# Author's Response:

The Authors thank Reviewer 3 and the Editor for their thorough and valuable review. We have changed the text content to address reviewer 3's concerns about ice vs. liquid phase cloud and have responded as best we could to the editor's request to add profile data. Detailed responses to these concerns are discussed in our response to the Editor's points 1 and 2 below. Following our responses to these primary issues, we also respond to Reviewer 3's other minor comments. The **Editor's and Reviewer 3's comments are in bold**. *Our response is in italics*.

# Editor: Please edit the manuscript in light of the new Reviewer #3 concerns and suggestions re the ice vs liquid phase low cloud issue.

# Author Response: We have edited the manuscript in light of new Reviewer #3 concerns which are listed below.

Reviewer 3: The evidence I am most concerned about is the optical remote sensing systems at the Horsepool site, such as the IR and UV lidar systems, which show a complete dropout of the signal when the fog or low stratus are present. I am told by lidar propagation experts that this is a definitive sign of liquid water in the cloud. If the fog and stratus were all ice at Horsepool, the lidars would have very good signal, as the authors pointed out for the ceilometer at Roosevelt. It is well known that liquid-water droplets can exist at cold temperatures below -30C in cirrus clouds, so it is likely that some ice and some liquid both exist in the Uintah fogs, the question being how they are partitioned. The two pieces of evidence for ice in the fog are the picture of the sun provided in the responses and the satellite data. It is my impression that a mostly ice cloud will show halo or "sun-dog" or other optical effects, which can be seen in the textbook image also provided. I don't see this kind of optical structure in the picture from the Uintah Basin, although maybe it's just the picture quality. I also don't see that the picture of the frost on the instrument cover is enough to rule out riming (by supercooled water) as opposed to deposition (hoar frost). I am not familiar with what verification has been done on the satellite determination of liquid vs. water, but this evidence may not be as strong as the Horsepool optical remote sensing. On the one hand, on p.7, line 25, the authors state that the satellite data "confirm" that the low clouds are ice, then later in line 29, they state that the data range observed is, "typically associated with icephase stratus and fog," which sounds short of confirmatory. At any rate, the evidence seems inconclusive as to whether and where the fog was all ice, so I would be satisfied if the authors would modify some of their statements to reflect this:

--p.7, line 25: change "confirm that the low clouds are..." to "provide evidence that the low clouds may be..."

--p.17, line 24: delete "that were not observed during the event" so the phrase reads, "...liquid-phase low clouds and fog, whereas restricting..."

Author Response: The Authors wish to thank Reviewer 3 for bringing up a very legitimate concern and for pointing out that the data from Horsepool lidar strongly suggest liquid water exists in the low clouds. We have edited in multiple places in the manuscript in light of new Reviewer #3 concerns and now discuss the fact that without in situ microphysical measurements, we cannot be sure of the relative contributions of supercooled liquid and ice in the observed clouds. We have thus removed the mention of 'ice fog' and replaced it with 'fog' in areas where appropriate. We have also shifted the emphasis to the simulated depth of the fog relative to observations. The changes in text are outlined below:

Page 5: Ice fog changed to only 'fog' in this sentence: "Finally, snow-cloud and nighttime microphysics RGB imagery from the NASA Short-term Prediction Research and Transition Center (SPoRT) was used to determine the spatial extent of fog within the basin. "

Page 6: riming added to this sentence:" Very low temperatures combined with repeated <u>riming</u> and/or ice fog deposition onto the snow surface during many nights apparently maintained the highly reflective surface within the basin."

Page 7: Ice fog changed to only 'fog' in this sentence: "Low stratus and fog were commonly observed during the 31 January–6 February 2013 CAP in the lowest reaches of the basin, typically breaking up during the late morning and afternoon hours into hazy skies."

Page 7: The following sentence was modified in response to the following comment from Reviewer 3:

# --p.7, line 25: change "confirm that the low clouds are..." to "provide evidence that the low clouds may be..."

Prior to modifications: "A Visible Infrared Imaging Radiometer Suite (VIIRS) nighttime microphysics RGB image during the previous night (Fig. 5b) helps confirm that the low clouds in the basin are ice-phase stratus and fog. This product discriminates particle phase by combining data from the 3.9, 10.8, and 12.0 micron infrared channels."

After modifications: "A Visible Infrared Imaging Radiometer Suite (VIIRS) nighttime microphysics RGB image during the previous night (Fig. 5b) provide evidence that the low clouds and fog in the basin may contain ice-phase particles. This product attempts to discriminate particle phase by combining data from the 3.9, 10.8, and 12.0 micron infrared channels."

Page 8: The following sentence was added to further clarify that we are uncertain what exactly the fogs during UBWOS were made of: "Since in situ cloud microphysics measurements were not obtained during the field campaign, unambiguously discriminating between ice and supercooled liquid water droplets is not possible."

Page 9: 'ice clouds' has been replaced by 'fog' in sentence below "During that time, significant hoar frost was observed on trees and other surfaces after sunrise with light accumulations of ice crystals falling out of the fog in Roosevelt later in the morning."

*End of page 10, start of page 11: We have added the underlined words to the following sentences to refer to the differences in the depth of the two simulated cloud types:* 

"However, the <u>shallow</u> and surface-based clouds in FULL are more realistic in terms of the <u>depth</u> of the observed clouds than the deeper and elevated stratus clouds seen in the BASE simulation. In addition, the simulated vertical temperature profile in FULL also more closely matches available observations (e.g., Fig. 4a and b). The improved vertical temperature and cloud profiles and 2 m temperatures in the FULL simulation are related to the <u>depth</u> and compositional change of the fog and stratus clouds in the CAP, *i.e., <u>deeper</u> cloud water in the BASE simulation compared to <u>shallower</u> cloud ice in the FULL simulation."* 

--p.17, line 24: delete "that were not observed during the event" so the phrase reads, "...liquid-phase low clouds and fog, whereas restricting..."

This suggestion was completed on page 17.

Editor: I think that the paper will be more readable and clear if you, as suggested by Reviewers 1 and 2, add some ozone vertical profile information in support of the discussion of the impact of meteorology on ozone in Figure 6. This could be done for some selected period, like mid-day Feb. 4 and/or 5 at Horsepool. The fact that the ozone data will be available in other manuscripts is inadequate support of the discussion in this paper, in that a high quality paper should be readable and understandable as a stand-alone document. Your reply to the Reviewer 2 comments that you are simulating and focusing on the meteorological aspects of the inversions is not accurate, because this paper is analyzing the impact of the inversions on ozone, specifically, as indicated in the Title of the paper, and within the manuscript. Including some vertical profile information in your paper should not detract from the ability of others to independently and more thoroughly discuss and analyze the chemical species profiles, and assuming you have consent from those who generated those data, and can properly credit their use.

Please also consider the other minor comments from the second review from Reviewer #3.

Author Response: We reached out to the scientists involved in collecting the vertical ozone profiles. They discussed within their science teams whether they would be able to provide us with profiles of ozone. They decided that they were not comfortable with that, as their detailed tethersonde measurements will be included in a paper for the special Uintah Basin Issue of ACP that our paper has been submitted to. That manuscript is currently under preparation for

publication, and they did not feel comfortable having figures derived from their data appear in a refereed journal before they have published it themselves.

We also reached out to another science team that collected ozone profiles that have appeared in an ACPD manuscript under review (Oltmans et al. 2014 <u>http://www.atmos-chem-phys-</u> <u>discuss.net/14/20117/2014/acpd-14-20117-2014.pdf</u></u>). Since they preferred to not provide the data until it was published in their study, we refer more explicitly in the text to the ozone profiles in Figures 17 and 18 provided by Oltmans et al. 2014. These are adequate to contrast the evolution of the boundary layer on 4-5 February described in our text for Roosevelt with that evident in the Horsepool/Ouray area based on their vertical profiles.

Editor: Please add some ozone vertical profile information in support of the discussion of the impact of meteorology on ozone in Figure 6. This could be done for some selected period, like mid-day Feb. 4 and/or 5 at Horsepool.

We have added the following text discussion (since we were unable to obtain permission to plot ourselves) citing the profiles shown in Oltmans et al. 2014 concerning the impact of meteorology on ozone from 4-5 Feb:

Page 8: "Vertical profiles of ozone and potential temperature from aircraft observations in the vicinity of Horsepool on 4 and 5 of February confirm that a ~150 m lowering of the capping inversion occurred in this region as well between these two days (Figs. 17 and 18 in Oltmans et al. 2014). The lowering of the capping inversion resulted in an intrusion of clean air above the shallow stable layer on 5 February, such that the highest boundary-layer ozone concentrations extended to ~1800 m on 4 February compared to ~1600 m on 5 February (Figs. 17 and 18 Oltmans et al. 2014)."

Page 10: An additional reference to the aircraft vertical profiles has been added in the following text on page 10: "The base of the capping inversion (approximately represented by the ~280 K potential temperature isotherm in Fig. 9a-b and the ~290 K isotherm in Fig 9c) associated with the top of the stratus clouds in BASE (Fig. 9a) averages 100–200 m higher than the top of the ice fog simulated in FULL, and the stratus clouds in BASE are on average 50-100 m deeper than the ice fog in FULL (Fig. 9b). Comparison of the modelled potential temperature profiles at Horsepool with nearby aircraft profiles presented by Oltmans et al. (2014) shows further that the simulated surface-based mixed layers at midday in the BASE simulation are unrealistically warm and deep by 100-200 m compared to observations, while the FULL simulation mixed-layers are improved but still remain too deep. "

# Additional Comments from Reviewer 3:

1. The most significant 'other comment' I have is that the "NONE"/no-snow model run is really not a very good representation of what actually happens it the wintertime Uintah Basin when there is no snow on the ground. The winter of 2012 was an entire period of no snow

under a wide range of meteorological conditions. There were one or two brief disturbed shortwave periods when an inch or so of snow fell and quickly melted, which is when the "infrequently observed" (p.11, line 16) low cloud and fog would have occurred. But, if one compares like conditions to the undisturbed period being studied in 2013, the undisturbed periods during the 2012 project had no low clouds or fog present. The model, however, did produce low cloud/fog (p11, line 28) under these conditions. I don't believe that simulating 2012 conditions would be much different from simulating 2013 conditions without snow, because of the wide range of conditions during 2012. I recommend that the authors just matter-of-factly state where these discrepancies occur, such as, specifically: -- p.11, line 16: instead of "only infrequently observed" it is more accurate to say "were not observed during undisturbed periods" or similar.

Author response: Thank you for this valuable comment. We agree that the text on "infrequently observed" (p.11, line 16) was incorrect given that no low clouds were observed during CAPs during winter 2011-2012. It is a problem of the WRF model that we have been dealing with and are working to resolve--it often produces clouds during CAPs when none were observed. However, we have observed low clouds within the basin from satellite when no snow cover existed (although not during the winter of 2013), but they are likely extremely rare. We have restructured and added the text underlined below to the discussion on page 12 to introduce this limitation to make it clear that these clouds forming during no-snow model run are likely not realistic.

"The simulation with no snow cover in the basin (NONE) for the 31 January–6 February 2013 period is now compared to the FULL simulation. The lack of snow in the basin increases the average CAP temperatures by as much as 8 °C (Figs. 7 and 9), which is unrealistic relative to those observed (Table 3). While the CAP depth in the NONE simulation is also unrealistically deep (because this particular CAP was snow-covered), the lack of snow has negligible effects aloft (Figs. 4a and b, 9). Several interrelated processes contribute to the high low-level temperatures and deep afternoon CAP in the NONE simulation relative to the FULL simulation. First, when the snow is removed from the basin floor, the thermal conductivity of the land surface increases, and the decrease in surface albedo results in greater absorption of solar radiation. Second, the occurrence and thickness of the clouds in the warmer NONE boundary-layer are reduced compared to FULL, resulting in greater incoming short-wave radiation (Fig. 9c). Finally, the sensitivity of the CAP to ice-phase microphysics is minimized in the NONE simulation since the boundary layer over the bare ground/vegetation is too warm (i.e., higher than -12 °C) to nucleate cloud ice. Where the clouds are present in the NONE simulation, the resulting liquid-phase stratus leads to increased longwave radiation at the surface.

