

1 **Influence of airmass downward transport on the variability of surface ozone at Xianggelila**  
2 **Regional Atmosphere Background Station, Southwest China**

3 J. Ma<sup>1,\*</sup>, W. L. Lin<sup>1,2</sup>, X. D. Zheng<sup>1</sup>, X. B. Xu<sup>1</sup>, Z. Li<sup>3</sup>, L. L. Yang<sup>3</sup>

4 1. Key Laboratory for Atmospheric Chemistry, Chinese Academy of Meteorological Sciences,  
5 Beijing 100081, China;

6 2. Centre for Atmosphere Watch and Services, Meteorological Observation Centre, China  
7 Meteorological Administration, Beijing 100081, China;

8 3. Yunnan Diqing Meteorological Bureau, Diqing 674400, China;

9 \* Now at Atmospheric Research Center, Fok Ying Tung Graduate School, Hong Kong University of  
10 Science and Technology, Hong Kong

11 Correspondence to: W.L. Lin ([linwl@cams.cma.gov.cn](mailto:linwl@cams.cma.gov.cn))

12 **Abstract**

13 In situ measurements of ozone (O<sub>3</sub>), carbon monoxide (CO) and meteorological parameters  
14 were made from December 2007 to November 2009 at the Xianggelila Regional Atmosphere  
15 Background Station (28.006°N, 99.726°E, 3580 m a.s.l.), Southwest China. It is found that both O<sub>3</sub>  
16 and CO peaked in spring while the valleys of O<sub>3</sub> and CO occurred in summer and winter,  
17 respectively. A normalized indicator (marked as ‘Y’) on the basis of the monthly normalized O<sub>3</sub>, CO,  
18 and water vapor, is proposed to evaluate the occurrence of O<sub>3</sub> downward transport from the upper,  
19 O<sub>3</sub>-rich atmosphere. This composite indicator has the advantage of being less influenced by the  
20 seasonal or occasional variations of individual factors. It is shown that the most frequent and  
21 effective transport occurred in winter (account to 39% of the cases on the basis of a threshold of the  
22 Y value larger than 4) and they can make a significant contribution to surface O<sub>3</sub> at Xianggelila. A  
23 9.6 ppb increase (21.0%) of surface ozone is estimated based on the impact of deep downward  
24 transport events in winter. A case of strong O<sub>3</sub> downward transport event under the synoptic  
25 condition of a deep westerly trough is studied by the combination of the Y indicator, potential  
26 vorticity, total column ozone, and trajectory analysis. Asian Monsoon plays an important role in  
27 suppressing O<sub>3</sub> accumulation in summer and fall. The seasonal variation of O<sub>3</sub> downward transport,  
28 as suggested by the Y indicator at Xianggelila, is consistent with the seasonality of  
29 stratosphere-to-troposphere transport and the subtropical jet stream over the Tibetan Plateau.

30

## 31 **1 Introduction**

32 Tropospheric O<sub>3</sub> has been significantly increasing for more than a century due to the  
33 anthropogenic activities (Hough and Derwent, 1990; Staehelin et al., 2001; Vingarzan, 2004),  
34 deteriorating the air quality and potentially harming human beings and ecosystem (Krupa and  
35 Manning, 1988). In the troposphere, O<sub>3</sub> is known to be produced by gas-phase oxidation of  
36 hydrocarbons and CO under the catalysis of hydrogen oxide radicals and nitrogen oxides in the  
37 presence of sunlight (Chameides and Walker, 1973; Crutzen, 1974; Crutzen et al., 1999; Jacob,  
38 2000). In addition to photochemical production, tropospheric O<sub>3</sub> also comes from the  
39 stratosphere (Junge, 1962; Danielsen, 1968). Although the chemical production is regarded as  
40 a main source of tropospheric O<sub>3</sub> (Fishman et al., 1979; Gidel and Shapiro, 1980), the influence  
41 of O<sub>3</sub> transported from the stratosphere is considerable at some background sites where the  
42 regional and local emissions of O<sub>3</sub> precursors are extremely limited (Ordóñez et al., 2007;  
43 Trickl et al., 2010; Logan et al., 2012; Oltmans et al., 2012; Parrish et al., 2012). Due to the  
44 stratosphere-to-troposphere exchange (STE) and the distance from the Earth surface, where  
45 sources of trace species are located, air in the upper troposphere often shows unique chemical  
46 signature. Aircraft measurements show that the climatological levels of O<sub>3</sub>, CO, and H<sub>2</sub>O in the  
47 upper troposphere and lower stratosphere (UTLS) over the subtropics of the Northern  
48 Hemisphere are respectively in the ranges of 80-160 ppb, 50-85 ppb, and 6-40 ppm, depending  
49 on season (Tilmes et al., 2010). Therefore, transport events of air-masses associated with  
50 stratospheric intrusions were usually characterized by high O<sub>3</sub>, but low CO and water vapor  
51 concentrations (Marengo et al., 1998; Bonasoni et al., 2000; Stohl et al., 2000; Cooper et al.,  
52 2002; Langford et al., 2009; Neuman et al., 2012). Such transport events are often associated  
53 with tropopause folding synoptic systems in the middle latitudes such as cold fronts in the  
54 lower troposphere (Stohl and Trickl, 1999), corresponding with troughs/cut-off lows in the  
55 middle and upper troposphere (Davies and Schuepbach, 1994). In the mid-latitudes, the  
56 subtropical jet (STJ) stream can have significant effect on the vertical ozone distribution and  
57 the STJ varies from a wintertime maximum to a summertime minimum (Bukin et al., 2011;  
58 Koch et al., 2006). Sprenger et al. (2003) found that the downward transfer along the STJ could  
59 be even more important than the stratosphere-to-troposphere transport (STT) in the  
60 mid-latitudes and there are indications of long-range transport of high-ozone air masses that

61 emerged from shallow STT along the STJ (Langford et al., 1998; Langford, 1999; Koch et al., 2006;  
62 Trickl et al., 2011). Near the STJ, the occurrence frequency of double tropopauses shows a strong  
63 seasonal variation over North Hemisphere mid-latitudes, with 50–70% occurrence in profiles during  
64 winter, and a small fraction (~10%) over most of the hemisphere during summer (Randel et al.,  
65 2007), and the multiple tropopause occurrence over the Tibetan Plateau can be as high as 80%  
66 during certain winters (Chen et al., 2011). In addition, the Asian and North American monsoons may  
67 have distinct effects on the upper troposphere and lower stratosphere (Gettelman et al., 2004).

68 The Tibetan Plateau and the surrounding mountain range about 3,000,000 km<sup>2</sup> with an average  
69 elevation in excess of 4000 m a.s.l.. The kinetics and thermodynamics on the unique topography  
70 have great impact on air circulation, climate change, on local, regional or even global scales. It is  
71 important to understand the influence of transport events from the upper troposphere and the lower  
72 stratosphere, which may represent one of the most important natural input of tropospheric O<sub>3</sub> and  
73 impact the atmospheric radiative forcing in the Tibetan Plateau. Moore and Semple (2005) reported  
74 the existence of so called the Tibetan ‘Taylor Cap’ and a halo of stratospheric O<sub>3</sub> over the Himalaya,  
75 which causes elevated levels of the upper tropospheric O<sub>3</sub> along the mountain regions. This result  
76 strongly suggests that the topography of the Tibetan Plateau can exert an influence on the  
77 lower-stratosphere and upper-troposphere. Škerlak et al. (2014) compiled a global 33 yr climatology  
78 of STE from 1979 to 2011 and concluded that the Tibetan Plateau is one of the global hotspots for  
79 deep STE, where the very high orography combined with a high mixing layer enables  
80 quasi-horizontal transport into the PBL (Chen et al., 2013). So far, surface O<sub>3</sub> measurements in the  
81 Tibetan Plateau have been reported mainly for the Waliguan global WMO/GAW station (36.28°N,  
82 100.90°E, 3816 m a.s.l.) in the north-eastern plateau since 1994 (Tang et al., 1995; Klausen et al.,  
83 2003; Wang et al., 2006; Xu et al., 2011) and, on the south rim, the Nepal Climate  
84 Observatory-Pyramid (NCO-P, 27.95°N, 86.80°E, 5079 m a.s.l.) in the Himalaya range  
85 (Cristofanelli et al., 2010). At Waliguan, high-O<sub>3</sub> events were mostly observed when transport  
86 events of the upper troposphere –lower stratosphere air occurred in spring (Ding and Wang, 2006;  
87 Zheng et al., 2011), and the summertime O<sub>3</sub> peak was deemed to be under the great influence of  
88 vertical mixing process including the stratosphere-troposphere exchange (Ma et al., 2002; Ma et al.,  
89 2005; Zheng et al., 2005; Liang et al., 2008). Based on the measurements at NCO-P, Cristofanelli et  
90 al. (2010) reported an assessment of the influence of stratospheric intrusions (SI) on surface O<sub>3</sub> and

91 concluded that 14.1% of analyzed days were found to be affected by SI during a 2-year  
92 investigation.

93 In this paper, we present 2-year (from Dec. 2007 to Nov. 2009) measurements of surface  
94 O<sub>3</sub> and CO at the Xianggelila station, which is located at the southeast rim of the Tibetan  
95 Plateau in Southwest China. Firstly, we give general introduction of the study including the  
96 description of observation sites, measurements of O<sub>3</sub> and CO, and the methods of analysis.  
97 Then, we summarize the seasonal variations of O<sub>3</sub> and CO, and show the main patterns of  
98 airflow which may influence the Xianggelila site. We study the impact of downward transport  
99 on surface O<sub>3</sub> using a normalized indicator of downward transport, which is less influenced by  
100 seasonality of trace species. In addition, we show analysis results of backward trajectories  
101 combined with the surface measurement data and demonstrate a case of O<sub>3</sub> transport event  
102 caused by a deep westerly trough. Finally, the influence of air mass transport from the upper  
103 O<sub>3</sub>-rich atmosphere on the surface O<sub>3</sub> is assessed using the chemical tracers.

