
1 **The impact of aerosol hygroscopic growth on the**
2 **single-scattering albedo and its application on the NO₂**
3 **photolysis rate coefficient**

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12
13 **Abstract**

14 Hygroscopic growth of aerosol particles can significantly affect their single-scattering albedo (ω),
15 and consequently alters the aerosol effect on tropospheric photochemistry. In this study, the impact of
16 aerosol hygroscopic growth on ω and its application to the NO₂ photolysis rate coefficient (J_{NO_2}) are
17 investigated for a typical aerosol particle population in the North China Plain (NCP). The variations
18 of aerosol optical properties with relative humidity (RH) are calculated using a Mie-theory aerosol
19 optical model, on the basis of field measurements of number size distribution and hygroscopic
20 growth factor (at RH values above 90%) from 2009 HaChi (Haze in China) project. Results
21 demonstrate that ambient ω has pronouncedly different diurnal patterns from ω measured at dry state,
22 and is highly sensitive to the ambient RHs. Ambient ω in the NCP can be described by a dry state ω
23 value of 0.863, increasing with the RH following a characteristic RH dependence curve. A Monte
24 Carlo simulation shows that the uncertainty of ω from the propagation of uncertainties in the input

1 parameters decreases from 0.03 (at dry state) to 0.015 (RHs > 90%). The impact of hygroscopic
2 growth on ω is further applied in the calculation of the radiative transfer process. Hygroscopic
3 growth of the studied aerosol particle population generally inhibits the photolysis of NO_2 at the
4 ground level, whereas accelerates it above the moist planetary boundary layer. Compared with dry
5 state, the calculated J_{NO_2} at RH of 98% at the height of 1 km increases by 30.4%, because of the
6 enhancement of ultraviolet radiation by the humidified scattering-dominant aerosol particles. The
7 increase of J_{NO_2} due to the aerosol hygroscopic growth above the upper boundary layer may affect
8 the tropospheric photochemical processes and this needs to be taken into account in the atmospheric
9 chemical models.

11 1. Introduction

12 Single scattering albedo (ω) is one of the most important aerosol optical properties. It influences the
13 aerosol's radiative effect and is a significantly uncertain factor. Defined as the ratio of absorption to
14 the sum of scattering plus absorption, ω represents the combined effect of the two processes and acts
15 as an indicator of aerosols' net radiative effect.

16 Under dry conditions (< 30% RH), the value of ω is determined by the particle number size
17 distribution, the complex refractive index, and the particle shape (Covert et al., 1972). Due to the
18 complexity of aerosol processes such as production, transformation, in situ chemical reactions, and
19 removal, the value of ω is highly variable (Heintzenberg et al., 1997). Especially, aerosol scattering
20 can be significantly enhanced by elevated relative humidity (RH). The hygroscopic growth of aerosol
21 particles determines the water content in the particles and changes the composition and the size of
22 aerosols. As a result, the value of ω varies with RH. In polluted areas, compared with dry state,
23 scattering increases by at least 50% at RHs around 90%, mainly from the increase of water (Cheng et
24 al., 2008; Pan et al., 2009; Fierz-Schmidhauser et al., 2010b; Langridge et al., 2012; Li et al., 2013).
25 This enhancement of scattering is stronger for marine aerosols or in clean regions
26 (Fierz-Schmidhauser et al., 2010b; Carrico et al., 2000; Adam et al., 2012), and weaker for dust
27 aerosol (Pan et al., 2009; Carrico et al., 2000). Aerosol absorption is often considered to vary slightly
28 with RH, while Brem et al. (2012) reported the enhancement of aerosol absorption at high RH. Thus,

1 the value of ω can be RH dependent and increase by at least 0.05 at high RHs for polluted
2 atmosphere (Cheng et al., 2008;Fierz-Schmidhauser et al., 2010b;Li et al., 2013;Jung et al., 2009).
3 Because ambient air is most often sampled in a shelter or structure, it's very important to measure
4 and report the RH at the point of measurement and to apply coincident measurement of aerosol
5 hygroscopicity (or a model thereof) to quantifythe ambient ω (Nessler et al., 2005).

6 Due to the high sensitivity of radiative forcing to the variation of ω , it is essential to obtain
7 atmospherically relevant values of ω for climate models and photochemical models. It has long been
8 known that the aerosol radiative forcing is sensitive to ω , and the transition between positive and
9 negative forcing of direct aerosol effect takes place at the value of ω of about 0.85 (Heintzenberg et
10 al., 1997;Cheng et al., 2008;Wang et al., 2007). Combined with the other aerosol optical properties,
11 change of ω at high RHs can strengthen the forcing by a factor of two or more (Stock et al.,
12 2011;Fierz-Schmidhauser et al., 2010a;Cheng et al., 2008;Massoli et al., 2009). On the other hand, ω
13 as well as the aerosol optical depth (τ) are the relevant parameters in the determination of ultraviolet
14 (UV) radiation and photolysis rate coefficient (Reuder and Schwander, 1999). There are many
15 important photolysis reactions in the troposphere, such as NO₂, ozone and so on. Among these
16 reactions, the photolysis of NO₂ accounts for the most ozone production in troposphere and is the
17 most representative. NO₂ photolysis rate coefficient (J_{NO_2}) is widely used in the analysis of ozone
18 photochemistry (Seinfeld and Pandis, 2006;Dickerson et al., 1997;Palancar et al., 2013). Ozone
19 photochemistry can be either inhibited or enhanced by aerosols also depending on ω (Palancar et al.,
20 2013;Li et al., 2011;Dickerson et al., 1997;Tang et al., 2003;Liu et al., 2013). Sensitivity studies
21 show that RH is as important as the aerosol loading in the influence of aerosol on ozone photolysis
22 (He and Carmichael, 1999;Jacobson, 1998).

23 The North China Plain (NCP) with several megacities and the location of plenty of industries suffers
24 frequent severe aerosol pollution episodes (Xu et al., 2011;Ran et al., 2011;Ran et al., 2012;Liu et al.,
25 2009). The rapid industrial development offers numerous sources of primary aerosols and the
26 precursors of secondary aerosol production. The intensive use of coal and biomass fuels makes the
27 NCP a region of high concentration of black carbon. Clouds and precipitation in this region might be
28 modified by high aerosols loading (Zhao et al., 2006;Deng et al., 2009). Strong absorption and
29 core-shell mixing state of light absorbing carbonaceous (LAC) were found (Ma et al., 2012;Ma et al.,

2011). The growth factors at RHs up to 98.5% measured by a High Humidity Tandem Differential Mobility Analyzer (HH-TDMA) indicated the existence of a dominant more-hygroscopic group of aerosols (Liu et al., 2011). This result agreed well with the retrieved values from the microbalance UMT-2 (Mettler Toledo, Switzerland) (Liu et al., 2014) and in combination contributed to the enhancement of extinction at high RHs and the low visibilities on hazy days (Chen et al., 2012). Regional ozone pollution occurred in the NCP, but the role of the radiation in ozone photochemistry at high aerosol condition is still unresolved (Ran et al., 2011; Ran et al., 2012).

In this study, the RH dependence of aerosol optical properties are represented and their influences on UV radiation are investigated. A Mie code considering the coating of aerosols (Cheng et al., 2009a) and a radiation transfer model (Madronich and Flocke, 1997) are used. The descriptions of data, calculations and models are presented in section 2; overviews of aerosol optical properties are in section 3; results of modeled UVB irradiance and J_{NO_2} are represented in section 4; and there is a summary in section 5.

2. Data and Methodology

2.1. Measurements of the HaChi project

2.1.1. Sites Description

The HaChi (Haze in China) project was conducted in Wuqing (39°23'N, 117°01'E) during the summer in 2009 and in the Tieta site (39°06'N, 117°10'E) during the summer in 2010, by Peking University, China and the Leibniz-Institute for Tropospheric Research (TROPOS), Germany. Wuqing is surrounded by two megacities, i.e. Beijing and Tianjin, and sensitive to the regional atmospheric pollution in the NCP. The Tieta site is located in an urban district at the northwest of Tianjin City, surrounded with plenty of traffics, industrials and buildings. Radiation and meteorological elements were measured during the campaign. The aerosol measurement in Wuqing site is found to be representative in the NCP (Ma et al., 2011; Liu et al., 2011; Xu et al., 2011; Ran et al., 2011). So it's proper for the intercomparison between the two sites.

2.1.2. Instruments

1 Details of aerosol, radiation and meteorological parameters were investigated during the HaChi
2 campaign. The measurements were performed in an aerosol sampling container that was maintained
3 at a temperature of 22°C. Ambient aerosols were sampled with a PM10 inlet (16.67 l min⁻¹) installed
4 on the top of a stainless steel tube with a diameter of 3/4 inch (19 mm) and 7 m above the ground
5 level. The aerosol samples were dried by an automatic aerosol diffusion dryer to keep the sample RH
6 less than 30 %. Further information regarding aerosol measurements is documented in the relevant
7 studies on aerosol in the HaChi project (Ma et al., 2011;Liu et al., 2009).

