### **One-year Observations of Size Distribution Characteristics**

# of Major Aerosol Constituents at a Coastal Receptor Site in Hong Kong: I. Inorganic Ions and Oxalate

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#### Abstract

- 14 Size distribution data of major aerosol constituents are essential in source apportioning of visibility degradation, testing and verification of air quality models incorporating aerosols.
- 16 We report here one-year observations of mass size distributions of major inorganic ions (sulfate, nitrate, chloride, ammonium, sodium, potassium, magnesium and calcium) and
- 18 oxalate at a coastal suburban receptor site in Hong Kong, China. A total of 43 sets of size segregated samples in the size range of 0.056–18 µm were collected from March 2011 to
- 20 February 2012. The size distributions of sulfate, ammonium, potassium and oxalate were characterized by a dominant droplet mode with a mass mean aerodynamic diameter (MMAD)
- <sup>22</sup> in the range of ~0.7–0.9  $\mu$ m. Oxalate had a slightly larger MMAD than sulfate on days with temperatures above 22°C as a result of the process of volatilization and repartitioning. Nitrate
- 24 was mostly dominated by the coarse mode but enhanced presence in fine mode was detected on winter days with lower temperature and lower concentrations of sea salt and soil particles.
- 26 This data set reveals an inversely proportional relationship between the fraction of nitrate in the fine mode and product of the sum of sodium and calcium in equivalent concentrations and
- the dissociation constant of ammonium nitrate (i.e.,  $(1/([Na^+]+2[Ca^{2+}])\times(1/K_e)))$  when  $P_{n\_fine}$  is significant (>10%). The seasonal variation observed for sea salt aerosol abundance, with
- 30 lower values in summer and winter, is possibly linked with the lower marine salinities in these two seasons.
- 32 Positive matrix factorization was applied to estimate the relative contributions of local formation and transport to the observed ambient sulfate level through the use of the combined

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datasets of size-segregated sulfate and select gaseous air pollutants. On average, the regional/super-regional transport of air pollutants was the dominant source at this receptor
site, especially on high sulfate days while local formation processes contributed approximately 30% of the total sulfate. This work provides field measurement-based
evidence for importance of understanding both local photochemistry and regional/super-regional transport in order to properly simulate sulfate aerosols in air quality models.

40 *Keywords*: secondary inorganic aerosols, Chinese aerosols, regional transport, sea salt aerosols, coarse aerosol

#### 42 **1. Introduction**

Size distribution of aerosols records information of sources and atmospheric processing of 44 particulates. These characteristics of atmospheric particles directly influence local visibility and regional radiative forcing. Substantial knowledge has been gained on the size 46 distributions of the major inorganic ions, elemental carbon (EC) and organic carbon (OC) in the past decade in Hong Kong (HK) and over the adjacent Pearl River Delta (PRD) region in South China (Zhuang et al., 1999a, b; Yao et al., 2003; Huang et al., 2006; Liu et al., 2008; 48 Yu et al., 2010; Lan et al., 2011). The major species, including sulfate, ammonium, OC and EC, predominantly resided in the droplet mode with mass mean aerodynamic diameter 50 (MMAD) in the range of 0.56-1.0 µm over this region while nitrate was often observed to have a dominant presence in the coarse mode (>3.2 µm) in the coastal areas. In these past 52 studies, however, there is a lack of concurrent measurements of other data (e.g., gaseous data) (Zhuang et al., 1999b; Huang et al., 2006; Yu et al., 2010; Lan et al., 2011) or only a short 54 period was covered, e.g., PRIDE-PRD campaign 2004 and 2006 in summer (Liu et al., 2008; 56 Yue et al., 2010). In this work, size-segregated aerosol major constituent data, together with integrated and comprehensive observations of gaseous and bulk particulate pollutants, were obtained for one-year from March 2011 to February 2012 at the Air Quality Research 58

Supersite (<u>http://envr.ust.hk/research/research-facility/background-materials.html</u>) of the

60 Hong Kong University of Science and Technology (HKUST). The comprehensive dataset significantly improves the data utility and data interpretation as compared with those in

- 62 previous size distribution studies. As it will be demonstrated later in this paper, the measurements of a number of gaseous and particulate matter (PM) pollutants (e.g. CO, SO<sub>2</sub>,
- $O_3$ ,  $PM_{2.5}$ , etc.) at the same site provide supplementary information for more in-depth understanding of aerosol sources and processing.
- 66 Much of this work's focus is on sulfate and nitrate. Sulfate remains a top contributor to

aerosols over the PRD region (He et al., 2011; Huang et al., 2014), although a consensus on

- 68SO2 emission reduction has reached between Hong Kong SAR Government and Guangdong<br/>ProvincialGovernmentsince2002
- 70 (http://www.epd.gov.hk/epd/english/environmentinhk/air/prob\_solutions/strategies\_apc.html <u>#point\_1</u>). This arouses the interest in determining the relative contributions of local

formation versus regional/super-regional transport to the observed ambient sulfate loadings.A number of numerical modeling approaches (e.g. observational based model and source-

- oriented model) have been applied to identify the source origins of sulfate over this region (Zhang et al., 2009; Wu, 2013; Xue et al., 2014). The accuracy of the results from these
- 76 numerical studies heavily relies on whether emission inventory and meteorological dynamics are properly represented in the models. In this work we attempt to use field measurements of
- 78 size-segregated chemical composition data and select gaseous pollutants in couple with receptor modeling to estimate local formation vs. transport contributions.

Nitrate is a significant, although less abundant than sulfate, component of  $PM_{2.5}$ . It also often has abundant presence in the coarse particles. A source analysis study by Yuan et al. (2013)

- using receptor modeling of near 10-year speciated  $PM_{10}$  data found secondary nitrate in  $PM_{10}$ increased by 30% from 1998 to 2007 in HK while a decreasing contribution from vehicle
- 84 emissions was observed. It is observed that the improvement due to reductions of PM in local emissions has been offset by an increase in non-local contributions with nitrate being a major
- species. Yang et al. (2011) reported higher  $[NO_3^-]/[SO_4^{2-}]$  ratio in PM<sub>2.5</sub> in Guangzhou than in other cities in China and implied that on-road automobiles could be the major contributor.
- 88 Hence, investigation of the partitioning behaviors of nitrate between coarse and fine modes through size distribution measurements will help to quantify factors and processes affecting
- 90 PM<sub>2.5</sub> nitrate.

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The size distribution characterization work reported in this work was conducted at the HKUST supersite. Hong Kong is influenced by contrasting air masses in winter and summer

due to the Asian monsoon system. The HKUST supersite is located upwind of HK on the east

- 94 coast. During most of time in winter the prevailing wind is from north/northeast. In summer, the dominant wind affecting the site is mainly from South China Sea with relatively clean air
- 96 mass. As such, it is an appropriate place to study the influence of air pollution from outside HK. The primary goal of this work is to understand the sources and processing of major ionic
- aerosol constituents through analyzing their size distribution measurements for a year at this coastal receptor site. The size distribution characteristics of major ionic species were
   discussed in detail in this paper. The year-long data has enabled us to look into the

partitioning behavior of nitrate and the factors affecting its abundance in the fine mode.
Attempts were also made to apply Positive Matrix Factorization (PMF) to apportion measured size-segregated sulfate into locally formed and regional sources. EC and OC in
these size-segregated samples were also measured. Their size distribution characteristics and the major contributing sources will be reported in a separate paper.

