Heterogeneous chemistry: a mechanism missing in current models to explain secondary inorganic aerosol formation during the January 2013 haze episode in North China

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Abstract

Severe regional haze pollution events occurred in eastern and central China in January 2013, which had adverse effects on the environment and public health. Extremely high levels of particulate matter with aerodynamic diameter of 2.5 μm or less ($PM_{2.5}$) with dominant components of sulfate and nitrate are responsible for the haze pollution. Although heterogeneous chemistry is thought to play an important role in the production of sulfate and nitrate during haze episodes, few studies have comprehensively evaluated the effect of heterogeneous chemistry on haze formation in China by using the 3D models due to of a lack of treatments for heterogeneous reactions in most climate and chemical transport models. In this work, the WRF-CMAQ model with newly added heterogeneous reactions is applied to East Asia to evaluate the impacts of heterogeneous chemistry and the meteorological anomaly during January 2013 on regional haze formation. As the parameterization of heterogeneous reactions on different types of particles are not well established yet, we first took the update coefficients from reactions on dust particles and then conducted several sensitivity runs to find the value that can best match observations. The revised CMAQ with heterogeneous chemistry not only captures the magnitude and temporal variation of sulfate and nitrate, but also reproduces the enhancement of relative contribution of sulfate and nitrate to $PM_{2.5}$ mass from clean days to polluted haze days. These results indicate the significant role of heterogeneous chemistry in regional haze formation and improve the understanding of the haze formation mechanisms during the January 2013 episode.

1. Introduction

Regional haze pollution is an atmospheric phenomenon characterized by significant growth in the concentration of aerosol particles and sharp reduction of visibility. In addition to the adverse effects on visibility, haze pollution also affects the air quality, public health, and climate. By scattering and absorbing solar radiation, aerosol particles suspended within haze can decrease the fluxes of solar radiation reaching the earth's surface, significantly altering the earth's energy budget and climate (Seinfeld et al., 2004; Mercado et al., 2009). Sulfate and nitrate aerosols can increase soil acidity through acid deposition, which has a negative impact on the ecosystem (Zhao et al., 2009). Because of their small sizes, aerosol particles can penetrate deeply into human lungs, causing respiratory diseases, decreased lung function, and increased risk of cancer and mortality (American Lung Association, 2006).

Haze pollution in China is of significant concern because of its increased frequency of occurrence in recent years. The number of haze days has shown an increasing trend since the 1990s and visibility during the haze events has decreased rapidly (Zhao et al., 2011; Ding and Liu, 2014). Aerosol loadings during haze days can be extremely high with maximum hourly concentrations of particulate matter with aerodynamic diameter of 2.5 μm or less ($PM_{2.5}$) of 200–1000 μg m^{-3} (Sun et al., 2006; Wang et al., 2006; X. J. Zhao et al., 2013; L. T. Wang et al., 2014; Y. S. Wang et al., 2014), which can reduce surface solar radiation by more than 20 W m^{-2} (Li et al., 2007).

Most parts of central and eastern China experienced a persistent episode of haze pollution during January 2013, which is one of the most severe air pollution episodes in China during the last decade (He et al., 2014; Y. S. Wang et al., 2014; Z. F. Wang et al., 2014; J. K. Zhang et al., 2014; R. H. Zhang et al., 2014). Widespread haze clouds covered the entire North China Plain (NCP) (Yang et al., 2013) and the instantaneous concentration of $PM_{2.5}$ within these clouds exceeded 1000 μg m^{-3} at some urban observational sites (Y. S. Wang et al., 2014). The characteristics and formation mechanisms of this haze event attract considerable attention from the scientific community.

High emission intensity, adverse meteorological conditions, and the formation of substantial amounts of secondary aerosols are generally regarded as the principal factors underlying the formation of the severe haze pollution in January 2013. Central

and eastern China are the most important source regions of anthropogenic emissions in China (Zhang et al., 2009), which can provide sufficient precursors for haze formation. Adverse meteorological conditions in January 2013 conducive to haze formation include weak surface winds, low mixing layers, a thick temperature inversion layer, and anomalous southerly winds in the middle and lower troposphere that transport large amounts of water vapor and pollutants (Y. S. Wang et al., 2014; R. H. Zhang et al., 2014). Under weather conditions of high humidity and reduced advection and vertical mixing, large amounts of secondary aerosols (both organic and inorganic) can be generated. In particular, greater amounts of secondary inorganic aerosols comprising sulfate, nitrate, and ammonium (SNA) were produced during the haze days of the January 2013 episode than during clean days. The contribution of sulfate and nitrate to $PM_{2.5}$ increased from 10.3–13.4% and 6.6–14% in clean days to 25.1% and 17.5–20.6% in haze days, respectively (J. K. Zhang et al., 2014; Quan et al., 2014). The total contribution of SNA reached about 60% during the most severe haze days from 12–15 January (J. K. Zhang et al., 2014; Quan et al., 2014), which indicates that the significant production of SNA is a principal driving force that leads to the sharp increase in $PM_{2.5}$ concentrations.

Many studies on aerosols have revealed that SNA are the most abundant component of $PM_{2.5}$ during haze pollution events in China, and that the processes and evolution of haze pollution are characterized by the formation of substantial amounts of sulfate and nitrate (Sun et al., 2006; Wang et al., 2006; X. J. Zhao et al., 2013). The formation mechanisms are difficult to be explained by traditional gas-phase or aqueous-phase chemistry (i.e., gas-phase oxidation by hydroxyl radical (OH) and in-cloud oxidation by dissolved ozone (O_3) and hydrogen peroxide (H_2O_2)) given the adverse atmospheric conditions (i.e. low or even zero O_3 concentrations, dim days with low solar radiations and few precipitating clouds) (X.J. Zhao et al., 2013; Quan et al., 2014). Besides the gas-phase and aqueous-phase chemistry, heterogeneous chemistry is considered to be alternative pathways of sulfate and nitrate formation in the atmosphere (Ravishankara, 1997). The ambient measurement has verified the existence of heterogeneous reactions associated with sulfur dioxide (SO_2), nitrogen pentoxide (N_2O_5) and nitric acid (HNO_3) (Usher et al., 2003; Lammel and Leip, 2005; McNaughton et al., 2009; Chang et al., 2011). Field studies during haze days in China proposed that the large amount of sulfate and nitrate were more likely generated via heterogeneous chemistry than gas-phase and aqueous-phase chemistry (Wang et al.,

2006; Li and Shao, 2009, 2010; Li et al., 2011; X. Wang et al., 2012; X. J. Zhao et al., 2013; Y. S. Wang et al., 2014). Modeling studies have used 0-3D air quality models to research on the role of heterogeneous reactions in sulfate and nitrate formation on the surface of mineral particles (Zhang et al., 1994; Dentener et al., 1996; Zhang and Carmichael, 1999; K. Wang et al., 2012). However, few studies have comprehensively evaluated the effect of heterogeneous chemistry on haze formation in China by using the 3D models because of a lack of treatments for heterogeneous reactions in most climate and chemical transport models.

In this work, we use the CMAQ model to investigate the impact of heterogeneous chemistry on the severe regional haze formation in January 2013. The officially-released version of CMAQ (hereafter the original CMAQ) and revised CMAQ with updated treatments for heterogeneous chemistry by adding a number of reactions (hereafter the revised CMAQ) are applied to simulate the January 2013 severe regional haze pollution episode over East Asia. Our objectives are to improve the model's capability in reproducing the observed high PM concentrations and provide better understanding of the effects of heterogeneous reactions on the production of sulfate and nitrate during the haze event.

2. Model Description and Methodology

In this work, the Weather Research and Forecasting (WRF) model v3.5.1 (http://www.wrf-model.org/) and CMAQ v5.0.1 (http://www.cmascenter.org/cmaq/) are applied to simulate the severe haze episode in January 2013 over East Asia. WRF is a new generation mesoscale numerical weather prediction system designed to serve a wide range of meteorological applications from meters to thousands of kilometers (http://www.wrf-model.org/). WRF v3.5.1 is the most recent major WRF release in September 2013 and is used to generate meteorological fields to drive CMAQ. CMAQ is a 3D Eulerian atmospheric chemistry and transport modeling system that simulates multi pollutants throughout the troposphere across spatial scales ranging from local to hemispheric. CMAQ v5.0.1 is the most up to date release in July 2012. It contains the updated carbon bond gas-phase mechanism with new toluene chemistry (Whitten et al., 2010), a new aerosol module (AERO6), and ISORROPIA v2.1 inorganic chemistry (Fountoukis and Nenes, 2007). The existing formation mechanisms for SNA included in the original CMAQ and new heterogeneous reactions added in the revised CMAQ that form additional SNA are described below.