## 104 **2 Measurements and methodologies**

### 105 **2.1 Overview of the Xianggelila station**

106 The Xianggelila Regional Atmospheric Background Station (28.006°N, 99.726°E, 3580  
107 m a.s.l.) is located in Yunnan province, Southwest China (Fig. 1), and is one of the background  
108 stations operated by China Meteorological Administration (CMA). The station is at the  
109 southeast rim of the Tibetan Plateau and about 450 km northwest of Kunming City (population  
110 about 7.263 millions in 2011), the capital of the Yunan province. It is considered to be weakly  
111 affected by the local anthropogenic activities because there is nearly no significant  
112 anthropogenic source of O<sub>3</sub> precursors surrounding the station, and the nearest township,  
113 Xianggelila County, is about 30 km away from the station. Hence, it is regarded as an ideal site  
114 for monitoring the background levels of trace gases in the atmosphere over Southwest China.  
115 The climatology of Xianggelila is mainly controlled by monsoon activities. The Asian summer  
116 monsoon can bring abundant precipitation there.

### 117 **2.2 Measurements of O<sub>3</sub> and CO**

118 A set of commercial instruments from Ecotech, Australia has been used to measure O<sub>3</sub> (9810B)  
119 and CO (9830T) at the Xianggelila station. The linearity errors for 9810B and 9830T are ±0.5% and  
120 ±1%, respectively. The lower detection limits for 9810B and 9830T are 0.4 ppb and 25 ppb,

121 respectively. The air inlet is fixed at the height of 1.8 m above the roof of the building and about 8 m  
122 above the ground. The common inlet and all other tubing are made of Teflon. Weekly zero/span  
123 checks were done using a dynamic gas calibrator (Gascal 1100) in combination with a zero air  
124 supply (8301LC) and a set of standard reference gas mixtures (National Institute of Metrology,  
125 Beijing, China). Additional CO-free air was also produced using SOFNOCAT (514) oxidation  
126 catalysts ([www.molecularproducts.com](http://www.molecularproducts.com)) and supplied to the CO analyzer every 2 hours for  
127 additional auto-zero (background) cycles. Multi-point calibrations of the CO analyzers were made  
128 every month. The national CO standard gas was compared against the NIST-traceable standard from  
129 Scott Specialty Gases, USA. Multi-point calibrations of the O<sub>3</sub> analyzer were made every month  
130 using an O<sub>3</sub> calibrator (TE 49i PS), which is traceable to the Standard Reference Photometer (SRP)  
131 maintained by WMO World Calibration Centre in Switzerland (EMPA). Measurement signals were  
132 recorded as 1-min averages. After the correction of data on the basis of the results of the multi-point  
133 calibrations and zero/span checks, hourly average concentrations were calculated and are used for  
134 further analysis. Meteorological data, including wind, temperature, relative humidity, etc., were also  
135 obtained from the site, with a resolution of 1 hour.

### 136 **2.3 Backward-trajectory calculation and weather simulation**

137 The HYSPLIT (Hybrid Single-Particle Lagrangian Integrated Trajectory, version 4.8) model  
138 (<http://ready.arl.noaa.gov/HYSPLIT.php>) was used to calculate the backward trajectories at  
139 Xianggelila from 2007 to 2009. The HYSPLIT model is a complete system for computing simple air  
140 parcel trajectories to complex dispersion and deposition simulations (Draxler and Rolph, 2003;  
141 Rolph, 2010). National Centers for Environmental Prediction (NCEP, 1° × 1°) reanalysis  
142 meteorological data were inputted for model calculation. The vertical motion method in the  
143 calculations is the default model selection, which uses the meteorological model's vertical velocity  
144 fields and is terrain following. The height of the endpoint is set at 500 m above ground level. The  
145 3-day backward trajectories were calculated at four times (0, 6, 12, 18 UTC) per day. After  
146 calculation, the trajectories were clustered into several types using the HYSPLIT software. Besides,  
147 HYSPLIT is also used to calculate 7-day backward trajectories in a case study described in Section  
148 3.3.

149 The Weather Research and Forecasting (WRF) Model Version 3.4.1 (Skamarock et al., 2005) is  
150 used to simulate the weather situations in Section 3.3 for a case study. Only one domain was

151 initialized by NCEP FNL (Final) Operational Global Analysis data on  $1.0 \times 1.0$  degree grids  
152 prepared operationally every six hours, and the space resolution of WRF is set to 36 km. The  
153 run time of WRF was set as two days and used default physical schemes.

#### 154 **2.4 Normalized indicator of O<sub>3</sub> downward transport**

155 It is known that some species like O<sub>3</sub> and Be-7 are relatively high, while others like CO  
156 and water vapor are relatively low in the upper troposphere and stratosphere. Therefore, if  
157 airmasses originating from a higher elevation, for example, from the free troposphere or higher,  
158 often contain more abundant O<sub>3</sub>, but less CO and water vapor. Cristofanelli et al. (2009)  
159 proposed a stratospheric intrusion index using baseline measurements of O<sub>3</sub>, Be-7 and relative  
160 humidity. Such index can be used to quantify the impacts of stratospheric intrusion on ground  
161 measurements. However, long-term measurements of Be-7 are available only from few sites.  
162 Here, we try to infer whether the surface O<sub>3</sub> is affected by transport events from upper O<sub>3</sub>-rich  
163 atmosphere or not according to the surface observed O<sub>3</sub>, CO and water data. These data are  
164 available from our site and many other sites. However, the levels of O<sub>3</sub>, CO and water vapor in  
165 the UTLS region show seasonal variations (Tilmes et al., 2010), so do their surface levels. This  
166 may make the results from different seasons less comparable. To minimize the effects of  
167 seasonal variations, we propose a normalized indicator of downward transport. For a certain  
168 period such as a month, a quantity Y, which combines the measured data of the chemical  
169 tracers of O<sub>3</sub>, CO, and water vapor, is determined as Eq. (1).

$$170 \quad Y = \frac{[O_3]}{[r][CO]} \quad (1)$$

171 where, [O<sub>3</sub>], [CO] and [r] denote the monthly normalized O<sub>3</sub>, CO and water vapor mixing ratios,  
172 respectively. For example, [O<sub>3</sub>] is an hourly averaged O<sub>3</sub> concentration divided by the monthly  
173 averaged O<sub>3</sub> concentration. As Y is a composite indicator, it should be less subject to occasional  
174 disturbance in any of individual factors. Water vapor mixing ratio is calculated using the local  
175 meteorological observational data and normalized in the same way. Here, the Y indicator is used to  
176 indicate the synthesized fluctuation of O<sub>3</sub>, CO and water vapor, which acts as a surface chemical  
177 tracer to understand the exchange of surface air with the free or upper atmosphere. The conserved  
178 physical process of downward transport is assumed by the Y indicator, and this is inevitably  
179 influenced by the photochemical processes of O<sub>3</sub> and CO. Under situations when the physical

180 processes are much more dominant than the local photochemical production in sources of surface O<sub>3</sub>,  
181 the Y indicator is expected to act as a good tracer.

## 182 **3 Results and discussion**

### 183 **3.1 Seasonal and diurnal variations of O<sub>3</sub> and CO**

184 The monthly averaged O<sub>3</sub> and CO are shown in Table 1. Both O<sub>3</sub> and CO reached maxima in  
185 spring (O<sub>3</sub>: 55.2±9.3 ppb, CO: 183±57 ppb), and the highest monthly-averaged O<sub>3</sub> concentrations of  
186 58.3 ppb appeared in April. The spring maximum of O<sub>3</sub> at Xianggelila is consistent with the  
187 observations at background sites elsewhere in the Northern Hemisphere (Monks et al., 2000). In  
188 winter, the concentration of CO is low with an average level of 137 ppb, but the concentration of O<sub>3</sub>  
189 is still relatively high with an average level of 45.8 ppb. On the contrary, in summer and fall, O<sub>3</sub>  
190 level is low (29.5 ppb and 33.0 ppb, respectively), but CO remains relatively high level (152 ppb and  
191 134 ppb, respectively).

192 Table 1 also shows the maxima and minima of the average diurnal variation of O<sub>3</sub> in different  
193 months. The average diurnal variation of O<sub>3</sub> at Xianggelila maximizes in the early afternoon  
194 (1200-1400 local time) and minimizes in the early morning. This diurnal ozone pattern seems very  
195 similar with the typical diurnal O<sub>3</sub> pattern in urban or polluted area, where photochemically  
196 produced O<sub>3</sub> can accumulate starting in the late morning. However, at Xianggelila, the peak O<sub>3</sub> at  
197 daytime is strongly associated with the wind speed, as showed in Fig. 2. In the early morning, the O<sub>3</sub>  
198 mixing ratios increase sharply with the increasing wind speed. During the high-wind-speed period  
199 (1200-1600 local time), O<sub>3</sub> maintains high levels, and then, until the beginning of the night, O<sub>3</sub>  
200 decreases with the decrease of wind speed when the turbulent downward mixing from a reservoir  
201 diminishes and deposition becomes more important. Strong wind is not conducive to the  
202 accumulation of the local photochemical production of O<sub>3</sub> and it also can force O<sub>3</sub> losses by  
203 processes like deposition. Therefore, the transport and deposition will be the key factors than local  
204 photochemical process influencing the diurnal variations of surface O<sub>3</sub> at Xianggelila, a remote and  
205 clean site.

