

1 Representing time-dependent freezing behaviour in 2 immersion mode ice nucleation

3 R. J. Herbert¹, B. J. Murray¹, T. F. Whale¹, S. J. Dobbie¹, J. D. Atkinson^{1,2}

4 ¹School of Earth and Environment, University of Leeds, Leeds, LS2 9JT, UK

5 ²Now at Institute for Atmospheric and Climate Science, Universitaetstr. 16, ETH Zurich,
6 Switzerland

7 Correspondence to: R. J. Herbert (r.herbert@see.leeds.ac.uk) and B. J. Murray
8 (b.j.murray@leeds.ac.uk)

9 10 **Abstract**

11 In order to understand the impact of ice formation in clouds, a quantitative understanding of
12 ice nucleation is required, along with an accurate and efficient representation for use in cloud
13 resolving models. Ice nucleation by atmospherically relevant particle types is complicated by
14 inter-particle variability in nucleating ability, as well as a stochastic, time-dependent, nature
15 inherent to nucleation. Here we present a new and computationally efficient Framework for
16 Reconciling Observable Stochastic Time-dependence (FROST) in immersion mode ice
17 nucleation. This framework is underpinned by the finding that the temperature dependence of
18 the nucleation rate coefficient controls the residence-time and cooling-rate dependence of
19 freezing. It is shown that this framework can be used to reconcile experimental data obtained
20 on different time scales with different experimental systems, and it also provides a simple
21 way of representing the complexities of ice nucleation in cloud resolving models. The routine
22 testing and reporting of time-dependent behaviour in future experimental studies is
23 recommended, along with the practice of presenting normalised datasets following the
24 methods outlined here.

25 **1 Introduction**

26 Clouds are known to exert a significant radiative impact on the Earth's energy budget with
27 lower altitude clouds making the largest net contribution due to their dominating albedo
28 effect and global spatial extent (Hartmann et al., 1992). Observational studies have shown
29 that these clouds are commonly supercooled and can exist in a mixed-phase state (Zhang et

1 al., 2010). Sassen and Khvorostyanov (2007) showed that the radiative properties of these
2 mixed-phase clouds are dominated by the supercooled liquid phase, with increasing ice
3 content decreasing their cooling effect. Therefore, along with cloud lifetime effects an
4 enhanced ice formation process could lead to a significant climatic radiative impact. The
5 formation and sublimation of ice particles also has direct impacts on cloud dynamics through
6 latent heat processes (Dobbie and Jonas, 2001), and the cold rain process, estimated to
7 account for 50 % of all precipitation in mid-latitude regions and 30 % in tropical regions (Lau
8 and Wu, 2003), is sensitive to the cloud ice water content. Therefore a thorough
9 understanding of how ice is formed, along with an appropriate representation in models, is
10 clearly important for correctly quantifying the impact of clouds on climate and weather.

11 In the atmosphere relatively pure liquid droplets will tend to supercool down to around 237 K
12 before freezing homogeneously. The inclusion of an ice nucleating particle (INP) can act as a
13 catalyst and allow freezing to occur at higher temperatures. This process is generally split
14 into four primary pathways determined by the interaction between the INP and the parent
15 phase (Vali, 1985): *immersion freezing* occurs when the INP is immersed within a
16 supercooled liquid droplet; *contact freezing* through an outside-in or inside-out contact
17 between an INP and the air-liquid interface of a supercooled droplet; *deposition mode* occurs
18 under ice supersaturated conditions via deposition of water vapour onto the INP surface
19 without the formation of bulk liquid water; and *condensation mode* involves the condensation
20 of water vapour onto the INP prior to freezing. Observational studies show strong evidence
21 that above homogeneous freezing temperatures the formation of ice is commonly preceded by
22 the activation of the liquid phase, hence the glaciation of an air parcel transitions through a
23 mixed-phase regime (Ansmann et al., 2009; de Boer et al., 2011; Field et al., 2012;
24 Westbrook and Illingworth, 2013). Ansmann et al. (2009) found that in 99 % of cases the
25 production of ice occurred after the formation of a liquid phase, and similarly, de Boer et al.
26 (2011) found that air parcels under ice supersaturated conditions did not produce ice until
27 after a liquid layer was formed. This suggests that deposition and condensation mode ice
28 nucleation play a secondary role in the glaciation of these clouds. Contact nucleation is not
29 thought to be significant in deep convection (Cui et al., 2006; Phillips et al., 2008), but may
30 be important in some situations, particularly where droplets are evaporating (Ansmann et al.,
31 2005; Durant and Shaw, 2005; Moreno et al., 2013). This study focuses on the immersion
32 freezing mode due to its potential primary atmospheric importance.

1 Heterogeneous ice nucleation is fundamentally a stochastic process, meaning that the
2 probability of nucleation at a specific temperature depends on both the INP surface area and
3 the time available for nucleation. In addition to the variability in freezing temperature
4 associated with the stochastic nature of nucleation, there is often a strong inter-particle
5 variability with some particles capable of nucleating ice at much higher temperatures than
6 others. The ability for an INP to catalyse ice nucleation is dependent on its physiochemical
7 properties; these may be crystallographic, chemical, or surface features such as cracks or
8 defects that provide sites where the energy barrier to nucleation is at a local minimum
9 (Pruppacher and Klett, 1997).

10 Experimental studies have shown that atmospherically relevant INPs exhibit an extremely
11 diverse range in their ability to nucleate ice heterogeneously (Murray et al., 2012; Hoose and
12 Mohler, 2012). For example, bacteria species belonging to the *Pseudomonas* genera catalyse
13 freezing at temperatures above 265 K and exhibit a steep function of freezing rate (Wolber et
14 al., 1986; Mortazavi et al., 2008), whereas mineral dust has been found to catalyse freezing at
15 lower temperatures and exhibit a weaker gradient (Niedermeier et al., 2011). Along with this
16 variability in nucleating ability, the importance of the stochastic, time-dependent nature of ice
17 nucleation is also reported to vary between INP species. Repeated freeze-thaw cycles of
18 single droplets performed by Vali (2008) with two soil samples resulted in < 1 K variation in
19 freezing temperatures, which was much smaller than the variability in freezing temperature
20 over an array of droplets. On this basis Vali (2008) argued that the time-dependence of
21 nucleation is of secondary importance. Similarly, Ervens and Feingold (2013) recently
22 performed a sensitivity study which highlighted changes in temperature as being the most
23 important factor in droplet freezing sensitivity. Nevertheless, a number of studies show that
24 there is a sensitivity of ice nucleation to time. For example, Kulkarni and Dobbie (2010) used
25 a deposition mode stage and reported that the fraction of dust particles activated to ice
26 increased with time under constant temperature and RH conditions. Using an immersion
27 mode cold-stage instrument with cooling rates from 1 to 10 K min⁻¹, Murray et al. (2011)
28 found that the freezing of droplets containing kaolinite (KGa-1b) was consistent with a
29 stochastic model which required no inter-particle variability. Broadley et al. (2012) used the
30 same instrument with the mineral dust NX-illite and found that under isothermal conditions
31 nucleation continued with time. Similarly, Welti et al. (2012), using an ice nucleation
32 chamber to test their kaolinite sample (Fluka), found that the fraction of droplets frozen

1 increased with increasing residence time; the authors also found that a factor of ten change in
2 residence time had the same effect on the fraction frozen as a temperature change of 1 K.
3 Wilson and Haymet (2012) have shown that repeated freezing and thawing cycles for a single
4 droplet results in a distribution of freezing temperatures. The width of this distribution varies
5 for different droplets and different materials, potentially indicating a range of time-dependent
6 behaviour. More recently, Wright and Petters (2013) performed a series of freeze-thaw
7 simulations and found that the mean variation in freezing temperature for their ensemble of
8 droplets was dependent on the slope of the nucleation rate coefficient $\ln(J_s)/dT$, with cooling
9 rate and INP surface area having little effect on the observed variation. Wright et al. (2013)
10 tested a range of INP species and found variability in their cooling-rate dependence. For the
11 minerals kaolinite, and montmorillonite, along with flame soot, the median freezing
12 temperature of a droplet population decreased by ~ 3 K upon a factor of ~ 100 increase in
13 cooling rate. Conversely, the bacterial based species IcemaxTM showed no change for the same
14 increase in cooling rate.

15 In summary, the stochastic, or probabilistic, nature of nucleation in some materials is more
16 important or more apparent than in others and is rarely quantified. In order to fully
17 understand the impact of different INP species and populations on clouds it is important to
18 both fundamentally understand the nucleation mechanism and correctly represent this process
19 in an efficient framework for use in cloud resolving models (CRMs).

20 The main objective of the work presented in this paper is to develop a framework that can be
21 used to describe the time-dependence of nucleation as well as the inter-particle variability
22 inherent to many nucleating materials. In this study we use a multiple component stochastic
23 model to establish the key relationships between the nucleation rate coefficient of an INP and
24 its observable time-dependent behaviour, which are then captured in a simple framework.
25 This framework bears some resemblance to the empirically derived modified singular
26 description presented by Vali (1994), but here we link the term describing the residence-time
27 and cooling-rate dependence to the temperature dependence of the nucleation rate coefficient.
28 We then go on to use this framework to analyse several experimental datasets and discuss the
29 implications for modelling ice nucleation in cloud models.

1 **1.1 Immersion mode freezing models**

2 **1.1.1 The single-component stochastic freezing model**

3 Nucleation is thought to be a process where random fluctuations in ice-like clusters within a
4 supercooled droplet result in a freezing event only if a cluster reaches a critical size. For
5 homogeneous nucleation, the probability of a critical cluster forming rapidly increases with
6 decreasing temperature (Stan et al., 2009; Murray et al., 2010). Additionally, the probability
7 is increased for both larger droplet volumes and longer time scales. The inclusion of particles
8 that can serve as INPs provide a surface which favours cluster formation, and therefore
9 catalyse nucleation. The probability of a droplet freezing in this mode is a stochastic, time-
10 dependent process with the temperature-dependent nucleation rate coefficient $J_s(T)$ expressed
11 per unit surface area, per unit of time. In the single-component stochastic freezing model it is
12 assumed that every INP within a population can be described with the same $J_s(T)$, which is
13 consistent with nucleation by some materials including the mineral kaolinite (Murray et al.,
14 2011) and silver iodide (Heneghan et al., 2001). Classical nucleation theory (CNT) can be
15 used to link $J_s(T)$ to a conceptual contact angle, θ , which is defined as the angle between the
16 particle and ice cluster and is used as a measure of how efficiently a material nucleates ice.

17 **1.1.2 Singular freezing models**

18 Singular or deterministic models have been developed in light of the observation that the
19 variability in freezing temperatures for an entire population of droplets in a cooling
20 experiment can be significantly higher than that of a single droplet upon multiple freeze-thaw
21 cycles (e.g., Vali (2008)). The range of freezing temperatures can also be much greater than
22 the shift in temperature observed for a change of cooling rate. These observations have been
23 used to argue that the time-dependence of nucleation is of secondary importance in
24 comparison to the inter-particle variability in atmospheric aerosol (Vali, 2008). The reason
25 why there is such strong inter-particle variability in ice nucleating ability is very poorly
26 understood, but could arise for a number of reasons: inhomogeneity of surface properties
27 such as cracks, grain boundaries or pores have been shown to preferentially trigger nucleation
28 (Pruppacher and Klett, 1997); a complex ice nucleating population with multiple constituent
29 INP species, such as may exist within soil, could also present a range of nucleating efficiency
30 within a single population (Conen et al., 2011; Atkinson et al., 2013); and small inclusions of
31 a very active material, such as lead containing nanoparticles, can dominate and thus

1 determine the ice nucleating ability of larger ‘host’ particles (Cziczo et al., 2009). The
2 concept of active sites has been introduced to describe this heterogeneity in ice nucleating
3 ability in many samples, and singular freezing models have been developed to link this
4 variable distribution to the freezing probability (Levine, 1950; Vali, 1971; Connolly et al.,
5 2009; Sear, 2013). Nucleation on active sites, whatever their physical form, is a stochastic
6 process (as will be discussed in Sect. 1.13 below), but within the singular model it is assumed
7 that a particle or active site on that particle will trigger ice nucleation at a specific
8 temperature independent of time. An advantage of this simplifying assumption is that the
9 varying ice nucleating efficiency of an INP population or species can be represented as a
10 simple function of temperature.

11 1.1.3 Multiple-component freezing models

12 In order to describe both the stochastic nature of ice nucleation and the varying efficiency of
13 INPs in a physically based framework, a number of multiple-component freezing models
14 have been developed. These descriptions use a distribution of sites or droplets displaying a
15 range of nucleating characteristics to define the ice nucleating variability. Each component is
16 assumed to approximate to a single-component model with a single function describing the
17 nucleation rate against temperature.

18 Marcolli et al. (2007) used a variety of probability density functions (PDFs) to represent
19 populations of particles, each characterised by a particular contact angle ($0 \leq \theta \leq \pi$), in order
20 to fit CNT to their immersion freezing data. This was then extended to include an active site
21 distribution, which assumed that a single INP may have multiple nucleation sites on its
22 surface, determined by the probability of an active site occurring per contact angle. A
23 proportion of nucleating surface area per contact angle was then calculated assuming a
24 standard size for a single active site; thus, larger particles will be more likely to contain sites
25 of better nucleating ability than smaller particles. Lüönd et al. (2010) used a similar method
26 to reconcile their experimental data. A multiple-component framework capable of describing
27 both internally and externally mixed populations was presented by Murray et al. (2011). This
28 was extended by Broadley et al. (2012) into the Multiple Component Stochastic Model
29 (MCSM), which replaced CNT with a simple function to describe $J_s(T)$ for each component.
30 In their study this function was systematically adjusted using a Gaussian distribution to
31 represent a population with varying droplet freezing ability and is discussed in more detail in

1 Sect. 2. The ‘Soccer ball model’ was developed by Niedermeier et al. (2011) using a similar
 2 approach to Marcolli et al. (2007): in their description each particle is divided into a number
 3 of sites or patches, with each site randomly assigned a contact angle ($0 \leq \theta \leq \pi$) from a
 4 Gaussian distribution. It can be seen that having a small number of sites per INP will result in
 5 a population with diverse ice nucleating ability, whereas more sites will increase the
 6 probability of a specific site occurring per INP, so that the population tends towards a
 7 uniform nucleating ability. More recently, Wright and Petters (2013) and Wright et al. (2013)
 8 used a similar description to Broadley et al. (2012) to simulate cooling and freeze-thaw
 9 experiments.

10 All of these multiple-component models can be used to describe the inter-particle variability
 11 of ice nucleating efficiency within a population, and also the fundamental stochastic nature of
 12 ice nucleation. However, a significant increase in complexity is introduced through the
 13 treatment of separate populations and PDFs. Due to this, their use in CRMs is limited.
 14 Clearly, a framework is required that can adequately describe variable ice nucleating ability
 15 and stochastic behaviour in a computationally efficient way.

16 **2 The Multiple Component Stochastic Model**

17 The MCSM, presented in Broadley et al. (2012), divides a population of particles, or
 18 nucleation sites, into sub-populations of equally efficient entities. Each sub-population can
 19 then be treated as a single component with a uniform nucleating behaviour allowing the use
 20 of the single-component stochastic freezing model; the summation of these populations then
 21 represents the entire population. Assuming each droplet contains a single INP with surface
 22 area A (cm^2) we can calculate the number of droplets that will freeze in a time increment δt at
 23 temperature T for a single component, denoted by i :

$$n_{\text{frozen},i} = n_{\text{liquid},i} \left(1 - \exp(-J_{s,i}(T) \cdot A_i \cdot \delta t) \right), \quad (1)$$

24 where $n_{\text{liquid},i}$ is the number of liquid droplets at the beginning of the time step, $n_{\text{frozen},i}$ is the
 25 number of frozen droplets, and $J_{s,i}(T)$ is the nucleation rate coefficient ($\text{cm}^{-2} \text{s}^{-1}$). Upon
 26 subsequent steps the number of available droplets is adjusted so that $n_{\text{liquid},i+1} = n_{\text{liquid},i} - n_{\text{frozen},i}$.