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#### 2. Sampling location and chemical analysis

- A 10-stage Micro-Orifice Uniform Deposit Impactor (MOUDI, non-rotating version, MSP Corp., Shoreview, MN) aerosol sampler was used to collect size-segregated samples with
   nominal cut sizes of 18 (inlet), 10.0, 5.6, 3.2, 1.8, 1.00, 0.56, 0.32, 0.18, 0.100, and 0.056 μm. The sampler was located at the HKUST Air Quality Research Supersite (22°20'15.72"N,
- 112 114°16'3.23"E, Fig. S1). The inlet was ~2 m above roof (~14 m above sea level) and the sampler was operated at a flow rate of 30 L/min. The flow rate was checked before and after
- 114 every sampling event. The collection substrates were 47-mm quartz fiber filters, pre-baked at 550 °C overnight before use. Special spacers of 0.05 inch in thickness (MSP Corp.,
- 116 Shoreview, MN) were used between adjacent stages to compensate the shorter jet-to-plate distance due to the thicker quartz filter substrate than aluminum foil, on which
- 118 characterization of the cut-off size and response factor of each impact stage is based. All the filter samples were stored at -18 °C in a refrigerator before analysis. The sampling was
- 120 carried out for 24 hours from midnight to midnight next day every 12 days from March 1,2011 to February 29, 2012. An additional 12 sets of samples were collected on an ad-hoc
- 122 basis to target high-pollution days, including one set in July, three in August, one in September, three in October, one in November, and three in February, respectively. One field
- blank sample was taken during each sampling event and analyzed in the laboratory together with the samples. The average gravimetric  $PM_{2.5}$  concentration was  $25.9\pm17.6 \ \mu g/m^3$  on the
- sampling days (Huang et al., 2013). Among the 12 ad-hoc samples, one sample was collected on August 4, 2012. On this day, relatively low  $PM_{2.5}$  (8.8  $\mu$ g/m<sup>3</sup>) was recorded, as the
- 128 predicted influence by the severe typhoon MuiFa did not happen. The  $PM_{2.5}$  concentration for the other 11 ad-hoc samples ranged from 17.6 to 61.8  $\mu$ g/m<sup>3</sup>.
- 130 The MOUDI samples were analyzed for ionic species. One quarter of each filter substrate was extracted with 3 mL of double de-ionized water in an ultrasonic bath for 30 min and the
- extract was left at 4 °C in a refrigerator overnight to ensure complete extraction. The extracts were filtered using PTFE syringe filter (0.45  $\mu$ m, Millipore, Billerica, MA, USA) and then

- analyzed for ionic species using ion chromatography (IC, Dionex DX-500, Thermo Fisher Scientific, MA, USA). The anions (i.e.,  $Cl^-$ ,  $NO_3^-$ ,  $SO_4^{2-}$ ,  $C_2O_4^{2-}$ ) were separated using an AS-
- 136 11 column and a gradient elution solution of NaOH. The cations (i.e., Na<sup>+</sup>, NH<sub>4</sub><sup>+</sup>, K<sup>+</sup>, Mg<sup>2+</sup>,  $Ca^{2+}$ ) were separated using a CS-12A column and methanesulfoinc acid as the elution
- 138 solution (Yang et al., 2005). Full calibrations were carried out in every batch of the ionic analysis. The species concentrations were field-blank corrected.
- 140 Daily  $PM_{2.5}$  sampling was conducted using a mid-volume sampler equipped with 5 sampling channels (SASS, Met One Instrument, OR, USA) in the same period. The collection
- substrates installed in the 5 channels include one Teflon filter for gravimetric determination of PM<sub>2.5</sub> mass and element analysis, one nylon filter preceded by a MgO-coated denuder for
- 144 IC analysis of ionic species, and three pre-baked quartz fibers for EC and OC thermal analysis. PM<sub>2.5</sub> element data (i.e. Silicon) used in this study was obtained from the Teflon
- filters through analysis using an energy dispersive X-ray fluorescence spectrometer (ED-XRF,Epsilon 5, PANalytical, the Netherlands). The analytical details of gravimetric measurements,
- ionic and XRF analysis of the  $PM_{2.5}$  samples were given in the paper by Huang et al. (2014a). Criteria gaseous pollutants were measured by various gas analyzers including SO<sub>2</sub> (100A,
- API Inc.), CO (300A, API Inc.) and O<sub>3</sub> (400E, Teledyne Instruments Inc.) from June 2011 to February 2012. Continuous measurements of inorganic species and their related gas-phase
- 152 components in the ambient air were provided by a MARGA (Metrohm Applikon, The Netherlands) (Huang et al., 2014a). Relative humidity (RH), temperature, wind speed and
- 154 wind direction were recorded by an automatic weather station installed on a 10-meter tower at the site and are shown in Fig. S2.

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#### 3. Results and discussion

#### 158 **3.1 Comparison between MOUDI and PM<sub>2.5</sub> measurement**

- Ionic species measurements (Cl<sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, C<sub>2</sub>O<sub>4</sub><sup>2-</sup>, Na<sup>+</sup>, NH<sub>4</sub><sup>+</sup>, K<sup>+</sup>, Mg<sup>2+</sup>, and Ca<sup>2+</sup>) by
   MOUDI and by the mid-volume PM<sub>2.5</sub> sampler were compared in Fig. 1. Concentrations for the ions in individual size bins up to 3.2 μm were added up and compared with those in PM<sub>2.5</sub>.
- 162 Good correlations were found between the two sets of measurements for  $SO_4^{2-}$ , Na<sup>+</sup>, and NH<sub>4</sub><sup>+</sup>, with R<sup>2</sup>>0.90 and the slopes in the range of 0.9–1.1. Measurement uncertainties aside,
- additional factors, such as particle bounce, sample handling, and the different size cut points (i.e., 2.5 µm vs. 3.2 µm), could also contribute to deviation from a unity slope (Stein et al.,

- 166 1994; Howell et al., 1998; Chang et al., 2000; Duan et al., 2005). Na<sup>+</sup> was dominated by the coarse mode (> 2.5  $\mu$ m) and the amount of Na<sup>+</sup> in the size range of 2.5–3.2  $\mu$ m could be
- 168 significant relative to Na<sup>+</sup> in PM<sub>2.5</sub>. For the less abundant species (i.e.  $C_2O_4^{2^-}$ , K<sup>+</sup>, and Cl<sup>-</sup>), the correlation between the MOUDI (PM<sub>3.2</sub>) and PM<sub>2.5</sub> measurements were still reasonably
- good (R<sup>2</sup> > 0.6). R<sup>2</sup> values for Mg<sup>2+</sup> and Ca<sup>2+</sup> were 0.48 and 0.36, respectively. The weaker correlations than those of SO<sub>4</sub><sup>2-</sup>, Na<sup>+</sup> and NH<sub>4</sub><sup>+</sup> could be explained by the larger measurement
  uncertainties as a result of their much lower concentration levels.
- Comparison of nitrate between MOUDI and PM<sub>2.5</sub> measurements indicates under-sampling
- by MOUDI (Fig. 1), which is an expected result of the semi-volatile nature of nitrate. Volatile loss of particulate nitrate is anticipated due to the pressure drop in the lower 7–10 stages of
- 176 the MOUDI sampler while such a loss was avoided in the  $PM_{2.5}$  sampler as the Nylon filter substrates effectively retain ammonium nitrate. A strong temperature-dependence was also
- observed in the correlation of nitrate between the two sets of measurements. The correlation in winter ( $R^2 = 0.96$ , slope = 1.17) was much better than that in summer ( $R^2 = 0.13$ , slope = -
- 180 2.58), reflecting the increased dissociation of ammonium nitrate, thereby more volatile loss of nitrate at higher ambient temperatures.
- 182 In summary, the comparisons indicate that the MOUDI measurements for the less volatile ionic species are reliable while nitrate measurements by MOUDI were subjected to sampling
- 184 artifacts due to volatile loss. The under-sampling of nitrate was more significant for summer samples than for the winter samples.

#### 186 **3.2 Size distribution characteristics**

Ambient aerosol size distribution is characterized by multiple modes, i.e. nucleation mode, condensation mode, droplet mode and coarse mode, each of which corresponds to distinct aerosol sources and formation pathways. For example, particles in the condensation mode are

- 190 usually relatively fresh aerosols while the droplet mode particles may have gone through incloud processing and are more likely linked to regional/super-regional transport (Meng and
- 192 Seinfeld, 1994).

