

**Aerosol-CFD modelling of ultrafine and black carbon particle emission,
dilution, and growth near roadways**

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Abstract

Many studies have shown that on-road vehicle emissions are the dominant source of ultrafine particles (UFP; diameter < 100 nm) in urban areas and near-roadway environments. In order to advance our knowledge on the complex interactions and competition among atmospheric dilution, dispersion and dynamics of UFPs, an aerosol dynamics-CFD coupled model is developed and validated against field measurements. A unique approach of applying periodic boundary conditions is proposed to model pollutant dispersion and dynamics in one unified domain from the tailpipe level to the ambient near-road environment. This approach significantly reduces the size of the computational domain, and therefore, allows fast simulation of multiple scenarios. The model is validated against measured turbulent kinetic energy (TKE) and horizontal gradient of pollution concentrations perpendicular to a major highway. Through a model sensitivity analysis, the relative importance of individual aerosol dynamical processes on the total particle number concentration (N) and particle number-size distribution (PSD) near a highway is investigated. The results demonstrate that (1) coagulation has a negligible effect on N and particle growth, (2) binary homogeneous nucleation (BHN) of $\text{H}_2\text{SO}_4\text{-H}_2\text{O}$ is likely responsible for elevated N closest to the road, (3) N and particle growth are very sensitive to the condensation of semi-volatile organics (SVOCs), particle dry deposition, and the interaction between these processes. The results also indicate that, without the proper treatment of atmospheric boundary layer (i.e. its wind profile and turbulence quantities), the nucleation rate would be underestimated by a factor of 5 in the vehicle wake region due to overestimated dilution. Therefore, introducing ABL

conditions to activity-based emission models may potentially improve their performance in estimating UFP traffic emissions.

1

2 **1. Introduction**

3 Many studies have shown that vehicle emissions are the dominant source of ultrafine
4 particles (UFP; diameter < 100 nm) in urban areas and near-roadway environments. For
5 example, about 95% of UFP (diameter = 50~100 nm) observed near a US freeway were
6 apportioned to fresh vehicular emissions (Toner et al., 2008). In the more confined
7 environment of a street canyon, over 99% of particles in number were found to be below
8 300 nm and number concentrations of particles in this size range were found to be
9 linearly correlated with the traffic volume (Kumar et al., 2008). Due to their small size
10 and abundance in number, recent toxicological and epidemiological studies suggest a
11 strong correlation between adverse health effects and personal exposure to UFPs (e.g.
12 Brugge et al., 2007;Ruckerl et al., 2007;Valavanidis et al., 2008). A recent review study
13 by Schlesinger (2007) pointed out that the health impacts of chemical constituents, such
14 as sulphate, seem to be inconsistent across all epidemiological studies. Comparing
15 epidemiological studies of heart rate variability in humans, Grahame (2009) suggests that
16 differences in accuracy of exposure information for health-relevant emissions may
17 explain conflicting study results. This has led to an urgent need to study the temporal and
18 spatial variations of local traffic emission in the vicinity of roadways.

19 With the growing concern of adverse health effects from exposure to UFPs, the gradients
20 of vehicle-emitted pollutants (such as CO, NO_x, and UFPs) have been measured in the
21 ambient atmosphere near roadways (e.g. Beckerman et al., 2008;Reponen et al.,
22 2003;Pirjola et al., 2006;Zhu et al., 2009). For example, Zhu et al. (2009) found that
23 elevated particle numbers decay exponentially on the downwind side of three different

1 types of roadways with increasing distance and reach background levels within a few
2 hundred meters. Karner et al. (2010) synthesized field measurements of near-roadway
3 pollutants from over 40 monitoring studies and investigated the concentration-distance
4 relationship. The variation of UFP concentrations near roadways among studies is likely
5 affected by factors including meteorological conditions (wind speed, ambient
6 temperature, relative humidity, and atmospheric stability), traffic characteristics (volume
7 and fleet composition), the geometry of roadways, and aerosol transformation processes
8 (nucleation, coagulation, condensation/evaporation, and dry deposition). However, field
9 measurements alone are often associated with such limitations as low spatial or temporal
10 resolution in sampling, conclusions restricted by local meteorology, and difficulties in
11 separating the effects of interactive processes.

12 Therefore, numerical modelling of UFPs has been conducted to address these limitations.
13 Due to the challenge of resolving processes with very different scales, a two-stage
14 dilution modelling strategy, including ‘tailpipe-to-road’ and ‘road-to-ambient’ dilutions,
15 has been proposed (Zhang and Wexler, 2004; Zhang et al., 2004). In the first stage (i.e.
16 ‘tailpipe-to-road’), strong vehicle induced turbulence (VIT) results in fast and strong
17 dilution (dilution ratio ~1000 in 1 s) and triggers nucleation and
18 condensation/evaporation. While in the ‘road-to-ambient’ stage, atmospheric boundary
19 layer turbulence (ABLT) continues to dilute exhaust particles with ambient air
20 accompanied with particle size changes due to condensation/evaporation. A review study
21 by Carpentieri et al. (2011) has shown that, with recent advances in numerical modelling,
22 computational fluid dynamics (CFD) models can be valuable tools for nanoparticle
23 dispersion in the first stage of dilution. In addition to the limited spatial scale of the

1 dispersion investigated, other limitations in these most recent modelling studies include
2 PSD and chemical composition not being explicitly resolved (Chan et al., 2010). Recent
3 modelling studies of UFP dispersion on street level, on the other hand, have crudely
4 simplified treatment of vehicular emission, VIT and aerosol dynamics (Gidhagen et al.,
5 2004a;Gidhagen et al., 2003;Kumar et al., 2009).

6 Most recently, Wang et al. (2013) proposed a two-stage simulation approach to integrate
7 the “tailpipe-to-road” dispersion into the “road-to-ambient” dispersion stage for the first
8 time. As the authors noted, however, the proposed approach remains computationally
9 demanding, especially when both particle size and chemical composition need to be
10 resolved. To effectively model UFP dynamics and dispersion near roadways in a single
11 unified ‘tailpipe-to-ambient’ domain, a unique approach of applying periodic boundary
12 conditions to the computational domain is proposed in this paper. Compared to a ‘road-
13 to-ambient’ dispersion modelling approach, the advantage of a unified domain is that the
14 uncertainty due to a simplified or non-existent treatment of VIT can be greatly reduced
15 by explicitly modelling VIT. With VIT being explicitly modelled, aerosol dynamics
16 (such as nucleation, condensation and evaporation) triggered by the rapid first-stage
17 dilution can be properly incorporated into dispersion models to study their effects on
18 roadside air quality. From a modelling perspective, such a unified model provides a tool
19 to link individual tailpipe emissions (controlled laboratory measurements) to roadside air
20 quality (ambient field measurements), which is a noted challenging task (Keskinen and
21 Ronkko, 2010). As the main focus of this paper, we present the development and
22 validation of a multi-component sectional aerosol dynamics-CFD coupled model to

1 account for the complex dilution, dispersion and dynamics of UFPs immediately after
2 tailpipe emission to ambient background.

3

4 **2. Aerosol dynamics-CFD coupled model**

5 **2.1. Multiphase approach to the mixture of atmospheric gas and aerosol**

6 Following previous studies (e.g. Li et al., 2006b;Uhrner et al., 2007;Wang et al.,
7 2013;Albriet et al., 2010), the commercial CFD code ANSYS FLUENT is used to model
8 turbulent flow around realistically-shaped vehicles. An Euler-Euler approach to
9 multiphase atmospheric air flow is coupled with new particle formation, transformation
10 and dry deposition processes. Among the general multiphase models available in
11 FLUENT, the mixture multiphase model is chosen for the present work due to its
12 superior numerical efficiency compared to the Eulerian multiphase model (ANSYS,
13 2009a). The concept of “phase” in the FLUENT multiphase model is defined in a broad
14 sense as an identifiable class of material that has a particular inertial response to and
15 interaction with the flow (ANSYS, 2009b). The gas phase and the particulate phase in the
16 real atmosphere are represented in the model by the “primary phase” and a number of
17 “secondary phases”, respectively. The number of “secondary phases” is determined by
18 the number of discrete size bins used to resolve the particle number-size distribution. The
19 flow field of the “mixture phase” is obtained by numerically solving Reynolds-averaged
20 Navier-Stokes equations (RANS) including conservation equations of mass, momentum
21 and energy with the standard k- ϵ turbulence model.
22 For the transport of gas and particulate species, FLUENT predicts the local mass fraction
23 of each species by solving the advection-diffusion equations. The volume fraction of each

1 secondary phase in a control volume (equivalent to the number concentration of particles
2 of the same size) is obtained by numerically solving the continuity equation for the
3 secondary phase with a specified source term due to aerosol dynamic processes. The
4 diffusive mass flux in FLUENT is modelled as the sum of two components: molecular
5 and turbulent diffusion (e.g. Eq. 4 in Di Sabatino et al., 2007). Turbulent diffusion due to
6 VIT and ABLT are the main dilution mechanisms for pollutants in the near-road
7 environment (Zhang and Wexler, 2004). The key parameter governing modelled
8 turbulent diffusion of pollutants using the RANS approach is the turbulent Schmidt
9 number (Sc_t), which is defined as the ratio of the turbulent momentum diffusivity and the
10 turbulent mass diffusivity. Analyzing a widely distributed range of Sc_t (0.2-1.3) in
11 literature versus the commonly used values (0.7-0.9), Tominaga and Stathopoulos (2007)
12 found that, for plume dispersion in open country for example, a smaller value of Sc_t
13 might be used to compensate the underestimated turbulent momentum diffusion. They
14 further suggested to adopt its “standard” value in simulations without this type of
15 underestimation. Therefore, given the successful model validation on TKE (discussed in
16 Section 4.1.1), the standard value of 0.7 for Sc_t is used in our study. Thus, the advection,
17 the turbulent mixing, and the diffusion of gases and particles are inherently treated by
18 FLUENT through the continuity equation for each phase. Aerosol dynamic processes,
19 which change the chemical components in particle and gas phases, are integrated through
20 the source terms in continuity equations, and incorporated into FLUENT through User-
21 Defined Functions (UDF).

