#### 1 Introduction

The hygroscopicity of aerosol particles plays a critical role in visibility degradation, 2 3 cloud formation, radiative forcing and thereby regional and global climate (Sloane and Wolff, 1985; Charlson et al., 1992; Pandis et al., 1995; Heintzenberg and Charlson, 2009; 4 Shi et al., 2012). Also, the hygroscopic properties of aerosol determine which fraction of 5 6 aerosol particles can act as cloud condensation nuclei (CCNs) and thus contribute to the 7 aerosol indirect effect (Pilinis et al., 1995; McFiggans, 2006; Hallquist et al., 2009). Moreover, water uptake by aerosol particles influences their health effects, as reported in 8 9 epidemiological studies (Pöschl, 2005). Therefore, understanding the interactions 10 between water vapor and aerosol particles, as well as the related physicochemical 11 processes in the atmosphere is of great significance.

12 Biomass burning is one of the important sources of anthropogenic atmospheric aerosols and also leads to the emission of greenhouse gases. The annual globally burned 13 14 land area is in the range of 3 to 3.5 million square kilometers, resulting in emissions amounting to  $2.5 \times 10^9$  kg carbon per year (van der Werf et al., 2006; Schultz et al., 2008). 15 Particles in biomass burning smoke enriched with hygroscopic organic and inorganic 16 17 constituents are suggested to act as efficient cloud condensation nuclei (Novakov and Corrigan, 1996; Petters et al., 2009; Rissler et al., 2010; Dusek et al., 2011; Frosch et al., 18 19 2011). In the Amazon basin, for example, the CCN concentration in the dry season is one order of magnitude higher than in the wet season due to biomass burning (Roberts et al., 20 2001; Carrico et al., 2008; Hening et al., 2010). In addition, the aerosol indirect climatic 21 effects resulting from increased cloud condensation nuclei concentrations are expected to 22 be very important in tropical regions, particularly in the regions with very high biomass 23

24 burning emissions (Roberts et al., 2001; Carrico et al., 2008; Hening et al., 2010). Increased CCN concentrations may lead to reduced average cloud droplet radii and 25 associated with this, likely an enhanced negative radiative forcing of affected clouds 26 (Roberts et al., 2003; Lohmann and Feichter, 2005; Dinar et al., 2006a, b, 2007; Carrico 27 et al., 2008). Several groups have reported that a significant portion of particles in 28 biomass burning (from 11 % to as high as 99 % by mass) consists of water-soluble 29 organic carbon (WSOC) (Ruellan et al., 1999; Novakov and Corrigan, 1996; Narukawa et 30 al., 1999; Hoffer et al., 2006; Iinuma et al., 2007; Fu et al., 2009; Claeys et al., 2010; 31 Dusek et al., 2011; Psichoadaki and Pandis, 2013). For example, Andreae et al. (2002) 32 studied the chemical composition of the WSOC fraction of particles generated by 33 biomass burning and divided these detected WSOC into three different classes: (1) 34 neutrals (N), (2) monocarboxylic and dicarboxylic acids (MDA), and (3) polycarboxylic 35 acids (PA). Further, Artaxo et al. (2002) have suggested organic surrogate compounds 36 representing size-resolved WSOC chemical composition for the dry and wet seasonal 37 periods of their field campaign in Rondônia, Amazonia. On the basis of chemical 38 structure, these surrogate compounds can be represented approximately by: levoglucosan, 39 40 4-hydroxybenzoic acid, and humic acid (Hoffer et al., 2006). Sampled WSOC typically contain the size distribution and a wide range of chemical species that are expected to 41 show rather different water solubilities, and different effect on the hygroscopic growth 42 43 factors of aerosol particles from biomass burning (Mochida and Kawamura, 2004; Biokos et al., 2006; Rissler et al., 2010; Jung et al., 2011). However, for a variety of WSOC 44 compounds, it is not well known what the deliquescent relative humidity (DRH) of the 45 46 pure compound is, which determines if it can exhibit substantial water uptake at moderate

<mark>47</mark>	RH or not least as long as crystallization took place at dry conditions (followed by a
48	hydration trajectory). Some organic components that show a small solubility in pure
49	water (i.e., these require a large volume of water to be extracted and labeled as a WSOC),
50	may have a DRH close to 100 % RH, which is not accessible in our hydration
51	experiments (RH probed up to ~ 90 % RH). All these factors are of great importance in
52	determining the CCN activity of biomass burning particles.
53	Previous laboratory studies have addressed the effects of organic surrogate compounds
54	from biomass burning on the hygroscopic properties of mixed organic-inorganic aerosol
55	particles containing inorganic salts (Chan and Chan, 2003; Mochida and Kawamura,
56	2004; Brooks et al., 2004; Gysel et al., 2004; Chan et al., 2005; Svenningsson et al., 2005
57	2006; Koehler et al., 2006; Badger et al., 2006; Dinar et al., 2007; Sjogren et al., 2007;
58	Carrico et al., 2008; Mikhailov et al. 2008, 2009; Hatch et al., 2009; Pope et al., 2010;
59	Zamora et al., 2011; Dusek et al., 2011; Frosch et al., 2011; Zamora and Jacobson, 2013).
60	Studies about the hygroscopicity of individual organic compounds characteristic to
61	biomass burning aerosol particles were performed by Mochida and Kawamura (2004).
62	Their results showed that the hygroscopic diameter growth factors of levoglucosan
63	aerosol particles are 1.23 at 80 % relative humidity (RH), while 4-hydroxybenzoic acid
64	does not show any hygroscopic growth up to 95% RH when starting with dry particles.
65	Water uptake by humic acid and mixtures of humic materials with ammonium sulfate
66	were determined using a HTDMA setup by Brooks et al. (2004). They showed that the
67	presence of humic acid affects the water uptake of mixed particles containing ammonium
68	sulfate + humic acid. However, actual biomass burning aerosols are typically much more
69	complex in terms of composition. The hygroscopicity of biomass burning aerosols likely

depends on the mixing of a diversity of organic compounds with inorganic constituents
during different time periods in the field (Decesari et al., 2006).