8 Particle number size distribution (PNSD) in the range of 3 nm - 10 um was determined by the
9 combination of an Aerodynamic Particle Sizer (APS Model 3321, TSI, Inc., Shoreview, MN USA)
10 and a Twin Differential Mobility Particle Sizer (TDMPS, Leibniz-Institute for Tropospheric Research
11 (TROPOS), Germany) with sampling frequency of 10 min operated at RH < 30 %. Aerosol
12 absorption coefficient at 637nm was measured by Multi-angle Absorption Photometer (MAAP
13 Model 5012, Thermo, Inc., Waltham, MA USA) with a temporal resolution of 1 min and could be
14 transformed into mass concentration of light absorbing carbonaceous (LAC) with assumed mass
15 absorption efficiency (MAE) of 6.6 m²g⁻¹. Particulate scattering coefficient at the wavelengths of 450,
16 550 and 700 nm were measured by an integrating nephelometer (Model 3563, TSI, Inc., Shoreview,
17 MN USA) every 1 min. The geometric hygroscopic growth factor ($g(\text{RH}, D_p)$) relative to the dry state
18 was obtained from measurement of the High Humidity Tandem Differential Mobility Analyzer
19 (HH-TDMA). The HH-TDMA measured $g(\text{RH}, D_p)$ for particles in four selected increments (at 50
20 nm, 100 nm, 200 nm and 250 nm) at three RHs (90 %, 95 % and 98.5 %) with an absolute accuracy
21 of $\pm 1.2\%$ for 98.5%.

22 At the Tieta site, the CE-318 Sun-photometer was used to measure the aerosol optical depth at four
23 wavelengths of 440nm, 670nm, 870nm and 1020nm. The UVB (280-320nm) irradiance near the
24 ground was measured using Ultraviolet Pyranometer produced by Yankee Environmental Systems
25 (YES Inc., USA). The Pyranometer was cleaned every morning to avoid the influence of dust on the
26 glass. Both data were averaged into hourly data during the daytime.

27 One-min data of meteorological parameters, such as wind speed, wind direction, relative humidity
28 (RH) and temperature were observed by an automatic weather station (AWS) next to the aerosol
29 measurement containers. The data were averaged into ten-minute averages in order to match the

1 ten-minute PNSDs data.

2 **2.2. Methodology for ω calculation**

3 The RH dependence of ω and other aerosol optical parameters can be calculated using the averaged
4 PNSD and the Mie code (BHCOAT (Cheng et al., 2009b)), considering the aerosol hygroscopic
5 growth and the mixing state. The same with the work of Ma et al. (2012), a two-parameter aerosol
6 model is used, in which aerosol components are divided into two classes: the LAC and
7 less-absorbing components (inorganic salts and acids, and most of the organic compounds). The
8 mixing state of the aerosol population is considered to be partially externally mixed (LAC only) and
9 partially core-shell mixed (a LAC core coated by a less-absorbing component shell). When ambient
10 RH gets higher, the shell can take up water. Both the diameter and the refractive index of the shell
11 are modified. The diameters and the refractive indices of the externally mixed LAC and the
12 core-shell mixed LAC are assumed to be constant at different RHs. PNSDs of the externally mixed
13 LAC and core-shell mixed particles, and the diameters of the cores (D_{core}) in different sizes of
14 core-shell particles can be obtained from the retrieved parameter of mixing state (Ma et al., 2012).
15 Size-resolved hygroscopic growth factors are calculated from size resolved hygroscopicity parameter
16 κ (Chen et al., 2012). Then the size-resolved refractive indices of the core-shell mixing particles
17 needed in the Mie code are determined.

18 **2.2.1. Mixing state of LAC in the NCP**

19 Ma et al. (2012) proposed a new method to derive the mixing state of LAC and applied it in the NCP.
20 As a simplified aerosol model (Wex et al., 2002; Cheng et al., 2006), aerosols chemical components
21 are divided into two classes based on their refractive indices, i.e. 1) the LAC and 2) the less
22 absorbing components. Within these classes two types of particles are assumed: externally mixed
23 LAC and core-shell LAC mixed with less absorbing components coating. The mixing state of LAC
24 for ambient aerosol is described by the ratio of the externally mixed LAC to the total mass of LAC,
25 i.e. :

$$26 \quad r_{\text{ext-LAC}} = \frac{M_{\text{ext-LAC}}}{M_{\text{LAC,obs}}} \quad (1)$$

27 where $M_{\text{ext-LAC}}$ is the mass of externally mixed LAC and $M_{\text{LAC,obs}}$ is the total mass LAC measured by

1 MAAP. Details about the retrieval method and the mixing state of LAC in the NCP can be found in
2 Ma et al. (2012).

3 **2.2.2. PNSDs of externally mixed LAC and core-shell mixed particles at dry state**

4 Under the assumption in sect. 2.2.1, total PNSD of the aerosol population is comprised of subsets
5 PNSDs of the externally mixed LAC and the core-shell mixed particles, which are needed in the Mie
6 calculation. At dry state, this relationship is described as

$$7 \quad N(\log D_p)_{\text{measure}} = N(\log D_p)_{\text{ext-LAC}} + N(\log D_p)_{\text{core-shell}} \quad (2)$$

8 where, $N(\log D_p)_{\text{measure}}$ is the PNSD measured by TDMPS and APS for total aerosols, $N(\log D_p)_{\text{ext-LAC}}$
9 and $N(\log D_p)_{\text{core-shell}}$ are the PNSDs of the externally mixed LAC and the core-shell mixed particles,
10 respectively. $N(\log D_p)_{\text{ext-LAC}}$ can be derived from the mixing state presented by Ma et al. (2012):

$$11 \quad N(\log D_p)_{\text{ext-LAC}} = N(\log D_p)_{\text{measure}} \cdot r_{\text{ext-LAC}} \cdot f_{\text{LAC}} \quad (3)$$

12 where, f_{LAC} is the volume fraction of LAC, which can be calculated by

$$13 \quad f_{\text{LAC}} = \frac{M_{\text{LAC,obs}}}{\rho_{\text{LAC}} \cdot \sum_{D_p} N(\log D_p)_{\text{measure}} \cdot \left(\frac{\pi}{6} \cdot D_p^3\right)} \quad (4)$$

14 where, ρ_{LAC} is the density of LAC, which is assumed to be 1.5 g cm^{-3} in this study.

15 **2.2.3. Size-resolved hygroscopic growth factors ($g(D_p, \text{RH})$)**

16 The size-resolved hygroscopic growth factors at different RHs ($g(D_p, \text{RH})$) are calculated from the
17 hygroscopic parameter (κ) and are used in this study to obtain the ambient PNSD:

$$18 \quad g(D_{p,\text{dry}}, \text{RH}) = D_p(\text{RH}) / D_{p,\text{dry}} \quad (5)$$

19 where, $D_p(\text{RH})$ and $D_{p,\text{dry}}$ are particle diameters at specific RH and at dry state, respectively. While
20 $g(D_p, \text{RH})$ for the external mixed LAC is assumed to be independent of RH and therefore does not
21 grow at any RH.

22 As reported by Liu et al. (2011), two groups of particles can be distinguished based on their
23 hygroscopicity, i.e. the nearly-hydrophobic particles and the more-hygroscopic particles. In detail,

1 the nearly-hydrophobic particles are composed mainly by the LAC and the primary organic aerosol
 2 (POA). In this study, the ambient aerosols are classified based on their mixing state, which is quite
 3 different from Liu et al. (2011). The core-shell mixed aerosol is composed of not only
 4 more-hygroscopic compositions, such as inorganic salts and acids, but also nearly-hydrophobic
 5 compositions, such as POA and LAC. The hygroscopic behavior for the core-shell mixed aerosol can
 6 not be represented definitely by Liu et al. (2011). However, as shown in the work of Liu et al. (2014),
 7 the less-absorbing component consists of the majority aerosol populations (from 80% to 90%) at all
 8 sizes. As a result, the size-resolved number fractions of the core-shell mixed aerosol are higher than
 9 94%, and much larger than the externally mixed LAC. So is the hygroscopicity of the core-shell
 10 mixed aerosol. Therefore, the hygroscopicity of the core-shell mixed aerosol is much close to that of
 11 the aerosol population. The ensemble mean κ for all groups in Liu et al. (2011) can describe the
 12 hygroscopicity of the core-shell mixing particles and used in the calculation of $g(D_p, RH)$.

13 As represented by the Köhler theory (Köhler, 1936; Petters and Kreidenweis, 2007), the relationship
 14 between g and κ at specific temperature (T) and RH is

$$15 \quad RH = \frac{g^3 - 1}{g^3 - (1 - \kappa)} \cdot \exp\left(\frac{4\sigma_{s/a} \cdot M_{\text{water}}}{R \cdot T \cdot D_p \cdot g}\right) \quad (6)$$

16 where $\sigma_{s/a}$ is the surface tension of the solution/air interface, M_{water} is the molecular weight of water
 17 and R is the universal gas constant. More information of the size-resolved κ is shown in Chen et al.
 18 (2012). By solving Eq. (7), $g(RH, D_p)$ can be obtained for different RH and D_p . The size of each bin
 19 for PNSD at ambient condition can be then calculated with Eq. (6).

20 **2.2.4. Size and RH dependence of refractive indices ($m(D_p, RH)$)**

21 In order to initialize the BHCOAT model, the diameter and the complex refractive indices of the core
 22 and the shell are needed. Considering the hydrophobic LAC, the diameter of the core are constant at
 23 all RHs, i.e. :

$$24 \quad D_{\text{core}} = D_{\text{p,dry}} \left(\frac{f_{\text{LAC}} - f_{\text{LAC}} \cdot r_{\text{ext-LAC}}}{1 - f_{\text{LAC}} \cdot r_{\text{ext-LAC}}} \right)^{\frac{1}{3}} \quad (7)$$

25 and the complex refractive index is set to be 1.96–0.66i (Seinfeld and Pandis, 2006). $r_{\text{ext-LAC}}$ is the

1 ratio of the externally mixed LAC to the total mass of LAC, and f_{LAC} is the volume fraction of LAC,
 2 as defined in Eq. 1 and Eq. 3, respectively.

