

Responses to Reviewer's Comments to

Zotter et al., Atmos. Chem. Phys. Discuss., 14, 15591, 2014 “*Radiocarbon analysis of elemental and organic carbon in Switzerland during winter-smog episodes from 2008 to 2012 – Part 1: Source apportionment and spatial variability*”

We thank the reviewers for their comments on our paper. To guide the review process we have copied the reviewers' comments in italics. Our responses are in blue, regular font. We have responded to all the referee comments and done the modifications accordingly (**in bold in the text**).

Reviewer #1:

This paper is a very interesting paper that can contribute to the scope of ACP. The results are well discussed and well presented. Objectives of this paper study are to apportion sources of carbonaceous aerosols on 16 Swiss sites (Traffic, Urban background, Rural background) in the North and South of the Alps during winter-smog episodes for 5 winters (24-hours sampling, about 5 samples per winter per site between 2007 and 2012). Source apportionment is based on 14C measurements realized on Elemental and Organic Carbon separately. However, before the publication, authors must be more define or must make some corrections or provide additional information on these different points:

We thank the reviewer for the positive comments. In the following we will respond to each comment listed below separately.

R1.1. Title: the time period reported is 2008-2012 since in the text, sampling seems to be performed also during the winter 2007-2008. Are no samples from December 2007 taken into account?

No days from December 2007 were analyzed. We therefore think that the year numbers in the title appropriately represent the time period analyzed in this study.

R1.2. Materials and methods/Aerosol sampling: dates of sampling are not reported in the paper. Could you input in this part?

A table with all the dates of the analyzed days is presented in the supporting information (SI) and we refer to this table in the main text (see page 15598 lines 12-14: “*The detailed selection of all analyzed days and the distribution of PM₁₀ concentrations on those days for every station are shown in Table S1 and Fig. 2, respectively.*”). In our opinion it is not necessary to move this table to the main text since it requires a lot of space, and this information is not crucial for understanding the paper: if the reader is interested in the exact dates he can refer to the supporting information.

Still, we point out more clearly now (see lines 176-177 in the revised manuscript) that the detailed dates can be found in the SI.

*“The detailed selection of all analyzed days and the distribution of PM10 concentrations on those days for every station are shown in Table S1 in the **supporting information** and Fig. 2, respectively.”*

R1.3. Materials and methods/2.3.2: this part on data correction is very complex, it is difficult to well understand, notably due to the use of many subscript notations like “ $f_{M,EC,final}$ ”. This part must be more readable. And page 15604, line 11, is not “ $f_{NF,ref}$ ” instead of “ $f_{N,ref}$ ”?

We agree with the reviewer that there are many subscript notations in this section, however, in our opinion all these subscript notations are necessary as otherwise the different corrections cannot be separated. Concerning the readability we are aware that for readers without a strong ^{14}C analytical background this section could be difficult. However, all the different subscript notations are defined in the text and the different corrections are numbered and have their own heading (e.g. 1) blank correction, 2) EC yield correction...). Therefore, we believe that it should be possible to follow the different corrections also for people not working on ^{14}C analysis. Nevertheless, we inserted a table (**Table 2: “Summary of the different correction steps of the ^{14}C raw data.”**) showing all equations in the right order used for the corrections. In the table we have defined all subscript notations and inserted in the text the following (see line 266 in the revised manuscript):

“As discussed in the following, several corrections have to be applied to the f_M values obtained from the ^{14}C measurement (see also Table 2 for a summary).”

Table 2: Summary of the different correction steps of the ^{14}C raw data.

Correction	Abbreviations	
1) <u>blank correction</u>	f_M	fraction of modern from ^{14}C analysis
$f_{M,corr} = \frac{mC_{sample} \cdot f_{M,sample} - mC_{blk} \cdot f_{M,blk}}{mC_{sample} - mC_{blk}}$	$f_{M,sample}$	f_M obtained on the selected filters
	$f_{M,blk}$	f_M obtained on the blank filters
	$f_{M,corr}$	blank corrected f_M
	mC_{sample}	carbon mass of the samples
	mC_{blk}	carbon mass of the blanks
2) <u>EC yield correction</u>	$f_{M,EC}$	f_M for EC
$f_{M,EC,total} = slope \cdot (1 - EC_{yield}) + f_{M,EC}$	EC_{yield}	EC fraction separated for ^{14}C analysis
	slope	slope between $f_{M,EC}$ and EC_{yield} (see Fig. S2)
	$f_{M,EC,total}$	$f_{M,EC}$ corrected to 100% EC_{yield}
3) <u>charring correction</u>	$f_{M,charr}$	f_M of charred OC
$f_{M,EC,final} = \frac{f_{M,EC,total} - f_{M,charr} \cdot f_{charr}}{1 - mC_{charr}}$	f_{charr}	fraction of charred OC
	$f_{M,EC,final}$	charring corrected $f_{M,EC,total}$
4) <u>bomb peak correction</u>	p_{bio}	biogenic fraction of total non-fossil sources
$f_{NF,ref} = p_{bio} \cdot f_{M,bio} + (1 - p_{bio}) \cdot f_{M,bb}$	$f_{M,bio}$	f_M of biogenic sources
	$f_{M,bb}$	f_M of biomass burning
$f_{NF,OC} = f_{M,OC,corr} / f_{NF,ref}$	$f_{NF,ref}$	modern ^{14}C content during sampling compared to 1950 (before bomb testing)
$f_{NF,EC} = f_{M,EC,final} / f_{M,bb}$	$f_{NF,OC}$	final non-fossil fraction of OC
	$f_{NF,EC}$	final non-fossil fraction of EC

We changed $f_{N,ref}$ to “ $f_{NF,ref}$ ” (see line 326 in the revised manuscript)

R1.4. Materials and methods/2.5: how was PM10 mass measured on the different sites: with TEOM? Equipped with a FDMS system? On which sites PM10 mass was measured gravimetrically?

Filter samples at the different stations are not collected with the same frequency which was stated in the text (see page 15597 lines 8-9: "...(*every 2nd or 4th day or daily depending on the station*)..."). However, PM_{10} was not measured gravimetrically on all of these filters (also stated in the text see page 15597 lines 19-20: "*It should be noted that on some filters PM_{10} mass was measured gravimetrically...*"). The frequency for the gravimetric PM_{10} determination also varies from station-to-station and therefore, PM_{10} data obtained from TEOM or TEOM-FDMS instruments (also this is different from station-to-station) was used in our paper. It should be noted that for all stations in Switzerland the approach presented in Gehrig et al., 2005 to correct/harmonize online and gravimetric PM_{10} measurements is applied.

In order to make this clearer we inserted the following in lines 378-382 in the revised manuscript:

" PM_{10} is measured online with beta attenuation monitors (FH62-IR, Thermo ESM Andersen) and by TEOM-FDMS (Thermo Environmental) instruments and an approach presented in Gehrig et al. (2005) to correct/harmonize online and gravimetric PM_{10} measurements is routinely applied to data from all stations."

R1.5. Results and discussion/3.1: page 15609 line 7: input a "in" before Zotter et al.

We added "**in**" before Zotter et al. 2014 (see line 456 in the revised manuscript).

R1.6. Results and discussion/3.2.2: page 15613 line 23: what is "IQRs"?

We defined IQR as "interquartile range" which denotes the difference between 3rd and 1st quartile. This abbreviation was already defined in the main text before ("...*interquartile ranges (IQR = 3rd – 1st quartile...*" see page 15610 line 28).

R1.7. Results and discussion/3.3.2: in this part and in the Table 3 EC/Levo, OC/Levo, OC/EC and Levo/K+ are reported: have you compare your values with those from Herich et al., 2014 in winter? Or with values from Gianini et al. 2013 (ref: Sci.Tot.Environ. 454-455, 99-108), that reported OCWB/Levo from PMF and CMB estimations in the same Swiss sites (ZUR, BERN, MAG, PAY)? Could you more compare your ratios with those from these papers in the part 3.3.3 and discuss about differences? Particularly differences between ^{14}C measurements and PMF or aethalometer models estimations conducted on Swiss sites.

Gianini et al. (2013) compares different source apportionment methods and uses data from Gianini et al. (2012a) and Gianini et al. (2012b). In this study good agreement was found in winter for biomass burning OC from PMF and CMB whereas biomass burning EC obtained from CMB did not agree with PMF and the Aethalometer method. Therefore, Herich et al. (2014) used OC_{WB} and EC_{WB} calculated with PMF from Gianini et al. (2012b) together with additional data from Herich et al. (2011). In our paper we compare the ratios obtained from the ^{14}C analysis with the average ratios from Herich et al. (2014) which include different approaches for each site and already discuss the differences between our results and those presented in Herich et al. (2014) and our study (see page 15619 lines 1-9)

"The differences in the ratios most likely originate from (1) uncertainties in the OC_{BB} determination (e.g. OC/levoglucosan emission ratios have to be assumed which can be highly variable) (2) SOC from biomass burning is not taken into account in the OC_{BB} values as presented in Herich et al. (2014) but is included in OC_{NF} as obtained by the ^{14}C measurement and (3) a contribution of other non-fossil sources (e.g. cooking or biogenic aerosol) to OC_{NF} as

apportioned with the ^{14}C analysis cannot be completely ruled out although they are expected to have a minor influence during winter-smog episodes in Switzerland...

Furthermore, we decided to compare our ratios with the average ones from Herich et al. (2014) as not all different approaches were applied at the different stations (e.g. no Aethalometer approach in BER and no OC_{WB} data obtained with the Aethalometer model was reported and therefore, $\text{OC}_{\text{WB}}/\text{Levo}$ ratios only refer to PMF or the tracer approach).

However, we added now a sentence (see lines 702-704 in the revised manuscript) referring to Gianini et al. (2013).

“...(including ^{14}C analysis, aethalometer model, positive matrix factorization, chemical mass balance, macro tracer approach, see Gianini et al. (2013) and Herich et al. (2014) for a discussion about possible differences in the biomass burning marker ratios due to different approaches) were...”

R1.8. Conclusions:

You say page 15621 line 1 “wood burning can be the dominating source of carbonaceous aerosols during the cold season, in Europe” and during winter-smog episodes. But it is for just the carbonaceous fraction of aerosols. What is the contribution of wood burning to PM_{10} during these winter-smog episodes? Is the contribution higher or lower than those from Secondary Inorganic Aerosols?

With our measurements we could not directly assess the biomass burning contribution to total PM_{10} . We only measured non-fossil OC and we could only semi-quantitatively relate a part of OC_{NF} to biomass burning. As mentioned in the manuscript, a part of this OC_{NF} is related to SOA and/or to primary modern emissions. Therefore, we believe that with our data an accurate estimation of a wood-burning contribution is not possible and we prefer reporting the contribution of fossil and non-fossil fractions of OC instead. Accordingly, in the conclusion we reported OM/PM_{10} , EC/PM_{10} , the individual secondary inorganic fractions to PM_{10} , $\text{OC}_{\text{NF}}/\text{OC}$ and $\text{EC}_{\text{NF}}/\text{EC}$. In our opinion this is sufficient as readers could very roughly estimate the total wood burning contributions from these numbers if they wish, but only qualitatively.

On the same page, you write “no correlation was observed between fossil OC and fossil EC and NO_x , indicating that a considerable amount of OCF is secondary OC (SOC) formed from fossil precursors emitted from traffic”. Why it could be SOC from traffic since it was no correlated with ECF or NO_x ? OCF could maybe be formed from precursors emitted by other fossil sources like combustion of fossil energy for domestic heating or in the industry? Can the non-correlation between OCF and ECF and NO_x due to an analytical error on the OCF measurement, particularly is the correction of the charring well adapted?

In this statement in the conclusion we only say “a considerable amount of OC_F is SOC from traffic”. We did not write that all OC_F is SOC from traffic. Furthermore, in the corresponding section of the text (section 3.3.1) we also mentioned the possibility of other sources of OC_F (page 15615, lines 2-7):

“Taken together these observations indicate that a considerable amount of OC_F is associated with emissions or atmospheric pathways that yield fossil organic aerosol with little or no EC_F and NO_x . These processes may include primary emissions from non-mobile fossil fuel combustion sources, e.g. heavy fuel combustion (e.g. crude oil, not widely used in Switzerland), or secondary organic carbon formed from fossil VOCs emitted from traffic.”

It was previously shown (e.g. Chirico et al., 2010; Platt et al., 2013) that traffic emissions form substantial amounts of fossil SOC. Furthermore, in Switzerland basically all electricity (94%) is produced via hydro or nuclear power plants (Swiss Federal Office for Statistics: <http://www.bfs.admin.ch/bfs/portal/de/index/themen/08/01/key.html>) and gas or oil residential heating systems as well as large combustion units in industry emit no or very little EC but NO_x. Therefore, if the contribution of these sources would be large, the correlation between NO_x and EC would be weaker. Hence, also the contribution of OC_F from these sources in Switzerland is most probably small and OC_F is to a large extent from traffic. Since there is no correlation between OC_F and EC_F or NO_x we further concluded that OC_F is mainly (not entirely) SOC from traffic. We now add this clarification (see lines 785 in the revised manuscript):

*“...whereas no correlation was observed between fossil OC (OC_F) and the two latter components, indicating that a considerable amount of OC_F is secondary OC (SOC) formed from fossil precursors **mainly** emitted from traffic.”*

R1.9. General comment on the figures: Figures 3, 5, 6 and 8 are so small and it is difficult to read some characters. Could you change character and figures sizes please?

We increased the font size in these figures (axis labels, legends...).

Reviewer #2:

This is a very interesting manuscript, with the aim of identifying the sources of carbonaceous aerosol during wintertime smog episodes in Switzerland. The main result is that wood burning consistently makes a major contribution to wintertime smog in Switzerland, over a large region and long time period, even in major urban centers. There was previous evidence for this, but only for campaigns of limited regional and temporal scope, where it was difficult to prove that this is indeed a large-scale problem. The study is very thoroughly conducted, with careful corrections of artifacts and a good error analysis. The results are clearly presented in the figures and generally support the conclusions very well. Only the descriptions of the results is sometimes a bit cumbersome, and it is easy to lose track what the many numbers in the text are referring to. I therefore recommend acceptance with a few minor revisions, listed below.

We thank the reviewer for the positive comments. In the following we will respond to each comment separately.

R2.1. Page 15608, line 9 -20: All the individual p values make this very tedious to read. It is sufficient to state at the beginning or the end of the paragraph that all the differences discussed here are significant at the 95% confidence level.

We added the following in lines 433-434 in the revised manuscript

“...(t-test significant at 95%, in general throughout the manuscript we always used a t-test with $p = 0.05$ to test the statistical significance of differences between stations north and south of the Alps).”

and therefore deleted all expressions “(t-test significant at 95%, $p = xxx$)” occurring later in the text (see line 434, 437, 440-441, 483, 524 in the revised manuscript)

R2.2. Page 15608, line 16 – 23: This sentence is too long.

We split this sentence now into 2 and since “(t test, significant at 95 %, $p = 9.2 \times 10^{-4}$ for NO_3^- , $p = 2.0 \times 10^{-20}$ for SO_4^{2-} and $p = 1.0 \times 10^{-7}$ for NH_4^+)” was deleted it is also better readable now (see lines 439-441 in the revised manuscript)

~~“As already shown above the contributions of the different SIA components to PM_{10} are larger in the north (t test, significant at 95 %, $p = 9.2 \times 10^{-4}$ for NO_3^- , $p = 2.0 \times 10^{-20}$ for SO_4^{2-} and $p = 1.0 \times 10^{-7}$ for NH_4^+). In addition, they also show larger station-to-station differences (averages range from 9–30% for NO_3^- , 5–11% for NH_4^+ and 7–12% for SO_4^{2-} in the north compared to 14–24% for NO_3^- , 5–8% for NH_4^+ and 5–6% for SO_4^{2-} in the south).”~~

R2.3. Page 15610, line 10ff: Four stations were measured only for one winter, consequently only 5 data points are used for the whisker plot, including 10th and 90th percentile. This is statistically not very meaningful. I suggest to simply use a mean and standard deviation for these 4 stations in Figures 2 and 3. This also has the advantage that the stations with only few data points are immediately recognizable in the figures.

We follow the suggestion of the reviewer and show only the mean and standard deviation for the 4 stations where only filters from 1 winter were analyzed (see new Figure 2 and Figure 3 in the revised manuscript and new Figure S3 and Figure S4 in the revised supporting information). We also added the following to the corresponding figure captions:

“...Only averages \pm standard deviations are displayed for stations from which only filters from one winter were analyzed...”

4) Page 15613, line 19-21 and line 23-24: This is an example of the writing style that made reading of the results section unnecessarily difficult. Four numbers are given in succession and only at the end of the sentence it is clear which ones refer to OC and EC. It would have been much more clean to write “. . . 58-71% and 1.5 – 5.2 μgCm^{-3} for OCNF and . . . for ECNF”. This is just a small difference in sentence construction but makes a big difference for the reader, e.g. I had to reread the original sentence at least once to get the numbers straight in my head. Please throughout the manuscript, use the construction with “respectively” as sparingly as possible. (Only if it makes the sentence significantly shorter, or if there are not too many numbers in the text.) This will make the results section much more easy to read.

According to the suggestion of the reviewer we adapted the text and removed “respectively” many times in the result section.

See lines 438-439, 501-502, 510-515, 528, 538-541, 567-569, 576-577, 579-580, 590, 645-646 and 670-671 in the revised manuscript.

R2.5. Page 15613, line 25ff: You are packing three separate conclusions (sources, SOC formation, and regional air pollution) in one sentence. Better to use one sentence per conclusion. In my opinion the point about SOC formation is not self evident, since SOC formation and possible influence on OCNF was not discussed before. Please elaborate this point a bit more.

The fact that OC_{NF} can also be partly SOC formed from non-fossil VOCs was discussed several times before this section (see below).

Page 15594 lines 25-28 and Page 15595 line 1: “Meanwhile, OC may be either primary OC (POC) directly emitted in the atmosphere or secondary OC (SOC) formed in the atmosphere through the oxidation of volatile organic compounds (VOCs) from both fossil (coal combustion, industrial and vehicle emissions) and non-fossil (e.g. biomass burning and biogenic emissions as well as cooking) sources...”

Page 15595 lines 7-11: “The most detailed information about different sources can be achieved when ^{14}C measurements are performed on OC and EC separately, since EC originates exclusively from biomass burning and fossil fuel combustion. By contrast, the apportionment of OC into these two sources using this methodology is less straightforward due to the complex primary and secondary sources of this fraction.”

Page 15610 lines 10-12: “As discussed above, non-fossil OC may include, POC and SOC from biomass burning and cooking emissions, as well as primary biological particles and biogenic SOC.”

However, we agree with the reviewer that this sentence is quite long. In addition, we agree that we didn’t point out that SOC formation can be highly variable. Therefore, we changed lines 25 to 29 on page 15613 as follows (see lines 580-588 in the revised manuscript):

“Together with the low station-to-station differences, this suggests ~~on the one hand~~ that non-fossil sources very consistently influence stations on the Swiss Plateau. ~~and that the~~ Furthermore, as discussed above, OC_{NF} can be influenced by SOC formation which can be highly variable. However, the low OC_{NF} station-to-station and day-to-day variability points to a similar degree of atmospheric processing and SOC formation for the chosen days in this region of Switzerland. ~~were very similar and on the other hand that the different stations~~ Last, the low absolute and relative EC_{NF} and OC_{NF} IQRs at the individual stations and station-to-station differences also indicate that locations on the Swiss Plateau are rather influenced by regional (still mainly within Switzerland) air pollution.”

