

# 1 **The biomass aerosol influence on precipitation over the** 2 **Central Amazon: An observational study**

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## 9 10 **1 Introduction**

11 Every year the Amazon Forest faces a large amount of aerosol from pasture and forest fires, and the  
12 pollution plumes generated can spread over large areas (Martin et al., 2010). The Amazon Biomass  
13 Burning Aerosol (BBA) alters the atmospheric particulate material composition (Ryu et al., 2007)  
14 and can influence cloud formation, precipitation and the radiation budget (Artaxo et al., 2002; Lin  
15 et al., 2006; Tao et al., 2012; Camponogara et al., 2014). Accordingly to Tegen et al. (1997), BBA  
16 predominates in the mean annual aerosol optical thickness in the Amazon. The dry season, which  
17 occurs between July and December, is the period that faces greater biomass burning emissions  
18 (Artaxo et al., 2002; Altaratz et al., 2010; Martin et al., 2010; Camponogara et al., 2014). However,  
19 from January to June (wet period), BBA is also observed in the Amazon Basin (Martin et al., 2010).

20 In recent years, the scientific community has made great efforts to understand the effect of aerosols  
21 on cloud and precipitation in order to reduce uncertainties in climate prediction (Tao et al., 2012).  
22 Two main effects are well documented: radiative or direct, and microphysical or indirect. The first  
23 effect is related to the BBA high capacity of absorption in the visible portion of the electromagnetic  
24 spectrum (Ramanathan et al. 2001, Wake, 2012). This absorption could warm the atmosphere  
25 (Koren et al., 2004; Randles and Ramaswamy, 2010; Koch and Del Genio, 2010; Jacobson, 2014)  
26 and produce atmospheric stabilization (Koren et al. 2008). The indirect or microphysical effect is  
27 linked to the possibility of BBA particles becoming cloud condensation nuclei (Roberts et al. 2001).  
28 As a result, it is expected that the amount of cloud droplets would increase with the particle  
29 concentration (Rosenfeld, 1999; Ramanathan et al., 2001; Nober et al., 2003; Andreae et al., 2004;  
30 Qian et al., 2009).

1 One of the most important issues regarding the aerosol-cloud interactions is the determination of the  
2 predominant effect, radiative or microphysical. In warm rain suppression, both effects seem to act  
3 together. However, quantifying their respective contribution is still an important issue. Warm rain  
4 suppression evidence was firstly documented by Rosenfeld (1999), and then similar results were  
5 also obtained and presented in the literature by Nober et al., (2003), Koren et al., (2004) and Qian et  
6 al., (2009). The suggested indirect effect mechanism for warm rain suppression is related to the fact  
7 that BBA could act as cloud condensation nuclei. A high concentration of small cloud droplets  
8 occurs in polluted environments (Rosenfeld, 1999; Ramanathan et al., 2001; Nober et al., 2003;  
9 Andreae et al., 2004; Qian et al., 2009), which compromises the coalescence process (Kaufman et  
10 al., 2005). These droplets do not reach the required size in order to precipitate and can rapidly  
11 evaporate (Artaxo et al., 2006).

12 Based on the observations of warm rain suppression over regions with forest fires, Diehl et al.  
13 (2007) suggested that the ice phase could be an important factor in the rain process. In fact,  
14 laboratory measurements indicate the high capacity of ice nucleation by BBA (Petters et al., 2009).  
15 In recent years, some studies have suggested that ice phase clouds are invigorated by the presence  
16 of aerosols from vegetation fires (Andreae et al., 2004; Lin et al., 2006; Rosenfeld et al., 2008;  
17 Altaratz et al., 2010; Koren et al., 2012; Storer and Heever, 2013). Rosenfeld et al. (2008) propose a  
18 conceptual model based on the effect of aerosols on deep convective cells, which is mainly  
19 associated to the microphysical effect. Accordingly to the authors, due to the high concentration of  
20 aerosols in polluted environments, the raindrop nucleation process would be slower than in  
21 unpolluted areas. Besides, in atmospheres which favor deep convective activity, these droplets and  
22 aerosols could ascend into the atmosphere, reaching the frozen layer, acting as ice nuclei and  
23 releasing more latent heat, which in turn increase the updrafts and strength convection (Lin et al.  
24 2006; Rosenfeld et al. 2008). Over a certain time, the cloud accumulates higher liquid water and ice  
25 contents, favoring more intense rainfall rates and increasing electrical activity (Graf, 2004).  
26 However, even with this evidence, the cloud invigoration process by aerosols still needs to be better  
27 understood (Altaratz et al., 2014).

28 Although well documented, especially in recent years, the BBA effect on clouds and precipitation is  
29 still a source of debate in the scientific community. One of the most important issues is related to  
30 filtering the aerosol-precipitation relationship from other dominant atmospheric components. In  
31 order to reach this goal, this study presents a new methodology which is based on the atmospheric  
32 degree of instability. The possibility of using ground based measurements has the potential to  
33 contribute to the present scientific knowledge of the BBA influence on precipitating cells in the  
34 Amazon region. Rain, ice content, size and duration of precipitating systems retrieved from a S-

1 band radar were evaluated as function of black carbon concentration over the largest Amazon City  
2 (Manaus, Amazonas state, Brazil).

3

## 4 **2 Data analysis**

5 All analyses performed in this study were made using a combination of four datasets:

6 1) 90×90 m<sup>2</sup> resolution of terrain elevation from the Shuttle Radar Topography Mission (SRTM);

7 2) Manaus S-Band Doppler Radar (1×1 km<sup>2</sup> horizontal resolution in a range of 100 km, every 11  
8 min);

9 3) Black Carbon (BC) concentrations from the experiment European Integrated Project on Aerosol  
10 Cloud Climate and Air Quality Interactions (EUCAARI), with a sampling time of 1 min and  
11 averages every 30 min. The EUCAARI experiment used the Multi-Angle Absorption Photometer  
12 (MAAP) instrument (Slowik et al., 2007) and collected data 50 km away from Manaus city. The BC  
13 concentrations were used in the study as an aerosol tracer;

14 4) Atmospheric soundings, which were collected twice a day, at 00 and 12 UTC. The atmospheric  
15 soundings were used to calculate the Convective Available Potential Energy (CAPE), an important  
16 atmospheric index used as an intense convective activity predictor (Wallace and Hobs, 2006). As  
17 Manaus radiosondes were released by an operational station, only soundings at 00 and 12 UTC, 08  
18 and 20 local time, were available. The best time for a sounding in this study would be sometime  
19 around noon when convection starts to develop. However, the CAPE dataset was evaluated and  
20 shown to have very useful information and capture the daily instability feature even though it was  
21 not recorded at the most appropriate time. In an evaluation of the sounding dataset we observed at  
22 00 UTC a considerable population of high CAPE values, but less frequently then at 12 UTC.

