1	Trends in Peroxyacetyl Nitrate (PAN) in the Upper Troposphere and Lower Stratosphere
2	over Southern Asia during the summer monsoon season: Regional Impacts
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17	Abstract
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19	We analyze temporal trends of Peroxyacetyl Nitrate (PAN) retrievals from the Michelson
20	Interferometer for Passive Atmospheric Sounding (MIPAS) during 2002-2011 in the altitude
21	range 8-23 km over the Asian summer monsoon (ASM) region. The greatest enhancements of
22	PAN mixing ratios in the upper troposphere and lower stratosphere (UTLS) are seen during the
23	summer monsoon season from June to September. During the monsoon season, the mole
24	fractions of PAN show statistically significant (at 2 sigma level) positive trends from 0.2 ± 0.05
25	to 4.6 \pm 3.1 ppt /year (except between 12-14 km) which is higher than the annual mean trends of
26	0.1 ± 0.05 to 2.7 ± 0.8 ppt/year. These rising concentrations point to increasing NOx (= NO +
27	NO2) and volatile organic compound (VOC) emissions from developing nations in Asia, notably
28	India and China.

We analyze the influence of monsoon convection on the distribution of PAN in UTLS with simulations using the global chemistry-climate model ECHAM5-HAMMOZ. During the monsoon, transport into the UTLS over the Asian region primarily occurs from two convective zones, one the South China Sea and the other over the southern flank of the Himalayas.

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India and China are NOx-limited regimes for ozone photochemical production, and thus we use the model to evaluate the contributions from enhanced NOx emissions to the changes in PAN, HNO₃ and O₃ concentrations in the UTLS. From a set of sensitivity experiments with emission changes in particular regions it can be concluded that Chinese emissions have a greater impact on the concentrations of these species than Indian emissions. According to SCIAMACHY NO₂ retrievals NO_x emissions increases over India have been about half of those over China between 2002 and 2011.

1. Introduction

The boreal summer monsoon circulation over the polluted land mass of Asia vents chemical 43 constituents from the boundary layer into the Upper Troposphere and Lower Stratosphere 44 (UTLS) where they are re-distributed over a wide region in subtropical latitudes (Gettelman et 45 al., 2004; Park et al., 2004; Li et al., 2005; Randel and Park, 2006; Fu et al., 2006; Park et al., 46 47 2007; Xiong et al., 2009; Randel et al., 2010, Fadnavis et al., 2013). The chemical constituents in the UTLS influence the radiative balance and heat transport in the atmosphere (Ravishankara, 48 2012; Fadnavis et al., 2013). For example monsoon injection contributes \sim 75% of the total net 49 50 upward water vapor flux in the tropics at tropopause levels (Gettelman et al., 2004). The increased amount of water vapor in the lower stratosphere could enhance ozone depletion and 51 thus raise ultraviolet radiation levels at Earth's surface (Anderson et al., 2012). Satellite 52 observations show convective transport and mixing of chemical constituents, (e.g. aerosols, CO, 53 NO_X, CH₄ and HCN) in the tropical tropopause region during the Asian Summer Monsoon 54 (ASM) season (Dodion et al., 2008; Park et al., 2009; Randel et al., 2010, Vernier et al., 2011). 55 In the stratosphere, these chemical constituents are transported to the southern subtropics by the 56 Brewer Dobson circulation (Park et al., 2004; Fadnavis et al., 2013) and they affect ozone, water 57 58 vapour and aerosol-related constituents in the global stratosphere (Randel et al., 2010; Randel and Jensen, 2013). Peroxyacetyl nitrate (PAN) is one of such chemical species that is important 59 in the tropical UTLS over the south Asian regions for two reasons: (1) It is a secondary pollutant 60 61 with implications for the production of tropospheric ozone (O_3) ; (2) PAN is also a useful tracer for diagnosing transport due to monsoon convection and understand the redistribution of NO_X in 62 63 the global stratosphere.

64 PAN mixing ratios vary from less than 1 ppty in the remote marine atmosphere (as observed in NASA GTE PEM-Tropics B campaign in the South Pacific lower marine boundary layer, data 65 available at http://acd.ucar.edu/~emmons/DATACOMP/) to several ppbv in the polluted urban 66 environment and biomass burning plumes (Ridley et al., 1992; Singh et al., 1998). In the UTLS 67 mixing ratios are typically in the range 10-300 pptv (Emmons et al., 2000; Keim et al., 2008). 68 PAN is formed exclusively from the chemical reaction of peroxyacetyl radicals ($CH_3C(O)OO$) 69 with NO₂. The peroxyacetyl radical is generated from the oxidation of acetaldehyde (CH₃CHO) 70 by OH, or through photolytic decomposition of acetone (CH_3COCH_3) and metylglyoxal 71 72 (CH₃COCHO), which are secondary pollutants, produced by oxidation of other NMVOCs such as propene (C_3H_6) . In the upper troposphere photolysis of acetone (CH_3COCH_3) is an important 73 source of peroxyacetyl radicals (Fischer et al., 2013). The main loss reactions of PAN are 74 thermal decomposition (most important in the lower troposphere up to ~500 hPa), photolysis 75 (most important in the UTLS and above), and the reaction with OH. All of these reactions lead to 76 the formation of reactive nitrogen compounds: the first two reactions yield NO₂, while the 77 reaction with OH yields NO₃ as a product. At the surface, PAN can also be deposited. Its dry 78 deposition velocity is on the order of 0.5 cm s⁻¹ during day time and 0.1 cm s⁻¹ during night time 79 80 (Wu et al., 2012).

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Rapid industrialization, traffic growth and urbanization in Asia cause increasing emissions of ozone precursors including NOx and VOCs. These emissions are projected to increase through 2020 in spite of the efforts of Asian countries to combat air pollution problems (Ohara et al., 2007). Most parts of Asia are NOx limited regions, i.e. controlling NOx in these regions would reduce ozone concentrations (Yamaji et al., 2006; Sinha et al., 2013). India and

China are by far the largest emitters in Asia. Satellite observations by the SCanning Imaging 87 Absorption SpectroMeter for Atmospheric CHartographY (SCIAMACHY) and Ozone 88 Monitoring Instrument (OMI) exhibit positive trends ~ 3.8 %/year in tropospheric column NO₂ 89 over India (Ghude et al., 2013) and 7.3(±3.1) %/year over China (Schneider and van der A, 90 2012). Although there is a debate if these observed NOx changes can be directly related to 91 92 emission changes, there is no doubt that increased NOx concentrations may enhance the formation of PAN, some of which is then transported into the UTLS by the Asian summer 93 monsoon (ASM) circulation. In addition to PAN being transported from the polluted boundary 94 95 layer it can also be formed in the upper troposphere through the production of NOx from lightning (Tie et al., 2001; Zhao et al., 2009). Lightning activity over Southern Asia is highest 96 during the monsoon season (Ranalkar and Chaudhari, 2009; Penki and Kamra 2013). The 97 estimated global NO_X production by lightning is ~3 Tg N/yr (Nesbitt et al., 2000; Tie et al., 98 2002). Simulations with the model of ozone and related tracers (MOZART) show an increase in 99 UTLS PAN over the ASM region due to lightning by 20-30% (Tie et al., 2001). 100

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Thus it is interesting to examine the influence of Asian monsoon convection on the 102 103 distribution of PAN in the global UTLS. Also, the impact of enhanced NO_X emissions from India and China, on the redistribution of PAN and other related chemical species, in the global 104 UTLS merits attention. Due to the NOx limitation in India and China, the impact of enhanced 105 106 VOC emissions on the distribution of PAN is expected to be smaller. Investigating this in more detail goes beyond the scope of this study, because one would have to define a credible VOC 107 108 speciation and its changes over time. We employ the state-of-the-art ECHAM5-HAMMOZ 109 chemistry climate model (Roeckner et al., 2003; Horowitz et al., 2003; Stier et al., 2005) and perform sensitivity simulations in order to investigate the relative contributions from India and China to the increased UTLS PAN concentrations. The paper is organized as follows: Data analysis, model description and setup are described in section 2. In section 3, we discuss the distribution of PAN in the UTLS during the ASM from satellite measurements and its transport from model simulations. Section 4 contains satellite observed trends in PAN over India and China. The impact of enhanced anthropogenic Asian NO_X on PAN, HNO₃ and ozone are discussed in section 5. Conclusions are given in section 6.

