Size distribution, mixing state and source apportionment of black carbon aerosol in London during winter time

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Abstract

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Black carbon aerosols (BC) at a London urban site were characterized in both winter and summer time 2012 during the Clean Air for London (ClearfLo) project. Positive matrix factorization (PMF) factors of organic aerosol mass spectra measured by a high resolution aerosol mass spectrometer (HR-AMS) showed traffic-dominant sources in summer but in winter the influence of additional non-traffic sources became more important, mainly from solid fuel sources (SF). Measurements using a single particle soot photometer (SP2, DMT), showed the traffic-dominant BC exhibited an almost uniform BC core size (D_c) distribution with very thin coating thickness throughout the detectable range of D_c. However the size distribution of D_c (project average mass median $D_c = 149\pm22$ nm in winter, and 120±6 nm in summer) and BC coating thickness varied significantly in winter. A novel methodology was developed to attribute the BC number concentrations and mass abundances from traffic (BC_{II}) and from SF (BCsf), by using a 2-D histogram of the particle optical properties as a function of BC core size, as measured by the SP2. The BC_{tr} and BC_{sf} showed distinctly different D_c distributions and coating thicknesses, with BCsf displaying larger Dc and larger coating thickness compared to BCtr. BC particles from different sources were also apportioned by applying a multiple linear regression between the total BC mass and each AMS-PMF factor (BC-AMS-PMF method), and also attributed by applying the absorption spectral dependence of carbonaceous aerosols to 7-wavelength Aethalometer measurements (Aethalometer method).

Air masses that originated from westerly (W), southeasterly (SE), and easterly (E) sectors showed BC_{sf} fractions that ranged from low to high, and whose mass median D_c values were 137±10 nm, 143±11 nm, and 169±29 nm respectively. The corresponding bulk relative coating thickness of BC (coated particle size/BC core - D_p/D_c) for these same sectors was 1.28±0.07, 1.45±0.16, and 1.65±0.19. For W, SE and E air masses, the number fraction of BC_{sf} ranged from 6±2% to 11±5% to 18±10% respectively, but importantly the larger BC core sizes lead to an increased fraction of BC_{sf} in terms of mass than number (for W, SE and E air masses, the BC_{sf} mass fractions ranged from 16±6%, 24±10% and 39±14% respectively). An increased fraction of non-BC particles (particles that did not contain a BC core) was also observed when SF sources were more significant. The BC mass attribution by the SP2 method agreed well with the BC-AMS-PMF multiple linear regression method (BC-AMS-PMF:SP2 ratio = 1.05, r^2 =0.80) over the entire experimental period. Good agreement was found between BC_{sf} attributed with the Aethalometer model and the SP2. However, the assumed Absorption Ångström Exponent (α_{wb}) had to be changed according to the different air mass sectors to yield the best comparison with the SP2. This could be due to influences of fuel type or burn phase.

1 Introduction

Increased anthropogenic emissions into the atmosphere have led to severe air quality issues, especially for urbanized megacities with high population densities. Understanding, identifying and characterising the sources of air pollution and their effects on surrounding areas, is important for validating environmental pollution control policies, as well as for climate radiative forcing assessment at local and global scales (Lawrence et al., 2007). Aerosol emissions have been widely recognized as having adverse effects on the environment, the hydrological cycle, support ecosystems and human health of the exposed population (Gurjar et al., 2010). The Greater London urban area is the most populated conurbation in Europe and covers an area of 1,738 km². The Clean Air for London (ClearfLo) campaign was conducted during 2012 with two intensive observation periods (IOPs), one in winter and one in summer, to investigate the range of pollutant sources to which the London population are exposed to and to provide comprehensive aerosol and trace gas composition data for tackling air quality issues.

Environmental regulation has targeted emissions from fossil fuel combustion by transport, power plants and a variety of industrial activities. However residential solid fuel burning for space heating purposes (SF, such as coal or wood burning) has received less attention in terms of enforcement of regulations in recent years. There are a growing number of studies (especially in the rest of Europe) that highlight the importance of residential burning and their contribution to particulate matter (PM) loadings, especially during winter time when space heating activities are high and boundary layer mixing is suppressed. These studies examined a variety of environments including rural sites, alpine valleys, as well as urban sites across mid-western Europe (Crippa et al., 2013), including the following: Switzerland (Szidat et al., 2007; Sandradewi et al., 2008; Herich et al., 2011), UK (Allan et al., 2010; Liu et al., 2011; Harrison et al., 2012a), France (Favez et al., 2010; Healy et al., 2012; Laborde et al., 2013), Austria (Caseiro et al. 2009), Norway (Yttri et al., 2009) and Ireland (Dall'Osto et al., 2013). Organic mass fractions in sub-micron aerosols contributed by the SF sources reported in these studies ranged from 15-50%, consistently highlighting the importance of wood burning as a source contribution during winter (Herich et al., 2014).

These studies aimed to evaluate SF source influences through a variety of receptor-based source apportionment methodologies. A widely accepted method is to use a single SF tracer, such as soluble potassium or levoglucosan (Puxbaum et al., 2007), identified by higher organic carbon fraction compared to elemental carbon (OC/EC), or by radiocarbon measurements, which are used to separate modern non-fossil ¹⁴C and fossil particulate carbon (Szidat et al., 2007). Site receptor models are widely utilized for source apportionment (Viana et al., 2008), and linearly assign several identified source factor profiles to the measured total mass concentration, speciated chemical composition and

some other undetermined additive properties in a time series. Depending on whether the source profiles are assumed *a priori*, the receptor models can be mainly classified as relying on the methodologies of chemical mass balance (CMB) and factor analysis (FA). The former requires prior knowledge of source profiles whereas the latter determines the number and characteristics of the sources *a posteriori*. CMB has been commonly applied to offline analysis (e.g. Chow et al., 2007), while FA has been applied to Aerodyne Aerosol Mass Spectrometer (AMS) organic mass spectral data to attribute sources (Zhang et al., 2005; Lanz et al., 2010). Positive matrix factorization (PMF, Paatero 1997) analysis is often applied to AMS organic matrices to evaluate sources under a variety of environments, e.g. using the PMF Evaluation Toolkit (PET), introduced by Ulbrich et al. (2009). In addition, the multi-linear engine (ME-2) (Canonaco et al., 2013) can serve as a compromise between the CMB and FA (Lanz et al., 2008). Other methodologies include source attribution based on the spectral dependence of light absorption of brown carbon using multi-wavelength Aethalometer instruments (Sandradewi et al., 2008). This has become popular, as the measurement is suited to routine monitoring applications.

The methodologies above have mostly focused on the organic carbon content of SF sources, however the information of source attribution for black carbon aerosols (BC) is sparse, due to the difficulties in measuring the refractory component in the aerosols. Given BC is the principal source of particulate light absorption in the atmosphere (Bond et al., 2013) with adverse effects on human health (e.g. Jansen et al., 2005; Mordukhovich et al., 2009), it is of great importance to understand BC sources and how its properties are dictated by those sources. The study presented here uses data from the North Kensington site in London during the ClearfLo experiment campaign conducted in 2012. The physical properties of BC were characterized in detail by a single particle soot photometer (SP2, DMT). A source apportionment methodology has been developed based on the measured BC size distribution and mixing state. It is the first time that BC sources are quantitatively attributed directly from their physical properties in this way and this apportionment is used to evaluate the estimates from the other methodologies. This study provides detailed insights on source-specific BC properties and these properties have a significant impact on both air quality and climate radiative forcing.

2 Experimental site and data analysis

This study reports continuous measurements from the Clean Air for London (ClearfLo) experimental campaign which is described by Bohnenstengel et al., (2013) and (http://www.clearflo.ac.uk) for two intensive observation periods (IOPs) during 2012. Both IOPs lasted for four weeks and were conducted in winter (11 Jan. - 08 Feb.) and summer (18 Jul. - 22 Aug.) respectively; the latter IOP occurring during the period of the London 2012 Olympic Games. The experimental site was located in the grounds of a school in North Kensington, which is representative of a typical London urban background environment and also the site of a DEFRA Automated Urban and Rural Network (AURN) monitoring site (http://uk-air.defra.gov.uk/networks/). The London urban environment in winter is significantly influenced by solid fuel burning sources, such as wood burning for residential heating (Allan et al., 2010). In contrast vehicle emissions form the majority of the BC source in summer.

The scientific objective of this study was to investigate how the combined sources during the winter season influenced the BC physical properties, and to compare and contrast the different methods used to quantitatively apportion the BC from different sources and provide a critical assessment of their accuracy and reliability.

2.1 The Single Particle Soot Photometer

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The physical properties of individual refractory BC particles (rBC, Petzold et al., 2013) were characterized using a single particle soot photometer (SP2) manufactured by DMT Inc. The instrument operation and data interpretation procedures of the specific Manchester SP2 instrument have been described elsewhere (Liu et al., 2010; McMeeking et al., 2010). Briefly, the SP2 uses an intra-cavity Nd:YAG laser at 1064 nm to determine the optical size of a single particle by light scattering and, if material within the particle absorbs at the laser wavelength, the refractory mass of the particle is quantified by detection of the laser induced incandescence radiation. In the atmosphere the main light-absorbing component at this wavelength is BC. The SP2 incandescence signal was used to obtain single particle rBC mass after calibration using Aquadag sample black carbon particle standards (Aqueous Deflocculated Acheson Graphite, manufactured by Acheson Inc., USA). It has however been shown that BC particle standards generated from Aquadag do not represent ambient BC and a further correction of 0.75 is required (Moteki and Kondo 2010; Laborde et al., 2012b; Baumgardner et al., 2012). The measured rBC mass is converted to a mass equivalent diameter (p=1.8 g cm⁻³ for atmospheric BC (Bond and Bergstrom, 2006)), which is termed the BC core diameter (D_c), which is the diameter of a sphere containing the same mass of rBC as measured in the particle. Any measured particle with a detectable incandescence signal is referred to as a BC particle, whereas a

particle which only exhibits a scattering signal is termed a non-BC particle. The total rBC mass loading is reported as the sum of all detected single particle rBC masses. Because a certain amount of rBC mass exists at core sizes too small to be detected, or too large hereby saturating the detector, these missing masses are predicted based on the extrapolation of a lognormal fit on the D_c mass distribution. In this study, the extrapolated mass ranged from 1-8% depending on the D_c distribution. All of the concentrations reported are at STP volumes (273.15K, 1013.25mbar).

