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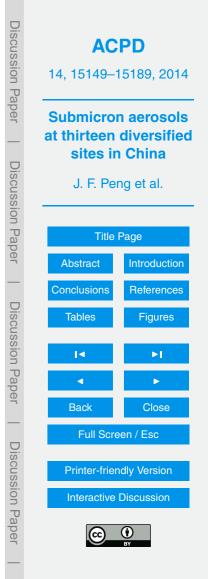


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Submicron aerosols at thirteen diversified sites in China: size distribution, new particle formation and corresponding contribution to cloud condensation nuclei production

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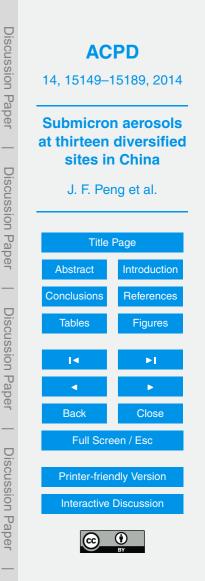
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Abstract

Understanding the particle number size distributions in diversified atmospheric environments is important in order to design mitigation strategies related to submicron particles and their effect on regional air quality, haze and human health. In this study, we conducted 15 different field measurement campaigns, each one-month long, between 2007 and 2011 at 13 individual sites in China. These were 5 urban sites, 4 regional sites, 3 coastal/background sites and one ship cruise measurement along eastern coastline of China. Size resolved particles were measured in the 15-600 nm size range. The median particle number concentrations (PNC) were found to vary in the range of $1.1-2.2 \times 10^4$ cm⁻³ at urban sites, $0.8-1.5 \times 10^4$ cm⁻³ at regional sites, 0.4-10 0.6×10^4 cm⁻³ at coastal/background sites, and 0.5×10^4 cm⁻³ during cruise measurements. Peak diameters at each of these sites varied greatly from 24 nm to 115 nm. Particles in the 15-25 nm (nucleation mode), 25-100 nm (Aitken mode) and 100-600 nm (accumulation mode) range showed different characteristics at each of the studied sites, indicating the features of primary emissions and secondary formation in these diversified atmospheric environments. Diurnal variations show a build-up of accumulation mode particles belt at regional sites, suggesting the contribution of regional secondary aerosol pollution. Frequencies of new particle formation (NPF) events were

- much higher at urban and regional sites than at coastal sites and cruise measurement.
 The average growth rates (GRs) of nucleation mode particles were 8.0–10.9 nm h⁻¹ at urban sites, 7.4–13.6 nm h⁻¹ at regional sites and 2.8–7.5 nm h⁻¹ at both coastal and cruise measurement sites. The high gaseous precursors and strong oxidation at urban and regional sites not only favored the formation of particles, but also accelerated the growth rate of the nucleation mode particles. No significant difference in condensation
- ²⁵ sink (CS) during NPF days were observed among different site types, suggesting that the NPF events in background area were more influenced by the pollutant transport. In addition, average contributions of NPF events to potential cloud condensation nuclei (CCN) at 0.2 % super-saturation in the afternoon of all sampling days were calculated



as 11 % and 6 % at urban sites and regional sites, respectively. On the other hand, NPF events at coastal and cruise measurement sites had little impact on potential production of CCN. This study provides a large dataset of aerosol size distribution in diversified atmosphere of China, improving our general understanding of emission, secondary for-⁵ mation, new particles formation and corresponding CCN activity of submicron aerosols in Chinese environments.

1 Introduction

Atmospheric particles play an important role in the degradation of visibility and changing the balance of global radiative forcing (Dusek, 2006; IPCC, 2010), besides showing
adverse im10 pacts on human health (Heal et al., 2012). Size of atmospheric particles, ranging from a few nanometers up to hundreds of micrometers, is a key factor for evaluating environmental effects of particles (Buseck and Adachi, 2008; Kumar et al., 2014). For example, particle diameter is considered to be more important than chemical composition for cloud-nucleating ability (Dusek, 2006). Smaller particles may have greater potential of health impacts compared with their larger counterparts (WHO, 2013). Particles smaller than 100 nm in diameter (which are sum of nucleation and Aitken mode particles) have deeper deposition in human body and are able to induce more intense oxidative stress in cells (Nel et al., 2006). Accumulation mode particles (those in the 100–1000 nm size range), on the other hand, have high light extinction efficiency and

- ²⁰ can explain degradation of visibility in severe air pollution event to a great extent (See et al., 2006). Meanwhile, particle size distribution offers information on type, origin, and atmospheric transformation of the particles (Buseck and Adachi, 2008; Harrison et al., 2011). Therefore, the knowledge of size distributions of submicron particles, including their temporal and spatial variability, is crucial in characterizing human exposure, estimation alignet a strategies for both designed and and spatial variability.
- ²⁵ timating climate effects, and designing monitoring strategies for both developed and developing countries (Kumar et al., 2014).

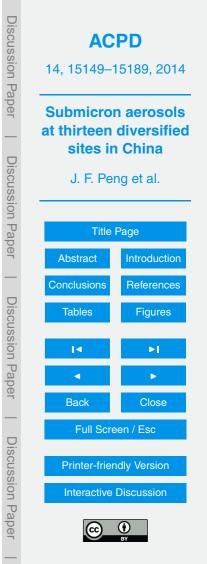


Measurements of particle number distribution (PND) have been extensively conducted in many European and US sites in the past two decades (A. Asmi et al., 2011; Bigi and Ghermandi, 2011; Borsos et al., 2012; Kumar et al., 2010; Wehner and Wiedensohler, 2003) but at a much lesser extent in developing countries (Monkkonen et al., 2005; Wu et al., 2008; Kumar et al., 2011; Wang et al., 2013a). Temporal

⁵ Nen et al., 2005; Wu et al., 2008; Kumar et al., 2011; Wang et al., 2013a). Temporal and spatial variation of PND in these developed countries has been widely recognized (A. Asmi et al., 2011). Whilst these particles arise from a number of non-vehicle exhaust sources (Kumar et al., 2013b), primary emission from road vehicles is thought to be a main source of particle number concentration (PNC) in urban areas (Kumar et al., 2010).

The new particle formation (NPF), associated with a rapid burst in nucleation mode particles, results in an increase of CCN number after growth (Wiedensohler et al., 2009). The NPF events were observed in many atmospheric environments in the world, especially in relatively clean atmosphere (Kulmala et al., 2013). However, the obser-

- vations of NPF events are still rare in developing countries. Though the first study on NPF events during polluted episodes was conducted in the megacity of Beijing (Wehner et al., 2004; Wu et al., 2007), but the occurrence of NPF events is yet reported only at a few sites in China (Du et al., 2012; Liu et al., 2008; Wang et al., 2013b; Wehner et al., 2004; Wiedensohler et al., 2009). Although it is a common interest that regional NPF
- events are a main source of atmospheric CCN production (Kuang et al., 2009), most of the estimation on the contribution of NPF events to CCN concentration till date are only based on model simulations using regional/global scale models with extra NPF mode (Merikanto et al., 2009; Yu et al., 2012). The only few measurement studies that attempted to quantify the strength of this nucleation process merely calculated the en-
- hancement of CCN concentration along with NPF events (Yue et al., 2011; Kerminen et al., 2012; Kuang et al., 2009; E. Asmi et al., 2011). Modelling results presented so far are likely to be greatly influenced by factors such as change of boundary laryer as well as primary emissions. More measurements and new measurement-based approaches



are therefore needed to estimate the contribution of NPF to corresponding CCN concentration in diversified environments.

China has been experiencing unprecedented modernization and urbanization process since 1980s. The rapid industrial revolution has intensively occurred in China

- ⁵ during the past 30 years, providing both the chance to become "the world factory" and the challenge of severe air pollution problem. The aerosol pollution in recent years at both local and regional scale has attracted great attention, as it results in the heavy smog or haze episodes and might lead to potential health effects (Xu et al., 2013). The aerosol pollution characterizes regional property in major economic developed regions
- with megacity or city clusters, for instance, Bohai Sea Rim Region, Yangtze River Delta and Pearl River Delta. To understand the feature of aerosol pollution in these regions requires multi-site measurements within each pollution region.

