



**Aqueous
oligomerization of
MVK – Part 1**

P. Renard et al.

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Aqueous phase oligomerization of methyl vinyl ketone through photooxidation – Part 1: Aging processes of oligomers

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Abstract

Secondary organic aerosol (SOA) represents a substantial part of organic aerosol, which affects climate and human health. It is now accepted that one of the important pathways of SOA formation occurs via aqueous phase chemistry in the atmosphere.

5 Recently, we have shown in a previous study (Renard et al., 2013) the mechanism of oligomerization of MVK (methyl vinyl ketone), and suggested that unsaturated water soluble organic compounds (UWSOC) might efficiently form SOA in wet aerosol particles, even for weakly soluble ones like MVK. The atmospheric relevance of these processes is explored by means of process model studies (in a companion paper). In
10 the present study we investigate the aging of these aqueous phase MVK-oligomers (Part 1).

We compared aqueous phase composition and SOA composition after nebulization, mainly by means of UPLC-ESI-MS and AMS, respectively. Both instruments match and show similar trend of oligomer formation and aging. The SMPS analysis performed on
15 the nebulized solutions allow to quantify these SOA and to measure their mass yields.

We have highlighted in the current study that MVK \bullet OH-oxidation undergoes kinetic competition between functionalization and oligomerization. The SOA composition and its evolution highly depend on the precursor initial concentration. We determined the threshold of MVK concentration, i.e. 2 mM, from which oligomerization
20 prevails over functionalization. Hence, at these concentrations, \bullet OH-oxidation of MVK forms oligomers that are SV-OOA, with low O/C and high f_{43} . Oligomers are then fragmented, via unidentified intermediates that have the properties of LV-OOA which then end into succinic, malonic and oxalic diacids. For lower initial MVK concentrations, the oligomerization is not the major process, and functionalization dominates, resulting in
25 small carbonyls, dicarbonyls and mainly monoacids.

The aging of these oligomers could be an explanation for the presence of a part of the diacids observed in aerosol.

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1 Introduction

Organic aerosol plays an important role in many atmospheric processes and has an important impact on climate and human health. Globally, about 20% of the organic aerosol mass is emitted directly (Kanakidou et al., 2005; Spracklen et al., 2011), which conversely indicates the relevance of aerosol formed secondarily by transformation of organic gas phase species, i.e. secondary organic aerosol (SOA). The most commonly studied mechanism of SOA formation is the oxidation of volatile organic compounds (VOCs), which can lead to the formation of less volatile species that subsequently partition into the condensed phase (Donahue et al., 2011; Kanakidou et al., 2005; Kroll and Seinfeld, 2008; Hallquist et al., 2009). Nevertheless, the oxidation of VOCs also results in more water soluble products that readily partition into the aqueous phase (Blando and Turpin, 2000; Ervens et al., 2011; Epstein et al., 2013). Due to further reactivity in the liquid phase, higher molecular weight and less volatile compounds can be formed, which can remain at least in part in the condensed phase upon water evaporation, thus leading to additional secondary organic aerosol formation through aqueous phase reactions (aqSOA) (El Haddad et al., 2009; Carlton et al., 2009; Ervens et al., 2011; Ortiz-Montalvo et al., 2012). In particular, Lee et al. (2012) observed a significant enhancement of organic mass during the initial stage of oxidation of cloud water organics, that they explained by functionalizing dissolved volatile organics via hydroxyl radical ($\cdot\text{OH}$) oxidation. Aqueous phase processes can be very different from those in the gas phase, thus leading to aqSOA with likely very different physical and chemical properties (Ervens et al., 2011; Ortiz-Montalvo et al., 2012). These differences can explain that the oxidation state of SOA formed during dry smog chamber experiments is significantly lower than that of ambient SOA (Kroll and Seinfeld, 2008; Aiken et al., 2008; De Carlo et al., 2008; Ng et al., 2010; Lee et al., 2012).

Volkamer et al. (2007) suggested that chemical processes in the aqueous phase of hygroscopic particles (wet aerosol) can efficiently contribute to aqSOA mass. Besides, wet aerosol provides higher precursor concentrations than in cloud and fog

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water droplets and reside in the atmosphere over hours or days (Ervens et al., 2011), suggesting a significant role for aqSOA formation in wet aerosol, in particular, in regions with high relative humidity (Carlton and Turpin, 2013). Isoprene has the largest global atmospheric emissions (estimated at $\sim 600 \text{ Tg yr}^{-1}$ Guenther et al., 2006) of all non-methane VOCs. Its key oxidation products, i.e. methacrolein (MACR) and hydroperoxides (Kroll et al., 2006) are known to contribute directly to the formation of SOA in the atmosphere. Methyl vinyl ketone (MVK) is the other main gas-phase oxidation products of isoprene (yielding from 32 to 44 %, Lee et al., 2005; Kroll et al., 2006). Unlike MACR, MVK does not lead to the formation of SOA during its gas phase photooxidation (Kroll et al., 2006; Surratt et al., 2006), likely because of the lack of an aldehydic hydrogen which precludes the formation of acidic products such as 2,3-dihydroxymethacrylic acid (i.e. 2-methylglyceric acid: 2-MG) for further particle-phase esterification reactions (Surratt et al., 2006). However, these results were obtained in smog chamber experiments performed under dry conditions where aqueous phase processes were excluded.

The photooxidation of carbonyl compounds linked to isoprene reactivity has been studied in the aqueous phase, and their ability to form oligomers and potentially aqSOA was shown (Altieri et al., 2006, 2008; Carlton et al., 2006, 2007; Perri et al., 2009; El Haddad et al., 2009; Tan et al., 2009, 2010; Zhang et al., 2010; Liu et al., 2012; Ortiz-Montalvo et al., 2012; Lim et al., 2013; Renard et al., 2013). In particular, Renard et al. (2013) showed that $\cdot\text{OH}$ oxidation of MVK in the aqueous phase proceeds via a radical mechanism leading to oligomers which molecular masses increase with the precursor initial concentration; and Liu et al. (2012) showed the ability of the generated oligomers to form SOA after water evaporation. It is thus likely that the atmospheric impact of MVK reactivity, and especially its ability to form SOA, is very different under dry and humid conditions.

The aim of the present study is to investigate the aging of the oligomers formed through aqueous phase photooxidation of MVK. We determine the SOA chemical composition during the formation and aging of the aqueous phase oligomers and we revisit

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the corresponding SOA yields, under a large range of initial precursor concentrations (from 0.2 to 20 mM). Considering MVK as a proxy for unsaturated water soluble organic compounds (UWSOC), these concentrations are atmospherically relevant, in particular in wet aerosols (Ervens et al., 2011; Renard et al., 2013). In a companion paper (Ervens et al., 2014), we will discuss the explicit chemical mechanism of oligomer formation (as described in Renard et al., 2013) and loss and explore the atmospheric relevance of these processes by means of process model studies.

