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**Reanalysis of upper  
troposphere humidity  
data from the  
MOZAIC**

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# Technical Note: Reanalysis of upper troposphere humidity data from the MOZAIC programme for the period 1994 to 2009

H. G. J. Smit<sup>1</sup>, S. Rohs<sup>1</sup>, P. Neis<sup>1</sup>, D. Boulanger<sup>2</sup>, M. Krämer<sup>3</sup>, A. Wahner<sup>1</sup>, and A. Petzold<sup>1</sup>

<sup>1</sup>Forschungszentrum Jülich GmbH, Institut für Energie- und Klimaforschung, IEK-8 Troposphere, 52425 Jülich, Germany

<sup>2</sup>Laboratoire d'Aérodologie, UMR5560, CNRS and Université de Toulouse, Toulouse, France

<sup>3</sup>Forschungszentrum Jülich GmbH, Institut für Energie- und Klimaforschung, IEK-7 Stratosphere, 52425 Jülich, Germany

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Correspondence to: A. Petzold (a.petzold@fz-juelich.de)

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## Abstract

In-situ observational data on the relative humidity (RH) in the upper troposphere and lowermost stratosphere (UT/LS), or tropopause region, respectively, collected aboard civil passenger aircraft in the MOZAIC (Measurements of OZone, water vapour, carbon monoxide and nitrogen oxides by in-service Airbus airCraft) programme were reanalysed for the period 2000 to 2009. Previous analyses of probability distribution functions (PDF) of upper troposphere humidity (UTH) data from MOZAIC observations from year 2000 and later indicated a bias of UTH data towards higher RH values compared to data of the period 1994 to 1999. As a result, PDF of UTH data show a substantial fraction of observations above 100 % relative humidity with respect to liquid water ( $RH_{\text{liquid}}$ ), which is not possible from thermodynamical principles. An in-depth reanalysis of the data set recovered a calibration artefact from year 2000 on, while data of the previous period from 1994 to 1999 were found to be correct. The full data set for 2000–2009 was reanalysed applying the adjusted calibration procedure. Applied correction schemes and a revised error analysis are presented along with the reanalysed PDF of  $RH_{\text{liquid}}$  and  $RH_{\text{ice}}$ .

## 1 Introduction

Upper troposphere humidity (UTH) is one of the still poorly understood climate variables, although its role in the global climate system is considered essential (Solomon et al., 2010; Gettelman et al., 2011; Riese et al., 2012). The latest IPCC report (IPCC, 2013) states that the knowledge about potential trends and feedback mechanisms of upper tropospheric water vapour is low because of the large variability of observations and relatively short data records. Although balloon-borne data (Hurst et al., 2011) collected over Boulder, CO, and data from satellite-borne instruments like the AURA Microwave Limb Sounder (MLS; Read et al., 2007) or the High-Resolution Infrared Radiation Sounder (HIRS; Gierens et al., 2014) permit investigating long-term trends, over

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specific regions, there is still an urgent need for in-situ observation of UTH on a global scale.

In-situ data on meteorological quantities like temperature and pressure as well as data on atmospheric composition ( $O_3$ , CO) and UTH are collected regularly in the framework of the European research programme MOZAIC (Marengo et al., 1998). In 2011 MOZAIC was transformed into its successor programme IAGOS (Petzold et al., 2012) which aims at the continuation of measurements for another two decades (see <http://www.iagos.org> for further information).

From the start of the programme in 1994 autonomous instruments for measuring meteorological quantities and atmospheric chemical composition are installed aboard in-service aircraft of several internationally operating airlines. Measurements are conducted during scheduled flights of the equipped long-haul passenger aircraft. Using the existing infrastructure of the international air transport system permits the continuous collection of high-quality in-situ observation data of excellent spatial and temporal resolution. However, the data base is restricted to the major global flight routes and to the cruising altitude band of 9–13 km, i.e. the data refer to a large extent to the upper troposphere and lowermost stratosphere (UT/LS). In addition, vertical profiles of atmospheric composition ( $O_3$ , CO) collected during ascents after take-off and descent into airports are of increasing importance for satellite validation (e.g., Cooper et al., 2011; Zbinden et al., 2013) and regional air quality studies including the impact of trans-boundary long-range transport of air pollutants (Cooper et al., 2010; Solazzo et al., 2013).

Atmospheric relative humidity (RH) is measured in the framework of MOZAIC by means of a compact airborne humidity sensing device using capacitive sensors (MOZAIC Capacitive Hygrometer MCH). The sensor itself and applied calibration techniques are described in detail by Helten et al. (1998). The sensor is calibrated for relative humidity with respect to liquid water ( $RH_{\text{liquid}}$ ) and values of relative humidity with respect to ice ( $RH_{\text{ice}}$ ) are then calculated from respective  $RH_{\text{liquid}}$  data (e.g., Pruppacher and Klett, 1997).

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First sensor validation studies from wing-by-wing flights of a MOZAIC aircraft and a research aircraft are reported by Helten et al. (1999), while Smit et al. (2008) has presented an approach for a potential in-flight calibration method.

Relative humidity data from the MOZAIC programme have been used for various scientific studies which include the distribution of  $RH_{ice}$  (Gierens et al., 1997, 1999, 2007; Stohl et al., 2001; Spichtinger et al., 2002; Kunz et al., 2008) and ice-supersaturation regions (Gierens et al., 2000; Gierens and Spichtinger, 2000; Spichtinger et al., 2002, 2003) in the upper troposphere. The distribution of upper troposphere humidity was investigated in tropical (Bortz et al., 2006; Kley et al., 2007; Luo et al., 2007, 2008; Sahu et al., 2009, 2011) and polar (Nedoluha et al., 2002) regions. MOZAIC RH data were also used for the validation of satellite instruments (e.g., Ekstroem et al., 2008), global chemistry transport models (e.g., Law et al., 2000) and ECMWF models (e.g., Oikonomou and O'Neill, 2006).

The reanalysis period for atmospheric RH data presented here focuses on the first 15 years of MOZAIC observations. As is reported by Lamquin et al. (2012), the probability distribution functions (PDF) of  $RH_{ice}$  as calculated from the MCH data show a significant shift in  $RH_{ice}$  towards higher values for data since 2000 while data are in agreement with theoretical expectations and experimental findings for the period 1994 to 1999 (e.g., Gierens et al., 1999; Spichtinger et al., 2004).

The reason for this bias towards higher humidity values is identified as an artefact in the pre- and post-flight calibration regularly conducted in the environmental simulation chamber at Jülich (Helten et al., 1998; Smit et al., 2000) from year 2000 onward. Here we report the procedures followed to reanalyse the calibrations and to reprocess the MOZAIC RH data. An in-depth evaluation of the RH data before and after the reprocessing of calibrations and flight data since year 2000 is presented and compared to MOZAIC RH data for the previous period 1994–1999. In summary, this study will serve as the reference publication for the reanalysed MOZAIC RH data base for the period 1994 to 2009. Data from year 2010 onward are analysed using the correct sensor calibration procedure.

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## 2 MOZAIC dataset 1994 to 2009

In the first 15 years of MOZAIC between the start of the programme in August 1994 and the end of the reanalysis period in December 2009, in total 32 678 flights were conducted. Table 1 summarises the airlines contributing to the MOZAIC programme and the fraction of flights conducted by the respective aircraft. The global distribution of flights in the period 1994–2009 is shown in Fig. 1. The vast majority of 93 % of flights is confined to the Northern Hemisphere and there between Europe and North America. Major gaps of the MOZAIC data set exist for the Pacific region (no flights) and for flights to the Southern Hemisphere across the Equator (7 % of all flights).

