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4 Urban-rural interactions in a South Korean forest in ozone
5 and oxygenated volatile organic compound formation
6 perspectives
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46 **Abstract**

47 Rapid urbanization and economic development in East Asia in past decades has
48 led to photochemical air pollution problems such as excess photochemical ozone and
49 aerosol formation. Asian megacities such as Seoul, Tokyo, Shanghai, Gangzhou, and
50 Beijing are surrounded by densely forested areas and recent research has consistently
51 demonstrated the importance of biogenic volatile organic compounds (VOCs) from
52 vegetation in determining oxidation capacity in the suburban Asian megacity regions.
53 Uncertainties in constraining tropospheric oxidation capacity, dominated by hydroxyl
54 radical concentrations, undermine our ability to assess regional photochemical air
55 pollution problems. We present an observational dataset of CO, NO_x, SO₂, ozone,
56 HONO, and VOCs (anthropogenic and biogenic) from Taehwa Research Forest (TRF)
57 near the Seoul Metropolitan Area (SMA) in early June 2012. The data show that TRF is
58 influenced both by aged pollution and fresh BVOC emissions. With the dataset, we
59 diagnose HO_x (OH, HO₂, and RO₂) distributions calculated using the University of
60 Washington Chemical Box Model (UWCM v 2.1) with near-explicit VOC oxidation
61 mechanisms from MCM 3.2 (The Master Chemical Mechanism). Uncertainty from
62 unconstrained HONO sources and radical recycling processes highlighted in recent
63 studies is examined using multiple model simulations with different model constraints.
64 The results suggest that 1) different model simulation scenarios cause systematic
65 differences in HO_x distributions especially OH levels (up to 2.5 times) and 2) radical
66 destruction (HO₂+HO₂ or HO₂+RO₂) could be more efficient than radical recycling
67 (HO₂+NO) especially in the afternoon. Implications of the uncertainties in radical
68 chemistry are discussed with respect to ozone-VOC-NO_x sensitivity and VOC oxidation

69 product formation rates. Overall, the VOC limited regime in ozone photochemistry is
70 assessed but the degree of sensitivity can significantly vary depending on the model
71 scenarios. The model results also suggest that RO₂ levels are positively correlated with
72 oxygenated VOCs (OVOCs) production that is not routinely constrained by observations.
73 These unconstrained OVOCs can cause higher than expected OH loss rates (missing OH
74 reactivity) and secondary organic aerosol formation. The series of modeling experiments
75 constrained by observations strongly urge observational constraint of the radical pool to
76 enable precise understanding of regional photochemical pollution problems in the East
77 Asian megacity region.

78 **1. Introduction**

79 NO_x (NO+NO₂) and volatile organic compounds (VOCs) are two important
80 precursors that drive HO_x radical cycles (Levy, 1971). In the presence of NO_x, VOC
81 oxidation processes recycle OH and produce photochemical oxidation products such as
82 ozone and oxygenated VOCs (OVOCs). This reaction cycle is highly non-linear. For
83 example, excess NO₂ may expedite nitric acid formation (R1), limiting ozone production.
84 In the same context, excess VOCs may expedite peroxy radical production (R2), which
85 limits OH regeneration from peroxy radicals.

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90 The non-linearity in tropospheric photochemistry has been relatively well studied
91 in the urban regions of developed countries and applied in ozone reduction policy. The
92 Los Angeles Metropolitan Area has accomplished significant ozone reduction by
93 implementing aggressive emission reductions of both NO_x and VOC especially from
94 mobile sources (Ryerson et al., 2013). The remarkable ozone abatement was possible due
95 to the fact that there is no significant pollution transport from other metropolitan areas
96 and no significant natural emission sources especially volatile organic compounds from
97 vegetation (BVOCs; biogenic volatile organic compounds) compared with anthropogenic
98 VOC mostly from mobile sources (Pollack et al., 2013; Huang et al., 2013). In the late 80s,
99 Trainer et al. (1987) first demonstrated the importance of isoprene (C₅H₈) as a peroxy
100 radical source that can contribute significant ozone production in rural areas. The

101 importance of isoprene in ozone production in urban areas has also been highlighted, e.g.
102 in the Atlanta Metropolitan Area (Chameides et al., 1988).

103 Isoprene is a hemiterpenoid species and is the globally dominant VOC emission
104 from vegetation (Arneth et al., 2011;Guenther, 2013). Arguably, isoprene is the most
105 frequently studied BVOC from the perspective of atmospheric oxidation processes and
106 their implications for ozone and aerosol formation. However, significant uncertainty
107 hinders assessing the roles of isoprene in regional and global photochemistry in three
108 fronts. First, there is still significant uncertainty in estimating emission rates from each
109 individual plant species on regional scales (Guenther, 2013). Second, limited isoprene
110 inter-comparison results (Barket et al., 2001) suggest that there are large systematic
111 biases among different analytical techniques. Lastly, recent laboratory, theoretical and
112 field observations suggest significant uncertainty in tropospheric isoprene oxidation
113 processes initiated by OH. Until early 2000, it was thought that three first generation
114 isoprene oxidation products (methyl vinyl ketone, methacrolein, and formaldehyde) from
115 OH oxidation were enough to constrain isoprene tropospheric oxidation processes for
116 modeling purposes (e.g. Spaulding et al., 2003). This is an interesting evolution of
117 thoughts considering that Paulson and Seinfeld (1992), one of pioneering works
118 describing isoprene oxidation, clearly claimed that 22 % of first generation isoprene
119 oxidation products from the reaction with OH was not identified and likely included
120 multifunctional C5 compounds. Recent advances in analytical techniques (Kim et al.,
121 2013a) have shown that indeed significant C5-hydroxy carbonyl (e.g. isoprene
122 hydroperxyenals, HPALD) and peroxide compounds are produced as first generation
123 isoprene oxidation products (Crounse et al., 2011;Paulot et al., 2009;Wolfe et al.,

124 2012;Zhao and Zhang, 2004) and are a strong function of NO concentrations (Peeters and
125 Muller, 2010). In general, low to intermediate NO levels (~ 100 pptv or lower), the yields
126 of C5-hydroxy carbonyl compounds become higher. These new findings in the isoprene
127 oxidation process are also closely related with recent findings in unexpectedly high OH
128 concentrations (Hofzumahaus et al., 2009;Lelieveld et al., 2008) and substantial missing
129 OH sinks also known as unexpectedly high OH reactivity in high isoprene environments
130 (Di Carlo et al., 2004;Edwards et al., 2013;Kim et al., 2011;Lou et al., 2010).

131 These new findings have significant implications in regional air quality especially
132 in photochemical ozone and SOA production. Despite the strong anthropogenic pollutant
133 emissions in East Asia (China, Japan and South Korea), recent research has shown that
134 isoprene accounts for a major OH chemical sink in suburban areas near Beijing (Ran et
135 al., 2011), the Pearl River Delta region (Lu et al., 2012), and Seoul (Kim et al.,
136 2013d;Kim et al., 2013b). Consequently, modeling studies also clearly show that isoprene
137 contributes significantly to ozone formation in Asian megacity regions. Kim et al. (2013d)
138 reported that simulated ozone levels with isoprene chemistry are up to 30 % higher than
139 ozone simulation without isoprene chemistry using the WRF-Chem model, indicating an
140 urgent need to implement improved isoprene chemistry schemes in these models in order
141 to simulate the unexpected higher levels of OH in isoprene rich environments. This is
142 especially alarming as Hofzumahaus et al. (2009) reported significantly higher (~ 2.6
143 times at noon) than expected OH levels in the Pearl River Delta region in China.

144 Therefore, the current assessments based on the conventional OH photochemistry could
145 significantly misdiagnose regional air-quality status and mislead policy implementation
146 to reduce photochemical air pollution in the East Asian region. Furthermore, as the

147 importance of BVOC in regional air-quality issues in ozone and SOA formation has been
148 also highlighted in Europe and North America, the uncertainty in isoprene
149 photochemistry has significant implications in urban and suburban air quality in
150 general(Zhang et al., 2008a;Sartelet et al., 2012).

