1 Responses to Comments from Anonymous Referee 1#

2

3 We would like to thank anonymous referee #1 for his/her comments on our

4 manuscript. Our response to his/her questions and comments can be seen below.

5

6 General comments:

7 Description: This manuscript describes development of a mobile source emission 8 inventory for on-road freight traffic in China. The researchers gathered data from 9 questionnaires and GPS units to estimate the fleet composition and typical speeds on 10 different types of roads. The inventory included NOx and PM2.5 and was built from 11 kilometers of certain types of roads and corresponding distance-based emission factors. 12 The resulting inventory was 28 percent higher for NOx and 57 percent lower than the 13 Ministry of Environmental Protection's estimates. Differences stemmed from 14 simultaneous consideration of vehicle type, vehicle age, distance traveled on specific 15 types of roads, and emission factors for specific types of roads. Maps showed that 16 emissions were concentrated around areas of high population density but were also 17 substantial along freeways and national roads.

18

Relevance: Diesel trucks are responsible for well over half of mobile source emissions
of NOx and PM2.5 in China, and both pollutants are highly problematic in many cities.
The work contributes a detailed understanding of the age, activity, and emissions
distributions of trucks on different types of roads, and results could lead to interventions
to reduce on-road, freight-related emissions.

Assessment: The manuscript contains much useful, new data about diesel truck emissions in China. Indeed it seems much more sensible to apportion emissions spatially by the places where trucks are driven rather than where they are registered, although the more significant contribution of the work is information about driving conditions by truck type, age, and road type and how these factors influence the emission inventory. The writing and figures are clear, with a few exceptions, and the research appears to have been executed carefully.

31

32 Response: Thanks for the comments.

33

Specific Comment 1 (p. 15223, line 26): Clarify whether the classification of trucks
into the four types was based on the 1060 questionnaire results or some other data
source.

37

Response: In this study we tried to keep a unified classification for the trucks for
different sources of data. The classification followed how the National Bureau of
Statistics reports the vehicle stock. Besides, in our 1060 questionnaires, we also kept
the same classification so that all the numbers we used in this research, from statistics
or questionnaire answers, could be matched with each other. To clarify the
classifications, the edited lines 26/Page 15223 – lines 2/Page 15224 now reads as:

44

45 "Trucks are classified into four types according to weight in this research, following
46 the rule made by National Statistics Bureau (CATARC, 2012): . Mini Trucks (MiniT)
47 with weights less than 1.8 t, light duty trucks (LDT) with weights of 1.8–6 t, middle

| 48 | duty trucks (MDT) with weights of 6-14 t and heavy duty trucks (HDT) with weights |
|----|--|
| 49 | greater than 14 ton. The classification is used on getting vehicle stock from national |
| 50 | statistic, questionnaires investigation and data analysis in this study." |
| 51 | |
| 52 | Specific Comment 2 (p. 15224, line 2): "Because the MiniT population only consists of |
| 53 | a very small proportion" How small is this proportion? |
| 54 | |
| 55 | Response: In 2011, Mini trucks only consisted of 0.98% of the total freight truck |
| 56 | stock. As the referee suggested, it is very important to present the proportion of |
| 57 | MiniTs in the paper. To present that MiniT's proportion in freight stock was not |
| 58 | significant, the edited lines 2-3/Page 15224 now reads as: |
| 59 | |
| 60 | "Because the MiniT population only consists of a very small proportion of the total |
| 61 | truck fleet, for instance, 0.98% in 2011, and the differences between MiniT and LDT |
| 62 | are not significant" |
| 63 | |
| 64 | Specific Comment 3(p. 15226, line 3): It would be useful to include in the |
| 65 | supplementary information a table or figure showing the emission rates by operating |
| 66 | mode bin. |
| 67 | |
| 68 | Response: I agree with the referee that presenting emission rates by operating mode |
| 69 | bin would be useful. Detailed information about it has been added to supplementary |
| 70 | information, in Figure S1. |

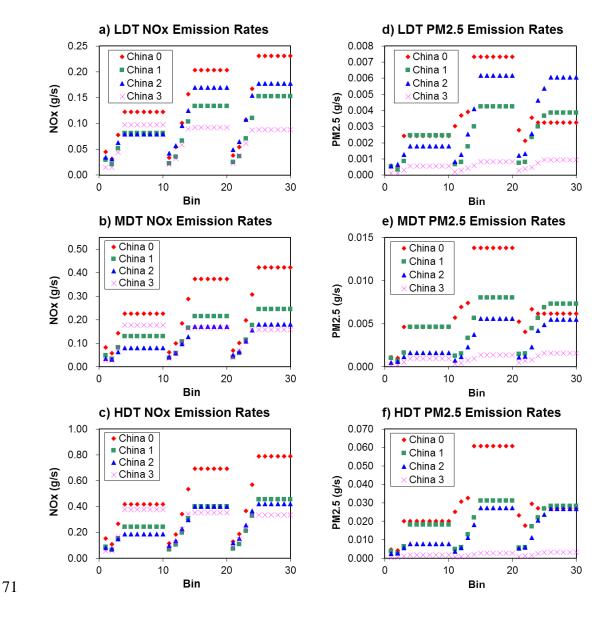


Figure S1. Emission Rates of Each Bin: a)LDT NOx Emission Rate; b)MDT NOx
Emission Rate; c)HDT NOx Emission Rate; d)LDT PM2.5 Emission Rate; e)MDT
PM2.5 Emission Rate; f)HDT PM2.5 Emission Rate.

76

Specific Comment 4 (p. 15229, line 14): ". . .therefore meet the China 3 tailpipe
emissions standard." Provide a brief description of China's tailpipe emissions standards.

80 Response:

I agree with the referee's suggestion that we should include a brief description of China's tailpipe emission standards for readers who are not familiar with it. Therefore, we added a few sentences in line 15/Page 15229, which are read as:

84

85 "Chinese government adopted vehicle emission standards following emission standards 86 in Europe since 1999. The emission level 1 to 3 in China are equivalent to Euro 1 to 3 87 standard respectively, while China 0 means no emission control was applied. The limits 88 of NO_x and PM based on China vehicle emission standards are shown in SI, Table S2."

89

Specific Comment 5 (p. 15233, line 2): ". . .long idling time without shutting down the
engine. . ." The GPS data alone cannot reveal whether the engine is on or not. Does this
claim stem from the questionnaires or some other observation?

93

94 Response:

95 It is true that the old style GPS receiver alone cannot reveal whether the engine is or 96 not. The GPS receiver that we used is capable to capture this information. In this 97 research, we used a multifunction Columbus GPS data logger V-990 produced by 98 GPSWebShop (Canada) Incorporation. Its charger can be plugged in the jack that holds 99 the cigarette-lighter. It is also capable to sense the voltage of jack to see whether the 100 engine is on. When we were monitoring the trucks, we set the GPS receiver in a mode 101 that made it only to record data when the engine is on. Therefore, whenever we have 102 the GPS data shows that the speed equals to zero, it means the truck is idling. We

explained this very briefly in the data collection section, lines 17-18/Page 15224. To
clarify this, the edited lines 17-18/Page 15224 now reads as:

105

106 "The GPS data logger is set to automatically turn on/off when the engine of the 107 investigated truck is turned on/off. Therefore, the data was collected every second when 108 the engine of the truck under investigation is running. We were allowed to do this 109 because a sensor was put into GPS to capture the voltage change of cigarette-lighter."

Specific Comment 6 (p. 15232, line 21): "The distribution of bins on each type of road
is shown in Fig.4. .." Fig. 4 shows the proportion of running time on different types of
roads by truck type and not the distribution claimed.

114

115 Response:

116 I am sorry. The sentence should be corrected as:

117 "The distribution of bins on each type of roads in shown in Supplementary118 Information, Fig. S6."

119 And the figure is added in Supplementary Information, Figure S6.

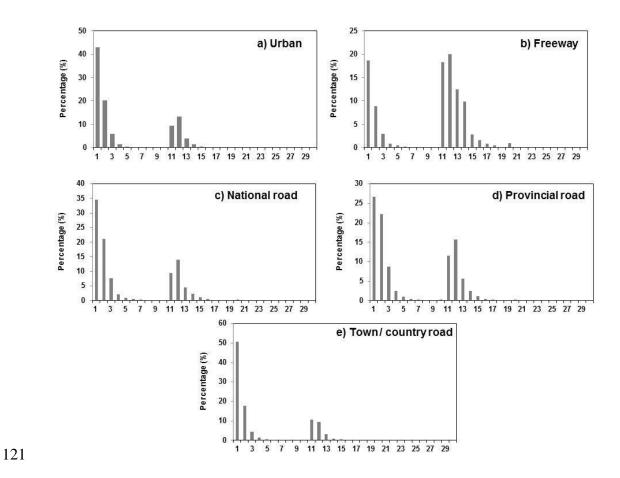


Figure S6. Bin Distribution on Different Types of Roads: a) Urban road; b) 1 Freeway;
c) National Road; d) Provincial Road; e) Town / Country Road.

125

Specific Comment 7 (p. 15233, line 8): ". . . urban or suburban roads where the driving
conditions are relatively worse." Are suburban roads lumped together with urban roads?

128

129 Response:

- 130 Yes, suburban road are lumped together with urban roads in our study. There are only
- 131 5 types of roads: freeway, national road, provincial road, country/town road and urban

road. Here I used the phrase 'suburban road' to show that these roads are located in
suburban areas. Mostly, roads in suburban areas are also urban roads. I understand that
it could be very confusing and misleading. To clarify, the edited lines 8-9/Page 15233
now read as:

136

137 "Generally, the emission factors tested on urban roads where the driving conditions138 are relatively worse, leading to a higher emission factor."

139

Specific comment 8 (p. 15233, line 14): Please explain briefly the inputs and methods
used by the MEP to estimate emissions so that readers can better understand the
differences between the two inventories.

143

144 Response:

The 2011 MEP emission estimations came from their annual report, China Vehicular Pollution Prevention Annual Report. The method that MEP used to estimate emissions was not introduced in the report. We contacted the technical staff in MEP to confirm their method of estimating emissions. Their method is briefly introduced as below. And the introduction is inserted into line 16/page 15233. And Table S2 mentioned in below text is added in supplemental information.

151

152 "Briefly, MEP estimated vehicle emission on the basis of local vehicle stock, activity 153 level and emission factors. The truck classification is the same with our study, 154 according to gross vehicle weight and the national emission standards. For each group, 155 the emission equals the product of local registration number, kilometer travelled per

156 vehicle and emission factor. Adding up emissions of each group is the total emission.

- 157 The emission factor that MEP used is based on the national emission standard. Detailed
- 158 information of emission standards in China is shown in SI, Table S2. However, no
- 159 further input data related to vehicle kilometer travelled was provided in this inventory."

160

Specific comment 9 (p. 15233, line 15): "The NOx number is a little higher than the
MEP's estimation. .." Calculate how much higher these NOx emissions are relative to
the MEP's inventory.

164

165 Response:

166 It should be clarified that how much higher our NOx result is than MEP's inventory.

167 And we added a new sector (3.5 Comparisons with other researches). The quantitative

168 comparisons are now in the new sector 3.5 and related sentence now reads as:

169

170 "This NOx number is 28% higher than the MEP's estimation of 3 900 000 t NOx
171 emissions from trucks in 2011"

172

Specific comment 10 (p. 15233, line 22): The finding that NOx reduction from diesel
trucks was not as successful as expected seems worthy of being mentioned in the
Conclusions section, for its policy-making implications.

176

177 Response:

Thanks for your suggestion. A few sentences talking about the reduction of NOx in
freight truck sector was added in line 24/page 15238 in the conclusion sector. The newly
added sentences read as:

181

182 "According to our research, the failure of reducing NOx emission of the China 3 diesel 183 trucks is the main reason of high NOX emissions in total. And the challenge of NOx 184 reduction will last for many years until all the existed trucks were replaced by new 185 trucks with after-treatment system."

186

Specific comment 11 (p. 15236, line 15): According to Figure 10, Henan ranks 3rd in NOx emissions and 3rd in PM2.5, not 3rd and 5th. Other claims in the following 10 lines are also not supported by the figure. A difference in ranking of one place does not seem like it would be significant.

191

192 Response:

Thanks for the correction. A major mistake was made here. Considering the less
importance, we have deleted the whole paragraph of this conclusion from line 13/page
15236 to line 3/page 15237.