R2.6. Page 15614, line 9: “contributions of OC, or “concentrations of OC”?

We changed the text (see lines 597-598 in the revised manuscript) from “...absolute...contributions...” to “...~~absolute~~...**concentrations**...”

R2.7. Page 15618, line 21ff: see comment 4, this is really not clear

We added now the different biomass burning ratios from literature to Table 3 and in the text in section 3.3.3 we therefore removed most of the numbers (and therefore also most of the expressions “respectively”) and just refer to Table 3 which makes this section now more readable.

R2.8. Page 15619 line 10ff: If $\text{OC}_{\text{bb}}/\text{EC}_{\text{NF}}$ ratios are similar North and South of the Alps is this not somewhat contradictory to the conclusion that more efficient burners are used in the North? Is there evidence that more efficient burners have a similar OC/EC ratio as less efficient burners?

We agree with the reviewer that OC/EC wood burning emission ratios are a function of burning conditions. However, in new and more efficient log wood and pellet burners both, the OC and EC emissions are very low compared to old burners (see e.g. Heringa et al., 2011). From our data we cannot completely rule out different OC/EC emission ratios in both regions. The ambient $\text{OC}_{\text{NF}}/\text{EC}_{\text{NF}}$ ratios in the north and the south could be similar as the possible

higher OC_{NF} concentrations in the south from primary biomass burning emissions might be compensated by a larger non-fossil SOC fraction in the north. Therefore, we added the following in the revised manuscript (see lines 665-667 in the revised manuscript)

“However, with our data we cannot completely rule out different wood burning OC/EC emission ratios in both regions of Switzerland as higher primary wood burning OC emissions in the south could be compensated by a larger non-fossil SOC fraction in the north.”

Reviewer #3:

General comments:

This is an important study that presents what is in many ways a unique data set. It is a long-term (5 years), multi-site study (16 stations) focusing on winter-smogs. As pointed out; “The duration of this project together with the large number of stations results in one of the world’s largest aerosol 14C datasets available.” Impressive! I only recommend minor changes and clarifications. The results are also important in that they clearly show the importance of wood combustion in Switzerland. The same is most likely true also elsewhere around the globe. The separation of OC from EC prior to aerosol 14C analysis also show that wood burning emissions account for a large fraction of EC during winter-smog episodes in Switzerland. This result is highly relevant considering the current interest in the so called Short-Lived Climate Pollutant (SLCP), focusing on Black Carbon (BC) as a strong climate forcer and also causing severe health effects.

We thank the reviewer for the positive comments. In the following we will respond to each comment separately.

R3.1. The authors use mostly the term “biomass burning”, while their results clearly indicate that these winter-time smog episodes are caused mainly by residential wood combustion. To me, “biomass burning” means open fires, for instance forest fires. Perhaps the authors could be bold enough to more clearly point out residential wood combustion as the source. This would certainly help policy-makers aiming to improve local air quality.

We agree with the reviewer that it is important to point out that we are talking about wood burning emissions from residential heating. Therefore, we replaced “biomass burning” with “**wood burning**” several time (see lines 42, 80-81, 485, 516, 523, 624, 648, 728-729, 772, 777 in the revised manuscript) and also inserted “residential wood burning” at numerous positions, especially in the abstract and conclusions (see lines 48, 765, 770, 774, 779 in the revised manuscript).

R3.2. Also, there is some confusion in the text as to the importance of SOC formation versus primary OC from the wood combustion source. Again, the authors could be somewhat clearer on how much is primary versus secondary OCBB. To me, it appears to be mostly POC. I agree with the conclusion that, in contrast to OCBB, fossil OC is to large extent secondary in nature, but maybe this could also be somewhat more clarified in the text.

Since this comment is closely linked to comment R3.17 we combine our answer to both comments and here refer to our answer to comment R3.17.

Specific comments:

R3.3. Page 15597, line 14:

“Since a more complex sampling (e.g. using 2 sampling lines in parallel, one with and the other without a denuder system for volatile OC removal or using 2 filters in series) is not carried out at

regular air pollution monitoring stations, artefacts could not be quantified. However, due to the high filter loadings in winter such sampling artefacts are not expected to be large and we assume that they will not significantly influence the results presented in this study.”
I agree, but are there any previous studies that could be referenced to back this up?

We added the following reference accordingly with the reviewer comment (see lines 151-153 in the revised manuscript):

*“However, due to the high filter loadings in winter such sampling artefacts are not expected to have a large contribution (e.g. **Viana et al. (2007) found a 5% and 7% contribution of OC from positive sampling artifacts for winter samples in Amsterdam and Ghent**) and we assume that they will not significantly influence the results presented in this study.”*

R3.4. Page 15597, line 23:

“...none of the samples were pre-heated to remove any OC or EC present on the filters prior to sampling...”

This is otherwise standard procedure in most OC/EC sampling networks and is recommended. The TC blanks are somewhat high in this study, and would be lower if the quartz filters were pre-fired. (Page 15599, line 4: “...the average TC filter loading ($2.5 \pm 0.8 \mu\text{gCcm}^{-2}$) of all measured blank filters ($n = 47$)...”

We agree with the reviewer that the blanks would be much lower if filters would be heated prior sampling and that the blank loadings are very high compared to many other studies. However, we had no influence on how the filters were treated as the sampling was carried out by the regular air quality monitoring networks of Switzerland on a regular basis and they chose to not pre-heat. Nevertheless, as we knew this fact and saw that the blanks are high, we analyzed a large number of blanks and performed blank corrections in order to remove any influence of these high blank values on our results.

R3.5. Page 15600:

Comment only: Separation of OC and EC prior to ^{14}C analysis is tricky, and note many groups do it on regular basis. While it is certainly very important to remove as much OC (and pyrolyzed OC) from the EC fraction, it is also important not to be too aggressive and end up with only the very toughest EC fraction. Nevertheless, the Swiss 4S method being used here has been extensively tested and seems to give reasonable results.

We thank the reviewer for acknowledging this.

R3.6. Page 15603, Eq 1: There appears to be a “minus” sign too many.

One of the minus signs was removed.

R3.7. Page 15604, line 11: “Hence, $f_{N,ref}$ equals...” Should this not be $f_{NF,ref}$?

We changed $f_{N,ref}$ to “ $f_{NF,ref}$ ” (see line 326 in the revised manuscript)

R3.8. Section 2.3.2: After reading this section, it feels as if it would be good to have all ^{14}C correction equations written out clearly somewhere, for instance in the supplement. Now, the reader easily gets confused after a while.

Following the reviewers’ comment we added **Table 2: “Summary of the different correction steps of the ^{14}C raw data”**, showing all equations in the right order used for the corrections and

defining all abbreviations and insert the following (see line 266 in the revised manuscript) to refer to the table:

“As discussed in the following, several corrections have to be applied to the f_M values obtained from the ^{14}C measurement (see also Table 2 for a summary).”

Table 2: Summary of the different correction steps of the ^{14}C raw data.

Correction	Abbreviations	
5) <u>blank correction</u>	f_M	fraction of modern from ^{14}C analysis
	$f_{M,\text{sample}}$	f_M obtained on the selected filters
	$f_{M,\text{blk}}$	f_M obtained on the blank filters
$f_{M,\text{corr}} = \frac{mC_{\text{sample}} \cdot f_{M,\text{sample}} - mC_{\text{blk}} \cdot f_{M,\text{blk}}}{mC_{\text{sample}} - mC_{\text{blk}}}$	$f_{M,\text{corr}}$	blank corrected f_M
	mC_{sample}	carbon mass of the samples
	mC_{blk}	carbon mass of the blanks
6) <u>EC yield correction</u>	$f_{M,\text{EC}}$	f_M for EC
	EC_{yield}	EC fraction separated for ^{14}C analysis
$f_{M,\text{EC},\text{total}} = \text{slope} \cdot (1 - EC_{\text{yield}}) + f_{M,\text{EC}}$	slope	slope between $f_{M,\text{EC}}$ and EC_{yield} (see Fig. S2)
	$f_{M,\text{EC},\text{total}}$	$f_{M,\text{EC}}$ corrected to 100% EC_{yield}
7) <u>charring correction</u>	$f_{M,\text{charr}}$	f_M of charred OC
	f_{charr}	fraction of charred OC
$f_{M,\text{EC},\text{final}} = \frac{f_{M,\text{EC},\text{total}} - f_{M,\text{charr}} \cdot f_{\text{charr}}}{1 - mC_{\text{charr}}}$	$f_{M,\text{EC},\text{final}}$	charring corrected $f_{M,\text{EC},\text{total}}$
8) <u>bomb peak correction</u>	p_{bio}	biogenic fraction of total non-fossil sources
	$f_{M,\text{bio}}$	f_M of biogenic sources
	$f_{M,\text{bb}}$	f_M of biomass burning
$f_{\text{NF},\text{ref}} = p_{\text{bio}} \cdot f_{M,\text{bio}} + (1 - p_{\text{bio}}) \cdot f_{M,\text{bb}}$	$f_{\text{NF},\text{ref}}$	modern ^{14}C content during sampling
$f_{\text{NF},\text{OC}} = f_{M,\text{OC},\text{corr}} / f_{\text{NF},\text{ref}}$		compared to 1950 (before bomb testing)
	$f_{\text{NF},\text{OC}}$	final non-fossil fraction of OC
$f_{\text{NF},\text{EC}} = f_{M,\text{EC},\text{final}} / f_{M,\text{bb}}$	$f_{\text{NF},\text{EC}}$	final non-fossil fraction of EC

R3.9. Page 15604, line 10: “EC is only emitted from fossil sources or biomass burning.”

I just switched from driving an ethanol-fuelled car to one that runs on gas. In my country, these are both biofuels. Surely, my car will still emit EC, now as non-fossil EC. We can expect more EC from non-fossil sources in the near future, and your statement will gradually lose validity, unless of course you classify as biogas and bio-ethanol fuels as “biomass burning”.

We agree with the reviewer that any carbonaceous emissions from ethanol and biogas-fuelled cars would be modern and that maybe in the future the number of these cars will increase. However, gas and ethanol-fuelled cars emit basically no or only very little EC (compared for example to diesel) and in Switzerland their contribution to total vehicles is <1% (Swiss Federal Office for Statistics: <http://www.bfs.admin.ch/bfs/portal/de/index/themen/11/03/blank/02/01/01.html>). To clarify this issue we added the following in the revised manuscript (see lines 325-326 in the revised manuscript)

“EC is only emitted from fossil sources or biomass burning (neglecting any EC emissions from biofuels as their contribution to the total fuel use is low) ...”

R3.10. Section 2.5: Maybe the list of which additional data is available from the various sites could also be included in Table 1. This would provide an overview. The table might get too crowded, though.

From the additional data mentioned in Section 2.5 we only use the NO_x measurements in our paper (see Fig. 5) and as the reviewer points out including all the additional data in Table 1 would make this table very large and crowded (note that the information Table 1 was already increased in the revised manuscript due to comment R3.13 from this reviewer). Therefore, we decided not to include the additional data in Table 1 as NO_x data is available for all stations except SCH which is clearly stated in the text (see page 15606 lines 8-9)

“... PM_{10} and nitrogen oxides ($\text{NO}_x = \text{NO}$ and NO_2) data are available from all stations (except SCH),...”

R3.11. Page 15606, line 18: *“In all networks (NABEL, Cantons and MeteoSwiss) data sets undergo an automatic and a manual quality check...”*

I guess the list of quality checks only refers to the network data and not the OC/EC, 14C and HPAEC data. This could be clarified.

In order to clarify this, we added the following (see lines 388-390 in the revised manuscript)

*“In all networks (NABEL, Cantons and MeteoSwiss) data sets (**except results obtained offline from filter samples, i.e. EC/OC and levoglucosan concentrations as well as ^{14}C data**) undergo an automatic and a manual quality check”*

R3.12. Page 15607, line 3: *“One aim of this study was the source apportionment of winter smog episodes in Switzerland.”*

What are then the other aims? They are not written out specifically anywhere. On page 15597, it says “...winter-smog episodes, which were the objective of our study”. In the Introduction, it says: “This paper is devoted to the comparison of different techniques used to apportion carbonaceous aerosol sources and the investigation of the spatial variability of these sources.” Maybe the specific scientific aim(s) of this particular study (paper) could be clarified further, preferably as early as possible in the text, and not in section 3.1.

The main aim of this paper is to present the ^{14}C based source apportionment results of winter smog episodes from 5 years and their spatial variability. In our opinion, it is already clear from the title and the introduction (*“This paper is the first paper of a two-part series investigating the spatial and temporal variability in the fossil and non-fossil sources of the organic and elemental carbon during high pollution events in Switzerland.”*, see page 15596 lines 9-12) that the main aim was to investigate winter-smog episodes in Switzerland.

Following the reviewers' comment, we now clarify the aims in the introduction (see lines 119-122 in the revised manuscript)

*“~~This paper is devoted to~~ **presents the ^{14}C -based source apportionment results** ~~the comparison of different techniques used to apportion~~ of carbonaceous aerosols and ~~the investigates of the~~ their spatial variability ~~of these sources.~~”*

and also slightly change lines 3-6 on page 15607 (see lines 398-402 in the revised manuscript) as follows:

*“~~One aim of this study was the source apportionment of winter smog episodes in Switzerland. As we were interested in winter-smog episodes—explained above~~ only days with high PM_{10} concentrations at all stations were analyzed. As shown in Fig. 2a the selected days from almost all locations ~~fulfilled~~ **exhibited** on average ~~this criterion—values~~ **$\sim 50 \mu\text{g m}^{-3}$ (European and Swiss daily limit) or above.**”*

R3.13. Table 2: This table is confusing me. From Table S1, and the text, I get the impression that you analysed samples from all station during the selected days (Table S1). What is then the meaning of the column “Stations and time period” in Table 2? For instance, when only reading this table, I would get the impression that ^{14}C in EC/OC are only analysed for stations BER and MAS, which is obviously not the case.

We agree with the reviewer and incorporated the most important information of Table 2 (which stations was analyzed in which winter) into Table 1 and removed Table 2.

R3.14. Table 3: Why is the station Schächental (SCH) left out of this table?

For Schächental (SCH) only 3 filters were analyzed for EC, OC and ^{14}C in both fractions. Since this is a very low number and in addition no levoglucosan was measured we initially did not include this station in Table 3. However, in the revised version of the paper we now included the $\text{OC}_{\text{NF}}/\text{EC}_{\text{NF}}$ ratio for SCH and explain in the table caption that no levoglucosan was measured in SCH.

R3.15. Page 15607, line 25: ...”uncertainties of the different measurement methods and OM:OC ratio used to convert OC to OM.”

The Aerosol Mass Spectrometer (AMS) can provide information on the OM/OC ratio. In other publications by some of the authors, AMS data are presented from sites also included in this study. Has that data not been used here to constrain the OM/OC ratio, rather than using the value from Turpin and Lim, 2001?

OM:OC ratios from previous studies in Switzerland could not be used since 1) in previous papers (e.g. Lanz et al., 2008 and Alfarrá et al., 2007) no high-resolution analysis of the organic mass spectra was performed or 2) no OM:OC ratios are reported (e.g. Mohr et al., 2011). Furthermore, we did not focus on OM in our paper. We only use OM to show the chemical composition of PM_{10} . In addition, changing OM:OC from 1.6 to 1.8 only increases OM/ PM_{10} on average by ~4%.

However, we agree with the reviewer that Turpin and Lim (2001) is an old reference. We therefore used now OM:OC of 1.8 as reported in Favez et al. (2010) for winter measurements in Grenoble with similar conditions and sources.

Hence the OM/ PM_{10} , OM:OC and (OM+EC+water soluble ions)/ PM_{10} numbers in the text were changed (see line 39, 418, 422, 423, 751, 1242 in the revised manuscript).

R3.16. Page 15609, line 18: “BAS is the base for two of the world’s largest pharmaceutical enterprises, Roche and Novartis, and in addition an incinerator for medical waste is located in the vicinity of the station.”

More of a comment: It seems as if the $f_{\text{NF},\text{EC}}$ values for BAS are not affected by the medical waste incinerator, since these values are realistic, while the $f_{\text{NF},\text{OC}}$ values are elevated. Nevertheless, one should be careful when interpreting urban aerosol ^{14}C data.

We agree with the reviewer that in areas where possible sources of anthropogenic ^{14}C could exist, great care has to be taken and especially the analysis of blank filters becomes more important. However, in many cases an influence of such sources can be clearly identified since anthropogenic sources of ^{14}C (nuclear power plants, pharmaceutical industry, biochemical laboratories...) lead to f_{NF} values clearly above 1. In addition since such sources are point sources with usually high temporal variability a constant bias is not expected. Publications about sources of anthropogenic ^{14}C and their influence on aerosols, except about the bomb peak, are very scarce and the authors are only aware of a single publication (Buchholz et al., 2012).

R3.17. The following comments address the issue on what is wood combustion POC or SOC.

First two sentences from your text:

Page 15610: “Furthermore, large inputs from biological and biogenic sources are also not expected under Swiss winter conditions, characterized by low biological activity. Therefore, the high $f_{NF,OC}$ values indicate that wood burning POC and SOC are most probably the main source of OC during winter-smog episodes in Switzerland.”

Page 15613, line 25: “Together with the low station-to-station differences, this suggests on the one hand that non-fossil sources very consistently influence stations on the Swiss Plateau and that the degree of atmospheric processing and SOC formation for the chosen days were very similar and on the other hand that the different stations on the Swiss Plateau are rather influenced by regional (still mainly within Switzerland) air pollution.”

You state later in the text that the non-fossil OC from wood combustion is not really SOC, but mostly primary OC. Figure 6a (scatter plot of OC_{NF} vs. levoglucosan) and Figure 7 points to primary wood combustion OC being the major source of OC, not secondary.

Also on the same topic, you write:

Page 15616, line 19: “However, under winter-smog conditions in Switzerland (low temperatures and photochemical activity) rapid levoglucosan degradation is not expected and no large systematic differences in the photochemical activity and SOC formation between locations south and north of the Alps were found as evidenced by very similar OC_{NF} to EC_{NF} ratios (7.7 ± 2.1 and 8.6 ± 2.9 , see Table 3 and Fig. 7) for these two regions in Switzerland.”

In summary, it would be good if the authors could summarize their findings on POC vs SOC for wood combustion.

We agree with the reviewer that this is not clear enough. Since OC_{NF} is well correlated with levoglucosan and this regression line also shows only a small intercept, this indeed suggests that OC_{NF} is to a large extent from primary wood burning emissions (see Fig. 6a). However, with our data set we cannot quantify how much of OC_{NF} is SOC. First, OC_{NF} includes other non-fossil sources besides wood burning and even though we do not expect a large influence of these sources (e.g. cooking or biogenic SOC) we cannot explicitly exclude them (especially cooking). Second, using wood burning emissions ratios to apportion OC_{NF} into OC_{BB} (only primary) and $OC_{NF,SOC}$ would yield a large range of results as these ratios are highly variable (OC and EC to levoglucosan emissions ratios for alpine regions were reported in Schmidl et al. (2008) to range from 3.7 to 12.5 and from 0.7 to 4.7, respectively). Therefore, we did not quantify primary vs. secondary contributions of wood burning.

In order to clarify our findings on primary vs. secondary derived OC we added the following (see lines 642-644 in the revised manuscript)

“Furthermore, this indicates that OC_{NF} is to a large extent emitted as primary aerosol, however, with the data presented in this study it is not possible to quantify a primary vs. secondary fraction of wood-burning OC.”

R3.18. Page 15615, line 16: “The wide variability of levoglucosan emission ratios results in significant uncertainties in estimating wood burning contributions.”