The scattering signal of a BC particle will be distorted during its transit through the laser beam because of the mass loss of a BC particle by laser heating, thus the leading edge scattering signal before the onset of volatilisation is extrapolated to reconstruct the scattering signal of a BC-containing particle (Gao et al., 2007). The technical details for the methodology of leading edge only (LEO) fitting as used in this study can be found in the Appendix II. The optical diameter of a BC particle or the coated BC size (D_p) is derived by inputting the LEO fitted scattering signal and BC core size into Mie calculations, and using a core refractive index (m) =2.26-1.26i (Moteki et al., 2010) and a coating refractive index m=1.5+0i (section 3.4). The relative or absolute coating thickness of a BC particle is calculated as D_p/D_c and (D_p - D_c)/2 respectively. The optical size of a non-BC particle is again calculated using Mie theory using m=1.5+0i, thus the optical sizes of coated BC and non-BC are directly comparable using the analysis here. Given the coating thickness for individual particles is D_c size dependent, a bulk coating thickness is evaluated as the cubed root of the total volume of the BC particles divided by the total volume of the BC cores, as expressed as Equation 1:

$$\frac{D_p}{D_c} = \left(\frac{\sum_i D_{p,i}^3}{\sum_i D_{c,i}^3}\right)^{\frac{1}{3}},\tag{1}$$

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where D_p and D_c are the coated BC diameter and BC core diameters respectively, i denotes the ith single BC particle. The volume weighted bulk D_p/D_c is considered to be a representative diagnostic for the overall mixing state of the entire population of BC particles.

2.2 The HR-ToF-AMS and PMF analysis

The chemical composition of non-refractory PM1 species were measured by the Aerodyne high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS, hereafter AMS), which operated in the standard configuration at North Kensington (NK) site, taking both MS and PToF data. Further details will be presented in Young et al. (in preparation) but a brief description relevant to this work is given here. A detailed description of the instrument can be found elsewhere (DeCarlo et al., 2006;

Canagaratna et al., 2007). As the AMS sampled in an alternating sequence with other black carbon and aerosol volatility measurements, 5-minute averaged ambient samples in 'V mode' were only obtained every 30 minutes. A time and composition dependent collection efficiency (CE) was applied to the data based on the algorithm by Middlebrook et al. (2012) and was validated by comparing the volume concentration with that of the DMPS measurements. The AMS was calibrated using 350 nm mono-disperse ammonium nitrate particles.

Positive matrix factorization (PMF) (Paatero, 1997) was performed on the organic data matrix from the 'V-mode' data, permitting analysis of peaks according to elemental composition (Sun et al., 2011). While the 'W-mode' data could in theory provide a more detailed analysis, too low a fraction of peaks were consistently fit by PIKA (due to the lower signal-to-noise) to permit a meaningful PMF analysis. A front-end for using the related ME-2 algorithm (Lanz et al., 2008; Paatero, 1999) is currently available (Canonaco et al., 2013), which in some circumstances can produce more accurate data. However, the benefits of this approach are most significant when applied to unit mass resolution (UMR) data (from the Q-AMS, C-TOF-AMS and ACSM), where key peaks (such as $C_3H_7^+$ and $C_2OH_3^+$) cannot be explicitly separated and therefore contribute to rotational ambiguity under normal PMF analysis. As this is not an issue with the HR-TOF-AMS data presented here, it was decided that it would be most appropriate to use PMF, so the results would not be influenced by a priori assumptions regarding the aerosol's behaviour.

The data were preprocessed in the recommended method of practice as described by Ulbrich et al. (2009). Isotopes were not included in the organic matrix and nitrogen-containing peaks were not deemed to have been successfully retrieved using PIKA. Five factors were identified: oxygenated OA (OOA), cooking OA (COA), hydrocarbon-like OA (HOA) and two solid fuel OA (SFOA 1 and SFOA 2), which had the appearance of 'split' factors. While the 4-factor solution (which contained only one SFOA factor) seemed to be valid, the 5-factor solution gave improvements to diagnostics (e.g. Q) and correlations with ancillary data (e.g. NO_x, BC and CO), so it was deemed that the 5-factor solution with the split SFOA factors was the most appropriate. The two SFOA factors had distinctly different amounts of hydrocarbon and oxygen-containing peaks in their mass spectra and could be linked to the ratio of levoglucosan to potassium (Young et al., in preparation). It is possible that these two factors represent changes in composition with burn phase, although fuel type cannot be ruled out explicitly. However, it should be noted that Yin et al. (in preparation) found no relationship between this split and the relative amounts of coal and wood burning. The 6-factor solution was discarded due to its significant dependency on initialisation seed (unlike the solutions with 5 or fewer factors) and as well as the production of a factor that did not appear physically meaningful.

2.3 The Aethalometer

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A 7-wavelength Aethalometer (MAGEE Scientific, model AE31) was used to derive the mass concentration of light absorbing black carbon particles. In this instrument aerosol particles are collected onto a quartz fibre filter and the resulting light attenuation at λ =370, 470, 520, 590, 660, 880, and 950 nm is measured. The measured data was corrected for multiple scattering of the light beam within the unloaded filter matrix (C-value) and for the "shadowing effect" (f-value) caused by the already deposited particles which may "shadow" the freshly-collected ones so that the new particles are not exposed to the same intensity of light, and do not make the same contribution to the attenuation per unit mass. The approach proposed by Weingartner et al. (2003) using an f-value of 1.2 and a C-value of 3.095 was used to correct the data. The C-value was calculated comparing the uncorrected Aethalometer data with a Thermo Scientific Multi Angle Absorption Photometer (MAAP) using only data with attenuation values smaller 10 (Collaud Coen et al. 2010) where no shadowing effect is expected.

15 The Absorption Ångström exponent, α , is then calculated according to

$$\alpha = ln(b_{abs,\lambda 1}/b_{abs,\lambda 2})/ln(\lambda_2/\lambda_1),$$

where absorption, b_{abs} , in the IR at 950 nm is assumed to be representative for BC (or elemental carbon) whilst 470 nm in the UV indicates the presence of aromatic organic absorbing compounds. The Absorption Ångström exponent was calculated here using the absorption measured at wavelengths λ_1 =470 and λ_2 =950 nm (e.g. Sandradewi et al, 2008). This calculation was repeated at wavelengths λ =370 and 880nm for the purpose of an examination of the two- λ Aethalometer measurement which is routinely applied to UK monitoring networks. The $\alpha_{470-950\text{nm}}$ was found to agree well with that calculated using the usual $\alpha_{370-880\text{nm}}$ to within ±5%.

The BC mass in this study is reported as the refractory BC (rBC, Petzold et al., 2013) mass measured by the SP2 instrument, but not the Aethalometer derived BC mass, i.e. from directly measured absorption coefficient (b_{abs} , in Mm⁻¹) to BC mass (in μg m⁻³) by assuming a mass absorption cross section (MAC, in m²g⁻¹). Only b_{abs} from Aethalometer measurement is used, given the discussion of MAC value that should be used is beyond the scope of this paper.

2.4 The potassium ion and levoglucosan measurements

A small portion of the Digitel filter samples was extracted with 10 mL de-ionised water by undergoing 40 minutes mechanical agitation to ensure thorough removal of the water-soluble aerosol. The resulting solutions were filtered and analysed for ion potassium using a DIONEX ICS-

2000 ion chromatography system coupled with a gradient pump, which generates one eluent from two different solutions, de-ionised water and concentrated potassium hydroxide solution. The sample concentrations were calibrated with a series of mixed standards of known concentration (0.01-10 ppm).

A method similar to that in Yin et al (2010) and Wagener et al (2012) has been applied but modified for the detection of levoglucosan. In brief, one quarter of the Digitel quartz fibre filter sample was spiked with an internal standard (IS), methyl-beta-D-xylopyranoside (from Sigma-Aldrich Ltd) and extracted with DCM and methanol (2:1) under mild sonication at room temperature. The combined extract was filtered/dried and concentrated down to nearly dryness and derivatised by addition of N,O-bis(trimethylsilyl)trifluoroacetamide plus 1% trimethylchlorosilane (BSTFA + 1% TMCS) and pyridine under 70oC for 1h, and finally cooled in a desiccator. The quantification was based on the IS and a six point authentic standard calibration curve, with selected ion monitoring (SIM) mode on an Agilent GC-MS instrument. The ions monitored were 204 and 217 for the IS and 204, 217 and 333 for levoglucosan.

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2.5 The gas measurements

CO measurements were provided with an Aerolaser AL 5002 UV fluorescence instrument which was calibrated using a 200ppb CO standard provided by Air Products and certified to NPL standards. NO and NO_2 data were provided by an Air Quality Design custom built high sensitivity Chemiluminescence analyser with a Blue Light NO_2 converter. The NO instrument was calibrated using a 5ppm NO in nitrogen standard (supplied by BOC) - diluted to 20ppb using scrubbed zero air (BOC BTCA 178). The NO_2 calibration was provided by gas phase titration of the NO standard with O_3 . NO_y was also measured using a TEI 42i TL NO analyser with a Molybdenum converter.

2.6 Meteorology and dispersion modelling

In order to identify the origin of air masses and estimate transit times over possible source regions, the UK Met Office Numerical Atmospheric-dispersion Modelling Environment (NAME) dispersion model (Jones et al., 2007) was run using Unified Model (UM) reanalysis meteorological data to generate hourly surface source footprints that describe the origin and pathways of air arriving at the site after 1, 2 or 3 days' transport in a similar manner to Liu et al., (2013). The "1 day footprint", defined as the location where air was found within 100 m of the surface and hence directly influenced by local sources, was chosen to represent the urban environment representative of this study and its immediate local/regional sources rather than the long range transport influences that would be

characterised by over 24 hours. Air masses were categorized according to the main regions within the domain of passage (i.e. over the Benelux area, North France, Atlantic, North Sea etc.) for the 1 day footprints (Fig. 1A) according to the technique described in Fleming et al. (2012). The contributions, based on the accumulated time the air mass had spent during the 1 day journey to the station, from each region were calculated as fractions of all the regions for each 3 hourly period. The air masses arriving at the London site can have a variety of influences and this method highlights the periods that are influenced by several regions or just one region in particular. The time periods where the air mass fraction was greater than the 40th percentile of that region's average air mass fraction were deemed to be strongly influenced by that region (Liu et al., 2013), but there were times when there were 2 or even 3 strongly influenced regions as shown in Fig. 2F.

Air masses were classified into broader sectors (Fig. 2F): westerly air masses (W) is a combination of air types with footprints from the Atlantic, Ireland and Wales, when the air mass was largely influenced by the relatively cleaner Atlantic ocean sector; easterly air masses (E) when air had passed over the north sea and Benelux area; south easterly air masses (SE) which represent air masses transported over north France, the English Channel and the southeast UK. The typical footprints of W, E, and SE air masses are shown in Fig. 1B. As Fig. 2F shows, clear shifts in air masses occurred at midday on the 18/01 and the afternoon of 28/01 when winds shifted from the SE to W and then back to E. The E and SE air mass assignments overlapped for the first few days between 15/01-17/01, due to more complex meteorological conditions which resulted in air masses arriving from a variety of different sectors and which were not uniquely classifiable. The W air mass, which brought warmer Atlantic air, generally corresponded with higher ambient temperatures (8.0±2.7°C), whereas the E air mass, originating from continental Europe, led to colder temperatures (1.8±2.1°C), less cloud cover and thus allowed stronger nocturnal inversions to develop.

