Atmos. Chem. Phys. Discuss., 14, 14291–14349, 2014 www.atmos-chem-phys-discuss.net/14/14291/2014/ doi:10.5194/acpd-14-14291-2014 © Author(s) 2014. CC Attribution 3.0 License.



This discussion paper is/has been under review for the journal Atmospheric Chemistry and Physics (ACP). Please refer to the corresponding final paper in ACP if available.

Emissions of biogenic volatile organic compounds and subsequent photochemical production of secondary organic aerosol in mesocosm studies of temperate and tropical plant species

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Received: 4 April 2014 - Accepted: 5 May 2014 - Published: 2 June 2014

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Published by Copernicus Publications on behalf of the European Geosciences Union.



Abstract

Silver birch (*Betula pendula*) and three Southeast Asian tropical plant species (*Ficus cyathistipula*, *Ficus benjamina* and *Caryota millis*) from the pantropical fig and palm genera were grown in a purpose-built and environment-controlled whole-tree chamber.

⁵ The volatile organic compounds emitted from these trees were characterised and fed into a linked photochemical reaction chamber where they underwent photooxidation under a range of controlled conditions (RH ~ 65–89%, VOC/NO_x ~ 3–9 and NO_x ~ 2 ppbV). Both the gas phase and the aerosol phase of the reaction chamber were monitored in detail using a comprehensive suite of on-line and off-line, chemical and physical measurement techniques.

Silver birch was found to be a high monoterpene and sesquiterpene, but low isoprene emitter, and its emissions were observed to produce measureable amounts of SOA via both nucleation and condensation onto pre-existing seed aerosol (Y_{SOA} 26–39%). In contrast, all three tropical species were found to be high isoprene emitters with trace

- emissions of monoterpenes and sesquiterpenes. In tropical plant experiments without seed aerosol there was no measurable SOA nucleation, but aerosol mass was shown to increase when seed aerosol was present. Although principally isoprene emitting, the aerosol mass produced from tropical fig was mostly consistent (i.e., in 78 out of 120 aerosol mass calculations using plausible parameter sets of various precursor
- specific yields) with condensation of photooxidation products of the minor VOCs coemitted; no significant aerosol yield from condensation of isoprene oxidation products was required in the interpretations of the experimental results. This finding is in line with previous reports of organic aerosol loadings consistent with production from minor biogenic VOCs co-emitted with isoprene in principally-isoprene emitting landscapes in
- Southeast Asia. Moreover, in general the amount of aerosol mass produced from the emissions of the principally-isoprene-emitting plants, was less than would be expected from published single-VOC experiments, if co-emitted species were solely responsible for the final SOA mass. Interpretation of the results obtained from the fig data sets,



leaves room for a potential role for isoprene in inhibiting SOA formation under certain ambient atmospheric conditions, although instrumental and experimental constraints impose a level of caution in the interpretation of the results.

Concomitant gas and aerosol phase composition measurements also provide a de-5 tailed overview of numerous key oxidation mechanisms at work within the systems studied and their combined analysis provides insight into the nature of the SOA formed.

1 Introduction

Atmospheric aerosols change the radiative balance of the Earth through scattering and absorbing incident solar radiation (Kim and Ramanathan, 2008); they directly and
¹⁰ indirectly affect the properties and formation of clouds, thus altering the hydrological cycle (Gunthe et al., 2009; Junkermann et al., 2009; Stevens and Feingold, 2009); and they may impact on the efficiency of plant photosynthesis (Mercado et al., 2009), thereby modifying the uptake of atmospheric carbon. Hence, aerosol particles affect the Earth's climate in several ways (as reviewed in Hallquist et al., 2009; IPCC, 2007;
¹⁵ Isaksen et al., 2009; Carslaw et al., 2010) as well as having a detrimental impact on human health (e.g., Baltensperger et al., 2008).

A large fraction of the observed atmospheric aerosol composition is organic (Zhang et al., 2007). A primary organic component is emitted directly into the atmosphere from anthropogenic activities, such as biomass burning and fossil fuel combustion, or is

- emitted from natural sources, such as plant abrasion and the sea surface. Secondary aerosol particles are formed within the atmosphere by gas-to-particle conversion; those formed from gas-phase organic precursors are known as secondary organic aerosol (SOA) (e.g., Riipinen et al., 2012). There is considerable uncertainty surrounding the chemical transformation of anthropogenic and biogenic volatile organic compounds (NUCC).
- (AVOC and BVOC, respectively) from the gas phase to the aerosol phase and hence, considerable uncertainty in the global source of SOA (Hallquist et al., 2009; Donahue et al., 2009; Ng et al., 2006; Virtanen et al., 2010).



On a global scale, approximately 90% of all volatile organic compound emissions originate from biogenic sources (Guenther et al., 2012), with almost half of this being emitted from tropical and subtropical forests. The ability of biogenic VOC to form SOA is therefore of particular interest and potential importance. Globally, isoprene (2-methyl-

⁵ 1,3-butadiene, C₅H₈) is the biogenic VOC with the largest mass emission rate. It is estimated to account for about 50 % of BVOC emissions by mass (Guenther, Jiang et al., 2012), but it is still uncertain how much it contributes to SOA formation (Karl et al., 2009; Carlton et al., 2009).

Modelling, laboratory chamber experiments and field studies provide a range of possible yields of SOA from isoprene, typically of the order 0.4–3% by mass, with some values reported as high as 5.5% (van Donkelaar et al., 2007; Kleindienst et al., 2009, 2007; Kroll et al., 2005, 2006; Claeys et al., 2004a; Edney et al., 2005). SOA yield from the further oxidation of first and subsequent generation isoprene oxidation products, such as methacrolein, is estimated to be up to 15% (Rollins et al., 2009; Carlton et al., 2009; Claeys et al., 2004b; Robinson et al., 2011). There is also evidence from chamber studies using temperate tree species such as birch, spruce and pine that isoprene

may in fact suppress SOA formation from other VOC precursors (Kiendler-Scharr et al., 2009a; Kanawade et al., 2011).

Here, we characterised the BVOC emissions from three south-east Asian tropical plant species (*Ficus cyathistipula*, *Ficus benjamina* and *Caryota millis*) and in a series of coupled plant growth chamber–atmospheric reaction chamber experiments, we examined the ability of their oxidation products to contribute to SOA formation under atmospherically relevant conditions. In order to provide a geographically and chemically contrasting study, we replicated these experiments using common silver birch

(Betula pendula). Silver birch has previously been shown to contribute to the formation of secondary organic aerosol via the emissions of mono- and sesquiterpenes (e.g. Kiendler-Scharr et al., 2009a, b; Mentel et al., 2009). Seeded (ammonium sulphate) and un-seeded experiments were carried out to allow studies of both fresh nucleation and condensation onto pre-existing aerosol.



2 Methods and materials

2.1 Plant selection and pre-screening

Three non-clonal specimens of common silver birch (Betula pendula), a monoterpene and isoprene emitting tree species; two species of fig (Ficus benjamina and Ficus cyathistipula), and one species of palm (Caryota millis), each approximately 5 1.5 m in height were used. Figs and palms are abundant in all tropical rainforests. We chose three species found in abundance throughout south and southeast Asia to be consistent with our field work (Hewitt et al., 2010a; MacKenzie et al., 2011). Ficus benjamina (Moraceae) is native to Malaysia and has previously been found to be a high isoprene emitter (0.03–8.7 μ g C g⁻¹ h⁻¹, potted and in soil) with emissions of 10 the monoterpenes, limonene $(0.02 \,\mu\text{g C g}^{-1} \,\text{h}^{-1})$ and β -ocimene $(1.8-2.5 \,\mu\text{g C g}^{-1} \,\text{h}^{-1})$, and the sesquiterpenes β -caryophyllene and α -copaene (Carvalho et al., 2005; Geron et al., 2006). In addition, emissions of benzaldehyde $(0.53 \mu g C g^{-1} h^{-1})$ and acetaldehyde $(69 \mu g C g^{-1} h^{-1})$ from potted specimens have been detected (Carvalho et al., 2005). No previous data are available on the BVOC emissions from Ficus cyathistip-15 ula or Carvota millis. Proton transfer-reaction mass spectrometry (PTR-MS) and gas chromatography-mass spectrometry (GC-MS) screening, prior to the start of the cou-

pled chamber experiments, confirmed that both species were high isoprene emitters with *Ficus cyathistipula* also emitting limonene, β -phellandrene, α -damascone and acetaldehyde. Analytical methods are described in detail in Sect. 2.4.

2.2 Plant chamber design

A 4.7 m³ plant chamber was constructed out of two rectangular Teflon bag sections and a Teflon lid (0.05 mm FEP) (Adtech Polymer Engineering, UK), which were each supported by frames built using 25 mm^2 box aluminium (Speed Frame, RS Components,

²⁵ UK). The framework stood on a raised foil and Teflon covered marine plywood base. PVC foam strips (RS Components, UK) ensured an airtight seal between chamber



sections. Heavy-duty double-sided tape (RS components, UK) was used to secure the Teflon bags to the frame. The interior of the plant chamber was only exposed to Teflon surfaces.