196

197 Specific comment 12 (Figure 3): Much more explanation of the legend colors and pie198 charts is needed. Same comment for Figure 7.

199 Response:

200 Thanks for the suggestion. We have already rearranged Figure 3 and Figure 7.

- 202 Technical corrections:
- 203 All four technical corrections were accepted.

Responses to Comments from Tami Bond

| 208 | We would like to thank Tami Bond, for her detailed comments on our manuscript. Our |
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| 209 | responses to her questions and comments can be seen below. |
| 210 | |
| 211 | Overview: |
| 212 | This paper provides an expanded approach to calculating truck freight emissions |
| 213 | throughout China. New information from surveys and GPS measurements is provided |
| 214 | and combined with extensive review of the literature to improve the state of this portion |
| 215 | of this emission inventory. |
| 216 | |
| 217 | Response: Thanks for your comment. |
| 218 | |
| 219 | Specific comments: |
| 220 | 1. The abstract needs to be tightened so that more of the interesting findings and novel |
| 221 | approach of this study are highlighted. Not so much background is needed in the |
| 222 | abstract. |
| 223 | |
| 224 | Response: Thanks to this comment. The abstract is tightened according to the |
| 225 | suggestions and now reads as: |
| 226 | |
| 227 | "Diesel trucks are major contributors of nitrogen oxides (NOx) and primary particulate |
| 228 | matter smaller than 2.5 μ m (PM2.5) in transportation sector. However, there are more |
| | 12 |
| | |

229 obstacles on existing estimation of diesel truck emissions compared with that of cars. 230 The obstacles include both inappropriate methodology and missing basic data in China. 231 According to our research, a large number of trucks are conducting long-distance inter-232 city or inter province transportation. Thus, the method, used by most of existing 233 inventories, based on local registration number is inappropriate. A road emission 234 intensity-based (REIB) approach is introduced in this research instead of registration 235 population based approach. To provide efficient data for the REIB approach, 1,060 236 questionnaire responses and approximately 1.7 million valid seconds of onboard GPS 237 monitoring data were collected in China.

238

239 The estimated NOX and PM2.5 emissions from diesel freight trucks in China were 5.0 240 (4.8 - 7.2) million ton and 0.20 (0.17 - 0.22) million ton, respectively in 2011. The 241 provinces based emission inventory is also established using REIB approach. It was 242 found that the driving conditions on different types of road have significant impacts on 243 the emission levels of freight trucks. The largest differences among the emission factors 244 (in g/km) on different roads exceed 70% and 50% for NOX and PM2.5, respectively. 245 A region with more inter-city freeways or national roads tends to have more NOX 246 emissions, while urban streets play a more important role in primary PM2.5 emissions 247 from freight trucks. Compared with inventory of Ministry of Environment, which 248 allocate emissions according to local truck registration number and neglect inter-region 249 long distance transport trips, the differences for NOx and PM2.5 are +28% and -57% 250 differences respectively. And the REIB approach matches better with traffic statistic 251 data on province level. Furthermore, the different driving conditions on the different 252 roads types are no longer overlooked with this approach."

2. Page 15221 Line 8:"Compared with former studies..." Please provide somequantitative information about emissions have shifted.

256

Response: Thanks for the suggestion. Information about quantitative difference between our result and MEP former inventory was added here in the abstract read as: "Compared with inventory of Ministry of Environment, which allocate emissions according to local truck registration number and neglect inter-region long distance transport trips, the differences for NOx and PM2.5 are +28% and -57% differences respectively. And the REIB approach matches better with traffic statistic data on province level."

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265

3. Page 15226: calculation of representative emission rate for each bin. Was this
calculation done in this study, or was it done by the other studies cited here? Such
a calculation is a major undertaking. If it was done for this study, then much more
information is needed to describe the results. If it was done in another study that
should be made clear.

271

Response: Thanks for this comment. As we quoted in the paper, the emission rates that were used in this research came from multiple former researches in China. We combined emission factors from research of Zhang et.al., Wang et.al., and relative relations of representative emission rates of each bins to calculate the representative emission rates in this research. As anonymous referee #1 suggested, we have figured the representative emission rates and added the figures in supplementary materials,

Figure S1. In this case, researchers in the future will be able to use the emission rates for further studies. To clarify, we have modified our former description of how we calculated emission factors in Chapter 2.2. Former statement "One-second on-board measurement data from Liu et al., Wu et al. and the Vehicle Emission Control Center of China (VECC) was used to calculate a representative emission rate for each bin according to the IVE model (Liu et al., 2009;Zhang et al., 2013;Wang et al., 2012)." now read as:

285

"Data from multiple researches was used to obtain the representative emission rates in 286 287 this research since no study provides sufficient data of emission rates for all types of trucks. Emission rates of each bin from Liu's study (Liu et al. 2009) were used to 288 289 generate curves of emission versus bins, what we called bin-emission curves. Emission 290 factors of different vehicle classes from Wang et al. (2012) and Zhang et al. (2013) 291 were used to amend the bin-emission curves, moving the curves up or down without 292 changing the relative relationship among bins. The outcome representative emission 293 rates of each bin are shown in Supplementary Information, Figure S1."

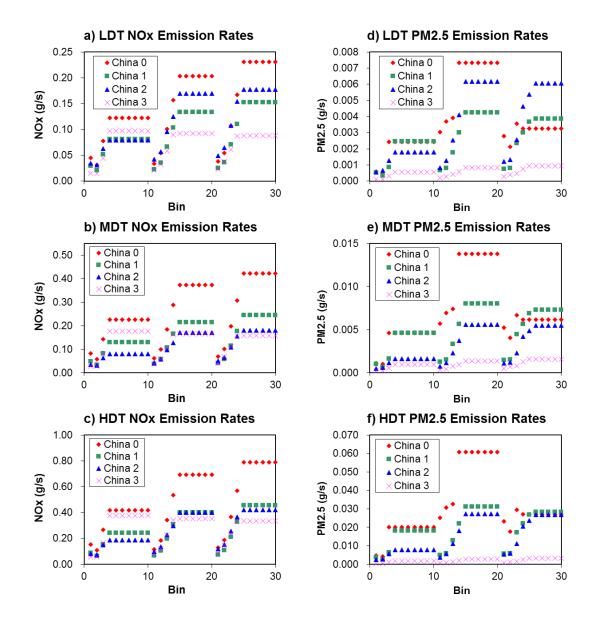


Figure S1. Emission Rates of Each Bin: a)LDT NOx Emission Rate; b)MDT NOx
Emission Rate; c)HDT NOx Emission Rate; d)LDT PM2.5 Emission Rate; e)MDT
PM2.5 Emission Rate; f)HDT PM2.5 Emission Rate.

300 4. Page 15228, Line 17. "However, this research founded an acceptable empirical
301 summary for trucks at different ages." Authors have not given any information

about statistical validity. So, one cannot say that it is acceptable. If there is no other
 information, and the surveys here are the only data available, authors should say so.
 304

Response: Thanks for the comment. The data here we use is the only data available. Here by 'acceptable' we meant the quantity of samples we used is acceptable compared with former researches. However, it's true that we can't say so without information about statistical validity. Therefore, Page 15228, Line 17 now reads as "However, the investigation result is the only data available now to understand the characteristics of trucks at different ages."

311

312 5. Section 3.1: Activity level

A lot of valuable information is found from the surveys, and used in the emission inventory. However this information is not provided in the paper, and thus it is impossible for readers to take advantage of it, or to compare it with previous research. How much does mileage reduce as trucks age? Does this differ to different types of trucks? What is the survival probability for the different types of trucks in China? This information could be given in tables, even in supplementary information, but the basic information really needs to be provided. Figure 4 is a good example.

320

321 Some of this information could be gleaned from figurer 3a and 3b. But the implied 322 survival curves look odd. What is the reason for the large jump in 2009 vehicles 323 compared with 2008? It implies that there was a huge purchase in 2009, or that vehicle 324 retire within 3 years.

325

326 Response: Thanks for the referee's comments. First of all, information of kilometers 327 travelled versus ages can be calculated according to the empirical equation shown in 328 Figure 2. The quadratic fitting equation provides answers to the first question. 329 Following the fitting equation, average vehicular kilometers travelled for trucks at each 330 age could be calculated. As for the different types of trucks, we used mileage correction 331 factors to reflect the differences between different trucks, which can be found in Table 332 2, Row 3. And survival probability for the different types of trucks in China is added in 333 Supplementary Information, Figure S2. Relative revisions were also made in this paper 334 so that it would be easier for the readers to find the data needed. The second paragraph 335 in Chapter 3.1 now read as:

336

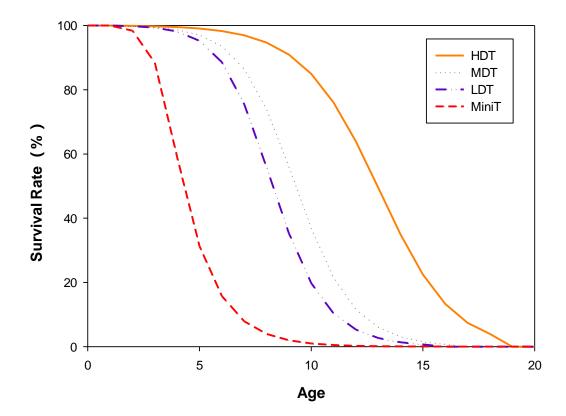
337 "Moreover, mileage correction factors by vehicle type was introduced to identify the 338 differences between each type of truck, as shown in Table 2. The correction factors 339 were the ratio of the average kilometers travelled of a certain type of truck versus the 340 entire truck fleet. From the value of correction factors we can see that as GVW grows, 341 the average kilometers travelled increase.

342

343 Detailed information of survival rate was added section 2.3, after Eq.4. And survival
344 curves of different types of trucks used in this research is now shown in Supplement
345 Information, Figure S2. It now reads as:

346

347 " $SR_{j,k}$ is the survival rate of a k-year-old type j vehicle, The data came from a 348 nationwide vehicle survival pattern research conducted by Hao el al. (2011). And the 349 survival curves are shown in SI, Figure S2."



351

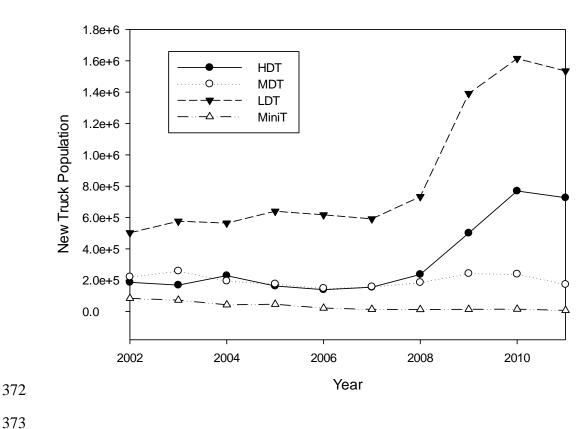
352 Figure S2. Survival Rates of Trucks in China

353 The referee also mentioned that there was a huge jump in the population of 2009 versus 354 2008. The reason to this huge jump was that truck purchase, especially LDTs and HDTs, 355 increased tremendously quickly in 2009, according to the data from National Statistical 356 Bureau of China. The new truck population during 2002-11 is shown in Figure 1 in this 357 reply. In 2009, there was 0.98 million more new trucks came into the market compared 358 with 2008, which was equivalent to 8.7% of the total truck stock in 2008. Considering 359 that the survival rates for the first 3 years are very close to 100%, the existing stock of 360 trucks that came into the market during 2009-2011 in the 2011 market were even higher. 361 However, it is important for us to illustrate the reason to this jump so that the readers

362 won't feel confused. Therefore, we added a few sentences to explain in Section 3.1.363 They read as:

364

365 "Truck population in China experienced a tremendously growth during 2009-2011, 366 according to the data from National Statistical Bureau of China. In 2009, there was 0.98 367 million more new trucks came into the market compared with 2008, which was 368 equivalent to 8.7% of the total truck stock in 2008. And most of the 2009-2011 trucks 369 survived in the 2011 market. Therefore, there was an obvious excess of trucks from 370 2009-2011 in the 2011 market compared with previous years."