3 At high RHs, the shell of aerosol takes up water and gets dissolved, which means the completely
 4 internal mixture of the water and the less absorbing components. Both the diameter (as shown in Eq.
 5 (6)) and the refractive indices of shell change at different RHs, i.e. :

$$6 \quad \tilde{m}_{shell} = f_{solute} \cdot \tilde{m}_{solute} + (1 - f_{solute}) \cdot \tilde{m}_{water} \quad (8)$$

7 Where, f_{solute} is:

$$8 \quad f_{solute} = \frac{D_{p,dry}^3 - D_{core}^3}{D_p^3(RH) - D_{core}^3} \quad (9)$$

9 where, $\tilde{m}_{shell}, \tilde{m}_{solute}, \tilde{m}_{water}$ are the refractive indices of the shell, solute (i.e. $1.53-10^{-7}i$, (Wex et al.,
 10 2002)) and water (i.e. $1.33-10^{-7}i$, (Seinfeld and Pandis, 2006)).

11 For the accumulation mode, the volume fraction of the solute in the shell decrease to 40% and 20%
 12 at the RHs of 80% and 90% in the NCP. As a result, the refractive indices of the shell fall from
 13 $1.53-10^{-7}i$ to $1.41-10^{-7}i$ and $1.37-10^{-7}i$, respectively. The volume fraction of solute is lower than 5%
 14 at RH of 99%, resulting in a refractive index close to $1.33-10^{-7}i$, i.e. the refractive indices of pure
 15 water, at the RH of 99%. Consequently, the consideration of RH-dependent refractive indices is
 16 necessary for the calculation of ω .

17 **2.2.5. Mie code**

18 The Mie code used in the study is improved from the BHCOAT code (Bohren and Huffman,
 19 2008; Cheng et al., 2009a). In the Mie theory (Mie, 1908), the scattering efficiency (Q_{sp}) for a particle
 20 in specific size can be calculated by integrating the intensity function $|S(\theta, x, m)|$ from 0° to 180° , i.e.

$$21 \quad Q_{sp} = \frac{1}{X^2} \int_{\theta} |S(\theta, x, m)| \sin(\theta) d\theta \quad (10)$$

22 where, $x = \pi D_p / \lambda$. D_p is the volume equivalent diameter of particles. λ is the wavelength of radiation. θ
 23 is the scattering angle.

1 Then the aerosol scattering efficient (σ_{sp}) and aerosol absorbing efficient (σ_{ap}) are calculated with:

$$2 \quad \sigma_{sp} = \int_{D_p} Q_{sp} \cdot \left(\frac{\pi}{4} D_p^2\right) \cdot N(\log D_p) \cdot d \log D_p \quad (11)$$

$$3 \quad \sigma_{ap} = \int_{D_p} Q_{ap} \cdot \left(\frac{\pi}{4} D_p^2\right) \cdot N(\log D_p) \cdot d \log D_p \quad (12)$$

4 where $N(\log D_p)$ is the PNSD. σ_{sp} and σ_{ap} of externally mixed LAC and core-shell mixed particles are
5 individually calculated with Eq.11 and Eq.12. And the overall σ_{sp} and σ_{ap} of ambient aerosol can be
6 obtained as the sum of those two classes. The aerosol extinction coefficient (σ_{ep}) is defined as $\sigma_{ep} =$
7 $\sigma_{sp} + \sigma_{ap}$, and ω is defined as $\omega = \sigma_{sp} / \sigma_{ep}$.

8 **2.3. The NCAR-TUV model**

9 TUV (Tropospheric Ultraviolet and Visible Radiation, NCAR) is an advanced radiative transfer
10 model with eight-stream discrete ordinate solver (Madronich and Flocke, 1997). Given the
11 information of aerosol and cloud optical properties, this model can calculate spectral irradiance over
12 wide range of wavelengths (121nm~735nm) and photolysis rate coefficients of important
13 photochemical reactions in atmosphere at specific location and time. In this paper, irradiance at the
14 wavelengths from 280nm to 320nm is calculated and compared with observations. J_{NO_2} is used to
15 investigate the influence on aerosol of ozone photochemistry.

16

17 **3. Impact of hygroscopic growth on aerosol optical properties**

18 **3.1. Overview of the ambient aerosol optical properties**

19 Ambient aerosol optical characteristics, including σ_{ep} , σ_{sp} , σ_{ap} and ω , are calculated using the method
20 introduced in Sect. 2 for the dataset measured during HaChi summer campaign. The aerosol optical
21 properties as well as the meteorological parameters during the observation period are shown in Fig. 1.
22 For the majority of the observation, the 1 minute wind speed is mostly less than 5ms^{-1} (Fig. 1a) and
23 the ambient RH is mostly between 60% and 95% (Fig. 1b). The overall σ_{sp} is within the range of 100
24 Mm^{-1} and 5000Mm^{-1} , while the σ_{ap} is mainly lower than 200Mm^{-1} (Fig. 1d). As a result, the
25 majority ω is higher than 0.85 and is always close to 0.95 (Fig. 1c), which is with a good agreement

1 with the retrieved values from 0.85 to 0.95 by the Aerosol Robotic Network (AERONET) (Dubovik
2 et al., 2002). The weakening effect of the wind on both σ_{ap} and σ_{sp} can be found, for example on July
3 25th and August 14th. But at very high ambient RH, the decrease of σ_{sp} could be neutralized by the
4 enhancement due to the hygroscopicity. As a consequence, the ω is affected pronouncedly by the RH
5 and unaffected by the wind speed. From July 17th to July 18th, when both the RH and the wind speed
6 were high, the ω approached to the high value of 0.96 during the day, with relatively lower σ_{ap}
7 comparing with σ_{sp} . By contrast, for example on July 16th, July 22nd and August 13th, with typical
8 wind speed (about 3m/s) and relatively low RH (about 50%), the decrease of σ_{sp} was stronger than
9 that of σ_{ap} , and ω reached the low values of 0.75, 0.7 and 0.75, respectively, on those three days. The
10 value of ω was not sensitive to the direction of the wind. Significant diurnal patterns of ω can also be
11 found and is confirmed as follows.

12 In Fig. 2, the diurnal variations of aerosol optical properties are analyzed and verified by calculating
13 the autocorrelation-coefficient. Pronounced diurnal patterns are found in all variables with a
14 maximum at about 6:00 LT and a minimum at about 16:00 LT. Considering the 25 and 75 percentiles,
15 values of σ_{sp} ranged from about 500 Mm^{-1} to about 2000 Mm^{-1} (Fig. 2b), and σ_{ap} ranged from about
16 40 Mm^{-1} to about 100 Mm^{-1} (Fig. 2c). The ambient ω reached its maximum (about 0.95) at about 6:00
17 LT and minimum (about 0.9) at about 18:00 LT (Fig. 2d), mainly attributed to the diurnal variation of
18 ambient RH. These clear diurnal patterns are consistent with the high values (larger than 0.1) of
19 autocorrelation-coefficient at the time intervals of 24 hours (Fig. 2f). This obvious diurnal pattern of
20 ambient ω may cause large variation of aerosol radiative forcing in climate model.

21 The diurnal patterns of the ambient σ_{ep} , σ_{sp} and σ_{ap} are similar to their diurnal patterns in the dry
22 state found by Ma et al. (2011). However, as Ma et al. (2011) reported, ω in the dry state reaches its
23 peak at noon and a minimum in the morning and again in the evening, and the diurnal pattern of the
24 ω is less notable. By taking aerosol hygroscopicity into account, the diurnal pattern of σ_{sp} is
25 amplified because of the similar diurnal variation of ambient RH. However, the absorption is less
26 influenced by the hygroscopic growth of particles. This large difference of amplification between σ_{sp}
27 and σ_{ap} leads to the large modification of the diurnal pattern of ambient ω from dry state.

28 3.2. The RH dependent aerosol optical properties

1 The aerosol optical properties are shown along with the ambient RHs in Fig. 3. The sensitivity of the
2 σ_{sp} to the ambient RH is strong. The increases of σ_{ep} and σ_{sp} with the increase of RH are significant,
3 although slight decreases occur at RH around 90% (Fig. 3a & b). So do the standard deviations (std)
4 of σ_{ep} and σ_{sp} . However, σ_{ap} is not sensitive to RH and fluctuate slightly with the increase of RH (Fig.
5 3c). As a result, the enhancement of ω is sustained, from about 0.89 at a RH of 55% to about 0.95 at
6 a RH of 94% (Fig. 3d). More detailed statistical characteristics of these aerosol optical properties at
7 different ranges of ambient RHs are listed in Table 1. It was found that the increase of the ambient ω
8 from 0.87 to 0.96 brought about nearly 4.5 times enhancement of aerosol direct radiative forcing
9 (Cheng et al., 2008). In the NCP, it's reasonable that the negative radiative forcing will be
10 strengthened by the increase of ω .

11 In addition, a specific case (the AVG-PRM case, the dotted lines in Fig. 3) used as average
12 parameters is calculated at different RHs. This case will be proved representative in the NCP by the
13 analysis as follows in Fig. 4, and is compared with the ambient AOP here. The variations of the
14 optical quantities in this case are smooth and monotonic. The accordance between the ω in the
15 AVG-PRM case and the ambient mean ω is achieved, especially at high RHs. The AVG-PRM case is
16 representative of the average status of the aerosol at various RHs in the NCP in summer and will be
17 used in the following analysis of J_{NO_2} profile.