Levoglucosan is formed by pyrolysis of cellulose only, and is not formed during flaming conditions. This gives the large variability. It will never be a “perfect” tracer, but good enough for most applications, which your results show.

We agree with the reviewer that many studies used levoglucosan emission ratios to estimate wood burning contributions and even though these ratios are variable, acceptable results were obtained in these studies. Still, the variability in the wood burning levoglucosan emission ratios can yield a range of results spanning a factor of 2 in the calculated wood burning

contributions of OC and EC (see for example Gelencsér et al., 2007). Therefore, we decided not to apply this methodology on our data and present the measured non-fossil contributions to OC and EC, instead of biomass burning OC and EC.

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Radiocarbon Analysis of Elemental and Organic Carbon in Switzerland during Winter-Smog Episodes from 2008 to 2012 – Part I: Source Apportionment and Spatial Variability

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Abstract

While several studies have investigated winter-time air pollution with a wide range of concentration levels, hardly any results are available for longer time periods covering several winter-smog episodes at various locations; e.g. often only a few weeks from a single winter are investigated. Here, we present source apportionment results of winter-smog episodes from 16 air pollution monitoring stations across Switzerland from 5 consecutive winters. Radiocarbon (^{14}C) analyses of the elemental (EC) and organic (OC) carbon fractions, as well as levoglucosan, major water-soluble ionic species and gas-phase pollutant measurements were used to characterize the different sources of PM_{10} . The most important contributions to PM_{10} during winter-smog episodes in Switzerland were on average the secondary inorganic constituents (sum of nitrate, sulfate and ammonium = $41 \pm 15\%$) followed by organic matter OM (~~30–34~~ \pm ~~6~~13%) and EC ($5 \pm 2\%$). The non-fossil fractions of OC ($f_{\text{NF,OC}}$) ranged on average from 69–85% and 80–95% for stations north and south of the Alps, respectively, showing that traffic contributes on average only up to ~30% to OC. The non-fossil fraction of EC ($f_{\text{NF,EC}}$), entirely attributable to primary ~~biomass-wood~~ burning, was on average $42 \pm 13\%$ and $49 \pm 15\%$ for north and south of the Alps, respectively. While a high correlation was observed between fossil EC and nitrogen oxides, both primarily emitted by traffic, these species did not significantly correlate with fossil OC (OC_F), which seems to suggest that a considerable amount of OC_F is secondary, from fossil precursors. Elevated $f_{\text{NF,EC}}$ and $f_{\text{NF,OC}}$ values and the high correlation of the latter with other wood burning markers, including levoglucosan and water soluble potassium (K^+) indicate that ~~biomass-residential wood~~ burning is the major source of carbonaceous aerosols during winter-smog episodes in Switzerland. The inspection of the non-fossil OC and EC levels and the relation with levoglucosan and water-soluble K^+ shows different ratios for stations north and south of the Alps, most likely because of differences in burning technologies, for these two regions in Switzerland.

1 Introduction

Ambient particulate matter (PM) influences the Earth's climate directly by scattering and absorbing solar radiation and indirectly by modifying cloud microphysics (Pöschl, 2005; IPCC, 2013). In addition, aerosol particles also adversely affect human health as they can cause respiratory and cardiovascular diseases which can lead to increased mortality (Pope and

Dockery, 2006; WHO, 2006). In Alpine regions and most parts of Switzerland elevated PM concentrations are often found during winter-time since topography (e.g. alpine valleys) and frequent thermal inversions favor the accumulation of pollutants (Gehrig and Buchmann, 2003; Ruffieux et al., 2006). Environmental pollution control strategies and policies have focused mainly on emissions from fossil fuel combustion so far (e.g. road traffic and industry). However, many recent studies have shown that wood burning emissions from domestic heating can be the dominating source of carbonaceous aerosols during the cold season, in Europe (e.g. Szidat et al., 2006; Szidat et al., 2007; Lanz et al., 2008; Favez et al., 2010; Lanz et al., 2010; Gilardoni et al., 2011; Harrison et al., 2012; Herich et al., 2014 and references therein). Therefore, the quantification of the fossil and non-fossil, especially wood burning, contributions to PM, particularly for days with high PM concentrations, is crucial for establishing effective mitigation strategies.

Carbonaceous particles are a major fraction of the fine aerosol (PM_{2.5}, PM < 2.5 µm), contributing from 10% up to 90% of the PM mass (Gelencsér, 2004; Putaud et al., 2004; Jimenez et al., 2009). Carbonaceous aerosols are further classified into two sub-fractions: elemental carbon (EC) and organic carbon (OC) (Jacobson et al., 2000). EC originates from incomplete combustion of fossil and non-fossil fuels (e.g. coal, gasoline, diesel, oil and biomass), exclusively emitted directly as primary aerosol in the atmosphere. Meanwhile, OC may be either primary OC (POC) directly emitted in the atmosphere or secondary OC (SOC) formed in the atmosphere through the oxidation of volatile organic compounds (VOCs) from both fossil (coal combustion, industrial and vehicle emissions) and non-fossil (e.g. biomass wood burning and biogenic emissions as well as cooking) sources (Jacobson et al., 2000; Pöschl, 2005; Hallquist et al., 2009). Among several techniques applied to identify and quantify carbonaceous aerosol sources, radiocarbon (¹⁴C, half-life = 5730 years) analysis is a quantitative tool for unambiguously distinguishing fossil and non-fossil sources. ¹⁴C is completely depleted in emissions from fossil-fuel combustion, which can therefore be separated from non-fossil carbon sources which have a similar ¹⁴C signal as atmospheric carbon dioxide (CO₂) (Szidat, 2009; Heal, 2014). The most detailed information about different sources can be achieved when ¹⁴C measurements are performed on OC and EC separately, since EC originates exclusively from biomass burning and fossil fuel combustion. By contrast, the apportionment of OC into these two sources using this methodology is less straightforward due to the complex primary and secondary sources of this fraction.

Radiocarbon-based source apportionment results available in the literature are often reported from measurement campaigns covering rather short periods (e.g. several days or a few months, see Hodzic et al. (2010), Minguillón et al. (2011) and Heal (2014) and references therein for a summary of several publications). Very few studies present annual or seasonal results from a full year or several seasons. For example, only two ^{14}C dataset are available covering a time period of two full years (Gelencsér et al., 2007; Larsen et al., 2012), while only a few studies present a yearly cycle (e.g. Huang et al., 2010; Ceburnis et al., 2011; Genberg et al., 2011; Gilardoni et al., 2011; Zhang et al., 2014) or data from two consecutive summers (Tanner et al., 2004) or winters (Glasius et al., 2011). In addition, ^{14}C results from the same time period are available simultaneously only for a limited number of stations (usually less than five, see Heal (2014) and references therein). Furthermore, only a few groups worldwide perform ^{14}C measurements of the EC fraction, since such analyses are still challenging and since there are still open questions concerning the optimal approach for the EC isolation for ^{14}C analysis (Zhang et al., 2012; Bernardoni et al., 2013; Szidat et al., 2013; Dusek et al., 2014). As a consequence, results of ^{14}C measurements carried out separately on EC and OC are still very scarce (see Minguillón et al. (2011) and Heal (2014) and references therein).

In this study, we present, to the best of our knowledge, for the first time ^{14}C measurements covering a time period of five years. Aerosol filter samples were collected during winter-smog episodes (days exceeding the Swiss daily PM_{10} limit of $50 \mu\text{g m}^{-3}$), at 16 air pollution monitoring stations across Switzerland to provide a good spatial resolution as well as different source characteristics in various area types (e.g. urban, suburban, rural, alpine valley, traffic, background, etc.). These samples were analyzed for the ^{14}C content in EC and OC, levoglucosan, and major water soluble ionic species. The duration of this project together with the large number of stations results in one of the world's largest aerosol ^{14}C datasets available. This paper is the first paper of a two-part series investigating the spatial and temporal variability in the fossil and non-fossil sources of the organic and elemental carbon during high pollution events in Switzerland. This paper ~~is devoted to the comparison of different techniques used to apportion~~ presents the ^{14}C -based source apportionment results of carbonaceous aerosols ~~sources and the investigation of their~~ spatial variability ~~of these sources~~. The second paper will explore the influence of meteorological parameters on the different carbonaceous components, their temporal variability and their possible trends in the last years (Zotter et al., 2014).

125

126 2 Materials and methods

127 2.1 Aerosol sampling

128 The filter samples analyzed in this study were collected at four stations of the Swiss National
129 (NABEL) and 12 stations of the Cantonal air pollution monitoring networks, (EMPA, 2013;
130 Cercl'Air, 2012). These were selected such that a good spatial distribution across Switzerland
131 is achieved (see Fig. 1). In detail, eight stations (PAY, SOL, SIS, BAS, REI, BER, ZUR and
132 STG) are located on the Swiss plateau, one station each in the Rhine and Rhone valley (VAD
133 and MAS, respectively) and one station (SCH) in a small alpine valley in central Switzerland.
134 Those 11 stations will be further referred to as stations “north of the Alps”. In addition, five
135 sites “south of the Alps” were selected. These include stations at the Italian boarder where the
136 terrain is more open (e.g. station CHI), plus other stations enclosed within narrow valleys
137 (e.g. stations SVI and ROV). The locations of the stations are shown in Fig. 1 and related
138 details are listed in Table 1. Furthermore, the selection of the stations was also carried out
139 such that the full range of different station characteristics (from urban/traffic to rural
140 background, see Table 1) was covered.

141 At the selected sites, aerosols were collected onto quartz fiber filters (Pallflex 2500QAT-UP)
142 for 24 h on a regular basis (every 2nd or 4th day or daily depending on the station) using high-
143 volume samplers (Digitel DHA-80, Switzerland) operating at a flow rate of 500 l min⁻¹ and
144 equipped with PM₁₀ inlets. After the sampling, filters were wrapped in aluminum foil or lint
145 free paper, sealed in plastic bags, and stored at -20°C until analysis. Filter sampling has been
146 widely used but well-known non-systematic artefacts due to adsorption and volatilization of
147 semi-volatile compounds exist (Viana et al., 2006; Jacobson et al., 2000). Since a more
148 complex sampling (e.g. using 2 sampling lines in parallel, one with and the other without a
149 denuder system for volatile OC removal or using 2 filters in series) is not carried out at
150 regular air pollution monitoring stations, artefacts could not be quantified. However, due to
151 the high filter loadings in winter such sampling artefacts are not expected to ~~be~~have a large
152 contribution (e.g. Viana et al. (2007) found a 5% and 7% contribution of OC from positive
153 sampling artifacts for winter samples in Amsterdam and Ghent) and we assume that they will
154 not significantly influence the results presented in this study. It should be noted that on some
155 filters PM₁₀ mass was measured gravimetrically which includes weighting before and after the

sampling at a relative humidity (RH) of $50 \pm 2\%$ and a temperature (T) of $20 \pm 2^\circ\text{C}$ after conditioning for 48 h. Since these handling steps may introduce additional artefacts and none of the samples were pre-heated to remove any OC or EC present on the filters prior to sampling, the analysis of blank filters which were treated exactly the same way as the samples is very important. Therefore, ~50 field blank filters were collected and 34 of them were analyzed for ^{14}C in OC, 45 for major water-soluble ionic species and 47 for OC and EC mass loading.

Every winter, 5 days with high PM_{10} concentrations were investigated and therefore, most of the results presented below are considered as representative for winter-smog episodes, which were the objective of our study. Winter-smog episodes in Switzerland frequently occur on days with inversions, and hence relatively shallow boundary layer heights. The days were selected such that ideally PM_{10} concentrations at all stations exceeded the daily limit value of $50 \mu\text{g m}^{-3}$. However, since meteorological conditions in Switzerland north and south of the Alps can differ strongly in winter, it was not possible to find enough days where the selection criterion was fulfilled at all stations simultaneously. Therefore, 5 identical days were chosen separately for stations south and north of the Alps. This ensures similar meteorology and the interpretability of the results in terms of spatial variations within the two regions. In addition, two to three filters per month from August 2008 to July 2009 of the urban background station ZUR were selected to cover a full yearly cycle. In total 320 aerosol filter samples were analyzed for this study. The detailed selection of all analyzed days and the distribution of PM_{10} concentrations on those days for every station are shown in Table S1 [in the supporting information](#) and Fig. 2, respectively.

2.2 EC/OC measurements

The EC and OC concentrations were measured on all samples ($n = 320$) and blanks ($n = 47$) using a thermo-optical OC/EC analyzer (Model 4L, Sunset Laboratory Inc., USA), which is equipped with a non-dispersive infrared (NDIR) detector. All samples were combusted following the thermal-optical transmittance method (TOT) using the EUSAAR2 temperature protocol (Cavalli et al., 2010). It should be noted here that the OC/EC determination with TOT instruments is not standardized yet and that measurements with different thermal protocols (e.g. NIOSH (NIOSH, 1999; Peterson and Richards, 2002), IMPROVE (Chow et al., 1993), EUSAAR2 (Cavalli et al., 2010)) may lead to discrepancies. Typically, TC measured with different protocols shows good agreement (within 10%), whereas EC can

differ significantly from method to method, up to 25%, and for highly polluted winter samples even up to 60% (Chow et al., 2001, Schmid et al., 2001; Piazzalunga et al., 2011a). We chose the EUSAAR2 protocol since this protocol is also used by the NABEL and Cantonal air quality monitoring programs to measure OC/EC concentrations for some stations on a regular basis.

Repeated measurements were carried out for 150 samples out of the 320. A blank correction was performed using the average TC filter loading ($2.5 \pm 0.8 \mu\text{g C cm}^{-2}$) of all measured blank filters ($n = 47$) since no systematic differences between the different stations or throughout the years were found (see Fig. S1). Since EC was not detectable in any of the blank samples, the mean TC blank concentration was also used for the blank correction of OC. The average contribution of the blanks to the total filter loading was $5 \pm 2\%$ and $4 \pm 2\%$ for OC and TC, respectively. The mean measurement uncertainty for OC and TC was estimated to be 7.7% and 8.1%, respectively, using the variability of all samples ($n = 8$) that were measured three or four times and the variability of the blanks. The uncertainty for EC was assumed to be 25% to account for possible differences between different TOT protocols (Schmid et al., 2001).

2.3 ^{14}C analysis

2.3.1 Separation of carbonaceous particle fractions and ^{14}C analysis

^{14}C analysis of EC and OC was carried out on all samples. ^{14}C content in the blanks was only measured for TC, since an EC loading was not found on those filters (see Sect. 2.2). In the following, we will describe the techniques and procedures of the separation of OC and EC for subsequent ^{14}C measurements.

OC was separated for ^{14}C analysis using the THEODORE system and the Sunset analyzer (see Szidat et al., 2004 and Zhang et al., 2012, respectively for more details). In brief, in THEODORE filter punches with a diameter of 11 to 16 mm were combusted at 340°C for 10 min in a pure oxygen (O_2) stream. The Sunset analyzer, connected to the trapping part of the THEODORE system, was modified such that it could be operated with pure oxygen as a carrier gas in addition to the conventionally used He and He/ O_2 . The temperatures and combustion times for the oxidation of OC to CO_2 from filter punches with 0.8–1.5 cm^2 in the Sunset analyzer were set to the same values as those used in the THEODORE protocol. The evolving CO_2 , from the THEODORE and the Sunset analyzer, was separated from interfering reaction gases, cryo-trapped and sealed in glass ampoules for ^{14}C measurements.

219 The separation of EC for the ^{14}C measurement was carried out following the Swiss 4S
220 protocol as described by Zhang et al. (2012). First, water-soluble OC (WSOC) and other
221 water-soluble components were removed by water extraction in order to minimize positive
222 artefacts from OC charring (Piazzalunga et al., 2011a; Zhang et al., 2012). The remaining
223 water-insoluble OC (WINSOC) was then removed by a thermal treatment in three steps. In
224 the first two steps, OC was oxidized in O_2 at 375 °C for 150 s and then at 475 °C for 180 s. In
225 the third step, OC was then evaporated in an inert atmosphere in helium at 450 °C for 180 s
226 followed by 180 s at 650 °C. In the end (step four), EC was isolated by the combustion of the
227 remaining carbonaceous material at 760 °C for 150 s in O_2 . This method was optimized to
228 reduce biases in ^{14}C measurements of EC related to OC charring (leading to higher non-fossil
229 EC (EC_{NF}) values) or losses of the least refractory EC (mostly from wood burning) during the
230 WINSOC removal (in the steps one to three) as those would lead to lower EC_{NF} fractions.
231 Furthermore, using the Sunset analyzer for the combustion made it possible to quantify those
232 artefacts online, since this instrument monitors the filters during the combustion with a laser.
233 As proposed by Zhang et al. (2012) we tested the effect of different temperatures in step two
234 and three of the thermal protocol on the EC yields and the OC charring for some samples
235 from stations with contrasting sources and filter loadings (e.g. highly and low loaded filters
236 from stations with a large wood burning contribution vs. more traffic influenced stations).
237 Charring of OC most likely occurred only at lower temperature in the steps one and two and
238 was quantified as the difference of the maximum attenuation (ATN) and the initial ATN
239 normalized to the initial ATN of the given thermal step. The EC yield denotes the fraction of
240 EC remaining on the filter samples after the first three OC removal steps before the last step
241 (step four) starts, which was used for the EC recovery for ^{14}C analysis, and is defined as ratio
242 between the initial ATN of the laser signal through the filter before step one of the thermal
243 treatment and the ATN before step four. We found that the EC yield and charring did not vary
244 significantly due to different temperatures (550°C–700°C) in step three and therefore this
245 temperature was set to 650°C as suggested by Zhang et al. (2012). In contrast, varying the
246 temperature in step two we found 525°C and 500°C as optimal values for SVI and BER,
247 respectively, which exhibited very high filter loadings. Higher temperatures for these two
248 stations were necessary to assure complete removal of OC and possibly charred OC before the
249 EC step (step four). For the samples from the other stations 475°C, as suggested by Zhang et
250 al. (2012), was found to be the optimal setting. On average $74 \pm 11\%$ of the EC was recovered

for the ^{14}C measurement for all samples and charred OC only contributed $5.3 \pm 4.5\%$ to EC recovered in step four.

The ^{14}C measurement of the collected CO_2 from the separated carbonaceous fractions was performed with the **MI**ni radio**CA**rbon **DA**ting System, MICADAS (Synal et al., 2007) at the Swiss Federal Institute of Technology (ETH) Zürich and the Laboratory for the Analysis of Radiocarbon with AMS (LARA), University of Bern (Szidat et al., 2014), Switzerland, using a gas ion source (Ruff et al., 2007; Wacker et al., 2013), which allows direct CO_2 injection after dilution with He (Ruff et al., 2010). All ^{14}C results are expressed as fraction of modern (f_M) representing the ratio of the $^{14}\text{C}/^{12}\text{C}$ content of the sample related to the isotopic ratio of the reference year 1950 (Stuiver and Polach, 1977). The f_M values were corrected for $\delta^{13}\text{C}$ fractionation (Wacker et al., 2010) and for the ^{14}C decay between 1950 and the year of measurement. The uncertainty of the measured f_M values for OC and EC ($f_{M,OC}$ and $f_{M,EC}$, respectively) is on average $\sim 2\%$ for the samples presented here.

2.3.2 Data correction and presentation

As discussed in the following, several corrections have to be applied to the f_M values obtained from the ^{14}C measurement [\(see also Table 2 for a summary\)](#).

