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Chemistry and mineralogy of clay minerals in Asian and Saharan dusts and the implications for iron supply to the oceans

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29 Abstract: Mineral dust supplied to remote ocean regions stimulates phytoplankton growth through
30 delivery of micronutrients, notably iron (Fe). Although attention is usually paid to Fe (hydr)oxides as
31 major sources of available Fe, Fe-bearing clay minerals are typically the dominant phase in mineral
32 dust. The mineralogy and chemistry of clay minerals in dust particles, however, are largely unknown.
33 We conducted microscopic identification and chemical analysis of the clay minerals in Asian and
34 Saharan dust particles. Cross-sectional slices of dust particles were prepared by focused ion beam
35 (FIB) techniques and analyzed by transmission electron microscopy (TEM) combined with energy
36 dispersive X-ray spectroscopy (EDXS). TEM images of FIB slices revealed that clay minerals
37 occurred as either nano-thin platelets or relatively thick plates. Chemical compositions and lattice
38 fringes of the nano-thin platelets suggested that they included illite, smectite, illite–smectite mixed
39 layers and their nanoscale mixtures (illite–smectite series clay minerals, ISCMs) which could not be
40 resolved with an electron microbeam. EDXS chemical analysis of the clay mineral grains revealed
41 that the average Fe content was 5.8% in nano-thin ISCM platelets assuming 14% H₂O, while the Fe
42 content of illite and chlorite was 2.8% and 14.8%, respectively. In addition, TEM and EDXS analyses
43 were performed on clay mineral grains dispersed and loaded on microgrids. The average Fe content of
44 clay mineral grains was 6.7% and 5.4% in Asian and Saharan dusts, respectively. A comparative X-ray
45 diffraction analysis of bulk dusts showed that Saharan dust was more enriched in clay minerals than in
46 Asian dust, while Asian dust was more enriched in chlorite. Clay minerals, in particular
47 nanocrystalline ISCMs and Fe-rich chlorite are probably important sources of Fe to remote marine
48 ecosystems. Further detailed analyses of the mineralogy and chemistry of clay minerals in global
49 mineral dusts are required to evaluate the inputs of Fe to surface ocean microbial communities.

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52 1. Introduction

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54 Primary productivity in high-nitrate low-chlorophyll (HNLC) regions of the world's ocean has been
55 an important topic because of the roles of this process in regulating atmospheric carbon dioxide levels
56 over glacial–interglacial timescales (Boyd et al., 2000, 2004; Bopp et al., 2003; Jickells et al., 2005;
57 Formenti et al., 2011). Iron (Fe) is a controlling micronutrient for phytoplankton growth in HNLC
58 regions, with deep winter mixing (Tagliabue et al., 2014) and long-range transport of continental
59 aerosols (including mineral dust and anthropogenic aerosols) being key Fe sources for surface water
60 microbial communities. In addition, the dust-derived Fe supply to low-nitrate low-chlorophyll
61 (LNLC) regions of the oceans has been shown to control di-nitrogen fixation (Moore et al., 2009;
62 Schlosser et al., 2014). Therefore, interest has grown in recent years regarding atmospheric aerosol
63 transport, inputs to the surface ocean and the subsequent dissolution of Fe from aerosols (Desboeufs

64 et al., 2001; Jickells and Spokes, 2001; Hand et al., 2004; Guieu et al., 2005; Meskhidze et al., 2005;
65 Baker and Jickells, 2006; Buck et al., 2006; Cwiertny et al., 2008; Journet et al., 2008; Mahowald et
66 al., 2009; Shi et al. 2009, 2011; Aguilar-Islas et al. 2010; Baker and Croot, 2010; Buck et al., 2010; Fu
67 et al., 2010; Johnson et al., 2010; Paris et al., 2010; Trapp et al., 2010; Formenti et al., 2011; Rubin et
68 al., 2011; Takahashi et al., 2011; Sholkovitz et al., 2012).

69 Iron dissolution from aerosols has been represented by fractional Fe solubility (%Fe_S), and varies
70 strongly depending on the aerosol source (Mahowald et al., 2005; Sholkovitz et al., 2012). Sholkovitz
71 et al. (2012) compiled total Fe loading (Fe_T) and %Fe_S for a global-scale set of aerosol samples, and
72 found a hyperbolic trend in the %Fe_S as a function of Fe_T, which was explained by the mixing of
73 mineral dusts of high Fe_T and low %Fe_S and anthropogenic aerosols of low Fe_T and high %Fe_S.
74 However, mineral dust is an important supply of bioavailable Fe to the remote ocean, particularly
75 during dust events originating from desert sources. Ito and Feng (2010) demonstrated using model
76 simulations that, compared to Asian dust, soluble Fe from combustion sources contributed a relatively
77 small amount to the soluble Fe supply to the North Pacific Ocean during spring periods. An
78 enhancement of dust %Fe_S occurs during long-range transport. The factors responsible for this
79 increase in %Fe_S are not yet fully understood, and include the type of Fe-bearing minerals of dust and
80 their reactivity (Cwiertny et al., 2008; Journet et al., 2008), the photoreduction of Fe in dust particles
81 (Siefert et al., 1994; Hand et al., 2004; Fu et al., 2010), reactions between dust particles and water
82 during cloud processing (Desboeufs et al., 2001; Shi et al., 2009), reactions with acidic gases in the
83 atmosphere (Zhuang et al., 1992; Meskhidze et al., 2003), and changes in particle size during long-
84 range transport (Jickells et al., 2005; Baker and Croot, 2010).

85 Information regarding dust mineralogy enables the %Fe_S of mineral dust to be better understood,
86 as highlighted by Cwiertny et al. (2008) after an extensive literature review. The mineralogical factors
87 related to %Fe_S include solubility, reactivity with atmospheric acids, grain size, Fe content, and the Fe
88 oxidation state of the minerals. Despite numerous articles on the measurements of %Fe_S (Sholkovitz
89 et al., 2012 and references therein), the modeling of dust input (Mahowald et al., 2005, 2009; Johnson
90 and Meskhidze, 2013), and the determinations of the aqueous geochemistry of Fe (Baker and Croot,
91 2010 and references therein), basic data are still lacking on the properties of Fe-bearing minerals in
92 dust. Iron oxides/hydroxides are an important source of available Fe. However, the quantities of Fe
93 (hydr)oxides in mineral dust are much lower than the quantity of Fe-bearing silicates. Mineralogical
94 analyses have shown that clay minerals are the most abundant phases followed by quartz, feldspars,
95 and calcite in the long-range transported dusts (Glaccum and Prospero, 1980; Avila et al., 1997; Jeong
96 2008; Jeong et al., 2014). The crystal structures of clay minerals can accommodate a significant
97 quantity of Fe in their octahedral sites. Thus, both the clay minerals and Fe (hydr)oxides should be
98 considered when investigating their roles in Fe availability (Raiswell and Canfield, 2012). Journet et
99 al. (2008) reported a higher Fe solubility of clay minerals compared with Fe oxides, emphasizing the

100 significant role of clay minerals in Fe availability. However, in the experiments performed by Journet
101 et al. (2008), dissolution work was conducted for a limited set of clay minerals and Fe (hydr)oxides
102 obtained from rocks. Clay minerals in atmospheric dust particles have diverse origins and a wide
103 range of chemical compositions and particle sizes, depending upon the lithology, geological setting,
104 and physical/chemical weathering process in their source regions. The contribution of clay minerals to
105 Fe availability should be considered on the basis of the physical and chemical characteristics of the
106 different clay mineral types in the natural dust. For example, the Fe content of clay minerals in dust
107 for modeling and dissolution experiments is typically not known. The separate determination of the Fe
108 content of each clay mineral species is almost impossible for bulk dust because of the agglomeration
109 of many silicate mineral grains (Falkovich et al., 2001; Shi et al., 2005; Jeong, 2008; Jeong et al.,
110 2014). This is in contrast to the exact determination of Fe content in the form of Fe (hydr)oxides using
111 an established selective extraction procedure, such as the method of Mehra and Jackson (1960).
112 However, the chemical composition of submicron grains of clay minerals can be determined by
113 energy dispersive X-ray spectrometry (EDXS) induced by an electron microbeam. EDXS attached to
114 a transmission electron microscope (TEM) is an excellent technique for the chemical and physical
115 characterization of individual clay mineral grains.

