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2	Chemistry and mineralogy of clay minerals in Asian and Saharan dusts and
3	the implications for iron supply to the oceans
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29 Abstract: Mineral dust supplied to remote ocean regions stimulates phytoplankton growth through 30 delivery of micronutrients, notably iron (Fe). Although attention is usually paid to Fe (hydr)oxides as 31 major sources of available Fe, Fe-bearing clay minerals are typically the dominant phase in mineral 32 dust. The mineralogy and chemistry of clay minerals in dust particles, however, are largely unknown. 33 We conducted microscopic identification and chemical analysis of the clay minerals in Asian and Saharan dust particles. Cross-sectional slices of dust particles were prepared by focused ion beam 34 35 (FIB) techniques and analyzed by transmission electron microscopy (TEM) combined with energy dispersive X-ray spectroscopy (EDXS). TEM images of FIB slices revealed that clay minerals 36 occurred as either nano-thin platelets or relatively thick plates. The nano-thin platelets included illite, 37 smectite, illite-smectite mixed layers and their nanoscale mixtures (illite-smectite series clay minerals, 38 ISCMs) which could not be resolved with an electron microbeam. EDXS chemical analysis of the 39 40 clay mineral grains revealed that the average Fe content was 5.8% in nano-thin ISCM platelets assuming 14% H₂O, while the Fe content of illite and chlorite was 2.8% and 14.8%, respectively. In 41 42 addition, TEM and EDXS analyses were performed on clay mineral grains dispersed and loaded on 43 microgrids. The average Fe content of clay mineral grains was 6.7% and 5.4% in Asian and Saharan 44 dusts, respectively. A comparative X-ray diffraction analysis of bulk dusts showed that Saharan dust 45 was more enriched in clay minerals than in Asian dust, while Asian dust was more enriched in chlorite. Clay minerals, in particular nanocrystalline ISCMs and Fe-rich chlorite are probably important 46 sources of Fe to remote marine ecosystems. Further detailed analyses of the mineralogy and chemistry 47 of clay minerals in global mineral dusts are required to evaluate the inputs of Fe to surface ocean 48 microbial communities. 49

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Primary productivity in high-nitrate low-chlorophyll (HNLC) regions of the world's ocean has been 54 an important topic because of the roles of this process in regulating atmospheric carbon dioxide levels 55 over glacial-interglacial timescales (Boyd et al., 2000, 2004; Bopp et al., 2003; Jickells et al., 2005; 56 57 Formenti et al., 2011). Iron (Fe) is a controlling micronutrient for phytoplankton growth in HNLC 58 regions, with deep winter mixing (Tagliabue et al., 2014) and long-range transport of continental aerosols (including mineral dust and anthropogenic aerosols) being key Fe sources for surface water 59 microbial communities. In addition, the dust-derived Fe supply to low-nitrate low-chlorophyll 60 61 (LNLC) regions of the oceans has been shown to control di-nitrogen fixation (Moore et al., 2009; 62 Schlosser et al., 2014). Therefore, interest has grown in recent years regarding atmospheric aerosol 63 transport, inputs to the surface ocean and the subsequent dissolution of Fe from aerosols (Desboeufs

^{52 1.} Introduction

et al., 2001; Jickells and Spokes, 2001; Hand et al., 2004; Guieu et al., 2005; Meskhidze et al., 2005;
Baker and Jickells, 2006; Buck et al., 2006; Cwiertny et al., 2008; Journet et al., 2008; Mahowald et
al., 2009; Shi et al. 2009, 2011; Aguilar-Islas et al. 2010; Baker and Croot, 2010; Buck et al., 2010; Fu
et al., 2010; Johnson et al., 2010; Paris et al., 2010; Trapp et al., 2010; Formenti et al., 2011; Rubin et
al., 2011; Takahashi et al., 2011; Sholkovitz et al., 2012).

69 Iron dissolution from aerosols has been represented by fractional Fe solubility (%Fes), and varies strongly depending on the aerosol source (Mahowald et al., 2005; Sholkovitz et al., 2012). Sholkovitz 70 et al. (2012) compiled total Fe loading (Fe_T) and %Fe_S for a global-scale set of aerosol samples, and 71 72 found a hyperbolic trend in the %Fes as a function of Fe_T, which was explained by the mixing of 73 mineral dusts of high Fe_T and low %Fe_S and anthropogenic aerosols of low Fe_T and high %Fe_S. 74 However, mineral dust is an important supply of soluble Fe to the remote ocean, particularly during 75 dust events originating from desert sources. Ito and Feng (2010) demonstrated using model simulations that, compared to Asian dust, soluble Fe from combustion sources contributed a relatively 76 small amount to the soluble Fe supply to the North Pacific Ocean during spring periods. An 77 enhancement of dust %Fes occurs during long-range transport. The factors responsible for this 78 79 increase in %Fes are not yet fully understood, and include the type of Fe-bearing minerals of dust and 80 their reactivity (Cwiertny et al., 2008; Journet et al., 2008), the photoreduction of Fe in dust particles (Siefert et al., 1994; Hand et al., 2004; Fu et al., 2010), reactions between dust particles and water 81 during cloud processing (Desboeufs et al., 2001; Shi et al., 2009), reactions with acidic gases in the 82 83 atmosphere (Zhuang et al., 1992; Meskhidze et al., 2003), and changes in particle size during longrange transport (Jickells et al., 2005; Baker and Croot, 2010). 84