In this work, the hygroscopic properties of relevant organic compounds from biomass 72 burning are determined by the HTDMA technique. Using this experimental technique, we 73 also study the influence of the organic surrogate compounds on the water uptake behavior 74 75 of mixed organic-inorganic aerosols containing ammonium sulfate. Moreover, mixtures of several the organic components with ammonium sulfate, mimicking more complex 76 particles observed in the atmosphere are investigated to determine the influence of 77 78 organic compounds on the overall particle hygroscopicity. In addition, we use the Zdanovskii-Stokes-Robinson (ZSR) relation (Stokes and Robinson, 1966), the Extended 79 Aerosol Inorganic Model (E-AIM) (Clegg et al., 1992; Clegg et al., 2001; Clegg and 80 Seinfeld, 2006; available online: http://www.aim.env.uea.ac.uk/aim/aim.php), and the 81 Functional Aerosol Inorganic-Organic Mixtures groups Activity Coefficients 82 (AIOMFAC) model (Zuend et al., 2008, 2011) to predict the hygroscopic growth of 83 mixed aerosol particles and provide comparisons to our experimental findings. 84

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- 86 **2 Experimental and modeling methods**
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#### 88 **2.1 HTDMA instrument setup and experimental protocol**

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A schematic of our HTDMA setup is presented in Fig. 1. The HTDMA setup is comprised of three main components: (1) the aerosol particle generation section, (2) the particles sizing and humidification system and (3) a relative humidity control system. Polydispersed sub-micrometer particles are generated using an atomizer (MSP 1500,

94 MSP) from bulk solutions (0.1 wt %) with different mass fractions of organic and inorganic species with deionised water (EASY Pure<sup>®</sup> || UF ultrapure water system, 18.2 95  $M\Omega$  cm), assuming that the compositions of the mixed aerosols remain the same as that 96 of the solution used in the atomizer. The aerosol particles pass through three silica gel 97 diffusion dryers (SDD) and a Nafion gas dryer (Perma Pure Inc., USA), bringing the 98 particles to a dry state ( $RH_1 < 5$  %). The dry aerosols are subsequently charged and then 99 enter the first differential mobility analyzer (DMA1), where a near-monodisperse 100 distribution of particles of the desired dry diameter ( $D_0$ ) of 100 ±1 nm is selected. After 101 102 size selection, aerosols are pre-humidified in a Nafion conditioner tube, and then flow into a second Nafion tube at the set relative humidity, RH2, of a growth factor 103 measurement. the residence time of aerosol flow before entering into DMA2 is about 5 s 104 105 in the humidification section. This residence time may be insufficient for some organic compounds to reach equilibrium at the high RH because of the very low accommodation 106 coefficient (Kerminen, 1997; Ha and Chan, 2001; Zhang and Chan, 2000; Peng and Chan, 107 2001; Chan et al., 2005). For example, for those coated with organic layers, MgSO4, 108 sodium pyruvate, glutaric acid and asparagine. They need more long residence time to 109 reach equilibrium. Finally, the number size distributions for the humidified aerosols are 110 measured using the second DMA (DMA2) coupled with a condensation particle counter 111 CPC (Model 1500, MSP). The relative humidity of the DMA2 sheath flow, RH<sub>3</sub>, is 112 measured using a dew point hygrometer (Michell, UK), with an uncertainty of  $\pm 0.08$  % 113 RH. To allow the aerosol to equilibrate at the specified RH, we ensure that RH<sub>3</sub> is equal 114 to RH<sub>2</sub>. In addition, critical orifices were used to regulate the sheath flows, which were 115 116 both recirculated using closed-loop arrangements (Jokinen and Makela, 1997).

# 118 **2.2 Theory and modeling methods**

119	The mobility-diameter growth factor is calculated as the ratio of mobility a particle
120	established after exposure to a set RH level (mobility-diameter after humidification) to
121	the reference mobility of the dry aerosol particles (at $RH < 5$ %). Hygroscopic diameter
122	growth factors, $GF(RH) = D(RH)/D_0$ , where D(RH) is the particles diameter at a specific
123	RH and $D_0$ the diameter at dry conditions (RH < 5 %), $D_0$ is often taken as the initial
124	mode diameter of the aerosol ( $D_{h,dry}$ ) at dry conditions, $RH < 5$ % selected by DMA1. In
125	addition, following the definition of Mikhailov et al. (2004, 2008, 2009), a reference
126	diameter $D_0$ can be defined as being the minimum diameter ( $D_{h,min}$ ) observed while
127	following an experimental protocol. The values of this minimum diameter and initial dry
128	diameter are summarized in Table 2 (for set dry RH3 below 5 % RH in the HTDMA
129	operation) and measured hygroscopic growth factor of compounds presented in Fig. 2. In
130	addition, hydroscopic diameter growth factors are based on these reference minimum
131	diameters for the pure components are predicted using different thermodynamic models
132	and mixing rules. In each model, we assume that these particles are spherical. As a
133	consequence, the predicated mobility equivalent diameter is equal to the volume
134	equivalent diameter of a sphere.