In this study, we have therefore conducted PND measurements at 13 different sites in China in order to provide comprehensive understanding of the effects of primary emis-

sions, regional pollutants transportation and new particle formation on PNDs. These sites are classified into four main categories – urban, regional, coastal and ship cruising – representing the typical atmospheric environments in China. Unique features of each of these sites, along with the particle number and size distributions measured, are discussed in Sects. 2 and 3, respectively. Special focus is given to the NPF events
 and their contribution to CCN concentration at each of the thirteen sites.

2 Methodology

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2.1 Sites description

A total of 15 field measurement campaigns of PNDs were conducted at 13 different sites between 2007 and 2011. These sites were broadly classified in the following four types of atmospheric environment categories (see Table 1). These included five urban sites, four regional sites, three coastal/background sites and one ship cruise



measurement along Eastern coastal China. As shown in Fig. 1, most of these sites were situated in the most developed and largest city cluster regions in China.

2.1.1 Urban sites

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The first urban site, Guangzhou (GZ_u; 23.13° N, 113.26° E) was located on the roof of the Guangdong Provincial Environmental Monitoring Center in the downtown of Guangzhou, at a height of about 50 m above street level (Yue et al., 2013). This site is representative of a typical ambient conditions in Guangzhou urban areas (Zhang et al., 2008).

The second urban site, Shanghai (SH_u; 21.53° E, 31.23° N), was a megacity located in the east of the Yangtze River Delta region of China, facing the East China Sea. SH_u was located on the roof of a 6-floor building of Shanghai Pudong Environmental Monitoring Station. The surroundings of this site were mainly residential and business buildings (Huang et al., 2012).

The third urban site, Urumchi (UR_u; 87.58° N, 43.83° E), was located on roof of the ¹⁵ Urumchi Environmental Monitoring Center in the downtown of Urumchi city. Urumchi city is the capital of Xinjiang Uyghur Autonomous Region, with the biggest desert in China to the north and mountains to the south. Sampling inlet at UR_u site was located at ~ 20 m above the street level. The surroundings of this site were mainly residential and business buildings. There was one main road 200 m away to the west and another ²⁰ 400 m away to the east.

The fourth urban site, Wuxi (WX_u; 31.56° N, 120.29° E), was located on the roof of a five-floor building in the center of Wuxi City. This site was surrounded by the residential buildings. No obvious stationary sources existed nearby and the nearest main road was about 300 m away to the east. Sampling inlet was at ~ 15 m above the ground level.

The fifth urban site, Jinhua $(JH_u; 29.1^{\circ} N, 119.69^{\circ} E)$, was located on the roof of the Jindong Environmental Building in the east part of the city of Jinhua. Sampling inlet was at ~ 25 m above the street level. There was no industry source nearby and the nearest



main road was located \sim 300 m away to the west. As the wind direction is always from northeast during the measurements (October), the influence of this main road on our site can be ignored.

2.1.2 Regional sites

⁵ The first regional site, Heshan (HS_r; 22.71° N, 112.93° E), was an urban outflow site of Guangzhou megacity in central Pearl River Delta (PRD), with a distance from Guangzhou downtown 50 km. It was located on the top of a small hill (40 m), about 7 km away from Heshan downtown areas, and was far from strong industrial sources. The surrounding areas of the site were dominated by farmlands and forests. Biomass ¹⁰ burning events were observed occasionally in the farmlands. This site can be well representative of the air pollution outflow from the polluted central PRD urban areas (Gong et al., 2012).

The second regional site, Kaiping (KP_r; 22.33° N, 112.54° E), is located ~ 120 km away from the city of Guangzhou to the southwest. Under the influence of the Asian ¹⁵ monsoon, the dominant air mass comes to PRD from the northeast in fall. Hence, the Kaiping site could be assumed to be a downwind receptor site. Instruments were placed on the third floor of the building at the Kaiping supersite (~ 10 m above the ground level), which is surrounded by shrubs and eucalyptus forest (Wang et al., 2013b). The site is free of any significant local pollution emissions. A detailed geographic description of this measurement site can be seen elsewhere (Huang et al., 2011).

The third regional site, Jiaxing $(JX_r; 30.8^{\circ} N, 120.8^{\circ} E)$, was a suburban site between Shanghai and Hangzhou. It was located on the roof of school building in a small town, 15 m above the ground level and 8 km away from the downtown of Jiaxing city, which was situated in the middle of Shanghai megacity and Hangzhou, capital of Zhejiang

25 was situa province.

The fourth regional site, Yufa (YF_r; 39.51° N, 116.3° E), was about 40 km to the south of Beijing downtown area. This site was located on top of a building (~ 20 m above



the ground level) at the campus of Huangpu College. There were no industrial sources around this site, except the farm land and a residential area (Guo et al., 2010).

2.1.3 Three coastal/background sites

The first coastal site, Baguang (BG_c; 22.65° N, 114.54° E), was located on the roof of a three-floor building (~ 10 m a.s.l.) at the seaside in a small peninsula in the southern China, 50 km away from the city of Shenzhen to the east. No stationary source or traffic source was found nearby the site. To the east and south of the site was the South China Sea. This site can well represent the background atmosphere of southern China during autumn.

- ¹⁰ The second coastal site, Wenling (WL_c; 28.40°N, 121.61°E), was a coastal/background site. This site was located in a flat ground area in a peninsula in the southeast of China, and was surroundings by only farmland. The sampling inlet was about 4 m above the ground level. The East China Sea was up to 2 km away from the site to the northeast and southeast. The city of Taizhou was about 30 km away
- to the northwest of the site. Wind direction ranged from north to east during the whole campaign at WL_c site, resulting in a variety of air masses that can be encountered from modified clean maritime to continental polluted.

The third coastal site, Changdao (CD_c ; 37.99° N, 120.70° E), was located at ~ 50 m above the sea level on a hill in the north coast of an island. This island was located offshore to the east edge of central eastern China and laid between the Jiaodong and the Liaodong Peninsula in the Bohai Sea, called Changdao. The Changdao Island was surrounded by sea on three sides and connected with a larger island by a bridge on the south side. A detailed geographic description of the measurement site is presented in (Hu et al., 2013).



2.1.4 Ship cruise measurement

Ship cruise measurement (ES_s) was carried out on the "Dong Fang Hong 2", which is a multi-functional marine research vessel (http://eweb.ouc.edu.cn/4b/61/c4169a19297/ page.htm). The observatory was located on the 6th floor of the "Dong Fang Hong 2",

⁵ which was about 15 m above the sea level. The vessel sailed from Qingdao of Shandong province (24.5° N, 118.1° E) on 17 March, reached the southernmost area of the cruise near Wenzhou of Zhejiang province on 27 March, and returned at Qingdao (24.5° N, 118.1° E) on 9 April. The study area of the whole cruse covered both the East China Sea and Yellow Sea of China (see Fig. 1).

10 2.2 Instrumentation

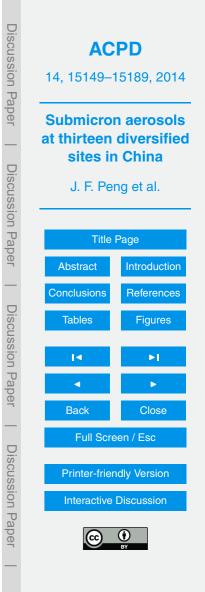
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The Scanning Mobility Particle Sizer (SMPS, TSI Inc.) system was used to obtain PNDs in the 15–600 nm (mobility diameter) size range at all the 13 sites. The SMPS system includes one Differential Mobility Analyser (DMA) and one Condensation Particle Counter (CPC). The time resolution of this system was 5 min and the flow rates of sample and sheath air were 0.3 and 3.0 L min⁻¹, respectively. The relative humidity of sample air was kept below 40 % by a silica diffusion dryer within the inlet lines and sheath air cycles. Size-dependent particle losses inside the instruments as well as in the sampling tubes are calculated (Willeke, 1993), and the data are corrected by the obtained correction parameters for each site.

