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# The impact of aerosol hygroscopic growth on the single-scattering albedo and its application on the NO<sub>2</sub> photolysis rate coefficient

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# Abstract

Hygroscopic growth of aerosol particles can significantly affect their single-scattering albedo ( $\omega$ ), and consequently alters the aerosol effect on tropospheric photochemistry. In this study, the impact of aerosol hygroscopic growth on the  $\omega$  and its application on NO<sub>2</sub> photolysis rate coefficient ( $J_{NO_2}$ ) are investigated for a typical aerosol particle population in the North China Plain (NCP). The variations of aerosol optical properties with relative humidity (RH) are calculated using a Mie-theory aerosol optical model, on the basis of field measurements of number size distribution and hygroscopic growth factor from 2009 HaChi (Haze in China) project. Results demonstrate that ambient  $\omega$  has pronounced diurnal patterns and is highly sensitive to the ambient RHs. Ambient  $\omega$  in the NCP can be described by a dry state  $\omega$  value of 0.863, increasing with the RH following a characteristic RH dependence curve. The Monte Carlo simulation shows that the uncertainty of  $\omega$  from the propagation of uncertainties in the input parameters decreases from 0.03 (at dry state) to 0.01 (RHs > 90 %). The impact

- <sup>15</sup> of hygroscopic growth on  $\omega$  is further applied in the calculation of the radiative transfer process. Hygroscopic growth of the studied aerosol particle population generally inhibits the photolysis of NO<sub>2</sub> at the ground level, whereas accelerates it above the upper boundary layer. Compared with dry state, the calculated  $J_{\rm NO_2}$  at RH of 98% at the height of 1 km increases by 30.4%, because of the enhancement of ultraviolet ra-
- diation by the humidified scattering-dominant aerosol particles. The increase of  $J_{\rm NO_2}$  due to the aerosol hygroscopic growth above the upper boundary layer may affect the tropospheric photochemical processes and this needs to be taken into account in the atmospheric chemical models.

## 1 Introduction

<sup>25</sup> Single scattering albedo ( $\omega$ ) is one of the most important aerosol optical properties. It influences the aerosol's radiative effect as a significant uncertain factor. Defined as the





relative magnitude of absorption and scattering,  $\omega$  represents the combined effect of the two processes and acts as an indicator of aerosols' net radiative effect.

At dry conditions, the value of  $\omega$  is determined by the particle number size distribution, the relative refractive index, and the particle shape (Covert et al., 1972). Due to the complexity of aerosol processes such as production, transformation, in situ chemical reactions, and removal, the value of  $\omega$  is highly varied (Heintzenberg et al., 1997). Especially, aerosol scattering can be significantly enhanced by elevated relative humidity (RH). The hygroscopic growth of aerosol particles determines the water content in the particles and changes the composition and the size of aerosols. As a result, the value of  $\omega$  varies at different RHs. In polluted areas, compared with dry state, scattering increases by at least 50 % at RHs around 90 %, mainly from the increase of water (Cheng et al., 2008; Pan et al., 2009; Fierz-Schmidhauser et al., 2010b; Langridge

et al., 2012; Li et al., 2013). This enhancement of scattering is stronger for marine aerosols or in clean regions (Fierz-Schmidhauser et al., 2010b; Carrico et al., 2000; Adam et al., 2012), and weaker for dust aerosol (Pan et al., 2009; Carrico et al., 2000).

- Adamet al., 2012), and weaker for dust aerosol (ran et al., 2009, Carneo et al., 2000). Aerosol absorption slightly varies with RH, and is often considered to be constant. Thus the value of  $\omega$  can be RHs dependent and increase by at least 0.05 at high RHs for polluted atmosphere (Cheng et al., 2008; Fierz-Schmidhauser et al., 2010b; Li et al., 2013; Jung et al., 2009). As the ambient air is sampled in sheltered conditions in most
- of the aerosol measurements, it's very important to apply the measurement of aerosol hygroscopicity to quantifying ambient  $\omega$  (Nessler et al., 2005).

Due to the high sensitivity of radiative forcing to the variation of  $\omega$ , it is essential to obtain realistic values of  $\omega$  for climate models and photochemical models. It has long been known that the aerosol radiative forcing is sensitive to  $\omega$ , and the transition between positive and negative forcing of direct aerosol effect takes place at the value of  $\omega$  of about 0.85 (Heintzenberg et al., 1997; Cheng et al., 2008; Wang et al., 2007). Combined with the other aerosol optical properties, change of  $\omega$  at high RHs can strengthen the forcing by several times (Stock et al., 2011; Fierz-Schmidhauser et al., 2010a; Cheng et al., 2008; Massoli et al., 2009). On the other hand,  $\omega$  as well





as the aerosol optical depth ( $\tau$ ) are the decisive parameters in the determination of ultraviolet (UV) radiation and photolysis rate coefficient (Reuder and Schwander, 1999). Ozone photochemistry can be either inhibited or enhanced by aerosols also depending on  $\omega$  (Palancar et al., 2013; Li et al., 2011; Dickerson et al., 1997; Tang et al., 2003; Liu et al., 2013). The sensitivity study shows that RHs is as important as the aerosol

loading in the influence of aerosol on ozone photolysis (He and Carmichael, 1999; Jacobson, 1998).

The North China Plain (NCP) with several megacities and plenty of industries located suffers a series of severe aerosol pollutions (Xu et al., 2011; Ran et al., 2011, 2012;

- Liu et al., 2009). The rapid industrial development offers numerous sources of primary aerosols and the precursors of secondary aerosol production. The intensive use of coal and biomass fuels makes the NCP a region of high concentration of black carbon. Clouds and precipitation in this region might be modified by high aerosols loading (Zhao et al., 2006; Deng et al., 2009). Strong absorption and core-shell mixing state of light absorption and core-shell mixing state of light factors.
- <sup>15</sup> absorbing carbonaceous (LAC) were found (Ma et al., 2012, 2011). The growth factors at RHs up to 98.5 % measured by High Humidity Tandem Differential Mobility Analyzer (HH-TDMA) instrument indicated the existence of a dominant more-hygroscopic group of aerosols (Liu et al., 2011). This result agreed well with the retrieved values from the microbalance UMT-2 (Mettler Toledo, Switzerland) (Liu et al., 2014) and assisted in the
- <sup>20</sup> combination between the enhancement of extinction at high RHs and the low visibilities for hazy days (Chen et al., 2012). Regional ozone pollution occurred in the NCP, but the role of the radiation in ozone photochemistry at high aerosol condition is still uncovered (Ran et al., 2011, 2012).