3 Results

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3.1 Overview

Consistent with the view that westerly air masses will bring relatively cleaner air from the Atlantic sector whereas easterly air masses will have spent significant time over continental Europe, particularly Benelux and Northern France, aerosol loadings were lower in W air masses, whilst enhanced mass loadings were observed for all aerosol components when air came from the east (Table 1). This highlights the clear regional influences on these pollutants at the London urban site, consistent with the synoptic influences of pollutants reported e.g. in Manchester, UK (Martin et al., 2011) and in Harwell (Charron et al., 2013). Harrison et al., 2012b also showed an increased PM2.5 in the UK when easterly wind associated with air masses from the European mainland. The site was also heavily influenced by local sources. In particular possibly due to higher ambient temperature during westerly air masses (8.0±2.7°C), residential heating activities including from solid fuel burning were reduced and so local emissions were generally lower compared to when easterly air masses prevailed. The lower ambient temperatures during easterly air masses (1.8±2.1°C) also lead to reduced boundary layer depth, further increasing the concentrations of local pollutants. The BC-related properties for these periods will be discussed in more detail in section 3.3.

3.2 BC source attribution by Aethalometer

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BC mass was attributed between traffic (BC_{tr}) and wood burning sources (BC_{wb}) by linear application of the constant Absorption Ångström Exponent (α) for pure traffic (α_{tr}) and pure wood burning (α_{wb}) to the Aethalometer measured α at λ 470 and 950 nm (Sandradewi et al., 2008), according to equations (2.1-2.4):

$$b_{abs,total,\lambda} = b_{abs,tr,\lambda} + b_{abs,wb,\lambda} \tag{2.1}$$

$$\frac{b_{abs,tr,470nm}}{b_{abs,tr,950nm}} = \left(\frac{470}{950}\right)^{-\alpha_{tr}} \tag{2.2}$$

$$\frac{b_{abs,wb,470nm}}{b_{abs,wb,950nm}} = \left(\frac{470}{950}\right)^{-\alpha_{wb}} \tag{2.3}$$

$$10 BC_{wb} = BC_{total} \times \frac{b_{abs,wb,950nm}}{b_{abs,total,950nm}} (2.4)$$

The Aethalometer attribution method is based on the higher absorption λ dependence of carbonaceous aerosols produced from wood burning sources compared to the fossil fuel combustion sources. A significant fraction of absorbing organic carbon aerosols (known as the brown carbon) produced from wood burning have an enhanced light absorption at shorter λ ; whereas the absorption of BC is dominant at longer λ . The key factors for the accuracy of the model is to assign appropriate α values for the pure traffic and pure wood burning sources; otherwise the uncertainties of the assumed α will lead to considerable variations of the model outputs (Harrison et al., 2012a; Harrison et al., 2013).

In this study, the value of α_{tr} is obtained by the project average of the directly measured α (Herich et al., 2011) during the summer IOP when the site was dominated by traffic BC sources (α_{tr} =1.04). The α of wood burning has been shown to exhibit a large range depending on the burning conditions, types of wood as well as aging of the biomass smoke (Saleh et al., 2013 and references therein). Given it has been impossible to obtain the real α_{wb} value for the London urban environment, the approach of this study is to set α_{wb} =1.9 at a first instance according to Sandradewi et al. (2008), but this value will be further evaluated by externally comparing with the other mass attribution methods.

3.3 BC source attribution by BC mass and AMS-PMF

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The AMS-PMF results are shown in Fig. 3A. These were consistent with previous observations during UK winter time (Allan et al., 2010; Liu et al., 2011), where the organic aerosol loadings showed contributions from both traffic sources (HOA) and solid fuel burning (SFOA), the latter which is thought to be due to a combination of wood and coal burning for residential space heating. In this study, the contribution from solid fuel burning sources is split into SFOA I and SFOA II (section 2.2). Given both solid fuel burning and traffic sources can contribute significantly to BC mass (f_{BC}), a multiple linear regression analysis was used to quantify each contributing factor, as described in equation (3). This is similar to the approach described by Liu et al., (2011) where the $SFOA_{total}$ component, described in equation (3), is the sum of (SFOAI) and (SFOAI). The modelled results are shown in Table. 2A.

$$f_{BC}(HOA, SFOA_{total}) = a(HOA) + b(SFOA_{total}) + c$$
 (3)

The regression results show that the BC mass in W air masses is dominated by the traffic source because of the large BC/HOA derivative (a=1.25). The BC/HOA mass ratio for E and SE air masses was similar but reduced (a=0.98-1.08). It should be noted that there is a high background (c) for SE air masses which will lead to large uncertainty when determining the BC/SFOA_{total} derivative (b). A regression forcing the background to zero was also tested (c=0), however this made little difference to the BC/SFOA_{total} in W or E air masses, although the coefficient b for SE air masses was increased significantly because of the added higher background. The BC/HOA emission factor a in the London urban environment is higher than that observed in Paris suburban environment (a=0.84) (Laborde et al., 2013) and even higher than at a rural elevated site Holme Moss, which was downwind of Manchester and surrounding settlements (a=0.62±0.014) (Liu et al., 2011). The BC/HOA tends to have a decreasing trend when away from the traffic sources.

The coefficient of solid fuel burning influenced factor (b) was found to vary from site to site, e.g. as reported in Liu et al., (2011), b was 0.51 ± 0.009 , while Laborde et al., (2013) suggest b is close to zero (0.002 \pm 0.008). The variation in the mass ratio BC/SFOA_{total} suggests the influence of additional complex SF sources but nevertheless a higher BC/SFOA_{total} ratio will suggest a larger influence of SF, e.g. the elevated rural site, Holme Moss, was more strongly influenced by solid fuel burning from surrounding villages, while London is less so because of the proximity of the prevailing urban environment.

It is postulated that different air masses may have been influenced by different sources of solid fuel burning, and this is further examined using a tri-linear regression by dividing the solid fuel burning sector into more detailed SFOA I and SFOA II, factors as expressed in equation (4):

$f_{BC}(HOA,SFOA\ I,SFOA\ II)=i(HOA)+j(SFOA\ I)+k(SFOA\ II)+l$ (4)

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The BC from solid fuel burning (BCsf) mass fraction is calculated as the fraction of j(SFOA I)+k(SFOA II) from the tri-linear regression, as Fig. 3C shows. The retrieved mass ratio BC/SFOAII (k) suggests SFOAII factor significantly influences both the W and E air masses (Table. 2B), but interestingly for E air masses a higher BC/SFOAI is found (j=0.33), whereas the SFOAI sector influence in W air masses is low (j=0.09). This suggests the prevalence of BC from the SFOAII for both W and E air masses, however an additional SF source appears to be only present during E air masses. This additional solid fuel burning is of a similar magnitude to the SFOAII source. If we assume that the towns and villages surrounding London use similar space heating fuel sources, this additional solid fuel burning source will have arrived at the site through regional transport. The trilinear regression however does not improve the background level for SE air masses, but the background forcing-zero regression suggest SFOA I (j=0.21) and SFOA II (k=0.27) both significantly influence the measurement site. However, the high background with SE air masses may be due to the complex meteorological conditions or possible multiple sources that cannot be well resolved by the BC-AMS-PMF analysis. Given the split of SFOA could be explained as different burn phases (Young et al., in preparation), the SFOA from W air mass may have been dominant by one burn phase whereas for E air masses, multiple burn phases could have influenced the site.

3.4 BC physical properties and source attribution by SP2

The coating thickness for a given single BC particle is obtained by using an inverse Mie scattering model in conjunction with the BC core size to obtain the equivalent diameter of a sphere with the BC assumed to be a concentric spherical inclusion and with the same scattering cross section as the measured particle after LEO fitting, as described by Taylor et al. (2014). The first step of this approach is to validate the BC core refractive index (m) against ambient particles at the SP2 incident light wavelength (λ =1064 nm). The optimum value of m for BC core was validated by comparing the measured scattering signal with the modelled scattering of the uncoated BC core for the particles after passing through a thermo-denuder (TD, Huffman et al., 2008) at a temperature of 250°C, and by assuming any coatings associated with BC particles were subsequently removed, i.e. the scattering signal of these assumed uncoated BC particles should be equal to the Mie calculated scattering of the BC core; and the determination of the m of BC core using this method is largely independent of the uncertain particle shape effect at λ =1064 nm (Moteki et al., 2010).

To better illustrate this methodology, a parameter of scattering enhancement, E_s , is introduced, which is defined by equation (5):

$$E_S = \frac{S_{coated}}{S_{uncoated}^*} \quad , \tag{5}$$

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where S_{coated} is the scattering signal measured from the SP2 and then LEO fitted. $S_{uncoated}^*$ is the scattering signal of the corresponding BC core, with the asterisk denoting it is calculated using the Mie single particle scattering solutions. According to this definition, a value of E_s =1 means that a BC particle scatters equivalently to that containing only a BC core, in other words has zero coating or D_p/D_c =1. In reality, particles with any associated coatings will scatter more than the core, thus E_s will be necessarily >1, however, S_{coated} will also be subject to instrument measurement uncertainty, thus a fraction of particles with of E_s with <1 would be expected. An increase of E_s will in general indicate a thicker coating thickness for a specified D_c .

The variation of E_s as a function of BC core diameter (D_c) is shown in Fig 4A, for the particles when the traffic source is mostly dominant (Fig. 3C) and the BC particles were least coated (Fig. 2C) during the experiment. The coatings associated with these BC particles are deemed to be completely removed after thermal desorption at 250°C (hereafter referred to as TD250°C), in other words, the zero coating should yield E_s =1. This hypothesis was tested on the TD250°C traffic-dominant BC by varying the refractive index (m) of BC core using a selection of different values of m reported in the literature (Taylor et al., 2014). It was found that the value of m=2.26-2.16i reported by Moteki et al. (2010) for BC core at SP2 wavelength λ =1064 nm, produced the best agreement between the measured scattering and Mie model throughout the detectable D_c range, as shown in Fig. 4A. The slightly increasing trend towards smaller D_c (<110 nm) is due to the low signal/noise ratio in the scattering signal associated with the SP2 detectors, in turn causing a failed LEO fit due to undetectable notch position (see supplementary materials), or the measured particle scattering signal falling outside of the Mie predictable range. It is expected that the scattering signals for a fraction of smaller BC particles (D_c <110 nm) associated with thin coatings have also not been efficiently detected, thus resulting in a bias in the particles that were successfully fitted towards those with larger cores.

The coated BC diameter (D_p) is calculated here using the BC core refractive index (*m*) =2.26-1.26i and the coating refractive index *m*=1.48+0i. The coating refractive index has been verified as being representative of ambient scattering aerosols (Taylor et al., 2014; Laborde et al., 2013), and is also applied to calculate the optical size of non-BC particles. The corresponding coating thickness in terms of absolute (D_p-D_c)/2 and relative coating thickness (D_p/D_c) is mapped to the scattering enhancement, E_s, as a function of BC core diameter, D_c, as shown in Fig. 4A.