In addition to the global distribution of flights shown in Fig. 1, the worldwide distribution of airports visited by MOZAIC aircraft is presented in Fig. 2. The larger the symbols shown in this graph the more frequently the airport was visited, and in turn the more vertical profiles of the atmospheric composition are available for these regions. Particularly, only for those airports being visited continuously over the entire period, the investigation of seasonal variations of atmospheric chemical composition is meaningful; see e.g. Zbinden et al. (2013).

From experience gained in MOZAIC, each aircraft contributes approximately 500 flights per year to the data set. The distribution of flights and aircraft in operation over the considered period is shown in Fig. 3 whereas Fig. 4 illustrates the distribution of observations over altitude. As is clearly visible, the majority of observations (> 80 %) is bound to the UT/LS region. For this analysis, the tropopause is defined according to Thouret et al. (2006) as the altitude band from pressure level at potential vorticity  $2.0 \text{ PVU} \pm 15 \text{ hPa}$ .

In addition, observed vertical profiles from ascent and descent phases during the flights provide relevant information for the vertical distribution of measured species which are of increasing importance for detailed studies on air quality effects of long-range transport events (e.g., Cooper et al., 2010) or satellite validation studies (e.g., Cooper et al., 2011; Zbinden et al., 2013).

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The fractional coverage of MOZAIC upper troposphere humidity data is shown in Fig. 5. for the period 1994 to 2009. Boundary conditions for selecting UTH data only are (1) a temperature range of  $T < -40^{\circ}\text{C}$  to exclude liquid water clouds and to restrict the altitude range to approx. 9 to 12 km altitude, and (2) potential vorticity below 2.0 PVU in order to exclude stratospheric air masses. The densest coverage is obtained for the entire North Atlantic region. Few main air traffic routes to the Middle East region, Far East and South America are also well covered, whereas the Pacific region and in particular Australia are completely missing in this data set.

### 3 Artefacts in the MOZAIC RH version 0 data set and corrective measures

#### 3.1 Description of artefacts

UTH data confined to air temperatures below  $-40^{\circ}\text{C}$  (threshold for spontaneous freezing of supercooled liquid water) should show only values below the homogeneous freezing threshold, which is below water saturation. This feature is confirmed for a large set of UTH data from research aircraft observations (Krämer et al., 2009). However, analysing MOZAIC RH Version 0 data (before recalibration and reprocessing) yields a significant fraction of observations above 100 %  $\text{RH}_{\text{liquid}}$ ; see blue line in Fig. 6.

When analysing the UT distribution of  $\text{RH}_{\text{ice}}$ , the PDF exhibits a steep decrease at  $\text{RH}_{\text{ice}} \geq 100\%$  ( $\text{RH}_{\text{liquid}} \geq 60\%$ ) towards ice-supersaturation, and maximum values of  $\text{RH}_{\text{ice}}$  of approx. 160 % (e.g., Ovarlez et al., 2002; Spichtinger et al., 2004; Krämer et al., 2009). Analysing the MOZAIC RH Version 0 data set in a similar manner yields PDF which deviate strongly from the observations reported for research-type field studies. Lamquin et al. (2012) report a significant difference in PDF behaviour for MOZAIC RH data between the period 1994 to 1999 and data from year 2000 and later. The modification appears as a significant shift in  $\text{RH}_{\text{ice}}$  towards higher values by 10–20 %  $\text{RH}_{\text{ice}}$  for data since 2000.

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The bias of MCH data towards higher values for the period starting in year 2000 could not be explained by physical reasons but is related to an artefact in sensor handling. An in-depth analysis of the calibration and data processing procedures indicated a change in the sensor calibration at the end of 1999. The identification of this artefact and respective corrective measures are described in the following sections. As a brief but anticipated summary of the reprocessing effort, the average PDF of reanalysed data is shown in Fig. 6 (red line) together with the PDF of MOZAIC data from the period 1994 to 1999 (green line) which were found to be correct. Apparently, the reprocessed data set agrees well with the data from the first period and shows only a small and statistically insignificant fraction of data above 100 % RH<sub>liquid</sub> which, however, fall within the limit of uncertainty of the MCH of  $\pm 5$  % RH<sub>liquid</sub> (Helten et al., 1998). Thus, data reprocessing based on the reanalysis of MCH calibrations have solved the problem of wet-biased MCH data for the period 2000 to 2009.

## 3.2 Error identification and correction

### 3.2.1 Pre- and post-flight calibration procedure

In the MOZAIC programme the humidity sensors in operation aboard the in-service aircraft are regularly changed every 1–2 months and calibrated in an environmental simulation chamber under typical atmospheric flight conditions for pressure, temperature and RH.

In the test chamber, a Lyman( $\alpha$ ) fluorescence hygrometer (LAH; Kley and Stone, 1978) is installed as reference instrument for the measurement of low water vapour mixing ratios (1–1000 ppmv) with a relative accuracy of  $\pm 4$  % (Helten et al., 1998). At water vapour mixing ratios above 1000 ppmv a dew/frost point hygrometer (DFH; General Eastern, Type D1311R) with an accuracy of  $\pm 0.5$  K serves as a reference method. Up to three water vapour sensors can be simultaneously calibrated. They are positioned in the outlet duct flow of the Lyman( $\alpha$ ) hygrometer and sample the air just after it has passed the hygrometer (Smit et al., 2000).

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The calibration procedures are described in detail by Helten et al. (1998). The calibrations revealed that the relative humidity of a calibrated sensor ( $RH_C$ ) for a constant temperature  $T_i$  (with subscript  $i$  indicating the  $i$ th temperature level of the calibration procedure) can be expressed by a linear relation

$$RH_C(T_i) = a(T_i) + b(T_i) \cdot RH_{UC}(T_i), \quad (1)$$

where  $RH_{UC}$  is the uncalibrated output from an individual sensor, while offset  $a$  and slope  $b$  are determined as functions of temperature. At a fixed sensor temperature  $T_i$ , three different levels of humidity are set which correspond to typical conditions encountered at the sensing element during in-flight operation in the troposphere.

In order to derive the coefficients  $a$  and  $b$  as function of temperature, calibrations have been performed at three temperature levels of  $-20$ ,  $-30$ , and  $-40$  °C, while at higher temperatures an extrapolation of the calibration to the nominal calibration of the manufacturer at  $20$  °C has been applied. However, since late 1999 additional calibrations at  $0$  and  $20$  °C have become standard in the calibration process to improve the accuracy of the measurements made in the corresponding altitude region between  $0$  and  $5$  km. From investigations made at constant temperature but at different pressures between  $100$  and  $1000$  hPa, no significant pressure dependence of the sensitivity of the humidity sensor had been observed.

A typical behaviour of the temperature measured at different locations inside the environmental simulation chamber as a function of time during a calibration run is shown in Fig. 7. The following temperatures are measured with different sensors: (i)  $T_{AFL}$  and  $T_{ACH}$  = temperature of the air flow and at the wall inside the flow duct of the LAH; (ii)  $T_{S1}$ ,  $T_{S2}$  and  $T_{S3}$  = temperatures of three different MCH units which are subject to calibration; (iii)  $T_{Wall}$  = temperature of the wall inside the simulation chamber.

