



Lidar observation of  
the 2011 Puyehue  
volcano

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# Lidar observation of the 2011 Puyehue-Cordón Caulle volcanic aerosols at Lauder, New Zealand

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## Abstract

On 4 June 2011, the Puyehue-Cordón Caulle volcanic complex (40.6° S, 72.1° W) in Chile erupted violently and injected volcanic aerosols into the atmosphere. For the safety of civil aviation, continuous lidar observations were made at Lauder, New Zealand (45.0° S, 169.7° E), from 11 June through 6 July 2011. To study the influence of the volcanic aerosols on Greenhouse gases Observing SATellite (GOSAT) products, we analyzed lidar data at a wavelength of 532 nm and derived the backscattering ratio and depolarization ratio profiles. During June and July, within the altitude range of 10–15 km, the volcanic aerosols had high depolarization ratios (20–35 %), an indication of non-spherical volcanic ash particles. The time series of the backscattering ratio during continuous observations had three peaks occurring at about 12 day intervals: 26.7 at 11.2 km on 11 June, 18.1 at 12.0 km on 23 June, and 5.3 at 11.1 km on 6 July. The optical depth of the volcanic aerosols was 0.45 on 11 June, when the continuous lidar observation started, 0.31 on 23 June, and 0.12 on 6 July. The depolarization ratio values remained high up to a month after the eruption and the small wavelength exponent calculated from the backscattering coefficients at 532 nm and 1064 nm suggest that a major constituent of the volcanic aerosols was large, non-spherical particles. The presence of volcanic ash in the stratosphere might affect the error in GOSAT  $XCO_2$  retrieval using the 1.6  $\mu\text{m}$  band.

## 1 Introduction

Eruptions with a volcanic explosivity index larger than 4 to 5 are generally expected to inject volcanic aerosols into the stratosphere (Newhall and Self, 1982; Deshler, 2008; Vernier et al., 2011; Trickl et al., 2013). Volcanic aerosol particles larger than 10  $\mu\text{m}$  injected into the stratosphere settle rapidly, so their climatic effects can generally be neglected. Volcanic ash is known to affect tropospheric cloud phases by acting as ice nuclei (Durant et al., 2008; Latham et al., 2011). In contrast, when a large amount

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of sulfur dioxide is injected into the stratosphere, it is converted to sulfuric acid particles within several weeks (Zhao et al., 1995). The Mt. Pinatubo volcano (Philippines, 15.14° N; 120.35° E) erupted on 15 June 1991 and stratospheric injection of about 20 Mt of SO<sub>2</sub> was detected by the Total Ozone Mapping Spectrometer (Bluth et al., 1992).

The SO<sub>2</sub> is oxidized to sulfuric acid vapor from which sulfuric acid particles are produced by homogeneous nucleation (Wu et al., 1994). These particles are long-lived in the stratosphere (Uchino et al., 1995; Nagai et al., 2010), depending on the latitude and altitude of injection, and significantly affect the global climate and radiation budget and the ozone layer (Minnis et al., 1993; McCormick et al., 1995; Alados-Arboledas et al., 1997; Robock, 2000; Solomon et al., 2011).

Large amounts of volcanic ash in the atmosphere can damage aircraft engines and raise flight safety concerns; as a result they can have significant consequences for air traffic. For example, the ash plume from the eruption of the Eyjafjallajökull volcano (63.63° N, 19.62° W, Iceland) on 14 April 2010 disrupted air traffic over Europe. The small particles and trace gases such as SO<sub>2</sub> from this eruption were transported over long distances (Tesche et al., 2010; Ansmann et al., 2010; Wiegner et al., 2012).

