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4 Urban-rural interactions in a South Korean forest in ozone
5 and oxygenated volatile organic compound formation
6 perspectives
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46 **Abstract**

47 Rapid urbanization and economic development in East Asia in past decades has
48 led to photochemical air pollution problems such as excess photochemical ozone and
49 aerosol formation. Asian megacities such as Seoul, Tokyo, Shanghai, Gangzhou, and
50 Beijing are surrounded by densely forested areas and recent research has consistently
51 demonstrated the importance of biogenic volatile organic compounds (VOCs) from
52 vegetation in determining oxidation capacity in the suburban Asian megacity regions.
53 Uncertainties in constraining tropospheric oxidation capacity, dominated by hydroxyl
54 radical, undermine our ability to assess regional photochemical air pollution problems.
55 We present an observational dataset of CO, NO_x, SO₂, ozone, HONO, and VOCs
56 (anthropogenic and biogenic) from Taehwa Research Forest (TRF) near the Seoul
57 Metropolitan Area (SMA) in early June 2012. The data show that TRF is influenced both
58 by aged pollution and fresh BVOC emissions. With the dataset, we diagnose HO_x (OH,
59 HO₂, and RO₂) distributions calculated using the University of Washington Chemical
60 Box Model (UWCM v 2.1) with near-explicit VOC oxidation mechanisms from MCM
61 3.2 (The Master Chemical Mechanism). Uncertainty from unconstrained HONO sources
62 and radical recycling processes highlighted in recent studies is examined using multiple
63 model simulations with different model constraints. The results suggest that 1) different
64 model simulation scenarios cause systematic differences in HO_x distributions especially
65 OH levels (up to 2.5 times) and 2) radical destruction (HO₂+HO₂ or HO₂+RO₂) could be
66 more efficient than radical recycling (HO₂+NO) especially in the afternoon. Implications
67 of the uncertainties in radical chemistry are discussed with respect to ozone-VOC-NO_x
68 sensitivity and VOC oxidation product formation rates. Overall, the VOC limited regime

69 in ozone photochemistry is assessed but the degree of sensitivity can significantly vary
70 depending on the model scenarios. The model results also suggest that RO₂ levels are
71 positively correlated with oxygenated VOCs (OVOCs) production that is not routinely
72 constrained by observations. These unconstrained OVOCs can cause higher than
73 expected OH loss rates (missing OH reactivity) and secondary organic aerosol formation.
74 The series of modeling experiments constrained by observations strongly urge
75 observational constraint of the radical pool to enable precise understanding of regional
76 photochemical pollution problems in the East Asian megacity region.

77 **1. Introduction**

78 NO_x (NO+NO₂) and volatile organic compounds (VOCs) are two important
79 precursors that drive HO_x radical cycles (Levy, 1971). In the presence of NO_x, VOC
80 oxidation processes recycle OH and produce photochemical oxidation products such as
81 ozone and oxygenated VOCs (OVOCs). This reaction cycle is highly non-linear. For
82 example, excess NO₂ may expedite nitric acid formation (R1), limiting ozone production.
83 In the same context, excess VOCs may expedite peroxy radical production (R2), which
84 limits OH regeneration from peroxy radicals.

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89 The non-linearity in tropospheric photochemistry has been relatively well studied
90 in the urban regions of developed countries and applied in ozone reduction policy. The
91 Los Angeles Metropolitan Area has accomplished significant ozone reduction by
92 implementing aggressive emission reductions of both NO_x and VOC especially from
93 mobile sources (Ryerson et al., 2013). The remarkable ozone abatement was possible due
94 to the fact that there is no significant pollution transport from other metropolitan areas
95 and no significant natural emission sources especially volatile organic compounds from
96 vegetation (BVOCs; biogenic volatile organic compounds) compared with anthropogenic
97 VOC mostly from mobile sources (Pollack et al., 2013; Huang et al., 2013). In the late 80s,
98 Trainer et al. (1987) first demonstrated the importance of isoprene (C₅H₈) as a peroxy
99 radical source that can contribute significant ozone production in rural areas. The

100 importance of isoprene in ozone production in urban areas has also been highlighted, e.g.
101 in the Atlanta Metropolitan Area (Chameides et al., 1988).

102 Isoprene is a hemiterpenoid species and is the globally dominant VOC emission
103 from vegetation (Arneth et al., 2011;Guenther, 2013). Arguably, isoprene is the most
104 frequently studied BVOC from the perspective of atmospheric oxidation processes and
105 their implications for ozone and aerosol formation. However, significant uncertainty
106 hinders assessing the roles of isoprene in regional and global photochemistry in three
107 fronts. First, there is still significant uncertainty in estimating emission rates from each
108 individual plant species on regional scales (Guenther, 2013). Second, limited isoprene
109 inter-comparison results (Barket et al., 2001) suggest that there are large systematic
110 biases among different analytical techniques. Lastly, recent laboratory, theoretical and
111 field observations suggest significant uncertainty in tropospheric isoprene oxidation
112 processes initiated by OH. Until early 2000, it was thought that three first generation
113 isoprene oxidation products (methyl vinyl ketone, methacrolein, and formaldehyde) from
114 OH oxidation were enough to constrain isoprene tropospheric oxidation processes for
115 modeling purposes (e.g. Spaulding et al. (2003) and references therein). This is an
116 interesting evolution of thoughts considering that Paulson and Seinfeld (1992), one of
117 pioneering works describing isoprene oxidation, clearly claimed that 22 % of first
118 generation isoprene oxidation products from the reaction with OH was not identified and
119 likely included multifunctional C5 compounds. Recent advances in analytical techniques
120 (Kim et al., 2013a) have shown that indeed significant C5-hydroxy carbonyl (e.g.
121 isoprene hydroperxyenals, HPALD) and peroxide compounds are produced as first
122 generation isoprene oxidation products (Crouse et al., 2011;Paulot et al., 2009;Wolfe et

123 al., 2012;Zhao and Zhang, 2004). The product yields appeared to be a strong function of
124 NO concentrations (Peeters and Muller, 2010). In general, at low to intermediate NO
125 levels (~ 100 pptv or lower), the yields of C5-hydroxy carbonyl compounds become
126 higher. These new findings in the isoprene oxidation process are also closely related with
127 recent findings in unexpectedly high OH concentrations (Hofzumahaus et al.,
128 2009;Lelieveld et al., 2008) and substantial missing OH sinks also known as
129 unexpectedly high OH reactivity in high isoprene environments (Di Carlo et al.,
130 2004;Edwards et al., 2013;Kim et al., 2011;Lou et al., 2010).

131 These new findings have significant implications for regional air quality
132 especially regarding photochemical ozone and SOA production. Despite the strong
133 anthropogenic pollutant emissions in East Asia (China, Japan and South Korea), recent
134 research has shown that isoprene accounts for a major OH chemical sink in suburban
135 areas near Beijing (Ran et al., 2011), the Pearl River Delta region (Lu et al., 2012), Taipei,
136 Taiwan (Chang et al., 2014) and Seoul (Kim et al., 2013d;Kim et al., 2013b).
137 Consequently, modeling studies also clearly show that isoprene contributes significantly
138 to ozone formation in Asian megacity regions. Kim et al. (2013d) reported that simulated
139 ozone levels with isoprene chemistry are up to 30 % higher than ozone simulation
140 without isoprene chemistry using the WRF-Chem model, indicating an urgent need to
141 implement improved isoprene chemistry schemes in these models in order to simulate the
142 unexpected higher levels of OH in isoprene rich environments. This could become an
143 especially serious issue as Hofzumahaus et al. (2009) reported significantly higher (~ 2.6
144 times at noon) than expected OH levels in the Pearl River Delta region in China.
145 Therefore, the current assessments based on the conventional OH photochemistry could

146 significantly misdiagnose regional air-quality status and mislead policy implementation
147 to reduce photochemical air pollution in the East Asian region. Furthermore, as the
148 importance of BVOC in regional air-quality issues in ozone and SOA formation has been
149 also highlighted in Europe and North America, the uncertainty in isoprene
150 photochemistry has significant implications in urban and suburban air quality in general
151 (Zhang et al., 2008a; Sartelet et al., 2012).