The refractive index of BC core for the traffic-dominant source may not necessarily apply for the solid fuel burning BC core, however this hypothesis is impossible to test here, as under the current TD setting (TD250°C), the coatings associated with solid fuel burning BC have not been completely removed, as shown in Fig. 5. The BC particles after TD250°C exposure appear to have been

compacted to a single well defined mode, however a significant tail in the E_s distribution (Fig. 5B) remains. The remaining coatings associated with BC after TD250°C, when solid fuel burning dominates, may still contain a significant fraction of less-volatile organic matter. For the ambient data that is largely influenced by solid fuel burning, there are clearly two modes of BC particle populations with different physical properties (Fig. 5A). The mode with smaller BC core size and thinner coatings matches well with the single mode traffic-dominant source (Fig. 4A). The BC particles making up this mode are deemed to be mainly from the traffic source, whereas the other mode with larger BC core size and thicker coatings are considered to be from solid fuel burning. It has been reported that the BC from biomass burning is initially thickly coated using SP2 measurements (e.g. Schwarz et al., 2008; Sahu et al., 2012), and these London results are consistent with this and support the contention that the solid fuel burning source will strongly control the variation of BC core size and coating thickness, i.e. significantly increase the BC core size and coating thickness.

Based on the determined E_s as a function of D_c size, at each binned D_c size, a threshold scattering enhancement E_{s-thre} can be obtained from the minima of each E_s distribution (within $1 < E_s < 30$). Fig. 5C shows an example of how E_{s-thre} is determined at a specified D_c . The E_{s-thre} as a function of D_c can then be empirically fitted as a power function:

$$E_{s\text{-thre}} = 1.0637 + 0.012343 * D_c^{-2.6131}$$
 (6)

For a given size of D_c , any BC particle with $E_s > E_{s-thre}$ is considered to be the BC from non-traffic sources in this model, based on the assumption that for a given source, the coating thickness distribution is unimodal at the point of emission. The E_{s-thre} as a function of D_c is shown as the dark dotted line in the left panel of Fig. 5A, the BC particles above the line are grouped as the BC from solid fuel burning (BC_{sf}) and below the line is the BC from traffic (BC_{tr}).

A fraction of aged BC_{tr} with thicker coatings may lie beyond the E_{s-thre} reference line hence the BC_{tr} will be underestimated. It has been shown previously that the diagnostic $-Log(NO_x/NO_y)$ is positively correlated with BC coating thickness, e.g. as reported during the CalNex project (Cappa et al., 2012; Taylor et al., 2014 in preparation), when the measurement site was mainly influenced by traffic sources and also experiencing strong photochemical activity. The ageing scale of BC during the London experiment was also examined using this metric, as Fig. 2C shows. Only a very weak correlation between BC coating thickness and $-Log(NO_x/NO_y)$ was observed for W air mass (r^2 =0.15) when the site was less influenced by solid fuel burning (SFOA/HOA<3), but for the rest of the period no correlation was found, i.e. for the E air mass, the significant increase of BC coating thickness is not associated with an increase in $-Log(NO_x/NO_y)$ from 28/01-02/02. In any event, even an increase in $-Log(NO_x/NO_y)$ from 0.04 to 0.13 will only result in a maximum variation in the BC_{tr} number fraction of <5%. Furthermore, the generally much lower $-Log(NO_x/NO_y)$ found in the winter IOP compared with the summer IOP suggests the majority of BC are local from primary sources rather than aged BC

during winter time (as further discussed below). The ageing influence on this BC mass attribution model is thus deemed to be low. BC_{sf} may also contain some fraction of BC with thinner coatings that is located below the E_{s-thre} threshold used here, which will compensate the postulation above.

The mass median diameter of black carbon core (MMD, with the value in bracket denoting the geometric standard deviation σ_g) of W, SE and E air masses are 135 nm (1.64), 145 nm (1.62) and 167 nm (1.75) respectively. The broader D_c distribution (σ_g =1.75) when E air mass suggests more mixed BC sources, whereas for Westerlies it is more likely to be comprised of less mixed sources. One may postulate that the increased BC core size is possibly the result of the ageing/coagulation of BC, however a close comparison with the summer dataset largely excludes this possibility, as shown in the upper panel of Fig. 6A. The experiment was repeated at the same location in summer time, and the air masses classified in the same manner. It can be clearly seen that the D_c size distribution in summer was independent of the air masses (little variation in MMD and σ_g), because during summer the BC source was dominated by traffic emissions and solid fuel burning sources were almost absent. Given that in summer time we expect stronger photochemical activity compared to winter time, the similar D_c size observed during summer suggests little influence by ageing processes on the mono-source of BC, further supporting the presence of the stronger non-traffic source during winter time.

The winter/summer results suggest that self-coagulation of BC (that leads to the enlargement of D_c) is fairly weak following emission and during transport. The coagulation process of BC may have been only significant at the emission stage when the BC concentration is high, which cannot be observed at the receiving site. It is possible that the ageing scale or transport range observed at this urban site may not be long enough to lead to a significant increase in D_c . However the larger BC cores will be preferentially removed by wet deposition (Moteki et al., 2012), and if so the scavenged reservoir of BC after ageing/transport will tend towards even smaller D_c compared to initial emission sources.

The coated BC optical size (D_p) distribution is shown in Fig. 6B. Consistent with Fig. 4A, when W air masses, the D_p size within the D_c range 110-150 nm shows mainly a single BC mode without a significant D_p shift, resulting in a main mode with $D_p/D_c \sim 1$ with a minor tail extending to larger D_p . Consistent with Fig. 5A, the E and SE air mass results show apparent bimodal D_p distributions, i.e. for E air mass, the larger mode in D_p peaks at ~360 nm, clearly indicating a thicker coating for the majority of the BC particles. The D_p size distributions over the entire D_c range exhibit a clear increase in coated BC size as expected for the W-SE-E air masses, peaking at ~140 nm, 300 nm and 360 nm respectively. There is no clear variation of size for the non-BC particles in all air masses (which peak ~300 nm, Fig. 6C).

With the assumption that the traffic-dominant BC will exhibit a consistent and stable D_c size distribution, the BC mass attribution using the $E_{s\text{-thre}}$ - D_c model is further verified by comparing the separated BC_{tr} size distribution from mixed sources and the BC size distribution when the site is less

influenced by solid fuel burning (number fraction of BC_{sf} <5%), as shown in Fig. 7. The attributed BC_{tr} size distribution from mixed sources compares well with the traffic-dominant BC size distribution, but the size of attributed BC_{tr} is only slightly larger because the classification is not perfect, i.e. some BC_{sf} may have been included in the modelled BC_{tr} . The BC core sizes measured in summer were smaller compared to the winter, because these had no detectable influences from SF. The attributed BC_{sf} core peaked at $D_c \sim 210$ nm.

The SF source strongly affects the BC-related properties, as summarized in Fig. 8. Increased BC_{sf} mass fractions enlarge D_c and increase the coating thickness of BC. It is interesting to note that an increase in SF influence has decreased the BC mass fraction (Fig. 8E) while the SF source contains more non-BC particles (Fig. 8F). The SF source produces a larger fraction of particles that do not contain a BC core, which is consistent with other studies (e.g. Kondo et al., 2011) which show that a significant fraction of non-BC particles are emitted with SF particles, and these non-BC particles may have contributed to the thicker coating thickness of BC_{sf} particles through coagulation (Kondo et al., 2011).

In order of air masses W, SE and E (i.e. BC_{sf} mass fraction ranging from low to high), D_c was found to range from 137 ± 10 nm, 143 ± 11 nm and 169 ± 29 nm respectively, while the associated bulk relative coating thicknesses (D_p/D_c) were $1.28\pm0.07, 1.45\pm0.16$ and 1.65 ± 0.19 . The BC_{sf} number fraction in order of W-SE-E air masses was $6\pm2\%$, $11\pm5\%$ and $18\pm10\%$, but importantly the larger BC core size of BC_{sf} leads to higher fractions in terms of mass at $16\pm6\%$, $24\pm10\%$ and $39\pm14\%$ (Table 1). The BC_{sf} mass fraction reported in this study (overall average $26\pm13\%$) is generally consistent with those reported from urban environments by the other studies, e,g, in Grenoble, France (average $\sim20\%$, Favez et al., 2010) and the long term observations for three cities in Switzerland, 24-33% (Herich et al., 2011); whilst they are lower than seen in rural environment, e.g. in Roveredo, Switzerland (51%, Sandradewi et al., 2008) and at Holme Moss, UK (45%, Liu et al., 2011). However they are higher than observed in the Paris urban environment (15% BC_{sf} by Healy et al., 2012; only minor BC_{sf} by Laborde et al., 2013).

4 Discussions

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4.1 The diurnal variations of BC-related properties

To investigate the influences of local sources under different synoptic conditions, a detailed diurnal analysis was performed and is shown in Fig. 9. The BC mass loadings all show similar trends with the first peak coinciding with the morning rush hour and a later peak starting from late afternoon (Fig. 9A). The BC morning peak corresponds with the HOA peak (Fig. 9F) suggesting the main source for all three types of air mass is traffic. The BC evening peak corresponds with elevated SFOA for W and E air masses (Fig. 9G), suggesting the additional important contributing sources of solid fuel burning (SF) in the evening. However, the SE air mass shows somewhat different behaviour with a higher HOA peak from late afternoon and significant SFOA from early evening onwards throughout midnight. The significance of the SF influence is shown in the first order using SFOA/HOA (Fig. 9H), showing a clear consistent trend with the lowest SF influence occurring with morning rush hour but with increased influence due to SF starting from late afternoon, and with SF dominating at midnight. The source attribution of BC mass using both SP2 (section 3.4) and BC-AMS-PMF methodology (section 3.3) shows a similar trend (Fig. 9I and J). These diurnal trends are generally consistent with the previous study by Allan et al., (2010) and Liu et al., (2011) where the site was also influenced by both traffic source and solid fuel burning.

Consistent with the view that BC from the traffic source is associated with particles with a smaller BC core and less coated compared to BC particles from solid fuel burning, the smallest D_c (Fig. 9B) and thinnest coatings (Fig. 9C) coincided with the morning rush hour and the increasing SF influence then leads to an increased D_c size and coating thickness. It is noted that the D_c size variation is not apparent for SE air masses. The diurnal variation of D_c size in the summer experiment is also included for reference: the BC measured in summer exhibited smaller D_c size with weak variation throughout the day. The larger D_c size observed in winter for all of the air masses suggests the ubiquitous and increasing influence of SF during winter which will result in a higher Absorption Ångström exponent (α). The lowest α was observed at morning rush hour for E and SE (Fig. 9E) air masses however there was no apparent variation in α for W air masses (but lower α for W), possibly because the SF fraction was not large enough to make a measureable change. The value of α in summer was lower throughout the day, again consistent with the ubiquitous influence of SF only in winter. It is also noted that although E air masses had the highest fraction of SF, the associated α did not show a higher α , i.e. throughout midnight periods the α for E air masses was lower than that from SE air masses. This indicate that the E air masses may contain additional sources which exhibit lower α: Saleh et al., 2013 showed that the α for fresh biomass smoke can be lower than 1.5, and comparison with Aethalometer data in Switzerland (Zotter et al., 2011, in prep.) also showed that the α for wood burning can vary significantly (1.2–2.1).