22

23 **2.2. Aerosol dynamics**

1 Each secondary phase is a particulate phase composed of mixed chemical components
 2 within a specified size range. The density of particles in a given size bin is dynamically
 3 computed by FLUENT based on the volume-weighted mixing law. From the continuity
 4 equation for each secondary phase p , the volume fraction of the secondary phase (α_p) is
 5 obtained by solving

$$6 \quad \frac{\partial}{\partial t}(\alpha_p \rho_p) + \nabla \cdot (\alpha_p \rho_p \bar{u}) = -\nabla \cdot (\alpha_p \rho_p \overline{u_{dr,p}}) + S_p, \quad (1)$$

7 where \bar{u} is the velocity field of the primary (gas) phase, $\overline{u_{dr,p}}$ is the drift velocity of
 8 secondary phase, $S_p = \sum_{i=1}^M S_{p,i}$ is the rate of mass transfer for phase p , and $S_{p,i}$ is the rate
 9 of mass transfer for species i in phase p , and M is the total number of chemical species in
 10 the model. For phase p , the local mass fraction of each species ($Y_{p,i}$) is predicted by
 11 solving a convection-diffusion equation for the i th species, given $S_{p,i}$ due to the aerosol
 12 dynamical processes described in Section 2.2.1-2.2.4. The total mass of the gas and
 13 particulate phases is conserved, while the particle number is diagnosed from the predicted
 14 mass. The number concentration of particles in size bin p (N_p , in particles/cm³) is
 15 computed from the ratio of the phase volume fraction solved by FLUENT to the particle
 16 volume of a certain size:

$$17 \quad N_p = 10^{-6} \cdot \frac{\alpha_p}{(4/3)\pi(D_p/2)^3}, \quad (2)$$

18 where D_p is the diameter (in m) for particles in size bin p . And the local mass
 19 concentration of chemical component i from particles in size bin p is calculated from the
 20 phase volume fraction (α_p) and the local mass fraction ($Y_{p,i}$) as:

1 $m_{p,i} = 10^9 \cdot \alpha_p \rho_p Y_{p,i}$. (3)

2 The underlying implementation of aerosol dynamics is a multi-component, size-resolved,
3 sectional aerosol model, as described as follows.

4 **2.2.1. Nucleation**

5 Immediately after tailpipe emissions, new particles form by homogeneous nucleation
6 with initial particle size around 1.5-2.0 nm in the first few milliseconds of exhaust
7 cooling and dilution (Kulmala et al., 2007). A qualitative investigation by Zhang and
8 Wexler (2004) found that sulphuric acid-induced nucleation could be the dominant new
9 particle production process. The experimental study conducted by Arnold et al. (2006)
10 observed a positive correlation between gaseous sulphuric acid and particle number in the
11 exhaust of a passenger diesel car burning ultra-low sulphur fuel, indicating an important
12 role of sulphuric acid-induced nucleation. The sulphuric acid gas emission rate is
13 estimated based on fuel sulphur content following Uhrner et al. (2007). The
14 parameterization of BHN of H₂SO₄-H₂O (Vehkamaki et al., 2003) developed specifically
15 for engine exhaust dilution conditions is implemented in this study. This parameterization
16 has already been successfully used in a number of different aerosol-CFD applications
17 (e.g. Uhrner et al., 2007; Uhrner et al., 2011; Albriet et al., 2010; Wang and Zhang, 2012).

18 **2.2.2. Coagulation**

19 Particles in the exhaust plume collide due to random (Brownian) motion and turbulent
20 mixing to form larger particles, which is called coagulation. The coagulation process
21 reduces N (mainly in the smaller size range) while preserving the aerosol total mass.
22 However, it modifies the particle number size distribution, and internally mixes particles
23 of different chemical composition over the population. Coagulation may be driven by

1 Brownian motion, turbulent flow conditions, gravitational collection, inertial motion and
2 turbulent shear respectively. Individual coagulation rate coefficients (or coagulation
3 kernels) due to the above driving forces are calculated in this work based upon Jacobson
4 (2005), with consideration of particle flow regimes and convective Brownian diffusion
5 enhancement. The overall coagulation rate coefficient is the summation of individual
6 coefficients.

7 **2.2.3. Condensation and evaporation**

8 A complex mixture of condensable gases, including water vapor, sulphuric acid and
9 semi-volatile organics (SVOCs), is emitted from the tailpipe after fuel combustion in the
10 engine. During the strong dilution and cooling stage of up to a few seconds after emission
11 (Zhang and Wexler, 2004), supersaturation of these condensable gases occurs and favors
12 the diffusion-limited mass transfer process from the gas phase to the pre-existing particle
13 phase. Following primary emission and nucleation, condensation of SVOCs was
14 suggested by a number of studies (e.g. Clements et al., 2009; Wang and Zhang,
15 2012; Albriet et al., 2010; Uhrner et al., 2011; Mathis et al., 2004) to be responsible for the
16 rapid growth of nanoparticles in the exhaust plume. As the reverse of condensation,
17 evaporation occurs due to further dilution of condensable gases to subsaturation level in
18 the air surrounding exhaust particles. It was suggested by field measurements of freeway
19 emissions from predominantly gasoline vehicles that lower ambient temperature may
20 favor the condensation of organic species to the particle phase (Kuhn et al., 2005). In this
21 study, the net mass transfer rate of a condensable gas from/to an existing particle with
22 multiple components is driven by the difference between the bulk partial pressure and the
23 saturation vapor pressure above the particle surface (Jacobson, 2005). The calculation of

1 species mass transfer rate implements corrections to the diffusion coefficient, the thermal
2 conductivity of air, and the saturation vapor pressure over curved particle surfaces to
3 reflect its dependence on particle size and chemical composition.

4 **2.2.4. Dry deposition**

5 Driven by mechanisms such as Brownian diffusion, turbulent diffusion, sedimentation,
6 and advection, dry deposition removes particles at the air-surface interface when they
7 contact and remain on the surface (Jacobson, 2005). Brownian diffusion is more effective
8 in removing smaller particles due to their larger diffusion coefficient, while
9 sedimentation is more important for larger particles whose fall speeds are much higher. In
10 the current study, parameterization of particle dry deposition follows the size-resolved
11 dry deposition scheme developed by Zhang et al. (2001). The effect of turbulent mixing
12 on particle dry deposition is taken into account by the locally calculated friction velocity.
13 This parameterization has been successfully validated and implemented in a number of
14 air quality and climate studies (e.g. Gong et al., 2003;Pye and Seinfeld, 2010), and it has
15 recently been improved and extended (Petroff and Zhang, 2010). The recent development
16 accounts for more detailed characteristics of the surface canopy, and suggests possible
17 overestimation of dry deposition velocity for particles in the fine mode. Thus, our current
18 study is likely biased to overestimate the removal of UFPs by dry deposition.

19

20 **2.3. Modelling turbulence**

21 For turbulence modelling, although the large eddy simulation (LES) approach has been
22 reported to be a more promising solution, the standard k- ϵ turbulence model is
23 implemented in this work for several reasons. First, the high computational demands of

1 the LES approach prevent its application for modelling the dispersion and transformation
2 of multiple pollutants with complex geometry (i.e. gas and particle emissions and aerosol
3 dynamics from multiple vehicles in this study). Compared to RANS closures, the LES
4 approach is at least one order of magnitude more computationally expensive (Rodi,
5 1997). Secondly, a proper treatment of the atmospheric boundary layer (ABL) has proven
6 to be crucial to dispersion modelling studies (Blocken et al., 2007b;Zhang, 1994). Recent
7 advances made by (Balogh et al., 2012;Parente et al., 2011a, b) permit a general and
8 practical means to include the ABL using the standard k- ϵ model. To achieve this in LES
9 simulations, on the other hand, inflow conditions would have to be carefully generated
10 with additional, significant computational overhead (Xie and Castro, 2008;Li et al.,
11 2006b). Finally, RANS models agree reasonably well with experimental data in
12 predicting mean flow and pollutant concentrations (e.g. Labovsky and Jelemensky,
13 2011;Sklavounos and Rigas, 2004). Kim et al. (2001) successfully modelled the
14 dispersion of a truck exhaust plume in a wind tunnel using k- ϵ turbulent closure focusing
15 on rapid dilution and turbulent mixing of exhaust CO₂.