374

Figure 1 New Vehicle Population in China, 2002-2911

6. Driving characteristics 376

377 The GPS data were taken on 16 trucks in 15 provinces (according to table 1). This 378 means that 1 truck per province was testes, and about 30 hours per truck. I don't think 379 this number of GPS data could be considered sufficient to characterize all of China. It 380 seems reasonable for this study, which is extensive in other ways, but it should be 381 recommended that more GPS studies could be done. It seems likely that different truck 382 sizes, cities, etc. could have different practices and perhaps the GPS data collected here 383 do not fully capture these, especially idling time which is mentioned in the next section.

385 It is also not discussed whether the frequency of speed on different types of roads is the 386 same for each province. I don't think it needs to be discussed here, but I encourage the 387 authors to exploit the collected data in a later paper.

388

389 Response: Thanks to the referee's comments on the GPS data we collected. First of all, 390 we have to admit that the number of trucks tested in this researcher is not sufficient to 391 represent all of China. However, the data we collected is remarkable compared to 392 former research. 16 trucks in 15 provinces were tested in this research, but it doesn't 393 mean that we only have only one truck to represent each of the provinces. As mentioned 394 in the article, a lot of trucks in China travel across provinces. The longest single trip we 395 monitored travelled across 8 provinces. And for each truck, we monitor 2 weeks to 396 present its full business cycle. The average 30 hours for each truck is the average time 397 length for the 16 trucks with their engine on. These 30 hours were distributed in the 398 monitored 2 weeks. Moreover, we are trying to get massive GPS data from several truck 399 companies in China for greater representativeness. The problem is these kinds of 400 commercial GPS only provide data per minute. The time resolution is not enough to 401 further emissions study. Thus, we are still working on finding an approach to solve this 402 as one of our future work.

403

The referee's suggestion that we discuss the differences of running conditions on the same type of roads in different provinces is very helpful. We'd love to have this discussion in our later paper after we collect data massive enough to present the differences among provinces.

409 7. Section 3.4 effect of older truck mileage on inventory. This is an important point410 and it is nice to see it quantified.

411

Emission comparison between this method (distribution by roads, compared with registration province). This is also an important point. Is it possible to compare quantitatively as was done with the truck mileage? How much would each province differ under the traditional versus this method?

416

417 Also, I recognize that this distribution is likely better. But it still contains significant 418 uncertainty; I think it means that all roads are assumed to have equal congestion. It 419 might be a better assumption, but it is still an assumption. Authors should state the 420 limitations clearly.

421

422

423 Response: Thank you! We totally agree that it's very interesting to give quantitative 424 comparisons between the traditional method and this new method. We added two kinds 425 of comparison to clarify this. First, in this revision, we compared our provincial-level results with the MEP 2011 inventories. The MEP inventory was used as the official 426 427 vehicle emission inventory in China. The comparison are shown in Figure 10 after 428 revision. Second, we redo the VKT calculation for each province using traditional 429 method. And the differences of provincial total VKT proportions are shown in Figure 430 11. In this way, the impact from other factors are avoided and only distributions are

431 compared. Therefore we are capable to identify the differences caused by distribution432 quantitatively.

433

434 Since we made a lot of comparisons between our results and other researches, a new 435 sector (Sector 3.5 Comparisons with Other Studies) was added to address all the 436 comparisons. It includes former quantitative comparisons between emission results and 437 the newly added comparisons between different distributions. The new chapter now 438 read as:

439

440 "NO_X emission from this research is 28% higher than the MEP's estimation of 441 3,900,000 ton NO_x emissions from trucks in 2011 (MEP, 2012b). And according to the 442 MEP, the total $PM_{2.5}$ emissions from the truck fleet were 460,000 ton in 2011 (MEP, 443 2012a), which is 130% higher than estimation in this research. The differences come 444 from method, basic data and major assumptions.

445

446 Briefly, MEP estimated vehicle emission on the basis of local vehicle stock, activity 447 level and emission factors. The truck classification is the same with our study, 448 according to gross vehicle weight and the national emission standards. For each group, 449 the emission equals the product of local registration number, kilometer travelled per 450 vehicle and emission factor. Adding up emissions of each group is the total emission. 451 The emission factor that MEP used is based on the national emission standard. Detailed 452 information of emission standards in China is shown in SI, Table S2. However, no 453 further input data related to vehicle kilometer travelled was provided in this inventory.

454

The difference on NO_x emissions was mainly caused by emission factors used in these two studies. In our study, the emission factor of China 3 trucks was not improved compared with China 2 (Wu et al., 2012; Liu et al., 2009). Thus, compared with MEP inventory and other inventory based on low NOx emission rate, our NO_x emission is much higher.

Compared with MEP results, the PM_{2.5} emissions calculated in this research are 461 462 significantly lower. A major reason for this lower result is that we included the 463 decreasing trend of mileage traveled by trucks per year in this calculation. In China, 464 overloading was common for commercial trucks. This accelerated the deterioration of 465 trucks, which means older trucks had to run less due to deteriorated performance and 466 more frequent repair and maintenance. The decrease of VKT was proved by our 467 questionnaire investigation. If the mileages variation with age were omitted, the calculated PM_{2.5} emissions would increase 50%, exceeding 300,000 ton. However, the 468 469 VKT variation is not such a large problem for NO_x because the NO_x emission factor 470 did not improve from old trucks to new trucks.

471

472 The provincial level NO_x and PM_{2.5} emissions from road freight transportation are 473 shown in Figure 10 (a) and (b), respectively, ranking from the highest to the lowest. For both NO_x and PM_{2.5}, Shandong and Guangdong, where most of the freight 474 475 transportation in China is conducted, take the leading positions in freight truck 476 emissions. The NO_x and $PM_{2.5}$ emissions in these two provinces exceeded 600,000 ton 477 and 25,000 ton, respectively. Provincial emissions from MEP inventory are also shown 478 in Figure 10. The provincial differences between the outcome of REIB approach and 479 MEP inventories are obvious. The greatest differences are 220% and -72% for NOx

⁴⁶⁰

480 and PM2.5 respectively (REIB compared with MEP inventory). Not only the emission 481 scales are different, discrepancies also exist in the rankings of provinces. The 482 differences come from both different basic data and different methods. To avoid 483 influence from input data, we re-calculated provincial VKT using our method and the 484 traditional approach. Here traditional approach means calculating total VKT based on 485 local registration data and average mileage travelled. The differences between the 486 provincial proportions of VKT are shown in Figure 11. Taking Shanghai as an example, 487 REIB method has 39.9% lower VKT compared with the traditional method. In the 488 report published by MEP (2012a), the largest contributor of both NO_x and PM_{2.5} in 489 China during 2011 was Hebei province. However, Shandong contributed the most road 490 freight emissions in 2011 according to this research. This difference was caused by the 491 methodology on which the inventory was based. As discussed earlier, the registration 492 number based approaches have a significant bias because trucks are not limited to the 493 province where they are registered. Therefore, a province with the largest registration 494 number of trucks might not have the most freight transportation. According to the China 495 Statistics Bureau, Shandong has the greatest cargo volume and cargo turnover volume 496 in the road transportation sector (Bureau, 2012). These data verified our assumption 497 from a different perspective. Therefore, the former approach would be inaccurate 498 without considering that the real range of truck activities might be different from the 499 place where they are registered. There is an assumption of REIB approach that the same 500 type of roads have equal congestion in different provinces. This is a limitation of our 501 study and the limitation is mainly because the limited data amount. This limitation 502 could be avoided if future GPS data could be sufficient to characterize driving 503 conditions in each province, which means that the REIB approach is still suitable for 504 future mass data analysis. Now, we can still trust the results because the differences

within the same types of roads is much insignificant compared with that amongdifferent types."

507

508 And the referee also mentioned that we should state the limitations in the paper. REIB 509 approach does have limitations because it assumes that all roads of the same type have 510 the equal congestion. This is a limitation with current data amount. We can still trust 511 the results because the differences within the same types of roads is much insignificant 512 compared with that among different types. This limitation could be avoided if future 513 GPS data is sufficient to characterize driving conditions on different roads in different 514 provinces/cities. In the revision, we added discussion about the assumption of REIB 515 approach and the limitations in the last paragraph of the new sector 3.5 so that the 516 readers can get a full understanding of this method. The sentences read as:

517

⁵¹⁸ "There is an assumption of REIB approach that the same type of roads have equal ⁵¹⁹ congestion in different provinces. This is a limitation of our study and the limitation is ⁵²⁰ mainly because the limited data amount. This limitation could be avoided if future GPS ⁵²¹ data could be sufficient to characterize driving conditions in each province, which ⁵²² means that the REIB approach is still suitable for future mass data analysis. Now, we ⁵²³ can still trust the results because the differences within the same types of roads is much ⁵²⁴ insignificant compared with that among different types."

525

526 8. Section 3.5 Uncertainty analysis: Table 3 gives the inputs but no support for why
527 they were chosen! The uncertainties seem rather low. Please discuss which
528 uncertainty were included, and which were not included.

Response: In this research, we refer to other former researches in China and use what they have used for uncertainty analysis. As we quoted in the paper, we used uncertainties from researches of Zhang et.al. (Historic and future trends of vehicle emissions in Beijing, 1998e2020: A policy assessment for the most stringent vehicle emission control program in China, 2014). For uncertainties in emission rates, we refer to the standard errors of the emission test that we used (Wang et al. 2012; Zhang et al, 2013). To clarify this, Page 15237 Line 9-11 now read as:

537

538 "The statistical distributions of the annual kilometers travelled and stock are determined 539 according to Zhang et al. (2013). And the uncertainty of mileage distribution was 540 estimated according to our questionnaire results. For uncertainties of emission factors, 541 we used the standard errors in the emission measurements to represent the uncertainties 542 (Wang et al. 2012; Zhang et al, 2013). Considering that the activity level data are 543 estimated based upon survey since it is not available through official channels, there is 544 inevitable systematic bias in the estimation (Zheng et al., 2009)."

545

546 Editorial comments

547

548 Response: All the editorial comments have been accepted.

| 550 | Characterization of Road Freight Transportation and Its |
|-----|---|
| 551 | Impact on the National Emission Inventory in China |
| 552 | |
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572 Abstract

| 572 | |
|-----|---|
| 573 | Diesel trucks are major contributors of nitrogen oxides (NO _x) and primary particulate |
| 574 | matter smaller than 2.5 μ m (PM _{2.5}) in transportation sector. However, there are more |
| 575 | obstacles on existing estimation of diesel truck emissions compared with that of cars. |
| 576 | The obstacles include both inappropriate methodology and missing basic data in China. |
| 577 | According to our research, a large number of trucks are conducting long-distance inter- |
| 578 | city or inter province transportation. Thus, the method, used by most of existing |
| 579 | inventories, based on local registration number is inappropriate. A road emission |
| 580 | intensity-based (REIB) approach is introduced in this research instead of registration |
| 581 | population based approach. To provide efficient data for the REIB approach, 1,060 |
| 582 | questionnaire responses and approximately 1.7 million valid seconds of onboard GPS |
| 583 | monitoring data were collected in China. |
| 584 | |
| 585 | The estimated NO_X and $PM_{2.5}$ emissions from diesel freight trucks in China were 5.0 |
| 586 | (4.8 - 7.2) million ton and 0.20 $(0.17 - 0.22)$ million ton, respectively in 2011. The |
| 587 | provinces based emission inventory is also established using REIB approach. It was |
| 588 | found that the driving conditions on different types of road have significant impacts on |
| 589 | the emission levels of freight trucks. The largest differences among the emission factors |
| 590 | (in g/km) on different roads exceed 70% and 50% for NO _X and PM _{2.5} , respectively. A |
| 591 | region with more inter-city freeways or national roads tends to have more NO_X |
| 592 | emissions, while urban streets play a more important role in primary PM _{2.5} emissions |
| 593 | from freight trucks. Compared with inventory of Ministry of Environment, which |
| 594 | allocate emissions according to local truck registration number and neglect inter-region |
| 595 | long distance transport trips, the differences for NO_x and $PM_{2.5}$ are +28% and -57% |
| 596 | differences respectively. And the REIB approach matches better with traffic statistic |
| • | |

597 <u>data on province level. Compared withemissions have Furthermore, the different</u>
 598 <u>driving conditions on the different roads types are no longer overlooked with this</u>
 599 <u>approach.</u>

600 Mobile source emission inventories serve as critical input for atmospheric chemical 601 transport models, which are used to simulate air quality and understand the role of 602 mobile source emissions. The significance of mobile sources is even more important in 603 China because the country has the largest vehicle population in the world, and that 604 population continues to grow rapidly. Estimating emissions from diesel trucks is a 605 eritical work in mobile source emission inventories due to the importance and 606 difficulties associated with estimating emissions from diesel trucks. Although diesel 607 trucks are major contributors of nitrogen oxide (NO_x) and primary particulate matter 608 smaller than 2.5 µm (PM_{2.5}), there are still more obstacles on the existing estimation of 609 diesel truck emissions compared with that of cars; long-range freight transportation 610 activities are complicated, and much of the basic data remain unclear. Most of existing 611 inventories were based on local registration number. However, according to our 612 research, a large number of trucks are conducting long distance inter-city or inter 613 province transportation. Instead of the local registration number based approach, a road 614 emission intensity-based (REIB) approach is introduced in this research. To provide 615 efficient data for the REIB approach, 1,060 questionnaire responses and approximately 616 1.7 million valid seconds of onboard GPS monitoring data were collected. Both the 617 questionnaire answers and GPS monitoring results indicated that the driving conditions 618 on different types of road have significant impacts on the emission levels of freight 619 trucks.