The modelled afternoon mixed layer depths of 400-800 m in the NONE simulation were somewhat less than those observed in the basin during snow-free conditions in the <u>2011-2012 winter</u> (Lyman and Shorthill 2013). In addition, low clouds formed within the NONE simulations whereas they

were not observed during undisturbed periods in the Uintah Basin during the previous winter 2011-2012. Further, recent WRF simulations for a cloud-free CAP episode during January 2013 produced periods of spurious low clouds (Trang Tran, personal communication), which suggests a moist bias to the modelled boundary-layer characteristics in WRF that warrants further analysis. "

# 2. p.7, last line, to top of p.8: "clouds observed within the basin generally occurred....within a shallow mixed layer." This would not be true of the clouds/fog at night, when the BL was very stable (no mixed layer).

Author's response: We have corrected this sentence to more accurately portray the occurrence of clouds within the moist low-level boundary layer. We agree that using 'mixed layer' is not needed in this sentence and we have removed. Mixed layers are typically associated with daytime conditions but in this paper we also use it to refer to mixing associated with cloud-top radiative cooling (overturning results in a shallow mixed layer below the base of the clouds).

This sentence:

"clouds observed within the basin generally occurred....within a shallow mixed layer."

Has been replaced by this sentence:

The clouds observed within the basin generally occurred within a high relative humidity layer located between the surface and 1800 m (Fig. 4c).

3. p.10: The discussion on this page (starting line 3) begins with an evaluation of model differences at Horsepool, without observational verification, but this paragraph ends and the next one begins, with comparisons of the model runs with ceilometer data, presumably at Roosevelt. This switch should be made more clearly.

We have added the following discussion of evaluation of the model differences with the vertical temperature profiles taken near Horsepool by NOAA Aircraft and presented in Oltmans et al. 2014:

"Comparison of the modelled potential temperature profiles at Horsepool with nearby aircraft profiles presented by Oltmans et al. (2014) shows further that the simulated surface-based mixed layers at midday in the BASE simulation are unrealistically warm and deep by 100-200 m compared to observations, while the FULL simulation mixed layers are improved but still remain too deep. "

In addition, we made the discussion of the ceilometers a new paragraph to improve the transition between these two topics and that there is symmetry (observational verification for both potential temperature with profiles and clouds with ceilometers).

What is "integrated cloud amount" in Fig. 10

Author's response: We have expanded the Fig. 10 caption, to more explicitly describe what "integrated cloud amount is:"

... (a, b) Integrated total cloud amount (cloud water and ice) in bottom 15 model levels (in mm according to the scale on the right).

We have also added "cloud water and ice" to the following sentence on page 10:

Snapshots of the cloud characteristics at 23:00 MST 4 February (Fig. 10) reflect similar total cloud (<u>cloud water and ice</u>) amounts and coverage.

# Simulations of a cold-air pool associated with elevated wintertime ozone in the Uintah Basin, Utah

3

4 E. M. Neemann<sup>1</sup>, E. T. Crosman<sup>1</sup>, J. D. Horel<sup>1</sup>, and L. Avey<sup>2</sup>

5 [1]{Department of Atmospheric Sciences, University of Utah, Salt Lake City, Utah}

6 [2]{Utah Division of Air Quality, Salt Lake City, Utah}

7 Correspondence to: John Horel (john.horel@utah.edu)

8

# 9

# 10 Abstract

Numerical simulations are used to investigate the meteorological characteristics of the 31 11 12 January-6 February 2013 cold-air pool in the Uintah Basin, Utah, and the resulting high 13 ozone concentrations. Flow features affecting cold-air pools and air quality in the Uintah 14 Basin are studied, including: penetration of clean air into the basin from across the 15 surrounding mountains, elevated easterlies within the inversion layer, and thermally-driven slope and valley flows. The sensitivity of the boundary layer structure to snow cover 16 17 variations and cloud microphysics are also examined. Snow cover increases boundary layer 18 stability by enhancing the surface albedo, reducing the absorbed solar insolation at the 19 surface, and lowering near-surface air temperatures. Snow cover also increases ozone levels 20 by enhancing solar radiation available for photochemical reactions. Ice-dominant clouds enhance cold-air pool strength compared to liquid-dominant clouds by increasing nocturnal 21 22 cooling and decreasing longwave cloud forcing.

23

# 24 **1** Introduction

High concentrations of near-surface ozone have an adverse impact on human health, including respiratory irritation and inflammation, reduced lung function, aggravated asthma, and longterm lung damage (Lippmann, 1993; Bell et al., 2004). Ozone is formed through photochemical reactions of precursor pollutants, typically nitrogen oxides (NOx) and volatile organic compounds (VOCs), emitted from industrial sources and vehicles (Pollack et al.,

1 2013). Once thought to primarily be an urban, summer-time problem (due to the high insolation required for photochemical reactions), high ozone levels and associated precursor 2 3 pollutants have recently been detected during the wintertime in snow-covered rural basins 4 with significant industrial fossil fuel extraction activities (Schnell et al., 2009; Helmig et al., 5 2014; Lee et al, 2014; Warneke et al. 2014a, b; Li et al. 2014). Snow cover increases the surface albedo and near-surface actinic flux (quantity of light available to molecules) leading 6 7 to photolysis rates notably larger ( $\sim 50$  %) than those observed in summer (Schnell et al., 8 2009). In addition, the shallow and highly stable boundary layer often observed during the 9 wintertime in snow-covered rural basins further exacerbates the problem by trapping the high 10 ozone concentrations in the lowest several hundred meters of the atmosphere (Fig. 1).

11 High levels of ozone were first detected in Northeast Utah's Uintah Basin in 2009, 12 when 8 h average concentrations were over 100 ppb (Lyman et al., 2014). This value was well above the US Environmental Protection Agency's (EPA) National Ambient Air Quality 13 14 Standard (NAAQS) of 75 ppb (EPA, 2014), and far above the background levels of ozone 15 near the earth's surface that typically range between 20-45 ppb (EPA, 2006). Fossil fuel production has increased in the Uintah Basin over the last several years and will likely 16 17 continue to increase. Currently, there are over 11 200 producing wells in the basin (Helmig et 18 al., 2014) and over 3800 additional permit applications since the beginning of 2012. Extensive 19 scientific research has been conducted in the Uintah Basin to better understand the wintertime 20 rural ozone problem during the past several winters (Edwards et al., 2013; Lyman and 21 Shorthill, 2013; Stoeckenius and McNally, 2014; Helmig et al., 2014; Warneke et al. 2014a, 22 b). Considerable variations in late winter snow cover, which modulates the occurrence of high 23 ozone events in the Uintah Basin, are evident from year to year. Snow cover was largely 24 absent from the basin during February 2009, 2012, and 2014 and ozone levels remained low 25 during those months, while February 2010, 2011, and 2013 saw extensive snow cover and 26 several high ozone episodes.

The Uinta Mountains to the north, Wasatch Range to the west, and Tavaputs Plateau to the south often confine cold air during winter within the topographic depression of the Uintah Basin (Fig. 2). Such cold air pools (CAPs) form when synoptic and mesoscale processes lead to persistent stable stratification in the boundary layer resulting from a combination of warming aloft and cooling near the surface (Lareau et al., 2013). The high terrain encompassing the basin and its large horizontal extent leave its central core less affected by

1 weak synoptic-scale weather systems, which results in longer-lived CAPs than those observed

2 in other locales (Zangl, 2005b; Lareau et al., 2013; Lareau and Horel, 2014; Sheridan et al.,

3 2014). CAPs are often associated with low clouds, fog, freezing precipitation, hazardous

4 ground and air travel, and elevated levels of particulate air pollution in valleys and basins

5 (Whiteman et al., 2001; Malek et al., 2006; Silcox et al., 2012; Lareau et al., 2013; Lareau,

6 2014; Lareau and Horel, 2014).

7 Numerical studies have examined the lifecycle of CAPs for a variety of idealized 8 (Zangl, 2005a; Katurji and Zhong, 2012; Lareau, 2014) and actual topographic basins 9 (Whiteman et al., 2001; Clements et al., 2003; Zangl, 2005b; Billings et al., 2006; Reeves and 10 Stensrud, 2009; Reeves et al., 2011; Lareau et al., 2013; Lareau and Horel, 2014; Lu and 11 Zhong, 2014). However, relatively few studies have examined the impact of snow cover, 12 clouds, and cloud microphysics on CAP formation and evolution. Zangl (2005a) found that 13 the limited heat conductivity of fresh snow was important for efficient cooling of the air near the surface. Comparing simulations with a snow-covered and grass-covered sinkhole floor 14 15 suggested that the larger surface heat capacity of the grass floor resulted in more gradual cooling, smaller afternoon-morning temperature difference, weaker static stability, and no 16 cloud cover. Billings et al. (2006) studied the impact of snow cover on a CAP in the Yampa 17 18 Valley, CO and found that snow-free simulations were incapable of producing the CAP. 19 Zangl (2005a) indirectly examined the effect of cloud particle phase on the formation of 20 CAPs in the Gstettneralm sink-hole, Austria. He found that an efficient drying mechanism to 21 remove fog was required, such as the nucleation and sedimentation of cloud ice, otherwise the enhanced cloud longwave radiation inhibits the low-level cooling necessary for a strong CAP. 22 23 Numerical models often struggle to accurately simulate ice fogs that occur in some CAPs, 24 largely because the underlying ice fog microphysics are not well-understood (Gultepe et al., 25 2014).

While the influence of snow and cloud cover, inter-basin flows, and terrain-flow interactions on the evolution of the shallow, stable boundary layers associated with wintertime high ozone episodes in the Uintah Basin has been recognized, those impacts have only been partially explored (Lyman and Shorthill, 2013; Stoeckenius and McNally, 2014). In this study, a high ozone episode from 31 January–6 February 2013 during the Uintah Basin Winter Ozone Study (UBWOS) is examined. The Weather Research and Forecasting (WRF) model is used to examine the sensitivity of CAP thermodynamic structure and wind flow

1 regimes to variations in snow cover, specification of snow albedo, and cloud microphysics, 2 while the Community Multi-Scale Air Quality (CMAQ) model is used to investigate the 3 impact of snow cover on ozone concentrations. Section 2 briefly describes the numerical 4 simulations and selected validating observations followed in Sect. 3 by an overview of the 31 5 January–6 February case study and modelling results. Section 4 illustrates the sensitivity of 6 simulated ozone concentrations during this period to snow cover. Discussion of the results 7 follows in Sect. 5. For further information, see also Neemann (2014).

8

# 9 2 Data and methods

#### 10 **2.1 WRF and CMAQ models and observations**

11 Table 1 summarizes the WRF version 3.5 model setup used in this study. The WRF model is 12 nonhydrostatic, with a pressure-based, terrain-following vertical coordinate system. 13 Simulations herein used 41 vertical levels with the lowest 20 levels within approximately 1 14 km of the terrain surface. Three telescoping, one-way nested domains were employed to place 15 the highest-resolution nest over the Uintah Basin, with grid spacing of 12, 4, and 1.33 km, 16 respectively (Fig. 2a). Operational North American Mesoscale Model (NAM) analyses were 17 used to initialize atmospheric and land surface variables (except for snow variables, see the 18 following subsection) as well as provide the lateral boundary conditions for the outer domain at 6 h intervals. We evaluate the core period (17:00 MST 31 January 2013 to 17:00 MST 6 19 20 February 2013) of the CAP in the Uintah Basin that lasted from 30 January to 10 February 21 2013.

22 WRF output from the 4 km domain was imported into the Utah Division of Air 23 Quality's (UDAQ) CMAQ model (version 5.0). The CMAQ model couples the 24 meteorological data from WRF with an emission inventory from the Uintah Basin developed 25 by UDAQ and chemistry-transport and photochemical subsystems to simulate concentrations 26 for a variety of chemical compounds and pollutants (Byun and Schere, 2006). The emission 27 inventory is for 2011 based on growth of oil & gas activities since 2006 (Barickman, 2014). 28 VOC oil & gas emission speciation profiles are provided by EPA's SPECIATE 4.3 database (EPA, 2012). Default (i.e., not Uintah Basin specific) speciation profiles are used, which 29 assign generic natural gas flaring and crude oil storage tank profiles to the oil & gas 30 31 emissions. Since the UDAQ inventory and CMAQ model are available at a resolution of 4 km, that model was forced with WRF data from the 4 km nest (Fig. 2a). 32

1 Selected meteorological and surface ozone observations obtained during the UBWOS 2 were used to describe the overall evolution of the CAP episode and to compare to the model 3 results. A subset of six representative meteorological stations in the basin and archived in 4 MesoWest (Horel et al., 2002) was selected to validate simulated 2 m temperature (see Fig. 5 2). Vertical profiles of temperature, dew point temperature, and wind from rawinsondes released at midday (11:00 MST) near Roosevelt on 1-6 February 2013 were used to evaluate 6 7 the model's ability to reproduce the vertical structure of the boundary layer. Additional 8 profiles of wind, temperature, and chemical species in the boundary-layer available in the 9 east-central portion of the basin as part of UBWOS are being examined by other researchers 10 (e.g., Oltmans et al. 2014).