116 In this study, we report the mineral species, nanoscopic occurrence, and chemical compositions
117 of the clay mineral grains in individual Asian and Saharan dust particles obtained by the combined
118 application of TEM and EDXS. Analyses of clay minerals mixed in particles were conducted on
119 cross-sectional slices of individual dust particles prepared by focused ion beam (FIB) milling. Clay
120 mineral grains loaded on microgrids by a conventional procedure were also analyzed by TEM and
121 EDXS. We furthermore present the mineral compositions of bulk dusts obtained by X-ray diffraction
122 (XRD) analysis.

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125 2. Dust samples and methods

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127 Asian dust events were observed on 17 March 2009, 20 March 2010, 31 March 2012, and 18
128 March 2014 in Korea. The dust outbreaks and subsequent migration of the Asian dusts were traced
129 using dust index images derived from satellite remote sensing, which indicated the source of the four
130 dust events in the Gobi Desert of southern Mongolia and northern China (30°–46°N, 90°–110°E) and
131 their migration to the east across Korea. Details of the synoptic conditions during the dust outbreaks
132 and migration of these events were provided in Jeong et al. (2014). The peak concentrations of
133 particulate matter less than 10 μm in diameter (PM_{10}) were 428, 1788, 220, and 378 $\mu\text{g}/\text{m}^3$ in the 2009,
134 2010, 2012, and 2014 dust events, respectively (Korea Meteorological Administration, 2014).

135 The Asian dusts were sampled using a Thermo Scientific high-volume total suspended

136 particulate (TSP) sampler fitted with Pallflex teflon-coated borosilicate glass-fiber filters (8×10 in.) or
137 Whatman[®] No. 1441-866 cellulose filters. The 2012 dust was sampled on a mountain peak at
138 Deokjeok Island (190 m above sea level, 37°13'59"N, 126°08'57"E) off the western coast of Korea
139 for 24 h (09:00 31 March–08:00 01 April) at a flow rate of 250 L min⁻¹. The 2009, 2010, and 2014
140 Asian dusts were sampled using the same procedure on the roof of a four-storey building at Andong
141 National University (36°32'34"N, 128°47'56"E) over a 12-h period (09:00–21:00 17 March 2009,
142 20:00–08:00 19 March 2010, and 10:00–22:00 18 March 2014).

143 Saharan dust samples were collected at Cape Verde Atmospheric Observatory on the island of
144 São Vicente, Cape Verde (16°51'50"N, 24°52'03"W) in the eastern North Atlantic Ocean. Dust was
145 sampled on Sterlitech polypropylene membrane filters (47 mm diameter, 0.4 μm pore size) using a
146 low volume aerosol sampler installed at the top of a 30-m tower in the period from 07 November
147 2007 to 14 March 2008 (Carpenter et al., 2005). The total volume of samples for the individual filters
148 ranged from 50–100 m³ at flow rates of 20–30 L min⁻¹ for a period of 3–5 days.

149 X-ray diffraction (XRD) analysis was conducted to obtain information on the mineral
150 composition of bulk samples using a Rigaku Ultima IV diffractometer. A portion of the filter was cut
151 and immersed in methanol in a 10-mL glass vial. The filter was agitated in an ultrasonic bath to
152 remove dust particles from the filter. During the agitation, dust particles were disaggregated into clay
153 minerals and other silicate grains. The suspension was sieved through 270 mesh sieve to remove
154 cellulose fibers and dried on the glass plate. Then, dust was collected by razor blade. Several
155 milligrams of dust samples (2–10 mg) were loaded on the 3 mm × 4 mm cavity of an aluminum plate.
156 The analytical conditions were 60 s counting per 0.03° step in the scan range of 3–65° 2θ, Cu Kα
157 radiation, and 45 kV/35 mA. Because the quantity of the samples was small, the patterns obtained
158 were not suitable for precise quantification. Thus, the compositional analysis was deemed semi-
159 quantitative. Mineral compositions were derived using a SIROQUANT software package (version 4).
160 Since intensity loss was significant in the lower angle region due to the small sample size irradiated
161 with X-rays, the high angle region (24.5–65° 2θ) was used for the simulation of the observed XRD
162 pattern. After the XRD analysis of the bulk dusts, the samples were subjected to ethylene glycol and
163 heat treatments for detailed identification of clay minerals. The samples were wetted with water,
164 smeared, and dried on a glass slide, and subsequently treated with ethylene glycol vapor at 60°C in a
165 desiccator for 2 days followed by heating at 350°C for 30 min.

166 Electron-transparent thin slices of dust particles (2009, 2012, and 2014 Asian dusts; 28–31 Dec,
167 2007, 18–23 Jan, 23–26 Feb, 29 Feb–04 Mar, 12–14 Mar, 2008 Saharan dusts) were prepared for
168 TEM analysis of clay minerals. Hereafter, the term “particle” refers to individual solid objects
169 suspended in the atmosphere, while the term “grain” refers to the constituents of the particles. Thin
170 slices (ca. 100 nm in thickness) of about ca. 6 μm × 6 μm size were cut from dust particles using a SII
171 NanoTechnology SMI3050TB and a JEOL JIB4601F FIB instrument for Asian and Saharan dusts. Prior

172 to using the FIB, the dust particles were transferred onto adhesive carbon film and characterized using
173 a JEOL JSM 6700F field emission gun scanning electron microscope (SEM) equipped with an Oxford
174 EDXS system at 5 kV acceleration voltage and 8 mm working distance after being coated with
175 platinum for electrical conductivity. Dust particles were selected for FIB work on the basis of
176 mineralogical characteristics identified by SEM-EDXS analysis as reported in Jeong (2008) and Jeong
177 et al. (2014). Individual Asian dust particles could be selected and prepared as thin slices by FIB
178 milling because the particles were sufficiently separated from each other on the filters. However, the
179 Saharan dusts considered in this study were highly concentrated and aggregated on the filters. Thus,
180 the original atmospheric particles for FIB milling could not be identified with confidence. However,
181 the purpose of the TEM analysis undertaken in this study was not to reveal the structures of individual
182 original dust particles as reported by Jeong and Nousiainen (2014), but to analyze the chemistry and
183 mineralogy of clay mineral grains. Thus, we prepared thin slices using FIB milling from the Saharan
184 dust samples.

185 Clay-mineral grains loaded on microgrids were also prepared for investigation of Asian (2009,
186 2010, 2012, and 2014) and Saharan (07–09 Nov, 13–14 Dec, 28–31 Dec 2007, 18–23 Jan, 29 Feb–04
187 Mar, 12–14 Mar 2008) dust. The clay mineral grains suspended in methanol were loaded on to 200-
188 mesh Cu microgrids covered with a carbon-coated lacey formvar support film by immersing the
189 microgrids in the suspension with tweezers and subsequent drying on filter paper. Every electron-
190 transparent grain encountered during the movement of stage was analyzed by EDXS.

191 The TEM instruments used in this study were a JEOL JEM 2100F field emission gun STEM at
192 200 kV, a JEOL JEM 3010 TEM for high-resolution imaging, and a JEOL JEM 2010 TEM equipped
193 with an Oxford ISIS EDXS system. Minerals in the FIB slices were identified on the basis of lattice
194 fringe images, electron diffraction, and EDXS. General chemical formulas of minerals identified in
195 this study are given in the Supplementary Table 1. Digital images of the microstructures and lattice
196 fringes were recorded using a Gatan digital camera, and processed with a Gatan DigitalMicrograph®.
197 To obtain the elemental compositions of clay minerals, the X-ray counts of Si, Al, Fe, Mg, Ti, K, and
198 Ca were converted to weight % (wt%) of the elements using the quantification procedures given by
199 Cliff and Lorimer (1975). *k*-factors of the elements for the conversion were obtained from an analysis
200 of the FIB slices prepared from biotite and plagioclase of known composition occurring in the
201 Palgongsan granite (Jeong, 2000).

202 TEM-EDXS analysis often requires a small beam size down to ~50 nm to determine the
203 chemical composition of clay minerals that are mixed with other minerals. The X-ray generation
204 volume is small due to the thin nature of the FIB slices (~100 nm) and small analysis area. High
205 quality quantitative analysis requires at least 10 000 counts of each peak for the ideal specimens of
206 large thin and resistant phases (Williams and Carter, 2009). However, such an ideal analytical
207 condition was not obtained for the clay mineral grains, which were very sensitive to the electron beam

208 because of their structural water, disorder, and nanocrystallinity. To minimize electron beam damage
209 of the clay mineral grains, the electron dose was reduced by setting the spot size to four. X-rays of Si,
210 Al, Fe, Mg, Ti, K, and Ca were counted for 100 s. For clay grains loaded on the microgrids, 0.5–2- μm
211 grains produced sufficient X-ray photons for analysis, while submicron thin clay grains (ca. $<0.5\ \mu\text{m}$)
212 did not. The total X-ray counts of those elements in ISIS EDXS system were ca. 30 000 for the
213 analysis of clay mineral grains loaded on the grid, and ca. 15 000 for the analysis of FIB specimens.
214 The detection limits for these elements were ca. 0.1 wt%. For Asian dust samples, we conducted 206
215 analyses of clay minerals in the 50 FIB slices prepared from 50 dust particles, and 514 analyses of
216 clay mineral grains loaded on the microgrids. For Saharan dust, we conducted 116 analyses in the 10
217 FIB slices prepared from 10 particles, and 356 analyses of clay mineral grains loaded on microgrids.
218 In the calculation of elemental wt%, the total H_2O content of clay minerals was assumed to be 14 wt%,
219 which is the average H_2O content of illite and smectite provided in Table II and Table XXVII of
220 Weaver and Pollard (1975).

