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# Atmospheric submicron aerosol composition and particulate organic nitrate formation in a boreal forestland–urban mixed region

L. Q. Hao<sup>1</sup>, A. Kortelainen<sup>1</sup>, S. Romakkaniemi<sup>1,2</sup>, H. Portin<sup>2</sup>, A. Jaatinen<sup>1</sup>, A. Leskinen<sup>2</sup>, M. Komppula<sup>2</sup>, P. Miettinen<sup>1</sup>, D. Sueper<sup>3</sup>, A. Pajunoja<sup>1</sup>, J. N. Smith<sup>1,4</sup>, K. E. J. Lehtinen<sup>1,2</sup>, D. R. Worsnop<sup>1,3</sup>, A. Laaksonen<sup>1,5</sup>, and A. Virtanen<sup>1</sup>

<sup>1</sup>Department of Applied Physics, University of Eastern Finland, Kuopio 70211, Finland

<sup>2</sup>Finnish Meteorological Institute, Kuopio 70211, Finland

<sup>3</sup>Aerodyne Research, Inc., Billerica, MA 08121-3976, USA

<sup>4</sup>National Centre for Atmospheric Research, Boulder, CO 80305, USA

<sup>5</sup>Finnish Meteorological Institute, Helsinki 00101, Finland

Received: 12 May 2014 – Accepted: 19 June 2014 – Published: 27 June 2014

Correspondence to: L. Q. Hao (hao.liqing@uef.fi)

Published by Copernicus Publications on behalf of the European Geosciences Union.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## Abstract

The Puijo aerosol-cloud observation station is a unique measurement site for its location in the mixed region between the boreal forestland and the municipality of Kuopio, Finland. A measurement campaign was carried out at the station during fall 2010. An Aerodyne high resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS) was deployed to characterize the atmospheric submicron aerosols. Positive Matrix Factorization (PMF) was applied to the unified high resolution mass spectra organic species with  $\text{NO}^+$  and  $\text{NO}_2^+$  ions to discover the intrinsic relationships between the organic and inorganic species and their daily cycles. On average, the submicron aerosols in this study were dominated by organic and sulfate species, making 76.9 % of total observed aerosol mass, with smaller contributions from ammonium (9.3 %), nitrate (4.9 %), chloride (0.8 %) and BC (8.1 %). The sources of these species included the primary emissions originating from the city area, secondary formation from both natural and anthropogenic emissions and regional transport. The PMF analysis succeeded in separating the mixed organic and inorganic spectra into three distinct organic and one inorganic factors. For organic factors, the semi-volatile oxygenated organic aerosol (SVOOA) and low-volatile oxygenated OA (LVOOA) accounted for 89.6 % of total organic masses, while the hydrocarbon-like organic aerosol (HOA) consisted of 10.4 % of total organics with its main source from urban emissions. The inorganic factor is identified as  $\text{NH}_4\text{NO}_3$ , comprising 7.5 % of the fitted aerosol mass by PMF. Based on the PMF results, the nitrate species were separated into organic and inorganic components, with the organic nitrates contributing 1 / 3 of the total nitrate mass. The results highlight both anthropogenic and biogenic emissions as important atmospheric aerosol sources in a forest-urban mixed region.

### Atmospheric submicron aerosol composition

L. Q. Hao et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## 1 Introduction

Atmospheric aerosols are acknowledged for the important roles they play in climate via the direct and indirect effects (e.g. IPCC, 2013). Improved characterization and a better understanding of their sources and atmospheric evolution are important for assessing the atmospheric impact of aerosol and reducing the uncertainties in model predictions of climate change.

Atmospheric aerosols originate from a wide variety of natural and anthropogenic sources and processes, either from primary emissions or secondary formation. Their chemical properties can be studied both by online and offline techniques, each allowing different insights into molecular composition. The Aerodyne Aerosol Mass Spectrometer (AMS) is characterized by fast acquisition resolution in seconds and has been widely used in the atmospheric aerosol measurements. The AMS provides mass spectra of submicron particulate phase, non-refractory organic and inorganic species, such as organics, sulfate ( $\text{SO}_4^{2-}$ ), nitrate ( $\text{NO}_3^-$ ), ammonium ( $\text{NH}_4^+$ ) and chloride ( $\text{Cl}^-$ ) (Jayne et al., 2000; Canagaratna et al., 2007). The AMS-derived organics can be further investigated to track their different sources and processes by principle component analysis (PCA) (Zhang et al., 2005a) or multiple component analysis (MCA) (Zhang et al., 2007a). In Zhang et al. (2007a), 37 AMS datasets from the Northern Hemisphere were analyzed by MCA, distinguishing the organics into hydrocarbon-like organic aerosol (HOA) and oxygenated organic aerosol (OOA). Positive Matrix Factorization (PMF, Paatero and Tapper, 1994), a bilinear unmixing model that constrains all the factors to be non-negative, is currently the most commonly applied method for the analysis of AMS organic aerosol (Lanz et al., 2007; Ulbrich et al., 2009; Zhang et al., 2011). The organic aerosol sources can be identified by the PMF, allowing source-specific examination of aerosol physicochemical properties such as oxidation state, volatility and hygroscopicity utilizing simultaneous measurements of other instruments (Jimenez et al., 2009; Ng et al., 2010).