16 Although CFD codes have been widely adopted in pollutant dispersion modelling, the
17 accuracy of such simulations can be seriously compromised when wall functions based
18 on experimental data for sand-grain roughened pipes and channels are applied at the
19 bottom of the computational domain (Blocken et al., 2007b;Riddle et al., 2004). Attempts
20 have been made to better predict ABL flow by changing turbulent model constants,
21 tuning boundary profiles, and modifying wall functions and turbulent transport equations
22 (Blocken et al., 2007a;Pontiggia et al., 2009;Li et al., 2006a;Alinot and Masson,
23 2005;Hargreaves and Wright, 2007;Labovsky and Jelemensky, 2011;Balogh et al.,

1 2012;Parente et al., 2011a, b). Among the aforementioned studies, recent advances made
 2 by Balogh et al. (2012) and Parente et al. (2011a, b) are implemented in this work, which
 3 permits a general and practical means to include ABL using the standard k-ε model in the
 4 CFD code, FLUENT. The ABL profiles of mean velocity, TKE, and dissipation rate for
 5 atmospheric flow under neutral stratification conditions (Richards and Hoxey, 1993) are:

$$6 \quad u = \frac{u^*}{\kappa} \ln\left(\frac{z + z_0}{z_0}\right) \quad (4)$$

$$7 \quad k = \frac{u^{*2}}{\sqrt{C_\mu}} \quad (5)$$

$$8 \quad \varepsilon = \frac{u^{*3}}{\kappa(z + z_0)} \quad (6)$$

9 A modified wall function for turbulent mean velocity following Parente et al. (2011b):

$$10 \quad u = \frac{u^*}{\kappa} \ln(E'z^{+'}) \quad (7)$$

11 is implemented through UDF and applied to wall adjacent cells, where $E' = \frac{\nu}{z_0 u^*}$ and

12 $z^{+'} = \frac{(z + z_0)u^*}{\nu}$. To keep the default constant value of σ_ε in the standard k-ε model, a

13 source term is added to the dissipation rate equation as follows:

$$14 \quad S_\varepsilon(z) = \frac{\rho_m u^{*4}}{(z + z_0)^2} \left(\frac{(C_2 - C_1)\sqrt{C_\mu}}{\kappa^2} - \frac{1}{\sigma_\varepsilon} \right). \quad (8)$$

15 Furthermore, we adopt the approach by Parente et al. (2011a) to allow a gradual
 16 transition from Eq. (4-6) (i.e the undisturbed ABL) to the wake region simulated by the
 17 standard k-ε model (Supplement, S4).

18

1 **3. Simulation setup**

2 **3.1. FEVER field study**

3 The Fast Evolution of Vehicle Emissions from Roadway (FEVER) study was conducted
4 to monitor pollutant gradients perpendicular to a major highway north of Toronto,
5 Canada (Hwy-400; 43.994 N, 79.583 W). The model developed and tested in this paper
6 was designed to simulate the FEVER observations. A complete description of the
7 monitoring strategies of the FEVER project were documented by Gordon et al. (2012a,b),
8 the BC emission rate for gasoline vehicles was estimated by Liggio et al. (2012), and the
9 rapid organic aerosol production under intense solar radiation was investigated by Stroud
10 et al. (2014).

11 The site under investigation was a 6-lane (25 m across from the lane edges) highway,
12 mainly surrounded by flat agricultural fields and some trees lining the side roads, with
13 negligible local pollution sources other than vehicular emissions. To validate modelled
14 VIT, the on-road TKE data measured by the Canadian Regional and Urban Investigation
15 System for Environmental Research (CRUISER) mobile laboratory were compared with
16 modelled TKE. The on-road TKE data was measured by two 3D sonic anemometers
17 during passenger vehicle chasing experiments on six days between 20 August and 15
18 September 2010. To validate modelled near road dispersion, a case study period of 14
19 and 15 September 2010 between 05:00-08:00 a.m. EDT was chosen for comparison. The
20 near-road TKE data was measured by a 3D sonic anemometer at a 3-m tower located 22
21 m east of the road centre. Wind speed and direction data was measured by an AirPointer
22 system (Recordum GmbH), averaged every minute, 34 m east of the road centre. As
23 shown in Table 1, the predominant wind direction was approximately perpendicular to

1 the highway and the median Monin-Obukhov length indicates near neutral stability
2 conditions. The CRUISER mobile lab housed instrumentation to measure BC, CO₂, and
3 UFP while driving transects perpendicular to the highway. Following a previous study
4 (Gordon et al., 2012a), data were filtered for winds within 45° of the highway normal,
5 which results in removing less than 5% of the data. In addition, particle size distributions
6 between 14.6 and 661.2 nm were measured at two fixed sites with an SMPS every 3
7 minutes and averaged for 05:00-06:00 and 06:00-08:00 a.m. of 14 and 15 September
8 2010 for model validation.

9 **3.2. Computational domain and flow boundary conditions**

10 The sizes of computational domain used for near road dispersion modelling in this study
11 are summarized in Table 2. The computation domain for the base case simulation, for
12 example, is shown in Fig. 1a. The top of the domain is set to 50 m above ground so that
13 the turbulent flow near the surface is not affected by the top boundary (the x-y plane in
14 purple mesh). The horizontal dimension of 375 m perpendicular to the highway (x-axis)
15 is determined by considering the availability of measurements and the extent of pollutant
16 dispersion (Gordon et al., 2012a). Both dimensions of the domain are in compliance with
17 the recommendations for CFD simulation of flows in the urban environment (Franke,
18 2007). To reduce computational overhead, first, the actual two-way, 6-lane traffic fleet is
19 represented by a one-way, 3-lane traffic fleet (as shown in Fig. 1b) while conserving the
20 total traffic volume; Then, translational periodic boundary conditions are applied to the x-
21 z planes (in Cyan mesh) to account for the effects of the stable, continuous traffic fleet on
22 the highway by numerically repeating the computational domain in the direction of y-
23 axis. Based on the measured traffic volume of about 104.3 passenger vehicles/min,

1 travelling speed of approximately 120 km/hr (or 33.3 m/s), and the assumed average
2 vehicle length of 4.5 m, the average y-axis distance (bumper to bumper) between two
3 vehicles travelling in adjacent lanes is calculated as 11.5 m assuming all 3 lanes are
4 evenly occupied. Thus, the horizontal dimension of 48 m along the highway (y-axis) is
5 calculated based on the measured traffic volume for weekday early morning rush hours
6 between 06:00-08:00 a.m. The whole domain is meshed into 871,065 unstructured
7 hexahedral cells with the finest ones concentrated around the moving vehicles, tailpipes,
8 and their wake regions and immaterially above the ground.

9 In our simulation, the vehicles are set to be stationary, nonslip walls with a roughness
10 length of 0.0015 m (Wang and Zhang, 2009), while the blowing air has two velocity
11 components: the first component towards the vehicles (or the negative y-axis direction) of
12 33.3 m/s in magnitude; and the second component perpendicular to the highway (or the
13 negative x-axis direction) in a form given by Eq. (4). The first component of wind
14 velocity accounts for the relative movement between the moving vehicles and still air,
15 and the second component describes the observed wind speed according to a fully
16 developed ABL wind profile under neutral stratification. Thus, the upwind side boundary
17 parallel to the road (the x-y plane in purple mesh) and the top boundary are set as velocity
18 inlets. The ground surface is set to have the same velocity magnitude as the running
19 vehicles but in the opposite direction. The modified wall function (Eq. 7) and the
20 additional source term to dissipation rate (Eq. 8) as described in Section 2.3 are applied to
21 the ground surface to account for a fully developed ABL turbulent flow. Translational
22 periodic boundary conditions are set to the x-z planes of the domain, and a pressure outlet
23 boundary is applied to the boundary (in red mesh) at the far end side to the highway.

1 Each tailpipe, 52 mm in diameter, is specified as mass flow inlet with a mass flow rate of
2 0.055 kg/s and an exhaust temperature of 480 K (Uhrner et al., 2007). An O-Grid
3 composed of 7 inflation layers, whose thickness gradually increase from 0.003 m, around
4 vehicles is used to allow the standard wall functions to apply to the fully-turbulent layer
5 around moving vehicles. Crucial but not mentioned in previous CFD models, a high
6 spatial resolution applied here results in a dimensionless wall distance ($y^+ = \rho \mu_t y / \mu$)
7 of about 90 at the vehicle surface, which is well within the suggested range of 30 to 300
8 for the standard wall functions to apply (ANSYS, 2009b). Simulation results of
9 turbulence and pollutant concentrations at Site B (33 m east of the Hwy centre) show no
10 significant dependence on a further refined grid (Supplement, S2).