151 We present atmospheric observations of NO_x, CO, VOCs, ozone, and HONO in
152 the Taehwa Research Forest (TRF) in the Seoul Metropolitan Area (SMA), South Korea.
153 We use these data to conduct observationally constrained box model (University of
154 Washington Chemical Box Model; UWCM) calculations to estimate OH, HO₂ and RO₂
155 concentrations with different sets of observational parameters. We discuss current
156 uncertainty in OH-isoprene photochemistry with perspectives of constraining
157 photochemical ozone production and OVOCs precursors of secondary organic aerosols.

158

159 **2. Methods**

160 The Taehwa Research Forest (TRF) is located ~ 35 km from the center of Seoul,
161 South Korea. The TRF is located at the southeastern edge of the Seoul metropolitan Area
162 (SMA, population of ~ 23 million). TRF has a sampling tower located in the middle of a
163 coniferous tree plantation (200 m by 200 m) with the canopy height of 18 m (*Pinus*
164 *koraiensis*) surrounded by a deciduous forest mostly composed by oak. Kim et al. (2013d)
165 reported CO, NO_x, SO₂, ozone, and VOC observation results along with WRF-Chem
166 assessments of ozone forming potential of isoprene photochemistry. The report found that
167 isoprene was the most dominant OH chemical sink during daytime among the observed
168 trace gases and explained up to 30 % of ozone production. The TRF instrumentation has

169 previously been described by Kim et al. (2013d). Therefore, just brief descriptions of
170 analytical techniques are given in this paper.

171

172 **2.1. CO, NO_x, SO₂, ozone, VOCs, and meteorological parameters**

173 Thermo Fisher Scientific Enhanced Trace Level Gas Analyzers are used for CO,
174 NO_x, SO₂, and ozone observations as summarized Table 1. VOC observations are
175 conducted by a High-Sensitivity Proton Transfer Reaction-Mass Spectrometer (PTR-MS,
176 Ionicon GmbH). The atmospheric application of this technique is thoroughly reviewed by
177 de Gouw and Warneke (2007). In addition, the instrument suite at TRF is thoroughly
178 described in (Kim et al., 2013d). PTR-MS can quantify atmospheric VOCs that have
179 higher proton affinity than the proton affinity of H₂O (691 kJ mol⁻¹). Most alkanes have
180 lower proton affinity than water but alkene, aromatic and some OVOCs have higher
181 proton affinity and are suitable for quantification using PTR-MS (Blake et al., 2009).
182 These compounds are more reactive than alkane compounds so PTR-MS has capability to
183 observe reactive atmospheric compounds. The TRF PTR-MS system was set to measure
184 acetaldehyde, acetone, acetic acid, isoprene, methylvinylketone (MVK) + methacrolein
185 (MACR), MEK, benzene, xylene (*p*, *m*, and *o*), and monoterpenes (MT). Each compound
186 was set to be monitored for 1 second each resulting in a sample cycle of 15 seconds.
187 Lower detection limits for the observed VOCs are estimated to be 20 ppt for a 5 second
188 integration with sensitivity of 70 counts ppb⁻¹. (2 σ). Meteorological parameters such as
189 temperature and humidity are monitored by LSI LASTEM Meteorological Sensors. All
190 the presented data is from the 15 m (the canopy height is 18 m) sampling line and
191 meteorological sensors collocated at this height too.

192 PTR-MS with a quadrupole mass filter has an intrinsic limitation that isobaric
193 compounds are all collectively quantified with the same channel (m/z) with a resolution
194 of unit mass. This limitation particularly becomes an issue for investigating the roles of
195 different isomers of MT and sesquiterpenes (SQTs) in photochemistry. For this reason,
196 we also occasionally collect sorbent cartridge samples to analyze MT and SQT speciation
197 in both ambient air and branch enclosure emissions near the sampling tower. As
198 described in (Kim et al., 2013d), Tenax GR and Carbotrap 5TD packed sorbent cartridges
199 (Markes Int, Llanstrisant, UK) were used for sampling. The sampled cartridges were
200 shipped to National Center for Atmospheric Research (NCAR), Boulder CO, USA for
201 gas chromatography-mass spectrometer (GC-MS) analysis. An Agilent 7890 GC/5975 C
202 Electron Impact Mass Spectrometer (GC-MS/FID) in conjunction with a MARKES
203 Unity1/Ultra thermal desorption system optimized for terpenoid analysis quantifies
204 speciated MT and SQT in the sorbent samples. Cartridge samples are both collected from
205 ambient and branch enclosure air. Ambient samples were collected in the mid-day to
206 early afternoon with a volume of 6 L. Ozone in the ambient air was removed using a
207 Na_2SO_3 filter. Branch enclosure samples were also collected in the mid-day time frame
208 with a volume of 1 L without an ozone filter as zero air was introduced to the branch
209 enclosure. We present these analytical results from GC-MS analysis limited to the
210 qualification purpose to examine MT and SQT speciation.

211

212 **2.2 HONO quantification**

213 HONO was measured with an ion chromatography (IC) coupled with diffusion
214 scrubber. Air was introduced to diffusion scrubber (Lab solutions Inc., IL, USA) through

215 a 2 m PFA tubing (1/4" i.d.) at 1.5 L m^{-1} using a filtered orifice restrictor (F-950, air
216 logic, WI, USA). Air flowing through diffusion scrubber interfaced with deionized water,
217 into which HONO was extracted. 50 μL of solution was injected into the IC system
218 through a PEEK loop (Rheodyne, WA, USA) and 6-way valve (EV750-100, Rheodyne,
219 WA, USA). Eluent was a mixture of Na_2CO_3 and NaHCO_3 , which was pumped by a
220 HPLC pump (DX-100, Dionex, CA, USA) into a guard column (Ionpax® AG 14,
221 4x50mm, Dionex, CA, USA) and then analytical column (Ionpax® AS 14, 4x250mm,
222 Dionex, CA, USA). The column affluent passed through a suppressor (ASRS 300,
223 Dionex, CA, USA) and HONO was detected as nitrite ion in conductivity detector (550,
224 Alltech, IL, USA). The entire measurement processes of sampling, chemical analysis, and
225 data acquisition were controlled by a digital timer and data acquisition software
226 (DSchrom-n, DS science, Korea), by which we obtained two measurements every hour.
227 The system was calibrated using a NO_2^- standard solution (Kanto chemical Co., Inc.,
228 Tokyo, Japan) whenever reagents were replaced. The detection limit was 0.15 ppb
229 estimated from 3σ of the lowest working standard. Specific analytical characteristics are
230 described in Simon and Dasgupta (1995) and Takeuchi et al. (2004).

231

232 **2.3 UWCM box model**

233 UWCM 2.1 is an open source box model coded by MATLAB (MathWorks®).
234 The model platform can be downloaded from a website
235 (<http://sites.google.com/site/wolfegm/code-archive>). The box model is embedded its own
236 HO_x ($\text{OH}+\text{RO}_2$)- RO_x (peroxyradical and alkoxy radical)- NO_x coupling chemical
237 mechanism. UWCM utilizes Master Chemical Mechanism version 3.2 (MCM 3.2)

238 (Jenkins et al., 1997; Saunders et al., 2003) for near-explicit VOC photo-oxidation
239 schemes. A more detailed model description can be found in Wolfe and Thornton (2011).
240 To minimize uncertainty from the parameterizations of transport and emission, we
241 constrained relatively long-lived trace gases presented in Figure 1. This box modeling
242 technique has been commonly used for examination of OH levels that can be justified by
243 the short chemical lifetime of OH (Kim et al., 2014;Kim et al., 2013c;Mao et al.,
244 2012;Mao et al., 2010). Recently developed isoprene photo-oxidation mechanisms
245 shown in Archibald et al. (2010) are also incorporated in the model. In addition, Kim et al.
246 (2013c) and Wolfe et al. (2013) applied the model in the identical fashion as used for this
247 study to probe radical distributions using comprehensive observational datasets. This
248 study used the UWCM to simulate the diurnal variations of radical pool (OH+HO₂+RO₂)
249 distributions as observational parameters such as CO, NO_x, ozone, and VOCs are
250 constrained. To fully account for roles of OVOCs in the box model as radical sources, we
251 simulated three consecutive days and presented diurnal variations from the third day. The
252 specific parameters, constrained by observations are listed above.