18 The absorption coefficient of the aerosol in the NCP seems to be independent of RH in Fig. 3c,
19 which is expected according with Pan et al (2009). Therefore, the value of ω at ambient conditions
20 can be estimated from the two independent parameters: the ambient RH and ω at dry state (ω_0) (Pan
21 et al., 2009). The relationship among ω , RH and ω_0 are presented in Fig. 4b, along with the
22 frequency distribution of the measured ω_0 (Fig. 4a) and RH (Fig. 4c). As expected, the ω approaches
23 higher values at either higher ω_0 or higher RHs. In detail, the ω is more sensitive to ω_0 at lower RHs
24 and more sensitive to RH at higher RHs.

25 The distribution of ω_0 is mainly in the range of 0.80 to 0.95 and has an average of about 0.86 (Fig.
26 4a), which agrees with the result in Ma et al. (2011). Considering that over half of the ω_0 values are
27 in the range of 0.85~0.9, the value of 0.863 is representative for the NCP. The ambient RHs
28 distribute almost evenly between 60% and 95%, apart from the higher frequency at around 95% (Fig.
29 4c). It is essential to take the enhancement of ω at high RHs into account. To sum up, the majority of

1 ω in the NCP can be described as the RH dependence at ω_0 of 0.863, i.e. $\omega(\omega_0=0.863, \text{RH})$, named as
2 the AVG-PRM case.

3 The overall influence of input parameters of the Mie model on the ω at different RHs is investigated
4 by a Monte Carlo simulation. As shown in Table 2, the uncertainties of both the measurements and
5 the constants are assumed based on previous studies (Wiedensohler et al., 2012;Petzold and
6 Schonlinner, 2004;Cheng et al., 2006). Detailed description can be found of Ma et al. (2012). The κ_1
7 in each modes and the $r_{\text{ext-LAC}}$ mentioned in Sec.2.2 are obtained from the results of relevant studies
8 (Ma et al., 2012;Liu et al., 2011). Considering the wide range of the ambient RHs, the Monte Carlo
9 simulations are conducted independently at different RHs. There are 2000 runs in each simulation
10 and the standard deviations of ω reveal the uncertainty of ω calculation .

11 The results of the Monte Carlo simulations are listed in the Table 3. The standard deviation of ω is
12 smaller at higher RH, ranging from 0.0308 at dry state to 0.0124 at the RH of 93%. Moreover, we
13 calculated $d\omega/d\text{RH}$ and analyzed its influence on the standard deviation of ω . $d\omega/d\text{RH}$ is multiplied
14 with the standard deviation of the RHs and then divides the standard deviation of the simulated ω , i.e.
15 $\left(\sigma_{\text{RH}} \frac{d\omega}{d\text{RH}} \right) / \sigma_{\omega}$. This variable represents the contribution of the uncertainty of measured RH on the
16 uncertainty of calculated ω . The results are characterized with the extremely low contribution (<5%)
17 at low RHs and the main contribution (>50%) at high RHs. These main contributions of the
18 uncertainty of RH to the uncertainty of ω reflect the importance of the aerosol hygroscopic growth
19 on the calculation of ω at high RHs.

20

21 **4. Impact of the aerosol hygroscopic growth on J_{NO_2} profile: An application**

22 **4.1.The relationship between the modeled UVB irradiance and ω**

23 J_{NO_2} is affected pronouncedly by the UVB irradiance, which is determined by the solar zenith (θ), ω
24 and τ . As shown in Fig. 5 (a), both the measured (dots) and the calculated (lines) UVB irradiance
25 decreases with increasing (secant of) solar zenith angle ($\sec(\theta)$) and τ . When ω is higher, UVB
26 irradiance becomes larger. Clearer details are shown in Fig. 5(b). The measured UVB at higher τ (the
27 dots with warmer colors) is closer to the modeled UVB at higher ω (solid lines), while the measured

1 UVB at lower τ (colder dots) is closer to the modeled UVB at lower ω (dashed lines). The relative
2 deviations of UVB between the two ω conditions increase from about 10% (τ is 0.5) to about 700%
3 (τ is 4.5). At higher τ (or RHs), the larger difference between high ω and low ω reveals the
4 importance of accurate value of ω for the UVB irradiance simulation. It is essential to take the
5 aerosol hygroscopic growth into consideration in UVB simulation.

6 In order to understand the influence of RH-dependent ω on the UVB irradiation, the UVB
7 irradiances both near the ground and above the boundary layer are calculated in three cases, i.e. the
8 high- ω case, the low- ω case and the AVG-PRM case. In the high- ω case and the low- ω case, UVB
9 irradiances are calculated at a fixed ω with different τ , similar with Dickerson et al (1997). In the
10 AVG-PRM case, both τ and ω vary a lot with RHs, as presented in Table 4. As shown in Fig. 6, the
11 UVB irradiation in all cases near the ground (the solid lines) decrease as τ rises up. The decrease of
12 low- ω case is stronger than the high- ω case. For the AVG-PRM case, a transformation of the UVB
13 irradiation from the low- ω case to high- ω case can be recognized. In detail, when τ is about 1.6, ω is
14 higher than 0.96 and the closer value to the high ω case is expected. Considering this stronger
15 increase of ω than τ at RHs lower than 90%, the transformation mentioned above is rapid. At the top
16 of boundary layer (dashed lines), as τ increases, the UVB irradiances in low- ω case decrease while
17 the UVB irradiances in high- ω case decrease slowly only at high τ . Similar with the result at the
18 surface, UVB irradiation in the AVG-PRM case gets closer to high- ω case quickly as τ increases and
19 keeps undiminished until τ becomes higher than 2.5. In the polluted NCP in moist summer, both the
20 aerosol loading and the ambient RHs are always high, resulting in both high τ and high ω . High
21 UVB irradiance is likely to happen at high τ and is going to affect relevant radiative processes, such
22 as the photolysis of ozone and NO_2 .

23

24 **4.2. The influence of aerosol hygroscopic growth on the J_{NO_2} profile**

25 In Fig. 7a, b, d & e, we represent the modeled J_{NO_2} at different conditions to study the influence of
26 RH-dependent ω on photolysis. The ω are 0.863 (lowest value in the NCP) and 0.985 (highest value
27 in the NCP), respectively. For the J_{NO_2} profile at τ of 0 (or the original profile), the surface J_{NO_2} is
28 about 0.011 s^{-1} , and the maximum of J_{NO_2} exists in the higher level, with its value of about 0.014 s^{-1} .

1 As τ increases, J_{NO_2} decrease mostly in low- ω case, especially in the range of 2 km above the ground
2 (Fig. 7a). For high- ω case, J_{NO_2} decrease near the ground but increase at the height higher than 2 km.
3 At the height of 2 km, J_{NO_2} at τ of 4.5 is about 0.019 s^{-1} , enhanced by about 58.3% compared with
4 J_{NO_2} at τ of 0 (Fig. 7b). At the height lower than 2 km and above the surface, J_{NO_2} increase firstly and
5 decrease later. For J_{NO_2} 1 km above the ground, increase by 39% and then decrease by 6%. These
6 results are in accordance with the study of Dickerson et al. (1997).

7 In Fig. 7c, the vertical profiles of J_{NO_2} at different τ are calculated with the AVG-PRM case. Similar
8 to the high- τ case, J_{NO_2} at high altitudes become larger at higher τ . At the height of 1 km, J_{NO_2}
9 increases by 58.3% at τ of 4.16, that is larger than the high- ω case. At the height lower than 2 km and
10 above the surface, the decreases of J_{NO_2} start at lower altitude and are smaller than the high- ω case.
11 And at the height of 1 km, J_{NO_2} increases by 30.4% at τ of 4.16. This feature may lead to a higher
12 J_{NO_2} at the top of the boundary layer in the AVG-PRM case.

13 $dJ_{\text{NO}_2}/d\tau$ at the height of 1km for different τ are shown in Fig. 8. In low- ω case, the negative
14 $dJ_{\text{NO}_2}/d\tau$ is maintained and reaches its minimum when τ is between 2 and 2.5. In the high- ω case,
15 growth rate is positive when τ is lower than 2.5 and becomes negative when τ is higher than 3,
16 resulting in a maximum of J_{NO_2} when τ is between 2.5 and 3. In the AVG-PRM case, $dJ_{\text{NO}_2}/d\tau$ is
17 positive when τ is lower than 4 and is likely to keep positive as τ increases. Compared with the
18 high- ω case, $dJ_{\text{NO}_2}/d\tau$ in the AVG-PRM case is higher and stays positive, resulting in higher J_{NO_2} at
19 higher τ conditions. This higher increase of J_{NO_2} may result in weaker decrease of ozone photolysis
20 in polluted conditions and the heavy ozone pollution may take place along with high τ in the moist
21 and polluted NCP.

22

23 **5. Summary**

24 In this paper, the aerosol optical properties at different RHs, including σ_{ep} , σ_{sp} , σ_{ap} and ω , are
25 calculated with a Mie model based on the aerosol measurements during the HaChi project. The
26 impact of the aerosol hygroscopic growth from HHTDMA on ω and the corresponding uncertainty
27 are analyzed. A derived parameter, the influence of RH-dependent ω on the UVB irradiance and the
28 J_{NO_2} are also investigated.