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## 136 2.2.1 *GF* data fit

- 137 An expression proposed by Dick et al. (2000) is used to present the relationship between
- 138 water activity,  $a_w$  and GF(RH) for particles of individual compounds:

$$GF = \left[1 + \left(a + b * a_w + c * a_w^2\right) \frac{a_w}{1 - a_w}\right]^{\frac{1}{3}}$$
(1)

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$$RH / 100\% = a_w \times K_e$$
 (2)

$$Ke = \exp\left\{\frac{4\sigma_{wl}M_{w}}{RT\rho_{w}D_{y}}\right\}$$
(3)

Here  $a_w$  is the bulk water activity (mole fraction basis) at the composition of the solution droplet corresponding to gas phase RH at equilibrium with a bulk solution,  $\sigma_{sol}$  is the surface tension of the solution,  $M_w$  is the molecular weight of water,  $\rho_w$  is the density of pure water at *T*, *R* is the universal gas constant, *T* is the temperature,  $D_p$  is the sphere-equivalent mobility particle diameter, and  $K_e$  is the so-called Kelvin correction factor term accounting for the droplet curvature.

- 148 The simplest assumption is that the Kelvin factor is equal to 1 (i.e., neglecting the droplet
- 149 curvature effect), applicable to the large particles, however, for 100 nm diameter particles,
- 150 ignoring the Kelvin effect at a given RH level and measured growth factor, leads to an
- 151 error in the corresponding bulk solution equivalent water activity of about 1 2 %
- 152 (Kreidenweis et al., 2005; Koehler et al., 2006). In this work, we have corrected all
- 153 HTDMA GF data by evaluating the Kelvin term (Eq. 3) for the retrieved droplet size at a
- 154 certain RH to obtain the corresponding water activity for comparison with models. The
- 155 coefficients a, b, and c of Eq. (1) are determined by fitting Eq. (1) to GF vs.  $a_w$  values
- 156 obtained by using Eq. (2) with measured GF data at known experimental RH level.
- 157 Equation (1) is appropriate to describe continuous water uptake behavior of particles
- 158 with a reference diameter at dry conditions (i.e., with GF = 1.0 at RH = 0 %).

#### 160 **2.2.2 GF predictions by ZSR**

Assuming that the water uptake for each of the components of mixed particles can be treated independently at a given RH, i.e., the assumption of the Zdanovskii, Stokes, Robinson mixing rule, and that the partial volumes of individual components/phases are additive, the *GF* of a mixture,  $GF_{mix}(RH)$ , can be estimated from the  $GF_j$  of the pure components *j* and their respective volume fractions,  $\varepsilon_j$ , in the mixture (Malm and Kreidenweis, 1997):

$$GF_{mix} = \left[\sum_{j} \varepsilon_{j} \left(GF_{j}\right)^{3}\right]^{\frac{1}{3}}$$
(4)

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#### 169 2.2.3 *GF* prediction by E-AIM

E-AIM is a thermodynamic equilibrium model used for calculating gas/liquid/solid 170 partitioning, widely used in the community. This model includes density predictions for 171 172 aerosol systems containing inorganic and organic components in aqueous solutions. This 173 allows for better consideration of non-ideal mixing effects on solution density, and hence particle diameter at different RH. The group-contribution method UNIFAC (UNIversal 174 quasi-chemical Functional group Activity Coefficients) (Fredenslund et al., 1975; Hansen 175 176 et al., 1991), can be used within the E-AIM model to predict activity coefficients in aqueous solutions of multifunctional organic compounds (Clegg et al., 2001). However, 177 the standard UNIFAC model (Fredenslund et al., 1975; Hansen et al., 1991) is usually not 178 179 appropriate for organic components in which two strongly polar groups are separated by 180 less than four carbon atoms giving rise to intramolecular interactions, such as hydrogen bonding between certain polar groups. Some specific interaction parameters of UNIFAC 181 were revised by Peng et al (2001). The use of these modified UNIFAC parameters 182

improves the prediction of the water activity of dicarboxylic acids and
hydroxy-di-carboxylic and -tricarboxylic acids. These modified UNIFAC parameters can
also be chosen for calculations within the E-AIM model. The use of E-AIM model for
mixed organic-inorganic systems has been described in a range of papers (Hanford et al.,
2008; Clegg and Seinfeld, 2006; Hanford et al., 2008; Pope et al., 2010a; Yeung and
Chan, 2010).

We applied the E-AIM model to obtain the equilibrium state of aqueous mixtures and predict the *GF* as a function of RH. The water uptake by the organic components is estimated by choice with either the standard UNIFAC model or the modified UNIFAC model with certain interaction parameters by Peng et al. (2001) UNIFAC-Peng as part of the E-AIM model. Both flavours of UNIFAC have been applied for certain systems studied in this work.