85 Information regarding dust mineralogy enables the %Fes of mineral dust to be better understood, as highlighted by Cwiertny et al. (2008) after an extensive literature review. The mineralogical factors 86 87 related to %Fes include solubility, reactivity with atmospheric acids, grain size, Fe content, and the Fe 88 oxidation state of the minerals. Despite numerous articles on the measurements of %Fe₈ (Sholkovitz 89 et al., 2012 and references therein), the modeling of dust input (Mahowald et al., 2005, 2009; Johnson 90 and Meskhidze, 2013), and the determinations of the aqueous geochemistry of Fe (Baker and Croot, 91 2010 and references therein), basic data are still lacking on the properties of Fe-bearing minerals in 92 dust. Iron oxides/hydroxides are an important source of available Fe. However, the quantities of Fe (hydr)oxides in mineral dust are much lower than the quantity of Fe-bearing silicates. Mineralogical 93 94 analyses have shown that clay minerals are the most abundant phases followed by quartz, feldspars, and calcite in the long-range transported dusts (Glaccum and Prospero, 1980; Avila et al., 1997; Jeong 95 2008; Jeong et al., 2014). The crystal structures of clay minerals can accommodate a significant 96 quantity of Fe in their octahedral sites. Thus, both the clay minerals and Fe (hydr)oxides should be 97 considered when investigating their roles in Fe availability (Raiswell and Canfield, 2012). Journet et 98 99 al. (2008) reported a higher Fe solubility of clay minerals compared with Fe oxides, emphasizing the

significant role of clay minerals in Fe availability. However, in the experiments performed by Journet 100 et al. (2008), dissolution work was conducted for a limited set of clay minerals and Fe (hydr)oxides 101 obtained from rocks. Clay minerals in atmospheric dust particles have diverse origins and a wide 102 range of chemical compositions and particle sizes, depending upon the lithology, geological setting, 103 104 and physical/chemical weathering process in their source regions. The contribution of clay minerals to 105 Fe availability should be considered on the basis of the physical and chemical characteristics of the different clay mineral types in the natural dust. For example, the Fe content of clay minerals in dust 106 for modeling and dissolution experiments is typically not known. The separate determination of the Fe 107 108 content of each clay mineral species is almost impossible for bulk dust because of the agglomeration 109 of many silicate mineral grains (Falkovich et al., 2001; Shi et al., 2005; Jeong, 2008; Jeong et al., 110 2014). This is in contrast to the exact determination of Fe content in the form of Fe (hydr)oxides using 111 an established selective extraction procedure, such as the method of Mehra and Jackson (1960). However, the chemical composition of submicron grains of clay minerals can be determined by 112 energy dispersive X-ray spectrometry (EDXS) induced by an electron microbeam. EDXS attached to 113 a transmission electron microscope (TEM) is an excellent technique for the chemical and physical 114 115 characterization of individual clay mineral grains.

In this study, we report the mineral species, nanoscopic occurrence, and chemical compositions of the clay mineral grains in individual Asian and Saharan dust particles obtained by the combined application of TEM and EDXS. Analyses of clay minerals mixed in particles were conducted on cross-sectional slices of individual dust particles prepared by focused ion beam (FIB) milling. Clay mineral grains loaded on microgrids by a conventional procedure were also analyzed by TEM and EDXS. We furthermore present the mineral compositions of bulk dusts obtained by X-ray diffraction (XRD) analysis.

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125 2. Dust samples and methods

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Asian dust events were observed on 17 March 2009, 20 March 2010, 31 March 2012, and 18 127 March 2014 in Korea. The dust outbreaks and subsequent migration of the Asian dusts were traced 128 using dust index images derived from satellite remote sensing, which indicated the source of the four 129 dust events in the Gobi Desert of southern Mongolia and northern China (30°-46°N, 90°-110°E) and 130 their migration to the east across Korea. Details of the synoptic conditions during the dust outbreaks 131 and migration of these events were provided in Jeong et al. (2014). The peak concentrations of 132 particulate matter less than 10 μ m in diameter (PM₁₀) were 428, 1788, 220, and 378 μ g/m³ in the 2009, 133 2010, 2012, and 2014 dust events, respectively (Korea Meteorological Administration, 2014). 134

135 The Asian dusts were sampled using a Thermo Scientific high-volume total suspended

particulate (TSP) sampler fitted with Pallflex teflon-coated borosilicate glass-fiber filters (8×10 in.) or Whatman[®] No. 1441-866 cellulose filters. The 2012 dust was sampled on a mountain peak at Deokjeok Island (190 m above sea level, $37^{\circ}13'59''N$, $126^{\circ}08'57''E$) off the western coast of Korea for 24 h (09:00 31 March–08:00 01 April) at a flow rate of 250 L min⁻¹. The 2009, 2010, and 2014 Asian dusts were sampled using the same procedure on the roof of a four-storey building at Andong National University ($36^{\circ}32'34''N$, $128^{\circ}47'56''E$) over a 12–h period (09:00–21:00 17 March 2009, 20:00~08:00 19 March 2010, and 10:00–22:00 18 March 2014).

Saharan dust samples were collected at Cape Verde Atmospheric Observatory on the island of São Vicente, Cape Verde ($16^{\circ}51'50''N$, $24^{\circ}52'03''W$) in the eastern North Atlantic Ocean. Dust was sampled on Sterlitech polypropylene membrane filters (47 mm diameter, 0.4 µm pore size) using a low volume aerosol sampler installed at the top of a 30-m tower in the period from 07 November 2007 to 14 March 2008 (Carpenter et al., 2005). The total volume of samples for the individual filters ranged from 50–100 m³ at flow rates of 20–30 L min⁻¹ for a period of 3–5 days.