- Compressed air was constantly supplied to the plant chamber via a mass flow controller and regulator (ALICAT MCR-500 SLPM-D, Premier Control Technologies Ltd, UK) at 780 L min⁻¹ and 7.5 bar via a 12.7 mm (outer diameter – OD) reinforced tube. This was reduced to approx 1 bar and between 250 to 300 L min⁻¹ (±0.8%) dependent on the photosynthetic and transpiration rate of each plant species (equivalent to one complete air change every 15–20 min). The air stream was passed through a 12.7 mm (OD) PTFE tube to three in-series filters to remove any pre-existing VOCs (activated carbon filter P3KFA14ASMN, Parker Pneumatic, UK), and submicrometer
- particles (HEPA CAP 75 filter capsule (FDP-780-050K, Fisher Scientific, UK)), and NO_x (Purafil and activated charcoal, Purafil Inc. USA). Finally, the air was re-humidified by passing it through a 2 L Teflon barrel (Jencons, UK) filled with warmed distilled water. The plant chamber outlet air was either vented into the laboratory via a 50 mm (OD)
- stainless steel pipe and valve, or used to fill an 18 m³ Teflon reaction chamber. To enhance mixing, air entered the plant chamber via a perforated 12.7 mm (OD)

PTFE tube that circled the base of the chamber. One 12.7 mm stainless steel bulkhead fitting (Swagelok, UK) was inserted through the frame to secure the PTFE tube to the

- ²⁰ base of the plant chamber. A 50 mm (OD) stainless steel pipe was inserted into the upper corner of the chamber and supported by a Teflon (inner surface) and Nylon (outer surface) manifold (Plastics Direct, UK). The manifold also supported an EGM probe (EGM-4, PP Systems, UK), which recorded relative humidity (RH), temperature (*T*), CO₂ and photosynthetically active radiation (PAR).
- Plants were kept in 255–330 mm (height) pots depending on species, watered to pot dripping point and sprayed twice weekly. Plant chamber conditions were maintained at 31–33.5 °C/22–24 °C (day/night), 29–40 %/33–44 % (day/night) RH, and 335– 385 ppmV/390–404 ppmV (day/night) CO₂. Owing to structural restrictions, PAR could



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not be measured directly under the growth lamps in the centre of the canopy. At the top edge of the canopy it was 500 μ mol m⁻² s⁻¹ with a 12 h day/night cycle.

2.3 Reaction chamber description

The aerosol photochemical reaction chamber at the University of Manchester is composed of an 18 m³ FEP Teflon bag mounted on three rectangular extruded aluminium frames (Alfarra et al., 2012). A bank of halogen lamps and a 6 kW Xenon arc lamp are mounted on the enclosure housing the bag, which is coated with reflective "space blanket" providing an integrating sphere, maximising the irradiance in the bag and ensuring even illumination for the production of photochemical species such as the hydroxyl radical (OH). The air introduced to the bag is dried and filtered for gaseous impurities and

particles, prior to humidification with high purity deionised water. A high capacity O_3 generator provides controlled ambient levels of O_3 (used as an oxidant) and high O_3 concentrations (serving as a cleaning agent between experiments).

Size-dependent (diffusional and gravitational) wall-loss rate constants were calculated based on particle mobility and the surface-to-volume ratio of the chamber (Verheggen and Mozurkewich, 2006). The diffusional loss rate uses a constant of proportionality, which can only be determined empirically. A time period was selected near the end of each experiment where the wall losses were deemed to be the dominant process affecting the size distribution. The volume size distribution at the beginning of

- this period had the calculated wall loss rate applied to simulate the evolution of the size distribution over the selected time period. If the calculated loss rate loss rate did not reproduce the measured volume evolution within the specified tolerance (1–2% in this work), the constant of proportionality for diffusional losses was adjusted such that the simulated volume at the end of the selected period matched the measured volume
- within the specified tolerance. The time-integrated gravitational and (optimised) diffusional loss rate constants were then applied to the volume size distribution throughout the experiment in order to reconstruct a wall loss corrected size distribution, which was then used to calculate the wall-loss-corrected particle mass.



2.4 Analytical techniques

2.4.1 Gas phase measurements

The volatile and semi-volatile organic compounds and oxygenated volatile organic compounds in both the plant chamber and the reaction chamber were measured by soft-ionisation mass spectrometry (PTR-MS, CIR-TOF-MS, described below) and gas chromatography-mass spectrometry (GC-MS).

The proton-transfer-reaction mass spectrometry (PTR-MS) instrument employed (Ionicon, Austria) comprises two turbomolecular pumps, a heated silica steel inlet system and a 9.6 cm long stainless steel drift tube. The nominal response time is approximately 1 s. The operating parameters of the PTR-MS were held constant during measurements, except for the secondary electron multiplier voltage, which was optimised each day. The drift tube pressure, temperature and voltage were 2.2 hPa, 50 °C, and 600 V, respectively. The central reaction chamber of the drift cell was operated at an *E/N* (i.e. electric field/gas number density) of 125 Td. The count rate of H₃O⁺ · H₂O ions was 1–2% of the count rate of H₃O⁺ ions. The PTR-MS sampled continuously with a flow rate of 100–150 mL min⁻¹ through 3.2 mm PTFE tubing.

The chemical-ionisation-reaction time-of-flight mass spectrometer (CIR-TOF-MS) comprises a temperature controlled (40 (\pm 1)°C) ion-source drift cell assembly coupled to an orthogonal time-of-flight mass spectrometer equipped with a reflectron array (Kore Technology Ltd, Ely, UK). The ion-source deployed was a hollow cathode discharge type (Blake et al., 2009) and the chemical ionization technique used was proton transfer reaction from hydrated hydronium ($H_3O^+ \cdot H_2O$) (Jenkin et al., 2012).

Sample air was delivered in a continuous stream directly to the drift cell via a 0.5 m long, 6.35 mm (internal diameter) Teflon sample line, heated to 40 (± 1) °C, at a constant flow rate of 80 mL min⁻¹. The central reaction chamber of the drift cell was operated at an *E/N* ratio of ~ 90–100 Td, with a tuned energy ramp at the base of the cell to remove potential water-cluster ions (e.g. RH⁺ · H₂O). Further information regarding the



CIR-TOF-MS design and a detailed discussion regarding its operation can be found in Blake et al. (2004) and Wyche et al. (2007).

The PTR-MS and CIR-TOF-MS were calibrated using three different methods: (i) step-wise dilution of a gravimetrically prepared gas standard (BOC Special Gases,

- ⁵ UK) containing a variety of VOCs and OVOCs; (ii) using calibration material produced in-house via the injection of liquid samples into 10 I Tedlar bags (SKC Inc., USA) containing either humidified or dry, pure nitrogen; and (iii) using gas standards derived from permeation tubes (Vici Inc., US; Ecoscientific, UK), diluted, humidified and delivered by a commercial calibration unit (Kintec, model: 491). Where experimental calibration was
- ¹⁰ not possible for a specific compound, either the calibration sensitivity for a structurally similar surrogate was used or calculated concentrations were employed (Jenkin et al., 2012). For the quantification of isobaric signals, a single sensitivity value was used, e.g. α -pinene sensitivity for Σ (monoterpenes) and β -caryophyllene sensitivity for Σ (sesquiterpenes); again working on the principal that structurally similar compounds possess similar PTR and CIR sensitivities.

The GC-MS system (GC-MS Turbomass Gold, Perkin Elmer, USA) comprised a thermal desorption autosampler (Perkin-Elmer ATD 400) connected via a heated (200 °C) transfer line to a Hewlett-Packard 5890 GC with a 5970 mass-selective detector. Compounds were desorbed at 280 °C for 5 min at 25 mL min⁻¹ onto a Tenax-TA cold trap maintained at -30 °C. The cold trap was then heated to 300 °C for 6 min to desorb compounds onto the GC column. Chromatographic separation was achieved using an Ultra-2 column (Agilent Technologies: 50 m × 0.2 mm ID × 0.11 µm film, 5 % phenylmethyl sil-

- ica). An initial oven temperature of 35° C was maintained for 2 min, and then increased at 4° C min⁻¹ to 160°C followed by an increase of 45° C min⁻¹ to 300°C, which was
- ²⁵ maintained for 10 min. The carrier gas was Helium supplied at a rate of 1 mL min⁻¹, with an injector temperature of 250 °C. The limit of detection for isoprene and monoterpenes was approximately 0.25 ng on column and 2 ng on column for sesquiterpenes, corresponding to 100 pptV of isoprene, 50 pptV of monoterpenes, and to 400 pptV of sesquiterpenes in a 1 L sample. Sampling was conducted by drawing 8 L of the analyte



air through 6.35 mm PTFE tubing onto the GC-MS sample tubes using a handheld pocket pump (SKC Ltd, UK) at a flow rate of 150 mL min⁻¹ (total sample time ~ 43 min). Sample tubes were stored at 4 °C until analysed. VOC quantification was by comparison with commercially available liquid standards (Aldrich, Fluka and Sigma) diluted in methanol. Isoprene quantification was by comparison with a 700 ppbV in N₂ certified gas standard (BOC, UK).

NO and NO₂ mixing ratios were measured using a chemiluminescence gas analyser (Model 42i, Thermo Scientific, MA, USA). Ozone was measured using a UV photometric gas detector (Model 49C, Thermo Scientific, MA, USA).

10 2.4.2 Particle phase measurements

Within the main reaction chamber, a scanning mobility particle sizer (SMPS) system was used to measure the particle size distribution and total aerosol mass concentration (without sample drying). A particle density of $1.3 \,\mathrm{g\,cm^{-3}}$ was assumed for calculating the mass of SOA particles in un-seeded experiments (Alfarra et al., 2006; Bahreini

et al., 2005). For seeded experiments, a density of 1.77 g cm⁻³ was used to calculate the ammonium sulphate seed mass and 1.3 g cm⁻³ was assumed for calculating the additional SOA mass. A water-based condensation particle counter (wCPC, TSI 3786) was used to count the total particle number concentration between 2.5 nm and approximately 3 µm. Further instrument details can be found in Alfarra et al. (2012) and
 references therein.