2.1. The Formation Mechanisms of SNA in the Original CMAQ

Table 1 summarizes major mechanisms for sulfate and nitrate formation currently treated in the original CMAQ v5.0.1 (R1–R15) in a highly simplified manner. In the gas-phase (R1–R6), sulfuric acid (H_2SO_4) and HNO_3 are generated mainly through the oxidation of SO_2 and nitrogen oxide (NO_x) by OH. Additional HNO_3 can be formed through subsequent reactions involving reactive nitrogen species such as nitrogen trioxide (NO_3), N_2O_5, and NTR and OH, hydroperoxyl radical (HO_2), and H_2O as well as the nighttime oxidation reaction of volatile organic compounds (VOCs) by NO_3. H_2SO_4 and HNO_3 can condense on the surface of preexisting aerosol, forming sulfate (SO_4^{2-}) and nitrate (NO_3^-). For in-cloud chemistry (R7–R13), the original CMAQ includes the dissolution equilibria of SO_2, H_2SO_4, ammonia (NH_3), NO_x, NO_3, N_2O_5, nitrous acid (HNO_2), HNO_3, peroxynitric acid (HNO_4), and several oxidants such as OH, H_2O_2, and O_3, the dissociation equilibria of SO_2, bisulfite (HSO_3^-), HNO_2, HNO_3, and $NH_3 \bullet H_2O$, and five aqueous-phase kinetic reactions to produce S (VI) through the oxidation of S (IV) (dissolved SO_2, HSO_3^- and sulfite (SO_3^{2-})) by H_2O_2, methylhydroperoxide (MHP), peroxyacetic acid (PAA), O_3, and oxygen (O_2) catalyzed by ferric iron (Fe^{3+}) and manganese ion (Mn^{2+}). Once clouds dissipate, SO_4^{2-} formed in the aqueous-phase becomes part of aerosol. The original CMAQ only includes two heterogeneous reactions (R14–R15) to produce HNO_3, one involving N_2O_5 and H_2O and the other involving nitrogen dioxide (NO_2) and H_2O. The mechanism of heterogeneous chemistry is much more complex than the homogeneous gas and aqueous-phase mechanisms. It involves many processes including water condensation onto the particle surfaces, adsorption and accommodation of gases into the liquid–gas interface, diffusion, and surface reactions (Reid and Sayer, 2003). Heterogeneous reaction rates are dependent on relative humidity (RH) (Dentener et al., 1996; Henson et al., 1996; Stutz et al., 2004) because of the significant role of the water film on the aerosol surface in the gas uptake. The formation of ammonium (NH_4^+) is closely related to that of SO_4^{2-} and NO_3^-, as it is resulted from the neutralization of SO_4^{2-} and NO_3^- by dissolved NH_3 in the particulate-phase through aerosol equilibrium treated in ISORROPIA II of Fountoukis and Nenes (2007).

2.2. Missing Heterogeneous Reactions and Their Implementation into Original CMAQ

Heterogeneous chemistry might have played a significant role in the January 2013 haze episode for three reasons. First, the total amount of SO_4^{2-} formed through gas- and aqueous-phase chemistry is too low to explain the observed abrupt increases in the concentrations of SO_4^{2-} by 70-130 μg m^{-3} within a few hours during the haze episode. The observed concentrations of SO_2 are in the range of 10-216 μg m^{-3} (Fig. 1). The gas-phase oxidation of SO_2 by OH radicals can convert SO_2 to H_2SO_4 at a maximum rate of 2% h^{-1} under sunny conditions, leading to 0.2-5.5 μg m^{-3} h^{-1} H_2SO_4 (which is equivalent to 0.2-5.4 μg m^{-3} h^{-1} SO_4^{2-}). Aqueous-phase chemistry shown in Table 1 can enhance SO_4^{2-} formation in precipitating clouds, which did not occur frequently during the episode. Only two precipitations are recorded in the central China on 20-21 and 30-31 January respectively, which contribute 92% of the total precipitations in January (data derived from http://cdc.cma.gov.cn). Meanwhile the weak photochemical activity during dim haze days, characterized by extremely low or even zero O_3 concentrations (He et al., 2014; Y. S. Wang et al., 2014), does not support that gas and aqueous-phase chemistry are dominant pathways for sulfate and nitrate production. As shown in Table 1, the original CMAQ only includes two heterogeneous reactions to produce HNO_3 and does not include any heterogeneous reactions to produce SO_4^{2-}. The original model evaluation against ground-based measurements (as shown in Sect. 4.2.1) shows significant underpredictions of SNA (e.g., normalized mean biases (NMBs) of −40% to −60%). These data analysis and modeling results indicate that the heterogeneous chemistry probably have played a significant role to produce high SNA during the haze pollution. Second, there exist strong correlations between RH and sulfur and nitrogen oxidation ratios (SOR and NOR) during haze in January 2013 (Sun et al., 2014; Y. S. Wang et al., 2014; G. J. Zheng et al., 2014), which resemble the RH-dependence of heterogeneous chemistry. Third, transmission electron microscopy studies have shown that the particles sampled during haze days in the NCP are mostly combined with obvious coatings containing significant sulfur and nitrogen elements, probably generated via some reactions on the particle surfaces (Li and Shao, 2009, 2010; Li et al., 2011). It suggests that surface reactions, probably caused by heterogeneous chemistry, play a significant role in haze formation. Based on the above three reasons, heterogeneous

chemistry is regarded as the most important missing reaction pathway and nine new heterogeneous reactions (R16–R24) are then incorporated into CMAQ to improve its capability in reproducing the high SNA concentrations observed during the haze episode through increasing sulfate and nitrate formation. Simulations from the original and the revised CMAQ are compared to study the role of heterogeneous chemistry in producing sulfate and nitrate during this haze episode, which is presented in Sect. 4.2 and 4.3.

As shown in Table 1, following the work of K. Wang et al. (2012), nine heterogeneous reactions involving H_2O_2, HNO_3, HO_2, N_2O_5, NO_2, NO_3, O_3, OH, and SO_2 (R16–R24) have been incorporated into original CMAQ. These reactions are assumed to occur on the surface of aerosols. Heterogeneous chemistry is commonly parameterized using a pseudo-first-order rate constant and is assumed to be irreversible (Zhang and Carmichael, 1999; Jacob, 2000). The rate constant k (s^{-1}) for heterogeneous loss of gaseous pollutants is determined by (Jacob, 2000; K. Wang et al., 2012)

$$k_i = \left(\frac{d_p}{2D_i} + \frac{4}{v_i \gamma_i} \right)^{-1} S_p \quad (1)$$

where i represents the reactant for heterogeneous reactions, d_p is the effective diameter of the particles (m), D_i is the gas-phase molecular diffusion coefficient for reactant i ($m^2\ s^{-1}$), v_i is the mean molecular speed of reactant i in the gas phase, γ_i is the uptake coefficient for reactant i (dimensionless), and S_p is the aerosol surface area per unit volume of air ($m^2\ m^{-3}$). The parameters d_p, D_i, v_i, and S_p are calculated in CMAQ, and the parameter γ_i is determined for different reactants based on laboratory measurements reported in the literatures, which is presented below.

The values of γ for different gaseous pollutants may vary several orders of magnitude, because of different surface properties, particle compositions, temperature, RH, and laboratory conditions. For a specific combination of particle and gaseous pollutants, the value of γ is highly dependent on RH and increases rapidly as a function of RH (Dentener et al., 1996; Henson et al., 1996; Stutz et al., 2004). For example, Mogili et al. (2006) found that the γ of N_2O_5 increased by a factor of 4 as RH increased in an environmental aerosol chamber. Liu et al. (2008) reported that the γ of HNO_3 on calcium carbonate was enhanced in laboratory experiments by a factor

253 of 15 over a wide range of RHs (from 20–80%). Enhanced γ of HNO_3 with increasing
254 RH have also been reported on many types of particles including oxides, clay, and
255 dust. Considering the significant effect of RH on γ, some modeling studies used RH-
256 dependent γ (Song and Carmichael, 2001; Wei, 2010). For example, Song and
257 Carmichael (2001) used a value of γ of 0.005 for SO_2 when the RH was lower than
258 50% and of 0.05 when RH was higher than 50%.

259 The γ for heterogeneous reactions used in this work are determined mainly based
260 on the work of K. Wang et al. (2012), which used lower and upper limits to represent
261 a range of γ values reported in the laboratory measurement. On the basis of the lower
262 and upper limits, we then use a piecewise function to resemble the RH-dependence of
263 γ. Field measurements during the January 2013 haze episode in Beijing indicate that
264 the SOR and NOR are highly dependent on RH. They are relatively stable when RH is
265 lower than 40–50% and rapidly increase when RH is higher. The RH value of 50% is
266 close to the deliquescence point of particles for a mixture of organic compounds and
267 ammonium sulfate (Peckhaus et al., 2012), which constitute about 80% of $PM_{2.5}$ in
268 China (Yang et al., 2011). In this work, we assume the value of γ to be the lower limit
269 for RH $\leq$ 50% and that it increases linearly to the upper limit as RH increases to
270 RH_{max}, which approximates the correlation between RH and γ. The γ values of the
271 reactions contributing to sulfate and nitrate (R19–R21, R24) are calculated as the
272 following equation:

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$$\gamma_i = \begin{cases} \gamma_{low}, RH \in [0, 50\%] \\ \gamma_{low} + (\gamma_{high} - \gamma_{low}) / (RH_{\max} - 0.5) \times (RH - 0.5), RH \in (50\%, RH_{\max}] \\ \gamma_{high}, RH \in (RH_{\max}, 100\%] \end{cases} \quad (2)$$

275

276 where i represents the reactant for heterogeneous reactions, RH_{max} is the RH value at
277 which the γ reaches the upper limit, and γ_{low} and γ_{high} are the lower and upper limits of
278 γ values taken from Table 2 of K. Wang et al. (2012) with one exception for R24.

279 In-situ observations have found significant enhancement of SO_2 oxidation rates
280 under wet conditions, indicating possible missing heterogeneous reactions on
281 deliquescent particles (G. J. Zheng et al., 2014). However, the coefficients of SO_2
282 uptake by aerosols (R24) are only established for ice surfaces and mineral dust
283 particles (Kolb et al., 2010). As the parameterization of heterogeneous reaction of SO_2
284 on soot, organics, and SNA aerosols are not well established yet, we first took the

uptake coefficients from K. Wang et al. (2012) and conducted four sensitivity runs, S1, S2, S3 and S4 by adjusting the uptake coefficients with successive approximation approach. The parameters and evaluations of the four sensitivity runs are presented in supplementary information (Table S1 and Fig. S1). The γ values of the lower and upper limits of SO_2 recommended by K. Wang et al. (2012) are 1.0×10^{-4} and 2.6×10^{-4}, respectively, whereas other works recommended lower γ values for SO_2, e.g., 4.0×10^{-5} in Crowley et al. (2010), 1.35×10^{-5} in Shang et al. (2010), and 0.6×10^{-5} to 2.45×10^{-4} in Wu et al. (2011). We found that using γ in K. Wang et al. (2012) for R24 (sensitivity run S1) will produce unreasonably high sulfate for this haze episode. We finally choose the value from S3 in our work, which can best match observations.