X-ray diffraction (XRD) analysis was conducted to obtain information on the mineral 149 composition of bulk samples using a Rigaku Ultima IV diffractometer. A portion of the filter was cut 150 and immersed in methanol in a 10-mL glass vial. The filter was agitated in an ultrasonic bath to 151 remove dust particles from the filter. During the agitation, dust particles were disaggregated into clay 152 minerals and other silicate grains. The suspension was sieved through 270 mesh sieve to remove 153 cellulose fibers and dried on the glass plate. Then, dust was collected by razor blade. Several 154 155 milligrams of dust samples (2–10 mg) were loaded on the 3 mm \times 4 mm cavity of an aluminum plate. The analytical conditions were 60 s counting per 0.03° step in the scan range of 3-65° 20, Cu Ka 156 radiation, and 45 kV/35 mA. Because the quantity of the samples was small, the patterns obtained 157 were not suitable for precise quantification. Thus, the compositional analysis was deemed semi-158 quantitative. Mineral compositions were derived using a SIROQUANT software package (version 4). 159 160 Since intensity loss was significant in the lower angle region due to the small sample size irradiated with X-rays, the high angle region $(24.5-65^{\circ} 2\theta)$ was used for the simulation of the observed XRD 161 162 pattern. After the XRD analysis of the bulk dusts, the samples were subjected to ethylene glycol and heat treatments for detailed identification of clay minerals. The samples were wetted with water, 163 smeared, and dried on a glass slide, and subsequently treated with ethylene glycol vapor at 60°C in a 164 165 desiccator for 2 days followed by heating at 350°C for 30 min.

Electron-transparent thin slices of dust particles (2009, 2012, and 2014 Asian dusts; 28–31 Dec, 2007, 18–23 Jan, 23–26 Feb, 29 Feb–04 Mar, 12–14 Mar, 2008 Saharan dusts) were prepared for TEM analysis of clay minerals. Hereafter, the term "particle" refers to individual solid objects suspended in the atmosphere, while the term "grain" refers to the constituents of the particles. Thin slices (ca. 100 nm in thickness) of about ca. 6 μ m × 6 μ m size were cut from dust particles using a SII NanoTechnology SMB050TB and a JEOL JIB4601F FIB instrument for Asian and Saharan dusts. Prior

to using the FIB, the dust particles were transferred onto adhesive carbon film and characterized using 172 173 a JEOL JSM 6700F field emission gun scanning electron microscope (SEM) equipped with an Oxford EDXS system at 5 kV acceleration voltage and 8 mm working distance after being coated with 174 platinum for electrical conductivity. Dust particles were selected for FIB work on the basis of 175 mineralogical characteristics identified by SEM-EDXS analysis as reported in Jeong (2008) and Jeong 176 177 et al. (2014). Individual Asian dust particles could be selected and prepared as thin slices by FIB milling because the particles were sufficiently separated from each other on the filters. However, the 178 Saharan dusts considered in this study were highly concentrated and aggregated on the filters. Thus, 179 180 the original atmospheric particles for FIB milling could not be identified with confidence. However, 181 the purpose of the TEM analysis undertaken in this study was not to reveal the structures of individual 182 original dust particles as reported by Jeong and Nousiainen (2014), but to analyze the chemistry and 183 mineralogy of clay mineral grains. Thus, we prepared thin slices using FIB milling from the Saharan 184 dust samples.

185 Clay-mineral grains loaded on microgrids were also prepared for investigation of Asian (2009, 186 2010, 2012, and 2014) and Saharan (07–09 Nov, 13–14 Dec, 28–31 Dec 2007, 18–23 Jan, 29 Feb–04 187 Mar, 12–14 Mar 2008) dust. The clay mineral grains suspended in methanol were loaded on to 200-188 mesh Cu microgrids covered with a carbon-coated lacey formvar support film by immersing the 189 microgrids in the suspension with tweezers and subsequent drying on filter paper. Every electron-190 transparent grain encountered during the movement of stage was analyzed by EDXS.

191 The TEM instruments used in this study were a JEOL JEM 2100F field emission gun STEM at 200 kV, a JEOL JEM 3010 TEM for high-resolution imaging, and a JEOL JEM 2010 TEM equipped 192 with an Oxford ISIS EDXS system. Minerals in the FIB slices were identified on the basis of lattice 193 fringe images, electron diffraction, and EDXS. General chemical formulas of minerals identified in 194 195 this study are given in the Supplementary Table 1. Digital images of the microstructures and lattice 196 fringes were recorded using a Gatan digital camera, and processed with a Gatan DigitalMicrograph®. To obtain the elemental compositions of clay minerals, the X-ray counts of Si, Al, Fe, Mg, Ti, K, and 197 Ca were converted to weight % (wt%) of the elements using the quantification procedures given by 198 199 Cliff and Lorimer (1975). k-factors of the elements for the conversion were obtained from an analysis 200 of the FIB slices prepared from biotite and plagioclase of known composition occurring in the 201 Palgongsan granite (Jeong, 2000).