11

12 **3.3. Chemical boundary conditions: background concentrations and traffic** 13 **emissions**

14 In addition to meteorological and traffic data, chemical data of gases and particles are
15 required as part of CFD boundary conditions. According to the source type, the required
16 chemical data are divided into two categories: background concentrations and traffic
17 emission rates. The mass concentrations of background gaseous and particulate species
18 from the FEVER field measurements are listed in Table 3, with their corresponding
19 values used as model input. The background gas phase includes dry air (O₂ and N₂),
20 water vapor and CO₂. The CO₂ volume fraction and RH value are converted to mass
21 fractions to specify the species input values for the velocity inlet boundaries. For the
22 particulate phase, a bi-modal log-normal particle size distribution is assumed (as
23 summarized in Table 5). The parameters of background particles are obtained from the

1 FEVER measurements at about 100 m upwind of Hwy-400. Given the total background
2 N and PSD, the volume fractions of individual size bins are obtained, and the mass of
3 black carbon (BC) and organic aerosol (OA) are distributed into each size bin according
4 to the ratio of their background mass concentrations listed in Table 3.

5 Vehicles driving on the highway continuously emit a complex mixture of gases and
6 particles. It is not possible to include a complete set of gaseous and particulate species in
7 the model, which also is not numerically practical. In this study, the tailpipe emission
8 rates of the gaseous and particulate species are summarized in Table 4. Currently, the
9 exhaust gas is composed of CO_2 , H_2O , H_2SO_4 , SVOCs, and N_2 , which are key species to
10 the aerosol dynamics and dispersion. The treatment of H_2SO_4 as direct emission rather
11 than a mixture of SO_2 and SO_3 followed by hydrolysis has been explained in Section
12 2.2.1. It was suggested by modelling single exhaust plumes (e.g. Albriet et al.,
13 2010;Uhrner et al., 2007;Uhrner et al., 2011) that SVOCs are likely to be responsible for
14 the rapid growth in particle size when they condense on UFPs. Following Albriet et al.
15 (2010), pyrene ($\text{C}_{16}\text{H}_{10}$), n-nonadecane ($\text{C}_{19}\text{H}_{40}$), and n-pentacosane ($\text{C}_{25}\text{H}_{52}$) are
16 introduced to represent the polycyclic semi-volatile organic compounds, the semi-volatile
17 alkanes between C_{14} and C_{22} , and semi-volatile alkanes between C_{23} and C_{29} ,
18 respectively. The mass fractions of the above three groups of SVOCs are based on
19 Albriet et al. (2010), and the total mass emission rate of SVOCs is set as 0.0186 g/km
20 (Pye and Seinfeld, 2010). All SVOCs initially from the tailpipe are assumed to exist only
21 in the gas phase, but are subject to interactions with the particle phase through
22 condensation/evaporation upon immediate dilution with the surrounding air. To reduce
23 the number of species considered in the model, the non-volatile fraction of primary

1 organic aerosol (POA) from tailpipes is assumed to share the properties of the
2 background OA, i.e. with an average molecular mass of 300 g/mol and an average
3 density of 1.5 g/cm³. This assumption is not likely to affect our results because the
4 amount of the non-volatile fraction of POA from tailpipes is very small compared to the
5 background OA. *N* and PSD for tailpipe emissions are based on a recent study by
6 Nikolova et al. (2011b), which provides an emission rate according to traffic volume and
7 type. As pointed out by Nikolova et al. (2011a), however, their original proposed
8 parameterization implicitly accounts for fast nucleation process. As indicated by
9 laboratory measurements (Ronkko et al., 2007; Kirchner et al., 2009), the nucleation mode
10 particles have a nonvolatile core in the exhaust of a heavy duty diesel vehicle; however,
11 they are completely volatile under 280 °C in the exhaust of a diesel passenger car. Thus,
12 we assume in this study that *N* of nucleation mode particles from all passenger cars are
13 from BHN, while those from heavy duty vehicles have a solid core of BC and nonvolatile
14 POA. Given the mass flow rate of the tailpipe exhaust, the mass fraction of each
15 individual species can be estimated from its mass emission rate listed in Table 4. These
16 mass fractions are used to specify chemical boundary conditions for tailpipes.

17

18 **4. Results and Discussions**

19 Turbulent mixing of tailpipe emissions with the ambient air largely determines the initial
20 dilution and the three-dimensional distribution of the traffic pollutants downwind of
21 roadways. Thus, the modelled TKE is first compared against on-road and near-road TKE
22 measurements reported by Gordon et al. (2012b). For model validation results in Section
23 4.1, two scenarios with different traffic conditions (base case: 06:00-08:00 a.m. and half

1 traffic case: 05:00-06:00 a.m.) are considered. The modelled CO₂ and BC concentrations
2 and PSDs are compared with the FEVER field measurements. Finally, the impacts of
3 individual aerosol dynamical processes on UFPs and model sensitivity to the treatment of
4 ABL are investigated in Section 4.2. A total of 5 sensitivity runs are performed based
5 upon the base case (06:00-08:00 a.m.). Four sensitivity runs are conducted by turning off
6 a single aerosol dynamical process for each run, and the results are compared with the
7 base case in Table 6. An additional sensitivity run is conducted without maintaining
8 modelled ABL profiles through Eqs. (7-8).

9

10 **4.1 Model validation**

11 **4.1.1 Turbulent kinetic energy**

12 Both theoretical (e.g. Zhang and Wexler, 2004; Ketzler and Berkowicz, 2004) and
13 monitoring (e.g. Zhou and Levy, 2007) studies have concluded that dilution is the
14 dominant mechanism governing N of UFPs. And the turbulent kinetic energy (TKE)
15 measures the strength of mixing and dilution. Figure 2 compares the modelled TKE with
16 the measurements from the FEVER chasing experiments of passenger cars on highways.
17 The x-axis in Fig. 2 is the following time behind passenger cars and the y-axis is 10-s
18 average TKE. The modelled TKE values in Fig. 2 are calculated for individual vehicle
19 wakes super-imposed on an estimated background on-road TKE of 2.4 m²/s² (Gordon et
20 al., 2012b). The average travelling speed during the FEVER chasing experiments was
21 about 20 m/s, and 84.5% of the measurements were taken at a chasing speed between 15
22 and 25 m/s. Therefore, model simulations are conducted for passenger cars travelling at
23 15, 20, and 25 m/s. As shown in Fig. 2, the modelled TKE in the wake of a vehicle

1 travelling at speed of 15-25 m/s agrees well within the 25th and 75th percentile of the
2 measurements. And the variations among modelled TKE in Fig. 2 show the sensitivity of
3 on-road TKE to vehicle type and travelling speed. With these scenarios agreeing within
4 the 25th and 75th percentile of the measurements, it is clear that the turbulent mixing
5 within individual vehicle wakes on the highway can be reasonably well modelled.
6 As a measure of the turbulent dilution under perpendicular wind conditions, near-road
7 TKE is also modelled and compared to the measurements at a tower located 22 m east of
8 the road centre. Two time periods (05:00-06:00 and 06:00-08:00 a.m.) with distinctly
9 different traffic volumes are considered. For both time periods, the atmospheric boundary
10 layer was neutrally stratified. The average traffic volume, however, increased from 54.9
11 to 104.3 vehicles per minute, as shown in Fig. 2 of (Gordon et al., 2012a). Although the
12 tower is stationary, the distance and time along the wind trajectory from the highway
13 centre vary with changing wind direction and speed. Therefore, the evolution of
14 turbulence with distance can be investigated based on measurements at a fixed location in
15 a Lagrangian sense. There are 120 and 240 measurements of TKE taken for the periods of
16 05:00-06:00 and 06:00-08:00 a.m., respectively, and they are binned by distance as
17 shown in Fig. 3. The obtained wind trajectory distances vary between 20 to 80 m with
18 about 93% of them concentrating on the first bin (20-40 m). For the period of 05:00-
19 06:00 a.m., the measured (modelled) TKE at a distance of 20-40 m from the highway
20 centre is in the range of 0.46-0.80 (0.58-0.73) m²/s². Similarly, for the period of 06:00-
21 08:00 a.m., the measured (modelled) TKE lies in the range of 0.55-0.90 (0.65-0.95)
22 m²/s². Although the observed TKE decay is limited in spatial resolution for both time
23 periods, the comparison in Fig. 3 shows an adequate agreement with the field

1 measurements and suggests turbulent mixing in a roadside environment can be
2 successfully modelled even with varying traffic volumes.

3 **4.1.2 Near-road concentration gradients: CO₂ and BC**

4 As a chemically passive gas species in vehicular emissions, CO₂ is an ideal indicator of
5 atmospheric mixing of tailpipe exhaust with ambient air. In a previous study (Kim et al.,
6 2001), CO₂ was experimentally measured inside a single turbulent plume of heavy-duty
7 truck exhaust and successfully modelled with the standard k-ε model in the CFD code
8 FLUENT. The focus of this study, however, is the horizontal concentration gradient on
9 the downwind side of a highway.