1) Blank correction: A mass-dependent blank correction is applied to the measured f_M values following an isotopic mass balance approach (Zapf et al., 2013):

$$f_{M,corr} = (mC_{sample} * f_{M,sample} - mC_{blk} * f_{M,blk}) / (mC_{sample} - mC_{blk}) \quad (1)$$

where $f_{M,corr}$ is the blank corrected f_M , and $f_{M,sample}$ and $f_{M,blk}$ are the f_M measured for samples and blanks, respectively. mC_{sample} and mC_{blk} denote the carbon mass in the samples and the blanks, respectively. Since blank filters are not available for all stations and years and since the ^{14}C results of the blanks were not systematically different (between different stations or years, see Fig. S1), the average f_M and TC values of the blanks, 0.53 ± 0.12 ($n = 34$) and $2.5 \pm 0.8 \mu\text{gC cm}^{-2}$ ($n = 47$), respectively, were considered for the correction of $f_{M,OC}$ ($f_{M,OC,corr}$). The blank correction increases the $f_{M,OC,corr}$ values by $\sim 3\%$ and the uncertainty (error propagation of Eq. (1)) rises to $\sim 3\%$. No EC was detected on the blank filters (see Sect. 2.2 above) and therefore no blank correction was carried out for $f_{M,EC}$.

2) EC yield correction: The fraction of EC, which was isolated for the ^{14}C measurement (EC yield) was on average $74 \pm 11\%$ as shown in Sect. 2.3.1. However, Zhang et al. (2012) showed that $f_{M,EC}$ changes with different EC recoveries. They found a linear relationship

between $f_{M,EC}$ and the EC yield, which they used to extrapolate $f_{M,EC}$ to 100% EC yield using the average slope (0.31 ± 0.1) from several samples ($n = 5$) in order to account for the slight underestimation of biomass burning EC caused by the EC loss during EC isolation for ^{14}C measurement (see Sect. 2.3.1 above). In this study, we also measured $f_{M,EC}$ from 11 samples at different EC yields. As shown in Fig. S2 there is also a linear relationship between the EC yield and $f_{M,EC}$ for the samples from this study. Even though the slopes exhibit a larger variability compared to the ones presented in Zhang et al. (2012) the average slope of all winter samples is very similar. In contrast, the slopes for the summer filters show only a very weak relationship between $f_{M,EC}$ and the EC yield due to the smaller fraction of less refractory EC (mainly from biomass burning) which is removed before the EC isolation for the ^{14}C analysis. Beside the clear difference between samples from summer and winter, no systematic differences between different stations or years were found. Therefore, average slopes of 0.35 ± 0.11 and 0.07 ± 0.03 for winter and summer samples, respectively, were taken to correct all $f_{M,EC}$ values to 100% EC yield ($f_{M,EC,total}$) using the following equation (Zhang et al., 2012):

$$f_{M,EC,total} = \text{slope} * (1 - EC_{\text{yield}}) + f_{M,EC} \quad (2)$$

The uncertainty of $f_{M,EC,total}$ was obtained by an error propagation of Eq. (2) using the variability of the average slopes, the measurement uncertainty of $f_{M,EC}$ and an assigned uncertainty of 10% for the EC yield and is on average 4.2 %.

3) Charring correction: Approximately 50 samples exhibited OC charring contributing >10% to EC even though the method used here for EC isolation is optimized to minimize OC charring. Therefore, the $f_{M,EC,total}$ values were corrected for charring ($f_{M,EC,final}$) using the same isotopic mass balance approach as described in Eq. (1) in which the f_M and mC values of the samples and blanks were replaced by $f_{M,EC,total}$ and EC as well as the fraction (f_{charr} , formed in step one and two of the thermal treatment as described in 2.3.1) and f_M of charred OC ($f_{M,charr}$). We assumed that only 50% of the charred OC contributed to the ^{14}C result of EC since some charred material was most likely removed in step three. However, since some EC could be lost in step three as well the charred OC evaporated in step three cannot be quantified. Therefore, a high uncertainty of 33% is assigned to the fraction of charred OC which should in addition account for possible differences and variability between samples and stations. The $f_{M,charr}$ was obtained from ^{14}C measurements ($n = 11$) of WINSOC from water-extracted filters released in step one and was found to be on average 0.78. To account for possible sample-to-sample differences and variability between samples and stations we

assigned an uncertainty of 0.10 for $f_{M, charr}$. The uncertainty of $f_{M, EC, final}$ was on average 4.4%, which is only slightly higher than for $f_{M, EC, total}$ (4.2%).

4) ***Bomb peak correction:*** Samples from fossil sources are characterized by $f_M = 0$ due to the extinction of ^{14}C with a half-life of 5730 years whereas f_M is equal to one for contemporary carbon sources including biogenic and biomass burning ($f_{M, bio}$ and $f_{M, bb}$, respectively). However, due to the thermonuclear weapon tests of the late 1950s and early 1960s the radiocarbon content of the atmosphere increased and f_M exhibit values greater than one (Levin et al., 2010). To account for this effect, the $f_{M, OC, corr}$ and $f_{M, EC, final}$ values are converted into non-fossil fractions ($f_{NF, OC}$ and $f_{NF, EC}$, respectively) (Szidat et al., 2006; Zhang et al., 2012) using a reference value ($f_{NF, ref}$) representing the modern ^{14}C content during the sampling period compared to 1950 before the bomb testing. EC is only emitted from fossil sources or biomass burning (**neglecting any EC emissions from biofuels as their contribution to the total fuel use is low**). Hence, $f_{NF, ref}$ equals $f_{M, bb}$ to correct $f_{M, EC}$ whereas it includes additionally $f_{M, bio}$ and the fraction of biogenic sources to the total non-fossil sources (p_{bio}) for the calculation of $f_{NF, OC}$. $f_{M, bio}$ was taken from long-term $^{14}\text{CO}_2$ measurements at the background station Schauinsland (Levin et al., 2010) and $f_{M, bb}$ was estimated using a tree growth model as described in Mohn et al. (2008). p_{bio} was set to 0.2 ± 0.2 since no large contributions from biogenic sources are expected in Switzerland during winter-smog episodes. In any case, p_{bio} has only a very little impact on $f_{NF, ref}$ compared to other measurement uncertainties (e.g. an increase of p_{bio} from 0.2 to 0.4 would change $f_{NF, ref}$ for this study only by max. 1.8%). The $f_{M, bio}$, $f_{M, bb}$ and $f_{NF, ref}$ values for the different years, which were consequently used to determine $f_{NF, OC}$ and $f_{NF, EC}$, are shown in Table S3. The final uncertainties for $f_{NF, OC}$ and $f_{NF, EC}$ (~3 % and ~5 %, respectively) were derived from an error propagation and include all the individual uncertainties of the f_M values, $f_{M, bio}$, $f_{M, bb}$ and p_{bio} .

2.4 Analyses of water-soluble major ionic species and levoglucosan

The concentrations of major water-soluble ionic species (cations: K^+ , Na^+ , Mg^{2+} , Ca^{2+} and NH_4^+ ; anions: methanesulfonate (MSA), oxalate (Ox^{2-}), SO_4^{2-} , NO_3^- and Cl^-) were analyzed on all filters ($n = 320$) and field blanks ($n = 45$) with an ion chromatographic system (850 Professional, Metrohm, Switzerland) equipped with a Metrosept C4 cation column and a Metrosept A anion column, respectively. Prior to the measurement a water extraction (15 ml and 50 ml for samples from 2008-2010 and 2011-2012, respectively) with ultrapure water

(18.2 MΩ cm⁻¹) for 30 min at 40 °C in an ultrasonic bath of filter punches with a diameter of 11 mm was carried out. The measurement uncertainty for most of the water-soluble ions was estimated to be 10%. An uncertainty of 30% was assigned for all cations as well as for Ox²⁻ and Cl⁻ with concentrations < 5 ppb in solution. A blank correction was carried out subtracting an average value of each ionic species from the concentrations in the samples. In contrast to the blank correction of the OC and TC concentrations as well as $f_{NF,OC}$, where an average value of all blanks (different stations and years) was used, the average of all blanks from the different stations from each winter was taken separately. It should be noted here that not all ionic species were detected in all blanks (see Fig. S1 and Table S2). The overall uncertainty of the major water-soluble ionic species was derived from the error propagation of the measurement uncertainty and the blank variability.

Levoglucosan was measured following the procedures described in Piazzalunga et al. (2010) and (2013a). In brief, levoglucosan was measured by a high-performance anion-exchange chromatography (HPAEC) with pulsed amperometric detection (PAD) using an ion chromatograph (Dionex ICS1000) equipped with an isocratic pump and a sample injection valve with a 100 µL sample loop. Prior to the analysis, a water extraction was carried out by three subsequent extractions of ~2 cm² filter punches by 20-min sonication using 2 mL Millipore-MilliQ water (18.2 MΩ cm⁻¹). Levoglucosan was then separated from other compounds by a Carbopac PA-10 guard column (50 mm×4 mm) and a Carbopac PA-10 anion exchange analytical column (250 mm×4 mm) using 18 mM NaOH as an eluent. The analytical system comprised an amperometric detector (Dionex ED50) equipped with an electrochemical cell. The detector cell had a disposable gold electrode and a pH electrode as reference (both from Dionex) and was operated in the pulsed amperometric detection (PAD) mode. The measurement uncertainty was estimated to be ~5% using the average repeatability of several standards and the limit of detection in solution is 2 ppb. The levoglucosan concentrations were also analyzed for blank filters but were below the detection limit and therefore no blank correction was performed.

2.5 Additional data

Since all sampling sites in this project are part of the Swiss national (NABEL) or cantonal air pollution monitoring networks, additional parameters (e.g. gas phase pollutants, particle mass and meteorology) are routinely measured. PM₁₀ and nitrogen oxides (NO_x = NO and NO₂)

data are available from all stations (except SCH), whereas ozone (O₃), sulfur dioxide (SO₂) and carbon monoxide (CO) measurements are only performed at some stations. Reference instrumentation according to the valid European standards was used. PM₁₀ is measured online with beta attenuation monitors (FH62-IR, Thermo ESM Andersen) and by TEOM-FDMS (Thermo Environmental) instruments and an approach presented in Gehrig et al. (2005) to correct/harmonize online and gravimetric PM₁₀ measurements is routinely applied to data from all stations. It should be noted that NO_x measurements using molybdenum converters suffer from interference of oxidation products of NO_x which is however not crucial for winter-time conditions (Steinbacher et al., 2007). The meteorological parameters wind-speed, wind-direction, temperature (T), relative humidity (RH), precipitation and global radiation were also only measured at some of the sites. For the remaining sampling locations meteorological data were taken from nearby stations operated by the Swiss weather service (MeteoSwiss, 2014). In all networks (NABEL, Cantons and MeteoSwiss) data sets (except results obtained offline from filter samples, i.e. EC/OC and levoglucosan concentrations as well as ¹⁴C data) undergo an automatic and a manual quality check (data should be (1) within a plausible range, (2) show plausible variability, (3) reproduce to a reasonable extent the expected daily, monthly and yearly variations, (4) whenever possible measurements are compared to nearby or similar stations with the expectation of similar values (Barmpadimos et al., 2011)).

3 Results and discussion

3.1 Composition of PM₁₀

~~One aim of this study was the source apportionment of winter smog episodes in Switzerland.~~
As ~~we were interested in winter-smog episodes explained above~~ only days with high PM₁₀ concentrations at all stations were analyzed. As shown in Fig. 2a the selected days from almost all locations ~~fulfilled-exhibited~~ on average ~~this-criterion~~ values ~50 µg m⁻³ (European and Swiss daily limit) or above. While not exactly the same days were chosen for stations north and south of the main chain of the Alps, it is nevertheless evident that the PM₁₀ burden during winter-smog episodes in Switzerland is higher south of the Alps ($73 \pm 27 \mu\text{g m}^{-3}$ in the south compared to $55 \pm 16 \mu\text{g m}^{-3}$ in the north). These episodes often occur in winter during stable meteorological conditions including periods with high pressure, rather low

temperatures and weak winds (typically less than 2 m s^{-1}). Such conditions often lead to inversions with low mixing layer heights, thereby favoring the accumulation of pollutants and consequently causing high PM_{10} concentrations. The reason for the higher PM_{10} values at stations south of the Alps is most likely due to a combination of topography (e.g. several stations are located in alpine valleys), local meteorology (e.g. more persistent inversions with rather low mixing heights compared to the north) and emissions (strong local wood burning influence, see Sect. 3.2.1 and 3.2.2 below).

As only 5 winter-smog-episode days from each of the 5 winter seasons were selected and to account for possible differences in the concentration levels between the stations (especially locations north vs. south of the Alps), we will mainly focus here on the fractional contributions of the individual compounds to total PM_{10} . The major water-soluble ions, EC and OM measured here explain $79\text{--}82 \pm 10\text{--}11\%$ of the total PM_{10} mass. The missing fraction could mostly be attributed to aerosol water content, the water insoluble fraction (e.g., dust particles), and/or to the uncertainties of the different measurement methods and OM:OC ratio used to convert OC to OM. The major contributors to PM_{10} during winter-smog episodes in Switzerland were on average the organic matter ($\text{OM} = \text{OC} * 1.68$, Turpin and Lim, 2001), with $26\text{--}29 \pm 6\%$ and $41\text{--}46 \pm 15\text{--}17\%$ followed by the secondary inorganic aerosol (SIA) constituents nitrate (NO_3^- , $25 \pm 9\%$ and $20 \pm 11\%$), sulfate (SO_4^{2-} , $10 \pm 4\%$ and $6 \pm 3\%$) and ammonium (NH_4^+ , $9 \pm 3\%$ and $7 \pm 4\%$) for stations north and south of the Alps, respectively (see Fig. 2). Differences observed in the chemical composition of the aerosol between south and north are a first indication that different emission sources may dominate the aerosol burden at these locations. The EC shares of PM_{10} were on average $4 \pm 2\%$ in the north and $6 \pm 3\%$ in the south.

For stations north of the Alps, the range of OM contribution is rather stable (station averages 23–32%), whereas south of the Alps, OM fraction span a wider range (station averages 35–52%), with values statistically significantly higher than in the north (t-test significant at 95%, in general throughout the manuscript we always used a t-test with $p = 0.05$ to test the statistical significance of differences between stations north and south of the Alps $p = 4.5 * 10^{-13}$). Furthermore, a clear trend towards larger OM contributions at more rural stations is evident in the south. The EC shares of PM_{10} are on average slightly lower in the north compared to the south (t-test significant at 95%, $p = 4.4 * 10^{-9}$) but show similar variations among the different stations (averages range between 3–5% in the north and 5–7% in the

~~north and south, respectively~~). As already shown above the contributions of the different SIA components to PM₁₀ are larger in the north (~~t-test, significant at 95%, $p = 9.2 \cdot 10^{-4}$ for NO₃⁻, $p = 2.0 \cdot 10^{-20}$ for SO₄²⁻ and $p = 1.0 \cdot 10^{-7}$ for NH₄⁺~~). In addition, they also show larger station-to-station differences (averages range from 9–30% for NO₃⁻, 5–11% for NH₄⁺ and 7–12% for SO₄²⁻ in the north compared to 14–24% for NO₃⁻, 5–8% for NH₄⁺ and 5–6% for SO₄²⁻ in the south). While almost all constituents of PM₁₀ (OM, EC and NO₃⁻) exhibit on average larger concentrations in the south (mainly due to the selection of days with higher PM₁₀ concentrations compared to the ones selected in the north), NH₄⁺ shows on average very similar levels in both regions and SO₄²⁻ even higher ones in the north (see Fig. S3). The higher SO₄²⁻ fractions and levels observed north of the Alps indicate a higher background of this species possibly caused by occasional long-range transport of SO₂ emissions from Eastern Europe. Another interesting feature is evident for the stations south of the Alps. The relative contributions of NO₃⁻ and NH₄⁺ exhibit a trend towards lower values at rural stations, as opposed to the OM fraction (see Fig. 2), which may be due to the influence of the stations in the south by air masses advected from the Po Valley, where emissions from fossil fuel combustion (e.g. NO_x) are elevated (Piazzalunga et al., 2011b; Larsen et al., 2012) compared to the southern part of Switzerland. More details about the influence of air masses originating from other regions outside Switzerland will be discussed in Zotter et al. (2014).

3.2 ¹⁴C–based source apportionment

3.2.1 Relative fossil and non-fossil contributions of OC and EC

Figure 3 summarizes the individual results of all ¹⁴C measurements (n ~ 300 for OC and EC) from all stations for the 5 winters (2007/2008–2011/2012), except for REI, MOL, ROV and SCH (one winter) and BAS (two winters), as noted in Table 2. The use of Whisker boxplots enables the identification of the variability of the results for each station as well as the station-to-station differences. Several filters from BAS showed clearly elevated $f_{NF,OC}$ values (larger than one and up to five) indicating that BAS is influenced by sources emitting anthropogenic ¹⁴C (e.g. from nuclear power plants, pharmaceutical industry and biochemical laboratories working with labeled ¹⁴C, incinerators for medical waste). BAS is the base for two of the world's largest pharmaceutical enterprises, Roche and Novartis, and in addition an incinerator for medical waste is located in the vicinity of the station. Furthermore, ¹⁴C measurements on leaf samples across the city of Basel also showed partially highly elevated results (BAG,

2008), indicating ^{14}C -enriched CO_2 . Therefore, $f_{\text{NF},\text{OC}}$ values from BAS were not considered for the further analysis. This artefact is however restricted to OC; the $f_{\text{NF},\text{EC}}$ results did not show such an influence (see Fig. 3b) and are included and discussed throughout this study. The data from the yearly cycle in ZUR is also excluded here but will be investigated in part II (Zotter et al., 2014).

The range of all $f_{\text{NF},\text{OC}}$ values (except BAS) as displayed in Fig. 3a is 0.59–0.95 and 0.62–1.02 for stations north and south of the Alps, respectively. A few samples ($n = 4$) with $f_{\text{NF},\text{OC}}$ values slightly above one were found in SVI and are within the uncertainty ($\sim 3\%$) of $f_{\text{NF},\text{OC}}$. They can be explained on the one hand with very high local wood-burning contributions and on the other hand with the uncertainties in the reference value $f_{\text{NF},\text{ref}}$ used for the correction of the still elevated ^{14}C concentrations due to the above-ground thermo-nuclear bomb tests (see Sect. 2.3.2). The average $f_{\text{NF},\text{OC}}$ values for stations north and south of the alps are 0.78 ± 0.08 (median = 0.78) and 0.82 ± 0.07 (median = 0.83), respectively, showing that on average locations south of the Alps are more impacted (~~t-test, significant at 95%, $p = 3.4 \times 10^{-12}$~~) by non-fossil sources. As discussed above, non-fossil OC may include, POC and SOC from ~~biomass-wood~~ burning and cooking emissions, as well as primary biological particles and biogenic SOC. Cooking was estimated to contribute on average only 7.5% to OA during winter in ZUR which is the largest city of Switzerland (Canonaco et al., 2013), and is therefore expected to contribute less at the other stations. Furthermore, large inputs from biological and biogenic sources are also not expected under Swiss winter conditions, characterized by low biological activity. Therefore, the high $f_{\text{NF},\text{OC}}$ values indicate that wood burning POC and SOC are most probably the main source of OC during winter-smog episodes in Switzerland. The highest $f_{\text{NF},\text{OC}}$ values north and south of the Alps were found at the rural stations SCH (0.85 ± 0.04) and SVI (0.95 ± 0.05), which are located in narrow alpine valleys. The lowest non-fossil contributions to OC were observed in BER, STG, VAD and ZUR north of the Alps as well as in MOL and CHI south of the Alps, but were on average never below 70% showing that sources of fossil carbon only account for a small fraction of OC during winter-smog episodes in Switzerland, even at urban and traffic-influenced stations. Furthermore, the variability of all $f_{\text{NF},\text{OC}}$ values for the individual stations and the station to station differences (with the exception of SVI and BER which present the highest and lowest values, respectively) are low as displayed by the small interquartile ranges ($\text{IQR} = 3^{\text{rd}} - 1^{\text{st}}$ quartile; 0.10 ± 0.02 in the north and 0.08 ± 0.02 ~~for stations north and south of the Alps, respectively~~) and the small range of the station averages (0.75–0.85 and 0.80–0.86 for stations

north and south of the Alps, respectively). This suggests that the relative source contributions to OC are very consistent within Switzerland during winter-smog episodes.