TEM-EDXS analysis often requires a small beam size down to ~50 nm to determine the chemical composition of clay minerals that are mixed with other minerals. The X-ray generation volume is small due to the thin nature of the FIB slices (~100 nm) and small analysis area. High quality quantitative analysis requires at least 10 000 counts of each peak for the ideal specimens of large thin and resistant phases (Williams and Carter, 2009). However, such an ideal analytical condition was not obtained for the clay mineral grains, which were very sensitive to the electron beam 208 because of their structural water, disorder, and nanocrystallinity. To minimize electron beam damage 209 of the clay mineral grains, the electron dose was reduced by setting the spot size to four. X-rays of Si, Al, Fe, Mg, Ti, K, and Ca were counted for 100 s. For clay grains loaded on the microgrids, 0.5–2-µm 210 grains produced sufficient X-ray photons for analysis, while submicron thin clay grains (ca. $<0.5 \mu m$) 211 212 did not. The total X-ray counts of those elements in ISIS EDXS system were ca. 30 000 for the 213 analysis of clay mineral grains loaded on the grid, and ca. 15 000 for the analysis of FIB specimens. The detection limits for these elements were ca. 0.1 wt%. For Asian dust samples, we conducted 206 214 analyses of clay minerals in the 50 FIB slices prepared from 50 dust particles, and 514 analyses of 215 216 clay mineral grains loaded on the microgrids. For Saharan dust, we conducted 116 analyses in the 10 217 FIB slices prepared from 10 particles, and 356 analyses of clay mineral grains loaded on microgrids. 218 In the calculation of elemental wt%, the total H₂O content of clay minerals was assumed to be 14 wt%, 219 which is the average H₂O content of illite and smectite provided in Table II and Table XXVII of Weaver and Pollard (1975). 220

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222 3. Results and discussion

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224 3.1. Occurrence of clay minerals in dust particles

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226 3.1.1. Asian dust

227 SEM images reveal micron-size platy grains of clay minerals on the surface of Asian dust particles (Figs. 1a-1, b-1, and c-1). TEM images of cross-sectional FIB slices show that clay mineral plates coat 228 the surface of quartz (Figs. 1a-2, a-3, b-2, and b-3), or are agglomerated (Fig. 1c-2 and 3). Clay 229 mineral grains were classified into two groups: (1) rather thick, compact grains of illite (Figs. 1a-2, a-230 231 3, and b-2), chlorite (Fig. 1b-2), and kaolinites (not shown here); and (2) loose chaotic, subparallel 232 nano-thin platelets (Figs. 1a-2, a-3, b-2, b-3, c-2, and c-3), which display lattice fringes of ca. 1.0 nm 233 (Figs. 1b-3 and 1c-3) and contained the interlayer cations K and Ca. The nano-thin platelets were 234 arranged in subparallel patterns to form a fine matrix with the inclusions of thick and compact clay minerals (Figs. 1a-2, a-3, and b-2). The loose, curved lattices (Figs. 1b-3 and 1c-3) of nano-thin plates 235 are in contrast to the compact, straight lattices of larger plates of illite (Fig. 2a), chlorite (Fig. 2b), and 236 237 kaolinite (Fig. 2c).

Larger compact grains of smectite were not found in the TEM observations of FIB slices in this study. However, smectite has previously been identified by the XRD analysis of Asian dust treated with ethylene glycol (Jeong, 2008). Thus, smectite is expected to be present in the form of nano-thin platelets of smectite or illite–smectite mixed layers. Nano-thin clay mineral platelets displaying 10-Å lattice fringes were the major form of the clay minerals in the Asian dusts. Their varying K and Ca contents suggest a close mixture and mixed-layering of illite and smectite because K is predominantly

assigned to the cation fixed in the interlayer of illite, while Ca is assigned to the exchangeable cation 244 245 of smectite (Jeong et al., 2004). The mixtures and mixed-layering of illite and smectite unit layers are 246 common in natural geological environments as a result of chemical weathering in soils and the low temperature diagenesis of sediments (Weaver, 1989; Środoń, 1999). However, even using the lattice-247 fringe imaging, unambiguous distinction was not possible between illite and smectite unit layers 248 mixed at the nano scale because hydrated smectite (unit layer thickness of 1.4-1.6 nm) had become 249 dehydrated and had contracted to a unit layer of ca. 1.0 nm thickness under the high vacuum in the 250 TEM chamber. Thus, the nanoscale mixtures of nano-thin platelets with ca. 1.0 nm lattice fringes and 251 252 containing K and Ca in varying ratios are collectively referred to here as illite-smectite series clay 253 minerals (ISCM) which are probably mixtures/mixed-layers of illite and smectite in varying ratios. 254 Although thick smectite grains were not observed, illite occurred as thick compact grains (Figs. 1a-2, 255 a-3, and b-2) as well as nano-thin ISCMs. Chlorite also occurred as nano-thin plates (Fig. 1b-3) in a 256 close association with ISCMs.

TEM images of the clay mineral grains dispersed on the microgrids are presented in Fig. 3. 257 Micron-size ISCM plates have diffuse outlines and granular microtextures due to nano-size subgrains 258 259 (Figs. 3a-1 and a-2), which are compared to the subparallel group of nano-thin ISCM plates observed in the cross-sectional FIB slices (Fig. 1). The electron diffraction pattern shows turbostratic stacking 260 of nano-size subgrains (Fig. 3a-3). However, the discrete illite grain in Fig. 2a-4 has a platy 261 morphology with a clear grain boundary similar to the large compact grains of discrete illite in Figs. 262 263 1a-2, a-3, and b-2. The morphology and microtextures of kaolinite grains (Fig. 3a-5) are similar to those of ISCM grains, with diffuse outlines and granular microtextures due to the nano-size subgrains. 264 265 Weathered chlorite plates consist partly of subgrains (Fig. 3b-6).

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267 3.1.2. Saharan dust

The TEM images of FIB slices prepared from three clay-rich particles are presented in Fig. 4. The particles are dense (Figs. 4a-1) or porous (Figs. 4b-1 and c-1) agglomerates of nano-thin platelets that are arranged in subparallel anastomosing patterns (Figs. 4a-2, b-2, and c-2). Lattice fringes of ca. 1 nm indicate the common occurrence of ISCMs (Figs. 4a-3, b-3, and c-3). Larger compact grains of illite and chlorite are found in the ISCM matrix (Figs. 5a). Compact dense biotite grain grades to kaolinite as shown in Figs. 5b and c. Biotite kaolinitization is a common process in the weathering environment (Dong et al., 1998; Jeong, 2000; Jeong and Kim, 2003).