4.2 Intercomparisons of BC source attribution techniques

An intercomparison among the BC source attribution techniques, including the SP2 attribution, BC-AMS-PMF and Aethalometer method, is shown in Fig. 10. The Aethalometer attribution is calculated at both 470-950 nm and 370-880 nm, based on an assumption that the Absorption Ångström Exponent (α) of traffic is 1.04 (obtained from summer experiment when traffic as source is dominant, Fig. 9E) and the α of wood burning is set to be 1.9 at a first instance (section 3.2). The three methods overall compare with each other very well, apart from a discrepancy between the SP2/BC-AMS-PMF and Aethalometer method occurred at 31/01-02/02 and 08/02 when the air mass was easterly and mostly influenced by SF sources. The top panel in Fig. 10 shows the number fraction of BC_{sf} as attributed exclusively by the SP2 method. The BC from solid fuel burning contributed much more significantly in terms of mass than number because of the larger BC_{sf} core size. Also note that the BC_{tr} number fraction will be underestimated because of the lower cut off of the SP2 measurement, thus the true BC_{sf} number fraction will be lower.

A statistical comparison among the BC mass attribution techniques is shown in Fig. 11, and the results are summarized in Table 3. The BC-AMS-PMF method correlates tightly with the SP2 method and is almost on the 1:1 line (slope=1.05, $r^2=0.80$) for the entire experimental period. The Aethalometer attribution @470-950 nm correlated well with @370-880 nm, however the attribution @370-880nm was associated with larger variations during W air masses when aerosol concentrations were lower. For E and SE air masses, compared to the BC-AMS-PMF method, the Aethalometer method exhibited a tighter correlation with the SP2 method ($r^2=0.83$ and 0.70 respectively) and a slope closer to 1 for W air masses.

The retrieved BC_{tr} and BC_{sf} were further examined by comparing with the trace gas species in Table 4. Consistent with the study by Herich et al., (2011), strong correlations was observed for BC_{tr} vs NO_x , and BC_{sf} vs $SFOA_{total}$, K and Levoglucosan. The BC_{tr} as attributed by both the SP2 and Aethalometer methods correlates well with NO_x . A bilinear correlation between BC and NO_x is also tested, i.e. $(NO_x)=a(BC_{tr})+b(BC_{sf})+c$, which will yield a negative value of b, the BC_{sf} is thus considered to contribute the NO_x insignificantly during the experiment. Corresponding with the higher BC_{sf} mass fraction from the SP2, the BC_{tr}/NO_x mass ratio is only slightly lower for the SP2 method compared to the Aethalometer method. It is noted for the period of E air mass, when the discrepancy occurred, the SP2 attributed BC_{tr} yielded a higher correlation with NO_x ($r^2=0.87$) compared to the Aethalometer method ($r^2=0.70$). The SP2 attributed BC_{sf} correlated better with $SFOA_{total}$ because the SP2 method agrees well with the SP2 attributed SP2 attributed SP3 for the entire period, however the correlation was weaker with the SP2 attributed SP3 attributed SP3 attributed SP3 again due to the influence of E air masses.

The only considerable discrepancy between the methods occurred during E air masses - the Aethalometer method (or levoglucosan concentration) is a factor of approximately 1.7 lower than the SP2 method (or BC-AMS-PMF method). The reason for this is that the Aethalometer attribution relies on the assumed Absorption Ångström Exponent (α), however, when the site was largely influenced by SF, although the ambient exhibited a higher SFOA/HOA (Fig. 8G) and larger BC core size (Fig. 8C), the measured α was not correspondingly higher (Fig. 8H). The α of wood burning is highly variable and will change significantly even during the course of a wood fire and also depends strongly on the burning conditions and used wood types as well as the ageing of the biomass smoke (Saleh et al., 2013), therefore it is possible that this apparent discrepancy reflects a change in α .

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Another possible source of disagreement is the role of coal burning. This is also used for domestic space heating, however it has a different emissions profile. The Aethalometer and Levoglucosan methods are based around knowledge of wood burning sources, whereas the SP2 and AMS methods are more empirical in nature, so may include contributions from coal burning. Indeed, Yin et al. (2014) found evidence for this in Chemical Mass Balance (CMB) modelling of filter samples analysed using GC-MS and also found that the best correlation with the AMS SFOA factor was achieved with a summation of the wood and coal burning estimates.

Normalising the attributed BC_{sf} from the Aethalometer and SP2 methods, an "optimized" α for the solid fuel burning source can be retrieved, as summarized in the right hand column of Table 3. The retrieved α for solid fuel burning for W and SE air masses falls exactly within the range (1.8-1.9) as suggested by Sandradewi et al., (2008), however the value of α for the E air mass is lower (1.60). The α_{wb} =1.60 falls well within the reported α for the fresh wood burning source (Saleh et al., 2013). The E air masses are therefore likely to have brought pollutants from some additional sources which contain significant fractions of aerosols with lower α_{wb} . These sources correspond with a lower content of levoglucosan as observed in this study, which may be explained by the possible coal burning contribution, as the coal burning emissions contain a lesser fraction of levoglucosan (Zhang et al., 2008), however the coal sources cannot be clearly discriminated by the AMS-PMF analysis in this study.

Another hypothesis is that α may have undergone modification during atmospheric transport and ageing/processes, because levoglucosan is chemically unstable (Hoffmann et al., 2010) and if the chromophores in brown carbon are nitrated aromatics (Mohr et al., 2013), which are also chemically unstable, then both the levoglucosan and brown carbon concentrations will diminish as a result. However the ageing process has been observed to enhance the short wavelength absorption of secondary organic aerosol hereby increase α (Saleh et al., 2013), the lower α from E air masses thus likely results from additional sources.

This analysis does not completely exclude the possibility that the SP2 method may have overestimated the BC_{sf} by accounting for a fraction of thickly coated BC_{tr} although this effect is deemed to be low during winter time (section 3.4), i.e. some fraction of aged BC_{tr} may have been transported and externally imposed on the more local traffic sources at the site. Another bias may result from the assumed equal mass absorption cross sections (MAC= $\frac{b_{abs}}{Mass}$) between the BC_{tr} and BC_{sf} when calculating the BC_{sf} mass fraction, using the $\frac{b_{abs,wb,950nm}}{b_{abs,total,950nm}}$ ratio (Equation 5). If the BC_{sf} has a lower MAC than BC_{tr}, then the estimated mass of BC_{sf} (Mass= $\frac{b_{abs}}{MAC}$) could be underestimated.

5 Conclusions

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Carbonaceous aerosols in a London urban site were characterized during the ClearfLo project during both winter and summer seasons in 2012. The traffic-related BC particles exhibited an almost invariant BC core size (D_c) distribution and very thin coating thickness throughout the detectable range of D_c. However the size distribution of D_c and BC coating thickness varied significantly in winter due to the influence of additional non-traffic sources, i.e. solid fuel/biofuel burning sources (SF) associated with residential space heating. A novel methodology was developed to attribute the BC number concentrations and mass abundances from traffic (BCtr) and from SF (BCsf), by using a 2-D histogram "fingerprint" of the particle optical properties as a function of BC core size, as measured by the SP2. The BC_{tr} and BC_{sf} showed clearly different D_c distributions and coating thicknesses. Compared to traffic sources, SF sources introduce BC particles with larger rBC cores, and the thicker coatings associated with these result in larger size distributions, particularly during easterly air masses, resulting in a D_c size mode which peaks at ~167 nm increasing to particle size ~360 nm. These larger BC particles, with thicker coatings, are more likely to exhibit stronger hygroscopicity (Liu et al., 2013) and to be scavenged by wet deposition (Moteki et al., 2012). The larger SF absorbing particles will also have greater potential to enhance the semi-direct effect (Koch and Del Genio 2010) through interaction with cloud processes. An increased fraction of non-BC particles (particles that not contain a BC core) was also seen when air masses were influenced by SF sources, which means compared to the BC mass, an even higher fraction of particulate matter, i.e. the organic matter, was introduced by SF sources.

The SP2 BC mass attribution agrees well with the BC-AMS-PMF multiple linear regression and the Aethalometer attribution for the entire experiment; only apart from when easterly air masses the BC_{sf} attributed by the Aethalometer method was lower compared to the SP2 or BC-AMS-PMF methods, but the Aethalometer method correlated better with the levoglucosan concentration. The additional sources with lower Absorption Ångström exponent (α) and lower content of levoglucosan are likely to contribute the pollutants transported from easterly air masses, such as the possible coal burning or other uncertain non-traffic sources; these additional sources may in turn lead to an overestimation of the wood burning sources by the SP2 or BC-AMS-PMF method. More work is needed to further investigate these complex non-traffic sources and to obtain appropriate α values for different solid fuel/biofuel sources is essential to assure reliable Aethalometer model outputs. The BC source apportionment using the SP2 measurement would have the potential of wider applications for the prospective projects.

Data availability

Processed data is available through the ClearfLo project archive at the British Atmospheric Data Centre (http://badc.nerc.ac.uk/browse/badc/clearflo). Raw data is archived at the University of Manchester and is available on request.

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Appendix I Symbols and acronyms

| Symbols/Acronyms | Meaning | | | | | |
|---------------------|--|--|--|--|--|--|
| AMS | Aerosol mass spectrometer | | | | | |
| BC | Black carbon | | | | | |
| BC _{tr} | Black carbon from traffic sources | | | | | |
| BC_{sf} | Black carbon from solid fuel burning sources | | | | | |
| D _c | The black carbon core diameter | | | | | |
| D_p | The entire particle diameter | | | | | |
| Es | The enhancement of scattering | | | | | |
| E _{s-thre} | The threshold scattering enhancement | | | | | |
| HOA | Hydrocarbon-like organic aerosol | | | | | |
| m | Refractive index | | | | | |
| α | The Absorption Ångström exponent | | | | | |
| $\sigma_{ m g}$ | Geometric standard deviation | | | | | |
| PMF | Positive matrix factorization | | | | | |
| SP2 | Single particle soot photometer | | | | | |
| SF | Solid fuel burning | | | | | |
| SFOA | Solid fuel burning organic aerosol | | | | | |
| TD | Thermodenuder | | | | | |

Appendix II The Leading Edge Only (LEO) fitting on the scattering properties of light-absorbing particles from SP2

$$S(t) = Ae^{-\frac{(t-t_c)^2}{2\sigma^2}}$$
 A.1

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The scattering signal of a particle passing through the SP2 laser beam is proportional to the incident laser intensity. Given the Gaussian profile of the laser intensity, the scattering signal (S) at a given elapsed time (t) can be described by a Gaussian function, as Equation A.1 expresses. The A is the peak amplitude of the scattering signal, t_C is the elapsed time when the particle reaches the laser beam centre and S(t) reaches the peak intensity A.