We present estimated emissions of NO_x and primary PM_{2.5} from diesel freight trucks for China in 2011. Using the REIB approach, the activity level and distribution data are obtained from the questionnaire answers. Emission factors are calculated with the International Vehicle Emission (IVE) model that interpolated local on-board measurement results in China according to the GPS monitoring data on different roads.

626

627 Depending on the results in this research, the largest differences among the emission 628 factors (in g/km) on different roads exceed 70% and 50% for NOx and PM2.5, 629 respectively. The differences were caused by different driving conditions that we 630 monitored via GPS. The estimated NO_X and PM_{2.5} emissions from diesel freight trucks 631 in China were 5.0 (4.8-7.2) million ton and 0.20 (0.17-0.22) million ton, respectively, 632 via the REIB approach in 2011. Another implication of this research is that different road infrastructure would have different impacts for NO_x and PM_{2.5} emissions. A region 633 634 with more inter city freeways or national roads tends to have more NO_x emissions, 635 while urban streets play a more important role in primary PM_{2.5} emissions from freight 636 trucks. Compared with former studies, which allocate emissions according to local 637 truck registration number and neglect inter-region long distance transport trips, the 638 REIB approach has advantages regarding the allocation of diesel truck emissions into 639 the provinces. Furthermore, the different driving conditions on the different roads types 640 are no longer overlooked with this approach.

641

643 **1 Introduction**

644 China has been facing severe air quality challenges in the past several years. Air pollution in China not only endangers the health of billions of people but also creates a 645 646 substantial burden on the economy (Matus et al., 2012). The 2005 marginal welfare 647 impact to China, considering only ozone and particulater matter, was US\$112 billion 648 (1997 US\$) (Hammitt and Zhou, 2006). Vehicular emissions form one of the greatest 649 contributors to the air pollution in China, especially for NO_x and PM_{2.5}. According to 650 the Ministry of Environmental Protection (MEP), vehicular emissions contributed 27.4% 651 of the total NO_x emissions in 2012 (MEP, 2012a). Vehicle emissions also contribute 652 more than 30% of PM_{2.5} in Beijing, as announced by the Beijing government.

653

654 Preparing inventories is essential to the assessment and management of current 655 atmospheric problems (Ohara et al., 2007; Streets et al., 2003; Beaton et al., 1995). 656 Previous work has set up inventories for the different types of pollutants from the 657 different sources. Among all the sources, the mobile source is one with the greatest 658 uncertainty and ambiguity (Cai and Xie, 2007; Wang et al., 2008a). Among all the 659 vehicles, diesel freight trucks contributed to a large portion of vehicular emissions. 660 According to the MEP, diesel vehicles, mainly consisting of freight trucks, contributed 70% of NO_X and 90% of PM in the total vehicular emissions in 2012 (MEP, 2013). 661 662 Therefore, improving current emission inventory and reducing the uncertainty is of 663 great necessities. Most research in freight truck emissions, including both measurement 664 and evaluation, was conducted in or focused on urban areas (Cheng et al., 2006; Wu et 665 al., 2010; Liu et al., 2009; Chen et al., 2007; Huang et al., 2013). However, the fact is that 666 in large cities, such as Shanghai, Beijing and Guangzhou, where most research is studied, restrictions over diesel trucks are notably tight; only a small number of 667

permitted trucks, usually low emitters, are allowed to run in the urban area only during
 a certain time period, mostly late night. Therefore, the conclusions from former research
 may be partly biased by placing excessive emphasis on the urban emissions.

671

672 Another major impediment to developing a new approach to estimate freight truck 673 emissions is that most inventories were based on the local registration numbers, which 674 means there is an assumption that trucks are running within the province or city where 675 they registered (Zheng et al., 2013). However, according to this research, many trucks 676 are conducting long-distance inter-city or inter-province transportation trips. Therefore, 677 a road-based estimate approach was introduced in this research instead of the former local registration number based approach. This simulation addresses more on the freight 678 679 transportation system as a whole rather than a local emissions scale.

680

681 In summary, we attempt to identify and reduce the impact of the factors mentioned above by adopting a road-based approach with collected activity level data including 682 683 both questionnaire answers and GPS records. In particular, this research serves to (i) provide more accurate activity level data for freight trucks including mileage traveled 684 685 versus age, activity regions and driving conditions, (ii) identify the different emission rates caused by different driving conditions on each type of road and (iii) provide a 686 687 national emissions inventory that considers the road freight system as whole instead of 688 separating it into different pieces according to the province divisions.

690 2 Data and Methodology

691 **2.1 Data Source**

The data source of this research primarily consists of two major parts: 1. Questionnaires that investigated the driving behavior of professional truck drivers, along with experiential data that related to their driving pattern. 2. Driving condition tests of trucks driving on different types of roads. Information about the questionnaires and GPS data, such as the sample numbers and location range, is shown in Table 1.

697

698 A series of questions related to driving pattern, fuel consumption, route selection, 699 transport range, etc. were included in the questionnaires. All the investigated drivers 700 are professional freight truck drivers. Because most of the freight truck drivers are not 701 highly educated, all the questionnaires were conducted by college students, and a 702 detailed explanation was required to make sure that the drivers understood the question 703 correctly. To ensure the quality of the answers, related questions that validate each other 704 are designed to wipe out careless or wrong answers. A total of 1,060 samples from 16 705 provinces were investigated. Therefore, it is a large sample study according to the 706 theory of statistics (Box et al., 2005). Previous studies on driver behavior in China also 707 conducted questionnaire investigations. For example, 520 samples, which were targeted 708 at allall at automobile drivers and not limited to trucks, were studied in 2002 to 709 understand the behavior of drivers (Xie and Parker, 2002). Another 87 completed 710 samples were used to make comparisons between China and Hong Kong in 2009 (Chan 711 et al., 2009). Questionnaires are also used on truck drivers in Australia (N=433), 712 Germany (N=10,101), Brazil (N=4,878) and New Zealand (1,065) for different 713 purposes (Sullman et al., 2002;Lajunen et al., 2004;Moreno et al., 2006;Moreno et al.,

714 2004). Compared with other sample sizes of domestic and foreign studies on truck
715 drivers, the number of samples in this study is adequate to describe the average level of
716 freight truck activities.

717

718 Trucks are classified into four types according to weight in this research, following the 719 rule made by National Statistics Bureau (CATARC, 2012):- Mini Trucks (MiniT) with 720 weights less than 1.8 t, light duty trucks (LDT) with weights of 1.8-6 t, middle duty 721 trucks (MDT) with weights of 6–14 t and heavy duty trucks (HDT) with weights greater 722 than 14 ton. The classification is used on getting vehicle stock from national statistic, 723 questionnaires investigation and data analysis in this study. According to weight, the 724 trucks are classified into four types: Mini Trucks (MiniT) with weights less than 1.8 725 ton, light duty trucks (LDT) with weights of 1.8 – 6 ton, middle duty trucks (MDT) with weights of 6 14 ton and heavy duty trucks (HDT) with weights greater than 14 726 727 ton. The follows how the National Bureau of Statistics reports the vehicle stock 728 (CATARC, 2012). Because the MiniT population only consists of a very small 729 proportion of the total truck fleet, approximately 0.98% of the total freight truck stock 730 in 2011, and the differences between MiniT and LDT are not significant, MiniTs and 731 LDTs are grouped together in the calculation of emission factors.

732

Because the normal method to testing driving patterns focuses on driving in cities, this method can hardly be applied to freight trucks; a freight truck has significant operation differences with that of a private passenger car (Holguin-Veras et al., 2006;Kamakat é and Schipper, 2009). Freight trucks in China generally travel inter-cities and do not stop for extended time periods, except for a small portion that run inside cities for short distance freight transit or other special public service (like garbage collection or road

739 sprinkler) (Hine et al., 1995). To obtain the real time driving patterns of freight trucks, 740 a Global Positioning System (GPS) receiver and speed sensor were used. For many 741 years, GPS has been used to monitor the driving conditions of vehicles in many 742 emission related studies (Ochieng et al., 2003;Rakha et al., 2004;Canagaratna et al., 2004). A multifunction Columbus GPS data logger V-990 produced by GPSWebShop 743 744 (Canada) Incorporation was used in this research. The GPS data logger is set to 745 automatically turn on/off when the engine of the investigated truck is turned on/off. 746 Therefore, the data was collected every second when the engine of the truck under 747 investigation is running. We were allowed to do this because a sensor was put into GPS 748 to capture the voltage change of cigarette-lighter. The data were collected every second 749 when the engine of the investigated truck is on.

750

751 The investigated trucks were all driven by professional truck drivers during the tested 752 time period. The GPS data logger was required to be used for at least one week to record 753 the full driving pattern of the freight trucks. All drivers maintained their business as 754 usual during the test time period. In total, 1,728,622 valid seconds from 16 trucks with 755 different load capacities and functions were tested. All of the tested data were classified 756 into five different types according to the road type they were on. The road type is 757 identified by Google Map. The roads are divided into 5 classifications: urban roads, 758 rural/town road, provincial roads, national roads and inter-city freeways. Typical speed 759 tracks and routes of each type of the tested roads are shown in Figure 1. To present the 760 speed distribution in a same scale, only the first 4,000 seconds of speed are shown in 761 Figure 1. For urban road, there are only 3,989 seconds of data, and it represents the 762 longest single trip in urban area that was monitored in this research.

764 **2.2 Emission Rates and Emission Factors**

Vehicle-specific power (VSP) is a concept that is designed to describe the working
conditions, such as aerodynamic drag, acceleration, rolling resistance and hill climbing,
of a vehicle and is used in the evaluation of vehicle emissions (Jimenez-Palacios, 1998).
VSP is now widely used in emission factor modeling, such as in the IVE and MOVES
models. For the VSP calculation, the equation for heavy duty diesel trucks (HDDTs)
from the MOVES model was applied in this study (Eq. 1).

771
$$VSP = \frac{A}{m} \cdot v + \frac{B}{m} \cdot v^2 + \frac{C}{m} \cdot v^3 + a \cdot v + gv \cdot \sin\theta \qquad Eq.1$$

where m is the vehicle weight, tons; v is the instantaneous vehicle speed, $m \cdot s^{-1}$; a is the instantaneous vehicle acceleration, $m \cdot s^{-2}$; θ is the road grade, radians; A is the rolling resistance coefficient, $kW \cdot s \cdot m^{-1}$; B is the rotational resistance coefficient, $kW \cdot s^2 \cdot m^{-2}$; and C is the aerodynamic drag coefficient, $kW \cdot s^3 \cdot m^{-3}$. The road-load coefficients (i.e., A, B and C) by each major category are shown in Table 2. The coefficients were estimated according to the typical weight type used in Motor Vehicle Emission Simulator (MOVES) model (Koupal et al., 2004).