ACPD

14, 17263–17298, 2014

### Atmospheric submicron aerosol composition

L. Q. Hao et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion









with tracers at  $F_{\text{peak}} = +0.1$  and  $\text{seed} = 0$ , these values were used in the analysis. A discussion of the PMF results is presented in Sect. 3.2.

## 2.3 Supporting measurements

The particle number concentration and size distribution in the diameter range 7–800 nm were measured by a home-built twin-DMPS. The mass concentration of black carbon (BC) was monitored by a multi-angle absorption photometer (Model 5012, Thermo Scientific). Meteorological data on wind direction (WD) and speed (WS), atmospheric pressure, temperature ( $T$ ), relative humidity (RH), visibility and precipitation, as well as trace gases including  $\text{NO}_x$ , ozone ( $\text{O}_3$ ) and  $\text{SO}_2$ , were also analyzed. A trajectory analysis was conducted using Hybrid Single Particle Lagrangian Integrated Trajectory model (HYSPLIT-4) (Draxler and Rolph, 2013) and results are shown in Sect. S1.1 and Fig. S3 in the Supplement.

## 3 Results and discussions

### 3.1 Concentrations and chemical composition of submicron aerosols

Figure 2 shows the meteorological parameters ( $T$ , RH, WS, WD and precipitation), the time series of the mass concentrations of  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ , Org and  $\text{Cl}^-$ , the mass fractional contributions of individual species and wind rose during the entire campaign. The measurement is in Finnish local winter time (UTC+2). The weather conditions during the study were characterized by relatively low temperature ( $-4$  to  $12$  °C, mean  $3.3$  °C) and high RH (40–100 %, mean 85.6 %). The average wind speed was  $8.4 \text{ m s}^{-1}$ . Its direction was predominantly from south, southwest and northwest directions, accounting for 24 %, 21 % and 23 % of winds arriving at the station, respectively. Other directions from north and west contributed to 24 % of the wind while wind from east was rarely blown to the measurement site in this campaign (less than 1 %).

## Atmospheric submicron aerosol composition

L. Q. Hao et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Atmospheric  
submicron aerosol  
composition**

L. Q. Hao et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



The mass concentration of individual chemical species, chemical composition, and total mass concentration of  $\text{PM}_{10}$  varied during the measurement period (Fig. 2c–e). Multiday episodes of fine particle plumes are interleaved with clean periods following the arrival of clear air mass from northern direction and rainfall events. A few high concentration peaks of sulfate, nitrate and organic species during 27–28 September (e.g., the gray bar in Fig. 2) were also observed, which were found to be caused by local pollution sources from paper mill and motor-way. Generally, the total aerosol mass concentrations (AMS total + BC) correlated well with the collocated measurement by DMPS, with the Pearson coefficient  $R^2 = 0.92$  (Fig. 2c). The total  $\text{PM}_{10}$  mass concentration varies between 0.04 and  $14.0 \mu\text{g m}^{-3}$  with mean and median mass concentrations of 2.47 and  $1.52 \mu\text{g m}^{-3}$ , respectively. Individual species mass concentrations also varied significantly during the observation period. Organics frequently comprised the largest fraction of  $\text{PM}_{10}$  with a contribution of over 50 % during  $\sim 51$  % of time. On average, organic and sulfate accounted for 48.2 % and 28.7 % of the total  $\text{PM}_{10}$  mass, respectively, with the rest being ammonium (9.3 %), nitrate (4.9 %), chloride (0.8 %) and BC (8.1 %).

The large variations in the aerosol concentrations are firstly associated with the wind direction, which can be used as a proxy for aerosol origin. Figure 3 displays the wind roses for aerosol number concentrations for various modes of the size distributions (Fig. 3a and b), mass concentrations (Fig. 3c–g) and percent mass (Fig. 3h and i) for different aerosol constituents. Wind from north and northeast, where the paper mill and motor-way are located, are associated with high concentrations of ultrafine particles (in Aitken mode, Fig. 3a), while the high concentration of fine particles (in accumulation mode) are associated with wind from south, southwest and west (Fig. 3b). Ultrafine particles are primarily associated with local primary emissions (Zhang et al., 2005a) and new particle formation (Kulmala et al., 2004) while the larger mode is indicative of aged regional particles (Alfarra et al., 2004). The observations in these rose plots indicate that the motor-way and paper mill to the north might act as important local aerosol sources for the primary particle emissions.