We assume the RH_{max} of sulfate-related heterogeneous reaction (R24) to be 100%, and that of nitrate-related heterogeneous reactions (R19–R21) to be 70%. This assumption is made on the basis of the observational result that the SOR increases when the RH rises from 50% to 100% and the NOR increases when the RH rises from 50% to 70% and then stays stable when the RH continues to increase. The similar relationship between sulfur (nitrogen) conversion ratios and RH has also been reported in another pollution episode that occurred in the winter of 2011 in Beijing (Sun et al., 2013). For other heterogeneous reactions, we use the mean of lower and upper limit values in the model and assume that they remain constant under different RHs.

3. Model Configurations, Simulation Design and Evaluation Protocol

3.1. Model Configurations and Simulation Design

WRF/CMAQ simulations are performed over East Asia at a horizontal resolution of 36 × 36 km (see Fig. 2). The simulation period is from 1 to 31 January 2013 with additional 7 days used as a spin-up period to minimize the influence of initial conditions.

The physics options selected for the WRF simulation are summarized in Table 2. They are selected based on a number of initial simulations with different option combinations to ensure the best performance for meteorological predictions against observations during this episode. The meteorological initial and boundary conditions

(ICs and BCs) are based on the National Centers for Environmental Prediction Final Analysis (NCEP-FNL) reanalysis data. The surface roughness is corrected by increasing the friction velocity by 1.5 times only in the boundary layer scheme to reduce the high biases in wind speed (Mass and Ovens, 2010).

The configurations and options used in the CMAQ model are summarized in Table 3. The gas-phase mechanism module is the CB05 gas-phase mechanism with active chlorine chemistry and updated toluene mechanism of Whitten et al. (2010). The aqueous-phase chemistry is based on the updated mechanism of the Regional Acid Deposition Model (RADM) model (Walcek and Taylor, 1986; Chang et al., 1987). The aerosol mechanism applied in this study is the AERO6 aerosol module. The photolytic rates are calculated in-line using simulated aerosols and ozone concentrations. The ICs and BCs are generated from the GEOS-Chem model (Bey et al., 2001).

Anthropogenic emissions for China in 2013 used in this work are derived from the MEIC model (Multi-resolution Emission Inventory of China, http://www.meicmodel.org). The MEIC model is a dynamic and technology-based emission model developed by Tsinghua University which estimates anthropogenic emissions for about 700 emitting sources over China with unified methodology (Zhang et al., 2007, 2009; Lei et al., 2011a). MEIC model is an update of the bottom-up emission inventory developed by the same group (Zhang et al., 2007, 2009; Lei et al., 2011a) with several updates such as unit-based emission data for power plants (S. W. Wang et al., 2012) and cement plants (Lei et al., 2011b), high-resolution vehicle emission inventory at county level (B. Zheng et al., 2014), and new NMVOC mapping approach for different chemical mechanisms (Li et al., 2014). In the MEIC model, the latest available emission data with real statistics at provincial level is for 2012. In this work, emissions for the year of 2013 are used from the extrapolation of the 2012 estimates and updated based on brief statistics at country level.

Anthropogenic emissions from the other Asian countries and biomass burning emissions are taken from the MIX emission inventory prepared for the Model Inter-comparison Study Asia Phase III (MICS-ASIA III). Biogenic emissions are calculated by the MEGAN v2.1 (Guenther et al., 2012). Sea salt emission and dust emission are calculated online on the basis of the algorithms developed by Gong (2003) and a physical-based dust emission algorithm FENGSHA (http://www.airqualitymodeling.org/cmaqwiki/index.php?title=CMAQv5.0_Windblo

wn_Dust), respectively.

Using the WRF/CMAQ modeling system, the impacts of heterogeneous chemistry and the meteorological anomaly of 2013 on the significant production of sulfate and nitrate aerosols during the January 2013 haze episode are investigated with three simulations, as shown in Table 4. The simulation Original CMAQ uses the officially released version of CMAQ v5.0.1. In the simulation Revised CMAQ, nine important heterogeneous reactions are implemented in the model to explore the effects of heterogeneous chemistry. To further evaluate the impacts of the 2013 meteorological anomaly on sulfate and nitrate production, another simulation with revised CMAQ is designed to use the same 2013 emissions but with the WRF meteorological predictions for 2012 (Revised CMAQ with 2013Emis&2012Met). The uptake coefficients of heterogeneous chemistry used in the latter two simulations are presented in Table S2 of supplementary information.

3.2. Evaluation Protocol

The model evaluation is performed in terms of domain-wide performance statistics and site-specific temporal variations. The performance statistics are conducted following the evaluation protocol of Zhang et al. (2006, 2011). The statistical parameters include correlation coefficient (R), mean bias (MB), root mean square error (RMSE), NMB, and normalized mean error (NME).

Table 5 summarizes the observational datasets used for model evaluation in this study. Three observational datasets are used including the meteorological data from the National Climate Data Center (NCDC), the real-time gaseous and particulate concentrations in 74 cities from the China National Environmental Monitoring Center (CNEMC), and hourly concentrations of chemical species of $PM_{2.5}$ from the ground-based measurement at the Tsinghua University site (THU) located in the northwestern Beijing. The detailed description of these dataset can be found in the supplementary information.

4. Results and Discussion

4.1. Evaluation of Meteorological Predictions

Table 6 presents the statistical performances of the meteorological predictions,

including temperature at 2 m (T2), RH at 2 m (RH2), wind speed at 10 m (WS10), wind direction at 10 m (WD10), and daily mean precipitation (Precip). The near-surface temperature agrees reasonably well with observations with MBs of −0.8 °C. Simulated RH2 agrees well with observations across most of China with an NMB of 9.9% and an MB of 6.7%. WS10 is overpredicted slightly with an NMB of 9.5% and an MB of 0.3 m s^{-1} for the 36-km domain. The MB of Precip is 1.1 mm and the NMB is 58.8% with a relatively poor performance compared with other meteorological variables. Precip is usually predicted with large biases by meteorological models (Zhang et al., 2011, 2012; L. T. Wang et al., 2014), indicating the limited capability of model to accurately reproduce the precipitating processes. The simulated meteorological variables show generally good agreement with observations, and the overall performances are consistent with similar work conducted for China using the Fifth-Generation Penn State/NCAR Mesoscale Model (MM5) or WRF models (Liu et al., 2010; L. T. Wang et al., 2010, 2014; Zhang et al., 2011; K. Wang et al., 2012; Fu et al., 2014). The simulated meteorological variables agree well with observations in terms of temporal variations and magnitudes at the THU site (as shown in Fig. 3), confirming the reliability of meteorological prediction at location with SNA observation data.

4.2. Chemical Predictions of the Original CMAQ at THU Site

4.2.1 Sulfate, Nitrate and Ammonium

Figure 4 compares the temporal variations of aerosol compositions in January 2013 simulated by the original CMAQ with observation at the THU site, and the statistical performance of the model were summarized in Table 7. Although the original CMAQ model only underpredicts $PM_{2.5}$ mass concentration by 21.9%, it significantly underpredicts SO_4^{2-}, NO_3^-, and NH_4^+ concentration with NMBs of −54.2%, −40.0%, and −58.1%, respectively. The modeled hourly $PM_{2.5}$ concentration shows good agreement with the observations when the $PM_{2.5}$ concentration is below 450 μg m^{-3}. However, the model failed to predict SNA variations during the polluted days, leading to a large underprediction of total $PM_{2.5}$ mass concentration during the heavy haze episodes when SNA are dominant compositions in total $PM_{2.5}$ mass. Figure 5a illustrates the enhancement of SNA in $PM_{2.5}$ in haze days in January 2013 at THU site. The contribution of SNA to total $PM_{2.5}$ mass increased from 29.3% to

50.3% from clean days to heavily polluted days due to the increased conversion rates of SO_2 and NO_2 under the haze condition (Sun et al., 2013, 2014), while the original CMAQ model could not reproduce the dominant contribution of SNA to $PM_{2.5}$ for those episodes, indicating that some mechanisms that might have significant impacts on SO_4^{2-} and NO_3^- formation during haze episodes are absent in the original CMAQ model.

As discussed in Sect. 2.2, we believe that heterogeneous chemistry played a key role in sulfate and nitrate production under the haze condition. Nine heterogeneous reactions have been incorporated into the original CMAQ model to improve the model capability in reproducing the observed high concentrations of sulfate and nitrate and study the role of these reactions in the haze pollution. The simulation results from the revised CMAQ with these heterogeneous reactions are described in Sect. 4.3.

4.2.2 Carbonaceous Aerosols

As shown in Fig. 4, the original CMAQ model can generally capture the temporal variation of element carbon at THU site but has a positive bias of 196.2% in monthly mean concentration, implying large overestimation of element carbon emissions in the MEIC inventory for urban Beijing area. The MEIC inventory used in this work is first calculated by province and then allocated to grids by uniformed spatial proxies across provinces, which may induce significant bias for specific locations. Coal boilers and stoves have been phased out from Beijing urban areas and diesel trucks are also prohibited for entering the urban center of Beijing during daytime. These local policies are not considered in MEIC emission inventory, which may lead to the overestimation of element carbon emissions in Beijing urban areas. For organic carbon, the large bias only exists during haze days with mass concentrations larger than 60 μg m^{-3}. As the secondary organic aerosols (SOA) module used in CMAQ does not include the formation pathways of heterogeneous reactions involving VOCs and SVOCs, and oligomerization during the haze events and multi-generations of gas-phase oxidations of semi-VOCs (SVOCs), the underestimation is probably caused by the underpredictions in SOA.