Figure 8 shows the results of the uncalibrated sensor ( $RH_{UC}$ ) at five sensor temperatures plotted against relative humidity  $RH_C$  as measured by the reference instruments: (i) Lyman( $\alpha$ ) hygrometer (LAH) for  $T_i = -40$ ,  $-30$  and  $-20$  °C and (ii) dew/frost point hygrometer (DFH) for  $0$  and  $+20$  °C. Excellent linear relationships were always observed.

### 3.2.2 Artefact in the calibration procedure

As pointed out in the previous section, the sudden jump of MCH data towards higher RH values is caused by an artefact introduced in the sensor calibration since fall 1999 after (1) the calibration procedure was expanded by two additional temperature levels at 0 and +20 °C, and (2) the data acquisition software was switched from Pascal to LabView-programming language.

In the new data acquisition software the air flow temperature ( $T_{AFL}$ ) was no longer used but instead, by mistake, the wall temperature ( $T_{ACH}$ ) of the flow duct of the LAH reference instrument. Since calibration was and is conducted at a variety of temperatures, adjustment of the wall temperature  $T_{ACH}$  of the LAH to the changed air temperature (lower panel of Fig. 7) requires time. Because a standard calibration run always starts at the lowest air temperature level of -40 °C and then increases in steps of 10–20 °C towards higher temperature levels,  $T_{ACH}$  values are systematically 1–3 °C, or even more, lower than the air flow temperature  $T_{AFL}$  or the three sensor temperatures  $T_{S1}$ ,  $T_{S2}$  and  $T_{S3}$  (upper panel of Fig. 7). However,  $T_{Si}$  are all very close to  $T_{AFL}$ .

To derive relative humidity  $RH_C$ , either from the measured water vapour volume mixing ratio of LAH, or from the measured dew/frost temperature from  $T_{DF}$ , in both cases the temperature of the air flow has to be applied, according to

$$RH_{LAH}(T) = \mu_{LAH} \cdot \frac{p_{Air}}{e_S(T)} \quad (2)$$

where  $\mu_{LAH}$  is the water vapour volume mixing ratio as measured by LAH,  $e_S(T)$  is the saturation water vapour pressure at temperature  $T$  and  $p_{air}$  is air pressure; or

$$RH_{DFH}(T) = \frac{e_S(T_{DF})}{e_S(T)} \quad (3)$$

where  $T_{DF}$  is dew/frost point temperature as measured by DFH.

Due to the erroneous use of the lower  $T_{ACH}$  instead of  $T_{AFL}$  all  $RH_C$  values were systematically too high. Consequently, this bias introduced systematic artefacts (larger

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values) in the offset  $a(T_i)$  and slope  $b(T_i)$  as derived from Eqs. (2) and (3) at five different air temperature ( $T_i$ ) levels of the calibration (Figs. 7 and 8).

There are no indications that the temperature sensors used have changed their performance over time. Thus, calibration coefficients for offset  $a$  and slope  $b$  (i.e. sensitivity) are affected by this systematic temperature bias of 1–3 K. Because saturation water vapour pressure  $e_s(T)$  is a strong function of temperature and decreases almost exponentially with temperature ( $6\% \text{ K}^{-1}$  at 300 K and  $10\% \text{ K}^{-1}$  at 200 K), it is obvious that the systematic temperature bias of 1–3 K can introduce systematic effects of 10% or more in  $\text{RH}_{\text{LAH}}$  or  $\text{RH}_{\text{DFH}}$  and thus an impact of similar magnitude on the offset  $a$  and slope  $b$  of the calibration function (Eq. 1).

Consequently, this bias in the calibration function will have a quantitative impact of equal magnitude on the RH flight data and thus requires: (1) reprocessing of all pre-post flight calibrations made since 1999 by applying the right temperature; (2) applying the corrected offset and slope as a function of the sensor temperature. Since all calibration records including  $T_{\text{AFL}}$  and  $T_{\text{ACH}}$  since fall 1999 were archived, all calibrations and in consequence all MOZAIC RH flight data could have been fully reprocessed.

## 4 Quality assurance of calibration

The error analysis and the resulting corrective measures taken for the MCH calibration as described in the previous section yielded a set of calibration functions of offset  $a$  and slope  $b$ . In order to assure the quality of the obtained calibration functions, the statistical distribution of the obtained calibration parameters and their long-term stability were analysed similar to the analysis conducted at the beginning of the MOZAIC RH measurements (Helten et al., 1998). Comparing the scatter of reanalysed calibration parameters and their long-term stability with the results from the early period of this programme will provide a measure for the quality of the reanalysed MOZAIC RH data and in particular a measure for the validity of the long-term time series of MOZAIC RH data from 1994 to 2009.

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The statistical distribution of the difference in parameters  $a$  and  $b$  between calibrations conducted before installation on an aircraft and after exchange is shown in Fig. 9. Both frequency distributions are of Gaussian type similar to the observations reported for the first set of calibration parameters by Helten et al. (1998). The respective mean values of parameters  $a$  and  $b$  and associated standard deviations are compiled in Table 2. Obviously, slopes  $b$  of calibration functions are of value zero, i.e., they do not change on a statistically significant level between pre-flight and post-flight calibrations. On the other hand, the offset  $a$  reduces between pre-flight and post-flight calibrations, which however is a consistent finding for the periods 1994 to 1999 and 2000 to 2009. Moreover, the quantitative values of the statistical distribution of differences ( $a_{\text{post}} - a_{\text{pre}}$ ) and ( $b_{\text{post}} - b_{\text{pre}}$ ) are in unexpectedly close agreement for the analysed periods 1994–1999 and 2000–2009; see Table 2 for details. Smit et al. (2008) have shown that the sensor offset drifts are the most dominating parameter in determining the uncertainty of the measurements, while the sensitivity (slope) is more stable in time. The observed consensus of data underpins the consistency of the RH data set which has emerged from the MOZAIC programme.

The long-term stability of sensor calibrations was investigated by checking calibration parameters of the same sensor over the entire analysed decade from 2000 to 2009. Results are shown in Fig. 10 with different colours referring to different sensor units; they agree well with previous findings reported by Helten et al. (1998). Although a significant scatter of calibration factors is observed among different sensor units, the behaviour of each single sensor unit is robust. Observed changes of offset  $a$  and slope  $b$  between a postflight and the next preflight calibration are most likely caused by the cleaning procedure of the sensor in the laboratory prior to the preflight calibration (Helten et al., 1998). However, it should be mentioned that despite the consistency of the long-term sensor behaviour, only current calibration functions are used for the data analysis.

In a final assessment, the uncertainty of  $\text{RH}_{\text{liquid}}$  data was analysed as a function of altitude or temperature, respectively. As is explained in detail by Helten and co-workers

(1998), the analysis of the MOZAIC RH measurement is performed with the averages of the individual pre-flight and post-flight calibration coefficients  $a$  and  $b$  for each interval of flight operation.

Recalling details of sensor installation and operation, the capacitive humidity sensing device is installed inside a conventional Rosemount inlet housing together with a Pt 100 temperature sensor. The movement of the aircraft forces airflow around the RH- and T-sensors but at a higher pressure and temperature than for the surrounding atmosphere due to adiabatic heating of the air when entering the inlet. The transformation of RH values measured by the capacitive sensor of the MCH (RH<sub>D</sub>; Helten et al., 1998) to RH values for ambient air temperature and pressure conditions (RH) requires knowledge of the static air temperature (SAT) of ambient air and of the total air temperature (TAT) at the position of the capacitive sensor inside the MCH housing. Relative humidity of the ambient air (RH<sub>S</sub>; Helten et al., 1998) is then determined from the measured values for RH<sub>D</sub>, TAT, and SAT by applying the procedure described by Helten et al. (1998). The uncertainty of RH is deduced by the law of error propagation with the uncertainty of these parameters.