23 It is important to clarify that BC is a byproduct of a partial combustion of fossil or wild fires  
24 (Ahmed et al., 2014), and it only represents around 5% of the total carbon concentration resulting  
25 from biomass burning (Formenti et al., 2001; Graham et al., 2003; Cozic et al., 2008). However, as  
26 BBA dominates the aerosol concentration in the Amazon Basin, BC was used in this research as an  
27 aerosol tracer. In addition, BC has received attention by the scientific community due to its potential  
28 for ice nucleation, which would affect the cloud microphysical properties (Cattani et al., 2006;  
29 Cozic et al., 2008). The supersaturation required for ice formation decreases with the presence of  
30 BC (DeMott et al., 1999). Cozic et al. (2008) found that a portion of the cloud droplet nuclei  
31 presented in mixed phase clouds are BC. Therefore, due to its potential for ice nucleation, the  
32 presence of BC would favor the development of ice phase clouds, including deep convection.  
33 Another important aspect related to BC particles is their capacity to absorb radiation in the visible

1 portion of the electromagnetic spectrum (Ramanathan et al., 2001; Storelvmo, 2012; Tiwari et al.,  
2 2013; Ahmed et al., 2014). This characteristic could warm the layer where BC is present (Myhre,  
3 2009; Mahowald, 2011; Jones et al., 2011; Wake, 2012; Wang, 2013), which in turn could also  
4 affect the cloud properties and precipitation.

5 The S-band radar data were processed following the TRADHy strategy (Delrieu et al. 2009), briefly  
6 described hereafter. A preliminary quality control of the radar data was performed, and the radar  
7 calibration was checked throughout the year of 2009. The area actually sampled by the radar was  
8 determined for each elevation angle with characterizing partial or complete beam blockage and  
9 ground clutters. Rain types and the corresponding vertical profiles of reflectivity (VPR) were  
10 dynamically identified. Regarding the VPR identification, the initial method used in TRADHy  
11 performs a numerical identification of the VPR from the comparison of the radar data at different  
12 distances and altitudes to account for sampling effects (Kirstetter et al., 2010). In the present study  
13 the physically-based approach described by Kirstetter et al. (2013) was used for enhanced  
14 robustness to identify a representative VPR over the radar domain for a given precipitation type.  
15 Corrections for both clutter and beam blockage were performed along with a projection of  
16 measured reflectivities onto the ground level using rain-typed VPRs. At a given pixel, reflectivities  
17 from all available elevation angles were used for the projection. The projected radar reflectivity to a  
18 constant altitude plan at the same elevation as the radar was called the Constant Altitude Plan  
19 Position Indicator-Ground (CAPPI-Ground). The Vertical Ice Content (VIC) for each pixel of the  
20 radar images was also calculated. The method described in Kirstetter et al. (2013) is based on a  
21 modeling of the physical properties of the hydrometeors (size distribution, shape, phase,  
22 electromagnetic properties, etc.) contributing to the VPR features. In particular the model for the ice  
23 phase above the freezing level allows for the computation of the Vertical Ice Content (VIC). The  
24 identified VPR is then associated to a model for the ice phase, which is used to compute the VIC at  
25 each pixel alongside the projection of reflectivity at the surface.

26 To determine the general behavior of precipitation in the study area, as well as its relationship with  
27 particulate material, three indices were used as follows:

$$28 \quad RF = N_{20 \text{ dBZ}} / N_{\text{TOTAL}} \times 100 \quad (1)$$

$$29 \quad IRF = N_{45 \text{ dBZ}} / N_{\text{TOTAL}} \times 100 \quad (2)$$

$$30 \quad IF = N_{1 \text{ mm}} / N_{\text{TOTAL}} \times 100 \quad (3)$$

31 Where,

32 – RF is the Rain Fraction;

- 1 – IRF is the Intense Rain Fraction;
- 2 – IF is the Ice Fraction;
- 3 –  $N_{20\text{ dBZ}}$  is the amount of CAPPI-Ground pixels with a reflectivity equal or higher than 20 dBZ;
- 4 –  $N_{45\text{ dBZ}}$  is the amount of CAPPI-Ground pixels with a reflectivity equal or higher than 45 dBZ;
- 5 –  $N_{1\text{ mm}}$  is the amount of VIC pixels equal or higher than 1 mm;
- 6 –  $N_{\text{TOTAL}}$  is the sum of pixels in the area.

7 The Forecast and Tracking the Evolution of Cloud Clusters (FORTRACC), described in detail by  
8 Vila et al. (2008), is an algorithm capable of tracking the evolution of clouds systems. Originally,  
9 FORTRACC was used with infrared images from geostationary meteorological satellites. However,  
10 the FORTRACC version used in this study is an adaption for its application with meteorological  
11 radars. The FORTRACC was used to describe the size and duration of the rain cells. CAPPI-  
12 Ground images were used to track the rain cells around the city of Manaus. Rain cells were defined  
13 using the 20 dBZ threshold, which roughly corresponds to a rain rate of  $1\text{ mmh}^{-1}$  according to  
14 Marshall and Palmer (1948).

15 As presented previously, the datasets did not have the same temporal sampling frequency. Thus a  
16 methodology in order to collocate them in time was applied. All variables derived from the radar  
17 samples (RF, IRF, IF and size and duration of the rain cells) selected to be used were collected  
18 within  $\pm$  two hours difference from the atmospheric soundings. This consideration was made due to  
19 the fact that only two atmospheric soundings were available during each day. As a result, the  
20 atmospheric stability, inferred by CAPE, was considered constant with  $\pm$  two hours of the sounding  
21 launching time. The BC concentrations, from the EUCAARI measurements, used were those which  
22 had the closest sampling time to the radar data. These considerations allowed us to combine the  
23 variables described in order to understand the aerosol effect on precipitation in the Amazon Basin.