Similar high non-fossil contributions to OC were also found in previous studies in Switzerland. The $f_{NF,OC}$ values for ZUR, ROV, MOL, REI and Sedel as well as MAS, Saxon, Sion and Brigerbad ranged on average from 61–76% with values above 90% in ROV (Szidat et al., 2006; Szidat et al., 2007; Sandradewi et al., 2008a and 2008b; Perron et al., 2010). Results previously reported for other regions in Europe show lower biomass burning contributions to OC: ~~e.g. For example the~~ biomass burning OC (OC_{BB}) to the total OC fraction ~~of 35–54% at three Austrian cities (Vienna, Graz and Salzburg, Caseiro et al., 2009), 28–65% at three locations in the Po Valley (Milan, Sondrio and Ispra, Gilardoni et al., 2011; Piazzalunga et al., 2011b) and 60% in Grenoble (Favez et al., 2010) was found to range from 35–54% (Caseiro et al., 2009), 28–65% (Gilardoni et al., 2011; Piazzalunga et al., 2011b) and 60% (Favez et al., 2010), respectively.~~

The non-fossil fraction of EC relate more unambiguously to ~~biomass-wood~~ burning. For most stations the wood burning contribution was found to be <50% and thus the contribution from fossil fuel combustion, mostly due to traffic, was >50% (see Fig. 3b). However, since the average $f_{NF,EC}$ values, except for BER, REI and MOL, never decrease below 0.4, it is evident that wood burning emissions exceptionally account for a large fraction of EC during winter-smog episodes in Switzerland. The individual $f_{NF,EC}$ values range from 0.12–0.79 (on average 0.42 ± 0.13) and 0.25–0.87 (on average 0.49 ± 0.15) for all stations north and south of the Alps, respectively, showing that for EC the contributions from ~~biomass-wood~~ burning are higher for locations south of the Alps ~~(t test, significant at 95%, $p = 3.7 \cdot 10^{-4}$).~~ The lowest $f_{NF,EC}$ values were found at the stations BER (0.22 ± 0.06), MOL (0.28 ± 0.06) and REI (0.35 ± 0.05), which are directly exposed to traffic emissions from nearby roads with a high traffic flow. Extremely high non-fossil contributions to EC up to 87% and 79% were observed in SVI ($66 \pm 11\%$) and SCH ($69 \pm 9\%$), ~~respectively~~. Both stations are located in narrow alpine valleys characterized by frequent winter-time inversions and are strongly influenced by local emissions from wood combustion, which is the main source for residential heating in such areas in Switzerland.

Elevated non-fossil contributions to EC have already been observed during previous campaigns in Switzerland ($71 \pm 18\%$ and $84 \pm 13\%$ on average in ROV and individual results between 60% and 70% in MAS, PAY, Sedel, Brigerbad, Saxon and Sion, see Zhang et al.

(2012) and references therein). Similar $f_{NF,EC}$ results were previously also reported for ZUR (0.24–0.34), BER (0.14), BAS (0.30), MAG (0.30–0.56), MOL (0.24), PAY (0.33–0.43) and REI (0.37) (see Zhang et al. (2012) and Herich et al. (2014), and references therein). $f_{NF,EC}$ for stations on the Po-valley (0.16 in Milan, 0.29 in Sondrio and 0.49 in Ispra, Gilardoni et al., 2011; Piazzalunga et al., 2011b) and Grenoble (0.17, Favez et al., 2010) are comparable as well (0.16, 0.29, 0.49 and 0.17 respectively, Favez et al., 2010; Gilardoni et al., 2011; Piazzalunga et al., 2011b) whereas for two urban stations in Sweden (Gothenburg and Stockholm) a wide range for $f_{NF,EC}$ was found (0.12–0.88, Zencak et al., 2007 Szidat et al., 2009; Andersson et al., 2011).

The most prominent feature in Fig. 3 is the clear non-fossil increase south of the Alps from MOL to SVI for OC and EC. With the exception of MOL, which is directly located next to a highway, these stations are not only ordered from the most urban and traffic influenced to the most rural, but also geographically from south to north. CHI is located in a more open terrain at the Swiss/Italian border, whereas further north, towards the main Alpine chain, narrower alpine valleys dominate and the region is consequently more rural and wood burning for wintertime residential heating becomes more important. The observation that the non-fossil contributions for both, OC and EC, are on average higher at locations south of the Alps can thus be mainly attributed to the fact that there are more rural stations in the south whereas urban and suburban stations dominate north of the Alps (see Fig. 1).

3.2.2 Total fossil and non-fossil contributions

Next we will discuss the fossil and non-fossil concentrations of OC and EC and their contributions to TC. The fraction of TC in PM_{10} is on average 19–25% for stations north of the Alps and is slightly higher for locations in the south (27–30%). Fig. 4 shows the average EC_F , EC_{NF} , OC_{NF} and OC_F concentrations as well as their relative contributions to TC for all analyzed winter samples for each station. It is evident that sources of non-fossil carbon dominate TC at locations north and south of the Alps with contributions around $70 \pm 18\%$ and $79 \pm 10\%$ (sum of EC_{NF} and OC_{NF}), respectively. Compared to other winter measurements across Europe this is rather at the higher end of the reported range and higher than reported for urban sites around the world but similar to values found for suburban and rural locations in the US and India (Hodzic et al., 2010; Heal, 2014).

OC_{NF} is the largest fraction of TC, accounting on average for $61 \pm 8\%$ and $69 \pm 9\%$ for stations north and south of the Alps, respectively, whereas EC_{NF} contributes on average $\sim 9\%$ to TC in both regions of Switzerland. The fossil shares in the north of OC ($18 \pm 6\%$) and EC ($13 \pm 6\%$) are higher ~~north of the Alps ($18 \pm 6\%$ and $13 \pm 6\%$)~~ compared to those in the south (OC_F/TC = $12 \pm 6\%$ and EC_F/TC $10 \pm 5\%$ ~~in the south, respectively~~). The lowest and highest fossil contributions to TC (sum of EC_F and OC_F) were found in SVI ($10 \pm 6\%$) and BER ($43 \pm 7\%$), respectively. For the stations south of the Alps, a clear decreasing trend in the relative contribution of fossil OC and EC from more traffic to more rural influenced stations is found (see Fig. 4 and Fig. S4). North of the Alps, such a trend is only evident for EC_F. Relative and absolute non-fossil OC and EC contributions in the north (except BER and SCH which present the highest and lowest values) only show low station-to-station differences (station averages range from 58–71% and $1.5\text{--}2.5 \mu\text{g C m}^{-3}$ for OC_{NF} as well as 8–11% and $0.9\text{--}1.9 \mu\text{g C m}^{-3}$ ~~for OC_{NF} and for EC_{NF}, respectively~~, see Fig. 4 and Fig. S4). In addition, also the variability of the relative and absolute OC_{NF} and EC_{NF} contributions at the individual stations north of the Alps is rather small as evidenced by low IQRs ($2.8 \pm 0.9 \mu\text{g C m}^{-3}$ and $7 \pm 2\%$ for OC_{NF} as well as $0.4 \pm 0.1 \mu\text{g C m}^{-3}$ and $3 \pm 1\%$ ~~for OC_{NF} and EC_{NF}, respectively~~). Together with the low station-to-station differences, this suggests ~~on the one hand~~ that non-fossil sources very consistently influence stations on the Swiss Plateau. and that the ~~Furthermore, as discussed above, OC_{NF} can be influenced by SOC formation which can be highly variable. However, the low OC_{NF} station-to-station and day-to-day variability points to a similar~~ degree of atmospheric processing and SOC formation for the chosen days in this region of Switzerland. ~~were very similar and on the other hand that the different stations~~ Last, the low absolute and relative EC_{NF} and OC_{NF} IQRs at the individual stations and station-to-station differences also indicate that locations on the Swiss Plateau are rather influenced by regional (still mainly within Switzerland) air pollution. This is confirmed by high correlations ($r = 0.7 \pm 0.2$, 0.5 ± 0.3 , 0.9 ± 0.1 and 0.7 ± 0.1 , ~~respectively~~) between the concentrations of EC_F, EC_{NF}, OC_F and OC_{NF} for all measured values from each station located on the Swiss plateau (see Table 1) against ZUR which was chosen as a reference for this region. Furthermore, this is in agreement with Gehrig and Buchmann, 2003) who previously found that (1) under high pressure conditions inversions can extend over the entire Swiss Plateau and typically last several days possibly causing smog formation and (2) that PM concentrations were strongly influenced by meteorology (dilution with clean air or precipitation) rather than by variation of source activities. In contrast, correlating the ~~absolute~~ fossil and non-fossil ~~contributions~~

concentrations of OC and EC from stations south of the Alps against the ones from MAG shows lower values ($r = 0.3 \pm 0.2$, 0.6 ± 0.3 , 0.4 ± 0.3 and 0.3 ± 0.3 for OC_{NF} , EC_{NF} , EC_F and OC_F , respectively) indicating that local sources are more important for stations south of the Alps.

3.3 Sources and behavior of fossil and non-fossil organic carbon

3.3.1 Fossil fraction

Fig. 5 presents the comparison between EC_F , OC_F and NO_x , which are expected to be associated with traffic emissions, in Switzerland. EC_F , which is emitted as primary aerosol from vehicles, exhibits a high correlation with NO_x for the stations north ($r = 0.79$) and south ($r = 0.75$) of the Alps, with similar slopes and axis intercepts for both regions (0.021 and $0.015 \mu\text{g C m}^{-3} \text{ ppb}^{-1}$ and 0.35 and $0.89 \mu\text{g C m}^{-3}$ for north and south of the Alps, respectively, see Fig. 5c), indicating a rather similar fleet composition in the two areas. Similar slopes (0.05 , 0.03 and $0.02 \mu\text{g C m}^{-3} \text{ ppb}^{-1}$) have been reported previously for 3 locations in Switzerland (MAG, ZUR and PAY, Herich et al., 2011), Grenoble (Favez et al., 2010) and London (Liu et al., 2014). In contrast, no correlation is found between OC_F and the primary vehicular markers, EC_F and NO_x ($r < 0.5$, see Fig. 5b) for stations both north and south of the Alps. Further, the amounts of fossil organic carbon measured are significantly higher than amounts expected for traffic emissions; i.e. observed average $OC_F/EC_F = 1.54 \pm 0.83$ vs. traffic $OC/EC = 0.25\text{--}0.80$ (El Haddad et al., 2013 and references therein). Taken together these observations indicate that a considerable amount of OC_F is associated with emissions or atmospheric pathways that yield organic aerosol with little or no EC_F and NO_x . These processes may include primary emissions from non-mobile fossil fuel combustion sources, e.g. heavy fuel combustion (e.g. crude oil, not widely used in Switzerland), or secondary organic carbon formed from fossil VOCs emitted from traffic.

3.3.2 Non-fossil fraction

As mentioned above a significant fraction of non-fossil carbon during winter-smog episodes originates from ~~biomass~~-wood burning. The use of a single or a set of source specific compound markers from wood burning emissions is often applied to estimate the contribution of this source to ambient aerosol (Herich et al., 2014 and references therein). The most widely used tracer compound for biomass-burning emissions is levoglucosan (Simoneit et al., 1999;

Puxbaum et al., 2007; Viana et al., 2013), a product of cellulose combustion. Another wood burning tracer is water-soluble potassium (K^+), which is an inorganic compound mainly present in ash. The wide variability of levoglucosan emission ratios results in significant uncertainties in estimating wood burning contributions. For example, ratios of OC and EC to levoglucosan for alpine regions were reported in Schmidl et al. (2008) to range from 3.7 to 12.5 and from 0.7 to 4.7, respectively, dependent on the combustion conditions and fuel type used (Engling et al., 2006; Lee et al., 2010). Here, we examine the relationship between different measured wood burning markers and the measured OC_{NF} , to investigate the main emission sources and chemical characteristics of this fraction.

The comparison of EC_{NF} and OC_{NF} with levoglucosan (see Fig. 6) shows a high correlation for both species with the latter. The small intercept (1.3 and 2.3 $\mu g C m^{-3}$ for stations north and south of the Alps, respectively) and the high correlation ($r > 0.87$) between OC_{NF} and levoglucosan suggests that the majority of OC_{NF} originates from wood combustion; i.e. cooking and, biogenic emissions seem to be minor contributors (see Sect. 3.2.1 above).

Furthermore, this indicates that OC_{NF} is to a large extent emitted as primary aerosol, however, with the data presented in this study it is not possible to quantify a primary vs. secondary fraction of wood-burning OC. In addition, OC_{NF} also exhibits a high correlation with K^+ as well ($r = 0.62$ in the north and $r = 0.87$ for stations north and in the south of the Alps, respectively, see Fig. 8a). However, K^+ is also found in soil dust and sea salt or can be formed in incinerators and during meat cooking (Schauer et al., 1999; Schauer et al., 2001), and therefore cannot be used as unambiguous tracer for biomass-wood burning, although none of these sources are expected to have a large influence in Switzerland during winter. Another indication for OC_{NF} originating to a large extent from wood combustion is its high correlation ($r = 0.77$, see Fig. 7) with EC_{NF} , which can be almost exclusively attributed to this source.

A high correlation is also found between levoglucosan and K^+ ($r > 0.6$). However, clearly different slopes (0.6 and 5.4) are observed for stations north and south of the Alps, respectively. Furthermore, also the comparison of OC_{NF} and EC_{NF} with levoglucosan as well as OC_{NF} with K^+ shows significantly different ratios for stations located in the north and the south. These discrepancies between the two Swiss regions could originate from different wood types used (e.g. soft and hard wood), burning conditions, and atmospheric processing. Different ratios of OC_{NF} and EC_{NF} to levoglucosan indicate differences in SOC formation and/or photochemical degradation of the latter which was recently reported by Kessler et al.

(2010) and Hennigan et al. (2011). However, under winter-smog conditions in Switzerland (low temperatures and photochemical activity) rapid levoglucosan degradation is not expected and no large systematic differences in the photochemical activity and SOC formation between locations south and north of the Alps were found as evidenced by very similar OC_{NF} to EC_{NF} ratios (7.7 ± 2.1 and 8.6 ± 2.9 , see Table 3 and Fig. 7) for these two regions in Switzerland. However, with our data we cannot completely rule out different wood burning OC/EC emission ratios in both regions of Switzerland as higher primary wood burning OC emissions in the south could be compensated by a larger non-fossil SOC fraction in the north. Higher ratios of OC_{NF} and levoglucosan to K^+ in the south show that wood burning emissions contain a higher fraction of OC compared to the north. Data from the Swiss forest inventory (Swiss Federal Statistical Office, 2014) show that the fraction of soft (25%) and hard woods (75%) in the energy wood production (~~25% and 75%, respectively~~) is very similar between the Swiss Plateau and the regions south of the Alps (max. 16% difference for the years 2008–2012) suggesting that households in both regions have similar access to soft and hard woods. Therefore, the different ratios between OC_{NF} and K^+ as well as levoglucosan and K^+ are most likely due to different burning conditions. Previous studies demonstrated that particulate emissions from biomass combustion with high temperatures (e.g. in large combustion units, modern stoves and boilers) consist predominantly of inorganic material (K-salts) and contain little OC (Valmari et al., 1998; Johansson et al., 2003; Khalil and Rasmussen, 2003; Heringa et al., 2011; Schmidl et al., 2011). Consequently, dissimilar levoglucosan to K^+ ratios measured at different locations have already been used as indication for different burning conditions in recent studies (Sandradewi et al., 2008b; Caseiro et al., 2009; Piazzalunga et al., 2013b). The lower levoglucosan to K^+ ratios found in this study for locations north of the Alps therefore suggest a larger fraction of more efficient wood burners (e.g. pellet and wood chip burners) in this region compared to the south where wood stoves seem to be operated at rather poor combustion conditions with high carbonaceous and thus lower relative K^+ emissions.

The discussions above clearly showed the differences in wood burning marker ratios at locations north and south of the Alps. However, a closer inspection of the results of Table 3 reveals that most wood burning marker ratios at the stations PAY and MAS (both north of the Alps) are rather similar to the average over all locations south of the Alps and the urban station CHI exhibits values more similar to the average in the north than to the other southern locations. Since in the north mainly urban and suburban stations and south of the Alps mostly

rural and/or background sites were chosen (see Table 1 and Fig.1), this suggests that the differences in the wood burning marker ratios between these two Swiss regions are most likely associated with the different station characteristics (e.g. rural and/or background with high wood burning influence vs. urban, suburban and more traffic influenced stations) rather than due to their geographical location within Switzerland.

3.3.3 Comparison of wood burning marker ratios with other studies

Herich et al. (2014) presented an overview about previous studies carried out during winter in Switzerland and other alpine regions in Europe. Several source apportionment methods (including ^{14}C analysis, aethalometer model, positive matrix factorization, chemical mass balance, macro tracer approach, [see Gianini et al. \(2013\) and Herich et al. \(2014\) for a discussion about possible differences in the biomass burning marker ratios due to different approaches](#)) were used in these studies to estimate the wood burning fraction of OC and EC. In the following we will compare our biomass burning marker ratios with the ones summarized by Herich et al. (2014). It should be noted that the results presented in the latter study were mainly obtained from short campaigns in just a single winter season and at a limited number of stations, whereas here we performed measurements on winter filters from five years and 16 stations.

The average EC_{NF} to levoglucosan ratio for several stations north of the Alps (BER, PAY, STG, ZUR, REI, BAS, Ebnat-Kappel) from earlier winter measurements in Switzerland ~~is 1.82 ± 0.44 , and~~ is consistent with the results obtained here ~~(1.72 ± 0.59 , see Table 3), but EC_{NF} /levoglucosan~~ for some southern stations (MAG, MOL, ROV) ~~is on average 1.20 ± 0.37 , which~~ is slightly higher than the average ratio found here (0.87 ± 0.27 , see Table 3). EC_{NF} /levoglucosan ratios for three Austrian cities (~~1.18 – 1.38 for~~ Vienna, Graz and Salzburg, Caseiro et al., 2009) and three locations in the Po Valley (~~0.84 – 1.16 for~~ Milan, Sondrio and Ispra, Gilardoni et al., 2011; Piazzalunga et al., 2011b) which can be considered as north and south of the main chain of the Alps, respectively, exhibit also similar values as those obtained here. Generally lower biomass burning OC (OC_{BB}) to levoglucosan and OC_{BB} to EC_{NF} ratios for the Swiss, Po-valley and Austrian sites located north and south of the Alps were found in Herich et al. (2014) compared to OC_{NF} to levoglucosan and OC_{NF} to EC_{NF} ratios presented here (~~12.6 ± 3.1 and 7.7 ± 2.1 , respectively, in the north as well as 7.81 ± 2.70 and 8.6 ± 2.9 , respectively, in the south, see Table 3). OC_{BB} to levoglucosan ratios previously found in the north and south of Switzerland, in Austria and the Po valley are 9.05 ± 1.77 , 7.04 ± 0.90 , 7.24~~

~~± 0.03 and 5.62 ± 0.30 , respectively, and OC_{BB} to EC_{NF} ratios previously reported are 4.98 ± 0.39 , 4.72 ± 0.04 , 5.57 ± 0.48 and 6.54 ± 0.25 , respectively.~~ The differences in the ratios most likely originate from (1) uncertainties in the OC_{BB} determination (e.g. OC/levoglucosan emission ratios have to be assumed which can be highly variable) (2) SOC from ~~biomass~~ wood burning is not taken into account in the OC_{BB} values as presented in Herich et al. (2014) but is included in OC_{NF} as obtained by the ^{14}C measurement and (3) a contribution of other non-fossil sources (e.g. cooking or biogenic aerosol) to OC_{NF} as apportioned with the ^{14}C analysis cannot be completely ruled out although they are expected to have no large influence during winter-smog episodes in Switzerland (see discussion in Sect. 3.2.1 above).