4.3. Improvements of SNA Predictions by the Revised CMAQ with Heterogeneous Chemistry

Figure 4 compares the temporal variations of $PM_{2.5}$, SO_4^{2-}, NO_3^-, NH_4^+, OC, and EC at the THU site simulated by the original and revised CMAQ with observations. The sulfate and nitrate simulations with heterogeneous chemistry are improved significantly in terms of both magnitude and temporal variation. In particular, the significant discrepancies in $PM_{2.5}$, SO_4^{2-}, NO_3^- and NH_4^+ between the observed and simulated concentrations during severely polluted days are improved, although a couple of observed peak values are still not captured well. The synergic improvement of SNA predictions illustrates the significant role heterogeneous chemistry plays in the haze pollution events. The revised CMAQ shows better performance with NMBs of 0.4%, 6.3%, 5.7%, and −4.1%, for $PM_{2.5}$, SO_4^{2-}, NO_3^-, and NH_4^+, respectively. The MBs of sulfate and nitrate are reduced, changing from −17.8 to 2.1 μg m^{-3} and from −12.3 to 1.8 μg m^{-3}, respectively. As expected, the simulated level of $PM_{2.5}$ is also improved with MBs changing from −40.8 to 0.8 μg m^{-3}.

The revised CMAQ can capture the enhancement of relative contribution of SNA from clean days to polluted days, as shown in Fig. 5. Observations show that the fractions of SNA increase rapidly to 42.2% and 50.3% on polluted and heavily polluted days, which are well reproduced by the revised CMAQ with fractions of 49.0% and 52.6%. For comparison, the original CMAQ gives SNA fractions of 32.1% and 30.8%, which are considerably lower. During polluted and heavily polluted days, there exist significant discrepancies in SNA percentage contributions between the original and revised CMAQ, indicating the important role of heterogeneous chemistry in haze pollution. It should be noted that the good agreement between the revised CMAQ and observations is highly dependent on the selections of uptake coefficients, as discussed in Sect. 2.2. However, all sensitivity runs can reproduce the enhancement of relative contribution of sulfate in haze days (Fig. S1), implying the importance of heterogeneous chemistry. Laboratory measurements of uptake coefficients on the surfaces of mixed and deliquescent aerosols will help to confirm our findings in the future.

The evolution patterns of SNA simulated by the revised CMAQ are also generally consistent with other field observations on haze episodes in China, which confirmed the significance of heterogeneous chemistry in haze formation process over China. The enhanced SNA contribution in haze days compared to clean days were

also observed in other field campaigns, where the heterogeneous chemistry was attributed as the most probable pathway of observed abrupt increases in SNA aerosols as the oxidation rates of gas-phase and aqueous-phase chemistry were too slow (X. J. Zhao et al., 2013; Ji et al., 2014; Quan et al., 2014; Y. S. Wang et al., 2014). Strong correlations between RH and sulfur and nitrogen oxidation ratios (SOR and NOR) were found during haze episodes (X. Wang et al., 2012; Y. S. Wang et al., 2014; Sun et al., 2014; G. J. Zheng et al., 2014) with sharp increase of SOR and NOR when RH exceeds 50%, lending support to our assumptions in the revised CMAQ.

The revised CMAQ gives very similar OC and EC predictions as original CMAQ, with large underpredictions in OC during the haze episodes but overpredictions in EC throughout the simulation period for the reasons discussed previously in Sect. 4.2.2. The percent contributions for EC and OIN are also slightly decreased especially in the polluted and heavily polluted days. This is because the mode-averaging particle diameter is larger due to the enhanced formation of SNA when the heterogeneous reactions are included. The particle settling velocity is increased and thus dry deposition rates are larger, which helps reduce the overpredictions of these species.

4.4. Domain-wide Impact from the Implementation of Heterogeneous Chemistry

The simulation results with and without heterogeneous chemistry are compared over the whole domain to evaluate the impact of heterogeneous reactions during the January 2013 haze episode. Table 8 summarizes the statistical performance for surface concentrations of CO, NO_2, SO_2, $PM_{2.5}$, and PM_{10} from the simulation with original CMAQ and revised CMAQ for 74 cities in China. The original CMAQ model can generally reproduce the concentrations of aerosol and gaseous pollutants over the whole domain. The model underpredicts the concentrations of CO and PM_{10} with NMBs of −20.6% and −11.2%, respectively, and overpredicts those of SO_2, NO_2, and $PM_{2.5}$ with NMBs of 51.2%, 13.4%, and 8.1%, respectively. As expected, the overpredictions in SO_2 and NO_2 are improved in the revised CMAQ model because the added heterogeneous reactions enhance their conversions to sulfate and nitrate. The positive biases of SO_2 and NO_2 are reduced from 51.2% to 38.5% and 13.4% to 11.2%. We further found that high NMB in SO_2 prediction is mainly contributed by provincial capital cities. As the most developed cities within China, the provincial capital cities tend to prohibit coal use in urban areas or use high-quality coal with low

sulfur content, which has not been accurately represented in regional emission inventories which are compiled at the provincial level. As a result, SO_2 emissions from those capital cities may have been overestimated.

Figure 6 illustrates the concentration of SNA and $PM_{2.5}$ simulated by the original and revised CMAQ and Fig. 7 further explores the difference in heavily polluted regions. Heterogeneous chemistry enhances SNA concentrations significantly in the most polluted regions in China (the Northeast Plain (NP), NCP, Middle-Lower Yangtze Plain (MLYP), and Sichuan Basin (SB)), leading to the increased $PM_{2.5}$ concentration over those regions. In southern China (e.g., the Pearl River Delta (PRD)), sulfate concentration is still increased but nitrate concentration is decreased by 5–20 μg m^{-3}, resulting in a reduction of $PM_{2.5}$ concentration by 10–20 μg m^{-3}. The contrasting responses to heterogeneous chemistry in different regions are because of the complex thermodynamic processes of SNA formation, which differ greatly under NH_3-rich and NH_3-poor conditions. The polluted regions listed above (NP, NCP, MLYP, and SB) are all NH_3-rich regions (Wang et al., 2011; B. Zhao et al., 2013), as shown in Fig. 7a, which comprise 24.5% land areas in China but contribute to 47.4% cultivated lands (National Bureau of Statistics, 2013) and 48.3% NH_3 emissions (derived from the MEIC model). The abundant NH_3 emissions provide sufficient amounts of ammonium to neutralize the increased amounts of sulfate and nitrate formed through heterogeneous chemistry; therefore, the total amount of $PM_{2.5}$ in these regions increases with enhancement of both sulfate and nitrate. It causes the positive bias of simulated $PM_{2.5}$ to be larger in the NH_3-rich regions, mainly contributed by the overpredictions of EC and OIN. In southern China, which is an NH_3-poor region in January (Wang et al., 2011), sulfate and nitrate compete for ammonium and the formation of ammonium sulfate occurs first owing to its more thermodynamically stable characteristics, increased levels of sulfate would thus lead to a decrease of nitrate. This phenomenon could even lead to the decrease in the total concentration of $PM_{2.5}$, because to neutralize with the same amount of ammonium, the mass of sulfate required is smaller than that of nitrate.

4.5. Impact of Meteorology in 2013 on SNA Production

The haze episode in January 2013 was the most serious pollution event in recent years. Why it should happen in 2013 but not in other years is an intriguing question. Emissions of SO_2, NO_x, and $PM_{2.5}$ kept stable during 2011-2013 (derived from the

MEIC model), indicating that emissions are not the critical driving force. The anomalous meteorological conditions (low temperature, high RH, and low wind speed) in January 2013 are identified as the key influence factor of haze formation by affecting radiation, horizontal transport, vertical mixing, and the atmospheric reaction rates of air pollutants (Ding and Liu, 2014; Z. F. Wang et al., 2014). As described in Sect. 2.2, meteorological conditions (specifically RH) can affect heterogeneous chemistry by increasing the uptake coefficients of gases. In this section, the impact of the 2013 meteorological conditions on the production of sulfate and nitrate is evaluated using the revised CMAQ with heterogeneous chemistry.

The meteorological conditions of 2012 are selected to represent typical weather conditions because they were very close to the 10-year average climatology conditions with regard to temperature, RH, wind speed, and sea level pressure (data derived from http://cdc.cma.gov.cn) in the region of the NCP. Figure 8 illustrates the spatial distributions of the monthly mean temperature, RH, $PM_{2.5}$, sulfate, and nitrate simulated by the revised CMAQ with the meteorological fields of 2012 and 2013. The simulated temperature of 2013 in North and East China is 2–3 °C lower than that in 2012 and the simulated RH is 5–25% higher. High RH promotes heterogeneous conversions to generate more sulfate and nitrate and therefore, to increase the total concentration of $PM_{2.5}$. Significant differences in RH occur in the NCP region, where increases by 15–30% in RH correspond to increases of $PM_{2.5}$ concentration by 70–150 $\mu g\ m^{-3}$.

Traditional chemistry mechanisms play a relatively small role during haze formation because of the low solar radiation and low temperature conditions, and few precipitating clouds, whereas heterogeneous chemistry mechanisms are enhanced by the extremely high RH, which leads to the significant production of sulfate and nitrate aerosols. This provides a perspective to understand how adverse meteorological conditions can affect air quality through reaction pathways that are sensitive to specific meteorological variables. The meteorological anomaly of 2013 occurred not only for temperature and RH, but also for other variables, for example, the shallower PBL and lower wind speed than a typical year. The abnormal changes in these variables also have adverse effects on haze pollution. For example, the height of the PBL across China in 2013 was about 200 m lower than in 2012, which could weaken and confine the vertical mixing of pollutants and thus, aggravate surface pollution. Researches on the impact of these factors have been reported in other studies (e.g., Z.