27 The exponential term describes the fractional probability P_{NOT} of an event not happening,
 28 where $P_{\text{NOT}} \rightarrow 1$ represents an increasing probability that no freezing event will occur. For

1 this study we use a simple linear temperature-dependent function to define $J_{s,i}(T)$ of a single
 2 component following Broadley et al. (2012) and Wright and Petters (2013):

$$\ln J_{s,i}(T) = -\lambda_i T + \varphi_i, \quad (2)$$

3 where $-\lambda_i$ represents the gradient of $\ln J_{s,i}(T)$ and φ_i the relative nucleating efficiency of the
 4 component. Others have used CNT to describe the temperature dependence of $J_{s,i}(T)$
 5 (Marcolli et al., 2007; Lüönd et al., 2010; Niedermeier et al., 2011), but measured nucleation
 6 coefficients approximate to Eq. (2) over the range of freezing temperatures observed during a
 7 single freezing experiment (typically <10 K) (Kashchiev et al., 2009; Stan et al., 2009;
 8 Ladino et al., 2011; Murray et al., 2010; Murray et al., 2011).

9 In order to extend Eq. (2) to multiple-component systems, each sub-population, behaving as
 10 an independent single component, is characterised by a specific φ_i and then weighted using a
 11 PDF to calculate a probability of occurrence $P(\varphi_i)$. Thus, the number of droplets in each sub-
 12 population is $n_{\text{liquid}i} = N \cdot P(\varphi_i)$ where N is the total number of droplets in the simulation.
 13 Although there is evidence for multiple components the distribution of such components is
 14 not currently known and difficult to infer. Therefore, for simplicity a Gaussian distribution
 15 was used following previous studies (Niedermeier et al., 2010; Broadley et al., 2012; Wright
 16 and Petters, 2013); characterised by a mean μ and standard deviation σ (see Fig. 1). The
 17 MCSM can now be defined by summing the number of droplets frozen in each sub-
 18 population for a given time increment:

$$N_{\text{frozen}} = \sum_{i=1}^n n_{\text{liquid}i} \left(1 - \exp(-J_{s,i}(T) \cdot A_i \cdot \delta t)\right). \quad (3)$$

19 To investigate the sensitivity of the MCSM to time-dependence (manifesting as a cooling-rate
 20 and residence-time dependence) an idealised box model was used to represent an immersion
 21 mode droplet freezing experiment under constant cooling or isothermal conditions in which
 22 droplet volume was assumed to be constant with no condensational growth or evaporation.
 23 Freezing events were assumed to only occur within a single time step and within the bulk
 24 volume. Additionally, freezing of one droplet was assumed to have no effect on the
 25 remaining liquid population.

1 3 Deriving a new immersion mode framework

2 3.1 Cooling-rate dependence

3 In these simulations we look at the sensitivity of the MCSM to changes in cooling rate. The
4 aim is to identify the variables that control the cooling-rate dependent behaviour of a
5 population of droplets. On inspection of Eq. (3) it is evident that for a constant finite negative
6 increment δT , an increase in cooling rate results in a similar decrease in time δt , and therefore
7 a decrease in the probability of a freezing event occurring between T and $T + \delta T$. This is
8 manifested in the number of droplets freezing per δT and results in the entire cumulative
9 fraction frozen curve shifting to lower temperatures. This is demonstrated in Fig. 2, with two
10 simulated populations of droplets: one with a uniform INP distribution (a single value of φ_i)
11 and the other with a diverse INP distribution (broad range of φ_i). Both populations have
12 $\lambda = 2 \text{ K}^{-1}$ where λ is defined as $-\text{dln}(J_{s,i})/\text{dT}$ (i.e. the temperature dependence of the nucleation
13 rate coefficient for each component). The simulated droplets were cooled at 1 and 10 K min^{-1} .
14 Fig. 2 illustrates how the shift in temperature (β) for a change in cooling rate is independent
15 of the distribution of φ_i . The independence of β to the distribution of φ_i has been further
16 investigated using a series of droplet cooling simulations where all the free variables in the
17 MCSM were allowed to vary between runs, with the corresponding values shown in Table 1.
18 The results from these simulations, shown in Fig. 3, suggest that the only characteristic of the
19 INP population required to quantify its cooling-rate dependence is λ ($-\text{dln}(J_{s,i})/\text{dT}$). This is a
20 similar conclusion to Broadley et al. (2012) and Wright and Petters (2013).

21 This result can be understood by rearranging Eq. (1) to describe the change in temperature
22 required to attain a specific cumulative frozen fraction for a given change in cooling rate (see
23 Supplement for the full derivation). For a given population of droplets containing an
24 immersed INP characterised by the function $J_s(T)$, the total fraction of droplets frozen
25 $f(n_r) = n_{\text{frozen}}/N_{\text{liquid}}$ upon cooling from T_0 to T_{n_r} in n_r steps, where N_{liquid} is the number of
26 droplets at T_0 , can be described by:

$$f(n_r) = 1 - \prod_{k=0}^{n_r} (\exp - J_s(T_k) \cdot A \cdot \delta t) = 1 - \exp \left(- \sum_{k=0}^{n_r} J_s(T_k) \cdot A \cdot \delta t \right), \quad (4)$$

27 where n_r denotes the total number of model steps using a cooling rate r , and δt is the time
28 between steps k and $k + 1$. As in Eq. (1) the exponential term essentially describes the

1 cumulative probability of a freezing event not occurring in n_r time steps, and can be expanded
 2 so that $J_s(T_k) = J_s(T_0) \cdot (\exp(-\lambda\delta T))^k$. By substituting Eq. (2) into Eq. (4) we can explicitly
 3 represent the nucleation rate coefficient:

$$f(n_r) = 1 - \exp\left(-A \cdot \delta t \cdot J_s(T_0) \sum_{k=0}^{n_r} (\exp(-\lambda\delta T))^k\right). \quad (5)$$

4 The summation term can be removed using a geometric summation of series formula. Once
 5 rearranged we have a formula to calculate the temperature $T_{f(n)}$ at which a specific fraction
 6 frozen is reached:

$$T_{f(n)} = n_r \delta T = \ln\left[1 - \left(\frac{-\ln(1-f(n_r)) \cdot (1 - \exp(-\lambda\delta T))}{A \cdot \delta t \cdot J_s(T_0)}\right)\right] \frac{1}{-\lambda} - 1, \quad (6)$$

7 where δT is the change in temperature between steps k and $k+1$. A change in cooling rate
 8 from r_1 to r_2 results in a change in the number of steps Δn_r to reach fraction f where
 9 $f = f_{n,r1} = f_{n,r2}$ and therefore a change ΔT_f :

$$\Delta T_f = n_{r2} \delta T - n_{r1} \delta T = \ln\left(\frac{C \cdot A \cdot \delta t_{r2} \cdot J_s(T_0)}{C \cdot A \cdot \delta t_{r1} \cdot J_s(T_0)}\right) \cdot \frac{1}{-\lambda}, \quad (7)$$

10 where δT is constant for both cases, δt is dependent on the cooling rate, and
 11 $C = -\ln(1-f) \cdot (1 - \exp(-\lambda\delta T))$. Cancelling terms in Eq. (7) and substituting $r_1 = \delta T / \delta t_{r1}$
 12 and $r_2 = \delta T / \delta t_{r2}$ provides a formula for the change in temperature, β_{cool} , observed at a
 13 specific fraction frozen for a given change in cooling rate:

$$\Delta T_f = \beta_{\text{cool}} = \frac{1}{\lambda} \ln\left(\frac{r_1}{r_2}\right). \quad (8)$$

14 Equation (8) is consistent with the results shown in Fig. 2 and Fig. 3, i.e. the systematic shift
 15 in cumulative fraction frozen for a change in cooling rate is only dependent on λ . If we
 16 assume that all components in a diverse species are characterised by a single value of λ this
 17 also holds true. Using observations by Vali and Stansbury (1966), Vali (1994) empirically
 18 found a similar relationship where $\beta_{\text{cool}} = 0.66 \cdot \log_{10}(|r|)$. In our independently derived
 19 expression, we take the additional step of linking β to λ , which offers a physical insight to the
 20 properties of a particular ice nucleating material, i.e. the empirical relationship from Vali

1 (1994) above relates to the gradient of the species $-\text{dln}(J_{s,i})/\text{dT}$ so that the distilled water
 2 droplets used in the study by Vali and Stansbury (1966) are characterised by the gradient
 3 $\lambda = 3.5 \text{ K}^{-1}$.

4 **3.2 Residence-time dependence**

5 In addition to droplet freezing experiments where droplets are cooled at some rate, other
 6 experiments (e.g. those using continuous flow diffusion chambers) involve exposing particles
 7 to a constant temperature for a defined period of time. In this section we show how
 8 measurements made with different residence-times under isothermal conditions in such
 9 instruments can be reconciled by extending the λ based formula presented in the previous
 10 section. Using $r = \Delta T/t$ the relative change in cooling rate described by $\ln(r_1/r_2)$ can also be
 11 expressed as a relative change in time $\ln(t_2/t_1)$:

$$\beta_{\text{iso}} = \frac{1}{\lambda} \ln\left(\frac{t_2}{t_1}\right), \quad (9)$$

12 where β_{iso} is the shift in temperature required to produce the same frozen fraction in two
 13 isothermal experiments with duration times of t_1 and t_2 .

14 **3.3 $\sigma_{T_{\text{freeze}}}$ in freeze-thaw experiments**

15 In freeze-thaw experiments single, or populations, of droplets are subjected to repeated cycles
 16 of freezing and thawing (Vali and Stansbury, 1966; Durant and Shaw, 2005; Vali, 2008;
 17 Fornea et al., 2009; Wright et al., 2013). For each cycle the freezing temperature T_{freeze} is
 18 determined, and used to infer the stochastic nature of the tested material. A freeze-thaw
 19 experiment can be simulated when it is realised that one droplet being frozen n times at a
 20 cooling rate r is equivalent to n identical droplets being frozen a single time at a rate r . A
 21 single-component system where φ equals the median T_{freeze} provides a population of identical
 22 droplets, which can be used with the MCSM to simulate a single cooling experiment.
 23 Applying a prescribed n droplets to the resulting $f(T)$ curve provides the temperature at which
 24 each consecutive droplet freezes. These temperatures correspond to T_{freeze} values from n
 25 freeze-thaw cycles, and therefore the standard deviation in T_{freeze} can be determined, hereafter
 26 named $\sigma_{T_{\text{freeze}}}$ (after Wright and Petters (2013)). A series of simulations were performed using

1 the MCSM where the median T_{freeze} and λ were varied. A direct relationship between λ and
 2 $\sigma_{T_{\text{freeze}}}$ was found and is described by:

$$\sigma_{T_{\text{freeze}}} = \frac{1.2691}{\lambda}. \quad (10)$$

3 In a single-component system a variation in cooling rate will only result in a change to the
 4 median freezing temperature (by β K), therefore $\sigma_{T_{\text{freeze}}}$ is also independent of the freeze-thaw
 5 experiment cooling rate. Equation (10) bears a significant resemblance to the relationship
 6 presented by Wright and Petters (2013): $\sigma_{T_{\text{freeze}}} = 1.21 \cdot \lambda^{-1.05}$.

7 **3.4 Reconciling droplet freezing data from different instruments and on** 8 **different time scales**

9 Since nucleation is a stochastic process, differences in experimental time scale and
 10 experimental technique need to be reconciled. First we reconcile isothermal data with cooling
 11 experiments so they are consistent with each other. This can be achieved by equating the
 12 simulated fraction frozen using both methods at the same temperature:

$$f_{\text{iso}}(T) = f_{\text{cool}}(T), \quad (11)$$

13 where ‘cool’ denotes a cooling experiment simulation from $T_0 = 273.15$ K and ‘iso’ an
 14 isothermal experiment simulation at a temperature T . The fraction frozen during an
 15 isothermal simulation is calculated similarly to a cooling experiment except the temperature
 16 remains constant throughout, thus we can use Eq. (4) to describe an isothermal simulation:

$$f_{\text{iso}}(T) = 1 - \prod_{k=0}^{n_{\text{iso}}} \exp(-J_s(T_k) \cdot A \cdot \delta t_{\text{iso}}), \quad (12)$$

$$J_s(T_k) = J_s(T), \quad (13)$$

17 therefore:

$$f_{\text{iso}}(T) = 1 - \exp(-J_s(T) \cdot A \cdot \delta t_{\text{iso}} \cdot n_{\text{iso}}), \quad (14)$$

18 Where n_{iso} is the total number of time steps, δt_{iso} , for the isothermal simulation. Substituting
 19 Eqs. (14) and (4) into Eq. (11) gives:

$$1 - \exp\left(-J_s(T_{n_{\text{cool}}}) \cdot A \cdot \delta t_{\text{iso}} \cdot n_{\text{iso}}\right) = 1 - \exp\left(-\sum_{k=0}^{n_{\text{cool}}} J_s(T_k) \cdot A \cdot \delta t_{\text{cool}}\right), \quad (15)$$

1 which, when simplified gives the total time (t_{total}) required for an isothermal experiment to
 2 reach the same fraction as a cooling experiment at temperature T :

$$\delta t_{\text{iso}} \cdot n_{\text{iso}} = t_{\text{total,iso}}(T_{n_{\text{cool}}}) = \frac{1}{J_s(T_{n_{\text{cool}}})} \sum_{k=0}^{n_{\text{cool}}} J_s(T_k) \delta t_{\text{cool}}. \quad (16)$$

3 Substituting in Eq. (2), after expanding as in Sect. 3.1, and rearranging gives:

$$t_{\text{total,iso}}(T_{n_{\text{cool}}}) = \delta t_{\text{cool}} \sum_{k=0}^{n_{\text{cool}}} (\exp(\lambda \delta T))^k. \quad (17)$$

4 Using a summation of series the summation term is removed and the formula can be
 5 simplified:

$$t_{\text{total,iso}}(T_{n_{\text{cool}}}) = \frac{\delta t_{\text{cool}}}{1 - \exp(\lambda \cdot \delta T_{\text{cool}})}. \quad (18)$$

6 A Taylor expansion of $\exp(\lambda \cdot \delta T_{\text{cool}})$ will result in the series
 7 $(1 + \lambda \delta T_{\text{cool}} - 1/2(\lambda \delta T_{\text{cool}})^2 + 1/6(\lambda \delta T_{\text{cool}})^3 \dots)$. When $\lambda \delta T_{\text{cool}} \gg 1/2(\lambda \delta T_{\text{cool}})^2$,
 8 $\exp(\lambda \delta T_{\text{cool}}) \cong 1 + \lambda \delta T_{\text{cool}}$. This is satisfied when the simulation temperature step $\lambda \delta T_{\text{cool}} \ll$
 9 1. We can then simplify this formula using $r_{\text{cool}} = -\delta T_{\text{cool}} / \delta t_{\text{cool}}$, where $r_{\text{cool}} > 0$, so that:

$$t_{\text{total,iso}}(T_{n_{\text{cool}}}) = \frac{\delta t_{\text{cool}}}{\lambda \cdot r_{\text{cool}} \cdot \delta t_{\text{cool}}} = \frac{1}{\lambda \cdot r_{\text{cool}}}. \quad (19)$$

10 Assuming that the nucleation rate coefficient of a species is approximated by the functional
 11 form in Eq. (2), this gives the time required for an isothermal experiment to reach the same
 12 frozen fraction as in a cooling rate experiment at a specific temperature. Again λ (the gradient
 13 of the nucleation rate coefficient) controls the time-dependent nature of immersion mode
 14 droplet freezing.