221

222 3. Results and discussion

223

224 3.1. Occurrence of clay minerals in dust particles

225

226 3.1.1. Asian dust

227 SEM images reveal micron-size platy grains of clay minerals on the surface of Asian dust particles
228 (Figs. 1a-1, b-1, and c-1). TEM images of cross-sectional FIB slices show that clay mineral plates coat
229 the surface of quartz (Figs. 1a-2, a-3, b-2, and b-3), or are agglomerated (Fig. 1c-2 and 3). Clay
230 mineral grains were classified into two groups: (1) rather thick, compact grains of illite (Figs. 1a-2, a-
231 3, and b-2), chlorite (Fig. 1b-2), and kaolinites (not shown here); and (2) loose chaotic, subparallel
232 nano-thin platelets (Figs. 1a-2, a-3, b-2, b-3, c-2, and c-3), which display lattice fringes of ca. 1.0 nm
233 (Figs. 1b-3 and 1c-3) and contained the interlayer cations K and Ca. The nano-thin platelets were
234 arranged in subparallel patterns to form a fine matrix with the inclusions of thick and compact clay
235 minerals (Figs. 1a-2, a-3, and b-2). The loose, curved lattices (Figs. 1b-3 and 1c-3) of nano-thin plates
236 are in contrast to the compact, straight lattices of larger plates of illite (Fig. 2a), chlorite (Fig. 2b), and
237 kaolinite (Fig. 2c).

238 Larger compact grains of smectite were not found in the TEM observations of FIB slices in this
239 study. However, smectite has previously been identified by the XRD analysis of Asian dust treated
240 with ethylene glycol (Jeong, 2008). Thus, smectite is expected to be present in the form of nano-thin
241 platelets of smectite or illite–smectite mixed layers. Nano-thin clay mineral platelets displaying 10- \AA
242 lattice fringes were the major form of the clay minerals in the Asian dusts. Their varying K and Ca
243 contents suggest a close mixture and mixed-layering of illite and smectite because K is predominantly

244 assigned to the cation fixed in the interlayer of illite, while Ca is assigned to the exchangeable cation
245 of smectite (Jeong et al., 2004). The mixtures and mixed-layering of illite and smectite unit layers are
246 common in natural geological environments as a result of chemical weathering in soils and the low
247 temperature diagenesis of sediments (Weaver, 1989; Środoń, 1999). However, even using the lattice-
248 fringe imaging, unambiguous distinction was not possible between illite and smectite unit layers
249 mixed at the nano scale because hydrated smectite (unit layer thickness of 1.4–1.6 nm) had become
250 dehydrated and had contracted to a unit layer of ca. 1.0 nm thickness under the high vacuum in the
251 TEM chamber. Thus, the nanoscale mixtures of nano-thin platelets with ca. 1.0 nm lattice fringes and
252 containing K and Ca in varying ratios are collectively referred to here as illite–smectite series clay
253 minerals (ISCM) which are probably mixtures/mixed-layers of illite and smectite in varying ratios.
254 Although thick smectite grains were not observed, illite occurred as thick compact grains (Figs. 1a-2,
255 a-3, and b-2) as well as nano-thin ISCMs. Chlorite also occurred as nano-thin plates (Fig. 1b-3) in a
256 close association with ISCMs.

257 TEM images of the clay mineral grains dispersed on the microgrids are presented in Fig. 3.
258 Micron-size ISCM plates have diffuse outlines and granular microtextures due to nano-size subgrains
259 (Figs. 3a-1 and a-2), which are compared to the subparallel group of nano-thin ISCM plates observed
260 in the cross-sectional FIB slices (Fig. 1). The electron diffraction pattern shows turbostratic stacking
261 of nano-size subgrains (Fig. 3a-3). However, the discrete illite grain in Fig. 2a-4 has a platy
262 morphology with a clear grain boundary similar to the large compact grains of discrete illite in Figs.
263 1a-2, a-3, and b-2. The morphology and microtextures of kaolinite grains (Fig. 3a-5) are similar to
264 those of ISCM grains, with diffuse outlines and granular microtextures due to the nano-size subgrains.
265 Weathered chlorite plates consist partly of subgrains (Fig. 3b-6).

266

267 3.1.2. Saharan dust

268 The TEM images of FIB slices prepared from three clay-rich particles are presented in Fig. 4. The
269 particles are dense (Figs. 4a-1) or porous (Figs. 4b-1 and c-1) agglomerates of nano-thin platelets that
270 are arranged in subparallel anastomosing patterns (Figs. 4a-2, b-2, and c-2). Lattice fringes of ca. 1
271 nm indicate the common occurrence of ISCMs (Figs. 4a-3, b-3, and c-3). Larger compact grains of
272 illite and chlorite are found in the ISCM matrix (Figs. 5a). Compact dense biotite grain grades to
273 kaolinite as shown in Figs. 5b and c. Biotite kaolinitization is a common process in the weathering
274 environment (Dong et al., 1998; Jeong, 2000; Jeong and Kim, 2003).

275 TEM images of the clay mineral grains dispersed on the microgrids show illite plates with clear
276 outlines (Fig. 3b-1) and ISCMs with diffuse outlines (Fig. 3b-2). The kaolinite plates have a
277 hexagonal shape (Fig. 3b-3), indicating the higher crystallinity of kaolinite in Saharan dust, which is
278 in contrast to the irregular kaolinite plate with a diffuse outline identified in Asian dust (Fig. 3a-5).
279 Another feature of the Saharan dust distinguishing it from the Asian dust is the occurrence of elongate

280 grains of palygorskite (inset in Fig. 3b-3).

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283 3.2. Fe content of the clay minerals

284

285 3.2.1. Asian dust

286 EDXS analyses were carried out for two types of clay minerals identified from the TEM analysis
287 of FIB slices: subparallel groups of thin platelets and individual thick grains. Undertaking EDXS
288 analysis in a selective manner for each nano-thin plate was impossible due to the limit of the
289 minimum beam size (ca. 50 nm) and the low X-ray counts from the reduced electron dose used to
290 minimize damage by the beam. The Fe content, assuming 14 wt% of H₂O, was plotted against K
291 content (Fig. 6). The data points could be categorized into three groups. Group A is clustered in the
292 region bound by ca. 0.5–4.5 wt% K and ca. 2.5–10 wt% Fe. Group A data were obtained mostly from
293 the subparallel groups of thin plates. Groups B and C contained data for thick grains: (B) high K (ca.
294 4.5–8.5 wt%)–low Fe (ca. 0–6 wt%) and (C) low K (ca. 0–3 wt%)–high Fe (ca. 10–26 wt%). The
295 TEM-EDXS data from the FIB slices of Asian dust particles are summarized in Table 1.