579 F. Wang et al., 2014; R. H. Zhang et al., 2014).
580
581 ## 5. Summary and Conclusions
582 In this work, the WRF/CMAQ has been applied to simulate the January 2013
583 haze episode in China and evaluate the role heterogeneous chemistry played in the
584 formation of sulfate and nitrate during this episode. The simulations with the original
585 and the revised CMAQ are performed and evaluated. In the simulation by original
586 CMAQ, $PM_{2.5}$, SO_4^{2-}, NO_3^-, and NH_4^+ are underpredicted with NMBs of −21.9%,
587 −54.2%, −40.0%, and −58.1%, respectively, at the THU site. The incorporation of
588 additional heterogeneous chemistry into CMAQ v5.0.1 significantly improves the
589 model's capability in reproducing sulfate and nitrate concentrations, which are the
590 most important $PM_{2.5}$ compositions on polluted haze days. The revised CMAQ shows
591 better performances with NMBs of 0.4%, 6.3%, 5.7%, and −4.1%, for $PM_{2.5}$, SO_4^{2-},
592 NO_3^-, and NH_4^+, respectively, at the THU site. The MBs of sulfate and nitrate are
593 reduced, changing from −17.8 to 2.1 μg m^{-3} and from −12.3 to 1.8 μg m^{-3},
594 respectively. The revised CMAQ with enhanced heterogeneous chemistry not only
595 captures the magnitude and temporal variation of SNA concentrations, but also
596 reproduces the enhancement of SNA compositions from clean air to polluted haze
597 days, both of which indicate the significantly improved capability of the revised
598 model for haze studies. The revised CMAQ model is then used to evaluate the impact
599 of both heterogeneous chemistry on haze formation during January 2013 and of the
600 meteorological anomaly in 2013 on heterogeneous generation of sulfate and nitrate.
601 Compared with previous studies focusing on the haze episode of January 2013,
602 this work provides a unique method to explore the formation mechanisms of severe
603 haze by evaluating initial application of the original CMAQ, identifying missing
604 heterogeneous chemistry based on model performance, and then incorporating those
605 missing reactions into CMAQ. It thus provides a mechanistic level of understanding
606 of the formation mechanism of the severe regional haze pollution episode.
607 This study has several limitations. First, heterogeneous chemistry is implemented
608 into CMAQ with several assumptions. For example, a pseudo-first-order rate constant
609 is assumed for those reactions and the gas uptake coefficients are assumed to be
610 linearly correlated with RH. Those simplified treatments neglect the effects of
611 complex aerosol compositions and surface uptake, diffusion, and coating and reaction
612 processes, which could inevitably introduce errors and uncertainties in this work (Wei,

2010). As a consequence, for example, while the peak concentrations of sulfate and nitrate during the haze pollution event were sharp and occurred during a narrow time window, the revised CMAQ predicts lower and wider spread concentrations. The RH-dependent parameterization of uptake coefficients derived in this work can be refined to consider additional factors, such as temperature, aerosol compositions, amounts of metal catalyst, and surface conditions. In addition, some newly reported heterogeneous reactions, such as SO_2 oxidation promoted by NO_x (He et al., 2014) and OH derived from heterogeneous $ClNO_2$ production (Sarwar et al., 2014) can enhance SNA but have not yet been included in this work which should be incorporated into CMAQ in the future.

Second, there is a lack of sufficient site-specific hourly data for $PM_{2.5}$ and its composition, which are crucial to the model evaluation and improvement. For example, we do not have observation data to evaluate the predicted Fe and Mn in aerosols. The underprediction of Fe and Mn can contribute to the underprediction of sulfate, because the metal catalysis pathway is important for sulfate formation. Although this might not be a critical issue as the model can well predict sulfate concentration in clean days and the metal catalysis pathway is not dependent on relative humidity (Seinfeld and Pandis, 2006), more observed data for compositions of $PM_{2.5}$ is needed to comprehensively evaluate the model.

Finally, the WRF/CMAQ system used in this work is not online-coupled, which does not account for the feedbacks of chemistry and aerosol into meteorology. J. Wang et al. (2014) simulated the same episode using the online-coupled CMAQ and found that including aerosol feedback can increase total aerosol loadings during haze conditions and improve model performance, but lead to larger enhancement of primary aerosols than secondary aerosols, which is opposite to the observations. Online-coupled models with improved chemistry should be developed in the future. Addressing these uncertainties requires an integration of field studies, laboratory experiments, and modeling work by the entire community.

Acknowledgements

The work was supported by China's National Basic Research Program (2010CB951803 and 2014CB441301), the National Science Foundation of China (41222036 and 21221004), the Japan International Cooperation Agency, and the U.S.

646 DOE climate modeling programs (DESC0006695) at NCSU, U.S.A. We thank the
647 constructive comments from Dr. Muller and two anonymous reviewers.

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Table 1. Main reactions contributing to sulfate and nitrate production in original CMAQ and heterogeneous reactions newly added in revised CMAQ

Type	Reaction #.	Reaction	Contributions to $PM_{2.5}$
original CMAQ			
Gas-phase chemistry (All species in gas phase)	R1	$SO_2 + OH + H_2O + O_2 \rightarrow H_2SO_4 + HO_2$	Sulfate
	R2	$NO_2 + OH \rightarrow HNO_3$	Nitrate
	R3	$N_2O_5 + H_2O \rightarrow 2HNO_3$	Nitrate
	R4	$NO_3 + HO_2 \rightarrow HNO_3 + O_2$	Nitrate
	R5	NTR[a] + $OH \rightarrow HNO_3$	Nitrate
	R6	NO_3 + VOCs[b] $\rightarrow HNO_3$	Nitrate
Aqueous-phase kinetic chemistry (All species in aqueous phase)	R7	$HSO_3^- + H_2O_2 \rightarrow SO_4^{2-} + H^+ + H_2O$	Sulfate
	R8	HSO_3^- + MHP[c] $\rightarrow SO_4^{2-} + H^+$	Sulfate
	R9	HSO_3^- + PAA[d] $\rightarrow SO_4^{2-} + H^+$	Sulfate
	R10	$SO_2 + O_3 + H_2O \rightarrow SO_4^{2-} + 2H^+ + O_2$	Sulfate
	R11	$HSO_3^- + O_3 \rightarrow SO_4^{2-} + H^+ + O_2$	Sulfate
	R12	$SO_3^{2-} + O_3 \rightarrow SO_4^{2-} + O_2$	Sulfate
	R13	$SO_2 + H_2O + 0.5O_2$ + Fe(III)/Mn(II) $\rightarrow SO_4^{2-} + 2H^+$	Sulfate
Heterogeneous chemistry	R14	N_2O_5 (g) + H_2O (aq) $\rightarrow 2HNO_3$ (aq)	Nitrate
	R15	$2NO_2$ (g) + H_2O (aq) $\rightarrow$ HONO (aq) + HNO_3 (aq)	Nitrate
revised CMAQ			
Newly added heterogeneous chemistry	R16	H_2O_2 (g) + Aerosol $\rightarrow$ Products	Affect R7
	R17	HNO_3 (g) + Aerosol $\rightarrow 0.5NO_3^- + 0.5NO_x$ (g)	Renoxification
	R18	HO_2 (g) + Fe(II) $\rightarrow$ Fe(III) + H_2O_2	Affect R4 and R7
	R19	N_2O_5 (g) + Aerosol $\rightarrow 2NO_3^-$	Nitrate
	R20	NO_2 (g) + Aerosol $\rightarrow NO_3^-$	Nitrate
	R21	NO_3 (g) + Aerosol $\rightarrow NO_3^-$	Nitrate
	R22	O_3 (g) + Aerosol $\rightarrow$ Products	Affect R10–R12
	R23	OH (g) + Aerosol $\rightarrow$ Products	Affect R1–R2, R5
	R24	SO_2 (g) + Aerosol $\rightarrow SO_4^{2-}$	Sulfate

[a] NTR: organic nitrate.

[b] VOCs include: formaldehyde, acetaldehyde, propionaldehyde and higher aldehydes, cresol and higher molecular weight phenols, nitro cresol, aromatic ring open products, and isoprene oxidation products.

[c] MHP: methylhydroperoxide.

[d] PAA: peroxyacetic acid.

1031 Table 2. Domain, configurations, and major physical options used in WRF v3.5.1

Simulation period	Dec 2012 and Jan 2013
Domain	East Asia (Columns:178, Rows: 133) with 3 extra grids in each boundary of Domain 1 (Columns:172, Rows: 127)
Horizontal resolution	36 km
Vertical resolution	23 sigma levels from surface to tropopause (about 100 mb)
Meteorological IC and BC	Reanalysis data from the National Centers for Environmental Prediction Final Analysis (NCEP-FNL)
Shortwave radiation	New Goddard scheme (Chou et al., 1998)
Longwave radiation	The rapid radiative transfer model (RRTM) (Mlawer et al., 1997)
Land surface model	The USGS 24-category land use data
Surface layer	Pleim-Xiu land surface scheme (Xiu and Pleim, 2001)
Planetary boundary layer model	ACM2 PBL scheme (Pleim, 2007)
Cumulus parameterization	Kain-Fritsch cumulus scheme (Kain, 2004)
Cloud microphysics	WSM6 (Hong and Lim, 2006)
Analysis nudging	Temperature and water vapor mixing (above PBL); wind (in and above PBL)
Observational nudging	Temperature, water vapor mixing and wind (in and above PBL)
Soil nudging	Include soil moisture and temperature
FDDA data	NCEP Automated Data Processing (ADP) surface (ds461.0) and upper (ds351.0) air data

1032 Table 3. Domain, configurations, and options used in CMAQ v5.0.1

Simulation period	25 Dec 2012 to 31 Jan 2013
Domain	Domain 1 (Columns:172, Rows: 127)
Horizontal resolution	36 km
Vertical resolution	14 sigma levels from surface to tropopause. The values of sigma levels are: 1.000, 0.995, 0.988, 0.980, 0.970, 0.956, 0.938, 0.893, 0.839, 0.777, 0.702, 0.582, 0.400, 0.200, and 0.000.
IC and BC	GEOS-Chem 2° × 2.5° global simulation
Gas-phase mechanism	CB05 gas-phase mechanism with active chlorine chemistry and updated toluene mechanism of Whitten et al. (2010)
Aqueous-phase mechanism	The updated mechanism of the RADM model (Walcek and Taylor, 1986; Chang et al., 1987)
Aerosol module	AERO6
Photolytic rate	Calculate photolytic rates in-line using simulated aerosols and ozone concentrations
Cloud module	ACM cloud processor that uses the ACM methodology to compute convective mixing for AERO6
Windblown dust	The physical-based dust emission algorithm FENGSHA (http://www.airqualitymodeling.org/cmaqwiki/index.php?title=CMAQv5.0_Windblown_Dust)
Lightning NO_x	Not included, due to extremely low flash rates over the East Asia in winter (Schumann and Huntrieser, 2007)