2 Experimental

A photoreactor was used to simulate the aqueous phase photooxidation of MVK. •OH radicals were generated from H₂O₂ photolysis (Table 1). The liquid phase was analyzed using ultra-performance liquid chromatography mass spectrometry (UPLC-ESI-MS), ionic chromatography mass spectrometry (IC-ESI-MS), a total organic carbon (TOC) analyzer and liquid chromatography UV absorbance spectroscopy (UPLC-UV).

For aerosol generation, aliquots of the solution were sampled from the photoreactor at specific reaction times, then nebulized and dried prior to aerosol characterization using a scanning mobility particle sizer (SMPS) and a high resolution time-of-flight aerosol mass spectrometer (AMS) from Aerodyne (Fig. 1). Each experiment (aqueous phase photooxidation and aerosol generation) was repeated at least once.

2.1 Photoreactor

The photoreactor set-up used was based on the one described by Renard et al. (2013). It was a 450 cm³ Pyrex thermostated photoreactor, equipped with a 1000 Watt xenon arc lamp (LSH 601, Oriel). A glass filter (ASTM 892 AM 1.5 standard) was used to remove the UV irradiation below 300 nm, resulting in an irradiance spectrum comparable to that of the sun but approximately twice as intense.

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All experiments were performed at 25 °C and started with irradiation of UHQ water (18.2 MΩ cm, Millipore), then H₂O₂ (30 %, non-stabilized, Acros) was introduced, and after 10 min of H₂O₂ photolysis, MVK (99 %, Sigma Aldrich) was introduced at time 0. The experimental conditions (Table 1) were chosen in order to be representative of cloud droplets or wet aerosol conditions, respectively.

Tan et al. (2010) and Renard et al. (2013) have shown the important impact of initial concentrations on oligomer formation. The experiments were thus carried out with various MVK initial concentrations, i.e., 0.2, 0.5, 2, 5 and 20 mM (corresponding to 9.6 to 960 mg C L⁻¹), (as in Renard et al., 2013) which can be regarded as representative of the total concentrations of UWSOC in fog droplets and wet aerosol (Herckes et al., 2013).

We kept the ratio ($\frac{[H_2O_2]_0}{[MVK]_0} = 20$) used in Renard et al. (2013), in order to favor •OH reaction toward MVK over its reaction with H₂O₂ by more than 90 % (Liu, 2011). Under these conditions, •OH concentrations range were estimated from 5.1 × 10⁻¹⁴ M to 3.5 × 10⁻¹⁴ M (depending on pH, from 6 to 3), which fall in the range of the estimated values for cloud and fog droplets (Herrmann et al., 2010; Ervens and Volkamer, 2010 and Arakaki et al., 2013).

2.2 Aqueous phase characterization

2.2.1 UPLC-ESI-MS analyses

Aliquots of the solution sampled from the photoreactor were analyzed for organic species using an ultra-high performance liquid chromatographic system coupled to a time of flight mass spectrometer equipped with an electrospray source and an ion mobility cell (Synapt-G2 HDMS, Waters). The mass spectrometer was used in its resolution mode, up to 18 000 FWHM (Full width at half maximum) at *m/z* 400 and allowed for the determination of elemental composition of some organic species.

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All parameters used are detailed in Renard et al. (2013). Briefly, the chromatographic separations were carried out on an UPLC column (HSS T3 C18, 2.1·100 mm – 1.8 μm; Waters) at 40 °C. The mobile phases consisted in (A) 0.1 % formic acid in water (Biosolve, 99 %) and (B) acetonitrile (Biosolve, ULC/MS). The gradient elution was performed at a flow rate of 600 μL min⁻¹ using 5 to 95 % of B within 7 min and held at 95 % of B for 1.5 min. The sample injection volume was 10 μL.

During each chromatographic run, leucine enkephalin (2 ng μL⁻¹, C₂₈H₃₇N₅O₇, Waters Q-ToF product) was used for lock-mass correction to obtain accurate masses for each organic component eluting from the column. Optimum ESI conditions were found using a 0.5 kV capillary voltage, 40 V sample cone voltage, 450 °C desolvation temperature, 120 °C source temperature, 20 L h⁻¹ cone gas flow rate and 800 L h⁻¹ desolvation gas flow rate.

All products were detected as their protonated molecules ([M + H]⁺) or sodium adducts ([M + Na]⁺) in the positive mode, and their deprotonated molecules ([M – H]⁻) in the negative mode. Data were collected from *m/z* 50 to 1800 in both ionization modes.

2.2.2 UPLC-UV analyses

An ultra-high performance liquid chromatographic (UPLC) system (Accela 600 auto sampler, Accela 600 pump, ThermoScientific, San Jose, CA) coupled to a diode array detector (Accela 600 PDA detector; ThermoScientific, San Jose, CA) was used to monitor the concentrations of MVK and H₂O₂ sampled from the photoreactor. The LC separation was performed using a Hypersil GOLD column (100 × 2.1 mm–1.9 μm, ThermoScientific) at 40 °C and at a flow rate of 300 μL min⁻¹. The mobile phase was water/acetonitrile (98 : 2) (v/v) and the injection volume was set to 2 μL. The spectra were recorded from 200 to 360 nm.

H₂O₂ and MVK show, with two different retention time (0.5 and 1.8 min, respectively), an intense absorption band (K-band; π → π* transition) measured at 211 nm for both and a weak absorption band (R-band; n → π* transition) at 308 nm for MVK.

The absorption intensity at 211 nm was directly proportional to the H₂O₂ and the MVK concentrations during the reaction. Thus, the kinetics of MVK •OH-oxidation in the aqueous phase was easily monitored by the K-band absorption.