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#### 196 **2.2.4** *GF* prediction by AIOMFAC

The AIOMFAC model by Zuend et al. (2008, 2011) is a thermodynamic 197 group-contribution model designed to calculate activity coefficient covering inorganic, 198 199 organic, and organic-inorganic interactions in aqueous solutions over a wide concentration range. Like the optional choice in the E-AIM model, AIOMFAC also 200 includes a modified UNIFAC model. In addition, AIOMFAC includes long-range and 201 202 middle-range molecular interaction contributions based on a semi-empirical Pitzer-type model expression to explicitly account for interactions between inorganic ions and 203 organic functional groups (plus water) in mixed solutions. This model has been 204 205 successfully applied to a variety of thermodynamic equilibrium calculations, including the consideration of liquid-liquid phase separation and the deliquescence of ammonium
sulfate (e.g., Zuend et al., 2010; Song et al., 2012; Zuend and Seinfeld, 2012; Shiraiwa et
al., 2013).

In this study, we use a thermodynamic equilibrium model based on AIOMFAC (Zuend 209 and Seinfeld, 2012; Shiraiwa et al., 2013) which assumes that all components are in a 210 liquid or amorphous (viscous) solution, potentially exhibiting liquid-liquid phase 211 separation in a certain RH range – except for ammoniums sulfate, which, as an option, is 212 allowed to form a crystalline phase in equilibrium with the remaining solution (to 213 214 represent the efflorescence and deliquescence hysteresis behavior of the inorganic salt depending on the mode of hydration/dehydration and starting RH in computations). 215 216 Liquid-liquid phase equilibria are predicted using the algorithm of Zuend and Seinfeld 217 (2013). However, the formation of solid (crystalline) organic phases is not generally considered at this point since in actual complex organic aerosols, the formation of 218 219 crystalline organic phases is likely suppressed (Marcolli et al., 2004). Thus, in the context of this study, where solid organic phases may be present in some of the systems, the 220 model will not be applicable – at least not to as part of the hydration branch of a humidity 221 cycle. As an exception, we apply the model for the mixed systems of 4-hydroxybenzoic 222 acid and ammoniums sulfate also in a mode where the assumption is made that the 223 organic component is solid and insoluble over the whole RH range considered (this 224 225 allows for better comparison with the experimental findings). Since this thermodynamic model predicts the water content (mole fraction of water) of a mixture in equilibrium with 226 the gas phase at a given RH level, mass growth factors can be calculated directly. 227 228 However, to compute diameter growth factors, assumptions about the density of different

mixture components and non-ideal mixing effects on solution density need to be made. Here we use the simplified assumption of additive component volumes, while accounting for differences between the density of solid ammonium sulfate and dissolved aqueous ammonium sulfate using pure component molar volume data reported by Lienhard et al. (2012).

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#### 235 **2.2.5 Ideal solution growth factor**

The water activity of an ideal solution containing a nonvolatile, non-electrolyte 236 237 component is equal to the mole fraction of water  $(x_w)$  (activity coefficients of unity). Thus, in the case of an ideal solution, we can estimate the water activity of liquid particles 238 directly from the knowledge of water content in term of  $x_w$ . Solutions comprising 239 electrolyte components, such as ammonium sulfate or sulfuric acid, are usually strongly 240 deviating from an ideal solution due to substantial dissolution (dissociation) of the 241 electrolytes and non-ideal interaction between ions, water, and organic compounds. 242 Therefore, water activities of mixed organic-inorganic systems may substantially differ 243 from a prediction by an ideal solution assumption (e.g., Zuend et al., 2011). 244

In this study, the ideal solution growth factor is used to explore its use as a simple approach to describe the hygroscopic diameter growth factor of pure components and mixtures, e.g. for ammonium sulfate and mixed particles. Since hygroscopic diameter growth factor measurements using the HTDMA are on volume basis, the ideal solution *GF* is calculated using mole fractions by the equation:

$$GF = \left[ \frac{\sum_{j} \left[ x_{j} M_{j} \frac{1}{\rho_{j}} \right]}{\sum_{j, j \neq w} \left[ x_{j} M_{j} \frac{1}{\rho_{j}} \right]} \right]^{\frac{1}{3}}$$
(5)

Here,  $x_i$ ,  $M_i$ , and  $\rho_i$  are mole fraction, molar mass and mass density of component *j*, 251 252 respectively. The sum in the numerator of Eq. (3) goes over all components including water, while the sum in the denominator goes over all components except for water ( $j \neq w$ ; 253 "dry" conditions). Note that when dissociated electrolyte components are present in the 254 255 liquid mixtures, the mole fractions in Eq. (3) have to be calculated as mole fractions with respect to completely dissociated electrolytes (or an applicable degree of dissociation). 256 Equation (3) is more likely applicable when water and the solute components are in a 257 liquid solution, i.e., no solid /phases present. In addition, it is assumed that the partial 258 259 molar volumes of organics and water in solution are equal to those of the respective pure liquid components. 260

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#### 262 **3 Results and discussion**