152 We present atmospheric observations of NO_x , CO, VOCs, ozone, and HONO in
153 the Taehwa Research Forest (TRF) in the Seoul Metropolitan Area (SMA), South Korea.
154 We use observed data from June, 2013 to conduct observationally constrained box model
155 (University of Washington Chemical Box Model; UWCM) calculations to estimate OH,
156 HO_2 and RO_2 concentrations with different sets of observational parameters. We discuss
157 current uncertainty in OH-isoprene photochemistry with perspectives of constraining
158 photochemical ozone production and OVOCs precursors of secondary organic aerosols.

159

160 2. Methods

161 The Taehwa Research Forest (TRF) is located ~ 35 km from the center of Seoul,
162 South Korea. The TRF is located at the southeastern edge of the Seoul metropolitan Area
163 (SMA, population of ~ 23 million). TRF has a sampling tower located in the middle of a
164 coniferous tree plantation (200 m by 200 m) with the canopy height of 18 m (*Pinus*
165 *koraiensis*) surrounded by a deciduous forest mostly composed by oak. The TRF
166 instrumentation has previously been described by Kim et al. (2013d) along with the
167 previous trace gas observational results. Therefore, just brief descriptions of analytical
168 techniques are given in this paper.

169

170 **2.1. CO, NO_x, SO₂, ozone, VOCs, and meteorological parameters**

171 Thermo Fisher Scientific Enhanced Trace Level Gas Analyzers are used for CO,
172 NO_x, SO₂, and ozone observations as summarized Table 1. VOC observations are
173 conducted by a High-Sensitivity Proton Transfer Reaction-Mass Spectrometer (PTR-MS,
174 Ionicon GmbH). The atmospheric application of this technique is thoroughly reviewed by
175 de Gouw and Warneke (2007). In addition, the instrument suite at TRF is thoroughly
176 described in (Kim et al., 2013d). PTR-MS can quantify atmospheric VOCs that have
177 higher proton affinity than the proton affinity of H₂O (691 kJ mol⁻¹). Most alkanes have
178 lower proton affinity than water but alkene, aromatic and some OVOCs have higher
179 proton affinity and are suitable for quantification using PTR-MS (Blake et al., 2009).
180 These compounds are more reactive than alkane compounds so PTR-MS has capability to
181 observe reactive atmospheric compounds. The TRF PTR-MS system was set to measure
182 acetaldehyde, acetone, acetic acid, isoprene, methylvinylketone (MVK) + methacrolein
183 (MACR), MEK, benzene, xylene (*p*, *m*, and *o*), and monoterpenes (MT). Each compound
184 was set to be monitored for 1 second each resulting in a sample cycle of 15 seconds.
185 Lower detection limits for the observed VOCs are estimated to be 20 ppt for a 5 second
186 integration with sensitivity of 70 counts ppb⁻¹ (2 σ). The uncertainty is estimated as 12 %
187 (2 σ) for the same integration time. Meteorological parameters such as temperature and
188 humidity are monitored by LSI LASTEM Meteorological Sensors. All the presented data
189 is from the 15 m (the canopy height is 18 m) sampling line and meteorological sensors
190 collocated at this height too.

191 PTR-MS with a quadrupole mass filter has an intrinsic limitation that isobaric
192 compounds are all collectively quantified with the same channel (m/z) with a resolution
193 of unit mass. This limitation particularly becomes an issue for investigating the roles of
194 different isomers of MT and sesquiterpenes (SQTs) in photochemistry. For this reason,
195 we also occasionally collect sorbent cartridge samples to analyze MT and SQT speciation
196 in both ambient air and branch enclosure emissions near the sampling tower. As
197 described in (Kim et al., 2013d), Tenax GR and Carbotrap 5TD packed sorbent cartridges
198 (Markes Int, Llanstrisant, UK) were used for sampling. The sampled cartridges were
199 shipped to National Center for Atmospheric Research (NCAR), Boulder CO, USA for
200 gas chromatography-mass spectrometer (GC-MS) analysis. An Agilent 7890 GC/5975 C
201 Electron Impact Mass Spectrometer (GC-MS/FID) in conjunction with a MARKES
202 Unity1/Ultra thermal desorption system optimized for terpenoid analysis quantifies
203 speciated MT and SQT in the sorbent samples. Cartridge samples are both collected from
204 ambient and branch enclosure air. Ambient samples were collected in the mid-day to
205 early afternoon with a volume of 6 L. Ozone in the ambient air was removed using a
206 Na_2SO_3 filter. Branch enclosure samples were also collected, mostly in the mid-day time
207 frame, with a volume of 1 L without an ozone filter as zero air was introduced to the
208 branch enclosure. To explore the diurnal differences in BVOC emissions, some branch
209 enclosure sampling was conducted continuously for three consecutive days in mid June
210 of 2013. We present these analytical results from GC-MS analysis limited to the
211 qualification purpose to examine MT and SQT speciation.

212

213 **2.2 HONO quantification**

214 HONO was measured with an ion chromatography (IC) coupled with diffusion
215 scrubber. Air was introduced to diffusion scrubber (Lab solutions Inc., IL, USA) through
216 a 2 m PFA tubing (1/4" i.d.) at 1.5 L m^{-1} using a filtered orifice restrictor (F-950, air
217 logic, WI, USA). Air flowing through diffusion scrubber interfaced with deionized water,
218 into which HONO was extracted. 50 μL of solution was injected into the IC system
219 through a PEEK loop (Rheodyne, WA, USA) and 6-way valve (EV750-100, Rheodyne,
220 WA, USA). Eluent was a mixture of Na_2CO_3 and NaHCO_3 , which was pumped by a
221 HPLC pump (DX-100, Dionex, CA, USA) into a guard column (Ionpax® AG 14,
222 4x50mm, Dionex, CA, USA) and then analytical column (Ionpax® AS 14, 4x250mm,
223 Dionex, CA, USA). The column effluent passed through a suppressor (ASRS 300,
224 Dionex, CA, USA) and HONO was detected as nitrite ion in conductivity detector (550,
225 Alltech, IL, USA). The entire measurement processes of sampling, chemical analysis, and
226 data acquisition were controlled by a digital timer and data acquisition software
227 (DSchrom-n, DS science, Korea), by which we obtained two measurements every hour.
228 The system was calibrated using a NO_2^- standard solution (Kanto chemical Co., Inc.,
229 Tokyo, Japan) whenever reagents were replaced. The detection limit was 0.15 ppb
230 estimated from 3σ of the lowest working standard. Specific analytical characteristics are
231 described in Simon and Dasgupta (1995) and Takeuchi et al. (2004).

232

233 **2.3 UWCM box model**

234 UWCM 2.1 is an open source box model coded by MATLAB (MathWorks®).
235 The model platform can be downloaded from a website
236 (<http://sites.google.com/site/wolfegm/code-archive>). The box model is embedded its own

237 HO_x (OH+RO₂)-RO_x (peroxyradical and alkoxy radical)-NO_x coupling chemical
238 mechanism. UWCM utilizes Master Chemical Mechanism version 3.2 (MCM 3.2)
239 (Jenkins et al., 1997; Saunders et al., 2003) for near-explicit VOC photo-oxidation
240 schemes. A more detailed model description can be found in Wolfe and Thornton (2011).
241 To minimize uncertainty from the parameterizations of transport and emission, we
242 constrained relatively long-lived trace gases presented in Figure 1. This box modeling
243 technique has been commonly used for examination of OH levels that can be justified by
244 the short chemical lifetime of OH (Kim et al., 2014;Kim et al., 2013c;Mao et al.,
245 2012;Mao et al., 2010). Recently developed isoprene photo-oxidation mechanisms
246 shown in Archibald et al. (2010) are also incorporated in the model. In addition, Kim et al.
247 (2013c) and Wolfe et al. (2013) applied the model in the identical fashion as used for this
248 study to probe radical distributions using comprehensive observational datasets. This
249 study used the UWCM to simulate the diurnal variations of radical pool (OH+HO₂+RO₂)
250 distributions as observational parameters such as CO, NO_x, ozone, and VOCs are
251 constrained. To fully account for roles of OVOCs in the box model as radical sources, we
252 simulated three consecutive days and presented diurnal variations from the third day. The
253 specific parameters, constrained by observations are described in section 2.1 and 2.2.