20 2.3 Parameterization

PNDs at each site are parameterized by a multiple log-normal distribution function. Each mode is described by the following function (Seinfeld and Pandis, 1998):

$$\frac{\mathrm{d}N_i}{\mathrm{d}\log D_\mathrm{p}} = \frac{N_i}{\sqrt{2\pi}\log\sigma_i} \exp\left[-\frac{\left(\log D_\mathrm{p} - \log\mu_i\right)^2}{2(\log\sigma_i)^2}\right]$$
15158



(1)

Where N_i , μ_i and σ_i are the total number concentration, mean diameter and geometric mean standard deviation of the distribution of mode *i*, respectively. The task of the fitting program is to minimize the residual part Q, which is described as:

$$Q = \int_{15}^{600} \frac{|\mathrm{d}N/\mathrm{d}\log D_{\mathrm{p}} - \sum_{i} \mathrm{d}N_{i}/\mathrm{d}\log D_{\mathrm{p}}|}{\mathrm{d}N/\mathrm{d}\log D_{\mathrm{p}}} \mathrm{d}\log D_{\mathrm{p}}$$
(2)

The growth rate (GR) of newly formed particles and condensational sink (CS) are calculated for NPF events. The CS determines the rapid condensation of gaseous molecules onto the pre-existing aerosols and can be calculated by using Eq. (3).

$$CS = 2\pi D \int_{15}^{600} D_{\rm p} \beta_{\rm M}(D_{\rm p}) n(D_{\rm p}) d\log D_{\rm p} = 2\pi D \sum_{i} \beta_{\rm M} D_{{\rm p},i} N_{i}$$
(3)

Here *D* is the diffusion coefficient; $n(D_p)$ represents the dry particle size distribution function; β_M is the transitional correction factor for the mass flux; and N_i is the particle number concentration in the size section *i*. The CS valve in NPF days is calculated as the mean value between 09:00 to 12:00 LT of the day

However, it should be noted that the CS values calculated here are based on the dry particle number size distributions, which may underestimate the real CS values in ambient humidity (Kulmala et al., 2001).

GR is calculated using the Eq. (4):

$$GR = \frac{\Delta D_{p,m}}{\Delta t}$$

5

10

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Where $D_{p,m}$ is a mean geometric diameter resulted from the log-normal fitting of the particle number size distribution. The methods for calculating both CS and GR are described by Wu et al. (2007).



(4)

The critical diameter ($D_{p,crit}$) at which 50 % of the particles are activated at the super saturation (S_c) can be calculated based on the knowledge of ambient Kappa (κ) value expressed by Eq. (5) (Petters and Kreidenweis, 2007).

$$\kappa = \frac{4A^3}{27D_{p,crit}^3 \ln^2 S_c}$$
$$A = \frac{4\sigma_{s/a}M_w}{RT\rho_w}$$

5

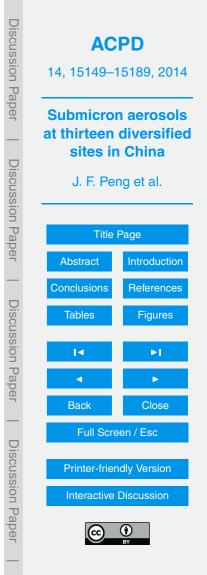
Where κ is the hygroscopicity parameter used to model the composition-dependence of the solution water activity; $\sigma_{s/a}$ is the droplet surface tension (assumed to be that of pure water with a value of 0.0728 N m⁻²); M_w is the molecular weight of water; ρ_w is the density of liquid water (g cm⁻³); R is the universal gas constant (J mol⁻¹ K⁻¹), and

T is the absolute temperature (K).

3 Results and discussion

3.1 Spatial and seasonal variability of PND

The statistics of PNC in the 15–600 nm size range are given in Table 2. Average and ¹⁵ median PNCs at all sites were between $0.5-2.8 \times 10^4$ cm⁻³ and $0.4-2.2 \times 10^4$ cm⁻³, respectively. The median PNC at urban $(1.1-2.2 \times 10^4$ cm⁻³) and regional sites $(0.8-1.5 \times 10^4$ cm⁻³) were two-times larger than those at coastal/background $(0.4-0.6 \times 10^4$ cm⁻³) and cruise measurement $(0.5 \times 10^4$ cm⁻³). The highest PNCs were observed at UR_u site due to frequent NPF events, as well as intensive primary emissions, such as ²⁰ coal combustions for local industries, heating supply and residents' use (Li et al., 2008). The average observed PNCs at Chinese urban sites $(1.8 \times 10^4$ cm⁻³) were higher than those in European cities which were reported as $1.6-7.0 \times 10^3$ cm⁻³ and $1.6 \pm 0.8 \times 10^4$ cm⁻³ by Borsos et al. (2012) and Kumar et al. (2013a), respectively, suggesting



(5)

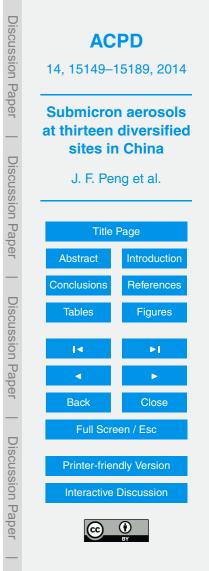
(6)

a much larger exposure risks to Chinese population compared with those in European cities. Meanwhile, the average PNCs in low aerosol-loading area (coastal/background sites) in China were still two-fold higher than those in European countries (A. Asmi et al., 2011). This suggests that the high aerosol loading in the background areas of ⁵ China increased the background aerosol concentration.

Peak diameters for measured size distributions are calculated in the range of 24– 115 nm for urban sites. The largest peak diameters were found at regional sites, which was \sim 89 nm on average. The aging of particles during their transport from urban to regional area was expected, which likely led to the growth of particle diameters (Moffet

- and Prather, 2009). That, in turn, led to the largest particle peak diameters at regional sites despite the frequent NPF events at these sites. Besides, particle emissions from biomass burning in regional areas had diameter larger than 100 nm (Reid et al., 2005), and might influence the diameter of ambient particles at regional sites. At coastal sites, peaks of particle size distributions were not as sharp as those seen at other sites.
- ¹⁵ Meanwhile, PNCs in these sites show a wide variation near the peak diameters, as both clean background episode and polluted episode caused by transportation occurred in these area (Hu et al., 2013). The median particle size distribution at most of the sites can be fitted into three modes. The peak diameters of these modes ranged from 132–327 nm for the first mode (which can be roughly recognized as accumulation mode),
- ²⁰ 59–116 nm for the second mode (which can be roughly recognized as Aitken mode), and 17–38 nm for the third mode (which can be roughly recognized as nucleation mode or the second Aitken mode). At HS_r , CD_r and ES_s sites, the fitting exercise give only the first and second modes.

Strong seasonal variations were found at the JX_u and WX_u sites, where the mea-²⁵ surements were conducted both in summer and winter. Compared to wintertime, higher PNCs in nucleation mode and lower accumulation modes particles were observed. This is because of the stronger primary emissions during winter time due to local combustion for domestic heating (Wu et al., 2008).



3.2 Particle number concentration in different size ranges

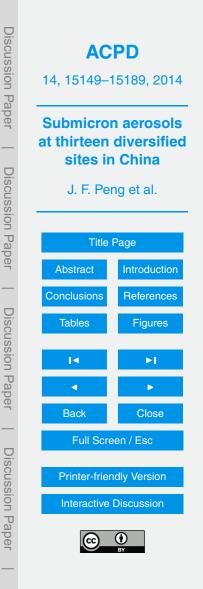
The PNCs in the 15–600 nm range are separated into three different size groups, which are smaller than 25 nm (N_{15-25}), 25–100 nm (N_{25-100}) and 100–600 nm ($N_{100-600}$). These three size groups are used to represent three modes of nucleation, Aitken, and accumulation, respectively. The number concentration in each mode can be approximated by integrating certain size distribution using the Eq. (7):

$$N_{a-b}(t) = \int_{a}^{b} n(D_{p}, t) d\log D_{p} = \sum_{i=a}^{b} n_{i}(D_{p}, t)$$

Where $N_{a-b}(t)$ represents PNCs in the a-b nm range at a certain time interval, D_p is the geometric mean diameter of the size interval, and n_i is the measured PNC in a particular size interval (cm⁻³).