#### 263 **3.1** *GF* of single solute systems

The hygroscopic behavior of ammonium sulfate aerosol close to room temperature is well understood and has been characterized by several groups (Gysel et al., 2002; Kreidenweis et al., 2005; Biskos et al., 2006a, b). We can use it as a reference substance to calibrate the HTDMA setup because of its well-known deliquescent point (80 % RH at 294.8 K) (Onasch et al., 1999). 269 Fig. 2a. presents growth factors with respect to  $D_0 = D_{h,drv}$  and  $D_0 = D_{h,min}$  ammonium sulfate particles from low to high RH. The measured growth factor of ammonium sulfate 270 is  $1.45 \pm 0.01$  at 80% RH after deliguescence. The data agree well with values measured 271 by Gysel et al. (2002) and Wise et al. (2003), for example, the GF of AS is 1.45 at 24.9 272  $^{\circ}$ C as measured by Wise et al. (2003). In addition, the effects of particle shape/porosity 273 restructuring on hygroscopic behavior of AS particles investigated are rather small 274 (Mikhailov et al., 2004, 2008, 2009). The hygroscopic growth experiments for pure AS 275 276 are also in good agreement with the prediction from the E-AIM model and the 277 AIOMFAC model. Here, both models correctly account for the solid, crystalline state of AS in the RH range before the deliquescence at  $\sim 80$  % RH for the conditions of a 278 279 hydration experiment. Slight differences between these two thermodynamic models, 280 which both account very well for the non-ideal solution behavior of AS, are due to 281 different conversions of mass growth to diameter growth factors by the models. The E-AIM model includes a composition dependent solution density model, while the 282 283 AIOMFAC-based model simply assumes volume additivity (see discussion in Section 3.2). However, assuming an ideal solution, without consideration of the solid state and 284 285 mixing effects on solution density, its prediction for AS results in higher than measured 286 hygroscopic growth, also for RH > 80 %. Therefore, aside from the solid-to-liquid phase transition, the water activity in concentrated solution also deviates from ideality. 287

As shown in Fig. 2b, levoglucosan aerosol particles show continuous water uptake from low to high RH, and no deliquescence phase transition is observed, in excellent agreement with the behavior reported by Mochida and Kawamura et al. (2004) and Svenningsson et al. 2006. The measured *GF* at 80 % RH is  $1.17 \pm 0.01$ , which is similar

to a result from the literature (Mochida and Kawamura, 2004), which report a growth 292 factor of levoglucosan of 1.18 at 80 % RH. Also, the measured hygroscopic growth 293 factors are reasonably consistent with those estimated from the standard UNIFAC model 294 within the E-AIM model, the AIOMFAC model, ideal solution theory, and the fitted 295 expression Eq. (1). At higher RH, deviations between the different models become more 296 297 significant for a good estimate of the actual GF. The measurements also suggest that levoglucosan absorbs a small amount of water even at 5% RH, and that it remains liquid 298 over the full range of RH potentially rather viscous at lower RH. However, another 299 300 possible explanation for slight water uptake of levoglucosan could be that nanoparticles produced by crystallization at very low RH contain volume and surface defects (porosity, 301 polycrystalline state), which may facilitate water adsorption followed by absorption 302 starting already at low relative humidity (Mikhailov et al., 2008, 2009). This possibility 303 cannot be ruled out by our measurements, but the observation, which do not show a 304 deliquescence step, would suggest that such an effect could only take place with a 305 gradual deliquescence in the levoglucosan system. Zuend et al. (2011) discuss the case of 306 AIOMFAC predictions for levoglucosan and its mixtures with different inorganic 307 308 electrolytes. They state that the molecular structure of levoglucosan with several polar functional groups in close vicinity leads to less accurate model predictions for solutions 309 310 containing this compound. The same is true for UNIFAC model predictions and is 311 therefore a well-known limitation of these models for this particular system, explaining the observed deviations between model curves and measurements. 312

As can be seen from Fig. 2c, humic acid aerosol particles show a slight increase in *GF* from 10 % RH to 70 %. Above 70% RH, the particles start to take up increasingly

315 more water toward high RH. The effects of microscopic restructuring on the water uptake of humic acid aerosol particles are relatively the same small, comparable with those of 316 ammonium sulfate and levoglucosan aerosol particles. A similar tendency had been 317 observed by Brooks et al. (2004), However, a contrasting phenomenon was observed by 318 Zamora and Jacobson (2013); no hygroscopic growth of humic acid particles was 319 observed over the full range of RH in their study. Due to the lack of detailed physical and 320 chemical information about the used humic acid, the GF of humic acid particles are only 321 presented with a data fit based on Eq. (1). The determined fit parameters are listed in 322 323 Table 2. For model calculations with E-AIM and AIOMFAC, the chemical structure or at least the type and relative abundance of functional groups needs to be known, which is 324 325 not the case for the humic acid particles.

The hygroscopic growth curves for 4-hydroxybenzoic acid are presented in Fig. 2d. No 326 hygroscopic growth (within error) was observed below 90 % RH. Measured hygroscopic 327 diameter growth factors with respect to  $D_{h,min}$  are above 1.0, and are close to 1.0 toward 328 high RH (the minimum diameter was found at the highest RH measured). The main 329 330 reasons for the observation of smaller particles at higher RH is likely the restructuring and/or partial evaporation of particles at higher relative humidity in the hydration mode. 331 The measured hygroscopic diameter growth factor with respect to  $D_{h,drv}$  show of course 332 the same slight decrease in particles diameter with increasing RH. with this definition of 333 the reference diameter leading to GF smaller than 1.0 at higher RH, which is consistent 334 with previous experiments by another group (Mochida and Kawamura, 2004). The 335 reasons for the decrease in particle diameter are not fully understood, but the same 336 behavior is reported by Shi et al. (2012) for particles consisting of ammonium sulfate + 337

