-day scenario for the corresponding months.

776 The small black box marks the model grid-cell in which the ice core drill site resides.

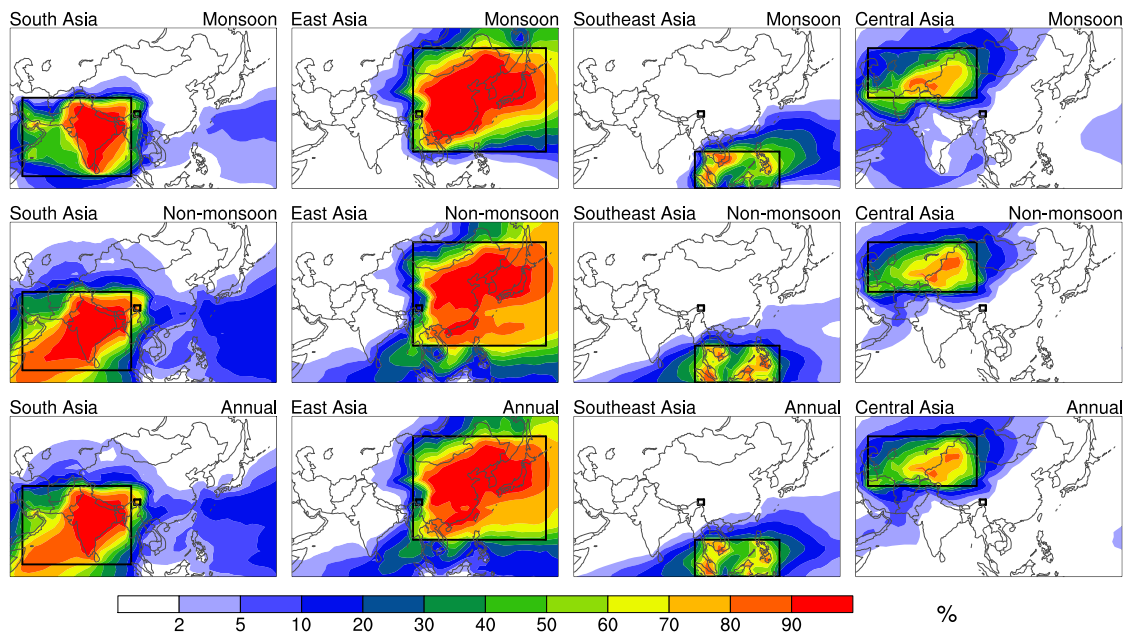


777

778 Figure 3. Scatter plots for yearly monsoon and non-monsoon mean OC and BC  
 779 concentrations during 1956-2006, obtained from the ice core measurements, and  
 780 corresponding linear regressions.