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2. Data and analysis

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2.1 Satellite measurement

The MIPAS-E instrument onboard the ENVIronmental SATellite (ENVISAT) was launched 120 in March 2002 into a polar orbit of 800 km altitude, with an orbital period of about 100 minutes 121 and an orbit repeat cycle of 35 days. MIPAS-E (Fischer and Oelhaf, 1996; Fischer et al., 2008) 122 was a Fourier Transform Spectrometer that provided continual limb emission measurements in 123 the mid infrared over the range 685-2410 cm-1 (14.6-4.15 µm). From June 2002 to March 124 2004 MIPAS operated in its full spectral resolution mode at an unapodized resolution of 0.035 125 cm^{-1} , and with tangent altitude steps of 3 km in the UTLS. From January 2005 through the end 126 of the mission the spectral resolution was reduced to 0.0875 cm⁻¹, while the tangent altitude 127 steps in the UTLS were reduced to 1.5 - 2 km. Until the platform's failure in April 2012 MIPAS 128 monitored atmospheric minor constituents affecting atmospheric chemistry including PAN, NO_X. 129 and O₃. The details of the general retrieval method and setup, error estimates and use of 130 averaging kernel and visibility flag are documented by Von Clarmann et al. (2009). Details of 131 the PAN retrievals, error budget, and vertical resolution are given by Glatthor et al. (2007) for 132

133 the 2002 – 2004 measurement period for data version V3O PAN 5, and by Wiegele et al. (2012) for the 2005 - 2012 measurement period for data version V5R PAN 220/V5R PAN 221 134 (different naming 220/221 merely due to technical reasons). The total error of PAN retrievals is 135 below 20% from 10-12 km, below 30% from 12-16 km, and below 40% above 16km for 136 V3O_PAN_5 (see Fig. 2 in Glatthor et al., 2007). The error is dominated by contributions of 137 spectral noise and the uncertainty of the instrument pointing. Table 3 in Wiegele et al. (2012) 138 indicates that for the V5R_PAN_220/221 product the total error is below 10% from 10-12 km 139 and above 100% for altitudes greater than 15 km. Again spectral noise and the uncertainty of the 140 141 instrument pointing are the main contributors.

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The sensitivity of the PAN retrievals can be judged by the averaging kernels. Since two types 143 of retrievals are used in this study, V3O_PAN_5 and V5R_PAN_220/221 from high and reduced 144 spectral resolution, respectively, we give two examples of the respective averaging kernel rows. 145 The locations of the examples are 26 degree N and 81 degree E for the V3O PAN 5 example 146 and 28 degree N and 85 degree E for V5R_PAN_220. The figure S1 (a) and (b) shows the rows 147 of the averaging kernels for an altitude range of 5 to 25 km. The diamonds indicate the respective 148 149 nominal altitudes of the retrieval grid. The figure S1 shows that the retrieval results below 8-9 km are dominated by information from above the nominal altitude. A similar, albeit less obvious, 150 situation develops for altitudes above 22-23 km. There and above the information has an 151 152 increasing weight from lower than nominal altitudes. This is the reason why the MIPAS PAN data is not considered below 8 km and above 23 km. Another effect clearly visible in both 153 154 example plots is that the altitude region which influences the retrieved PAN value at a given 155 altitude is increasing with altitude, i.e. the vertical resolution decreases with altitude. To account for the comparatively low, and altitude dependent, vertical resolution, the model data to be
directly compared to MIPAS measurements was convolved with the MIPAS PAN averaging
kernel.

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In this study we analyze the MIPAS-E observed PAN data during the period 2002-2011. The data are accessible from <u>http://share.lsdf.kit.edu/imk/asf/sat/mipas-export/Data_by_Target/</u>. The data versions used are V3O_PAN_5 for 2002 – 2004, and V5R_PAN_220/V5R_PAN_221 for 2005 - 2011. The data is processed as per the quality specifications given in the documentation. The useful height range is specified as 5 to 23 km. The data are contoured and gridded at 4 degree longitude and 8 degree latitude resolution.

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2.2 ECHAM5-HAMMOZ model simulation and experimental setup

The ECHAM5-HAMMOZ aerosol-chemistry-climate model used in the present study 168 comprises of the general circulation model ECHAM5 (Roeckner et al., 2003), the tropospheric 169 170 chemistry module, MOZ (Horowitz et al., 2003), and the aerosol module, Hamburg Aerosol Model (HAM) (Stier et al., 2005). The gas phase chemistry is based on MOZART-2 model 171 (Horowitz et al., 2003) chemical scheme, which includes a detailed chemistry of Ox-NOx-172 hydrocarbons with 63 tracers and 168 reactions. The radiative transfer calculation considers the 173 simulated concentrations of both ozone and aerosols. The $O(^{1}D)$, quenching reaction rates were 174 updated according to Sander et al. (2003), and isoprene nitrates chemistry according to Fiore et 175 al. (2005). In the MOZART chemical mechanism the PAN formation process occurs through the 176 reaction of Peroxy Acetyl radical (CH₃CO₃) and NO₂. This reaction is reversible and the thermal 177 178 decomposition of PAN back to CH₃CO₃ and NO₂ is the main sink of PAN. The reaction rates for 179 this reversible reaction are updated according to Sander et al. (2006). CH_3CO_3 is mainly formed by oxidation of acetaldehyde (CH₃CHO) by OH, and by the photolytic decomposition of 180 Acetone (CH₃COCH₃) and Methylglyoxal (CH₃COCHO). In the model simulations we included 181 emissions of acetone from anthropogenic sources and wild fires (primary sources), while 182 acetaldehyde and methylglyoxal are produced by oxidation of other NMVOCs (secondary 183 sources). In particular oxidation of primary NMVOCs like ethane (C_2H_6) , propane (C_3H_8) and 184 propene (C₃H₆) forms acetaldehyde, while CH₃COCHO is mainly formed from the oxidation 185 products of isoprene and terpenes. Higher Acyl Peroxy Nitrates (MPAN) are included in 186 187 MOZART-2 chemical scheme, they are also formed through oxidation of NMVOCs, but their production is not significant compared to PAN. The main loss process of PAN from the 188 atmosphere is the thermal decomposition into its precursors. Other loss processes are photolysis 189 and reaction with OH. In ECHAM5-HAMMOZ dry deposition follows the scheme of Ganzeveld 190 and Lelieveld (1995). Soluble trace gases such as HNO₃ and SO₂ are also subject to wet 191 deposition. In-cloud and below cloud scavenging follows the scheme described by Stier et al. 192 (2005). PAN is not highly water soluble, therefore wet deposition is a minor removal process, 193 and dry deposition is also not significant. 194

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The model is run at a spectral resolution of T42 corresponding to about 2.8 x 2.8 degrees in the horizontal dimension and 31 vertical hybrid σ -p levels from the surface up to 10 hPa. The details of model parameterizations, emissions and validation are described by Fadnavis et al. (2013), Pozzoli et al. (2008a; 2008b; 2011). Here, we note that our base year for aerosol and trace gas emissions is 2000. Each member of our sensitivity study consists of continuous simulations for 10 years from 1995 to 2004. Emissions were the same in each simulation, and
meteorology varied because of different sea surface temperature (SST) and sea ice (SIC) data.
The AMIP2 SSTs and SIC representative of the period 1995 - 2004 were specified as a lower
boundary condition.