206 The amplitude of the diurnal variation of O<sub>3</sub> varies as a function of the season. The maximal  
207 amplitude was found in spring, and the minimal in winter. In spring, the average daytime level of  
208 CO is the highest among four seasons. A positive correlation between O<sub>3</sub> and CO (slope: 0.154,  
209 P<0.0001) during the daytime (10:00~18:00) in spring can be derived using the reduced-major-axis

210 regression technique. Such positive O<sub>3</sub>-CO correlation suggests photochemical production of  
211 O<sub>3</sub> from anthropogenic sources. This indicates the importance of photochemical origin of the  
212 spring peak. In the monsoon season, the lowest diurnal amplitude was found in August (14.5  
213 ppb, smaller than that in June, July, and September). In August, the precipitation and cloud  
214 coverage reached the annual maximum and the mixing layer height reached the minimum (Fig.  
215 3). The boundary mixing layer height is calculated using the surface meteorological data  
216 according to the method proposed by Cheng et al. (2001). The cloud may decrease the solar  
217 radiation and weaken the mixing ability between free atmosphere and surface. The  
218 precipitation can remove more O<sub>3</sub> and its precursors from the troposphere. These factors  
219 together contribute significantly to the low level of the average surface O<sub>3</sub> and the smaller  
220 diurnal amplitude of O<sub>3</sub> in monsoon season, especially in August.

### 221 **3.2 Trajectory and surface measurements**

222 3-day airmass backward trajectories during the measurement period were calculated for  
223 every 6 hours, and then grouped into 7 clusters according to their spatial similarity. The mean  
224 trajectory for each cluster, their fractions (the number of trajectories in each cluster to the total  
225 number of the trajectories), and their patterns are shown in Fig. 4. The average temperature, water  
226 vapor, O<sub>3</sub>, and CO corresponding to each type of cluster are listed in Table 2. The dominant  
227 clusters are type 6 (55.1 %), type 5 (28.1 %) and type 7 (7.3 %), with low level trajectory heights  
228 and relatively high CO level over 135 ppb. Types 5-7 can be recognized as relatively polluted  
229 clusters. O<sub>3</sub> in types 6 and 7 is lowest, because these types of trajectories occur mainly in summer  
230 and fall, when Xianggelila is influenced by monsoon and abundant precipitation, which inhibit the  
231 photochemical accumulation of O<sub>3</sub>. O<sub>3</sub> in type 5 is 44.8 ppb, a relatively high level and this type  
232 of trajectories mostly occur in spring and winter with less rains. Trajectories of types 1-4 are with  
233 high transport height and low CO, so they can be recognized as cleaner types in terms of CO.  
234 However, O<sub>3</sub> in types 1-4 is relatively high, indicating that these types of trajectories possibly  
235 carry O<sub>3</sub>-rich airmass from the free troposphere to the surface. Types 1-4 mainly occur in winter,  
236 spring and fall, and very rare in summer.

237 Fig 5 shows kernel density of trajectory pressure level (the minimal one during 72-h backward  
238 trajectories), trajectory height (the maximal one during 72-h backward trajectories) and hourly Y  
239 indicator. In summer, trajectories are most likely to travel very low with high pressure levels, and



240 smallest Y indicators are observed. The spring kernel density of trajectory resembles that in fall, but  
241 the Y indicator in spring has lower probability in the range of Y value between 3 and 7 than that in  
242 fall. This reflects that the Y indicator is able to indicate the different behavior of O<sub>3</sub> in different  
243 season. It is intriguing that the kernel density of trajectories in winter has a peak between 200 and  
244 500 hPa, and accordingly, the density of Y indicator is much higher in winter than in other seasons.  
245 This is consistent with the seasonality of stratosphere-to-troposphere transport (Sprenger and Wernli,  
246 2003; Sprenger et al., 2003) and the subtropical jet events (Koch et al., 2006) in the Northern  
247 Hemisphere. The results from Sprenger et al. (2003) demonstrate that during winter, the frequency  
248 of shallow tropopause folds is highest above the Tibetan Plateau (see Fig. 3 in their paper). Škerlak  
249 et al. (2014) concluded that, as one of the clear hotspots of deep STT fluxes into the continental PBL,  
250 there are also intense deep STT fluxes over the Tibetan Plateau during the whole year, with a peak in  
251 winter. On the basis of the intensive radiosonde observations, Chen et al. (2011) concluded that the  
252 multiple tropopause, which is associated with tropopause folds near the subtropical westerly jet,  
253 occurs in winter with a high frequency over the Tibetan Plateau, and as a result, the intrusion of air  
254 masses from the stratosphere may contribute to a higher upper tropospheric O<sub>3</sub> concentration in  
255 winter than in summer above the plateau. The high probability of low trajectory pressure level and  
256 the high Y value in winter implies the high probability of the occurrence of ozone downward  
257 transport in winter. In spring and fall, small peaks of the kernel density of trajectory pressure level  
258 and height are also obvious around the low pressure level at about 200 hPa to 400 hPa (1000 to 3000  
259 m a.g.l.), but the probability is much lower than that in winter. What is intriguing is that the  
260 probability of low trajectory pressure levels and high heights is a little higher in spring than in fall,  
261 but the occurrence of a large Y indicator is higher in fall than in spring. This reverse behavior of  
262 trajectory and the Y indicator in spring and fall might imply that air mass transport from high  
263 altitudes does not necessarily enhance the O<sub>3</sub> level and its variation, especially in spring when  
264 photochemical production might be a significant source of O<sub>3</sub>. It is interesting to see that there is a  
265 winter maximum around the UTLS region in the pressure panel of Fig. 5, but no maximum in the  
266 height panel. The actual reason for this is clear. The pressure levels are more comparable than the  
267 heights because the latter are terrain-following and given in m above ground level. It should be noted  
268 that there exists a tiny peak in the kernel probability density at pressures around 430 hPa, height

269 around 4800 m and the Y indicator around 8 in summer. This is due to a strong ozone transport  
270 event and will be discussed in Sect. 3.3.

271 Figure 6 shows the trajectory pressure level (or height a.g.l.) and the Y indicator in each month  
272 with their correlation coefficients and significance levels (P values). The seasonal variation of Y  
273 indicator shows a maximum in winter (2.5 to 3.0), a slight downward trend from spring to fall (1.5  
274 to 2.0), and reached the lowest level (<1.5) in August. The trend of trajectory height is similar to  
275 that of Y indicator, while the trajectory pressure level shows an inverse trend. Relationships  
276 between trajectory pressure (and height) and the Y indicator are significant in January-June,  
277 September, November and December. The largest correlation coefficient (over 0.6) is found in  
278 March. In other months, the correlation kept around 0.2 to 0.4. Only in July, August and October  
279 the correlation is not significant, especially in terms of the relationship between trajectory height  
280 and Y indicator. The differences in significance of correlations between the trajectories and the Y  
281 indicator in the different months indicate the different contributions of the high-level airmass to  
282 surface air, resulting in the fluctuation of surface O<sub>3</sub>, CO and water vapor. The airmass advections  
283 from the upper atmosphere might contribute significantly to surface O<sub>3</sub> in winter and spring. In  
284 terms of trajectory types, spring can be considered as the transition season with the origins of the  
285 trajectories changing from the Tibetan Plateau with high trajectory heights to the southwest and  
286 south of Xianggelila with low trajectory heights. The low Y indicator, trajectory height and the  
287 relationship between them in summer indicate that the factor mainly influencing surface O<sub>3</sub> is not  
288 regional transport, but monsoon with abundant clouds and rain as discussed in Sect. 3.1. However,  
289 there may be exceptions for some shorter periods, as shown in the next section.

### 290 **3.3 A case of strong O<sub>3</sub> downward transport**

291 In order to demonstrate that the Y indicator can be used to reveal the events of O<sub>3</sub> transport,  
292 here, we present a case with a large Y value during July 6-7, 2008. In this case, the Y value  
293 reached 43.1 in the afternoon on July 6, 2008, and this is also the largest Y value during the two  
294 years' observation. As shown in Fig. 7, surface O<sub>3</sub> reached a peak value of 82.4 ppb in the  
295 afternoon on July 6, 2008. At the same time, a sharp decrease of water vapor was observed.  
296 Around the peak time of O<sub>3</sub> at 13:00, CO also showed a low level close to the detection limit  
297 (25 ppb) of the CO analyzer.

298 Figure 8 shows the 7-day backward trajectories initiated at 00:00 UTC each day during the

299 period of July 4-9, 2008. On July 4, it is obvious that the air flows to Xianggelila originated from the  
300 southwest with slow speed and low height (near the surface). However, the airflow path changed  
301 largely from the southwest to the northwest on July 5 and kept the features till July 8, especially for  
302 the airflow in the higher layer (see trajectories for the endpoint height above 1200m). During this  
303 period, the airmasses in the higher layer originated from relatively high elevations (from 6000 to  
304 10000 m a.g.l.), which are indicative of the lower stratosphere, travelled very fast across the north  
305 part of the Tibetan Plateau and reached the surface of Xianggelila. After July 8, the origin of  
306 airmasses changed back to south/southwest, similar to that on July 4. The airflow in the lower layer  
307 was also influenced by local airmass during July 6-7. The co-effect by airmasses of different origins  
308 in different air layers might shorten the lasting period of high surface ozone level and often cause  
309 difficulty in identifying an event of O<sub>3</sub> transport. The Y indicator seems to be a good indicator that  
310 can be further proved by the following evidence.

311 From July 5 to July 8, a deep westerly trough developed to the east and northeast of Xianggelila  
312 (Fig. 9). This westerly trough began to impact Xianggelila on July 5 and extended southwesterly till  
313 July 6, then retreated and diminished. The change of potential vorticity (PV) can be used to indicate  
314 a strong stratospheric air intrusion into the troposphere across the tropopause. As shown in Fig. 9, a  
315 high PV tongue with a large gradient along the 2 PVU (potential vorticity unit) line propagated  
316 southwesterly, which indicates a strong stratospheric air intrusion into the troposphere.