Real-time broad chemical characterisation of the SOA was made using a compact Time-of-Flight Aerosol Mass Spectrometer (cToF-AMS, Aerodyne Research Inc., USA). A detailed description of the instrument, its operation and calibrations can be found elsewhere (Drewnick et al., 2005; Canagaratna et al., 2007). The instrument was

operated in the standard configuration, taking both mass spectrum (MS) and particletime-of-flight (PToF) data and was calibrated for ionisation efficiency using 350 nm monodisperse ammonium nitrate particles. The vapouriser was set at approximately 600 °C and data were collected at a time resolution of 2 min. A collection efficiency



value of unity was applied to these data, based on evidence from a previous chamber study (Alfarra et al., 2006).

Filter samples for offline analysis were collected in a specially constructed holder, positioned in the chamber vent line. Aerosol samples were collected onto 47 mm quartz fibre filters (Whatman) at a flow rate of $3 \text{ m}^3 \text{ min}^{-1}$. After sampling, filters were immediately placed in pre-cleaned glass vials and stored below -20 °C until analysis.

The filters were extracted into high purity water, filtered, evaporated to dryness and redissolved in 1 mL 50 % MeOH: $50 \% H_2O$. The water-soluble compounds were analysed using liquid chromatography-ion trap mass spectrometry (LC-MS/MS). Reversed

- ¹⁰ phase LC separation was achieved using an HP 1100 LC system equipped with an Eclipse ODS-C₁₈ column with 5 µm particle size (Agilent, 4.6 mm × 150 mm). Samples (60 µL) were injected then eluted by gradient elution with solvents A: 0.1 % v/v formic acid water (Optima grade, Fisher) and B: methanol (Optima grade, Fisher) and a gradient program of 3 % B at time 0 min to 100 % B at 60 min with a flow rate of 0.6 mL min⁻¹.
- ¹⁵ Mass spectrometry analysis was performed in negative ionisation mode using an HCT-Plus ion trap mass spectrometer with electrospray ionisation (Bruker Daltonics GmbH). Electrospray ionisation (ESI) was carried out at 350 °C with a nebuliser pressure of 4.82 bar and a nitrogen drying gas flow of 12 L min⁻¹. Further details can be found in Hamilton et al. (2013).

20 2.5 Experimental protocol

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Three plants were placed in the plant chamber a minimum of 48 h prior to the start of the experiment. Both the pots and soil were isolated by enclosing them in PFTE sheeting; this acted to prevent VOC emissions from the plastic pots and soil NO_x emissions from entering the chamber air. Three experiments were carried out on each species over a one-week period, after which the plants were removed and replaced with three plants of the next species, and the experiment cycle repeated.

Prior to each experiment, ozone was added to the chamber to give a mixing ratio of approximately 2 ppmV and was left overnight. The chamber was then filled and flushed



several times using clean air from the facility's main inlet system (including Purafil, charcoal and HEPA filters as described above), until the total particle count (as measured by a water based condensation particle counter) was below $10 \, \mathrm{cm}^{-3}$ and the O_3 and NO_x levels were less than 1 and 2 ppbV respectively. At this point, the reaction

- ⁵ chamber was flushed and then connected to the plant chamber for filling with the plant VOC emissions. Aerosol and gas phase composition and concentrations were continuously monitored throughout. At the end of the filling process, the plant chamber was disconnected from the reaction chamber, and within the space of roughly one minute, both the chamber lights were turned on and pure O₃ was injected to provide an initial
- ¹⁰ concentration of around 20 or 70 ppbV (experiment dependent). The switching on of the chamber lights marked the start of each experiment, which typically lasted 6 h from this point. For experiments using pre-existing seed, polydisperse ammonium sulphate particles (diameter between 40–60 nm) were generated from an aqueous solution using an aerosol nebuliser (Topas, ATM 230) and injected without drying into the reaction the chamber at the end of the filling from the plant chamber.

In our experiments we chose to use ammonium sulphate for the aerosol seeds, rather than acidic particles that could otherwise promote isoprenoid particulate mass formation. Whilst it is recognised that isoprenoid SOA mass can be enhanced by the presence of acidic aerosol seed as originally reported by Jang et al. (2002) and subse-20 quently by Limbeck et al. (2003), Edney et al. (2005), Kleindienst et al. (2007), Limbeck

- et al. (2007) and Surratt et al. (2007), we have limited our study to SOA formation in the mixed precursor systems without deliberate enhancement of particle mass by condensed phase reaction. There is clear evidence that isoprene oxidation can contribute to atmospheric SOA formation (e.g. Claeys et al., 2004a, b; Edney et al., 2005) and
- ²⁵ we have previously found that enhancement in SOA from isoprene oxidation above the Bornean rainforest compared with the Amazon may result from an enhanced marine acidic sulphate contribution to sub-micron aerosol (Robinson et al., 2011). Intermediates in SOA formation from isoprene have been identified (e.g. Lin et al., 2012, 2013)



and mechanisms for the acid catalysed formation proposed (Surratt et al., 2010). Whilst out of the scope of the current study, this should be the focus of future work.

Air samples were taken from three separate locations: (1) immediately before the plant chamber (pre-PC) for blank subtraction, (2) immediately after the plant chamber

- (post-PC) during the reaction chamber filling period for directly emitted BVOC and (3) from the reaction chamber (RC) during the experiment. RC air was monitored continuously using PTR-MS and CIR-TOF-MS for VOC decay and formation of reaction products. Air samples from the pre-PC and post-PC position, as well as RC air samples immediately at the start of each experiment and 1, 2, 4 and 6 h after the lights were switched on, were collected on Tenax TA and Carbotrap filled stainless steel tubes (Su-10 pelco Inc, PA, USA) for GC-MS analysis.

Relative humidity (%), CO₂ (ppmV), PAR (μ mol m⁻² s⁻¹), and temperature (°C) in the plant chamber were recorded every 5 or 10 min during reaction chamber filling, and every 15 or 20 min overnight. System blanks were taken at the start and end of the ex-

perimental period. The reaction chamber background was checked and characterised 15 through the performance of regular blank experiments (one in every five experiments). NO_{x} (NO, NO₂ and NO₃) and O₃ were continuously monitored in the reaction chamber. A list of all experiments and their general parameters is given in Table 1.

Calculated OH concentrations 2.6

Since isoprene losses are controlled by reaction with ozone and the hydroxyl radical 20 (OH), the concentration of OH available to react with isoprene in the reaction chamber for each experiment was calculated based on the measured concentrations of O₃ and isoprene in each experiment, the rate of change in isoprene concentration, and the rate constants for the reactions of isoprene with OH and O_3 , using Eq. (1):

$$\frac{\frac{d[\text{lsoprene}]}{dt} + k_{O_3}[O_3][\text{lsoprene}]}{-k_{OH}[\text{lsoprene}]} = [OH]$$

(1)

Hourly averaged concentrations of O_3 and isoprene were calculated for five of the experiments using the tropical fig. Using these data along with Eq. (1) a range of OH concentrations were obtained. For the first hour after lights on, [OH] was estimated to be $1.9 \times 10^5 - 9.5 \times 10^5$ molecules cm⁻³, whereas, towards the end of the experiment after roughly five hours, values of $8.1 \times 10^5 - 1.9 \times 10^6$ molecules cm⁻³ were obtained. In general, during the tropical fig experiments, [OH] estimated from isoprene and ozone was observed to steadily increase over the duration of the experiment from 0–5 h after lights on.

2.7 VOC/NO_x conditions

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Figure 1 shows the time-dependent mixing ratios of ozone and oxides of nitrogen for each experiment set. Although every effort was made to keep the concentrations of oxides of nitrogen low, measurable amounts were present, giving initial VOC/NO_x ratios of the order 2–6 and 3–9 (see Table 1), for the birch and fig experiments, respectively (where here, the VOC concentration is equal to the sum of all potential precursor contentrations). In terms of a "Sillman plot" (Sillman, 1999), the experiments were carried out in the "VOC sensitive regime".

The absolute concentration of VOCs in the reaction chamber was roughly ten times greater than those measured over the rainforest during our field experiments (Mackenzie et al., 2011) and the VOC/NO_x ratios employed here were as much as ten times lower (i.e. typical ratio of 20 : 1, isoprene : NO_x over the rainforest) (Hewitt et al., 2010b). The source of the NO_x in the reaction chamber (initially ~ 2–6 ppbV NO_x, but increasing to ~ 5–9 ppbV after ~ 5 h) is attributed to a small amount of diffusion of outside ambient

air across the porous Teflon membrane into the reaction chamber. The production of certain reactive intermediates in the oxidation of VOCs (e.g., hydroxyl hydroperoxides from isoprene oxidation) is very sensitive to NO_x concentrations in the reaction mixture.



3 Results

3.1 Experiments with Betula pendula

3.1.1 Gas phase

Continuous gas phase monitoring with the CIR-TOF-MS and PTR-MS throughout the
 experiments, indicated successful transfer of VOC precursor material from the plant chamber to the reaction chamber prior to lights on. The data indicated that there was negligible loss of precursor compounds during the chamber transfer process (Fig. 2a). Immediately after initiation of the photochemistry, the VOC precursor concentrations were observed to decay and product ions began to appear in the CIR and PTR mass
 spectra. Approximately sixty product-ion peaks were observed by the CIR-TOF-MS and the PTR-MS in the organic gas phase during a typical *Betula pendula* experiment. The temporal profiles of a number of the most abundant (O)VOCs measured are shown in Fig. 2. From a combination of the CIR-TOF-MS, PTR-MS and GC-MS observations (and from those observations discussed below for the tropical plant experiments), over
 fifty different hemi-, mono- and sesqui-terpene oxidation products were tentatively iden-

tified (Fig. 3 and Tables S1–S5 in the Supplement).