The continuous size distributions were inverted from measurements for the limited number of

- 194 size bins by adapting the Twomey algorithm on the known response function of the cascade impactor (Twomey, 1975; Winklmayr et al., 1990). Tri-modal log-normal distributions were
- 196 used to fit the measured data in this work on the assumption that the ambient particle population is superposition of three log-normal modes (i.e., condensation, droplet, and coarse

- modes) (Dzubay and Hasan, 1990; Dong et al., 2004). The modal concentrations and MMADs are listed in Table 1. The fitted size distributions fall into two groups, one group
  with a dominant condensation mode (Fig. 2a) and the second group with a prominent coarse
- mode (Fig. 2b). More details are discussed in the ensuing sections.

# 3.2.1 Size distributions of $NH_4^+$ , $SO_4^{2-}$ , $C_2O_4^{2-}$ and $K^+$

of ammonium sulfate.

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- The first group of ionic species, including  $NH_4^+$ ,  $SO_4^{2-}$ ,  $C_2O_4^{2-}$  and  $K^+$ , share a common 204 characteristic of a dominant droplet mode (Fig. 2a). The size distribution patterns for  $SO_4^{2-}$ and  $NH_4^+$  are very similar, with MMAD of ~0.2 µm for the condensation mode, ~0.8 µm for
- 206 the droplet mode, and 4.0–5.0  $\mu$ m for the coarse mode. The fractions of SO<sub>4</sub><sup>2-</sup> in these three modes were 3.0–5.9%, 75–81% and 14–21%, respectively. The percentages of NH<sub>4</sub><sup>+</sup> were
- 208 3.4–4.7%, 81–89% and 4.2–16%, respectively. The molar ratio of  $2\times[SO_4^{2-}]$  and  $[NH_4^+]$  in particles of <3.2 µm (PM<sub>3.2</sub>) was 1.04, indicating that fine sulfate mainly existed in the form

Air masses influencing HK vary with the shift of synoptic-scale meteorology in PRD from

- 212 season to season. In this study, the season break-down adopts the definition by Louie et al. (2005), that is, spring is from March to May, summer is from June to August, fall is from
- 214 September to November, and winter is from December to February. No significant seasonality in size distribution pattern was observed for  $SO_4^{2-}$  and  $NH_4^+$ . Sulfate and 216 ammonium were most abundant in the spring, mainly due to the increase of mass
- concentration in the droplet mode.
- 218  $C_2O_4^{2-}$  shows similar size distribution pattern to that of sulfate. The MMADs of the condensation, droplet, and coarse modes were 0.1–0.2, 0.7–0.9 and 4.0–5.0 µm, respectively
- and the mass percentages were 0-8.1%, 73-82% and 18-21%, respectively. Several sources are known to contribute to the atmospheric presence of oxalate, including secondary
- formation through the oxidation of oxygenated VOCs (e.g. glyoxal) (e.g, Warneck, 2003; Carlton et al., 2007); biomass burning (Allen et al., 2004; Kundu et al., 2010), and meat
- 224 charbroiling (Rogge et al., 1991). Yu et al. (2005) observed good correlations between ambient oxalate and sulfate measurements across a wide geographical span in East Asia and
- 226 argued that a common dominant formation pathway, likely in-cloud processing, could explain the close tracking of the two chemically distinct species. For the samples taken in this work,
- 228 the correlation between the two species in the 0.56-1.0  $\mu$ m size bin was good (R<sup>2</sup>=0.69), in agreement with the suggestion of the common in-cloud processing formation process.
- 230 It is noted that the MMAD and standard deviation ( $\sigma_g$ ) of the droplet mode oxalate in summer

were noticeably larger than those of sulfate while the MMAD and  $\sigma_{g}$  values of the two species were similar in the other seasons. This prompted us to examine the mass 232 concentration ratios of both oxalate and sulfate between the two size bins of 1.0-1.8 µm and 0.56–1.0  $\mu$ m (Fig. 3). It is clear that the SO<sub>4</sub><sup>2-</sup> ratio between the two size bins was lower than 234 the  $C_2O_4^{2-2}$  ratio on sampling days with temperature higher than  $22^{\circ}C$  (mostly in summer) while the ratios for the two species were comparable in the other seasons. Oxalic acid was 236 reported to partition between gas phase and particles under ambient conditions (Limbeck et 238 al., 2001). The enhanced presence of oxalate in the size range of 1.0-1.8 µm could be explained by evaporation of oxalic acid from the smaller-size particles followed by 240 condensation onto larger particles due to their higher alkalinity. This evaporation-and-recondensation process was proposed by Yao et al. (2002, 2003), Mochida et al. (2003) and

242 Sullivan and Prather (2007). Another possible explanation for the increased supermicron oxalate is more active photochemical oxidation in the gas phase in summer followed by

244 preferential condensation onto the more alkaline larger particles (Rinaldi et al., 2011; van Pinxteren et al., 2014).

246 The dominant presence of  $K^+$  in the droplet mode could be explained by that the  $K^+$  containing particles can be easily cloud-activated. The good correlation ( $R^2$ =0.64) between

248  $K^+$  and SO<sub>4</sub><sup>2-</sup> in the size bin of 0.56–1.0 µm supports the suggestion of in-cloud processes. Condensation mode of  $K^+$ , accounting for a small fraction (1.1–8.6%), may be mostly from

250 fresh biomass burning emissions.  $K^+$  in the coarse mode (20-37%) might originate from sea salt, soil or the coagulation of small biomass burning particles onto coarse particles.

#### **3.2.2 Size distributions of nitrate, sea salt species, and crustal species**

The second group of ionic species, including NO<sub>3</sub><sup>-</sup>, Na<sup>+</sup>, Cl<sup>-</sup>, Ca<sup>2+</sup>, and Mg<sup>2+</sup>, share a common size distribution characteristic of a prominent coarse mode (Fig. 2b). Both bimodal (one fine mode and one coarse mode) and tri-modal (i.e., one fine mode and two coarse modes) fitting

of the measurement data were carried out. In the case of bimodal lognormal fitting, the Twomey algorithm would result in skewed log-normal distribution curves (Fig. S3). On the

258 other hand, tri-modal data fitting is able to capture the measured size distributions with three log-normally distrusted particle populations (Fig. 2b). The inverted size distributions for this

- 260 group of species are therefore represented with one fine and two coarse modes. The underlying physical basis for the presence of two coarse modes will be discussed in detail in
- the later section.

The droplet mode MMAD values for all five species ranged from 0.8–1.5  $\mu$ m. The MMAD of