On 4 June 2011, the Puyehue-Cordón Caulle Volcanic Complex (hereafter PCCVC; 40.59° S, 72.11° W) in Chile erupted and injected large amounts of volcanic ash particles into the atmosphere. This volcanic complex had previously erupted in May 1960 (Lara et al., 2004; Raga et al., 2013). The volcanic aerosol plumes travelled eastward from Chile via the prevailing westerlies and passed over New Zealand (Klüser et al., 2013). Because the Volcanic Ash Advisory Center (VAAC) in Wellington had forecast that a large volcanic aerosol plume would reach New Zealand, we performed continuous observations of volcanic aerosols with lidar at Lauder (45.04° S, 169.68° E), New Zealand, and provided the observational data to the VAAC for the safety of civil aviation. The aerosol lidar system at Lauder is a two-wavelength polarization lidar. In this study, we used continuous lidar observational data observed at Lauder from 11 June through 6 July 2011. We retrieved the backscattering ratios at 532 nm and 1064 nm and the depolarization ratio at 532 nm, and we calculated the ratio of the backscattering

coefficients at 532 nm and 1064 nm. For meteorological data and derivation of the tropopause height required for the lidar data analysis, we used the twice-daily radiosonde data observed at Invercargill (46.42° S, 168.33° W), 186 km south-west of Lauder.

In the next section, we describe the lidar system at Lauder. In Sect. 3, we present the analysis and results and we discuss the backscatter wavelength dependence in Sect. 4.

## 2 Lidar system and data analysis

Lidar measurement of stratospheric aerosols at Lauder was started in November 1992, owing to interest in the then-recent eruption of Mt. Pinatubo (Uchino et al., 1995) and as a component of the nascent Network for the Detection of Stratospheric Change, subsequently the Network for the Detection of Atmospheric Composition Change. Though that system had only a single detector (532 nm), some depolarization measurements were made starting in November 1995. The system operated reliably for 17 years and recorded the decline of the Pinatubo aerosol to a minimum in the late 1990s (Nagai et al., 2010), followed by an increase in stratospheric aerosols by other volcanoes (Vernier et al., 2011; Uchino et al., 2012). In February 2009 this lidar system was updated for both daytime and night-time observations of aerosols and clouds for Greenhouse gases Observing SATellite (GOSAT) product validation (Nagai et al., 2009). The updated system is a two-wavelength polarization lidar. In this study, we use lidar data obtained by continuous measurements from 11 June 2011 to 4 July 2011, with measurements made before and after this period for GOSAT product validation. The main lidar specifications are summarized in Table 1. The light source of the lidar system is a Nd:YAG laser with a second-harmonic generator: the laser thus operates at two wavelengths, 532 nm and 1064 nm. The pulse repetition rate is 10 Hz, and the transmitted energy is 150 mJ per pulse. The receiving telescope has a diameter of 30.5 cm. The signal at 1064 nm is detected with an analogue-mode avalanche photo diode (APD),

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and two polarization components of the signals at 532 nm are detected with three photomultiplier tubes (PMTs) from the near surface to the to a high altitude of  $\sim 40$  km.

In this study, we used the Fernald method (Fernald, 1984), in which the lidar equation for retrieving vertical profiles of the aerosol backscatter coefficients from lidar signal intensity is solved by assuming an extinction-to-backscatter ratio (lidar ratio). We also assumed that there was no aerosol in the upper atmosphere at around 30 km, and used the value from that altitude as a reference value by which to normalize the rest of the profile. The lidar ratio is an important parameter for obtaining profiles of the particle extinction coefficient from lidar signals to use for iterative correction of the backscatter profile. The lidar ratio  $S$  is defined as the ratio of the extinction coefficient  $\alpha$  to the backscattering coefficient  $\beta$ :

$$S = \frac{\alpha}{\beta}. \quad (1)$$

We assumed  $S$  to be 50 sr at both 532 and 1064 nm in this study. The lidar ratio depends on particle properties such as their size distribution and shape. The backscattering ratio  $R$  is defined as follows:

$$R = \frac{(\beta_A + \beta_M)}{\beta_M}, \quad (2)$$

where  $\beta_A$  is the aerosol backscattering coefficient and  $\beta_M$  is the molecular backscattering coefficient. For a pure Rayleigh atmosphere  $R = 1.0$ , and the backscatter signal from aerosols increases as  $R$  becomes larger than 1.0. The molecular backscattering coefficient  $\beta_M$  was calculated by using radiosonde data observed at Invercargill (46.45° S, 168.33° W).