253

254 **3. Results**

255 **3.1. Observational Results**

256 Diurnal averages of observed trace gases (June 1st 2012 to June 6th 2012) are
257 shown in Figure 1. The TRF observatory is in continuous operation and we choose this
258 six day period because a regional high-pressure system caused a stagnant air pollution
259 event in this period. In the center of Seoul (the real-time data available at
260 <http://www.airkorea.or.kr>), carbon monoxide was observed in the similar levels during

261 the focused period (June 1st to June 6th, 2012). On the other hand, the NO₂ level observed
262 in central Seoul was much higher (20-50 ppb) compared with observed levels at TRF.
263 The reason can be attributed to differences between the chemical lifetime of CO (~a
264 month) and NO₂ (~a few hours to a day). The observations also clearly indicate that the
265 TRF is not directly influenced by fresh SMA pollution plumes although the TRF is very
266 close to the center of Seoul (30 km away from the city center) and a regional modeling
267 study shows most of CO and NO_x sources are located in the city center (Ryu et al., 2013).
268 Similar observations were also reported for other East Asian megacities such as Beijing
269 (Ma et al., 2012), where ~ 30 ppb and ~ 15 ppb of NO₂ were observed at noon in the
270 urban and the adjacent rural sites, respectively. In contrast, there were no noticeable
271 differences in CO levels between the urban and rural sites (~ 1-2 ppm). The observed
272 CO, NO_x and SO₂ levels in TRF were much lower than those observed in the suburban
273 regions of Chinese megacities such as Beijing (Ma et al., 2012), Shanghai (Tie et al.,
274 2013), and the Pearl River Delta Region (Lu et al., 2012) and similar with the observed
275 levels in Tokyo, Japan (Yoshino et al., 2012).

276 Previous VOC observations in the SMA consistently have shown that toluene is
277 the dominant anthropogenic VOC followed by other aromatic compounds such as xylene
278 and benzene (Kim et al., 2012; Na and Kim, 2001). Na and Kim (2001) reported high
279 concentrations of propane from house hold fuel use. However, recent observation results
280 from the photochemical pollution observational network managed by National Institute of
281 Environmental Research (NIER) of South Korea in the SMA clearly indicate that propane
282 levels have declined and are now much lower than the levels previously observed (NIER,
283 2010). This is probably caused by the implementation of a policy changing household

284 fuel sources from propane to methane. (Kim et al., 2012) presented detailed aromatic
285 VOC distributions in the SMA from four different urban observational sites. In average,
286 toluene concentrations were observed ~ 7 times higher than the observed levels of xylene
287 and benzene. At the TRF, a similar anthropogenic VOC speciation distribution was
288 observed as shown in Figure 1. The observed toluene and MEK (methyl ethyl ketone)
289 mixing-ratios were much higher than benzene and xylene. MEK is detected in m/z of 73^+
290 by PTR-MS. Although methyl glyoxal, an atmospheric VOC oxidation product, is also
291 detected on the same mass, we assumed that 73^+ of m/z signals are mostly from MEK, an
292 anthropogenic VOC, since the temporal variation follows that of anthropogenic VOC
293 such as toluene and xylene. In addition, atmospheric lifetime of methyl glyoxal is much
294 shorter than MEK.

295 As the observation facility is located in the middle of a pine tree plantation (*Pinus*
296 *koraiensis*), monoterpenes (MT) are consistently observed. The temporal variation of
297 monoterpenes is affected by the planetary boundary layer evolution with a pattern of
298 higher MT levels during night than those of mid-day as has been often reported in other
299 forest environments (Bryan et al., 2012; Kim et al., 2010) This can be explained by
300 interplays between boundary layer evolution and temperature dependent MT emission. It
301 should be noted that the branch enclosure BVOC emission observations indicate that the
302 daily maxima of MT and SQT emissions were observed in the midday (between noon to
303 2 pm in the local time. The observed MT and SQT speciation information is summarized
304 in Table 2. Table 2a summarizes branch enclosure sample analysis results and ambient
305 sample analysis results are summarized in Table 2b. In general, observed MT and SQT in
306 the ambient air are consistent with previously observed distributions (Kim et al., 2013d).

307 α -pinene and β -pinene were the dominant monoterpene and longifolene was the only
308 detected SQT species. In contrast, the branch enclosure observation results, reflecting
309 BVOC emission, indicate high emission of very reactive MT and SQT species such as β -
310 myrcene, α -caryophyllene, and β -caryophyllene. The fast oxidation of these highly
311 reactive terpenoid species suppresses the atmospheric presence of the compounds.
312 Therefore, photochemical oxidation processes of these compounds may have been
313 neglected. Investigating emissions and photochemistry of these reactive terpenoid
314 compounds can constrain potential missing OH reactivity and SOA production from
315 highly oxidized reaction products.

316 Isoprene is produced from carbon recently fixed through photosynthesis resulting
317 in higher emissions and atmospheric concentrations during the daytime. The temporal
318 variation shown in Figure 1 reveals an isoprene concentration maximum between 17:00
319 to 20:00. In addition, the ratios of MVK+MACR, major isoprene oxidation products and
320 isoprene at this period, are significantly lower than those of late morning to early
321 afternoon. Further regional and 1-D modeling studies are being conducted to examine
322 this observed temporal variations of isoprene and MVK+MACR. However, enhanced
323 isoprene levels in the late afternoon or early evening have been also reported in previous
324 studies (Apel et al., 2002; Bryan et al., 2012). The branch enclosure observations
325 demonstrate that isoprene is not emitted from the pine plantation but rather transported
326 from surrounding broadleaf forests as right outside of the pine plantation (200 m \times 200 m)
327 is a forested area dominated by oak trees. Oak comprises 85 % of broadleaf trees in South
328 Korea (Lim et al., 2011). Lim et al. (2011) quantified isoprene emission rates for five
329 representative oak species in South Korea and report a wide emission range from oaks

330 that are negligible isoprene emitters ($<0.004 \mu\text{gC dw}^{-1} \text{h}^{-1}$; standard emission rates) to
331 others with very high isoprene emission rates of $130 \mu\text{gC dw}^{-1} \text{h}^{-1}$.

332 Contributions from each observed trace gas species towards ambient OH
333 reactivity are shown in Figure 2. This is calculated as the product of the observed species
334 concentration and its rate constant for reaction with OH. Observed OH reactivity from
335 VOCs are much higher than from other trace gases such as CO, NO_x, SO₂, and ozone.
336 Among the observed VOC species, BVOCs such as isoprene, α -pinene and β -pinene
337 accounted for significantly higher OH reactivity in comparison with the observed
338 AVOCs such as toluene, benzene, xylene and MEK. Isoprene accounts the highest OH
339 reactivity especially during the daytime. This analysis is consistent with reports from
340 other suburban observations from East Asian megacities such as Beijing (Ran et al.,
341 2011), the PRD region, China (Lou et al., 2010), and the Kinki region Japan (Bao et al.,
342 2010).