As illustrated in Fig. 3, there were major differences among the distribution of PNCs in different size ranges at individual sites. The PNCs in each size range show a good log-normal distribution in most cases. In our study, $N_{100-600}$ did not show obvious differences between urban and regional sites, though these values at both types of sites were much larger than those at coastal sites and cruise measurement, indicating the regional feature of secondary aerosols in the whole region of city clusters. Mean N_{25-100} values appeared to be up to 10-times higher than those in 100–600 nm size range at urban sites, but no significant difference was found at other sites (Fig. 3), indicating the

- ²⁰ emission from road vehicles at urban sites. Both the distributions of N_{15-25} and N_{25-100} show a very "narrow" distribution at most studying sites compared with European countries (A. Asmi et al., 2011), mainly because there were seldom some episodes with very few particles in the atmosphere in China. Even if the air mass was coming from the clean remote continental or oceanic region, the new particle formation and high
- flux of primary particle emission would increase the N_{15-25} and N_{25-100} , respectively, within a short time (Wu et al., 2008). The PNC of nucleation mode (N_{15-25}) particles at



(7)

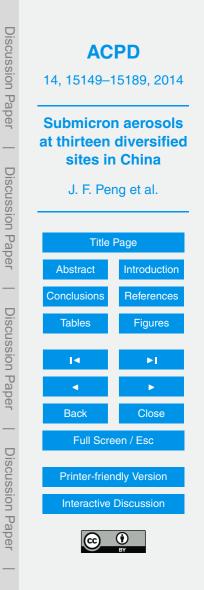
coastal sites was lower than those of $N_{100-600}$ and N_{25-100} , especially in autumn and winter, suggesting that either the nucleation rate was low, or high proportion of nucleation mode particles coagulated onto the surfaces of larger particles. Besides, a "wide" distribution (Fig. 3c) of N_{15-25} indicates that though the nucleation rate was low in these sites most of time, a few NPF events with high concentration in nucleation mode still occurred.

3.3 Diurnal variation of PND

Figure 4 shows the diurnal variations of the average PND at all the measurement sites. These figures are sorted by seasons and site types. Diurnal PND at nearly all the
measurement sites presented a build-up of high concentration belts with peak diameter between 70 and 150 nm. These belts were much more obvious during night time, when there was neither NPF nor large amount of primary emissions, and should be recognized as the accumulation mode. As smaller particles in nucleation mode and Aitken mode have large diffusion coefficient which provide them a large possibility to
coagulate onto the surfaces of larger-sized particles, the residence time for particles in the boundary layer are short (Davidson and Wu, 1990). On the other hand, accumulation mode particles had much longer atmospheric life and experienced longer aging process in the atmosphere, resulting in the appearance of this accumulation belt. The higher concentration and larger diameter of particles in this accumulation belts may

- ²⁰ reflect higher degree of aging of ambient particles. For example, at some sites such as YF_r and KP_r, the peaks of these layers were about 100–120 nm, larger than those at the urban sites of SH_u and WX_u (about 80–90 nm). Besides, particles at all other sites in the three large city groups (see the black circle in Fig. 1) had these high concentration belts, suggesting that the regional pollution feature within the whole city group area. In contrast, no such accumulation belt was found at UR_u site, as the PND at UR_u
- site was largely influenced by the large primary emissions and NPF.

NPF events at all sites occurred in the middle of the day when solar radiation was strong (Fig. 4). There were large concentrations of nucleation mode particles in the



middle of the day, showing the contribution of the NPF events to the PNC during the whole measurements. As there were much more NPF events in summer time, the contribution to the PNCs were much more obvious. On the other hand, the PNCs of nucleation mode were pretty low at any time of day during both the winter measure-

⁵ ment campaigns and some of the autumn measurements since there was no NPF event found (Table 3). Compared with urban and regional sites, influence of PNC at coastal/background sites by NPF events was much lower, due to the lower concentration of precursor gases (e.g. SO₂) at these sites.

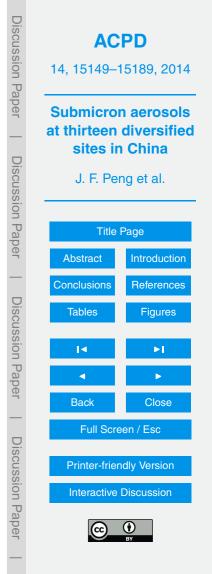
Besides the peak of NPF events around the noon, there were another two concentra-

- tion peaks during morning (between 07:00 and 09:00 LT) and evening (between 17:00 and 20:00 LT) rush hours at most of the urban sites. The appearance of these peaks was due to the primary emissions from vehicles as well as the diurnal change of the height of boundary layers (Lin et al., 2009). The size of these peaks was about 30–70 nm at urban sites (e.g. SH_u and WX_u). In the meanwhile, at regional sites there
 were also these two concentration peaks at the same time of day. The difference is that
- at regional sites the size of these peaks were much larger (about 70–150 nm) than at urban sites. This reveals the fact that biomass burning in the regional farmland contribute large amount of primary particles (Huang et al., 2011; Reid et al., 2005). Such morning and evening peaks were not found at background sites, confirming that there were no primary emissions near these background sites.
- ²⁰ were no primary emissions near these background sites.

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3.4 Frequencies and parameters of NPF events

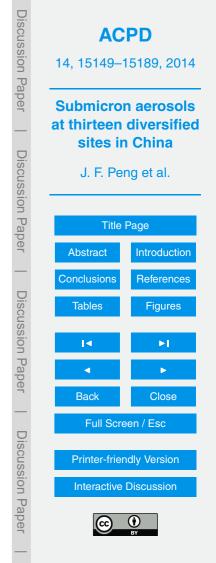
Obvious NPF events were recognized during most of our measurements. The criterion for discerning NPF events in this study includes three steps. First, there should be a burst of PNC in nucleation mode particles below 25 nm in diameter (Birmili and Wiedensohler, 2000). Second, meanwhile, primary emitted species, such as BC and CO concentrations should not enhance significantly. Third, the event should last at least for more than 2 h, with the increase in particle diameter. Two typical types of NPF events (the "banana" and the "apple", which were classified by Wu et al., 2007) were



observed at a number of our sites. The frequencies of NPF events at urban and regional sites (38%) were similar to previous studies that focused on the NPF events in some other sites in China (Wu et al., 2007; Yue et al., 2013) and were much higher than at coastal sites and cruise measurement (14%) during our measurements (Fig. 5).

- ⁵ Sulfuric acid is a well-known precursor for nucleation (Boy et al., 2005; Zhang, 2010; Yue et al., 2010; Andreae, 2013). High concentration of SO₂ and OH provides strong source of sulfuric acid at urban and regional sites, and promote the NPF events. On the other hand, the aerosol loading in the ambient atmosphere acts as the condensation and coagulation sink and thus restrain the NPF event. Though high concentra-
- ¹⁰ tion of pre-existing particles could hinder the NPF at urban and regional sites, there were also high precursor concentration (such as SO_2 , and VOCs) and strong oxidation (performed as high O_3 and OH radical concentration) at these sites, which will favor the NPF. At urban and regional sites in our study, the high precursor concentration and strong oxidation may play a more crucial role than the high concentration of pre-
- existing particles. On the other hand, in the clean coastal area in China, concentrations of procurers as well as the particles are not as high as in urban area as both gases and particles will be dilute during the transport from polluted region to clean coastal area. However, unlike the particles, the gaseous precursors may also undergo continually atmospheric oxidation and transform into particle phase during the transport,
- which makes the reduction of gaseous precursors larger than the reduction of particles, resulting in fewer NPF events at coastal sites. Besides, other reasons such as meteorological conditions may also affect the frequency of NPF events at diversified sites. In polluted areas, the NPF events are often expected to occur since the air mass is coming from the clean continental background and is not greatly influenced by the polluted urban air (Wu et al. 2007). At exectal sites, po NPE events are expected to a content to a site and the polluted urban air (Wu et al. 2007).
- ²⁵ polluted urban air (Wu et al., 2007). At coastal sites, no NPF events are expected to take place when the air mass is coming from the ocean side with clean air.