254

255 **3. Results**

256 **3.1. Observational Results**

257 Diurnal averages of observed trace gases (June 1st 2012 to June 6th 2012) are
258 shown in Figure 1. The TRF observatory is in continuous operation and we choose this
259 six day period because a regional high-pressure system caused a stagnant air pollution

260 event in this period. In the center of Seoul (the real-time data available at
261 <http://www.airkorea.or.kr>), carbon monoxide was observed in the similar levels during
262 the focused period (June 1st to June 6th, 2012). On the other hand, the NO₂ level observed
263 in central Seoul was much higher (20-50 ppb) compared with observed levels at TRF.
264 The reason can be attributed to differences between the chemical lifetime of CO (~a
265 month) and NO₂ (~a few hours to a day). The observations clearly indicate that the TRF
266 is not directly influenced by fresh SMA pollution plumes although the TRF is very close
267 to the center of Seoul (30 km away from the city center) as a regional modeling study
268 shows most of CO and NO_x sources are located in the city center (Ryu et al., 2013).
269 Similar observations were also reported for other East Asian megacities such as Beijing
270 (Ma et al., 2012), where ~ 30 ppb and ~ 15 ppb of NO₂ were observed at noon in the
271 urban and the adjacent rural sites, respectively. In contrast, there were no noticeable
272 differences in CO levels between the urban and rural sites (~ 1-2 ppm). The observed
273 CO, NO_x and SO₂ levels in TRF were much lower than those observed in the suburban
274 regions of Chinese megacities such as Beijing (Ma et al., 2012), Shanghai (Tie et al.,
275 2013), and the Pearl River Delta Region (Lu et al., 2012) and similar with the observed
276 levels in Tokyo, Japan (Yoshino et al., 2012).

277 Previous VOC observations in the SMA consistently have shown that toluene is
278 the dominant anthropogenic VOC followed by other aromatic compounds such as xylene
279 and benzene (Kim et al., 2012; Na and Kim, 2001). Na and Kim (2001) reported high
280 concentrations of propane from house hold fuel use. However, recent observation results
281 from the photochemical pollution observational network managed by National Institute of
282 Environmental Research (NIER) of South Korea in the SMA clearly indicate that propane

283 levels have declined and are now much lower than the levels previously observed (NIER,
284 2010). This is probably caused by the implementation of a policy changing household
285 fuel sources from propane to methane. Kim et al. (2012) presented detailed aromatic
286 VOC distributions in the SMA from four different urban observational sites. In average,
287 toluene concentrations were observed ~ 7 times higher than the observed levels of xylene
288 and benzene. At the TRF, a similar anthropogenic VOC speciation distribution was
289 observed as shown in Figure 1. The observed toluene and MEK (methyl ethyl ketone)
290 mixing-ratios were much higher than benzene and xylene. MEK is detected in m/z of 73^+
291 by PTR-MS. Although methyl glyoxal, an atmospheric VOC oxidation product, is also
292 detected on the same mass, we assumed that 73^+ of m/z signals are mostly from MEK, an
293 anthropogenic VOC, since the temporal variation follows that of anthropogenic VOC
294 such as toluene and xylene. In addition, atmospheric lifetime of methyl glyoxal is much
295 shorter than MEK.

296 As the observation facility is located in the middle of a pine tree plantation (*Pinus*
297 *koraiensis*), monoterpenes (MT) are consistently observed. The temporal variation of
298 monoterpenes is affected by the planetary boundary layer evolution with a pattern of
299 higher MT levels during night than those of mid-day as has been often reported in other
300 forest environments (Bryan et al., 2012; Kim et al., 2010) This can be explained by
301 interplays between boundary layer evolution and temperature dependent MT emission. It
302 should also be noted that the continuous branch enclosure BVOC emission observations
303 indicate that the daily maxima of MT and SQT emissions were observed in the midday
304 (between noon to 2 pm in the local time). The observed MT and SQT speciation
305 information in the midday is summarized in Table 2. Table 2a summarizes branch

306 enclosure sample analysis results and ambient sample analysis results are summarized in
307 Table 2b. In general, observed MT and SQT in the ambient air are consistent with
308 previously observed distributions (Kim et al., 2013d). α -pinene and β -pinene were the
309 dominant monoterpene and longifolene was the only detected SQT species. In contrast,
310 the branch enclosure observation results, reflecting BVOC emission, indicate high
311 emission of very reactive MT and SQT species such as β -myrcene, α -caryophyllene, and
312 β -caryophyllene. The fast oxidation of these highly reactive terpenoid species is expected
313 to limit the atmospheric presence of the compounds. Therefore, photochemical oxidation
314 processes of these compounds may have been neglected. Investigating emissions and
315 photochemistry of these reactive terpenoid compounds can constrain potential missing
316 OH reactivity and SOA production from highly oxidized reaction products.

317 Isoprene is produced from carbon recently fixed through photosynthesis resulting
318 in higher emissions and atmospheric concentrations during the daytime. The temporal
319 variation shown in Figure 1 reveals an isoprene concentration maximum between 17:00
320 to 20:00. In addition, the ratios of MVK+MACR, major isoprene oxidation products and
321 isoprene at this period, are significantly lower than those of late morning to early
322 afternoon. The enhanced isoprene levels in the late afternoon or early evening have been
323 also reported in previous studies (Apel et al., 2002; Bryan et al., 2012). The branch
324 enclosure observations demonstrate that isoprene is not emitted from the pine plantation
325 but rather transported from surrounding broadleaf forests as right outside of the pine
326 plantation (200 m \times 200 m) is a forested area dominated by oak trees. Oak comprises 85 %
327 of broadleaf trees in South Korea (Lim et al., 2011). Lim et al. (2011) quantified isoprene
328 emission rates for five representative oak species in South Korea and report a wide

329 emission range from oaks that are negligible isoprene emitters ($<0.004 \mu\text{gC dw}^{-1} \text{ h}^{-1}$;
330 standard emission rates) to others with very high isoprene emission rates of $130 \mu\text{gC dw}^{-1}$
331 h^{-1} .

332 Contributions from each trace gas species towards ambient OH reactivity are
333 shown in Figure 2. This is calculated as the product of the observed species concentration
334 and its rate constant for reaction with OH. Observed OH reactivity from VOCs are much
335 higher than from other trace gases such as CO, NO_x, SO₂, and ozone. Among the
336 observed VOC species, BVOCs such as isoprene, α -pinene and β -pinene accounted for
337 significantly higher OH reactivity in comparison with the observed AVOCs such as
338 toluene, benzene, xylene and MEK. Isoprene accounts the highest OH reactivity
339 especially during the daytime. This analysis is consistent with reports from other
340 suburban observations from East Asian megacities such as Beijing (Ran et al., 2011), the
341 PRD region, China (Lou et al., 2010), and the Kinki region Japan (Bao et al., 2010).