In order to understand the impact of enhanced anthropogenic NO_X emissions on the 206 distributions of PAN, HNO₃ and ozone in the UTLS, we conducted 6 simulations for the period 207 1995-2004: (1) a reference experiment and five sensitivity experiments (referred to as 208 experiments 2-6) where NOx emissions over India and China were scaled according to the 209 observed trends. Simulation (2) increases NOx emissions over India by 38% (Ind38), run (3) 210 211 those over China by 73% (Chin73). Experiment (4) shows the effect of the combined changes 212 (India by 38%, China by 73%) (Ind38Chin73). Experiment (5) assumes equal relative changes of NOx emissions (India by 38%, China by 38% (Ind38Chin38)) in order to analyze the respective 213 214 contributions, and to understand the regional emission enhancement impact on the UTLS for 215 same emission enhancement over China. In experiment (6) emissions are increased over India by 216 73% (Ind73) to analyze impact of Indian emission with equal emission from China. The 217 emission perturbations were derived from observed NO₂ trends of ~3.8% per year over India 218 (Ghude et al., 2013) and 7.3 (±3.1) % per year over China (Schneider and van der A, 2012). Similar values of NO₂ trends (5 - 10 %/year) are also reported by Hilboll et al. (2013) over the 219 220 megacities of India and China.

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3. Results and discussions

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224 **3.1.** Comparison with aircraft and ozonesonde measurements

Model simulated PAN, NO_X, HNO₃ and Ozone mixing ratios are evaluated with 225 climatological datasets of airborne campaigns during the monsoon season (June-September). The 226 data were retrieved from http://acd.ucar.edu/~emmons/DATACOMP/CAMPAIGNS/. The NO_X 227 228 and ozone volume mixing ratios observed during CAIPEEX experiment, September 2010, are evaluated over the Indian region. The details of instrument and measurement technique are 229 available at http://www.tropmet.res.in/~caipeex/about-data.php. The list of data sets and aircraft 230 231 campaigns are presented in Table 1. For the comparison, aircraft observations are averaged over 0-2 km, 2-6 km and 6-8 km and at the center latitude and longitude of the flight region. Model 232 simulations are also averaged at the same altitudes. Figure 1(a)-(k) compare the observed global 233 234 distribution of PAN, ozone, HNO₃ and NO_X to those simulated by ECHAM5-HAMMOZ. The 235 mean aircraft observations are shown as filled circles and model output are background contours. Figure 1 indicates that model simulated PAN, HNO₃ and NO_X show good agreement with 236 aircraft measurements. Figure S2 indicates the model bias (ECHAM5-HAMMOZ-Aircraft 237 238 observations) in PAN, ozone, HNO₃ and ozone. The model bias is different at each location. It 239 varies with species and altitude. Between 0-2km, simulated PAN shows positive bias ~7-12ppt in the Western Pacific, 52-105 ppt over United States of America (USA). Ozone shows positive 240 bias ~7ppb over India, ~3-15ppb over western Pacific, negative bias ~2-20 ppb in mid latitude 241 242 Atlantic and positive bias 2-20ppb over tropical Atlantic, ~2-18 ppb over USA. HNO₃ is higher by ~20-75 ppt over Western pacific and less as ~-5 ppt at few locations. Over the UAS bias is 243 negative less than 5 ppt. NOx shows positive bias ~40 ppt over India and 0-10 ppt over the 244

245 Western Pacific. Between 2-6m and 6-10km, over the West Pacific simulated PAN show negative bias ~10-20 ppt and positive bias ~5-50 ppt at some locations. Over the USA bias value 246 are ~4-70 ppt. Ozone is lower by ~10-15 ppb and higher by ~3-30 ppb at some locations in the 247 248 western Pacific, 3-30 ppb over Atlantic and ~2-30 ppb over USA. The positive bias in HNO₃ reduces to 3-20 ppt and negative bias to 3 to 20 ppt over the Western Pacific, over the USA 249 negative bias is ~20 ppt and positive bias is ~3-70 ppt. The NOX shows negative bias ~40 ppt 250 over India. The bias values vary between 6 to 10 ppt over Western Pacific, 15 to -20 ppt over 251 USA and Atlantic. As can be seen from above discussions, ozone exhibits a low bias over South 252 America and the Atlantic (for 0-6km). Model simulated ozone and NO_x show good agreement 253 with CAIPEEX measurements over the Indian region. Model simulated seasonal mean ozone is 254 compared with ozonesonde measurements (2000-2009) over three distributed stations, where 255 vertical profiles are available for long period (1) near equator (Thiruvananthapuram: 8.4875° N, 256 76.9525° E, (2) tropical (Pune: 18.52° N, 73.85° E) and (3) subtropical stations (Delhi: 28.61° 257 N, 77.23° E) over India. The simulated ozone profiles are extracted at the grid nearest to the 258 centre of the above three stations. Figure S3 depicts that simulated ozone show fairly good 259 agreement with ozonesonde measurements over all the three stations: In general, simulated 260 261 ozone is less than ozonesonde measurements except at Delhi (between 200-100 hPa). At Delhi simulated ozone is less than ozonesonde by \sim 3-40 ppb in the troposphere and by 1000-4000 ppb 262 in the lower stratosphere. The differences are larger at Pune (troposphere ~ 20-120ppb, 263 264 stratosphere ~ 900-2500 ppb) and least at Thiruvananthapuram (troposphere ~ 10-30ppb and ~stratosphere 300-1300 ppb). This may be due to differences in spatial coverage. The 265 ozonesonde measurements are at the stations (although ozonesonde drifts horizontally) while 266 model simulations are at grid $(2.8^{\circ}x2.8^{\circ})$ nearest to the station. 267