2.2.3 IC-ESI-MS analyses

Quantification of organic acids in the solutions was performed with an ion chromatography system (Dionex ICS3000, Sunnyvale, USA) driven by Chromeleon[®] software (6.80 version), composed of a SP-5 gradient pump, an AS40 autosampler, a CD25 conductivity detector and coupled to a Thermo Scientific Surveyor MSQ (Thermo Electron, USA) mass spectrometer (MS) operated in the negative electrospray ionization (ESI) mode. A 4 mm ASRS 300 electrolytic suppressor operated in external water mode (7 mL min⁻¹) was placed before the conductivity cell. An additional peristaltic pump was used during measurements to wash the entrance cone of the mass spectrometer with water at a flow rate of 0.4 mL min⁻¹. The chromatographic separations were carried out on an IonPac AS11-HC column 4 × 250 mm (Dionex) coupled to a guard column (Dionex AG11-HC, 4 × 50 mm). A 25 µL sample was injected automatically using a 25 µL loop injection valve. The analysis was performed at 35 °C, with a flow rate set at 0.8 mL min⁻¹. Eluent A (Ultra High Quality water) and eluent B (100 mM NaOH) were flushed with purified helium gas for 30 min and kept under nitrogen atmosphere during the procedure. Separation was carried out using the following gradient (min, B %): 0, 1%; 12, 5%; 30, 19%; 40, 40%, 50, 1%. The analytes were monitored using the selected ion-monitoring (SIM) mode, and signal areas (counts min⁻¹) of each peak were used for quantification.

2.2.4 TOC analyses

A total organic carbon/total nitrogen (TOC/TN) analyzer (N/C2100S) with the non-purgeable organic carbon (NPOC) method was used to quantify the TOC in our samples. The NPOC method consists in pre-purging samples with oxygen and

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pre-acidifying (at pH = 2 with HCl) to remove the inorganic carbon and purgeable organic carbon.

TOC is measured by injecting the sample into a heated combustion tube (800 °C) with an oxidation catalyst. The CO₂ produced is measured by a non-dispersive infrared (NDIR) gas analyzer. TN is measured in parallel using chemiluminescence detection (CLD).

The TOC measurements were coupled with preparative liquid chromatography to separate the oligomers from the small reaction products, in order to check for the SOA mass yields determinations (see Sect. 3.2.3).

2.3 Particle generation and characterization

For aerosol generation, 35 mL of the solution was sampled at specific reaction times (Table 1), and nebulized using a TSI 3079 atomizer with a flow rate of 3.5 L min⁻¹ (Fig. 1). The generated droplet flow was led through a silica gel diffusion dryer and diluted with filtered ambient air (at 5 L min⁻¹, using a HEPA capsule filter). A small fraction of the sample (≈ 0.4 L min⁻¹) was passed through a Nafion dryer (Permapure, model MD-110), before entering a small 100 mL glass mixing chamber and the on-line analytical devices. The obtained relative humidity was constant during all experiments at ca. 15% measured at the entrance of the AMS (Fig. 1). The nebulization time for each sample was 30 min and, to ensure constant and reproducible aerosol generation, only the last 15 min of nebulization were employed for data analysis. To avoid memory effects, before each nebulization experiment, the system was flushed by nebulizing UHQ water for 30 min.

The number size distribution was measured using a SMPS (SMPS+C, Grimm) consisting of a differential mobility analyzer (L-DMA) and a condensation particle counter (CPC5.403). The analyzed particle size ranged from 11 to 1083 nm (scanned within 6 min and 43 s).

An AMS was used to measure the bulk chemical composition of the non-refractory submicron particulate matter (De Carlo et al., 2006; Canagaratna et al., 2007). The

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instrument was used under standard conditions (vaporizer at 600 °C and electron ionization at 70 eV), in the high sensitivity V-mode. Each measurement point was averaged for 2 min and 40 s (MS- and PToF-cycle, 40 s each, 2 cycles per run). The standard fragmentation table with the corrected air fragment column for our carrier gas and the default values of relative ionization efficiency were used in the AMS data analysis (Squirrel 1.51H and the software PIKA 1.10H).

3 Results

3.1 Evidence for oligomer formation and aging

We compared aqueous phase composition and SOA composition after nebulization, mainly by means of UPLC-ESI-MS and AMS, respectively.

3.1.1 Aqueous phase analyses

For each experiment, the solution was directly monitored using UPLC-ESI-MS and UPLC-UV for reaction times up to 150 min (Table 1). This time was higher than the complete consumption of MVK in order to study the formation of oligomers and their aging processes, as illustrated in Fig. 2.

In good agreement with our previous results (Renard et al., 2013), after 5 min of reaction (experiment B), no significant formation of high molecular weight compounds (HMWC) is observed (Fig. 2b), whereas after 10 min of reaction, mass spectra show that oligomer systems were formed on the whole range of the investigated m/z (50–1200), with regular pattern of 70.042 amu, which corresponds to the exact mass of MVK. At 50 min the oligomerization climax is reached (Fig. 2c). We observe different series of MVK-oligomers, corresponding to different initiator radicals identified by Renard et al. (2013) under the same conditions. As an example, the molecular structure of the most intense series is given in Fig. 2c and highlighted in red in the mass spectrum.

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the mass spectrum with the same intensity at the end of our investigation (150 min, Fig. 3d).

Furthermore, comparing the mass spectra between 50 and 150 min at higher masses (m/z 100–200) (Fig. 4), it is clear that at 50 min of reaction, the spectrum contains higher fragments than at 150 min. It is thus likely that the oligomers are being significantly photooxidized through a fragmentation mechanism that forms smaller acidic compounds, and it confirms the oligomer aging process suggested in Fig. 2. After 50 min, oligomer fragmentation prevails over oligomer formation.

Note that the overall collection efficiencies (CE) of the AMS in our experiments were smaller than 1 (0.07 to 0.21 related to the SMPS signal), mainly due to particle bounce at the vaporizer surface before volatilization and to the shape and size-dependent transmission of the aerodynamic lens (Liu et al., 2007; Docherty et al., 2013; Miyakawa et al., 2013). The variability of the CE (depending on size, shape and physical state and of the aerosol) did not allow us for a quantitative determination of the SOA mass via AMS in our system. For the quantitative study, we used the data provided by the SMPS analysis.

3.2 SOA mass

3.2.1 Quantitative study of SOA generated after nebulization

The oligomer formation and aging were also observed by the quantitative analyses performed with the SMPS on the nebulized solutions. For experiment B, Fig. 5a shows a continuously increasing number size distribution with reaction time from 5 to 150 min, with an increasing mode during the two first kinetic steps (up to 50 min), and a decreasing mode during the third one, which corresponds to oligomer aging. In order to determine the particle mass concentrations, we used the method described by Kuwata et al. (2012) (Eq. 1) to determine the density (ρ_{org}) of the SOA generated in our system

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the oligomers. It is thus likely that the oligomer aging forms more volatile compounds that the SMPS does not measure. The high correlation between the total aerosol mass concentration and the consumed MVK observed in Fig. 6 from 0 to 50 min, allows for the determination of the SOA mass yield, as discussed in Sect. 3.2.3.