24

### 25 **3 The seasonal evolution of black carbon concentration and convective processes**

26 The Manaus precipitation characteristics were obtained from the calculation RF and IRF. The RF  
27 and IRF were normalized by their annual mean and standard deviation in order to compare both  
28 annual cycles. The result (Fig. 1a) was useful in determining two distinct periods to perform the  
29 analysis. Months during which the normalized RF was greater/smaller than zero were considered  
30 rainy/dry seasons. Then, the period of the year between January and June was considered the rainy  
31 season and the months from July to December, the dry season. Generally, from previous research,  
32 the months of November and December present a high increase in the precipitation. However, only

1 a slight increase in the normalized RF was observed (Fig. 1a). This behavior could be attributed to  
2 an observed El Niño configuration, which potentially decreases the precipitation in the Amazon.  
3 Another important aspect is that the normalized IRF is higher for the months within the dry period  
4 (Fig. 1a). The frequency of intense precipitation increases, mainly toward the end of the dry season,  
5 is related to the reduction in the inversion layer and an increase in CAPE and moisture due to the  
6 monsoon circulation (Machado et al., 2004). This result indicates that in the dry period, when large  
7 scale precipitation decreases in the study area, most of the precipitation is linked to intense  
8 convection, which mainly occurs over elevated areas.

9 Even with small variations of terrain elevations, with the highest point around 160 m, a notable  
10 precipitation feature within the study domain is related to the topography (Fig. 2). For the rainy  
11 period (Fig. 2a) all elevations had a reflectivity peak greater than 20 dBZ ( $1 \text{ mm h}^{-1}$ ). In other  
12 words, even with a high peak of reflectivity being observed over elevated regions, during the rainy  
13 season precipitation occurs nearly homogeneously. During the dry season (Fig. 2b), reflectivity  
14 peaks greater than 20 dBZ are only observed over elevated areas. This suggests that in the absence  
15 of a large scale circulation, which could support the precipitation, the upslope triggering plays an  
16 important role in the formation of rain cells. It is important to mention that the two last categories of  
17 elevations presented in Fig. 2 are more than 60 km from the radar. At this distance, the lower radar  
18 elevation band is around 1 km high, which eliminates the ground clutter effect possibility. Thus, this  
19 area should receive special attention under forest protection policies. An important test was  
20 performed in order to ensure that the topography influence on the rainy systems would not lead to  
21 misinterpretations of the aerosol–precipitation relationship. Details of this test are presented in  
22 Section 4.

23 In addition to precipitation characteristics, the annual cycle of BC concentration (Fig. 1b) was  
24 another important consideration for the division of the analyses into rainy/dry periods. During the  
25 rainy season the BC concentration was below  $700 \text{ ng m}^{-3}$  for almost the entire period. This low  
26 concentration could be explained by wet deposition or the absence of large sources of biomass  
27 burning (Martin et al., 2010). On the other hand, for the six months that followed the BC  
28 concentration increased, mainly due to a high number of deforestation fires in the region (Artaxo et  
29 al., 2006) and less observed precipitation. This characteristic favors the outbreak of fires in the  
30 forest, allowing them to spread over the region. Thus, the combination of a period of the year (wet  
31 season) with more rain and less aerosol concentration, and the other season (dry season) with high  
32 BC concentrations and smaller amounts of rain but more intense precipitation events allowed us to  
33 reach interesting results.

34

#### 1 **4 The effect of instability on the rainfall–aerosol relationship**

2 The first analysis performed was the overall relationship between BC concentrations and the rain  
3 characteristics (Fig. 3). At this stage, no consideration regarding the filtering of possible BBA effect  
4 on precipitation from another atmospheric feature was made. For the rainy period (Fig. 3b) a  
5 decrease in RF was observed as BC concentration increases. On the other hand, during the dry  
6 period (Fig. 3c), a decrease in RF was observed up to around  $1000 \text{ ng m}^{-3}$  of BC. After this value,  
7 the RF slightly increases. This characteristic observed during the dry season lead us to try filtering  
8 the possible aerosol influence on precipitation from an atmospheric feature in which could modulate  
9 the effect. At this stage, CAPE was chosen as the atmospheric component to be analyzed. Based on  
10 this, the precipitation/BC comparisons were performed for stable ( $\text{CAPE} < 1400 \text{ J kg}^{-1}$ , less  
11 convective activity) and unstable ( $\text{CAPE} > 2600 \text{ J kg}^{-1}$ , more convective activity) atmospheres.  
12 These values are similar to those presented by Wallace and Hobbs (2006), in order to divide the  
13 convective activity accordingly to the CAPE.

14 During the wet period, by the use of the atmospheric stability considerations, no differences were  
15 observed for the stable and unstable cases. The behavior was exactly the same as when no  
16 atmospheric considerations were performed (Fig. 3b). A similar pattern was observed for the stable  
17 case during the dry season (Fig. 4a). In support of this result, the RF distributions (Fig. 4c) present a  
18 more elongated tail for the small BC concentrations. This decrease could be associated with the  
19 suppression of warm or stratiform precipitation due to the fact that it is unlikely that a strong  
20 convection could form in stable cases. Although it was not possible to evaluate the cloud droplet  
21 size distribution by the dataset used in this study, a mechanism responsible for the observed  
22 behavior could be suggested. The precipitation decrease could be related to a greater formation of  
23 cloud droplets with reduced size (Rosenfeld, 1999; Ramanathan et al., 2001), compromising the  
24 coalescence process (Kaufman et al., 2005), not allowing the droplets to grow to the required size  
25 and inhibiting precipitation and increasing evaporation. The wet scavenging process, an important  
26 component responsible for the removal of aerosol in the atmosphere, could also contribute to the  
27 results presented, mainly for lower BC concentrations, where the RF reaches its higher value.  
28 Besides, it is not possible to define which effect dominates or what the feedback between them is.  
29 As the RF decreases for elevated BC concentrations, the wet deposition seems not to be the  
30 dominant effect on much polluted atmospheres, which gives support to the rain suppression theory.  
31 In addition, the radiative effect acts to increase the population of stable atmosphere cases and  
32 results in less rainfall for the situation of high aerosol loading.

33 Even apparently not being a dominant effect, an important test was applied in order to understand  
34 whether the scavenging process was significant in the study area. This test was performed because

1 the main objective of this study was to identify the influence of BBA on precipitation. However,  
2 precipitation can also modify BBA concentrations in the atmosphere, due to the wet scavenging  
3 process. As mentioned previously, the BC measurements were made *in situ*, around 50 km from the  
4 city of Manaus, in the state of Amazonas. Thus, the concept of this test was based on eliminating  
5 from our statistics all samples whose precipitation was observed over the EUCAARI site. This  
6 elimination excluded the samples whose precipitation could have cleaned the atmosphere,  
7 throughout the scavenging process. After this, all the comparisons between the RF and BC  
8 concentrations were performed. Comparing the results when this criterion was utilized with those in  
9 which no aerosol wet scavenging consideration was performed, no significant differences were  
10 observed. This characteristic indicates that the local scavenging effect seems to be of a second order  
11 on the BC/rain interaction. However, this test does not take into account the reduction of BC  
12 sources outside the measurements site due to rainfall. Therefore, it is not possible to separate the  
13 scavenging effect from other physical effect associating reduction of rainfall to the increase in BC  
14 concentration, even if locally the scavenging effect seems to be of a second order.