The differences in the wood burning marker ratios between locations north and south of the Alps is also evident for the results presented in Herich et al. (2014). OC_{BB} and EC_{NF} to levoglucosan ratios are higher in the north which was also shown for the same ratios obtained here. In addition, OC_{BB}/EC_{NF} previously found for stations north and south of the Alps in Switzerland are very similar confirming the findings from above (see Sect. 3.3.2) that there is no significant difference in the non-fossil SOC formation between these two regions.

4 Conclusions

In this study we present source apportionment results of winter-smog episodes in Switzerland (days exceeding the Swiss and European daily PM_{10} limit of $50 \mu g m^{-3}$) using radiocarbon (^{14}C) analysis separated for the elemental (EC) and organic (OC) carbon fraction together with levoglucosan, major water-soluble ionic species and gas phase pollutant measurements. Overall, ~300 filter samples from 5 winter seasons (2008-2012) from 16 air pollution monitoring stations across Switzerland with different characteristic (e.g. urban, suburban, rural, alpine valley, traffic, background, etc.) were analyzed providing one of the world's largest aerosol ^{14}C datasets.

The most important contributions to PM_{10} during winter-smog episodes in Switzerland were on average the organic matter OM (~~$26-29 \pm 67\%$~~ and ~~$41-46 \pm 1517\%$~~), followed by the secondary inorganic constituents nitrate (NO_3^- , $25 \pm 9\%$ and $20 \pm 11\%$), sulfate (SO_4^{2-} , $10 \pm 4\%$ and $6 \pm 3\%$) and ammonium (NH_4^+ , $9 \pm 3\%$ and $7 \pm 4\%$) for stations north and south of the Alps, respectively. The EC shares of PM_{10} were on average 3–5 % north of the Alps and 5–7% south of the Alps. PM_{10} and OM concentrations during winter-smog episodes in Switzerland were significantly higher for stations south of the Alps, which is most likely due

to a combination of topography (e.g. several stations are located in alpine valleys), local meteorology (e.g. more persistent inversions with rather low mixing heights compared to the north) and emissions (strong local wood burning influence).

The fractional non-fossil contribution of organic carbon ($f_{NF,OC}$) determined with the ^{14}C analysis ranges on average between 0.69–0.85 and 0.80–0.95 for stations north and south of the Alps, respectively, showing that traffic contributes on average only up to 30% to OC. Furthermore, the elevated $f_{NF,OC}$ values together with high correlations with other wood burning markers (non-fossil EC, levoglucosan and water soluble potassium) indicate that residential biomass-wood burning is the major source of OC during winter smog episodes in Switzerland. The station-to-station differences and the variability at each individual location north of the Alps is small suggesting that on the one hand the relative source contributions, meteorological conditions, as well as the degree of atmospheric processing and secondary OC formation for the chosen days were very similar and on the other hand that different stations, especially those on the Swiss plateau, are rather influenced by regional air pollution than from local sources. The relative non-fossil contributions of EC ($f_{NF,EC}$), which can be exclusively attributed to biomass-wood burning, are on average 0.42 ± 0.13 and 0.49 ± 0.15 for stations north and south of the Alps, respectively. Since $f_{NF,EC}$ values are often close to 0.5 (even slightly higher for some stations) this shows that also residential wood combustion contributes to a large extent to EC during winter-smog episodes in Switzerland. The sum of non-fossil OC and EC contributes on average $70 \pm 18\%$ and $79 \pm 10\%$ to total carbon at stations north and south of the Alps, respectively, highlighting the importance of biomass-wood burning emissions from residential heating in Switzerland during winter-smog episodes. This is in agreement with recent studies which have shown that residential wood burning can be the dominating source of carbonaceous aerosols during the cold season, in Europe.

The comparison between fossil EC (EC_F , only emitted as primary aerosol) and nitrogen oxides (NO_x), which are mainly associated with traffic emissions, showed a good agreement whereas no correlation was observed between fossil OC (OC_F) and the two latter components, indicating that a considerable amount of OC_F is secondary OC (SOC) formed from fossil precursors mainly emitted from traffic. Correlations between non-fossil OC (OC_{NF}) and EC (EC_{NF}) and the wood burning markers levoglucosan and water soluble potassium (K^+) clearly show different slopes for stations north and south of the Alps suggesting different burning technologies in both regions.

789

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1155 Table 1: List of all stations, their classification according to the Swiss Federal Office for the
 1156 Environment (BAFU), their general location in Switzerland, their abbreviations which are
 1157 used later in the text, figures and tables, as well the different winter seasons from which filters
 1158 from each station were analyzed.

Station name	Station code	General location	Station type	Altitude	<u>Winter analyzed*</u>
Reiden-A2	REI		rural/highway	510m	<u>07/08</u>
Basel-St. Johann	BAS		urban/background	308m	<u>07/08-08/09</u>
Sissach-West	SIS		suburban/traffic	410m	<u>07/08-11/12</u>
Solothurn- Altwyberhüsli	SOL	north of the Alps/ Swiss Plateau	urban/background	502m	<u>07/08-11/12</u>
Payerne	PAY		rural/background	539m	<u>07/08-11/12</u>
Zürich-Kaserne	ZUR		urban/background	457m	<u>07/08-11/12[#]</u>
St.Gallen- Rorschacherstrasse	STG		urban/traffic	457m	<u>07/08-11/12</u>
Bern-Bollwerk	BER		urban/traffic	506m	<u>08/09-12/13</u>
Vaduz-Austrasse	VAD		suburban/traffic	706m	<u>07/08-11/12</u>
Massongex	MAS	north of the Alps/ alpine valley	rural/industry	452m	<u>08/09-11/12</u>
Schächental	SCH		rural/background	995m	<u>10/11</u>
Chiasso	CHI		urban/traffic	291m	<u>07/08-11/12</u>
Magadino- Cadenazzo	MAG	south of the Alps	rural/background	254m	<u>07/08-11/12</u>
Moleno-A2	MOL		rural/highway	305m	<u>07/08</u>
Roveredo-Stazione	ROV	south of the Alps/ alpine valley	suburban/background	370m	<u>07/08</u>
San-Vittore	SVI		rural/traffic	330m	<u>07/08-11/12</u>

1159 *EC and OC concentrations, ¹⁴C in OC and EC were analyzed on all filters. Levoglucosan was only
 1160 analyzed for all stations for the winters 2007/2008 and 2008/2009.

1161 [#]In addition, a yearly cycle from August 2008 to July 2009 with 2-3 samples per month was analyzed
 1162 for ZUR.

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~~Table 2: Overview of all analysis carried out and which stations participated during which time period.~~

analysis	filter selection	Stations and time period
EC/OC concentrations	all samples (n = 320)	ZUR, PAY, MAG, SOL, SIS, STG, VAD, SVI and CHI (winter 07/08–11/12)
¹⁴C in EC and OC	all samples (n = 320 * 2)	BER (winter 08/09–12/13), MAS (winter 08/09–11/12), BAS (only winter 07/08–08/09),
water soluble ions	all samples (n = 320)	SCH (only winter 10/11), REI, MOL and ROV (only winter 07/08),
levoglucosan	130 samples	yearly cycle ZUR (Aug. 08–Jul. 09)

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Table 2: Summary of the different correction steps of the ^{14}C raw data.

<u>Correction</u>	<u>Abbreviations</u>
<u>1) blank correction</u> $f_{M,corr} = \frac{mC_{sample} \cdot f_{M,sample} - mC_{blk} \cdot f_{M,blk}}{mC_{sample} - mC_{blk}}$	<u>f_M</u> fraction of modern from ^{14}C analysis <u>$f_{M,sample}$</u> f_M obtained on the selected filters <u>$f_{M,blk}$</u> f_M obtained on the blank filters <u>$f_{M,corr}$</u> blank corrected f_M <u>mC_{sample}</u> carbon mass of the samples <u>mC_{blk}</u> carbon mass of the blanks
<u>2) EC yield correction</u> $f_{M,EC,total} = slope \cdot (1 - EC_{yield}) + f_{M,EC}$	<u>$f_{M,EC}$</u> f_M for EC <u>EC_{yield}</u> EC fraction separated for ^{14}C analysis <u>slope</u> slope between $f_{M,EC}$ and EC_{yield} (see Fig. S2) <u>$f_{M,EC,total}$</u> $f_{M,EC}$ corrected to 100% EC_{yield}
<u>3) charring correction</u> $f_{M,EC,final} = \frac{f_{M,EC,total} - f_{M,charr} \cdot f_{charr}}{1 - mC_{charr}}$	<u>$f_{M,charr}$</u> f_M of charred OC <u>f_{charr}</u> fraction of charred OC <u>$f_{M,EC,final}$</u> charring corrected $f_{M,EC,total}$
<u>4) bomb peak correction</u> $f_{NF,ref} = p_{bio} \cdot f_{M,bio} + (1 - p_{bio}) \cdot f_{M,bb}$ $f_{NF,OC} = f_{M,OC,corr} / f_{NF,ref}$ $f_{NF,EC} = f_{M,EC,final} / f_{M,bb}$	<u>p_{bio}</u> biogenic fraction of total non-fossil sources <u>$f_{M,bio}$</u> f_M of biogenic sources <u>$f_{M,bb}$</u> f_M of biomass burning <u>$f_{NF,ref}$</u> modern ^{14}C content during sampling <u></u> compared to 1950 (before bomb testing) <u>$f_{NF,OC}$</u> final non-fossil fraction of OC <u>$f_{NF,EC}$</u> final non-fossil fraction of EC

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Table 3: Compilation of the ratios between levoglucosan (Levo) and K^+ , EC_{NF} and levoglucosan, OC_{NF} and levoglucosan as well as OC_{NF} and EC_{NF} for all stations. Numbers indicate the mean values \pm standard deviation. The number of samples is reported in brackets. OC_F values from BAS and all data from the yearly cycle in ZUR are excluded (see Sect. 3.2.1 and Sect. 1). No levoglucosan was measured in SCH. In addition, ratios previously reported in literature* for similar conditions are included as well.

station	$EC_{NF}/Levo$	$OC_{NF}^{**}/Levo$	OC_{NF}^{**}/EC_{NF}	$Levo/K^+$
REI	1.76 ± 0.49 (n = 5)	17.3 ± 4.2 (n = 5)	9.9 ± 1.3 (n = 5)	0.59 ± 0.16 (n = 5)
BER	1.74 ± 0.21 (n = 5)	15.5 ± 2.2 (n = 5)	9.4 ± 1.6 (n = 25)	0.87 ± 0.12 (n = 5)
BAS	1.29 ± 0.28 (n = 9)	-	-	1.52 ± 0.47 (n = 10)
PAY	1.26 ± 0.21 (n = 5)	10.4 ± 1.1 (n = 5)	8.3 ± 2.5 (n = 25)	1.37 ± 0.32 (n = 5)
SIS	1.79 ± 0.46 (n = 9)	12.9 ± 3.7 (n = 8)	6.7 ± 1.4 (n = 21)	0.63 ± 0.21 (n = 10)
SOL	1.42 ± 0.33 (n = 9)	11.8 ± 2.2 (n = 10)	7.8 ± 2.0 (n = 25)	1.05 ± 0.25 (n = 10)
MAS	1.15 ± 0.13 (n = 5)	10.9 ± 2.0 (n = 5)	8.4 ± 1.5 (n = 20)	2.05 ± 0.43 (n = 5)
ZUR	2.12 ± 0.79 (n = 9)	13.1 ± 2.2 (n = 9)	7.3 ± 2.0 (n = 25)	0.80 ± 0.22 (n = 10)
VAD	2.43 ± 0.78 (n = 9)	12.1 ± 3.5 (n = 10)	5.9 ± 1.5 (n = 25)	0.88 ± 0.24 (n = 10)
STG	1.77 ± 0.29 (n = 14)	11.7 ± 2.0 (n = 14)	7.4 ± 1.9 (n = 25)	0.97 ± 0.26 (n = 13)
<u>SCH</u>	=	=	<u>5.1 ± 1.2 (n = 3)</u>	=
MOL	0.77 ± 0.24 (n = 5)	7.3 ± 2.0 (n = 5)	9.9 ± 2.9 (n = 5)	3.67 ± 0.83 (n = 5)
ROV	0.76 ± 0.43 (n = 5)	7.0 ± 3.0 (n = 5)	9.7 ± 2.1 (n = 5)	4.39 ± 1.53 (n = 5)
CHI	1.01 ± 0.28 (n = 10)	9.9 ± 2.8 (n = 10)	9.8 ± 3.7 (n = 25)	2.87 ± 0.97 (n = 10)
MAG	0.80 ± 0.17 (n = 10)	6.9 ± 2.6 (n = 10)	7.9 ± 2.4 (n = 25)	3.29 ± 0.73 (n = 10)
SVI	0.93 ± 0.19 (n = 6)	6.9 ± 1.4 (n = 6)	7.3 ± 1.9 (n = 22)	4.49 ± 1.20 (n = 6)
north of Alps	1.72 ± 0.59 (n = 79)	12.6 ± 3.1 (n = 71)	7.7 ± 2.1 (n = 199)	1.03 ± 0.46 (n = 83)
south of Alps	0.87 ± 0.27 (n = 36)	7.8 ± 2.7 (n = 36)	8.6 ± 2.9 (n = 82)	3.58 ± 1.16 (n = 36)
<u>Austria***</u>	<u>1.31 ± 0.11</u>	<u>7.24 ± 0.03</u>	<u>5.57 ± 0.48</u>	=
<u>Po-valley****</u>	<u>0.89 ± 0.06</u>	<u>5.62 ± 0.30</u>	<u>6.54 ± 0.25</u>	=
<u>north of Alps[#]</u>	<u>1.82 ± 0.44</u>	<u>9.05 ± 1.77</u>	<u>4.98 ± 0.39</u>	=

<u>south of Alps[†]</u>	<u>1.20 ± 0.37</u>	<u>7.04 ± 0.90</u>	<u>4.72 ± 0.04</u>	<u>=</u>
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* data from the publications listed below were summarized and recalculated by Herich et al. (2014)

** Herich et al. (2014) obtained biomass burning OC (OC_{BB}) ratios which do not include SOA

*** average over measurements in winter from Vienna, Graz and Salzburg (Caseiro et al., 2009)

**** average over measurements in winter from Milan, Sondrio and Ispira (Gilardoni et al., 2011; Piazzalunga et al., 2011b)

average over measurements in winter from BER, PAY, STG, ZUR, REI, BAS, Ebnat-Kappel (Sandradewi et al., 2008b; Herich et al., 2011; Gianini et al., 2012)

† average over measurements in winter from MAG, MOL, ROV (Sandradewi et al., 2008b; Herich et al., 2011; Gianini et al., 2012)

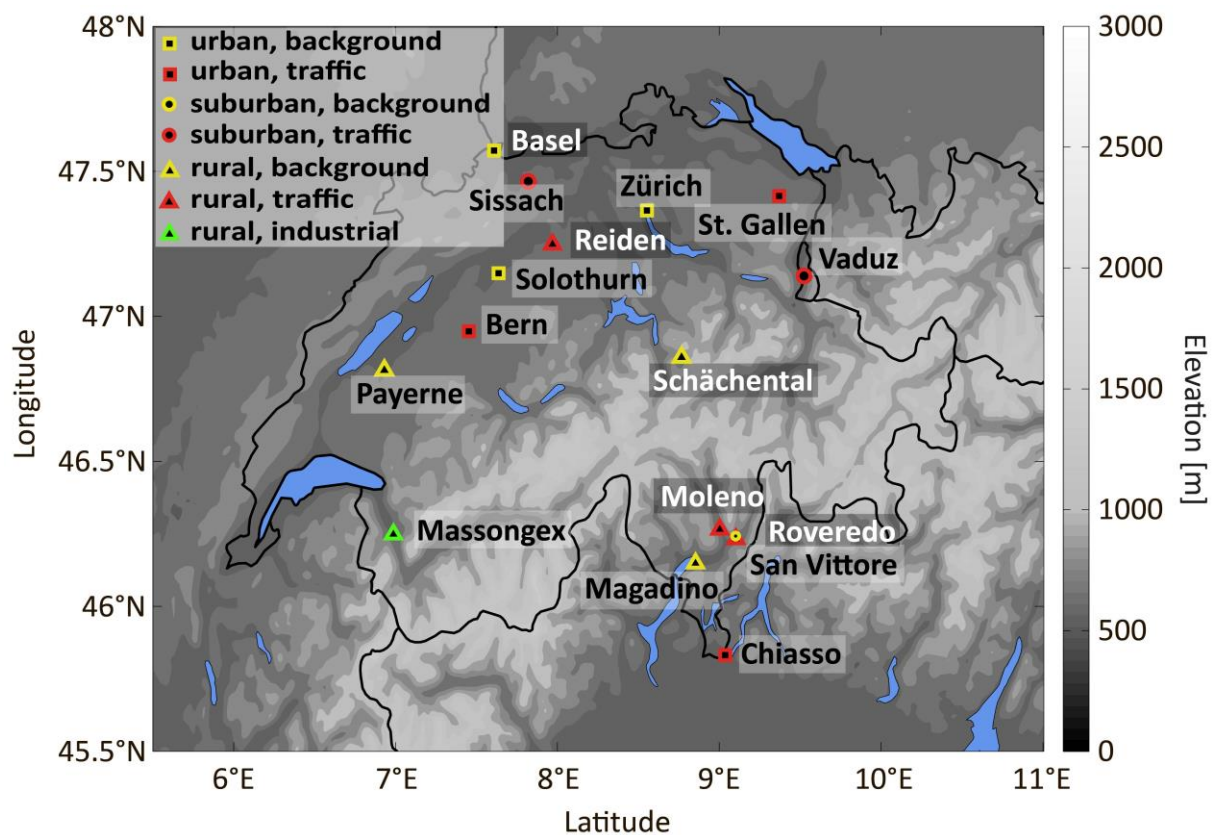


Figure 1: Location of the different stations in Switzerland investigated in this study. White labels indicate stations from which filters from only 1 or 2 winters were analyzed. For all other stations samples from 4 or 5 winters were studied.