As Fig. A1.A shows, the S(t) of a non-absorbing particle will exhibit the ideal Gaussian shape, however the S(t) of an absorbing particle such as a BC-containing particle will be distorted during its transit through the laser beam because of the mass loss of a BC particle by laser heating (Gao et al., 2007). According to Equation S.1, the S(t) is directly measured, and the A can be obtained as long as the t_C and σ are known as priori, hereby the S(t) of a BC particle can be reconstructed. To determine the t_C when the peak laser intensity necessarily occurs, the notch position t_N is introduced which is the zero-crossing from the two-element-APD detector (TEAPD), as the left blue line of Fig. A1.B shows. The notch position relative to the laser beam centre (t_N - t_C) should be constant for all particles because of the unchanged position of the notch on the TEAPD. The t_N and t_C can both be obtained for non-absorbing particles through direct measurements however only t_N can be obtained for BC. In practice, the t_N - t_C is determined in real time by examining the mode value of the previous non-absorbing particles, i.e. the last 200 non-absorbing particles, which is then added onto the t_N of BC to determine the t_C of a BC particle. The σ (calculated by $FWHM = 2\sqrt{2ln2}\sigma$) is also obtained by the previous 200 non-absorbing particles. The relative laser profile LP(t) (Fig. A1.C) which is the Gaussian profile with A=1 can then be determined if the t_C and σ are fixed.

The next question is how much leading edge only (LEO) fraction of S(t) before the onset of volatilisation can be used to reconstruct the S(t) of a BC particle. Two approaches are tested: the first one is referred as the F% laser power fitting (Taylor et al., 2014), which is according to the LP(t), to use the data points ranging from the t_0 - when the S(t) starts to be above the threshold S/N ratio until the t_F when the $S(t_F)$ corresponds to a fraction of peak laser intensity, as Fig. A1.D shows. The S(t) (t_0,t_F) is then used to be extrapolated using the predetermined t_C and σ . The 1% and 5% peak laser intensity are tested in this study. The other approach to determine the LEO range is comparing the measured S(t) with the laser profile LP(t) to examine the variation of the relative scattering cross section $\sigma_s(t) = S(t)/LP(t)$, referred as the scattering cross section fitting. For a non-absorbing particle which is not affected by the laser heating, the $\sigma_s(t)$ should be constant or stable, and this is validated by the $\sigma_s(t)$ of the non-absorbing particle (left panel of Fig. A1.D); whereas for a BC particle, the $\sigma_s(t)$ will be diminished when the particle is evaporated by the laser heating (Laborde et al., 2012a). For a BC particle, the LEO range is determined by the stable range of $\sigma_s(t)$, i.e. determined by the adjacent % difference calculated as $(\sigma_s(t)-\sigma_s(t-1))/\sigma_s(t)$. In this study, the $\sigma_s(t)$ is deemed to be stable when $|(\sigma_s(t)-\sigma_s(t-1))/\sigma_s(t)|$ $\sigma_s(t-1)/\sigma_s(t)/<10\%$, as the red lines show. The scattering signal is then reconstructed using the stable $\sigma_s(t)$ range and the predetermined t_C and σ .

The 1% and 5% laser power show great correlations with the scattering cross section fitting, with the 1% laser power fitting obtaining a closer correlation (Slope=0.99, r²=0.95; N=10⁵) than 5% laser power fitting (Slope=0.95, r²=0.97; N=10⁵). However as Fig. A2 shows, the scattering cross section fitting has significantly increased the successful fraction of LEO fitting especially at the BC core diameter (D_c) range ~100-200nm, because the lower S/N ratio for the first few points of the F% laser power fitting could reduce the quality of the LEO fitting. Given the D_c~100-200nm is the most interested D_c range in this study where the most BC mass loadings are populated, the scattering cross section fitting method is used for this dataset.

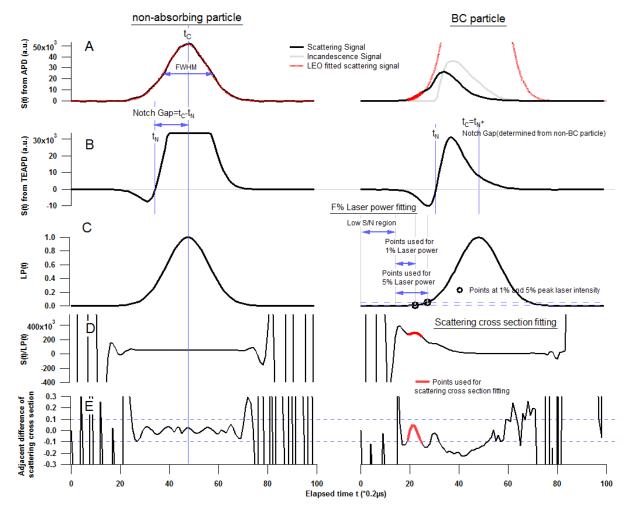


Fig. A1. The methodology of leading edge only (LEO) Gaussian fitting. The left panel is a typical example of a single particle event for a non-absorbing particle and the right panel is for an absorbing BC particle. A) the black line is the measured raw scattering signal S(t) from APD as a function of elapsed time t, the t_C is when the peak S(t) occurs at the laser beam centre, red dot line is the LEO fitted signal, with the grey line on the right panel showing the incandescence signal of the BC particle; B) the two-element APD (TEAPD) signal used to determine the notch position t_N (the zero-crossing point) and the notch gap t_C - t_N ; C) the laser profile LP(t) as determined by the σ and t_C , with the blue double-end arrows showing the data points used for the "F% laser power" fitting method, the data points up to 1% and 5% peak laser intensity are shown; D) the relative scattering cross section $\sigma_s(t) = S(t)/LP(t)$; E) the adjacent difference of $\sigma_s(t)$ calculated as $(\sigma_s(t) - \sigma_s(t-1))/\sigma_s(t)$. The thick red lines on panel A), D) and E) show the data points used for scattering cross section LEO fitting.

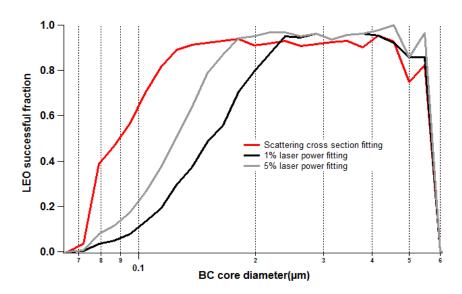


Fig. A2. the LEO successful fraction as a function of BC core diameter for different LEO fitting methods.

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| BC related properties | | | | | | | | | | |
|-----------------------|----------------------------------|------------------|--|---------------------|----------------------|--|---|--|--|--|
| | BC mass | BC core | BC coating | BC mass | Absorption | BC mass fraction | BC number | | | |
| | loading (µg m ⁻³) | diameter (nm) | thickness -D _p /D _c | fraction | Angström Exponent | from SF -BC _{sf} /Total BC | fraction from SF -BC _{sf} /Total BC | | | |
| Westerly | 0.90±0.84(0.67) | 137±10(134) | 1.28±0.07(1.27) | 0.17±0.08(0.15) | 1.18±0.12(1.18) | 0.16±0.06 (0.15) | 0.06±0.02(0.06) | | | |
| Easterly | 1.13±0.61(1.08) | 169±29(159) | 1.65±0.19(1.63) | 0.06±0.02(0.05) | 1.25±0.11(1.26) | 0.39±0.14 (0.36) | 0.18±0.10(0.15) | | | |
| South Easterly | 1.74±1.35(1.25) | 143±11(143) | 1.45±0.16(1.43) | $0.09\pm0.08(0.07)$ | 1.30±0.15(1.28) | 0.24±0.10 (0.22) | 0.11±0.05(0.10) | | | |
| Overall | 1.30±1.11(0.98) | 149±22(144) | 1.45±.020(1.42) | 0.11±0.08(0.08) | 1.25±0.14(1.24) | 0.26±0.13 (0.23) | 0.12±0.08(0.10) | | | |

| Other properties | | | | | | | | | | |
|------------------|-------------------------------|--------------------------------|-------------------------------|------------------------|--------------|------------------|--|--|--|--|
| | Nitrate (µg m ⁻³) | Sulphate (µg m ⁻³) | Organic (µg m ⁻³) | NO _x (ppbv) | CO (ppbv) | Temperature (°C) | | | | |
| Westerly | 1.03±1.51(0.33) | 0.33±0.32(0.21) | 1.65±1.49(1.14) | 30.8±25.0(24.0) | 230±90(202) | 8.0±2.7(8.4) | | | | |
| Easterly | 6.64±5.26(6.19) | 2.18±1.28(1.89) | 4.61±2.67(3.82) | 33.7±17.3(30.1) | 290±79(284) | 1.8±2.1(2.0) | | | | |
| South Easterly | 6.07±5.08(4.49) | 1.42±1.05(1.15) | 6.47±4.85(5.63) | 67.6±60.5(47.2) | 381±157(357) | 5.0±2.7(4.7) | | | | |
| Overall | 4.61±4.88(2.62) | 1.24±1.13(0.97) | 4.42±4.10(3.04) | 47.2±46.6(33.0) | 308±138(279) | 4.9±3.7(4.4) | | | | |

Table 1. A summary of aerosol/trace gas properties recorded during the different air mass categories, mean \pm σ (standard deviation), and with median values in bracket: BC-related properties; AMS aerosol nitrate, sulphate, total organic sub-micron mass, NO_x (ppb), CO (ppb) and ambient temperature properties.

| | a | b | c | a ₀ (c=0) | b ₀ (c=0) |
|----------------|-----------------|-----------------|-----------------|----------------------|----------------------|
| Westerly | 1.25 ± 0.06 | 0.13 ± 0.03 | 0.13 ± 0.03 | 1.32 ± 0.05 | 0.15 ± 0.03 |
| Easterly | 1.08 ± 0.06 | 0.22 ± 0.02 | 0.08 ± 0.04 | 1.14 ± 0.05 | 0.20 ± 0.01 |
| South Easterly | 0.98 ± 0.02 | 0.06 ± 0.01 | 0.41 ± 0.05 | 1.05 ± 0.02 | 0.12 ± 0.01 |

A)

| | i | j | k | 1 |
|----------------|----------------------|--------------------------------------|----------------------|---|
| Westerly | 1.18 ± 0.06 | 0.094 ± 0.126 | 0.37 ± 0.11 | 0.094 ± 0.04 |
| Easterly | 1.07 ± 0.06 | 0.33 ± 0.0354 | 0.23 ± 0.049 | 0.10 ± 0.0435 |
| South Easterly | 0.99 ± 0.03 | 0.12 ± 0.0244 | 0.025 ± 0.04 | 0.40 ± 0.0458 |
| | i ₀ (l=0) | j ₀ (l =0) | k ₀ (l=0) | Modelled results* |
| Westerly | 1.22 ± 0.054 | 0.012 ± 0.13 | 0.52 ± 0.083 | BC _{model} =0.82*BC _{measured} (offset=0.094) |
| Easterly | 1.02 ± 0.030 | 0.36 ± 0.020 | 0.30 ± 0.022 | BC _{model} =0.91*BC _{measured} (offset=0.062) |
| South Easterly | 0.83 ± 0.024 | 0.21 ± 0.02 | 0.27 ± 0.035 | BC _{model} =0.98*BC _{measured} (offset=-0.15) |

B)

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Table 2. A) The bilinear regression results for the BC-AMS-PMF attribution. B) The tri-linear regression results for the BC-AMS-PMF attribution.