Regarding total aerosol mass (Fig. 3c), major aerosol mass was generally associated with the wide southern sector, with some peaks from the direction of paper mill and motor-way (northeast, around 30–45°).

Sulfate appears dominantly in the southern sector (Fig. 3e). We also observed sulfate originating from the northeast direction, which corresponds to the spikes observed in the time series during 26–29 September in Fig. 2c (gray bar). The time series during this period correlated well with that of NO<sub>2</sub> shown in Fig. S3, giving us confidence that sulfate was emitted from the paper mill or motor-way as local sources in this study. Emissions of sulfate were also observed from the heating plant in the southern direction by case studies (Portin et al., 2014). In our study, the sulfate from the heating plant might be mixed together with the regionally transported sulfate and is thereby not distinguishable.

The diurnal pattern of sulfate is shown in Fig. 4. The cycle does not display dramatic variations. We have only observed two very small peaks appearing at 02:00 and 10:00, which were found to be caused by sulfate emissions from local sources. Excluding the directly emitted sulfate from the paper mill during 26–29 September (the gray bar in Fig. 2), the two peaks disappeared. The lack of its clear diurnal pattern is consistent with the non-volatile character of sulfate and regional transport as the major source during this campaign.

The organic species exhibit similar wind rose as sulfate. The highest concentrations were mainly from the south (Fig. 3d). The sources for the organic compounds include local emissions from anthropogenic activities, local formation and regional transport. The mass concentration of organics demonstrated a more pronounced cycle with a maximum at 09:00, decreasing in the afternoon. The diurnal pattern is the interplay of HOA, Semi-volatile oxygenated organic aerosol (SVOOA) and low-volatility oxygenated organic aerosol (LVOOA) components that are extracted from organic spectra by PMF analysis. A more detailed explanation will be provided in Sect. 3.2.

Particulate nitrate species were observed to distribute around a peak in the northeast direction (Fig. 3f), which is believed to be due to the emissions from the paper mill

## Atmospheric submicron aerosol composition

L. Q. Hao et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



and motor-way. The nitrate species were separated into organic and inorganic sub-components in this study and will be discussed more in Sect. 3.2.3.

We calculated the amount of  $\text{NH}_4^+$  required to neutralize the inorganic anions  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$  and  $\text{Cl}^-$ . If the gas-phase ammonia and amine in ambient air is abundant, then this predicted  $\text{NH}_4^+$  should match the measured ( $\text{NH}_{4,\text{mea}}^+/\text{NH}_{4,\text{pre}}^+ = 1$ ) (Zhang et al., 2007b; Smith et al., 2010). Generally the predicted  $\text{NH}_4^+$  agrees with the measured  $\text{NH}_4^+$  well, while there are also outliers deviated from the one-to-one line, which are the outcome of the presence of acidic sulfate and organic nitrate (Fig. S3). As a result, the pattern of the  $\text{NH}_4^+$  wind rose is the combination of those rose for  $\text{SO}_4^{2-}$  and  $\text{NO}_3^-$ .

Black carbon (BC) made up 8.1 % of total  $\text{PM}_{10}$  mass, comparable to 9.0 % observed in the Helsinki urban area, Finland (Timonen et al., 2013). Most of its high concentration air masses came from the south, southeast and southwest directions (Fig. 3g). The pattern of the BC rose is pretty similar to the LVOOA (ref. Fig. 7) and the time series shows good correlation to each other ( $R^2 = 0.82$ ), implying the BC observed was from long-range transport.

### 3.2 Aerosol components by positive matrix factorization

PMF analysis of the high-resolution organic mass spectra together with  $\text{NO}^+$  and  $\text{NO}_2^+$  ions identified three organic and one inorganic factor: HOA, SVOOA, LVOOA and nitrate inorganic aerosol (NIA). Figure 5 shows the mass spectra profiles of the four factors, their time series of mass concentrations and comparisons to the co-located measurements of tracers. The four factors account for 98.9 % of the total fitted aerosol mass. Of the total fitted aerosol mass, 60.6 % is from SVOOA, 22.3 % from LVOOA, 9.5 % from HOA and the rest (7.5 %) from NIA. The NIA factor is a mixture of organic and inorganic signals. The mass spectrum signature is dominated by the inorganic  $\text{NO}^+$  and  $\text{NO}_2^+$  ions, composing 86.4 % of the mass of this factor with the rest species being from organic fragments (Fig. 8). On average, the organic ions constitute 94.1 %

## Atmospheric submicron aerosol composition

L. Q. Hao et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion







( $R^2 = 0.68$ ), consistent with previous studies at various sites showing that these two species are secondary in nature and are representative of SOA.