343 HONO levels up to 1 ppb were observed in the early morning and were
344 consistently higher than 0.5 ppb during the daytime. These observed levels are
345 substantially higher than reported observations from forest environments in North
346 America (Ren et al., 2011; Zhou et al., 2011), where NO_x (~ 1 ppb) is substantially lower
347 than the level observed at TRF. Ren et al. (2011) reported 30 – 60 ppt of HONO at the
348 Blodgett Forest Research Station in the western foothills of the Sierra Nevada Mountains
349 in the late summer of 2007. Zhou et al. (2011) also reported the similar levels of HONO
350 (below 100 ppt) from the PROPHET forest, a mixed hardwood forest in northern
351 Michigan (Pellston, MI). However, significantly higher HONO levels (~ 200 ppt to ~ 2
352 ppb) were reported by Li et al. (2012) from a rural observational site in the Pearl River

353 Delta region near Guangzhou, where comparable NO_2 levels with TRF were observed.
354 The high HONO levels (a few hundred ppt) especially during the daytime have been
355 consistently reported near Eastern Asian megacities such as Beijing (Li et al., 2012),
356 Shanghai (Hao et al., 2006), and Seoul (Song et al., 2009). Still these are limited datasets
357 and further comprehensive analysis, especially more extensive observation is required.
358 However, two recently proposed HONO production mechanisms may be able to explain
359 the higher levels in the Eastern Asian megacity region. One is HONO production from
360 NO_2 photo-excitation (Wong et al., 2012) as the region usually has high NO_2
361 concentrations and the other is HONO emission from soil bacteria (Oswald et al., 2013).
362 Oswald et al. (2013) found differences as much as two orders of magnitude in HONO
363 emissions from soil samples from different environments (e.g. pH and nutrient contents).
364 In addition, as most of observations in the East Asia regions were conducted with ion
365 chromatography based methods, more direct HONO quantification techniques such as a
366 chemical ionization mass spectrometry technique (Roberts et al., 2010) need to be used to
367 characterize any potential interferences such a high NO_x environment (e.g. N_2O_5).

368

369 **3.2 HO_x Model calculations with different isoprene photo-oxidation scenarios and** 370 **the roles of unconstrained HONO sources in HO_x model evaluations.**

371 The presented observational results are used to constrain the UWCM box model.
372 We evaluate uncertainties in the tropospheric oxidation capacity and how it affects our
373 ability to constrain ozone and OVOCs, secondary aerosol precursor production. The
374 observational results clearly indicate that isoprene is the most dominant OH sink among
375 the observed VOCs. In addition, NO concentrations were higher in the 600 to 800 ppt

376 range in the morning. On the other hand, afternoon levels were substantially lower in the
377 50 to 100 ppt range. The environment provides a unique opportunity to examine
378 implications of isoprene photochemistry in various NO conditions.

379 We conducted model simulation under six different scenarios. Each scenario is
380 described in Table 3. The quantitative assessments of the impacts to radical
381 concentrations (OH, HO₂, and RO₂) from unconstrained HONO sources are evaluated by
382 examining the model outcomes of the scenarios with and without constraining observed
383 HONO. To evaluate the impacts of hydroperoxy-methyl-butenal (HPALD) photolysis
384 and isoprene peroxy radical recycling in the radical pool, each chemical mechanism is
385 selectively constrained by different scenarios. For HPALD chemistry, we adapted two
386 different HPALD formation rate constants published by Peeters and Muller (2010) and
387 Crouse et al. (2011). The formation rates from Peeters and Muller (2010) is about 40
388 times faster than those from Crouse et al. (2011) in 298 K. Finally, we applied 2.6 of the
389 OH yield from isoprene peroxy radical and HO₂ reactions for the evaluation (Wolfe et al.,
390 2011). Although, Liu et al. (2013) demonstrated significantly lower OH recycling
391 contributions from HO₂ + isoprene peroxy radical reactions than those argued by Wolfe et
392 al. (2011) by interpreting chamber experiment results, the high recycling rate by Wolfe et
393 al. (2011) is applied in the model calculations to explore upper limit of uncertainty in
394 radical estimations.

395 Modeled OH, HO₂, and RO₂ from the five different model scenarios are shown in
396 Figure 3. A summary of averaged OH, HO₂, and RO₂ concentrations in the morning
397 (08:00 – 11:00) and the afternoon (13:00 – 16:00) from each simulation is shown in
398 Table 4. With respect to the base run results (Scenario I), Scenario III with the lower

399 HPALD formation rate does not cause noticeable differences in radical concentrations.
400 Adapting higher HPALD formation rates (Scenario II) cause significant differences in
401 radical distribution especially in RO₂. This difference is likely caused by the fact that
402 significant isoprene peroxy radical is converted to HPALD. The higher levels of
403 discrepancy is found in RO₂ between Scenario I and Scenario II in the afternoon when
404 low NO concentrations are observed, which efficiently facilitates HPALD formation.
405 Adding HO₂+isoprene peroxy radical reactions as OH recycling processes (Scenario IV
406 and V) results in significant enhancements in OH and HO₂ with respect to the base run
407 (Scenario I). RO₂ concentrations are calculated in significantly different levels between
408 Scenario IV and V. This can be again accounted by the applications of different HPALD
409 formation rates in the two different model scenarios. The higher level of OH from the
410 additional recycling process causes substantially higher RO₂ formation rates than those
411 from the scenarios without the additional recycling process. The faster HPALD formation
412 in Scenario IV is appeared to cause faster loss of RO₂ resulting in low RO₂
413 concentrations.

414 Most striking differences can be found model simulation results with or without
415 constraining observed HONO as shown in Figure 3. Model calculation results from
416 Scenario VI indicate significantly smaller OH, HO₂, and RO₂ concentrations than the
417 concentrations calculated from the counter part (Scenario IV), which contains identical
418 constraints and isoprene photochemistry except constraining observed HONO . Again,
419 this clearly indicates that more thorough evaluations not only impacts of HONO to air
420 quality but also analytical techniques should be followed to precisely constrain
421 photochemical processes in the region as argued in section 3.1.

422

423 3.3 Implications of uncertainty in HO_x model calculations in assessing photochemical
424 ozone and OVOC production.

425 Two competing chemical reactions (R3 vs. R4,5,6) determine radical distribution
426 regimes.



431

432 When the rate of R3 gets much faster than the sum of reaction rates of R4, R5,
433 and R6 then radical recycling processes become more efficient than radical destruction
434 processes. In this radical recycling regime, OH, a universal tropospheric oxidant, is well
435 buffered to maintain the elevated OH levels. On the other hand, the radical destruction
436 regime can be defined when the radical recycling rates (R3) are slower than the radical
437 destruction reaction rates (R4+R5+R6). Although it is still controversial the yield of OH
438 from (R4) for isoprene peroxy radicals which demands a serious discussion whether we
439 should regard R4 as a radical destruction reaction, we stick with the classical
440 categorization for radical recycling and destruction regimes in this study. The temporal
441 variations of radical-radical reaction rates from the model simulation scenarios are shown
442 in Figure 4. In general, the radical reaction rates are elevated as much as twice once
443 observed HONO is constrained in the model calculations (e.g. Scenario VI). This is
444 because unaccounted HONO in the model calculations cause significant underestimations

445 in the radical pool (OH+HO₂+RO₂) size with respect to the constrained HONO scenarios
446 as shown in Figure 4. In addition, as we include recently developed isoprene radical
447 chemistry, the RO₂+HO₂ reaction rates, known for a radical destruction pathway becomes
448 more faster. This is more conspicuous in the afternoon when NO concentration becomes
449 lower. The RO₂ + HO₂ reaction rates get higher than those of RO₂ + NO in the afternoon
450 for the Scenario IV and V. This is surprising, as the radical destruction regime is usually
451 associated with low NO_x conditions. Suburban regions of megacities including the TRF
452 in general show high NO_x conditions. However, radical recycling rates are determined by
453 concentrations of NO. The fraction of NO in the NO_x pool is determined by competing
454 reactions between NO₂ photolysis and oxidation reactions of NO by ozone, HO₂, and
455 RO₂ radicals. Once we assume the pseudo-steady state of NO, then NO in NO_x pool can
456 be expressed as

457

$$458 \quad [\text{NO}] = J_{\text{NO}_2}[\text{NO}_2]/(k_{\text{NO}+\text{O}_3}[\text{O}_3] + k_{\text{NO}+\text{HO}_2}[\text{HO}_2] + k_{\text{NO}+\text{RO}_2}[\text{RO}_2]) \quad (\text{R6})$$

459

460 This mathematical expression clearly shows that NO levels are dependent on NO_x
461 mostly composed of NO₂. At the same time, the fraction of NO in NO_x is anti-correlated
462 with ozone, HO₂, and RO₂ concentrations. Therefore, the size of the radical pool
463 composed of HO₂ and RO₂ is relevant for determining the fractions of NO in given NO_x
464 levels. High HO₂ and RO₂ are likely observed in high VOC regions such as forested areas.
465 This could cause a smaller fraction of NO in the given NO_x pool so radical recycling gets
466 relatively weaker compared with radical destruction reaction pathways. More quantitative

467 approaches are required to categorize radical reaction pathways rather than qualitative
468 categorization such as high or low NO_x regimes.