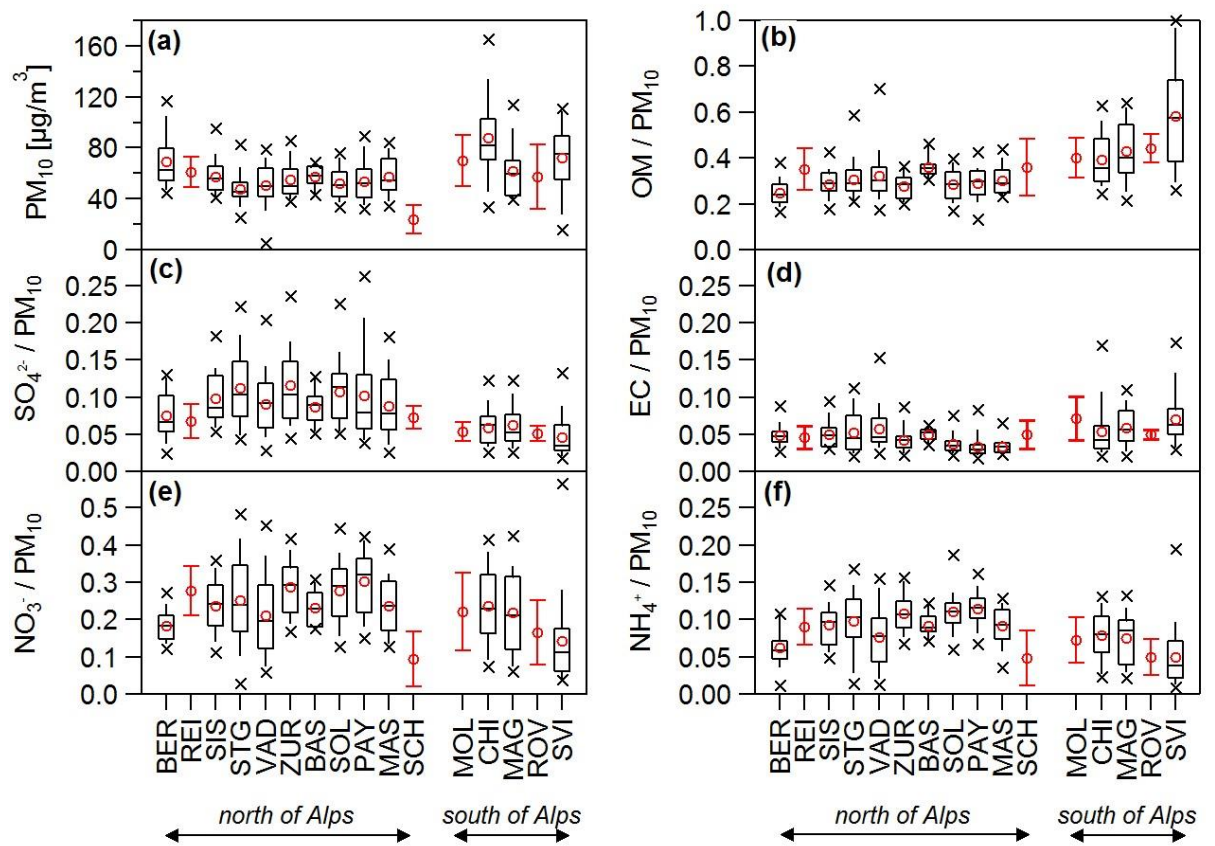
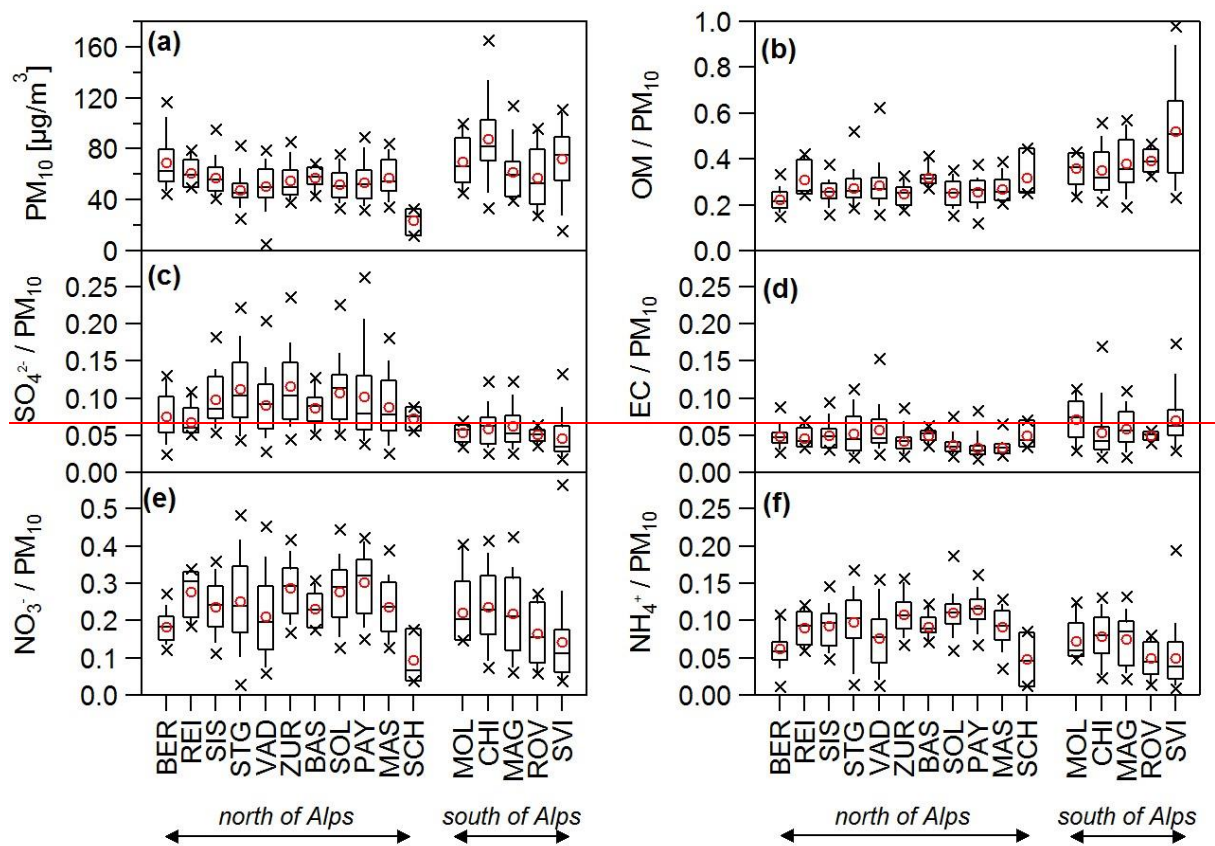
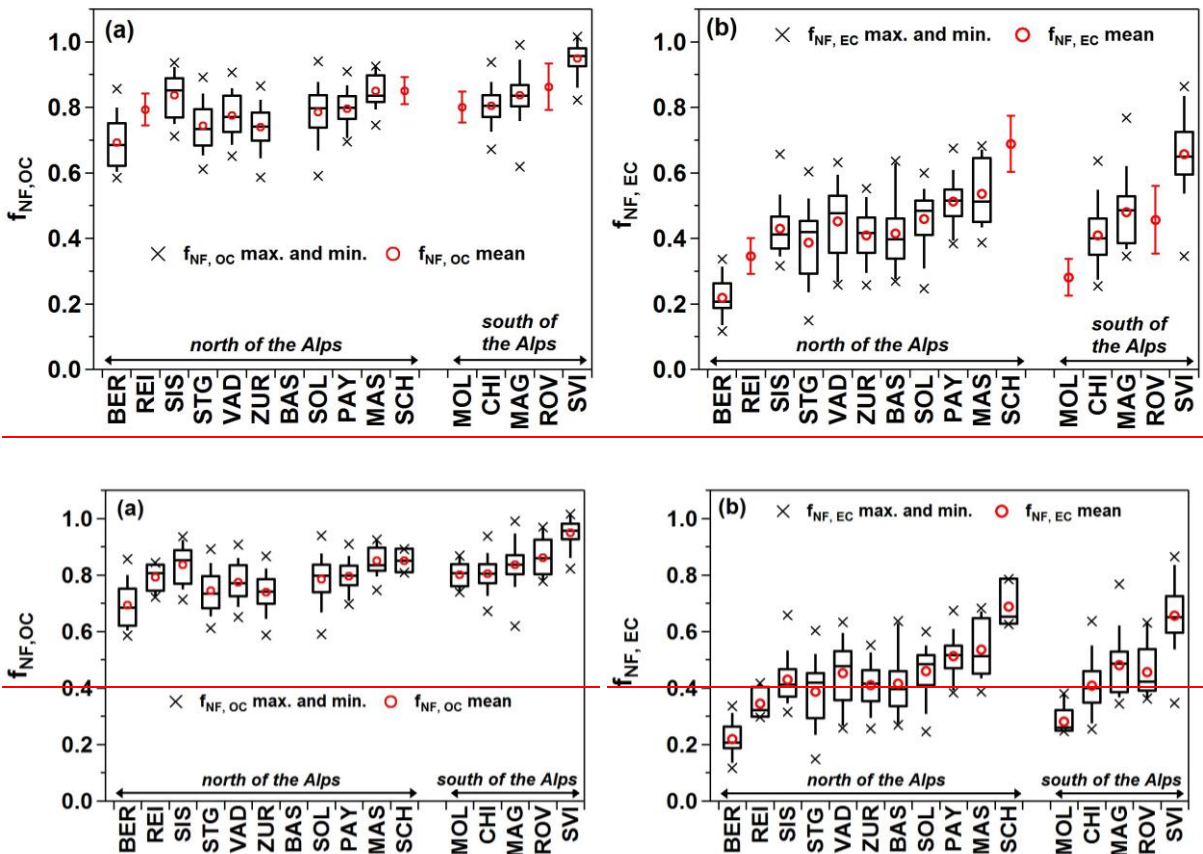


Figure 2: Whisker box plots of the fractional contributions of the major constituents of PM₁₀ (water-soluble ions NO₃⁻, SO₄²⁻ and NH₄⁺ as well as EC and OM = OC * 1.68) from all analyzed winter samples (n ~ 300). The open red circles represent the mean and the black crosses the max. and min. values. The boxes represents the 25th (lower line), 50th (middle line) and 75th (top line) percentiles. The end of the vertical bars denote the 10th (below the box) and 90th (above the box) percentiles. Stations north and south of the Alps are sorted from the left to the right from the nominal most traffic-influenced station (see Table 1) to the most rural one. Data from the yearly cycle in ZUR are excluded. Only averages ± standard deviations are displayed for stations from which only filters from one winter were analyzed. The Whisker box plots showing the absolute concentrations are presented in Fig. S3 in the Supplementary Material.



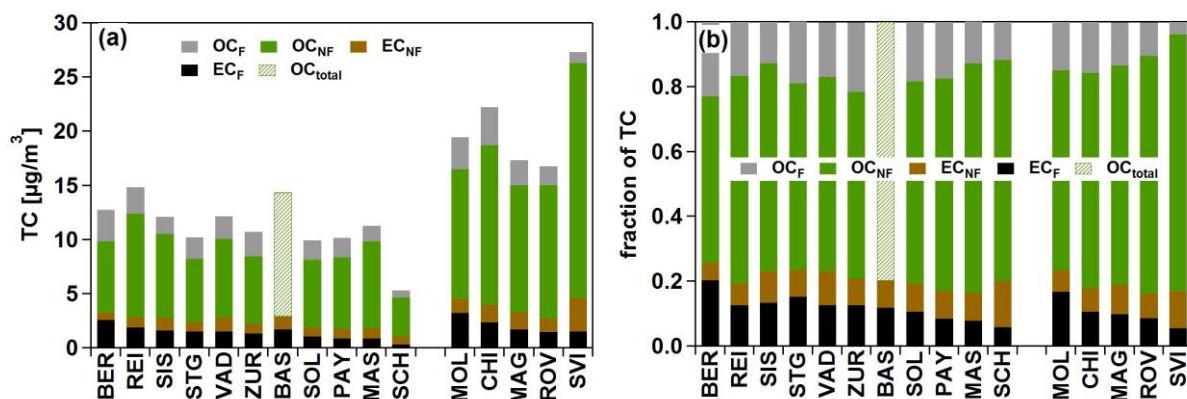


Figure 4: Average over all analyzed winter samples ($n \sim 300$) for each station of EC_F , EC_{NF} , OC_{NF} and OC_F (a) as well as their relative contribution to TC (b). Total OC is displayed for BAS since $f_{NF,OC}$ values for this station are not included in the analysis due to several values above one (see Sect. 3.2.1). Data from the yearly cycle in ZUR are excluded as well.

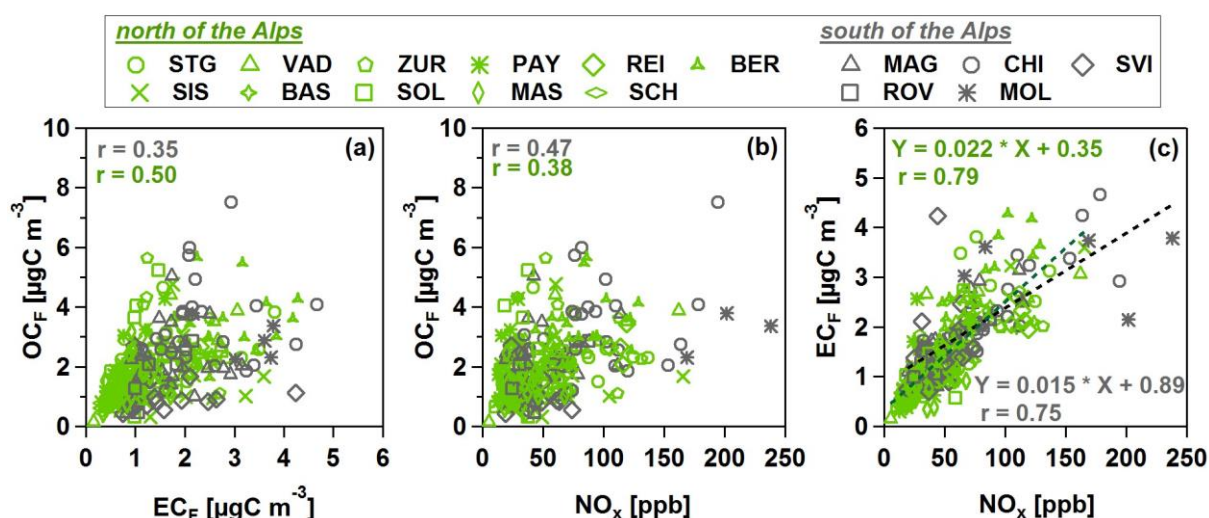


Figure 5: Comparison for stations north and south of the Alps for (a) EC_F and OC_F , (b) NO_x and OC_F as well as (c) NO_x and EC_F . OC_F values from BAS and all data from the yearly cycle in ZUR are excluded (see Sect. 3.2.1 and Sect. 1). An orthogonal distance regression was used to fit the data.

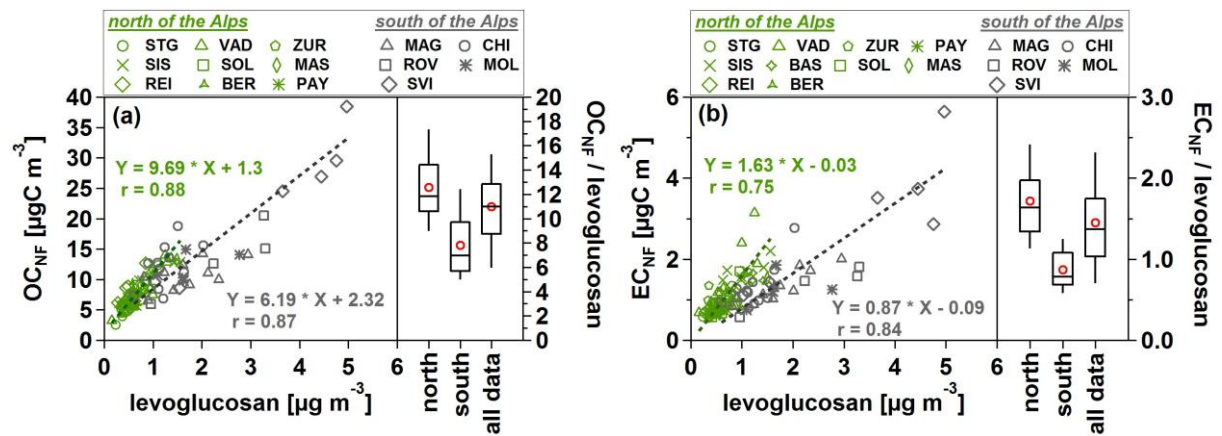


Figure 6: Scatter plot of OC_{NF} (a) and EC_{NF} (b) vs. levoglucosan combined with Whisker box plots of their ratios for all measured winter samples (red circles denote the mean). OC_{NF} values from BAS and all data from the yearly cycle in ZUR are excluded (see Sect. 3.2.1 and Sect. 1). Levoglucosan data is only available for the first two winter seasons (see Table 2). An orthogonal distance regression was used to fit the data.

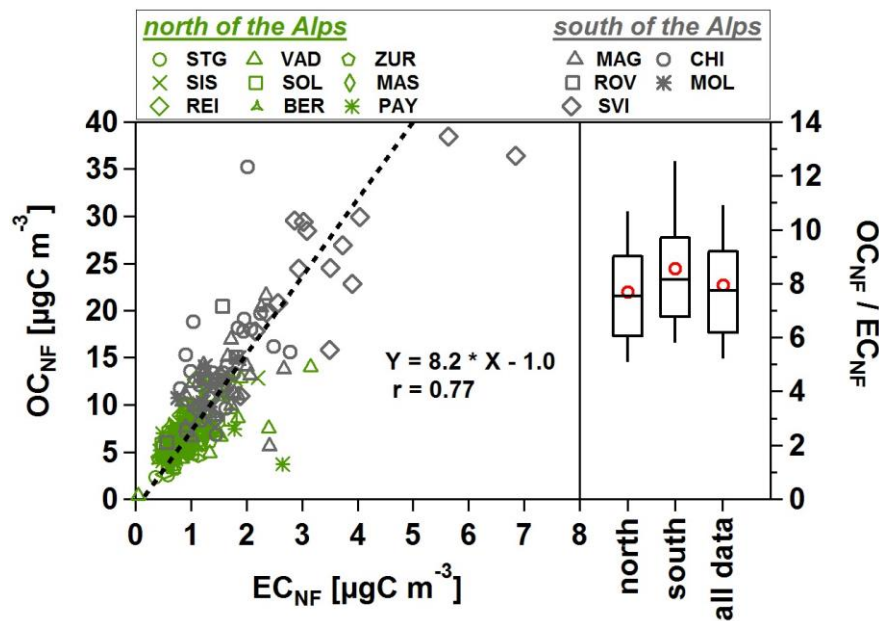


Figure 7: Comparison of OC_{NF} and EC_{NF} combined with Whisker box plots of their ratios for all measured winter samples (red circles denote the mean). OC_{NF} values from BAS and all data from the yearly cycle in ZUR are excluded (see Sect. 3.2.1 and Sect. 1). An orthogonal distance regression was used to fit the data.