From initial inspection of the data, it is clear that monoterpenes dominate during the *Betula pendula* experiments (Fig. 2a and b), with strong signals observed in the CIR-TOF-MS and PTR-MS mass spectra at m/z 137 (protonated parent ion) and 81

- (hydrocarbon fragment). A small amount of isoprene was also detected during *Betula pendula* experiments; however this was always significantly lower in magnitude than that of the sum of monoterpenes; for example, during the experiment on 7 July 2009, 12.6 (±3.8) ppbV monoterpenes were measured in the reaction chamber prior to lights on (cf. 11.4 ppbV total monoterpenes measured at the post-PC position by the GC-MS),
- ²⁵ whereas only 2.0 (±1.0) ppbV isoprene was detected. Speciation of the monoterpenes by GC-MS indicated that the most dominantly emitted C₁₀ compounds from *Betula pendula* were α - and β -pinene (Table 2).



 C_{15} sesquiterpenes (parent ion m/z 205) were detected in the plant and reaction chambers during each *Betula pendula* experiment, with the most abundant species identified by GC-MS being β -caryophyllene (Table 2). Sesquiterpenes were also measured in the reaction chamber by CIR-TOF-MS (Fig. 2c), however for the majority of

- ⁵ the experiments they were present at concentrations either close to or below the detection limit, hence they could not always be monitored as a function of reaction time. For the experiment on 7 July 2009, 1.7 (\pm 0.9) ppbV sesquiterpenes were measured by the CIR-TOF-MS prior to lights on (cf. 2.2 ppbV total sesquiterpenes measured at the post-PC position by the GC-MS). An ion of *m*/*z* 153 was also observed in the PTR and
- ¹⁰ CIR mass spectra of the plant chamber emissions and subsequently in the reaction chamber air, tentatively assigned (and here after referred to) as camphore.

During the reaction phase of the *Betula pendula* experiments the CIR-TOF-MS mass spectra were dominated by ions of relatively high mass (i.e. m/z > 100) pertaining to products of both monoterpene and sesquiterpene oxidation. The ions of highest

- ¹⁵ mass (i.e. m/z 170–290) are characteristic of sesquiterpene oxidation, and have been observed recently during a similar chamber study investigating β -caryophyllene photooxidation (Jenkin et al., 2012). Drawing a comparison between these data and the detailed β -caryophyllene study conducted by Jenkin et al. (2012), a number of tentative assignments have been made for β -caryophyllene products, with the assumption that
- other precursor specific structural isomers may also occupy the same mass channels. A full list of example tentative assignments is given in the Supplement (Table S2). In total the sum of all sesquiterpene products measured in the chamber was estimated to be ~ 1.5 ppbV (assuming an average PTR sensitivity for such high mass, oxygenated, compounds).
- In contrast to the small amounts of sesquiterpene products observed in the reaction chamber, the products observed in greatest abundance were those derived from monoterpene decay. The largest (combined) product signal measured by the CIR-TOF-MS was that of $\Sigma(I_{111}, I_{93})$, where I_x is the intensity of the mass spectrum at m/z = x(Fig. 2d). Previously, the m/z 111 and 93 signals have been shown to correspond to



various primary C₇ unsaturated aldehydes formed during the oxidation of unsaturated acyclic monoterpenes, such as myrcene, ocimene and linalool (Lee et al., 2006a, b; Ng et al., 2006; Wyche et al., 2014). In the case of myrcene and ocimene, the m/z 111 and 93 signals correspond to the parent ion (MH⁺) and the dehydrated daughter frag-

- ⁵ ment, respectively (MH^+-H_2O), and in the case of linalool m/z 111 corresponds to the dehydrated daughter ion and m/z 93 is a further fragment. The concomitant m/z 111 and 93 signals have also been reported to result from a C₇ cyclic ketone formed during the oxidation of terpinolene (not found in the *Ficus* emission profile and < 1 ppbV found in the *Betula* profile). The m/z 111 and 93 ions have previously been observed
- ¹⁰ to be significant contributors to total ion signal in the PTR mass spectra during single precursor chamber experiments with concomitant SOA formation (Lee et al., 2006a, b; Ng et al., 2006; Wyche et al., 2014), and the m/z 111 ion has also been observed in ambient air measurements over a forested region (Holtzinger et al., 2005).
- As can be seen from observation of Fig. 2d, the $\Sigma(I_{111}, I_{93})$ signal rises rapidly during the initial stages of the experiment, much more so than other monoterpene oxidation products (cf. Fig. 2e), suggesting that the precursor has a much shorter lifetime with respect to OH and O₃. Of those monoterpenes speciated by the GC-MS, ocimene and linalool have the shortest lifetimes, with $k_{OH} = 3.04$ and 1.6×10^{-10} cm³ molecule⁻¹ s⁻¹, respectively, compared to $k_{OH} = 7.4 \times 10^{-11}$ cm³ molecule⁻¹ s⁻¹ for β -pinene (Atkinson and Arey 2002; Kim et al. 2011). The $\Sigma(I_{111}, I_{122}, I_{122}$
- ²⁰ and Arey, 2003; Kim et al., 2011). The $\Sigma(I_{111}, I_{93})$ signal peaks at around 60–100 min at 3.0 (±0.7) ppbV (concentration estimated using pinonaldehyde sensitivity), before decaying at a greater rate than that of the precursor monoterpenes and the other monoterpene products. This relatively short lifetime gives further insight into the potential identity of the m/z 111 and 93 signals, perhaps indicating the presence of multiple
- ²⁵ C=C bonds in the hydrocarbon structure, as would be found in the primary C₇ aldehyde obtained from the oxidation of ocimene for example.

Other dominant signals observed by the PTR-MS and CIR-TOF-MS during oxidation of the *Betula pendula* air matrix, include the sum of m/z 169+151+107, which respectively correspond to the parent ion and two daughter fragments of a number of primary



monoterpene keto-aldehydes (which, from the speciated monoterpene plant chamber data, are most likely to be pinonaldehyde, caronaldehyde and α/γ -terpinaldehyde); and m/z 139, corresponding to the parent ion of a number of primary monoterpene ketones (most likely to be nopinone and caronone, again when considering the monoterpenes speciated by the GC-MS). As shown in Fig. 2e the primary keto-aldehyde and ketone signals had similar temporal profiles to one another, growing at a slower rate than that of $\Sigma(I_{111}, I_{93})$, to peak concentrations of around 0.9 (±0.3) and 1.2 (±0.3) ppbV, respectively, as the monoterpene trace tended towards zero. The temporal profile for the sum of all other "monoterpene like" product ions (i.e. ions of m/z > 90) was very similar to those of the primary keto-aldehyde(s) and ketone(s), peaking at a combined mixing ratio of approximately 3.5 ppbV (assuming an average PTR sensitivity for such high mass, oxygenated, compounds).

During the oxidation of compounds emitted by *Betula pendula*, the primary isoprene products, methyl vinyl ketone (MVK) and methacrolein (MACR) (measured together at m/z 71) were observed to evolve in the same manner as the primary monoterpene keto-aldehyde(s) and ketone(s), peaking at an approximate mixing ratio of 0.4 (±0.1) ppbV (Fig. 2e). A series of lower m/z ions were also observed to evolve within

the reaction chamber, including m/z 61 (acetic acid), 59 (acetone), 47 (formic acid), 45 (acetaldehyde), 33 (methanol) and 31 (formaldehyde). Each of these compounds has previously been associated with monoterpene oxidation and/or with off-gassing from

illuminated chamber walls. Methanol, acetone and cis-hexanal (m/z 99) were also observed within the reaction chamber prior to lights on, with a combined mixing ratio of approximately 20 ppbV.

3.1.2 Particle phase

²⁵ From inspection of the CPC and SMPS data we see that SOA mass formed during oxidation of the *Betula pendula* air matrix. As can be seen from Fig. 4, during unseeded experiments nucleation occurred immediately after lights on, with no induction period prior to mass formation. After nucleation, SOA mass increased rapidly to ~ 11 μ g m⁻³



by ~ 40 min (experiment 6 July 2009), followed by a relatively stable plateau (after the application of wall loss corrections) and a slight increase towards the experiment. In order to suppress nucleation, seed particles were introduced in some experiments, as has been used previously (Dommen et al., 2009; Meyer et al., 2009; Surratt et al., 2007; Kleindienst et al., 2006; Carlton et al., 2009). This more closely represents the conditions encountered in the ambient atmosphere where there is pre-existing aerosol. Consistent with the nucleation experiments described above, SOA mass was observed to increase as soon as the photochemistry was initiated when an ammonium sulphate

seed was present (Fig. 4, experiment 7 July 2009).