In this study, the RH dependent aerosol optical properties are represented and their <sup>25</sup> influences on UV radiation are investigated. A Mie code considering the coating of aerosols (Cheng et al., 2009) and a radiation transfer model (Madronich and Flocke, 1997) are used. The descriptions of data, calculations and models are in Sect. 2. The overviews of aerosol optical properties are in Sect. 3. The results of modeled UVB



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irradiance and NO<sub>2</sub> photolysis rate coefficient ( $J_{NO_2}$ ) are represented in Sect. 4. There is the summary in Sect. 5.

# 2 Data and methodology

# 2.1 Measurements of the HaChi project

# 5 2.1.1 Sites description

The HaChi (Haze in China) project was conducted in Wuqing (39°23' N, 117°01' E) during the summer in 2009 and in the Tieta site (39°06' N, 117°10' E) during the summer in 2010, by Peking University, China and the Leibniz-Institute for Tropospheric Research (TROPOS), Germany. Wuqing is surrounded by two megacities, i.e. Beijing and Tianjin, and sensitive to the regional atmospheric pollution in the NCP. The Tieta site is located in an urban district at the northwest of Tianjin City, surrounded with plenty of traffics, industrials and buildings. Radiation and meteorological elements were measured during the campaign.

# 2.1.2 Instruments

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<sup>15</sup> Detailed information of aerosol, radiation and meteorological parameters was investigated during the HaChi campaign. The measurements were preformed in a container at maintained temperature of 22 °C. Ambient aerosols were sampled with a PM<sub>10</sub> inlet (16.67 L min<sup>-1</sup>) installed on the top of a stainless steel tube with a diameter of 3/4 inch (19 mm) and 7 m above the ground level. The aerosol samples were dried by automatic aerosol diffusion dryer to keep the sample RH below 30 %. Further information of aerosol measurements is in the relevant studies on aerosol in the HaChi project (Ma et al., 2011; Liu et al., 2009).

Particle number size distribution (PNSD) in the range of 3nm-10 µm was determined jointly by Aerodynamic Particle Sizer (APS Model 3321, TSI, Inc., Shoreview,



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MN USA) and a Twin Differential Mobility Particle Sizer (TDMPS, Leibniz-Institute for Tropospheric Research (TROPOS), Germany) with sampling frequency of 10 min operated at RH < 30 %. Aerosol absorption coefficient at 637 nm was measured by Multi-angle Absorption Photometer (MAAP Model 5012, Thermo, Inc., Waltham, MA USA)</li>
 <sup>5</sup> with a temporal resolution of 1 min and could be transformed into mass concentra-

- tion of light absorbing carbonaceous (LAC) with assumed mass absorption efficiency (MAE) of  $6.6 \text{ m}^2 \text{ g}^{-1}$ . Scattering coefficient at the wavelengths of 450, 550 and 700 nm were observed by the integrating nephelometer (TSI, Inc., Shoreview, MN USA, Model 3563) every 1 min. The growth factor at RHs from 0% and 98% was obtained from
- <sup>10</sup> the measurement of High Humidity Tandem Differential Mobility Analyzer (HH-TDMA). HH-TDMA measured Growth Factors ( $g(RH, D_p)$ ) for particles of four selected diameters (50 nm, 100 nm, 200 nm and 250 nm) at three RHs (90, 95 and 98.5%) with an absolute accuracy of ±1.2% for 98%.
- In the Tieta site, CE-318 Sun-photometer was used to measure the aerosol optical depth at the four wavelengths of 440 nm, 670 nm, 870 nm and 1020 nm. The UVB (280–320 nm) irradiance near the ground was measured using Ultraviolet Pyranometer produced by Yankee Environmental Systems (YES Inc., USA). The Pyranometer was cleaned every morning to avoid the influence of dust on the glass. Both data were averaged into hourly data during the daytime.
- 1 min data of meteorological parameters, such as wind speed, wind direction, relative humidity (RH) and temperature were observed by an automatic weather station (AWS) next to the aerosol measurement containers. The data were averaged into ten-minute averages in order to match the ten-minute PNSDs data.

# 2.2 Methodology for $\omega$ calculation

<sup>25</sup> Dependence of  $\omega$  and other aerosol optical parameters can be calculated using the averaged PNSD and the Mie code (BHCOAT), considering the aerosol hygroscopic growth and the mixing state of LAC. PNSDs of the externally mixed LAC and core-shell mixed particles can be obtained from the retrieved parameter of mixing state (Ma et al.,





2012). Size-resolved hygroscopic growth factors are calculated from size resolved hygroscopicity parameter  $\kappa$  (Chen et al., 2012). Then the size-resolved refractive indices of the core-shell mixing particles needed in the Mie code are determined.

# 2.2.1 Mixing state of LAC in the NCP

Ma et al. (2012) proposed a new method to derive the mixing state of LAC and applied it in the NCP. As a simplified aerosol model (Wex et al., 2002; Cheng et al., 2006), aerosols components are divided into two classes based on their refractive indices, i.e. the LAC and the less absorbing components (inorganic salts and acids, and most of the organic compounds). Two types of particles are assumed: externally mixed LAC
 and core-shell LAC mixed with less absorbing components coating. The mixing state of LAC for ambient aerosol is described by the ratio of the externally mixed LAC to the total mass of LAC, i.e.:

$$r_{\text{ext-LAC}} = \frac{M_{\text{ext-LAC}}}{M_{\text{LAC,obs}}}$$

20

where  $M_{\text{ext-LAC}}$  is the mass of externally mixed LAC and  $M_{\text{LAC,obs}}$  is the total mass LAC <sup>15</sup> measured by MAAP. Details about the retrieval method and the mixing state of LAC in the NCP can be found in Ma et al. (2012).