469 High and low NO_x regimes also have been widely used to define ozone
470 production regimes. As NO_x catalyzes ozone production and peroxy radicals expedite
471 NO_x turnover, in general, higher NO_x and VOCs conditions result in higher ozone
472 production. However, non-linearity in photochemistry of ozone production causes a
473 complexity in ozone reduction strategy (Seinfeld, 1989). Conventionally, efficient ozone
474 production can be achieved by the balance between nitric acid production rates (P_{HNO_3} ,
475 $\text{OH} + \text{NO}_2$) and peroxide production rates (P_{ROOH} , $\text{HO}_2 + \text{RO}_2$ or $P_{\text{H}_2\text{O}_2}$ $\text{HO}_2 + \text{HO}_2$)
476 (Sillman and He, 2002). The imbalance will cause ozone production sensitivity towards
477 either NO_x or VOCs. A comprehensive photochemical model analysis (Tonnesen and
478 Dennis, 2000b, a) demonstrated that in a wider range of ozone concentrations, the VOC
479 and NO_x limited regimes can be determined by the ratios of $P_{\text{H}_2\text{O}_2}$ and P_{HNO_3} . The ratio
480 range ($P_{\text{H}_2\text{O}_2}/P_{\text{HNO}_3}$) of 0.35 is regarded as the border range. In the VOC limited regime
481 ($P_{\text{H}_2\text{O}_2}/P_{\text{HNO}_3} < 0.35$), ozone production is expected to decrease with increasing NO_x and
482 increase with increasing VOCs. In the NO_x limited regime ($P_{\text{H}_2\text{O}_2}/P_{\text{HNO}_3} > 0.35$), ozone
483 production gets efficient with increasing NO_x and is insensitive to changes in VOCs
484 (Sillman and He, 2002). This categorization has guided policy-making processes whether
485 NO_x or VOC controls will be more effective in ozone reduction. A series of modeling
486 studies have been conducted to characterize ozone production regimes in the suburban
487 regions of East Asian megacities and have consistently concluded that the role of
488 isoprene is important in ozone production. However, most of these studies have
489 concluded that East Asian megacity regions are mostly in the VOC limited regime (Tseng

490 et al., 2009;Zhang et al., 2008b;Lim et al., 2011;Cheng et al., 2010;Shao et al.,
491 2009a;Shao et al., 2009b;Xing et al., 2011). Recently, however, a modeling study by Li et
492 al. (2013) in the Pearl River Delta region in China demonstrated the time dependence of
493 ozone production regimes. Specifically, with high NO_x emissions in the morning, the
494 regional ozone production regime is categorized as VOC limited. In contrast, in the
495 afternoon when the highest ozone concentrations are observed, a NO_x limited regime is
496 often found. The obvious issue to be addressed is that all of the above studies neglected
497 how the uncertainty in hydroxyl radical chemistry would affect the ozone production
498 regime evaluation. Moreover, HONO has been rarely constrained by observations in the
499 previous modeling studies. Figure 5 shows the temporal variations of P_{H₂O₂}/P_{HNO₃} from
500 the all six different model scenarios. The VOC limited ozone formation regime was
501 observed regardless of the HO_x simulation scenarios. Differences among different
502 scenario are not noticeable in the morning when NO is high but noticeable differences
503 can be noticed in the afternoon. In general, the model calculation results with faster
504 HPALD formation rates indicate higher P(H₂O₂)/P(HNO₃) in the afternoon. This analysis
505 clearly shows that it is difficult to determine the appropriate policy implementation for
506 NO_x or VOC controls to achieve ozone abatement in Asian megacities without accurate
507 understanding of radical isoprene interactions (e.g. Kim et al. (2013b)).

508 Another unresolved uncertainty in understanding tropospheric OH is its chemical
509 loss rates. The limited observations of OH reactivity in BVOC dominant environments
510 show consistent unaccounted OH chemical loss with observational datasets (Di Carlo et
511 al., 2004;Edwards et al., 2013;Kim et al., 2011;Lou et al., 2010;Nolscher et al., 2012).
512 Two different processes are speculated to cause unaccounted OH loss known as missing

513 OH reactivity: 1) primary emissions of unmeasured or unknown compounds and 2)
514 oxidation products of well-known BVOCs especially isoprene. Most studies conducted in
515 coniferous forests where monoterpenes are dominant primary BVOC emissions have
516 concluded that unmeasured or unknown primary BVOC emissions caused missing OH
517 reactivity (Sinha et al., 2010). On the other hand, studies conducted in isoprene dominant
518 environments in mostly broadleaf or mixed forests concluded the main cause of missing
519 OH reactivity as oxidation products of isoprene (Edwards et al., 2013; Kim et al., 2011).
520 Edwards et al. (2013) presented a thorough analysis on potential impacts of isoprene
521 oxidation products that are not routinely constrained by observations. The authors found
522 significant contributions from secondary oxidation products such as multi-functional
523 oxygenated compounds. Figure 6a shows the temporal variations of total OH reactivity
524 calculated from five different model scenarios (I through V). The highest and the lowest
525 OH reactivity levels were predicted from model calculations of Scenario V and Scenario
526 II, respectively. This observation is directly correlated with calculated RO₂ levels as the
527 lowest and highest RO₂ levels were calculated from Scenario II and Scenario V,
528 respectively. Since VOC precursors and trace gases were all constrained by observations
529 in the model calculations, the differences in model calculated OH reactivity should be
530 mainly caused by the oxidation products of VOCs. This can be confirmed by the
531 comparisons of model calculated formaldehyde concentrations from Scenario II and V as
532 formaldehyde is a dominant oxidation product of isoprene (Figure 6b). The differences in
533 formaldehyde levels suggest differences in OH reactivity levels from OVOCs in each
534 model simulation. In summary, uncertainty in radical distributions especially RO₂ levels
535 is directly propagated into uncertainty in OVOC formation.

536 These calculated results provide an upper limit of potential contributions from the
537 oxidation products of the constrained VOC precursors considering that the box-model
538 does not consider dry-deposition processes as Karl et al. (2010) and Edwards et al. (2013)
539 suggested that there is significant uncertainty associated with the parameterizations of dry
540 deposition especially OVOCs. Still, this analysis suggests that significant missing OH
541 reactivity (~ up to factor of two to three) can be found without constraining OVOCs.
542 OVOCs, especially multi-functional highly oxidized compounds are precursors for
543 secondary organic aerosols (VOAs). Therefore, uncertainty surrounding missing OH
544 reactivity significantly undermines our ability to constrain SOA formation and aerosol
545 growth.