317 If O<sub>3</sub> is strongly transported downward from the stratosphere to the troposphere, the total  
318 column ozone (TCO) would temporarily increase (Vaughan and Price, 1991). When the O<sub>3</sub>-rich air  
319 intruded into the troposphere, it changed the vertical distribution of O<sub>3</sub> and caused a good correlation  
320 between the gradient of TCO (Fig. 10) and the gradient of PV (Fig. 9). In this case, the gradient of  
321 PV began to increase on July 6 when the TCO tongue appeared. The TCO value near Xianggelila  
322 (red star in Fig. 10) on July 5 is around 270 DU, and it began to increase on July 6 and reached 290  
323 DU on July 8 and 9. This increase is attributed to the evolution of the high TCO tongue. Together  
324 with downward trajectories in Fig. 8, this event shows that the deep westerly trough brought down  
325 the O<sub>3</sub>-rich air with less water vapor and CO into the troposphere and influenced the surface.

### 326 **3.4 Estimation of the frequency of O<sub>3</sub> downward transport**

327 As discussed in Sect. 3.3, the Y indicator can be used to indicate the effects of O<sub>3</sub> downward  
328 transport. A transport event might last at a high-lying surface site for several or dozens of hours. So,

329 if Y indicator keeps at a relatively high level for several consecutive hours or days, there may be a  
330 high possibility of an intrusion event.

331 There are totally 784 hours with Y higher than 3, and the times of consecutive day with Y  
332 higher than 3, 4, and 5 are 200, 136, and 91, respectively, as shown in Table 3. The numbers of  
333 consecutive days with Y higher than 8 are 15 in winter, 12 in fall, 4 in spring and summer,  
334 indicating that the Y value-deduced occurrence of transport events varies largely from season to  
335 season. The downward transport occurred most frequently in winter, followed in fall, spring and  
336 the least in summer. The seasonal cycle of our Y indicator (see Table 3 and Fig. 6) resembles  
337 that of the SI frequency at Mt. Cimone obtained by Cristofanelli et al. (2009) using a  
338 stratospheric intrusion index. Both indicators reveal that the downward transport of upper air is  
339 strongest in winter and weakest in summer. To analyze further the frequency of the downward  
340 transport, the relationship between the trajectory pressure level and Y is analyzed. The numbers  
341 of hours with both Y higher than a given value and trajectory pressure level lower than a given  
342 level are calculated for each season and shown in Fig. 11. In summer, hours with both low  
343 trajectory pressure levels and high Y values were rare, and this coincides with to the minimal  
344 O<sub>3</sub> mixing ratio in summer. In summer monsoon season, there were about 68.7% of days with  
345 precipitation at Xianggelila, which inhibits the accumulation of O<sub>3</sub>. The average trajectory  
346 height (only averaged maximal height during 72 h) in summer was extremely low (134 m  
347 ending at 500 m a.g.l.), which limited the exchange of surface air with the upper free  
348 troposphere. In winter, the number of hours with both Y higher than 2 and trajectory pressure  
349 level lower than 500 hPa is nearly 2400 hours. The pressures covered by the trajectories in  
350 winter was significantly lower, indicating relatively higher O<sub>3</sub> from upper atmosphere to  
351 contribute the surface O<sub>3</sub> budget (Lefohn et al., 2001). The possibility of O<sub>3</sub> transport events in  
352 fall was also high with a wide range of trajectory over 1000 m and Y over 3. Together with  
353 Table 2, it is evident that the possible occurrences of O<sub>3</sub>-rich transport events were prevailing in  
354 winter and then in fall or spring, but rare in summer.

355 Corresponding to the different frequency of the transport events in four seasons, the  
356 responses of surface O<sub>3</sub>, CO and water vapor for different trajectory pressure levels and the Y  
357 indicator are examined. O<sub>3</sub>, CO and water vapor are averaged according to the result of Fig. 11.  
358 As shown in Fig. 12, the trends of surface O<sub>3</sub>, CO and water vapor respond to the distribution

359 patterns of the trajectory pressure level and Y values in spring, fall and winter. The discriminable  
360 increase of O<sub>3</sub> and the decrease of CO and water vapor can be found with the decrease of trajectory  
361 pressure level and the increase of the Y indicator except in summer. Interestingly, the trend of CO in  
362 fall is similar with that in winter, but O<sub>3</sub> does not show significant change with the variation of  
363 trajectory pressure level or the Y indicator. Because there is still monsoon influence in fall, even  
364 higher frequency of transport cannot bring about a higher surface O<sub>3</sub>, possibly due to O<sub>3</sub> destruction  
365 in continental stratus clouds (Wang and Sassen, 2000). This reflects that the dominant factor  
366 impacting O<sub>3</sub> is monsoon in fall. The monsoon impacts on decreasing O<sub>3</sub> are also reported in India  
367 (Naja and Lal, 1996; Jain et al., 2005) and Eastern China and the west Pacific region (e.g., He et al.,  
368 2008). From the correlation between the surface measurement and the trajectory height, as well as  
369 the Y value, it is credible that the averaged surface O<sub>3</sub> will increase when a transport event happened  
370 with a feature of low trajectory pressure level and high Y value, especially in winter. An increase of  
371 O<sub>3</sub> caused by deep transport event is estimated as 21.0% (+9.6 ppb) in winter, by subtracting the  
372 winter average ozone level (45.8 ppb) from the average O<sub>3</sub> mixing ratio (55.4 ppb) in the period with  
373 both trajectories pressure level lower than 400 hPa and Y over 8. This is somewhat lower than the  
374 estimation of O<sub>3</sub> increase (27.1%, +13 ppb) due to stratospheric intrusions over NCO-P (Cristofanelli  
375 et al., 2010). In winter, the photochemical production of O<sub>3</sub> is thought to be lowest. However, the  
376 winter level of O<sub>3</sub> average is 45.8±7.1 ppb at the Xianggelila station, second only to that in spring.  
377 Therefore, the most occurrences of O<sub>3</sub> downward transport in winter may be an important reason for  
378 the higher winter level of surface O<sub>3</sub> at Xianggelila.

379         Although the Y indicator can be used to study the influence of transport from the upper O<sub>3</sub>-rich  
380 atmosphere and obtain qualitative or semi-quantitative results, there are still open questions such as  
381 what is the criterion of the Y indicator to indicate what a height for transport. Table 4 shows the  
382 monthly results of the O<sub>3</sub>-CO correlations, derived from 1000–1800 (local time) measurements from  
383 Xianggelila. The correlations are statistically significant from February to November. Relatively  
384 steep negative slopes are found in May, June, September, October, November and a flatter negative  
385 slope in December, suggesting that there are clear influences from the upper troposphere and lower  
386 stratospheric air masses in these months. Significant positive O<sub>3</sub>-CO correlations with steeper slopes  
387 are found in July, August, February, March and April, which indicate that the influences of  
388 photochemical production of O<sub>3</sub> are probably more important in these months.

#### 389 **4 Conclusions**

390 A two-year measurement of surface O<sub>3</sub> and CO was made from December 2007 to November  
391 2009 at Xianggelila in Southwest China. The maximal O<sub>3</sub> and CO mixing ratios were observed in  
392 spring, followed in winter and fall, and the minima was in summer. According to the analysis of  
393 backward trajectories, Xianggelila was influenced largely by the high and fast airflows from the  
394 south or north Tibet-Plateau in winter, fall and spring. In summer, trajectories to Xianggelila were  
395 mainly from the south and east regions, and their moving heights were very low under the influence  
396 of Asian Monsoon from the end of May to the end of September. As a result, the minimal O<sub>3</sub> was  
397 found in summer due to the most frequent precipitation and cloudiness, and the CO level in summer  
398 kept at a relatively high level because of the air transport from the south and east regions with  
399 intense anthropogenic CO emissions. The CO level was low in winter because of the airmasses  
400 originated partly from the relatively clean Tibetan Plateau.

401 A downward transport indicator (Y), which combined the measured data of the chemical tracers  
402 of O<sub>3</sub>, CO, and water vapor is proposed to indicate the fluctuation of O<sub>3</sub> and sources from O<sub>3</sub>-rich  
403 free troposphere. By using monthly normalized values in the calculation of Y, influences from the  
404 seasonality in the concentrations of tracers are minimized, so that the results from different seasons  
405 can be compared. A strong transport event is revealed by the largest Y indicator (43.1) during two  
406 years' observation and discussed using trajectory and weather analysis. The event was associated  
407 with a strong westerly trough and resulted in enhance of surface O<sub>3</sub>. Together with the trajectory  
408 pressure level, the analysis of Y reveals that the most frequent transport occurred in winter, and then  
409 followed in fall, spring and summer. This is consistent with the seasonality of the subtropical jet  
410 (Koch et al., 2006) and STE in the northern hemisphere, especially results reported for the Tibetan  
411 plateau (e.g., Sprenger et al., 2003; Chen et al. 2011; Škerlak et al., 2014), and resembles that of  
412 deep stratospheric intrusions over Central Europe (Trickl et al., 2010, 2011). It also shows a similar  
413 seasonal cycle with the SI frequency obtained at Mt. Cimone by Cristofanelli et al. (2009) using a SI  
414 index, which general idea and structure are similar with the Y indicator. The winter maximum of the  
415 frequency of downward transport corresponds well with the relatively high O<sub>3</sub>, relatively low CO  
416 and water vapor levels at Xianggelila. Therefore, downward transport of airmasses contributes  
417 significantly to the winter level of surface O<sub>3</sub> at Xianggelila. The increase of winter O<sub>3</sub> is estimated  
418 to be 21.0 % (+9.6 ppb) due to the impact of deep O<sub>3</sub> transport events.