15 Now that isothermal and cooling experiments are reconcilable, artefacts introduced through
 16 the time dependent behaviour of an INP in an experiment can be normalised to a standard rate
 17 r_{standard} , for which we have chosen 1 K min^{-1} . For cooling experiments, replacing r_1 in Eq. (8)

1 with r_{standard} and r_2 with the experimental cooling rate r , in K min^{-1} , gives β as a function of
 2 the absolute cooling rate:

$$\beta(r) = \Delta T = \frac{1}{\lambda} \ln\left(\frac{1}{|r|}\right). \quad (20)$$

3 For isothermal experiments, replacing r_{cool} with r_{standard} in Eq. (19) gives the time required for
 4 an isothermal experiment to be comparable to a normalised cooling experiment. Substituting
 5 t_1 in Eq. (9) with t_{total} in Eq. (19), and t_2 with the experimental residence time t , in seconds,
 6 gives β as a function of residence time:

$$\beta(t) = \Delta T = \frac{1}{\lambda} \ln\left(\frac{\lambda \cdot t}{60}\right). \quad (21)$$

7 Experimental data can then be modified and normalised using $T' = T_{\text{experiment}} - \beta$, where T' is
 8 the normalised temperature, and $T_{\text{experiment}}$ the temperature of the experiment data point.

9 For an INP species characterised by a specific λ , this immersion mode framework, named the
 10 Framework for Reconciling Observable Stochastic Time-dependence (FROST), can be used
 11 to reconcile and normalise data obtained through cooling and isothermal experiments.

12 **3.5 Incorporating the FROST framework into a singular model**

13 As discussed in Sect. 1.1.2, the singular freezing model is well suited to describing the
 14 inter-particle variability of ice nucleating ability, but it does not describe the time-dependent
 15 nature of nucleation. The probability of a droplet freezing is often described by the active site
 16 density (Demott, 1995), $n_s(T)$, (also called the ice active surface site density (Connolly et al.,
 17 2009; Murray et al., 2012; Hoose and Mohler, 2012)) which describes the cumulative number
 18 of freezing events that can occur between T_0 and T :

$$f(T) = 1 - \exp(-n_s(T) \cdot A). \quad (22)$$

19 Vali refers to a similar quantity (expressed per volume rather than surface area) as the
 20 cumulative nucleus spectrum (Vali and Stansbury, 1966; Vali, 1971; Vali, 2014). By
 21 rearranging Eq. (22) it can be seen that $n_s(T)$ (in units cm^{-2}) is directly related to the
 22 cumulative fraction frozen:

$$n_s(T) = -\frac{\ln(1-f(T))}{A}. \quad (23)$$

1 It is therefore apparent that a systematic shift in the cumulative fraction frozen, caused by a
 2 change in the cooling rate or residence time, results in a systematic shift in $n_s(T)$ so that,
 3 upon incorporating Eq. (20) into Eq. (23) we find that for a specific cooling rate r (where
 4 $r > 0$):

$$f(T, r) = 1 - \exp\left(-n_s\left(T - \frac{\ln(|r|)}{-\lambda}\right) \cdot A\right). \quad (24)$$

5 The differentiation of n_s with respect to T results in the function $k(T)$ that can be used to
 6 calculate the change in the fraction frozen occurring upon a lowering of T :

$$\Delta f(T, r) = 1 - \exp\left(-k\left(T - \frac{\ln(|r|)}{-\lambda}\right) \cdot A \cdot \Delta T\right), \quad (25)$$

7 where $k(T)$ is in units $\text{cm}^{-2} \text{K}^{-1}$. Equations (24) and (25) are consistent with the empirical
 8 ‘modified singular’ equation presented by Vali (1994), but here we have linked the stochastic
 9 term to the temperature dependence of the nucleation rate coefficient.

10 Similar equations can also be defined for isothermal experiments by incorporating Eq. (21)
 11 into Eq. (22) so that at a specific temperature, T_{iso} and residence time in seconds, t :

$$f(T, t) = 1 - \exp\left(-n_s\left(T - \frac{1}{\lambda} \ln\left(\frac{\lambda \cdot t}{60}\right)\right) \cdot A\right). \quad (26)$$

12 Again, upon differentiation we obtain an equation for the change in fraction frozen upon a
 13 change in residence time from t to $t + \Delta t$:

$$\Delta f(T, t) = 1 - \exp\left(-k\left(T - \frac{1}{\lambda} \ln\left(\frac{\lambda \cdot t}{60}\right)\right) \cdot A \cdot \frac{1}{-\lambda \cdot t} \Delta t\right), \quad (27)$$

14 where $\Delta t/(-\lambda \cdot t)$ has replaced ΔT through the incorporation of Eq. (19) into
 15 $\Delta T = -r/60 \cdot \Delta t$; r is in K min^{-1} and Δt in seconds.

16

1 **4 Testing the FROST framework**

2 In the previous section we presented the FROST framework which is a new immersion mode
3 ice nucleation framework designed to represent both the inter-particle variability of ice
4 nucleating efficiencies and the stochastic (time-dependent) nature of nucleation. In this
5 section the FROST framework will be tested using a combination of original experimental
6 droplet freezing data and literature data for atmospherically relevant INPs obtained from a
7 range of methods and instruments. The terminology here follows that of Vali (2014) in that
8 experimental data are presented using the freezing rate R . A normalisation of R to surface
9 area A is used to comment on the relationship between R and the nucleation rate coefficient
10 J_s , as well as whether the species behaves as a single or multiple-component species.

11 **4.1 Kaolinite data (KGa-1b) from two cold-stage instruments**

12 In this example data from droplet freezing experiments on two cold-stage instruments, with a
13 range of cooling rates, are combined to test the capability of the FROST framework. The first
14 dataset, referred to as PICOLITRE, is taken from Murray et al. (2011). In their experiments
15 micron sized droplets containing known amounts of kaolinite (KGa-1b, Clay Mineral
16 Society) mineral dust and supported on a hydrophobic surface, were cooled at constant rates
17 on a cold stage coupled with an optical microscope. Each experiment was characterised by a
18 specific cooling rate and weight fraction of mineral per droplet. For this study four datasets
19 are used (experiments *vii*, *viii*, *ix* and *xi* in Murray et al. (2011)) corresponding to cooling
20 rates (weight fractions) of 5.4 (0.0034), 9.6 (0.01), 0.8 (0.01) and 5.1 (0.01) K min⁻¹,
21 respectively. For the second experimental dataset, referred to as MICROLITRE, a different
22 cold-stage instrument was used, which has been described previously (O'Sullivan et al., 2014;
23 Whale et al., 2014). In this experiment ~40 droplets of 1 µl volume containing known
24 amounts of the same kaolinite sample as Murray et al. (2011) (KGa-1b) were held on a
25 hydrophobic surface and cooled at constant rates with freezing events recorded optically.
26 Four experiments were performed at cooling rates of 0.1, 0.2, 0.5, and 1.0 K min⁻¹. All
27 experiments were performed with a weight fraction of 0.01, corresponding to a surface area
28 of 1.178 ± 0.3 cm² per droplet calculated using a specific surface area of 11.8 ± 0.8 m² g⁻¹
29 (Murray et al., 2011). The uncertainty in surface-area per droplet primarily arises from
30 uncertainty in specific surface area measurements and droplet volume. The temperature
31 uncertainty, arising from the temperature probe and observed range in melting temperatures,

1 has been estimated by Whale et al. (2014) as ± 0.4 K. Freezing data is limited to
2 $T > 252.65$ K below which the substrate is observed to influence freezing behaviour.

3 Surface-area normalised freezing rates (R/A) for the PICOLITRE and MICROLITRE
4 experiments are shown in Fig. 4a. The larger droplets in the new MICROLITRE experiment
5 contain significantly greater INP surface area per droplet than the PICOLITRE experiment,
6 which increases the probability of freezing, resulting in higher freezing temperatures. The
7 freezing rates plotted in Fig. 4a are derived using Eq. (1), hence the assumption in performing
8 this analysis is that the species has a uniform INP distribution and behaves as a single-
9 component system, and thus the normalised freezing rate R/A is directly equivalent to the
10 nucleation rate $J_{s,i}$. However, at this stage we do not know if this assumption is valid.

11 In a single-component system the gradient $-\text{dln}(R/A)/\text{dT}$, named ω following Vali (2014), is
12 equal to λ (recall that $\lambda = -\text{dln}(J_{s,i})/\text{dT}$). If the system were multiple component then the slope
13 ω will be smaller than λ because an inappropriate model was used (i.e. ω is a lower limit to
14 λ). For a set of data obtained at a single cooling rate it is impossible to say if the sample is
15 single or multiple component, further tests are required. Murray et al. (2011) did this by
16 performing isothermal experiments in addition to experiments at various cooling rates and
17 showed that the values of R/A derived from both styles of experiment were consistent and
18 concluded that nucleation by kaolinite KGa-1b behaved as a single-component system below
19 246 K and therefore $R/A = J_{s,i}$. We expand on this earlier analysis with additional data for
20 kaolinite KGa-1b at warmer temperatures and place it in the context of the FROST
21 framework. To test whether the MICROLITRE dataset is also consistent with a single-
22 component system we performed an isothermal experiment, in addition to the experiments at
23 various cooling rates.

24 The isothermal experiment, shown in Fig. 4b, was performed at 255.15 K with droplets
25 containing a weight fraction 0.01 of KGa-1b particles. We have plotted the decay of liquid
26 droplets expected based on a value of $J_{s,i}$ at 255.15 ± 0.4 K determined from the linear fit to
27 $\ln(R/A)$ in Fig. 4a. The expected exponential decay matches the measured decay; this is
28 consistent with a uniform species, and thus a single-component system. The derived R/A
29 values from experiments at cooling rates ranging from 0.1 to 1.0 K min^{-1} are shown in Fig. 4a
30 and also show consistency with this system.

31 In Fig. 5 we place the data from the cooling experiments in the context of FROST. If the INP
32 species can be characterised with a single λ then the application of Eq. (20) will modify each

1 data point by $T' = T_{\text{experiment}} - \beta(r)$. With the correct value of λ in the FROST framework, the
2 data will converge onto the curve of a 1 K min^{-1} cooling experiment for the species tested.
3 Figures 5a, b, and c show the fraction frozen $f(T)$, $n_s(T)$ values, and $R/A(T)$ values from Fig.
4 4a, respectively. The $n_s(T)$ values, derived using Eq. (23), depend on the cooling rate, with
5 over a factor of five shift on changing the cooling rate by a factor of 10. On applying FROST
6 with $\lambda = 1.12 \text{ K}^{-1}$ (thus assuming $\lambda = \omega$ from Fig. 4a) both the modified $f(T')$ and $n_s(T')$ data
7 converge (Figs. 5d and e, respectively). This additionally supports the claim that kaolinite
8 KGa-1b is well represented by a single-component system ($R/A = J_{s,i}$).

9 An interesting and potentially significant issue is raised by this study of nucleation by
10 kaolinite as the linear fit to the two independent datasets in Fig. 4a is made over 20 K which
11 is at odds with CNT. CNT predicts curvature in $\ln J_s$ versus T over 10's of kelvin (Pruppacher,
12 1995). This might suggest that there is a flaw in CNT theory, or alternatively it may be the
13 case that there are multiple INP populations which happen to give the appearance of a single-
14 component system. However, the evidence presented here suggests that KGa-1b behaves as a
15 single component, with consistent behaviour at high and low temperatures. This issue
16 requires further study to understand this potentially important finding, but is beyond the focus
17 of this paper.

18 While nucleation by this kaolinite sample can be treated as a single component, this does not
19 necessarily mean that this sample is uniform (i.e., there is no inter-particle variability)
20 because there are many particles per droplet in the experiment. It is possible, but unlikely,
21 that droplets contain a distribution of particles with diverse ice nucleating abilities, but where
22 freezing in all droplets happens to be controlled by particles with similar ice nucleating
23 activity. This is very unlikely given that the number of kaolinite particles in the PICOLITRE
24 experiments ranges from just a few 10s to tens of thousands and all produce consistent values
25 of J_s (Murray et al., 2011). In contrast, the Fluka kaolinite sample used by Welti et al. (2012),
26 which is known to contain particles of very efficient feldspar (Atkinson et al., 2013), is a
27 diverse species (as will be demonstrated in Sect. 4.3).

28 In summary, kaolinite KGa-1b from the clay mineral society is an example of a material
29 which most likely has approximately uniform ice nucleating properties and can be described
30 with a single-component stochastic model.

1 4.2 K-feldspar data from cold-stage instrument

2 In this example we investigate and determine the cooling-rate dependence of K-feldspar
3 using the microlitre droplet instrument as in the previous example. K-feldspar was recently
4 shown to be the most important mineral component of desert dusts for ice nucleation
5 (Atkinson et al., 2013). In these experiments ~40 droplets of 1 μl volume were cooled at
6 constant rates of 0.2, 0.4, 1.0 and 2.0 K min^{-1} on a hydrophobic surface. Each droplet
7 contained a weight fraction 0.001 of K-feldspar, corresponding to a surface area of
8 $1.85 \times 10^{-2} \pm 0.004 \text{ cm}^2$ calculated using a specific surface area of $1.86 \text{ m}^2 \text{ g}^{-1}$ (Whale et al.,
9 2014).

10 Similar to the previous example Figs. 6a, b, and c show the experimental fraction frozen data
11 $f(T)$, and derived $n_s(T)$ and $R/A(T)$ values, respectively. For the 0.2, 0.4 and 2.0 K min^{-1}
12 curves two separate experiments were performed and for the 1.0 K min^{-1} curve five
13 experiments were performed. A systematic shift in $f(T)$ outside of instrumental error ($\pm 0.4 \text{ K}$)
14 can be seen for the experiments at 0.2 and 2 K min^{-1} , which indicates that there is a
15 cooling-rate dependence for nucleation by K-feldspar.

16 We now need to test if this data is consistent with a single or multiple-component system.
17 Normalised freezing rates, R/A , for the 0.2 and 2.0 K min^{-1} runs are shown in Fig. 6c. If
18 K-feldspar behaved as a single-component system then the two datasets would fall onto the
19 same line, as they do for kaolinite in Fig. 4a. However, they do not fall on the same line; the
20 R/A values are significantly different between the two cooling rates, hence this suggests that
21 K-feldspar is a diverse species and requires a multiple-component model to describe its
22 freezing behaviour. In this case Eq. (1) should not be used to derive values of nucleation rate
23 coefficients since $R/A \neq J_{s,i}$.

24 As stated in the previous section, with the correct value of λ in the FROST framework, the
25 modified data will converge onto a single curve. Therefore, in order to determine the value of
26 λ , a procedure was followed where λ was iteratively varied until $n_s(T')$, where
27 $T' = T_{\text{experiment}} - \beta(r)$, converged onto a single curve (using Eq. (20)). The best fit was
28 determined by minimisation of the root-mean-square-error (RMSE) between the data and a
29 linear fit to $\ln(n_s)$ for data where $T_{\text{experiment}} \leq 262.65 \text{ K}$ ($-10.5 \text{ }^\circ\text{C}$); this temperature was
30 chosen to limit effects from anomalous high-temperature freezing events that are statistically
31 unrepresentative of the INP species. This fitting procedure, with a RMSE value of 0.009,

1 resulted in $\lambda = 3.4 \text{ K}^{-1}$ and is shown in Fig. 6e. This value is significantly steeper than the
2 gradients ω in Fig. 6c (0.85 and 0.9 K^{-1}). Recall that for kaolinite, the gradient ω was used to
3 normalise the n_s values in Fig. 5e which suggests that kaolinite is a uniform species. In
4 K-feldspar the fact that $\omega \neq \lambda$ (where $\lambda = -\text{dln}(J_{s,i})/\text{dT}$) shows that K-feldspar exhibits a
5 diverse nucleating ability across the population.