546

547 **4. Summary and conclusion**

548 We presented trace gas observation results from the TRF near the center of Seoul,
549 South Korea. The dataset provides important constraints to evaluate the HO_x pool at the
550 site where both anthropogenic and biogenic influences become important factors in
551 determining oxidation capacity. Although the site is in the vicinity of a megacity with 25
552 million people, isoprene accounted for most of the OH loss from observed atmospheric
553 hydrocarbon species during the 6-day focus period in early June 2012 during a regional
554 pollution episode. In addition, observed NO_x levels were substantially lower than
555 observed values in the center of the SMA. These observations indicate that impacts of
556 aged pollution on BVOC photochemistry and aerosol formation can be observed at the
557 TRF.

558 Six different model scenarios are employed to investigate the radical (OH, HO₂,
559 and RO₂) distributions using the UWCM box-model. The observed trace gas data were
560 constrained and the photochemical mechanisms (MCM 3.2) of seven VOC species with
561 high levels at the TRF were integrated. The uncertainty in isoprene peroxy radical
562 chemistry results in a wider range of OH, HO₂, and RO₂ distributions. Unconstrained
563 HONO sources also cause a quite high level of underestimation in a radical pool
564 (OH+HO₂+RO₂). OH simulation from the different model scenarios indicates much
565 larger discrepancies (up to three times) than simulations for HO₂ and RO₂ (up to twice).
566 OH is simulated in much higher levels with the consideration of an additional OH
567 recycling channel from isoprene peroxy radical + HO₂ reactions and fast HPALD
568 formation chemistry Peeters and Muller (2010). On the other hand, the RO₂ simulations
569 indicate contrary results as HPALD formation depletes the RO₂ pool, which mostly
570 composed by isoprene peroxy radicals. These results suggest that HO₂ and RO₂
571 observations can provide pivotal information about radical recycling and isoprene peroxy
572 radical chemistry (Kim et al., 2013c; Wolfe et al., 2013). More studies on characterizing
573 existing techniques to quantify HO₂ (Fuchs et al., 2011) and developing new techniques
574 (Horstjann et al., 2013) are needed. In addition, the simulations with recently developed
575 isoprene photo-oxidation chemistry show that radical termination processes (e.g.
576 peroxide formation) get more efficient than radical recycling processes in the afternoon.
577 This may come as a surprise as in general we expect the high NO_x conditions in the
578 suburban regions of a megacity to have effective radical recycling. However, the critical
579 factor determining competing reaction channels of recycling and peroxide formation is
580 NO concentrations. Ratios of NO to NO₂ are not only correlated with NO₂ concentrations

581 and photolysis constants but also anti-correlated with RO₂, HO₂ and ozone concentrations
582 and relevant kinetic constants as shown in (R6). Therefore, a semi-quantitative term such
583 as the high 'NO_x' regime is not a proper term to define radical recycle regimes especially
584 in high radical environments (e.g. HO₂ and RO₂) such as forest environments.

585 These uncertainties in estimating the radical pool size and distribution directly
586 affect our ability for constraining photochemical ozone and OVOC production. The non-
587 linear response of ozone production to NO_x and VOC abundances are determined by OH,
588 HO₂, RO₂ and NO₂ concentrations. Regardless of which model calculation scenario we
589 adapt, the TRF photochemical state appears to be a VOC limited ozone production
590 regime. However, morning and afternoon show a very strong contrast with morning
591 having a stronger degree of VOC limitation. In addition, a noticeable range of VOC
592 sensitivity was calculated from the six different model scenarios especially in the
593 afternoon. These analysis results, therefore, strongly indicate that uncertainty in radical
594 photochemistry subsequently resulting in uncertainty in radical concentration estimations
595 directly propagates in policy-making processes in effectiveness of NO_x or VOC controls
596 in ozone reduction. In addition, OVOC production is predicted to significantly vary
597 depending on the model simulation scenarios. As the fate of these OVOCs is uncertain
598 and can include deposition, photolysis, or condensation, the implications of the
599 uncertainty in OVOC production caused by the uncertainty in radical photochemistry
600 should be addressed.

601

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606

607 **References**

608

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904 Table 1. Analytical characteristics of trace gas analyzers at TRF

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Chemical Species	Manufacturer and Model Number	Uncertainty	Lower Limit of Detection
CO	Thermo Scientific 48i TLE	10%	40 ppb
NO_x	Thermo Scientific 42i-TL	15%	50 ppt
SO₂	Thermo Scientific 43i-TLE	10%	50 ppt
ozone	Thermo Scientific 49i	5%	< 1 ppb

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909 Table 2. Terpenoid speciation analysis results from GC-MS a) branch enclosure and b)
910 ambient air samples.

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912 a)

Terpenoids	*Composition(%)	Speciation	*Composition(%)
Isoprene	0.5		
Monoterpenes	92.9	α -pinene	36.7
		camphene	13.1
		β -pinene	12.0
		β -myrcene	27.7
		α -terpinolene	1.9
		d-limonene	8.6
Sesquiterpenes	6.6	β -caryophyllene	53.2
		α -caryophyllene	46.8

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914 b)

Terpenoids	*Composition(%)	Speciation	*Composition(%)
Monoterpenes	98.6	α -pinene	38.8
		β -pinene	36.5
		camphene	13.5
		d-limonene	11
Sesquiterpenes	1.4	longifolene	100

915 *Composition is calculated based on the mixing ratio scale

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Table 3. A summary of critical differences in input parameters for four different model simulation scenarios presented in this study

	HPALD chemistry	* α	Observational Constraints
Scenario I	No	0	~All
Scenario II	#Peeters and Muller (2010)	0	~All
Scenario III	+Crouse et al. (2011)	0	~All
Scenario IV	#Peeters and Muller (2010)	2.6	~All
Scenario V	+Crouse et al. (2011)	2.6	~All
Scenario VI	#Peeters and Muller (2010)	2.6	~All but HONO

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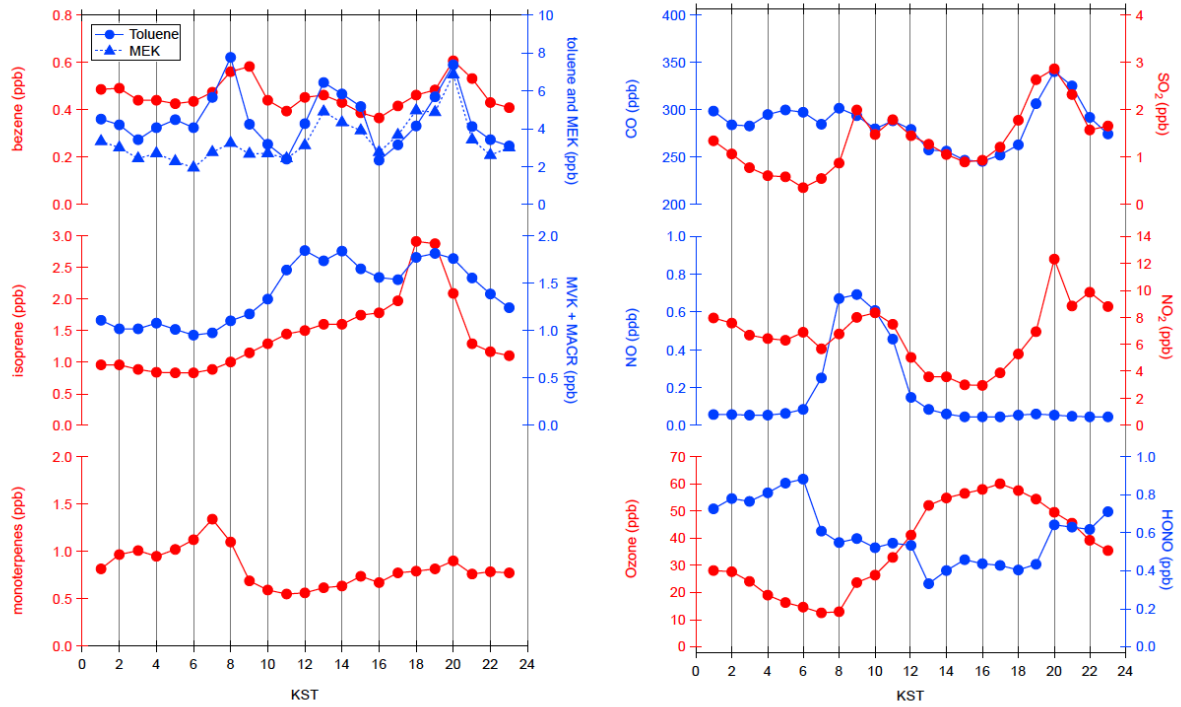
* α is an OH yield from reactions between an isoprene peroxy radical and HO₂, #k298 = ~ 0.08 for isoprene peroxy radical isomerization rate leading to produce HPALD, +k298 = 0.002 for isoprene peroxy radical isomerization rate, ~All the observed diurnal variations, appeared in Figure 1 are constrained in the model along with ambient pressure and humidity.