The uncertainty of RH<sub>D</sub> is a composite of the following contributions: uncertainty of the Lyman-Alpha hygrometer calibration and half of the absolute value of the differences of the individual pre-flight and post flight calibration coefficients,  $a$  and  $b$ . To convert to the uncertainty of RH, the uncertainties of TAT (0.25 K) and SAT (0.5 K) have to be included. The contribution of uncertainty of the air speed measurement by the aircraft to the uncertainty of temperature determination is below 0.01 °C and was excluded from the error propagation determination. The uncertainty of the recovery factor of the Rosemount probe housing contributes to the uncertainties of the temperature measurements and thus to the uncertainty of the recovered RH (Helten et al., 1998).

The major contribution to RH uncertainty stems from the differences of calibration coefficients  $a$  and  $b$  between pre-flight and post flight calibrations. If these differences are small, then this contribution is of the same order of magnitude as the uncertainty

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equipped with water vapour measurements and MOZAIC aircraft (Helten et al., 1999), the MCH was operated aboard a Learjet 35A aircraft as part of the CIRRUS-III field study; see Kunz et al. (2008) and Krämer et al. (2009) for more information. A detailed analysis of the MCH performance during CIRRUS-III is provided elsewhere (Neis et al., 2014), while we present here a brief summary of campaign details and key findings.

The overarching goals of CIRRUS-III were to understand the formation mechanism of cirrus clouds in different background conditions, their radiative effects and the micro-physical properties of the cirrus cloud particles. In total 6 flights have been conducted in the period between 23 and 29 November 2006 at mid-latitudes ( $45^{\circ}$ – $70^{\circ}$  N) and at flight altitudes between 7–12 km. These flights in the upper troposphere and lowermost stratosphere (UT/LS) were launched from Hohn Airforce Base in northern Germany with the Learjet 35A operated by *enviSCOPE* GmbH. CIRRUS-III provided a dataset with approx. 14 flight hours in air masses colder than  $-40^{\circ}\text{C}$ , approx. 4 flight hours in cirrus clouds and 10 flight hours out of cloud. Furthermore, stratospherically influenced air masses have been sampled for 20 min with ozone volume mixing ratios (VMR) above 125 ppmv and 35 min with ozone VMR above 100 ppmv, respectively.

Part of the scientific payload of CIRRUS-III was dedicated to the measurement of water vapour and total water by one MCH for measuring relative humidity and one open path tuneable diode laser system (OJSTER; MayComm Instruments; May and Webster, 1993; Krämer et al., 2009) which delivered the water vapour VMR. Simultaneously total water, i.e. gas phase and ice water, was measured by the reference instrument FISH (Fast In-Situ Hygrometer). This closed-cell Lyman( $\alpha$ ) fluorescence hygrometer (Zöger et al., 1999) was equipped with a forward facing inlet to sample also the ice particles. To determine whether a data point was inside a cirrus cloud or not, the difference between total water and water vapour was used to define a cloud index; see Krämer et al. (2009) for the detailed data analysis procedure.

For the sensor intercomparison study, data for  $\text{H}_2\text{O}$  VMR  $> 1000$  ppm were excluded because the FISH instrument becomes optically thick and thus insensitive in this VMR regime (Zöger et al., 1999). Furthermore data with sensor temperature TAT  $< -40^{\circ}\text{C}$

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were beyond the MCH calibration limits. In order to neglect effects of warm clouds the maximum ambient air temperature of accepted data was set to the level of instantaneous freezing of  $-40^{\circ}\text{C}$ . For a complete validation of the MCH the data set was split into a clear sky-set and a cirrus cloud-set by means of the above-described cloud index. Finally, flight sequences of the Learjet 35A with strong ascents and descents were excluded, since these flight conditions are not suitable for instrument intercomparison, because already small time shifts between instruments with different response times lead to large differences due to the rapidly changing  $\text{H}_2\text{O}$  VMR.

For the instrument intercomparison we analysed the sensors with respect to  $\text{RH}_{\text{liquid}}$  since this is the measured quantity the MCH is calibrated against. The correlation between the two sensors is shown in Fig. 12 for  $\text{RH}_{\text{liquid}}$  values averaged for 5% bins. The bin size was selected according to the expected uncertainty of the sensor of  $\pm 5\% \text{RH}_{\text{liquid}}$ . The plotted data points and errors bars per bin shown in Fig. 12 represent the median, 25- and 75-percentile of the binned  $\text{RH}_{\text{liquid}}$  data from the reference instruments (x-axis) and MCH (y-axis), respectively.

The MCH agrees very well with the FISH over the entire range of values measured in the cloud-free atmosphere and with the OJSTER inside cirrus. Linear regression analysis provides a correlation coefficient  $R^2 = 0.97$  and a slope  $m = 0.96 \pm 0.05$  while the y-axis intercept equals zero within the limit of uncertainty ( $2.2 \pm 2.0\% \text{RH}_{\text{liquid}}$ ). The data for  $\text{RH}_{\text{liquid}} \geq 75\%$  and  $\text{RH}_{\text{liquid}} \leq 10\%$  suffer from a small number of counts and are not considered for the MCH performance analysis because of limited statistical significance.

The proof of validity of the MCH  $\text{RH}_{\text{liquid}}$  data is shown in Fig. 13. The PDF for  $\text{RH}_{\text{liquid}}$  agree very well between MCH and the reference instrument (FISH or OJSTER, resp.) for the entire CIRRUS-III data set. An in-depth analysis of the MCH performance including implications for the MCH data analysis is provided separately by Neis et al. (2014).

## 6 Discussion and conclusions

The identification of a bias of UTH data from the MCH towards more humid conditions (e.g., Lamquin et al., 2012) sparked an in-depth reanalysis of the entire MOZAIC UTH data set from year 2000 onwards, whereas MOZAIC MCH data from the pre-2000 period (Gierens et al., 1999) were found to be unbiased. The reanalysis identified an error in the analysis of the instrument calibration as the source for this bias. The entire calibration data set since year 2000 was reanalysed and the MOZAIC data set was reprocessed using the corrected calibration functions.

The annually averaged PDF of reprocessed UTH data from the MCH operated aboard the MOZAIC fleet is shown in Fig. 14. The reprocessed MOZAIC MCH data set exhibits the key features of physically sound UTH data, i.e., only a statistically insignificant fraction of the observations ( $< 10^{-4}$ ) is above the limit of 100 % RH<sub>liquid</sub> (Fig. 14a), and the inflection point of the PDF with respect to RH<sub>ice</sub> is close to 100 % RH<sub>ice</sub> (Fig. 14b).

The validity of the reprocessed MOZAIC UTH data set is further confirmed by the comparison with an extensive data set collected by Krämer et al. (2009); see the solid lines in Fig. 14b. This data set is based on research flights in cirrus clouds using the Lyman-( $\alpha$ ) Fast In-situ Hygrometer FISH (Zöger et al., 1999) and was collected during 20 measurement flights in 8 field campaigns between 1998 and 2006. The deviation of FISH RH<sub>ice</sub> data from MCH RH<sub>ice</sub> data for the in-cloud fraction of the observations is due to the fact that the FISH instrument is usually operated for measuring total water (= water vapour plus ice water), and inside cirrus clouds the ice water from cirrus particles contributes significantly to the overall humidity above approx. 130 % RH<sub>ice</sub>.