- 264 the smaller coarse mode (I) ranged from 3.0–4.2  $\mu$ m and the larger coarse mode (II) ranged from 6.8–8.1  $\mu$ m for sea salt species (Na<sup>+</sup>, Mg<sup>2+</sup>, and Cl<sup>-</sup>). Andreas (1998) summarized that
- sea spray droplets fall into three types and their respective size ranges are film droplets (0.5–5  $\mu$ m), jet droplets (3–50  $\mu$ m) and spume droplets (>20  $\mu$ m). Film droplets are ejected due to
- 268 the rupturing of film-thin top of oceanic bubbles on the surface of sea; jet bubbles are formed from the bottom of surface bubbles after their burst; and spume droplets are produced when
- 270 the wind tears sea water right off the wave crests. The two coarse modes retrieved for the sea salt species likely correspond to film droplets and jet droplets. Sea salt particles of spume
- 272 droplets are not expected to be captured by MOUDI sampling as this sampler only collects particles up to 18 μm. And this sea salt particle formation theory also explained the small
- amount of sea salt in the droplet mode (<2.5 μm).</li>The mass concentration of sea salt aerosol was averagely lower in the summer and winter
- than in the spring and fall (Table 1). Wai and Tanner (2004) suggested that sea salt particle concentration is closely dependent on wind speed and seawater salinity. The wind speed at
- the site was lower in the summer while the speed in the winter was similar to those in the spring and fall (Fig. S2). Thus, the wind speed was unlikely a dominant factor affecting the
  concentration of sea salt aerosols at the sampling site. Thiyagarajan et al. (2002) reported that salinity in eastern HK was the highest (34‰) in spring, the next highest (32‰) in fall while
- 282 lower (30‰) in the summer and winter. The lower salinity around HK waters in summer and winter is due to input of fresh water plumes from the Pearl River and the Yangtze River,
- respectively (Guan and Fang, 2006; Gan et al., 2009). It is plausible that the higher salinity of sea water in spring and fall explained the higher abundance of the sea salt ionic species in the
- atmosphere. Current regional air quality models only consider the influence of wind speed and RH on the sea salt concentration in the coastal surf zone (Kelly et al., 2010). Our results
- 288 suggest that the oceanic salinity may need to be considered in the parameterization in sea salt emissions in air quality models.
- 290 Soluble Ca<sup>2+</sup> is the product of soil component (i.e., calcium carbonate) reacting with acidic gases (e.g., HNO<sub>3</sub>) or co-existing acidic aerosol components (e.g., H<sub>2</sub>SO<sub>4</sub>, HSO<sub>4</sub><sup>-</sup>). The larger
- 292 coarse mode (MMAD: 7.0–7.7  $\mu$ m) accounted for a dominant fraction (55–77%), the smaller coarse mode (MMAD: 3.1–4.0  $\mu$ m) accounted for 21–37%, and a minor fraction (2–12%)
- was in the fine mode (Table 1). The smaller coarse mode  $Ca^{2+}$  is likely associated with longrange transported dust particles while the larger coarse mode is mainly associated with
- 296 locally-produced soil particles. Such a differentiation of two coarse mode dust particles with respect to different source origins was previously suggested by Husar (2004) and VanCuren

- et al. (2005).  $Ca^{2+}$  in the fine and in the smaller coarse mode was found to correlate strongly with Si in the collocated PM<sub>2.5</sub> samples (R<sup>2</sup> = 0.54 and 0.80, respectively) (Fig. 4) while Ca<sup>2+</sup>
- in the large coarse mode had a much weaker correlation with Si in  $PM_{2.5}$  (R<sup>2</sup> = 0.24). This result further supports the hypothesis that the smaller coarse mode Ca<sup>2+</sup> might be associated
- 302 with long-range transported dust particles. The mass concentration of  $Ca^{2+}$  in spring was ~1.4–2.2 times higher than those in other seasons, indicating the increased influence of dust
- transported from the North China Plain on HK in this season (Lee et al., 2010).Nitrate is closely associated with sea salt and dust particles as a result of its formation process
- through the reactions of acidic  $HNO_3$  gas with alkaline components (e.g., Harrison and Poi, 1983). Fitting the measured nitrate size distributions with three log-normal distributions
- 308 produces a droplet mode of MMAD in the range of 0.8–1.0  $\mu$ m (2–13%), coarse mode I of MMAD in the range of 3.0–4.2  $\mu$ m (22–47%), and coarse mode II of MMAD in the range of
- $6.8-7.4 \mu m$  (41-62%). Unlike other ionic species, the relative abundances of nitrate in different size modes are highly variable among the seasons. The average percentage of
- aerosol nitrate in the fine mode (<1.8  $\mu$ m), denoted as  $P_{n_{fine}}$  thereafter, was significantly higher in the winter samples (37%) than those in the spring (13%), summer and fall (≤6%).

#### 314 **3.2.3 Factors affecting fine mode nitrate**

- Fine mode nitrate is mainly in the form of NH<sub>4</sub>NO<sub>3</sub> while the coarse mode nitrate is the
  product of heterogeneous reaction between gaseous NO<sub>2</sub> or HNO<sub>3</sub> and alkaline species such as Ca<sup>2+</sup> and Na<sup>+</sup> (Pakkanen et al., 1996; Yoshizu and Hoshi, 1985). NH<sub>4</sub>NO<sub>3</sub> is a thermally
  unstable species and its abundance in aerosols is governed by the following thermodynamic equilibrium.
- 320  $NH_4NO_3(s,aq) \Leftrightarrow HNO_3(g) + NH_3(g)(R1)$

The amount of aerosol NH<sub>4</sub>NO<sub>3</sub> is dependent on RH, temperature (T), and concentrations of 322 gas-phase nitric acid and ammonia (Mozurkewich, 1993). Under the RH conditions at the sampling site (normally larger than 80% during the sampling period) (Fig. S2), calculations

- 324 using the thermodynamic equilibrium model (i.e. ISORROPIA) show that the nitrate particles were in the aqueous status.
- 326 The dissociation constant  $(K_e)$  is inherently dependent on RH and T (Mozurkewich, 1993). In addition, ionic strength of the aerosol aqueous phase also influences the gas-particle
- partitioning of NH<sub>4</sub>NO<sub>3</sub>. For instance, coexistence of  $SO_4^{2-}$  in particles reduces  $K_e$  in the NH<sub>4</sub><sup>+</sup>/NO<sub>3</sub><sup>-</sup>/SO<sub>4</sub><sup>2-</sup> system and helps to retain nitrate in the aerosol phase (Stelson and Seinfeld,

- 330 1982; Seinfeld and Pandis, 2006). For this reason, a modified dissociation constant  $K_e$ ' is introduced to take into consideration of the ionic strength effect.  $K_e$ ' is defined to be the
- product of  $K_e$  and Y, where Y is  $[NH_4NO_3] / ([NH_4NO_3] + 3[(NH_4)_2SO_4]]$ . Our calculations showed that  $K_e$ ' varied from 0.06 to 5.1 ppb<sup>2</sup> for individual samples and was less than 1.5
- 334 ppb<sup>2</sup> for most of the samples collected in spring and winter (Fig. S4). The plot of  $K_e$ ' vs.  $P_{n\_fine}$  (Fig. 5a) shows a clear inverse relationship between the two variables, and  $P_{n\_fine}$  is 336 significant (>10%) only when  $K_e$ ' falls below 1.0 ppb<sup>2</sup>.
- Due to the tendency of gaseous  $HNO_3/N_2O_5$  reacting with alkaline sea salt and soil particles
- 338 (Reactions R2–R4) (Yao and Zhang, 2012), the alkaline species compete for gaseous  $HNO_3$ and thus the abundance of the alkaline species is expected to affect the amount of  $NH_4NO_3$
- 340 partitioning onto the fine particles,

342

$$NaCl(s) + HNO_{3}(g) \rightarrow NaNO_{3}(s) + HCl(g) \uparrow (R2)$$
$$NaCl(s) + N_{2}O_{5}(g) \rightarrow NaNO_{3}(s) + ClNO_{2}(g) \uparrow (R3)$$

$$CaCO_3(s) + 2HNO_3(g) \rightarrow Ca(NO_3)_2(s) + H_2O + CO_2(g) \uparrow (R4)$$

The sum of the two major ions (Na<sup>+</sup> and Ca<sup>2+</sup>) (in equivalent concentrations) is indicative of the abundance of alkaline species on sea salt and soil particles. It is plotted against *P<sub>n\_fine</sub>* in
Fig. 5a and a clear inverse relationship is observed. The coarse mode equivalent concentration of Na<sup>+</sup> and Ca<sup>2+</sup> was the highest (above 0.2 µeq/m<sup>3</sup>) in spring (Fig. S4), which
explains the less significant presence of fine mode nitrate in samples collected in spring than those in winter. The following empirical relationship is found between *P<sub>n\_fine</sub>* and the product of (1/*K<sub>e</sub>*<sup>'</sup>) and (1 / [Na<sup>+</sup>] + 2[Ca<sup>2+</sup>]) (Fig. 5b):

$$P_{n_{-fine}} = 0.006 \times \left(\frac{1}{K_{e}}\right) \times \left(\frac{1}{[Na^{+}] + 2[Ca^{2+}]}\right) + 0.069, R^{2} = 0.73$$

- 352 Due to the limited sample size and the negative sampling artifact in nitrate by MOUDI, there is a significant degree of scattering in the plot. As shown by Fig. 5b, the fitting equation is 354 largely driven by data points when  $P_{n\_fine}$  is significant (>10%). As such, whether this equation is applicable to scenarios of small  $P_{n\_fine}$  can only be evaluated after more and better 356 quality measurements are made in this regime. Nevertheless, this result indicates that in
- coastal environments, sea salt plays an active role in modulating the amount of nitrate residing in the fine mode particles.