1033 Table 4. Simulation design

Run Index	Emission	Meteorology	Model configuration	Purpose
Original CMAQ	Jan. 2013	Jan. 2013	original CMAQ	Examine the capability and limitation of the original model to study severe haze pollution
Revised CMAQ	Jan. 2013	Jan. 2013	revised CMAQ with heterogeneous chemistry	Evaluate the role of heterogeneous chemistry in haze pollution
Revised CMAQ with 2013Emis&2012Met	Jan. 2013	Jan. 2012	revised CMAQ with heterogeneous chemistry	Evaluate the impact of meteorological anomaly of 2013 on sulfate and nitrate production

1034

1035 Table 5. Observational data for model evaluation.

Dataset	Data	Variable[d]	Frequency	Site number	Time period	Sources
NCDC[a]	Meteorology	T2, RH2, WS10, WD10, Precip	Every 1 or 3 h	~1000	1–31 Jan 2013	ftp://ftp.ncdc.noaa.gov/pub/data/noaa/
CNEMC[b]	Gaseous and particulate species	SO_2, NO_2, CO, $PM_{2.5}$, and PM_{10}	Hourly	496	1–31 Jan 2013	http://113.108.142.147:20035/emcpublish/
THU[c]	Particulate species	$PM_{2.5}$, SO_4^{2-}, NO_3^-, NH_4^+, EC, OC	Hourly	1	1–31 Jan 2013	G. J. Zheng et al. (2014)

1036 [a] NCDC: Meteorological data obtained from the National Climate Data Center.

1037 [b] CNEMC: Gaseous and particulate concentrations obtained from the China National Environmental
1038 Monitoring Center.

1039 [c] THU: Particulate species concentration measured at Tsinghua University.

1040 [d] T2: Temperature at 2 m; RH2: Relative humidity at 2 m; WS10: wind speed at 10 m; WD10: wind
1041 direction at 10 m; Precip: daily Precipitation.

1042

1043 Table 6. Performance statistics of WRF simulation

	T2[a]	RH2[a]	WS10[a]	WD10[a]	Precip[a]
Data pairs[b]	385753	385103	385165	336507	488
MeanObs[b]	−0.2	67.5	2.7	227.1	1.8
MeanSim[b]	−1.1	74.1	3.0	205.6	2.9
R[b]	1.0	0.7	0.6	0.3	0.4
MB[b]	−0.8	6.7	0.3	−21.6	1.1
RMSE[b]	3.5	14.9	2.1	177.2	7.9
NMB(%)[b]	−389.5	9.9	9.5	−9.5	58.8
NME(%)[b]	1211.3	17	57.9	41.9	145

1044 [a] Definitions of these variables can be found in the footnotes of Table 5. The units of T2, RH2, WS10,
1045 WD10, and Precip are °C, %, m s^{-1}, degree, and mm day^{-1}, respectively. The T2, RH2, WS10 and
1046 WD10 are evaluated using hourly data and the Precip is evaluated using daily data.
1047 [b] Data pairs: the number of observed and simulated data pairs; MeanObs: mean observational data;
1048 MeanSim: mean simulation results; R: correlation coefficient; MB: mean bias; RMSE: root mean
1049 square error; NMB: normalized mean bias; NME: normalized mean error.

1050 Table 7. Performance statistics of the original and revised CMAQ model at the THU
1051 site

		$PM_{2.5}$[a]	SO_4^{2-}	NO_3^-	NH_4^+	EC	OC
Obs		186.0	32.8	30.7	20.8	4.2	47.3
Original CMAQ	MeanSim	145.2	15.0	18.4	8.7	12.3	35.3
	R	0.8	0.6	0.8	0.7	0.6	0.8
	MB	−40.8	−17.8	−12.3	−12.1	8.2	−12.0
	RMSE	102.3	30.5	19.6	18.5	9.0	19.9
	NMB(%)	−21.9	−54.2	−40.0	−58.1	196.2	−25.3
	NME(%)	33.8	57.4	42.0	59.0	196.2	29.2
Revised CMAQ	MeanSim	186.8	34.8	32.4	19.9	11.8	34.2
	R	0.8	0.7	0.8	0.8	0.6	0.7
	MB	0.8	2.1	1.8	−0.8	7.6	−13.1
	RMSE	83.3	21.2	14.6	11.6	8.4	21.2
	NMB(%)	0.4	6.3	5.7	−4.1	183.0	−27.8
	NME(%)	33.1	46.8	35.3	39.4	183.8	31.7

1052 [a] The units of $PM_{2.5}$, SO_4^{2-}, NO_3^-, NH_4^+, OC, and EC are all μg m^{-3}.

1053

1054 Table 8. Domain-wide performance statistics of the original and revised CMAQ

	CO[a]		NO_2[a]		SO_2[a]		$PM_{2.5}$[a]		PM_{10}[a]	
	Original	Revised	Original	Revised	Original	Revised	Original	Revised	Original	Revised
Data pairs	9338	9338	9366	9366	9384	9384	9335	9335	9143	9143
MeanObs	2.3	2.3	66.9	66.9	86.7	86.7	142.9	142.9	202.2	202.2
MeanSim	1.8	1.8	75.8	74.3	131.0	120.0	154.4	180.2	179.5	203.3
R	0.5	0.5	0.5	0.4	0.4	0.4	0.6	0.6	0.6	0.6
MB	−0.5	−0.5	9.0	7.5	44.4	33.4	11.5	37.3	−22.7	1.2
RMSE	1.5	1.5	35.3	34.1	119.1	110.5	86.9	111.0	116.1	122.5
NMB(%)	−20.6	−20.5	13.4	11.2	51.2	38.5	8.1	26.1	−11.2	0.6
NME(%)	43.3	43.3	41.9	39.4	91.6	84.6	41.3	54.3	38.1	42.4

1055 [a] The units of CO, NO_2, SO_2, $PM_{2.5}$, and PM_{10} are mg m^{-3}, μg m^{-3}, μg m^{-3}, μg m^{-3}, and μg m^{-3},
1056 respectively.

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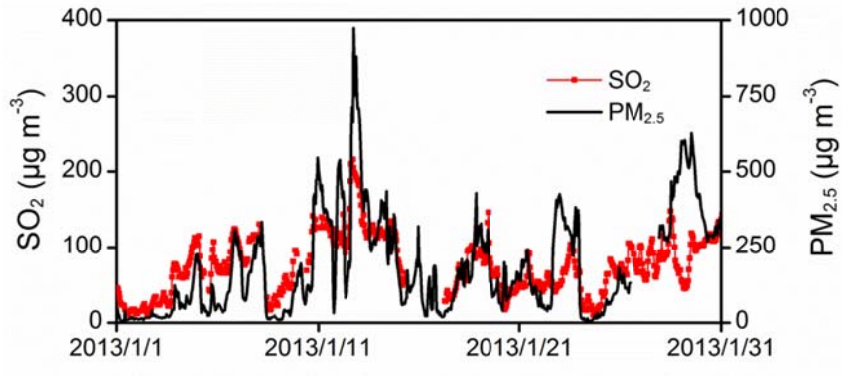


1061

1062 Figure 1. Observed concentrations of SO_2 and $PM_{2.5}$ during January 2013 in Beijing.

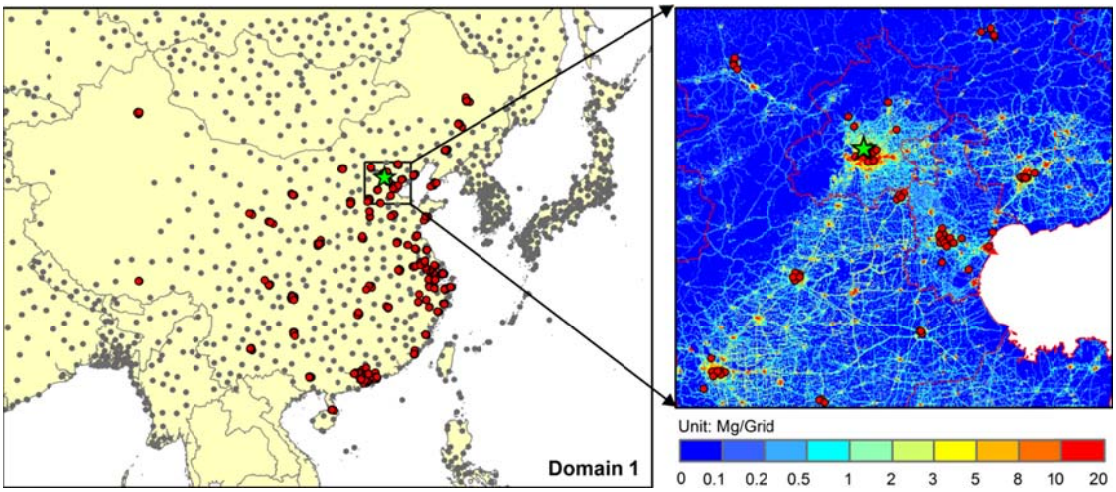
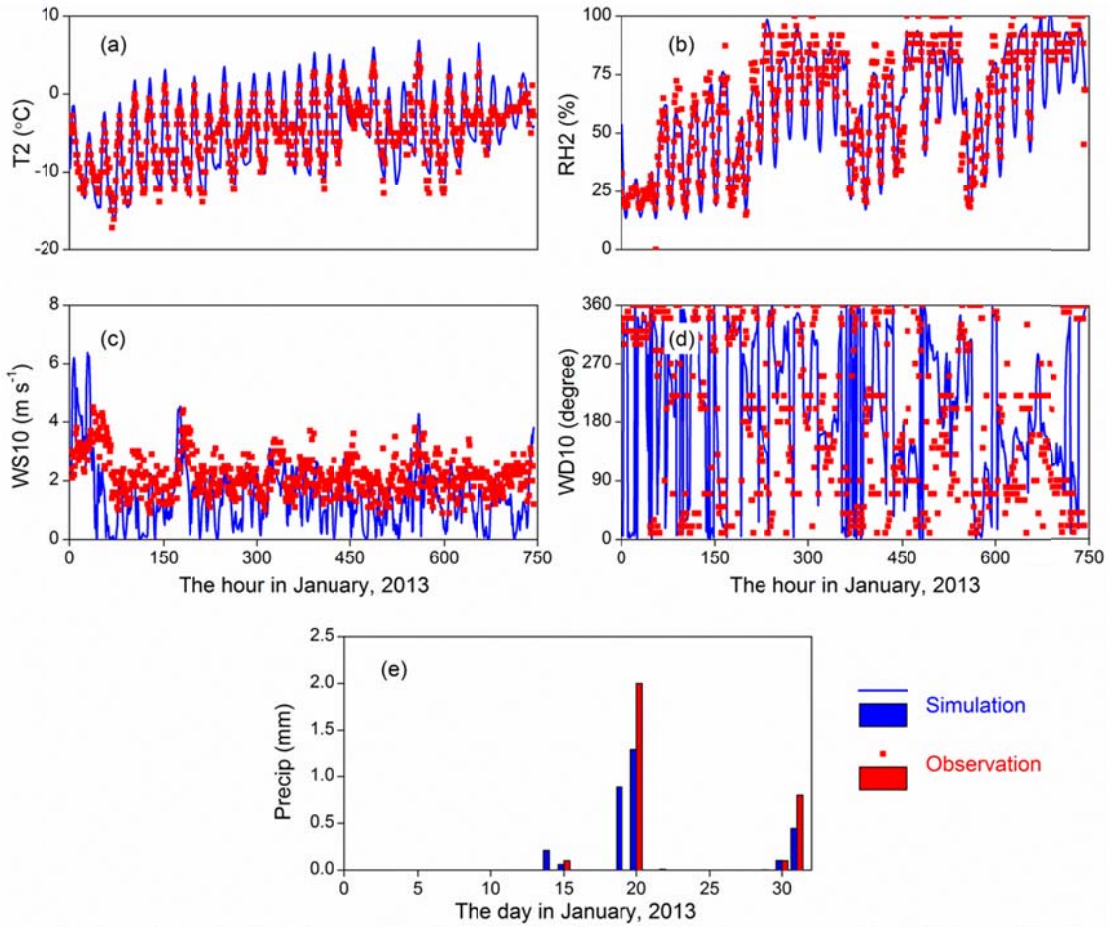