296 Group A was considered to represent ISCMs whose intermediate K content indicates a mixture of
297 nano-thin platelets of illite, smectite, and their mixed-layers. The average ISCM composition of group
298 A is $K_{0.25}Ca_{0.09}(Al_{1.28}Fe^{3+}_{0.45}Mg_{0.44}Ti_{0.01})(Al_{0.50}Si_{3.50})O_{10}(OH)_2$. The characterization of the nanoscale
299 mixing and mixed layering of the ISCMs can be undertaken by TEM analysis of ISCMs treated with
300 alkyl ammonium ions, which selectively expand smectite layers even under the high vacuum of the
301 TEM chamber (Lagaly, 1994; Jeong et al., 2004). However, the method will require further
302 development before it can be applied to dust particles. The group B was considered to represent
303 discrete illite. The average structural formula of discrete illite in dust particles is
304 $K_{0.64}Ca_{0.03}(Al_{1.61}Fe^{3+}_{0.22}Mg_{0.22}Ti_{0.01})(Al_{0.66}Si_{3.34})O_{10}(OH)_2$. This formula of group B are consistent with
305 values for the reference illites retrieved from the literature (mean of 24 illite analyses in Table III,
306 Weaver and Pollard, 1975; Table 1.7, Meunier and Velde, 2004) (Fig. 6). The representative formula
307 of reference illites reported in the literature is $K_{0.77}Ca_{0.01}(Al_{1.64}Fe_{0.17}Mg_{0.20}Ti_{0.00})(Al_{0.64}Si_{3.36})O_{10}(OH)_2$.
308 The relative proportions of illite and smectite components could be estimated from the K contents,
309 because K exists mostly as a fixed cation in the interlayer of illite (Jeong et al., 2004). The relative
310 proportion of illite and smectite components in ISCMs is ca. 35:65 based on the K contents of the
311 reference illite (0.77 K) and ISCMs (0.25 K). The proportion of smectite components is higher than
312 that of the illite component in ISCMs. Group C could be assigned to the chlorite or K-depleted biotite
313 (vermiculite or biotite–vermiculite mixed-layer) formed during the weathering in the source soils. The
314 average Fe contents of the ISCMs (5.8 wt%, group A) are higher than those of illite (2.8 wt%, group
315 B) and lower than those of chlorite (14.8 wt%, group C) (Table 1). One data point plotted near the

316 origin (0 wt% of K and Fe) indicates the presence of kaolinite. Only three kaolinites were analyzed by
317 EDXS, detecting no Fe.

318 The EDXS data for the clay mineral grains loaded on the microgrids, assuming 14 wt% of H₂O
319 are presented in Fig. 6 and Table 1. Unlike the grains in the FIB slices, the EDXS analyses of the clay
320 mineral grains on the microgrids could not distinguish between mineralogical types in the mixture.
321 However, the distribution pattern of the data is consistent with that of the FIB slices (Fig. 6). The data
322 indicate a slightly higher K and Fe contents, compared to the data for FIB slice specimens. This was
323 likely to originate from the mixture of several clay mineral types in the clay grains loaded on the
324 microgrids, while clay mineral types could be identified in the FIB slice and separately analysed by
325 EDXS. In addition, this analysis cannot avoid the ultrafine grains of iron (hydr)oxide phases mixed in
326 the clay grain. Many data points were plotted on the regions of ISCMs ($n = 266$, 52%) and discrete
327 illite ($n = 132$, 26%), indicating that the clay minerals ($<2 \mu\text{m}$) in the dusts are dominated by ISCMs
328 and discrete illite with a minor presence of chlorite. The average Fe content of Asian dusts was 7.3
329 wt% in 2009 ($n = 159$), 6.7 wt% in 2010 ($n = 100$), 6.5 wt% in 2012 ($n = 105$), and 6.1 wt% in 2014
330 ($n = 150$). The average Fe content of all the analyzed grains ($n = 514$) was 6.7 wt%. The average
331 chemical composition of the whole data set ($n = 514$) was Si 21.4, Al 10.7, Fe 6.7, Mg 2.7, Ti 0.2, K
332 3.9, and Ca 0.7 wt% (Table 1). Clay mineral grains from Chinese loess samples, which formed the
333 deposits of ancient Asian dust, have been analyzed by the TEM-EDXS of grains loaded on microgrids
334 (Jeong et al., 2008, 2011). The average chemical composition of the clay minerals in loess samples
335 was Si 21.3, Al 12.3, Fe 6.1, Mg 2.7, Ti 0.1, K 2.6, and Ca 0.5 wt%, which is remarkably consistent
336 with the composition of our Asian dust samples. Fe that is not incorporated in clay minerals is hosted
337 in Fe (hydr)oxides such as magnetite, goethite, and hematite, and coarse Fe-rich silicate mineral
338 grains such as amphibole, epidote, chlorite, and biotite. Clay-sized chlorite can be distinguished from
339 coarse-grained chlorite, as large flakes of chlorite exceeding 10 μm in length are commonly found in
340 Asian dust.

341

342 3.2.2. Saharan dust

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344 EDXS data of clay mineral grains on the microgrids ($n = 356$) and FIB slices ($n = 116$) are presented
345 in Fig. 6 and summarized in Table 1. The distribution patterns of data shows similarity to that of the
346 Asian dust, indicating the presence of ISCMs, discrete illite, and chlorite/biotite. A remarkable feature
347 of the Saharan dust that differs from Asian dust is that kaolinitic clay mineral grains are more
348 abundant in Saharan dust particles than in Asian dust particles, while chloritic grains are more
349 abundant in Asian dust (Fig. 6). Thus, compared with the chemical composition of Asian dust, Al was
350 slightly enriched in Saharan dust, while Fe and K were slightly depleted. 63% ($n = 225$) of the clay
351 mineral grains on the microgrid was ISCMs. The average chemical composition of clay mineral grains

352 from the whole data set of microgrid samples assuming 14 wt% of H₂O is Si 22.4, Al 11.9, Fe 5.4, Mg
353 2.5, Ti 0.2, K 2.2, and Ca 0.5 wt% (Table 1). The average chemical composition of clay minerals in
354 Saharan dust is not very different from that in Asian dust. The typical chemical composition of
355 kaolinite is Al₂Si₂O₅(OH)₄, but with some Fe replacing Al in octahedral sites. The average Fe content
356 from the analyses of 14 kaolinite grains is 1.7 wt% in Saharan dust.

357

358 3.3. Mineralogical properties of bulk dust

359

360 Mineral compositions determined by XRD analysis are presented in Table 2. Due to the small quantity
361 of samples and low X-ray counts, the data in Table 2 are at best semi-quantitative. However,
362 mineralogical differences are evident between Asian and Saharan dusts. Although the quantity of each
363 mineral has a large uncertainty, the sum of the mineral groups is more reliable. The mineral
364 compositions of three Asian dusts were compared to the compositions determined by single particle
365 analysis using SEM and EDXS (Table 2). Despite the differences in analytical methods and their
366 semi-quantitative nature, the mineral compositions determined by both methods were well matched,
367 supporting the reliability of the mineral composition data for small bulk dust samples presented in this
368 study. ISCMs and discrete thick illite grains could not be distinguished in the XRD method adopted in
369 this study. Thus, sum of ISCMs and discrete illite is presented in Table 2.

370 A common mineralogical feature in both Asian and Saharan dusts is for the clay minerals to be
371 dominated by ISCMs and illite. This is consistent with TEM-EDXS analysis of clay mineral grains on
372 the microgrids (Fig. 6). A higher total clay mineral content is a strong mineralogical feature of
373 Saharan dusts, while the quartz and feldspar contents are higher in Asian dust. Of the clay minerals,
374 chlorite contents were higher in Asian dusts, while kaolinite contents were higher in Saharan dusts.
375 XRD analysis of the preferentially oriented specimens of both the Asian and Saharan dusts showed
376 17Å peaks of smectite. Although we did not undertake a quantitative analysis, the shoulder near the
377 10.4 Å peak to the high angle side of the illite (001) peak, which did not disappear following heat
378 treatment at 350°C, indicated the occurrence of palygorskite as reported by Avila et al. (1996).

379

380 4. Clay minerals as iron carrier

381

382 4.1. ISCMs

383 The TEM-EDXS analyses of FIB slices showed that the Fe contents of ISCMs, illite, and chlorite in
384 Asian dust particles are 5.8%, 2.8%, and 14.8 %, respectively. Although the average Fe content of
385 ISCMs is lower than chlorite, it is much higher than illite. The contribution of ISCMs to the Fe
386 released by dust is important compared with other clay minerals because they are abundant clay

387 minerals in both the Asian (52%) and Saharan (63%) dusts, as shown in Table 1 and Fig. 6.

388 The dissolution of Fe from silicate minerals depends largely upon physical and chemical factors
389 such as the crystal structure, Fe content, crystallinity, and the surface area of the minerals (Lasaga,
390 1995; Nagy, 1995). ISCMs are nano-thin illite, smectite, and mixed-layered illite–smectite. Thus, the
391 large surface area of Fe-rich ISCMs may lead to an enhanced release of Fe. Baker and Jickells (2006)
392 suggested that the primary control on Fe solubility is the surface area to volume ratio of dust particles,
393 which decreases during long-range transport due to the preferential removal of larger particles. Our
394 observations confirm the importance of smaller particles, and in particular probably the higher ISCM
395 content of long-range transported particles which may make a large contribution to Fe release.