3.2 Transport of PAN into the UTLS due to monsoon convection

Figure 2(a) shows the vertical distribution during the annual cycle of the MIPAS-E PAN 269 climatology (for the period 2002-2011) averaged over the ASM region (10-40°N; 60-120°E). 270 271 ECHAM5-HAMMOZ simulated PAN mole fractions are smoothed with the averaging kernel of MIPAS. The monthly distribution clearly shows elevated levels of PAN in the UTLS during the 272 ASM season (June-September). Seasonal variation of ECHAM5-HAMMOZ simulated PAN 273 (obtained from reference experiment) over this region is plotted in figure 2(b) for comparison. It 274 also indicates plume rising into the UTLS during the ASM season, although PAN mole fractions 275 276 are less than those obtained from MIPAS-E especially during July and August. These differences may be due to uncertainties in VOC, NO_x emissions, chemistry represented in the model, 277 transport errors and model coarse resolution. Also MIPAS-E views the atmosphere from above 278 279 and there are uncertainties in the MIPAS-E retrievals. The cross-section plots of (see figure S4) differences in MIPAS-E PAN with model simulated PAN indicate that in the UTLS (8-23km), 280 MIPAS-E PAN is higher than model simulated PAN by ~20-60 ppt (except above 20km). It is 281 lower by 20-40 ppt over eastern part of anticyclone (Southern India and South east Asia) and 20-282 40 ppt over Indonesia northern Australia. Near the southern pole MIPAS-E is PAN higher than 283 284 ECHAM5-HAMMOZ by 20-90 ppt. The model could not produce high PAN concentrations near the southern pole between 17 and 23 km. In general, in the ASM region during the monsoon 285 season MIPAS-E PAN is higher than model by 30-60 ppt between 8-16km and model bias vary 286 287 between +40 ppt to -40 ppt between 17 to 23km. The comparison of PAN measurements from MIPAS-E with Atmospheric Chemistry Experiment-Fourier Transform Spectrometer (ACE-288 FTS) indicates MIPAS-E PAN is higher than ACE-FTS in the UTLS by 70 ppt at the altitudes 289 290 between 9.5-17.5 km, which lies within limits of measurement error (Tereszchuk et al.,

2013).This indicates that model simulated PAN concentrations in the UTLS show reasonableagreement with MIPAS-E.

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The observed high concentrations during the monsoon season may be due to transport 294 from the lower troposphere due to strong convection and partially due to lightning activity. Thus 295 in order to study the influence of ASM circulation on the distribution of PAN in the UTLS 296 297 region, the seasonal mean PAN concentrations (June-September) is analyzed. We present here estimates of the PAN climatology from MIPAS-E for the ASM season. Figure 2 (c) and (d) 298 exhibit the seasonal mean distribution of PAN as observed by MIPAS at 14 km and 16 km 299 respectively. PAN distribution obtained from ECHAM5-HAMMOZ reference simulations at 14 300 301 and 16km are plotted in figure 2(e) and (f) respectively for comparison. Figures 2(c) - 2(d) show 302 maxima in PAN concentrations (~200-250 ppt) over Asian monsoon anticyclone region (12-40N, 303 20-120E). The model is able to reproduce the maximum in PAN in the monsoon anticyclone, but 304 simulated PAN concentrations are less than MIPAS observations.

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To illustrate vertical transport in the Asian monsoon region, longitude-altitude crosssection averaged over monsoon anticyclone region 10-40°N and for June-September as obtained from MIPAS PAN observations and ECHAM5-HAMMOZ baseline simulations (8-23km) are shown in figures 3(a) and (b) respectively. Both MIPAS observations and ECHAM5-HAMMOZ simulations show elevated levels of PAN (200-250ppt) over the foot hills of Himalaya (80-100E) and pollution sources in Europe and Asia. The vertical winds plotted in figure 3(b) show cross tropopause transport from the region 80-100°E. Figure 3(c) reveals transport of boundary layer 313 PAN to UTLS mainly from strong convection region of the South China Sea (~100-120E) and 314 Southern Flank of Himalaya (~80-90E). In agreement with our results, previous studies also indicate significant vertical transport due to strong monsoon convection from the southern slopes 315 316 of the Himalayas (Fu et al., 2006, Fadnavis et al., 2013) and the South China Sea (Park et al., 2009). The climatology of the Advanced Very High Resolution Radiometer (AVHRR), 317 Atmospheric Infrared Sounder (AIRS), and Moderate Resolution Imaging Spectroradiometer 318 (MODIS) observations show frequent deep convection over the Bay of Bengal and over the foot 319 hills of the Himalayas (Devasthale and Fueglistaler, 2010). From Trajectory analysis Chen et al. 320 321 (2012) reported that the three dominant regions contributing to transport from the boundary layer to the tropical tropopause are: (i) the region between tropical Western Pacific region and South 322 China Seas (38%), (ii) the Bay of Bengal and South Asian subcontinent (BOB, 21%), and (iii) 323 324 the Tibetan Plateau including the South Slope of the Himalayas (12%).

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The latitude-altitude cross section of MIPAS-E PAN concentrations (averaged over 60-326 120° E) shows high levels of PAN over the northern subtropics (20-40°N) (see figure 3(d)). The 327 model simulated PAN shows a similar distribution (see figure 3(e)). The simulated PAN 328 distribution at the surface reveals that the observed high levels of PAN in the UTLS are from the 329 subtropical boundary layer (see Figure 3(f)) and are then transported upwards in deep 330 convection. The PAN is also transported from 40-60^oN reaching up to 16 km. This plume is 331 related to the biomass burning activity during this season over North-east China, Siberia, 332 Mongolia (figures not shown). The biomass-burning emissions estimated from satellites show 333 334 intense biomass burning activity over these regions during monsoon season (Choi et al., 2013).

In agreement with our results, ACE-FTS PAN measurements also shows plume (concentrations
 > 280pptv) rising from Siberia (Tereszchuk et al., 2013).

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The boundary layer lofting of PAN by deep convection may increase NO_X and hence 338 change the ozone concentrations in the UTLS and at remote locations to where it gets transported 339 by the Brewer Dobson circulation (Randel et al., 2010). Another model simulation study 340 indicates that PAN increases ozone production by removing NOx from regions of low ozone 341 342 production efficiency and inject it into regions with higher ozone production efficiency, resulting in a global increase in ozone production by 2% (Walker et al., 2010). The strong lightning 343 activity during the monsoon season (Ranalkar and. Chaudhari, 2009, Penki and Kamra, 2013) 344 enhances the concentrations of PAN species through production of NO_X (Tie et al., 2001,2002; 345 Labrador et al., 2005; Zhao et al., 2009; Cooper et al., 2009) that is released into a background 346 atmosphere with some traces of VOCs. MOZART model simulations show that lightning 347 enhances PAN emissions ~20-30% and HNO₃ ~60-80% in the middle troposphere (Tie et al., 348 2001). ECHAM5-HAMMOZ simulations show lightning increase in NO_X of ~ 50-70%, O₃ ~20-349 35%, HNO₃ ~ 50-75% and PAN ~ 20-35 over the ASM, respectively (Fadnavis et al., 2014,). 350

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352 4. Trends in PAN in the UTLS of ASM region