779

Engine stress (ES), which includes 25-second historical VSP data, was introduced by
emission models such as International Vehicle Emission (IVE) model to represent how
early running conditions impact current emissions. ES is calculated in following
equation (Eq.2) from the IVE model (CE-CERT et al., 2008)-:

784
$$ES=RPMIndex+(0.08 ton \cdot kW^{-1}) \times Pr eaveragePower$$
 Eq.2

where PreaveragePower is the average VSP during -5 s to -25 s, $kW \cdot ton^{-1}$; RPMIndex is the Velocity_{t=0} / *SpeedDivider*, unitless; and the minimum RPMIndex is 0.9. The detailed SpeedDivider is shown in Supplementary Information Table S1.

788

789 Operating mode bins are identified according to the VSP and ES. Data from multiple 790 researches was used to obtain the representative emission rates in this research since no 791 study provides sufficient data of emission rates for all types of trucks. Emission rates 792 of each bin from former study of Liu's study (Liu et al. (2009) were used as basic 793 emission rates to generate curves of emission versus bins, what we called bin-emission 794 curves. Emission factors of different vehicle classes from Wang et al. (2012) and Zhang 795 et al. (2013) were used to amend the bin-emission curves, moving the curves up or 796 down without changinge the relative relationship among bins. Corresponding emission 797 factors were generated with basic emission rates following standard conditions of 798 emission factors from Wang et al. (2012) and Zhang et al. (2013). Ratios of reported 799 emission factors versus calculated emission standards under same conditions were used 800 as correction factors to adjust representative emission rates for each bin. The outcome 801 representative emission rates of each bin are shown in Supplementary Information, 802 Figure S1. One-second on-board measurement data from Liu et al., Wu et al. and the 803 Vehicle Emission Control Center of China (VECC) was used to calculate a 804 representative emission rate for each bin according to the IVE model (Liu et al., 805 2009;Zhang et al., 2013;Wang et al., 2012).With GPS monitoring data from tested 806 trucks in this research, the second-by-second emissions rate for each vehicle technology 807 can be calculated following earlier studies. The distance-specific emission factors can 808 be calculated with the following equation (Eq.3):

809
$$EF_{i,j} = \frac{1000 \cdot \sum_{t} ER_{i,j,t}}{\sum_{t} v_{i,j,t}}$$
 Eq.3

810 where EF is the distance-specific emission factor, $g \cdot km^{-1}$; ER is the second-by-811 second emission rate, $g \cdot s^{-1}$; and v is the velocity. The subscripts i, j and t represent 812 road type, type of tested truck and time, respectively.

813

2.3 Setting up the Regional Emission Inventory

814 Top-down approaches are widely used in estimating anthropogenic emissions for a 815 relatively large geographic range. According to the annual vehicle population numbers 816 from the China's Automotive Industrial Statistics Yearbook (CATARC, 2012) and the 817 survival curve from a former study (Hao et al., 2011), details about the existing vehicle 818 population, such as the portion of trucks at different ages and weight, can be calculated. 819 Following the Yearbook, the trucks were divided into 4 types according to their GVW 820 (shown in Table 2). In addition, the annual VKT (vehicle kilometer traveled) of trucks 821 at different ages is acquired from the investigation. Combining the fleet information 822 and the VKT data together yields the total activity level number.

823

In this research, a new road emission intensity based (REIB) approach was introduced to calculate the regional emission inventory of diesel trucks. Instead of relying on local registration numbers, the road emission intensity served as the base of the REIB approach. The basic assumption for REIB is that for freight trucks, the driving conditions and truck flow were similar on the same type of road in different regions. The emission intensity of different types of road was calculated according to the activity distribution obtained from this research. Then, the emissions in each grid cell or

province could be calculated according to the length of different types of road. Unlike former approaches that assumed that trucks ran limitedly in the region where they are registered, the REIB approach examines the road freight transportation as a whole nationwide system. The REIB approach is a better fit, given the fact that a large portion of trucks run across provinces. In the GPS monitoring conducted in this research, the longest single trip traveled across 8 provinces. The local registration based approach introduced great inaccuracy by overlooking the cross region trips of freight trucks.

838

Different types of truck were investigated to determine their traveling information. The drivers were asked to estimate the distance portion that they drive on different types of roads. In this study, the roads are classified into freeway, national roads, provincial roads, rural roads, urban roads and other special roads such as those within factories and ports. Then, the emission density of different types of roads was calculated according to following equation (Eq.4):

845
$$\rho_{i} = \frac{\sum_{j,k} \overline{VKT}_{j,k} \cdot NV_{current_year-k,j} \cdot SR_{j,k} \cdot EF_{i,j,k} \cdot DP_{i,j}}{L_{i}}$$
Eq.4

846 where ρ_i is the emission density of i type road, $g \cdot km^{-1} \cdot year^{-1}$; $VKT_{j,k}$ is the 847 average VKT per vehicle, $km \cdot year^{-1}$; $NV_{current_year-k,j}$ is the new vehicle population 848 of type j k years ago; $SR_{j,k}$ is the survival rate of a k-year-old type j vehicle. The data 849 came from a nationwide vehicle survival pattern research conducted by Hao el al. 850 (2011). And the survival curves are shown in SI, Figure S2; $DP_{i,j}$ is the distance 851 portion for type j truck running on type i road; and L_i is the total length of type i road

in China, km. The subscript k refers to the age of a vehicle. The remaining variablesare the same as described above.

854

With the emission density number, the national emissions inventory can be calculated according to the spatial distribution of different types of road. In this research, multidimensions of inventories have been created to present the spatial distribution of freight truck emissions.

859

860 3 Results and Discussion

861 **3.1 Activity Level of Freight Trucks**

862 Freight trucks with different ages were investigated in this research. According to a 863 former study, the total distance that a passenger car traveled has a quadratic relationship versus its age (Liu et al., 2008). This relationship means that as the passenger car ages, 864 865 the mileage traveled per year decreases. According to the investigated samples in this 866 study, the average total kilometers that a truck traveled also follow the similar pattern 867 (shown in Figure 2). With an R-square value of 0.9651, the empirical quadratic equation 868 is adequate to describe the average activity level. The standard error in this research is 869 relatively high compared with passenger car, revealing large variation among the trucks 870 of the same age. This significant variation is caused by the diversity of functions of 871 different trucks, which makes the investigation in truck activity a tough task. However, 872 the investigation result is the only data available now to understand the characteristics 873 of trucks at different ages. However, this research founded an acceptable empirical 874 summary for trucks at different ages. Moreover, unlike former research that used 875 average mileage traveled for the entire fleet (Huo et al., 2012b;Fu et al., 2001), these

876 results here represent the aging effect of trucks, which is that the annual mileage 877 decreases as the truck grows older. By neglecting the annual mileage reduction as trucks 878 age, the impact of old trucks may be exaggerated because they do not actually run as 879 much as newer trucks. Therefore, this investigation will help to identify the contribution 880 of trucks under different ages more accurately.

881

882 Moreover, a revision mileage correction factors according to theby vehicle type was 883 introduced to identify the differences between each type of truck, as shown in Table 2. 884 The correction factors were the ratio of the average kilometers travelled of a certain 885 type of truck versus the entire truck fleet. From the value of correction factors we can 886 see that as GVW grows, the average kilometers travelled increase. Heavier trucks tend 887 to run more mileage on average because heavier trucks are more economic in long-888 distance freight transportation. Smaller and lighter trucks are more frequently used in 889 short distance transport for their flexibility.

890

Both the mileage traveled and emission rates for trucks at different ages are different.
Therefore, it is required to have the detailed age composition of truck fleet in the target
year. The truck fleet composition is calculated following the Eq. 5:

 $---FP_{i,j} = NP_{2011-i,j} \times SR_{i,j} - Eq.5$

895 where $FP_{i,j}$ is the truck that came online i year ago in the current fleet; $NP_{2011-i,j}$ is the 896 new vehicle population of type j truck in 2011 i year, from the National Bureau of 897 Statistics (CATARC, 2012); and $SR_{i,j}$ is the survival rate of j type truck that has run i 898 year, from nationwide vehicle survival pattern research (Hao et al., 2011).

900 The fleet composition and the total mileage traveled in 2011 by vehicle age are shown 901 in Figure 3 (a) and (b). The total diesel truck population in 2011 reached 193.3 million, 902 of which 53% came online during 2009-2011 and therefore meet the China 3 tailpipe 903 emissions standard. Chinese government adopted vehicle emission standards following 904 emission standards in Europe since 1999. The emission level 1 to 3 in China are 905 equivalent to Euro 1 to 3 standard respectively, while China 0 means no emission 906 control was applied. The limits of NO_x and PM based on China vehicle emission 907 standards are shown in SI, Table S2. Truck population in China experienced a 908 tremendously high truck population growth during 2009-2011, according to the data 909 from National Statistical Bureau of China. In 2009, there was 0.98 million more new 910 trucks came into the market compared with 2008, which was equivalent to 8.7% of the 911 total truck stock in 2008. And most of the 2009-2011 trucks survived in the 2011 market. 912 Therefore, there was an obvious excess of trucks from 2009-2011 in the 2011 market 913 compared with previous years. Different from the vehicle population, the total mileage 914 contributed by the China 3 trucks reaches 60% because new trucks are more frequently 915 used. A total of 1.47 trillion kilometers were conducted by diesel freight trucks in 2011. 916 The large portion of new vehicles in both population number and mileage traveled 917 indicates that the application of stricter emissions standards has great significance 918 because China is experiencing a booming in its truck population. However, the 919 application of the China 4 emissions standard on diesel vehicles has been delayed 920 because oil companies in China were unable to supply diesel that met the standard 921 (Zhang et al., 2012). Considering the booming increase of new diesel trucks and the 922 large share of them in the total mileage traveled, the impact of the delay of upgrading 923 the emissions standard would be highly significant.

925 One of the challenges in mapping the emissions of freight trucks is that it is hard to 926 identify where the trucks are running. The problem is more challenging with trucks 927 rather than other types of automotive because trucks are not limited to their registration 928 region as cars are nor do they have fixed routes as buses do. In the questionnaires 929 conducted in this research, the professional truck drivers estimated the length 930 proportions they drive on different types of road. The length proportions of roads that 931 trucks run on is summarized into different groups according to their GVW, as in Figure 932 4. As Figure 4 shows, we determined that different types of trucks tend to have different 933 running patterns. It is obvious that heavier trucks are more likely to run on the high 934 speed freeways; heavy duty trucks are generally employed for long distance 935 transportation because it is more economical than lighter carriers. For long distance 936 transportation, high speed freeways are the primary options for the drivers. On the 937 contrary, inside an urban area, mini trucks and light duty trucks are more common given 938 their flexibility and also possible constrictions on heavy duty trucks. This result is used 939 to estimate the truck flow and fleet composition and to assign total kilometers traveled 940 for each type of truck. The mileage and fleet information on each type of road are inputs 941 for the calculation of emission intensity. The differences of fleet compositions between 942 the different types of road have long been overlooked in past inventory work.

943

944 **3.2** Different Driving Characters on Different Types of Roads

945 Trucks with different weights usually serve different purposes. This consequently leads 946 to different driving patterns of the different types of trucks. According to the research 947 results, the annual mileage traveled and average speed have significant differences 948 among the different types of trucks.

950 Generally, heavier trucks ran at higher speeds and traveled greater mileage than lighter 951 trucks. This behavior is due to the varying main function of trucks with different load 952 capacities. Heavier trucks are usually used for long-distance transportation to reduce 953 average cost, which means heavier trucks operate more on high-speed roads. Lighter 954 trucks run more on urban roads given their flexibility. The differences in the time 955 portions from running on each type of road by the different levels of trucks are shown 956 in Figure 4. These differences will lead to different driving patterns for the different 957 types of trucks. As shown in Figure 1, the speed records on selected routes for each 958 type of road were quite different from each other. For the tested route on the G93 959 Freeway, the average speed was maintained at approximately 70 km/h, and the stop 960 times (when the speed reaches zero) were rare. It indicated that the traffic flow on the G93 Freeway during the tested time was very fluent. For the tested routes on the G309 961 962 National Road and S343 Provincial Road, the speed hardly exceeded 70 km/h, and the 963 stops and sudden drops in speed were more frequent than on the G93 Freeway. 964 Although the speed distributions on the G309 and S434 looked similar, the speed on 965 the S434 obviously fluctuated more frequently than that on the G309. This revealed that 966 the driving conditions on these two roads were similar, while traffic flow on the G309 967 was more fluent. For the urban road in Changsha City, Hunan, it was difficult for the 968 truck to reach 40 km/h during the tested time. Stops were much more frequent than on 969 former roads potentially due to traffic lights and traffic jams within the city. As for the 970 country road between two villages in Shandong, the driving conditions were the worst 971 among all the roads. The maximum speed during the tested time period was 40 km/h, 972 and 85.5% of time the speed stayed below 20 km/h. In summary, as what we can see 973 from the selected examples of the different types of roads, the driving conditions were 974 distinctly different.