3.2.2 Influence of initial MVK concentrations

The influence of the initial aqueous phase concentration of MVK on the SOA formation was investigated over a wide range, i.e. from 0.2 to 20 mM (Table 1). Not surprisingly, Fig. 7 shows that the total aerosol mass concentration increases with increasing initial MVK concentration. This observation is in very good agreement with the influence of MVK initial concentration on the oligomerization process observed in the aqueous phase by Renard et al. (2013). For experiments D and E, corresponding to the lowest initial MVK concentrations, the SMPS and AMS signals were low, and they could be influenced by water impurities, whereas no such influence was observed for experiments A, B and C. This is why the signal obtained from the blank experiments was subtracted only for experiments D and E in Fig. 7. Moreover, Fig. 7 clearly shows a different kinetic behavior of the SOA mass concentration from the lowest initial concentration experiments (D and E), compared to the three highest ones (experiments A, B and C). For experiments A, B and C, the SOA mass concentration increases rapidly, reaches a maximum, and then decreases, while for experiments D and E, the signal slowly increases and does not reach a maximum. This particular evolution may be due to different chemical mechanisms occurring at different initial concentrations. We hypothesized the predominance of oligomerization at 2 mM initial concentration and above, this is further discussed in Sect. 4.

The continuous increase of the particle number (shown in Fig. 5a for experiment B) with reaction time was observed for all initial concentrations (experiments A to E), whereas the decrease of the size mode (in the number size distributions, after t_{\max}) was observed for the three highest initial concentrations only (experiments A, B and C) and not for experiments D and E, i.e. only during oligomer aging.

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obtained with this method at 90 min of reaction, thus statistically similar from the one obtained by the nebulizing method ($7 \pm 50\%$) at t_{\max} .

These yields are significantly higher than those obtained by Liu et al. (2012) who obtained yields up to 9.9% under similar experimental conditions as ours. It is important to note that these values were obtained assuming that all the particle densities were 1 g cm^{-3} in Liu et al. (2012), and also the transmission efficiency of the nebulizing system was calibrated with NaCl only. We show in the present study that succinic acid or ammonium nitrate are more adequate for the calibration (Supplement Table S1). The nebulizing system was slightly different, with a teflon bag in Liu et al. (2012) that could enable (i) larger amounts of wall losses for organic particles as compared to the system presented here; but (ii) longer particle residence times, leaving more time for gas-particle equilibrium than in our system. However, the control experiment using preparative chromatography confirms the yield value obtained here, independent on the nebulizing system and its calibration. The different yields obtained here as compared to the study by Liu et al. (2012) can be explained by the different irradiation Xe lamp intensities used: 300 W in Liu et al., 2012, and 1000 W in the present study. We have verified, using a spectroradiometer (SR-501, LOT-Oriel), that these irradiation systems represent respectively roughly half and twice of the solar irradiance intensity at sea level, for a 48.3° zenith angle. Thus, the range of the yields obtained here and by Liu et al. (2012) may represent the yields in the highly variable irradiated atmosphere.

It is interesting to note that the yields and densities obtained in the present study are in the same range as those of a similar study with a different precursor, i.e. glycolaldehyde (Ortiz-Montalvo et al., 2012). They reported aqSOA yields for oxidation products of glycolaldehyde (1 mM) of 120 to 50%, and they calculated densities of 1.3 to 1.6 g cm^{-3} (respectively, increasing with photooxidation).

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4 Discussion

4.1 MVK functionalization vs. oligomerization: influence of initial concentration

The two dominant AMS fragments m/z 44 (CO_2^+) and m/z 43 (mostly $\text{C}_2\text{H}_3\text{O}^+$) observed in our study, were used for a comparison with the aging of organic aerosol in the atmosphere compiled by Ng et al. (2010). In the latter study, low volatility oxygenated organic aerosol (LV-OOA) has higher f_{44} (ratio of m/z 44 to total organic aerosol) than semi-volatile oxygenated organic aerosol (SV-OOA) which in turn has higher f_{43} values (ratio of m/z 43 to total organic aerosol). Figure 8 compares our f_{44} vs. f_{43} values to those provided in the compilation by Ng et al. (2010). It is clear from this figure that the SOA composition and its evolution highly depend on the initial MVK concentration. For the three highest initial concentrations (experiments A, B and C), oligomerization took place with the formation of oligomers containing repetitive carbonyl functions such as those identified in the aqueous phase (Fig. 2c), inducing an important increase of f_{43} and a simultaneous decrease of f_{44} , roughly up to t_{max} . After t_{max} , most of the initial MVK is consumed, slowing down the oligomerization process, and an important decrease of f_{43} and a simultaneous increase of f_{44} is observed, likely due to oligomer aging, as detailed (for experiment B) in Sect. 3.1. At lower initial MVK concentrations (experiments D and E), oligomerization seems much less important and oxidation is the dominant process, as evidenced by the continuous increase of f_{44} . It can thus be suggested that, at these lower initial concentrations, functionalization dominates over oligomerization, and the aerosol is mainly composed of low-volatility organic acid and not of MVK-oligomers.

This observation is strengthened by the comparison of our results with those of previous studies. Zhang et al. (2010) performed aqueous phase $\cdot\text{OH}$ oxidation of MVK (0.2 mM initial concentration), and observed the formation of functionalization products, i.e. formaldehyde, glyoxal, methylglyoxal, pyruvic, oxalic, formic, acetic, and malonic acids. Furthermore, for experiments D and E ($[\text{MVK}]_0 \leq 0.5 \text{ mM}$), our f_{44} - f_{43} plots are similar to those obtained using a similar set-up, starting from pinonic acid, glyoxal

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and glyoxylic acid at similar and higher initial concentrations (Lee et al., 2011a, b). In particular, starting at 3 mM of glyoxal, Lee et al., 2011b, obtained similar $f_{44}-f_{43}$ plots as our experiments performed at much lower initial concentrations ($[MVK]_0 \leq 0.5$ mM), thus showing that the concentration is not the only important parameter in oligomerization processes, but the chemical nature of the precursor is also fundamental.

MVK oligomerization occurs via saturation of the vinyl group (Renard et al., 2013). It is likely that conjugation with the carbonyl function reduces steric hindrance and hence facilitates the oligomerization in the aqueous phase compared to other molecules.

4.2 Oligomer aging processes

The Van Krevelen diagram (Fig. 9) shows a significant increase of O/C and a significant decrease of H/C with reaction time after t_{\max} . When oligomerization is the dominating process, almost no changes are observed in the van Krevelen diagram: the H/C and O/C values are confined in a restricted circle until t_{\max} . The atomic ratios for H/C and O/C of MVK and the oligomers (with a degree of polymerization (DP) of 5) identified by Renard et al. (2013) are also reported in this diagram. MVK, oligomers and the nebulized solutions until t_{\max} are confined in a circle that highlights the similarity of their structures.