TEM images of the clay mineral grains dispersed on the microgrids show illite plates with clear outlines (Fig. 3b-1) and ISCMs with diffuse outlines (Fig. 3b-2). The kaolinite plates have a hexagonal shape (Fig. 3b-3), indicating the higher crystallinity of kaolinite in Saharan dust, which is in contrast to the irregular kaolinite plate with a diffuse outline identified in Asian dust (Fig. 3a-5). Another feature of the Saharan dust distinguishing it from the Asian dust is the occurrence of elongate

grains of palygorskite (inset in Fig. 3b-3).

3.2. Fe content of the clay minerals

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- 285 3.2.1. Asian dust

EDXS analyses were carried out for two types of clay minerals identified from the TEM analysis 286 of FIB slices: subparallel groups of thin platelets and individual thick grains. Undertaking EDXS 287 analysis in a selective manner for each nano-thin plate was impossible due to the limit of the 288 289 minimum beam size (ca. 50 nm) and the low X-ray counts from the reduced electron dose used to 290 minimize damage by the beam. The Fe content, assuming 14 wt% of H₂O, was plotted against K 291 content (Fig. 6). The data points could be categorized into three groups. Group A is clustered in the region bound by ca. 0.5–4.5 wt% K and ca. 2.5–10 wt% Fe. Group A data were obtained mostly from 292 the subparallel groups of thin plates. Groups B and C contained data for thick grains: (B) high K (ca. 293 4.5-8.5 wt%)-low Fe (ca. 0-6 wt%) and (C) low K (ca. 0-3 wt%)-high Fe (ca. 10-26 wt%). The 294 295 TEM-EDXS data from the FIB slices of Asian dust particles are summarized in Table 1.

Group A was considered to represent ISCMs whose intermediate K content indicates a mixture of 296 nano-thin platelets of illite, smectite, and their mixed-layers. The average ISCM composition of group 297 A is $K_{0.25}Ca_{0.09}(Al_{1.28}Fe^{3+}_{0.45}Mg_{0.44}Ti_{0.01})(Al_{0.50}Si_{3.50})O_{10}(OH)_2$. The characterization of the nanoscale 298 299 mixing and mixed layering of the ISCMs can be undertaken by TEM analysis of ISCMs treated with alkyl ammonium ions, which selectively expand smectite layers even under the high vacuum of the 300 TEM chamber (Lagaly, 1994; Jeong et al., 2004). However, the method will require further 301 development before it can be applied to dust particles. The group B was considered to represent 302 discrete illite. The average structural formula of discrete illite in dust particles is 303 $K_{0.64}Ca_{0.03}(Al_{1.61}Fe^{3+})_{0.22}Mg_{0.22}Ti_{0.01})(Al_{0.66}Si_{3.34})O_{10}(OH)_2$. This formula of group B are consistent with 304 305 values for the reference illites retrieved from the literature (mean of 24 illite analyses in Table III, 306 Weaver and Pollard, 1975; Table 1.7, Meunier and Velde, 2004) (Fig. 6). The representative formula of reference illites reported in the literature is $K_{0.77}Ca_{0.01}(Al_{1.64}Fe_{0.17}Mg_{0.20}Ti_{0.00})(Al_{0.64}Si_{3.36})O_{10}(OH)_2$. 307 The relative proportions of illite and smectite components could be estimated from the K contents, 308 because K exists mostly as a fixed cation in the interlayer of illite (Jeong et al., 2004). The relative 309 310 proportion of illite and smectite components in ISCMs is ca. 35:65 based on the K contents of the reference illite (0.77 K) and ISCMs (0.25 K). The proportion of smectite components is higher than 311 312 that of the illite component in ISCMs. Group C could be assigned to the chlorite or K-depleted biotite (vermiculite or biotite-vermiculite mixed-layer) formed during the weathering in the source soils. The 313 average Fe contents of the ISCMs (5.8 wt%, group A) are higher than those of illite (2.8 wt%, group 314 B) and lower than those of chlorite (14.8 wt%, group C) (Table 1). One data point plotted near the 315

origin (0 wt% of K and Fe) indicates the presence of kaolinite. Only three kaolinites were analyzed by