A Vaisala CL-31 laser ceilometer located at Roosevelt provided aerosol backscatter, the presence of low clouds, and an estimate of the depth of the aerosol layer. Finally, snowcloud and nighttime microphysics RGB imagery from the NASA Short-term Prediction Research and Transition Center (SPoRT) was used to determine the spatial extent of <u>ice</u> fog within the basin.

# 16 **2.2 Prescribing initial WRF snow cover in Uintah Basin**

17 While NAM analyses represented the spatial coverage of snow during the 31 January-6 18 February 2013 period fairly well, they overestimated snow depth and snow water equivalent 19 (SWE) within the basin and underestimated them at higher elevations. In order to better 20 represent the actual snow surface conditions, an "idealized" layer of snow and SWE was 21 specified in the WRF initialization fields based on elevation in a manner similar to Alcott and 22 Steenburgh (2013). This prescribed snow cover was determined using: Snowpack Telemetry 23 observations; National Operational Hydrologic Remote Sensing Center analyses; Moderate 24 Resolution Imaging Spectroradiometer imagery; and manual and automated observations 25 from the Community Collaborative Rain, Hail, and Snow Network, and those collected during 26 the UBWOS campaign. As shown in Fig. 3c, the prescribed snow cover was applied within all 27 model domains with no snow cover outside of the Uintah Basin below an elevation of 2000 m 28 and a 17 cm snow depth from the basin floor up to an elevation of 2000 m. (Unless referenced 29 specifically as a.g.l., all elevations are given in m.s.l.). Above 2000 m, the snow depth was elevation-dependent, increasing to 100 cm for elevations at 2900 m or higher. 30

In addition to poor representation of snow depth and SWE, the NAM analysesunderestimated snow albedo relative to observed shortwave radiation measurements at

1 Horsepool and Roosevelt (HOR and ROO, respectively in Fig. 2b). The surface albedo 2 averaged from 1 January-2 March 2013 at Horsepool was 0.82 (Roberts et al., 2014), which 3 is roughly 0.17 higher than the NAM analyses during the 31 January-6 February period. Very 4 low temperatures combined with repeated riming and/or -ice fog deposition onto the snow 5 surface during many nights apparently maintained the highly reflective surface within the basin. Hence, the snow albedo variable in WRF was initialized to be 0.82 inside the basin. 6 7 Furthermore, based on visual observations of the snow covering nearly all of the sparse 8 vegetation in the basin during the 31 January-6 February period, changes were made to the 9 WRF vegetation parameter table for the two dominant vegetation/land use types: "shrubland" and "cropland/grassland mosaic". For these vegetation types, 20 kg m<sup>-2</sup> of SWE was allowed 10 to fully cover the vegetation in the Noah land surface model. The combination of increasing 11 12 the snow albedo and modifying the vegetation parameter table enabled the model surface to 13 attain the high surface albedo observed during the field campaign (compare Fig. 3a to b).

# 14 2.3 Numerical sensitivity studies

15 Sensitivity tests were conducted with the WRF model to evaluate the impact of variations in cloud type and snow cover on CAPs in the Uintah Basin (Table 2). In order to test the 16 sensitivity of the Uintah Basin CAP to ice vs. liquid phase cloud particles, the default 17 18 Thompson microphysics scheme used in the BASE simulation was modified in the FULL 19 simulation to enhance the production of ice fog and low clouds by turning off cloud ice 20 sedimentation and the autoconversion of cloud ice to snow in the lowest 15 model layers ( $\sim$ 500 m a.g.l.). These changes allowed low-level cloud ice to remain suspended and thrive 21 22 through vapour deposition due to the lower vapour pressure over ice compared to water. 23 Recent research has shown that small ice particles suspended in ice fog have a much slower 24 rate of gravitational settling than the ice particles found in cirrus clouds (for which the settling rates in the default WRF Thompson microphysics scheme were designed). Fall speeds are 25 often less than 1 cm s<sup>-1</sup> for small (< 20  $\mu$ m) ice fog particles (Heymsfield et al., 2013; Schmitt 26 27 et al., 2013; Kim et al., 2014), and can be more than 9 times slower than speeds calculated in 28 the original Thompson scheme for particles smaller than 15 µm. Further, ice-dominant clouds 29 have reduced radiative effects compared to liquid-dominant clouds (Shupe and Intrieri, 2004), allowing for stronger CAP formation, shallower PBLs, and lower near-surface temperatures. 30

The BASE and FULL simulations use the prescribed snow cover as shown in Fig. 3c.
As discussed in the Introduction, large snow cover variations are observed from one February

to another in the Uintah Basin. To examine the sensitivity of the conditions in the basin to
 snow cover, the NONE simulation uses the same model configuration as the FULL simulation

3 for the 31 January–6 February period but snow is removed for elevations below 2000 m in the

4 basin (Fig. 3d), which is similar to what was observed during February 2012 and late5 February 2014.

6

# 7 3 Results

# 8 3.1 Overview of the 1–6 February 2013 CAP

9 A deep upper-level trough and associated midlatitude cyclone moved across Utah from 28-30 January 2013, bringing very cold air aloft (700 hPa temperatures  $\sim -20$  °C) and 1–5 cm of 10 11 light snowfall on top of a  $\sim 10-20$  cm base to the Uintah Basin. Following the upper-level 12 trough passage, 1-6 February was dominated by upper level ridging over the western United 13 States with large-scale subsidence and mid-level warming over the Uintah Basin. During the CAP, warm air aloft (700 hPa temperatures between ~ -7 and 0 °C) was observed 14 overtopping very cold low-level air (diurnally ranging between  $\sim -18$  and -5 °C), which 15 16 resulted in a strong capping inversion within the basin (Fig 4a-b). In addition, the presence of 17 fresh snow cover, quiescent surface weather conditions, and sufficient incoming solar 18 insolation to drive photochemistry set the stage for a high ozone episode.

19 Lice fog and low stratus and fog were commonly observed during the 31 January-6 20 February 2013 CAP in the lowest reaches of the basin, typically breaking up during the late 21 morning and afternoon hours into hazy skies. A mid-day satellite image on 2 February 2013 22 indicates that the lower elevations of the Uintah Basin were snow covered with fog and 23 stratus confined to the lowest elevations of the basin (Fig. 5a). A Visible Infrared Imaging 24 Radiometer Suite (VIIRS) nighttime microphysics RGB image during the previous night (Fig. 25 5b) provides evidence helps confirm that the low clouds and fog in the basin may contain are 26 ice-phase particles. stratus and fog. This product attempts to discriminates particle phase by 27 combining data from the 3.9, 10.8, and 12.0 micron infrared channels. Liquid-phase low 28 stratus and fog are represented by aqua/green colours (e.g., southern ID and portions of 29 western and central UT) while the yellow/orange colours evident in the basin are typically 30 associated with ice-phase stratus and fog. Since However, because no in situ cloud microphysics measurements were not obtained conducted-during the field campaignduring 31

this time, unambiguously discriminating the relative partitioning of between ice and versus 1 supercooled liquid water droplets -is not possible cannot be quantified. The elevation 2 3 dependence of the fog/stratus is evident in Fig. 5b by the cloud tendrils extending up the river valleys within the basin. The clouds observed within the basin generally occurred within a 4 5 high relative humidity layer located between the surface and the lowest-1800 m<del>300 m of the</del> boundary layer within a shallow mixed layer with high relative humidity with respect to ice 6 7 (Fig. 4<del>a and c</del>). The strong stability observed on 4 February extends upwards to 2750 m with decreasing moisture aloft. Weak easterly winds of  $2-3 \text{ m s}^{-1}$  at the base of the stable layer 8 near 2000 m give way to westerly winds of  $\sim 10-12$  m s<sup>-1</sup> near the top of the inversion layer 9 (Fig. 4e and g). The observed mixed layer was shallower the following day as a result of a 10 thinner near-surface ice-fog laver (Fig. 4b) with ~10% lower relative humidity within the 11 upper portions of the CAP. Weak easterly winds are present again near 2000 m with westerly 12 13 winds of 7–9 m s<sup>-1</sup> in the upper reaches of the capping stable layer. Vertical profiles of ozone and potential temperature from aircraft observations in the vicinity of Horsepool on 4 and 5-of 14 15 February confirm that a ~150 m lowering of the capping inversion occurred in this region as 16 well between these two days (Figs. 17 and 18 in Oltmans et al. 2014). The lowering of the 17 capping inversion resulted in an intrusion of clean air above the shallow stable layer on 5 February, such that the highest boundary-layer ozone concentrations extended to  $\sim 1800$  m on 18 19 4 February compared to ~1600 m on 5 February (Figs. 17 and 18 Oltmans et al. 2014).

20 The observed ozone concentrations in the basin exceeded the EPA standard of 75 ppb 21 beginning during the afternoon of 1 February at Horsepool and Ouray (HOR and OUR in Fig. 2b) and continued to increase through 6 February (Fig. 6a). A weak weather system moved 22 23 across the basin after 17:00 MST 6 February that lowered the ozone concentrations. However, 24 elevated ozone levels continued until 9 February, after which a stronger weather system with 25 sufficient cold-air advection aloft to destabilize the column moved through the region (not shown). Ozone concentrations near the small cities of Roosevelt and Vernal reach lower 26 27 afternoon peaks and decrease to background levels at night as a result of NOx titration 28 (Edwards et al., 2013; Lyman et al., 2014).

Figure 6b presents the time evolution of aerosol backscatter, low clouds, and an estimate of the depth of the aerosol layer from the Roosevelt laser ceilometer during the 31 January–6 February period. Aerosol backscatter profiles collected at 16 s intervals are averaged into hourly profiles. Fewer aerosols were observed on 1 February followed early the

1 next morning by the development of ice fog evident as well in Fig. 5b. Then, a semi-regular 2 pattern developed over the next several days with shallow nighttime fog and low clouds 3 thinning by mid-day and followed by a deeper layer of aerosols in the afternoon that quickly 4 collapsed at sunset. The ceilometer backscatter data also corroborates other observations that 5 the fog and low cloud occurrence in the basin peaked during 3–4 February. During that time, significant hoar frost was observed on trees and other surfaces after sunrise with light 6 7 accumulations of icesnow crystals falling out of the fogice clouds in Roosevelt later in the morning. The high levels of aerosol backscatter on 5-6 February diminished near 17:00 MST 8 9 6 February as a result of the weak weather system mentioned earlier (not shown).

10

### 11 **3.2 BASE simulation**

12 WRF model simulations were conducted to improve our understanding of the spatiotemporal characteristics of temperature, wind, and moisture throughout the basin during the 31 13 14 January-6 February 2013 CAP and to investigate the role of snow cover and low clouds on 15 the CAP's evolution (Table 2). Evaluation of the BASE model simulation on the 1.33 km inner grid relative to the available observations confirms that the WRF model captures the 16 17 salient temperature, moisture and wind features of the CAP episode throughout the 31 January-6 February period above the boundary layer, i.e., above  $\sim 2100$  m. For example, the 18 simulated potential temperature profiles from the BASE simulation at elevations above 2100 19 20 m agree well with the observed mid-day profiles at Roosevelt (Fig. 4a and b). In addition, the weak easterly flow of  $\sim 2 \text{ m s}^{-1}$  observed between 1750 and 2050 m near the base of the 21 22 stable layer aloft is also evident in the BASE simulation (Fig. 4e-h).

However, the simulated surface-based mixed layers at mid-day in the BASE simulation are unrealistically warm and deep compared to observations (Fig. 4a and b). The 2 m temperature bias (model – observed) for the BASE simulation averaged over the 6 representative surface stations in the centre of the basin is 1.65 °C (Table 3). These biases are partially related to thick layers of liquid fog and stratus in the BASE simulation as demonstrated in the next subsection.