After that time, the values of O/C (H/C respectively) increase (decrease respectively) out of the circle, thus denoting an oligomer aging process. Changes in functionality of organic aerosol are traced in this diagram along a line, which slope is -0.6 . A very similar slope value (-0.5) was interpreted by Ng et al. (2011) as a COOH group addition to the site of a C-C bond cleavage, thus suggesting that the oligomer aging process proceeds via fragmentation. This is also suggested by the time evolution of the particle number size distributions (Fig. 5a: see Sect. 3.2.1). While a continuous increase of the particle number with reaction time was observed for all initial concentrations (experiments A to E), a significant decrease of the size mode was observed after t_{\max} for the highest concentrations only (experiments A, B and C), i.e. during oligomer aging. This size mode decrease was also correlated with a decreasing total mass (Fig. 5b). These

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observations, added to the fact that f_{44} increases during oligomer aging, indicate that the oligomer aging proceeds via fragmentation processes that generate smaller (or more volatile) and more acidic compounds.

The formation of carboxylic acids in the aqueous phase was monitored during the course of the reaction. In good agreement with Zhang et al. (2010), small volatile monocarboxylic acids such as acetic, formic and pyruvic acids were formed as primary reaction products from MVK reactivity. We further observed the formation of diacids as secondary or tertiary reaction products, such as oxalic, malonic, succinic (Fig. 10) malic and tartaric acids (not quantified). Finally, the formation of these diacids started at t_{\max} , and was correlated to the increase of the AMS m/z 44 (CO_2^+) signal observed from the nebulized solutions. It is thus likely that the oligomer aging proceed via fragmentation (by $\bullet\text{OH}$ oxidation and/or photolysis), leading to the formation of smaller partially oxidized products (i.e. hydroxyacids or ketoacids such as those identified by Jaoui et al., 2006), which, in turn are oxidized into stable diacids.

5 Atmospheric implications

Figure 11 shows potential atmospheric fate of MVK in the aqueous phase. MVK $\bullet\text{OH}$ -oxidation undergoes kinetic competition between functionalization and oligomerization, depending on the precursor initial concentration. At 2 mM of MVK and above this concentration, oligomerization dominates over functionalization. Hence, at these concentrations, $\bullet\text{OH}$ -oxidation of MVK forms oligomers that are SV-OOA, with low O/C (lower than 0.50) and high f_{43} . Oligomers are then fragmented, via unidentified intermediates that have the properties of LV-OOA (with increasing O/C and decreasing H/C, Fig. 9) which then result in diacids. For lower initial MVK concentrations, the oligomerization is not the major process, and functionalization dominates, ending into small carbonyls, dicarbonyls and acids (Fig. 11).

Recently, we have shown in a previous study (Renard et al., 2013) the mechanism of oligomerization of MVK (methyl vinyl ketone), and suggested that UWSOC might

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efficiently form SOA in wet aerosol particles, even for weakly soluble ones like MVK. This latter undergoes radical oligomerization, which is extremely fast and is able to form macromolecules as high as 1800 amu in polluted fogs and wet aerosols. Radical oligomerization occurs in wet aerosols and in most polluted fogs (Lim et al., 2013; Renard et al., 2013). This result, added to the fact that the lifetime of wet aerosols in the atmosphere are several days, suggests the relevance of radical oligomerization of UWSOC in the atmosphere. Besides, the aging of these oligomers could be an explanation for the presence of a part of the diacids observed in aerosol.

In Part 2 of this study, the atmospheric relevance of oligomerization will be explored by means of box model studies and comparison to other SOA formation pathways from MVK (and related compounds).

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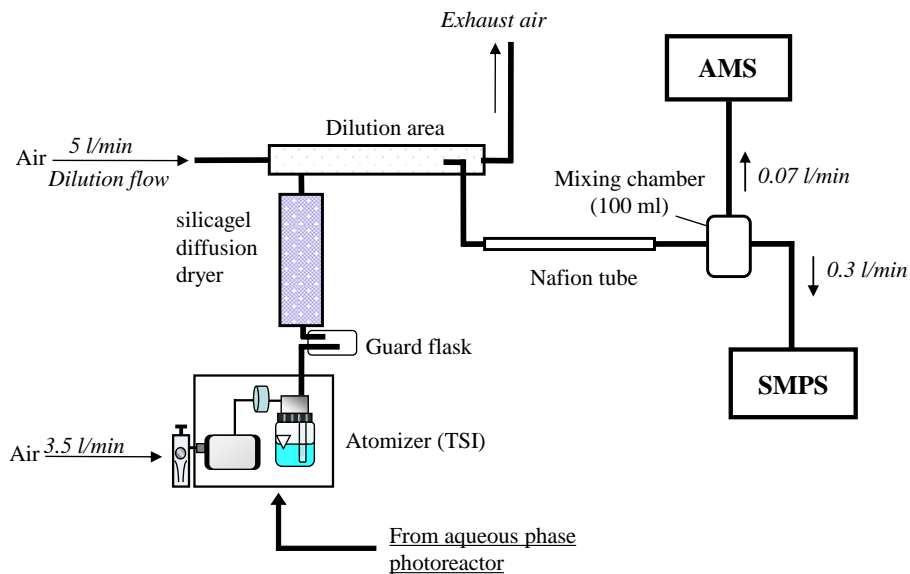


Figure 1. Schematic overview of the aerosol generation setup.

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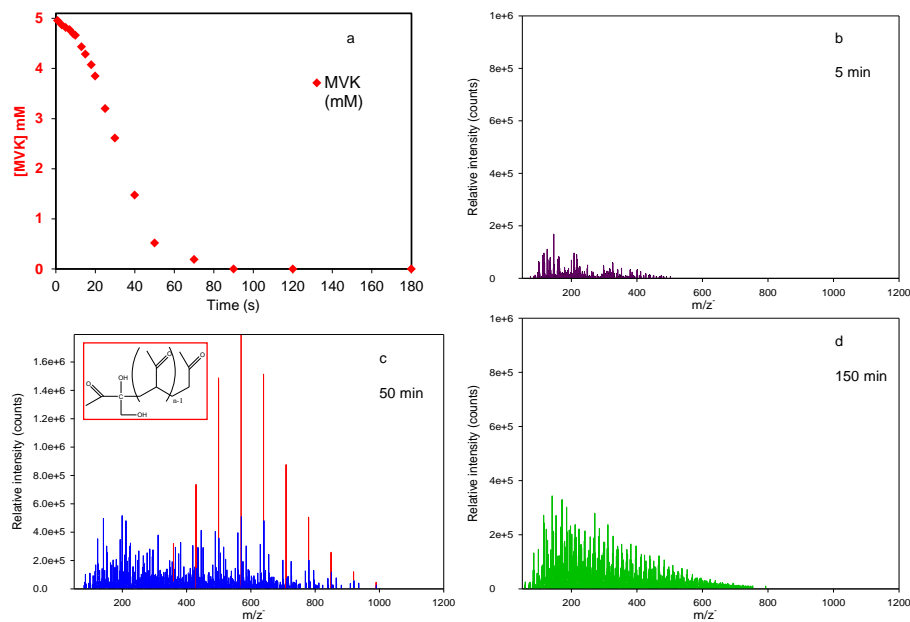