976 To demonstrate that the differences were not special cases, a statistical summary was 977 made to see whether significant differences could be found in the large amount of data 978 that we collected. The velocity and acceleration distributions for the total monitored 979 data on urban roads (226,290 valid seconds) and freeways (583,922 valid seconds) are 980 shown in Figure 5 as selected examples to illustrate the differences between the running 981 conditions on these two types of roads. (Monitoring data on other roads are shown in 982 Supplementary Information Figure S1-3-5.) Velocity and acceleration are divided into 983 several bins, and the frequency of each bin is calculated. Velocity is divided into 30 984 bins from 0 - 30 m/s, and acceleration is divided into 7 bins from -1.5 to 2 m/s².

985

986 The results show two obvious different running conditions on the urban roads and intercity freeway. The average speed and the maximum speed on the urban road are much 987 988 lower than that on the inter-city freeways. Additionally, the urban road has much greater 989 low-speed-running and idling time. If the high peak of the low-speed zone is removed, 990 the speed distribution on the urban road is relatively flat with the range from 5 m/s to 991 20 m/s. On the other hand, the situation on the inter-city freeway is quite different. 992 There are two peaks on the inter-city freeway: a smaller peak in the lower speed zone 993 and a larger peak in the high speed zone. The percentage of the middle speed is very 994 low. From the results, it shows that the difference is still significant considering all the 995 collected data from different roads and trucks. Because both velocity and acceleration 996 will affect the vehicle specific power and engine stress, different velocity and 997 acceleration distributions will lead to different emission results. Without considering 998 the differences in emission factors caused by the different driving conditions, 999 uncertainties and inaccuracy were introduced to former vehicular emissions inventory

research. In the upcoming section, the differences in emission factors on the differentroads will be discussed.

1002

1003 3.3 Emission Differences Caused by Different Driving Cycles on Each Type 1004 of Road

1005 As discussed in the previous section, different driving cycles on the different types of 1006 road lead to different emission factors for the different roads. The IVE model is a widely 1007 accepted tool to estimate vehicle emissions, and former research in China has already 1008 localized the IVE model so that it applies to the Chinese vehicles. The IVE model uses 1009 velocity and VSP as two inputs and classifies the driving conditions into different bins. 1010 For each bin, a measured typical emission factor is used to represent the average 1011 emission level. The distribution of bins on each type of road is shown in Figure S64, 1012 and the emission factors that are derived for each type of the road using the IVE model 1013 are shown in Table 2. As introduced in section 2.2, on-board measurement data in China 1014 was used to calculate the average emission factor on the different types of road with 1015 real time monitoring GPS data. The results are shown in Figure 6.

1016

From the results, it can be concluded that different running conditions on different types of road lead to significant differences in the emission factors. Generally, rural or town areas tend to have the worst conditions for diesel freight trucks. In almost all the cases, the emission factors for both NO_x and $PM_{2.5}$ on rural or town roads are the largest among the 5 types of roads. This is mainly due to the long idling time without shutting down the engine on the rural or town roads while loading or unloading. Generally, the highest emission factor is 73.5% and 51.2% higher than the lowest one for NO_x and

1024 $PM_{2.5}$, respectively. These significant differences will lead to equivalent scaling errors 1025 in the total emissions of freight trucks. Generally, the emission factors tested on urban 1026 roads where the driving conditions are relatively worse, leading to a higher emission 1027 factor.Generally, the emission factors are tested on urban or suburban roads where the 1028 driving conditions are relatively worse, leading to a higher emission factor. However, 1029 inter-city national, provincial road and freeway are also important places where many 1030 freight trucks run, especially those with heavier gross vehicle weights. This means the 1031 former study has over-estimated the emissions from freight trucks, because when 1032 running on inter-city roads or freeways, trucks have a lower emission factor due to 1033 better running conditions.

1034

1035 **3.4 Emission Inventory of Freight Trucks**

According to this research, the total NO_x from freight trucks in 2011 was 5,000,000
ton. This NO_x number is a little<u>28%</u> higher than the MEP's estimation of 3,900,000 ton
NO_x emissions from trucks in 2011 (MEP, 2012b). The primary PM_{2.5} emissions from
diesel trucks in 2011 were 200,000 tons.

1040 According to the MEP, the total PM_{2.5} emissions from the truck fleet were 460,000 ton 1041 in 2011 (MEP, 2012a). Briefly, MEP estimated vehicle emission on the basis of local 1042 vehicle stock, activity level and emission factors. The truck classification is the same 1043 with our study, according to gross vehicle weight and the national emission standards. For each group, the emission equals the product of local registration number, kilometer 1044 1045 travelled per vehicle and emission factor. Adding up emissions of each group is the 1046 total emission. The emission factor that MEP used is based on the national emission 1047 standard. Detailed information of emission standards in China is shown in SI, Table S2.

1048 The vehicle registration number of trucks in 2011 was 17.88 million. However, no 1049 further input data related to vehicle kilometer travelled was provided in this inventory. 1050 The difference was mainly caused by the failure to control NO_{*} emissions of freight 1051 trucks in China. Although the emissions standard in China has been improved to China 1052 3, which is equivalent to Euro 3, on-board NO_{*} measurements indicated that the 1053 emission factor for diesel trucks had not improved significantly compared with China 1054 2 (Wu et al., 2012;Liu et al., 2009). If the emission factors from foreign models such as 1055 IVE and MOBILE model were used, the emissions of NO_x will be underestimated. In 1056 fact, NO_x reduction from diesel trucks was not as successful as expected.

1057

1058 On the other hand, the primary PM_{2.5} emissions from diesel trucks in 2011 were 1059 200,000 tons. According to the MEP, the total PM_{2.5} emissions from the truck fleet were 1060 460,000 ton in 2011 (MEP, 2012a). Compared with these results, the PM_{2.5} emissions 1061 calculated in this research are significantly lower. A major reason for this lower result 1062 is that we included the decreasing trend of mileage traveled by trucks per year in this 1063 calculation. In addition, PM2.5 emissions from older trucks (Euro 0 and Euro 1) are 1064 significantly higher than those from newer trucks. If the average mileages traveled by 1065 trucks with different age were fixed, the calculated PM_{2.5} emissions would increase 1066 50%, exceeding 300,000 ton. In China, overloading was common for commercial 1067 trucks. This accelerated the deterioration of trucks, which means older trucks had to run 1068 less due to deteriorated performance and more frequent repair and maintenance. This is 1069 not such a large problem for NO_x because the NO_x emission factor did not improve as 1070 significantly as that for PM_{2.5}. Without considering the reduction of annual mileage as 1071 trucks age, the emissions from old trucks were exaggerated.

1073 Figure 7 shows the NO_x and $PM_{2.5}$ emissions from trucks of different ages in the 2011 1074 fleet. For both NO_x and PM_{2.5}, heavy duty trucks accounted for over 70% of the total 1075 emissions despite only counting for 26% of the total population. Hence, focus should 1076 be placed on controlling the emissions from heavy duty trucks. If the age of trucks is 1077 considered, the trucks that went into the market during 2009-2011 accounted for 40% 1078 of the total population and 60% of the total mileage traveled due to the mushrooming 1079 sales and the greater activity of new vehicles. This means the tightening of the 1080 emissions standard for new vehicles plays a critical role in the vehicular emissions 1081 control section. Moreover, the Yellow Label Vehicle, which means the pre-China 3 1082 emissions standard diesel vehicles, has a more significant contribution to primary PM_{2.5} 1083 emissions than NO_x.

1084

1085 Figure 8 shows the emissions distribution calculated according to the emission intensity of the different types of roads in the eastern part of China, where the major emissions 1086 1087 occurred, with a resolution of 0.5×0.5 degrees per cell. Unlike approaches that are based 1088 on the local registration numbers of trucks, the approach applied in this research relies 1089 on the assumption that the traffic volume of freight trucks on each type of road remains 1090 similar. This approach views the freight transport in the nation as a whole system. From 1091 the emissions map and the emission intensity comparison, the freeways and national 1092 roads, where most of the freight transportation in China is conducted, have large 1093 emission intensities and emission impacts on their surroundings.

1094

From Figure 8, freight transportation has the strongest impact in locations where the economy is well developed and the population has high density. The Beijing-Tianjin-Hebei (Jing-Jin-Ji) district, Yangzi River Delta and Pearl River Delta, the three biggest

1098 economic circles in China, are also the regions with highest emission density. From 1099 another perspective, 12 out of the 13 key control regions listed in the 12th Five Year 1100 Plan (FYP) of Air Pollution Control in China have relatively high emission densities, 1101 as shown in Figure 8 (MEP et al., 2012). (The remaining key region, Urumqi and its 1102 surroundings in the Xinjiang province, which is not shown in the East China map, is 1103 also a hot spot of freight emission.) Therefore, the significance of controlling emissions 1104 from diesel freight trucks is greater considering the high impact on the air quality and 1105 human health in the key regions.

1106

1107 Figure 9 shows more detailed emissions inventories of diesel freight trucks in the three 1108 biggest economic circles, Jing-Jin-Ji, Yangzi River Delta and Pearl River Delta, with a 1109 resolution of 0.1×0.1 degrees per cell. The emission map indicated that cities with 1110 developed road networks and their surroundings suffered the greatest-most from the 1111 emissions of freight trucks. The distributions in the three districts were not the same. 1112 Pearl River Delta had the highest density of emissions. The high emissions area is close 1113 to Guangzhou and Shenzhen, the core cities in PRD. Meanwhile in Yangzi River Delta, 1114 the emissions are much more dispersive due to the large numbers of cities with high 1115 economic growth and well developed road networks. From the differences in the 1116 emission distribution, we can conclude that emissions from freight trucks in PRD are 1117 more aggregate. Therefore, controlling diesel freight truck emissions in YRD would be 1118 more challenging because more cities are needed to be involved in the control strategy.

3.5 Comparisons with Other Studies

- 1 121 This NO_X number emission from this research is 28% higher than the MEP's estimation
- 1 22 of 3,900,000 ton NO_x emissions from trucks in 2011 (MEP, 2012b). And according to
- 1 123 the MEP, the total $PM_{2.5}$ emissions from the truck fleet were 460,000 ton in 2011 (MEP,
- 1 124 <u>2012a</u>), which is 130% higher than estimation in this research. The differences come
- 1 125 from method, basic data and major assumptions.

- 1127 Briefly, MEP estimated vehicle emission on the basis of local vehicle stock, activity 1128 level and emission factors. The truck classification is the same with our study, 1129 according to gross vehicle weight and the national emission standards. For each group, 1130 the emission equals the product of local registration number, kilometer travelled per vehicle and emission factor. Adding up emissions of each group is the total emission. 1131 1132 The emission factor that MEP used is based on the national emission standard. Detailed 1133 information of emission standards in China is shown in SI, Table S2. The vehicle 1134 registration number of trucks in 2011 was 17.88 million. However, no further input data 1135 related to vehicle kilometer travelled was provided in this inventory. 1136 The difference on NO_x emissions was mainly caused by emission factors used in these 1137 1138 two studies. In our study, the emission factor of China 3 trucks was not improved 1139 compared with China 2 (Wu et al., 2012; Liu et al., 2009). Thus, compared with MEP 1140 inventory and other inventory based on low NOx emission rate, our NO_x emission is 1141 much higher.
- 114
- 1142

1143 Compared with MEP results, the $PM_{2.5}$ emissions calculated in this research are 1144 significantly lower. A major reason for this lower result is that we included the 1145 decreasing trend of mileage traveled by trucks per year in this calculation. In China, 1146 overloading was common for commercial trucks. This accelerated the deterioration of 1147 trucks, which means older trucks had to run less due to deteriorated performance and 1148 more frequent repair and maintenance. The decrease of VKT was proved by our 1149 questionaquestionnairery investigation. If the mileages variation with age were omitted, 1150 the calculated PM_{2.5} emissions would increase 50%, exceeding 300,000 ton. However, 1151 the VKT variation is not such a large problem for NO_x because the NO_x emission factor 1152 did not improve from old trucks to new trucks.