338	benzoic acid. On the basis of Transmission electron microscopy (TEM) image analysis,
339	they attribute the diameter decrease to the microscopic restructuring of solid particles
340	with increasing RH, which may affect the particle mobility diameter. Therefore, a similar
341	effect could be responsible for the observations from our experiments. Another potential
342	reason for a decrease in apparent diameter could be the partial evaporation of
343	semivolatile organics from the aerosol particles in the HTDMA (here: 4-hydroxybenzoic
344	acid, pure liquid vapour pressure $p^{0,L}$ = 8.11×10 <sup>-4</sup> Pa at 298.15 K, Booth et al. (2012)), an
345	effect that is also known for certain volatile inorganic particles (e.g., NH4NO3)
346	(Lightstone et al., 2000; Hersey et al., 2013). In order to probe hysteresis effects of
347	4-hydroxybenzoic acid during hydration and dehydration processing of the aerosol,
348	another experiment was conducted for 4-hydroxybenzoic acid. First, the
349	4-hydroxybenzoic acid aerosol particles were passed through a water supersaturation
349 350	4-hydroxybenzoic acid aerosol particles were passed through a water supersaturation humidifier (RH of above 100 %), followed by drying to the different RH setpoints (90 %
<ul><li>349</li><li>350</li><li>351</li></ul>	4-hydroxybenzoic acid aerosol particles were passed through a water supersaturation humidifier (RH of above 100 %), followed by drying to the different RH setpoints (90 % to 5 % RH). No obvious diameter changes other than potential restructuring effects were
<ul><li>349</li><li>350</li><li>351</li><li>352</li></ul>	4-hydroxybenzoic acid aerosol particles were passed through a water supersaturation humidifier (RH of above 100 %), followed by drying to the different RH setpoints (90 % to 5 % RH). No obvious diameter changes other than potential restructuring effects were observed in this experiment. A possible reason is that the particles deliquesce in the
<ul> <li>349</li> <li>350</li> <li>351</li> <li>352</li> <li>353</li> </ul>	4-hydroxybenzoic acid aerosol particles were passed through a water supersaturation humidifier (RH of above 100 %), followed by drying to the different RH setpoints (90 % to 5 % RH). No obvious diameter changes other than potential restructuring effects were observed in this experiment. A possible reason is that the particles deliquesce in the oversaturation humidifier, but that their efflorescence occurs above 90 % RH, likely even
<ul> <li>349</li> <li>350</li> <li>351</li> <li>352</li> <li>353</li> <li>354</li> </ul>	4-hydroxybenzoic acid aerosol particles were passed through a water supersaturation humidifier (RH of above 100 %), followed by drying to the different RH setpoints (90 % to 5 % RH). No obvious diameter changes other than potential restructuring effects were observed in this experiment. A possible reason is that the particles deliquesce in the oversaturation humidifier, but that their efflorescence occurs above 90 % RH, likely even above 97 % RH by their efflorescence (Mochida and Kawamura, 2004). A second
<ul> <li>349</li> <li>350</li> <li>351</li> <li>352</li> <li>353</li> <li>354</li> <li>355</li> </ul>	4-hydroxybenzoic acid aerosol particles were passed through a water supersaturation humidifier (RH of above 100 %), followed by drying to the different RH setpoints (90 % to 5 % RH). No obvious diameter changes other than potential restructuring effects were observed in this experiment. A possible reason is that the particles deliquesce in the oversaturation humidifier, but that their efflorescence occurs above 90 % RH, likely even above 97 % RH by their efflorescence (Mochida and Kawamura, 2004). A second possible issue could be that the residence time in the humidifier section (~ 5 s) of our
<ul> <li>349</li> <li>350</li> <li>351</li> <li>352</li> <li>353</li> <li>354</li> <li>355</li> <li>356</li> </ul>	4-hydroxybenzoic acid aerosol particles were passed through a water supersaturation humidifier (RH of above 100 %), followed by drying to the different RH setpoints (90 % to 5 % RH). No obvious diameter changes other than potential restructuring effects were observed in this experiment. A possible reason is that the particles deliquesce in the oversaturation humidifier, but that their efflorescence occurs above 90 % RH, likely even above 97 % RH by their efflorescence (Mochida and Kawamura, 2004). A second possible issue could be that the residence time in the humidifier section (~ 5 s) of our HTDMA setup is too short for 100 nm 4-hydroxybenzoic acid particle to fully deliquesce.
<ul> <li>349</li> <li>350</li> <li>351</li> <li>352</li> <li>353</li> <li>354</li> <li>355</li> <li>356</li> <li>357</li> </ul>	4-hydroxybenzoic acid aerosol particles were passed through a water supersaturation humidifier (RH of above 100 %), followed by drying to the different RH setpoints (90 % to 5 % RH). No obvious diameter changes other than potential restructuring effects were observed in this experiment. A possible reason is that the particles deliquesce in the oversaturation humidifier, but that their efflorescence occurs above 90 % RH, likely even above 97 % RH by their efflorescence (Mochida and Kawamura, 2004). A second possible issue could be that the residence time in the humidifier section (~ 5 s) of our HTDMA setup is too short for 100 nm 4-hydroxybenzoic acid particle to fully deliquesce. Our observations of this very limited solubility of 4-hydroxybenzoic acid, and hence, a
<ul> <li>349</li> <li>350</li> <li>351</li> <li>352</li> <li>353</li> <li>354</li> <li>355</li> <li>356</li> <li>357</li> <li>358</li> </ul>	4-hydroxybenzoic acid aerosol particles were passed through a water supersaturation humidifier (RH of above 100 % ), followed by drying to the different RH setpoints (90 % to 5 % RH). No obvious diameter changes other than potential restructuring effects were observed in this experiment. A possible reason is that the particles deliquesce in the oversaturation humidifier, but that their efflorescence occurs above 90 % RH, likely even above 97 % RH by their efflorescence (Mochida and Kawamura, 2004). A second possible issue could be that the residence time in the humidifier section (~ 5 s) of our HTDMA setup is too short for 100 nm 4-hydroxybenzoic acid particle to fully deliquesce. Our observations of this very limited solubility of 4-hydroxybenzoic acid, and hence, a high DRH are in agreement with the experimental data of Mochida and Kawamura (2004)
<ul> <li>349</li> <li>350</li> <li>351</li> <li>352</li> <li>353</li> <li>354</li> <li>355</li> <li>356</li> <li>357</li> <li>358</li> <li>359</li> </ul>	4-hydroxybenzoic acid aerosol particles were passed through a water supersaturation humidifier (RH of above 100 % ), followed by drying to the different RH setpoints (90 % to 5 % RH). No obvious diameter changes other than potential restructuring effects were observed in this experiment. A possible reason is that the particles deliquesce in the oversaturation humidifier, but that their efflorescence occurs above 90 % RH, likely even above 97 % RH by their efflorescence (Mochida and Kawamura, 2004). A second possible issue could be that the residence time in the humidifier section (~ 5 s) of our HTDMA setup is too short for 100 nm 4-hydroxybenzoic acid particle to fully deliquesce. Our observations of this very limited solubility of 4-hydroxybenzoic acid, and hence, a high DRH are in agreement with the experimental data of Mochida and Kawamura (2004) for this system. Obviously, the observed results are different from the GF curves