#### 3.2.4 Relationship of coarse mode nitrate formation and chloride depletion

- 360 Coarse mode nitrate formation and chloride depletion on sea salt particles are closely linked through reaction R2. The percentages of the chloride depleted ( $Cl_{depletion}$ %) for size bins
- 362 larger than 3.2 μm were calculated and summarized in Table 2. Generally, the extent of Cl<sup>-</sup> depletion is progressively higher on relatively smaller particles, which is an expected result of
- more abundant acidic species on the smaller particles. The equivalent ratios of  $([Cl^++NO_3^-])/[Na^+]$  on coarse particles ranged from 1.20–1.88, which were close to the  $[Cl^-]/[Na^+]$  ratio
- in sea water (1.174). It is suggested by Yao and Zhang (2012) that this similarity indicates that the overall chloride depletion could be largely explained by the coarse mode nitrate
   formation. MARGA data also showed that the measured HNO<sub>3</sub> (g) and HCl (g) were
- moderately correlated in the regime of low  $P_{n_{fine}}$  (percentage of fine mode nitrate < 30%)
- 370 (Fig. S5), supporting R2 as a significant pathway for  $Cl^{-}$  depletion.

## 3.2.5 Comparison of size distributions of secondary ionic species with 372 previous studies

Table S1 lists the measurements of MMAD of  $SO_4^{2-}$ ,  $NO_3^{-}$ ,  $NH_4^+$  and  $C_2O_4^{2-}$  at the HKUST site in the past decades. MMAD of the condensation mode slightly increased from ~0.2 µm in 1996 (Zhuang et al., 1999b) to ~0.3 µm in 2008 (Yu et al., 2010) and in this study. The

- 376 MMAD of the droplet mode for sulfate increased from ~0.6  $\mu$ m in 1996 to 0.8–0.9  $\mu$ m in 2008 and 2011–2012 (this study). It is difficult to discern whether this observation of shift of
- 378 the droplet mode to a large size was incidental (due to the limited measurements and the low temporal resolution in the MOUDI measurements) or reflected increased contributions of
- sulfate via regional transport in the recent measurement periods.  $SO_4^{2-}$  was neutralized by gaseous NH<sub>3</sub> in the atmosphere and NH<sub>4</sub><sup>+</sup> thus has the similar variation of size distribution as
- SO<sub>4</sub><sup>2-</sup> (Zhuang et al., 1999b; Louie et al., 2005a).
   In winter of 1996–1997, coarse-mode nitrate was dominant, with a MMAD of 3.95 μm while
- in the winter of 2008 and in 2011–2012, nitrate exhibited bimodal distributions. The fine mode nitrate concentration was 0.58, 1.01 and 1.43  $\mu$ g/m<sup>3</sup> in the three periods, respectively.
- 386 The average concentration of the gaseous precursor (NO<sub>x</sub>) was ~7.7 ppb in the winter of 1999 and 2000, ~13.8 ppb in the winter of 2008 and ~10.3 ppb in this study, respectively. The
- increasing concentration level of  $NO_x$  would lead to higher local production of  $HNO_3$ . It is likely that alkaline species were insufficient to neutralize the increased  $HNO_3$  or  $NO_3^-$  and
- thus a larger proportion of HNO<sub>3</sub> partitions to the fine particles.

No oxalate concentration was reported in the dataset of 1996–1997. MMADs in the condensation mode, droplet mode and coarse mode of this species were comparable in 2008 and 2011-2012.

#### 394 **3.3 PMF** analysis of size-segregated sulfate with selected gaseous pollutants

Multivariate receptor modeling has been widely used to identify underlying emission sources and formation processes and to estimate source contributions to measured ambient particulate concentration. Several studies have succeeded in applying factor analytic algorithms (Positive

- 398 Matrix Factorization (PMF) and Multilinear Engine (ME-2)) to size distribution measurements to retrieve source contribution on the assumption of a unique size distribution
- 400 for each contributing source and linearity between particle number and mass concentration over time at a fixed site (Kim et al., 2004; Zhou et al., 2004, 2005). In the following analysis,
- 402 PMF approach was adopted to estimate the relative contributions of local formation and regional/superregional transport to the observed ambient sulfate.

#### 404 **3.3.1 PMF analysis of size-segregated sulfate**

The 43 sets of size-segregated sulfate concentrations were combined with the gaseous pollutant measurements (CO, SO<sub>2</sub> and  $O_x$ ) for PMF analysis using EPA PMF3.0. SO<sub>2</sub> is the 406 gaseous precursor of particulate sulfate.  $O_x$  (the sum of NO<sub>2</sub> and O<sub>3</sub>) is usually used to 408 evaluate the oxidation capability of ambient atmosphere. CO is generally considered as an anthropogenic combustion tracer. Diurnal variation of CO at our measurement site was 410 almost flat and no rush hour peak was observed (Fig. S6). This was clearly different from the diurnal patterns of elemental carbon and NOx as observed at a roadside location in Hong 412 Kong showing concentration peaks during local rush hours in the morning and in the early evening (Huang et al., 2014b). Hence, the diurnal pattern of CO rules out vehicular emissions 414 from nearby roads in the vicinity of a few kilometers as a major contributor to at HKUST. This is reasonable in view of that the roads near HKUST do not carry heavy traffic as the 416 university is located in a low density residential district in Hong Kong. There are no other obvious combustion sources near the sampling location to the best of our knowledge. When 418 seasonal variations were examined, CO concentrations were observed to be higher in winter

420 from outside HK to this site. Therefore, CO is used as a tracer for transported pollutants in this study.

and lower in summer (Fig. S7), consistent with the seasonal pattern of pollutants transported

- 422 The minimum sample size (N) needed for obtaining statistically reliable results by factor analysis is 30 + (V + 3) / 2, where V is the number of input species (Henry et al., 1984). In
- 424 order to reduce the input variables, data from adjacent size bins were grouped together, reducing the input size-segregated data to the following five size categories: 1) 0.056–0.32
- 426  $\mu$ m; 2) 0.32–0.56  $\mu$ m; 3) 0.56–1.0  $\mu$ m; 4) 1.0–3.2  $\mu$ m; and 5) > 3.2  $\mu$ m. The dataset of 8 measured variables  $\times$  43 samples was then organized as input matrix for PMF analysis.
- 428 Uncertainty prepared for PMF analysis was set as the sum of analytical uncertainty and 1/3 of detection limit for lumped sulfate species (Reff et al., 2007) and 30% of the corresponding
- 430 concentration for CO,  $SO_2$  and  $O_x$ . Three to five factors were tested in PMF analysis and the three-factor solution was found to best explain the sulfate formation. In the four- and five-
- 432 factor solutions, sulfate in the size category of 1.0-3.2 μm forms a factor without association with any gas tracers, leaving this factor unexplained. Five different seed values were tested
- 434 and similar results were obtained. The seed value was eventually set at 123. Bootstrapping on the base solution reported stable results, with >85 out of 100 bootstrap factors mapped with
- 436 those in the based run. Fpeak value from -0.5 to 0.5 was examined. Positive Fpeak values were applied to sharpen the F matrix and to achieve cleaner source profiles. Q values as a
- 438 function of Fpeak values are plotted in Fig. S8a. An examination of source profiles shows the application of Fpeak of 0.1 gives the best result.
- 440 The first factor consists of all sulfate in the size range of 0.056 to 0.32  $\mu$ m, 72% of sulfate in the size bin of 0.32–0.56  $\mu$ m, 48% of SO<sub>2</sub> and 66% of O<sub>x</sub>. Particles in the size bin of 0.056–
- 442 0.32 μm are associated with the condensation process. The abundant presence of sulfate in this size bin indicates that this factor is associated with freshly formed aerosols. Reaction of
- 444  $SO_2$  with OH radical was the major pathway of sulfate formation in the gas phase while  $H_2O_2$ is the dominant oxidant for  $SO_2$  oxidation in the aqueous phase (Seinfeld and Pandis, 2006;
- 446 Miyakawa et al., 2007). The ratio ([SO<sub>4</sub><sup>2-</sup>] / ([SO<sub>2</sub>] + [SO<sub>4</sub><sup>2-</sup>])) is calculated to be 0.30, close to the sulfate conversion extent near power plant sources (Wilson, 1981). This further
  448 supports the association of this factor with the freshly formed sulfate particles.
- The second factor accounts for more than 60% of sulfate in the size from 0.56 to  $3.2 \,\mu\text{m}$  and
- 450 nearly 100% of CO. The conversion factor of  $SO_2$  to sulfate in this factor is 0.97, much larger than that in the first factor. It is noted that nearly all the sulfate in the size range of 1.0-3.2  $\mu$ m
- 452 is associated with this factor. A close examination of the size distribution of sulfate (top row in Fig. 2a) indicates that the droplet mode of sulfate was broad and dominant, encompassing
- 454 most of sulfate in the 1.0-3.2 μm range. The abundant presence of the super-micron droplet mode sulfate particles suggests a major contribution from aged air masses which makes