396

397 4.2. Chlorite

398 Chlorite has received little attention in previous studies. Fe-rich chlorite is easily decomposed by
399 acids (Ross, 1969; Kodama and Schnitzer, 1973; Brandt et al., 2003; Lawson et al., 2005). In Chinese
400 loess, chlorite is weathered much faster than other silicate minerals (Jeong et al., 2011). Chlorite
401 should be considered in studies of Fe dissolution from mineral dust. Chlorite content of Asian dusts
402 was ca. 4–6% as determined using single particle analysis (Jeong et al., 2014) and 5–7% in XRD
403 analysis (this study). In Saharan dusts, the chlorite content was lower at ca. 3% as determined by
404 XRD analysis (this study). Although the chlorite content in dust is much lower in comparison to
405 ISCMs, the release of Fe from chlorite is likely to be significant because its Fe content is 3–6 times
406 higher than in the ISCMs and illite. Takahashi et al. (2011) suggested that chlorite could possibly be
407 transformed into soluble ferrihydrite, after cloud processing during long-range atmospheric transport.
408 Although we did not determine the oxidation state of Fe, the structural Fe present in chlorite is known
409 to be dominated by Fe(II) (Newman, 1987). In contrast, the structural Fe in the fine ISCMs
410 (dioctahedral illite, smectite, and their mixed layers) is dominated by Fe(III) (Weaver and Pollard,
411 1975; Newman 1987). Thus, trioctahedral clay minerals including chlorite may be an important
412 source of soluble Fe(II). Whilst Fe(II) is a bioavailable form of Fe for microbial organisms (Shaked et
413 al., 2005; Baker and Croot, 2010), its concentration is low due to rapid oxidation to Fe(III) and low
414 supply rates. Microorganisms therefore have a range of alternative Fe uptake strategies (e.g. Rubin et
415 al., 2011). Fe(II) dissolved from dust is commonly considered to be derived from the photochemical
416 reduction of Fe(III). However, Cwiertny et al. (2008) suggested that Fe(II)-substituted
417 aluminosilicates may be an important alternative source of soluble Fe(II), particularly after the
418 reaction of dust with atmospheric acids. Chlorite grains occur in a diverse size range from individual
419 large flaky particles of several micrometers (Jeong, 2008; Jeong and Nousiainen, 2014) to nano-thin
420 platelets mixed with nano-thin ISCMs (Fig. 2b-3). Nano-thin chlorite plates are probably most
421 effective in releasing Fe from dust particles following reaction with atmospheric acids.

422

423

424 5. Implications to the evaluation of iron supply to oceans

425

426 Global dust distributions and oceanic Fe deposition are modeled assuming the Fe content of bulk dust
427 being equivalent to the average Fe content of the Earth crust (3.5%) (Mahowald et al., 2005, 2009). A
428 recent modeling study on Fe supply to the oceans progressed to include dust mineralogy and Fe
429 content of clay minerals (Johnson and Meskhidze, 2013). Mineral compositions were predicted from
430 available soil data (Claquin et al., 1999; Nikovic et al., 2012; Journet et al., 2014). However,
431 mineralogical and chemical compositions of world soils are certainly variable and poorly constrained.
432 Particularly, the analytical data on the chemical composition (including Fe) of fine clay minerals in
433 local soils are hard to find in literature, because the analytical measurements are as difficult as the
434 analysis of clay minerals in dust. The modeling study on the Fe supply to global oceans by Johnson
435 and Meskhidze (2013) highlighted the importance of mineralogical data of dust in the deposition of
436 dissolved Fe to the global oceans. They adopted mineral compositions of dust derived from a soil
437 database of Nikovic et al. (2012) and Fe content of a commercial illite reported by Paris et al. (2011).
438 However, their model sensitivity analysis showed large variations of dissolved Fe fluxes to the oceans,
439 associated with the uncertainty in the dust mineralogy and Fe content of constituent minerals. They
440 emphasized that realistic data of dust mineralogy and Fe content within individual Fe-containing
441 minerals are essential for the improvement of the description of the Fe biogeochemical cycles in
442 climate models. Although the chemical analysis of clay minerals in individual dust particles as carried
443 out in this study is challenging, detailed analysis of representative global dust samples is likely an
444 efficient approach to obtain information on Fe mineralogy in dust. Long-range transported dust has
445 the average mineralogical and chemical properties of fine soil particles lifted from the wide source
446 regions of varying soil mineralogy. In addition, the mineral composition and chemistry in dust from
447 the major source regions do not show significant inter-event and annual changes (Jeong, 2008).
448 Further analytical works for dust samples transported over long distances from major source regions
449 will allow the establishment of realistic mineralogical database for the evaluation of Fe inputs to the
450 remote ocean.

451

452 6. Summary and Conclusions

453

454 Mineral composition of bulk dust samples, Fe content of constituent minerals, and their grain sizes
455 form essential data for the evaluation of Fe supply to remote surface oceans. Particularly, the
456 properties of clay minerals are important because of their abundance in mineral dust and high Fe
457 contents, relative to other silicates. We presented for the first time mineralogical and chemical data of
458 clay minerals in individual dust particles from several Asian and Saharan dust samples, following

459 analyses by TEM and EDXS. The total clay content of Asian dusts determined by XRD analysis was
460 lower than that of Saharan dusts. TEM analysis of thin cross-sectional slices of the dust particles
461 revealed that nano-thin platelets of ISCMs (illite, smectite, and illite–smectite mixed layers) were
462 most abundant in association with illite, chlorite, and kaolinite occurring as thicker plates. Asian dusts
463 were enriched with chlorite relative to Saharan dust, while Saharan dusts were relatively enriched
464 with kaolinite. Kaolinite in Saharan dust occurred as hexagonal plates that were better crystallized
465 than in Asian dust. The average Fe content of the ISCMs in Asian dusts as determined by EDXS was
466 5.8% assuming 14% H₂O, while the contents of illite and chlorite were 2.8% and 14.8%, respectively.
467 The average Fe content of the EDXS data of the clay mineral grains dispersed and loaded on the
468 microgrids was 6.7% and 5.4% in Asian and Saharan dusts, respectively. The Fe dissolution from clay
469 minerals is thought to be enhanced by the nanocrystallinity of ISCMs, and furthermore, Fe-rich
470 chlorite susceptible to acids may enhance dissolution of Fe. The establishment of realistic
471 mineralogical data sets from global dust samples is important to reduce the uncertainty in the
472 prediction of iron inputs to oceans using geochemical and meteorological models.

473

474

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476

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Figure Captions

Fig. 1. Occurrence of clay minerals in three Asian dust particles (a, b, c). Panel 1 in each particle consists of two SEM images of the original particle (low and high magnifications). Panels 2 and 3 are TEM images of the cross-sectional FIB slice prepared from the particle in panel 1.

Fig. 2. Straight and coherent lattice fringe images of larger compact grains of illite (a), chlorite (b), and kaolinite (c) in Asian dust particles. TEM images of FIB slices.

Fig. 3. TEM images of clay mineral grains loaded on the microgrid. (a) Clay mineral grains from Asian dusts, showing ISCM (panel 1), discrete illite (panel 4), kaolinite (panel 5), and chlorite (panel 6) grains. Panel 2 was magnified from Panel 1. Panel 3 is an electron diffraction pattern of the circled area in panel 2. (b) Clay mineral grains from Saharan dusts showing illite (panel 1), ISCM (panels 1 and 2), hexagonal kaolinite (panel 3) and elongate palygorskite (panel 3) grains.

Fig. 4. Occurrence of clay minerals in three clay-rich Saharan dust particles (a, b, c). Panel 1 in each particle is a low magnification TEM image of the cross-sectional FIB slice prepared from the original particle. Panel 2 is a TEM image magnified from the TEM image in panel 1. Panel 3 is the lattice fringe image.

Fig. 5. Occurrence of larger compact grains of illite (a), chlorite (a), kaolinite (b, c), and biotite (b, c) in Saharan dust particles.

Fig. 6. Plots of Fe vs. K from the TEM-EDXS analyses of clay minerals in the cross-sectional FIB slices of dust particles and clay mineral grains loaded on the microgrids. The boxes indicating groups A, B, and C are drawn on the basis of TEM microtextures and EDXS data for FIB slices of Asian dust particles.

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Table 1. Summary of average chemical compositions of clay mineral grains (wt%) analysed by TEM EDXS. H₂O content of the clay minerals was assumed as 14%.