Figure 2. Time profiles of MVK concentrations during the reaction (a), and the corresponding evolution of ESI-MS spectra for m/z 50–1200 (in the negative mode) at 5 min (b), 50 min (c) and 150 min (d). Highlighted in red, the most intense series of oligomers is presented in (c) together with its related molecular structure.

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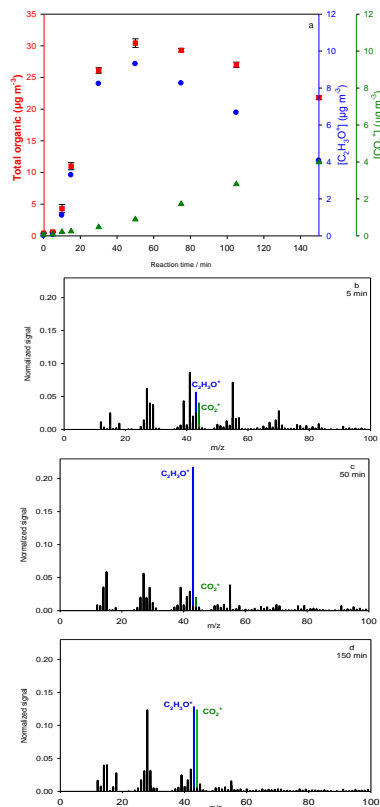


Figure 3. Time profiles of the AMS total organic mass (red), ion fragments $C_2H_3O^+$ at m/z 43 (blue) and CO_2^+ m/z 44 (green) for nebulized solutions from experiment B (a), and the corresponding evolution of AMS mass spectra (for m/z 0–100) for nebulized solutions sampled after 5 min (b), 50 min (c) and 150 min (d). Values are averages of 5 consecutive AMS-runs, error bars represent their standard deviations.

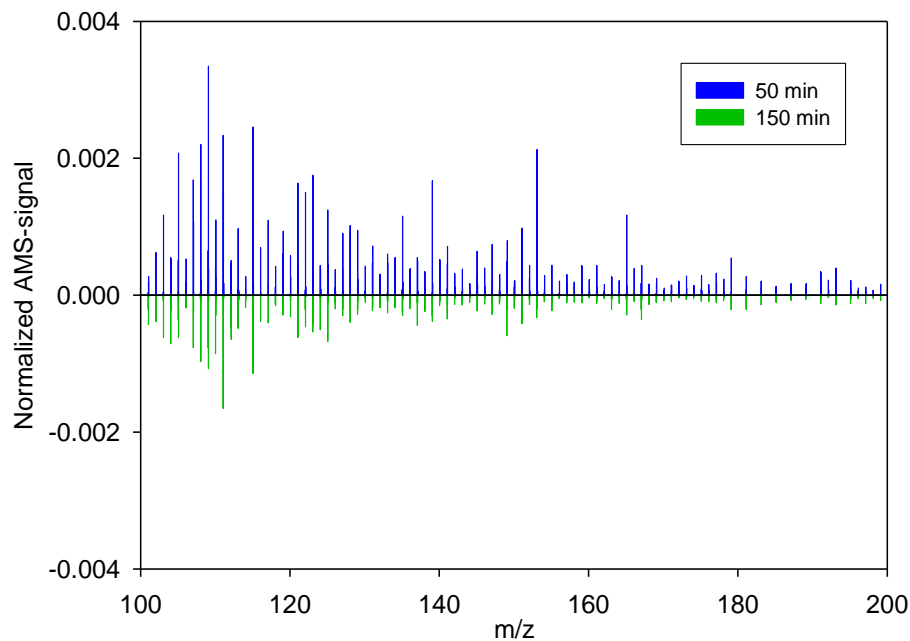


Figure 4. Comparison of AMS mass spectra (in the range 100–200 amu) of the nebulized solutions sampled from experiment B after 50 and 150 min of reaction in the aqueous phase.

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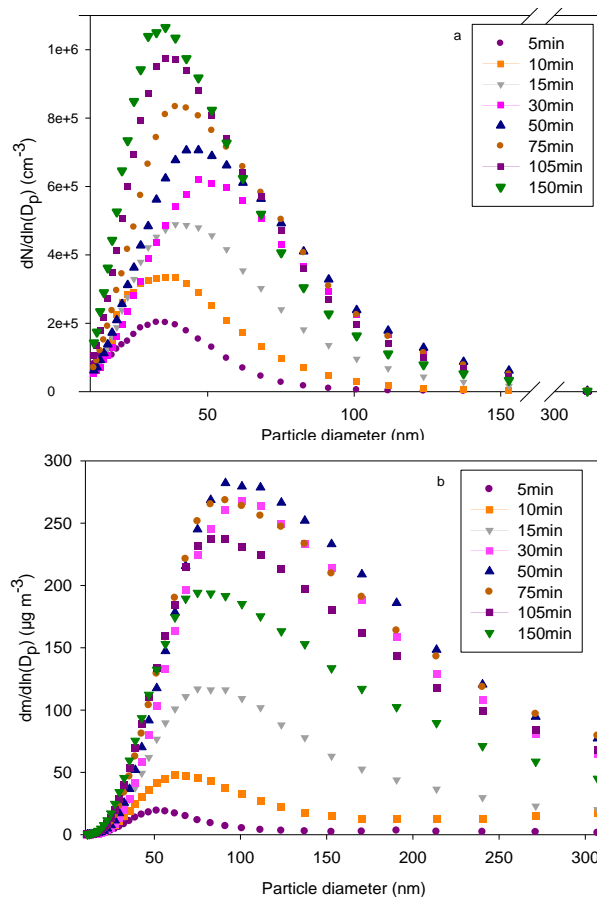


Figure 5. Evolution of particle number (a) and mass (b) size distributions for nebulized solutions sampled at different reaction times for experiment B.