29

# 30 3.3 Sensitivity to cloud type

1 Straightforward modifications to the Thompson microphysics scheme (Sect. 2.3) employed in 2 the FULL model run make it possible to examine the sensitivity of the CAP simulations to 3 cloud type. As detailed in Table 2, the FULL simulation has cloud ice sedimentation and 4 cloud ice autoconversion to snow turned off in the lowest 15 model levels. These 5 modifications force the WRF model to produce and maintain clouds dominated by ice-phase particles, and effectively act to achieve similar results as decreased gravitational settling rates 6 7 introduced for ice fog by Kim et al. (2014). Returning to Fig. 4a and b, the mid-day potential 8 temperature profiles at Roosevelt from the FULL simulation exhibit lower temperatures near 9 the surface and a thinner CAP compared to the BASE simulation. Further, the bias and mean 10 errors relative to the observations of the FULL simulation compared to the BASE simulation are reduced by  $\sim 1$  °C (Table 3). When averaged over the entire simulation period, the 11 reduced 2 m temperatures throughout the basin demonstrate the colder CAP in the FULL 12 13 simulation relative to the BASE simulation (Fig. 7). Figure 8a indicates the ~1.5 °C 14 difference between those two fields in the interior of the Basin.

15 Comparing the temporal evolution of the potential temperature, cloud water and ice profiles at Horsepool between the BASE and FULL simulations further illustrates the impact 16 17 of cloud type on CAP thermodynamics (Fig. 9). The 1-3 °C colder surface temperatures 18 noted in the FULL compared to the BASE simulation are associated with extensive ice fog 19 that occurred in the FULL simulation between the surface and the bottom of the capping 20 inversion (Fig. 9a and b). The base of the capping inversion (approximately represented by the ~280 K potential temperature isotherm in Fig. 9a-b and the ~290 K isotherm in Fig 9c) 21 associated with the top of the stratus clouds in BASE (Fig. 9a) averages 100-200 m higher 22 23 than the top of the ice fog simulated in FULL, and the stratus clouds in BASE are on average 24 50-100 m deeper than the ice fog in FULL (Fig. 9b). Comparison of the modelled potential 25 temperature profiles at Horsepool with nearby aircraft profiles presented byin Oltmans et al. (2014) shows further that , similar to at Roosevelt, the simulated surface-based mixed layers 26 at mid-day in the BASE simulation are unrealistically warm and deep by 100-200 m 27 compared to observations, while the FULL simulation mixed -layers are improved but still 28 29 remain somewhat-too deep.

The ice and liquid clouds simulated in FULL and BASE also have a diurnal cycle, with higher liquid and ice cloud amounts during the night than during the day, but the simulated cloud occurrences are overestimated in both simulations compared to ceilometer

observations, particularly on the 5<sup>th</sup> and 6<sup>th</sup> of February (Figs 6b and 9b), ). However, the
shallow and surface-based clouds-depth of the ice fog in FULL are-ais more realistic in terms
of the depth of the observed clouds than are the deeper and elevated stratus clouds seen in the
BASE simulation. In addition, and the simulated vertical temperature profile in FULL also
more closely matches available observations (e.g., Fig. 4a and b).

6 The improved vertical temperature and cloud profiles and 2 m temperatures in the 7 FULL simulation are related to the depth and compositional change of the fog and stratus 8 clouds in the CAP, i.e., deeper cloud water in the BASE simulation compared to shallower cloud ice in the FULL simulation. Snapshots of the cloud characteristics at 23:00 MST 4 9 10 February (Fig. 10) reflect similar total cloud (cloud water and ice) amounts and coverage. The BASE run is dominated by liquid-phase particles (Fig. 10c) while the FULL run is dominated 11 12 by ice-phase particles (Fig. 10d). The preferential tendency for stratus clouds in the BASE simulation due to its deeper CAP leads to cloud cover extending outward farther away from 13 14 the lowest elevations of the basin compared to the shallower surface-based fogs typically 15 produced during the FULL run. Although the elimination of cloud ice sedimentation leads to greater cloud mass in that run relative to the BASE simulation (compare Fig. 10c to d), the 16 cloud water in the BASE run results in 70-80 W m<sup>-2</sup> of downwelling longwave radiation in 17 the core of the basin while the cloud ice in the FULL run produces only 40–70 W  $m^{-2}$  over 18 19 the same region (compare Fig. 10e to f). Averaged over the entire 6 day period, downwelling longwave radiation from the cloud water is 10-20 W m<sup>-2</sup> more than from the cloud ice (Fig. 20 8b), which is consistent with the elevated temperatures over the entire period as well (Fig. 8a). 21 The greatest difference in 2 m temperature is at the low elevations in centre of the basin, 22 23 while the greatest difference in longwave radiation is mid-way up the basin slope where cloud 24 water is present in the BASE run and cloud ice is not found in the FULL simulation.

25

#### 26 3.4 Sensitivity to snow cover

The simulation with no snow cover in the basin (NONE) for the 31 January–6 February 2013 period is now compared to the FULL simulation. The lack of snow in the basin increases the average CAP temperatures by as much as 8 °C (Figs. 7 and 9), which is unrealistic relative to those observed (Table 3). While the CAP depth in the NONE simulation is also unrealistically deep (because this particular CAP was snow-covered), the lack of snow has negligible effects aloft (Figs. 4a and b, 9). Several interrelated processes contribute to the high low-level

1	temperatures and deep afternoon CAP in the NONE simulation relative to the FULL
2	simulation. First, when the snow is removed from the basin floor, the thermal conductivity of
3	the land surface increases, and the decrease in surface albedo results in greater absorption of
4	solar radiation. Second, the occurrence and thickness of the clouds in the warmer NONE
5	boundary-layer are reduced compared to FULL, resulting in greater incoming short-wave
6	radiation (Fig. 9c). Finally, the sensitivity of the CAP to ice-phase microphysics is minimized
7	in the NONE simulation since the boundary layer over the bare ground/vegetation is too warm
8	(i.e., higher than -12 °C) to nucleate cloud ice. Where the clouds are present in the NONE
9	simulation, the resulting liquid-phase stratus leads to increased longwave radiation at the
10	surface.

11 The modelled afternoon mixed layer depths of 400-800 m in the NONE simulation 12 were somewhat less than those observed in the basin during snow-free conditions in the 2011-13 2012 previous winter (Lyman and Shorthill 2013)., and In addition, llow clouds formed 14 within the NONE simulations whereas they low clouds were not only infrequently observed during undisturbed periods in the Uintah Basin during the previous in-winter 2011-2012-when 15 16 snow cover was absent. In several-Further, recent WRF simulations for a cloud-free CAP 17 episode during January 2013 of UBWOS CAPs which did not observe widespread low clouds during the 2012 2013 winter, numerical simulations have produced periods of spurious low 18 19 clouds (Trang Tran, personal communication), which suggestsing a moist bias to the modelled 20 boundary-layer characteristics in WRF that warrants further analysis (Trang Tran, personnal communication). AHowever, a number of difficult to model environmental factors contribute 21 22 to mixed layer depth and cloud occurrence, such asincluding the strength of the synoptic-scale 23 capping inversion, and boundary layer relative humidity, and surface moisture fluxes.so 24 evaluating overall model simulation performance during snow free conditions within the basin would require simulating periods when snow was not present, such as during the 2011-25 26 2012 winter. Several interrelated processes contribute to the high low level temperatures and 27 deep afternoon CAP in the NONE simulation relative to the FULL simulation. First, when the snow is removed from the basin floor, the thermal conductivity of the land surface increases, 28 29 and the decrease in surface albedo results in greater absorption of solar radiation. Second, the sensitivity of the CAP to ice phase microphysics is minimized in the NONE simulation since 30 31 the boundary layer over the bare ground/vegetation is too warm (i.e., higher than -12 °C) to 32 nucleate cloud ice. The resulting liquid-phase stratus in the NONE simulation leads to

Formatted: Indent: First line: 0.49"

increased longwave radiation at the surface. Finally, the cloud thickness and occurrence in the
 warmer NONE boundary layer is reduced compared to FULL, resulting in greater incoming
 short wave radiation (Fig. 9c).

# 4

# 5 3.5 Flow features

While the observations collected during the UBWOS field campaigns are the most extensive 6 7 available to date for studying the thermodynamic and dynamic conditions in the Uintah Basin 8 (Lyman and Shorthill, 2013; Stoeckenius and McNally, 2014), the majority of them consist of 9 enhanced surface observations throughout the basin combined with vertical profiles at only a few locations (e.g., Horsepool, Ouray, and Roosevelt). The FULL simulation is used here to 10 11 examine the four-dimensional fields of temperature, wind, and moisture to help identify 12 relevant physical processes. We focus on several flow features evident in the FULL 13 simulation that could be validated using the available data and which likely play an important 14 role to transport pollutants within the CAP.

# 15 **3.5.1 Clean-air intrusions into the basin**

16 CAP structure varies extensively, both temporally and spatially, over the course of the FULL simulation. Time height potential temperature profiles at Horsepool suggest that the CAP is 17 18 initially confined to elevations below 1700 m before it deepens to a base near 1850 m early on 19 3 February (Fig. 9b). By midday on 4 February, the inversion base retreats to 1800 m, and eventually lowers to  $\sim 1700$  m from early on 6 February through the end of the simulation. 20 21 The CAP is continually modulated by synoptically-driven mid-level flow atop the CAP, 22 forcing it to "slosh" back and forth within the basin. Ridging aloft can lead to flow 23 surmounting the surrounding terrain from nearly every direction from the southwest to the 24 north. Downsloping flows mixing higher potential temperature and cleaner air downward into 25 the basin are common and their impact depends on the stability and strength of the flow across the upwind barriers. For example, when the cross-barrier flow had a northerly 26 27 component across the high Uintah Mountains during the 2013 winter, a notable strengthening 28 of the inversion top due to subsidence warming of flow descending in the lee of the mountains 29 was evident in the Uintah Basin (not shown).

The CAP may become displaced or tilted through hydrostatic and dynamic processes, which can then be disrupted by changes in wind speed above the CAP (Lareau and Horel,

1 2014). These disruptions produce gravity current features as the CAP rebounds, causing 2 relatively large changes in depth (a few hundred meters) within just a few hours. Figure 11 3 shows an example of this type of behaviour. Strong westerly flow crossing the mountain 4 barrier to the west of the basin at 23:00 MST 3 February is highlighted by a narrow band of 5 increased westerly to northwesterly flow at 2.3 km over the western portion of the basin (Fig. 11a). The cross section of potential temperature from west to east through the centre of the 6 7 basin at the same time is shown in Fig. 11b. The westerly downslope winds have eroded and depressed the CAP ~200 m along the western basin slope. The FULL simulation suggests that 8 9 weakening westerly winds over the next several hours lead to the CAP rebounding westward 10 with the inversion base quickly rising to  $\sim$  1900 m, roughly level with the rest of the basin (Fig. 11b). Lower ozone concentrations were also advected down the western slope 11 of the basin by the westerly winds while the primary region of high ozone 12 13 concentrations simulated in the eastern sector of the basin remained undisturbed 14 (not shown).

# 15 3.5.2 East-west cross basin transport

16 Easterly flow immediately above the shallow mixed layer is evident in the mid-day soundings 17 at Roosevelt on a number of days (Fig. 4). The ceilometer data at Roosevelt (Fig. 6b) as well 18 as ozone tethersonde observations at Ouray (Schnell et al., 2014) suggest that aerosols, ozone 19 precursors, and ozone extend upward into this layer of easterly flow likely as a result of weak 20 turbulence and entrainment (Cai and Luhar, 2002; Salmond, 2005). The ozone precursors from eastern basin source regions that are able to leak into the easterly flow layer may then be 21 22 transported westward to portions of the basin that have more limited precursor sources, allowing ozone production to take place more widely (Karion et al., 2014; Oltmans et al. 23 24 2014).

25 Figure 12 shows the time-averaged zonal wind component from the FULL simulation 26 along the cross section shown in Fig. 2b, split into daytime and nighttime periods. Synoptic westerly flow dominates above 2200 m with easterly flow present a few hundred meters 27 28 above the basin floor. The core of the easterly flow coincides with the strongest stability (see Fig. 9b) in the basin and lies between 1800-2000 m. Although this feature is relatively weak 29 (~ 1 m s<sup>-1</sup> during the day, 0.5 m s<sup>-1</sup> at night), it is persistent enough to appear as a coherent 30 spatial pattern when averaged over the 6 day period. During the day, the core of the easterly 31 32 flow is more intense aloft, and the west-east spatial extent is greater (compare Fig. 12a to b).