419

420 **Acknowledgements**

421 We thank the staff in Diqing Meteorological Bureau for their help during the measurements. This  
422 work is supported by Natural Science Foundation of China (21177157, 40830102), and the China  
423 Special Fund for Meteorological Research in the Public Interest (GYHY201106023) and Basic  
424 Research Fund of CAMS(2011Z003).

425

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Table 1. Monthly mean O<sub>3</sub> and CO, the average diurnal O<sub>3</sub> maxima, minima, and amplitudes

Month	O <sub>3</sub> [ppbv]			CO[ppbv]	
	Mean±SD	Diurnal Max(local time)	Diurnal Min(local time)	Diurnal amplitude	Mean±SD
JAN	45.4±5.6	49.2(14:00)	41.4(8:00)	7.9	139±56
FEB	50.6±5.8	54.3(12:00)	47.2(8:00)	7.1	153±46
MAR	57.1±6.9	61.4(12:00)	50.5(8:00)	10.8	185±57
APR	58.3±8.8	63.7(13:00)	50.1(7:00)	13.6	182±59
MAY	50.2±9.8	58.4(13:00)	39.9(7:00)	18.5	181±54
JUN	37.4±11.6	46.6(13:00)	27.9(6:00)	18.7	146±40
JUL	26.8±12.5	34.8(13:00)	18.5(7:00)	16.3	153±47
AUG	24.2±8.8	31.8(13:00)	17.3(6:00)	14.5	156±42
SEP	29.6±9.2	37.7(13:00)	20.3(6:00)	17.4	159±41
OTC	31.4±10.1	37.5(14:00)	24.1(8:00)	13.4	124±36
NOV	38.1±7.8	42.8(14:00)	33.1(9:00)	9.7	118±44
DEC	39.7±5.0	44.7(14:00)	36.1(10:00)	8.6	119±53

Table 2. Average air temperature ( $^{\circ}\text{C}$ ), wind speed (m/s), specific humidity (g/kg),  $\text{O}_3$  and CO volume mixing ratios (ppb) associated with different types of trajectories and seasonal fractioning of trajectories.

Type	T	Wind speed	humidity	$\text{O}_3$	CO	Spring(%)	Summer(%)	Fall(%)	Winter(%)
1	-1.9	2.8	1.5	53.5	99	50.0	0.0	0.0	50.0
2	5.0	1.8	5.0	43.8	126	38.6	18.6	17.1	25.7
3	1.6	2.1	2.1	40.5	93	4.0	0.0	28.0	68.0
4	0.9	1.9	2.4	36.0	98	10.2	0.7	21.1	68.0
5	3.2	2.3	4.2	44.8	139	46.8	2.2	17.4	33.6
6	7.1	1.9	7.9	32.6	135	17.1	37.4	28.3	17.3
7	9.5	1.6	9.7	27.6	150	14.6	49.5	35.8	0.0

Table 3. Numbers of hours and consecutive days meeting the different Y criteria

		Y>3	Y>4	Y>5	Y>6	Y>7	Y>8
numbers of hours		784	396	218	138	88	72
	ALL	200	136	91	63	46	38
	Spring	42	23	15	10	5	4
numbers of consecutive days	Summer	32	14	11	9	5	4
	Fall	58	43	29	19	16	12
	Winter	65	53	32	21	17	15

Table 4. Monthly results of the O<sub>3</sub>-CO correlation, derived from 10:00–18:00 (local time) measurements from Xianggelila. The slopes and intercepts of the regression lines were derived using the reduced-major-axis regression technique.

Month	intercept	slope	R <sup>2</sup>	P	N
JAN	33.5	0.109	0.0007	0.59	392
FEB	35.9	0.117	0.0494	<0.0001	438
MAR	38.7	0.119	0.1950	<0.0001	521
APR	39.7	0.136	0.1160	<0.0001	519
MAY	83.4	-0.192	0.0531	<0.0001	509
JUN	85.2	-0.344	0.0861	<0.0001	516
JUL	-14.0	0.347	0.0540	<0.0001	497
AUG	-3.1	0.233	0.0411	<0.0001	515
SEP	67.5	-0.251	0.0547	<0.0001	461
OTC	65.2	-0.285	0.1080	<0.0001	499
NOV	58.4	-0.183	0.0693	<0.0001	420
DEC	50.7	-0.094	0.0551	0.02	300



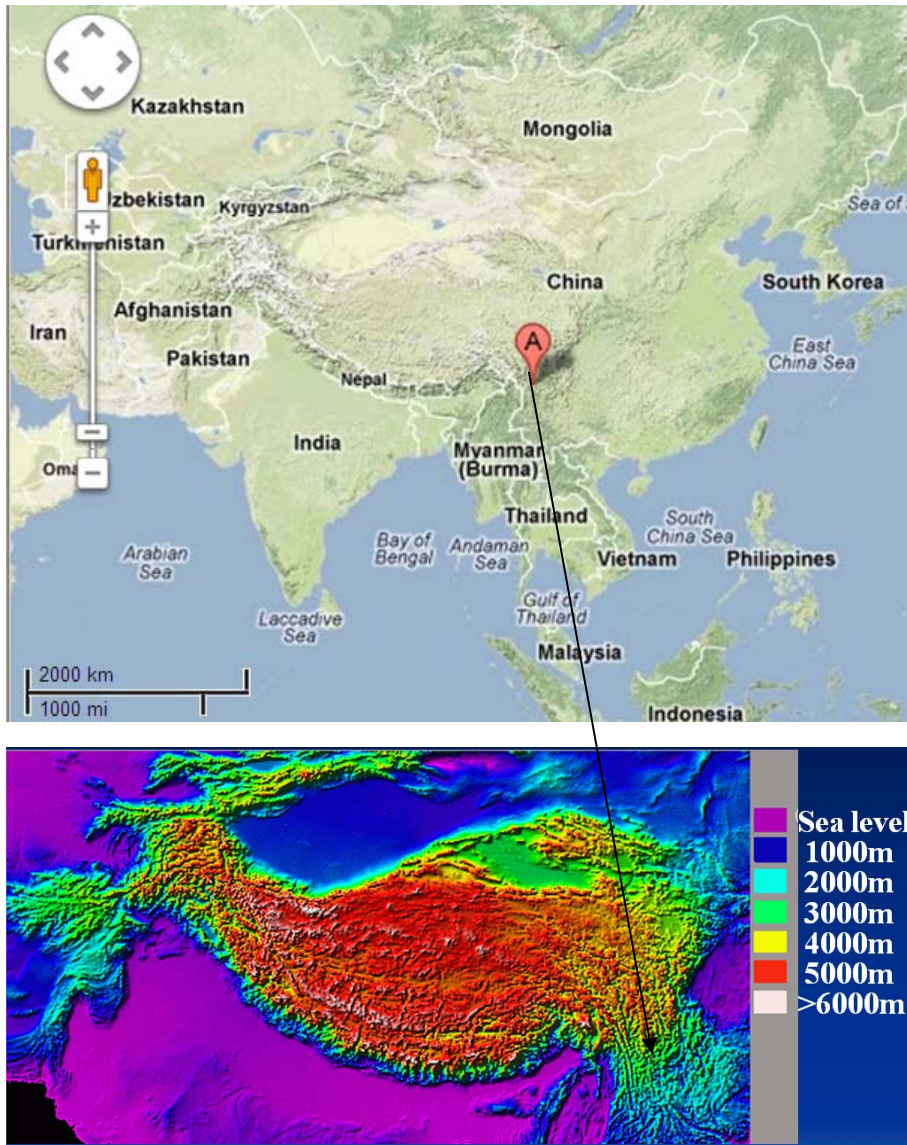


Fig .1 The geographical location of the Xianggelila Regional Atmosphere Background Station (the upper is from Google map and the bottom is from NASA earth)

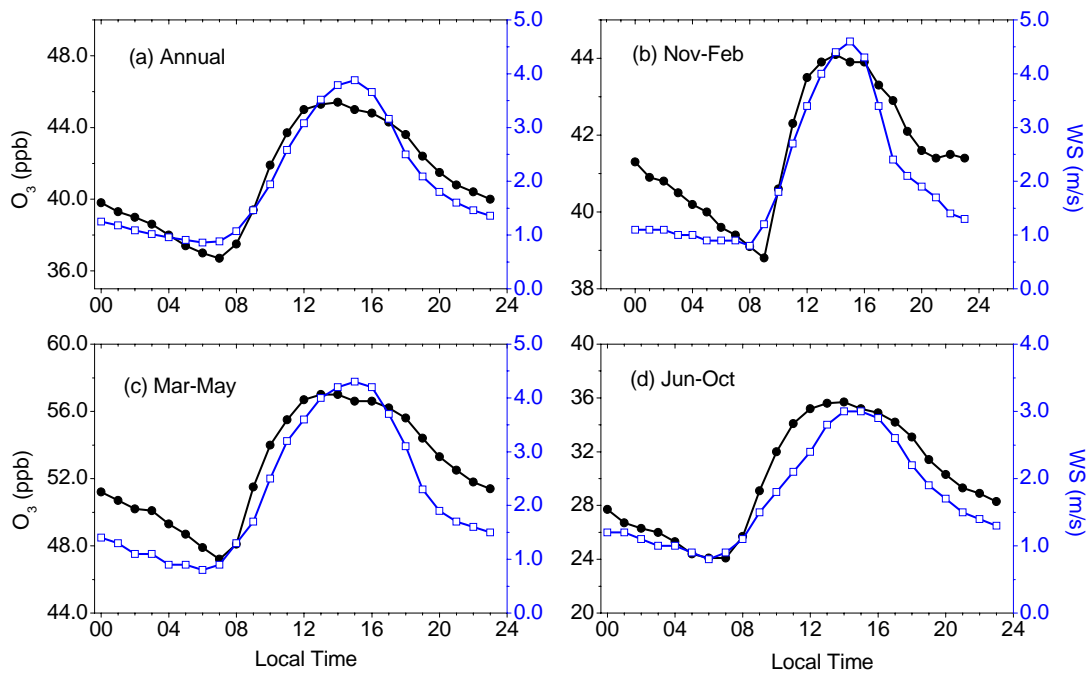


Fig 2. The average diurnal variations of O<sub>3</sub> and wind speed (WS) at the Xianggelila station for different periods.