The lidar transmits linearly polarized light at 532 nm and records the backscattering intensity with parallel  $P_{\parallel}$  and perpendicular  $P_{\perp}$  polarization to the transmitted polariza-

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IASI, Ozone Monitoring Instrument (OMI), and Moderate Resolution Imaging Spectroradiometer (MODIS) satellite data (not shown) (Klüser et al., 2013). To examine the three peaks of the aerosol vertical profiles in greater detail, we calculated the particle depolarization ratio  $\delta_p$ , to estimate the non-sphericity of the particles:

$$\delta_p(z) = \frac{\delta(z)R(z) - \delta_m}{R(z) - 1} \times 100\%, \quad (5)$$

where  $\delta$  is the total (molecular plus particle) depolarization ratio, calculated with Eq. (3), and  $\delta_m$  is the depolarization ratio of atmospheric molecules. We used  $\delta_m = 0.37\%$  for this lidar system, defined from the spectral transmission data of the interference filter at 532 nm and the Rayleigh backscattering cross sections (Sakai et al., 2003).

We estimated the proportion of non-spherical particles in the volcanic aerosol layers from the particle depolarization ratio over Lauder measured by lidar. On 11 June (Fig. 4a), the maximum values of  $R$ ,  $\delta$  and  $\delta_p$  were 26.7 (11.2 km), 16 % (11.8 km) and 19 % (12.0 km), respectively; on 23 June (Fig. 4b), they were 18.1 (12.0 km), 25 % (12.3 km) and 32 % (12.4 km), respectively; and on 6 July (Fig. 4c), they were 5.3 (11.1 km), 20 % (11.4 km) and 29 % (11.7 km), respectively. Values of  $\delta_p$  higher than 20 % in the aerosol layer indicate a predominance of non-spherical particles (Sakai et al., 2003). The  $\delta_p$  values of the PCCVC volcanic aerosol layer were larger than 20 % even on the third overpass during the continuous observation period. By comparison, the  $\delta$  values of the Eyjafjallajökull volcanic aerosol layers on April 2010 in Iceland were 35–40 % (Ansmann et al., 2010; Gasteiger et al., 2011). According to the analysis by Groß et al. (2012), the wavelength-independent  $\delta_p$  values of the pure ash layer of the Eyjafjallajökull volcano were between 35 % and 38 %.

One interesting feature of the depolarization data warrants further investigation. For each of the three overpass plume observations,  $\delta_p$  had a local minimum at the backscatter ratio peak. If this is a real physical effect and not an artifact of measurement or retrieval, it suggests some vertical separation of the volcanic plume, for which we have no ready explanation. The centroid height of the volcanic aerosol plumes

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descended with time after the Pinatubo eruption (Iwasaka et al., 1995) and after the 2008 Kasatochi eruptions in the Aleutian Islands (Bitar et al., 2010). However, because our observation period was brief, we could not tell whether the height of the aerosol plumes was descending.

### 3.3 Aerosol optical depth derived from the backscattering ratio

Aerosol optical depth (AOD) was calculated as the product of the IBC and  $S$ . The time series of the AOD at 532 nm showed three peaks: 0.45 on 11 June, 0.31 on 23 June, and 0.12 on 5 July (Fig. 5). As discussed in Sect. 3.2, the presence of three peaks, and the decrease of the peak value with time, is an indication that the volcanic aerosol plume passed over Lauder three times.