15 Similar comparisons between BC concentrations and RF which were performed for stable  
16 atmospheres were made for the unstable cases. The exact opposite behavior was found (Fig. 4b).  
17 The RF increased in cases where higher concentrations of BC were observed. The precipitation  
18 appears to spread over the region when the atmosphere is favorable to the development of  
19 convection associated with BBA. The distribution of RF for three categories of BC (Fig. 4d) shows  
20 that the greater the particulate material concentration, the more elongated the tail of the RF  
21 distributions. This result could be an indication that convection is invigorated by higher BBA  
22 concentrations (Lin et al., 2006; Graf, 2004; Rosenfeld et al., 2008; Altaratz et al., 2010; Koren et  
23 al., 2012). Considering that high CAPE values are associated with stronger updrafts, the aerosol  
24 effect on the rainfall and in the severity of the convective processes could depend on the intensity of  
25 the vertical motions.

26 The radiative effect that acts to stabilize the atmosphere is of a second order because even with high  
27 BBA the atmosphere is highly unstable, and thermodynamics, on this time scale, dominates over the  
28 radiative process. Also, the feedback effect due to the radiative effect, which increases droplet  
29 evaporation, does not seem to be the predominant mechanism. Probably, the high instability (high  
30 updraft) and the large number of droplets inside the cloud ascend very fast, thereby reducing the  
31 evaporation. Although impossible to quantify, the wet scavenging also seems to be of second order,  
32 and would act in the opposite direction through the fact that precipitation did not decrease BC  
33 concentration at any point of the curve (Fig. 4b). During the dry season only the upslope regions  
34 trigger convection and in the highly unstable cases it appears that BBA helps to increase ice



1 nucleus, increasing precipitation.

2 As mentioned (Sect. 3), the terrain elevation plays an important role in triggering precipitation in  
3 the region, mainly during the dry season (Fig. 2b). In the statistics presented, no considerations  
4 were made in terms of the topography for the BC-precipitation relationship. However, to make sure  
5 that no considerations were necessary, a relevant test was performed in order to avoid  
6 misinterpretations of the conclusions regarding the comparisons between the rainfall characteristics  
7 and their association with BC concentrations. This test was made in order to verify whether the BC-  
8 precipitation relationship was different for each topography category presented in Fig. 2. So, for  
9 each category, we performed the statistical tests previously described, comparing RF values for  
10 different BC concentrations in stable and unstable atmospheres. The results for each terrain  
11 elevation category were statistically similar to Fig 4. Therefore, though important for triggering  
12 precipitation, the elevation did not influence the results related to BC-precipitation comparisons,  
13 which allowed us to use all grid points in our study independently of their elevation.

14 In order to understand whether the amount of cloud ice is influenced by the presence of high BBA  
15 concentrations, the IF index was calculated based on Eq. 3. This stage was very important for the  
16 fact that ice formation could be influenced by BC (Demott et al., 1999; Cozic et al., 2008; Kireeva  
17 et al., 2009). Besides the convective case hypothesis, we could verify whether the precipitation  
18 suppression observed for the stable case is also linked to the presence of stratiform clouds. The  
19 mean IF values decreased substantially in proportion to the increase in BC concentration for stable  
20 atmospheres (Fig. 5a). This result could be an indication that stratiform clouds are negatively  
21 influenced by BBA. Another possibility could be associated to the fact that in the absence of strong  
22 buoyancy, the small droplets formed do not ascend to high atmospheric levels and evaporate easily  
23 or do not develop to a rainfall drop size, in a mechanism similar to warm precipitation suppression,  
24 which was described earlier. In addition, wet scavenging could also act to reduce BC concentration.  
25 In unstable cases, the result indicates that the convection invigoration hypothesis (Rosenfeld et al.,  
26 2008) through the presence of aerosols is likely to be true (Fig. 5b). An unstable atmosphere could  
27 carry the small droplets to higher levels, invigorating the convection and increasing the amount of  
28 ice (Rosenfeld et al., 2008). Besides, BC could be carried within the updrafts and act as ice nuclei.  
29 Which process is more important cannot be evaluated with the present dataset.

30

## 31 **5 Rain cells size and duration**

32 The RF-IF/BC analyses were useful in order to understand the increase/decrease in precipitation or  
33 ice fraction over the entire radar coverage area. However, they do not give information on the space  
34 and time scale organization of the rainfall. In order to evaluate whether BBA change the lifetime

1 duration of the rain cells, FORTRACC was employed. At first, rain cells which were the result of  
2 splitting or merging were eliminated in the statistics for the duration analysis. This was done due to  
3 the fact that precipitating cells which are the result of splitting or merging have their physical  
4 characteristics modified, influencing the duration and compromising the evaluation of the BBA  
5 effect on them. In addition, rain cells which do not have their entire lifecycle inside the radar  
6 domain were not considered, as it was is not possible to know the duration of a rain cell which did  
7 not initiate and dissipate inside the radar domain. After this step, the number of rain cells whose  
8 lifecycle would be analyzed was drastically decreased. The result showed no significant change in  
9 the lifetime duration as a function of BBA. Unfortunately, the lifecycle rain cells population was not  
10 statistically significant after all these considerations. However, the study of the rain cell size  
11 distributions does not require any of these limitations, and all rain cells, regardless of whether they  
12 had their entire life cycle inside the domain region, can be taken into account. For the rainy season,  
13 no significant effect was observed on the rain cell size relationship with the BC concentration. The  
14 same pattern was observed for the dry season, except for rain cells, in unstable atmosphere, larger  
15 than 100 km<sup>2</sup>. For systems smaller than 100 km<sup>2</sup>, even in high instability cases, the increase in BC  
16 concentration does not show any significant relation with rain cell size. However, for larger rain  
17 cells (Fig. 6a), in unstable atmospheres during the dry season, the rain cell size increases as a  
18 function of the BC concentration. Even though a large variability can be noted in Fig. 6, the tail of  
19 the rain cells size distribution shows a larger size for higher BC concentration (Fig. 6b). Besides,  
20 the curves are significantly different at 95% of t test. The presence of particulate material appears to  
21 reinforce the convection that is well established by the elevated level of atmospheric instability. The  
22 mean systems area increases from 300 to over 900 km<sup>2</sup> as BC concentration varies from 300 to  
23 1660 ng m<sup>-3</sup>. The main reason of this size selection for high aerosol loading is a consequence of the  
24 increase in rain fraction and ice fraction that is associated to the rain cell size. However, this is  
25 probably also due to the entrainment effect, which depends inversely on cloud radii in the updrafts  
26 (Simpson and Wiggert, 1969). Larger rain cells have smaller entrainment favoring higher level of  
27 neutral buoyancy. Storelvmo (2012) also commented that the entrainment rate plays an important  
28 role on the aerosol effect on deep convective clouds. The main results presented in this study and  
29 the mechanisms proposed are summarized in Table 1.