# 2.2.2 PNSDs of externally mixed LAC and core-shell mixed particles at dry state

Under the assumption in Sect. 2.2.1, PNSD of the aerosol population is comprised of PNSDs of the externally mixed LAC and the core-shell mixed particles, which are needed in the Mie calculation. At dry state, this relationship is described as

 $N(\log D_p)_{\text{measure}} = N(\log D_p)_{\text{ext-LAC}} + N(\log D_p)_{\text{core-shell}}$ 

where,  $N(\log D_p)_{\text{measure}}$  is the PNSD measured by TDMPS and APS for total aerosols,  $N(\log D_p)_{\text{ext-LAC}}$  and  $N(\log D_p)_{\text{core-shell}}$  are the PNSDs of the externally mixed LAC and 16357



(1)

(2)



the core-shell mixed particles, respectively.  $N(\log D_p)_{\text{ext-LAC}}$  can be derived from the mixing state presented by Ma et al. (2012):

$$N(\log D_p)_{\text{ext-LAC}} = N(\log D_p)_{\text{measure}} \cdot r_{\text{ext-LAC}} \cdot f_{\text{LAC}}$$

where,  $f_{LAC}$  is the volume fraction of LAC, which can be calculated by

$${}_{5} f_{\text{LAC}} = \frac{M_{\text{LAC,obs}}}{\rho_{\text{LAC}} \cdot \sum_{D_{p}} N(\log D_{p})_{\text{measure}} \cdot (\frac{\pi}{6} \cdot D_{p}^{3})}$$

where,  $\rho_{LAC}$  is the density of LAC, which is assumed to be 1.5 g cm<sup>-3</sup> in this study.

#### 2.2.3 Size-resolved hygroscopic growth factors ( $g(D_p, RH)$ )

The size-resolved hygroscopic growth factors at different RHs ( $g(D_p, RH)$ ) are calculated from the hygroscopic parameter ( $\kappa$ ) and are used in this study to obtain the ambient PNSD:

$$g(D_{p,dry}, RH) = D_p(RH)/D_{p,dry}$$

10

where,  $D_p(RH)$  and  $D_{p,dry}$  are particle diameters at specific RH and at dry state, respectively. While  $g(D_p,RH)$  for the external mixed LAC is assumed to be hydrophobic and therefore does not grow at any RH.

- <sup>15</sup> As reported by Liu et al. (2011), two groups of particles can be distinguished based on their hygroscopicity, i.e. the nearly-hydrophobic particles and the more-hygroscopic particles. In detail, the nearly-hydrophobic particles are composed mainly by the LAC and the primary organic aerosol (POA). In this study, the ambient aerosols are classified based on their mixing state, which is quite different from Liu et al. (2011). The
- <sup>20</sup> core-shell mixed aerosol is composed of not only more-hygroscopic compositions, such as inorganic salts and acids, but also nearly-hydrophobic compositions, such as POA



(3)

(4)

(5)



and LAC. The hygroscopic behavior for the core-shell mixed aerosol can not be represented exactly by Liu et al. (2011). However, the size-resolved number fractions of the core-shell mixed aerosol are higher than 94%, and much larger than the externally mixed LAC. So is the hygroscopicity of the core-shell mixed aerosol. Therefore,

the hygroscopicity of the core-shell mixed aerosol is much close to that of the aerosol population. The ensemble mean  $\kappa$  for all groups in Liu et al. (2011) can describe the hygroscopicity of the core-shell mixing particles and used in the calculation of  $g(D_p, RH)$ .

As represented by the Köhler theory (Köhler, 1936; Petters and Kreidenweis, 2007), the relationship between f and  $\kappa$  at specific temperature (T) and RH is

10 
$$\mathsf{RH} = \frac{g^3 - 1}{g^3 - (1 - \kappa)} \cdot \exp\left(\frac{4\sigma_{\mathrm{s/a}} \cdot M_{\mathrm{water}}}{R \cdot T \cdot D_{\mathrm{p}} \cdot g}\right)$$
(6)

where  $\sigma_{s/a}$  is the surface tension of the solution/air interface,  $M_{water}$  is the molecular weight of water and R is the universal gas constant. More information of the size-resolved  $\kappa$  is shown in Chen et al. (2012). By solving Eq. (7),  $g(RH,D_p)$  can be obtained for different RH and  $D_p$ . The size of each bin for PNSD at ambient condition can be then calculated with Eq. (6).

## 2.2.4 Size and RH dependence of refractive indices (m(D<sub>p</sub>,RH))

15

In order to initialize the BHCOAT model, the diameter and the complex refractive indices of the core and the shell are needed. Considering the hydrophobic LAC, the diameter of the core are constant at all RHs, i.e.:

$$D_{\text{core}} = D_{\text{p,dry}} \left( \frac{f_{\text{LAC}} - f_{\text{LAC}} \cdot r_{\text{ext-LAC}}}{1 - f_{\text{LAC}} \cdot r_{\text{ext-LAC}}} \right)^{1/3}$$

and the complex refractive index is set to be 1.96 – 0.66i (Seinfeld and Pandis, 2006).

At high RHs, the shell of aerosol uptakes water and gets dissolved, which means the completely internal mixture of the water and the less absorbing components. Both





(7)

the diameter (as shown in Eq. 6) and the refractive indices of shell change at different RHs, i.e.:

$$\tilde{m}_{\text{shell}} = f_{\text{solution}} \cdot \tilde{m}_{\text{solution}} + (1 - f_{\text{solution}}) \cdot \tilde{m}_{\text{water}}$$

Where,  $f_{\text{solution}}$  is:

$$f_{\text{solution}} = \frac{D_{\text{p,dry}}^3 - D_{\text{core}}^3}{D_{\text{p}}^3(\text{RH}) - D_{\text{core}}^3}$$

where,  $\tilde{m}_{\text{shell}}$ ,  $\tilde{m}_{\text{solution}}$ ,  $\tilde{m}_{\text{water}}$  are the refractive indices of the shell, solution (i.e. 1.53 –  $10^{-7}$ i, Wex et al., 2002) and water (i.e. 1.33 –  $10^{-7}$ i, Seinfeld and Pandis, 2006).