Because the AOD as calculated in this study depends on the lidar ratio value, the appropriateness of the value chosen for that ratio needs to be considered. According to the analysis (Raman method) of Groß et al. (2012), the lidar ratio at 532 nm for the Eyjafjallajökull eruption was  $49 \pm 5$  sr (Maisach, Germany), and according to the analysis of Ansmann et al. (2010), it ranged from  $55 \pm 5$  sr (Maisach) to  $60 \pm 5$  sr (Leipzig, Germany). Hoffmann et al. (2010) reported that the lidar ratio at 532 nm for the Kasatochi eruption event was  $65 \pm 10$  sr. We compared the AODs reported above with AODs calculated by a method that does not use the lidar ratio (Uchino et al., 1983). In this method, the transmission  $\tau(z_1, z_2)$ , between altitude  $z_1$  and  $z_2$  is

$$\tau(z_1, z_2) = \frac{z_2}{z_1} \left[ \frac{\rho(z_2)R(z_1)\beta_m(z_1)}{\rho(z_1)R(z_2)\beta_m(z_2)} \right]^{1/2}, \quad (6)$$

where  $\rho(z)$  is the total received signal photon count at altitude  $z$ ,  $\beta_m(z)$  is the atmospheric molecular backscattering coefficient, and  $R(z)$  is the backscattering ratio. The transmission can be determined by choosing two altitudes,  $z_1$  and  $z_2$ , where no aerosols are assumed to exist; therefore,  $R(z_1) = R(z_2) = 1.0$ . For example, the AOD on 11 June as determined by this method was  $0.52 \pm 0.08$  ( $z_1 = 8.4$  km and  $z_2 = 12.0$  km);

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and cirrus clouds are consistent with value reported by previous studies (Kamei et al., 2006; Mona et al., 2012; Ansmann et al., 2012). This result indicates that it should be possible to distinguish between a volcanic aerosol layer and underlying cirrus clouds by using the vertical profile of  $A_{\beta}$ . Unfortunately, because there were optically thick clouds under the volcanic aerosol layers and the vertical backscattering coefficient at 1064 nm were very noisy, we were not able to apply this method to distinguish the aerosols and cirrus clouds on the other days.

It is possible to use  $A_{\beta}$ ,  $\delta$  and  $\delta_p$  derived from lidar measurement to determine approximately the proportions of sulfur acid aerosols and the ash particles. However, it is not possible to determine the absolute quantity of these particles. Typically, non-spherical and larger particles are volcanic ash, whereas spherical and smaller particles are sulfuric acid aerosols. As mentioned in Sect. 3, the finding that the total and particle depolarization ratios of the PCCVC volcanic aerosols were larger than 20 % suggests that the volcanic plume included many non-spherical and large particles. Therefore, we concluded that the dominant component of the plume observed over Lauder was volcanic ash.

Similarly, for aerosols from the eruption of Eyjafjallajökull, the total depolarization ratios were 35–40 % (Ansmann et al., 2010; Gasteiger et al., 2011). In contrast, the Kasatochi ratios were 1.5–5.0 % (Hoffmann et al., 2010) and the ratios for aerosols from the eruption of Nabro volcano in Eritrea in 2011 were  $\delta = 1\text{--}2\%$  and  $\delta_p = 4\text{--}7\%$  (Uchino et al., 2012). In the case of the eruption of Eyjafjallajökull, Ansmann et al. (2011) reported that sulfate aerosols originating from volcanic  $\text{SO}_2$  plumes contributed about 50 % of the particle mass. Miffre et al. (2011) used the volcanic ash particle depolarization ratio  $\delta = 40 \pm 0.2\%$  obtained from laboratory measurements performed by Muñoz et al. (2004) to determine the composition of the Eyjafjallajökull plume.