317 EDXS, detecting no Fe.

318 The EDXS data for the clay mineral grains loaded on the microgrids, assuming 14 wt% of H₂O are presented in Fig. 6 and Table 1. Unlike the grains in the FIB slices, the EDXS analyses of the clay 319 mineral grains on the microgrids could not distinguish between mineralogical types in the mixture. 320 321 However, the distribution pattern of the data is consistent with that of the FIB slices (Fig. 6). The data indicate a slightly higher K and Fe contents, compared to the data for FIB slice specimens. This was 322 likely to originate from the mixture of several clay mineral types in the clay grains loaded on the 323 324 microgrids, while clay mineral types could be identified in the FIB slice and separately analysed by 325 EDXS. In addition, this analysis cannot avoid the ultrafine grains of iron (hydr)oxide phases mixed in 326 the clay grain. Many data points were plotted on the regions of ISCMs (n = 266, 52%) and discrete 327 illite (n = 132, 26%), indicating that the clay minerals (<2 µm) in the dusts are dominated by ISCMs and discrete illite with a minor presence of chlorite. The average Fe content of Asian dusts was 7.3 328 wt% in 2009 (*n* = 159), 6.7 wt% in 2010 (*n* = 100), 6.5 wt% in 2012 (*n* = 105), and 6.1 wt% in 2014 329 (n = 150). The average Fe content of all the analyzed grains (n = 514) was 6.7 wt%. The average 330 331 chemical composition of the whole data set (n = 514) was Si 21.4, Al 10.7, Fe 6.7, Mg 2.7, Ti 0.2, K 3.9, and Ca 0.7 wt% (Table 1). Clay mineral grains from Chinese loess samples, which formed the 332 deposits of ancient Asian dust, have been analyzed by the TEM-EDXS of grains loaded on microgrids 333 (Jeong et al., 2008, 2011). The average chemical composition of the clay minerals in loess samples 334 335 was Si 21.3, Al 12.3, Fe 6.1, Mg 2.7, Ti 0.1, K 2.6, and Ca 0.5 wt%, which is remarkably consistent with the composition of our Asian dust samples. Fe that is not incorporated in clay minerals is hosted 336 337 in Fe (hydr)oxides such as magnetite, goethite, and hematite, and coarse Fe-rich silicate mineral grains such as amphibole, epidote, chlorite, and biotite. Clay-sized chlorite can be distinguished from 338 339 coarse-grained chlorite, as large flakes of chlorite exceeding 10 µm in length are commonly found in 340 Asian dust.

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342 3.2.2. Saharan dust

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EDXS data of clay mineral grains on the microgrids (n = 356) and FIB slices (n = 116) are presented 344 in Fig. 6 and summarized in Table 1. The distribution patterns of data shows similarity to that of the 345 346 Asian dust, indicating the presence of ISCMs, discrete illite, and chlorite/biotite. A remarkable feature of the Saharan dust that differs from Asian dust is that kaolinitic clay mineral grains are more 347 abundant in Saharan dust particles than in Asian dust particles, while chloritic grains are more 348 abundant in Asian dust (Fig. 6). Thus, compared with the chemical composition of Asian dust, Al was 349 slightly enriched in Saharan dust, while Fe and K were slightly depleted. 63% (n = 225) of the clay 350 mineral grains on the microgrid was ISCMs. The average chemical composition of clay mineral grains 351

from the whole data set of microgrid samples assuming 14 wt% of H₂O is Si 22.4, Al 11.9, Fe 5.4, Mg

2.5, Ti 0.2, K 2.2, and Ca 0.5 wt% (Table 1). The average chemical composition of clay minerals in

Saharan dust is not very different from that in Asian dust. The typical chemical composition of kaolinite is $Al_2Si_2O_5(OH)_4$, but with some Fe replacing Al in octahedral sites. The average Fe content

- from the analyses of 14 kaolinite grains is 1.7 wt% in Saharan dust.
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- 358 3.3. Mineralogical properties of bulk dust
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360 Mineral compositions determined by XRD analysis are presented in Table 2. Due to the small quantity 361 of samples and low X-ray counts, the data in Table 1 are at best semi-quantitative. However, 362 mineralogical differences are evident between Asian and Saharan dusts. Although the quantity of each 363 mineral has a large uncertainty, the sum of the mineral groups is more reliable. The mineral compositions of three Asian dusts were compared to the compositions determined by single particle 364 analysis using SEM and EDXS (Table 2). Despite the differences in analytical methods and their 365 semi-quantitative nature, the mineral compositions determined by both methods were well matched, 366 367 supporting the reliability of the mineral composition data for small bulk dust samples presented in this study. ISCMs and discrete thick illite grains could not be distinguished in the XRD method adopted in 368 this study. Thus, sum of ISCMs and discrete illite is presented in Table 2. 369

A common mineralogical feature in both Asian and Saharan dusts is for the clay minerals to be 370 371 dominated by ISCMs and illite. This is consistent with TEM-EDXS analysis of clay mineral grains on the microgrids (Fig. 6). A higher total clay mineral content is a strong mineralogical feature of 372 373 Saharan dusts, while the quartz and feldspar contents are higher in Asian dust. Of the clay minerals, chlorite contents were higher in Asian dusts. XRD analysis of the preferentially oriented specimens of 374 both the Asian and Saharan dusts showed 17Å peaks of smectite. Although we did not undertake a 375 quantitative analysis, the shoulder near the 10.4 Å peak to the high angle side of the illite (001) peak, 376 377 which did not disappear following heat treatment at 350°C, indicated the occurrence of palygorskite

as reported by Avila et al. (1996).

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380 4. Clay minerals as iron carrier

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382 4.1. ISCMs

The TEM-EDXS analyses of FIB slices showed that the Fe contents of ISCMs, illite, and chlorite in Asian dust particles are 5.8%, 2.8%, and 14.8 %, respectively. Although the average Fe content of ISCMs is lower than chlorite, it is much higher than illite. The contribution of ISCMs to the Fe released by dust is important compared with other clay minerals because they are abundant clay minerals in both the Asian (52%) and Saharan (63%) dusts, as shown in Table 1 and Fig. 6.

The dissolution of Fe from silicate minerals depends largely upon physical and chemical factors 388 389 such as the crystal structure, Fe content, crystallinity, and the surface area of the minerals (Lasaga, 1995; Nagy, 1995). ISCMs are nano-thin illite, smectite, and mixed-layered illite-smectite. Thus, the 390 large surface area of Fe-rich ISCMs may lead to an enhanced release of Fe. Baker and Jickells (2006) 391 392 suggested that the primary control on Fe solubility is the surface area to volume ratio of dust particles, which decreases during long-range transport due to the preferential removal of larger particles. Our 393 observations confirm the importance of smaller particles, and in particular probably the higher ISCM 394 395 content of long-range transported particles which may make a large contribution to Fe release.