For the accumulation mode, the volume fraction of the solution in the shell decrease to 40 % and 20 % at the RHs of 80 % and 90 % in the NCP. As a result, the refractive indices of the shell fall from  $1.53 - 10^{-7}i$  to  $1.41 - 10^{-7}i$  and  $1.37 - 10^{-7}i$ , respectively. The volume fraction of solution is lower than 5 % at RH of 99 %, resulting in a refractive index close to  $1.33 - 10^{-7}i$ , i.e. the refractive indices of pure water, at the RH of 99 %. Consequently, the consideration of RH-dependent refractive indices is necessary for the calculation of  $\omega$ .

#### 15 2.2.5 Mie code

The Mie code used in the study is improved from the BHCOAT code (Bohren and Huffman, 2008; Cheng et al., 2009). In the Mie theory (Mie, 1908), the scattering efficiency  $(Q_{sp})$  for a particle in specific size can be calculated by integrating the intensity function  $|S(\theta, x, m)|$  from 0° to 180°, i.e.

<sup>20</sup> 
$$Q_{sp} = \frac{1}{x^2} \int_{\theta} |S(\theta, x, m)| \sin(\theta) d\theta$$

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(8)

(9)

(10)



where,  $x = \pi D_{\rm p} / \lambda$ .  $D_{\rm p}$  is the volume equivalent diameter of particles.  $\lambda$  is the wavelength of radiation.  $\theta$  is the scattering angle.

Then the aerosol scattering efficient ( $\sigma_{sp}$ ) is calculated with:

$$\sigma_{\rm sp} = \int_{D_{\rm p}} Q_{\rm sp} \cdot \left(\frac{\pi}{4}D_{\rm p}^2\right) \cdot N(\log D_{\rm p}) \cdot d\log D_{\rm p} \tag{1}$$

s where  $N(\log D_p)$  is the PNSD.  $\sigma_{sp}$  and  $\sigma_{ap}$  of externally mixed LAC and core-shell mixed particles are individually calculated with Eq. (13). And the overall  $\sigma_{sp}$  and  $\sigma_{ap}$  of ambient aerosol can be obtained as the sum of those two. The aerosol extinction coefficient comes ( $\sigma_{ep}$ ) defines as  $\sigma_{ep} = \sigma_{sp} + \sigma_{ap}$ , and  $\omega$  defines as  $\omega = \sigma_{sp} / \sigma_{ep}$ , as expected.

#### 2.3 The NCAR-TUV model

TUV (Tropospheric Ultraviolet and Visible Radiation, NCAR) is an advanced radia-10 tive transfer model with eight-stream discrete ordinate solver. Giving the information of aerosol and cloud, this model can calculate spectral irradiance at wide range of wavelengths (121-735 nm) and photolysis rate coefficient of important photochemical reactions in atmosphere at specific location and time. In this paper, irradiance at the wavelengths from 280 nm to 320 nm is calculated and compared with observation.  $J_{NO_{a}}$ 15 is used to investigate the influence of aerosol of ozone photochemistry.

#### Impact of hygroscopic growth on aerosol optical properties 3

3.1 Overview of the ambient aerosol optical properties

Ambient aerosol optical characteristics, including  $\sigma_{ep}$ ,  $\sigma_{sp}$ ,  $\sigma_{ap}$  and  $\omega$ , are calculated using the method introduced in Sect. 2 for with the dataset measured during HaChi 20 summer campaign. The aerosol optical properties as well as the meteorological parameters during the observation period are shown in Fig. 1. For the majority of the





1)

observation, the 1 min wind speed is mostly lower than  $5 \text{ m s}^{-1}$  (Fig. 1a) and the ambient RH is mostly between 60 and 95% (Fig. 1b). The overall  $\sigma_{sp}$  is among the range of  $100 \text{ Mm}^{-1}$  and  $5000 \text{ Mm}^{-1}$ , while the  $\sigma_{ap}$  is mainly lower than  $200 \text{ Mm}^{-1}$  (Fig. 1d). As a result, the majority  $\omega$  is higher than 0.85 and is always close to 0.95 (Fig. 1c), which is with a good agreement with the retrieved values from 0.85 to 0.95 by the Aerosol Robotic Network (AERONET) (Dubovik et al., 2002).

Because of the aerosol hygroscopic growth, the sensitivity of the  $\sigma_{sp}$  to the ambient RH is strong and the diurnal pattern of the  $\sigma_{sp}$  is similar as that of RH. The correlation between the RH and the  $\sigma_{ap}$  is not as significant as that for  $\sigma_{sp}$ , as expected. The weakening effect of the wind on both  $\sigma_{ap}$  and  $\sigma_{ap}$  can also be found, for example on 25 July and 14 August. But at very high ambient RH, the decrease of  $\sigma_{sp}$  could be neutralized by the enhancement due to the hygroscopicity. As a consequence, the  $\omega$  is affected pronouncedly by the RH and unaffected by the wind speed. From 17 July to 18 July, when both the RH and the wind speed were high, the  $\omega$  approached to the

<sup>15</sup> high value of 0.96 during the day, with relatively lower  $\sigma_{ap}$  comparing with  $\sigma_{sp}$ . On the contrast, for example on 16 July, 22 July and 13 August, with typical wind speed (about  $3 \text{ m s}^{-1}$ ) and extremely low RH (about 50%), the decrease of the  $\sigma_{sp}$  was stronger than the  $\sigma_{ap}$ , and  $\omega$  reached the low value of 0.75, 0.7 and 0.75, respectively. The value of  $\omega$  is not sensitive to the direction of the wind speed as well.

<sup>20</sup> In Fig. 2, the diurnal variations of aerosol optical properties are analyzed and verified by calculating the autocorrelation-coefficient. Pronounced diurnal patterns are found in all variables with a maximum at about 06:00 LT and a minimum at about 16:00 LT  $\sigma_{sp}$  range mostly from about 500 Mm<sup>-1</sup> to about 2000 Mm<sup>-1</sup> (Fig. 2b), and  $\sigma_{ap}$  ranges mostly from about 40 Mm<sup>-1</sup> to about 100 Mm<sup>-1</sup> (Fig. 2c). The ambient <sup>25</sup>  $\omega$  reached its maximum (about 0.95) at about 06:00 LT and minimum (about 0.9) at about 18:00 LT (Fig. 2d), mainly attributed to the diurnal variation of ambient RH. These outstanding diurnal patterns are verified by the high values (larger than 0.1) of autocorrelation-coefficient at the time intervals of 24 h (Fig. 2f). This conspicuous





diurnal pattern of ambient  $\omega$  may cause large variation of aerosol radiative forcing in climate model.