6 Figure 6e also includes the fit to K-feldspar data presented in Atkinson et al. (2013). In their
7 study the surface area of K-feldspar per droplet was increased by two orders of magnitude to
8 examine the dependence of freezing rate on surface area and all experiments were performed
9 at a cooling rate of 1 K min^{-1} . The parameterisation from Atkinson et al. (2013), based on
10 data with variable surface areas, is in good agreement with data from the present study.

11 An isothermal experiment was also performed at $T_{\text{iso}} = 262.15 \text{ K}$ with 20 droplets (28 froze
12 during cooling to T_{iso}) containing a weight fraction 0.001 of K-feldspar (see Fig. 7). For a
13 uniform species the decay of liquid droplets over time will be exponential (as was the case for
14 kaolinite KGa-1b in Fig. 4b), whereas a diverse species will result in a non-exponential
15 decay. Inspection of the data in Fig. 7 shows that the decay of liquid droplets was not
16 exponential, again consistent with a diverse population of INPs. To highlight this, we have
17 plotted the decay expected from the two limiting values of R/A from Fig 6c at 262.15 K. The
18 simulated decays, assuming a single-component system, clearly over predict the rate of
19 decay. We also simulate what we would expect for a diverse population where we use the
20 MCSM to produce the expected decay of droplets. The MCSM was initially used as a fitting
21 tool to obtain a distribution that best reproduced the entire normalised $f(T')$ dataset in Fig. 6d,
22 using the minimised value $\lambda = 3.4 \text{ K}^{-1}$ determined previously. This distribution ($\mu = 890.5$,
23 $\sigma = 3.8$) was then used to simulate an isothermal experiment. These simulations included the
24 initial cooling period required to reach the supercooled temperature. There is clear
25 consistency between the diverse simulation and the experimental data. This again shows
26 strong evidence that the K-feldspar sample used is a diverse species and would require a
27 multiple-component system to describe its freezing behaviour.

28 This example is important as it illustrates that for a diverse INP species with multiple active
29 components, the observed gradient ω of the derived $R/A(T)$ values from a single experiment
30 does not characterise its stochastic behaviour. For these species a series of experiments at
31 different cooling rates or residence times must be performed in order to determine the value
32 of λ that can be used to characterise its stochastic behaviour.

4.3 Mineral dust freezing experiments from the Zurich Ice Nucleation Chamber (ZINC)

Welti et al. (2012) (hereafter WELTI) studied the dependence of freezing probability on residence time for droplets containing particles of mineral dust using the ZINC continuous flow diffusion chamber. The mineral dust used by WELTI was supplied by the chemical company Fluka as kaolinite, but contained a range of minerals including feldspar and it has been suggested that it is this feldspar content which controls its ice nucleating ability (Atkinson et al., 2013). In their experiment WELTI size-selected single particles, immersed them in supercooled droplets, and passed the droplets into the ZINC instrument. Within ZINC the droplets experienced isothermal conditions and the frozen fraction was determined using a depolarization detector. Variable flow rates and a series of detection points provide a range of residence times, and by performing experiments at several temperature WELTI built up $f(T)$ curves for a range of residence times. For this study we use the data for 400 nm particles. The data is shown in Fig. 8a along with derived $n_s(T)$ and $R/A(T)$ values in b and c, respectively. Similar to the K-feldspar data the $R/A(T)$ values for the mineral dust do not fall onto a single line and show a separation between residence times consistent with a multiple-component system. Therefore, in order to determine the value of λ that describes the residence-time dependence, the same procedure was followed as in Sect. 4.2 for K-feldspar.

Each data point represents a single isothermal experiment with a single residence time, t . Hence, Eq. (21) can be used to modify each data point with $T' = T_{\text{experiment}} - \beta(t)$, assuming that the species can be characterised by a single value for λ . Using derived $n_s(T)$ values, with INP surface area per droplet calculated assuming a spherical particle 400nm in diameter as per the experiment, λ was systematically varied until the $n_s(T')$ values converged onto a single line, again described by an exponential fit to $\ln(n_s)$. This resulted in $\lambda = 2.19 \text{ K}^{-1}$ with a $\ln(n_s)$ RMSE of 0.047, and is shown in Fig. 8e. For comparison, an exponential fit describing the raw $n_s(T)$ data resulted in a RMSE of 0.076. The two exponential fits were used to reproduce the expected fraction frozen data for a 1 K min^{-1} cooling experiment, and are plotted along with the observed and normalised fraction frozen dataset in Fig. 8a and Fig. 8d respectively. The range of ω determined from the $\ln(R/A)$ fits in Fig. 8c was estimated as 1.2 K^{-1} at 240.5 K and 0.2 K^{-1} at 237.5 K. These values are lower than the minimised value of λ (2.19 K^{-1}) suggesting that the mineral dust sample used in the WELTI study is a diverse

1 species and requires a multiple-component model to describe its freezing behaviour, which
2 agrees with the conclusions of WELTI.

3 Similar to the kaolinite and K-feldspar examples the determined value of λ was used to
4 reproduce the expected decay of liquid droplets over time. With CFDC instruments the
5 cooling from ambient temperature to the experimental temperature is very rapid and therefore
6 the distribution of INP efficiency per droplet can be assumed to be represented by the
7 function of $n_s(T')$ determined in Fig. 8e. To calculate the expected decay of liquid droplets
8 with time Eq. (26) was used with the value of λ (2.19) determined previously. The
9 experimental data, along with the expected decay, is shown in Fig. 9. It can be seen that at
10 high temperatures (241 to 239 K) the FROST framework is able to reproduce the
11 experimental decay very well. However, at lower temperatures (238 to 236 K) there are large
12 differences, especially for longer residence times. The reported errors bars are large for the
13 lowest temperature data and suggest an increasing uncertainty with decreasing temperature.
14 Also the fraction of droplets frozen is expected to increase with decreasing temperature as
15 stated by WELTI. This suggests a potential experimental issue, which would explain the
16 discrepancies.

17 Here the FROST framework has been used to both normalise isothermal experiments
18 performed over a range of residence times, and determine a value of λ that can be used to
19 potentially describe the cooling-rate and time-dependent behaviour of this mineral dust in
20 simulations. This example additionally highlights the necessity to use relatively pure samples
21 in order to limit uncertainties due to multiple INP species.

22 **4.4 Volcanic ash from ZINC and AIDA**

23 In this final example the framework is used to normalise droplet freezing data from two
24 fundamentally different experimental methods. Following the eruption of Eyjafjallajökull in
25 Iceland during April 2010, a single sample of volcanic ash was collected and analysed to
26 investigate its freezing characteristics in the AIDA expansion chamber (Steinke et al. (2011),
27 hereafter STEINKE) and the ZINC ice nucleating chamber (Hoyle et al. (2011), hereafter
28 HOYLE). The ZINC instrument, as described in the previous section, was used to determine
29 the total fraction of droplets frozen over a range of temperatures ($230 \leq T \leq 247$ K) with a
30 residence time of 12 s at each temperature; each supercooled droplet contained a single
31 immersed particle, which ranged from ~ 0.1 to 3 μm in diameter, D . The 84 m^3 AIDA cloud

1 chamber is capable of simulating an ascending, cooling air parcel, and is coupled to an array
2 of instruments, which were used to determine the freezing characteristics of the same
3 volcanic ash sample; in this method the dust sample ($\sim 0.1 \leq D \leq \sim 15 \mu\text{m}$) is dispersed into
4 the cloud chamber prior to expansion.

5 The ice nucleating efficiencies of the two datasets were compared in Murray et al. (2012) and
6 the subsequent $f(T)$ and $n_s(T)$ values are reproduced in Figs. 10a and b, respectively.
7 Although the fraction frozen data appears to be consistent between studies, once plotted as
8 $n_s(T)$ it is clear that the two datasets, albeit with similar gradients, do not show good
9 agreement even though the same sample was used. Figure 10c shows the surface-area
10 normalised freezing rates, $R/A(T)$, calculated using the temporal conditions of each
11 experiment. For the HOYLE data the experimental residence time of 12 s was used, and for
12 the STEINKE a cooling rate of 1.074 K min^{-1} was used (determined from the point at which
13 water saturation was reached, until the elapsed time of the experiment had reached 300 s as
14 per Fig. 2 in STEINKE). Due to the non-cumulative nature of the STEINKE $f(T)$ dataset a
15 polynomial fit to the data was used to determine the differential fraction-frozen required to
16 calculate $R/A(T)$ values. The two datasets fall onto a single line with a $\ln(R/A)$ RMSE of 0.22
17 with a gradient $\omega = -\text{dln}(R/A)/\text{dT} = 0.55 \text{ K}^{-1}$. Following the previous two examples, λ was
18 systematically varied until the $n_s(T')$ values converged onto a single line described by an
19 exponential fit to $\ln(n_s)$, resulting in $\lambda = 0.60 \text{ K}^{-1}$. Applying this value to Eqs. (20) and (21)
20 results in $\beta(r) = -0.12 \text{ K}$ and $\beta(t) = -3.57 \text{ K}$ for the STEINKE and HOYLE dataset,
21 respectively. Figures 10d and e show the subsequently modified $f(T')$ and $n_s(T')$ data,
22 respectively. The modified fraction frozen data shows a difference between datasets due to
23 the larger surface-area per droplet in the HOYLE experiments (also evident in Fig. 10b). The
24 $n_s(T')$ data is shown in Fig. 10e, with a linear fit to the combined dataset producing a $\ln(n_s)$
25 RMSE of 0.25.

26 In this example ω (0.55 K^{-1}) and λ (0.60 K^{-1}) are similar, which suggests that this INP species
27 is reasonably described by a single-component system (where $\omega = \lambda$). On application of
28 $\lambda = \omega = 0.55 \text{ K}^{-1}$ a fit to the modified data produces a RMSE of 0.26, which is very similar to
29 the minimised RMSE value (0.25) used to determine λ , which supports this conclusion.
30 However, Murray et al. (2012), from which these data were reproduced, state that the average
31 surface-area per droplet determined for the HOYLE dataset may be over-predicted, which
32 could potentially impact these results. The n_s and R/A values would shift to higher values, and

1 subsequently ω would increase slightly and λ would also increase, but by a larger factor. In
2 this scenario $\omega < \lambda$ which would suggest that the volcanic ash sample is a
3 multiple-component system.

4 Fornea et al. (2009) also performed an immersion mode experiment using a volcanic ash
5 sample from Mount St. Helens. In their experiments single particles with a diameter of
6 $250 \leq D \leq 300 \mu\text{m}$ were immersed within five $2 \mu\text{L}$ droplets and each subjected to 25
7 freeze-thaw events on a cold-stage instrument. Additionally, as a means of testing the
8 sensitivity to cooling rate, droplets containing the same volcanic ash sample were subjected
9 to freeze-thaw cycles, but cooled at different rates (1 to 10 K min^{-1}). The freeze-thaw
10 experiments resulted in an average $\sigma_{T_{\text{freeze}}}$ of 2.0 K and the variable cooling experiments
11 resulted in a shift in the average freezing temperature by 3.6 K (upon a change from 1 to 10 K
12 min^{-1}) without any change in $\sigma_{T_{\text{freeze}}}$. Applying these data to the FROST framework Eqs. (10)
13 and (20) were used to determine λ , resulting in $\lambda = 0.635 \text{ K}^{-1}$ and $\lambda = 0.640 \text{ K}^{-1}$ for the freeze-
14 thaw and cooling experiments, respectively. The first important point worth noting is that
15 these two values, determined from distinct experimental and analysis methods, show very
16 good agreement. Secondly, a comparison to the values determined for the Eyjafjallajökull ash
17 sample ($\omega = 0.55 \text{ K}^{-1}$ and $\lambda = 0.60 \text{ K}^{-1}$) shows that there is a strong similarity with regards to
18 the magnitude of λ . Even though these volcanic ash samples are from different sources these
19 results suggest that they have similar time-dependent properties. These additional results
20 provide evidence that the λ value determined for the Eyjafjallajökull sample is robust, and
21 therefore supports the conclusion that the Eyjafjallajökull ash sample tested is a single-
22 component species.

23 **5 Discussion**

24 **5.1 The sensitivity of freezing probability to the time-dependence of** 25 **nucleation**

26 It is apparent that the stochastic behaviour of ice nucleation can manifest as both a
27 residence-time and cooling-rate dependence. For INP species characterised by a single value
28 of λ this collective time-dependence can be reconciled and predicted using the FROST
29 framework. Within this framework a change in cooling-rate or residence-time can be seen as
30 an equivalent shift in temperature along the function describing the nucleation rate. This

1 function is typically exponential and therefore can have a significant effect on the resulting
2 freezing probability.

3 A first-order indication of the potential importance of time-dependence is shown in Fig. 11
4 where values of β_{cool} and β_{iso} for $0.4 \geq \lambda \geq 10 \text{ K}^{-1}$ have been plotted. Each point represents the
5 shift of a specific fraction frozen, by a temperature $\beta \text{ K}$, that results from a fractional change
6 in either cooling-rate or residence-time for a species with a specific value of λ as per Eqs. (8)
7 and (9). This plot shows how materials with a small value of λ (corresponding to a shallow
8 gradient ω in a single-component system) are more sensitive to timescale; with a decreasing λ
9 corresponding to an increasing shift by β for the same change in timescale.

10 The values of λ from this study and other experimental datasets in the literature (Vali and
11 Stansbury, 1966; Vali, 2008; Fornea et al., 2009; Wright et al., 2013) have been included in
12 Fig. 11; the values and associated study are additionally shown in Table 2. In each case the
13 FROST framework was used to determine λ from cooling, isothermal and freeze-thaw
14 experiments as per Eqs. (20), (21), and (10). It is clear that atmospherically relevant INPs
15 exhibit a wide range of time-dependent behaviour. INP species that have a value of λ with a
16 large magnitude ($\lambda > 4 \text{ K}^{-1}$), such as IcemaxTM, and Arizona Test Dust (ATD), will exhibit
17 very little time-dependence and would likely be well approximated by a singular freezing
18 model. For those with a small magnitude (especially $\lambda < 1 \text{ K}^{-1}$) such as kaolinite KGa-1b,
19 volcanic ash, and black carbon, the significant cooling-rate and residence-time dependence
20 must be taken into account. It is interesting to note that in many previous studies into the role
21 of time-dependence (Vali and Stansbury, 1966; Vali, 2008; Welti et al., 2012), which formed
22 the basis of the argument that time dependence is of secondary importance, the materials used
23 have larger λ values and are therefore less sensitive to temporal conditions.

24 It is also apparent from Fig. 11 that more efficient INP tend to exhibit a larger value of λ .
25 This behaviour was also noted by Vali (2014). For example, bacterial INP and soils which
26 contain some of the most efficient INP we know of also have the largest values of λ .
27 Interestingly, CNT predicts that λ is larger at higher temperatures. However, there are also
28 exceptions to this ‘rule’. Values of λ determined from Fornea et al. (2009) for peat and
29 volcanic ash are very similar, but the peat sample nucleated ice at much warmer
30 temperatures. More work needs to be done on what factors control the value of λ .

1 The finding that ice nucleation by different materials has different sensitivities to time is
2 important because it changes the way we should frame the debate of whether
3 time-dependence plays an important role in ice nucleation. In the past the question has been
4 whether time-dependence is important, but this question should be rephrased to whether a
5 particular INP species has a strong time-dependence or not, and at what point this stops
6 having an impact on ice nucleation rates, i.e., is there a limiting value of λ beyond which the
7 singular freezing model is adequate?

8 **5.2 Representing complex INP populations in cloud models**

9 The range in time-dependent behaviour shown for the INP species in Fig. 11 leads to the
10 question of how to best implement this behaviour for a complex multiple-component INP
11 sample, or population, where each component has a characteristic time-dependence, within a
12 cloud model.