^{*} denotes an Orthogonal Distance Regression (ODR).

| | BC-AMS-PMF method /SP2 method* | Aeth method@470- 950nm /SP2 method* | α _{bb} when Aeth method@470-950nm /SP2 method is optimized | Aeth method@370- 880nm /SP2 method* | α _{bb} when Aeth method@370-880nm /SP2 method is optimized |
|---------------------------|-----------------------------------|---|--|---|---|
| Westerly (N=441) | 1.26 (0.53) | 0.94 (0.46) | 1.86±0.37 | 1.21(0.33) | 1.98±0.38 |
| Easterly (N=325) | 1.04 (0.79) | 0.58 (0.83) | 1.58±0.19 | 0.60(0.58) | 1.60±0.22 |
| South Easterly (N=407) | 1.02 (0.41) | 0.97 (0.70) | 1.83±0.31 | 0.96(0.43) | 1.86±0.32 |
| Overall (N=1173) | 1.05 (0.80) | 0.74 (0.53) | | 0.78(0.45) | |

Table 3. A summary of the correlations among different BC mass attribution techniques: the number is the slope of the linear regression and in bracket is r^2 . N denotes the data points used for linear regression; * denotes a linear regression constrained to an intercept of zero.

| | SP2 attributed BC _{tr} /NO _x µg m ⁻³ ppm ⁻¹ | Aeth attributed BC _{tr} /NO _x µg m ⁻³ ppm ⁻¹ | SP2 attributed BC _{sf} /SFOA _{total} * | Aeth attributed BC _{sf} /SFOA _{total} * |
|---------------------------|---|---|---|--|
| Westerly (N=441) | $27.8 \pm 0.33 (0.94)$ | 28.1 ±0.40(0.93) | $0.13 \pm 0.003 (0.83)$ | $0.12 \pm 0.004 (0.68)$ |
| Easterly (N=325) | $21.1 \pm 0.47 (0.87)$ | $22.5 \pm 0.84 (0.70)$ | $0.20 \pm 0.004 (0.75)$ | $0.11 \pm 0.002 (0.69)$ |
| South Easterly (N=407) | 17.8 ±0.27(0.92) | 17.9 ±0.32(0.89) | $0.11 \pm 0.003 \\ (0.85)$ | 0.11± 0.003(0.79) |

| | SP2 attributed BC _{sf} /K | Aeth attributed BC _{sf} /K | SP2 attributed BC _{sf} /Levoglucosan | Aeth attributed BCs _f /Levoglucosan |
|---------|------------------------------------|--|--|---|
| Overall | 4.13± 0.57 (0.70) | $3.26 \pm 0.33 (0.81)$ | $5.52 \pm 0.91(0.62)$ | $4.75 \pm 0.41 (0.85)$ |
| (N=27) | Offset | Offset | Offset | Offset |
| | -0.01 ± 0.06 | -0.01 ± 0.032 | -0.08 ± 0.07 | -0.10 ± 0.03 |

Table 4. The correlations between BC_{tr} vs NO_x , and BC_{sf} vs $SFOA_{total}$, Potassium and Levoglucosan. The BC_{tr} and BC_{sf} attributed by the SP2 and Aethalometer method are shown. Daily averaged Potassium and Levoglucosan are used, with a total of 27 days' worth of data used for the linear regression.

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^{*} denotes a linear regression constrained to an intercept of zero.

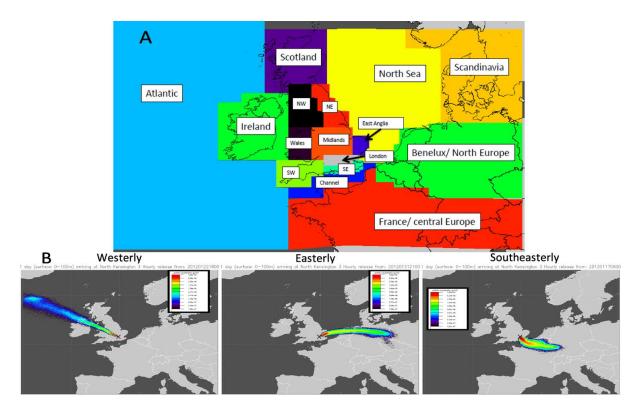


Fig. 1 A) The designated regional influences within the domain of passage for 1 day footprints in the NAME model. B) Typical footprints for each broadly classified air mass category, the image is coloured by the particle density, with the cross marking the location of receptor.

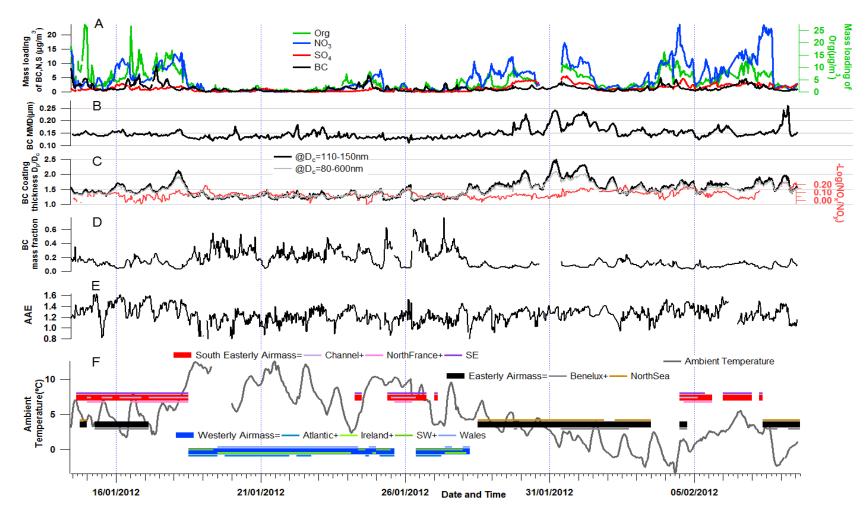


Fig. 2. The aerosol/trace gas properties and air mass history for the entire experimental period. A) rBC mass loadings from SP2, non-refractory compositions (sulphate, nitrate and total organic) from AMS; B) mass median diameter (MMD) of BC cores (D_c); C) bulk coating thickness, D_p/D_c (see the definition in section 2.1), within the D_c range 110-150nm, and the entire SP2 detectable D_c range (80-600nm), with the right red axis showing the chemical age metric – $log(NO_x/NO_y)$; D) rBC mass fraction calculated as rBC mass/(rBC mass + total non-refractory mass from AMS); E) the Absorption Ångström exponent (AAE, α) calculated from Aethalometer data (at λ 's 470 and 950 nm); F) classified air mass category and the dominant regional air mass types derived from NAME modelling, with the grey line showing the local air temperature.

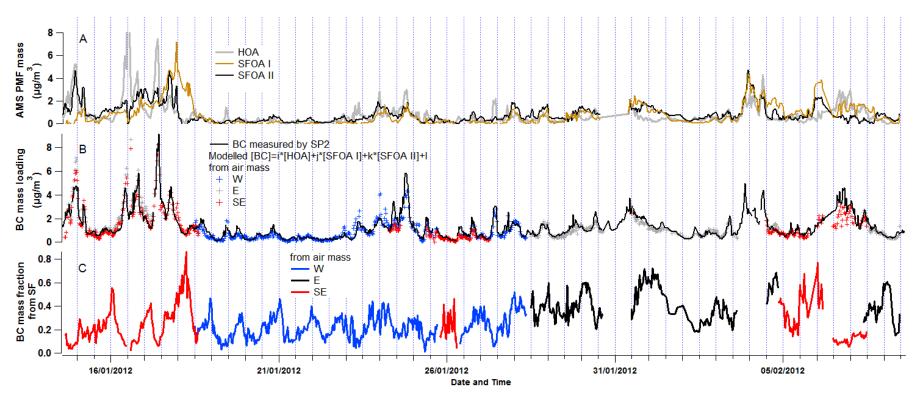


Fig. 3. A) Time series of AMS-PMF mass loading results for HOA, SFOA I, SFOA II; B) Modelled BC mass by tri-linear regression compared with the measurements for different air masses (note the bi-linear regression is also tested but not shown in this figure); C) BC-AMS-PMF attributed BC $_{\rm sf}$ mass fraction by tri-linear regression.

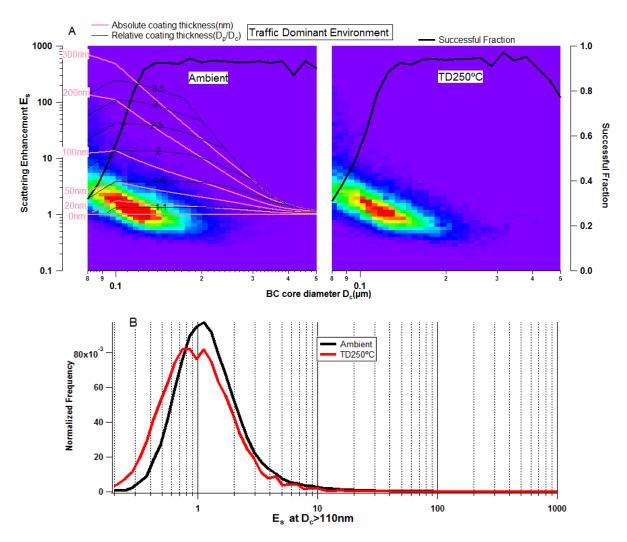


Fig. 4. BC optical properties when the environment is dominated by traffic source (22/01-24/01 during W air masses). A) The scattering enhancement (E_s) as a function of BC core diameter (D_c). The image plot is a two dimensional histogram for the detected particles. The solid grey line, with corresponding scale on right axis, shows the number fraction of BC particles that were successfully determined according to their scattering signal at each D_c size. The left panel is for the ambient data whereas the right panel is the same group of BC particles after passing through the thermo-denuder (TD) at 250°C. The thinner red and black contours show the corresponding absolute coating thickness (in nm, (D_p - D_c)/2) and relative coating thickness (D_p / D_c) respectively. B) The area normalized histogram of E_s for the particles with D_c >110nm.