342 HONO levels up to 1 ppb were observed in the early morning and were
343 consistently higher than 0.5 ppb during the daytime. These observed levels are
344 substantially higher than reported observations from forest environments in North
345 America (Ren et al., 2011; Zhou et al., 2011), where NO_x (~ 1 ppb) is substantially lower
346 than the level observed at TRF. Ren et al. (2011) reported 30 – 60 ppt of HONO at the
347 Blodgett Forest Research Station in the western foothills of the Sierra Nevada Mountains
348 in the late summer of 2007. Zhou et al. (2011) also reported the similar levels of HONO
349 (below 100 ppt) from the PROPHET forest, a mixed hardwood forest in northern
350 Michigan (Pellston, MI). However, significantly higher HONO levels (~ 200 ppt to ~ 2
351 ppb) were reported by Li et al. (2012) from a rural observational site in the Pearl River

352 Delta region near Guangzhou, where comparable NO_2 levels with TRF were observed.
353 The high HONO levels (a few hundred ppt) especially during the daytime have been
354 consistently reported near Eastern Asian megacities such as Beijing (Li et al., 2012),
355 Shanghai (Hao et al., 2006), and Seoul (Song et al., 2009). Still these are limited datasets
356 and further comprehensive analysis, especially more extensive observation is required.
357 However, two recently proposed HONO production mechanisms may be able to explain
358 the higher levels in the Eastern Asian megacity region. One is HONO production from
359 NO_2 photo-excitation (Wong et al., 2012) as the region usually has high NO_2
360 concentrations and the other is HONO emission from soil bacteria (Oswald et al., 2013).
361 Oswald et al. (2013) found differences as much as two orders of magnitude in HONO
362 emissions from soil samples from different environments (e.g. pH and nutrient contents).
363 In addition, as most of observations in the East Asia regions were conducted with ion
364 chromatography based methods, more direct HONO quantification techniques such as a
365 chemical ionization mass spectrometry technique (Roberts et al., 2010) need to be used to
366 characterize any potential interferences such a high NO_x environment (e.g. N_2O_5).

367

368 **3.2 HO_x Model calculations with different isoprene photo-oxidation scenarios and** 369 **the roles of unconstrained HONO sources in HO_x model evaluations.**

370 The presented observational results are used to constrain the UWCM box model.
371 We evaluate uncertainties in the tropospheric oxidation capacity and how it affects our
372 ability to constrain ozone and OVOCs production. The observational results clearly
373 indicate that isoprene is the most dominant OH sink among the observed VOCs. In
374 addition, NO concentrations were higher in the 600 to 800 ppt range in the morning. On

375 the other hand, afternoon levels were substantially lower in the 50 to 100 ppt range. The
376 environment provides a unique opportunity to examine implications of isoprene
377 photochemistry in various NO conditions.

378 We conducted model simulation under six different scenarios. Each scenario is
379 described in Table 3. The quantitative assessments of the impacts to radical
380 concentrations (OH, HO₂, and RO₂) from unknown HONO sources are evaluated by
381 examining the model outcomes of the scenarios with and without observed HONO. To
382 evaluate the impacts of hydroperoxy-methyl-butenal (HPALD) photolysis and isoprene
383 peroxy radical recycling in the radical pool, each chemical mechanism is selectively
384 constrained by different scenarios. For HPALD chemistry, we adapted two different
385 HPALD formation rate constants published by Peeters and Muller (2010) and Crouse et
386 al. (2011). The formation rates from Peeters and Muller (2010) is about 40 times faster
387 than those from Crouse et al. (2011) in 298 K. Finally, we applied the OH yield of 2.6
388 from isoprene peroxy radical and HO₂ reactions for the evaluation (Wolfe et al., 2011).
389 Although, Liu et al. (2013) demonstrated significantly lower OH recycling contributions
390 from HO₂ + isoprene peroxy radical reactions than those argued by Wolfe et al. (2011) by
391 interpreting chamber experiment results, the high recycling rate from Wolfe et al. (2011)
392 is applied in the model calculations to explore upper limit of uncertainty in radical
393 estimations.

394 Modeled OH, HO₂, and RO₂ from the six different model scenarios are shown in
395 Figure 3. A summary of averaged OH, HO₂, and RO₂ concentrations in the morning
396 (08:00 – 11:00) and the afternoon (13:00 – 16:00) from each simulation is shown in
397 Table 4. With respect to the base run results (Scenario I), Scenario III with the lower

398 HPALD formation rate does not cause noticeable differences in radical concentrations.
399 Adapting higher HPALD formation rates (Scenario II) cause significant differences in
400 radical distribution especially in RO_2 . This difference is likely caused by the fact that
401 significant isoprene peroxy radical is converted to HPALD. The higher levels of
402 discrepancy is found in RO_2 between Scenario I and Scenario II in the afternoon when
403 low NO concentrations are observed, which efficiently facilitates HPALD formation.
404 Adding HO_2 + isoprene peroxy radical reactions as OH recycling processes (Scenario IV
405 and V) results in significant enhancements in OH and HO_2 with respect to the base run
406 (Scenario I). RO_2 concentrations are calculated in significantly different levels between
407 Scenario IV and V. This can be again accounted by the applications of different HPALD
408 formation rates in the two different model scenarios. The higher level of OH from the
409 additional recycling process causes substantially higher RO_2 formation rates than those
410 from the scenarios without the additional recycling process. The faster
411 HPALD formation in Scenario IV appeared to cause faster loss of RO_2
412 resulting in low RO_2 concentrations.

413 Striking differences can be found in the model simulation results with or without
414 constraining observed HONO as shown in Figure 3. Model calculation results from
415 Scenario VI indicate significantly smaller OH, HO_2 , and RO_2 concentrations than the
416 concentrations calculated from the counter part (Scenario IV), which contains identical
417 constraints and isoprene photochemistry except constraining observed HONO. Again,
418 this clearly indicates that more thorough evaluations of the impacts of HONO on air
419 quality are needed to precisely constrain photochemical processes in the region along
420 with evaluations of the currently available analytical techniques as argued in section 3.1.

421

422 **3.3 Implications of uncertainty in HO_x model calculations in assessing**

423 **photochemical ozone and OVOC production.**

424 Two competing chemical reactions (R3 vs. R4,5,6) determine radical distribution
425 regimes.



430

431 When the rate of R3 gets much faster than the sum of reaction rates of R4, R5,
432 and R6 then radical recycling processes become more efficient than radical destruction
433 processes. In this radical recycling regime, OH, a universal tropospheric oxidant, is well
434 buffered to maintain the elevated OH levels. On the other hand, the radical destruction
435 regime can be defined when the radical recycling rates (R3) are slower than the radical
436 destruction reaction rates (R4+R5+R6). Although some recent findings (e.g. Lelieveld et
437 al. (2008)) suggest that we may need to reconsider R4 as a radical recycling process
438 rather than a radical destruction process, in this study, we follow the conventional
439 classification of radical chemistry regimes. The temporal variations of radical-radical
440 reaction rates from the model simulation scenarios are shown in Figure 4. In general, the
441 radical reaction rates are elevated as much as twice once observed HONO is constrained
442 in the model calculations (e.g. Scenario VI). This is because unaccounted HONO in the
443 model calculations cause significant underestimations in the radical pool (OH+HO₂+RO₂)

444 size with respect to the constrained HONO scenarios as shown in Figure 4. In addition, as
445 we include recently developed isoprene radical chemistry, the RO₂+HO₂ reaction rates,
446 known for a radical destruction pathway becomes more faster. This becomes more
447 obvious in the afternoon when NO concentration becomes lower. The RO₂ + HO₂
448 reaction rates get higher than those of RO₂ + NO in the afternoon for the Scenario IV and
449 V. This is surprising, as the radical destruction regime is usually associated with low
450 NO_x conditions. Suburban regions of megacities including the TRF in general show high
451 NO_x conditions. However, radical recycling rates are determined by concentrations of
452 NO. The fraction of NO in the NO_x pool is determined by competing reactions between
453 NO₂ photolysis and oxidation reactions of NO by ozone, HO₂, and RO₂ radicals. Once we
454 assume the pseudo-steady state of NO, then NO in NO_x pool can be expressed as

455

$$456 \quad [\text{NO}] = J_{\text{NO}_2}[\text{NO}_2]/(k_{\text{NO}+\text{O}_3}[\text{O}_3] + k_{\text{NO}+\text{HO}_2}[\text{HO}_2] + k_{\text{NO}+\text{RO}_2}[\text{RO}_2]) \quad (\text{R7})$$

457

458 This mathematical expression clearly shows that NO levels are dependent on NO_x
459 mostly composed of NO₂. At the same time, the fraction of NO in NO_x is anti-correlated
460 with ozone, HO₂, and RO₂ concentrations. Therefore, the size of the radical pool
461 composed of HO₂ and RO₂ is relevant for determining the fractions of NO in given NO_x
462 levels. High HO₂ and RO₂ are likely observed in high VOC regions such as forested areas.
463 This could cause a smaller fraction of NO in the given NO_x pool so radical recycling gets
464 relatively weaker compared with radical destruction reaction pathways. More quantitative
465 approaches are required to categorize radical reaction pathways rather than qualitative
466 categorization such as high or low NO_x regimes.