1 The hygroscopic growth influences not only aerosol PNSDs but also the refractive index of the
2 aerosol. In this study, the shell of the core-shell mixed aerosol is assumed to be composed of less
3 absorbing, water soluble components with the refractive index of $1.53-10^{-7}i$. As the ambient RH
4 increases, water vapor is taken up by the shell and the less absorbing components dissolve to
5 maintain water vapor equilibrium between the ambient air and the liquid shell. The refractive index
6 of the shell is determined by the water content and the solute together, and decreases with the RH. At
7 the RHs of 90%, the refractive index of the shell of the accumulation mode aerosol decreases to
8 $1.37-10^{-7}i$, which is close to the refractive index of water. The variations of the refractive indices and
9 PNSDs with RHs will modify the aerosol optical properties by Mie theory.

10 Ambient aerosol optical characteristics, such as σ_{ep} , σ_{sp} , σ_{ap} and ω , during HaChi summer campaign
11 are calculated. A significant sensitivity of the ω to the ambient RH is recognized, which is mainly
12 attributed to the variations of refractive indices and the aerosol size due to hygroscopic growth.
13 Because of the sensitivity to the RH, the diurnal patterns of σ_{ep} and σ_{sp} are evident and similar to the
14 diurnal pattern of ambient RH. Compared with the diurnal patterns at dry state in Ma et al. (2011),
15 the variations of the ambient σ_{ep} and the ambient σ_{sp} are amplified pronouncedly. The diurnal
16 variation of the ambient σ_{ap} is gentle and similar with that at dry state in Ma et al. (2011). Due to the
17 strong enhancement of σ_{sp} and the slight increase of σ_{ap} with RHs, the diurnal pattern of ω is
18 significant and changes a lot compared with that at dry state reported by Ma et al. (2011).
19 Considering the insensitivity of σ_{ap} to RHs, the ambient ω can be determined by its value at dry state,
20 i.e. ω_0 , and RHs. ω_0 during HaChi campaign concentrate mostly at the value of 0.863 by analyzing
21 its frequency,. Therefore, the RH dependence of ω in the NCP can be represented by a dry state ω of
22 0.863, increasing with the RH following a characteristic RH dependence curve (the AVG-PRM case).
23 This representative RH-dependent ω can be used in the calculation of the radiative transfer process.
24 The uncertainty of the calculation of ω due to the uncertainty of the input parameters in Mie model is
25 also investigated by Monte Carlo simulations. The result shows that the standard deviation of ω
26 decreases from 0.03 at lower RHs to 0.015 at RHs higher than 90%.

27 The RH-dependent ω is applied in the analysis of J_{NO_2} profile, which best represents ozone
28 photochemical production, to evaluate the impact of aerosol hygroscopic growth on ozone
29 photochemistry. J_{NO_2} depends on the UV irradiances and thus affected by aerosol optical properties.

1 The influence of ω on the UVB irradiances is investigated by comparing the modeled UVB
2 irradiances and measured UVB irradiances. Good agreement between the model result and the
3 observation is reached. It is demonstrated that the modeled UVB irradiances are sensitive to
4 ω , especially at high τ , indicating the importance of the accuracy of ω in the calculation of UVB
5 irradiances. Then the UVB irradiation at the RH-dependent ω condition (the AVG-PRM case) is
6 analyzed. The variations of the UVB irradiation with τ at the RH-dependent ω are close to those at
7 fixed high- ω . This similarity between the RH-dependent ω case and fixed high- ω case results from
8 the stronger enhancement of ω than τ at RHs lower than 90%, and is important in the study of J_{NO_2} .
9 Previous studies show that at fixed high- ω , J_{NO_2} at the top of the boundary layer increase with τ . The
10 amplification of J_{NO_2} can weaken the inhibition of the aerosol on ozone photolysis and may bring
11 about simultaneous high aerosol loading and high ozone concentration. In this study, J_{NO_2} at the
12 RH-dependent ω is found to increase with τ as well. At τ of 4.16, J_{NO_2} at the height of 1 km increases
13 by 30.4% compared with that at τ of 0.51. The weakening of suppression of ozone production by
14 aerosol is likely to happen in the polluted and moist NCP, and may help for stronger ozone
15 production in polluted conditions. The increase of J_{NO_2} due to the aerosol hygroscopic growth above
16 the upper boundary layer may affect the ozone photochemistry and this should be introduced and
17 evaluated in the atmospheric chemical models.

18

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Table 1. Statistic values of aerosol optical properties measured at different ranges of RHs.

RH/%		50~60	60~70	70~80	80~90	>90
σ_{ep} (Mm ⁻¹)	Mean	478	654	953	1384	1479
	Std	252	363	535	839	746
	Median	415	558	771	1185	1349
σ_{sp} (Mm ⁻¹)	Mean	429	599	885	1309	1421
	Std	230	335	503	802	715
	Median	371	515	729	1120	1305
σ_{ap} (Mm ⁻¹)	Mean	50	56	68	75	58
	Std	30	34	39	48	40
	Median	38	46	60	65	49
ω	Mean	0.887	0.912	0.924	0.943	0.961
	Std	0.050	0.028	0.028	0.022	0.015
	Median	0.892	0.919	0.931	0.949	0.966

1 Table 2. Uncertainties of the input parameters in the Monte Carlo simulations.

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Item	Relative Standard Deviation %
$D_{p,\text{TDMPS}}$	1.1
$D_{p,\text{APS}}$	3
$N_{\text{TDMPS},3-20\text{nm}}$	10
$N_{\text{TDMPS},20-200\text{nm}}$	3.3
$N_{\text{TDMPS},200-700\text{nm}}$	8.3
N_{APS}	3.3
σ_{ap}	4
$\text{MAE} = 6.6\text{m}^2\text{g}^{-1}$	9.1
$\rho_{\text{LAC}} = 1.5\text{gcm}^{-3}$	11
$n_{\text{LAC}} = 1.80$	0.5
$i_{\text{LAC}} = 0.54$	0
$n_{\text{non}} = 1.55$	4
$i_{\text{non}} = 1\text{e-}7$	6.6
$r_{\text{ext-LAC}}$	40
$\kappa_{50\text{nm}} = 0.25$	24
$\kappa_{100\text{nm}} = 0.27$	15
$\kappa_{200\text{nm}} = 0.38$	13
$\kappa_{250\text{nm}} = 0.39$	13
RH	3

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Table 3. The standard deviation of ω (σ_ω), the rate of change of ω with RH ($\frac{d\omega}{dRH}$) and the contribution of RH to the uncertainty of ω ($\left(\sigma_{RH} \frac{d\omega}{dRH}\right) / \sigma_\omega$) at different RHs.

RH(%)	σ_ω	$\frac{d\omega}{dRH}$	$\left(\sigma_{RH} \frac{d\omega}{dRH}\right) / \sigma_\omega$ (%)
0	0.0308	0.024	2.3
10	0.0285	0.034	3.5
20	0.0289	0.057	5.9
30	0.0282	0.058	6.1
40	0.0260	0.065	7.51
50	0.0247	0.092	11.2
60	0.0227	0.114	15.1
70	0.0200	0.155	23.2
80	0.0174	0.192	33.0
82	0.0159	0.240	45.3
84	0.0154	0.240	46.8
86	0.0144	0.260	54.2
88	0.0136	0.285	62.9
90	0.0133	0.313	70.7
91	0.0128	0.320	75.0
92	0.0127	0.320	75.6
93	0.0124	0.325	78.6