Major modifications of the MOZAIC RH data due to the reprocessing can be understood as a shift of single observation data towards dryer conditions, i.e., towards lower RH<sub>liquid</sub> data. The shift cannot be parameterised in a simplistic way because its magnitude depends on the correction which has been applied to the calibration function of each single MCH unit.

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However, from a statistical point of view, major modifications of the data set are associated with the fraction of observations close to or above ice supersaturation which is significantly reduced and the inflection point of  $RH_{ice}$  data is shifted from  $RH_{ice} \cong 130\%$  to  $100\%$ . In contrast, fractional changes in the  $RH_{liquid}$  range between 20 and 60% are only minor. Finally, the maximum of  $RH_{liquid}$  values for dry conditions which is associated to observations in the dry and cold lowermost stratosphere is shifted from  $RH_{liquid} \cong 10\%$  to  $5\%$ .

In conclusion, the reanalysis of MOZAIC RH data should be considered for studies which have focused on the investigation of ice supersaturation in the UT and used mainly MOZAIC data from year 2000 and later. The reprocessed UTH data set from measurements aboard MOZAIC aircraft will become available at the IAGOS/MOZAIC Database website <http://www.iagos.fr/web/> for scientific exploration as Version No. 1.

*Acknowledgements.* The authors gratefully acknowledge substantial contributions by Peter Spichtinger (Mainz Univ.) and Klaus Gierens (DLR) to the discussion of potential explanations for the observed bias in MOZAIC RH data. The support by Manfred Helten during the reanalysis of the sensor calibrations and by Lukas Alteköster (RWTH Aachen/FZ Jülich) in the preparation of the global data set is also appreciated. Part of this work was funded by the German Federal Ministry for Research and Education (BMBF) in the framework of the joint programme IAGOS-D under Grant No. 01LK1223A.

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**Table 1.** The MOZAIC fleet for the period 1994 to 2009.

Airline	Call sign	Operation period	Fraction of flights
Lufthansa	D-AIGI	since 11 Aug 1994	25.0 %
Lufthansa	D-AIGF	since 1 Aug 1994	23.5 %
Air Namibia	V5-NME	since 3 Aug 1994	17.2 %
Austrian Airlines	OE-LAG	5 Mar 1995–29 Oct 2006	19.0 %
Air France	F-GLZG	1 Aug 1994–19 Dec 2004	15.3 %

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**Table 2.** Mean and standard deviations of the differences between calibration coefficients  $a(T)$  (offset) and  $b(T)$  (slope) for 1994 to 1997 (Helten et al., 1998) and 2000 to 2009.

period		–20 °C		–30 °C		–40 °C	
		$a_{\text{post}} - a_{\text{pre}}$	$b_{\text{post}} - b_{\text{pre}}$	$a_{\text{post}} - a_{\text{pre}}$	$b_{\text{post}} - b_{\text{pre}}$	$a_{\text{post}} - a_{\text{pre}}$	$b_{\text{post}} - b_{\text{pre}}$
1995–1997 <sup>a</sup>	mean	–0.19	–0.01	–0.26	–0.011	–0.31	0.02
	sdev	0.33	0.08	0.42	0.072	0.49	0.11
2000–2009 <sup>b</sup>	mean	–0.26	–0.05	–0.26	0.00	–0.42	–0.01
	sdev	0.39	0.05	0.53	0.06	0.65	0.12

<sup>a</sup> Helten et al. (1998); approx. 50 calibrations.

<sup>b</sup> this study; 156 calibrations.

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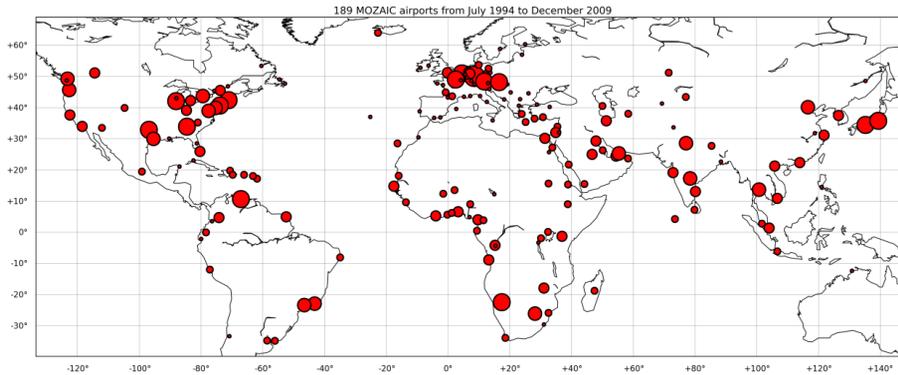


**Figure 1.** Global distribution of MOZAIC flights for the period 1994 to 2009.

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**Figure 2.** Airports visited by MOZAIC aircraft for the period 1994 to 2009; the size of symbols represents the number of landing and take-off.

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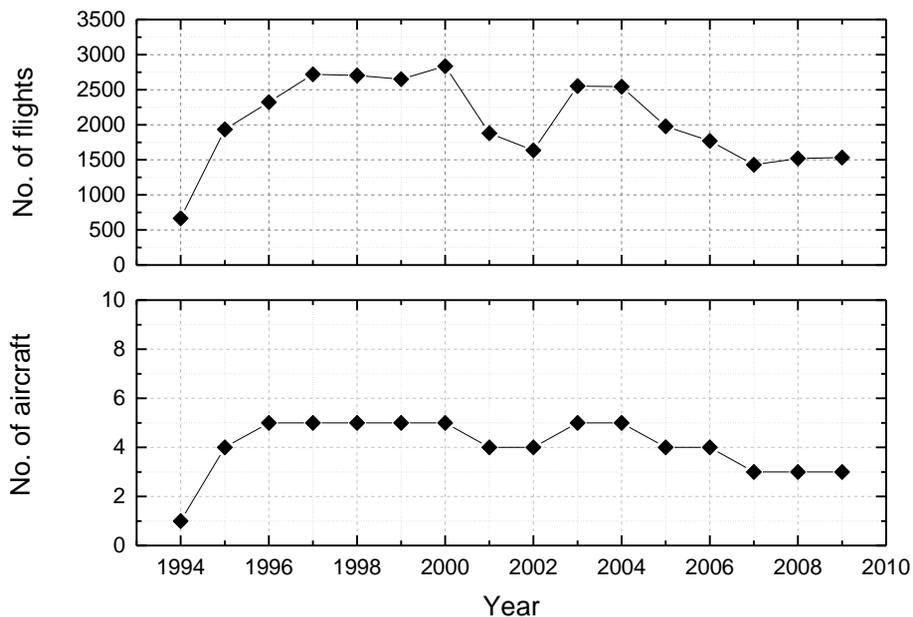
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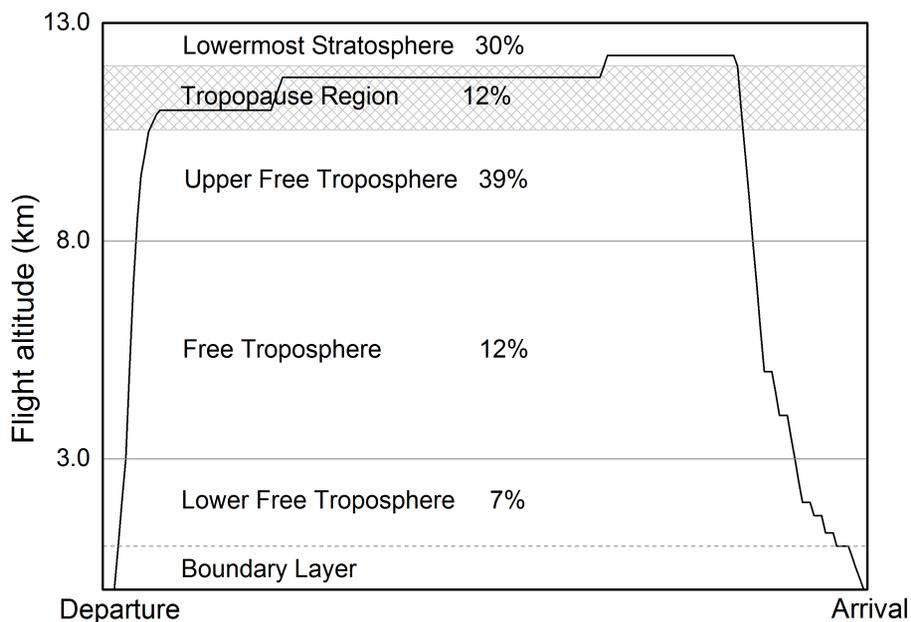
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**Figure 3.** Number of MOZAIC aircraft in operation and number of flights per year for the period 1994 to 2009; the transition to IAGOS took place in 2011.