10 Fig. 4(a) shows the concentration of CO₂ (ppmv) as a function of downwind distance
11 from the centre of Hwy-400 for the morning period of 06:00-08:00 a.m. FEVER
12 measurements were first corrected to wind trajectory distance, grouped into 20 m bins
13 between 50 and 350 m, and then plotted in median concentrations and 25th and 75th
14 percentiles. Modelled CO₂ concentrations closely follow the decreasing trend of the
15 median values of the FEVER measurements, and agree well within the 25th and 75th
16 percentiles. However, the model tends to underestimate CO₂ concentrations by about 6
17 ppmv in the first 50 m (i.e. 50-100 m) of downwind distance and overestimate by about 8
18 ppmv in the last 50 m (i.e. 250-300 m). Similarly, the concentration-distance relationship
19 for particulate BC is shown in Fig. 4(b). Modelled BC concentrations are also within the
20 25th and 75th percentiles exhibiting a trend with distance similar to the median of the
21 measured values. Similar to CO₂, minor underestimations (15%) between 50-100 m from
22 Hwy-400 and slight overestimations (20%) after 100 m were observed for particulate BC.

1 This behavior of the model suggests slightly overestimated pollutant concentrations
2 between 0-3 m above the ground within 100 m distance to the road, possibly due to
3 underestimated vertical mixing by the model. Beyond 100 m away from the road, vertical
4 diffusion of the near surface pollutants results in the overestimations. There are two
5 factors that may explain the underestimated vertical mixing closest to the road. Firstly,
6 the modelled road structure is missing a 1-m-high barrier at the highway centre, which
7 could potentially lift near surface pollutants under cross wind conditions (Ning et al.,
8 2010;Hagler et al., 2011). Secondly, midsize and heavy-duty trucks are neglected due to
9 their small fractions in total traffic, which emit pollutants at a greater height up to 4 m
10 than 0.5 m for passenger cars (Gordon et al., 2012a).

11

12 **4.1.3 Particle size distribution**

13 The fate of atmospheric particles depends strongly on PSD, which is the result of the
14 complex influences of mobile emissions, atmospheric dilution and transformation
15 processes. In Fig. 5, the predicted PSDs are compared to SMPS measurements for two
16 selected periods of the early morning rush hours (i.e. 05:00-06:00 and 06:00-08:00 a.m.)
17 at two fixed locations: Sites B and C, located 34 and 300 m from Hwy-400, respectively.
18 The early morning rush hours are subdivided into the above two periods based on hourly
19 averaged traffic flow. According to Gordon et al. (2012a), the traffic flow of 06:00-08:00
20 a.m. (105 veh/min) almost doubled the average traffic of 05:00-06:00 a.m. (55 veh/min),
21 while the ambient conditions (such as atmospheric stability class, incoming solar
22 radiation, and wind velocity) remained approximately constant.

1 Comparing the measured PSDs at two sites, it was found that for all measured particle
2 sizes, the number concentrations decreased significantly when particles were transported
3 from 34 to 300 m downwind of the highway. The observed total particle number
4 concentrations decreased by a factor of about 2.5 and 5.7 between these two locations for
5 the periods of 05:00-06:00 and 06:00-08:00 a.m., respectively. Similar to many previous
6 roadside monitoring studies reviewed by Pant and Harrison (2013), the measured PSDs
7 showed distinct multi-modal size regimes (i.e. nucleation, soot and accumulation modes)
8 in the measured size range of 15-700 nm. Tri-modal lognormal curve fitting of the
9 observed PSDs revealed a nucleation mode at 20-25 nm, a soot mode at 65-75 nm and an
10 accumulation mode at 160-380 nm. In agreement with Zhu et al. (2002a,b), the
11 nucleation mode particles dominated N and decreased much faster (by a factor of 8 in this
12 study) than that of the accumulation particles (only a factor of 2 in this study). It was also
13 found that the geometric mean diameter of the nucleation mode increased from 20.0 (at
14 Site B) to 24.7 nm (at Site C), which may be attributed to the condensation of SVOCs
15 (Clements et al., 2009) and the coagulation of nucleation mode particles (Zhu et al.,
16 2002b).

17 The comparison in Fig. 5 demonstrates an adequate agreement between the modelled and
18 the observed PSD at both distances under different traffic conditions. For the peak traffic
19 hours during 06:00-08:00 a.m., the model estimated total particle number concentrations
20 are 6.25×10^4 and 1.66×10^4 particles/cm³ at Sites B and C, respectively with
21 approximately 10% underestimations compared to the observations. Secondly, the
22 dominant nucleation mode was properly captured by the current model, as well as its
23 decreasing trend with increasing distance from the highway. Furthermore, the nucleation

1 mode particles were modelled to grow from 19.8 to 24.3 nm in geometric mean diameter
2 with increasing distance away from the highway. This agrees exceptionally well with the
3 observations. Similar conclusions can be drawn from the 05:00-06:00 a.m. comparison.
4 However, the model clearly underestimated the number concentrations of particles of
5 100-730 nm in diameter. This discrepancy can be attributed, at least partially, to the
6 missing non-tailpipe emissions in the current model, such as brake wear, road-tyre
7 interaction and re-suspension of road dust as reviewed by Kumar et al. (2013). Although
8 road dust particles formed mechanically by frictional contact between road surface and
9 tire or between break system components are assumed to be primarily coarse particles,
10 both laboratory experiments (Dahl et al., 2006; Gustafsson et al., 2008) and real-world
11 measurements (Mathissen et al., 2011) recently observed a significant portion of particles
12 of 6-700 nm in diameter. On the other hand, the estimated emission factors for sub-
13 micrometer particles generated by the road-tire interaction under steady driving condition
14 based on these studies vary significantly, indicating that the emission strength tends to be
15 very site specific. Thus, the underestimated particles larger than 100 nm might be a result
16 of missing estimates of non-tailpipe emissions for the underlying site.

17

18 **4.2 Model sensitivity analysis**

19 **4.2.1 Role of aerosol dynamical processes**

20 Along with dilution, aerosol dynamical processes (i.e. condensation/evaporation,
21 coagulation, nucleation, and dry deposition) may interact with one another and modify N
22 and PSD in near-road environments. In this section, the relative importance of the above
23 aerosol dynamical processes is investigated by conducting simulations with individual

1 processes removed and comparing against the base case simulation, in which all
2 dynamical processes are considered by the model. The obtained N and the geometric
3 mean diameter of nucleation mode particles from this sensitivity analysis are summarized
4 in Table 6. The base case simulation demonstrates that in moving from Site B to Site C,
5 the nucleation mode particles decreases by approximately a factor of 3, and the
6 geometric-mean diameter increases by 4.5 nm. The modelled soot mode and
7 accumulation mode particles are excluded from the analysis due to significant
8 underestimations compared to the measurements, as discussed in the previous section.
9 The results of excluding particle dry deposition process are investigated first because its
10 impact on N can interact with particle nucleation and condensation processes, as
11 discussed later in this section.

12 When particle dry deposition is deactivated in the model, nucleation mode particle
13 numbers increase significantly (~ 23 and 53% at Site B and C, respectively), resulting in
14 1-5 nm smaller geometric mean diameters compared to the base case as listed in Table 6.
15 The modelled particle dry deposition velocity is up to 0.2 m/s for the smallest particles of
16 3-5 nm in diameter due to strong Brownian diffusion. Our results show that particle dry
17 deposition plays a significant role in governing N in the vicinity of roadways between 30
18 and 300 m. Gidhagen et al. (2004b) estimated that dry deposition removes only about
19 12% of total particles near a Swedish highway, in contrast to our estimation of 15-35%.

20 This discrepancy may be due to the different treatment of atmospheric boundary layer
21 turbulence in both studies. Gidhagen et al. (2004b) introduced an artificial source of
22 turbulence into their model to mimic the observed atmospheric dilution of NO_x near the
23 road, while the theoretically- based method by Parente et al. (2011b) combined with the

1 measured ABLT was implemented in this study. The modelled VIT and ABLT have been
2 validated against the measurements in Section 4.1.1.

3 Compared with the base case simulation, the predicted mean diameters at both sites
4 without condensation remain nearly unchanged from the tailpipe emission of 15 nm. At
5 the same time, the predicted particle number concentrations without condensation are
6 about 1 order of magnitude lower than the base case, and are the lowest among all
7 scenarios. The implication of this is two-fold. In agreement with previous modelling
8 studies (i.e. Wang and Zhang, 2012;Uhrner et al., 2007;Uhrner et al., 2011;Albriet et al.,
9 2010), it strongly suggests that the condensation of SVOCs is responsible for the growth
10 of nucleation mode particles during their atmospheric transport. It also reflects the strong
11 interactions between particle growth and removal processes in governing the simulated
12 particle number. Without the condensational growth of nucleation mode particles, new
13 particles formed due to the BHN mechanism remains in the smallest size bin of 3-5 nm in
14 diameter. Immediately after formation, these particles are subject to efficient removal by
15 dry deposition due to their small particle sizes, resulting in the lowest N among scenarios.
16 This result implies that controlling tailpipe SVOC emissions may indirectly help reduce
17 UFP number concentrations in the vicinity of roadways.