Figure 7 shows the wind roses for SVOOA and LVOOA. For SVOOA, most species were distributed in the southern sector while a small amount was also seen in the north, while LVOOA was observed to originate predominantly from the south. Regarding the relative mass contributions to the total organics, we observed much more SVOOA in the north than LVOOA, and relatively less SVOOA and more LVOOA in the south. This can be interpreted as meaning that aerosols from the north have spent less time above continent and are usually freshly formed whereas aerosols from south originate in Europe and undergo more oxidation during long-range transport.

Overall, the SVOOA and LVOOA components account for 65.7 % and 23.9 % of the total organic aerosol mass observed, respectively. Contribution from both compound classes reaches the upper limit of OOA fraction ( $72 \pm 21$  %) of the total organic mass observed at many locations (Zhang et al., 2007a; Jimenez et al., 2009). The relatively high observed OOA mass fraction is associated with SOA precursors, forestland-urban interactions, local meteorological conditions and long-range transport. (1) As discussed above, the measurement site in Puijo station is surrounded by boreal forest. The VOC emissions from the trees are composed primarily of monoterpenes (Lindfors and Laurila, 2000; Hakola et al., 2009). These emissions undergo the oxidation in the atmosphere leading to OOA formation. The phenomenon has been observed in previous studies conducted in the similar boreal forest environments, e.g. in Hyytiälä, Finland, which is about 210 km from our measurement site (Raatikainen et al., 2010; Allan et al., 2006) and also in laboratory chamber studies of the SOA formation from the oxidation of VOC emissions from the main boreal forest tree species (Hao et al., 2011; Mentel et al., 2009). (2) Moreover, anthropogenic VOCs emitted from urban areas have shown a high capacity for producing OOA (e.g. Zhang et al., 2005b; Ng et al., 2009). Urban emissions from Kuopio can also be another important contributor to the observed high levels of OOA. Based on the measurement results in the boreal forest in Hyytiälä, where the SVOOA and LVOOA were observed in equal proportions (Raatikainen et al.,

Atmospheric  
submicron aerosol  
composition

L. Q. Hao et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Atmospheric  
submicron aerosol  
composition**

L. Q. Hao et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



2010), biogenic SVOOA mass fraction can be approximately as 24 % as LVOOA in Puijo tower. Thus the mass contribution from the anthropogenic VOCs to the OOA can be approximated as 40 % (difference between 65.7 % and 23.9 %) in this study. (3) The interactions between forestland and urban emissions could also play another role for the high level of OOA mass. Anthropogenic emissions can enhance biogenic OOA formation via the involvement of gas-particle partitioning process and  $\text{NO}_x$  chemistry (e.g. Hoyle et al., 2011; Shilling et al., 2013). The oxidants due to anthropogenic activities such as  $\text{NO}_3$  radical (the products of ozone and  $\text{NO}_x$  chemistry), ozone ( $\text{O}_3$ ) and hydroxyl radical (OH) can also react with biogenic VOCs to enhance OOA formation. Understanding the many pathways by which anthropogenic activity influences the biogenic OOA formation during this study requires further investigation. (4) Lastly, the relatively low temperature and high relative humidity, as described in Sect. 3.1, also favor the partitioning of products from gas to particulate phase and prevents the evaporation of semi-volatile compounds from particles, thus facilitating OOA formation (e.g. Ng et al., 2010). (5) In addition, the long range-transported aerosol, mainly from Southern Finland, Southern Sweden and Central Europe based on the wind rose (Fig. 7) and back trajectory analysis (refer to the Supplement), might have contributed greatly to the observed LVOOA in this study (Fig. S4).

The diurnal cycles of OOA components are shown in Fig. 8. The SVOOA displays a pronounced diurnal cycle that is characterized by a peak at 09:00 and a gradual decrease from 09:00 to the afternoon, reaching a minimum at about 17:00. In contrast, LVOOA starts to increase at 08:00 and peaks in the afternoon. The SVOOA diurnal pattern is the outcome of multiple factors related to the boundary layer dynamics, temperature and formation mechanism. The decrease of SVOOA in the afternoon can be explained by dilution caused by the increase in boundary layer height and possible evaporation of semi-volatile species from particles due to higher ambient temperature and lower relative humidity. SVOOA dominates the organic species during the nighttime, which is also possibly associated with decrease in temperature and SOA formation from VOC ozonolysis and/or nitrate radical chemistry. The LVOOA peak in the