13 The time-dependence of a population of INPs containing many separate species may be
14 dominated by a single component, and therefore a single value or temperature dependent
15 function of λ . Where distinct components are dominant in different temperature ranges it
16 would be possible to have a temperature dependent function of λ to reflect the relative
17 dominance of each component. For multiple-species aerosol where no single component is
18 observably dominant, the population of particles/droplets would need to be split into separate
19 components and treated as an externally mixed population.

20 Several immersion mode freezing schemes have been developed that incorporate multiple
21 components in order to improve the treatment of INP populations in models: Diehl and
22 Wurzler (2010) used a simple fractional occurrence factor to model potential immersion
23 mode droplets containing bacterial, mineral and soot INPs; Phillips et al. (2008) used
24 classifications of dust/metallic, black carbon and organic aerosols in a similar method for
25 modelling a population of INP species; and Barahona (2012) introduced the *ice nucleation*
26 *spectrum* framework, capable of relating different aerosol properties to ice nucleation in the
27 deposition mode, with the potential to extend to immersion freezing.

28 Whilst these models are capable of describing separate species it may be more realistic to
29 represent a series of dominant components so that the time-dependence and inter-particle
30 variability can be accurately described for a complex, evolving INP population. To achieve
31 this, the λ characterisation of each component needs to be determined through a series of

1 isothermal and cooling experiments on INP samples that have very high purities. Commonly
2 tested samples, such as ATD and illite, are comprised of several mineralogical components
3 and may therefore contain multiple INP species. Once λ has been determined for the
4 individual or dominant component of the species then the normalised data can be used with
5 the FROST framework.

6 **6 Conclusions**

7 The range of instruments and techniques that are used for characterising the freezing
8 properties of INP species result in different temporal conditions, i.e. CFDC instruments
9 routinely use a constant temperature and residence time, whereas cold-stage instruments and
10 cloud chambers typically cool droplets at some rate to determine freezing behaviour. Taking
11 into account the differences in timescale between these experiments and translating this
12 information to cloud formation in the atmosphere has been a challenge.

13 In this study we have developed a new framework to address this challenge. This framework
14 is underpinned by the finding that the temperature shift observed upon a change in cooling
15 rate is directly related to the slope $-\text{dln}(J_{s,i})/\text{dT}$ (λ). We also extended this relationship to
16 freezing experiments conducted under isothermal conditions with varying residence times,
17 and the variability in freezing temperature observed in freeze-thaw experiments. We refer to
18 this framework as the Framework for Reconciling Observable Stochastic Time-dependence
19 (FROST) and use it in combination with the singular freezing model. Therefore the FROST
20 framework can be used to describe both the inter-particle variability and the stochastic nature
21 of ice nucleation within a simple parameterisation.

22 To test the FROST framework, data obtained from a variety of instruments (including the
23 ZINC, AIDA expansion chamber and two cold-stage instruments) were analysed to determine
24 the value for λ that best described the observed time-dependence of each species. It is striking
25 that the parameter λ depends strongly on the material, with more efficient INPs tending to
26 have the largest λ , and therefore weakest time-dependence, whereas less efficient INPs such
27 as kaolinite (KGa-1b) have the smallest λ , and therefore strongest time-dependence. More
28 work is needed in order to quantify λ for other atmospherically relevant INPs.

29

1 Appendix A

2 Glossary of terms

Notation	Description
$J_{s,i}(T)$	The nucleation rate coefficient ($\text{cm}^{-2} \text{s}^{-1}$) for a single component i .
λ	The temperature dependence (K^{-1}) of the nucleation rate coefficient of a single component $-\text{dln}(J_{s,i})/\text{dT}$.
$R/A(T)$	The freezing rate, R , normalised to surface-area ($\text{cm}^{-2} \text{s}^{-1}$) derived from experimental data using Eq. (1) and initially assuming a uniform INP species so that $R/A = J_{s,i}$.
ω	The temperature dependence (K^{-1}) of the normalised freezing rate $-\text{dln}(R/A)/\text{dT}$. If $\omega = \lambda$ then the species being tested is uniform and $R/A = J_{s,i}$, whereas if $\omega \neq \lambda$ then the species being tested is not uniform and $R/A \neq J_{s,i}$.
β	Systematic shift in temperature (K) of the fraction frozen $f(T)$ upon a temporal change.
$\beta(r)$	Systematic shift in temperature (K) of the fraction frozen $f(T)$ as a function of cooling rate (r) in K min^{-1} upon normalising to a cooling rate of 1 K min^{-1} .
$\beta(t)$	Systematic shift in temperature (K) of the fraction frozen $f(T)$ as a function of residence-time (t) in seconds upon normalising to a cooling rate of 1 K min^{-1} .
T'	The modified temperature of an experimentally determined data point normalised to a cooling experiment at 1 K min^{-1} where $T' = T_{\text{experiment}} + \beta$.
$n_s(T)$	Ice active site density, (cm^{-2}) derived from experimental data using Eq. (23).
$n_s(T')$	$n_s(T)$ modified by a temperature $\beta \text{ K}$ as above, thus normalising all data points to a cooling rate of 1 K min^{-1} .

$f(T')$ The cumulative fraction frozen, $f(T)$ modified by a temperature β K, thus normalising all data points to a cooling rate of 1 K min⁻¹.

1

2 **Acknowledgements**

3 We thank A. Welti for kindly providing the kaolinite (K-SA) dataset and D. O’Sullivan for
4 helpful discussions. Two anonymous reviewers are also thanked for their valuable comments
5 and suggestions. We thank G. Vali for working with us in a collaborative effort to generate a
6 consistent set of nomenclature between this paper and his recent paper in this journal. We
7 gratefully acknowledge the European Research Council (FP7, 240449 ICE) and the Natural
8 Environment Research Council (NE/I019057/1; NE/K004417/1; NE/I020059/1;
9 NE/I013466/1; NE/H001050/1; NE/D009308/1) for funding.

10

1 **References**

2

3 Ansmann, A., Mattis, I., Müller, D., Wandinger, U., Radlach, M., Althausen, D., and
4 Damoah, R.: Ice formation in Saharan dust over central Europe observed with
5 temperature/humidity/aerosol Raman lidar, *Journal of Geophysical Research:*
6 *Atmospheres*, 110, D18S12, 10.1029/2004jd005000, 2005.

7 Ansmann, A., Tesche, M., Seifert, P., Althausen, D., Engelmann, R., Fruntke, J.,
8 Wandinger, U., Mattis, I., and Müller, D.: Evolution of the ice phase in tropical
9 altocumulus: SAMUM lidar observations over Cape Verde, *J Geophys Res-Atmos*,
10 114, D17208, Doi 10.1029/2008jd011659, 2009.

11 Atkinson, J. D., Murray, B. J., Woodhouse, M. T., Whale, T. F., Baustian, K. J.,
12 Carslaw, K. S., Dobbie, S., O'Sullivan, D., and Malkin, T. L.: The importance of
13 feldspar for ice nucleation by mineral dust in mixed-phase clouds, *Nature*, 498, 355-
14 358, 10.1038/nature12278, 2013.

15 Barahona, D.: On the ice nucleation spectrum, *Atmos. Chem. Phys.*, 12, 3733-3752,
16 DOI 10.5194/acp-12-3733-2012, 2012.

17 Broadley, S. L., Murray, B. J., Herbert, R. J., Atkinson, J. D., Dobbie, S., Malkin, T.
18 L., Condliffe, E., and Neve, L.: Immersion mode heterogeneous ice nucleation by an
19 illite rich powder representative of atmospheric mineral dust, *Atmos. Chem. Phys.*,
20 12, 287-307, DOI 10.5194/acp-12-287-2012, 2012.

21 Conen, F., Morris, C. E., Leifeld, J., Yakutin, M. V., and Alewell, C.: Biological
22 residues define the ice nucleation properties of soil dust, *Atmos. Chem. Phys.*, 11,
23 9643-9648, DOI 10.5194/acp-11-9643-2011, 2011.

24 Connolly, P. J., Möhler, O., Field, P. R., Saathoff, H., Burgess, R., Choulaton, T.,
25 and Gallagher, M.: Studies of heterogeneous freezing by three different desert dust
26 samples, *Atmos. Chem. Phys.*, 9, 2805-2824, 10.5194/acp-9-2805-2009, 2009.

27 Cui, Z. Q., Carslaw, K. S., Yin, Y., and Davies, S.: A numerical study of aerosol
28 effects on the dynamics and microphysics of a deep convective cloud in a
29 continental environment, *J Geophys Res-Atmos*, 111, D05201, Doi
30 10.1029/2005jd005981, 2006.

31 Cziczo, D. J., Stetzer, O., Worringer, A., Ebert, M., Weinbruch, S., Kamphus, M.,
32 Gallavardin, S. J., Curtius, J., Borrmann, S., Froyd, K. D., Mertes, S., Mohler, O., and
33 Lohmann, U.: Inadvertent climate modification due to anthropogenic lead, *Nature*
34 *Geosci.*, 2, 333-336, Doi 10.1038/Ngeo499, 2009.

35 de Boer, G., Morrison, H., Shupe, M. D., and Hildner, R.: Evidence of liquid
36 dependent ice nucleation in high-latitude stratiform clouds from surface remote
37 sensors, *Geophys. Res. Lett.*, 38, n/a-n/a, 10.1029/2010gl046016, 2011.

- 1 Demott, P. J.: Quantitative Descriptions of Ice Formation Mechanisms of Silver
2 Iodide-Type Aerosols, *Atmos. Res.*, 38, 63-99, Doi 10.1016/0169-8095(94)00088-U,
3 1995.
- 4 Diehl, K., and Wurzler, S.: Air parcel model simulations of a convective cloud:
5 Bacteria acting as immersion ice nuclei, *Atmos. Environ.*, 44, 4622-4628, DOI
6 10.1016/j.atmosenv.2010.08.003, 2010.
- 7 Dobbie, S., and Jonas, P.: Radiative influences on the structure and lifetime of cirrus
8 clouds, *Q. J. R. Meteorol. Soc.*, 127, 2663-2682, Doi 10.1256/Smsqj.57807, 2001.
- 9 Durant, A. J., and Shaw, R. A.: Evaporation freezing by contact nucleation inside-
10 out, *Geophys. Res. Lett.*, 32, L20814, Doi 10.1029/2005gl024175, 2005.
- 11 Ervens, B., and Feingold, G.: Sensitivities of immersion freezing: Reconciling
12 classical nucleation theory and deterministic expressions, *Geophys. Res. Lett.*, 40,
13 3320-3324, Doi 10.1002/Grl.50580, 2013.
- 14 Field, P. R., Heymsfield, A. J., Shipway, B. J., DeMott, P. J., Pratt, K. A., Rogers, D.
15 C., Stith, J., and Prather, K. A.: Ice in Clouds Experiment–Layer Clouds. Part II:
16 Testing Characteristics of Heterogeneous Ice Formation in Lee Wave Clouds, *J.*
17 *Atmos. Sci.*, 69, 1066-1079, 10.1175/jas-d-11-026.1, 2012.
- 18 Fornea, A. P., Brooks, S. D., Dooley, J. B., and Saha, A.: Heterogeneous freezing of
19 ice on atmospheric aerosols containing ash, soot, and soil, *J Geophys Res-Atmos*,
20 114, D13201, Doi 10.1029/2009jd011958, 2009.
- 21 Hartmann, D. L., Ockert-Bell, M. E., and Michelsen, M. L.: The Effect of Cloud Type
22 on Earth's Energy Balance: Global Analysis, *J. Clim.*, 5, 1281-1304, 10.1175/1520-
23 0442(1992)005<1281:teocto>2.0.co;2, 1992.
- 24 Heneghan, A. F., Wilson, P. W., Wang, G. M., and Haymet, A. D. J.: Liquid-to-crystal
25 nucleation: Automated lag-time apparatus to study supercooled liquids, *J Chem*
26 *Phys*, 115, 7599-7608, Doi 10.1063/1.1407290, 2001.
- 27 Hoose, C., and Mohler, O.: Heterogeneous ice nucleation on atmospheric aerosols:
28 a review of results from laboratory experiments, *Atmos. Chem. Phys.*, 12, 9817-
29 9854, DOI 10.5194/acp-12-9817-2012, 2012.
- 30 Hoyle, C. R., Pinti, V., Welti, A., Zobrist, B., Marcolli, C., Luo, B., Höskuldsson, Á.,
31 Mattsson, H. B., Stetzer, O., Thorsteinsson, T., Larsen, G., and Peter, T.: Ice
32 nucleation properties of volcanic ash from Eyjafjallajökull, *Atmos. Chem. Phys.*, 11,
33 9911-9926, 10.5194/acp-11-9911-2011, 2011.
- 34 Kashchiev, D., Borissova, A., Hammond, R. B., and Roberts, K. J.: Effect of cooling
35 rate on the critical undercooling for crystallization, *Journal of Crystal Growth*, 312,
36 698-704, 10.1016/j.jcrysgr.2009.12.031, 2009.
- 37 Kulkarni, G., and Dobbie, S.: Ice nucleation properties of mineral dust particles:
38 determination of onset RHi, IN active fraction, nucleation time-lag, and the effect of

- 1 active sites on contact angles, *Atmos. Chem. Phys.*, 10, 95-105, 10.5194/acp-10-95-
2 2010, 2010.
- 3 Ladino, L., Stetzer, O., Lüönd, F., Welti, A., and Lohmann, U.: Contact freezing
4 experiments of kaolinite particles with cloud droplets, *Journal of Geophysical*
5 *Research: Atmospheres*, 116, D22202, 10.1029/2011jd015727, 2011.
- 6 Lau, K. M., and Wu, H. T.: Warm rain processes over tropical oceans and climate
7 implications, *Geophys. Res. Lett.*, 30, 2290, Doi 10.1029/2003gl018567, 2003.
- 8 Levine, J.: Statistical explanation of spontaneous freezing of water droplets, NACA
9 Tech. Note, 2234, 1950.
- 10 Lüönd, F., Stetzer, O., Welti, A., and Lohmann, U.: Experimental study on the ice
11 nucleation ability of size-selected kaolinite particles in the immersion mode, *Journal*
12 *of geophysical research*, 115, D14201, 10.1029/2009jd012959, 2010.
- 13 Marcolli, C., Gedamke, S., Peter, T., and Zobrist, B.: Efficiency of immersion mode
14 ice nucleation on surrogates of mineral dust, *Atmos. Chem. Phys.*, 7, 5081-5091,
15 10.5194/acp-7-5081-2007, 2007.
- 16 Moreno, L. A. L., Stetzer, O., and Lohmann, U.: Contact freezing: a review of
17 experimental studies, *Atmos. Chem. Phys.*, 13, 9745-9769, DOI 10.5194/acp-13-
18 9745-2013, 2013.
- 19 Mortazavi, R., Hayes, C. T., and Ariya, P. A.: Ice nucleation activity of bacteria
20 isolated from snow compared with organic and inorganic substrates, *Environ. Chem.*,
21 5, 373-381, 10.1071/EN08055, 2008.
- 22 Murray, B. J., Broadley, S. L., Wilson, T. W., Bull, S. J., Wills, R. H., Christenson, H.
23 K., and Murray, E. J.: Kinetics of the homogeneous freezing of water, *Phys. Chem.*
24 *Chem. Phys.*, 12, 10380-10387, 10.1039/c003297b, 2010.
- 25 Murray, B. J., Broadley, S. L., Wilson, T. W., Atkinson, J. D., and Wills, R. H.:
26 Heterogeneous freezing of water droplets containing kaolinite particles, *Atmos.*
27 *Chem. Phys.*, 11, 4191-4207, 10.5194/acp-11-4191-2011, 2011.
- 28 Murray, B. J., O'Sullivan, D., Atkinson, J. D., and Webb, M. E.: Ice nucleation by
29 particles immersed in supercooled cloud droplets, *Chem Soc Rev*, 41, 6519-6554,
30 10.1039/c2cs35200a, 2012.
- 31 Niedermeier, D., Hartmann, S., Shaw, R. A., Covert, D., Mentel, T. F., Schneider, J.,
32 Poulain, L., Reitz, P., Spindler, C., Clauss, T., Kiselev, A., Hallbauer, E., Wex, H.,
33 Mildenerger, K., and Stratmann, F.: Heterogeneous freezing of droplets with
34 immersed mineral dust particles - measurements and parameterization, *Atmos.*
35 *Chem. Phys.*, 10, 3601-3614, 10.5194/acp-10-3601-2010, 2010.
- 36 Niedermeier, D., Shaw, R. A., Hartmann, S., Wex, H., Clauss, T., Voigtlander, J.,
37 and Stratmann, F.: Heterogeneous ice nucleation: exploring the transition from