467 Conventionally, efficient ozone production can be achieved by the balance
468 between nitric acid production rates (P_{HNO_3} , $\text{OH} + \text{NO}_2$) and peroxide production rates
469 (P_{ROOH} , $\text{HO}_2 + \text{RO}_2$ or $P_{\text{H}_2\text{O}_2}$ $\text{HO}_2 + \text{HO}_2$) (Sillman and He, 2002). The imbalance will cause
470 ozone production sensitivity towards either NO_x or VOCs. A comprehensive
471 photochemical model analysis (Tonnesen and Dennis, 2000a, b) demonstrated that in a
472 wider range of ozone concentrations, the VOC and NO_x limited regimes can be
473 determined by the ratios of $P_{\text{H}_2\text{O}_2}$ and P_{HNO_3} . The ratio range ($P_{\text{H}_2\text{O}_2}/P_{\text{HNO}_3}$) of 0.35 is
474 regarded as the border range. In the VOC limited regime ($P_{\text{H}_2\text{O}_2}/P_{\text{HNO}_3} < 0.35$), ozone
475 production is expected to decrease with increasing NO_x and increase with increasing
476 VOCs. In the NO_x limited regime ($P_{\text{H}_2\text{O}_2}/P_{\text{HNO}_3} > 0.35$), ozone production gets efficient
477 with increasing NO_x and is insensitive to changes in VOCs (Sillman and He, 2002). This
478 categorization has guided policy-making processes whether NO_x or VOC controls will be
479 more effective in ozone reduction. A series of modeling studies have been conducted to
480 characterize ozone production regimes in the suburban regions of East Asian megacities
481 and have consistently concluded that the role of isoprene is important in ozone
482 production. However, most of these studies have concluded that East Asian megacity
483 regions are mostly in the VOC limited regime (Tseng et al., 2009; Zhang et al.,
484 2008b; Lim et al., 2011; Cheng et al., 2010; Shao et al., 2009a; Shao et al., 2009b; Xing et
485 al., 2011). Recently, however, a modeling study by Li et al. (2013) in the Pearl River
486 Delta region in China demonstrated the time dependence of ozone production regimes.
487 Specifically, with high NO_x emissions in the morning, the regional ozone production
488 regime is categorized as VOC limited. In contrast, in the afternoon when the highest
489 ozone concentrations are observed, a NO_x limited regime is often found. The obvious

490 issue to be addressed is that all of the above studies neglected how the uncertainty in
491 hydroxyl radical chemistry would affect the ozone production regime. Moreover, HONO
492 has been rarely constrained by observations in the previous modeling studies. Figure 5
493 shows the temporal variations of $P_{\text{H}_2\text{O}_2}/P_{\text{HNO}_3}$ from the all six different model scenarios.
494 The VOC limited ozone formation regime was observed regardless of the HO_x
495 simulation scenarios. Differences among the scenarios are not noticeable in the morning
496 when NO is high but noticeable differences can be found in the afternoon which may
497 cause uncertainty in assessing the optimal level of NO_x and VOC emission
498 controls from a policy perspective. In general, the model calculation results with
499 faster HPALD formation rates indicate higher $P(\text{H}_2\text{O}_2)/P(\text{HNO}_3)$ in the afternoon. This
500 analysis indicates that it is difficult to determine an effective policy implementation for
501 NO_x or VOC controls to achieve ozone abatement around Asian megacities where
502 isoprene is a significant OH sink without accurate understanding of radical isoprene
503 interactions (e.g. Kim et al. (2013b)).

504 Another unresolved uncertainty in understanding tropospheric OH is its chemical
505 loss rates. The limited observations of OH reactivity in BVOC dominant environments
506 show consistent unaccounted OH chemical loss with observational datasets (Di Carlo et
507 al., 2004; Edwards et al., 2013; Kim et al., 2011; Lou et al., 2010; Nolscher et al.,
508 2012; Nakashima et al., 2014; Sinha et al., 2010). Two different processes are speculated
509 to cause unaccounted OH loss known as missing OH reactivity: 1) primary emissions of
510 unmeasured or unknown compounds and 2) oxidation products of well-known BVOCs
511 especially isoprene. Most studies conducted in coniferous forests where monoterpenes
512 are dominant primary BVOC emissions have concluded that unmeasured or unknown

513 primary BVOC emissions caused missing OH reactivity (Sinha et al., 2010; Nakashima et
514 al., 2014). On the other hand, studies conducted in isoprene dominant environments in
515 mostly broadleaf or mixed forests have concluded that the main cause of missing OH
516 reactivity is the oxidation products of isoprene (Edwards et al., 2013; Kim et al., 2011).
517 Edwards et al. (2013) presented a thorough analysis on potential impacts of isoprene
518 oxidation products that are not routinely constrained by observations. The authors found
519 significant contributions from secondary oxidation products such as multi-functional
520 oxygenated compounds.

521 Figure 6a shows the temporal variations of total OH reactivity calculated from
522 five different model scenarios (I through V). The highest and the lowest OH reactivity
523 levels were predicted from model calculations of Scenario V and Scenario II, respectively.
524 This observation is directly correlated with calculated RO₂ levels as the lowest and
525 highest RO₂ levels were calculated from Scenario II and Scenario V, respectively. Since
526 VOC precursors and trace gases were all constrained by observations in the model
527 calculations, the differences in model calculated OH reactivity should be mainly caused
528 by the oxidation products of VOCs. This can be confirmed by the comparisons of model
529 calculated formaldehyde concentrations from Scenario II and V as formaldehyde is a
530 dominant oxidation product of isoprene (Figure 6b). The differences in formaldehyde
531 levels suggest differences in OH reactivity levels from OVOCs in each model simulation.
532 In summary, uncertainty in radical distributions especially RO₂ levels is directly
533 propagated into uncertainty in OVOC formation.

534 These calculated results provide an upper limit of potential contributions from the
535 oxidation products of the constrained VOC precursors considering that the box-model

536 does not consider dry-deposition processes as Karl et al. (2010) and Edwards et al. (2013)
537 suggested that there is significant uncertainty associated with the parameterizations of dry
538 deposition especially OVOCs. Still, this analysis suggests that significant missing OH
539 reactivity (~ up to factor of two to three) can be found without constraining OVOCs.
540 OVOCs, especially multi-functional highly oxidized compounds are precursors for
541 secondary organic aerosols (VOACs). Therefore, uncertainty surrounding missing OH
542 reactivity significantly undermines our ability to constrain SOA formation and aerosol
543 growth.