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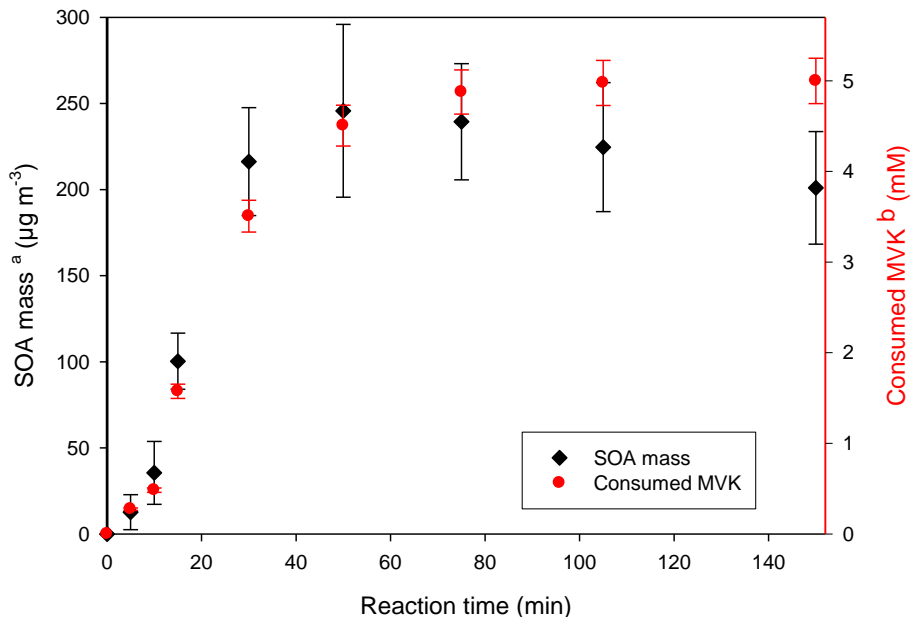


Figure 6. Time profiles of the total aerosol mass (black diamonds) from the nebulized solutions, and consumed MVK in the aqueous phase (red circles) for experiment B. ^a Values represent averages of three consecutive SMPS-measurements for each reaction time considering the corresponding density (Table 1). Error bars represent the sum of the standard deviation of these averages and the uncertainties of the density calculation. ^b MVK concentrations were determined by means of UPLC-UV, with an uncertainty of $\pm 2\%$.

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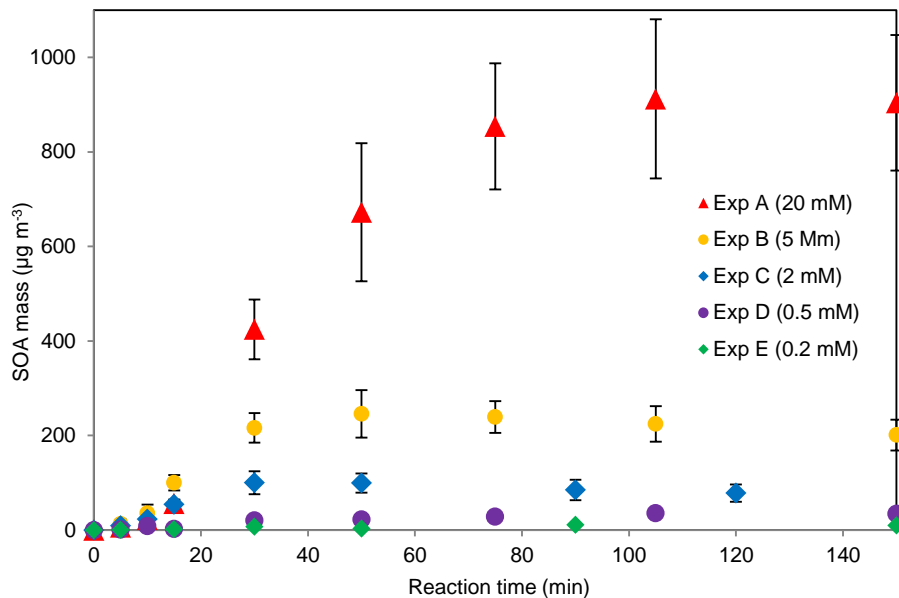


Figure 7. Influence of the initial MVK concentration on the evolution of the total SOA mass obtained from the nebulized solutions. Values represent averages of three consecutive SMPS-measurements for each reaction time considering the corresponding density (Table 3). Error bars represent the sum of the standard deviation of these averages and the uncertainties of the density calculation. For the lowest initial concentrations (experiments D and E), blank signals were subtracted, and a density of 1.1 g cm^{-3} was assumed.

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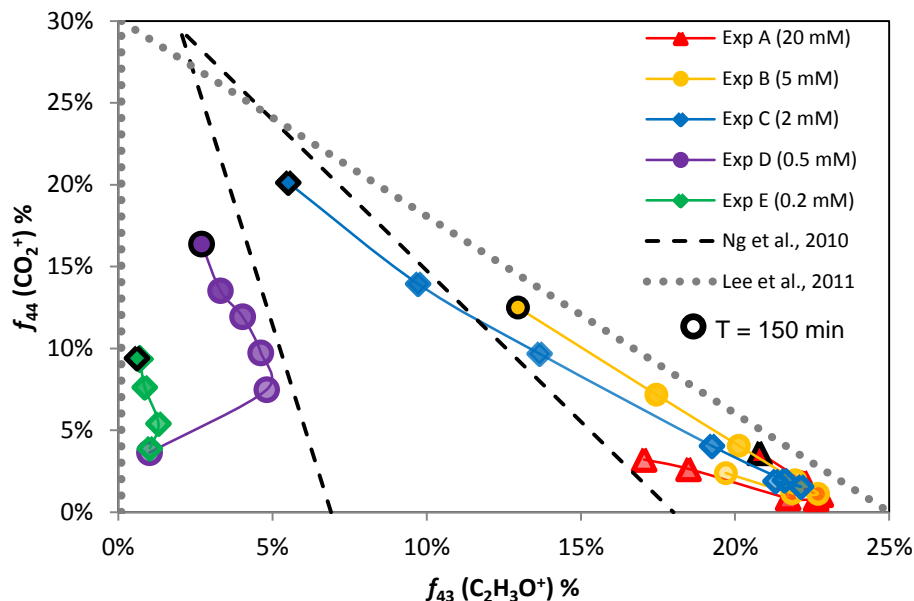


Figure 8. Fractions f_{44} vs. f_{43} for the nebulized solutions from experiments A, B, C, D and E, as measured by the AMS are compared to ambient air LV-OOA and SV-OOA from the compilation by Ng et al. (2010) (dashed black triangle), and are also compared to the nebulization data by Lee et al. (2011) (dotted grey triangle). For our experiments, the signal from blank experiments was subtracted. The data are shown for experiments A, B, and C from 10 to 150 min of reaction, and for experiments D and E from 15 to 150 min of reaction.