781

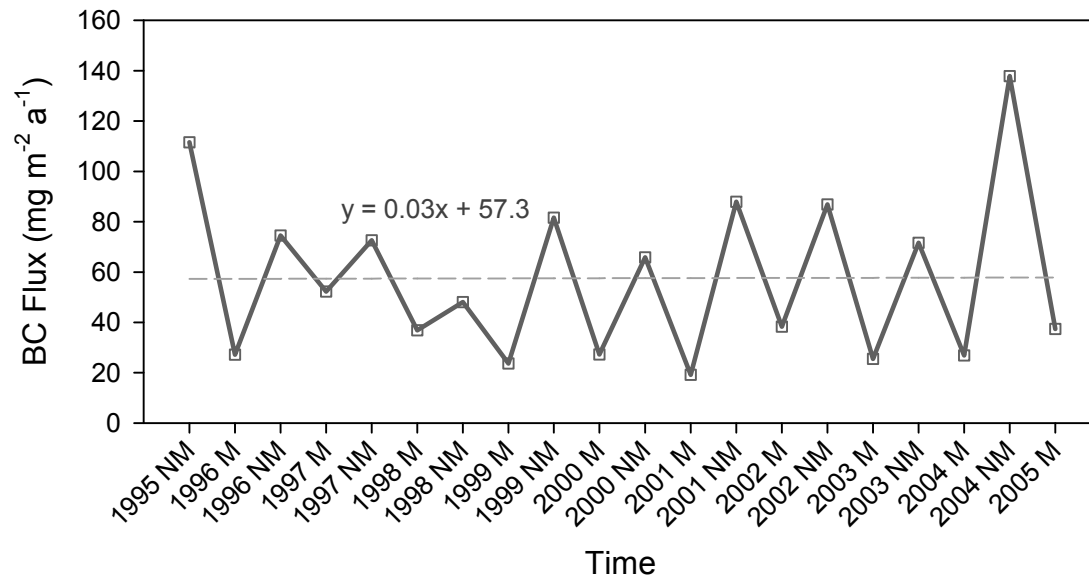
782



783 Figure 4. Spatial distributions of fractional contribution from the four source regions  
784 (South Asia, East Asia, Southeast Asia, and Central Asia) to monsoon, non-monsoon, and  
785 annual mean BC deposition fluxes during 1996-2005. The large black boxes indicate the  
786 boundary of source regions, and the small black box marks the model grid-cell where the  
787 Zuoqiupu drill site is located.

788





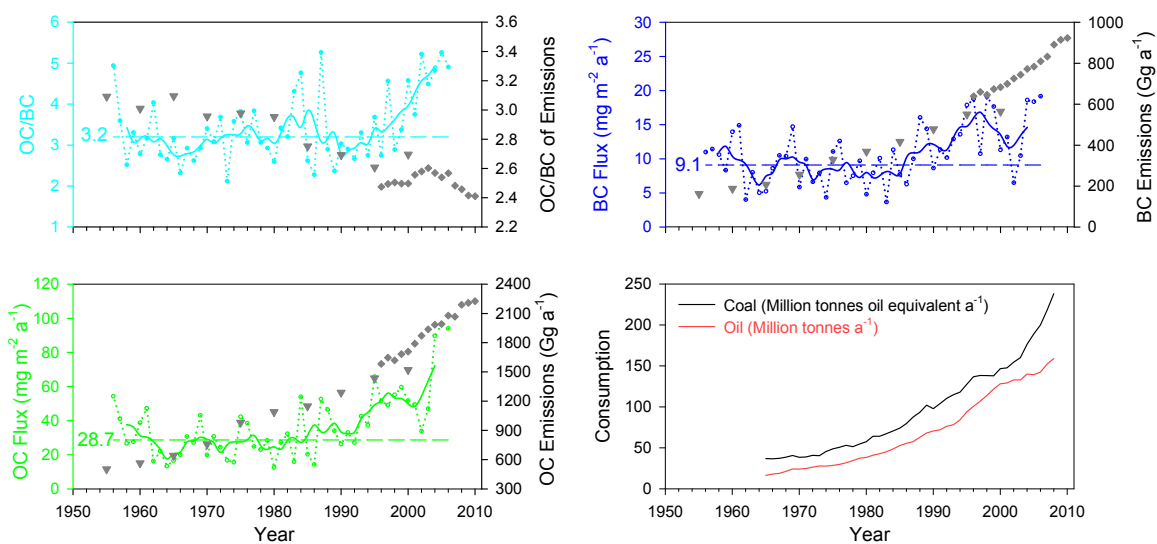
789

790 Figure 5. Seasonal dependence (“NM” for non-monsoon and “M” for monsoon season).

791 of BC deposition flux at the Zuoqiupu site from 1995 to 2005 simulated in CAM5. The

792 dash line represents a linear regression of all data points.

793



794

795 Figure 6 Time series of annual (dotted line with circles) and 5-year averaged (solid line)  
 796 OC/BC ratios (top-left), BC (top-right) and OC deposition fluxes (bottom-left) in the  
 797 Zuoqiupu ice core for the time period of 1956-2006. The average values of OC/BC ratio,  
 798 BC and OC during 1956-1979 are marked by dashed lines. BC and OC emissions in  
 799 South Asia (Bond et al., 2007) and corresponding OC/BC emission ratios are illustrated  
 800 with gray triangles, and with gray diamonds for emissions in India (Lu et al., 2011). Coal  
 801 and oil consumption data are shown in the bottom-right panel (BP Group, 2009).