544

545 **4. Summary and conclusions**

546 We presented trace gas observation results from the TRF near the center of
547 Seoul, South Korea. The dataset provides important constraints to evaluate the HO_x
548 pool at the site where both anthropogenic and biogenic influences become important
549 factors in determining oxidation capacity. Although the site is in the vicinity of a
550 megacity with 25 million people, isoprene accounted for most of the OH loss from
551 observed atmospheric hydrocarbon species during the 6-day focus period in early June
552 2012 during a regional pollution episode. In addition, observed NO_x levels were
553 substantially lower than observed values in the center of the SMA. These observations
554 indicate that impacts of megacity pollution on suburban BVOC photochemistry can be
555 observed at the TRF.

556 Six different model scenarios are employed to investigate the radical (OH, HO₂,
557 and RO₂) distributions using the UWCM box-model. The observed trace gas data were
558 constrained and the photochemical mechanisms (MCM 3.2) of seven VOC species with

559 high levels at the TRF were integrated. The uncertainty in isoprene peroxy radical
560 chemistry results in a wider range of OH, HO₂, and RO₂ distributions. Unconstrained
561 HONO sources also cause a quite high level of underestimation in a radical pool
562 (OH+HO₂+RO₂). OH simulation from the different model scenarios indicates much
563 larger discrepancies (up to three times) than simulations for HO₂ and RO₂ (up to twofold).
564 OH is simulated in much higher levels with the consideration of an additional OH
565 recycling channel from isoprene peroxy radical + HO₂ reactions and fast HPALD
566 formation chemistry Peeters and Muller (2010). On the other hand, the RO₂ simulations
567 result in lower levels as HPALD formation depletes the RO₂ pool, which mostly
568 composed by isoprene peroxy radicals. These results suggest that HO₂ and RO₂
569 observations can provide pivotal information about radical recycling and isoprene peroxy
570 radical chemistry (Kim et al., 2013c; Wolfe et al., 2013). More studies on characterizing
571 existing techniques to quantify HO₂ (Fuchs et al., 2011) and developing new techniques
572 (Horstjann et al., 2013) are needed. In addition, the simulations with recently developed
573 isoprene photo-oxidation chemistry show that radical termination processes (e.g.
574 peroxide formation) get more efficient than radical recycling processes in the afternoon.
575 This may come as a surprise as in general we expect the high NO_x conditions in the
576 suburban regions of a megacity to have effective radical recycling. However, the critical
577 factor determining competing reaction channels of recycling and peroxide formation is
578 NO concentrations. Ratios of NO to NO₂ are not only correlated with NO₂ concentrations
579 and photolysis constants but also anti-correlated with RO₂, HO₂ and ozone concentrations
580 and relevant kinetic constants as shown in (R7). Therefore, a semi-quantitative term such

581 as the high 'NO_x' regime is not a proper term to define radical recycle regimes especially
582 in high radical environments (e.g. HO₂ and RO₂) such as forest environments.

583 These uncertainties in estimating the radical pool size and distribution directly
584 affect our ability for constraining photochemical ozone and OVOC production. The non-
585 linear response of ozone production to NO_x and VOC abundances are determined by OH,
586 HO₂, RO₂ and NO₂ concentrations. Regardless of which model calculation scenario we
587 adapt, the TRF photochemical state appears to be a VOC limited ozone production
588 regime. However, morning and afternoon show a very strong contrast. The morning
589 conditions indicate a stronger degree of VOC limitation. In addition, a noticeable range
590 of VOC sensitivity was calculated from the six different model scenarios, especially in
591 the afternoon. These analysis results, therefore, suggest that an accurate scientific
592 understanding of isoprene-OH interactions should form the basis for an effective policy
593 implementation to reduce photochemical pollution in the suburbs of Seoul and similar
594 East Asian megacities. In addition, OVOC production is predicted to significantly vary
595 depending on the model simulation scenarios. The fate of these OVOCs is uncertain and
596 can include deposition, photolysis, or condensation. Our limited understanding of
597 OVOCs contributes substantially to the overall uncertainty in radical photochemistry and
598 should be addressed by studies that quantify the processes controlling OVOC production
599 and loss.

600

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605

606 **References**

607

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921 Table 1. Analytical characteristics of trace gas analyzers at TRF

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Chemical Species	Manufacturer and Model Number	Uncertainty	Lower Limit of Detection
CO	Thermo Scientific 48i TLE	10%	40 ppb
NO_x	Thermo Scientific 42i-TL	15%	50 ppt
SO₂	Thermo Scientific 43i-TLE	10%	50 ppt
ozone	Thermo Scientific 49i	5%	< 1 ppb

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926 Table 2. Terpenoid speciation analysis results from GC-MS a) branch enclosure and b)
927 ambient air samples.

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929 a)

Terpenoids	*Composition(%)	Speciation	*Composition(%)
Isoprene	0.5		
Monoterpenes	92.9	α -pinene	36.7
		camphene	13.1
		β -pinene	12.0
		β -myrcene	27.7
		α -terpinolene	1.9
		d-limonene	8.6
Sesquiterpenes	6.6	β -caryophyllene	53.2
		α -caryophyllene	46.8

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931 b)

Terpenoids	*Composition(%)	Speciation	*Composition(%)
Monoterpenes	98.6	α -pinene	38.8
		β -pinene	36.5
		camphene	13.5
		d-limonene	11
Sesquiterpenes	1.4	longifolene	100

932 *Composition is calculated based on the mixing ratio scale

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Table 3. A summary of critical differences in input parameters for four different model simulation scenarios presented in this study

	HPALD chemistry	* α	Observational Constraints
Scenario I	No	0	~All
Scenario II	#Peeters and Muller (2010)	0	~All
Scenario III	+Crouse et al. (2011)	0	~All
Scenario IV	#Peeters and Muller (2010)	2.6	~All
Scenario V	+Crouse et al. (2011)	2.6	~All
Scenario VI	#Peeters and Muller (2010)	2.6	~All but HONO

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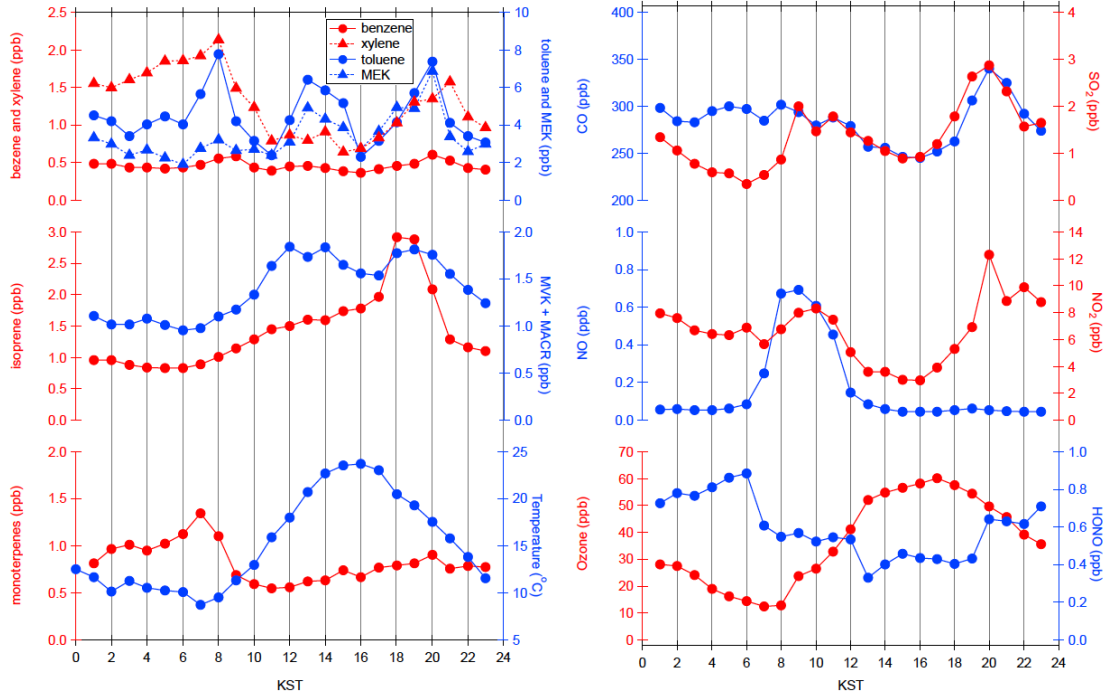
* α is an OH yield from reactions between an isoprene peroxy radical and HO₂, #k298 = ~ 0.08 for isoprene peroxy radical isomerization rate leading to produce HPALD, +k298 = 0.002 for isoprene peroxy radical isomerization rate, ~All the observed diurnal variations, appeared in Figure 1 are constrained in the model along with ambient pressure and humidity.