- 456 possible significant growth in particle size (Guo et al., 2010). These characteristics indicate that this factor is associated with aged sulfate, i.e., sulfate transported from outside HK.
- 458 Nearly all of particulate sulfate on particles >3.2 µm appeared in the third factor. This factor is therefore identified as sulfate in the coarse mode and its further apportionment to different
   460 sources/formation pathways is discussed in the next section.

The sum of apportioned sulfate well explains the ambient sulfate measurements (Fig. 7a).

- 462 The seasonal average contributions from the three PMF-resolved sources are plotted in Fig. 7b. Locally-formed sulfate ranged from 0.11 to 6.7  $\mu$ g/m<sup>3</sup> in individual samples with the
- higher values occurring in the spring and fall (~3.0  $\mu$ g/m<sup>3</sup>), consistent with the high oxidant potential (O<sub>x</sub>) during these two seasons (Fig. S6). Transported sulfate was in the range of
- 466  $0.10-17 \ \mu g/m^3$  in individual samples with the highest seasonal average occurring in spring. Seasonal average sulfate in the coarse mode ranged from 0.3-1.3  $\mu g/m^3$  with the highest value
- 468 in spring. The annual average contribution was estimated to be 30% (2.5  $\mu$ g/m<sup>3</sup>) associated with local oxidation of SO<sub>2</sub>, 59% (4.9  $\mu$ g/m<sup>3</sup>) due to sulfate transported from outside HK, and
- 470 11% (0.9  $\mu$ g/m<sup>3</sup>) sulfate in the coarse mode. In the high sulfate cases (total [SO<sub>4</sub><sup>2-</sup>]>14.4  $\mu$ g/m<sup>3</sup>, the value corresponding to average + one standard variation of the whole data set), the
- 472 regional/super-regional pollutant transport played a key role, accounting for an average of 68% of total sulfate and 78% of sulfate in fine mode.

#### 474 **3.3.2 PMF** analysis of coarse-mode sulfate

To further understand the formation pathway and sources of sulfate in the coarse mode,
sulfate and other ionic species (Na<sup>+</sup>, Mg<sup>2+</sup>, NO<sub>3</sub><sup>-</sup>, Ca<sup>2+</sup>, K<sup>+</sup> and NH<sub>4</sub><sup>+</sup>) in the coarse mode were organized as input matrix for PMF analysis. PM<sub>2.5</sub> Si as a tracer for bulk soil particles (no
coarse Si data available) was also included as input data, as its inclusion significantly improves the agreement of PMF-reconstructed and measured Ca<sup>2+</sup> data. Uncertainties for
these variables were set as the sum of analytical uncertainty and 1/3 of detection limit. Fpeak value from -0.5 to 0.5 was examined and zero was chosen for final solution (Fig. S8b). Four
factors were found to reasonably explain the physical meaning of each source (Fig. 8). The seasonal variations in source contributions are shown in Fig. S9 for individual source factors.

- 484 Prominent Na<sup>+</sup>, Mg<sup>2+</sup>, K<sup>+</sup>, Cl<sup>-</sup> and ~13% of the coarse-mode sulfate appear in the first factor. Na<sup>+</sup>, Mg<sup>2+</sup> and some of K<sup>+</sup> on particles larger than 3.2  $\mu$ m are considered to be the tracers for
- 486 sea salt particles. Major ions in sea water with salinity of 35‰ are Cl<sup>-</sup> (55.29% in the mass percentage), Na<sup>+</sup> (30.74%), Mg<sup>2+</sup> (3.69%), SO<sub>4</sub><sup>2-</sup> (7.75%), Ca<sup>2+</sup> (1.18%) and K<sup>+</sup> (1.14%)
- 488 (Millero and Sohn, 1992). The relative abundance of individual species resolved by PMF in

this factor agreed fairly well with that in the sea water except that the PMF-derived  $SO_4^{2-}$  was

- 490 about half of that in the sea water (Fig. S10). This factor therefore was identified as fresh sea salt.
- 492 The second factor consists of 98% of  $NH_4^+$ , 64% of  $SO_4^{2-}$ , 23% of  $NO_3^-$ , 13%  $Na^+$ , and 11% of Si. The equivalent ratio of  $NH_4^+$ ,  $SO_4^{-2-}$ , and  $NO_3^-$  (1.5:1.0:1.0) in this factor indicates that
- 494 sulfate mainly exists as  $NH_4HSO_4$  and nitrate as  $NH_4NO_3$ . The alkaline species (i.e.  $Ca^{2+}$ ,  $Mg^{2+}$ , and  $Na^+$ ) in dust and sea salt make it difficult for ammonia to partition into dust and
- 496 sea salt particles. Considering the abundant presence of  $NH_4^+$  in this factor, we therefore attribute coarse-mode sulfate apportioned to this factor (termed as  $[SO_4^{2-}]_{CF2}$  hereafter) to
- 498 coagulation of fine NH<sub>4</sub>HSO<sub>4</sub> and NH<sub>4</sub>NO<sub>3</sub> particles with coarse sea salt/ dust particles or resuspension of dust particles that contain NH<sub>4</sub>HSO<sub>4</sub> and NH<sub>4</sub>NO<sub>3</sub>, the presence of which in
   500 dust could come from dry or wet deposition of ambient aerosols. As Ca<sup>2+</sup> in the smaller
- 500 dust could come from dry or wet deposition of ambient aerosols. As  $Ca^{2+}$  in the smaller coarse mode (i.e.,  $[Ca^{2+}]_{CMI}$ ) represents long-range transported dust particles, an association
- between  $[SO_4^{2^-}]_{C_F2}$  and  $[Ca^{2^+}]_{CMI}$  is anticipated if coagulation of NH<sub>4</sub>HSO<sub>4</sub> fine particles is a significant source for  $[SO_4^{2^-}]_{C_F2}$ . On the other hand, an association between  $[SO_4^{2^-}]_{C_F2}$  and
- 504  $Ca^{2+}$  in coarse mode II (i.e.,  $[Ca^{2+}]_{CMII}$ ) is expected if re-suspension of dust particles is a significant source for  $[SO_4^{2-}]_{C_F2}$ . We next examine correlations of  $[SO_4^{2-}]_{C_F2}$  with  $[Ca^{2+}]_{CMI}$
- and  $[Ca^{2+}]_{CMII}$ . It is found that the samples fall into two groups. For samples with lower  $[SO_4^{2-}]_{C_F2}$  (roughly <0.4 µg/m<sup>3</sup>, n = 28),  $[SO_4^{2-}]_{C_F2}$  strongly correlates with  $[Ca^{2+}]_{CMI}$  ( $R^2 = 10^{-10}$ )
- 508 0.68) (Fig. 9b) while the correlation with  $[Ca^{2+}]_{CMII}$  is significantly weaker ( $R^2 = 0.49$ ). For samples with higher  $[SO_4^{2-}]_{C_F2}$  (roughly >0.5 µg/m<sup>3</sup>, n = 13), on the other hand, a moderate
- 510 positive correlation ( $R^2 = 0.53$ , Fig. 9b) exists between  $[SO_4^{2^-}]_{C_F2}$  and  $[Ca^{2^+}]_{CMII}$  while the correlation with  $[Ca^{2^+}]_{CMI}$  is much weaker ( $R^2 = 0.23$ ). The correlation results indicate that
- both the coagulation process and re-suspended dust particles could be significant sources for  $[SO_4^{2-}]_{C_F2}$ . That PMF failed to resolve these two sources is most likely due to the limited
- 514 number of sample size.