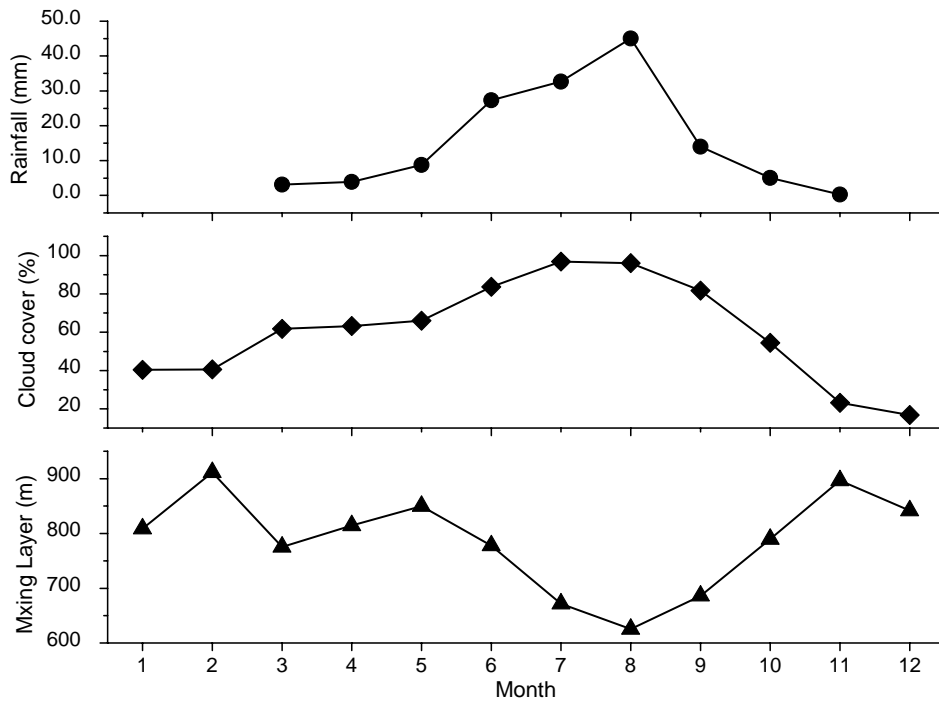


Fig. 3 Monthly variations of rainfall, cloud cover and boundary mixing layer height at Xianggelila station

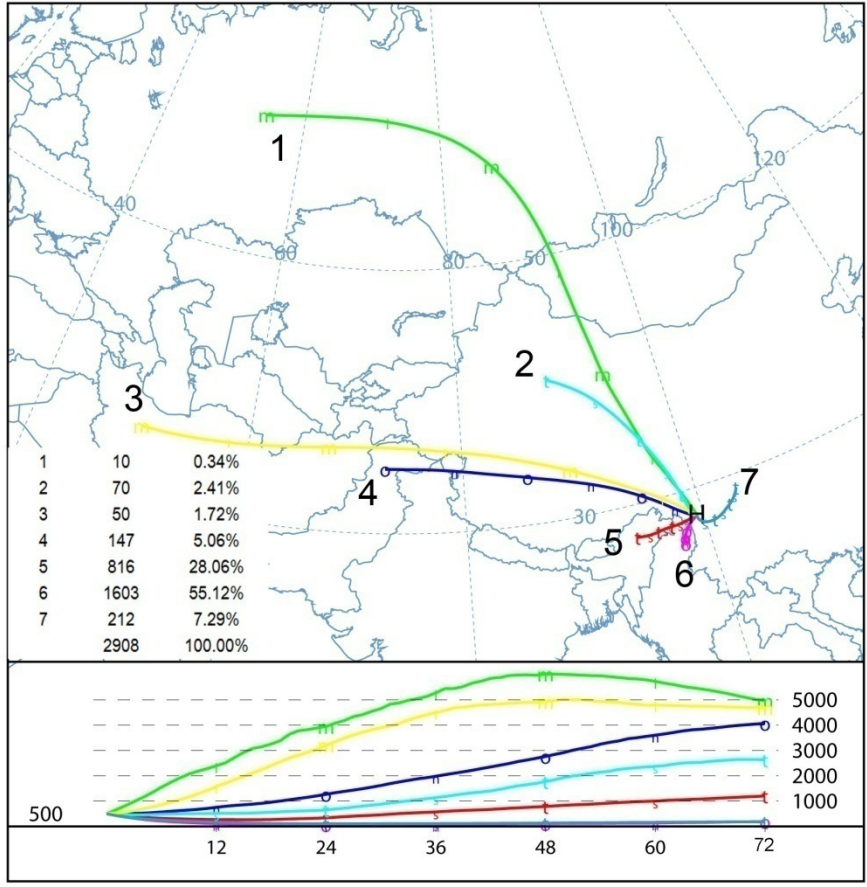


Fig. 4 Mean backward trajectories ending at Xianggelila. The endpoint height is 500 m a.g.l. The numbers of trajectories in each cluster and their percent ratios among the total trajectories are shown. The unit of trajectory height is m a.g.l.

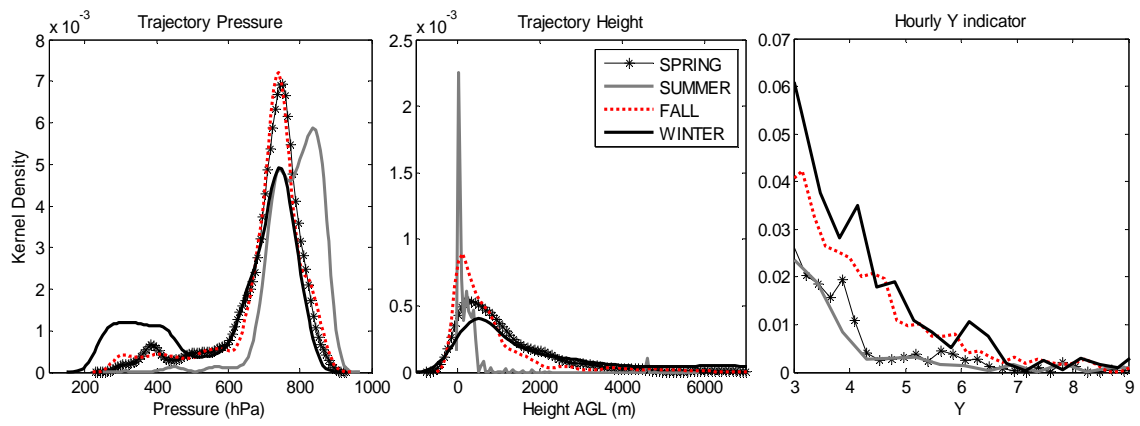


Fig. 5 Kernel probability density of trajectory pressure level, trajectory height above ground level and hourly Y indicator in each season.

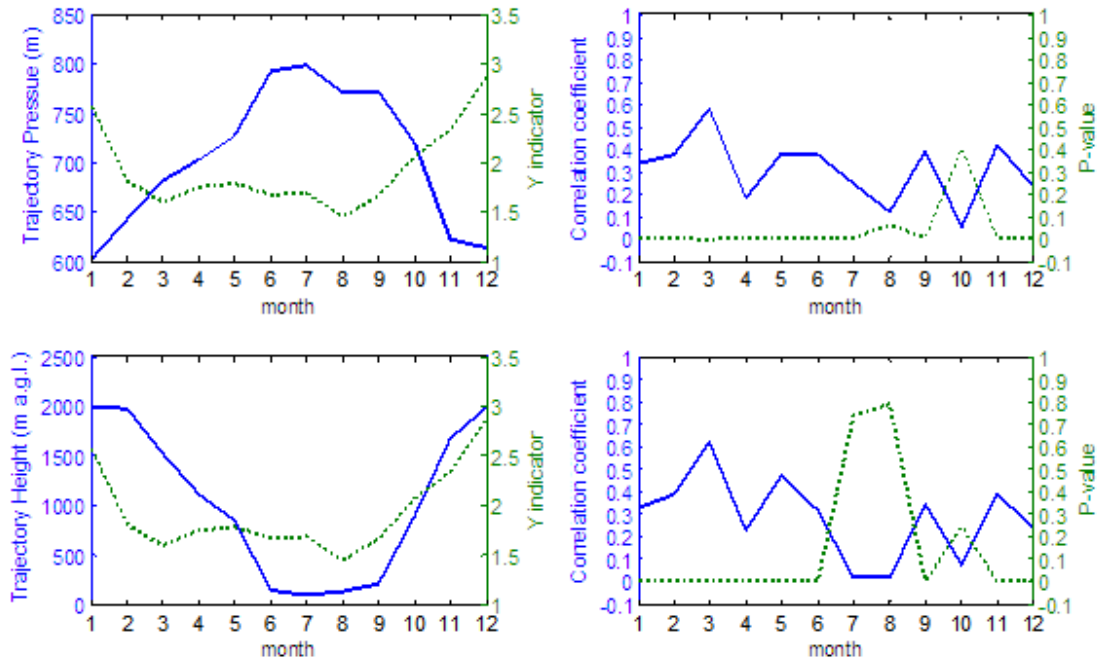


Fig. 6 Correlation between trajectory pressure level or height and Y indicator with significant levels (P-value). The upper-left graph shows monthly trends of trajectory pressure and Y indicator, while the upper-right is the correlation between both. The lower-left graph shows monthly trends of trajectory height and Y indicator, while the lower-right is the correlation between both. Note that correlation between trajectory pressure and Y indicator is actually negative, but shown in absolute value.