In general, CS in NPF days varied from $0.009-0.056 \text{ s}^{-1}$ at urban sites, $0.003-0.086 \text{ s}^{-1}$ at regional sites, $0.011-0.026 \text{ s}^{-1}$ at coastal/background sites and $0.009-0.011 \text{ s}^{-1}$ during cruise measurement (see Table 3). Upper limit of CS at the polluted



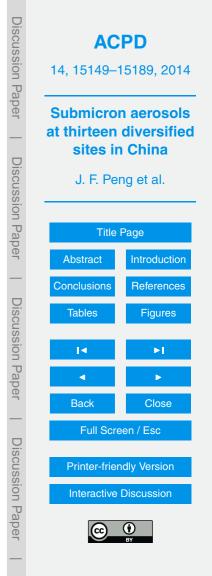
urban and regional area were larger than that in the clean coastal/background and cruise measurement sites, while the lower limit of CS at different sites showed no obvious difference, because there were still some "clean case" NPF events in polluted urban area (Wu et al., 2007).

The average GRs of newly formed particles (calculated from 15 nm to 30 nm) were 8.0–10.9 nm h⁻¹ at urban sites, 7.4–13.6 nm h⁻¹ at regional sites, and 2.8–7.5 nm h⁻¹ at both the coastal sites and cruise measurement (see Table 3). The highest GR were found at YF_r site (regional) with value of 21 nm h⁻¹. Average GRs at urban and regional sites were one time higher than GRs at coastal sites and cruise measurement, indicating that the higher gaseous precursors in the polluted area not only favored the formation of particles, but also accelerated the growth rate as long as the nucleation particles are formed.

Both the CS and GR results in our study are comparable to other studies performed in Beijing (Wu et al., 2007), Back-garden (Yue et al., 2013), and Xinken (Liu et al., 2008), in China. CS values in our study are generally higher than those at European and American sites and smaller than those at some sites in developing countries, such as New Delhi (see Table 3). The GR values show no obvious differences between China and other countries (both in developed and developing countries) at the same site types (see Table 3).

20 3.5 Evaluation of the contribution of NPF to potential CCN

The basic idea to estimate the production of potential CCN from NPF events is based on the assumption that particles larger than a certain diameter could be served as CCN. As the newly formed particles consist mainly ammonium sulfate and secondary organics (Yue et al., 2010) and primary emission particles contain a lot of black carbon ²⁵ and hydrophobic organics (Medalia and Rivin, 1982; Reid et al., 2005), the κ value for newly formed particles and primary emission particles may be of large difference. Some previous studies in China have concluded that the average κ value of all ambient particles is about 0.3 for many environments in China (Gunthe et al., 2011; Yue



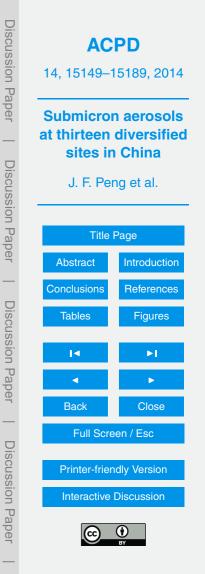
et al., 2010; Rose et al., 2010), but few has provieded the κ value of newly formed particles in the atmosphere. In this study, the hygroscopicity parameter κ for the newly formed particles is taken as 0.43 at about 100 nm based on the assumption of one third of chemical components of these particles are organics and others were inorgan-

⁵ ics (Gunthe et al., 2011; Yue et al., 2010). Therefore, the only task left is to achieve the PND of newly formed particles.

Mode fitting method was used to distinguish the newly formed particles from others. A total of three (or four) lognormal modes are achieved in the fitness exercise (see Eq. 1) on the PND data averaged over every half an hour. These include up to two NPF modes (with initial diameter about 15–20 nm, which may grow up to 50 nm later), one Aitken mode (40–100 nm) as well as one accumulation mode (100–500 nm). Figure 6 illustrates an example of the mode fitting results at the site of KP_r, which is a typical regional site with high frequency of NPF events. Diurnal half-hourly average PND data are used for the log-normal fitting. Hourly fitting results in the afternoon from 11:00–

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- 15 16:00 LT at KP_r site with two NPF modes and two pre-existing modes are shown in Fig. 6. At the site of KP_r, NPF events occurred between mainly between 09:00 and 11:00 LT every day, resulting in a sharp and narrow nucleation mode peak at about 19 nm at 11:00 LT (Fig. 6). In the following five hours, the peak diameter of NPF mode gradually grew from 19 to 50 nm, with the concentration of NPF mode decreasing from
- ²⁰ 7500 to 5000 cm⁻³ at the same time. As the newly formed particles had log-normal distribution, when peak diameters of the NPF modes are 50 nm, there were some particles that exceeded 80 or even 100 nm diameter. These particles were large enough to possibly act as CCN under a certain S_c . Therefore, the contribution of NPF to potential CCN concentration can be calculated by integrating the NPF mode with particles di-
- ²⁵ ameter larger than $D_{p,crit}$. The advantage of this method is that it can distinguish newly formed particles from the pre-existing particles in the ambient air. However, the method asks for very prominent NPF peak in the size distribution to achieve accurate fitness results. It is challenging to extract NPF mode when the NPF peaks are submerged in the size distribution of ambient particles. Therefore, this method can only be used during

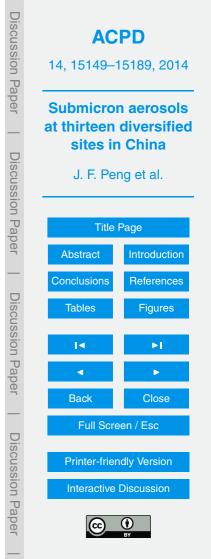


afternoon from 13:00 to 17:00 LT when the time is neither too early for the formation of new particles, nor too late for primary emission to perform a dominate role in the ambient PND. Therefore our subsequent discussions focus on this time period.

- The contributions of NPF and growth to potential CCN concentration in all measurements are calculated using the same method. Results of this exercise are illustrated in Table 4. Two values of super saturations are assumed here as 0.5 and 0.2 %, with critical diameter between 50 and 91 nm for newly formed particles, and between 60 and 100 nm for other particles, respectively. Contributions of NPF to potential CCN concentration varied greatly during different measurements, ranging from 0–66 % when S_c is
- ¹⁰ 0.5, and 0–24 % when S_c is 0.2 (Table 4). The highest values were found during the summer at WX_u. During summer, the average contributions value at urban and regional sites were 50 % ($S_c = 0.5$) and 18 % ($S_c = 0.2$), which were much higher than in other seasons. The strong oxidation condition in summer might favor the formation of new NPF as well as the growth of newly formed particles, accelerating the newly formed 15 particles to perform as CCN. On the contrary, the contribution was zero during two
- winter measurements, as no NPF events were observed during these measurements. Weighted average contributions for different size types are roughly calculated. Annual average contribution of NPF to CCN at urban, regional and coastal sites were found to be 33%, 19%, 7% (at $S_c = 0.5$), and 11%, 6%, 0% (at $S_c = 0.2$), respectively.
- ²⁰ tively. Good correlation ($R^2 = 0.7$) between growth rate of NPF and contribution to CCN among all sties was found, indicating that growth rates of NPF events are the decisive factor in the conversion of newly formed particle to possible CCN (Yue et al., 2011).