The diurnal patterns of the ambient  $\sigma_{ep}$ ,  $\sigma_{sp}$  and  $\sigma_{ap}$  are similar with their diurnal patterns at dry state found by Ma et al. (2011). However, as Ma et al. (2011) reported,  $\omega$  at dry state reaches its peak at noon and gets its bottom in nearly morning and in the evening, and the diurnal pattern of the  $\omega$  is less notable. By taking aerosol hygroscopicity into account, the diurnal pattern of  $\sigma_{sp}$  is amplified because of the similar diurnal variation of ambient RH. However, the absorption is less influenced by the hygroscopic growth of particles. This large difference of amplification between  $\sigma_{sp}$  and  $\sigma_{ap}$  leads to

the large modification of the diurnal pattern of ambient  $\omega$  from dry state.

# 3.2 The RH dependent aerosol optical properties

The aerosol optical properties are shown along with the ambient RHs in Fig. 3. The increases of  $\sigma_{ep}$  and  $\sigma_{sp}$  with the increase of RH are significant, although slight decreases occur at RHs around 90 % (Fig. 3a and b). So do the standard deviations (std) <sup>15</sup> of  $\sigma_{ep}$  and  $\sigma_{sp}$ . However,  $\sigma_{ap}$  fluctuate slightly with the increase of RH (Fig. 3c). As a result, the enhancement of  $\omega$  is sustained, from about 0.89 at a RH of 55 % to about 0.95 at a RH of 94 % (Fig. 3d). More detailed statistical characteristics of these aerosol optical properties at different ranges of ambient RHs are listed in Table 1. It was found that the increase of the ambient  $\omega$  from 0.87 to 0.96 brought about nearly 4.5 times enhancement of aerosol direct radiative forcing (Cheng et al., 2008). In the NCP, it's reasonable that the negative radiative forcing will be strengthened by the increase of  $\omega$ .

In addition, a specific case (the AVG-PRM case, the dotted lines in Fig. 3) used as average parameters is calculated at different RHs. The variations of the optical quantities in this case are smooth and monotonic. The accordance between the  $\omega$  in the AVG-PRM case and the ambient mean  $\omega$ , is achieved, especially at high RHs. The AVG-PRM case can is representative of the average status of the aerosol at various RHs in the NCP in summer and will be used in the following analysis of  $J_{NO_2}$  profile.



The absorption of aerosol in the NCP seems to be independent from RH in Fig. 3c, which is according with Pan et al. (2009). Therefore, the value of  $\omega$  at ambience can be estimated from the two independent parameters: the ambient RH and  $\omega$  at dry state ( $\omega_0$ ) (Pan et al., 2009). The relationship among  $\omega$ , RH and  $\omega_0$  are presented in Fig. 4b, along with the frequency distribution of the measured  $\omega_0$  (Fig. 4a) and RH (Fig. 4c). As expected, the  $\omega$  approaches higher value at either higher  $\omega_0$  or higher RHs. In detail, the  $\omega$  is more sensitive to  $\omega_0$  at lower RHs and more sensitive to RH at higher RHs.

 $\omega_0$  mainly distributes in the range of 0.8–0.95 and has an average of about 0.863 (Fig. 4a), which agrees with the result in Ma et al. (2011). Considering that over half of  $\omega_0$  is among 0.85–0.9, the value of 0.863 is representative in the NCP. The ambient RHs distribute almost evenly between 60 and 95%, apart from the higher frequency at around 95% (Fig. 4c). It is essential to take the enhancement of  $\omega$  at high RHs into account. To sum up, the majority of  $\omega$  in the NCP can be described as the RH dependence at  $\omega_0$  of 0.863, i.e.  $\omega(\omega_0 = 0.863, \text{RH})$ , named as the AVG-PRM case.

- <sup>15</sup> The overall influence of input parameters of the Mie model on the  $\omega$  at different RHs is investigated by a Monte Carlo simulation. As shown in Table 2, the uncertainties of both the measurements and the constants are assumed based on previous studies (Wiedensohler et al., 2012; Petzold and Schonlinner, 2004; Cheng et al., 2006). Detailed description can be found of Ma et al. (2012). The  $\kappa_i$  in each modes and the
- $r_{ext-LAC}$  mentioned in Sect. 2.2 are obtained from the results of relevant studies (Ma et al., 2012; Liu et al., 2011). Considering the wide range of the ambient RHs, the Monte Carlo simulations are conducted independently at different RHs. There are 2000 runs in each simulation and the standard deviations of  $\omega$  reveal the uncertainty of  $\omega$  calculation.
- <sup>25</sup> The results of the Monte Carlo simulations are listed in the Table 3. The standard deviation of  $\omega$  is smaller at higher RH, ranging from 0.0308 at dry state to 0.0124 at the RH of 93%. Moreover, we calculated  $d\omega/dRH$  and analyzed its influence on the standard deviation of  $\omega$ .  $d\omega/dRH$  is multiplied with the standard deviation of the RHs and then divides the standard deviation of the simulated  $\omega$ , i.e.  $(\sigma_{RH} \frac{d\omega}{dRH})/\sigma_{\omega}$ . This variable





represents the contribution of the uncertainty of measured RH on the uncertainty of calculated  $\omega$ . The results are characterized with the extremely low contribution (< 5%) at low RHs and the main contribution (> 50%) at high RHs. These main contributions of the uncertainty of RH to the uncertainty of  $\omega$  reflect the importance of the aerosol hygroscopic growth on the calculation of  $\omega$  at high RHs.