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397 4.2. Chlorite

398 Chlorite has received little attention in previous studies. Fe-rich chlorite is easily decomposed by acids (Ross, 1969; Kodama and Schnitzer, 1973; Brandt et al., 2003; Lowson et al., 2005). In Chinese 399 loess, chlorite is weathered much faster than other silicate minerals (Jeong et al., 2011). Chlorite 400 should be considered in studies of Fe dissolution from mineral dust. Chlorite content of Asian dusts 401 402 was ca. 4-6% as determined using single particle analysis (Jeong et al., 2014) and 5-7% in XRD analysis (this study). In Saharan dusts, the chlorite content was lower at ca. 3% as determined by 403 XRD analysis (this study). Although the chlorite content in dust is much lower in comparison to 404 ISCMs, the release of Fe from chlorite is likely to be significant because its Fe content is 3–6 times 405 406 higher than in the ISCMs and illite. Takahashi et al. (2011) suggested that chlorite could possibly be transformed into soluble ferrihydrite, after cloud processing during long-range atmospheric transport. 407 Although we did not determine the oxidation state of Fe, the structural Fe present in chlorite is known 408 to be dominated by Fe(II) (Newman, 1987). In contrast, the structural Fe in the fine ISCMs 409 410 (dioctahedral illite, smectite, and their mixed layers) is dominated by Fe(III) (Weaver and Pollard, 411 1975; Newman 1987). Thus, trioctahedral clay minerals including chlorite may be an important 412 source of soluble Fe(II). The most bioavailable Fe for microbial organisms is thought to be Fe(II) 413 (Shaked et al., 2005; Baker and Croot, 2010). Fe(II) dissolved from dust is commonly considered to be derived from the photochemical reduction of Fe(III). However, Cwiertny et al. (2008) suggested 414 that Fe(II)-substituted aluminosilicates may be an important alternative source of soluble Fe(II), 415 particularly after the reaction of dust with atmospheric acids. Chlorite grains occur in a diverse size 416 417 range from individual large flaky particles of several micrometers (Jeong, 2008; Jeong and Nousiainen, 2014) to nano-thin platelets mixed with nano-thin ISCMs (Fig. 2b-3). Nano-thin chlorite 418 plates are probably most effective in releasing Fe from dust particles following reaction with 419 420 atmospheric acids.

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- 423 5. Implications to the evaluation of iron supply to oceans
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425 Global dust distributions and oceanic Fe deposition are modeled assuming the Fe content of bulk dust being equivalent to the average Fe content of the Earth crust (3.5%) (Mahowald et al., 2005, 2009). A 426 recent modeling study on Fe supply to the oceans progressed to include dust mineralogy and Fe 427 428 content of clay minerals (Johnson and Meskhidze, 2013). Mineral compositions were predicted from available soil data (Claquin et al., 1999; Nikovic et al., 2012; Journet et al., 2014). However, 429 mineralogical and chemical compositions of world soils are certainly variable and poorly constrained. 430 431 Particularly, the analytical data on the chemical composition (including Fe) of fine clay minerals in 432 local soils are hard to find in literature, because the analytical measurements are as difficult as the 433 analysis of clay minerals in dust. The modeling study on the Fe supply to global oceans by Johnson 434 and Meskhidze (2013) highlighted the importance of mineralogical data of dust in the deposition of dissolved Fe to the global oceans. They adopted mineral compositions of dust derived from a soil 435 database of Nikovic et al. (2012) and Fe content of a commercial illite reported by Paris et al. (2011). 436 However, their model sensitivity analysis showed large variations of dissolved Fe fluxes to the oceans, 437 438 associated with the uncertainty in the dust mineralogy and Fe content of constituent minerals. They emphasized that realistic data of dust mineralogy and Fe content within individual Fe-containing 439 minerals are essential for the improvement of the description of the Fe biogeochemical cycles in 440 climate models. Although the chemical analysis of clay minerals in individual dust particles as carried 441 442 out in this study is challenging, detailed analysis of representative global dust samples is likely an 443 efficient approach to obtain information on Fe mineralogy in dust. Long-range transported dust has 444 the average mineralogical and chemical properties of fine soil particles lifted from the wide source regions of varying soil mineralogy. In addition, the mineral composition and chemistry in dust from 445 446 the major source regions do not show significant inter-event and annual changes (Jeong, 2008). 447 Further analytical works for dust samples transported over long distances from major source regions 448 will allow the establishment of realistic mineralogical database for the evaluation of Fe inputs to the 449 remote ocean.

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- 451 6. Summary and Conclusions
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453 Mineral composition of bulk dust samples, Fe content of constituent minerals, and their grain sizes 454 form essential data for the evaluation of Fe supply to remote surface oceans. Particularly, the 455 properties of clay minerals are important because of their abundance in mineral dust and high Fe 456 contents, relative to other silicates. We presented for the first time mineralogical and chemical data of 457 clay minerals in individual dust particles from several Asian and Saharan dust samples, following 458 analyses by TEM and EDXS. The total clay content of Asian dusts determined by XRD analysis was 459 lower than that of Saharan dusts. TEM analysis of thin cross-sectional slices of the dust particles revealed that nano-thin platelets of ISCMs (illite, smectite, and illite-smectite mixed layers) were 460 most abundant in association with illite, chlorite, and kaolinite occurring as thicker plates. Asian dusts 461 were enriched with chlorite relative to Saharan dust, while Saharan dusts were relatively enriched 462 with kaolinite. Kaolinite in Saharan dust occurred as hexagonal plates that were better crystallized 463 than in Asian dust. The average Fe content of the ISCMs in Asian dusts as determined by EDXS was 464 5.8% assuming 14% H₂O, while the contents of illite and chlorite were 2.8% and 14.8%, respectively. 465 The average Fe content of the EDXS data of the clay mineral grains dispersed and loaded on the 466 467 microgrids was 6.7% and 5.4% in Asian and Saharan dusts, respectively. The Fe dissolution from clay minerals is thought to be enhanced by the nanocrystallinity of ISCMs, and furthermore, Fe-rich 468 469 chlorite susceptible to acids may enhance dissolution of Fe. The establishment of realistic 470 mineralogical data sets from global dust samples is important to reduce the uncertainty in the prediction of iron inputs to oceans using geochemical and meteorological models. 471