## Atmospheric submicron aerosol composition

L. Q. Hao et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



family ions distributed in the determined four PMF factors. More than 60% of  $\text{NO}_x$  ion mass is distributed to the NIA factor, whilst nearly 28% are found from SVOOA factor. The fact that  $\text{NO}_x$  ions distribute between organic and inorganic PMF factors indicates that nitrate has both organic and inorganic chemical forms. Based on this observation, we can estimate the masses of organic nitrate and inorganic nitrate in this study. The signal of nitrate in AMS was dominated by  $\text{NO}^+$  and  $\text{NO}_2^+$  ions, the sum of which account for 96% of the total nitrate mass, thus a factor 0.96 was applied for the determination of nitrate mass below. The mass concentrations of organic nitrate and inorganic nitrate can be calculated by following equations:

$$\text{NO}_{3,\text{org}}^- = \Sigma(\text{NO}_{\text{org}}^+ + \text{NO}_{2,\text{org}}^+)/0.96 \quad (1)$$

$$\text{NO}_{3,\text{inorg}}^- = (\text{NO}_{\text{inorg}}^+ + \text{NO}_{2,\text{inorg}}^+)/0.96 \quad (2)$$

where  $\text{NO}_{3,\text{org}}^-$  and  $\text{NO}_{3,\text{inorg}}^-$  are the organic and inorganic nitrate masses.  $\text{NO}_{\text{org}}^+$  and  $\text{NO}_{2,\text{org}}^+$  are the masses of  $\text{NO}^+$  and  $\text{NO}_2^+$  ions in each PMF organic factors and  $\text{NO}_{\text{inorg}}^+$  and  $\text{NO}_{2,\text{inorg}}^+$  are the masses of  $\text{NO}^+$  and  $\text{NO}_2^+$  ions in the NIA factor. The latter four variables can be read directly from PMF results.

Figure 10 shows the time series and wind roses of organic and inorganic nitrate species calculated from Eqs. (1) and (2). The total nitrate mass fitted by PMF is equivalent to that measured directly by the AMS ( $R^2 = 0.99$ , Fig. S5), which adds confidence that this approach accounts for all nitrate species. The average mass concentrations are  $0.04 \mu\text{g m}^{-3}$  and  $0.08 \mu\text{g m}^{-3}$  for organic and inorganic nitrate, respectively, with the organic nitrate accounting for 33.3% of the total nitrate mass. The inorganic nitrate aerosol in this study shows high concentrations from the northeast direction (Fig. 10b), which is believed to be due to the emissions from the paper mill and motor-way. The high concentration of inorganic nitrate aerosol from the southwest follows a similar pattern to that of gaseous  $\text{NO}_2$  (Fig. S6); therefore, this nitrate can be attributed to secondary conversion of urban emissions. Organic nitrate shows a good correlation with SVOOA ( $R^2 = 0.78$ , Fig. S7), suggesting that it could be semi-volatile. Its mass

appears to originate from the south to west, where residential and forest areas are primarily located. The emissions from both areas could play an important role in the particulate organic nitrate formation as we will discuss in greater detail below.

The diurnal patterns of inorganic and organic nitrate species are shown in Fig. 11.

The inorganic nitrate cycle shows a small peak in the morning and a decrease in the afternoon (see also Fig. 4). Such a diurnal behavior can be attributed to the change of boundary layer height in the morning and afternoon and also the possible evaporation/condensation mechanisms of particulate nitrate species due to the temperature and RH variations. While for organic nitrate, the mass concentration is relatively stable and higher during the nighttime (21:00–07:00) than in the daytime. The observation can be explained by the similar mechanisms as for the inorganic nitrate. However, we cannot rule out the possible formation of organic nitrate from nocturnal oxidation ( $\text{NO}_3$ -initiated) of anthropogenic and biogenic VOCs, which has already observed in the boreal forest in Hyytiälä (Raatikainen et al., 2010; Vaattovaara et al., 2009; Allan et al., 2006). The participation of particulate organic nitrate in the cloud formation has already been observed in previous studies (Hao et al., 2013; Drewnick et al., 2007).

The difference in the ratios of  $\text{NO}^+/\text{NO}_2^+$  ions for nitrate species has been reported in previous AMS studies that employ the AMS. For  $\text{NH}_4\text{NO}_3$ , a range of 1.5–2.9 for the ratio of  $\text{NO}^+/\text{NO}_2^+$  has been reported (Fry et al., 2009; Farmer et al., 2010; Bruns et al., 2010), whilst for organic nitrate, the ratio is much higher with values of 10–15 which have been measured for organic nitrate derived from reactions of monoterpene and  $\text{NO}_3$  radical in the laboratory chamber experiments (Fry et al., 2009; Bruns et al., 2010). In this study the  $\text{NO}^+/\text{NO}_2^+$  ratio for organic nitrate is 10.4, close to the values of laboratory-produced organic nitrates. As discussed above, Puijo station is surrounded by boreal forest in which monoterpene are predominantly emitted. The observed organic nitrates in the study might be associated with biogenic VOC emissions undergoing night-time chemistry with anthropogenic oxidants (i.e. nitrate radical). By contrast, the  $\text{NO}^+/\text{NO}_2^+$  is 2.9 for inorganic nitrate in this study.