18 For the scenario without BHN of $H_2SO_4-H_2O$, the geometric mean diameters at both sites
19 are similar to the base case with slightly more condensational growth in size. However,
20 the particle number concentrations are underestimated by 36 and 10% at Site B and C,
21 respectively, compared to the base case. This implies that over 60 and 90% of the
22 nucleation mode particles at Site B and C are attributed to HDV emissions with non-
23 volatile cores. The result also shows that the BHN of $H_2SO_4-H_2O$ has the greatest impact

1 on the particle population closest to the road. This is because the particles formed through
2 BHN are much smaller in size than those directly emitted with non-volatile cores around
3 15 nm in diameter. Thus, particles of BHN origins are subject to faster dry deposition
4 removal, and contribute less to N at greater distances in the near-road environment.
5 However, it should not be ignored in air quality modelling studies of mobile emissions,
6 especially within the first 100 m of the roadways.
7 The scenario excluding particle coagulation alone results in the least impact on both N
8 and geometric mean diameter of nucleation mode particles near the road. The results
9 strongly agree with both timescale analysis (Zhang and Wexler, 2004) and previous CFD
10 modelling studies (Wang and Zhang, 2012; Albriet et al., 2010; Gidhagen et al., 2004b).
11 However, the coagulation process was suggested to be important under mild to weak
12 atmospheric dilution conditions, such as street canyons (Gidhagen et al., 2004a) and road
13 tunnels (Gidhagen et al., 2003).

14 **4.2.2 Role of atmospheric boundary layer**

15 Previous studies have shown that accurate CFD simulation of the ABL (including its
16 wind profile and turbulence quantities) is essential for atmospheric dispersion of inert
17 pollutants. For example, Gorle et al. (2009) investigated the effect of atmospheric TKE
18 on the dispersion of particles of 1 μm in diameter, and concluded the impact was
19 significant. In their study, however, aerosol dynamical processes were not considered,
20 nor were their interactions with the ABLT. Here, a sensitivity analysis on the ABL is
21 performed to investigate the impact of the ABL on UFP formation and dispersion in the
22 near roadway environment. Specifically, the base case simulation is compared with a test
23 simulation where only the wall-function modifications of Eqs. (7-8) are not applied.

1 Figure 6a shows the model predicted UFP concentrations of the base case and the test
2 simulations, along with the integration of SMPS data at two fixed locations for the 06:00-
3 08:00 a.m. morning rush hours. The predicted concentrations of UFPs from the test
4 simulation are lower by about 1×10^4 particles/cm³ (or 18% of the background corrected
5 peak concentration) near the centre of the highway compared to the base case. However,
6 the concentration difference for CO₂ (as shown in Fig. 6b) between the two simulations is
7 only slightly different ($\sim 10\%$ of its background corrected peak value). The
8 underestimated concentrations of both pollutants are due to unrealistic acceleration of the
9 surface wind and changes in the TKE profile in the upstream region of the computational
10 domain, as discussed in (Blocken et al., 2007b). Thus, the concentration underestimation
11 for CO₂ is the result of the overestimated dilution near the surface, where vehicular
12 exhaust occurs.

13 In addition to the overestimated dilution effect on particle dispersion, the impact of the
14 ABL on UFP number concentrations is enhanced by the reduced nucleation rate due to
15 the underestimation of gaseous precursors (i.e. H₂SO₄ and H₂O) in the vehicle wake
16 regions. The maximum nucleation rate from both simulations is around 2.9×10^{16}
17 particles/m³/s, which are in the range of $1 \sim 6.3 \times 10^{16}$ particles/m³/s from single vehicle
18 exhaust plume simulations (Wang and Zhang, 2012; Uhrner et al., 2007). Although the
19 maximum nucleation rate is not sensitive to ABL profiles, the area averaged nucleation
20 rate of the cross-section in the exhaust pipe plane behind the vehicle is underestimated by
21 a factor of 5 due to the overestimated dilution behind vehicles in the sensitivity run. This
22 comparison strongly suggests that the concentration of UFPs from mobile sources may be
23 even more sensitive to the ABL conditions than inert gaseous species. It also implies that

1 introducing ABL conditions to activity-based emission models (such as Nikolova et al.,
2 2011b) may potentially improve their performance in estimating UFP traffic emissions.

3

4 **5. Conclusions**

5 In this study, an aerosol dynamics-CFD coupled model is applied to a single unified
6 computational domain to investigate the dynamics and dispersion of UFPs from tailpipe
7 exhaust to the near-road environment. The interactions among individual exhaust plumes
8 are explicitly modelled within the “tailpipe-to-ambient” computational domain. The
9 unique application of translational periodic boundary conditions effectively reduces the
10 size of the computational domain and allows fast multiple-scenario simulations of size-
11 and chemical component-resolved aerosol dynamics. This paper has demonstrated that,
12 together with field measurements, the model is an effective tool which can be used to
13 advance our knowledge on the formation and dispersion of UFPs in the near-road
14 environment. This information is needed to help develop parameterizations of sub-grid
15 processes ultimately to improve air quality model simulations over urban areas.

16 The model was successfully validated with FEVER field study measurements of both on-
17 road and near-road TKE. The results indicate that the strength of turbulent mixing of
18 pollutants due to VIT and the ABLT is properly captured by the model, leading to good
19 agreements between modelled and measured concentrations for CO₂ and BC. For UFPs,
20 the modelled PSDs demonstrated adequate agreement with measurements at two fixed
21 locations near a major highway, under different traffic conditions. Sensitivity analysis
22 indicated that the modelled *N* and PSD of UFPs are sensitive to H₂SO₄-H₂O binary
23 homogeneous nucleation, condensation/evaporation of SVOCs, and particle dry

1 deposition. However, for such an unconfined near-road environment as in this study,
2 coagulation appears to have negligible effect on UFPs. Results also suggest that UFPs
3 from mobile sources may be even more sensitive to ABL conditions than inert species
4 because the average nucleation rate in vehicle wakes is very sensitive to the dilution of
5 H₂SO₄. Therefore, introducing ABL conditions to activity-based emission models may
6 potentially improve their performance in estimating UFP traffic emissions.
7 The next step of our study is to conduct multiple-scenario simulations and to provide
8 parameterizations on mobile emissions to large scale air quality models. Ultimately, a
9 unified model of UFP dynamics and dispersion can help predict exposures in the vicinity
10 of roadways to support risk assessments and health effect studies. Better treatment of
11 these processes in air quality models is also expected to allow for more accurate
12 predictions of the impacts specific vehicle emission control strategies may have on near-
13 road air quality and subsequent health and environmental benefits.

14

15 **Glossary**

16	u	ABL mean velocity
17	k	Turbulent kinetic energy or TKE
18	ε	Dissipation rate of TKE
19	u^*	Friction velocity
20	κ	Von Karman constant
21	z	Height above the ground
22	z_0	Aerodynamic roughness length
23	C_μ	Constant in the standard k- ε model

1 σ_ε Turbulent Prandtl number for ε

2 E' Wall function constant

3 $z^{+'}$ Non-dimensional wall distance

4 ν Kinematic viscosity

5

6 **Acknowledgements**

7 This research was supported though the Program of Energy Research and Developent
8 (PERD) under the specific Particle and Related Emission projects C11.008 and C12.007.
9 PERD is a program administered by Natural Resources Canada. The authors would also
10 like to thank Professor Alessandro Parente at Université Libre de Bruxelles and his
11 colleagues for providing CFD code for modelling the ABL in FLUENT.

1

2 **Tables and Figures**

3 Table 1. Median values of the measured meteorological data and model input.

Background PM	FEVER measured value	Model input
Relative humidity	86.5%	87%
Ambient temperature (K)	283.65	283.15
3-m wind speed (m/s)	1.4	1.4
3-m wind direction (degree)	264	260
Friction velocity (m/s)	0.3	0.3
Monin-Obukhov length (m)	36.9	N/A

4

5 Table 2. Domain sizes for near road dispersion simulations under different traffic flow
6 conditions.

Scenario	Case study period (EDT)	Domain dimensions (x-y-z)
Base case and sensitivity runs	06:00-08:00 a.m.	375 m×48 m×50 m
Half traffic case	05:00-06:00 a.m.	375 m×91 m×50 m

7

8 Table 3. Background concentrations of particulate and gaseous species considered in the
9 model.

Background PM	FEVER measured value	Model input
BC ($\mu\text{g}/\text{m}^3$)	0.298-0.53	0.39
OA ($\mu\text{g}/\text{m}^3$)	0.676-1.50	1.04
PM _{2.5} ($\mu\text{g}/\text{m}^3$)	~5.0	4.78
<i>N</i> ($\#/ \text{cm}^3$)	4921-7335	5800
CO ₂ (ppmv)	412.7-421.3	415

10

1

2 Table 4. Tailpipe gaseous and particulate species mass emission rates (g/km driven) for

3 gasoline engines. *Canada's National Inventory Report 1990-2009.