516

The third factor is characterized by the abundant presence of nitrate,  $Ca^{2+}$ , Si and chloridedepleted sea salt species (Na<sup>+</sup>, Mg<sup>2+</sup>, and K<sup>+</sup>). Heterogeneous reactions of sulfuric acid and

nitric acid on sea salt or dust particles (e.g., reactions R2 and R4) are mainly responsible for

- 518 this source of coarse-mode sulfate. The co-variation of nitrate and chloride-depleted sea salt species suggested the existence of R2 reaction, i.e., chloride in the sea salt was replaced by
- 520 nitrate in the polluted atmosphere over certain period.  $Ca^{2+}$  and Si are the tracers for dust, which may be amalgamated with local aged sea salt after long range transport. This factor
- 522 thus was identified as the mixture of aged sea salt and dust particles.

The fourth factor is identified as fresh dust particles that have not undergone atmospheric

- 524 processing (such as acidification), as this factor is characterized with abundant Si and  $Ca^{2+}$  but little sulfate and absence of nitrate.
  - 526 The comparisons of PMF-reconstructed and measured coarse mode concentrations show  $R^2$  values of linear regression better than 0.95 for NO<sub>3</sub><sup>-</sup>, Cl<sup>-</sup>, NH<sub>4</sub><sup>+</sup>, Na<sup>+</sup>, and Mg<sup>2+</sup>, and 0.83 for
  - 528 Ca<sup>2+</sup> (Table S2). The agreement for coarse sulfate ( $R^2 = 0.79$ ) is weaker but still reasonable (Fig. 9a). This result indicates that the PMF solution captures most of the variation in the
  - 530 coarse-mode sulfate while it also suggests that likely there are sources or processes not properly represented by the input species. The small sample size and lack of direct coarse
  - 532 dust particle tracer data may be the contributing causes for the limitation in the PMF solution for the coarse sulfate.
  - 534 The PMF results show that on average two-thirds of coarse sulfate is  $NH_4HSO_4$ -containing coarse particles (~0.5  $\mu$ g/m<sup>3</sup>) while the other three sources (i.e., sea salt sulfate, dust sulfate,
  - 536 and aged sea salt/ dust particles) make comparable contributions to the remaining one-third coarse sulfate.
  - 538

#### 4. Summary and implications

- Size distributions of nine ionic species  $(SO_4^{2-}, NO_3^{-}, Cl^-, C_2O_4^{2-}, Na^+, NH_4^{+}, K^+, Mg^{2+}, and$ 540 Ca<sup>2+</sup>) were determined in a total of 43 sets of samples collected at a suburban receptor location over a year.  $SO_4^{2-}$ ,  $NH_4^+$ ,  $C_2O_4^{2-}$  and  $K^+$  mainly resided in the droplet mode with 542 MMAD of 0.7–0.9  $\mu$ m. Minor volatilization and repartition of C<sub>2</sub>O<sub>4</sub><sup>2-</sup> led to a larger MMAD and a broader size distribution for the droplet mode under conditions of higher temperatures 544 (i.e. over 22°C). Two coarse modes and one droplet mode were inverted for the species associated with sea salt and dust particles, with MMADs of the two coarse modes as 2-4 µm 546 and 6-8  $\mu$ m, respectively. The smaller coarse mode for Ca<sup>2+</sup> was likely associated with longrange transported dust particles while the larger coarse mode is mainly associated with 548 locally-produced soil particles. The seasonal variation of ambient sea salt concentrations could be caused by the seasonal fluctuation in the marine salinity. Modifications of the 550 parameterization in sea salt emission and the oceanic salinity in the air quality models are
- needed in order to improve the model performance.

As a result of interacting with sea salt and dust particles, NO<sub>3</sub><sup>-</sup> was generally dominated by the coarse mode. Enhanced presence of nitrate in fine mode was observed on winter days of lower temperature and on days with lower concentrations of sea salt and soil particles. An

- inversely proportional relationship was established using the data set between the fraction of nitrate in the fine mode and  $(1/[Na^+]+2[Ca^{2+}])\times(1/K_e)$ , i.e., product of the sum of alkaline
- ions in equivalent concentrations (Na<sup>+</sup> and Ca<sup>2+</sup>) and the modified dissociation constant of ammonium nitrate. This relationship explains the variable characteristics in nitrate size

560 distribution. Due to limited sample size and sampling artifact of nitrate, more measurement is needed to further study the relationship.

- 562 Local formation and transport contribution of sulfate were estimated by applying PMF analysis on the combined datasets of size-segregated sulfate and select gaseous air pollutants
- 564 (SO<sub>2</sub>,  $O_x$  and CO). The regional/super-regional source dominated the observed sulfate at HKUST, especially on high sulfate days. On average, the regional source contributed 59%
- 566 (4.9  $\mu$ g/m<sup>3</sup>) while the locally-formed sulfate accounted for 30% (2.5  $\mu$ g/m<sup>3</sup>), and the remaining sulfate (0.9  $\mu$ g/m<sup>3</sup>) was on coarse mode particles. Further PMF analysis of the
- 568 coarse mode chemical composition data suggests that most of the coarse-mode sulfate were contributed by NH<sub>4</sub>HSO<sub>4</sub>-containing coarse particles. This source of coarse sulfate has its
- origin in fine NH<sub>4</sub>HSO<sub>4</sub> particles, which is shifted to the coarse mode through coagulation and/or deposition followed by re-suspension. Results from this study demonstrate the
  importance of understanding both local photochemistry and regional/super-regional transport in order to properly model sulfate aerosols.
- 574

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  - Supporting Information Available
- 582 Additional information is shown in two tables and ten figures.

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**Table 1.** Mass mean aerodynamic diameters (MMAD,  $\mu$ m), standard deviation ( $\sigma_g$ ) and modal concentrations of ionic species ( $C_m$ ,  $\mu g/m^3$ ) in spring (N=8, N represent number of samples), summer (N=11), fall (N=13) and winter (N=11).