1 At night, the easterly flow exhibits a weaker and more regional core shifted to the eastern 2 portion of the basin and extending down to the surface (Fig. 12b).

# 3 3.5.3 Thermally-driven valley and slope flows

Thermally-driven daytime upvalley/upslope and nighttime downvalley/downslope flows were 4 observed within the basin by Lyman et al. (2013), while cross-basin elevated easterly flows 5 6 100-300 m a.g.l. (possibly associated with basin-scale thermal gradients) were observed in 7 rawinsonde soundings between 1-6 February 2013 at Roosevelt (Fig. 4). Within the model 8 simulations, it appears that both additive and destructive interactions between the cross-basin 9 elevated easterly flows and near-surface daytime upvalley/upslope and nighttime downvalley/ downslope flows are occurring (Fig. 12). While basin-scale thermal gradients likely drive the 10 11 elevated easterly flow, those gradients are at times in concert with and at other times 12 interfering with more localized thermal gradients within drainages and along slopes. We 13 hypothesize that the basin-scale thermal gradients are associated with either (1) elevated heating on the western slope of the basin, or (2) interactions between the westerly downslope 14 15 flow, the cold air pool, and the mountain slope.

During the night (Fig. 12b), drainage flows are evident by light westerly winds in the 16 17 lowest 100 m a.g.l. on the west side of the basin in combination with light easterly winds on 18 the east side. This pattern reverses during the day (Fig. 12a); however, the cross-basin 19 easterlies appear to accentuate the upvalley/upslope winds at  $\sim 1800$  m. As with any basin or 20 mountain range, the diurnal flow patterns within the Uintah Basin are complex. An 21 examination of mean wind direction during the day (not shown) highlights areas of upslope 22 easterly flow within the CAP in the western half of the basin. Outside of the CAP, however, 23 to the north and west of the basin, synoptic west-northwesterly flow dominates. This 24 demonstrates how the strong stability above the CAP is able to effectively shield the basin 25 interior from synoptic flows, allowing for weak thermally-driven circulations to become 26 important.

# 27 **3.5.4 Effects of snow cover on terrain-flow interactions**

The sensitivity of terrain-flow interactions to the presence or absence of snow cover in the Uintah Basin is briefly examined here. Comparison of the cross sections of time-averaged zonal winds from the FULL (Fig. 12a and b) and NONE (Fig. 12c and d) simulations are consistent with earlier results: the removal of snow cover only affects the near-surface atmosphere below the capping inversion. The weaker stability within the capping inversion in

1 the NONE simulation likely allows the synoptic-scale westerlies to extend further down 2 toward the basin floor. This extension appears to diminish the intensity of the easterly winds 3 within the lower reaches of the inversion layer that would be expected in NONE given the lack of snow cover. Comparable differences are evident during the day (Fig. 12a and c) and 4 5 night (Fig. 12b and d) with weaker and lower elevation easterly flow aloft when snow cover is removed. However, the intensity of the upvalley/upslope and downvalley/downslope flows 6 7 near the surface remains largely the same and is actually increased during the day on the 8 western side of the basin in the NONE simulation.

9

# 10 **4. Ozone**

# 11 4.1 Overview

The January-March 2013 period featured seven persistent CAPs with high ozone 12 13 concentrations in the Uintah Basin (Stoeckenius and McNally, 2014). The CAP that began on 14 31 January led to increasing ozone concentrations over the next week (Fig. 6). Ozone 15 concentrations started out relatively low on 31 January ( $\sim 20$  to 60 ppb) and gradually built to 16 a maximum of 154 ppb at Ouray on 6 February. Two key characteristics of ozone concentrations in the Uintah Basin are the (1) maintenance of high ozone levels above 17 18 background levels over night in some areas of the basin, and (2) the pooling of the highest 19 ozone values in lower elevations and river valleys, particularly in the southeastern quadrant near Horsepool and Ouray (Fig. 13). Data collected from ozonesondes and tethersondes 20 21 during February 2013 show that the vertical extent of maximum ozone concentrations was typically limited to 1700 m and below, or in the lowest 200-300 m a.g.l. of the boundary-22 layer (Schnell et al., 2014). A gradient in concentrations was noted above this level, with 23 24 ozone concentrations returning to background levels above 1900 m (Karion et al., 2014; Oltmans et al. 2014). 25

# 26 **4.2 Sensitivity of ozone concentrations to snow cover**

While ozone concentrations in the Uintah Basin are recognized to be strongly controlled by snow cover, the presence of snow has two complementary effects: (1) higher albedo enhancing photochemistry and (2) reduced near-surface temperatures; shallower CAP; and possibly enhanced east–west cross-basin transport a few hundred meters above the surface. For example, crude estimates of the actinic flux from the WRF FULL and NONE simulations

provide an example of these complementary effects. The cloud ice typically present in the colder CAP found in the FULL simulation allows greater penetration of solar radiation to the surface than the cloud water observed between 2-4 February in the NONE simulation (Fig. 9 b and c). Hence, more downward solar radiation during that time was then available to be reflected by the snow cover.

6 The objective of this phase of the study is to simply assess the sensitivity of WRF-7 CMAQ simulated ozone concentrations to snow cover during a CAP. The potential 8 shortcomings of driving CMAQ from imperfect atmospheric information and emissions 9 inventories (Sect. 2.1) as well as the limitations of CMAQ are not addressed. The mean ozone 10 concentrations near the surface throughout the basin averaged over the 6 afternoons (11:00 to 17:00 MST) from 1-6 February 2013 are generally 15-30 % greater when the CMAQ model 11 12 is forced by the FULL simulation compared to the NONE simulation (compare Fig. 14a to b). As expected, ozone concentrations simulated by the CMAQ model are highest in the 13 14 southeastern portion of the basin where the emission of ozone precursors (NOx and VOCs) is 15 greatest (Barickman, 2014). The region where average surface concentrations are greater than 75 ppb is  $\sim$  6 times larger in the FULL simulation than that in the NONE simulation. In 16 17 addition, the peak ozone concentration simulated in the FULL case is 16 ppb higher than that 18 from the NONE case (Table 4) and the timing and magnitude of the peak value on 6 February 19 in the FULL case is comparable to that observed (see Figs. 6 and 13). A comparison of east-20 west vertical cross sections of ozone (averaged along a 24 km wide swath approximately 25 21 km south of the red line in Fig. 2b) demonstrates the vertical extent of the higher ozone concentrations generated in the FULL vs. NONE simulations (Fig. 14c and d). 22

Ozone concentrations from the two CMAQ simulations are compared to those observed at Roosevelt and Horsepool in Fig. 14e and f. CMAQ struggles to simulate the ozone buildup at Roosevelt in the western portion of the basin whether snow is present or not (Fig. 14f). Closer to the primary precursor emission sources in the southeastern section of the basin, substantially higher ozone concentrations are evident at Horsepool in the FULL simulation compared to when snow is removed (Fig. 14e).

A time-height plot of ozone concentration and potential temperature at Horsepool from the FULL and NONE simulations helps to highlight some of the deficiencies of the CMAQ simulations for this case (Fig. 9b-c). While the largest concentrations of ozone are confined within the CAP, elevated concentrations in excess of 75 ppb extend higher than

1 observed at Horsepool (Karion et al., 2014). In addition, CMAQ fails to build ozone 2 concentrations from day-to-day through the event (Fig. 6). Instead, the highest concentrations 3 appear to be controlled by the simulated mixed layer depth, e.g., concentrations are high 4 during the late afternoon/early evening on 1 and 2 February, when the mixed layer is shallow, 5 then they decrease on the 3rd and 4th as the inversion base lifts to  $\sim 1800$  m. As the inversion 6 base lowers again on 5 and 6 February, concentrations increase with a maximum during the 7 afternoon of the 6th. A similar evolution is noted in the NONE simulation, but the mixed 8 layer is much deeper, concentrations are lower, and the maximum occurs on the afternoon of 9 the 5th (Fig. 9c). However, the modelled ozone concentrations on some days with no snow 10 cover appear to be unrealistically high compared to observations during other snow-free periods. While this inverse relationship between mixed layer depth and ozone concentrations 11 is understandable physically, i.e., when the inversion base lowers it effectively decreases CAP 12 13 volume, the observations during this case suggest other processes play a role as well.

14

# 15 **5 Summary and discussion**

The 31 January–6 February 2013 CAP in the Uintah Basin is examined and simulations are used to evaluate its sensitivity to cloud microphysics and snow cover. Output from meteorological simulations was input into the CMAQ model to relate ozone production to snow cover. The key findings of this study can be summarized as follows:

- The WRF mesoscale meteorological model was able to simulate a strong wintertime
   capping temperature inversion above the boundary-layer within the Uintah Basin
   irrespective of surface or cloud characteristics.
- The CAP characteristics below ~ 2100 m (stable layer intensity, vertical structure, and
   boundary-layer flows) are heavily influenced by the presence of snow cover and are
   modulated to a lesser extent by the numerical treatment of cloud microphysics.
- The default settings in the Thompson microphysics scheme produce dense, liquid-phase low clouds and fog-that were not observed during this event, whereas restricting cloud ice sedimentation and conversion to snow in the lowest model layers resulted in more realistic vertical profiles of temperature and low clouds.
- Intrusions of clean air into the basin as a result of terrain-flow interactions, east-to west cross-basin advection above the surface, and shallow thermally-driven slope and

valley circulations are likely important factors for mixing pollutants throughout the
 Uintah Basin.

3 CMAQ model-derived estimates of ozone concentrations agree better with observations (1) during the daytime than during the nighttime and (2) near the highly 4 5 dense precursor emission sources located in the southeast quadrant of the basin (Fig. 6 14).<sup>22</sup> The numerical simulations presented herein provide additional support to 7 previous observational evidence that snow cover affects ozone concentrations by (1) 8 cooling the near-surface layer and thereby strengthening the CAP and increasing 9 stability further aloft, and (2) increasing the surface albedo and subsequent photolysis 10 rates, contributing to rapid ozone production.

11 As in many model sensitivity studies focused on specific physical processes, there are a 12 number of caveats to consider. First, the work presented here has been limited to a single CAP 13 event. In order to obtain a more thorough understanding of how cloud microphysics and snow 14 cover affect the evolution of CAPs, their wind flow patterns, and resulting impacts on air quality, further cases need to be examined. For example, if no clouds are allowed to form 15 16 during the CAP lifecycle, this results in shallower mixed -layer heights and a colder CAP by 17 several °C than that simulated with ice fog present (Neemann 2014). Second, the modelling capability for the highly stable CAP meteorological conditions in the Uintah Basin lags 18 19 behind typical meteorological situations; improvements in the parameterization of stable 20 boundary layers and ice fog processes in numerical models are needed in order to obtain improved CAP simulations (Holtslag et al., 2013; Gultepe et al., 2014). Third, the idealized 21 22 prescription of snow depth and albedo to constant values throughout the basin are imperfect 23 estimates. Improvements in the representation of snow variables in meteorological and air quality models and analysis initialization fields in regions with shallow, persistent snow cover 24 25 such as the Uintah Basin are needed. Fourth, additional data (particularly with regard to 26 chemical species) exists in the east-central part of the basin (e.g. at Horsepool and Ouray) that 27 could be used to evaluate meteorological and chemical model performance. Finally, significant uncertainty exists regarding precursor emission estimates within the basin. We 28 29 elaborate further on several of these points in the following paragraphs.

As discussed by Gultepe et al. (2014), additional research is needed to understand ice fog microphysics and how to parameterize these processes in numerical models. Future research to investigate the impact of employing the recent WRF ice-fog scheme of Kim et al. (2014)

1 on cloud formation in the Uintah Basin is recommended. For this study, we neglected the fall 2 speed of the ice fog particles to ensure that cloud ice was retained by the modified Thompson 3 microphysics scheme. In addition, the effects of the unusually high ozone and particulate concentrations in the Uintah Basin on the ice nucleation processes are unknown, although 4 5 studies suggest ice fog can be enhanced by anthropogenic activities (Benson, 1965; Kumai and O'Brien, 1965; Schmitt et al., 2013; Kim et al., 2014). While we did not find any 6 7 perceptible difference in CAP simulations by varying the cloud droplet concentrations in the Thompson scheme from the default  $(100 \times 10^6 \text{ m}^{-3})$  to those typically assumed for continental 8  $(300 \times 10^6 \text{ m}^{-3})$  or hypothetical polluted continental  $(1000 \times 10^6 \text{ m}^{-3})$  situations, we 9 recommend further testing along these lines, including testing the newly available aerosol-10 11 aware Thompson scheme (Thompson and Eidhammer, 2014).