- 1 stochastic to singular freezing behavior, *Atmos. Chem. Phys.*, 11, 8767-8775, DOI
2 10.5194/acp-11-8767-2011, 2011.
- 3 O'Sullivan, D., Murray, B. J., Malkin, T. L., Whale, T. F., Umo, N. S., Atkinson, J. D.,
4 Price, H. C., Baustian, K. J., Browse, J., and Webb, M. E.: Ice nucleation by fertile
5 soil dusts: relative importance of mineral and biogenic components, *Atmos. Chem.*
6 *Phys.*, 14, 1853-1867, DOI 10.5194/acp-14-1853-2014, 2014.
- 7 Phillips, V. T. J., DeMott, P. J., and Andronache, C.: An Empirical Parameterization
8 of Heterogeneous Ice Nucleation for Multiple Chemical Species of Aerosol, *J. Atmos.*
9 *Sci.*, 65, 2757-2783, doi:10.1175/2007JAS2546.1, 2008.
- 10 Pruppacher, H. R.: A New Look at Homogeneous Ice Nucleation in Supercooled
11 Water Drops, *J. Atmos. Sci.*, 52, 1924-1933, 10.1175/1520-
12 0469(1995)052<1924:ANLAHI>2.0.CO;2, 1995.
- 13 Pruppacher, H. R., and Klett, J. D.: *Microphysics of Clouds and Precipitation*, 2 ed.,
14 Kulwer Academic Publishers, Dordrecht, 1997.
- 15 Sassen, K., and Khvorostyanov, V. I.: Microphysical and radiative properties of
16 mixed-phase altocumulus: A model evaluation of glaciation effects, *Atmos. Res.*, 84,
17 390-398, DOI 10.1016/j.atmosres.2005.08.017, 2007.
- 18 Sear, R. P.: Generalisation of Levine's prediction for the distribution of freezing
19 temperatures of droplets: a general singular model for ice nucleation, *Atmos. Chem.*
20 *Phys.*, 13, 7215-7223, DOI 10.5194/acp-13-7215-2013, 2013.
- 21 Stan, C. A., Schneider, G. F., Shevkoplyas, S. S., Hashimoto, M., Ibanescu, M.,
22 Wiley, B. J., and Whitesides, G. M.: A microfluidic apparatus for the study of ice
23 nucleation in supercooled water drops, *Lab Chip*, 9, 2293-2305, 10.1039/b906198c,
24 2009.
- 25 Steinke, I., Möhler, O., Kiselev, A., Niemand, M., Saathoff, H., Schnaiter, M.,
26 Skrotzki, J., Hoose, C., and Leisner, T.: Ice nucleation properties of fine ash particles
27 from the Eyjafjallajökull eruption in April 2010, *Atmos. Chem. Phys.*, 11, 12945-
28 12958, 10.5194/acp-11-12945-2011, 2011.
- 29 Vali, G., and Stansbury, E. J.: Time-Dependent characteristics of the heterogeneous
30 nucleation of ice, *Canadian Journal of Physics*, 44, 477 - 502, 10.1139/p66-044,
31 1966.
- 32 Vali, G.: Quantitative Evaluation of Experimental Results on the Heterogeneous
33 Freezing Nucleation of Supercooled Liquids, *J. Atmos. Sci.*, 28, 402-409,
34 doi:10.1175/1520-0469(1971)028<0402:QEOERA>2.0.CO;2, 1971.
- 35 Vali, G.: Nucleation terminology, *J. Aerosol Sci.*, 16, 575-576, 10.1016/0021-
36 8502(85)90009-6, 1985.
- 37 Vali, G.: Freezing Rate Due to Heterogeneous Nucleation, *J. Atmos. Sci.*, 51, 1843-
38 1856, doi:10.1175/1520-0469(1994)051<1843:FRDTHN>2.0.CO;2, 1994.

- 1 Vali, G.: Repeatability and randomness in heterogeneous freezing nucleation,
2 Atmos. Chem. Phys., 8, 5017-5031, 10.5194/acp-8-5017-2008, 2008.
- 3 Vali, G.: Interpretation of freezing nucleation experiments: singular and stochastic;
4 sites and surfaces, Atmos. Chem. Phys., 14, 5271-5294, 10.5194/acp-14-5271-
5 2014, 2014.
- 6 Welti, A., Lüönd, F., Kanji, Z. A., Stetzer, O., and Lohmann, U.: Time dependence of
7 immersion freezing: an experimental study on size selected kaolinite particles,
8 Atmos. Chem. Phys., 12, 9893-9907, DOI 10.5194/acp-12-9893-2012, 2012.
- 9 Westbrook, C. D., and Illingworth, A. J.: The formation of ice in a long-lived
10 supercooled layer cloud, Q. J. R. Meteorol. Soc., 139, 2209-2221, 10.1002/qj.2096,
11 2013.
- 12 Whale, T. F., Murray, B. J., O'Sullivan, D., Umo, N. S., Baustian, K. J., Atkinson, J.
13 D., and Morris, G. J.: A technique for quantifying rare ice nucleation events, In prep.,
14 2014.
- 15 Wilson, P. W., and Haymet, A. D. J.: The Spread of Nucleation Temperatures of a
16 Sample of Supercooled Liquid Is Independent of the Average Nucleation
17 Temperature, The Journal of Physical Chemistry B, 116, 13472-13475,
18 10.1021/jp308177b, 2012.
- 19 Wolber, P. K., Deininger, C. A., Southworth, M. W., Vandekerckhove, J., van
20 Montagu, M., and Warren, G. J.: Identification and purification of a bacterial ice-
21 nucleation protein, Proc Natl Acad Sci U S A, 83, 7256-7260, 1986.
- 22 Wright, T. P., and Petters, M. D.: The role of time in heterogeneous freezing
23 nucleation, J Geophys Res-Atmos, 118, 3731-3743, Doi 10.1002/Jgrd.50365, 2013.
- 24 Wright, T. P., Petters, M. D., Hader, J. D., Morton, T., and Holder, A. L.: Minimal
25 cooling rate dependence of ice nuclei activity in the immersion mode, Journal of
26 Geophysical Research: Atmospheres, 118, 10,535-510,543, 10.1002/jgrd.50810,
27 2013.
- 28 Zhang, D., Wang, Z., and Liu, D.: A global view of midlevel liquid-layer topped
29 stratiform cloud distribution and phase partition from CALIPSO and CloudSat
30 measurements, Journal of Geophysical Research: Atmospheres, 115, D00H13,
31 10.1029/2009jd012143, 2010.

32
33

1 Tables

2 Table 1. The range of MCSM variables used for droplet cooling simulations in Fig. 3: λ is $-\text{dln}(J_{s,i})/\text{dT}$; μ and σ are the mean
 3 and standard deviation of the PDF used to constrain the occurrence of each component with ‘formula’ referring to
 4 $\mu = 240\lambda + 14.8$; surface area of immersed INP per droplet A ; and the fraction f at which the change in temperature ($\Delta T =$
 5 $T(f_1) - T(f_2)$) for a change in cooling rate r is calculated. All simulations were performed at cooling rates of 1 and 10 K min⁻¹.

Gradient λ / K^{-1}	PDF mean μ	PDF width σ	Surface area A	Fraction f
$0.2 \leq \lambda \leq 14$	formula	1	$1 \times 10^{-7} \text{ cm}^2$	0.5
$0.1 \leq \lambda \leq 16$	formula	0.1	$5 \times 10^{-7} \text{ cm}^2$	0.5
$0.04 \leq \lambda \leq 10$	formula	1	$10 \times 10^{-7} \text{ cm}^2$	0.25
$1 \leq \lambda \leq 16$	formula	5	$1 \times 10^{-7} \text{ cm}^2$	0.25
$2 \leq \lambda \leq 16$	formula + 10	10	$1 \times 10^{-7} \text{ cm}^2$	0.75
$0.02 \leq \lambda \leq 0.1$	formula	1	$1 \times 10^{-7} \text{ cm}^2$	0.1
0.03	$\mu_1 = 9, \mu_2 = 12$	$\sigma_1 = 0.1, \sigma_2 = 2$	$1 \times 10^{-7} \text{ cm}^2$	0.5
1.0	$\mu_1 = 255, \mu_2 = 265$	$\sigma_1 = 1, \sigma_2 = 2$	$1 \times 10^{-7} \text{ cm}^2$	0.5
5.0	$\mu_1 = 1255, \mu_2 = 1260$	$\sigma_1 = 1, \sigma_2 = 5$	$1 \times 10^{-7} \text{ cm}^2$	0.5
10.0	$\mu_1 = 2455, \mu_2 = 2465,$ $\mu_3 = 2460$	$\sigma_1 = 1, \sigma_2 = 1, \sigma_3 = 5$	$1 \times 10^{-7} \text{ cm}^2$	0.5

6

7 Table 2. Summary of λ values from various immersion mode studies determined using the FROST framework.

Study and experimental method	Material	λ / K^{-1}
Vali and Stansbury (1966) - <i>cooling</i>	Distilled water	3.5
Vali (2008) - <i>freeze-thaw</i>	Soil	6.3
	Distilled water	3.0
Fornea et al. (2009) - <i>freeze-thaw</i>	Black carbon	0.4
	Volcanic ash (Mt. St. Helens)	0.6
	Peat	0.7
Fornea et al. (2009) - <i>cooling</i>	Volcanic ash (Mt. St. Helens)	0.6
Hoyle et al. (2011) - <i>isothermal</i>	Volcanic ash (Eyjafjallajökull)	0.6
& Steinke et al. (2011) - <i>cooling</i>		
Welti et al. (2012) - <i>isothermal</i>	Kaolinite Fluka	2.2
Wright et al. (2013) - <i>freeze-thaw</i>	Icemax TM	2.9
	ATD	2.3
	Montmorillonite	0.9
	Kaolinite KGa-2b	2.2
	Flame soot	1.7

	Filtered rain #1	1.3
	Filtered rain #2	2.0
	Filtered rain #3	2.6
	Filtered rain #4	1.9
	Unfiltered rain #1	1.6
	Unfiltered rain #2	1.4
	Unfiltered rain #3	1.9
Wright et al. (2013) – <i>cooling</i>	Icemax [™]	23.0
	ATD	4.4
	Montmorillonite	1.8
	Kaolinite KGa-2b	1.7
	Flame soot	1.4
	Filtered rain #3	4.6
	Filtered rain #4	4.6
	Unfiltered rain #1	23.0
This study – <i>cooling and isothermal</i>	Kaolinite KGa-1b	1.1
	K-feldspar	3.4

1

2 Figure captions

3 Fig. 1. Principles of the Multiple Component Stochastic Model. Each symbol represents a sub-population approximated by a
4 single-component system, as shown in (a), with gradient $-\ln(J)/dT = \lambda$ and intercept φ (proxy for nucleating efficiency). The
5 probability of occurrence for each component, characterised by φ , is determined using a statistical distribution, as depicted in
6 (b), with a mean μ and standard deviation σ . Applying this probability to a population of droplets results in an ensemble of
7 droplets exhibiting a range of nucleating efficiencies as in (c).

8

9 Fig. 2. Illustration of how the systematic shift in temperature (β) observed for a change in cooling rate is independent of the
10 variability in ice nucleating ability. $f(T)$ curves shown are for a uniform ($\sigma = 0.01$) and diverse ($\sigma = 20$) INP population
11 where $\lambda = 2 \text{ K}^{-1}$, and cooled at a constant rate of 1 K min^{-1} (solid line) and 10 K min^{-1} (dashed line). β corresponds to the
12 shift in temperature (K) observed when 50 % of droplets have frozen.

13

14 Fig. 3. A direct relationship between λ ($-\ln(J_{s,i})/dT$) and β (the shift in freezing temperature upon a factor of 10 change in
15 cooling rate) is observed for all droplet cooling simulations. For each set of runs λ was systematically increased whilst the
16 following variables were set: mean (μ) and standard deviation (σ) of the PDF, surface area of particle per droplet (A), and the
17 fraction at which the change in temperature was calculated (f). More information can be found in Table 1.

18

19 Fig. 4. Droplet freezing data for kaolinite (KGa-1b). (a) shows freezing rates normalised to surface area, R/A , against
20 temperature determined from droplet freezing experiments with a range of cooling rates. Open symbols represent
21 PICOLITRE experiments from Murray et al. (2011) and closed symbols represent MICROLITRE experiments. The black
22 dashed line shows a linear fit to all data ($\ln(R/A) = -1.12T + 280$). Temperature uncertainty for the MICROLITRE data (not
23 shown) is estimated at $\pm 0.4 \text{ K}$, and uncertainty in R/A (not shown) is estimated at -17% and $+25 \%$. (b) shows the

1 exponential decay of liquid droplets during an isothermal experiment at 255.15 K together with a modelled experiment at the
2 same temperature using the linear fit to all data in (a). The grey area follows the experimental uncertainty in T around the
3 modelled isothermal. The experiment duration was 17 minutes, at which point one droplet remained unfrozen.

4
5 Fig. 5. The freezing of droplets containing kaolinite (KGa-1b) in cooling experiments (MICROLITRE). (a) Raw $f(T)$ data,
6 (b) derived $R/A(T)$, (c) $n_s(T)$ values, (d) the corresponding normalised $f(T')$ data, and (e) normalised $n_s(T')$. Data was
7 normalised using the value of λ determined directly from the linear fit to $\ln(R/A)$ against T in Fig. 4a and reproduced in (c).
8 Temperature and R/A uncertainty is as in Fig. 4. Uncertainty in n_s (not shown) is estimated as $\pm 20\%$.

9
10 Fig. 6. The freezing of droplets containing K-feldspar for a range of cooling rates. Layout as in Fig. 5. Brackets beside the
11 cooling rates indicate the number of experiments performed and subsequently combined. Linear fits to derived $\ln(R/A)$
12 values for runs at 0.2 and 2.0 K min^{-1} are shown as solid lines in (c) resulting in $\omega = 0.85$ and 0.9 K^{-1} , respectively. Modified
13 $n_s(T)$ data was minimised in order to determine a value of λ that best describes the cooling rate dependence, resulting in $\lambda =$
14 3.4 K^{-1} . In this example $\omega \neq \lambda$ suggesting that K-feldspar is a diverse INP species and behaves as a multiple-component
15 system. The dashed line in (e) is a fit to K-feldspar experimental data taken from Atkinson et al. (2013). Temperature
16 uncertainty is as in Fig. 4, and uncertainty in n_s and R/A (not shown) is estimated as $\pm 25\%$.

17
18 Fig. 7. Decay of liquid droplets containing K-feldspar in an isothermal experiment at $T_{\text{iso}} = 262.15 \text{ K}$, and simulated
19 experiments assuming a uniform and diverse distribution. For the uniform distribution $J_s(T_{\text{iso}})$ values were taken from Fig. 6a
20 (thus assuming a single-component system where $J_s = R/A$) and used with Eq. (1), resulting in a decay bounded by the range
21 of R/A between the two cooling rates of 0.2 and 2.0 K min^{-1} . For the diverse simulation the MCSM was used with parameters
22 determined through fitting to the normalised K-feldspar dataset in Fig. 6: $\mu = 890.5$, $\sigma = 3.8$ (see Fig. 1), and $\lambda = 3.4 \text{ K}^{-1}$
23 (determined in Fig. 6). The shaded regions follow the instrument-based error of $\pm 0.4 \text{ K}$ around T_{iso} . The triangular symbols
24 indicate when freezing events occurred throughout the 120 minute duration of the experiment.