## Atmospheric submicron aerosol composition

L. Q. Hao et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





approximately contribute more than 50% to the total organics, highlighting the city as the local anthropogenic emission source to the atmospheric aerosols. The influence of anthropogenic activity on the biogenic OOA formation still requires further investigation in this study.

The PMF analysis of the unified high resolution mass spectra provides a feasible approach to as differentiating organic and inorganic nitrates as well quantifying particulate organic nitrate. The determined organic nitrate species account for about 1/3 of the total nitrate mass with a  $\text{NO}^+/\text{NO}_2^+$  ion ratio of 10.4. We hypothesize that the formation of organic nitrates is the outcome of biogenic volatile organic compounds undergoing night-time chemistry with the anthropogenic oxidant, nitrate radical.

**The Supplement related to this article is available online at  
doi:10.5194/acpd-14-17263-2014-supplement.**

*Acknowledgements.* This work was supported by UEF Postdoc Research Foundation (No. 930275) and Academy of Finland Centre of Excellence (grant no. 272041). JS acknowledges support from the US Department of Energy. National Centre for Atmospheric Research is sponsored by the US National Science Foundation.

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**Atmospheric  
submicron aerosol  
composition**

L. Q. Hao et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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**Atmospheric  
submicron aerosol  
composition**

L. Q. Hao et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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**Atmospheric  
submicron aerosol  
composition**

L. Q. Hao et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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**Atmospheric  
submicron aerosol  
composition**

L. Q. Hao et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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**Atmospheric  
submicron aerosol  
composition**

L. Q. Hao et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

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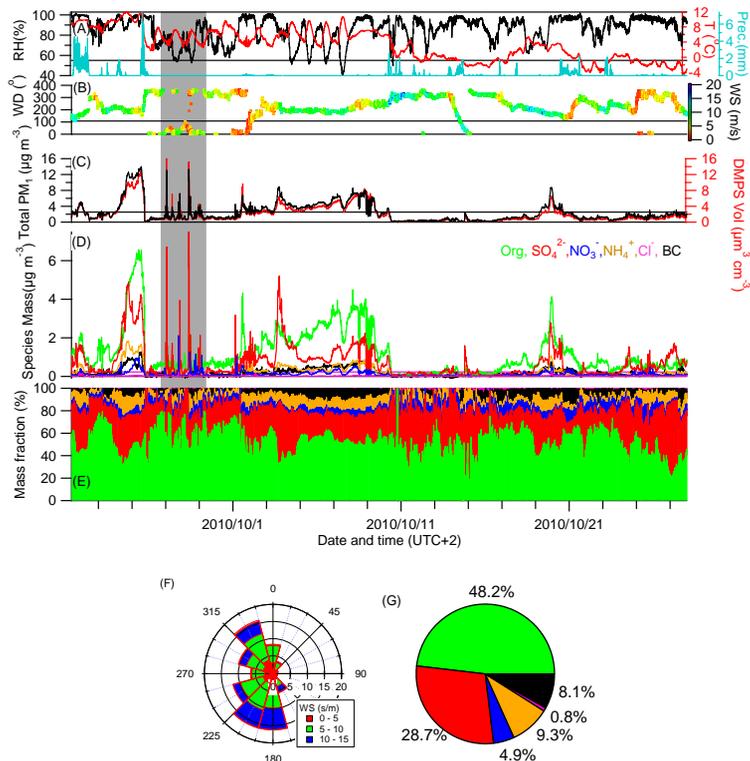
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## Atmospheric submicron aerosol composition