# 4 Impact of the aerosol hygroscopic growth on $J_{NO_2}$ profile: an application

# 4.1 The relationship between the modeled UVB irradiance and $\omega$

 $J_{NO_2}$  is affected pronouncedly by the UVB irradiance, which is determined by the solar zenith ( $\theta$ ),  $\omega$  and  $\tau$ . As shown in Fig. 5a, both the measured (dots) and the calculated (lines) UVB irradiance decreases with the increasing of secant of solar zenith angle (sec( $\theta$ )) and  $\tau$ . When  $\omega$  is higher, UVB irradiance becomes larger. Clearer details are shown in Fig. 5b. The measured UVB at higher  $\tau$  (the dots with warmer colors) is closer to the modeled UVB at higher  $\omega$  (solid lines), while the measured UVB at lower  $\tau$  (colder dots) is closer to the modeled UVB at lower  $\omega$  (dashed lines). The relative deviations of UVB between the two  $\omega$  conditions increase from about 10 % ( $\tau$  is 0.5) to about 700 % ( $\tau$  is 4.5). At higher  $\tau$  (or RHs), the larger difference between high  $\omega$  and low  $\omega$  reveals the importance of accurate value of  $\omega$  for the UVB irradiance simulation. It is essential to take the aerosol hygroscopic growth into consideration in UVB simulation.

In order to understand the influence of RH-dependent  $\omega$  on the UVB irradiation, the

- <sup>20</sup> UVB irradiations both near the ground and above the boundary layer are calculated in three cases, i.e. the high- $\omega$  case, the low- $\omega$  case and the AVG-PRM case. In the high- $\omega$  case and the low- $\omega$  case, UVB irradiations are calculated at a fixed  $\omega$  with different  $\tau$ , similar with Dickerson et al. (1997). In the AVG-PRM case, both  $\tau$  and  $\omega$ vary a lot with RHs, as presented in Table 4. As shown in Fig. 6, the UVB irradiation
- in all cases near the ground (the solid lines) decrease as  $\tau$  rises up. The decrease of low- $\omega$  case is stronger than the high- $\omega$  case. For the AVG-PRM case, a transformation





of the UVB irradiation from the low- $\omega$  case to high- $\omega$  case can be recognized. In detail, when  $\tau$  is about 1.6,  $\omega$  is higher than 0.96 and the closer value to the high  $\omega$  case is expected. Considering this stronger increase of  $\omega$  than  $\tau$  at RHs lower than 90%, the transformation mentioned above is rapid. At the top of boundary layer (dashed lines),

- as *τ* increases, the UVB irradiations in low-*ω* case decrease while the UVB irradiations in high-*ω* case decrease slowly only at high *τ*. Similar with the result at the surface, UVB irradiation in the AVG-PRM case gets closer to high-*ω* case quickly as *τ* increases and keeps undiminished until *τ* becomes higher than 2.5. In the polluted NCP in moist summer, both the aerosol loading and the ambient RHs are always high, resulting in both high *τ* and high *ω*. High UVB irradiance is likely to happen at high *τ* and is going
- to affect relevant radiative processes, such as the photolysis of ozone and  $NO_2$ .

# 4.2 The influence of aerosol hygroscopic growth on the $J_{NO_2}$ profile

In Fig. 7a, b, d and e, we represent the modeled  $J_{NO_2}$  at different conditions to study the influence of RH-dependent  $\omega$  on photolysis. The  $\omega$  are 0.863 (lowest value in the NCP) and 0.985 (highest value in the NCP), respectively. For the  $J_{NO_2}$  profile at  $\tau$  of 0 (or the original profile), the surface  $J_{NO_2}$  is about  $0.011 \, \text{s}^{-1}$ , and the maximum of  $J_{NO_2}$  exists in the higher level, with its value of about  $0.014 \, \text{s}^{-1}$ . As  $\tau$  increases,  $J_{NO_2}$  decrease mostly in low- $\omega$  case, especially in the range of 2 km above the ground (Fig. 7a and d). For high- $\omega$  case,  $J_{NO_2}$  decrease near the ground but increase at the height higher

- <sup>20</sup> than 2 km. At the height of 2 km,  $J_{NO_2}$  at  $\tau$  of 4.5 is about 0.019 s<sup>-1</sup>, enhanced by about 58.3 % compared with  $J_{NO_2}$  at  $\tau$  of 0 (Fig. 7b and e). At the height lower than 2 km and above the surface,  $J_{NO_2}$  increase firstly and decrease later. For  $J_{NO_2}$  1 km above the ground, increase by 39 % and then decrease by 6 %. These results are in accordance with the study of Dickerson et al. (1997).
- In Fig. 7c and f, the vertical profiles of  $J_{NO_2}$  at different  $\tau$  are calculated with the AVG-PRM case. Similar to the high- $\tau$  case,  $J_{NO_2}$  at high altitudes become larger at higher  $\tau$ . At the height of 1 km,  $J_{NO_2}$  increases by 58.3% at  $\tau$  of 4.16, that is larger than the



high- $\omega$  case. At the height lower than 2 km and above the surface, the decreases of  $J_{\rm NO_2}$  start at lower altitude and are smaller than the high- $\omega$  case. And at the height of 1 km,  $J_{\rm NO_2}$  increases by 30.4 % at  $\tau$  of 4.16. This feature may lead to a higher  $J_{\rm NO_2}$  at the top of the boundary layer in the AVG-PRM case.

- $^{5}$  d $J_{NO_{2}}/d\tau$  at the height of 1 km for different  $\tau$  are shown in Fig. 8. In low- $\omega$  case, the negative d $J_{NO_{2}}/d\tau$  is maintained and reaches its minimum when  $\tau$  is between 2 and 2.5. In the high- $\omega$  case, growth rate is positive when  $\tau$  is lower than 2.5 and becomes negative when  $\tau$  is higher than 3, resulting in a maximum of  $J_{NO_{2}}$  when  $\tau$  is between 2.5 and 3. In the AVG-PRM case, d $J_{NO_{2}}/d\tau$  is positive when  $\tau$  is lower than 4 and
- <sup>10</sup> is likely to keep positive as  $\tau$  increases. Compared with the high- $\omega$  case,  $dJ_{NO_2}/d\tau$ in the AVG-PRM case is higher and stays positive, resulting in higher  $J_{NO_2}$  at higher  $\tau$  conditions. This higher increase of  $J_{NO_2}$  may result in weaker decrease of ozone photolysis in polluted conditions and the heavy ozone pollution may take place along with high  $\tau$  in the moist and polluted NCP.