1153

1154 The provincial level NO_x and PM_{2.5} emissions from road freight transportation are 1155 shown in Figure 10 (a) and (b), respectively, ranking from the highest to the . For lowest. 1156 For both NO_x and $PM_{2.5}$, Shandong and Guangdong, where most of the freight transportation in China is conducted, take the leading positions in freight truck 1157 1158 emissions. The NO_x and PM_{2.5} emissions in these two provinces exceeded 600,000 ton 1159 and 25,000 ton, respectively. Provincial emissions from MEP inventory are also shown 1160 in Figure 10. The provincial differences between the outcome of REIB approach and 1161 MEP inventories are obvious. The greatest differences are 220% and -72% for NOx 1162 and PM2.5 respectively (REIB compared with MEP inventory). Not only the emission 1163 scales are different, discrepancies also exist in the rankings of provinces. The 1164 differences come from both different basic data and different methods. To avoid 1165 influence from input data, we re-calculated However, if the differences caused by the 1166 distribution is concerned, we still need further proof since results of this research and 1167 MEP inventory are based on different activity level and emission factors. To identify

1168 differences caused by distribution, we calculated provincial VKT using our method 1169 both in the research and the traditional approach. Here traditional approach means 1170 calculating total VKT based on local registration data and average mileage travelled. 1171 The differences between the provincial proportions of VKT are shown in Figure 11. 1172 With other factors controlled, the discrepancies representative the effect of the new 1173 distribution. The two provinces with greatest differences are Tibet, +260%, and Taking 1174 Shanghai as an example, REIB method has– 39.9% lower VKT (REIB compared with 1175 the traditional method MEP inventory).

1176

1177 In the report published by MEP (2012a), the largest contributor of both NO_x and $PM_{2.5}$ 1178 in China during 2011 was Hebei province. However, Shandong contributed the most 1179 road freight emissions in 2011 according to this research. This difference was caused 1180 by the methodology on which the inventory was based. As discussed earlier, the 1181 registration number based approaches have a significant bias because trucks are not 1182 limited to the province where they are registered. Therefore, a province with the largest 1183 registration number of trucks might not have the most freight transportation. According 1184 to the China Statistics Bureau, Shandong has the greatest cargo volume and cargo 1185 turnover volume in the road transportation sector (Bureau, 2012). These data verified 1186 our assumption from a different perspective. Therefore, the former approach would be 1187 inaccurate without considering that the real range of truck activities might be different 1188 from the place where they are registered. There is an assumption of REIB approach that 1189 the same type of roads have equal congestion in different provinces. This is a limitation 1190 of our studywith and the limitation is mainly because the limited-current data amount. 1191 This limitation could be avoided if future GPS data could be sufficient to characterize 1192 driving conditions in each province, which means that tThe REIB approach is still

suitable for future mass data analysis. Now, Wwe can still trust the results because the
differences within the same types of roads is much insignificant compared with that
among different types. However, this limitation could be avoided if future GPS data
could be sufficient to characterize driving conditions in each province. The REID
approach is still suitable for future mass data analysis.

1198

1199 Observing the amount of emissions for the different provinces in China, the rankings 1200 for NO_x and PM_{2.5} are not the same. This means that the differences in driving 1201 conditions could lead to different results in NO_x and PM_{2.5} emissions. For example, 1202 Henan is the 3rd largest province in NO_x emissions, but it ranks 5th in PM_{2.5} emissions 1203 among all the provinces, exceeded by the Jiangsu and Hebei provinces. Other similar 1204 provinces, such as Inner Mongolia (IMAR), Hubei and Guangxi, are significant 1205 contributors in the NO_x emissions sector but not the PM_{2.5} sector. There are also 1206 provinces that are the opposite. PM_{2.5} emissions from freight trucks in Shanxi, 1207 Heilongjiang and Jilin rank obviously higher than they do for NO_{*} emissions. Different 1208 running conditions on the different types of road will influence the emission factors for 1209 NO_x and PM_{2.5} differently. Therefore, the road infrastructure structure will affect local 1210 emissions. Places that have more inter-city roads, such as inter-city freeways or national 1211 roads, are inclined to contribute more to NO_x emissions because the better running 1212 conditions means complete combustion, which brings up the NO_{*} level. A region that 1213 has a larger portion of urban roads than others tends to contribute more to PM_{2.5} because 1214 incomplete combustion of the truck's engine on urban roads increases the formation of 1215 PM_{2.5.} In former inventories which calculated the emission on the basis of the local 1216 registration number of trucks, the ranks for the different pollutants in different

1217 provinces were the same. The registration number based inventories were unable to
1218 differentiate the distribution patterns for the different pollutants.
1219

1220

0 **3.5<u>3.6</u> Uncertainty Analysis**

1221 Monte Carlo simulation is used to quantify the uncertainty in both NO_x and primary 1222 PM_{2.5} emissions from diesel freight trucks. Monte Carlo methods are widely used in 1223 identifying uncertainties in emission inventories (Hammersley and Handscomb, 1224 1964;Sawyer et al., 2000;Wang et al., 2008b). The simulation is based on activity data 1225 and emission factors variety distribution. The statistical distributions of the annual 1226 kilometers travelled and stock are determined according to Zhang et al. (2013). And the 1227 uncertainty of mileage distribution was estimated according to our questionnaire results. 1228 For uncertainties of emission factors, we used the standard errors in the emission 1229 measurements to represent the uncertainties (Wang et al. 2012; Zhang et al, 2013). 1230 Considering that the activity level data are estimated based upon survey since it is not 1231 available through official channels, there is inevitable systematic bias in the estimation 1232 (Zheng et al., 2009). The statistical distributions of the input parameters are determined 1233 according to the data collection in this research or related published literature (Zhang 1234 et al., 2013; Huo et al., 2012a). The uncertainties of the input parameters are listed in 1235 Table 3. The distribution of the inputs follows normal distribution. The trials of the 1236 simulation were set to 100,000 times.

1237

1238 The overall uncertainties in this inventory are estimated at -24.1% to 44.7% for NO_x 1239 emissions and -16.3% to 31.3% for primary PM_{2.5} emissions. The uncertainty is 1240 significant compared with other types of anthropogenic emissions because the 1241 uncertainties in both activity level and emission factor of mobile sources are more

1242 significant than other types of sources. The greatest uncertainties in the simulation are 1243 the uncertainties of emission factors of freight trucks. The uncertainties were significant 1244 during the test procedure. The emission data from the on-board measurement of diesel 1245 freight truck emissions has significant variances, which even reached 100% in some 1246 cases (Huo et al., 2012a). In this research, comprehensive research into the activity 1247 levels of freight trucks was conducted to minimize the uncertainties in activity level. 1248 The new REIB approach also reduced the uncertainties in the distribution of freight 1249 truck activity. Further improvements can be achieved by more accurate measurements 1250 on emission factors.

1251

1252 **4** Conclusions

1253 We presented a REIB approach to estimate NO_x and $PM_{2.5}$ emissions in China, 2011. 1254 The estimated emissions inventory may be used to forecast and evaluate the impact of 1255 road freight transportation on air quality in China. Unlike approaches that are based on 1256 the local registration numbers of trucks, the REIB approach views the freight system as 1257 a whole nationwide system. The activity of freight trucks is distributed according to the 1258 development and infrastructure of the local road system. The REIB approach is feasible 1259 in the freight transportation sector, because in many cases, freight trucks conduct long-1260 distance trips across several provinces, neglecting where they are registered. The 1261 distribution of emissions among the different provinces has significant differences 1262 compared with the former research that was based on local registration numbers. However, the REIB approach would be less efficient when applied to the passenger car 1263 1264 sector because private cars tend to have a more local range of activity.

1265

According to the GPS monitoring results, the driving conditions on the different types of road are different for trucks. These differences would lead to significant variances in emission factors. According to the simulation results by the IVE model that were

1269 interpolated with local on-board test data in China, the differences between the emission 1270 factors from different types of trucks of same emissions standard could reach as high 1271 as 70% and 50% for NO_X and PM_{2.5}, respectively. Uncertainties in emission factors are 1272 the major drivers of the total uncertainty in the emissions inventory of diesel freight 1273 trucks. The improvements of emission factors on the different roads reduce the 1274 uncertainty and inaccuracy of diesel freight truck emissions.

1275

1276 In 2011, the diesel truck fleet emitted 5.0 (4.8 - 7.2) million ton NO_X and 0.20 (0.17 - 10.0)1277 0.22) million ton primary PM_{2.5} in China. A more detailed results analysis revealed that 1278 NOx reduction from diesel trucks in China was not as successful as expected even 1279 though China 3 emission standard had already been adopted. The major cause to this 1280 failure is that without after treatment instruments, the on-road NOx emission of trucks hasn't been improved significantly. According to our research, the failure of reducing 1281 1282 NOx emission of made the China 3 diesel trucks is the main reason of high NO_X 1283 emissions in total. a major contributor to the total NOx emission of the entire fleet since 1284 the sales of trucks went up promptly recent years. And the challenge of NOx reduction 1285 will last for many years until all the existed trucks were replaced by new trucks with 1286 after-treatment system. Moreover, places with the highest diesel freight truck emission 1287 density are the regions that have most severe air quality problem. In addition, 12 out of the 13 key air quality control areas listed in the 12th FYP of Air Pollution Control in 1288 1289 Key Regions have high densities of truck emissions. Therefore, controlling diesel truck 1290 emissions plays a critical role in the air quality control plan in China. According to our 1291 emission distribution in 2011 of the fleet by vehicle age, promoting more stringent 1292 emission standard on new trucks is more efficient than eliminating the old Yellow Label 1293 Trucks. However, the fact is that the Chinese government postponed the application of the China 4 diesel truck emissions standard nationwide several times in the past fewyears.

1296

1297 Our research also indicates the uncertainties in freight truck emissions are 1298 approximately from -24.1% to + 44.7% for NO_x and from -16.3% to + 31.3% for PM_{2.5}. 1299 The uncertainties mainly come from the uncertainties in the emission factors from on-1300 board measurements. Via improvements in specifying the emission factors to road type 1301 levels, this research reduced the uncertainties in freight truck inventories.

1302

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- 1417
- 1418
- 1419

1420 Table 1 Data Information

| Data | Sample numbers | Region | Test Time |
|----------------|-----------------------------------|--------------|----------------|
| Questionnaires | 1,060 | 16 provinces | 2012.8-2013.8 |
| GPS data | 16 trucks/1,728,622 valid seconds | 15 provinces | 2013.6-2013.10 |

1423 Table 2 Summary <u>oof</u> <u>R</u>road-load <u>C</u>eoefficient <u>V</u>ralues for <u>C</u>ealculating VSP of <u>E</u>each <u>major</u>-

| | | LDT | | UDT |
|---------------------------|----------|----------|----------|----------|
| | MiniT | LDT | MDT | HDT |
| Vehicle type | LIGHT | LIGHT | HEAVY | HEAVY |
| GVW (tonne) | <=1.6 | (1.6, 6] | (6~14] | >14 |
| Mileage Correction Factor | 0.145 | 0.475 | 1.278 | 2.713 |
| Typical GVW (tonne) | 3.3 | | 10.2 | 17.6 |
| A/m | (| 0.102 | 0.0875 | 0.0661 |
| B/m | 0. | 00131 | 0 | 0 |
| C/m | 0.000322 | | 0.000248 | 0.000207 |

1424 HDDT<u>Truck</u> <u>C</u>eategory

1425

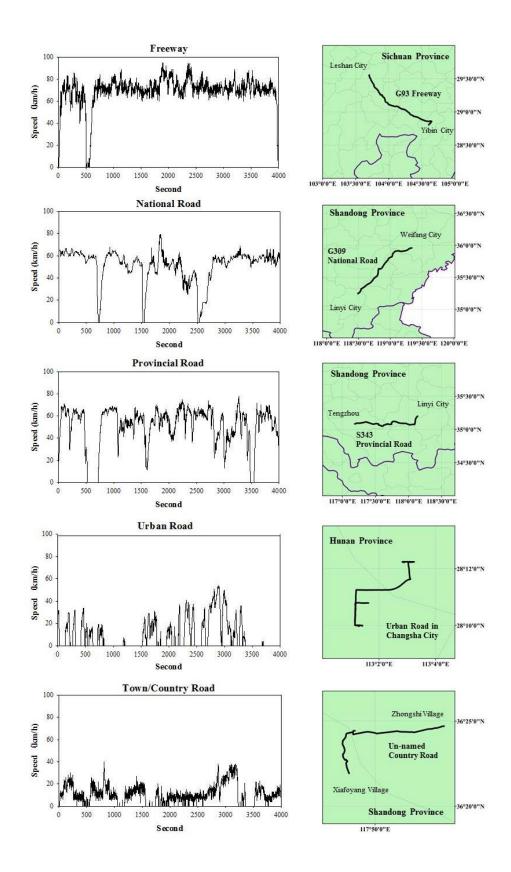
1426 Note: With reference to the MOVES model, those vehicle types and coefficients are estimated