Figure 2. Simulation domain (Domain 1) and the monitoring stations. Gray circles are meteorological stations included in the NCDC dataset and red circles are monitoring stations included in the CNEMC dataset. Green star is the monitoring station at THU. Background in the enlarged map is NO_x emission inventory of January 2013 at a horizontal resolution of 1 km.



1069

1071 Figure 3. Observed and simulated meteorological variables at THU site: (a) hourly
1072 T2; (b) hourly RH2; (c) hourly WS10; (d) hourly WD10; (e) daily Precip.

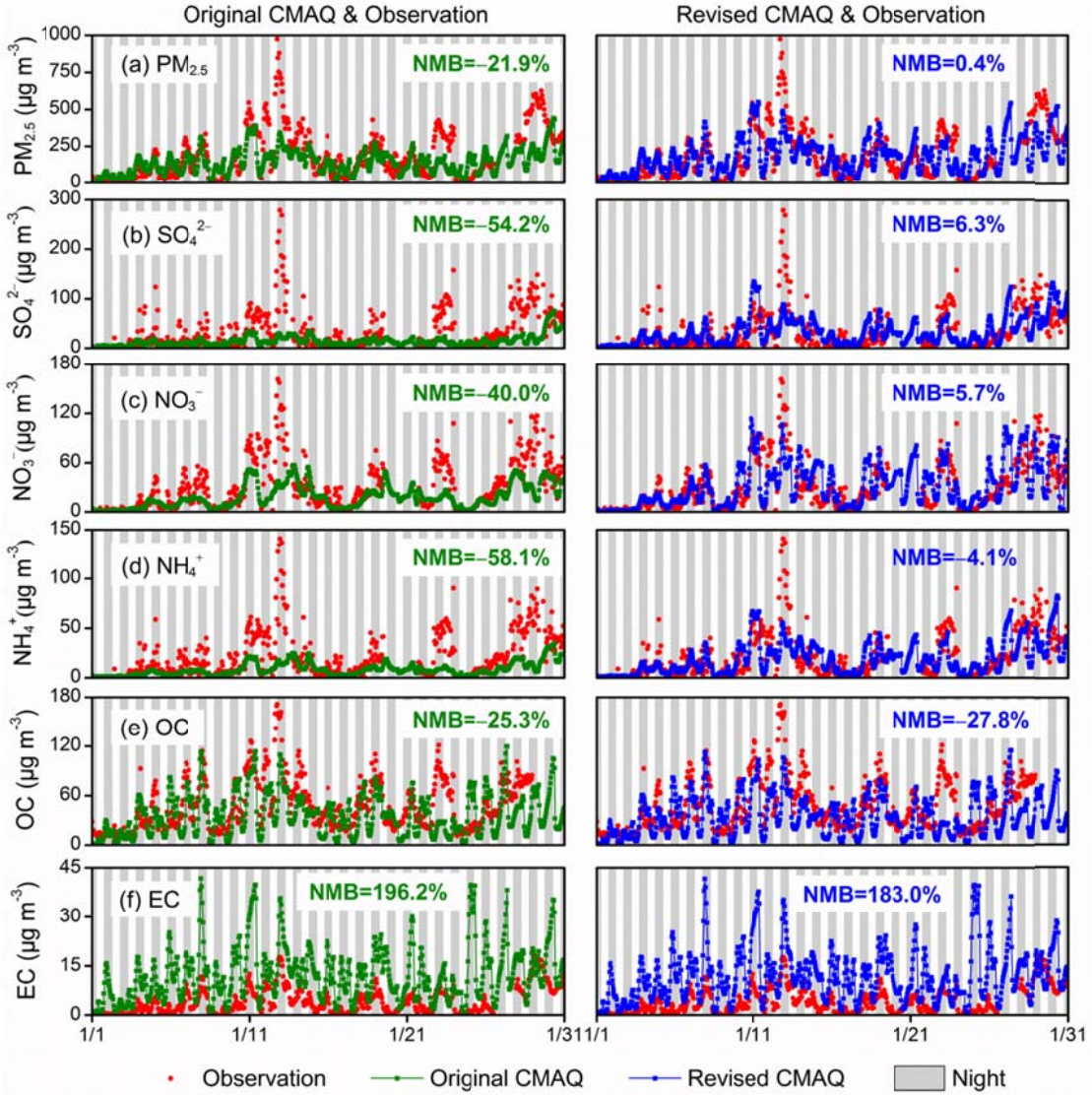
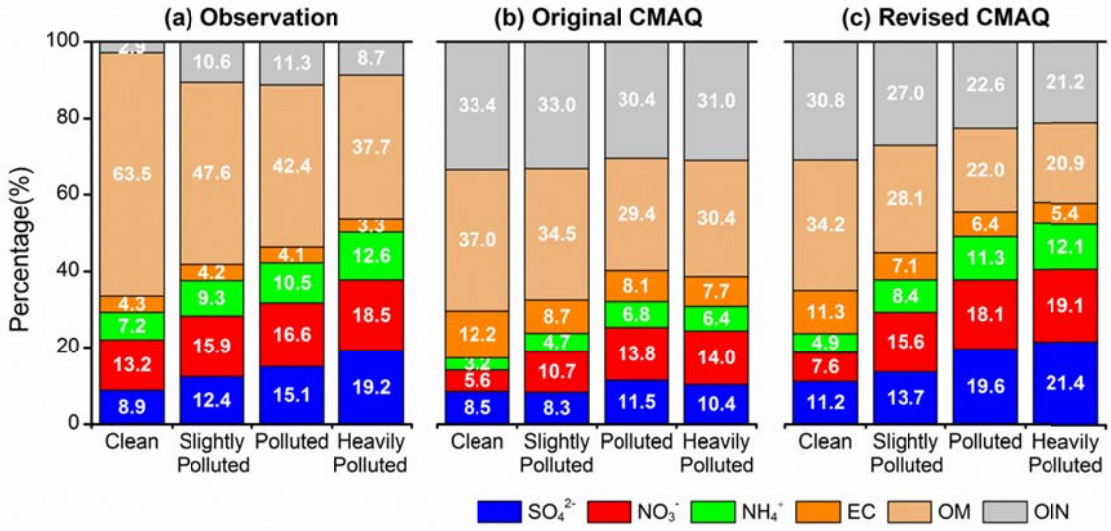


Figure 4. Observed and simulated hourly aerosol compositions from the original and revised CMAQ at the THU site: (a) $PM_{2.5}$; (b) SO_4^{2-}; (c) NO_3^-; (d) NH_4^+; (e) OC; (f) EC.