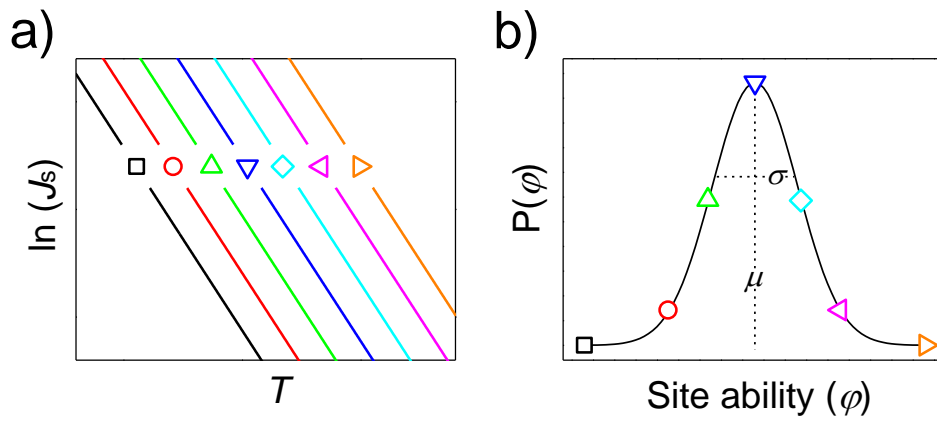
25
26 Fig. 8. The freezing of droplets containing size-selected 400 nm kaolinite (Fluka) particles in a CFDC instrument from Welti
27 et al. (2012). Layout as in Fig. 5. Residence times at constant temperature ranged from 1.11 to 21.4 s at temperatures from
28 236 to 241 K. $R/A(T)$ values, shown in (c), do not fall onto a single line and exhibit a consistent separation with increasing
29 residence time. Modified $n_s(T')$ data were minimised in order to determine a value of λ that best describes the time-
30 dependence, resulting in $\lambda = 2.19 \text{ K}^{-1}$. The minimised $n_s(T')$ values and corresponding fit (RMSE = 0.047) are shown in (e).
31 For comparison the same fitting function was applied to the raw $n_s(T)$ data (RMSE = 0.076) and is shown in (b). These two
32 functions were used to reproduce a 1 K min^{-1} cooling experiment and are shown as dashed lines in (a) and (d). Error bars are
33 reproduced from Welti et al. (2012).

34
35 Fig. 9. Experimental fraction unfrozen data for droplets containing Fluka kaolinite (symbols) from Fig. 8a (Welti et al.,
36 2012) plotted as a function of time and temperature. We also plot the expected decay of liquid droplets with time determined
37 using Eq. (26) with the function of $n_s(T')$ in Fig. 8e and $\lambda = 2.19 \text{ K}^{-1}$. The expected decay at each temperature is shown as a
38 dashed line.

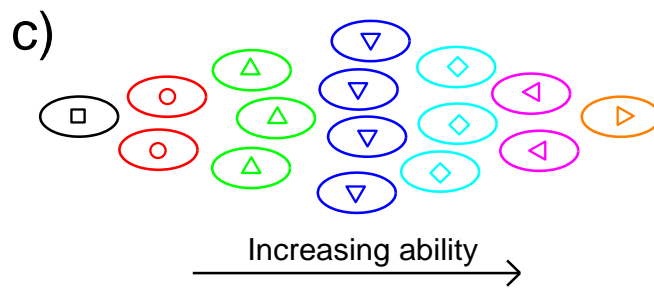
39
40 Fig. 10. Freezing of droplets containing volcanic ash sampled from the Eyjafjallajökull eruption in 2010. Layout as in Fig. 5.
41 Red circles represent data presented in Hoyle et al. (2011) using the ZINC instrument, and blue squares represent data from
42 Steinke et al. (2011) using the AIDA expansion chamber. $n_s(T)$ data in (b) was reproduced from Murray et al. (2012); $f(T)$
43 values in (a) were also determined from this dataset. A fit to determined R/A values in (c) resulted in $\omega = 0.55 \text{ K}^{-1}$. The raw
44 $n_s(T)$ data was modified by iteratively decreasing λ until $n_s(T')$ values collapsed on a single line, resulting in $\lambda = 0.60 \text{ K}^{-1}$. The
45 similarity in ω and λ suggests that this volcanic ash sample behaves as a single-component system.

46
47 Fig. 11. The shift in temperature $\beta \text{ K}$ that will result from a fractional change in cooling rate or residence time as a function
48 of λ . Values include those determined from (i) this study, (ii) Wright et al. (2013) cooling experiments, (iii) Wright et al.
49 (2013) freeze-thaw experiments, (iv) Fornea et al. (2009), (v) Vali (2008), and (vi) Vali and Stansbury (1966). INP samples
50 are colour coded depending on INP type. Blue (solid and dashed) arrows correspond to rain samples (unfiltered and filtered)
51 from the freeze-thaw experiments presented in Wright et al. (2013).