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677	Figure Captions
678	
679	Fig. 1. Occurrence of clay minerals in three Asian dust particles (a, b, c). Panel 1 in each particle
680	consists of two SEM images of the original particle (low and high magnifications). Panels 2 and
681	3 are TEM images of the cross-sectional FIB slice prepared from the particle in panel 1.
682	
683	Fig. 2. Straight and coherent lattice fringe images of larger compact grains of illite (a), chlorite (b),
684	and kaolinite (c) in Asian dust particles. TEM images of FIB slices.
685	
686	Fig. 3. TEM images of clay mineral grains loaded on the microgrid. (a) Clay mineral grains from
687	Asian dusts, showing ISCM (panel 1), discrete illite (panel 4), kaolinite (panel 5), and chlorite
688	(panel 6) grains. Panel 2 was magnified from Panel 1. Panel 3 is an electron diffraction pattern of
689	the circled area in panel 2. (b) Clay mineral grains from Saharan dusts showing illite (panel 1),
690	ISCM (panels 1 and 2), hexagonal kaolinite (panel 3) and elongate palygorskite (panel 3) grains.
691	
692	Fig. 4. Occurrence of clay minerals in three clay-rich Saharan dust particles (a, b, c). Panel 1 in each
693	particle is a low magnification TEM image of the cross-sectional FIB slice prepared from the
694	original particle. Panel 2 is a TEM image magnified from the TEM image in panel 1. Panel 3 is
695	the lattice fringe image.
696	
697	Fig. 5. Occurrence of larger compact grains of illite (a), chlorite (a), kaolinite (b, c), and biotite (b, c)
698	in Saharan dust particles.
699	
700	Fig. 6. Plots of Fe vs. K from the TEM-EDXS analyses of clay minerals in the cross-sectional FIB
701	slices of dust particles and clay mineral grains loaded on the microgrids. The boxes indicating
702	groups A, B, and C are drawn on the basis of TEM microtextures and EDXS data for FIB slices
703	of Asian dust particles.
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Table 1. Summary of average chemical compositions of clay mineral grains (wt%) analysed by TEM EDXS. H_2O content of the clay minerals was assumed as 14%.

	Asian dust						Saharan dust	
	Microgrid	FIB (whole)	FIB (Group A, ISCMs)	FIB (Group B, illite)	FIB (Group C, chlorite)	Microgrid	FIB	
n	514	206	140	27	21	356	116	
Si	21.4	21.7	22.7	21.5	21.5 16.0		22.4	
AI	10.7	11.5	11.1	14.0	9.6	11.9	12.9	
Fe	6.7	6.5	5.8	2.8	14.8	5.4	4.8	
Mg	2.7	2.8	2.5	1.2	6.6 2.5		2.1	
Ti	0.2	0.1	0.1	0.1	0.1	0.2	0.1	
K	3.9	2.4	2.2	5.8	0.9	2.2	1.9	
Ca	0.7	0.7	0.8	0.3	0.3	0.5	0.8	

Table 2. Semiquantitative mineral compositions of Asian and Saharan dusts determined by XRD analysis with mineral compositions of Asian dusts determined by SEM single particle analysis for comparison.

	Asian dust XRD					Saharan dust XRD		
	Mar 18 2014	Mar 31 2012	Mar 20 2010	Mar 16-17 2009	Average	Jan 18-23 2008	Dec 28-31 2007	Average
ISCMs/illite	60	42	50	42	49	71	74	72
Kaolinite	1	3	4	4	3	8	4	6
Chlorite	3	6	7	7	6	3	3	3
Total clay	64	52	61	53	57	81	81	81
Quartz	14	23	15	13	16	10	7	8
Plagioclase	11	15	10	12	12	2	2	2
K-feldspar	0	6	2	1	2	1	1	1
Amphibole	0	1	2	2	1	0	0	0
Calcite	5	2	5	6	5	2	3	2
Gypsum	6	2	6	13	6	4	6	5
Total	100	100	100	100	100	100	100	100
SEM single particle analysis (Jeong et al., 2014 and this study)*								
ISCMs/illite	54	48	54		52			
Kaolinite	1	3	2		2			
Chlorite	2	4	6		4			
Total clay	58	55	62		58			
Quartz	19	21	17		19			
Plagioclase	11	11	10		11			
K-feldspar	4	5	3		4			
Amphibole	1	1	0		1			
Calcite	7	7	6		7			
Gypsum	0	1	1		1			
Total	100	100	100		100			

*Mineral compositions were recalculated to include nine minerals and mineral groups. The contents of biotite and muscovite in Jeong et al. (2014) were merged with that of ISCMs/illite.



Fig. 1



Fig. 2



Fig. 3



Fig. 4



Fig. 5