Although there were two NPF events during the cruise measurement, no contribution to CCN concentration was found by any of these NPF events, because the diameter

²⁵ of these secondary particles did not meet the critical diameter for CCN. Zhang et al. (2012) have demonstrated in their work that NPF in marine environments is not likely to produce particles of the size of CCN. Our study further confirms that the NPF events showed little impact on the concentration of CCN in the marine area near polluted



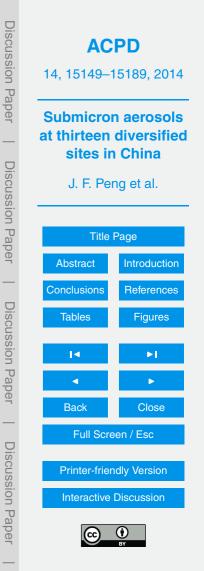
continent. It may need more than one day for the newly formed particles to perform as CCN.

In previous studies, the enhancement of CCN concentration obtained by dividing the number of CCN-sized particles after a NPF event by that prior to the event was ⁵ used to describe the contribution of NPF events to corresponding CCN. The average CCN enhancement factors after the NPF events at $S_c = 0.2$ ($D_{p,crit} = 100$ nm) were calculated to be 1.5 at a remote site in Northern Finland (Lihavainen et al., 2003) and in the range of 1.5–2.5 in Beijing (Yue et al., 2011). Similar conclusion was obtained in Botsalano, but with great seasonal variation (Laakso et al., 2013). The factors in these studies are higher than in our study because they only considered NPF days. 10 The values would be much lower and similar to our result if the enhancements were multiplied by the frequencies of NPF events. Besides, the method used in these studies did not consider the influence of primary emissions and change of boundary layer height on CCN concentration (Laakso et al., 2013) and thus may over-estimate the

15 enhancements.

Because all the sites in our study were away from main road and stationary sources, PNC of primary emission were not expected to change much during the focused time intervals. Besides, our mode fit method has considered the non-NPF particles and therefore, our results are not influenced greatly by the primary emissions. However, our approach to calculate the contribution of NPF to CCN also has some uncertainties

- 20 and limitations. First, we can calculate how much a single NPF events contributed to the CCN. Some of the pre-existing particles before NPF events may also come from the NPF in previous days, but these can only be recognized as pre-existing particles rather than NPF particles in our study. This is likely to result in underestimation of the
- contribution. Second, some non-NPF particles may have a chance to be recognized as 25 NPF particle when we perform the processing of diurnal average PNCs, which may lead to overestimation of results. Besides, as discussed above, the approach requires very clear NPF peaks in the size distributions in order to achieve accurate fitness results. As a result, the studying time period is constrained between 14:00 and 17:00 LT, when



the NPF mode is clear and evening rush hour has not come. This may lead to an underestimation contribution as it takes time for the newly formed particles to growth into CCN-size. Based on field measurements, our work nevertheless provides annual and seasonal average contribution of NPF events to CCN production for the first time in diversified Chinese atmosphere.

4 Summary and conclusions

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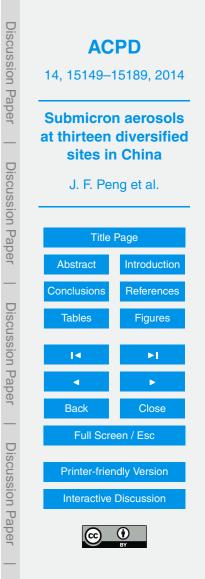
This paper presents size-resolved measurements of aerosol particles in the 15–600 nm size range during the 15 individual field campaigns, taken between 2008 and 2011, at thirteen different sites in China. These sites included 5 urban sites, 4 regional sites, 3 coastal/background sites and one ship cruise measurement, and are mainly located within the three largest city clusters of China.

The particle number size distributions were fitted into three modes (nucleation, Aitken, accumulation) at most of the sites. The median PNC at urban (1.1–2.2 × 10^4 cm⁻³) and regional sites (0.8–1.5 × 10^4 cm⁻³) are two-times larger than those at coastal and background (0.4–0.6 × 10^4 cm⁻³) sites and cruise measurement (0.5 × 10^4 cm⁻³).

Primary emission from road vehicles as well as regional biomass burning appears to have a large contribution to the ambient PNCs. High emissions from road vehicles at urban sites resulted in up to 10-times higher N_{25-100} than those of $N_{100-600}$. PNCs

²⁰ in both these size ranges were nearly identical at all other sites. Vehicular emissions at urban sites and biomass burning emission at regional sites led to two concentration peaks in the early morning and evening hours.

Regional secondary aerosol pollution is a main feature for the submicron aerosol pollution in China. No obvious differences in the $N_{100-600}$ particles were observed between urban and regional sites. Diurnal variations show a build-up of high concentration accumulation belt at all the regional sites.



The occurrence frequencies of the NPF events in high aerosol-loading environment of China were found to be higher than those in less aerosol-loading environments. High gaseous precursors and strong oxidation at urban and regional sites not only found to favor the formation of particles, but also accelerate the growth rate after the nucleation

⁵ mode particles are formed. The average GR of nucleation mode particles were 8.0– 10.9 nm h⁻¹ at urban sites, 7.4–13.6 nm h⁻¹ at regional sites and 2.8–7.5 nm h⁻¹ at coastal sites and cruise measurement. The NPF events in the less aerosol-loading environment were found to be greatly influenced by pollutant transport.

Average contributions of NPF events to potential CCN are calculated in this study. Contributions of NPF events to potential CCN at 0.2 super-saturation in the afternoon of all measurement days were 11% and 6% at urban sites and regional sites, respectively. On the other hand, NPF events at coastal sites and cruise measurement had little impact on potential CCN.

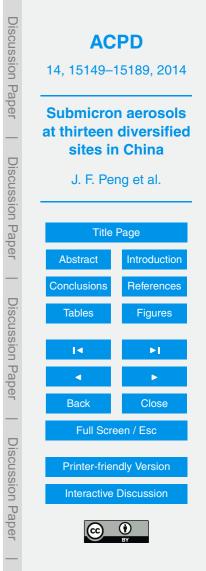
Our study presents a unique dataset of aerosol size distributions and general con-¹⁵ cepts of the features of submicron particle pollution along with the fundamental drivers of particulate pollution in China. Besides, our estimation of contribution of NPF events to corresponding CCN might be particularly useful for the validation of global climate models.

The Supplement related to this article is available online at doi:10.5194/acpd-14-15149-2014-supplement.

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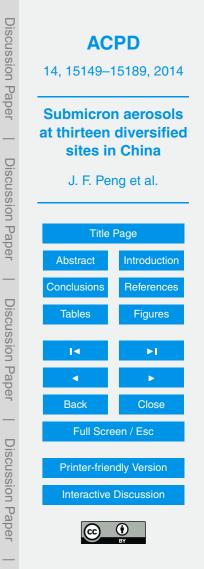
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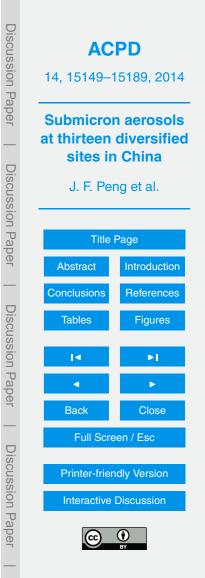
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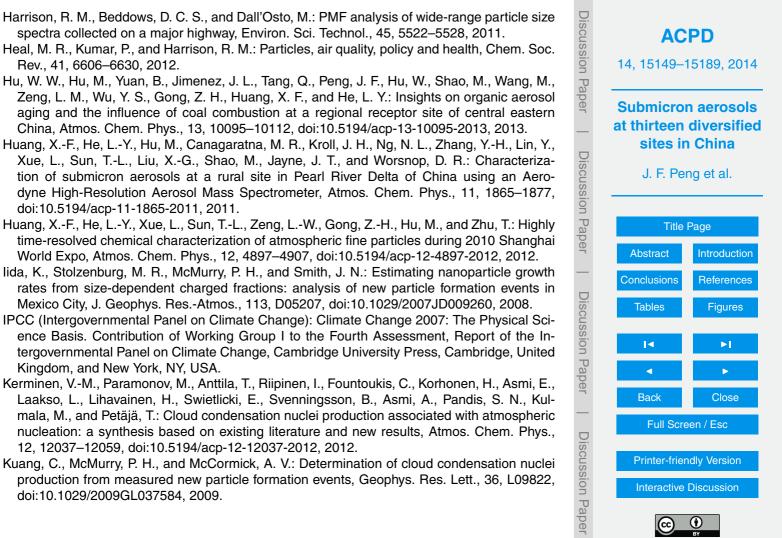
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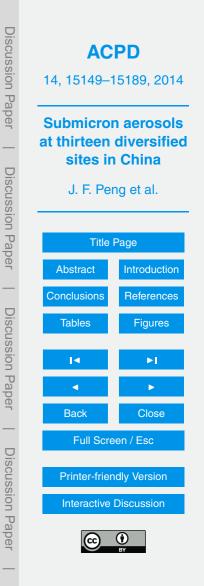