Recent studies have provided estimates of  $\text{SO}_2$  emission from several eruption events. Karagulian et al. (2010) estimated the total mass of  $\text{SO}_2$  ejected by the Kasatochi eruption to be 1.7 Tg, based on the IASI high-spectral-resolution infrared

radiance measurements. Haywood et al. (2010) estimated that 1.2 Tg of SO<sub>2</sub> was ejected by the Sarychev (Kuril Islands) eruption in June 2009. Clarisse et al. (2012), using IASI data, reported that 1.5 Tg of SO<sub>2</sub> was ejected by the Nabro volcano, and 0.25 Tg by the PCCVC eruption. Because less SO<sub>2</sub> was ejected by the eruption of Puyehue-Cordón Caulle compared with the amounts ejected by the eruptions of Nabro and Kasatochi, the tephra fraction of the PCCVC plume was greater, and its depolarization ratios were larger.

We next estimated the influence of the PCCVC volcanic ash particles on the column averaged dry air mole fraction of carbon dioxide ( $X_{CO_2}$ ) determined by GOSAT. When the GOSAT  $X_{CO_2}$  is retrieved by using the 1.6 μm band without taking account of volcanic ash particles in the upper troposphere and lower stratosphere, the negative bias of  $X_{CO_2}$  is estimated to be 2% for an AOT of 0.4 at 532 nm and surface albedo of 0.28 at Lauder (based on MODIS band2).

## 5 Summary

On 4 June 2011, the Puyehue-Cordón Caulle Volcanic Complex (40.59° S, 72.11° W) in Chile erupted and the volcanic plume passed over Lauder in New Zealand. Because the VAAC at Wellington had forecast that the aerosol plume would pass over New Zealand, we started lidar observations on 11 June. On the same day, we detected the PCCVC volcanic aerosol layer from the profiles of the backscattering ratio  $R$  and the depolarization ratio  $\delta$  at 532 nm. This observational result was confirmed by a forward trajectory analysis done by using METEX and some satellite data (from the MODIS, OMI and IASI instruments). We found that the volcanic aerosol layer peak passed over Lauder three times from 11 June to 6 July at intervals of 10–12 days. The maximum  $R$  value on 11 June, immediately after the eruption, was 26.7 at 11.2 km, and the AOD was 0.45. This  $R$  value is much larger than the maximum  $R$  value of 2.0–3.5 observed at Saga and Tsukuba, Japan, for the Nabro volcano, which erupted on 12 June 2011 (Uchino et al., 2012). On 11 June, when the continuous lidar observation started, the

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maximum value of  $\delta$  was 16% and that of  $\delta_p$  was 23%. On 6 July, at the end of the period of continuous lidar observations, the maximum value of  $\delta$  was 20%, and that of  $\delta_p$  was 29%. Thus,  $\delta_p$  remained at 20–30% over the entire observation period, and even one month after the eruption the depolarization ratio had not decreased. This result indicates that the major component of the PCCVC volcanic aerosol layers was non-spherical particles.

The backscatter-related wavelength exponent of the PCCVC volcanic aerosols at 1064/532 nm was 0.9 at 11–13 km altitude; this value indicates that the PCCVC volcanic aerosols were composed of large particles. According to Ansmann et al. (2012), the wavelength exponents of the Eyjafjallajökull volcanic aerosols were 1.12–1.19. The wavelength exponents of the Kasatochi eruption aerosols were 0.5–1.5 (Tesche et al., 2010). The lidar observations at Lauder showed that the PCCVC volcanic aerosol layers contained non-spherical and larger particles. These results agree with the findings of previous studies, which reported that the volcanic plume of the PCCVC eruption contained less  $\text{SO}_2$  than the plumes from the Kasatochi and Nabro eruptions (Karagulian et al., 2010; Clarisse et al., 2012).