	Condensation mode			Droplet mode			Coarse mode		
	C <sub>m</sub> MMAD		Cm	C <sub>m</sub> MMAD			C <sub>m</sub> MMAD		
	$(\mu g/m^3)$	(µm)	$\sigma_{g}$	$(\mu g/m^3)$	(µm)	$\sigma_{\rm g}$	$(\mu g/m^3)$	(µm)	$\sigma_{g}$
SO4 <sup>2-</sup>									
*Spring	0.59	0.24	1.31	9.51	0.84	1.51	2.64	5.07	2.07
Summer	0.32	0.25	1.34	5.30	0.83	1.54	0.91	5.13	2.06
Fall	0.56	0.28	1.30	7.30	0.80	1.38	1.63	4.38	2.31
Winter	0.26	0.26	1.25	6.78	0.84	1.50	1.62	5.03	2.16
$NH_4^+$									
Spring	0.26	0.24	1.38	3.79	0.82	1.49	0.63	4.92	2.14
Summer	0.14	0.26	1.35	1.94	0.82	1.53	0.09	5.02	2.35
Fall	0.23	0.30	1.30	2.64	0.78	1.36	0.20	4.25	2.51
Winter	0.12	0.26	1.26	2.86	0.84	1.50	0.56	5.06	2.17
$\mathbf{K}^{+}$									
Spring	0.03	0.22	2.18	0.23	0.81	1.49	0.10	5.09	2.05
Summer	0.00	0.16	2.17	0.12	0.78	1.63	0.07	5.73	1.77
Fall	0.01	0.28	1.25	0.22	0.81	1.46	0.08	5.89	1.81
Winter	0.02	0.28	1.27	0.34	0.79	1.49	0.09	4.70	2.16
$C_2 O_4^{2}$									
Spring	0.02	0.22	1.35	0.30	0.80	1.66	0.08	4.58	1.81
Summer	0.00	0.12	1.51	0.18	0.92	2.02	0.04	5.25	1.64
Fall	0.03	0.11	2.59	0.27	0.84	1.66	0.07	4.83	1.81
Winter	0.01	0.29	1.28	0.21	0.77	1.49	0.06	4.58	2.12

(a)

(b)

	Droplet mode			Coa	Coarse mode I			Coarse mode II		
	C <sub>m</sub> MMAD		_	C <sub>m</sub> MMAD			C <sub>m</sub> MMAD			
	$(\mu g/m^3)$	(µm)	$\sigma_{ m g}$	$(\mu g/m^3)$	(µm)	$\sigma_{ m g}$	$(\mu g/m^3)$	(µm)	$\sigma_{ m g}$	
NO <sub>3</sub> <sup>-</sup>										
Spring	0.74	0.91	1.55	2.28	4.18	1.42	2.50	7.38	1.24	
Summer	0.09	0.77	2.18	0.44	3.40	1.49	0.87	7.19	1.42	
Fall	0.14	1.03	2.61	1.47	4.03	1.45	1.54	7.33	1.25	
Winter	1.43	0.88	1.44	0.84	2.96	1.53	1.56	6.83	1.44	
$Na^+$										
Spring	0.43	0.90	2.06	1.00	4.07	1.43	1.36	7.49	1.28	
Summer	0.14	1.21	1.61	0.66	3.62	1.51	0.95	7.37	1.35	
Fall	0.16	1.17	1.61	0.72	3.48	1.51	1.45	7.64	1.32	
Winter	0.11	1.10	1.73	0.22	3.40	1.38	0.85	7.67	1.39	
$Mg^{2+}$										
Spring	0.01	1.24	1.45	0.11	3.32	1.50	0.22	6.92	1.39	
Summer	0.01	1.15	1.54	0.06	3.09	1.51	0.15	7.06	1.47	
Fall	0.01	1.28	1.54	0.08	3.25	1.53	0.18	7.62	1.33	
Winter	0.01	1.11	1.57	0.03	3.15	1.51	0.11	7.62	1.45	
Ca <sup>2+</sup>										
Spring	0.11	1.04	2.12	0.27	3.44	1.45	0.50	6.99	1.40	
Summer	0.01	1.14	1.50	0.09	3.11	1.45	0.33	7.68	1.47	
Fall	0.04	0.85	1.35	0.16	3.96	1.51	0.24	7.59	1.28	
Winter	0.02	1.16	1.81	0.10	3.34	1.43	0.33	7.53	1.44	
Cl										
Spring	0.09	1.35	1.87	0.26	3.84	1.26	1.67	7.76	1.28	
Summer	0.04	1.48	1.76	0.81	3.89	1.46	1.34	7.49	1.32	
Fall	0.04	1.59	1.52	0.24	3.56	1.25	1.96	7.89	1.33	
Winter	0.05	1.27	1.79	0.10	3.37	1.25	1.01	8.09	1.39	

\*Spring is defined as the period from March to May, summer is from June to August, fall is from September to November, and winter is from December to February

	3.2-5.6	5.6-10	10-18	>18 um
	μm	μm	μm	-18 μm
Spring				
<sup>1</sup> Cl <sup>-</sup> <sub>depletion</sub> %	68.73	42.82	34.58	39.34
<sup>2</sup> Relative acidity	1.42	1.26	1.28	1.30
$([Cl^{-}]+[NO_{3}^{-}])/[Na^{+}]$	1.26	1.38	1.35	1.21
<u>Summer</u>				
Cl <sup>-</sup> <sub>depletion</sub> %	53.76	34.57	28.28	30.81
Relative acidity	1.22	1.21	1.33	1.41
$([Cl^{-}]+[NO_{3}^{-}])/[Na^{+}]$	1.20	1.36	1.39	1.34
<u>Fall</u>				
Cl <sup>-</sup> <sub>depletion</sub> %	51.04	28.49	18.50	6.27
Relative acidity	1.05	1.07	1.00	1.06
$([Cl^{-}]+[NO_{3}^{-}])/[Na^{+}]$	1.20	1.29	1.34	1.42
<u>Winter</u>				
Cl <sup>-</sup> <sub>depletion</sub> %	47.24	29.06	18.81	29.67
Relative acidity	1.16	1.15	1.16	1.17
$([Cl^{-}]+[NO_{3}^{-}])/[Na^{+}]$	1.88	1.53	1.55	1.41

Table 2. The percentage of Cl depleted in aged sea-salt aerosols (Cl<sup>-</sup><sub>depletion</sub>%), relative acidity, and ionic ratios in each season



**Figure 1**. Comparison between MOUDI measurements ( $<3.2 \mu$ m) and PM<sub>2.5</sub> measurements by SASS sampler. Orthogonal distance regression is applied to examine the comparison. Data points are color-coded by ambient temperatures on the individual sampling days. A segregation of data points by temperature was observed for nitrate, see text for details.



**Figure 2**. Continuous log-normal size distributions of (a) species associated with in-cloud processing  $(SO_4^{2^-}, NH_4^+, K^+, C_2O_4^{2^-})$  and (b) species associated with crustal and sea salt particles  $(NO_3^-, Na^+, Mg^{2+}, Ca^{2+}, Cl^-)$  in the four seasons. The size distributions are inverted from measured MOUDI data, which are shown in histograms.



**Figure 3**. Comparison of mass concentration ratios of oxalate and sulfate between the two size bins  $1.0-1.8 \mu m$  and  $0.56-1.0 \mu m$ . The data points are color-coded according to ambient temperature during their collection.



**Figure 4**. Correlations of  $Ca^{2+}$  in fine mode and coarse mode I with Si in collocated  $PM_{2.5}$  samples.



**Figure 5.** (a) Relationships of aerosol nitrate fraction in the fine mode  $(P_{n_fine})$  (<1.8 µm) with modified NH<sub>4</sub>NO<sub>3</sub> dissociation equilibrium constant ( $K_e$ ') and equivalent amounts of [Na<sup>+</sup>] + 2[Ca<sup>2+</sup>] in the size range of >3.2µm; (b) Empirical relationship between  $P_{n_fine}$  and (1/Ke')×1/([Na<sup>+</sup>]+2[Ca<sup>2+</sup>])



Figure 6. PMF-resolved source profiles (% of total species) for size-segregated  $SO_4^{2-}$ 



**Figure 7.** (a) Comparison of PMF-modeled sulfate with the measured sulfate; (b) Seasonal variation in contributions of the three sources to sulfate



Figure 8. PMF-resolved source profiles (% of total species) for coarse-mode data.  $PM_{2.5}$  Si as a surrogate for bulk dust particles is also included as input data.



**Figure 9.** (a) Total sulfate in the coarse mode versus PMF-model estimated sulfate. (b) Relationship of coarse-mode sulfate apportioned to the  $NH_4HSO_4$ -containing coarse particles (i.e., Factor 2) with Ca<sup>2+</sup> concentration in either Coarse-mode I (blue open circles) or Coarse mode II (yellow filled circles). There are two exceptional data (filled circles with blank outline) that do not fit in either of the two groups.