963 Table 4 A summary for radical distributions from the observationally constrained box-
 964 model simulation results
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Local Time	OH		HO ₂		RO ₂		Constraints
	8:00-12:00	13:00-16:00	8:00-12:00	13:00-16:00	8:00-12:00	13:00-16:00	
Scenario I	3.85×10 ⁶	3.08×10 ⁶	4.10×10 ⁸	7.02×10 ⁸	3.65×10 ⁸	1.14×10 ⁹	All
Scenario II	3.99×10 ⁶	3.69×10 ⁶	3.99×10 ⁸	7.86×10 ⁸	3.51×10 ⁸	9.62×10 ⁸	All
Scenario III	3.86×10 ⁶	3.13×10 ⁶	4.09×10 ⁸	7.09×10 ⁸	3.64×10 ⁸	1.12×10 ⁹	All
Scenario IV	4.27×10 ⁶	4.49×10 ⁶	4.29×10 ⁸	8.70×10 ⁸	3.66×10 ⁸	1.06×10 ⁹	All
Scenario V	4.21×10 ⁶	4.52×10 ⁶	4.55×10 ⁸	8.55×10 ⁸	3.86×10 ⁸	1.28×10 ⁹	All
Scenario VI	1.61×10 ⁶	1.61×10 ⁶	1.95×10 ⁸	4.82×10 ⁸	1.75×10 ⁸	7.25×10 ⁸	All but HONO
Scenario VII	1.82×10 ⁶	2.55×10 ⁶	2.09×10 ⁸	6.07×10 ⁸	1.80×10 ⁸	7.00×10 ⁸	All but HONO

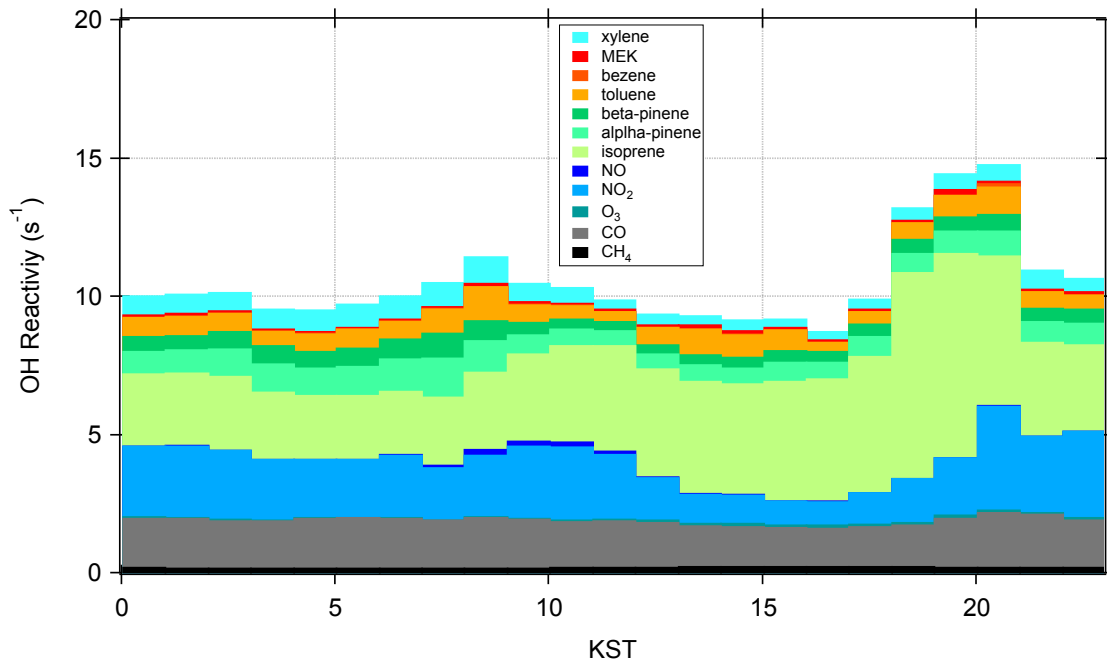
966 unit: molecules cm⁻³
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969 Figure 1. Averaged temporal variations observed trace gases and ambient temperature at
970 TRF (June 1st to June 6th, 2012, KST stands for Korean Standard Time GMT+9). The
971 uncertainty for each observable is listed in the main text.
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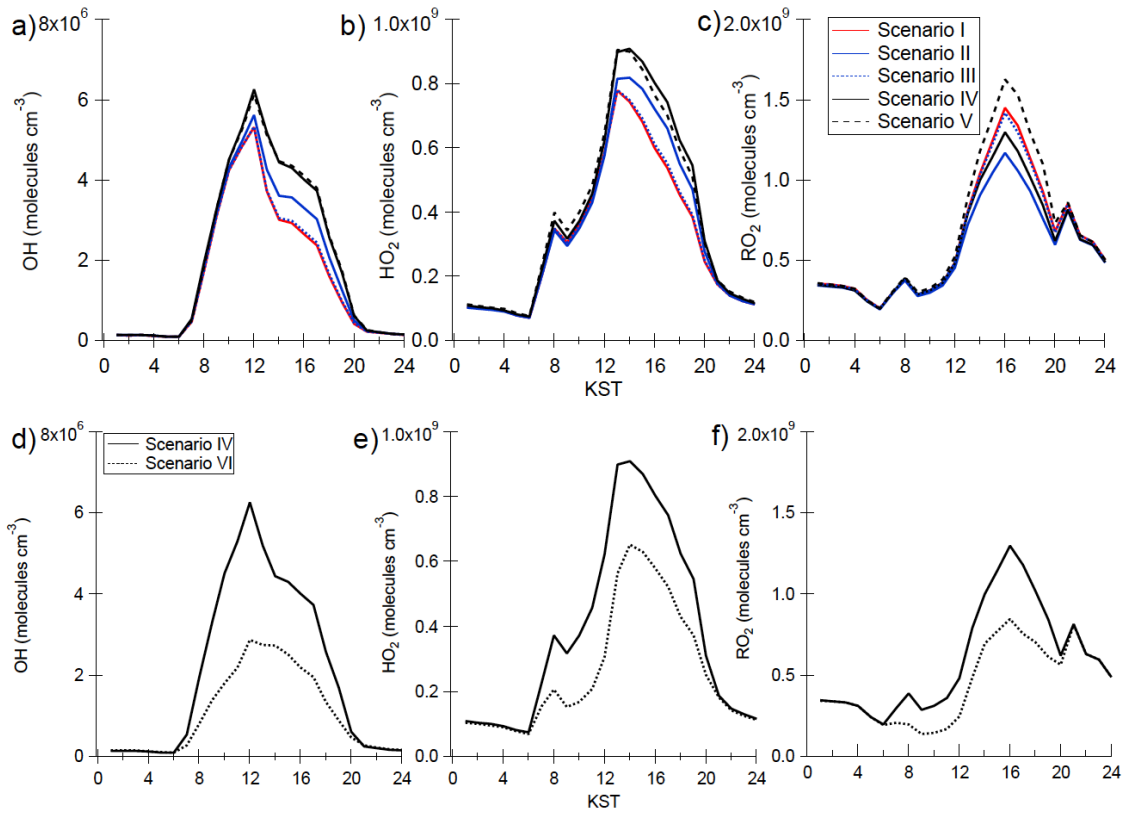
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977 Figure 2. The temporal variations of OH reactivity calculated from the observed dataset
978 at TRF (Figure 1).
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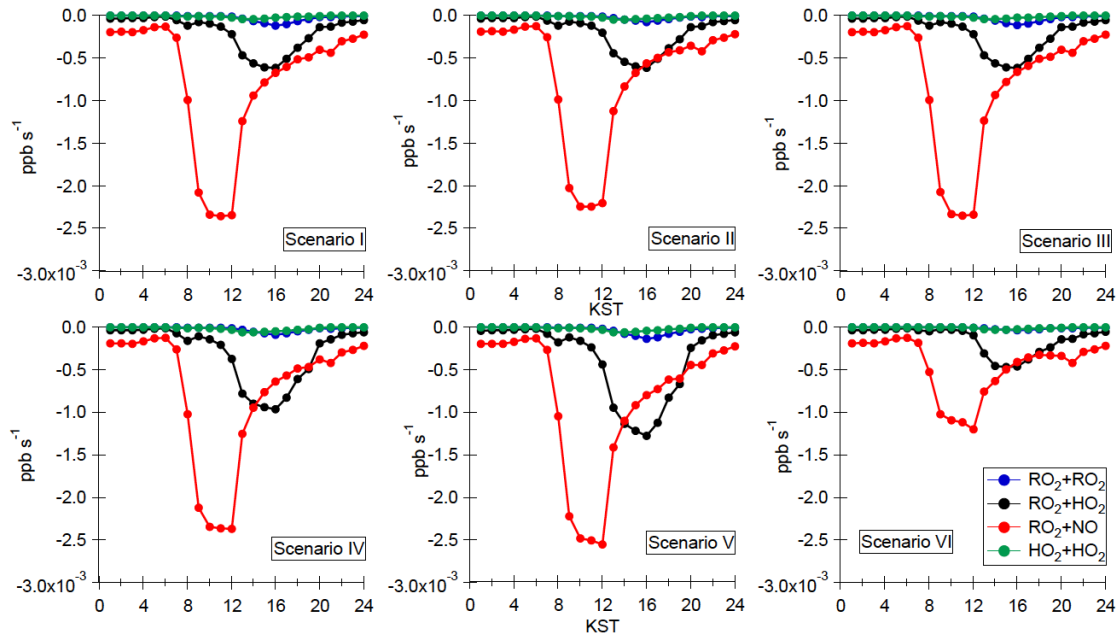
985 Figure 3. The temporal variations of OH (a) and d)), HO₂ (b) and e), and RO₂ (c) and f))
986 calculated by six different observationally constrained UWCM box model scenarios.
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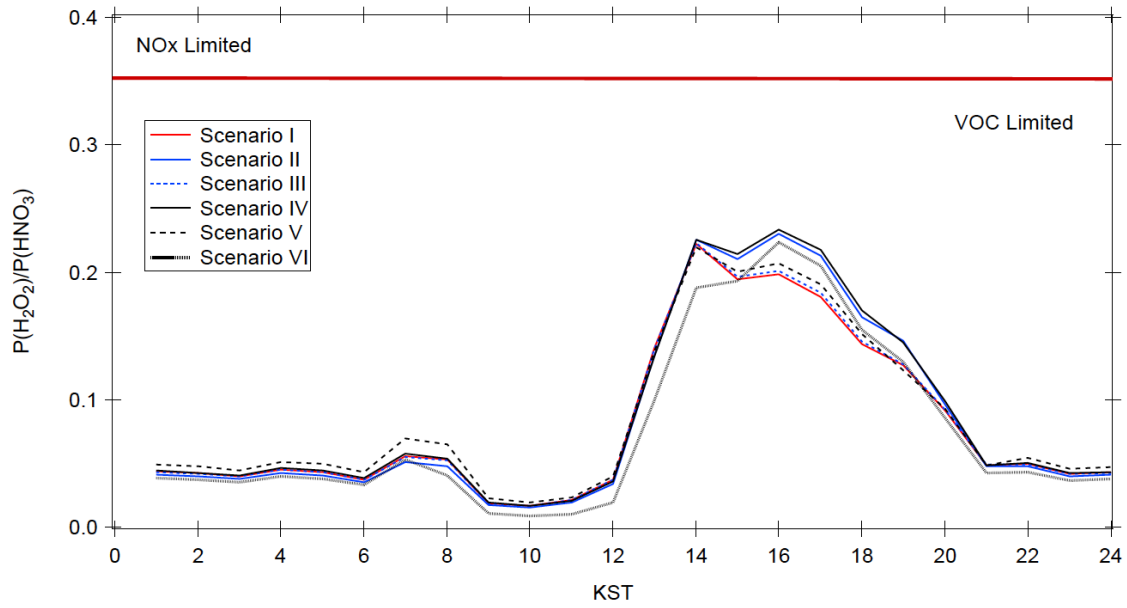
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Figure 4 The temporal variations of radical recycling (red) and destruction (blue, black and green) rates calculated using the UWCM box model for different model scenarios