	Asian dust					Saharan dust	
	Microgrid	FIB (whole)	FIB (Group A, ISCMs)	FIB (Group B, illite)	FIB (Group C, chlorite)	Microgrid	FIB
<i>n</i>	514	206	140	27	21	356	116
Si	21.4	21.7	22.7	21.5	16.0	22.4	22.4
Al	10.7	11.5	11.1	14.0	9.6	11.9	12.9
Fe	6.7	6.5	5.8	2.8	14.8	5.4	4.8
Mg	2.7	2.8	2.5	1.2	6.6	2.5	2.1
Ti	0.2	0.1	0.1	0.1	0.1	0.2	0.1
K	3.9	2.4	2.2	5.8	0.9	2.2	1.9
Ca	0.7	0.7	0.8	0.3	0.3	0.5	0.8

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Table 2. Semiquantitative mineral compositions of Asian and Saharan dusts determined by XRD analysis with mineral compositions of Asian dusts determined by SEM single particle analysis for comparison.

	<u>Asian dust XRD</u>					<u>Saharan dust XRD</u>		
	Mar 18 2014	Mar 31 2012	Mar 20 2010	Mar 16-17 2009	Average	Jan 18-23 2008	Dec 28-31 2007	Average
ISCMs/illite	60	42	50	42	49	71	74	72
Kaolinite	1	3	4	4	3	8	4	6
Chlorite	3	6	7	7	6	3	3	3
<i>Total clay</i>	<i>64</i>	<i>52</i>	<i>61</i>	<i>53</i>	<i>57</i>	<i>81</i>	<i>81</i>	<i>81</i>
Quartz	14	23	15	13	16	10	7	8
Plagioclase	11	15	10	12	12	2	2	2
K-feldspar	0	6	2	1	2	1	1	1
Amphibole	0	1	2	2	1	0	0	0
Calcite	5	2	5	6	5	2	3	2
Gypsum	6	2	6	13	6	4	6	5
Total	100	100	100	100	100	100	100	100
<u>SEM single particle analysis</u> <u>(Jeong et al., 2014 and this study)*</u>								
ISCMs/illite	54	48	54		52			
Kaolinite	1	3	2		2			
Chlorite	2	4	6		4			
<i>Total clay</i>	<i>58</i>	<i>55</i>	<i>62</i>		<i>58</i>			
Quartz	19	21	17		19			
Plagioclase	11	11	10		11			
K-feldspar	4	5	3		4			
Amphibole	1	1	0		1			
Calcite	7	7	6		7			
Gypsum	0	1	1		1			
Total	100	100	100		100			

*Mineral compositions were recalculated to include nine minerals and mineral groups. The contents of biotite and muscovite in Jeong et al. (2014) were merged with that of ISCMs/illite.

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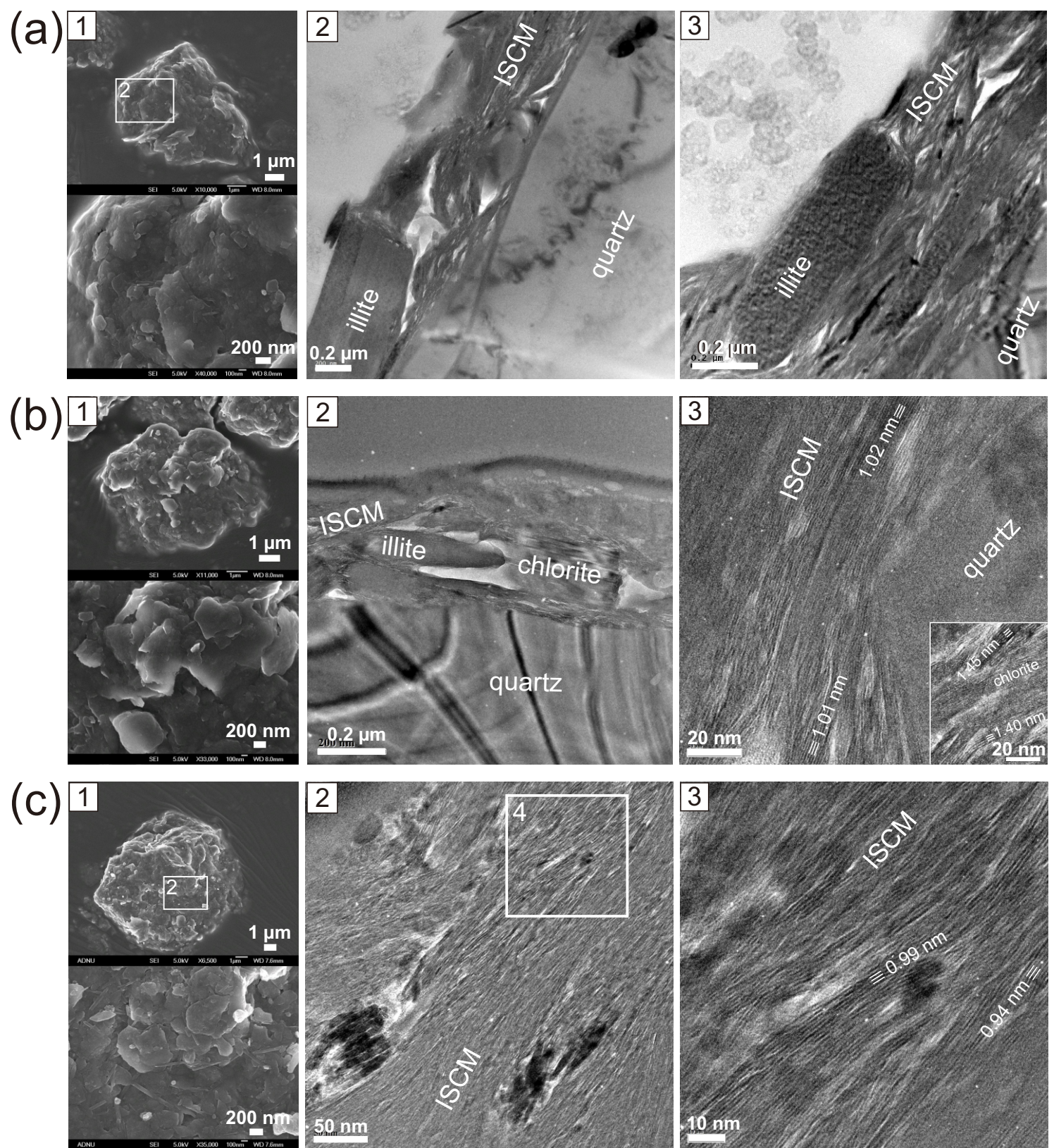