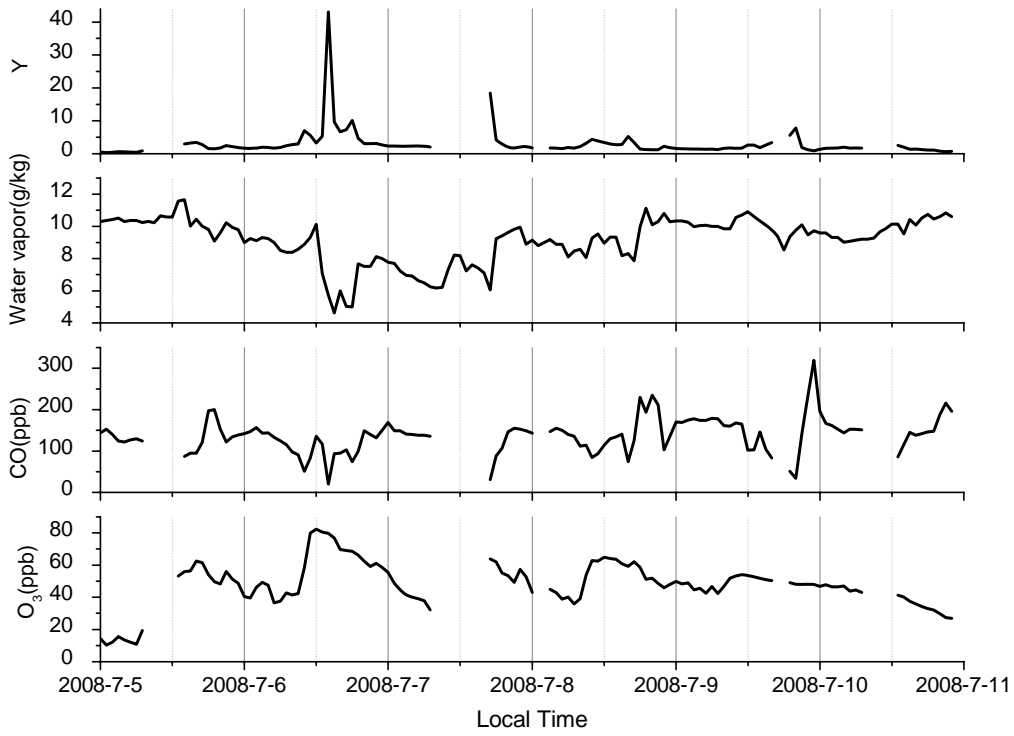


Fig. 7 Time series of Y value, water vapor, CO and O<sub>3</sub> mixing ratios from July 5 to July 11, 2008

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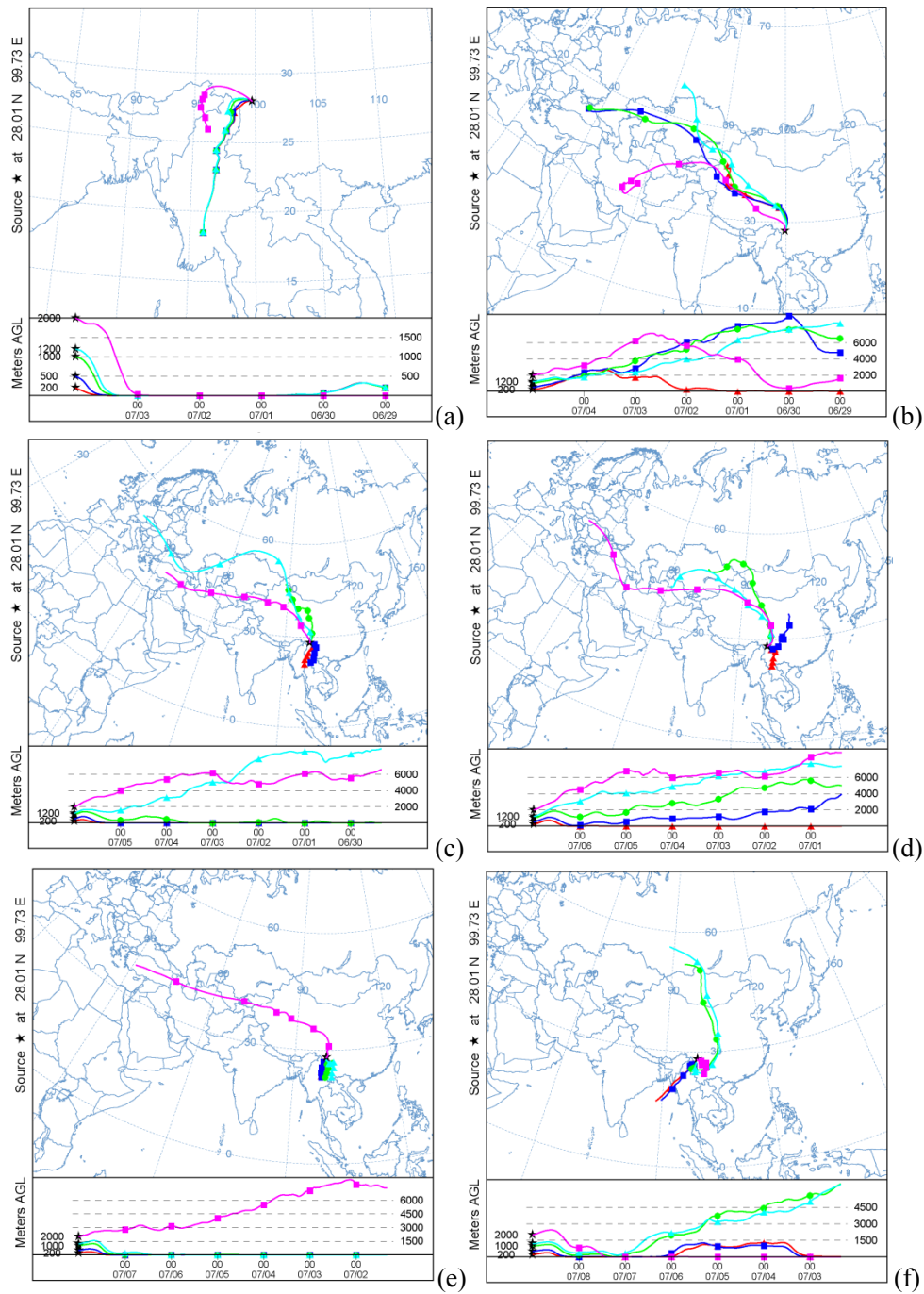


Fig. 8 Seven-day backward trajectories arriving at different heights over Xianggelila from 4 July to 9 July 2008. Backward trajectories ending at 0000 UTC on July 04 (a), July 05 (b), July 06(c), July 07(d), July 08 (e), and July 09(f).



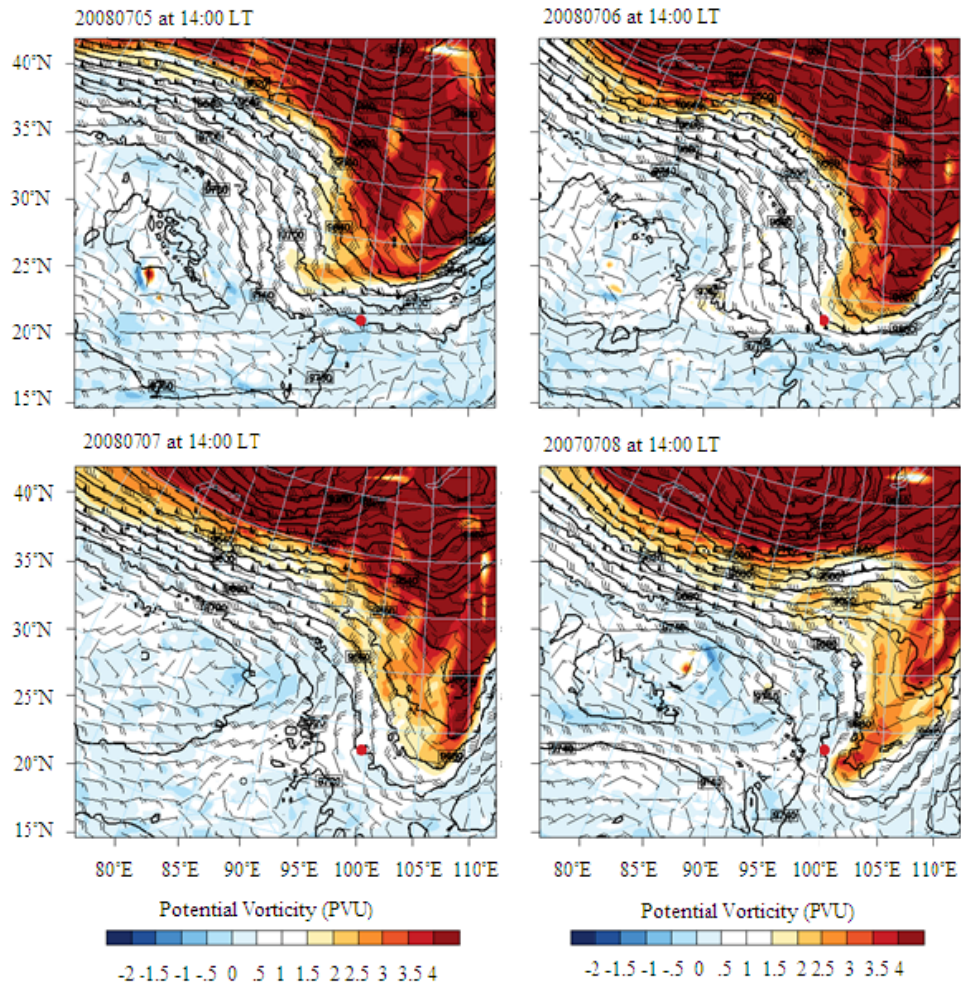


Fig. 9 Geopotential height, horizontal wind vector on 300 hPa and potential vorticity on 350 K from July 5 to July 8, 2008. The filled red circle denotes the Xianggelila station.