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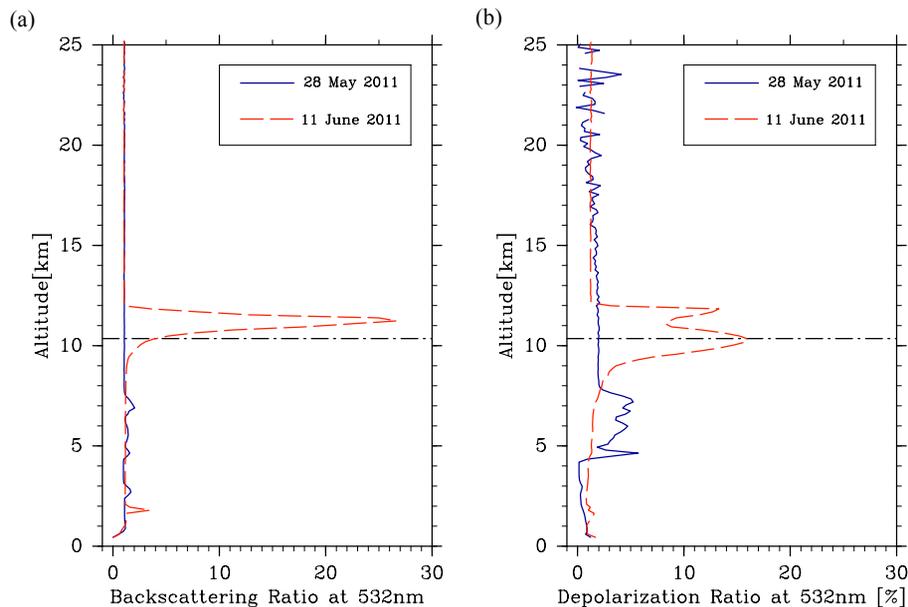
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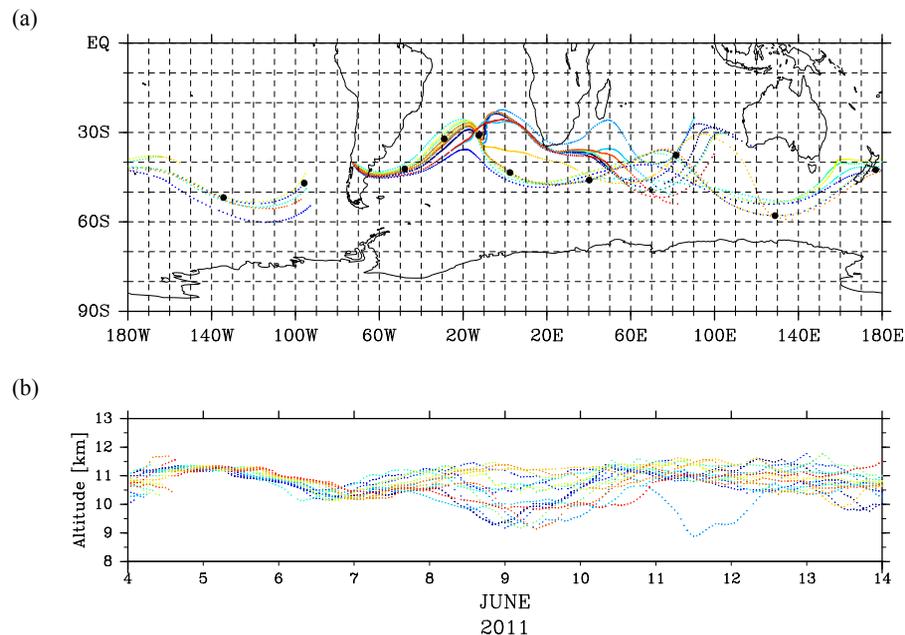
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**Figure 1.** Vertical profiles of backscattering (left) and depolarization (right) ratios before (28 May, blue line) and after (11 June, red line) the PCCVC eruption on 4 June 2011. The horizontal dashed line in each panel shows the tropopause derived from radiosonde data at Invercargill.