12 Further work to improve parameterization schemes for modelling very stable boundary 13 layers and their impact on CAP simulations is also needed (Baklanov et al., 2011). PBL schemes have difficulties handling low clouds, vertical temperature profiles, 2 m 14 15 temperatures, and mixing in stably stratified conditions (Reeves et al., 2011; Shin and Hong, 2011; Zhang et al., 2013). Many schemes typically allow too much turbulent mixing in stable 16 conditions, which results in boundary layers that are too deep (Holtslag et al., 2013). While 17 18 the MYJ PBL scheme was ultimately selected for this study, the Asymmetric Convective 19 Model, Grenier-Bretherton-McCaa, and Bretherton-Park PBL schemes were also tested in 20 addition to the Yonsei University (YSU) scheme with and without the Jimenez surface layer 21 formulation and updated stability functions (Jimenez et al., 2012). The MYJ was chosen 22 because in simulating this particular CAP it best represented the combination of moisture, 23 stability, and temperature characteristics that were observed in the Uintah Basin for the simulated period. Further testing of PBL schemes performance in the presence of high wind 24 25 speeds above the stable PBL are also needed (e.g., Hu et al. 2013 found that modifications to the YSU nighttime velocity scale improved the simulations). 26

Snow cover and albedo were shown to have a prominent impact on simulated CAP evolution and ozone concentrations. However, in remote locations such as the Uintah Basin, where snow cover is typically very thin (~ 5–10 cm) and variable, accurately assessing snow mass or water equivalent for input into numerical models can be difficult (Jeong et al., 2013). The impacts of modifying the albedo from the default NAM initialization to that observed (an increase of 0.17, see Section 2.2) on the CAP meteorology were relatively small (not shown).

1 However, larger impacts resulting from modest changes in snow albedo are likely to be 2 observed within photochemical models (e.g., Ahmadov et al. 2014). Because of the spatio-3 temporal variability of snow depth and albedo within the Uintah Basin during winter seasons, the need for more sophisticated -representation of snow variables in meteorological and air 4 5 quality models in this region is apparent, and worthy of future research to better quantify the impact of these improvements on ozone simulations. Proper treatment of both the spatial 6 7 extent of snow cover as well as the snow surface using a snow physics model driven by local 8 atmospheric and chemical properties (e.g., the three-layer snow model within Noah Multi-9 Parameterization land surface model; Niu et al., 2011) may be needed to obtain a sufficiently 10 accurate evolution of the snowpack and surface albedo. Additional research is also needed to 11 understand the complex cycling of water over the thin snowpacks in the Uintah Basin and its 12 impact on surface albedo, i.e., the interplay of very small sublimation rates, formation of ice 13 fogs, and deposition of ice crystals back onto the snow surface.

14 Finally, as discussed in Sect. 2.1, the CMAQ emission inventory used in this study was 15 prepared to represent oil & gas activities in 2011 (Barickman, 2014). The emission inventory 16 and VOC speciation profiles for the Uintah Basin remain uncertain and are the subject of 17 ongoing research (e.g., Warneke et al. 2014a, b). The recent work by Ahmadov et al. (2014) 18 illustrates the effect of variations in emission scenarios on ozone levels within the Uintah Basin. 19 Data collected during the 2013 UBWOS will add to the fidelity of these profiles as 20 measurements are incorporated into future inventories. For example, a better understanding 21 regarding how formaldehyde becomes highly concentrated in the basin (through direct emission or secondary chemical reactions) is needed. 22

## 23 Acknowledgements

24 This research was supported by the Utah Division of Air Quality as part of the 2013 UBWOS

and the Utah Office of Energy Development. We acknowledge the work of all agencies and

26 participants in the 2013 UBWOS as detailed in the 2013 UBWOS Final Report, particularly

27 Utah State University for meteorological and air quality data collection at Horsepool. The

28 support and resources from the Center for High Performance Computing at the University of

29 Utah are gratefully acknowledged. We thank the NASA SPoRT program for reprocessing

30 satellite imagery used in this study. The first author gratefully acknowledges the support of

31 the U.S. Air Force Institute of Technology. The views expressed in this manuscript are those

1 of the authors and do not reflect the official policy or position of the United States Air Force,

22

2 Department of Defense, or the U.S. Government.

### 1 References

- 2 Ahmadov, R., McKeen, S., Trainer, M., Banta, R., Brewer, A., Brown, S., Edwards, P. M.,
- 3 de Gouw, J. A., Frost, G. J., Gilman, J., Helmig, D., Johnson, B., Karion, A., Koss, A.,
- 4 Langford, A., Lerner, B., Olson, J., Oltmans, S., Peischl, J., Pétron, G., Pichugina, Y.,
- 5 Roberts, J. M., Ryerson, T., Schnell, R., Senff, C., Sweeney, C., Thompson, C., Veres, P.,
- 6 Warneke, C., Wild, R., Williams, E. J., Yuan, B., and Zamora, R.: Understanding high
- 7 wintertime ozone pollution events in an oil and natural gas producing region of the western
- 8 US, Atmos. Chem. Phys. Discuss., 14, 20295-20343, doi:10.5194/acpd-14-20295-2014, 2014.
- 9 Alcott, T. I. and Steenburgh, W. J.: Orographic influences on a Great Salt Lake-effect snow-
- 10 storm, Mon. Weather Rev., 141, 2432–2450, doi:10.1175/MWR-D-12-00328.1, 2013.
- 11 Baklanov, A. A. A.,- Grisogono,- B.,- Bornstein, R. R., Mahrt, Mahrt, L. L., Zilitinkevich,
- 12 Zilitinkevich, S. S., -Taylor, P., Larsen, S. E., Rotach, W. M., and Fernando, H. J. S.: The
- nature, theory, and modelling of atmospheric planetary boundary layers, B. Am. Meteorol.
  Soc., 92, 123–128, doi:10.1175/2010BAMS2797.1, 2011.
- $14 \quad 500., 92, 125-126, 001.10.1175/2010DAM52797.1, 2011.$
- Barickman, P.: Emission inventory development activities, in: Final report: 2013 Uinta Basin
  winter ozone study, edited by: Stoeckenius, T. and McNally, D., ENVIRON International
  Corporation, Novato, California, Chapter 9, 1–7, available at:
- 18 http://www.deq.utah.gov/locations/uintahbasin/studies/UBOS-2013.htm (last access: 13 June
- 19 2014), 2014.
- Bell, M. L., McDermott, A., Zeger, S. L., Samet, J. M., and Dominici, F.: Ozone and shortterm mortality in 95 US urban communities, 1987–2000, J. Am. Med. Assoc., 292, 2372–
- 22 2378, doi:10.1001/jama.292.19.2372, 2004.
- Benson, C. S.: Ice fog: low temperature air pollution, Geophysical Institute of the University
  of Alaska, Fairbanks, Alaska, 198 pp., available at:
- www.dtic.mil/dtic/tr/fulltext/u2/631553.pdf (last access: 13 June 2014), 1965.
- 26 Billings, B. J., Grubišic, V., and Borys, R. D.: Maintenance of a mountain valley cold pool:
- 27 a numerical study, Mon. Weather Rev., 134, 2266–2278, doi:10.1175/MWR3180.1, 2006.
- 28 Byun, D. and Schere, K. L.: Review of the governing equations, computational algorithms,
- 29 and other components of the Models-3 Community Multi-Scale Air Quality (CMAQ)
- 30 modelling system, Appl. Mech. Rev., 59, 51–77, doi:10.1115/1.2128636, 2006.
- 23

- 1 Cai, X.-M. and Luhar, A. K.: Fumigation of pollutants in and above the entrainment zone into
- 2 a growing convective boundary layer: a large-eddy simulation, Atmos. Environ., 36, 2997-
- 3 3008, doi:10.1016/S1352-2310(02)00240-6, 2002.
- 4 Chen, R. and Dudhia, J.: Coupling an advanced land surface-hydrology model with the Penn
- 5 State–NCAR MM5 modelling system. Part I: model implementation and sensitivity, Mon.
  6 Weather Rev., 129, 569–585, 2001.
- 7 Clements, C. B., Whiteman, C. D., and Horel, J. D.: Cold-air-pool structure and evolution in
- 8 a mountain basin: Peter Sinks, Utah, J. Appl. Meteorol., 42, 752-768, doi:10.1175/1520-
- 9 0450(2003)042<0752:CSAEIA>2.0.CO;2, 2003.
- 10 Edwards, P. M., Young, C. J., Aikin, K., deGouw, J., Dube, W. P., Geiger, F., Gilman, J.,
- 11 Helmig, D., Holloway, J. S., Kercher, J., Lerner, B., Martin, R., McLaren, R., Parrish, D. D.,
- 12 Peischl, J., Roberts, J. M., Ryerson, T. B., Thornton, J., Warneke, C., Williams, E. J., and
- 13 Brown, S. S.: Ozone photochemistry in an oil and natural gas extraction region during winter:
- 14 simulations of a snow-free season in the Uintah Basin, Utah, Atmos. Chem. Phys., 13, 8955-
- 15 8971, doi:10.5194/acp-13-8955-2013, 2013.
- Environmental Protection Agency, (EPA), Air quality criteria for ozone related
  photochemical oxidants, EPA 600/R-05/004aF, US Environ. Prot. Agency, Research Triangle
  Park, NC, 821, pp., 2006.
- EPA, SPECIATE 4.3: addendum to SPECIATE 4.2, speciation database development
  documentation, EPA/600/R-11/121, US Environ. Prot. Agency, Research Triangle Park, NC,
  28 pp., available at:
- http://www.epa.gov/ttn/chief/software/speciate/speciate4/addendum4.2.pdf (last access: 13
  June 2014), 2011.
- 24 EPA: National ambient air quality standards (NAAQS), available at: http://www.epa.gov/air/
- criteria.html, (last access: 30 April), 2014.
- 26 Gultepe, I., Kuhn, T., Pavolonis, M., Calvert, C., Gurka, J., Heymsfield, A. J., Liu, P. S. K.,
- 27 Zhou, B., Ware, R., Ferrier, B., Milbrandt, J., and Bernstein, B.: Ice fog in arctic during
- 28 FRAM- ICE fog project: aviation and nowcasting applications, B. Am. Meteorol. Soc., 95,
- 29 211-226, doi:10.1175/BAMS-D-11-00071.1, 2014.

- 1 Helmig, D., Thompson, C. R., Evans, J., Boylan, P., Hueber, J., and Park, J.-H.: Highly
- 2 elevated atmospheric levels of volatile organic compounds in the Uintah Basin, Utah.
- 3 Environ. Sci. Technol., 48, 4707–4715, doi:10.1021/es405046r, 2014.
- 4 Heymsfield, A. J., Schmitt, C., and Bansemer, A.: Ice cloud particle size distributions and
- 5 pressure-dependent terminal velocities from in situ observations at temperatures from  $0^{\circ}$  to
- 6 -86 °C, J. Atmos. Sci., 70, 4123-4154, doi:10.1175/JAS-D-12-0124.1, 2013.
- 7 Holtslag, A. A. M., Svensson, G., Baas, P., Basu, S., Beare, B., Beljaars, A. C. M., Bosveld,
- 8 F. C., Cuxart, J., Lindvall, J., Steenveld, G. J., Tjernstrom, M., and Van De Wiel, B. J. H.:
- 9 Stable atmospheric boundary layers and diurnal cycles: challenges for weather and climate
- 10 models, B. Am. Meteorol. Soc., 94, 1691–1706, doi:10.1175/BAMS-D-11-00187.1, 2013.
- 11 Horel, J., Splitt, M., Dunn, L., Pechmann, J., White, B., Ciliberti, C., Lazarus, S., Slemmer, J.,
- 12 Zaff, D., and Burks, J.: Mesowest: cooperative mesonets in the western United States, B. Am.
- 13 Meteorol. Soc., 83, 211–225, doi:10.1175/1520-0477(2002)083<0211:MCMITW>2.3.CO;2,
- 14 2002.
- 15 Hu, X. M., P. M. Klein, and M. Xue (2013), Evaluation of the updated YSU planetary
- 16 boundary layer scheme within WRF for wind resource and air quality assessments, J Geophys
- 17 Res-Atmos, 118(18), 10490-10505, Doi 10.1002/Jgrd.50823.
- 18 Iacono, M. J., Delamere, J. S., Mlawer, E. J., Shephard, M. W., Clough, S. A., and Collins,
- 19 W. D.: Radiative forcing by long-lived greenhouse gases: calculations with the AER radiative
- 20 transfer models, J. Geophys. Res., 113, D13103, doi:10.1029/2008JD009944, 2008.
- 21 Janjic, Z. I.: The Step-mountain eta coordinate model: further developments of the
- 22 convection, viscous sublayer, and turbulence closure schemes, Mon. Weather Rev., 122, 927-
- 23 945, doi:10.1175/1520-0493(1994)122<0927:TSMECM>2.0.CO;2, 1994.
- 24 Jeong, J.-H., Linderholm, H. W., Woo, S.-H., Folland, C., Kim, B.-M., Kim, S.-J., and Chen,
- 25 D.: Impacts of snow initialization on subseasonal forecasts of surface air temperature for the
- 26 cold season, J. Climate, 26, 1956–1972, doi:10.1175/JCLI-D-12-00159.1, 2013.
- 27 Jiménez, P. A., Dudhia, J., González-Rouco, J. F., Navarro, J., Montávez, J. P., and García-
- 28 Bustamante, E.: A revised scheme for the WRF surface layer formulation, Mon. Weather
- 29 Rev., 140, 898–918, doi:10.1175/MWR-D-11-00056.1, 2012.