¹⁰ Using the wall-loss-corrected mass data, along with the corresponding quantity of the sum of precursor species reacted and Eq. (2), SOA yields were obtained for the *Betula pendula* oxidation system:

$$Y_{\rm SOA} = \frac{M_{\rm p}}{\Delta(\Sigma \rm VOC)}$$

In this instance, $Y_{SOA} = SOA$ mass yield, $M_p = peak$ SOA mass ($\mu g m^{-3}$) and $\Delta(\Sigma VOC) =$ the sum of gas phase precursors reacted by the time M_p is reached ($\mu g m^{-3}$) (Odum et al., 1997). In order to determine $\Delta(\Sigma VOC)$, the time-dependent VOC mixing ratios for total sesquiterpenes, total monoterpenes, camphore and isoprene were independently converted to their corresponding mass concentrations ($\mu g m^{-3}$) and the four data sets were combined to give a "total" VOC precursor decay profile. From the total VOC profile, $\Delta(\Sigma VOC)$ was calculated, using the starting mass of ΣVOC at time = 0 and the mass of ΣVOC at the time of M_p . The uncertainty in $\Delta(\Sigma VOC)$ is estimated to be ±41 %. Using Eq. (2) for the two *Betula pendula* experiments for which both gas phase mixing ratio and wall-loss-corrected aerosol data were available, SOA

yield values of 39 and 26 % were obtained (Fig. 4).

It should be noted in the above yield calculations that the partitioning of material between the vapour phase and chamber walls has not been taken into account. Matsunaga and Ziemann (2010) showed that semi-volatile organic compounds move



(2)

towards equilibrium between the walls and the vapour phase and that the equilibration timescale and equivalent absorptive mass of the walls was dependent on the molecular properties of the partitioning species. Kokkola et al. (2013) demonstrated in their model study that OVOC wall losses will have significant implications on their partition-

- ing between the gas and particle phase, such that the mass components of very low volatility will almost completely be depleted to the chamber walls during the experiment while the depletion of OVOCs of higher volatilities is less efficient. The implications of such partitioning to chamber walls are such that comparison between any yields determined experimentally in different chambers should be conducted with caution. Even
- when calculated from experiments in the same chamber, yields should be interpreted qualitatively and relatively and not extrapolated to the atmosphere.

3.2 Experiments with tropical species

3.2.1 Gas phase

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In order to study the contrast between species that primarily emit monoterpenes and those that primarily emit isoprene, and hence to better understand the isoprene–SOA system, the coupled plant chamber–reaction chamber system was employed to study several tropical species. Two species of fig and one species of palm were selected during the pre-experiment screening process. Those experiments using the figs, *Ficus cyathistipula* and *Ficus benjamina* gave the most complete data set; hence their results are used as a focus for discussion.

Figure 5 shows the temporal evolution of a number isoprenoids detected in both the plant and reaction chambers (a and b) and the concomitant evolution of a selection of isoprenoid oxidation products (c and d), during a typical *Ficus benjamina* experiment (23 June 2009). During a typical *Ficus benjamina* experiment, approximately thirty precursor and product-ion peaks were observed by the CIR-TOF-MS and PTR-MS in the gas phase. Tentative product identification is reported in the Supplement



(Fig. 6, Tables S1–S5). A similar set of ions was observed during a typical *Ficus cy-athistipula* experiment.

From inspection of Fig. 5a and b, the dominance of isoprene in the *Ficus benjamina* system is clear, with 12.3 (\pm 4.1) ppbV isoprene detected in the reaction chamber at lights on compared to 0.8 (\pm 0.4) ppbV monoterpenes 0.5 (\pm 0.9) ppbV sequiterpenes

⁵ lights on, compared to 0.8 (±0.4) ppbV monoterpenes, 0.5 (±0.9) ppbV sesquiterpenes and an estimated 2.7 (±0.6) ppbV camphore. Speciation of the monoterpenes by GC-MS indicated that the most dominantly emitted C₁₀ compounds for *Ficus benjamina* were α -pinene, limonene, sabinene and linalool and for *Ficus cyathistipula* were α pinene, β -pinene and limonene (Table 2). The sequiterpenes, β -caryophyllene and α -cubebene were also identified.

Products of isoprene were observed to dominate the evolving *Ficus benjamina* and *Ficus cyathistipula* oxidation systems, with the isobaric primary species MACR and MVK comprising the strongest signals (measured together at m/z 71). For example, during the *Ficus benjamina* experiment of 23 June 2009, a combined peak MACR + MVK mixing ratio of 2.9 (±0.7) ppbV was observed (Fig. 5c).

Along with MACR and MVK, a series of other ions also associated with isoprene oxidation were detected during *Ficus benjamina* oxidation, including m/z 117 and 99 (4-hydroxy-2-methyl-but-2-enoic acid), 103 (C₅-alkenediols, C₄-hydroxydialdehydes and MPAN), 87 (C₄-hydroxycarbonyls and methacrylic acid), 83 (3-methyl furan), 75 (hydroxy acetone) and 31 (formaldehyde). Additionally, a signal of m/z 101 was also measured, possibly corresponding to the sum of a series of C₅-hydroxycarbonyls and C₅-hydroxy hydroperoxides (Tuazon and Atkinson, 1990; Paulson and Seinfeld, 1992;

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- Jenkin et al., 1997; Benkelberg et al., 2000; Sprengnether et al., 2002; Benkelberg et al., 2000; Zhao et al., 2004; Surratt et al., 2006; http://mcm.leeds.ac.uk/MCM, v3.1).
- ²⁵ Of the signals observed, those of m/z 83 and 87 (tentatively assigned to be 3-methyl furan and C₄-hydroxycarbonyls/methacrylic acid), were the greatest in magnitude after MACR + MVK (Fig. 6). The temporal evolution of the sum of all of these products suggests that they are predominantly secondary in nature, forming in the chamber after MACR and MVK. They continued to increase in magnitude as the isoprene signal



decreased and as the MACR + MVK signal began to fall (Figs. 5 and 6). During a typical *Ficus benjamina* experiment, the sum of these isoprene products was estimated to reach a peak mixing ratio of ~ 1.7 ppbV.

A series of lower molecular weight ions were also observed to evolve within the reaction chamber, including m/z 61 (acetic acid), 47 (formic acid), 45 (acetaldehyde), 33 (methanol) and 31 (formaldehyde). Each of these compounds has previously been associated with isoprene oxidation and/or with off-gassing from illuminated chamber walls. The m/z 43 and 46 signals, indicative of carbonyls and nitrates, respectively, were also observed to increase significantly during photo-oxidation, indicating the formation and evolution of such species with increasing experiment duration.

Besides ions pertaining to the oxidation products of isoprene, a number of spectral features typically derived from monoterpene oxidation products were also observed to form and evolve in the reaction chamber, including, m/z 151, 125, 109, 107, 93 and 91. To a first order approximation, the total peak quantity of oxidation products not believed

to result from isoprene decay was estimated to be of the order 2 ppbV. However, it should be noted that the presence of isobaric interference in such a complex system, uncharacterised fragmentation, detection limits and the use of pseudo and averaged calibration sensitivities, impose a certain level of unknown uncertainty upon this final value.

20 3.2.2 Particle phase

Contrary to the immediate and abundant formation of new particles in the un-seeded *Betula pendula* experiments, the total number of particles and total aerosol mass did not increase above background levels after lights were turned on in the un-seeded tropical *Ficus benjamina* experiments. Figure 7 shows the observed and wall-loss-²⁵ corrected particle mass concentration during two typical *Ficus benjamina* experiments (22 June 2009 and 23 June 2009) along with a chamber background experiment. Owing to a lack of particle nucleation in those experiments, the total particle number concentration was too low for the wall loss correction (described in Sect. 2.3) to



be implemented. Instead, the average of the wall loss constants determined for the seeded experiments was used to calculate the wall loss corrected mass concentrations reported in Fig. 7.

- Figure 8 shows the observed and wall-loss-corrected particle mass concentration
 for ammonium sulphate seeded experiments using VOC emissions of *Ficus benjamina* and *Ficus cyathistipula*, as well as a seeded background experiment. The mass at the start of the experiment represents the initial ammonium sulphate mass. In order to quantify the formation of SOA mass during these experiments, the mass increase relative to the starting seed mass was determined in Fig. 9 by subtracting the initial ammonium sulphate seed mass from the total wall loss corrected mass. The same calcuation was also performed for the *Betula pendula* seeded experiment (7 July 2009).
- In contrast to the unseeded *Ficus benjamina* and *Ficus Cyathistipula* experiments, SOA mass was observed to form when a seed was present in the reaction chamber. The calculated SOA traces in Fig. 9 illustrate a slower build-up of mass during the isoprene
- ¹⁵ dominated *Ficus benjamina* (15 July 2009) and *Ficus Cyathistipula* (30 June 2009 and 2 July 2009) experiments compared to the much faster SOA mass formation in the monoterpene dominated *Betula pendula* experiment. Peak masses of the order 1.3– 5.5 µg m⁻³ were observed, which when employed with the methodology described in Sect. 3.1.2, produce SOA yields of 10 and 14% for each of the two *Ficus Cyathistipula* water periments for which both gas and wall loss corrected acrossel data were available.
- ²⁰ experiments for which both gas and wall-loss-corrected aerosol data were available, i.e. 30 June 2009 and 2 July 2009, respectively (Fig. 9). Uncertainty in $\Delta(\Sigma VOC)$ is estimated to be ±47% and in the size distribution measurements used in the wall loss calculations, of the order of ±2%. The uncertainties in the wall-loss correction will likely be substantially greater, but remain unquantified at present.



4 Discussion and conclusions

4.1 Betula pendula

In this study we coupled a plant chamber to a photochemical reaction chamber in order to investigate secondary organic aerosol production from a biogenically consistent mix-

⁵ ture of biogenic volatile organic compounds. We studied silver birch (*Betula pendula*), which emits predominantly monoterpenes, with some sesquiterpenes and oxygenated VOCs but only trace isoprene (Table 2, Fig. 3).