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**Figure 2.** Ten-day isentropic forward trajectories of air parcels (colored lines) determined by using METEX. The starting position was the PCCVC (40.59° S, 72.11° W), at 11 km above the surface. Large black dots mark each 24 h period in the simulation.

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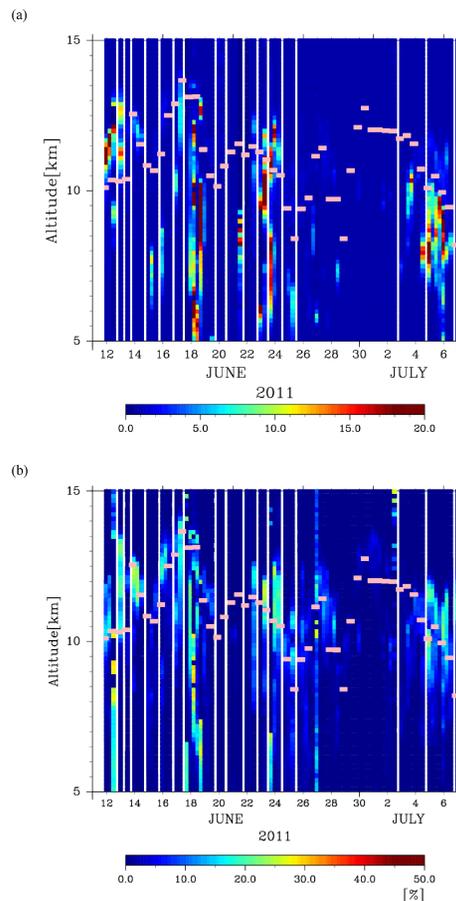
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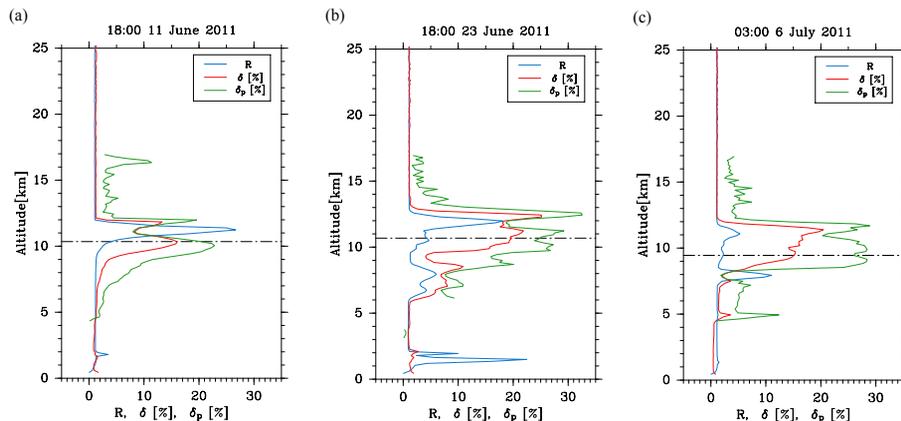
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**Figure 3.** Vertical and temporal cross-section of the backscattering ratio **(a)** and the depolarization ratio **(b)** at 532 nm. The light purple rectangles show tropopause.

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**Figure 4.** Vertical profiles of the backscattering ratio  $R$  (blue line), the total depolarization ratio  $\delta$  (red line) and the particle depolarization ratio  $\delta_p$  (green line) observed at on **(a)** 11 June at 18:00 LT, **(b)** 23 June at 18:00 LT, and **(c)** 6 July at 03:00 LT. The horizontal dot-dash lines in each panel shows tropopause height; **(a)** 10.3 km, **(b)** 10.6 km and **(c)** 9.4 km. The volcanic aerosol layers are indicated by the  $R$ ,  $\delta$  and  $\delta_p$  peaks above the tropopause.