Fig. 1

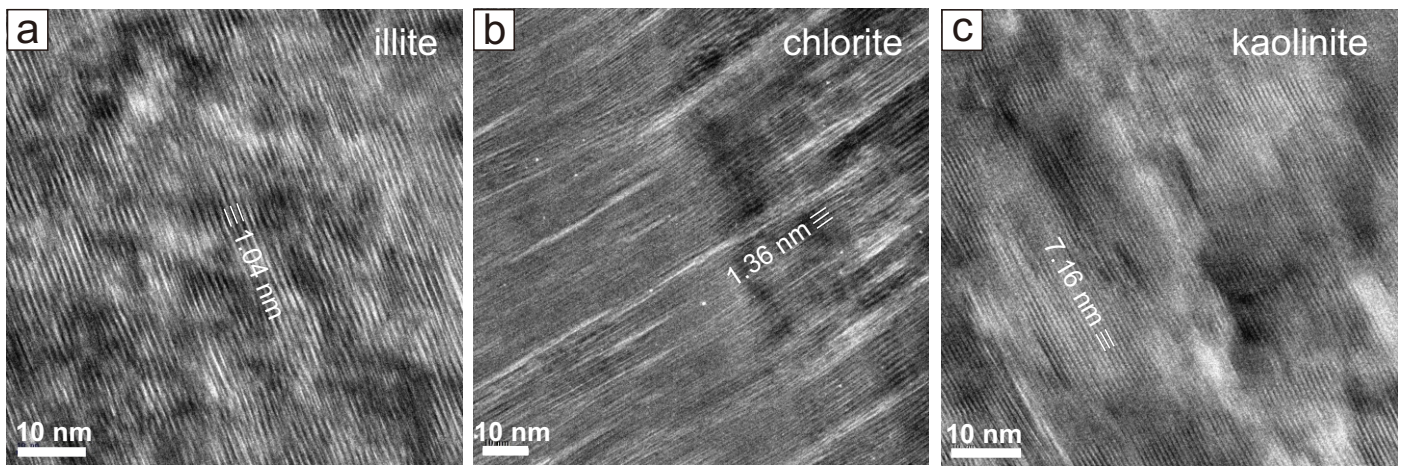


Fig. 2

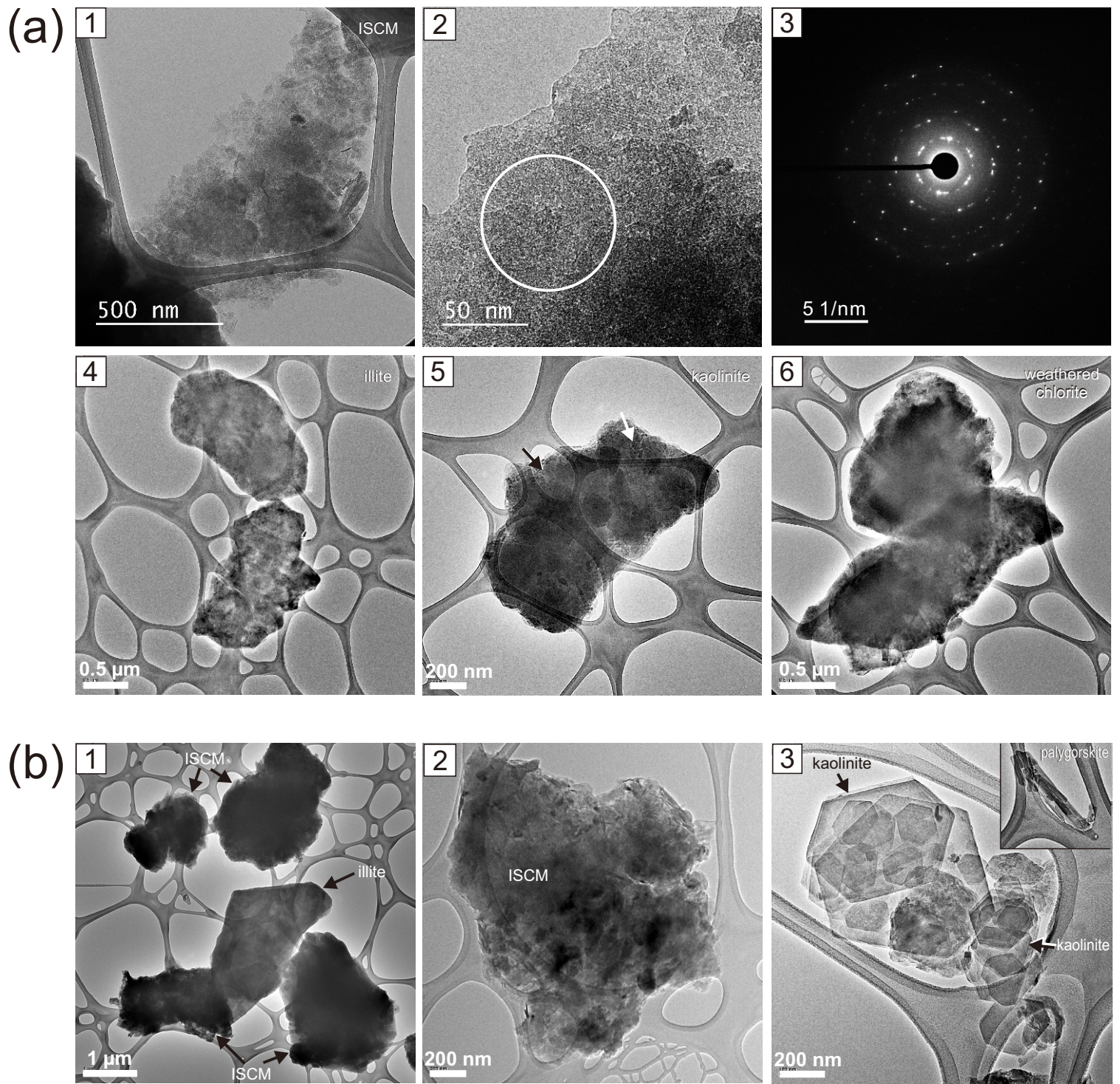


Fig. 3

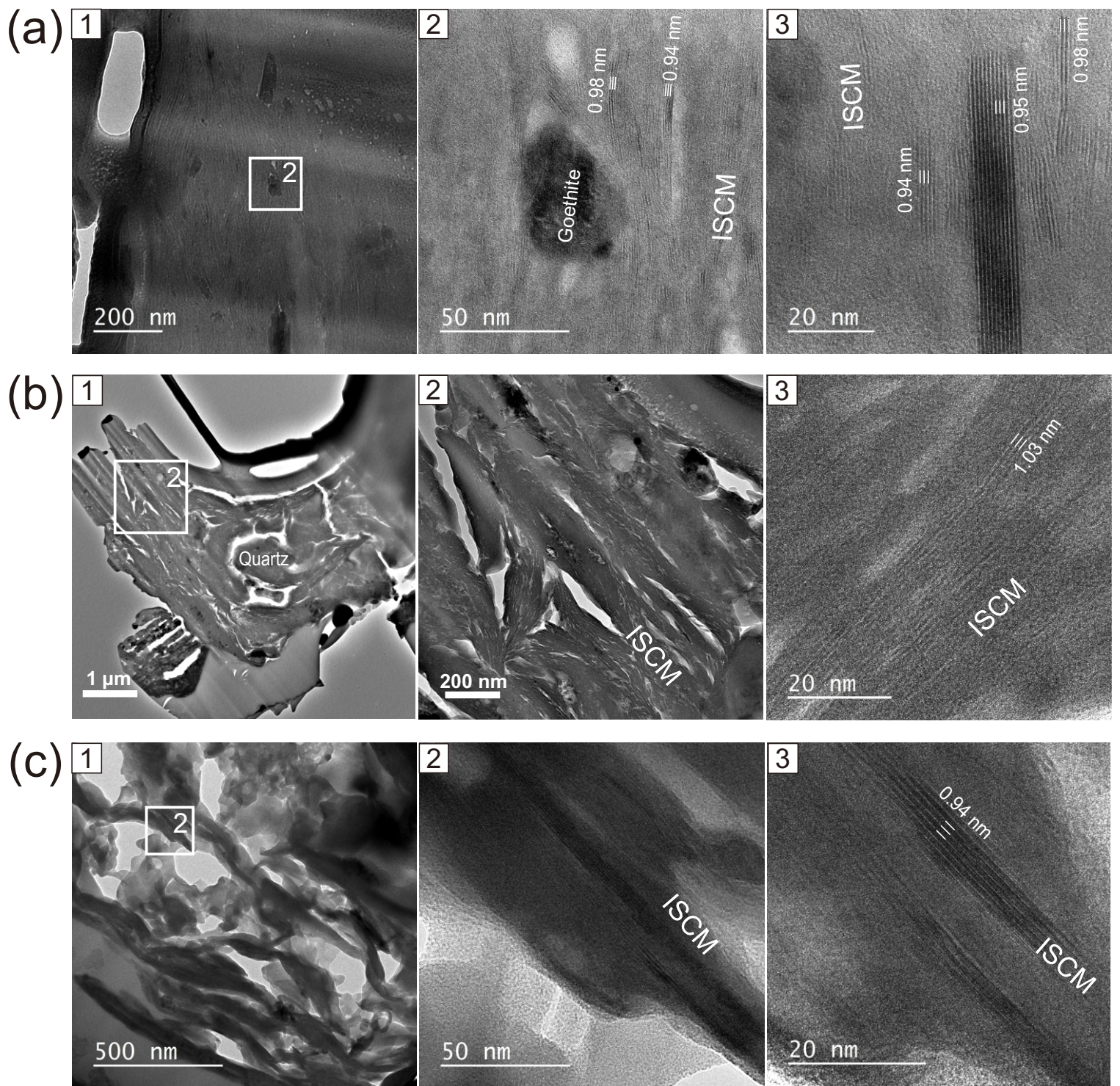


Fig. 4

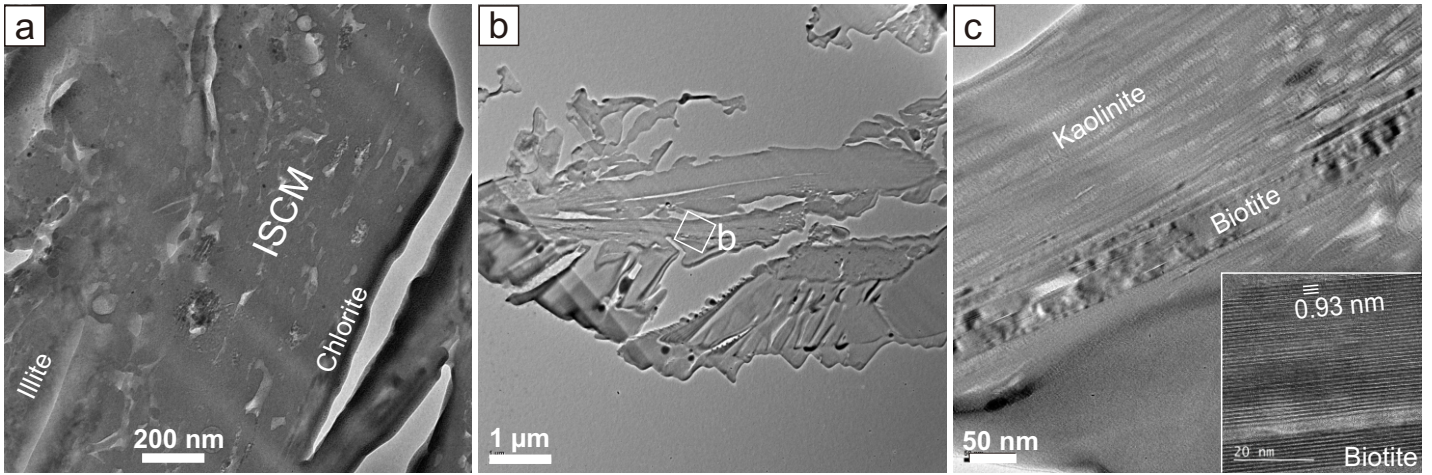