## 15 5 Summary

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In this paper, the aerosol optical properties at different RHs, including  $\sigma_{ep}$ ,  $\sigma_{sp}$ ,  $\sigma_{ap}$  and  $\omega$ , are calculated with a Mie model based on the aerosol measurements during the HaChi project. The impact of the aerosol hygroscopicity on  $\omega$  and the corresponding uncertainty are analyzed. As an implication, the influence of RH-dependent  $\omega$  on the UVB irradiance and the  $J_{NO_2}$  are also investigated.

The hygroscopic growth influences not only aerosol PNSDs but also the refractive index of aerosol. In this study, the shell of the core-shell mixed aerosol is assumed to be composed of the less absorbing components with the refractive index of  $1.53 - 10^{-7}$ i. As the RH increases, liquid water is untaken by the shell and the less absorbing

<sup>25</sup> components get dissolved. The refractive index of the shell is determined by the water content and the solution together, and decreases with the RH. At the RHs of 90 %, the





refractive index of the shell of the accumulation mode aerosol decreases to  $1.37 - 10^{-7}$ i, which is close to the refractive index of water. The variations of the refractive indices and PNSDs with RHs will modify the aerosol optical properties by Mie theory.

- Ambient aerosol optical characteristics, such as  $\sigma_{ep}$ ,  $\sigma_{sp}$ ,  $\sigma_{ap}$  and  $\omega$ , during HaChi summer campaign are calculated. A significant sensitivity of the  $\omega$  to the ambient RH is recognized, which is mainly attributed to the variations of refractive indices and the aerosol size due to hygroscopic growth. Because of the sensitivity to the RH, the diurnal patterns of  $\sigma_{ep}$  and  $\sigma_{sp}$  are evident and similar to the diurnal pattern of ambient RH. Compared with the diurnal patterns at dry state in Ma et al. (2011), the variations of
- <sup>10</sup> the ambient  $\sigma_{\rm ep}$  and the ambient  $\sigma_{\rm sp}$  are amplified pronouncedly. The diurnal variation of the ambient  $\sigma_{\rm ap}$  is gentle and similar with that at dry state in Ma et al. (2011). Due to the strong enhancement of  $\sigma_{\rm sp}$  and the slight increase of  $\sigma_{\rm ap}$  with RHs, the diurnal pattern of  $\omega$  is significant and changes a lot compared with that at dry state reported by Ma et al. (2011). Considering the insensitivity of  $\sigma_{\rm ap}$  to RHs, the ambient  $\omega$  can
- <sup>15</sup> be determined by its value at dry state, i.e.  $\omega_0$ , and RHs.  $\omega_w$  during HaChi campaign concentrate mostly at the value of 0.863 by analyzing its frequency. Therefore, the RH dependence of  $\omega$  in the NCP can be represented by a dry state  $\omega$  of 0.863, increasing with the RH following a characteristic RH dependence curve (the AVG-PRM case). This representative RH-dependent  $\omega$  can be used in the calculation of the radiative transfer
- <sup>20</sup> process. The uncertainty of the calculation of  $\omega$  due to the uncertainty of the input parameters in Mie model is also investigated by Monte Carlo simulations. The result shows that the standard deviation of  $\omega$  decreases from 0.03 at lower RHs to 0.015 at RHs higher than 90 %.

The RH-dependent  $\omega$  is applied in the analysis of  $J_{NO_2}$  profile to evaluate the impact of aerosol hygroscopic growth on photochemistry.  $J_{NO_2}$  is determined by the UVB irradiances and thus affected by aerosol optical properties. The influence of  $\omega$  on the UVB irradiances is investigated by comparing the modeled UVB irradiances and measured UVB irradiances. Good agreement between the model result and the observation is reached. It is demonstrated that the modeled UVB irradiances are sensitive to



ω, specially at high τ, indicating the importance of the accuracy of ω in the calculation of UVB irradiances. Then the UVB irradiation at the RH-dependent ω condition (the AVG-PRM case) is analyzed. The variations of the UVB irradiation with τ at the RH-dependent ω are close to those at fixed high-ω. This similarity between the RH-dependent ω case and fixed high-ω case results from the stronger enhancement of ω than τ at RHs lower than 90%, and is important in the study of  $J_{NO_2}$ . Previous studies show that at fixed high-ω,  $J_{NO_2}$  at the top of the boundary layer increase with τ. The amplification of  $J_{NO_2}$  can weaken the inhibition of the aerosol on ozone photolysis and may bring about simultaneous high aerosol loading and high ozone concentration. In this study,  $J_{NO_2}$  at the RH-dependent ω is found to increase with τ as well. At τ of 4.16,  $J_{NO_2}$  at the height of 1 km increases by 30.4% compared with that at τ of 0.51. The weakening of suppression of ozone production by aerosol is likely to happen in the polluted and moist NCP, and may help for stronger ozone production in polluted conditions. The increase of  $J_{NO_2}$  due to the aerosol hygroscopic growth above the upper boundary layer may affect the ozone photochemistry and this should be introduced and

evaluated in the atmospheric chemical models.

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RH/%		50–60	60–70	70–80	80–90	> 90
$\sigma_{\rm ep}/{\rm Mm}^{-1}$	Mean	478	654	953	1384	1479
·	Std	252	363	535	839	746
	Median	415	558	771	1185	1349
$\sigma_{\rm sp}/{\rm Mm}^{-1}$	Mean	429	599	885	1309	1421
-1-	Std	230	335	503	802	715
	Median	371	515	729	1120	1305
$\sigma_{\rm ap}/{\rm Mm}^{-1}$	Mean	50	56	68	75	58
ср	Std	30	34	39	48	40
	Median	38	46	60	65	49
Ŵ	Mean	0.887	0.912	0.924	0.943	0.961
	Std	0.050	0.028	0.028	0.022	0.015
	Median	0.892	0.919	0.931	0.949	0.966

Table 1. Statistic values of aerosol optical properties measured at different ranges of RHs.



Table 2. Uncertainties of the input parameters in the Monte Carlo simulations.