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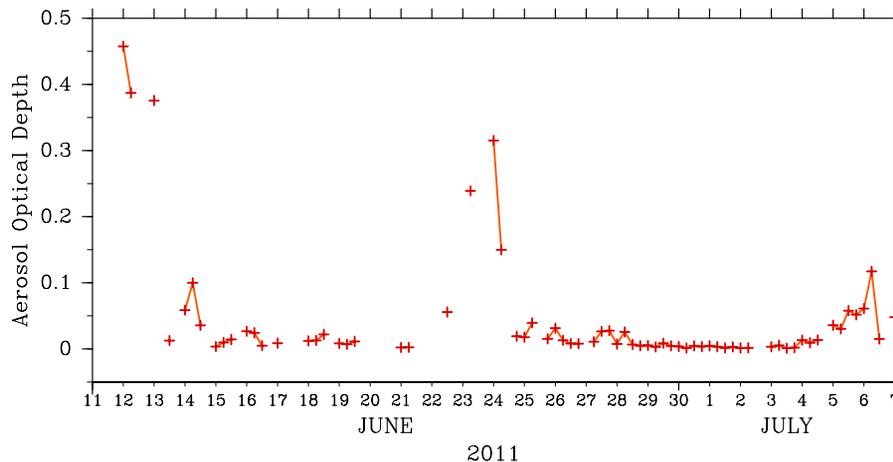
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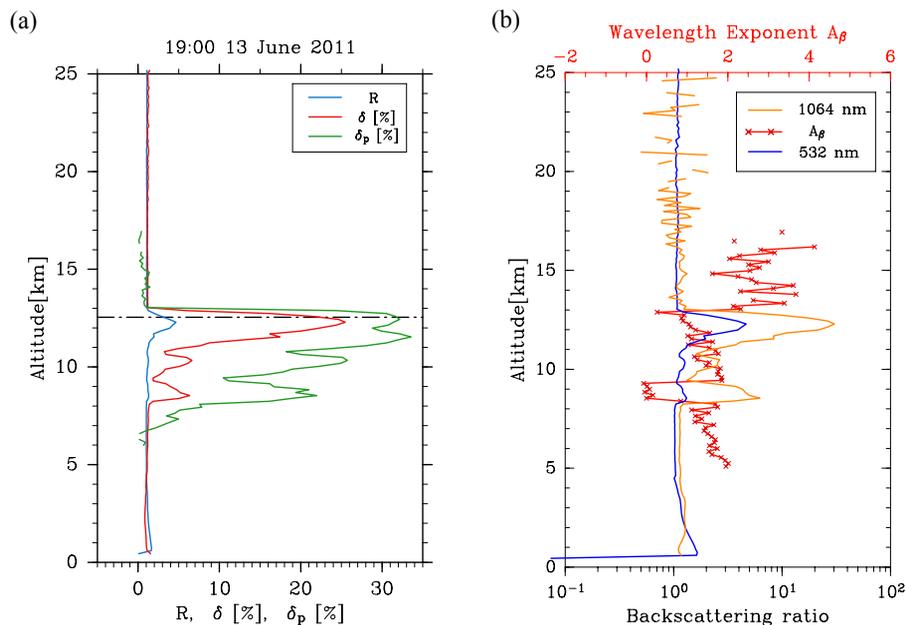


**Figure 5.** Temporal variation of the stratospheric AOD over Lauder from 11 June to 6 July 2011. Three AOD peaks occurred of 0.45 on 11 June, 0.31 on 23 June and 0.12 on 6 July.

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**Figure 6.** (a) Vertical profiles of the backscattering ratio  $R$  (blue line), the total depolarization ratio  $\delta$  (red line), and the particle depolarization ratio  $\delta_p$  (green line) at 532 nm on 13 June. (b) Vertical profiles of the backscattering ratio at 532 nm (blue line), the backscattering ratio at 1064 nm (orange line), and the wavelength exponent of the backscattering ratios ( $A_\beta$ ) at 1064 nm/532 nm (red line) on 13 June.