- 1 Kain, J. S.: The Kain-Fritsch convective parameterization: an update, J. Appl. Meteorol., 43,
- 2 170-181, doi:10.1175/1520-0450(2004)043<0170:TKCPAU>2.0.CO;2, 2004.
- 3 Karion, A., Oltmans, S., Petron, G., Sweeney, C., and Schnell, R.: Analysis of aircraft
- observations, in: Final report: 2013 Uinta Basin winter ozone study, edited by: Stoeckenius,
  T. and McNally, D., ENVIRON International Corporation, Novato, California, chapter 4, 1–
- 6 26, available at: <u>http://www.deq.utah.gov/locations/uintahbasin/studies/UBOS-2013.htm</u>, last
- 7 access: 13 June 2014.
- 8 Katurji, M. and Zhong, S.: The influence of topography and ambient stability on the 9 characteristics of cold-air pools: a numerical investigation, J. Appl. Meteorol. Climatol., 51,
- 10 1740–1749, doi:10.1175/JAMC-D-11-0169.1, 2012.
- 11 Kim, C. K., Stuefer, M., Schmitt, C. G., Heymsfield, A. J., and Thompson, G.: Numerical
- 12 modelling of ice fog in interior Alaska using the weather research and forecasting model, Pure
- 13 Appl. Geophys., 1–20, doi:10.1007/s00024-013-0766-7, 2014.
- 14 Kumai, M. and O'Brien, H. W.: A study of ice fog and ice-fog nuclei at Fairbanks, Alaska,
- 15 part II. US Army Materiel Command Cold Regions Research and Engineering Laboratory,
- 16 Hanover, New Hampshire, 19 pp., available at: http://www.dtic.mil/cgi-bin/GetTRDoc?
- 17 Location=U2&doc=GetTRDoc.pdf&AD=AD0676811 (last access: 13 June 2014), 1965.
- 18 Lareau, N. P.: The Dynamics of persistent cold-air pool breakup, Ph.D. thesis, University of
- 19 Utah, Salt Lake City, Utah, 138 pp., 2014.
- Lareau, N. P. and Horel, J. D.: Dynamically induced displacements of a persistent cold-air
   pool, Bound.-Layer Meteor., accepted, 2014.
- 22 Lareau, N. P., Crosman, E. T., Whiteman, C. D., Horel, J. D., Hoch, S. W., Brown, W. O. J.,
- and Horst, T. W.: The persistent cold-air pool study, B. Am. Meteorol. Soc., 94, 51–63,
  doi:10.1175/BAMS-D-11-00255.1, 2013.
- 25 Lee, L., Wooldridge, P. J., Gilman, J. B., Warneke, C., de Gouw, J., and Cohen, R. C.: Low
- 26 temperatures enhance organic nitrate formation: evidence from observations in the 2012
- 27 Uintah Basin Winter Ozone Study, Atmos. Chem. Phys. Discuss., 14, 17401-17438,
- 28 doi:10.5194/acpd-14-17401-2014, 2014.
- 29 Li, R., Warneke, C., Graus, M., Field, R., Geiger, F., Veres, P. R., Soltis, J., Li, S.-M.,
- 30 Murphy, S. M., Sweeney, C., Pétron, G., Roberts, J. M., and de Gouw, J.: Measurements of

1 hydrogen sulfide (H2S) using PTR-MS: calibration, humidity dependence, inter-comparison

and results from field studies in an oil and gas production region, Atmos. Meas. Tech.
Discuss., 7, 6205-6243, doi:10.5194/amtd-7-6205-2014, 2014.

4 Lippmann, M.: Health effects of tropospheric ozone: review of recent research findings and 5 their implications to ambient air quality standards, J. Expo. Anal. Env. Epid., 3, 103–129,

6 1993.

7 Lu, W. and Zhong, S.: A numerical study of a persistent cold air pool episode in the Salt Lake

8 Valley, Utah, J. Geophys. Res.-Atmos., 119, 1733–1752, doi:10.1002/2013JD020410, 2014.

9 Lyman, S. and Shorthill, H. (Eds.): Final report: 2012 Uintah Basin winter ozone and air

10 quality study, Commercialization and Regional Development, Utah State University, Vernal,

 $11 \qquad Utah, 285 \ pp., available \ at: \ http://rd.usu.edu/files/uploads/ubos_2011-12_final_report.pdf \ (last the second secon$ 

12 ac- cess: 13 June 2014), 2013.

Lyman, S., Mansfield, M., Shorthill, H., Anderson, R., Mangum, C., Evans, J., and Shorthill,
T.: Distributed measurements of air quality and meteorology, in: Final report: 2013 Uinta
Basin winter ozone study, edited by: Stoeckenius, T. and McNally, D., ENVIRON
International Corporation, Novato, California, chapter 3, 1–35, available at:
http://www.deq.utah.gov/locations/uintahbasin/studies/UBOS-2013.htm, last access: 13 June
2014.

Malek, E., Davis, T., Martin, R. S., and Silva, P. J.: Meteorological and environmental aspects
of one of the worst national air pollution episodes (January 2004) in Logan, Cache Valley,
Utah, USA, Atmos. Res., 79, 108–122, doi:10.1016/j.atmosres.2005.05.003, 2006.

Neemann, E. M.: Analysis and simulation of a cold-air pool and high wintertime ozone
episode in Utah's Uintah Basin, M.S. thesis, University of Utah, Salt Lake City, Utah, 94 pp.,
2014.

Niu, G.-Y., Yang, Z.-L., Mitchell, K. E., Chen, F., Ek, M. B., Barlage, M., Kumar, A.,
Manning, K., Niyogi, D., Rosero, E., Tewari, M., and Xia, Y.: The community Noah land
surface model with multiparameterization options (Noah-MP): 1. Model description and
evaluation with local- scale measurements, J. Geophys. Res., 116, D12109,
doi:10.1029/2010JD015139, 2011.

31 Neff, S. A. Montzka, B. R. Miller, D. Helmig, B. J. Johnson, and J. Hueber: A high ozone

<sup>30</sup> Oltmans, S.J., A Karion, R.C. Schnell, G. Petron, C. Sweeney, S. Wolter, D.

- 1 episode in winter 2013 in the Uinta Basin oil and gas region
- 2 characterized by aircraft

3 Atmos. Chem. Phys. Discuss., 14, 20117-20157, 2014

- 4 Pollack, I. B., Ryerson, T. B., Trainer, M., Neuman, J. A., Roberts, J. M., and Parrish, D. D.:
- 5 Trends in ozone, its precursors, and related secondary oxidation products in Los Angeles,
- 6 California: a synthesis of measurements from 1960 to 2010, J. Geophys. Res.-Atmos., 118, 1–
- 7 19, doi:10.1002/jgrd.50472, 2013.
- Reeves, H. D. and Stensrud, D. J.: Synoptic-scale flow and valley cold pool evolution in the
  western United States, Weather Forecast., 24, 1625–1643,
  doi:10.1175/2009WAF2222234.1,2009.
- 11 Reeves, H. D., Elmore, K. L., Manikin, G. S., and Stensrud, D. J.: Assessment of forecasts
- during persistent valley cold pools in the Bonneville Basin by the North American Mesoscale
  Model, Weather Forecast., 26, 447–467, doi:10.1175/WAF-D-10-05014.1, 2011.
- 14 Roberts, J. M., Veres, P. R., Yuan, B., Warneke, C., Geiger, F., Edwards, P. M., Wild, R.,
- 15 Dube, W., Petron, G., Kofler, J., Zahn, A., Brown, S. S., Graus, M., Gilman, J., Lerner, B.,
- 16 Peischl, J., de Gouw, J. A., Li, R., Bates, T., Quinn, P., Koss, A., Li, S.-M., Parrish, D. D.,
- 17 Senff, C. J., Langford, A. O., Banta, R., Martin, R., Zamora, R., Murphy, S., Soltis, J., and
- 18 Field, R.: Analysis of aircraft observations, in: Final report: 2013 Uinta Basin winter ozone
- 19 study, edited by: Stoeckenius, T. and McNally, D., ENVIRON International Corporation,
- 20 Novato, California, Chapter 5, 1-96, available at: http://www.deq.utah.gov/locations/
- 21 uintahbasin/studies/UBOS-2013.htm, last access 13 June 2014.
- 22 Salmond, J. A.: Wavelet analysis of intermittent turbulence in the very stable nocturnal
- 23 boundary layer: implications for the vertical mixing of ozone, Bound.-Lay. Meteorol., 114,
- 24 463–488, doi:10.1007/s10546-004-2422-3, 2005.
- 25 Schmitt, C. G., Stuefer, M., Heymsfield, A. J., and Kim, C. K.: The microphysical properties
- of ice fog measured in urban environments of interior Alaska, J. Geophys. Res. Atmos., 118,
- 27 11136–11147, doi:10.1002/jgrd.50822, 2013.
- 28 Schnell, R. C., Oltmans, S. J., Neely, R. R., Endres, M. S., Molenar, J. V., and White, A. B.:
- 29 Rapid photochemical production of ozone at high concentrations in a rural site during winter,
- 30 Nat. Geosci., 2, 120–122, doi:10.1038/NGEO415, 2009.

28

measurements.