Species	Reference emission rates (g/km driven)	Reference	Model input (g/km driven)
CO ₂	278	Estimated from fuel-based emission factors * and the observed vehicle composition	278
SVOCs	0.0186	Gasoline powered vehicles (Pye and Seinfeld, 2010)	0.0186
H ₂ SO ₄	2.94-8.82×10 ⁻³ 0-3.4×10 ⁻⁷	Light-duty diesel vehicles (Uhrner et al., 2007) Light-duty gasoline vehicles (Seigneur, 2009)	6.25×10 ⁻⁵
H ₂ O	99	Light-duty diesel vehicles (Uhrner et al., 2007)	99
BC	0.0063	SP2 data (Liggio et al., 2012)	0.0063
Non-volatile POA	0.0020	MOBILE6.2C	0.0020

4

5

1 Table 5. Particle number-size distribution parameters assumed by the model.

Sampling site	Particle mode	Number concentration (#/cm³)	Geometric mean diameter(nm)	σ
Background	Soot mode	5000	50	1.6
	Accumulation mode	800	120	1.6
Tailpipe (raw exhaust)	Nucleation mode	3.86×10^7	15	1.4
	Soot mode	9.42×10^6	60	1.6

2

3

1

2

3 Table 6. Number concentration and mean diameter of the nucleation mode particles
 4 predicted by the model under different scenarios. *Normalized bias (in percentage) was

5 calculated as $(\text{base case} - \text{scenario}) / \text{base case} \times 100\%$.

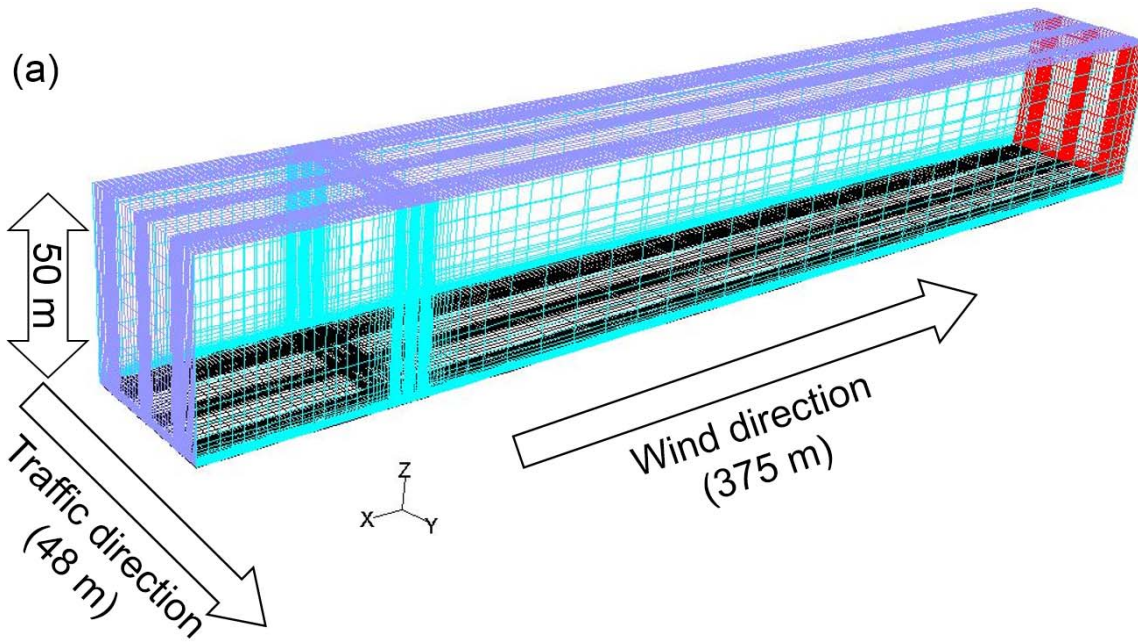
	Sampling site	Base case	Without deposition	Without condensation	Without nucleation	Without coagulation
Number concentration (#/cm ³)	B	5.94×10 ⁴ (N/A)	7.29×10 ⁴ (-23%)*	6.29×10 ³ (89%)	3.77×10 ⁴ (36%)	5.79×10 ⁴ (2%)
	C	1.59×10 ⁴ (N/A)	2.44×10 ⁴ (-53%)	9.63×10 ² (94%)	1.42×10 ⁴ (10%)	1.64×10 ⁴ (-3%)
Geometric-mean diameter (nm)	B	19.8	18.8	15.5	20.2	19.5
	C	24.3	19.6	15.2	25.4	23.3

6

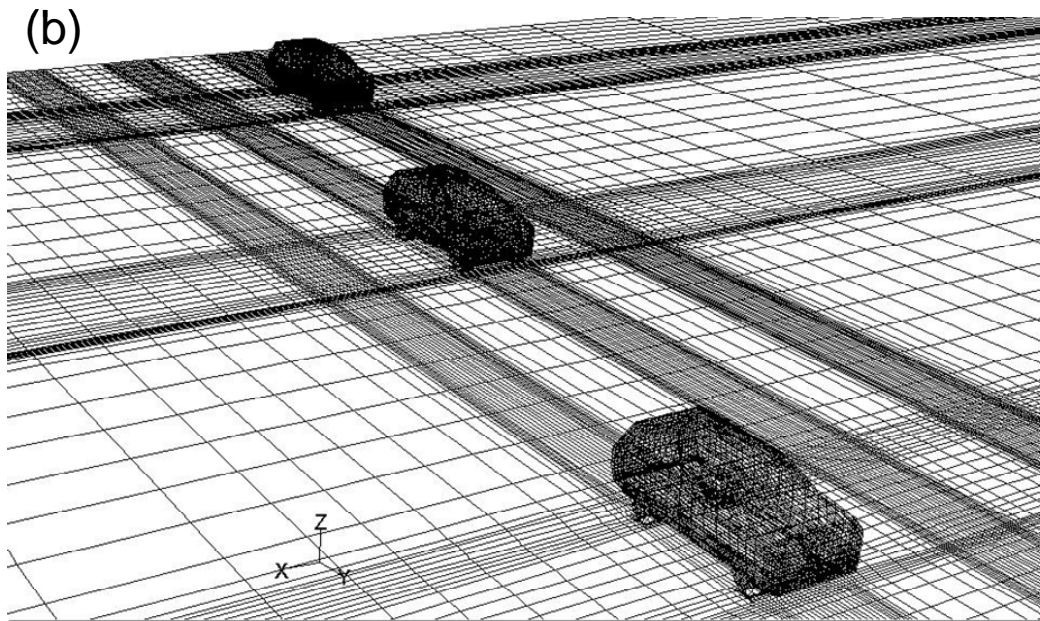
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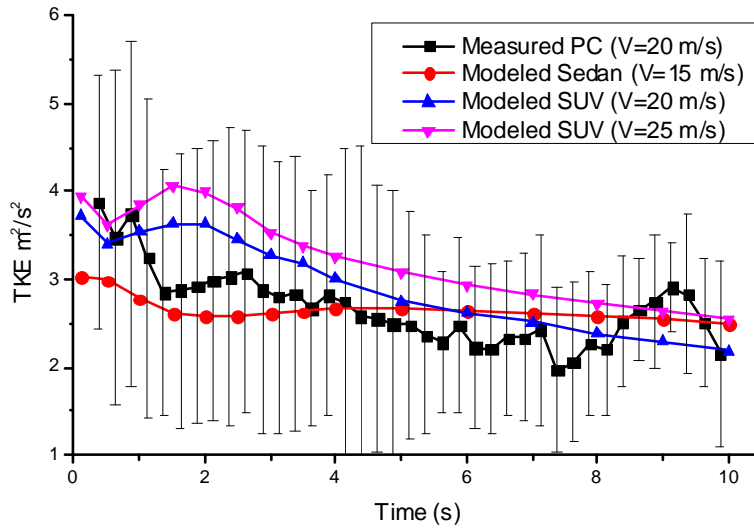
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4 Fig. 1. Computational domain (a) and running vehicles and ground mesh (b). Purple mesh
5 indicates velocity-inlet boundaries (left and top); Red mesh indicates pressure-outlet
6 boundary (right); Black mesh indicates wall boundaries (bottom and cars); and cyan mesh
7 indicates translational periodic boundaries (lateral).