(within E-AIM) model. For these predictions hydration mode, starting with particles at 0 361 % RH, the applied models assume that the organic component is present in the liquid 362 state and that solid organic phases are not present. However, the experimental data 363 indicate that 4-hydroxybenzoic acid is solid and remains solid in the range from 5 % to 364 90 % RH for a hydration experiment. Hence, the shown model predictions clearly deviate 365 366 from the measurements due to the unfavourable assumption of a liquid solution and not because of a general limitation of the models for describing growth factors of the aqueous 367 4-hydroxybenzoic acid. Would the models account for a solid organic phases, the 368 369 predicted GF would be 1.0 throughout the showed experimental RH range with deliquescence of the organic crystal expected to occur at an RH value greater than 90 %. 370 371 Indeed, the model curves may well capture the water uptake/loss behavior prior to crystallization for the case of a dehydration experiment starting at very high RH ( $\sim 100$ 372 %) with liquid particles becoming supersaturated, metastable solution as RH is decreased 373 374 below the deliquescence point).

#### 375 **3.2 Mixed systems: ammonium sulfate + levoglucosan**

The measured water uptake by 100 nm particles consisting of different mixtures of 376 377 ammonium sulfate + levoglucosan with dry mass ratios of 3:1, 1:1, and 1:3, shown in Fig. 3, present a reduction in the GF at RH > 80 % with increasing levoglucosan mass fraction 378 and therefore decreasing AS content. For example, the growth factors are 1.30, 1.30, and 379 1.28 at 80 % RH, respectively, relative to the GF of 1.45 of pure AS particles at 80 % RH. 380 there is a clear shift in the full deliquescence of AS at RH = ~80 % RH to lower RH with 381 increasing levoglucosan mass fraction, which indicates the levoglucosan aerosol particles 382 have significant effect on the deliquescence of ammonium sulfate. And with increasing 383