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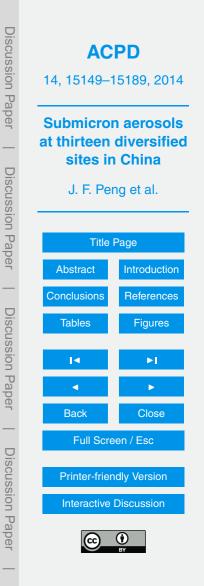
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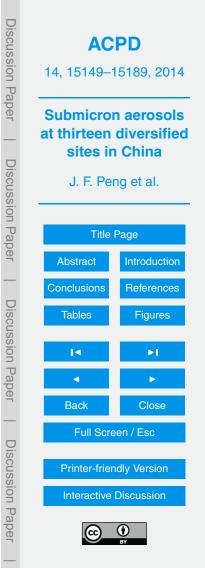
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Table 1. Summary of information providing description of sampling periods at each measurement site. Please note that the words in parenthesis against each site represent a short name of each site, and subscripts u, r, c and s indicate urban, regional, background/coastal and cruise site types, respectively.

Туре	Sites	Coordinates	Sampling period	Valid data
Urban	Guangzhou (GZ,)	23.13° N, 113.26° E	12–29 Nov 2010	4766
	Shanghai (SH ₁₁)	31.23° N, 21.53° E	15 Apr–22 Jun 2010	18658
	Urumchi (UC ₁₁)	87.58° N, 43.83° E	16 May-2 Jun 2008	4835
	Wuxi (WX ₁₁)	31.56° N, 120.29° E	21 Jul-7 Aug 2010	4769
			1–14 Jan 2011	4926
	Jinhua (JH _u)	29.1° N, 119.69° E	29 Oct-28 Nov 2011	7776
Regional	Heshan (HS _r)	22.71° N, 112.93° E	12-29 Nov 2010	4921
	Kaiping (KP _r)	22.33° N, 112.54° E	18 Oct-17 Nov 2008	8465
	Jiaxing (JX _r)	30.8° N, 120.8° E	28 Jun–15 Jul 2010	4564
	· ·		5–22 Dec 2010	4030
	Yufa (YF _r)	39.51° N, 116.3° E	5-31 Oct 2007	5182
Coastal/ Background	Baguang (BG _c)	22.65° N, 114.54° E	25 Oct–3 Dec 2009	5895
-	Wenling (WL _c)	28.40° N, 121.61° E	30 Oct-28 Nov 2011	7064
	Changdao (CD _c)	37.99° N, 120.70° E	19 Mar–24 Apr 2011	10338
Cruise	East China Sea (ES_{s})	-	18 Mar–8 Apr 2011	5015

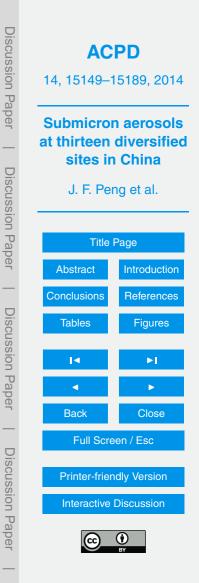


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Table 2. Particle diameter, percentile of total PNCs and modal fit parameters for median size distributions at each site. σ is the geometric standard deviation of the mode, $N_{\rm m}$ is the mode number concentration, $D_{\rm p,m}$ is the geometric mean diameter of the fitted log-normal mode.

Type Sites Peak		Peak	Number Concentration (cm ⁻³)					Fitted mode 1		Fitted mode 2			Fitted mode 3				
		diameter (nm)	Average	5%	16%	50 %	84%	95 %	D _{p,m} (nm)	N _m (cm ⁻³	σ)	D _{p,m} (nm)	N _m (cm ⁻³)	σ	D _{p, m} (nm)	N _m (cm ⁻³)	σ
Urban	GZ,	85	13716	5222	6939	11 563	20192	29838	166	3078	1.60	65	5404	1.72	17	5621	1.82
	SH	40	12931	4195	5903	10 560	19746	28 986	218	917	1.49	71	5863	1.7	25	4449	1.55
	UR	24	28 42 1	6663	10977	22 415	45214	70 341	146	555	1.89	59	7122	1.83	24	18910	1.73
	WX _u _win	52	19465	5818	8556	16046	29533	47 583	142	4972	1.60	63	9878	1.67	29	1250	1.33
	WX _u _sum	73	17 396	7710	10 572	16 05 1	23794	31 408	154	2092	1.61	61	7844	1.6	23	7473	1.59
	JHu	115	14 331	5029	6848	12809	21 328	29 956	329	206	1.23	116	10753	1.71	36	1892	1.52
Regional	HS,	72	16076	7548	9635	15 084	22230	27 898	134	5784	1.61	59	9252	1.63	-	-	-
	KPr	93	11 170	2587	4177	7900	16520	32 707	327	311	1.40	95	6883	1.81	17	1280	1.76
	JX _r _sum	68	16 593	5320	7799	13 033	23059	40 126	197	1482	1.56	84	5560	1.56	25	7690	1.86
	JX _r _win	87	13610	3588	5700	11737	20917	30 128	138	4946	1.59	74	2460	1.38	38	4451	1.6
	YFr	123	10 195	2259	4033	8761	15610	23 455	150	5715	1.83	66	1831	1.58	26	1436	1.56
Coastal/ background	BG _c	90	7163	1885	3233	5874	10401	16519	211	1124	1.50	83	3798	1.66	35	924	1.37
0	WLc	73	5661	992	1659	3941	10207	16 108	192	1413	1.63	68	2275	1.63	31	270	1.37
	CD _c	70	6629	1610	2594	5743	10451	14902	148	2247	1.67	57	3479	1.71	-	-	-
Cruise	ESs	88	5571	1450	2259	4618	8391	17276	132	2123	1.55	59	2484	1.62	-	-	-