946 Table 4 A summary for radical distributions from the observationally constrained box-
 947 model simulation results
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Local Time	OH		HO ₂		RO ₂		Constraints
	8:00-12:00	13:00-16:00	8:00-12:00	13:00-16:00	8:00-12:00	13:00-16:00	
Scenario I	3.85×10 ⁶	3.08×10 ⁶	4.10×10 ⁸	7.02×10 ⁸	3.65×10 ⁸	1.14×10 ⁹	All
Scenario II	3.99×10 ⁶	3.69×10 ⁶	3.99×10 ⁸	7.86×10 ⁸	3.51×10 ⁸	9.62×10 ⁸	All
Scenario III	3.86×10 ⁶	3.13×10 ⁶	4.09×10 ⁸	7.09×10 ⁸	3.64×10 ⁸	1.12×10 ⁹	All
Scenario IV	4.27×10 ⁶	4.49×10 ⁶	4.29×10 ⁸	8.70×10 ⁸	3.66×10 ⁸	1.06×10 ⁹	All
Scenario V	4.21×10 ⁶	4.52×10 ⁶	4.55×10 ⁸	8.55×10 ⁸	3.86×10 ⁸	1.28×10 ⁹	All
Scenario VI	1.61×10 ⁶	1.61×10 ⁶	1.95×10 ⁸	4.82×10 ⁸	1.75×10 ⁸	7.25×10 ⁸	All but HONO
Scenario VII	1.82×10 ⁶	2.55×10 ⁶	2.09×10 ⁸	6.07×10 ⁸	1.80×10 ⁸	7.00×10 ⁸	All but HONO

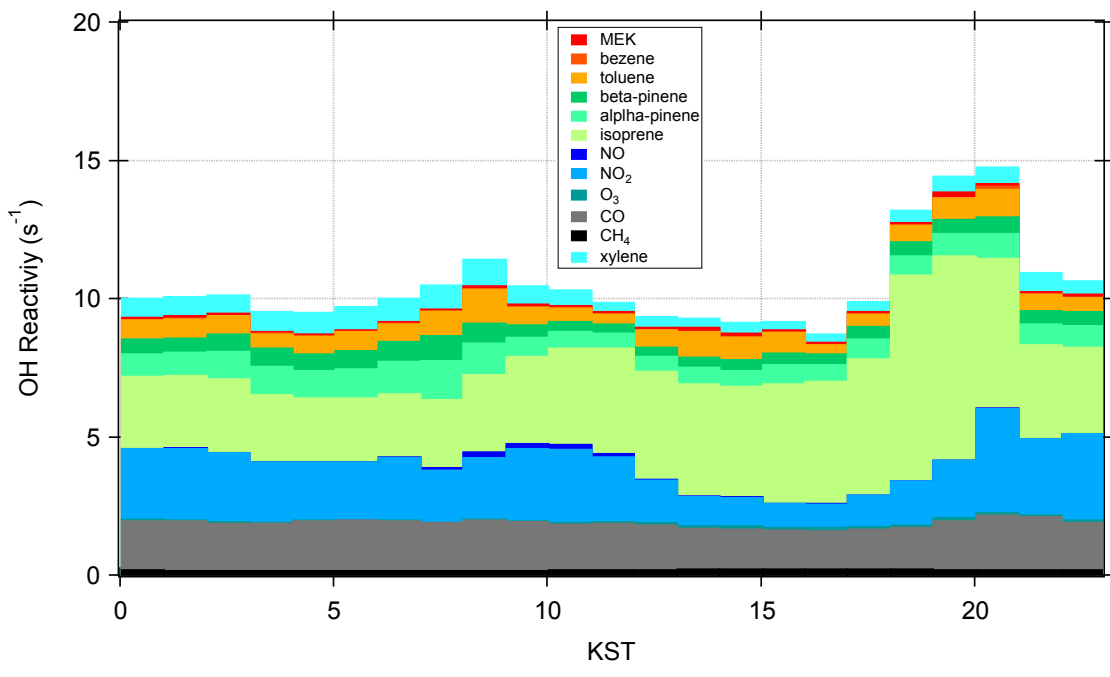
949 unit: molecules cm⁻³
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952 Figure 1. Averaged temporal variations observed trace gases at TRF (June 1st to June 6th,
953 2012).
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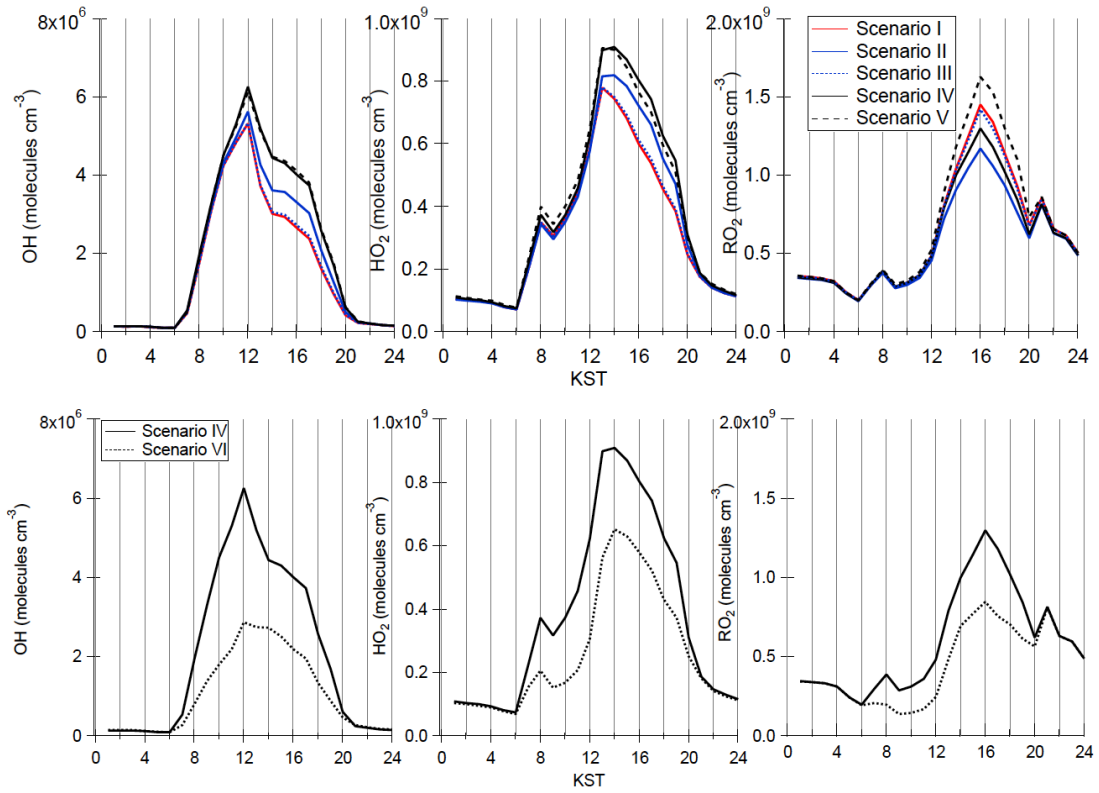
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959 Figure 2. The temporal variations of OH reactivity calculated from the observed dataset
960 at TRF (Figure 1). KST means Korean Standard Time (GMT+9).
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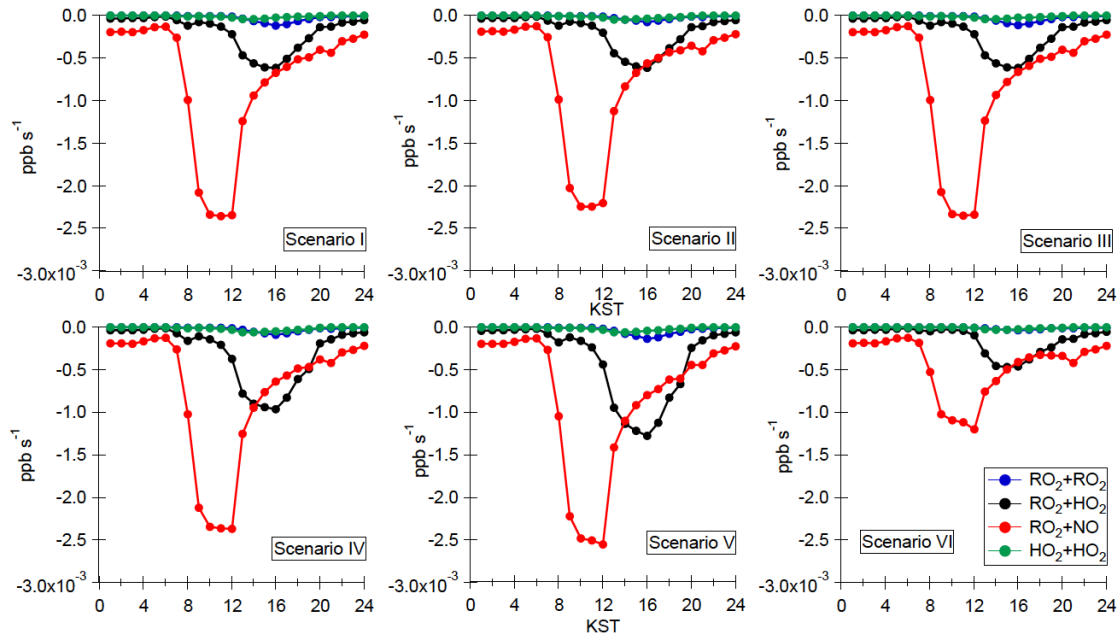
967 Figure 3. The temporal variations of OH, HO₂, and RO₂ calculated by six different
968 observationally constrained UWCM box model scenarios.
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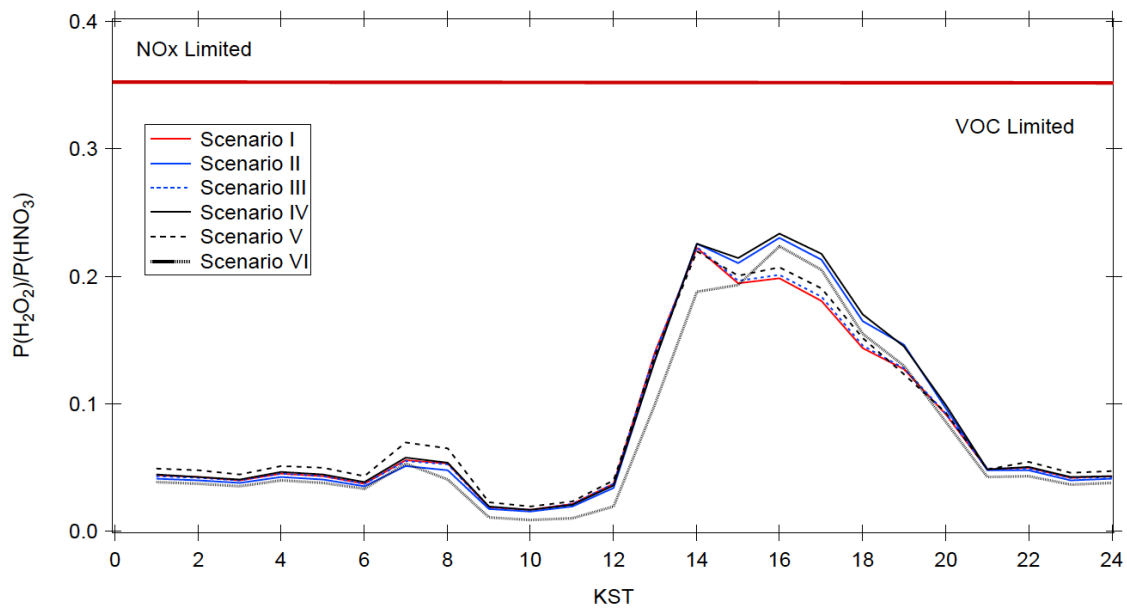
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Figure 4 The temporal variations of radical recycling (red) and destruction (blue, black and green) rates calculated using the UWCM box model for different model scenarios