1



2



3

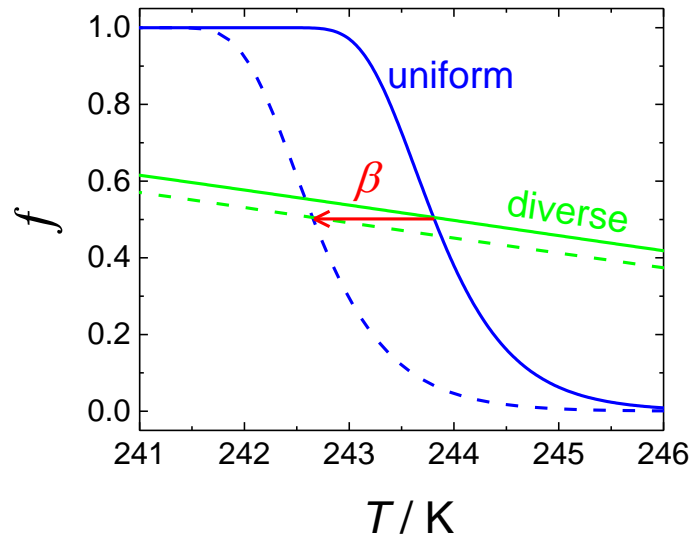
4

5

6

Fig. 1

1



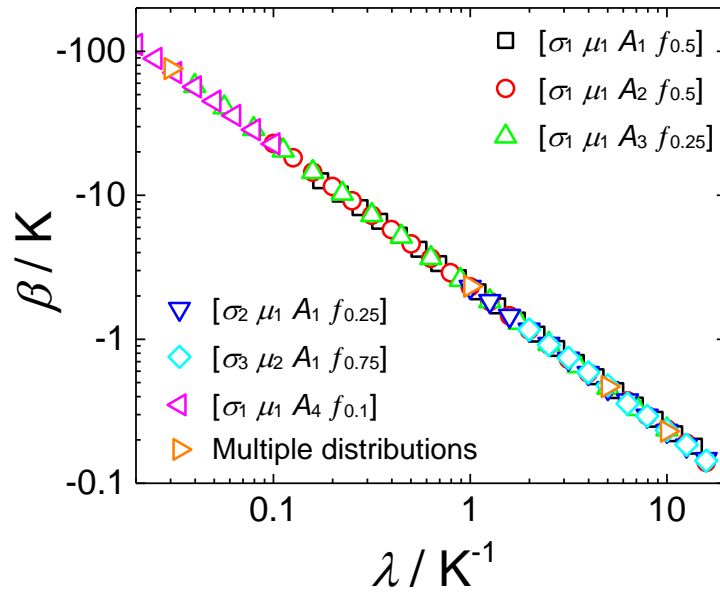
2

3

4

Fig. 2

1

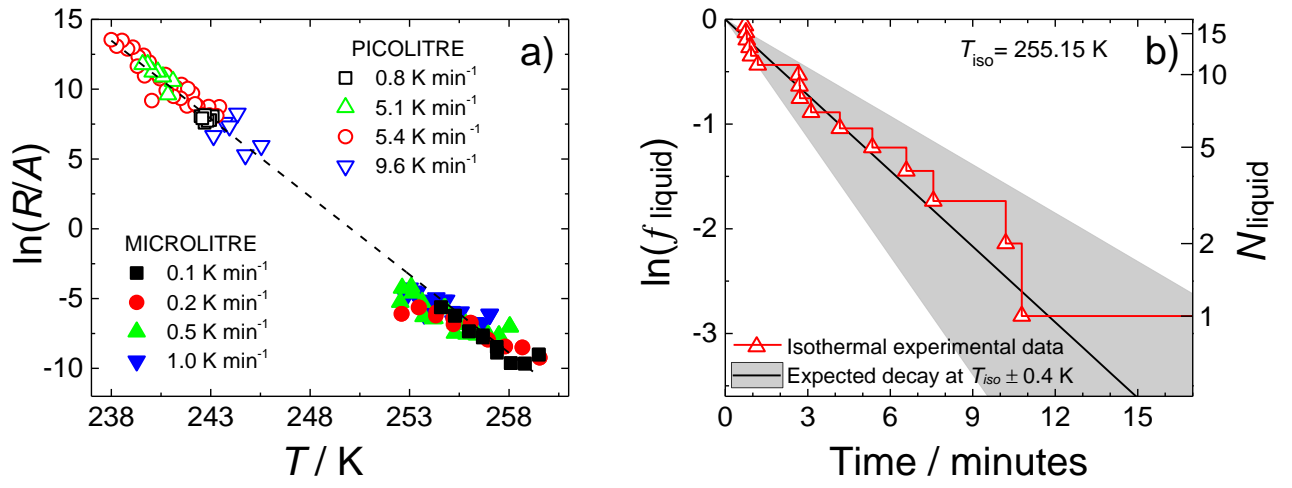


2

3

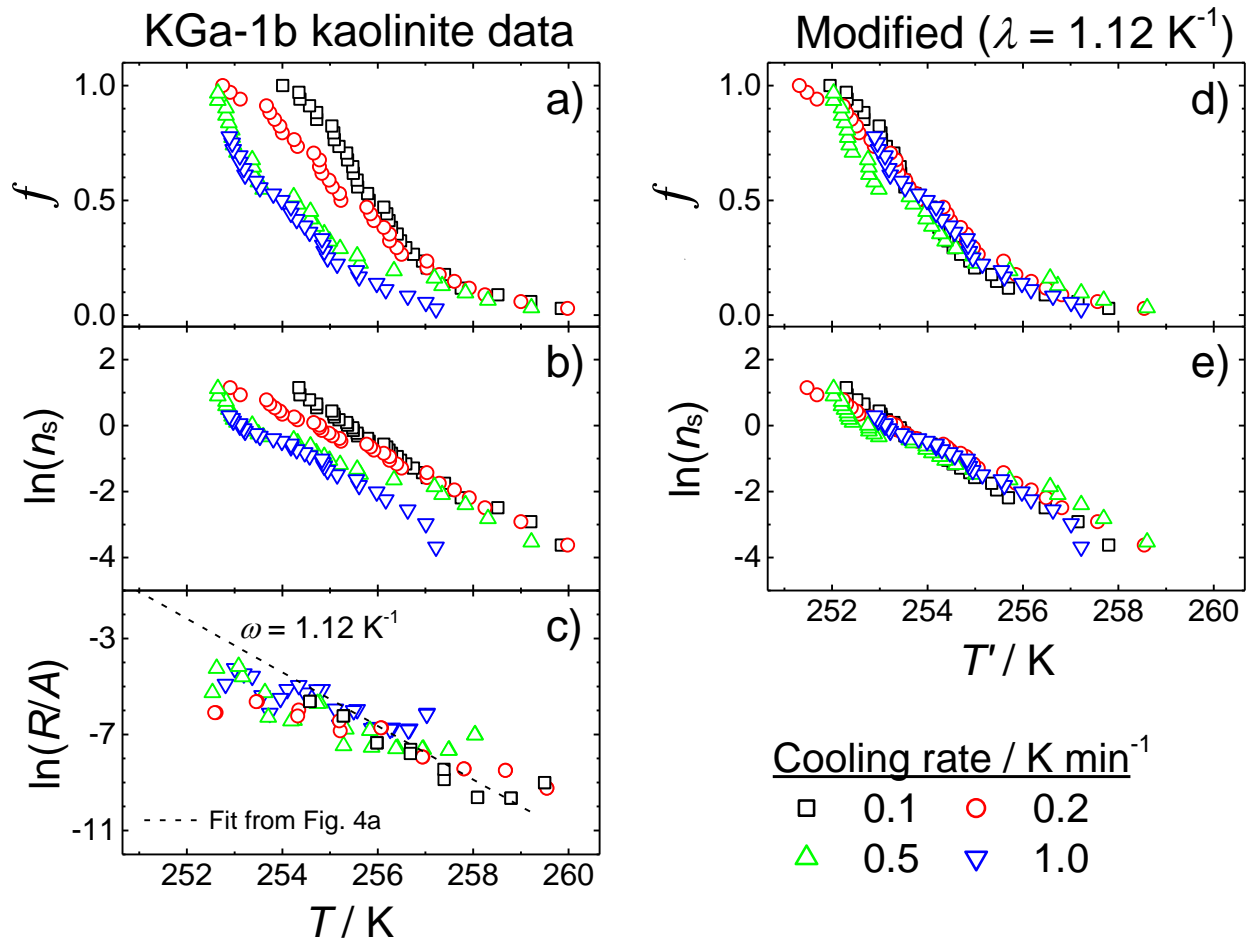
4

Fig. 3



1
2
3

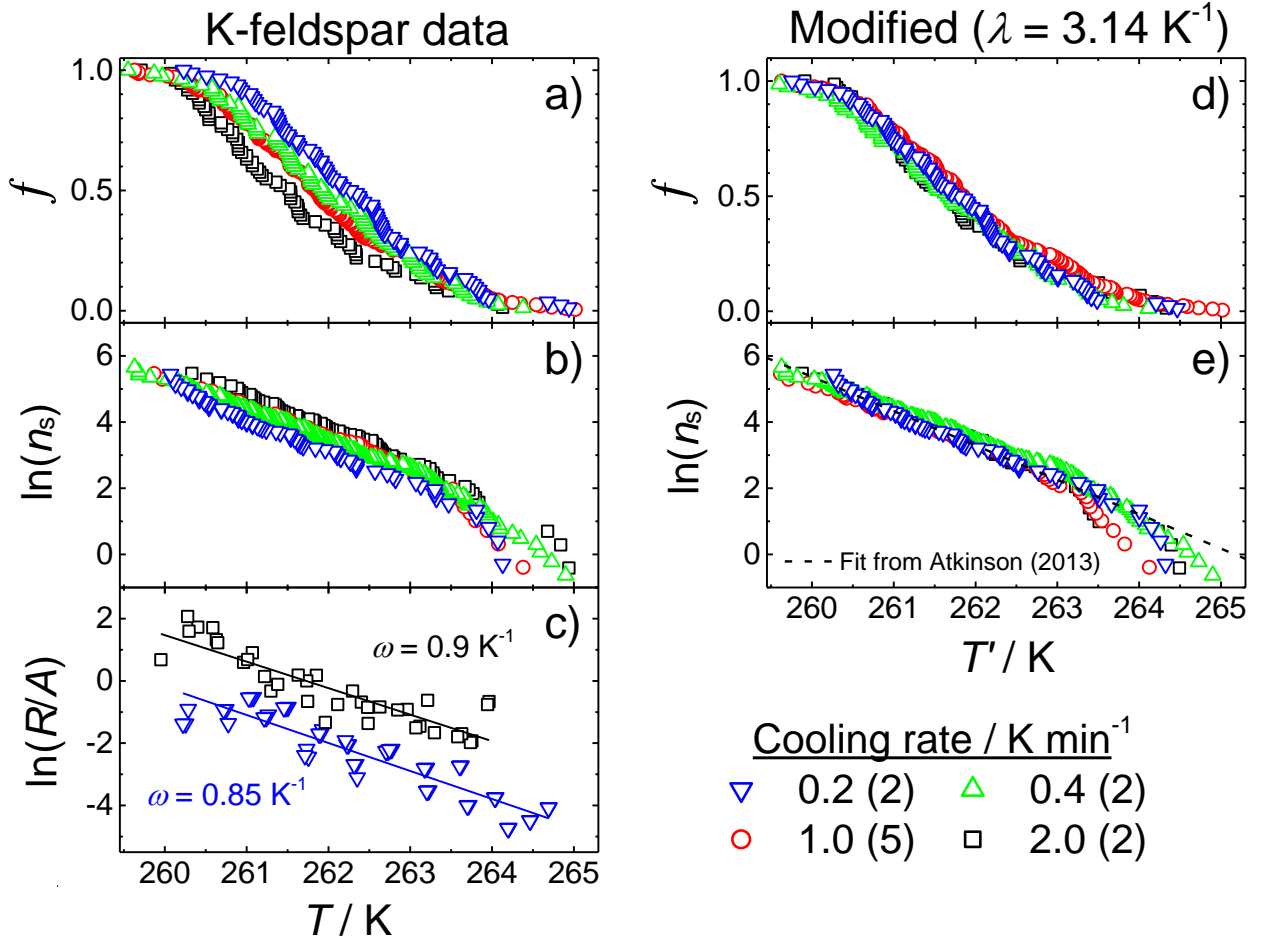
Fig. 4



1
2
3

Fig. 5

1

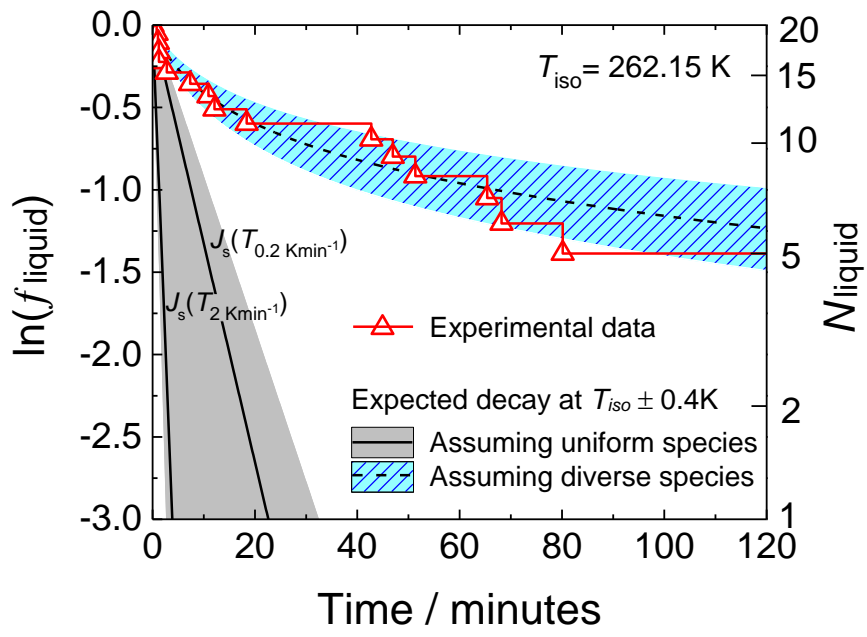


2
3

4

5

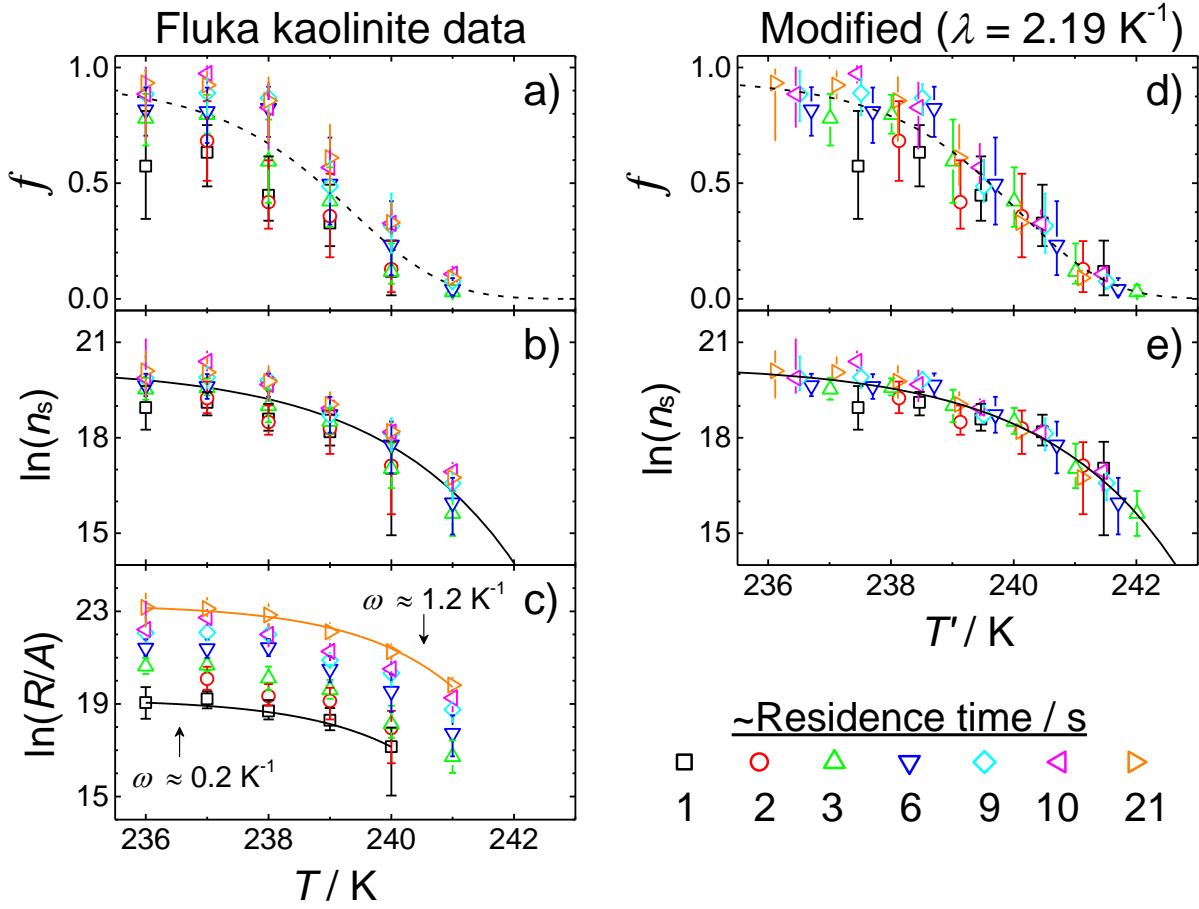
Fig. 6



1
2
3

Fig. 7

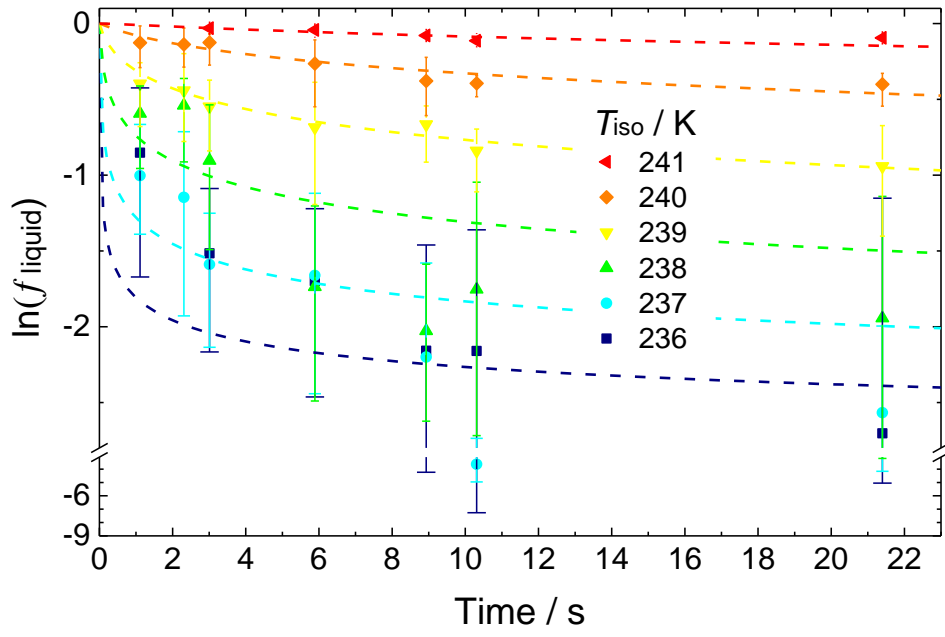
1



2
3
4
5

Fig. 8

1



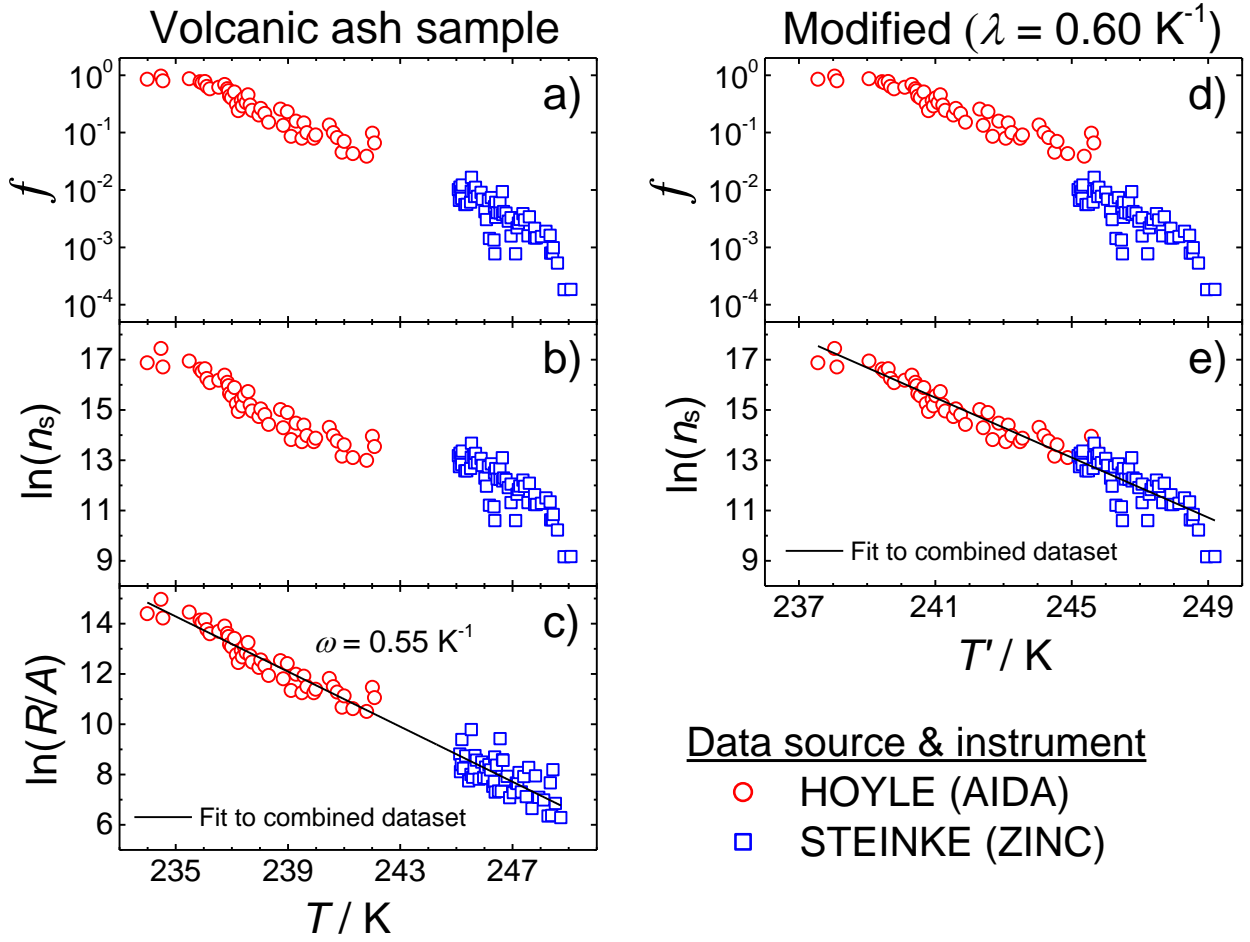
2

3

4

Fig. 9

1



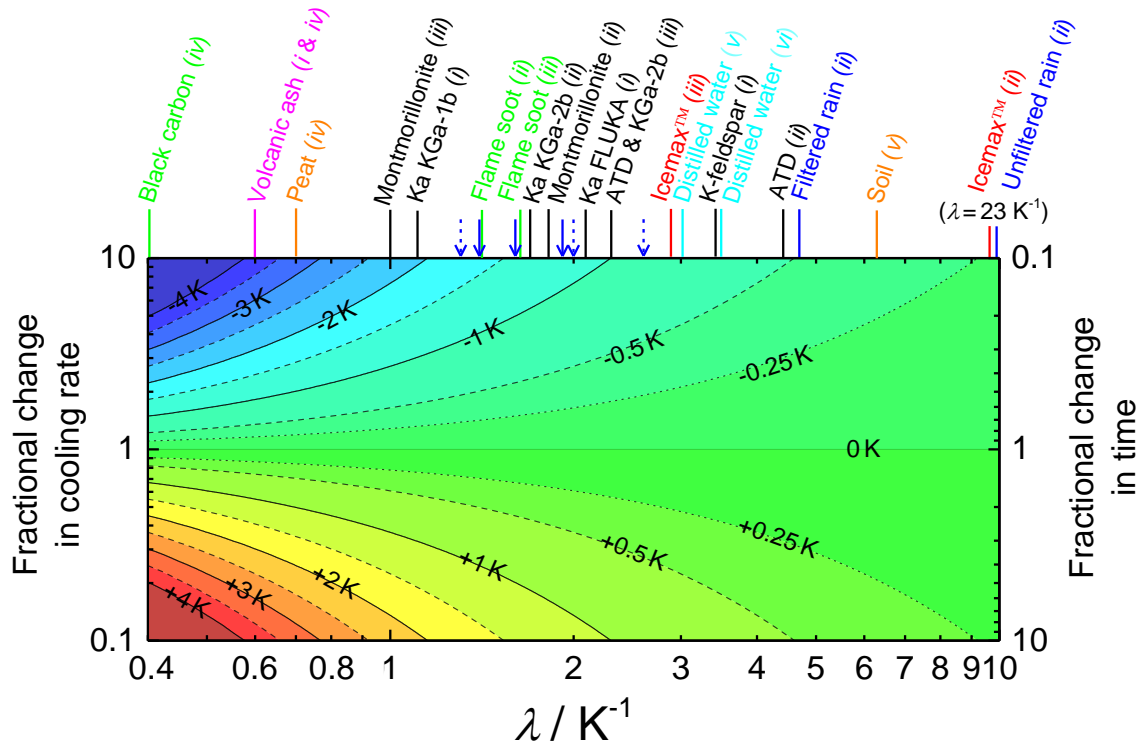
2
3

4

5

Fig. 10

1



2

3

Fig. 11