1 Table 4. The values of τ and ω at selected RHs from the AVG-PRM case.

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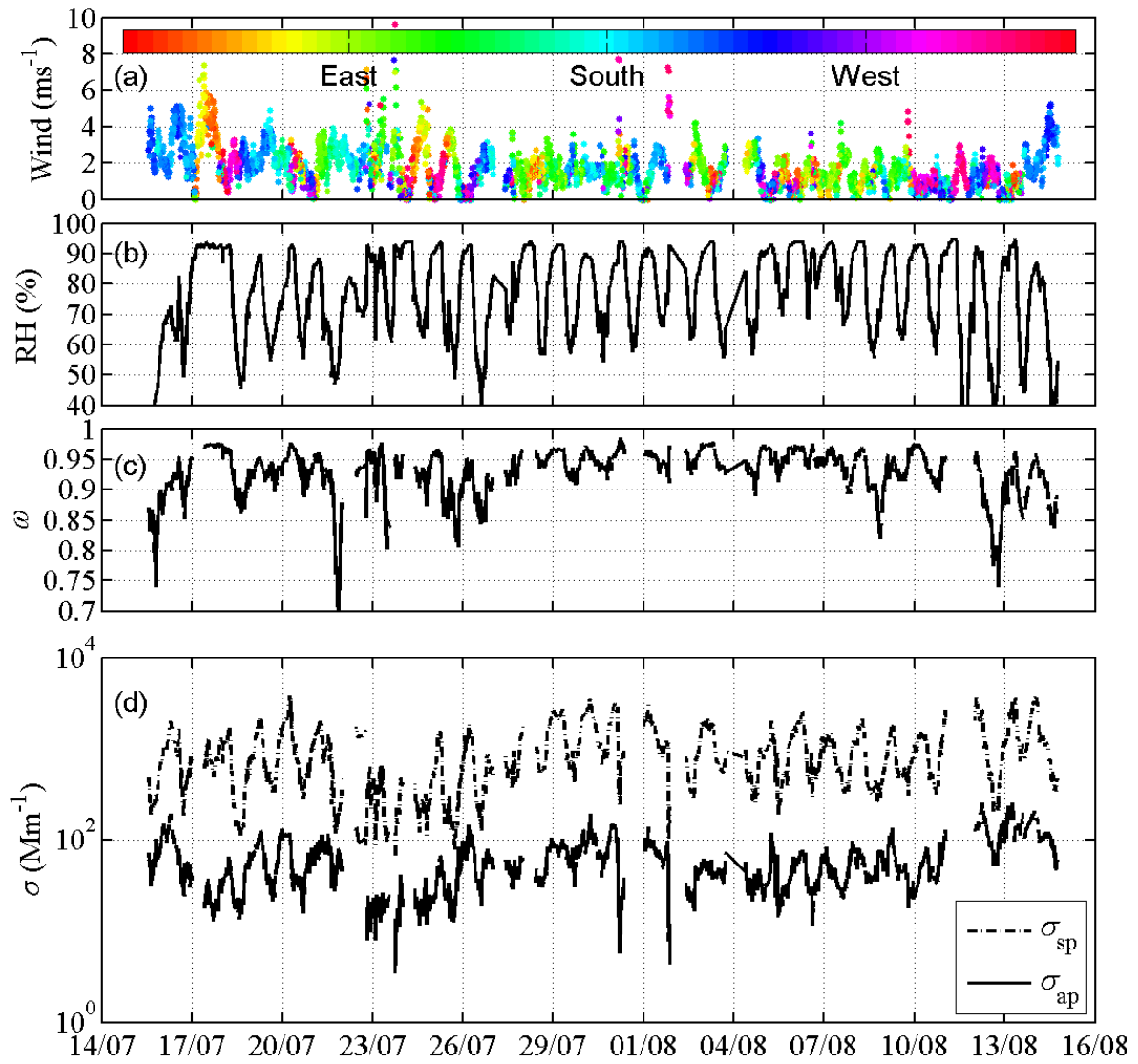
RH/%	τ	ω
0	0.51	0.863
15	0.53	0.872
30	0.55	0.878
45	0.59	0.887
55	0.64	0.895
64	0.69	0.904
73	0.79	0.916
86	1.12	0.942
90	1.39	0.954
92	1.61	0.961
94	1.99	0.968
95	2.24	0.972
96	2.64	0.976
97	3.19	0.980
98	4.16	0.985

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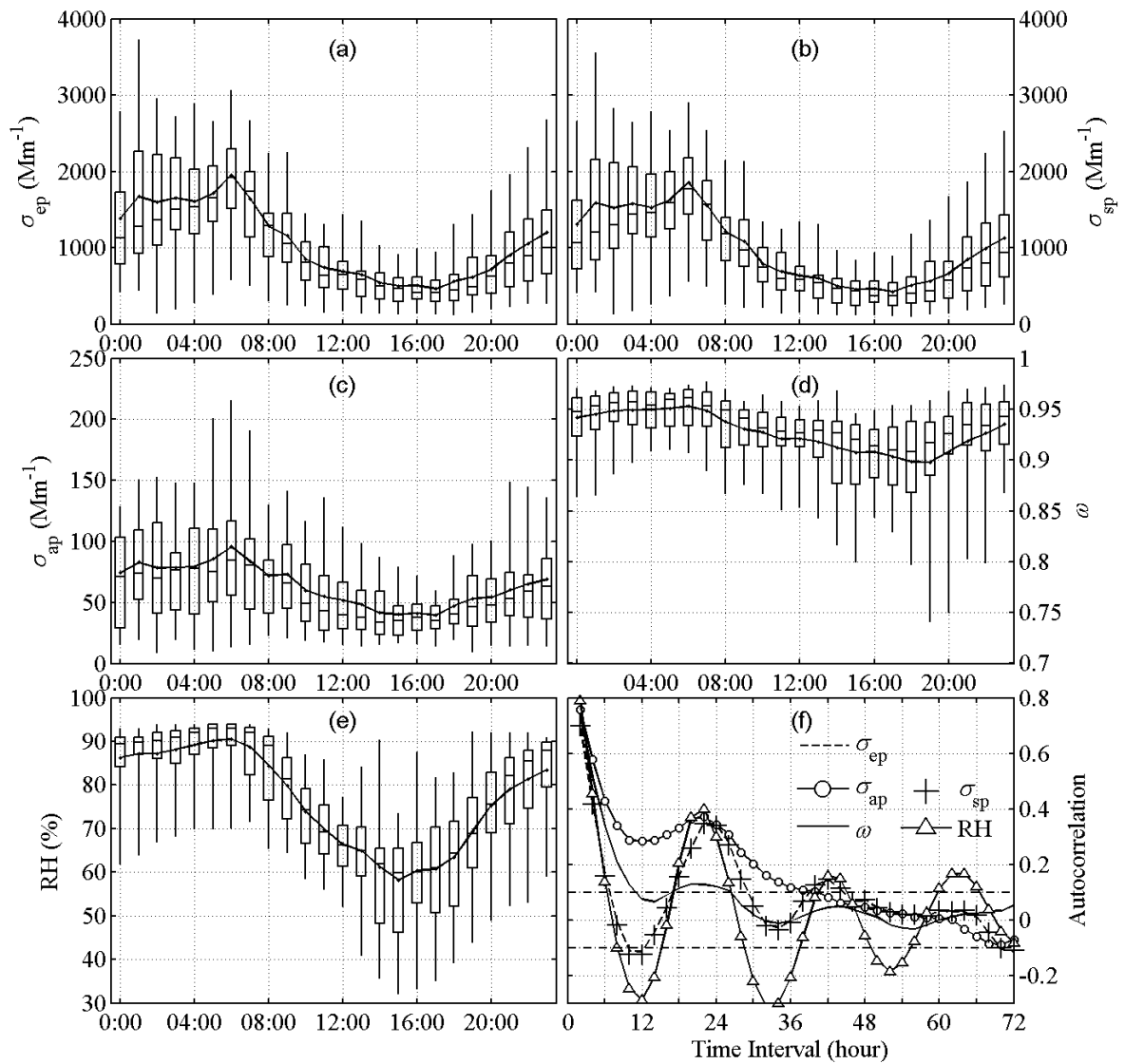
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Fig. 1. Time series of (a) wind speed, wind direction (denoted by the colour of dots), (b) ambient RHs, (c) ω , (d) σ_{sp} (dashed lines) and σ_{ap} (solid lines).

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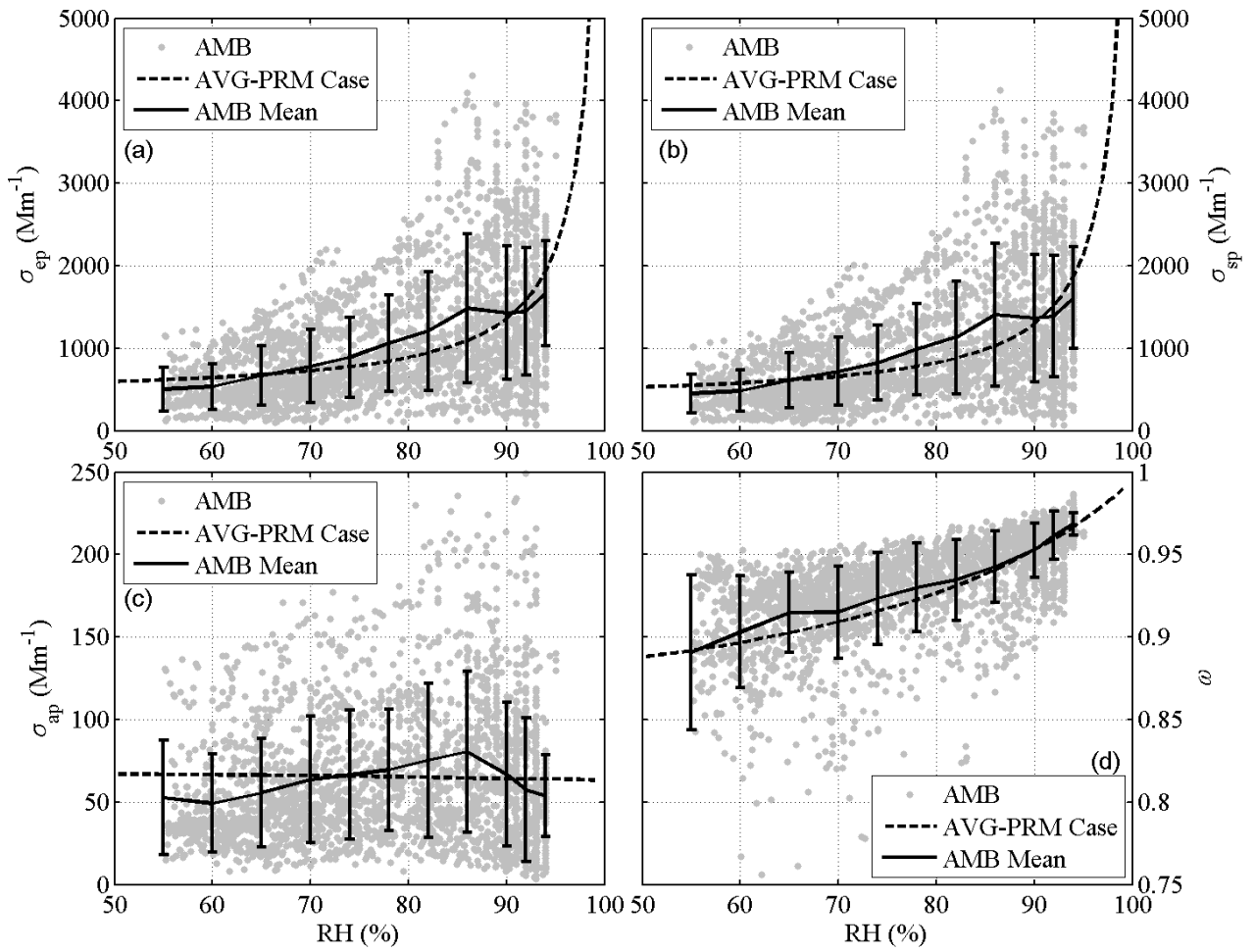
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Fig. 2. Average diurnal pattern of σ_{ep} (a), σ_{sp} (b), σ_{ap} (c), ω (d), ambient RHs (e) and result of autocorrelation analysis of all the variables above (f) with the significant level of 0.1 (the dashed straight lines). The boxes and whiskers represent the 5, 25, 75, 95 percentiles.