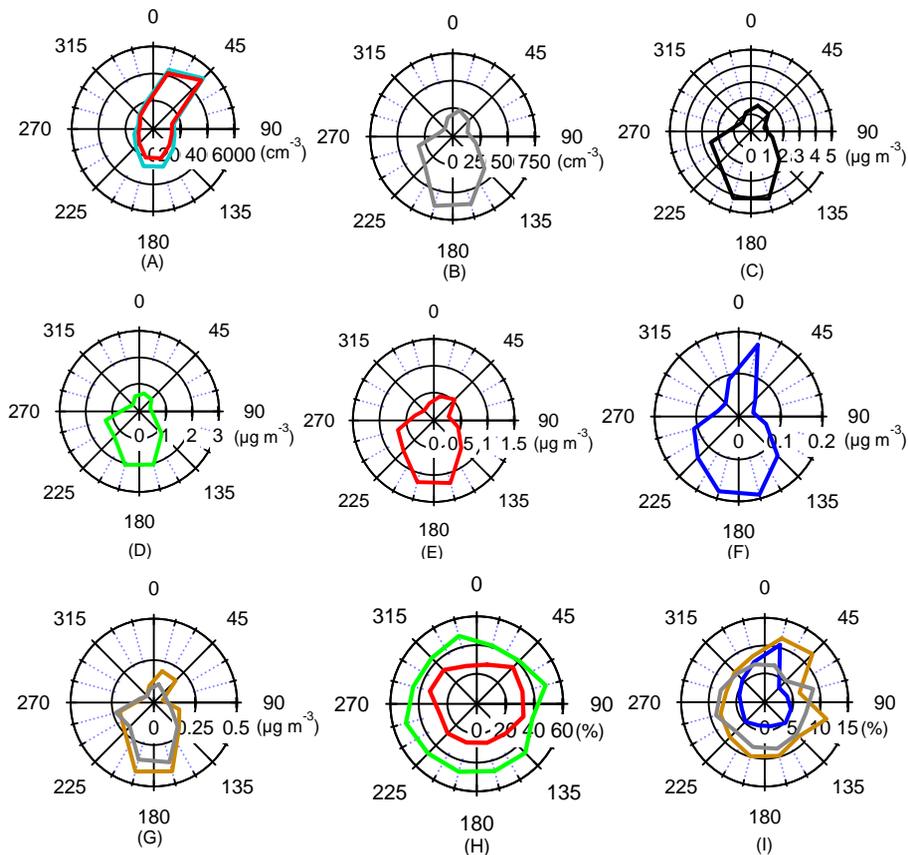
L. Q. Hao et al.



**Figure 2.** Meteorological measurements and mass characterizations of atmospheric PM<sub>1</sub> in this campaign. **(A)** Relative humidity, temperature and precipitation; **(B)** wind direction and speed; **(C)** comparison of total PM<sub>1</sub> mass to volume concentration from DMPS; **(D)** time series of chemical species. **(E)** The mass fractions; **(F)** wind rose. The radial axis shows the wind probabilities in each direction (%). **(G)** Pie chart of chemical species. **(E)** and **(G)** are colored identically as in **(D)**. The gray bar is chosen as an example of primary aerosol emission period (ref. Sect. 3.1).

## Atmospheric submicron aerosol composition

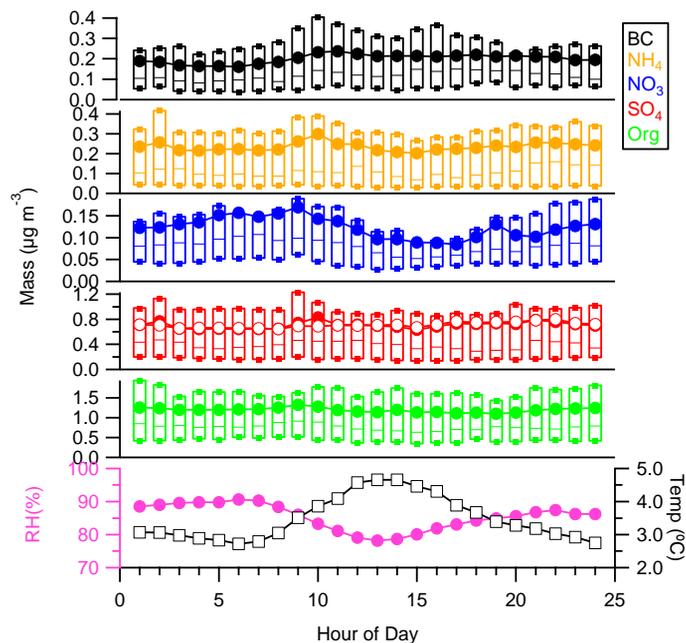
L. Q. Hao et al.



**Figure 3.** Wind roses for the campaign average. Aerosol number concentrations for **(A)** total (cyan) and in Aitken mode (red) and **(B)** in accumulation mode, mass concentrations for **(C)**  $PM_{10}$  total and **(D)** organic, **(E)** sulfate, **(F)** nitrate, **(G)** ammonium (orange) and black carbon (grey), mass fractions to the total  $PM_{10}$  (%) of **(H)** sulfate (red), organic (green) and **(I)** nitrate (blue), ammonium (orange) and BC (grey).