Our *Betula pendula* experiments showed significant SOA formation (Fig. 4) both in the presence and absence of an ammonium sulphate seed, and reproduced the rate of production and growth of SOA observed in earlier published studies (Mentel et al., 2009; Carlton et al., 2009; e.g. VanReken et al., 2006; Hallquist et al., 2009; Kiendler-Scharr et al., 2009a, b).

The SOA yield values of 16 and 11% obtained here for *Betula pendula* compare reasonably well with those reported within the literature for single precursor work con-

- ¹⁵ ducted under similar conditions. For instance, for the two most abundant monoterpenes emitted by *Betula pendula*, i.e. α -pinene and β -pinene, single precursors yields of the order 1–43 (16) and 3–30 %, respectively, have been observed (values given in parenthesis were obtained from the Manchester aerosol chamber). Similarly for other common monoterpenes such as limonene, myrcene, Δ 3-carene and α -terpenine, SOA
- ²⁰ yields of 9–34, 6–43 (15), 2–38 and 8–25 %, respectively, have been reported and for β -caryophyllene, 37–79 (50) % (Lee at al., 2006a and references therein; Alfarra at al., 2012). Furthermore in a comparable study to ours, Mentel et al. (2009) reported a fractional mass yield of 11 % for their *Betula pendula* experiments. The yield values obtained here for the *Betula pendula* mesocosm system lie at the lower end of the single precursor yield range.

As can be seen from inspection of Fig. 3, the transfer of mass through the *Betula pendula* experiment was roughly conservative, with the primary point of note being a small loss of material from the system over time (after ~ 220 min). The mass deficit observed



towards the end of the experiment can most likely be accounted for by considering the measurement uncertainties involved (e.g. assumptions in PTR sensitivity, uncharacterised fragmentation following ionisation, instrument detection limits, etc.) and influences imposed by the chamber walls (including potential loss of more highly oxidised ⁵ material from the gas phase and greater than expected loss of SOA). However, in gen-

eral, these results indicate that the system studied is reasonably well characterised.

Certain insights into the mechanisms of SOA formation and growth during the *Be-tula pendula* experiments can be obtained through a combined examination of the VOC data, the time-dependent growth curves (Fig. 10) and the aerosol composition data. The data in Fig. 10 demonstrates that during oxidation of the *Betula pendula*

- ¹⁰ data. The data in Fig. 10 demonstrates that during oxidation of the *Betula pendula* emissions and in absence of a seed, SOA mass evolution can be roughly split into two phases. In the early stages of the experiment after nucleation, SOA mass growth increased somewhat rapidly with respect to the amount of precursors reacted; however, after roughly 30% of the initial precursor mass had been consumed, the rate
- of mass growth with respect to VOC precursor consumption was observed to reach an approximate steady state. When ammonium sulphate seed was present within the chamber, there was a similarly rapid initial growth with respect to VOC consumption, however this time subsequent aerosol evolution was characterised by a roughly linear mass increase to a much higher final mass by the end of the experiment. Considering
- the various species of precursor VOCs detected in the *Betula pendula* plant chamber air, and the relative lifetimes of these VOCs, it would seem possible that initially the shorter-lived sesquiterpenes react to form a significant proportion of the high mass, nucleating/condensing species, before being removed from the system (e.g. Jenkin et al., 2012). Subsequent aerosol mass formation as the air in the reaction chamber ages to-
- ²⁵ wards the central phase of the experiment, is then likely to result from the partitioning of relatively more volatile products formed from slower reacting monoterpenes, e.g. products such as pinic and pinonic acid from α -pinene oxidation (e.g. Jenkin, 2004; Camredon et al., 2010), and products such as the primary acyclic unsaturated aldehydes,



 $(m/z \ 111+93)$; the temporal profile of which demonstrates gas-phase loss concomitant with wall-loss-corrected aerosol growth reaching a steady state.

Further understanding of the composition and evolution of SOA typical of temperate plant environs comes from investigation of Fig. 11, which shows the evolution of the fraction of the ratio of more/less oxygenated material present in the aerosol during the initial stages of a typical unseeded *Betula pendula* experiment (6 July 2009). Figure 10 was constructed using the ratio of m/z 44 to 43 obtained from the AMS (i.e. *f*44/43, where m/z 44 is derived from "more" oxidised material and m/z 43 from "less" oxidised material, Ng et al., 2010). In this instance, the *f*44/43 ratio exhibits linear growth with time, from a value of ~ 0.8 to ~ 1.1, suggesting an increase in the oxygenated con-

- time, from a value of ~ 0.8 to ~ 1.1, suggesting an increase in the oxygenated content of the aerosol as the experiment ages. Such an increase in oxygenated content is generally observed when precursor species contain multiple C=C bonds (e.g. ocimene and myrcene), offering significant potential for higher aerosol O:C composition (e.g. perhaps species such as acyclic unsaturated aldehydes and their subsequent genera-
- ¹⁵ tions of products). Indeed, the evolution of the *f* 44/43 ratio observed here is consistent with those results obtained from single precursor experiments investigating the acyclic monoterpene, myrcene and the sesquiterpene, β -caryophyllene (Alfarra et al., 2012, 2013).

Off-line compositional analysis of the SOA collected at the end of the *Betula pen-*²⁰ *dula* experiments supports the findings obtained from the on-line gas phase and bulk aerosol composition data. The LC-MS² analysis produced chromatograms with peaks matching those seen in comparable single precursor BVOC experiments, with tracer compounds of both sesquiterpene and monoterpene SOA, detected (Fig. 12). Amongst the compounds observed were those of molecular weight (MW) 238, 242, 254

²⁵ and 256, corresponding to 4-(3,3-dimethyl-2-(3-oxopropyl)cyclobutyl)pent-4-enoic acid, 3-(3,3-dimethyl-2-(3-oxobutyl)cyclobutyl)-3-hydroxypropanoic acid, β -nocaryophyllonic acid/ β -caryophyllinic acid and β -nocaryophyllinic acid, respectively, produced during β -caryophyllene oxidation (e.g. Alfarra et al., 2013) and MW 184, corresponding to cis-pinonic acid, produced during α -pinene oxidation. Many other terpene oxidation



tracers were detected, including compounds of molecular weight 118 and 200, which are also prevalent in SOA obtained from single precursor myrcene experiments. This observation is in line with findings obtained from the gas phase data, which suggest a relatively significant presence of gaseous acyclic unsaturated aldehydes that would originate from acyclic unsaturated terpenes, such as myrcene.

4.2 Tropical species

In addition to *Betula pendula*, we studied three tropical plant species: two figs (*Ficus benjamina* and *Ficus cyathistipula*) and one palm (*Caryota millis*); in this work, we focus on results obtained from the fig plants. All three tropical species were found to be strong isoprene emitters, with very much smaller emissions of monoterpenes, sesquiterpenes, and oxygenated VOCs (Table 2, Fig. 6).

During the tropical plant experiments, the primary gas-phase isoprene oxidation products MACR, MVK, formaldehyde, isoprene hydroxy hydroperoxides and the secondary product hydroxyacetone were all observed (e.g. Figs. 5 and 6 and Tables S4

- ¹⁵ and S5 in the Supplement). MACR, the isoprene hydroxy hydroperoxides (isoprene epoxide (IEPOX) and isoprene hydroperoxide (ISOPOOH)) and hydroxyacetone are all believed to be precursors to SOA formation (Jaoui et al., 2010; Carlton et al., 2009; Kleindienst et al., 2007, 2009; Paulot et al., 2009; Lee et al., 2006; Kroll et al., 2006; Surratt et al., 2006; Claeys et al., 2004b; Rollins et al., 2009; Robinson et al., 2011). In
- this study, with the exception of MACR and MVK, these products all formed at yields lower than those previously reported (Table 3), with MACR + MVK, hydroperoxides, hydroxy acetone and formaldehyde being observed to form in yields of 17–36, 1–3, 0–2 and 2–7%, respectively during our work. This disagreement may result from differences in OH concentrations and NO_x concentrations in each of the experimental
- studies. Other isoprene products tentatively identified from the CIR-TOF-MS and PTR-MS data include, C5-alkenediols, C4-hydroxycarbonyls/methacrylic acid and 3-methyl furan (Supplement Table S4), which have also previously been associated with SOA formation (e.g. Claeys et al., 2004; Surratt et al., 2006; Robinson et al., 2011). For



a typical *Ficus benjamina* experiment (23 June 2009) the sum of these and other potential isoprene products, excluding MACR + MVK, was estimated to have a combined gas phase yield of the order 18 % (Fig. 5).

As with the *Betula pendula* experiments, mass transfer through the *Ficus benjamina* system was relatively conservative, with only a slight mass deficit measured during the central phase of the experiment, indicating again that the system being studied is reasonably well characterised. Any deviations from mass conservation are assumed to result from measurement uncertainties and influences imposed by the chamber walls.