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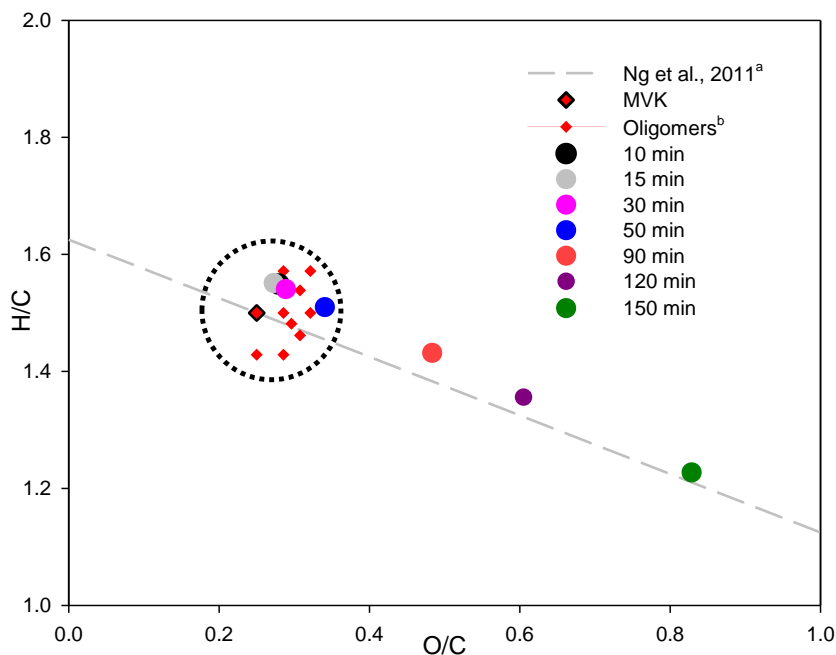


Figure 9. Van Krevelen diagram for the nebulized solutions of experiment C, as measured by the AMS. Only experiment C is shown for clarity as the data for experiments A and B are stacked together with the data shown. ^a Ng et al., 2011, for details see text Sect. 4.2. ^b Red diamonds represent the elemental ratios of oligomers with a Degree of Polymerization = 5 for the ten most abundant oligomer series identified by Renard et al. (2013). The black dotted circle highlights compounds with similar structures.

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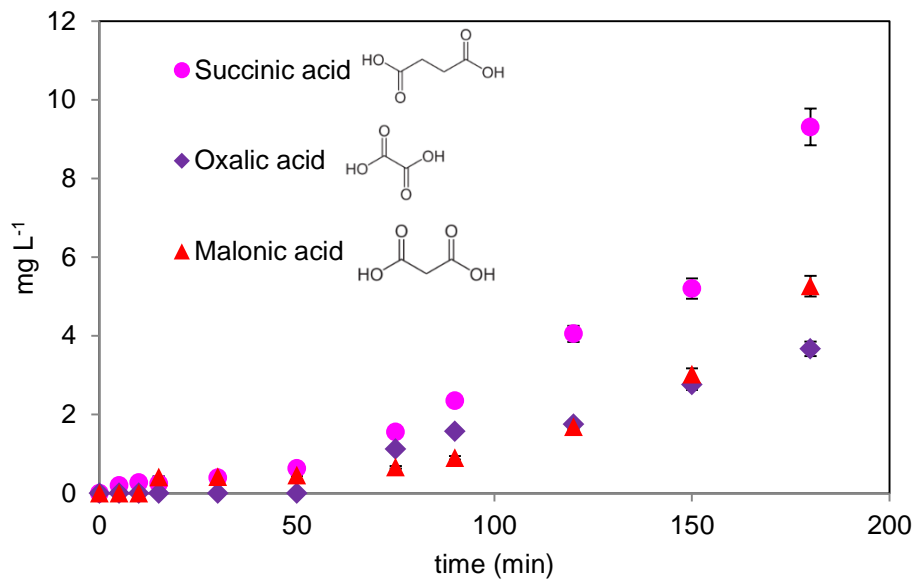


Figure 10. Time profiles of the quantified diacids in the solutions as measured by IC-ESI-MS for experiment B ($[\text{MVK}]_0 = 5 \text{ mM}$).

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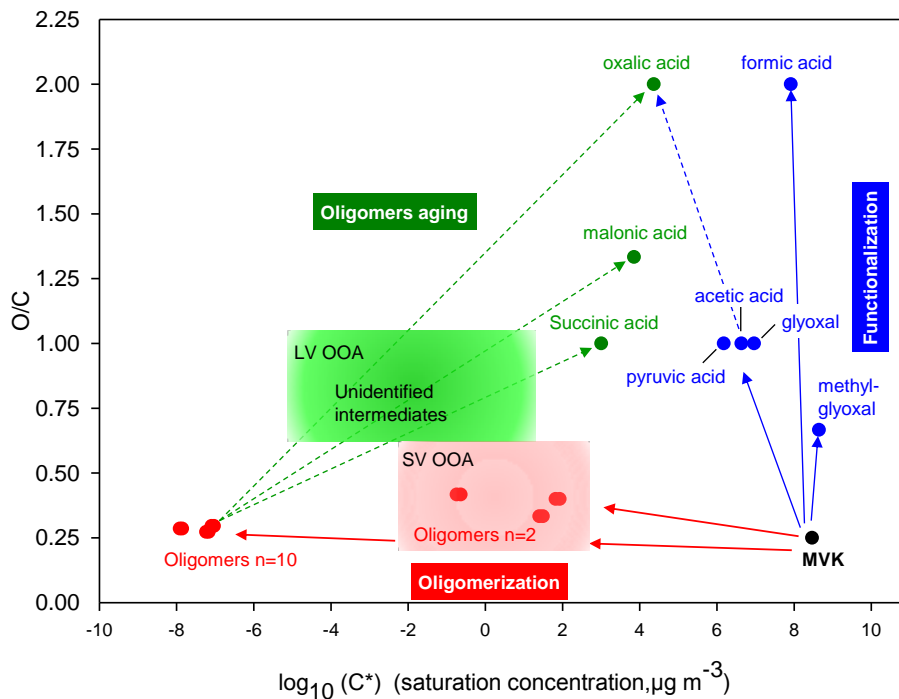


Figure 11. Potential atmospheric fate of MVK in the aqueous phase. X-Axis denotes volatility (\log_{10} of C^* at 298 K), y-axis denotes oxidation state, approximated by O/C (Jimenez et al., 2009).

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