30

## 31 **6 Conclusions and discussions**

32 In this study we have presented a methodology, using observational data, in order to contribute to  
33 the knowledge of the BBA effect on precipitating systems in the Amazon Basin. One of the greatest  
34 difficulties regarding this issue is filtering the aerosol effect from other important atmospheric

1 features. Large-scale circulation or thermodynamic effects are a major component in the  
2 strengthening of convection. An analysis of the contribution of each effect could not be performed  
3 observationally, but throughout theoretical simulations which are not completely parameterized. In  
4 this study, CAPE values were used as the atmospheric filtering component, which allowed us to  
5 divide analyses according to the degree of atmospheric stability. Important features, such as wet  
6 scavenging, synoptic scale influence and droplet size distribution characteristics, need further study  
7 and improvement to extend this result. As BBA is predominant in the Amazon Basin, BC was used  
8 as an aerosol tracer. Nevertheless, other kinds of aerosol are also present in the region and should  
9 receive more attention in new field campaigns. The El Niño configuration, as was observed during  
10 the dry season, is associated to less precipitation due to a decrease in the occurrence of rain cells.  
11 Even if this situation decreases the rain cell population in order to study the lifetime duration, a  
12 significant number of samples were analyzed for the evaluation of the aerosol-rainfall interaction,  
13 and this did not compromise the main results of this study that are associated to the convective  
14 scale.

15 Despite the limitations due to the database and the large set of independent variables, the results  
16 presented in this study were statistically significant and physically relevant. BBA releases into the  
17 atmosphere generally appear to contribute to a decrease in precipitation. However, it is difficult to  
18 prove this behavior because there are several effects, such as wet scavenging or atmosphere  
19 inhibitions where the effect cannot be excluded from the results and could also contribute to  
20 precipitation reduction. This could be associated to the warm rain suppression mechanism or the  
21 direct radiative effect, or an association of the radiative and microphysical effects together. During  
22 the dry season in an unstable atmosphere, the convective invigoration for elevated concentrations of  
23 BBA seems to be a very significant result, because all other features act to reduce precipitation in  
24 polluted atmospheres, such as wet scavenging. The probable physical mechanism is related to  
25 stronger updrafts inside the rain cells initiated over upslope regions, which could increase ice  
26 nucleus and strengthen convection. It is true that the vertical velocity within the precipitating  
27 systems was not available in the database used. However, the vertical velocity can be directly linked  
28 to CAPE values, as the greater the atmospheric instability the stronger the updrafts. The study does  
29 not define any specific BC concentration which could activate the cloud process, thereby increasing  
30 convective strengthening. Nevertheless, it is shown that this process only occurs significantly when  
31 the BC concentration is higher than  $1200 \text{ ng m}^{-3}$ , which can contribute to generating a large number  
32 of droplets due to the microphysical effect. Wet scavenging appears to be of a second order in the  
33 precipitation/aerosol relationship for elevated concentrations of BC. However, it is only possible to  
34 have a qualitative result because it was not possible to isolate this process for the precipitation  
35 inhibition cases and quantify the exact effect on the rain and ice fractions.

1 The indication of the influence of BBA on the size of the rain cells followed the same behavior  
2 observed for RF and IF. We also suggest that the effect is modulated by the atmospheric degree of  
3 instability. An important size threshold was found, and the relationship between BC concentration  
4 and rain cells area depended on it. The influence of BBA on the convective strengthening was  
5 observed for large rain cells. It is probably related to the smaller entrainment of dry air parcel into  
6 the convection, favoring a higher level of neutral buoyancy. The area increase was just observed, for  
7 the unstable case in the dry period, for systems larger than 100 km<sup>2</sup>. Although the results of the  
8 BBA influence on the duration of the rain cells have been inconclusive, some evidence of this  
9 relationship should be mentioned. It is well-known that the size of rain cells is positively correlated  
10 to their duration. So, the results presented in this study could be an indication that high  
11 concentrations of BC could lead to longer lifetime rain cells, depending on the atmospheric degree  
12 of instability.

### 13 **Acknowledgements**

14 This study was funded by the following grants: CNPq-141952/2010-5 and FAPESP-CHUVA  
15 Project 2009/15235-8. We thank Paulo Artaxo for providing the EUCAARI database and the  
16 Amazon Protection National System (SIPAM) for the S-Band radar dataset.

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1 **Figures and Tables**

2 Table 1. Observed features and proposed mechanisms for stable and unstable atmospheres during  
 3 dry and wet season

<b>Atmosphere State Wet season</b>	<b>Observed Features</b>	<b>Possible Mechanisms Explaining this behaviour:</b>
Stable (CAPE<1400 J/kg )	<ul style="list-style-type: none"> <li>- Rain and Ice Fraction decreases as BC increases ;</li> <li>- Low BC concentration;</li> <li>- No influence on rain cell size;</li> </ul>	<ul style="list-style-type: none"> <li>- The wet scavenging process, greater formation of cloud droplets with reduced size suppressing precipitation;</li> <li>- Atmosphere stabilization;</li> </ul>
Unstable (CAPE>2600 J/kg)	<ul style="list-style-type: none"> <li>- Rain and Ice Fraction decreases as BC increases;</li> <li>- Low BC concentration;</li> <li>- No influence on rain cell size;</li> </ul>	<ul style="list-style-type: none"> <li>- The wet scavenging process, greater formation of cloud droplets with reduced size suppressing precipitation;</li> </ul>
<b>Atmosphere State Dry season</b>	<b>Observed Features</b>	<b>Possible Mechanisms Explaining this behaviour:</b>
Stable (CAPE<1400 J/kg )	<ul style="list-style-type: none"> <li>- Rain and Ice Fraction decreases as BC increases;</li> <li>- High polluted atmosphere (&gt;1000 ng/m<sup>3</sup>);</li> <li>- No influence on rain cell size;</li> </ul>	<ul style="list-style-type: none"> <li>- The wet scavenging process, greater formation of cloud droplets with reduced size suppressing precipitation;</li> <li>- Atmosphere stabilization;</li> <li>- Less buoyancy;</li> <li>- Weaker updrafts;</li> <li>- Weaker homogenous ice formation;</li> </ul>
Unstable (CAPE>2600 J/kg)	<ul style="list-style-type: none"> <li>- Rain and Ice Fraction increases as BC increases;</li> <li>- High polluted atmosphere (&gt;1000 ng/m<sup>3</sup>);</li> <li>- Increase of rain cell size as BC increases, only for large rain cell areas (&gt;100 km<sup>2</sup>)</li> </ul>	<ul style="list-style-type: none"> <li>- High buoyancy;</li> <li>- Stronger updrafts;</li> <li>- High homogenous ice formation;</li> <li>- More latent heating;</li> <li>- Convection invigorated;</li> <li>- Smaller entrainment for large rain cells</li> </ul>