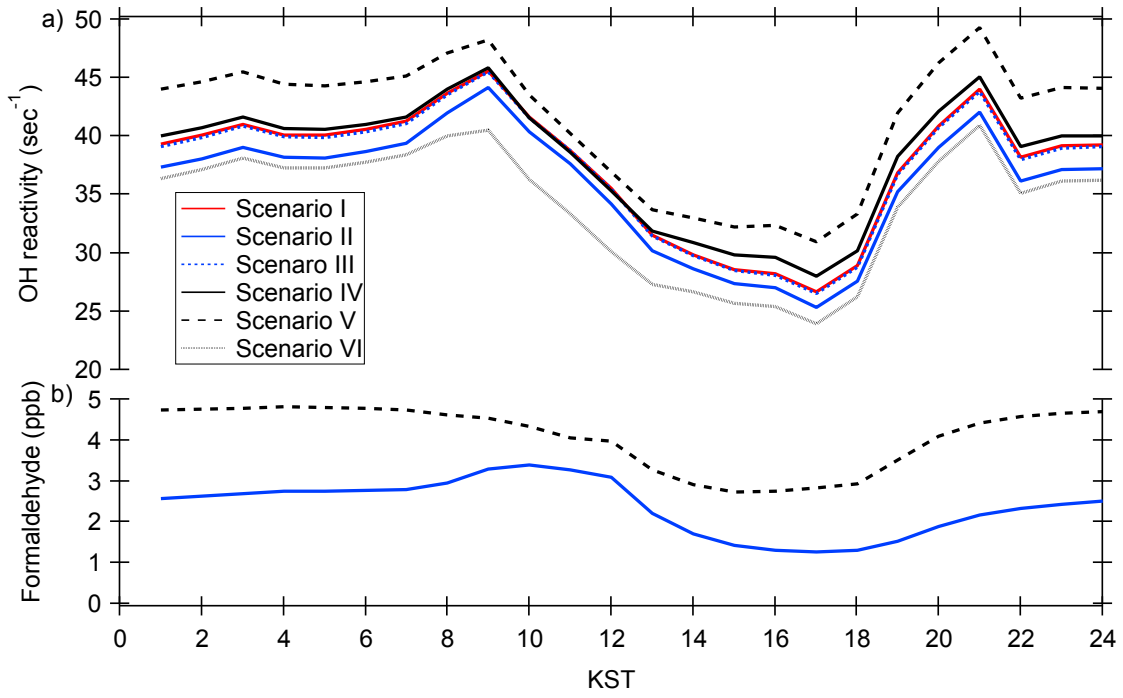
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982 Figure 5. The temporal variations of $P_{H_2O_2}/P_{HNO_3}$ calculated from the UWCM box model
983 from six different model scenarios
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989 Figure 6. The temporal distributions of UWCM calculated OH reactivity (top panel) and
990 formaldehyde (bottom panel)
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