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JH _u Urban China Autumn None None T UR _u Urban China Spring 1.6 (1.0–2.6) – T Beijing Urban China Whole year 0.6–6.1 0.1–11.2 (V	This study This study Wu et al., 2007) Gao et al., 2011) Dunn et al., 2004)
UR _u Urban China Spring 1.6 (1.0-2.6) – T Beijing Urban China Whole year 0.6-6.1 0.1-11.2 (V	^r his study Wu et al., 2007) Gao et al., 2011) Dunn et al., 2004)
Beijing Urban China Whole year 0.6–6.1 0.1–11.2 (V	Wu et al., 2007) Gao et al., 2011) Dunn et al., 2004)
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Lanzhou Suburban China Summer 1.6 (0.9–2.4) 4.4 (1.4–17.0) (0	Dunn et al., 2004)
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Mexico City urban Mexico Spring – 0.5–9 (I	
	lida et al., 2008)
New Delhi Urban India Autumn 5-7 15.0 (11.6-18.1) (M	Monkkonen et al., 2005)
Budapest Urban Hungary Whole year 1.2 7.2 (2.0–13.3) (S	Salma et al., 2011)
Po Valley Urban Italy Whole year 1.0 (0.4–1.8) 6.8 (4.2–8.0) (H	Hamed et al., 2007)
JX, Regional China Summer 2.2 (1.1-4.1) 13.6 (7.9-19.6) T	This study
	This study
YF, Regional China Summer 2.7 (0.5–5.3) 12.3 (8.6–21) T	This study
HS, Regional China Autumn None None T	This study
KP, Regional China Autumn 2.5 (0.3-8.6) 7.4 (3.2-13.5) T	This study
Back-garden Regional China Summer 2.6 (2.3-3.3) 12.1 (4.0-22.7) (V	Yue et al., 2013)
Xinken Regional China Autumn – 8.3 (2.2–19.8) (L	Liu et al., 2008)
K-puszta rural Hungary Summer 0.5 (0.06–1.4) 6.1 (2.2–14.4) (N	Yli-Juuti et al., 2009)
WL _c Coastal China Autumn 2.6 7.5 T	This study
BG Coastal China Autumn 1.4 (1.0–1.8) 4.5 (3.2–7.5) T	This study
CD _c Coastal China Spring 2.0 (1.9–2.1) 5.7 (4.5–6.8) T	This study
ES _s Marine China Spring 0.9 (0.8–1.1) 2.8 (1.6–3.9) T	This study
Shangdianzi Background China Whole year 2 4.3 (0.3–14.5) (S	Shen et al., 2011)
Yellow Sea Marine China Spring – 3.4 (L	Lin et al., 2007)
Foresthill Remote American Winter – 2–8 (0	Creamean et al., 2011)
Hyytiala Forest Finland Whole year 0.2 (0.04–0.8) 3.0 (0.2–12) (M	Maso et al., 2005)

Table 3. Summary of parameters of NPF events at different sites.

* The values outside the bracket represent the average CS or GR; the values inside the bracket represent the maximum and minimum CS or GR; "none" means that there is no NPF events found in the whole measurement; "-" means that the GR value can not be calculated (only for the site of UR_u), or there is no such information in the reference paper.



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Table 4. Summary of contribution of NPF events to potential CCN during the time between 14:00 to 17:00 LT in all measurements.

		Spring		Summer		Autumn		Winter
	$S_{\rm c} = 0.5$	$S_{\rm c} = 0.2$	$S_{\rm c} = 0.5$	$S_{\rm c} = 0.2$	$S_{\rm c} = 0.5$	$S_{\rm c} = 0.2$	$S_{\rm c} = 0.5$	$S_{\rm c} = 0.2$
Urban	33 %	6%	66 %	26 %	31 %	9%	0 %	0%
					0%	0%		
Regional	-	-	57 %	23 %	30 %	6 %	0%	0%
			28 %	5%	0%	0%		
Coastal	10 %	<1%		-	8%	<1%	-	-
					5%	< 1 %		
Cruise	<1%	<1%	–	-	-	-	-	-

"-" represents that there is no measurement at that type of sites in the certain season; "0%" represent that there is no NPF event found in the measurement; "< 1%" represent that there are NPF events found in the measurement, but the relative contributions of these NPF events to the total CCN concentration are smaller than 1%.

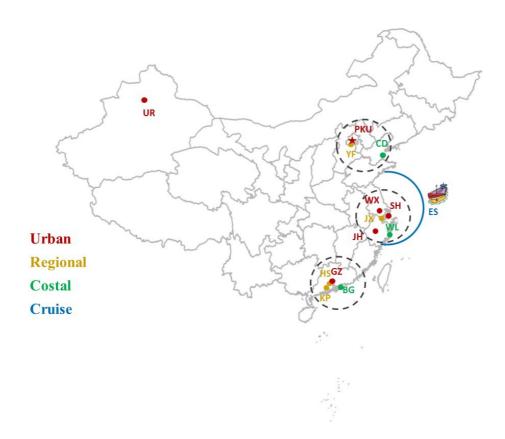


Figure 1. The location of all the thirteen measurement sites. Red, yellow, green and blue color dots represent the site type (urban, regional, costal and cruise. Black circles show the three largest urban areas in China.

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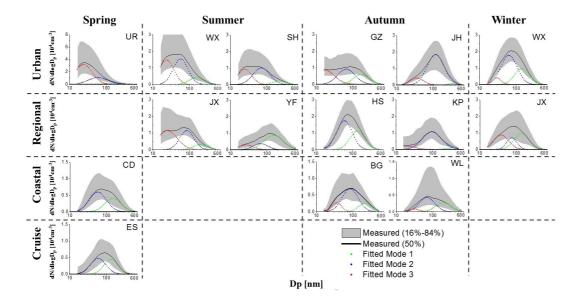


Figure 2. Median distribution (solid black line), 16th and 84th percentile distribution (shaded areas) and fitted mode (green, blue and red scatter dots) at all the measurement sites.



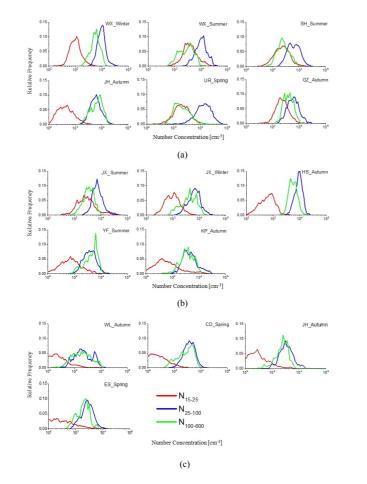
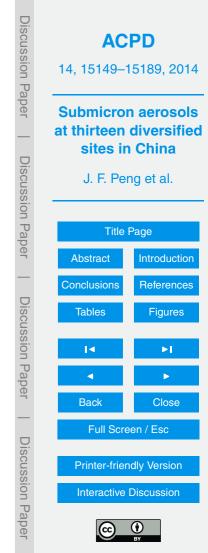


Figure 3. Distribution of PNC in different size ranges at (a) urban sites, (b) regional sites, (c) coastal sites and ship measurement. The red, blue and green line represents the distribution of N_{15-25} , N_{25-100} and $N_{100-600}$, respectively.



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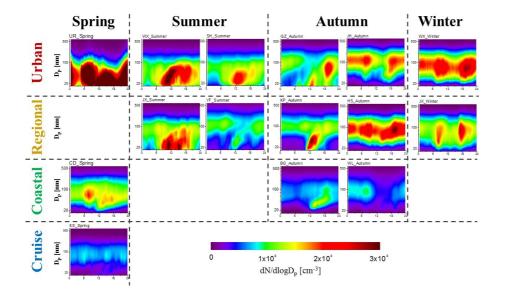
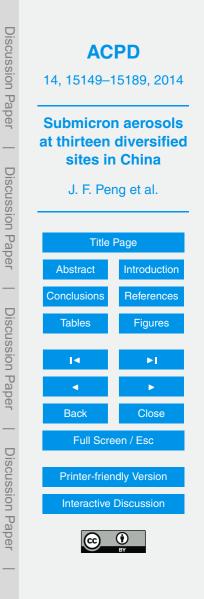


Figure 4. Diurnal variation of PNDs during measurements at different types of sites during different seasons. The color represents $dN/d\log D_p$ (cm⁻³). All diurnal variation figures use the same axis and color bar.



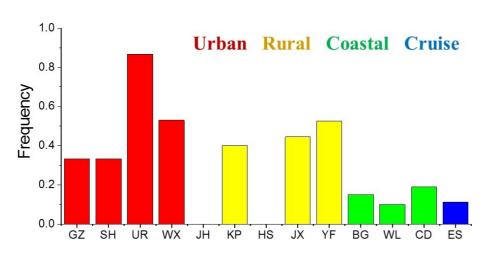


Figure 5. Frequencies of NPF events at different sites. The color of red, yellow, green and blue represent the site type of urban, rural, coastal and cruise, respectively.