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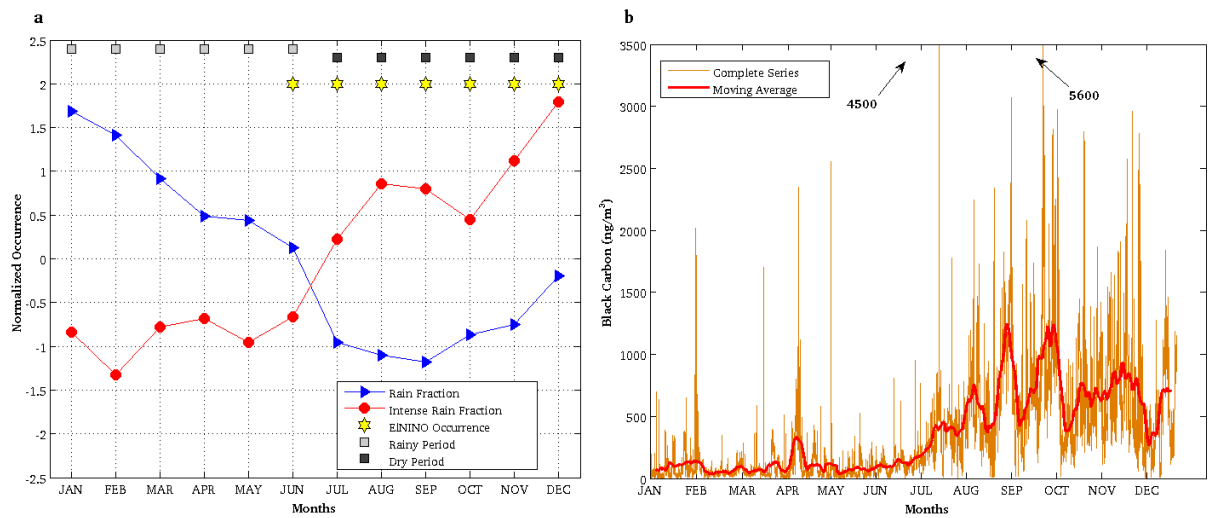


Figure 1. (a) Annual Cycle of rain fraction (RF) and intense rain fraction (IRF) normalized by its annual mean and standard deviation for the S-band radar located in Manaus in 2009. The symbols on the top of the panel represent: The rainy period (light gray squares); the dry period (dark gray squares); El Niño occurrence (yellow stars). (b) Annual Cycle of BC for Manaus-AM in 2009. The orange line represents the complete series, in 30-minute intervals for each measurement and the thick red line represents a weekly moving average.

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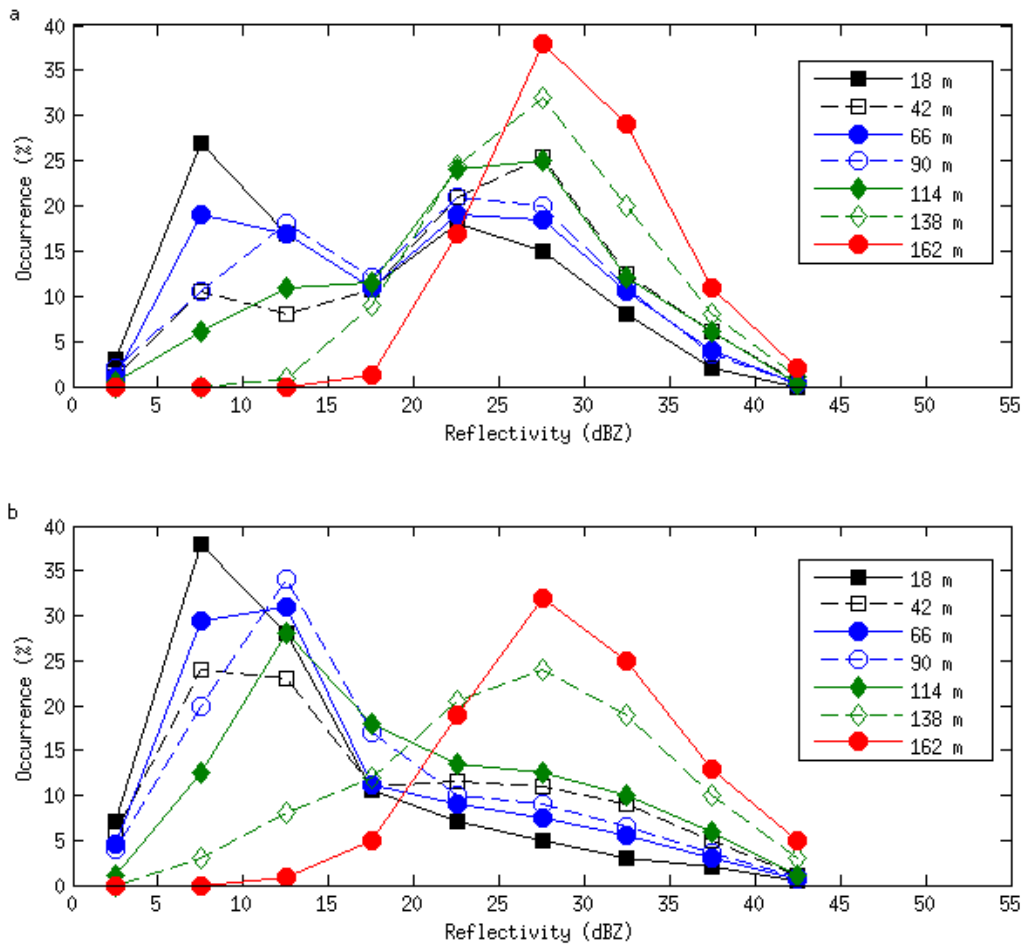


Figure 2. Frequency histograms of radar reflectivity for different topography elevations (intervals of 24 m) for the S-band radar located in Manaus in 2009. (a) rainy season and (b) dry season.