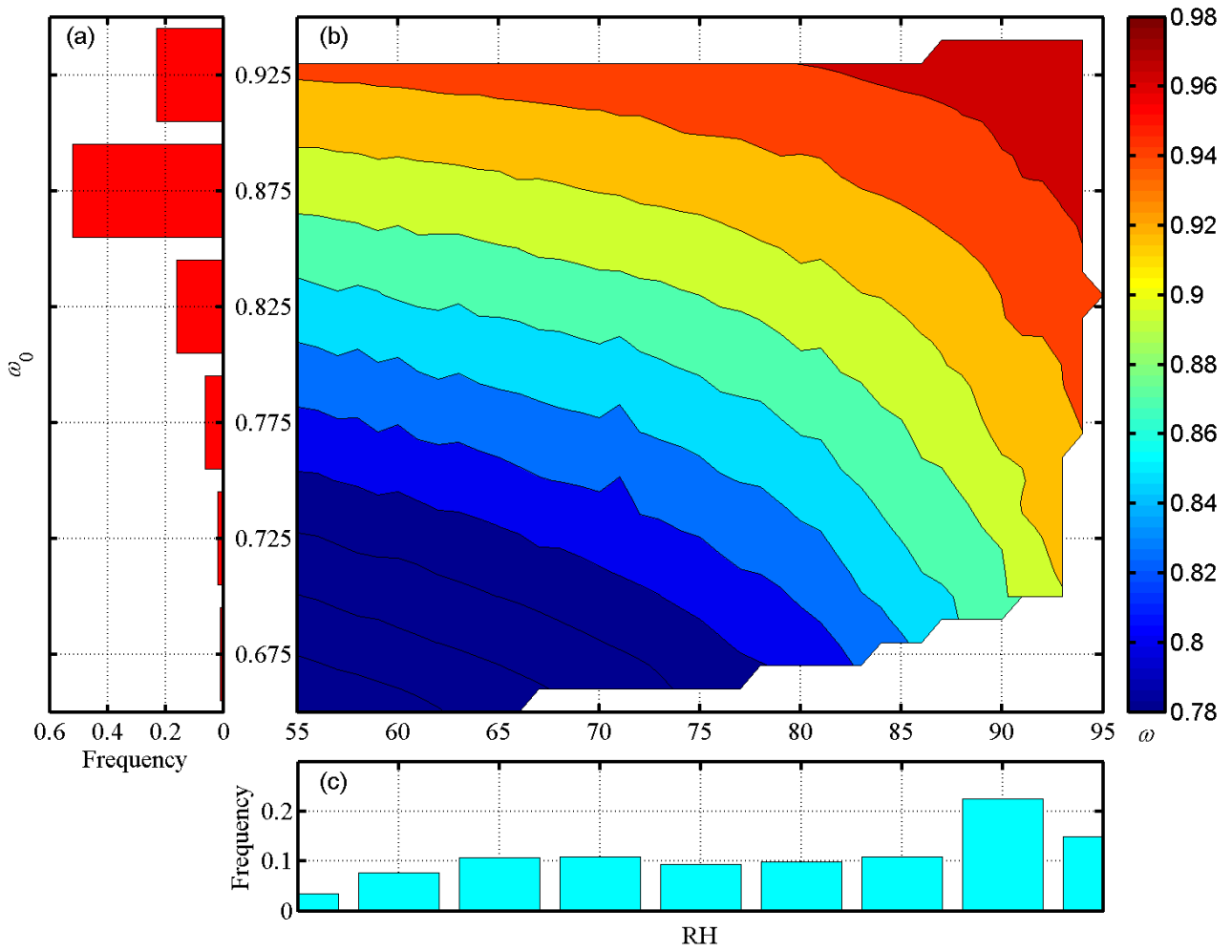
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Fig. 3. The dependence of σ_{ep} (a), σ_{sp} (b), σ_{ap} (c) and ω (d) at various RHs. The dots represent the ambient values of each measurement (AMB). The solid lines with bars represent the average and the deviation of the ambient values (AMB Mean). The dashed lines represent the specific case which average input parameters are used (AVG-PRM Case).

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Fig. 4. Frequency distributions of ω_0 and RH, respectively (a & c). Calculated ω at given ω_0 and RH (b).