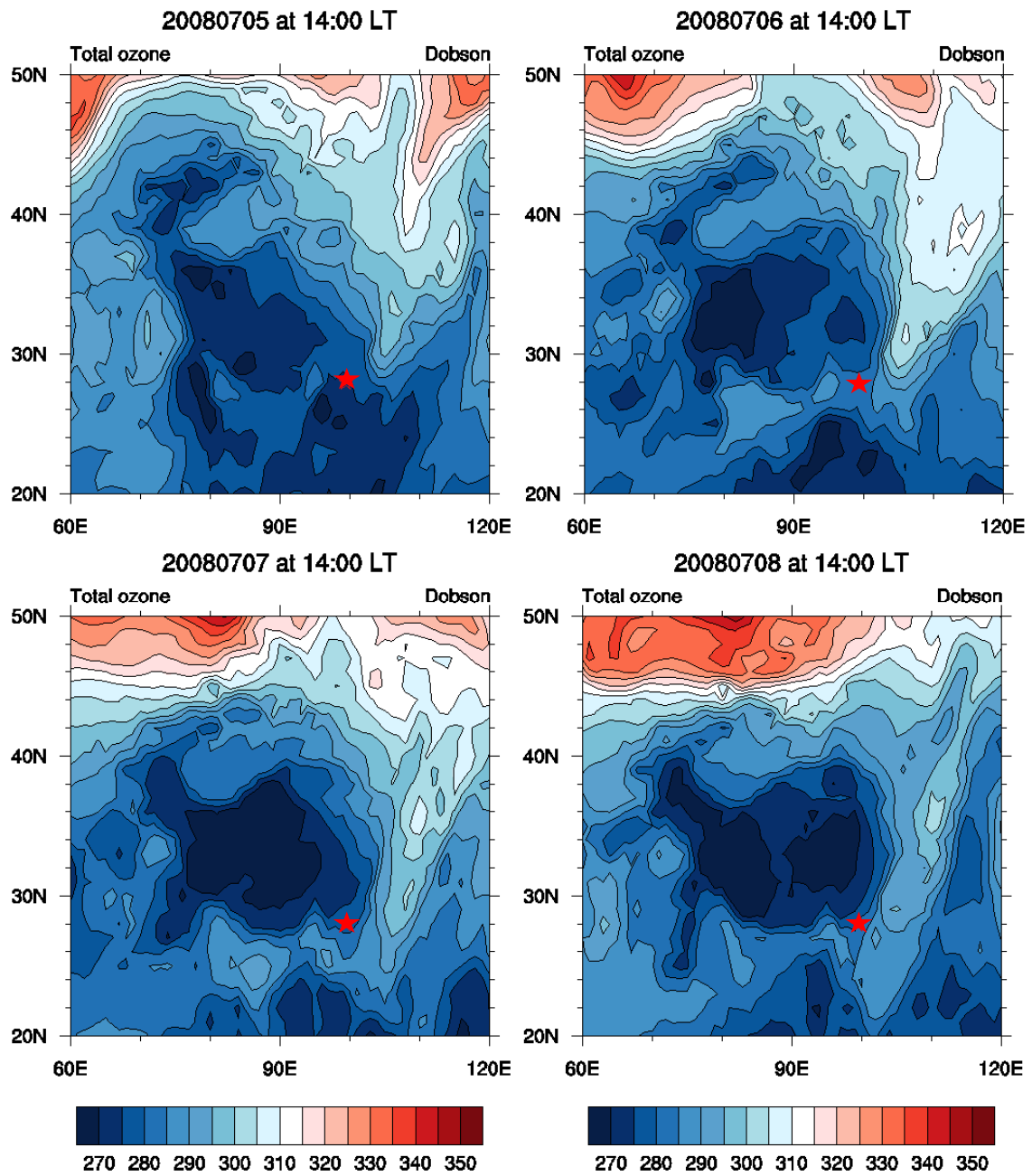


Fig. 10 Total column  $O_3$  from July 5 to July 8 2008. The red pentacle denotes the Xianggelila station.

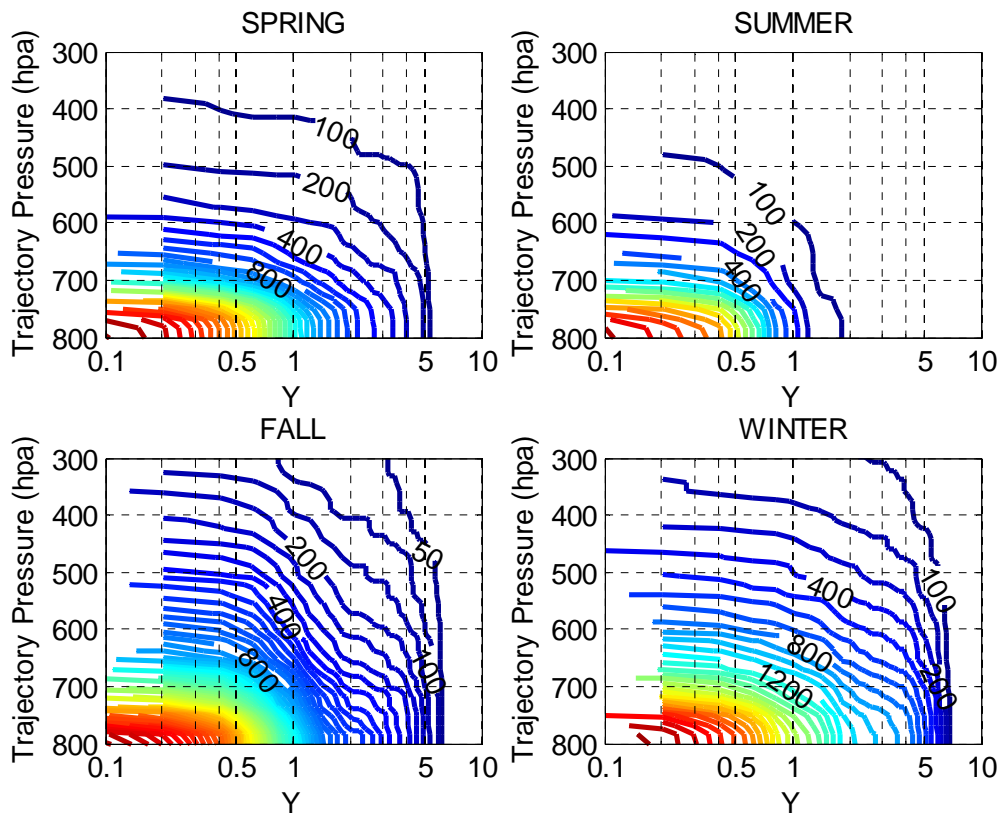


Fig. 11 Hours with both trajectory pressure lower than and Y value larger than given values in different seasons. Note that X-axis is in logarithmic coordinate.

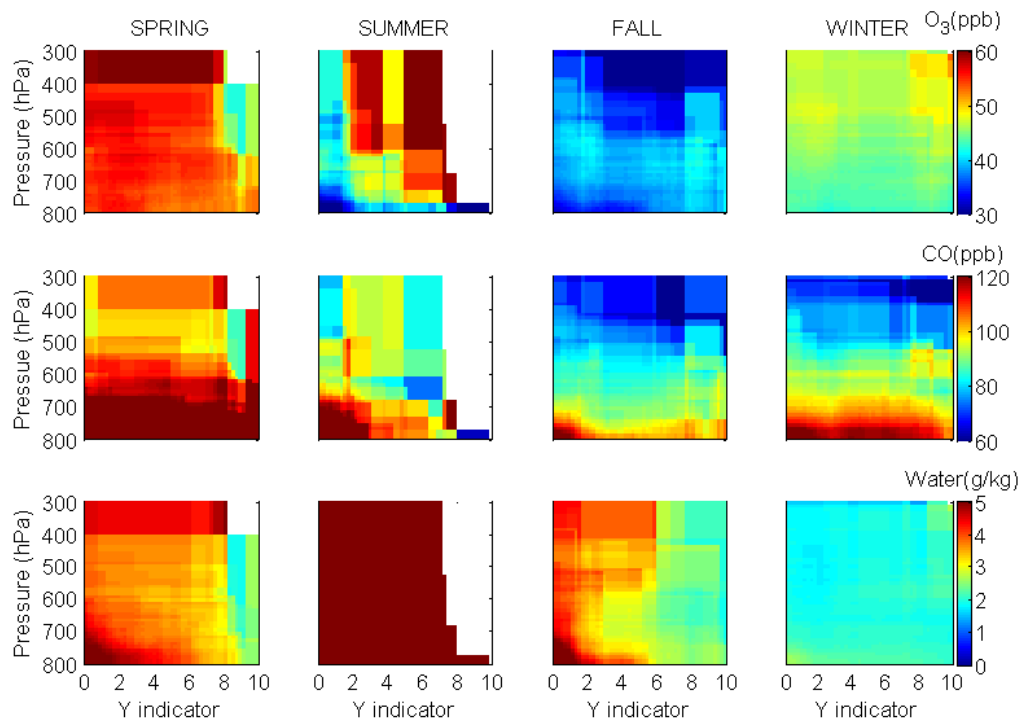


Fig. 12 Distributions of the values of  $O_3$ , CO, and water vapor above specific trajectory pressure levels and the values of Y indicator. Y-axis denotes trajectory pressure (hPa) and X-axis denotes Y indicator. Units of color bar of  $O_3$  and CO are ppb; of water vapor is g/kg.