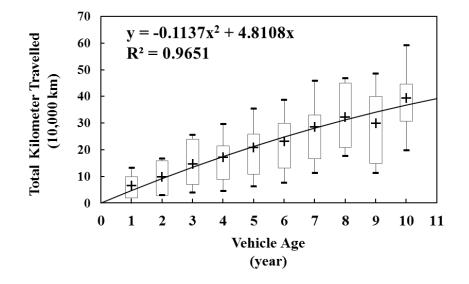
1427 according to the typical gross vehicle weight (GVW) (Koupal et al., 2004). The classification of

1428 truck type is explained in section $\frac{2.32.1}{2.32.1}$.

| Stock | Annual | Emission Factor | | Mileage |
|-------|-----------|-----------------|-------------------|--------------|
| | Kilometer | NO _X | PM _{2.5} | Distribution |
| | Traveled | | | |
| 2% | 15% | -41% to +79% | -31% to +58% | 5% |

1430Table 3 Uncertainties Scales of Inputs

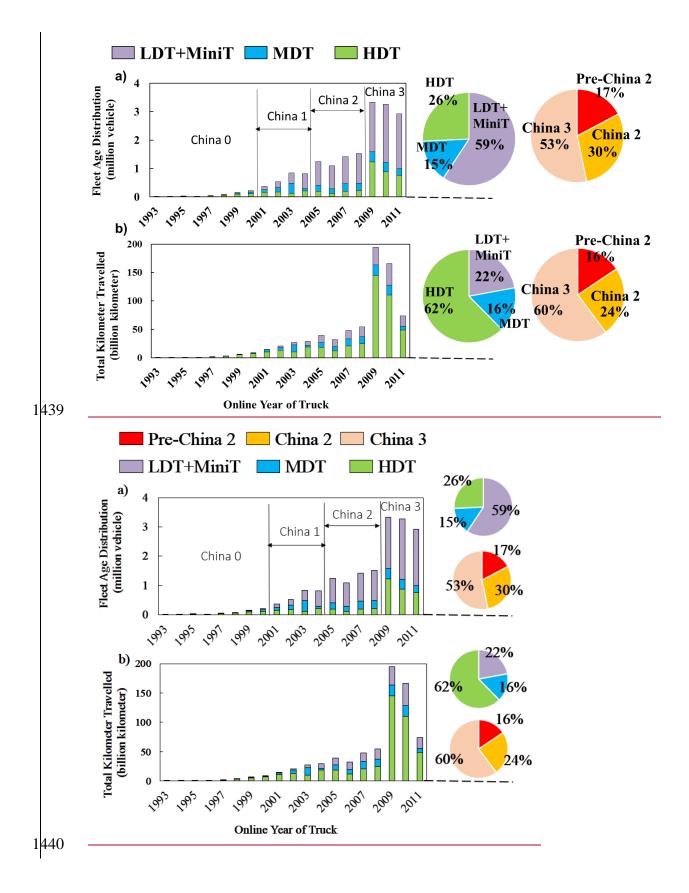




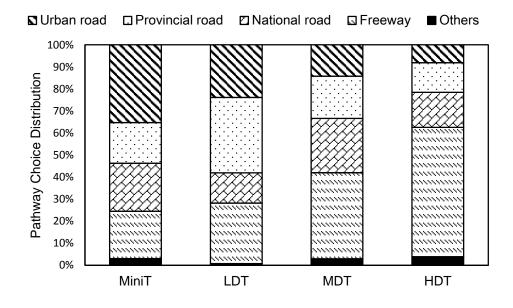


1436 Figure 2 Accumulated Traveled Distance of Trucks under Different Ages (The boxes show the 1st

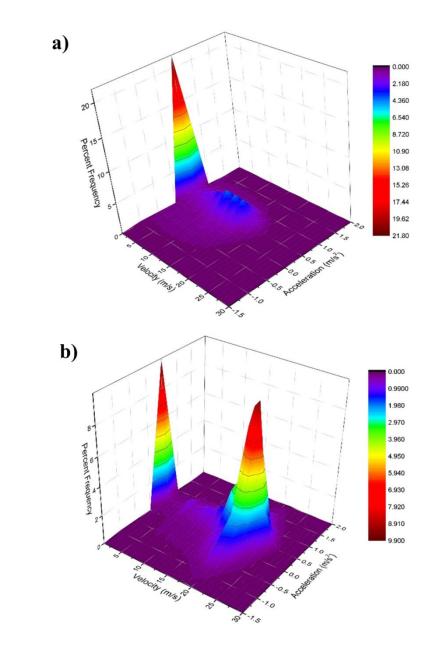
1437 and 3rd quartiles of the total investigated numbers and the bars show the standard errors.)



- 1441 Figure 3 Age and Total Mileage Traveled Distribution of the Diesel Truck Fleet in 2011, China: a)
- 1442 1443 <u>V</u>+ehicle <u>P</u>+opulation; b) <u>T</u>+otal <u>M</u>+mileage



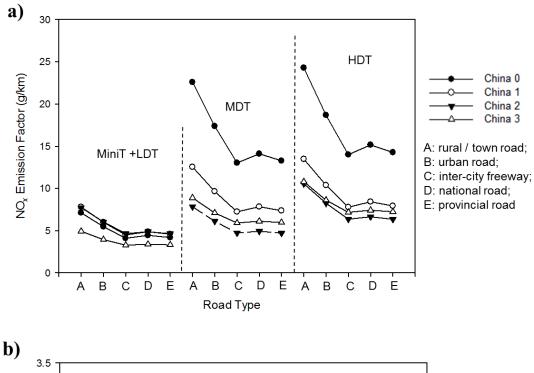
1445 Figure 4 Proportion of Running time on Different Types of Roads

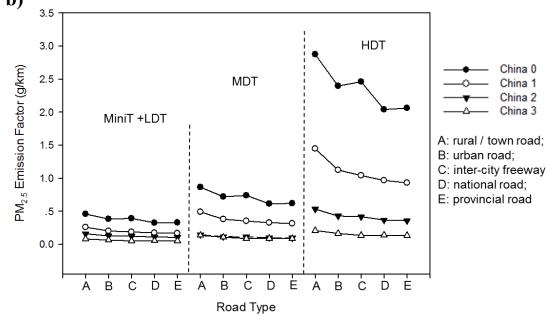




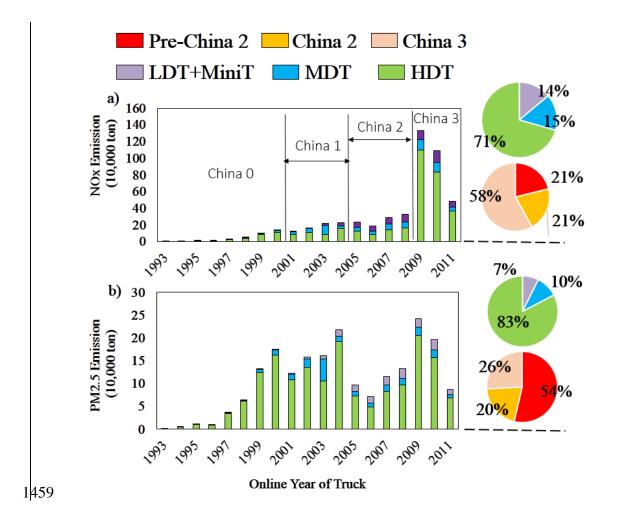
1452 Figure 5 Velocity and VSP Distribution on Each Type of Roads: a) Urban Roads b) Inter-city

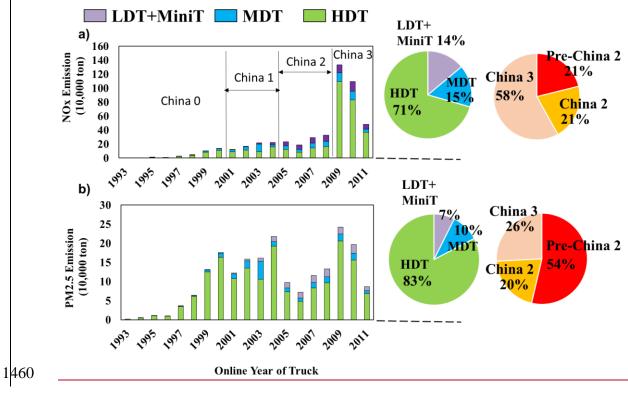
1453 Freeway





1457Figure 6 Emission Factors on Different Roads a) NOx Emission Factors; b) PM2.5 Emission Factors





1461Figure 7 Emissions from Diesel Truck Fleet in 2011, China a) NOx Emission; b) PM2.5 Emission

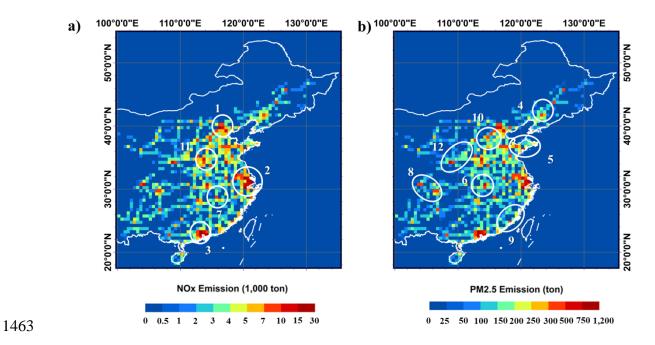
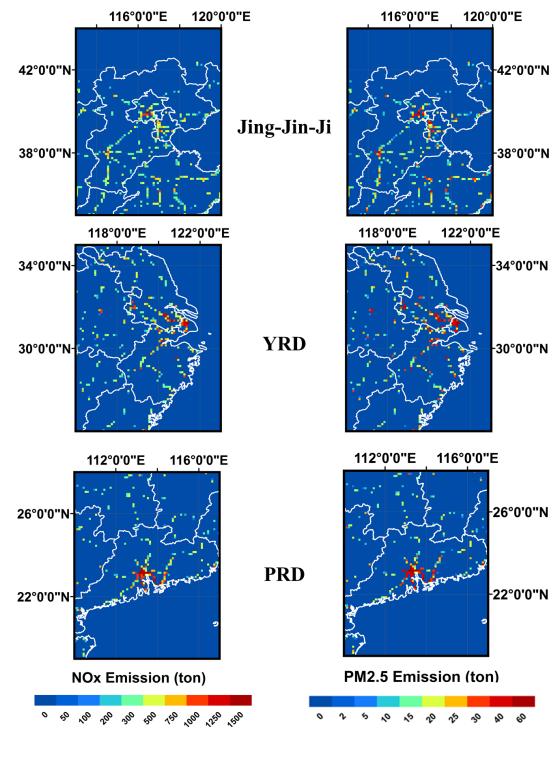


Figure 8 Maps of NO_x and PM_{2.5} Emissions from Freight Trucks in the Eastern Part of China 2011: a)
NO_x Emission; b) PM_{2.5} Emission. (Key Control Areas in 12th Five Year Plan of Air Pollution Control:
1. Jing-Jin-Ji; 2. Yangzi River Delta; 3. Pearl River Delta; 4. central part of Liaoning Province; 5.
Shangdong Province; 6. Wuhan City and its surroundings; 7. Changsha-Zhuzhou-Changde; 8. Chengdu
and Chongqing; 9. west side of the Taiwan Strait; 10. central and north part of Shanxi Province; 11.
Guanzhong region in Shaanxi; 12 Gan-Ning region is Gansu and Ningxia)



1473 Figure 9 Maps of NO_x and PM_{2.5} Emissions from Freight Trucks in East Part of China 2011