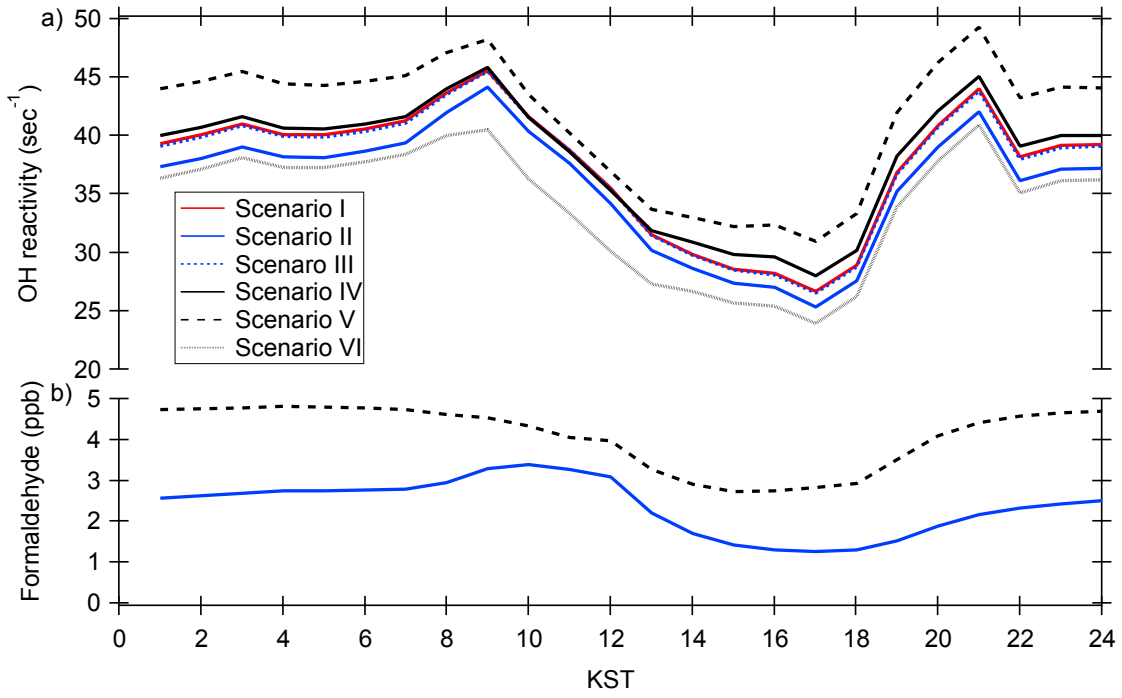
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1000 Figure 5. The temporal variations of $P_{H_2O_2}/P_{HNO_3}$ calculated from the UWCM box model
1001 from six different model scenarios
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1007 Figure 6. The temporal distributions of UWCM calculated OH reactivity (a) and
1008 formaldehyde (b)
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