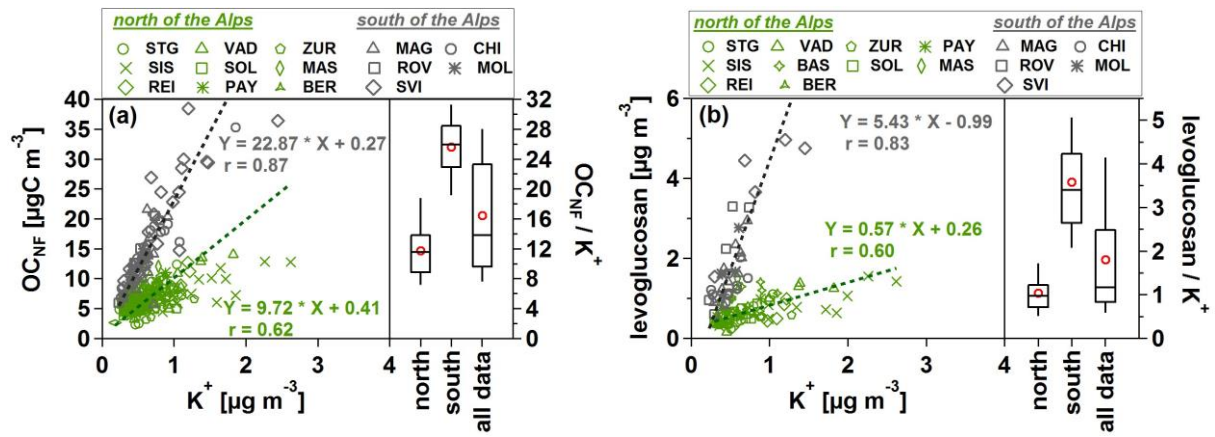


Figure 8: OC_{NF} (a) and levoglucosan (b) as a function of the K^+ concentrations combined with Whisker box plots of their ratios for all measured winter samples (red circles denote the mean). OC_{NF} values from BAS and all data from the yearly cycle in ZUR are excluded (see Sect. 3.2.1 and Sect. 1). Levoglucosan data is only available for the first 2 winter seasons (see Table 2). An orthogonal distance regression was used to fit the data.

Supplementary materials for: Radiocarbon Analysis of Elemental and Organic Carbon in Switzerland during Winter-Smog Episodes from 2008 to 2012 – Part I: Source Apportionment and Spatial Variability

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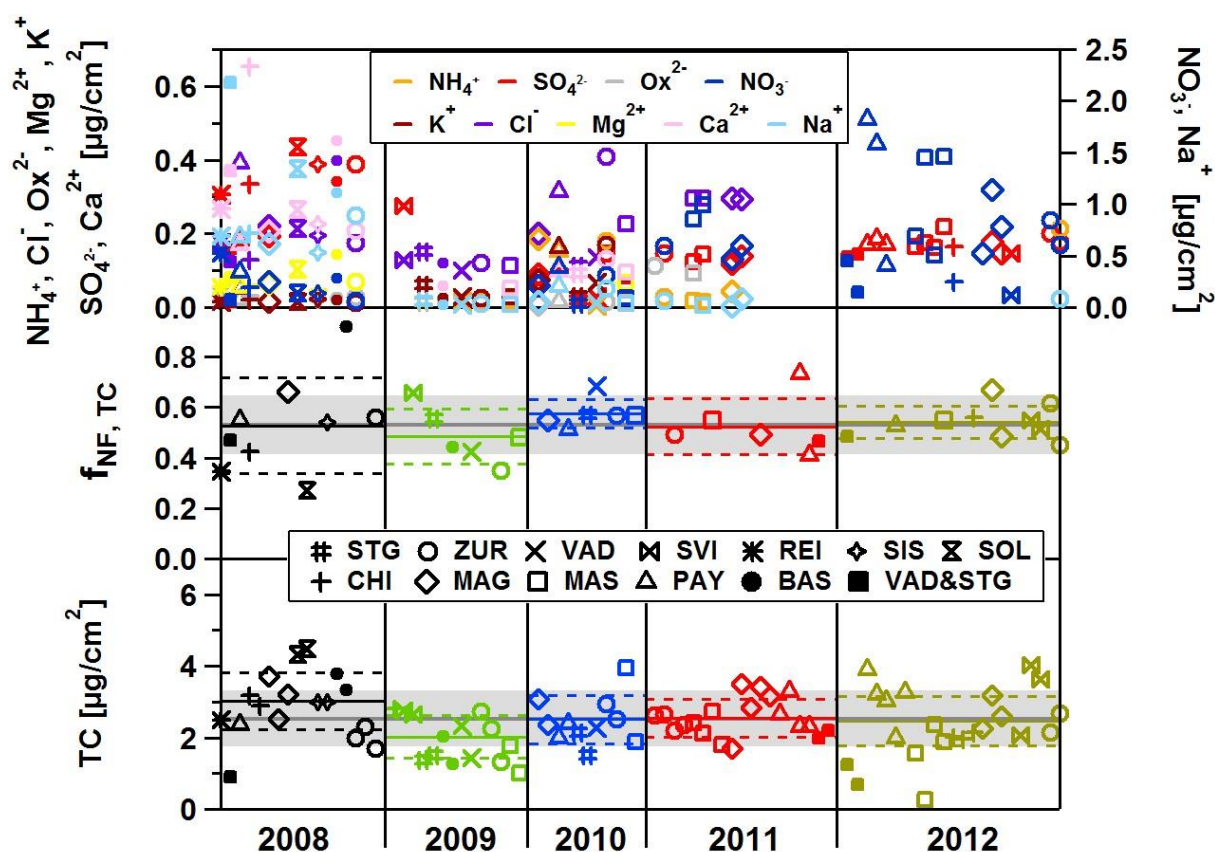


Figure S1: Results of all blank measurements. 34 blank filters were analyzed for ^{14}C in TC, 45 for major ions and 47 for the TC mass loading. Multiple results from the same station for TC represent not only different blank filters but also repeated measurements. Only for some stations (2008: two for MAG and ZUR; 2009: two for ZUR; 2011: two for MAS, ZUR and PAY as well as three for MAG; 2012: two for the combined blank of VAD and STG, MAG and SVI as well as three for PAY and MAS) TC mass was measured on more than one blank filter per year. Multiple blank results for the same station for the major ionic species refer to different blank filters, whereas multiple ^{14}C blank results represent only repeated measurements of the same blank filter. On the blank filters from the winter 2011/2012, with one exception, only nitrate and sulfate were above the detection limit. The solid and dashed colored horizontal lines in the lower and middle panel represent the annual mean and standard deviation, respectively. The solid grey line and shaded area denote the average and standard deviation over all blanks (different stations and years).

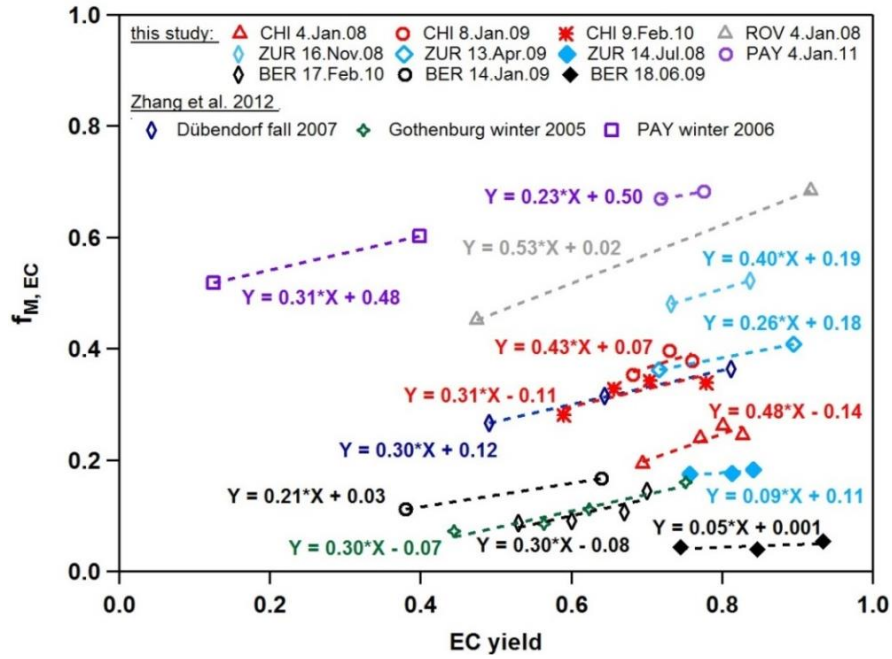


Figure S2: $f_{M,EC}$ as a function of EC yield. The open symbols represent winter samples whereas the filled ones denote filters from summer. The uncertainty for the EC yield was assumed to be 10% (see Sect. 2.3.2) and the one for $f_{M,EC}$ is on average ~2% (see Sect. 2.3.1 in the main text). An orthogonal distance regression was used to fit the data.

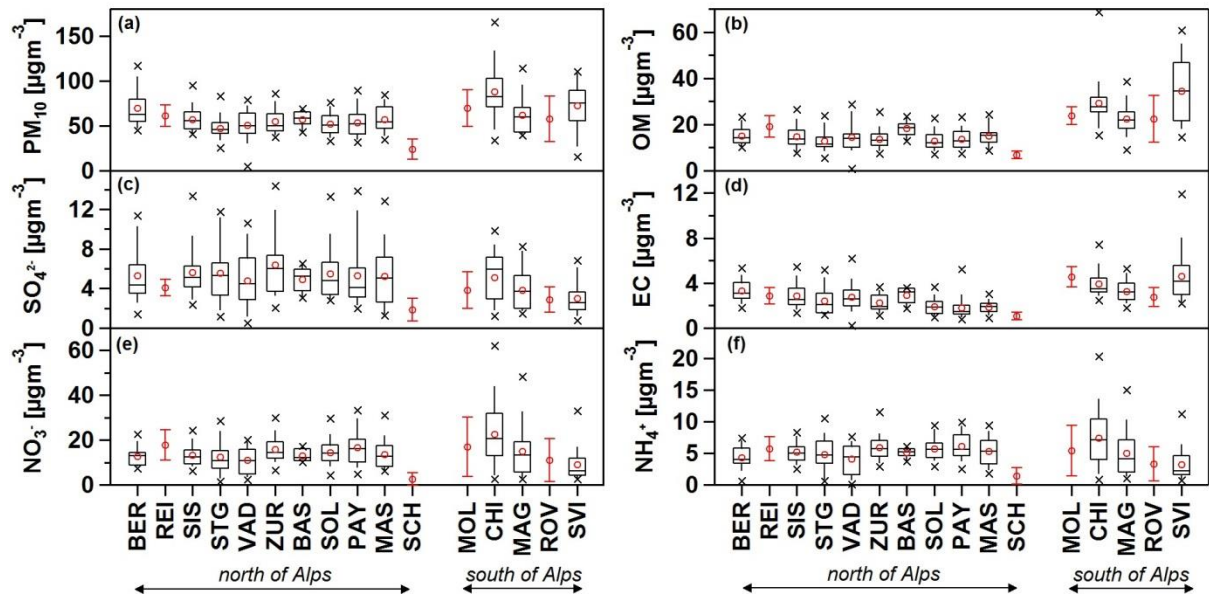


Figure S3. Whisker box plots of the absolute concentrations of the major constituents of PM_{10} from all analyzed winter samples. The open red circles represent the mean and the black crosses the max. and min. values. Stations north and south of the Alps are sorted from the left to the right from the nominal most traffic traffic-influenced station to the most rural one (see Table 1 in the main text.). Data from the yearly cycle in ZUR are excluded. Only averages \pm standard deviations are displayed for stations from which only filters from one winter were analyzed.

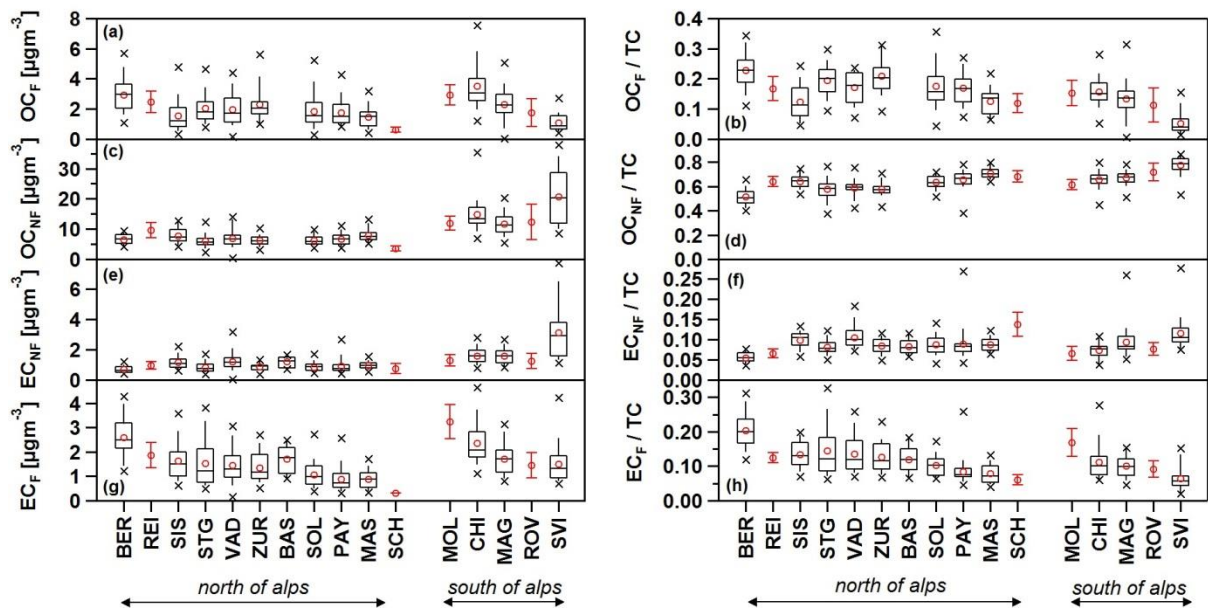


Figure S4: Whisker box plots of the fossil and non-fossil EC and OC absolute concentrations and their relative contribution to TC from all analyzed winter samples ($n \sim 300$). The open red circles represent the mean and the black crosses the max. and min. values. Stations north and south of the Alps are sorted from the left to the right from the nominal most traffic traffic-influenced station to the most rural one (see Table 1 in the main text.). OC_{NF} values from BAS and all data from the yearly cycle in ZUR are excluded (see Sect. 3.2.1 and Sect. 1). Only averages \pm standard deviations are displayed for stations from which only filters from one winter were analyzed.

Table S1: Overview of all days which were chosen for the analysis.

winter	location	day 1	day 2	day 3	day 4	day 5	Zürich yearly cycle
2007/ 2008*	north of the Alps	04.Jan. 2008	30.Jan. 2008 [†]	13.Feb. 2008	15.Feb. 2008	19.Feb. 2008	
	south of the Alps	4.Jan. 2008	30.Jan. 2008	1.Feb. 2008	23.Feb. 2008	25.Feb. 2008	
2008/ 2009	north of the Alps	29.Dec. 2008	8.Jan. 2009	14.Jan. 2009	28.Jan. 2009	3.Feb. 2009	
	south of the Alps	8.Jan. 2009	14.Jan. 2009	3.Feb. 2009	23.Feb. 2009	1.Mar. 2009 [#]	12. Aug.08; 5., 9. & 25. Sep.08; 15. Oct.08; 8. & 16. Nov.08; 18. & 29. Dec.08; 15. & 27. Jan.09; 4.Feb.09; 4. u. 16. Mar.09; 1., 13. & 21. Apr.09; 7. & 19 May 09; 12. Jun.09; 2., 14. & 30.Jul.09
2009/ 2010	north of the Alps	12.Jan. 2010	24.Jan. 2010	11.Feb. 2010 ^{##}	13.Feb. 2010	17.Feb. 2010	
	south of the Alps	18.Jan. 2010	22.Jan. 2010	26.Jan. 2010	9.Feb. 2010	15.Feb. 2010	
2010/ 2011	north of the Alps	4.Jan. 2011	30.Jan. 2011	3.Feb. 2011	19.Feb. 2011	5.Mar. 2011 ^{**}	
	south of the Alps	2.Jan. 2011	26.Jan. 2011	11.Feb. 2011	13.Feb. 2011	25.Feb. 2011	
2011/ 2012	north of the Alps	30.Jan. 2012	7.Feb. 2012	9.Feb. 2012	13.Feb. 2012	23.Feb. 2012	
	south of the Alps	11.Dec. 2011	16.Jan. 2012	18.Jan. 2012	11.Feb. 2012	13.Feb. 2012	
2012/ 2013	Bern	18.Jan. 2013	26.Jan. 2013	14.Feb. 2013	26.Feb. 2013	5.Mar. 2013	

*¹⁴C in OC for all stations north of the Alps was also measured for a 6th day (11. Feb. 2008). For SVI only 2 filters, 14th & 26th December 2007 were analyzed. ¹⁴C analysis in TC for 26th December 2007 from SVI and calculation of EC_{NF} ($EC_{NF} = (TC_{NF} * TC - OC_{NF} * OC) / EC$ Szidat et al., 2004) since not enough filter material for the ¹⁴C analysis of EC was left.

[#]Filter from 1st of March 2009 from SVI was not available and 3rd of March 2009 was analyzed instead

[†]Filter from 11th of February 2008 was used for the ¹⁴C analysis of EC for STG since not enough filter material was available from 13th of February 2008

^{**}Filter from 5th of March 2011 from VAD was not available and 3rd of March 2011 was analyzed instead

^{##}Filter from 9th of February 2010 from BER was analyzed instead of 11th of February 2010

Table S2: Compilation of the average blank values from the different measured compounds used for the blank subtraction (see Sect. 2.3.2 in the main text). Not all major water-soluble ions were detected on all blank filters. Yearly averages were only calculated if the individual components were detected on more than two blanks.

component	2008	2009	2010	2011	2012
TC [$\mu\text{g}/\text{cm}^2$]	2.52 ± 0.78	2.52 ± 0.78	2.52 ± 0.78	2.52 ± 0.78	2.52 ± 0.78
$f_{M, TC}$	0.53 ± 0.12	0.53 ± 0.12	0.53 ± 0.12	0.53 ± 0.12	0.53 ± 0.12
Cl^- [ppb]	14.9 ± 6.8	8.1 ± 1.1	15.5 ± 7.4	19.6 ± 0.1	-
NO_3^- [ppb]	14.7 ± 9.8	-	12.1 ± 9.8	43.7 ± 14.7	50.5 ± 35.8
SO_4^{2-} [ppb]	20.1 ± 6.7	-	4.8 ± 3.3	8.6 ± 1.1	11.2 ± 1.4
Ox^{2-} [ppb]	1.7 ± 0.6	-	0.7 ± 0.3	-	-
Na^- [ppb]	64.4 ± 34.4	2.5 ± 1.0	7.0 ± 5.4	2.3 ± 2.2	-
NH_4^+ [ppb]	-	1.1 ± 0.1	7.7 ± 5.3	1.6 ± 0.7	-
K^+ [ppb]	1.4 ± 0.8	2.3 ± 1.0	6.2 ± 3.9	-	-
Mg^{2+} [ppb]	5.0 ± 2.0	-	-	-	-
Ca^{2+} [ppb]	20.7 ± 10.3	-	6.9 ± 1.2	-	-

Table S3: Reference f_M values ($f_{M,bio}$, $f_{M,bb}$ and $f_{NF,ref}$), which were used for correcting the elevated ^{14}C concentrations due to the above-ground thermo-nuclear bomb tests in the 1950ies and 1960ies (see Sect. 2.3.2).

year	$f_{M,bio}$	$f_{M,bb}$	$f_{NF,ref}$
2008	1.044 ± 0.015	1.127 ± 0.05	1.110 ± 0.023
2009	1.040 ± 0.015	1.122 ± 0.05	1.105 ± 0.023
2010	1.036 ± 0.015	1.117 ± 0.05	1.100 ± 0.023
2011	1.031 ± 0.015	1.111 ± 0.05	1.095 ± 0.022
2012	1.027 ± 0.015	1.106 ± 0.05	1.090 ± 0.022