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**Figure 4.** Vertical distribution of data collected during MOZAIC flights in the period 1994 to 2009. The hatched area indicates the tropopause region, whereas the generic altitude profile illustrates the typical flight phases of a long-haul flight.

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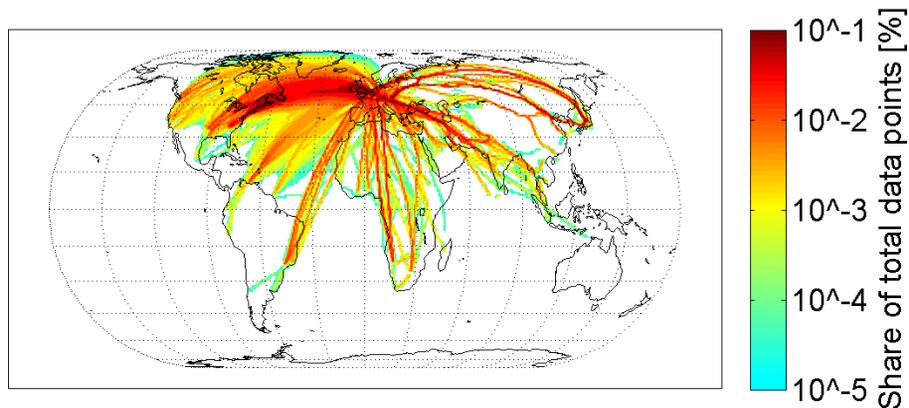
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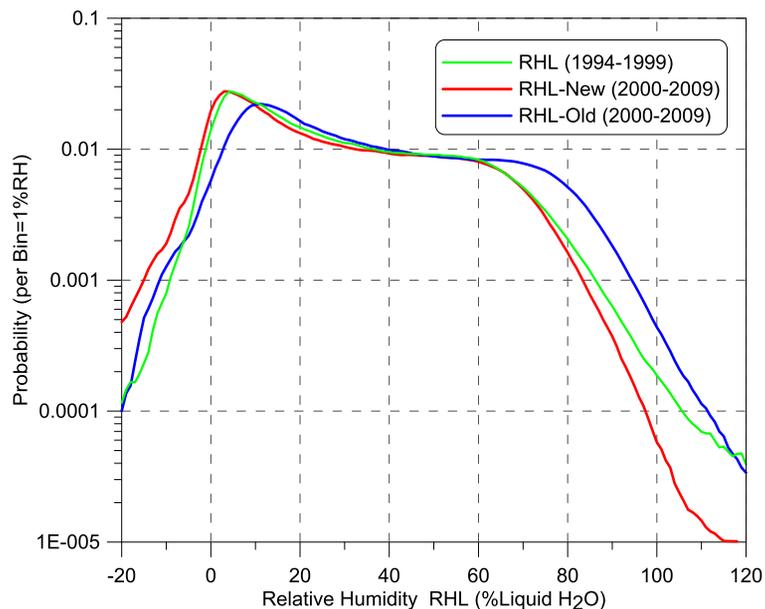


**Figure 5.** Fractional coverage of MOZAIC upper troposphere humidity data for the period 1994 to 2009; data are confined by  $T < -40^{\circ}\text{C}$  to exclude liquid water clouds and to limit to altitudes  $\geq 8000\text{ m}$ .

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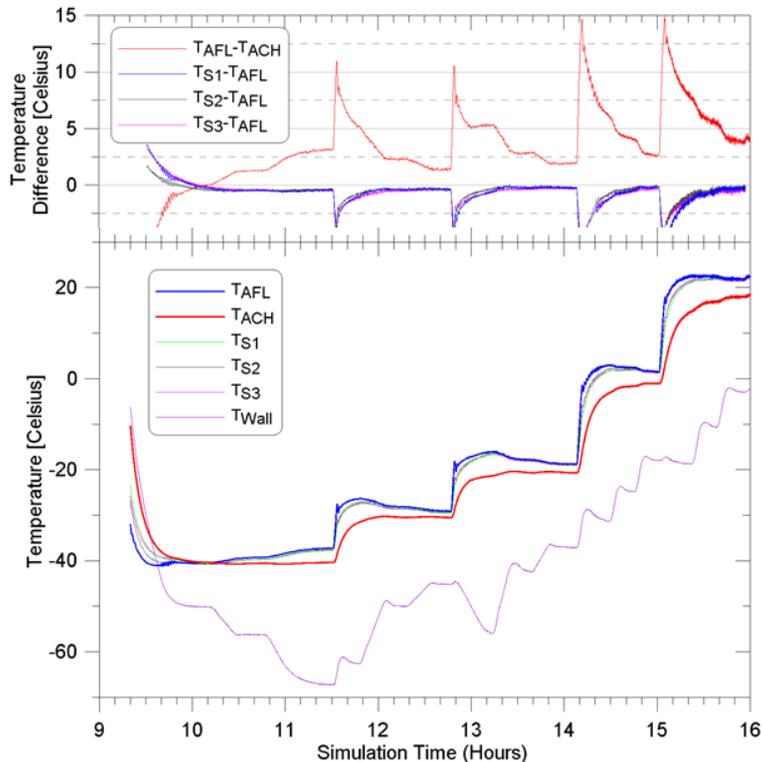
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**Figure 6.** Distributions of relative humidity  $RH_{\text{liquid}}$  seen by MOZAIC Capacitive Hygrometers for the years in the period 2000–2009 before (blue) and after (red) reprocessing; data for the period 1994–1999 are shown for comparison.

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**Figure 7.** Typical behaviour of the temperature at different locations inside the environmental simulation chamber as a function of day time during a calibration run. Lower panel: temperature measured with different sensors (for details see corresponding explanations for details); upper panel: temperature difference between air flow ( $T_{AFL}$ ) and duct wall ( $T_{ACH}$ ); plus temperature differences ( $T_{Si} - T_{AFL}$ ) between the three MOZAIC hygrometers ( $T_{S1}$ ,  $T_{S2}$  and  $T_{S3}$ ) and the air flow ( $T_{AFL}$ ), respectively.