1

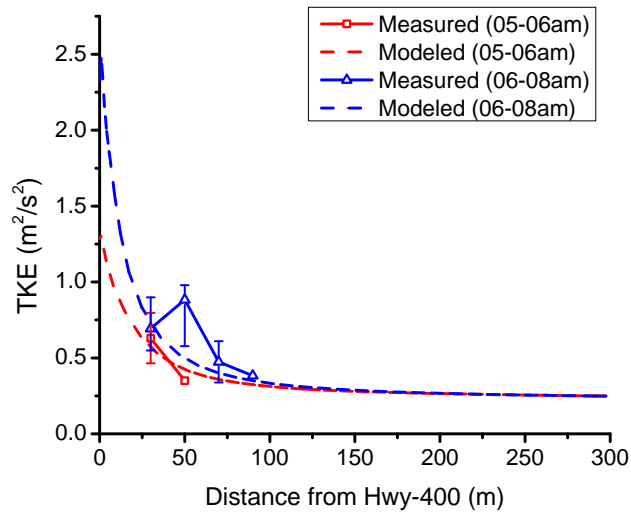


2

3 Fig. 2. Comparison of the on-road TKE from the passenger vehicle chasing experiments
4 of the FEVER project (black line) and model simulations (red, blue and purple lines). The
5 error bars represent the 25th and 75th percentiles of the measured on-road TKE. PC stands
6 for passenger vehicle and SUV stands for sport utility vehicle. V is the average travelling
7 speed (m/s) of PC in chasing experiments or the vehicle speed used in model simulation.

8

9



1

2 Fig. 3. Comparison of the TKE from the FEVER observations at a roadside tower and

3 model simulations for morning rush hours: 05:00-06:00 (red) and 06:00-08:00 a.m.

4 (blue). Measurement data are plotted in solid lines and model simulation results are

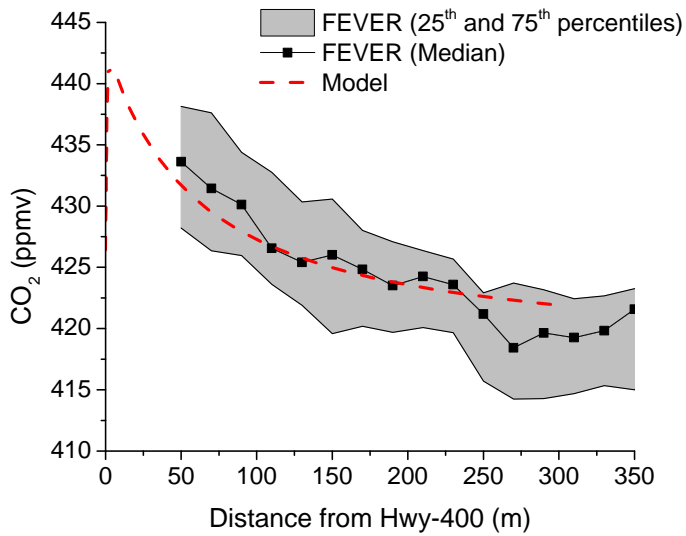
5 plotted in dashed lines. The error bars on top of measurement data represent 25th to 75th

6 percentiles.

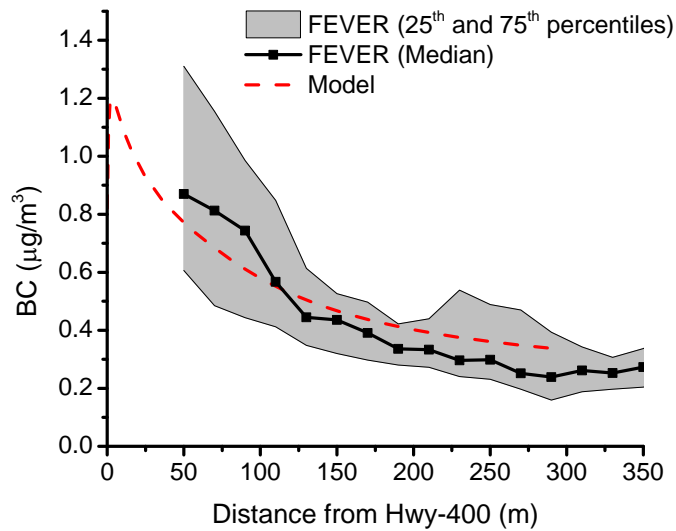
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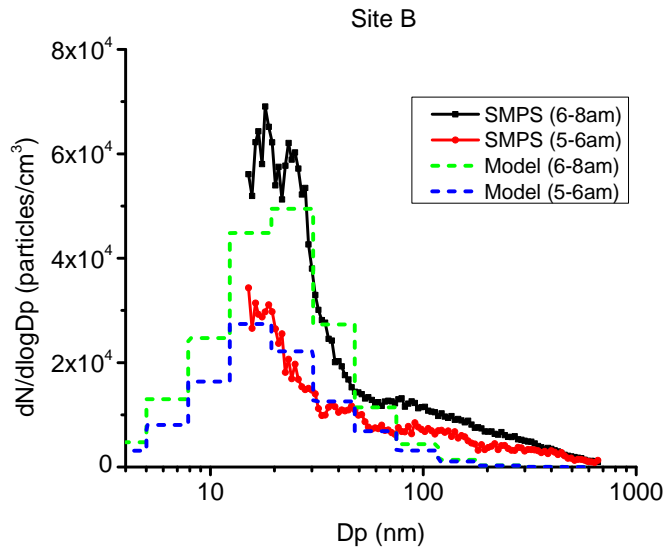
3

4 Fig. 4. Comparison of modelled and measured near-road concentrations of CO₂ (ppmv)
5 and BC (µg/m³) on the downwind side of Hwy-400. Median concentrations from FEVER
6 measurements are plotted in black solid lines, and modelled concentrations are plotted in
7 red dashed lines. The grey areas represent measurements within 25th and 75th percentiles.

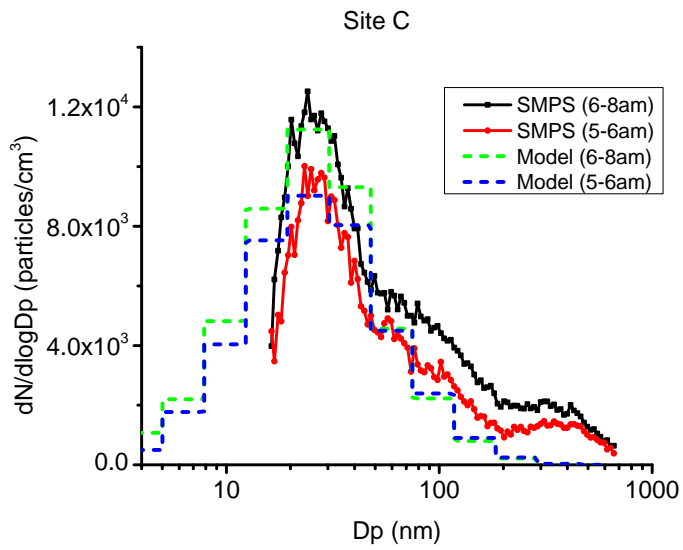
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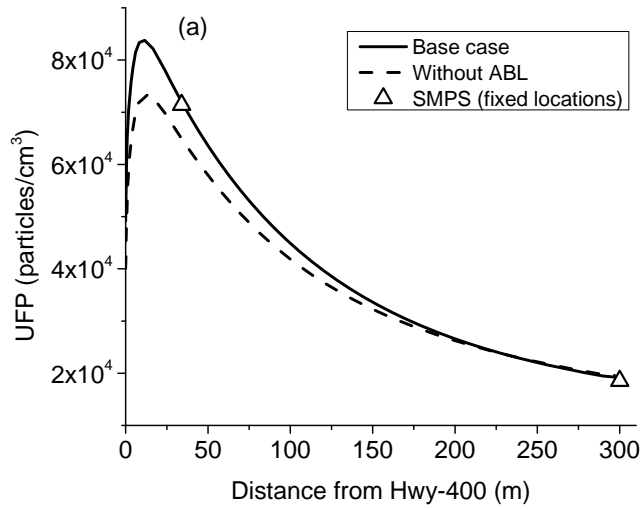
5 Fig. 5. Particle number-size distributions at site B (34 m from the hwy centre) and site C

6 (300 m from the hwy centre).

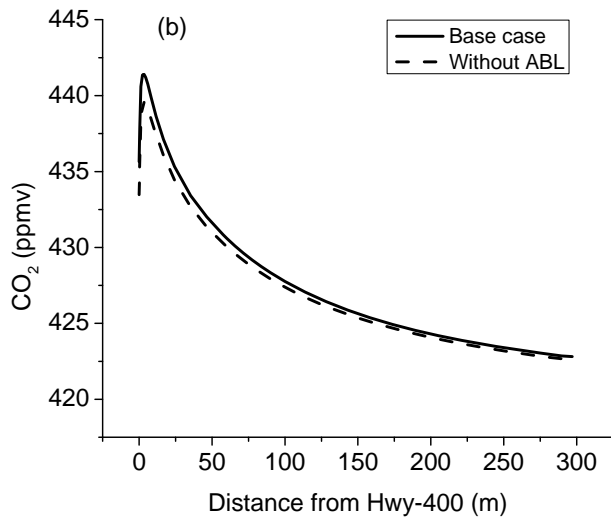
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4 Fig. 6. Predicted UFP number (a) and CO₂ (b) concentrations as a function of distance to
5 the centre of Hwy-400.

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