Trends in PAN have been computed from MIPAS-E observation in the UTLS (8-23km), over the ASM region (10-40 $^{\circ}$ N, 60-120 $^{\circ}$ E), India (8- 35 $^{\circ}$ N, 70-94 $^{\circ}$ E) and China (20-45 $^{\circ}$ N, 85-130 $^{\circ}$ E). The trends are estimated with the method presented by Von Clarmann et al. (2010). To estimate vertical profiles of annual and seasonal trends we took into account altitude dependent fit parameters: 1) a possible bias between the PAN values of the 2002-2004 and 2005-2011 358 measurement periods (details are documented by Von Clarmann et al., 2010). 2) Amplitude and phase of the QBO, and 3) amplitudes and phases of periodic variations with periods of 3, 4, 6 359 and 12 months. The estimated trends are not significant at the altitudes between 8km and 9km 360 due to the small number of data points. The trends from model simulations are calculated from 361 difference between Ind38Chin73 and reference simulations. The estimated annual and seasonal 362 363 trends are shown in figure 4(a) and (b) respectively. Model simulated and MIPAS-E observed PAN in the UTLS shows positive trends. The trends obtained from MIPAS-E observations are 364 statistically significant at 2 Sigma level (except at few altitudes). The annual trends in MIPAS-E 365 PAN vary between 0.1 \pm 0.05 to 2.7 \pm 0.8 ppt/year over the ASM region, 0.4 \pm 1.3 - 3.2 \pm 0.49 366 ppt/year over India and $1.1 \pm 0.25 - 3.4 \pm 1.3$ ppt/year over China. Trends over India are 367 insignificant between 12-14km. Figure 4 (a) shows that in the upper troposphere (10-14km) 368 trends are higher over China as compared to India. In general the trend values are higher near the 369 tropopause (~18-19km). The trends computed from model simulations are less than the trends 370 obtained from MIPAS-E observations. This may be due to the fact that the simulations do not 371 account for any increase in VOC emissions. However, they show similar regional variations. The 372 model estimated trends over the ASM region vary between 0.1-1.9 ppt/year, India ~0.2-2.2 373 374 ppt/year and China ~0.8-2.4ppt/year. The increases in transportation, industrialization, and the number of coal burning power plants results in the increase of NO_X over south and eastern Asia. 375 The satellite observed positive trends of NO_X emissions over these regions (Ghude et al., 2013; 376 377 Schneider and van der A, 2012) show coherence with estimated trends in MIPAS-E PAN. The estimated trends in MIPAS-E PAN during the monsoon season are larger than annual trends at 378 379 the altitude above 16 km for all the three regions. At the altitudes below 14km seasonal trends 380 are less than annual trends.

381 During the monsoon season, the estimated trends are positive and statistically significant at 2 sigma level. Over India the seasonal trends in MIPAS-E PAN vary between 0.5 ± 0.8 and 382 2.7 ± 0.47 ppt/year. In the upper troposphere, observed trends are statistically insignificant and 383 they are negative between 12-14km. The trends are higher over the China than India varying 384 between 0.95 ± 1.2 and 2.9 ± 0.45 ppt/year, indicating that Chinese emissions contribute more 385 to the anticyclone. The statistically insignificant positive and negative trends in the upper 386 troposphere over India may be related to convective transport and removal of NO_X by wet 387 scavenging in the region near southern part of Himalayas (Fadnavis et al., 2014). Model 388 389 simulations for enhanced NO_X emissions over India show a non-linear increase in PAN in the upper troposphere (see the discussions in section 5.3). The variation of trends during the 390 monsoon season computed from ECHAM5-HAMMOZ PAN is similar to the trends obtained 391 from MIPAS-E PAN although the estimated trends are lower. They vary between ~0.9- 3ppt 392 /year over India, $\sim 1 - 4.5$ ppt/year over China and ~ 0.8 - 3.6 ppt/year over ASM. 393

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Trends are larger over China than India in the upper troposphere and vice-a versa in the 395 lower stratosphere. The 73% change in emissions over China involve larger total emissions than 396 397 the 38% change over India since China emits more than India. Most of the Indian emissions are lofted to higher altitudes than the Chinese emissions by the deep convective system at the 398 southern slopes of the Himalayas. However, a fraction of the Chinese emissions are lofted via 399 400 this convective transport as well. The role of relatively shallower convective systems in lofting Chinese emissions is greater compared to India (details are given in section 5). Satellite 401 observations show higher tropospheric NO_X concentrations over China compared to India 402 403 (Schneider and van der A, 2012; Ghude et al., 2013). Because of higher absolute NOx 404 concentrations over China, the same percent change in emissions will lead to a larger PAN trend 405 in this region compared to India. The amount of PAN observed in the anticyclone over the ASM 406 region depends on the transport pathways of the air mass. During the monsoon season the air 407 mass in the anticyclone is from polluted regions of Asia and East Asia. The polluted air (NO_X 408 and VOCs) from these regions, transport high amount of PAN into the UTLS where 409 temperatures are colder; hence it will retain more of PAN (Nowak et al., 2004).

410

The increasing trends in lightning activity during monsoon season (Penki and Kamra, 411 412 2013; Yang and Li, 2014) will increase lightning induced reactive nitrogen (NOx), and nitrogen reservoir species (HNO₃, PAN). The lightning-produced PAN is readily carried by convective 413 updrafts to the lower stratosphere where its lifetime is considerably longer (Labrador et al., 414 2005). The increase in frequency of deep convective clouds over the tropical land mass (Aumann 415 and Ruzmaikin, 2013) may cause increase in frequency of vertical transport. Radar, AVHRR, 416 AIRS, and MODIS satellite observations show frequent overshoots deep into the tropical 417 tropopause layer during monsoon season (Devasthale and Fueglistaler, 2010; Hassim et al., 418 2014). The vertical distribution of the seasonal trend suggests that there is an increasing trend in 419 420 transport of PAN into the lower stratosphere due to deep monsoon convection. Thus observed increases in UTLS PAN during the monsoon season is related to increase in trends in (1) 421 emissions at the surface, (2) frequency of overshooting convection and (3) production from 422 423 lightning.

In general, the trends estimated from MIPAS-E and ECHAM5-HAMMOZ PAN larger over China than India at altitudes below 14 km and vice versa above 14 km. This may be related with the amount of pollution outflow in the upper troposphere and lower stratosphere from India and China. The pollution from China released primarily below 14 km and Chinese emissions dominate over Indian emissions. The pollution from India has substantial outflow above 14 km due to convective lifting from southern slops of the Himalayas.

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432 5. Impact of enhanced anthropogenic Asian NO_X on PAN, HNO₃ and O₃

The satellite observations and model simulations indicate that boundary layer pollutants 433 are lofted into the UTLS by monsoon convection (Randel et al., 2010; Fadnavis et al., 2013; 434 435 Fadnavis et al., 2014). In the UTLS transport occurs through the monsoon anticyclone and across the tropopause (Fadnavis et al., 2013). The transport of boundary layer Asian NO_X into the 436 UTLS due to monsoon convection is evident in model simulations (see Figure S5). In order to 437 438 better understand the impact of enhanced anthropogenic Asian NO_x emission lifted to UTLS by ASM convection on the distribution of PAN, HNO₃, and ozone we calculate percentage change 439 of these constituents for the Ind38, Chin73, Ind38Chin38, Ind38Chin73and Ind73 simulations 440 with respect to reference simulations. Although we have analyzed horizontal (latitude-longitude) 441 cross-sections at different altitudes within the UTLS (8-23km), here we present plots only at 16 442 443 km as a representative of the tropical UTLS layer.

444

445 5.1 Impact on PAN

Figures 5(a)-(e) show the percentage change in PAN at 16 km for Ind38, Chin73,
Ind38Chin73, Ind38Chin38 and Ind73 simulations. The Ind38 simulation shows an increase in

PAN of ~10-18% with a 95% significance level over China and the western Pacific Ocean between Indonesia and Japan. Similar high increases also occur over the northern Caspian Sea and over Weddell Sea near Antarctica. The increase in PAN is ~1-6% over most of the other regions in middle and low latitudes. PAN decreases in polar regions reflecting a change in the diabatic circulation transport with enhanced descent of low PAN air at high latitudes in the stratosphere.