Fig. 5

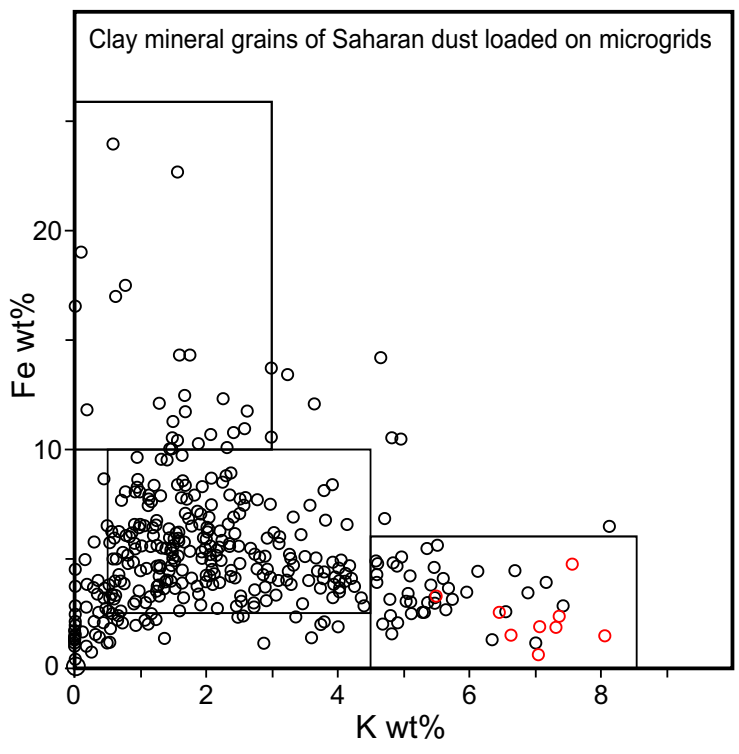
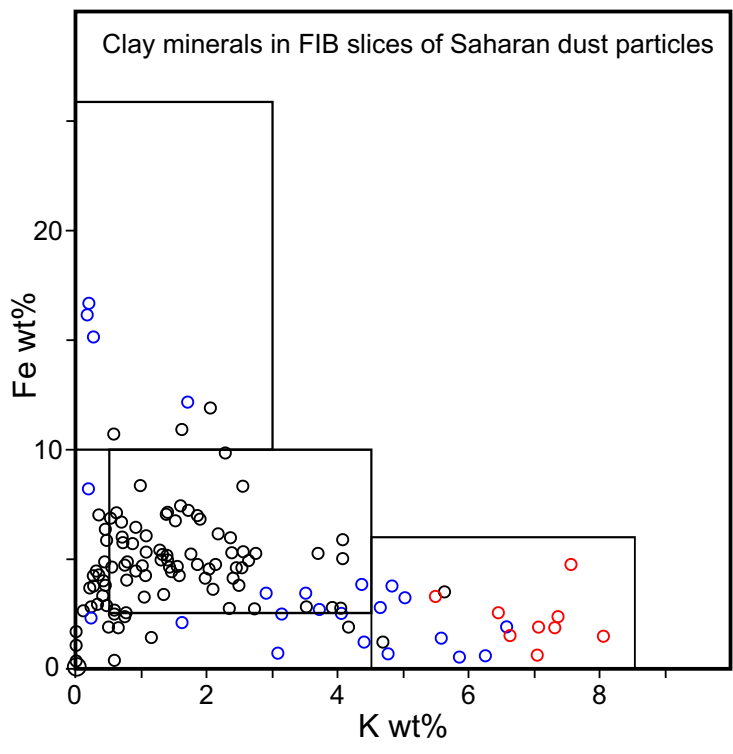
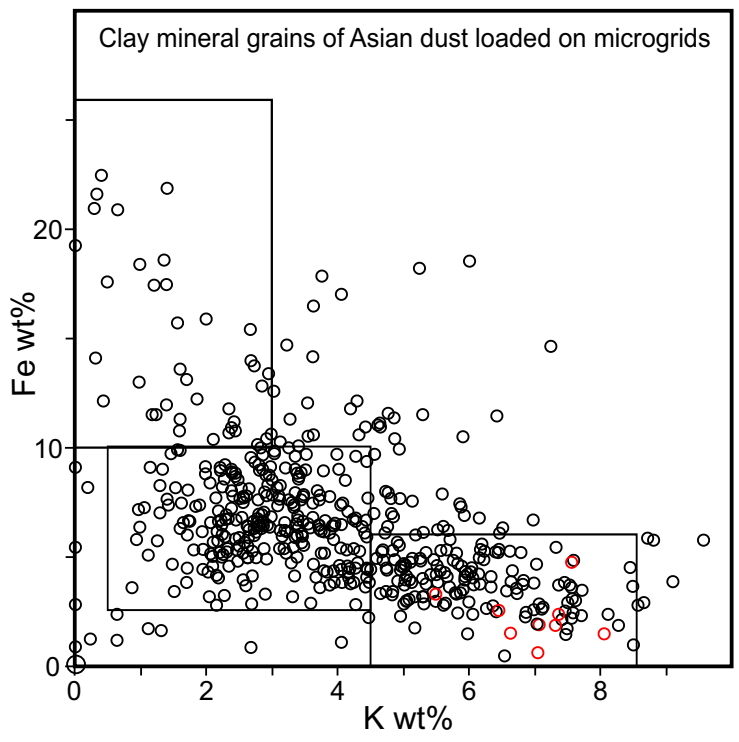
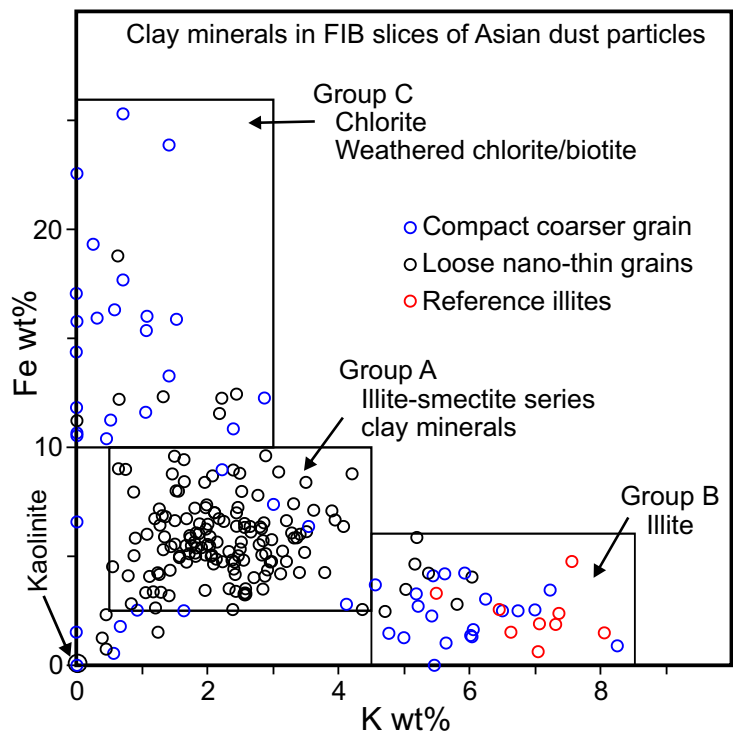


Fig. 6