Item	Relative Standard Deviation %
$D_{p,TDMPS} D_{p,APS} N_{TDMPS,3-20 nm} N_{TDMPS,20-200 nm} N_{TDMPS,20-700 nm} N_{APS} \sigma_{ap} MAE = 6.6 m^2 g^{-1} \rho_{LAC} = 1.5 g cm^{-3} n_{LAC} = 1.80 i_{LAC} = 0.54 n_{non} = 1.55 i_{non} = 1 \times 10^{-7} r_{ext-LAC} k_{50 nm} = 0.25 k_{100 nm} = 0.27$	1.1 3 10 3.3 8.3 3.3 4 9.1 11 0.5 0 4 6.6 40 24 15
$\kappa_{200\rm nm} = 0.38$ $\kappa_{250\rm nm} = 0.39$	13
RH	3



**Discussion** Paper **ACPD** 14, 16351-16386, 2014 The impact of aerosol hygroscopic growth on the **Discussion** Paper single-scattering albedo J. C. Tao et al. **Title Page** Introduction Abstract **Discussion Paper** Conclusions References **Tables** Figures 4 Close Back **Discussion** Paper Full Screen / Esc **Printer-friendly Version** Interactive Discussion

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$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	RH (%)	$\sigma_{\omega}$	<u>dø</u> dRH	$egin{pmatrix} \sigma_{RH} rac{d \omega}{d R H} \ / \sigma_{\omega} \ (\%) \end{pmatrix}$
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	0	0.0308	0.024	2.3
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	10	0.0285	0.034	3.5
30      0.0282      0.058      6.1        40      0.0260      0.065      7.51        50      0.0247      0.092      11.2        60      0.0227      0.114      15.1        70      0.0200      0.155      23.2        80      0.0174      0.192      33.0        82      0.0159      0.240      45.3        84      0.0154      0.240      46.8        86      0.0144      0.260      54.2        88      0.0136      0.285      62.9        90      0.0133      0.313      70.7        91      0.0128      0.320      75.0        92      0.0127      0.320      75.6        93      0.0124      0.325      78.6	20	0.0289	0.057	5.9
400.02600.0657.51500.02470.09211.2600.02270.11415.1700.02000.15523.2800.01740.19233.0820.01590.24045.3840.01540.24046.8860.01440.26054.2880.01360.28562.9900.01330.31370.7910.01280.32075.0920.01270.32075.6930.01240.32578.6	30	0.0282	0.058	6.1
500.02470.09211.2600.02270.11415.1700.02000.15523.2800.01740.19233.0820.01590.24045.3840.01540.24046.8860.01440.26054.2880.01360.28562.9900.01330.31370.7910.01280.32075.0920.01270.32075.6930.01240.32578.6	40	0.0260	0.065	7.51
600.02270.11415.1700.02000.15523.2800.01740.19233.0820.01590.24045.3840.01540.24046.8860.01440.26054.2880.01360.28562.9900.01330.31370.7910.01280.32075.0920.01270.32075.6930.01240.32578.6	50	0.0247	0.092	11.2
700.02000.15523.2800.01740.19233.0820.01590.24045.3840.01540.24046.8860.01440.26054.2880.01360.28562.9900.01330.31370.7910.01280.32075.0920.01270.32075.6930.01240.32578.6	60	0.0227	0.114	15.1
800.01740.19233.0820.01590.24045.3840.01540.24046.8860.01440.26054.2880.01360.28562.9900.01330.31370.7910.01280.32075.0920.01270.32075.6930.01240.32578.6	70	0.0200	0.155	23.2
820.01590.24045.3840.01540.24046.8860.01440.26054.2880.01360.28562.9900.01330.31370.7910.01280.32075.0920.01270.32075.6930.01240.32578.6	80	0.0174	0.192	33.0
840.01540.24046.8860.01440.26054.2880.01360.28562.9900.01330.31370.7910.01280.32075.0920.01270.32075.6930.01240.32578.6	82	0.0159	0.240	45.3
860.01440.26054.2880.01360.28562.9900.01330.31370.7910.01280.32075.0920.01270.32075.6930.01240.32578.6	84	0.0154	0.240	46.8
88      0.0136      0.285      62.9        90      0.0133      0.313      70.7        91      0.0128      0.320      75.0        92      0.0127      0.320      75.6        93      0.0124      0.325      78.6	86	0.0144	0.260	54.2
900.01330.31370.7910.01280.32075.0920.01270.32075.6930.01240.32578.6	88	0.0136	0.285	62.9
910.01280.32075.0920.01270.32075.6930.01240.32578.6	90	0.0133	0.313	70.7
920.01270.32075.6930.01240.32578.6	91	0.0128	0.320	75.0
93 0.0124 0.325 78.6	92	0.0127	0.320	75.6
	93	0.0124	0.325	78.6

**Table 3.** The standard deviation of  $\omega(\sigma_{\omega})$ , the growth rate of  $\omega$  with RHs  $(\frac{d\omega}{dRH})$  and the contribution of RH to the uncertainty of  $\omega((\sigma_{\rm RH} \frac{d\omega}{dRH})/\sigma_{\omega})$  at different RHs.

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RH/%	τ	Ø
0	0.51	0.863
15	0.53	0.872
30	0.55	0.878
45	0.59	0.887
55	0.64	0.895
64	0.69	0.904
73	0.79	0.916
86	1.12	0.942
90	1.39	0.954
92	1.61	0.961
94	1.99	0.968
95	2.24	0.972
96	2.64	0.976
97	3.19	0.980
98	4.16	0.985

**Table 4.** The values of  $\tau$  and  $\omega$  at selected RHs from the AVG-PRM case.







































**Figure 6.** The dependence of UVB irradiance with  $\tau$  at the surface (solid lines) and at the top of the boundary layer (dashed lines) in three cases:  $\omega$  is 0.985 (circle),  $\omega$  is 0.863 (square) and RH-dependent  $\omega$  (AVG-PRM case, triangle).













Figure 8. The growth rate of  $J_{NO_2}$  as  $\tau$  goes on in three cases:  $\omega$  is 0.985 (circle),  $\omega$  is 0.863 (square) and RH-dependent  $\omega$  (AVG-PRM case, triangle).



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