454

The Chin73 simulations show an increase in PAN of ~18-30% over China, and the 455 western Pacific Ocean between Indonesia and Japan and 10-18% over India. An increase in PAN 456 of ~20% is seen to the north of Japan and ~15% over the Black Sea, southern Pacific Ocean, 457 southern Indian Ocean and Australia. The increase in PAN over other subpolar regions is ~1-6%. 458 During the monsoon season, the westerly winds in the upper troposphere transport NO_{y} from 459 China eastward over the Pacific Ocean. The increased values in the southern hemisphere middle 460 latitudes similar to the maxima in the northern hemisphere middle latitudes indicate a change in 461 the baroclinic eddy storm tracks. Since the PAN in the extratropics shown in Figure 5 is in the 462 lowermost stratosphere it is the change in the Rossby waves penetrating from the tropospheric 463 storm tracks that is producing the anomaly structure. This is not a long range transport feature 464 but a reflection in a change in the circulation. 465

466

The Ind38Chin73 simulations (figure 5(c)) show increases of PAN ~14-40% over India, China and the western Pacific and ~10-20% over the Pacific Ocean ($30^{\circ}N-35^{\circ}S$). This gives a combined picture of Ind38 and Chin73 simulations, indicating superposing of trends. The outflow over the Pacific Ocean is more pronounced compared to the Chin73 case as is to be 471 expected given the Ind38 case shows transport over the western Pacific. The percentage increase of PAN in the Ind38Chin38 simulations (figure 5 (d)) shows a ~10-25% increase over India, 472 China, and the western Pacific Ocean as in the previous cases. The pattern of PAN increase seen 473 in the Ind38Chin38 case over central Asia and the Black Sea does not persist in the Ind38Chin73 474 case with non-uniform emissions increases. We attribute this to changes in the dynamics between 475 these simulations that are induced by feedback from ozone and sulfate aerosol produced from 476 SO₂ oxidation on the radiation. The baroclinic eddy storm track changes are not the same for the 477 two emissions scenarios. Closer to the emissions source regions the PAN response is more linear. 478

479

Figures 6 (a)-(d) show changes of PAN in ppt. The figures for volume mixing ratio are 480 481 given instead of percent since they more clearly indicate the transport pathways. There is a common pathway for PAN into the UTLS in response to increases in NOx emissions over both 482 India and China in the strong convective uplift over the region of Nepal at the southern flanks of 483 484 the Himalayas. An ensemble simulation with emissions increased by 73% instead of 38%, Ind73, (Figure 5 (e)) produced much higher values of PAN in the anticyclone region but did not 485 486 produce a significant increase in the outflow over the Pacific Ocean. The increase in PAN for 487 Ind38Chin73 simulation is 5-20% between 10-14 km and ~ 20-40% at the altitudes between 16-22 km over India and China. This is in agreement with observed trends in MIPAS-E PAN 0.5 -488 2% between 10-14km and 2-4 %/year between 16-22 km. Comparison of Ind73 and Chin73 489 490 simulations show that PAN outflow over the Pacific Ocean is due to primarily to Chinese emissions with increased values over most tropical longitudes in the case of the latter. Doubling 491 (~1.9 times) the NO_X emissions over India increases the PAN amounts by ~4 - 12% over the 492

ASM region and the western Pacific Ocean in the UTLS. The nonlinear response to increases in 493 NO_X emissions over India and China is related to transport pathways. Analysis of the PAN 494 distribution at different altitudes (not shown) indicates that emissions from India enter the 495 496 UTLS over the region of Nepal but emissions from China are transported above 8 km over eastern China to the north of Vietnam. In the case of Chinese emissions, there is PAN 497 transported over the Pacific Ocean at 8 km and at higher altitudes. The Indian emissions are 498 being injected into the ASM anticyclone but a large part of the Chinese emissions enters the 499 UTLS to the east of the anticyclone. 500

501

The anticyclone is an effective containment vessel for trace constituents in the UTLS 502 around the tropopause level (Park et al., 2008). Park et al. (2009) found that emissions over 503 India and the Bay of Bengal account for most of the CO in the anticyclone at 100 hPa and 504 emissions over China make a secondary contribution (see their Figures 9 and 10). Convective 505 506 detrainment occurs primarily below 150 hPa, which is the case over China, and only part of it 507 becomes entrained in the anticyclonic circulation with the rest being transported to the 508 southwest in the Hadley circulation and the northeast over the Pacific Ocean (Jiang et al., 2007; 509 Park et al., 2009).

510

Another feature apparent in the 16 km distribution of PAN and the other species covered in this section is that the overall change is positive in the tropics and negative in the extratropics. This indicates an intensification of the Brewer-Dobson circulation since there is no chemical mechanism to explain this pattern for all these species. Changes in the synoptic scale circulation are also evident in the positive and negative tracer anomaly structures in the extratropics. At 16 km this reflects Rossby wave changes induced by shifting of the baroclinic eddy storm tracks in the troposphere associated with the nonlinear dynamical response to heating perturbations in response to chemical changes.

- 519
- **520 5.2 Impact on HNO**₃

521 Changes in distribution of HNO_3 (%) at 16 km due to enhanced anthropogenic Asian NO_X emissions are shown in figures 7(a)-(e). Ind38 simulations show 1-5% increase in HNO₃ over 522 most of the regions with a 95% statistically significant high of 10-14% over the South China Sea 523 524 and a high of over 20-25% over the East China Sea. The Chin73 simulations show increase in 525 HNO_3 in a region between 30S and 30N with few patches over other regions. There is a 526 significant increase in HNO₃ \sim 14-40% over the monsoon anticyclone and over 30% over South 527 East Asia, China, South and East China Seas and over the western Pacific Ocean south of Japan. 528 There is an increase (95% confidence level) in HNO₃ of ~8-14% over the tropical Pacific Ocean 529 extending to South America. The Ind38Chin73 and Ind38Chin38 simulations show statistically significant increases of HNO₃ in the monsoon anticyclone region. However, comparing the India 530 and China uniform and non-uniform emissions increase cases it is apparent that a 38% increase 531 532 in NOx emissions over China is not sufficient to drive a significant HNO₃ response over the central and eastern Pacific Ocean. The dynamical response to the NOx emissions changes is such 533 that HNO₃ is lower in most of the extratropics at 16 km. 534

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537 **5.3** Impact on NO_v

538 In this section we present impact of enhanced NOx emissions on NO_{y.} The NO_y is 539 computed from

540
$$NO_{v} = NO + NO_{2} + NO_{3} + 2*N_{2}O_{5} + HNO_{3} + HNO_{4} + PAN + MPAN + ONIT + ONITR + ISOPNO_{3}$$

The impact of enhanced NO_X emission over India and China (Figure 8 (a)-(e)) lead to changes in NO_y (in the UTLS) similar to PAN and HNO₃ (see Figures 5 and 7). The increase in NOx emissions over the Indian region lead to an increase of high amount of NO_y over China (1-11%) and the western Pacific Ocean (2-11%) while increase in NO_X emissions over China increases NO_y over India (2-15%), South East Asia (3-11%) and South China Sea (7-15%), Indian Ocean (3-15%)and Pacific Ocean (2-15%).