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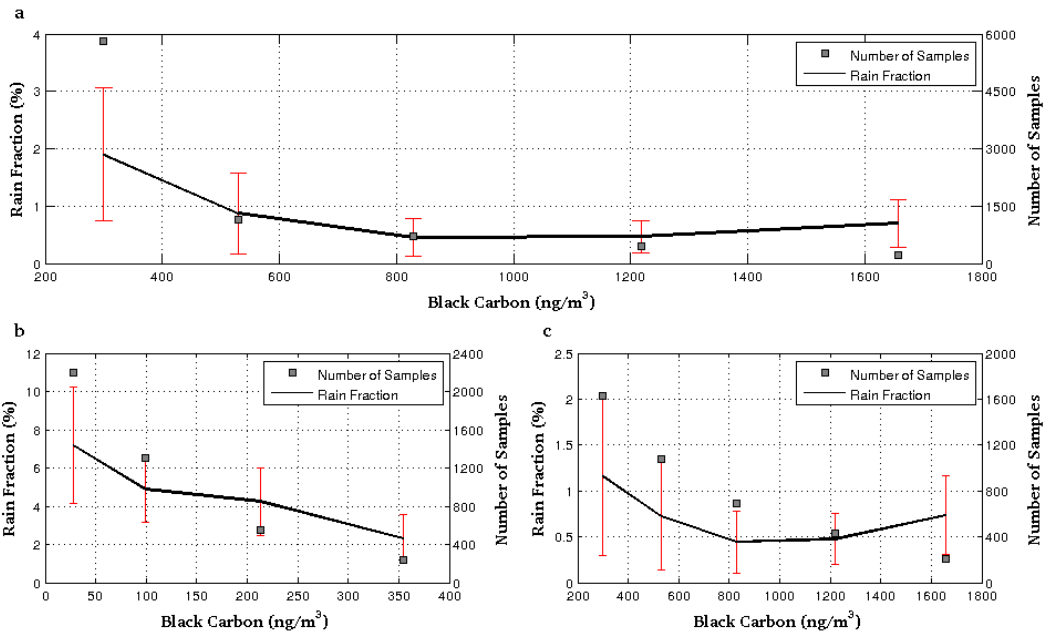


Figure 3. Mean, standard deviation and number of samples of rain fraction (RF) for different Black Carbon (BC) concentrations. (a) The entire year; (b) Rainy Season; (c) Dry season. For these curves, no atmospheric stability consideration was performed.

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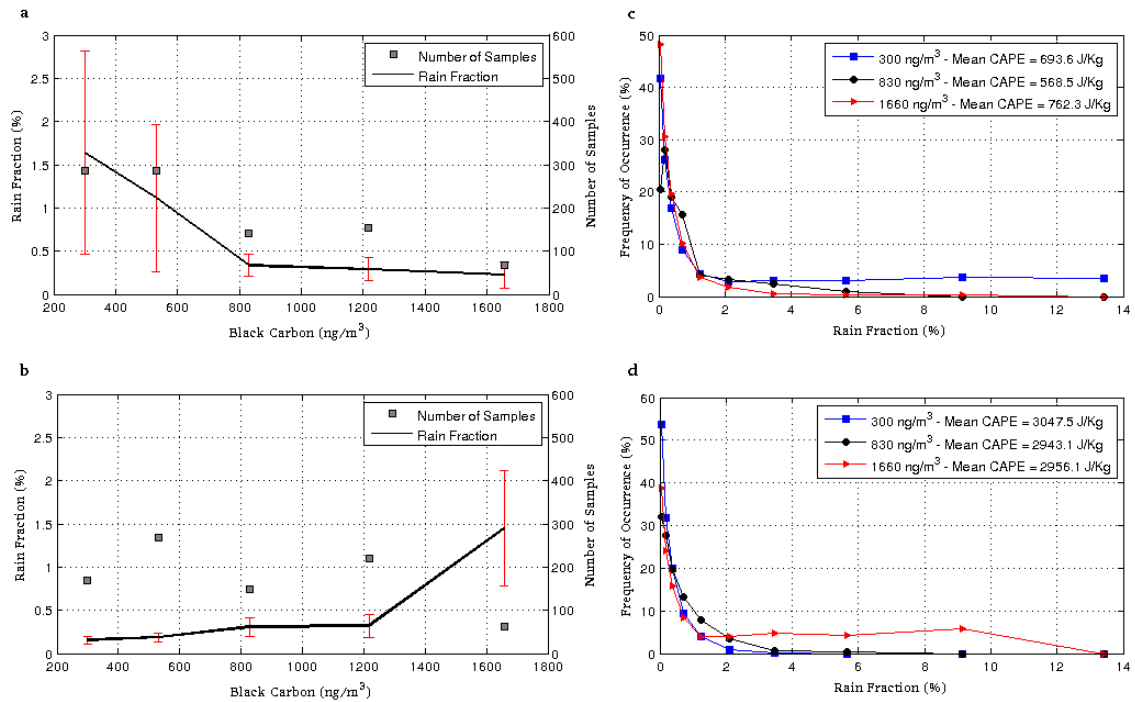


Figure 4. Mean, standard deviation and number of samples of rain fraction (RF) for different Black carbon (BC) concentrations for stable (a) and unstable (b) atmospheres in the dry period. Rain fraction (RF) frequency histograms for the first, third and fifth Black Carbon (BC) concentrations in (a) and (b), for stable (c) and unstable (d) atmospheres. The first and third curves in (c) and (d) are significantly different at 95% for the t-test.