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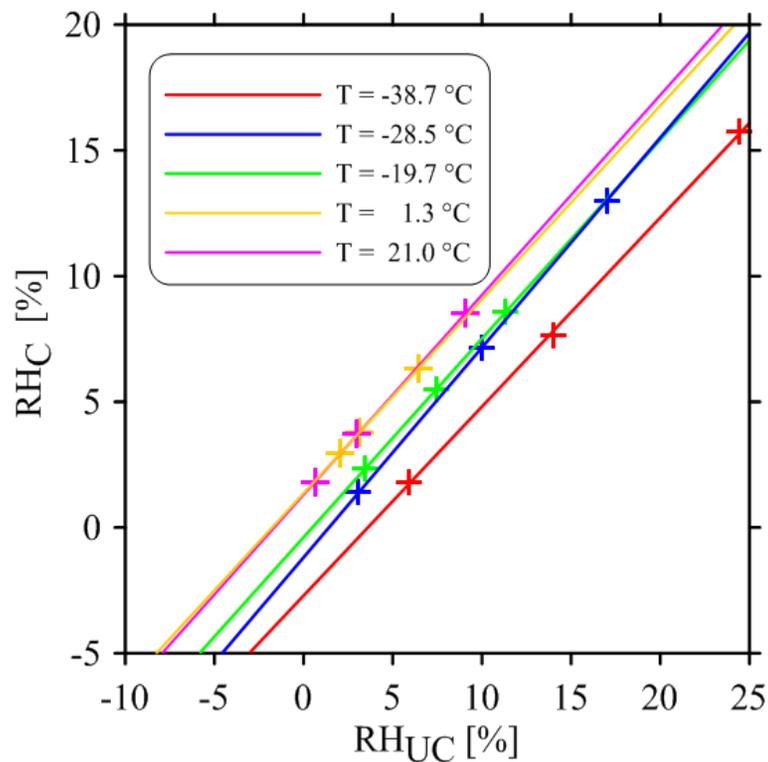
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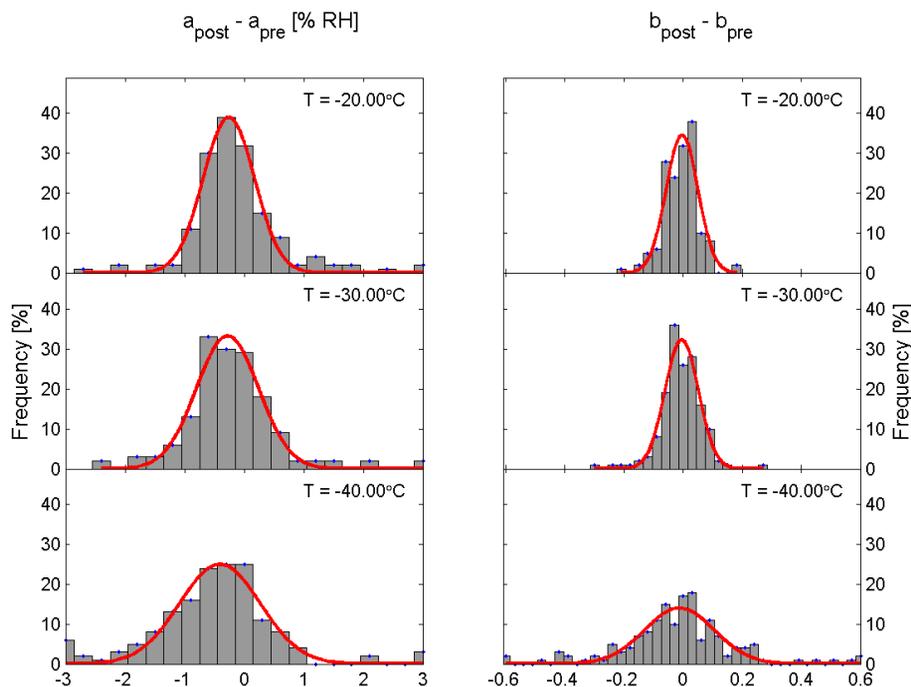


**Figure 8.** Calibration of MOZAIC capacitive hygrometers ( $RH_{UC}$ ) at 5 temperature levels against reference hygrometers (Lyman- $\alpha$ ) and Dew/Frost Point;  $RH_C$ ); displayed are hygrometer measurements (crosses) together with corresponding linear regression fits.

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**Figure 9.** Difference of calibration coefficients between post-flight and pre-flight calibrations for the period 2000 to 2009.

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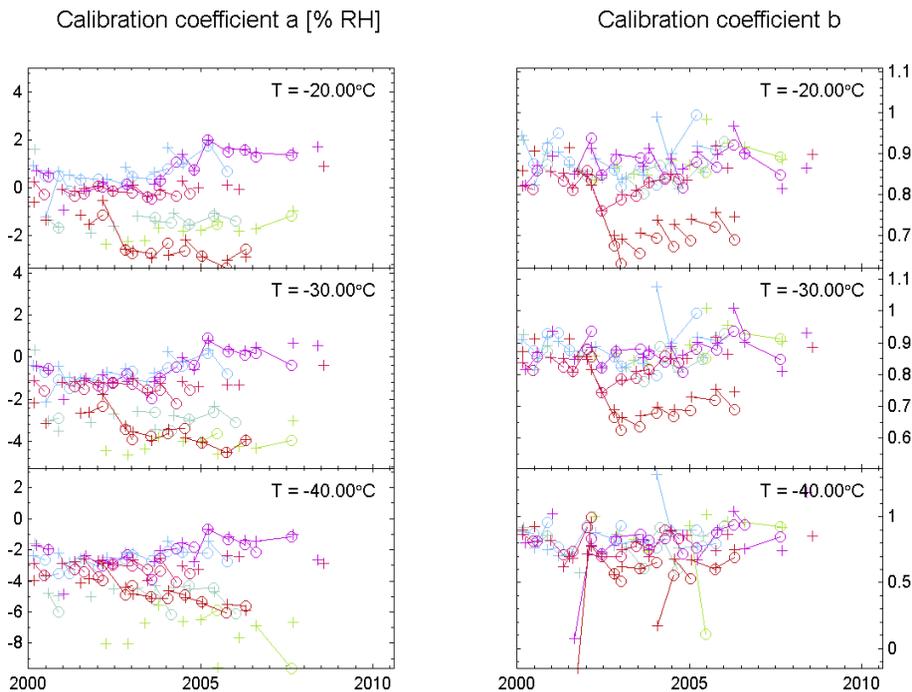
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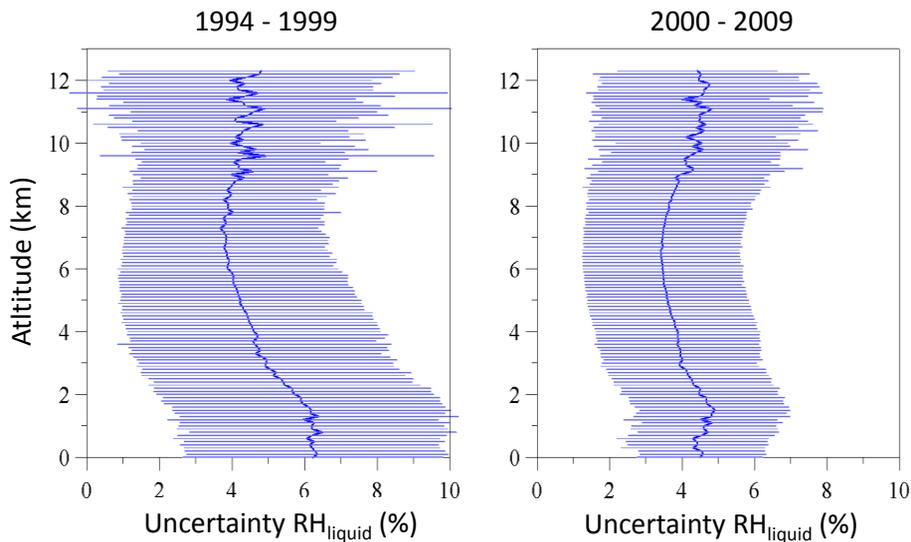
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**Figure 10.** Long-term stability of calibration factors for randomly selected sensors; different colours represent different sensor units while symbols refer to pre-flight (+) and post-flight (o) calibrations.