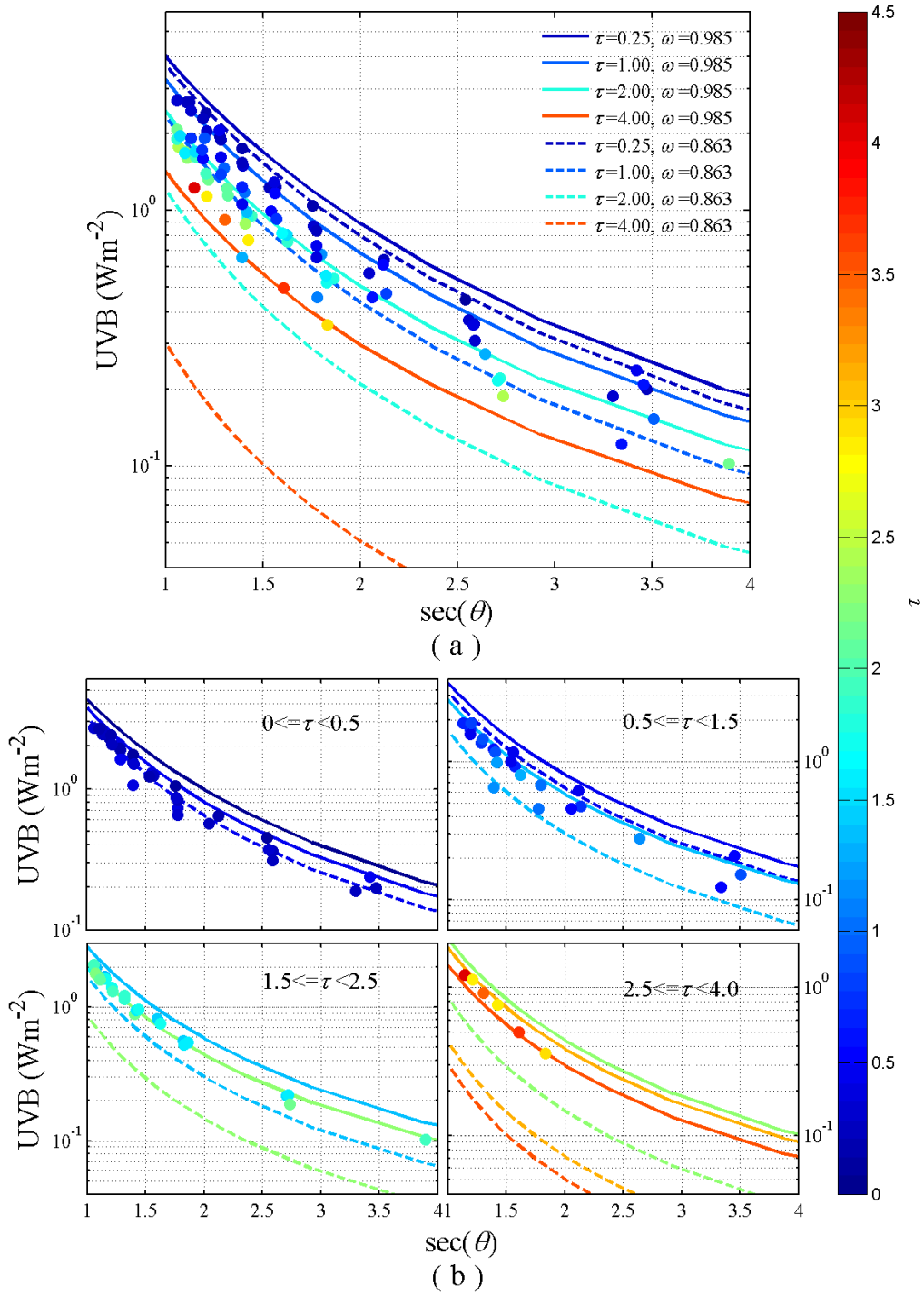
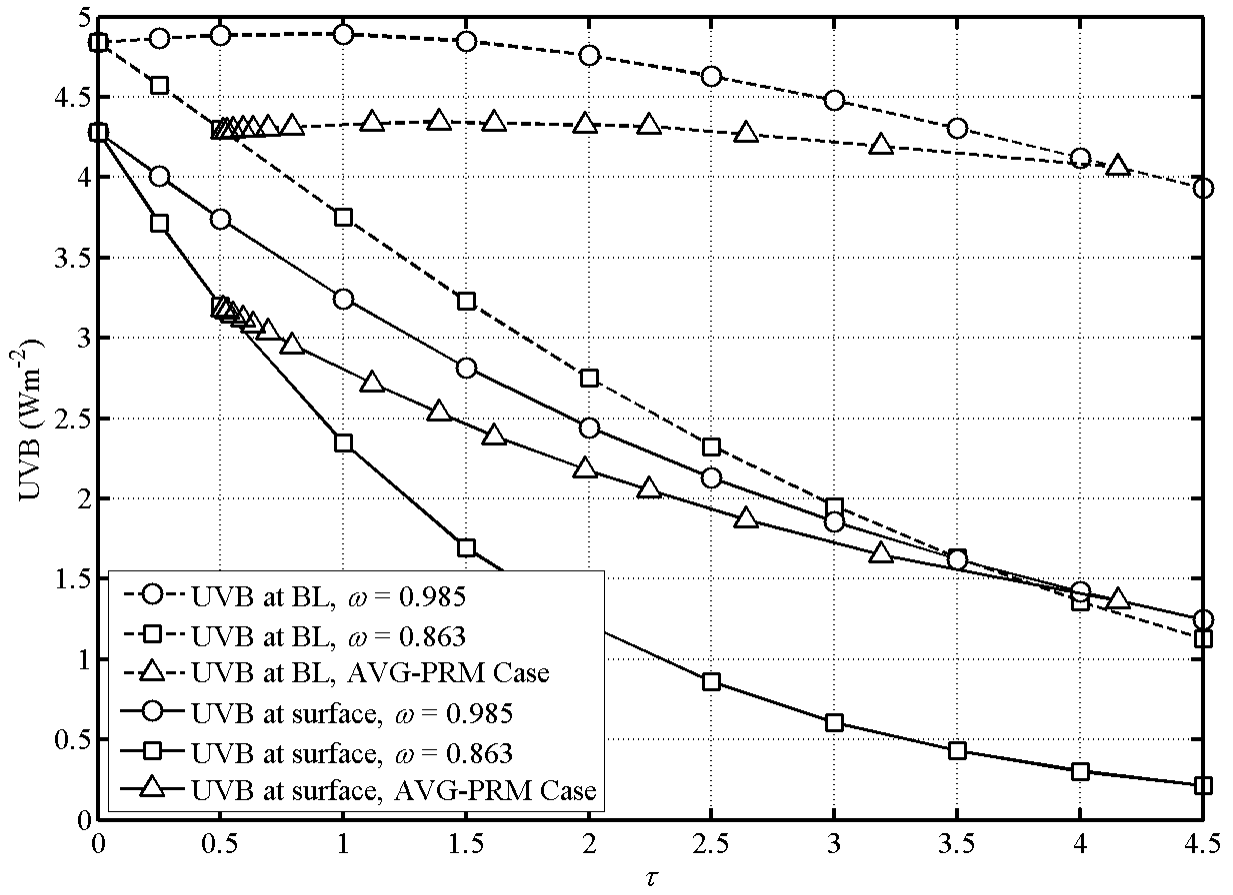
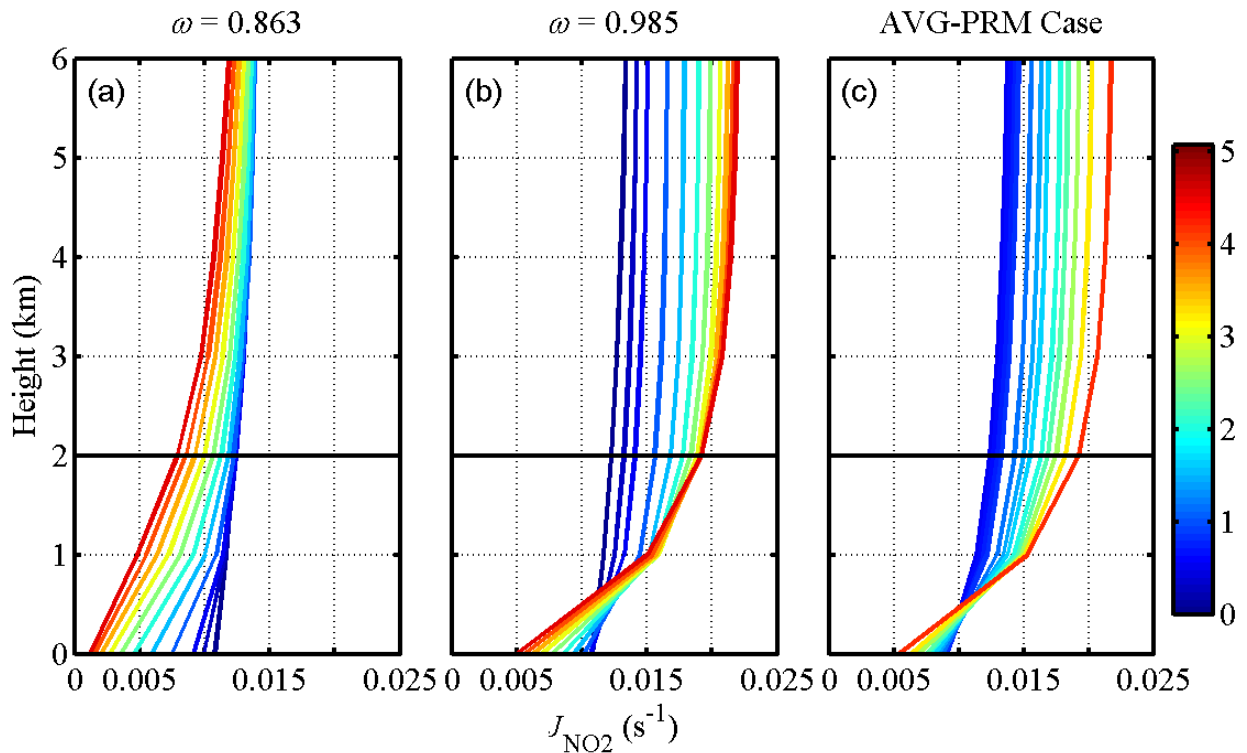


Fig. 5. (a): The dots represent the measured UVB irradiance. The lines represents the modeled UVB irradiance at ω of 0.863 (dashed lines) and 0.985 (solid lines). Colors represent the value τ , i.e. the warmer/colder the color is, the higher/lower τ is revealed. (b): The same with (a) but finer ranges of τ as shown in the figures.



1
 2 Fig. 6. The dependence of UVB irradiance with τ at the surface (solid lines) and at the top of the
 3 boundary layer (dashed lines) in three cases: ω is 0.985 (circle), ω is 0.863 (square) and
 4 RH-dependent ω (AVG-PRM case, triangle).
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Fig. 7. Altitude profiles of J_{NO_2} in three cases (a, b, c) the same with Fig. 6. Colors represent the value of τ , as expected. The black lines lie on the height of 2 Km.

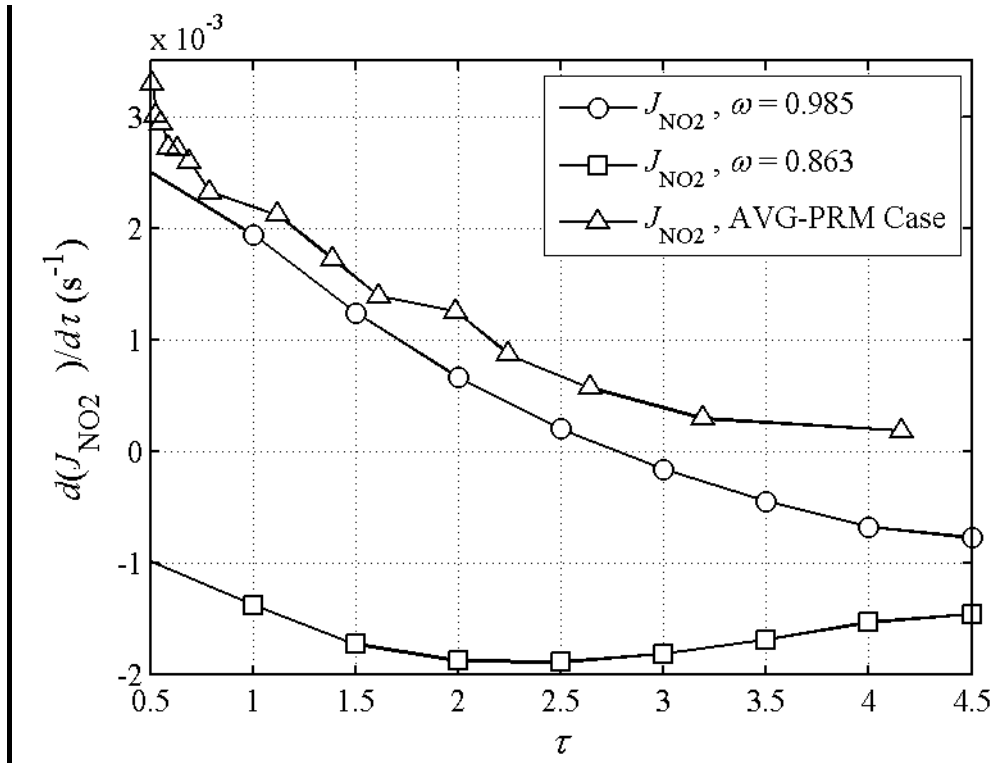


Fig. 8. The increase of J_{NO_2} with τ for three cases: ω 0.985 (circle), ω 0.863 (square) and an RH-dependent ω (AVG-PRM case, triangle).