1076

1084 Figure 5. Percentile compositions of major components in $PM_{2.5}$ derived from (a)
1085 Observation; (b) Original CMAQ; (c) Revised CMAQ with enhanced heterogeneous
1086 chemistry. The pollution is classified into four types: clean ($PM_{2.5} \leq 35$ μg m^{-3}),
1087 slightly polluted ($35 < PM_{2.5} \leq 115$ μg m^{-3}), polluted ($115 < PM_{2.5} \leq 350$ μg m^{-3}), and
1088 heavily polluted ($PM_{2.5} > 350$ μg m^{-3}), based on the China's Air Quality Index (AQI)
1089 level definition.
1090 (http://kjs.mep.gov.cn/hjbhbz/bzwb/dqhjbh/jcgfffbz/201203/W020120410332725219
1091 541.pdf)

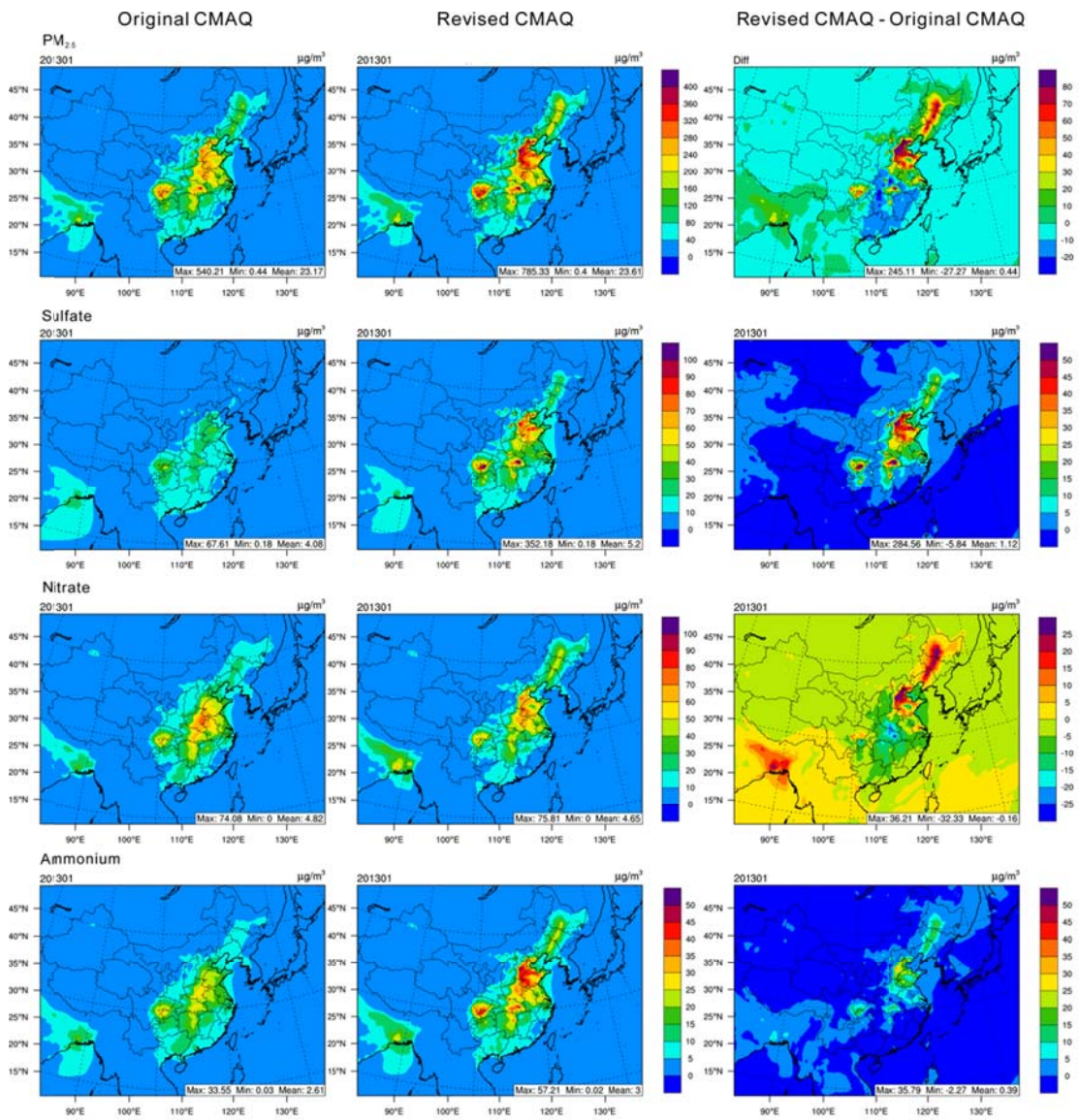


Figure 6. Spatial distributions of monthly (January 2013) mean concentrations of $PM_{2.5}$, sulfate, nitrate, and ammonium simulated by the Original CMAQ (left), Revised CMAQ (middle), and the differences between the Revised and Original CMAQ (right).

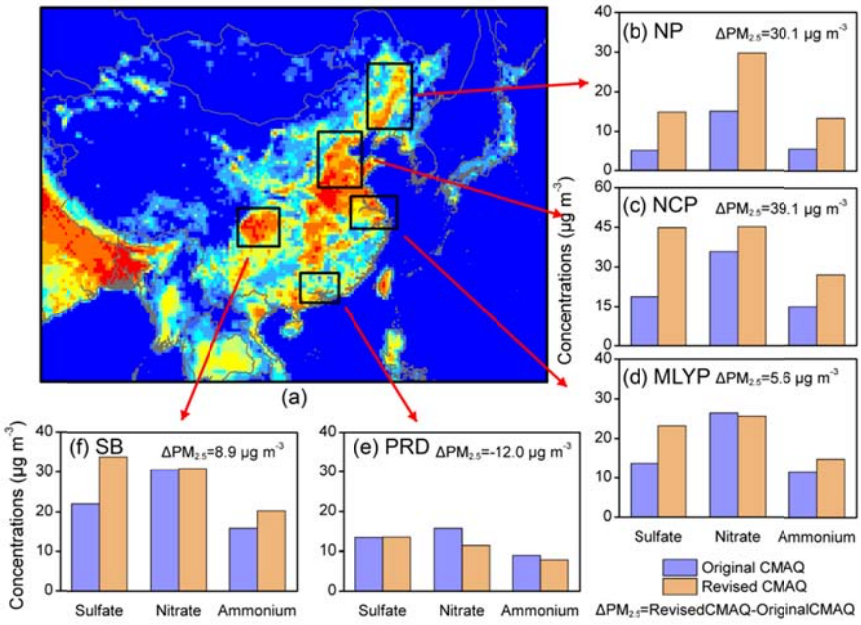


Figure 7. Comparison of predicted SNA from Original and Revised CMAQ for (b) NP, (c) NCP, (d) MLYP, (e) PRD and (f) SB. The figure (a) is the emission map of NH_3 in January 2013 at a horizontal resolution of 36 km (source: MEIC model).

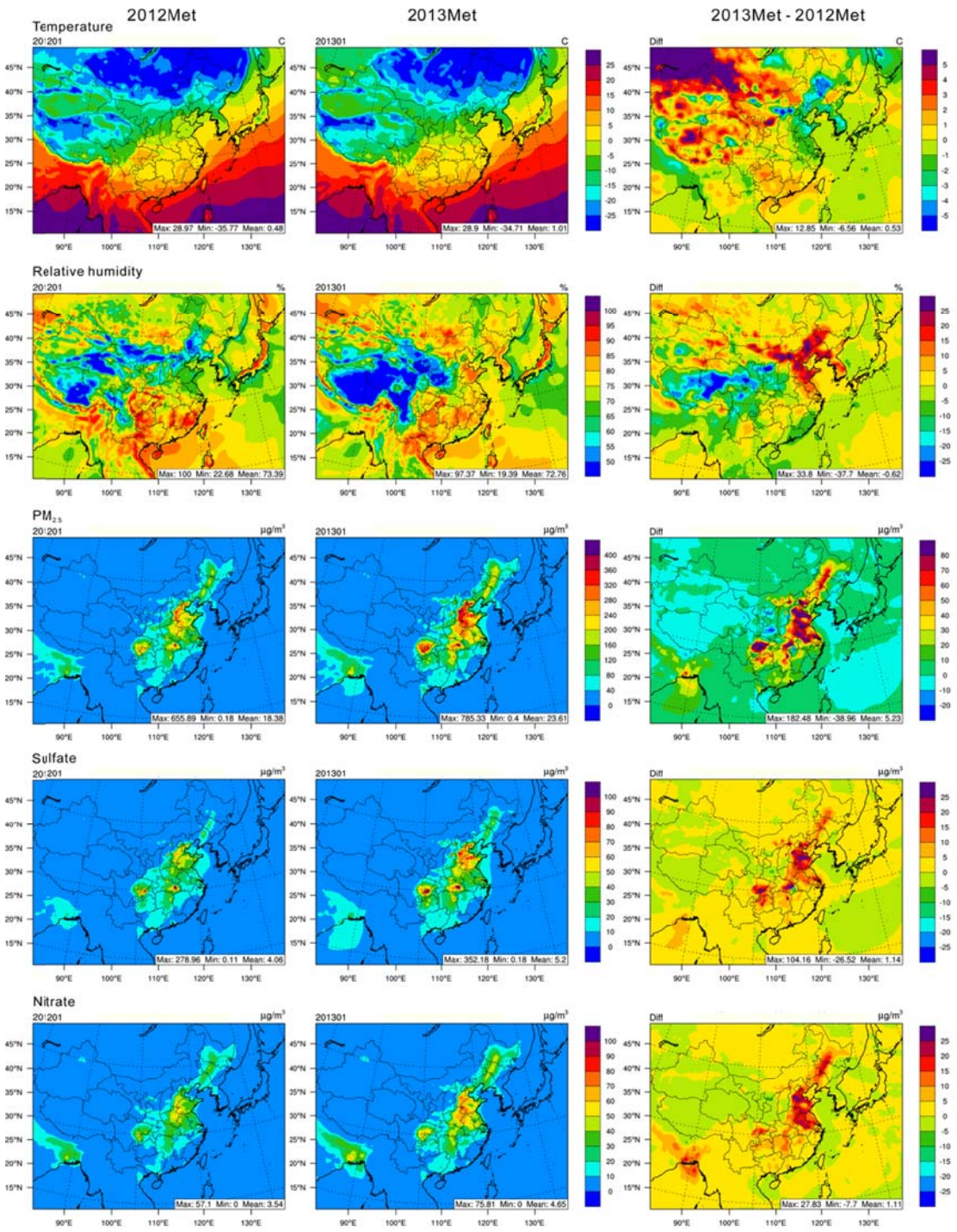


Figure 8. Spatial distributions of the monthly (January 2013) mean temperature, RH, and concentrations of $PM_{2.5}$, sulfate, and nitrate simulated by the revised CMAQ model with meteorological fields of 2012 (left) and 2013 (middle), and the differences between these two simulations (right).