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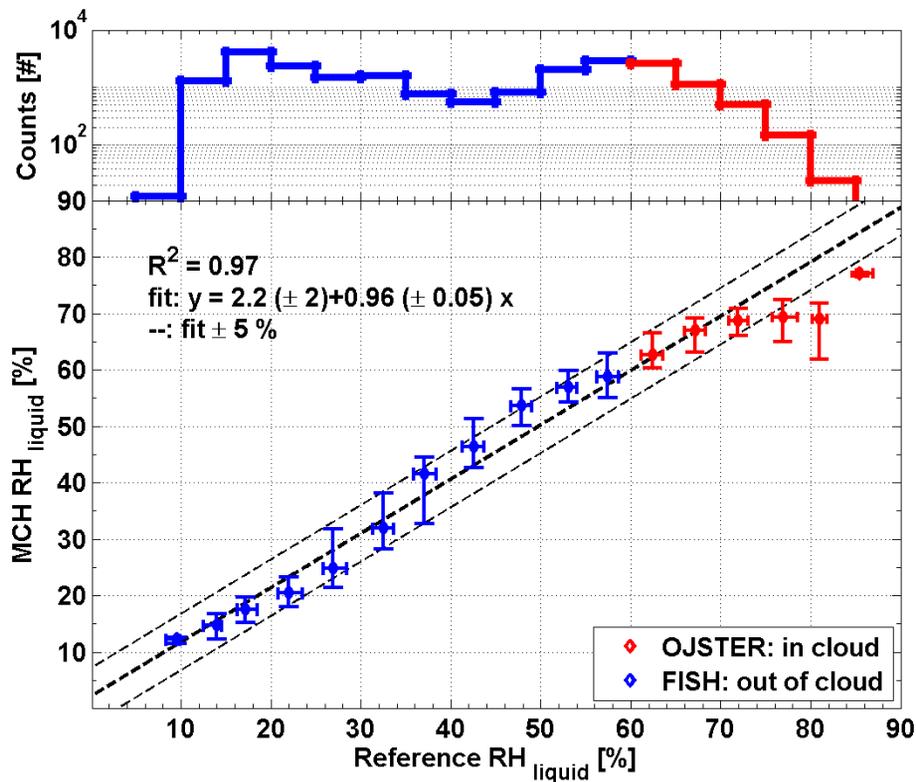
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**Figure 11.** Uncertainty of  $RH_{\text{liquid}}$  data in %  $RH_{\text{liquid}}$  as a function of altitude for periods 1994–1999 (left) and 2000–2009 (right).

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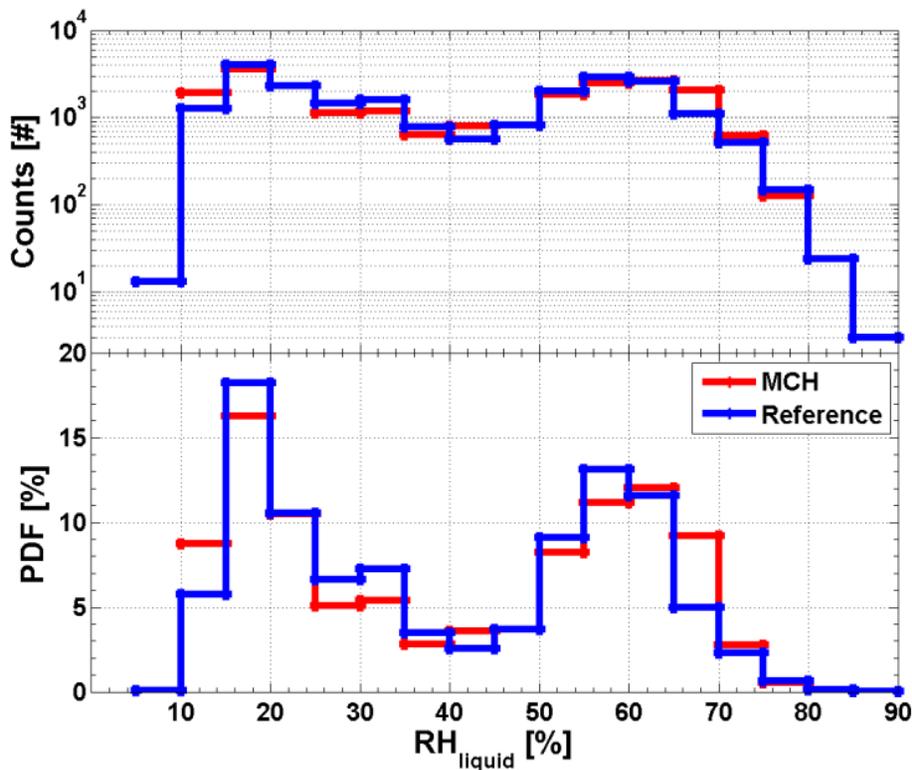
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**Figure 12.** Correlation of  $RH_{liquid}$  data from the MOZAIC Capacitive Hygrometer (MCH) and reference hygrometers FISH/OJSTER during CIRRUS-III; the straight line indicates the linear regression line while the dashed lines illustrate the sensor uncertainty range  $\pm 5\%$   $RH_{liquid}$ . The top panel shows the number of data points per 5%  $RH_{liquid}$  bin (Neis et al., 2014).

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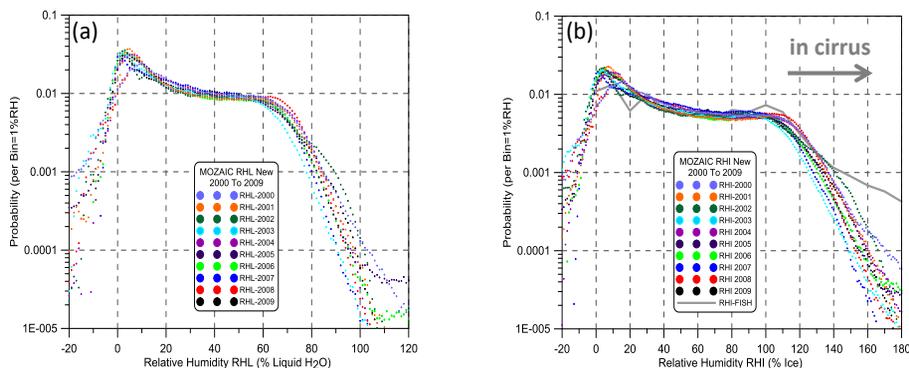
**Figure 13.** Frequency of occurrence for observations of  $RH_{\text{liquid}}$  during CIRRUS III; blue and red lines and symbols refer to data from reference hygrometers FISH/OJSTER and the MOZAIC Capacitive Hygrometer (MCH) (Neis et al., 2014).

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**Figure 14.** Annually averaged probability distribution of UTH observations from the MOZAIC Capacitive Hygrometer with respect to  $RH_{\text{liquid}}$  (a) and  $RH_{\text{ice}}$  (b); the solid line in (b) represents the average PDF for the UTH data set reported by Krämer et al. (2009).

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