Despite the detection of a number of first- and second-generation gas phase products that have previously been directly linked with isoprene SOA composition (Claeys et al., 2004a, b; Wang et al., 2004; Edney et al., 2005; Surratt et al., 2006; Healy et al., 2008), there was no accompanying evidence of SOA formation from the isopreneemitting tropical plants during unseeded, nucleation style experiments (Fig. 7). A lack

of SOA mass formation during our unseeded *Ficus benjamina* experiments could have resulted from a number of different factors, not least of which was simply the absence of a seed surface to help facilitate partitioning of the semi-volatile oxidation products to the aerosol phase and produce particles of sufficient size and measureable particle mass (e.g. Kroll et al., 2006). Another potentially significant contributing factor in suppressing SOA formation during these experiments was our relatively low VOC/NO_x

- ²⁰ ratio and the resultant gas phase chemistry. In the presence of high (i.e. ppbV-level) NO_x mixing ratios, RO_2 radicals react with NO to produce mainly alkoxy (RO) radicals. For low molecular mass VOCs such as isoprene, these RO radicals generally fragment into smaller, more volatile products that do not easily partition from the gas phase to the aerosol phase, resulting in a low SOA yield (Surratt et al., 2010). Conversely, under
- ²⁵ low NO_x conditions, RO₂ radicals are known to undergo self- and cross-reactions to produce organic peroxides and hydroperoxides of relatively low volatility. For example, Surratt et al. (2010) showed that under high NO_x conditions the yield of the potentially SOA forming gas phase IEPOX was reduced with respect to the equivalent value under



low NO_x conditions, where IEPOX formed in substantial yields (upward of 75%) from the further oxidation of ISOPOOH by OH.

In contrast to our unseeded *Ficus* experiments, when an ammonium sulphate seed was present (and following wall loss correction), SOA mass was observed to form and

- ⁵ evolve within the reaction chamber (Fig. 9). From estimates of the total concentrations of precursor VOCs within the reaction chamber matrix (primarily isoprene, e.g. Fig. 5), an SOA mass yield of the order 10–14 % was obtained for the *Ficus Cyathistpula* system. If it were to be assumed that the SOA were solely formed from oxidation products of isoprene as the major emitted VOC, this yield would appear excessive in comparison
- with those obtained previously from single precursor isoprene studies, i.e. ~ 0.4–5.5 %. However, we must consider that the mesocosm system is in fact an ensemble of precursors, albeit an ensemble dominated by isoprene, analogous to ambient air above a tropical forested region (Hewitt et al., 2010; MacKenzie et al., 2011).

For the experiments of 30 June 2009 and 2 July 2009, for which SOA yields were obtained for the *Ficus Cyathistpula* system, a fraction of camphore was also observed in the air entering the reaction chamber (presumably for these two particular experiments, sesquiterpenes and monoterpenes were present at concentrations below the detection limits of the PTR-MS and CIR-TOF-MS). The concentration of camphore at lights on was estimated to be ~ 0.5–0.9 ppbV for the *Ficus cyathistipula* system and

- $\sim 1.4-2.7$ ppbV for *Ficus benjamina,* and the sum of all non-precursor ions in the CIR-TOF-MS mass spectrum > m/z 100 (indicative of non-isoprene-like oxidation products; excluding m/z 103 and 117) was estimated to be of the order 2 ppbV by the end of the experiments. This calculation approximates the m/z > 100 summation as one large, multifunctional analyte with a PTR sensitivity similar to pinonaldehyde (a typical,
- ²⁵ multifunctional, high MW molecule resulting from terpene oxidation). Continuing this assumption and taking a range of known VOC terpene product yields (Y_{VOC}^{P}) obtained from previous work at the Manchester chamber (i.e. $Y_{VOC}^{P} = 100\%$ the limiting case; 77% from *Ficus Benjamina* oxidation; 55% from *Betula Pendula* oxidation; and 29% for pinonaldehyde and $\Sigma(I_{111}, I_{93})$), a non-isoprene VOC_{precursor} concentration may be



estimated. Taking a range of known SOA yields obtained from the same reaction chamber (i.e. α -pinene, myrcene, linalool and β -caryophyllene; Alfarra et al., 2013), the SOA yield obtained here for the *Betula pendula* system and the estimate of [VOC_{precursor}], Eq. (2) may be solved to provide a crude estimate of the mass of SOA formed from non-isoprene precursors. Consequently, an estimate of the residual SOA mass derived from isoprene oxidation within the *Ficus* system can be inferred for each of the experiments shown in Fig. 9.

For the majority (i.e. 78/120) of measurements and parameter sets (i.e. variables, Y_{VOC}^{P} and Y_{SOA}) tested, the estimated residual SOA mass resulting solely from iso-¹⁰ prene oxidation was negative, with values ranging from -28.5 µg m⁻³ (30 June 2009, $M_{p} = 1.3 \mu g m^{-3}$, assuming $Y_{VOC} = 29 \%$ and Y_{SOA} for non-isoprene precursors $\equiv Y_{SOA}$ β -caryophyllene = 47 %) to +5.0 µg m⁻³ (15 July 2009, $M_{p} = 5.5 \mu g m^{-3}$, assuming $Y_{VOC} = 100 \%$ and Y_{SOA} for non-isoprene precursors $\equiv Y_{SOA}$ linalool = 5 %). That is, there are combinations of measurements and oxidation/phase-change parameters – ¹⁵ omitting isoprene and its oxidation products – that can account for roughly 20 times

- the observed aerosol mass production, and other combinations of measurements and parameters that leave up to ~90% of the condensed mass to be explained by isoprene oxidation. Taking $Y_{\text{VOC}} = 77\%$ and $Y_{\text{SOA}} \equiv Y_{\text{SOA}} \alpha$ -pinene = 15% as the closest approximation to the *Ficus cyathistipula* system, for the experiment of 30 June 2009 for
- example, non-isoprene products could have accounted for around 145% of the SOA mass that was produced. We have no way of assigning formal likelihoods to each set of measurements and parameters but we note the great preponderance of combinations that do not require an isoprene contribution to the secondary organic aerosol mass (78 out of 120 measurement and parameter sets) under our experimental conditions and
 which produce much less SOA mass than would be expected from published experiments using individual mono- and sesquiterpenes.

There are three principal reasons why the estimates of aerosol production from isoprene in the tropical plant experiments span such a large range. Firstly, the plants in the mesocosm emit a complicated mixture of biogenic VOCs, some of which are known



to oxidise much more rapidly than isoprene and which will produce condensable compounds when oxidised. Secondly, these minor compounds co-emitted from principallyisoprene emitting tropical trees are imperfectly quantified because of the sensitivity of the chemical ionisation (PTR and CIR) instruments. Thirdly, these minor co-emissions s are imperfectly characterised because many higher molecular weight compounds, such as the mono- and sesquiterpenes, are isobaric in the PTR and CIR instruments and so precise chemical structures cannot easily be assigned. Without better instrument detection sensitivity and high time resolution chemical identification for the reactive compounds co-emitted with isoprene, it is not possible to constrain further the aerosol yield from the tropical plants.

Atmospheric significance 4.3

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Our results are specific to VOC/NO_{ν} ratios of 3–9 and NO_{ν} mixing ratios of ~ 2 ppbV. Note, however, that the three reasons given above for the uncertainty in the aerosol production ascribed to isoprene in our experiments will also pertain to field measurements, often being exacerbated by variability and the difficulties of operating in the field. A contribution of isoprene to SOA is supported by recent observations of isoprene related SOA formation above the tropical forest of Danum Valley, Borneo, a high isoprene, low NO_v region (typical ratio of 20:1, isoprene/NO_v) (Hewitt et al., 2010b). Robinson et al. (2011) observed that up to 15% by mass of atmospheric sub-micron organic aerosol above the tropical forest of Danum Valley was comprised of methyl 20 furan, the most likely source of which is the oxidation of isoprene (Ruppert and Becker, 2000; Robinson et al., 2011). Although much smaller in magnitude, the monoterpene

emissions measured at Danum Valley were more than adequate to account for the remaining sub-micron organic aerosol (MacKenzie et al., 2011), just as in the majority of aerosol mass calculations for principally-isoprene-emitting tropical trees, described 25 above.

It has recently been proposed that isoprene can inhibit aerosol formation when present in air containing other potential SOA precursors, such as mono- and



sesquiterpenes (Kiendler-Scharr et al., 2009). Kiendler-Scharr et al. (2009a) propose that isoprene could effectively act as an OH scavenger, suppressing new particle formation by slowing the oxidation of available monoterpenes (and presumably sesquiterpenes). In line with this thesis, interpretation of the results obtained from our seeded

- experiments with *Ficus* species leaves room for a potential role for isoprene in inhibiting SOA formation under certain atmospheric conditions, i.e. our results imply that isoprene may impact negatively on the overall SOA forming potential of air containing other biogenic SOA precursors. However, owing to the constraints laid upon our experiments by the instrumentation and apparatus employed, it is difficult to assign a given certainty level to the role played by isoprene in the ambient atmosphere and caution
 - should be taken when interpreting such findings.

The fact that isoprene accounts for approximately 50% of the total global burden of non-methane VOC, (Guenther et al., 2006), would make it a significant contributor to global SOA. It has been estimated that, even if the secondary organic aerosol yield

- from isoprene is small (e.g. 1%), the overall contribution to total atmospheric aerosol could be up to 6 Tg yr⁻¹ (Carlton et al., 2009). Van Donkelaar et al. (2007) found that using an isoprene SOA yield of 2% improved the relationship between model simulations and organic aerosol measurements, and contributed 10–50% of the total organic aerosol loading over the United States during the summer. Understanding the exact
- ²⁰ role played by isoprene in air containing many different VOCs, and being able to account for the differing isoprene SOA yields under contrasting NO_x environments, will undoubtedly help to significantly improve global modelling estimates of total SOA load-ing even further (Couvidat and Seigneur, 2011).