547

548 Figures 5-8 show that increase in NO_X emissions over India, increases PAN, HNO₃ and NO_v in the UTLS over South East Asia and South China Sea. Concentration of these species is 549 less over the Indian region especially near southern parts of the Himalaya, from where boundary 550 layer Indian pollutants are transported into the monsoon anticyclone (Fadnavis et al., 2013). 551 However, increase in NO_X emissions over China increases PAN, HNO₃ and NO_y into the 552 monsoon anticyclone. Part of these emissions is taken up by the westerly winds and is 553 transported over the Pacific Ocean. Similar increase in PAN, HNO₃ and NO_y is also observed for 554 Ind38Chin38 and Ind38Chin73 simulations. The low concentration of HNO₃, PAN and NO_y 555 over the convection region of the Himalaya may be due to removal of NO_X by wet scavenging. 556 The ozone distribution at 860 hPa show (figure not shown) for Ind38 simulations show high 557 anomalies over India and making its way over the Pacific Ocean to North America. The 558

559 longitudinal transect of HNO₃ (see figure 7 (f)) indicates that HNO₃ is depleted around 100 560 degrees East and this removal process is less effective going farther to the east, i.e. over China and South-East Asia. It is possible that the extra NOx over India is being locked up as HNO₃ and 561 removed by wet scavenging. High amount of water vapour present in the atmosphere during the 562 monsoon season, may remove NO_X by the reactions: NO₂+OH \rightarrow HNO₃ and N₂O₅ + 2 H₂O 563 \rightarrow 2HNO₃ that yields HNO₃ is more active in the convective zone south of the Himalayas. So the 564 efficiency of NOx conversion to HNO₃ is larger compared to that over China. A number of 565 previous studies (Holland and Lamarque, 1997; Shepon et al., 2007) have reported that wet 566 567 deposition of HNO₃ is the most important pathway of NOx removal in the free troposphere. The ECHAM5-HAMMOZ analysis of convective heating and vertical ascent in the troposphere over 568 the region of Nepal is more intense than convective systems over China. 569 This indicates that 570 HNO₃ differences are not only due to transport but may reflect differences in wet deposition as well. 571

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5.4 Impact on Ozone

Changes in ozone at 16 km due to enhanced Asian anthropogenic NO_X are shown in 574 575 figure 9(a) - (e). Increase in NO_X emissions over India (Ind38), increases ozone (3-7% or 20-60 ppt) over the Indian Ocean and South China Sea. Chin73, Ind38Chin38, Ind38Chin73 576 simulations show increase in ozone (3-10% or 20-100 ppt) over India, the Indian Ocean, South 577 578 East Asia, the South China Sea and the Pacific Ocean, indicating transport by westerly winds. The uniform increase in NO_X over India and China (Ind73 and Chin73) simulations show more 579 580 increase in ozone in the monsoon anticyclone in the case of Chinese emissions compared to 581 emissions from India. This is due to removal of NO_X by wet scavenging in the region near the

582	Himalayas. In the stratosphere, the impact of enhanced anthropogenic NO_X emissions is to
583	reduce ozone. ECHAM5-HAMMOZ simulations show a reduction in ozone in the stratosphere
584	(<70hPa). The highest ozone loss for the Ind38 simulation is ~0.5-2% in the stratosphere.
585	

6. Conclusions

Analysis of PAN estimates from MIPAS satellite for the period 2002-2011 and 588 ECHAM5-HAMMOZ global model simulations shows transport of boundary layer PAN into the 589 monsoon anticyclone due to strong convection. The latitude-altitude, longitude-altitude cross-590 section maps reveals transport mainly occur from strong convections region of the South China 591 592 Sea (~100-120E) and the southern flank of the Himalayas (~80-90E). These results are in agreement previous studies (Fu et al., 2006; Park et al., 2009; Fadnavis et al., 2013; Chen et al., 593 2012) indicating significant vertical transport by deep convection and diabatic heating induced 594 595 upwelling. Although the model simulations reproduce the main features, e.g. maximum in monsoon anticyclone and vertical transport into the UTLS, the MIPAS-E PAN is higher than 596 model by ~30-60 ppt. The comparison of MIPAS-E PAN measurements with ACE-FTS 597 indicates that MIPAS-E PAN is higher by ~70 ppt at the altitudes between 9.5-17.5 km 598 (Tereszchuk et al., 2013). 599

600

The MIPAS-E PAN observations in the UTLS over India and China show annual trends in 601 PAN varying between 0.4 ± 1.3 and 3.2 ± 0.49 ppt/year over India and 1 ± 0.25 and 3.4 ± 1.3 602 603 ppt/year over China. The seasonal trends are positive varying between 0.5 ± 0.8 and 2.7 ± 0.47 ppt/year over India and 0.95 ± 1.2 and 2.9 ± 0.45 ppt/year over China. In general, the estimated 604 605 trends are statistically significant at 2 sigma level except in the upper troposphere over India, 606 where positive and negative trends are statistically insignificant. These statistically insignificant 607 trends may be related to convective transport from the southern parts of the Himalaya and 608 removal of NO_X by wet scavenging. Model simulations for enhanced NO_X emissions over India 609 also show a non-linear increase in PAN in the upper troposphere. The estimated seasonal trends

610 are higher than annual trends at the altitude above 14 km over Indian, Chinese and ASM regions. 611 This may be due to transport by stronger deep convective activity during the monsoon season as observed in radar, AVHRR, AIRS and MODIS (e.g Devasthale and Fueglistaler, 2010; Hassim 612 et al., 2014). The observed increasing frequency of over shooting convection over the tropical 613 land mass (Aumann and Ruzmaikin, 2013) indicate increasing trend in transport across the 614 615 tropical tropopause in agreement with our results. Quantification of changes in UTLS-PAN due to overshooting convection is beyond the scope of this study. The trends estimated from 616 observations and model simulations are higher over China as compared to India, at the altitude 617 below 14 km and vice versa above 14 km. This may be related with the amount of pollution 618 outflow in the upper troposphere and lower stratosphere from India and China. The pollution 619 from China is released primarily below 14 km and Chinese emissions dominate over Indian 620 emissions. The pollution from India has substantial outflow above 14 km due to convective 621 lifting from southern slops of the Himalayas. 622

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The trends estimated from sensitivity simulations for Ind38Chin73 are less than the 624 trends in MIPAS-E PAN as simulations does not account increase in VOCs. However it could 625 626 reproduce variations similar to MIPAS-E observations, higher trends values over the china 627 (compared to India) in the upper troposphere and vice versa in the lower stratosphere. The 628 sensitivity simulations for increase in NOx emissions over the Indian region lead to an increase 629 of PAN, HNO₃ and ozone over China and the western Pacific Ocean while increase in NO_X 630 emissions over China increases PAN over larger region covering India, South East Asia and South China Sea, Indian Ocean and Pacific Ocean. Comparison of uniform increase in NO_x over 631

632 India and China (Ind73 and Chin73) shows that the effects on PAN, HNO₃ and O₃ mixing ratios in the anticyclone are more pronounced for Chinese emissions than for Indian emissions. 633 Doubling (~1.9 times) the NO_x emissions over India shows a non-linear increase in PAN, HNO₃ 634 and O_3 over the ASM and the western pacific UTLS. The non linear response is related to 635 transport pathways. Emissions over India are injected at the eastern end of monsoon anticyclone 636 637 by the deep convection over the southern slopes of the Himalayas. Comparison of India and China simulations shows increase in NO_X over India result in less concentrations of PAN, HNO₃ 638 over the Indian region especially near southern parts of the Himalaya, from where boundary 639 640 layer Indian pollutants are transported into the monsoon anticyclone. The low concentration of PAN and HNO₃ over this region is due to removal of NO_x by wet scavenging. This may be due 641 to higher efficiency of NOx conversion to HNO₃ over India compared to China. However, 642 increase in NO_X emissions over China increases PAN and HNO_3 in the monsoon anticyclone. 643 Part of these emissions is taken up by the westerly winds and is transported over the Pacific 644 Ocean and as far as Atlantic. There is also westward transport by tropical easterlies which may 645 explain part of the signal over the Atlantic Ocean in the tropics and the Southern Hemisphere. 646 Cross-equatorial transport into the Southern Hemisphere over the south Indian Ocean occurs as 647 648 well due to mixing by breaking Rossby waves around the equatorial tropopause and via the meridional overturning, or diabatic, circulation. This indicates that Chinese emissions have a 649 650 greater impact on the concentrations of these species than Indian emissions.