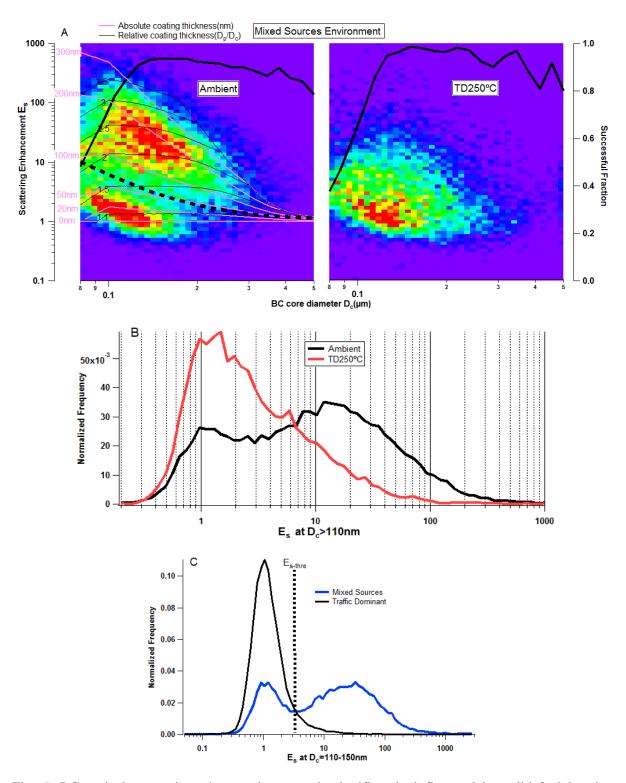


Fig. 5. BC optical properties when environment is significantly influenced by solid fuel burning (31/01-01/02 when E air mass). The legends in the plot are identical with Fig.4. In particular, the thick dotted black line on the left panel of A) shows the reference line used to discriminate the BC from traffic or solid fuel burning source. C) the E_s distribution at $D_c=110-150$ nm for the traffic and mixed sources, with the dotted line showing the determination of E_{s-thre} .

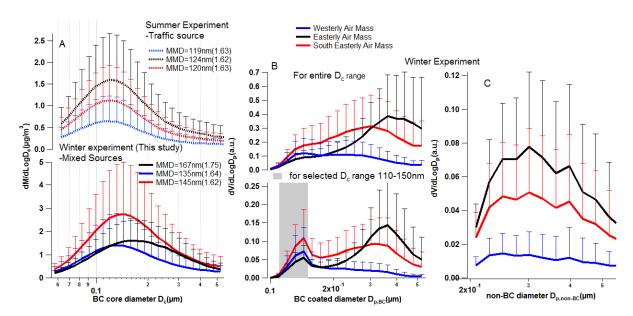


Fig.6. BC-related size distributions classified by air masses. The error bar are the $+\sigma$ (standard deviation) and the value in bracket is the geometric standard deviation (σ_g) for each size distribution: A) BC core (D_c) size distribution for both winter and summer experiments, with the dotted lines showing the summer experiment; the text boxes denote the mass median diameter, MMD, and the geometric mean σ_g (in brackets) for each size distribution; B) coated BC size (D_p) distribution for the selected D_c range, 110-150nm (with the grey shaded box showing the range of values) and for the entire detectable D_c range in winter; C) the size distribution of non-BC particles which do not contain BC core in winter.

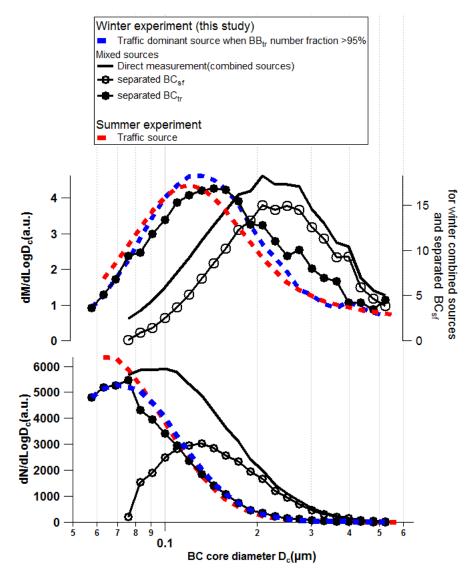


Fig. 7. Mass and number size distributions of BC core: when traffic dominant source during winter; attributed BC_{tr} and BC_{sf} from mixed sources; the BC in summer experiment when traffic source dominant.

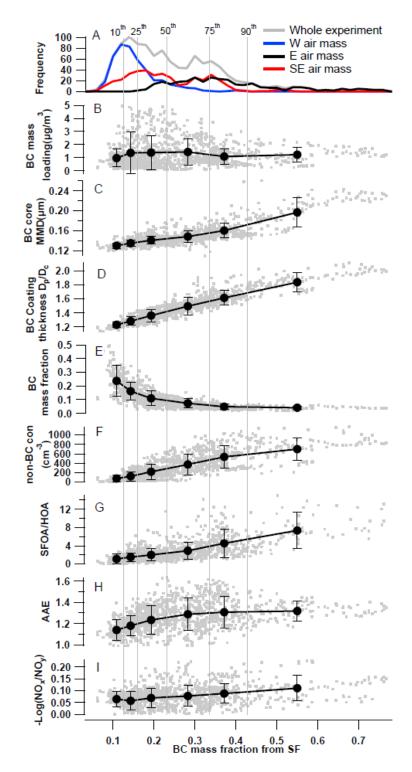


Fig. 8. The BC-related properties as a function of BC_{sf} mass fraction as attributed by the SP2. The data points are grouped according to the percentiles of BC_{sf} mass fraction, i.e. min to 10^{th} percentile of BC_{sf} fraction; 10^{th} to 25^{th} percentile of BC_{sf} fraction etc. Each solid round marker shows the average within the grouped points with the error bar showing the standard deviation ($\pm \sigma$). A) of the histograms of BC_{sf} mass fraction for W, E, SE air mass and for the entire experiment; B) BC mass loadings; C) BC core diameter; D) BC coating thickness; E) BC mass fraction; F) non-BC concentration at 200-530nm; G) SFOA/HOA from AMS-PMF analysis; H) the Absorption Ångström Exponent calculated at $\lambda = 470$ and 950nm; I) $-Log(NO_v/NO_v)$.

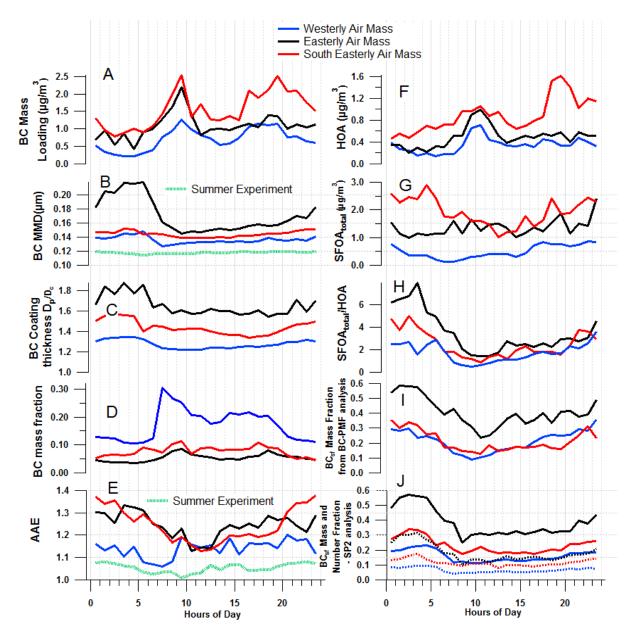


Fig. 9. The diurnal trends of BC-related parameters classified by air mass. The lines show the median values of the dataset. A) rBC mass loading; B) BC core MMD, with the dotted green line showing the summer result; C) BC coating thickness D_p/D_c ; D) BC mass fraction; E) the Absorption Ångström Exponent with dotted green line showing the summer result; F) HOA mass loading from AMS-PMF; G) SFOA_{total} (SFOA I+SFOA II) mass loading from AMS-PMF; H) SFOA_{total}/HOA ratio; I) BC mass fraction from solid fuel burning attributed by BC-AMS-PMF method (section 3.3); J) BC mass/number fraction from solid fuel burning attributed by SP2 method (section 3.5), with the dotted lines showing the number fraction.

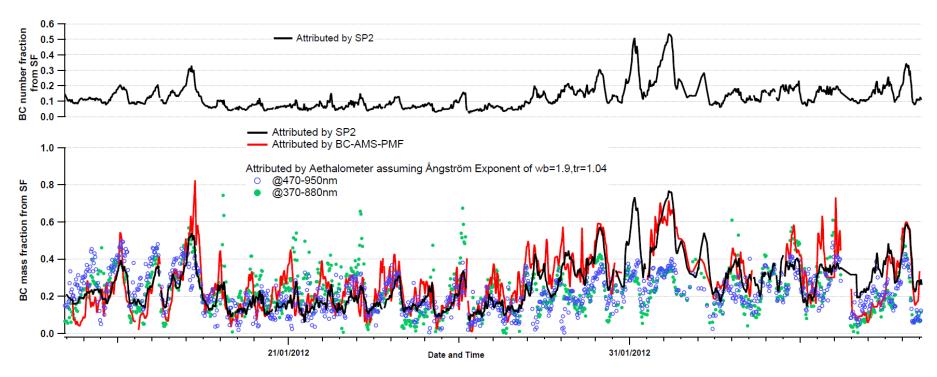


Fig 10. Intercomparisons among different techniques of BC source attribution. The above panel shows the number fraction of BC from solid fuel burning source which can only be obtained from SP2 attribution.

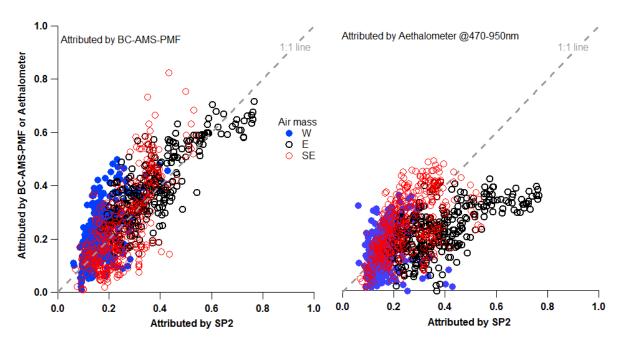


Fig. 11. Comparisons between BC-AMS-PMF and Aethalometer ($\lambda_{470\text{-}950\text{nm}}$) attributed BC_{sf} mass fraction and the SP2 attribution for different air masses.