Further to any such potential impacts imposed by isoprene, it has recently been shown that a range of other BVOC emissions, released in response to a range of environmental stress factors, can also have significant impacts on biogenic SOA formation and yield (Mentel et al., 2013). It has been shown that the emissions of sesquiterpenes, methyl salicylate and C₁₇ BVOCs, released as a result of certain environmental stress factors have a net positive impact on SOA yield; whereas certain stress induced green



leaf volatiles ((Z)-3-hexenol and (Z)-3-hexenylacetate) behave similarly to isoprene, suppressing SOA formation.

Given the highly differing reported yields of isoprene SOA under various oxidant schemes, the uncertainty in the exact role played by isoprene and its oxidation prod-⁵ ucts in realistic mixtures of VOCs (in particular in the context of SOA nucleation rates; Kiendler-Scharr et al., 2009b) and the lack of knowledge regarding stress induced BVOCs, their atmospheric oxidation and their roles in biogenic SOA formation (and impact on chemical and physical properties), we suggest that there is a pressing requirement for additional, atmosphere-relevant laboratory and field studies to give us the necessary insight to successfully control biogenic SOA (Carlton et al., 2010).

The Supplement related to this article is available online at doi:10.5194/acpd-14-14291-2014-supplement.

Acknowledgements. The authors gratefully acknowledge the UK Natural Environment Research Council (NERC) for funding of the APPRAISE ACES (NE/E011217/1), ACIDPRUF (NE/I020121/1) and CLAIRE-UK (NE/I012567/1) consortia. The authors would also like to acknowledge support from the EU-FP7 EUROCHAMP-2 project. M. R. Alfarra was supported by UK National Centre for Atmospheric Sciences (NCAS) funding. The authors would like to thank I. White for his assistance with VOC measurements and instrument calibration. This is paper number 1 from the Birmingham Institute of Forest Research.

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Date	Tree Species	Initial NO _x / ppbV	VOC/NO _x	Relative Humidity/%	Pre-existing Seed
22 Jun 2009	Ficus benjamina	3	4.2	79	None
23 Jun 2009	Ficus benjamina	6	2.7	75	None
25 Jun 2009	Ficus benjamina	2	6.3	65	Sulphate
29 Jun 2009	Ficus cyathistipula	2	9.4	71	None
30 Jun 2009	Ficus cyathistipula	2	7.8	75	Sulphate
2 Jul 2009	Ficus cyathistipula	3	5.6	78	Sulphate
6 Jul 2009	Betula pendula	3	5.6	84	None
7 Jul 2009	Betula pendula	3	5.5	73	Sulphate
9 Jul 2009	Betula pendula	2	1.5	70	Sulphate
10 Jul 2009	Betula pendula	2	5.5	70	Sulphate
	+36 ppbV isoprene				
13 Jul 2009	Ficus benjamina	2	_*	87	Sulphate
15 Jul 2009	Ficus benjamina	3	_*	89	Sulphate
16 Jul 2009	<i>Ficus benjamina</i> +4.5 ppbV limonene	2	_*	85	None

Table 1. List of experiments conducted and their general parameters.

* No quantified VOC data available.



Table 2. GC-MS identification of the biogenic VOC present in the plant chamber air immediately before RC filling began. Quantification of isoprene, total monoterpenes and total sesquiterpenes was carried out using PTR-MS and CIRMS (see Figs. 2 and 5).

Experiment	Compounds detected				
	Isoprene	Monoterpenes (and related)	Sesquiterpenes	Other	
B. Pendula	Yes (trace)	α -pinene β -pinene ocimene Δ 3-carene γ -terpinene β -terpinene 2, 4, 6-octatriene, 2, 6-dimethyl 4,7-methano-1H- indene, octahydro	β -caryophyllene α -farnesene α -caryophyllene α -copaene Aromadendrene α -cedrene α -pyronene	Acetaldehyde Caryophyllene- epoxide Nerolidol Linalool	
F. Benjamina	Yes	α-pinene Limonene Sabinene Linalool	α-cubebene	Acetaldehyde Benzoquinone Pyridine Methyl salicate Decanal	
F. CyathistipulaYes α -pinene β -pinenelimonene		α -pinene β -pinene limonene	β -caryophyllene	Acetic acid	
Mixed canopy: F. Benjamina F. Cyathistipula C. Millis	Yes	α-pinene camphene limonene ocimene	None detected	<i>p</i> -dichlorobenzene methyl salicate	

ACPD 14, 14291–14349, 2014 **Emissions of biogenic VOCs and** production of SOA K. P. Wyche et al. **Title Page** Abstract Introduction Conclusions References Figures **Tables** 4 Close Back Full Screen / Esc **Printer-friendly Version** Interactive Discussion

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Table 3. Yields of isoprene oxidation products compared to literature values. Yields are an average from all *Ficus* experiments (seeded and unseeded) (n = 4) calculated at 4 h after lights on (HALO). Yield is based on the calculated relationship between the amount of isoprene reacted and the oxidation product in question.

Isoprene	Hydro- peroxides*	MVK + MACR	Hydroxy- acetone	Form- aldehyde	Source
1	0.33	0.26	0.07		Williams et al. (1999)
1	0.05	0.36	0.05		Williams et al. (2001)
					(NO _x present)
1		0.33		0.67	Zang et al. (2002)
1		0.46-0.60		0.86–0.96	Niki et al. (1983)
					Kamens et al. (1982)
1	0.18	0.25			Surratt et al. (2010)
					NO _x present
					NO absent
1		0.27			Kleindienst et al. (2009)
1	0.01–0.03	0.17-0.36	0-0.02	0.02-0.07	This study

* Sum of isoprene epoxide (IEPOX) and isoprene hydroperoxide (ISOPOOH).





Figure 1. Temporal evolution of NO, NO_2 , NO_x and O_3 during typical *Ficus Cyathistipula* (a) and *Betula pendula* (b) experiments (25 June 2009 and 7 July 2009, respectively).





Figure 2. Temporal evolution of a series of isoprenoid "precursor" compounds and their oxidation products, as observed in the main reaction chamber during an example *Betula pendula* experiment (7 July 2009). **(a)** shows the entire experiment process in terms of monoterpene evolution, from background (BG) and plant chamber (PC) measurements, to reaction chamber (RC) fill and the main photooxidation experiment within the reaction chamber. **(b)** shows monoterpene evolution, **(c)** shows sequiterpenes (red circles and lines) and camphore (black triangles and lines), **(d)** $\Sigma(I_{111}, I_{93})$ and **(e)** the primary ketone (m/z 139) (red circles and lines), primary keto-aldehyde (m/z 107+151+169) (black triangles and lines) and MVK + MACR (m/z 71) (grey diamonds and dashed line).





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Figure 4. Particle number and mass concentrations measured during nucleation (6 July 2009) and ammonium sulphate seeded (7 July 2009) Betula pendula experiments (a). In (b), both the measured (dashed lines) and the wall loss corrected (solid lines) mass concentrations are shown.



Figure 5. Temporal evolution of a series of isoprenoid "precursor" compounds and their oxidation products, as observed in the main reaction chamber during an example *Ficus benjamina* experiment (23 June 2009). **(a)** shows isoprene (red circles and lines) and camphore (black triangles and lines) evolution, **(b)** shows monoterpenes (red circles and lines) and sesquiterpenes (black triangles and lines), **(c)** MVK + MACR (m/z 71) and **(d)** Σ (monoterpene products) (black triangles and lines) and Σ (non MVK + MACR isoprene products) (red circles and lines).







Figure 7. Observed and wall loss corrected particle mass concentrations during un-seeded *Ficus benjamina* (22 and 23 June 2009) and chamber background (26 June 2009) experiments. The reaction chamber was filled with plant chamber air over a period of 1–1.5 h. Chamber filling was carried out in the dark. Ozone was added immediately prior to lights on. Time begins at the point at which the reaction chamber was illuminated, then increments in hours after lights on.





Figure 8. Observed and wall loss corrected particle mass concentrations during ammonium sulphate seeded *Ficus benjamina* (15 July 2009), *Ficus cyathistipula* (30 June 2009, 2 July 2009) and chamber background (3 July 2009) experiments. Ozone and ammonium sulphate seed were added immediately prior to lights on.





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Figure 9. Calculated SOA mass concentrations during ammonium sulphate seeded experiments for *Betula pendula* (7 July 2009), *Ficus benjamina* (15 July 2009) and *Ficus cyathistipula* (30 June 2009, 2 July 2009). See text for details.



Figure 10. Time dependent growth curves for two typical *Betula pendula* experiments (red circles – nucleation experiment on 6 July 2009 and black triangles – ammonium sulphate seeded experiment on 7 July 2009), showing SOA growth behaviour with respect to consumption of the VOC precursors.





Figure 11. Temporal evolution of the m/z 44/43 ratio (red circles) during a typical *Betula* pendula experiment (6 July 2009) and wall loss corrected SOA mass (black line); demonstrating the increase in oxygenated content of the SOA as the air matrix begins to age.





Figure 12. LC-MS² selected ion chromatograms derived from the off-line analysis of SOA collected on filters at the conclusion of a typical *Betula pendula* experiment (7 July 2009). Notes: upper; m/z 183 = M_w 184, 1 = *cis*-pinonic acid. Middle; m/z 253 = M_w 254, 2 = β -nocaryophyllonic acid, 3 = β -caryophyllinic acid, 4 = similar to sesquiterpene SOA. Lower; m/z 257 = M_w 118[2 · [M-H] + Na]⁻, also seen in myrcene SOA, with same MS² spectra.