- 1 Schnell, R., Johnson, B., Cullis, P., Sterling, C., Hall, E., Albee, R., Jordan, A., Wendell, J.,
- 2 Oltmans, S., Petron, G., and Sweeney, C.: Tethered ozonesonde and surface ozone
- 3 measurements in the Uintah Basin, winter 2013, in: Final report: 2013 Uinta Basin winter
- 4 ozone study, edited by: Stoeckenius, T. and McNally, D., ENVIRON International
  5 Corporation, Novato, California, chapter 8, 1–48, 2014.
- 6 Shin, H. H. and Hong, S.-Y.: Intercomparison of planetary boundary-layer parameterizations
- 7 in the WRF model for a single day from CASES-99. Bound.-Lay. Meteorol., 139, 261–281,
- 8 doi:10.1007/s10546-010-9583-z, 2011.
- 9 Shupe, M. D. and Intrieri, J. M.: Cloud radiative forcing of the arctic surface: the influence of
- 10 cloud properties, surface albedo, and solar zenith angle, J. Climate, 17, 616–628,
  11 doi:10.1175/1520-0442(2004)017<0616:CRFOTA>2.0.CO;2, 2004.
- 12 Silcox, G. D., Kelly, K. E., Crosman, E. T., Whiteman, C. D., and Allen, B. L.: Wintertime
- 13 PM2.5 concentrations in Utah's Salt Lake Valley during persistent multi-day cold-air pools,
- 14 Atmos. Environ., 46, 17–24, doi:10.1016/j.atmosenv.2011.10.041, 2012.
- 15 Stoeckenius, T. and McNally, D. (Eds.): Final report: 2013 Uinta Basin winter ozone study,
- 16 ENVIRON International Corporation, Novato, California, 367 pp., available at: http://www.
- 17 deq.utah.gov/locations/uintahbasin/studies/UBOS-2013.htm, last access: 13 June 2014.
- 18 Sheridan, P. F., Vosper, S. B., and Brown, A. R.: Characteristics of cold pools observed in
- 19 narrow valleys and dependence on external conditions, Q. J. Roy. Meteor. Soc., 140, 715-
- 20 728, doi:10.1002/qj.2159, 2014.
- Thompson, G. and Eidhammer, T.: A study of aerosol impacts on clouds and precipitation
  development in a large winter cyclone, J. Atmos. Sci., accepted, 2014.
- 23 Thompson, G., Field, P. R., Rasmussen, R. M., and Hall, W. D.: Explicit forecasts of winter
- 24 precipitation using an improved bulk microphysics scheme, Part II: Implementation of a new
- 25 snow parameterization, Mon. Weather Rev., 136, 5095–5115, doi:10.1175/2008MWR2387.1,
- 26 2008.
- 27 Warneke, C., Geiger, F., Edwards, P. M., Dube, W., Pétron, G., Kofler, J., Zahn, A., Brown,
- 28 S. S., Graus, M., Gilman, J., Lerner, B., Peischl, J., Ryerson, T. B., de Gouw, J. A., and
- 29 Roberts, J. M.: Volatile organic compound emissions from the oil and natural gas industry in
- 30 the Uinta Basin, Utah: point sources compared to ambient air composition, Atmos. Chem.
- 31 Phys. Discuss., 14, 11895-11927, doi:10.5194/acpd-14-11895-2014, 2014.
| 1      | Warneke, C., Veres, P. R., Murphy, S. M., Soltis, J., Field, R. A., Graus, M. G., Koss, A., Li,   |
|--------|---|
| 2      | SM., Li, R., Yuan, B., Roberts, J. M., and de Gouw, J. A.: PTR-QMS vs. PTR-TOF  |
| 3<br>4 | comparison in a region with oil and natural gas extraction industry in the Uintah Basin in 2013, Atmos. Meas. Tech. Discuss., 7, 6565-6593, doi:10.5194/amtd-7-6565-2014, 2014. |
| 5      | Whiteman, C. D., Zhong, S., Shaw, W. J., <u>Hubbe, Hubbe</u> , J. M., Bian, <u>X, X</u> ., and  |
| 6      | Mittelstadt, $J, J$ .:  |
| 7      | Cold pools in the Columbia Basin, Weather Forecast., 16, 432-447, doi:10.1175/1520-   |
| 8      | 0434(2001)016<0432:CPITCB>2.0.CO;2, -2001.  |
| 9      | Zängl, G.: Formation of extreme cold-air pools in elevated sinkholes: an idealized numerical  |
| 10     | process study, Mon. Weather Rev., 133, 925-941, doi:10.1175/MWR2895.1, 2005a.   |
| 11     | Zängl, G.: Wintertime cold-air pools in the Bavarian Danube Valley Basin: data analysis and   |
| 12     | idealized numerical simulations, J. Appl. Meteorol., 44, 1950-1971, doi:10.1175/JAM2321.1,  |
| 13     | 2005b.  |
| 14     | Zhang, H. L., Z. X. Pu, and X. B. Zhang (2013), Examination of Errors in Near-Surface   |
| 15     | Temperature and Wind from WRF Numerical Simulations in Regions of Complex Terrain,  |
| 16     | Weather Forecast, 28(3), 893-914, doi: 10.1175/Waf-D-12-00109.1.  |
| 17     |   |
| 18     |   |
| 19     |   |
| 20     |   |
| 21     |   |
| 22     |   |
| 23     |   |
| 24     |   |
| 25     |   |
| 26     |   |
| 27     |   |
| 28     |   |
|        | 30  |
|        |   |
|        |   |

2 Table 1. Summary of WRF setup and parameterizations.

Parameter	Chosen Setup	Reference
Initial/Boundary Conditions	NAM Analysis	
Vertical Levels	41	
Domains	3 one-way nests	
Resolution	12 km, 4 km, 1.33 km	
Time Step	45 s, 15 s, 5 s	
Microphysics	Thompson	Thompson et al. (2008)
Shortwave Radiation	RRTMG	Iacono et al. (2008)
Longwave Radiation	RRTMG	Iacono et al. (2008)
Boundary Layer	Mellor-Yamada-Janjic (MYJ)	Janjic (1994)
Surface Layer	Eta Similarity	
Land Surface	Noah	Chen and Dudhia (2001)
Cumulus	Kain-Fritsch (12 km domain only)	Kain (2004)
Diffusion	2nd order on coordinate surfaces	

## 1 Table 2. Overview of WRF simulations.

Simulation Name	Prescribed Snow Cover	Cloud Ice Sedimentation	Cloud Ice Auto- conversion to Snow
BASE	Full Snow in basin	ON	ON
FULL	Full Snow in basin	OFF	OFF
NONE	No Snow below 2000 m in basin	OFF	OFF

1	Table 3. 2-m temperature errors	from WRF simulations.	Mean errors calculated from the six
---	---------------------------------	-----------------------	-------------------------------------

Simulation	Bias (C)	Mean Abs Error (C)	RMSE (C)
BASE	1.65	3.25	3.97
FULL	0.11	2.44	2.98
NONE	7.71	7.74	8.29

2 surface stations in Fig. 1.5b during the 1-6 February 2013 period.

- 1 Table 4. Ozone concentration statistics from CMAQ model forced by FULL and NONE
- 2 simulations during the 1-6 February 2013 period.

	FULL	NONE
Highest mean O <sub>3</sub> - Afternoon (ppb)	97.2	81.2
Highest mean O <sub>3</sub> - Non afternoon (ppb)	61.9	51.0
Maximum Hourly O <sub>3</sub> (ppb)	134.4	118.0
Area of mean afternoon $O_3 > 75 \text{ ppb} (\text{km}^2)$	896	144



1

2 Figure 1. Schematic of factors contributing to high ozone concentrations. Potential

3 temperature profile (red line) with stable layer trapping ozone precursors (NOx and VOCs)

4 within the cold-air pool. Snow cover reflects solar radiation, increases photolysis rates, and

5 leads to enhanced ozone  $(O_3)$  concentrations near the surface. Ice fogs are common in the

- 6 cold-air pool.
- 7





Figure 2. (a) WRF 12-, 4-, and 1.33-km domains with terrain contoured every 500 m. (b) Uintah Basin subdomain with terrain contoured every 250 m and major geographic features labelled. Black dots indicate locations of surface stations used for verification: Horsepool (HOR), Myton (MYT), Ouray (OUR), Red Wash (RED), Roosevelt (ROO), and <del>Vernal(Vernal (VER)</del>. Red box in (a) indicates close-up region shown in (b) and the red line

7 in (b) indicates position of vertical cross sections shown later.



Figure 3. WRF surface albedo (top) at 18:00MST 31 January 2013 for (a) before and (b) after
modifications to WRF snow albedo and vegetation parameter table. Initialized snow depth
(bottom, in m) at 17:00MST 31 January 2013 for (c) "Full Snow" cases (BASE/FULL) and
(d) "No Snow" case (NONE). Terrain contoured every 500 m in black.





Figure 4. Observed and simulated vertical profiles at Roosevelt of (a, b) potential temperature,
(c, d) relative humidity with respect to ice, (e, f) wind speed, and (g, h) wind direction for
11:00 MST 4 February 2013 (left) and 11:00 MST 5 February 2013 (right).





2 Figure 5. SPoRT-derived VIIRS satellite images: (a) Snow-Cloud product at 1115 MST 2

3 February 2013 and (b) Nighttime Microphysics RGB product at 0331 MST 2 February 2013.





1

Figure 6. (a) Hourly ozone concentrations from 31 January-6 February 2013 (thick black tick marks denote 00:00 MST) for Roosevelt (black), Horsepool (blue), Vernal (red), and Ouray (green) with the 75 ppb (8-hour mean) NAAQS denoted by the dashed line. (b) Ceilometer backscatter (shaded) and estimated aerosol depth (black dots) as a function of height (m) at Roosevelt from 31 January-6 February 2013. Red, yellow, blue, and white shading denote fog and stratus clouds, high aerosol concentrations; low aerosol concentrations, and beam attenuation, respectively.





2 Figure 7. Average 2-m temperature (in  $^{\circ}$ C according to the scale below) between 17:00 MST

- 3 31 January and 17:00 MST 6 February 2013 from (a) BASE, (b) FULL, and (c) NONE4 simulations.
  - 41





- 3 MST 6 February 2013 in: (a) 2-m temperature (in °C according to the scale to the right) and
- 4 (b) downwelling longwave radiation (in W  $m^{-2}$  according to the scale on the right).



2 Figure 9. Time-height plot of potential temperature shaded in K according to the scale on the right at Horsepool from 17:00 MST 31 January to 17:00 MST 6 February 2013 (dates are 3 labelled at 00:00 MST) from (a) BASE, (b) FULL, and (c) NONE simulations. Cloud water 4 amounts in (a) and (c) of 0.1, 0.2, and 0.3 g kg<sup>-1</sup> are denoted by dashed white, solid white, 5 6 and dashed white lines, respectively. Cloud ice amounts in (b) of 0.3 and 0.5 g kg<sup>-1</sup> are 7 denoted by solid white and dashed white lines, respectively. Ozone concentrations are 8 contoured every 10 ppb, starting at 65 ppb and alternate between solid and dashed every 10 9 ppb. Ozone concentrations above (below) 85 ppb are contoured in red (black). Plotted ozone 10 concentrations represent the maximum value for each hour in a 40 by 40 km region 11 encompassing Ouray and Horsepool.

1





Figure 10. Cloud characteristics from BASE (a, c, e) and FULL (b, d, f) simulations at 23:00
MST 4 February 2013. (a, b) Integrated total cloud amount (cloud water and ice) in bottom
15 model levels (in mm according to the scale on the right), (c) mean cloud water in bottom
15 model levels (in g kg<sup>-1</sup> according to the scale on the right), (d) mean cloud ice in bottom
15 model levels (in g kg<sup>-1</sup> according to the scale on the right), (e, f) net downwelling
longwave radiation from clouds (in W m<sup>-2</sup> according to the scale on the right).



Figure 11. FULL simulation at 23:00 MST 3 February 2013 for (a) 2.3 km MSL wind speed (in m s<sup>-1</sup> according to the scale on the right) and barbs (full barb 5 m s<sup>-1</sup>). (b) Vertical cross section of potential temperature at 23:00 MST 3 February shaded in K according to the scale on the right and at 05:00 MST 4 February (in K according to labelled white contours) along red line in (a).



Figure 12. Average zonal wind in the vicinity of the cross-section in Fig. 2b for 17:00 MST 31 January to 17:00 MST 6 February 2013 period. The FULL simulation (top) and NONE simulation (bottom) results for (a, c) daytime hours (0800 to 17:00 MST) and (b, d) nighttime hours (1800 to 07:00 MST). Westerly (easterly) winds shaded in m s<sup>-1</sup> according to the scale on the right in red (blue) with westerly (easterly) winds contoured every 2 m s<sup>-1</sup> (-0.5, -1, and -2 m s<sup>-1</sup> only). Values are averaged over a 26-km wide swath perpendicular to the cross section.

1



Figure 13. University of Utah Mobile transect of ozone concentration from 1130 to 15:00
MST 6 February 2013 as a function of (a) geographic location and (b) time. Dashed black
line represents NAAQS for ozone (75 ppb). Ozone concentrations in (a) are according to
color<u>colour</u> scale.



Figure 14. (top) Average ozone concentration (in ppb according to scale on the right) during 2 3 1100-17:00 MST 1-6 February 2013 on the lowest CMAQ model level (~17.5 m) from (a) FULL and (b) NONE simulations. The thin black line outlines regions where the ozone 4 5 concentration exceeds 75 ppb while the reference terrain elevation of 1800 m is shown by the 6 heavy black line. (bottom) Average ozone concentration during 1100-17:00 MST 1-6 7 February 2013 from (c) FULL and (d) NONE simulations along cross section approximately 8 25 km south of the red line in Fig. 2b. Values averaged over 24-km wide swath perpendicular 9 to the cross section. Time Series of ozone concentrations from (e) Horsepool, and (f) 10 Roosevelt. Observations, CMAQ output from FULL and NONE simulations in blue, red, and black respectively (thick black tick marks denote 00:00 MST). The NAAQS of 75 ppb is 11 12 denoted by the thin black dashed line.