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906 Table 1: Global aircraft measurements used for model evaluation.

Experiment	Date Frame	Species	Location
POLINAT-2 (Falcon)	Sep 19-Oct 25, 1997	O3, NOX	Canary-Islands:LAT= 25., 35. LON=340., 350. E-Atlantic:LAT= 35., 45.LON=330.,340. Europe: LAT= 45.,55. LON=5.,15.
PEM-Tropics-A (DC8)	Aug 24-Oct 15, 1996	O3, NOX, HNO3, PAN	Ireland: LAT=50., 60. LON= 345.,355. Christmas-Island: LAT= 0., 10. LON=200., 220. Easter-Island: LAT=-40.,-20.LON=240., 260. Fiji: LAT= -30.,-10. LON= 170., 190. [awaii: LAT= 10., 30. LON= 190., 210.
PEM-Tropics-A (P3)	Aug 15-Sep 26, 1996	O3, HNO3	Tahiti: LAT= -20., 0. LON= 200., 230. Christmas-Island: LAT= 0., 10. LON= 200., 220. Easter-Island: LAT= -40., -20. LON= 240., 260. Hawaii: LAT= 10., 30. LON= 190., 210. Tahiti: LAT= -20., 0. LON= 200., 230.
ABLE-3B (Electra)	Jul 6-Aug 15, 1990	O3, NOX, HNO3, PAN	Labrador: LAT= 50., 55. LON= 300., 315. Ontario: LAT= 45., 60. LON= 270., 280. US-E-Coast: LAT= 35., 45. LON= 280., 290.
CITE-3 (Electra)	Aug 22-Sep 29, 1989	O3, NOX	Natal: LAT= -15.,5. LON= 325., 335. Wallops: LAT= 30., 40. LON= 280., 290.
ELCHEM (Sabreliner)	Jul 27-Aug 22, 1989	O3, NOX	New-Mexico: LAT=30., 35. LON= 250., 255.
ABLE-3A (Electra)	Jul 7-Aug 17, 1988	O3, NOX ,PAN	Alaska: LAT= 55., 75. LON= 190., 205.
ABLE-2A (Electra)	Jul 12-Aug 13, 1985	03	E-Brazil: LAT= -10., 0. LON= 300., 315. W-Brazil: LAT= -5., 0. LON= 290., 300.
STRATOZ-3 (Caravelle 116)	Jun 4-26, 1984	03	Brazil: LAT= -20.,0. LON= 315., 335. Canary-Islands: LAT= 20.,35. LON= 340., 355. E-Tropical-N-Atlantic: LAT= 0.,20.LON=330.,345. England: LAT= 45., 60. LON= -10., 5. Goose-Bay: LAT= 45., 60. LON= 290., 305. Greenland: LAT= 60., 70. LON= 290., 305. Greenland: LAT= 60., 70. LON= 290., 330. Iceland: LAT= 60., 70. LON= 330., 355. NW-South-America: LAT=-5., 10. LON= 275.,295. Puerto-Rico: LAT= 10., 25. LON= 290., 300. S-South-America: LAT= -65.,-45. LON= 275.,300. SE-South-America: LAT= -45.,-20. LON= 295.,320. SW-South-America: LAT= -45.,-25. LON= 285.,292. Spain: LAT= 35., 45. LON= -15., 0. W-Africa: LAT= 0., 15. LON= -15., 0. W-South-America: LAT= -25., -5. LON= 275.,290. Western-N-Atlantic: LAT= 25., 45.LON= 290.,300.
CITE-2 (Electra)	Aug 11-Sep 5, 1986	O3, NOX, HNO3, PAN	Calif: LAT= 35., 45. LON= 235., 250. Pacific: LAT= 30., 45. LON= 225., 235.
CAIPEEX	Sep 2009 and oct 2010	O3, NOX	Lat=17 ⁰ N, Lon=78 ⁰ E



Figure 1 Global mean distribution of PAN (ppt), ozone (ppb), HNO₃ (ppt), NO_x (ppt) for
monsoon seasons and altitude ranges. Model results for 1995-2004 (background solid
contours) are compared to aircraft observations from Table 1 for all years (filled circles).
Aircraft observations are averaged vertically and horizontally over the coherent regions.



Figure 2. The monthly distribution of PAN (ppt) averaged over anticyclone region (60-120E, 10-40N) (a) as observed by MIPAS and for the period 2002-2011 (b) ECHAM5-HAMMOZ reference simulation. Distribution of seasonal mean PAN concentration (ppt) as observed by MIPAS (climatology for the period 2002-2011) at (c) 14 km (d) 16 km and ECHAM5-HAMMOZ reference simulation at (e)14 km (f) 16 km. ECHAM5-HAMMOZ simulations are smoothed with averaging kernel of MIPAS.



Longitude-altitude cross section of PAN (ppt) averaged for monsoon season and Figure 3: 972 10°N-40N°N (a) MIPAS (climatology for the period 2002-2011) (b) ECHAM5-973 HAMMOZ reference simulation between 8-23km. The black arrows indicate wind 974 vectors. The vertical velocity field has been scaled by 300. (c) same as figure (b) but 975 from the surface. Latitude- cross section of PAN (ppt) averaged for monsoon season and 976 977 60-120E (d) MIPAS climatology (e) PAN from ECHAM5-HAMMOZ reference simulation between 8-23km, (f) same as figure (e) but from the surface. ECHAM5-978 HAMMOZ simulations are smoothed with averaging kernel of MIPAS. 979



Figure 4: Vertical variation of trends obtained from monthly mean MIPAS-E PAN concentrations for the period 2002-2011 averaged and Ind38Chin73 simulations over the Asian summer monsoon region, China and India (a) annual trends (b) seasonal (June-September) trends.



Figure 5 Percentage change in ECHAM5-HAMMOZ PAN at 16km, as obtained from (a)
Ind38 (b) Chin73 (c) Ind38Chin73 (d) Ind38Chin38 and (e) Ind73 simulations. Solid
black line indicates the 95% Student's t test confidence interval while dashed line
indicates 90% confidence interval.





Figure 7 Percentage change in ECHAM5-HAMMOZ HNO₃ at 16km, as obtained from (a)
Ind38 (b) Chin73 (c) Ind38Chin73 (d) Ind38Chin38 and (e) Ind73 simulations. Solid
black line indicates the 95% Student's t test confidence interval while dashed line
indicates 90% confidence interval (f) Longitude-altitude cross section (averaged over 040N) of percentage change in HNO₃ for Ind38 simulation.





1091 16km, as obtained from (a) Ind38 (b) Chin73 (c) Ind38Chin73 (d) Ind38Chin38 and (e)
1092 Ind73 simulations. Solid black line indicates the 95% Student's t test confidence interval
1093 while dashed line indicates 90% confidence interval.



Figure 9 Percentage change in ECHAM5-HAMMOZ ozone at 16km, as obtained from (a)
Ind38 (b) Chin73 (c) Ind38Chin73 (d) Ind38Chin38 and (e) Ind73 simulations. Solid
black line indicates the 95% Student's t test confidence interval while dashed line
indicates 90% confidence interval.