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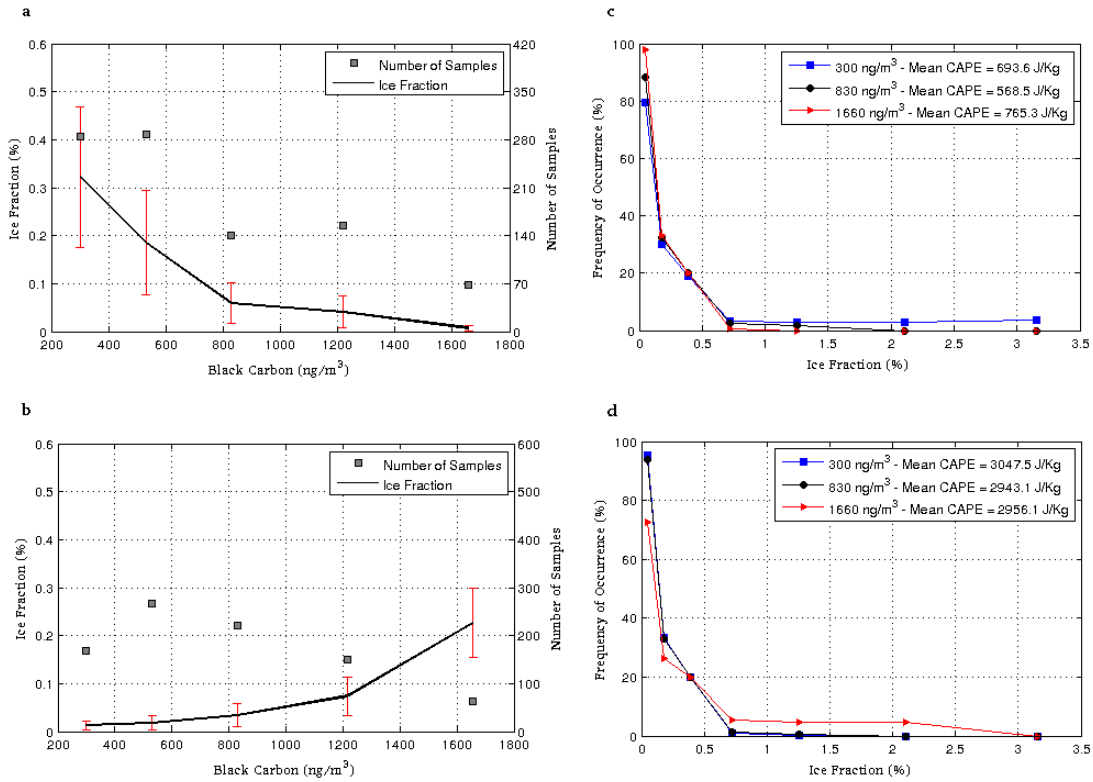


Figure 5. Mean, standard deviation and number of samples of ice fraction (IF) for different Black Carbon (BC) concentrations for stable (a) and unstable (b) atmospheres in the dry period. Rain fraction (RF) frequency histograms for the first, third and fifth Black Carbon (BC) concentrations in (a) and (b), for stable (c) and unstable (d) atmospheres. The first and third curves in (c) and (d) are significantly different at 95% for the t-test.

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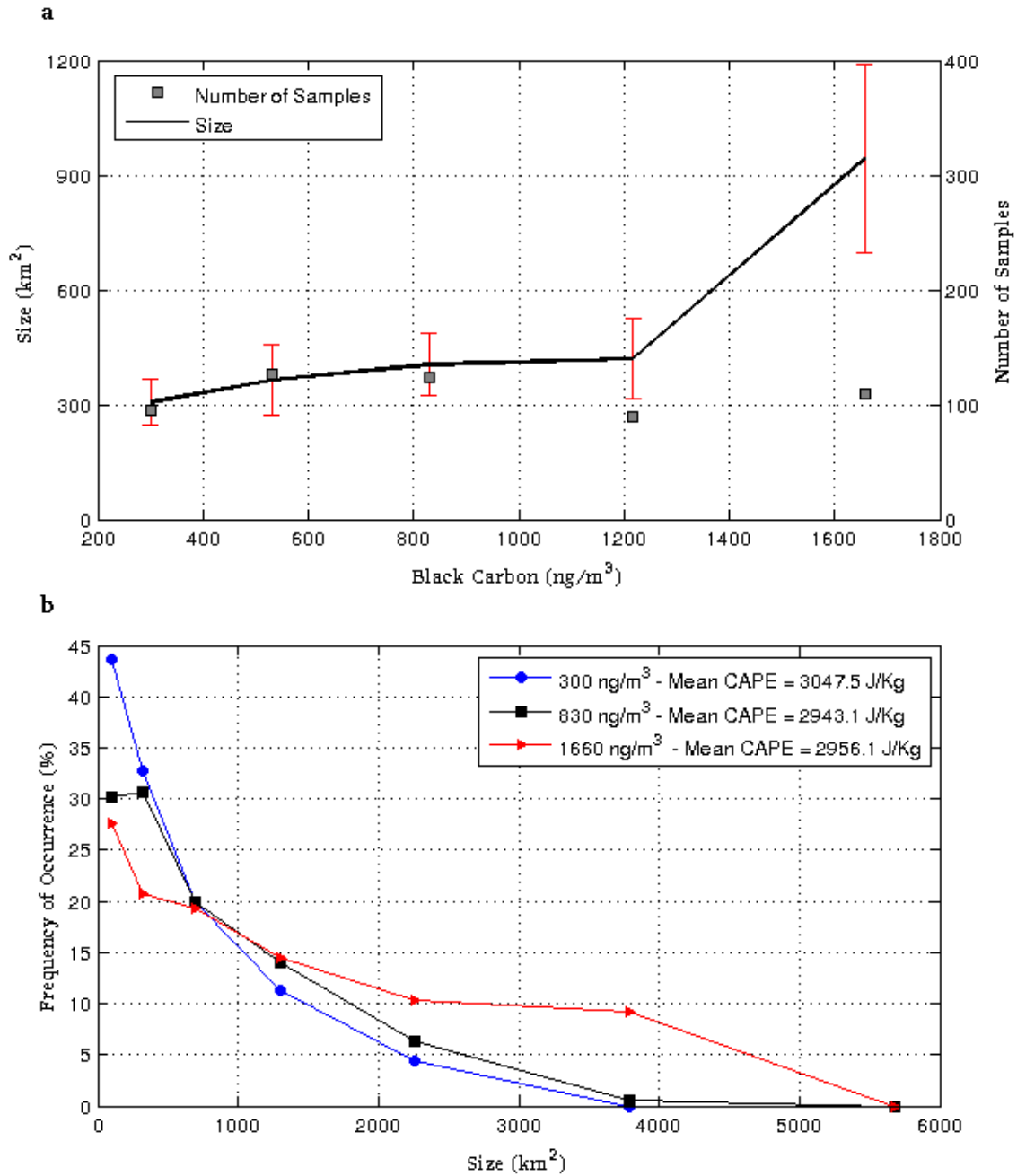


Figure 6. (a) Mean, standard deviation and number of samples of rain cells size ( $>100\text{km}^2$ ) for different Black carbon (BC) concentrations in an unstable atmosphere during the dry period; (b) Size frequency histograms for the first, third and fifth Black Carbon (BC) concentrations in (a). The first and third curves in (b) are significantly different at 95% for the t-test.

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