## Atmospheric submicron aerosol composition

L. Q. Hao et al.



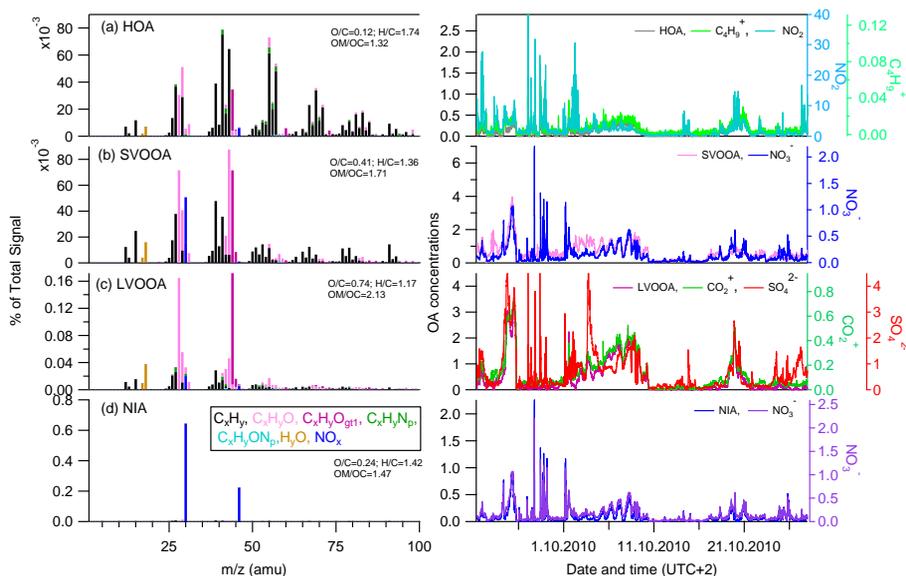
**Figure 4.** Diurnal profiles for chemical species, RH (in pink) and temperature (in dark). The upper and lower boundaries of the box are the 75th and 25th percentiles; the line–solid cycle curve marks the mean and the line in the box for median. The solid cycle curve in read is for sulfate and the open cycle curve in red is for sulfate after excluding the direct emissions of sulfate from paper mill shown in the gray par during 26–29 September in Fig. 1.

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)

[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)


Atmospheric  
submicron aerosol  
composition

L. Q. Hao et al.



**Figure 5.** PMF factor solutions to the high-resolution mass spectra: profiles (left panel) and time series and the correlations with the tracers (right panel). All species in right axis are in unit  $\mu\text{g m}^{-3}$ .

Title Page

Abstract Introduction

Conclusions References

Tables Figures

◀ ▶

◀ ▶

Back Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

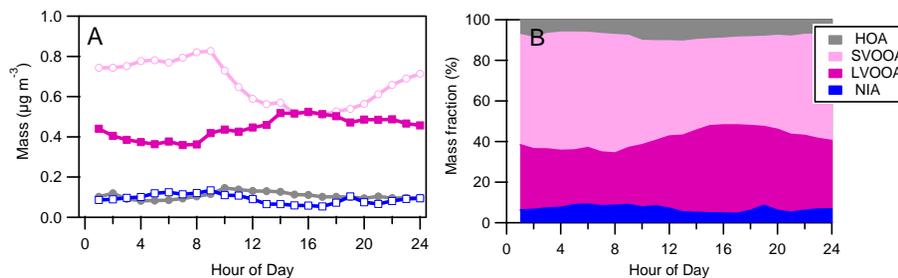






Atmospheric  
submicron aerosol  
composition

L. Q. Hao et al.

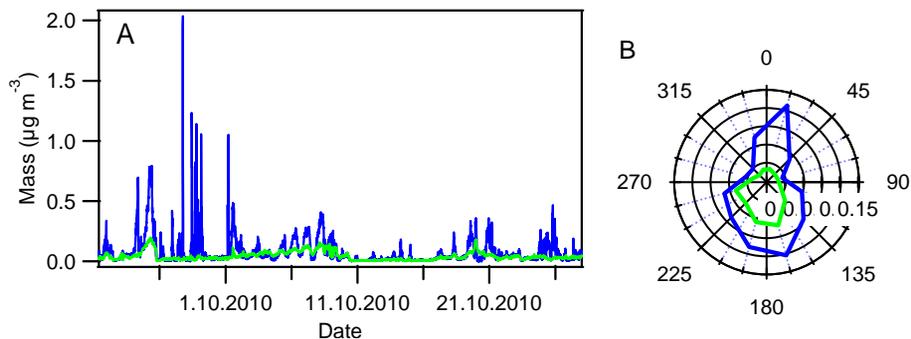


**Figure 8.** Diurnal cycles of **(A)** mass concentrations and **(B)** the mass fractions of the four determined PMF factors.



Atmospheric  
submicron aerosol  
composition

L. Q. Hao et al.



**Figure 10.** (A) Time series and (B) wind roses of the inorganic nitrate (blue) and organic nitrate (green) particulate mass concentrations calculated from Eqs. (1) and (2).

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