<mark>407</mark>	volume additivity approach. We attribute a small effect on predicted GF curves due to
408	different density treatment in E-AIM and the AIOMFAC-based model based on a
409	comparison of E-AIM and AIOMFAC-based predicted mass growth factors and diameter
410	growth factors for pure ammonium sulfate shown in Fig. 7 In the case of predicted mass
411	growth factors of ammonium sulfate, both models agree very well with each other,
412	indicating the slight differences in predicted diameter growth factors must be due to the
413	different way the conversion from particle mass to particle volume is done in the two
414	models. In addition, for the levoglucosan + AS mixtures, the AIOMFAC-based model
415	predicts a liquid-liquid phase separation between 80 % and $\sim$ 90 % RH for the hydration
416	conditions. The E-AIM model does not predict a phase separation and estimates a higher
417	miscibility between the inorganic and organic components, which seems to be in better
410	agreement with the experimental date. This is the major reason for the differences
418	agreement with the experimental data. This is the major reason for the differences
418	between the two model predictions regarding the diameter growth factors above AS
<ul><li>418</li><li>419</li><li>420</li></ul>	between the two model predictions regarding the diameter growth factors above AS deliquescence in case of the levoglucosan + AS system. In addition, the E-AIM model
<ul><li>418</li><li>419</li><li>420</li><li>421</li></ul>	between the two model predictions regarding the diameter growth factors above AS deliquescence in case of the levoglucosan + AS system. In addition, the E-AIM model predicts a slightly higher mutual solubility of AS and levoglucosan at $RH < 80$ % in
<ul> <li>418</li> <li>419</li> <li>420</li> <li>421</li> <li>422</li> </ul>	between the two model predictions regarding the diameter growth factors above AS deliquescence in case of the levoglucosan + AS system. In addition, the E-AIM model predicts a slightly higher mutual solubility of AS and levoglucosan at $RH < 80$ % in comparison to AIOMFAC, which leads to more dissolution of AS and associated with
<ul> <li>418</li> <li>419</li> <li>420</li> <li>421</li> <li>422</li> <li>423</li> </ul>	between the two model predictions regarding the diameter growth factors above AS deliquescence in case of the levoglucosan + AS system. In addition, the E-AIM model predicts a slightly higher mutual solubility of AS and levoglucosan at RH < 80 % in comparison to AIOMFAC, which leads to more dissolution of AS and associated with that, to a large water uptake at RH below full deliquescence. Regarding the ideal solution
<ul> <li>418</li> <li>419</li> <li>420</li> <li>421</li> <li>422</li> <li>423</li> <li>424</li> </ul>	between the two model predictions regarding the diameter growth factors above AS deliquescence in case of the levoglucosan + AS system. In addition, the E-AIM model predicts a slightly higher mutual solubility of AS and levoglucosan at RH < 80 % in comparison to AIOMFAC, which leads to more dissolution of AS and associated with that, to a large water uptake at RH below full deliquescence. Regarding the ideal solution curve without consideration of a solid AS phase, the ideal curve approaches better with
<ul> <li>418</li> <li>419</li> <li>420</li> <li>421</li> <li>422</li> <li>423</li> <li>424</li> <li>425</li> </ul>	between the two model predictions regarding the diameter growth factors above AS deliquescence in case of the levoglucosan + AS system. In addition, the E-AIM model predicts a slightly higher mutual solubility of AS and levoglucosan at RH < 80 % in comparison to AIOMFAC, which leads to more dissolution of AS and associated with that, to a large water uptake at RH below full deliquescence. Regarding the ideal solution curve without consideration of a solid AS phase, the ideal curve approaches better with the measured GFs with increasing mass fraction of levoglucosan, which is a consequence
<ul> <li>418</li> <li>419</li> <li>420</li> <li>421</li> <li>422</li> <li>423</li> <li>424</li> <li>425</li> <li>426</li> </ul>	between the two model predictions regarding the diameter growth factors above AS deliquescence in case of the levoglucosan + AS system. In addition, the E-AIM model predicts a slightly higher mutual solubility of AS and levoglucosan at RH < 80 % in comparison to AIOMFAC, which leads to more dissolution of AS and associated with that, to a large water uptake at RH below full deliquescence. Regarding the ideal solution curve without consideration of a solid AS phase, the ideal curve approaches better with the measured GFs with increasing mass fraction of levoglucosan, which is a consequence of a reduced effect and less abrupt deliquescence transition of ammonium sulfate in the
<ul> <li>418</li> <li>419</li> <li>420</li> <li>421</li> <li>422</li> <li>423</li> <li>424</li> <li>425</li> <li>426</li> <li>427</li> </ul>	between the two model predictions regarding the diameter growth factors above AS deliquescence in case of the levoglucosan + AS system. In addition, the E-AIM model predicts a slightly higher mutual solubility of AS and levoglucosan at RH < 80 % in comparison to AIOMFAC, which leads to more dissolution of AS and associated with that, to a large water uptake at RH below full deliquescence. Regarding the ideal solution curve without consideration of a solid AS phase, the ideal curve approaches better with the measured GFs with increasing mass fraction of levoglucosan, which is a consequence of a reduced effect and less abrupt deliquescence transition of ammonium sulfate in the mixtures with higher levoglucosan mass fraction. The ZSR model is based on
<ul> <li>418</li> <li>419</li> <li>420</li> <li>421</li> <li>422</li> <li>423</li> <li>424</li> <li>425</li> <li>426</li> <li>427</li> <li>428</li> </ul>	between the two model predictions regarding the diameter growth factors above AS deliquescence in case of the levoglucosan + AS system. In addition, the E-AIM model predicts a slightly higher mutual solubility of AS and levoglucosan at RH < 80 % in comparison to AIOMFAC, which leads to more dissolution of AS and associated with that, to a large water uptake at RH below full deliquescence. Regarding the ideal solution curve without consideration of a solid AS phase, the ideal curve approaches better with the measured GFs with increasing mass fraction of levoglucosan, which is a consequence of a reduced effect and less abrupt deliquescence transition of ammonium sulfate in the mixtures with higher levoglucosan mass fraction. The ZSR model is based on hygroscopic growth factors of ammonium sulfate and levoglucosan derived from E-AIM

430 ZSR model is in relatively good agreement with the measured particle hygroscopicities 431 when accounting for measurement error. However, due to the nature of the classical ZSR 432 model used, the mutual solubility of AS in aqueous levoglucosan solution at RH < 80 % 433 below full AS deliquescence is not considered, i.e., the water uptake of organic and 434 inorganic components is treated separately and no dissolution effects are accounted for. 435 At those lower RH conditions, this leads to the largest deviations from experimental data 436 for the ZSR predictions in comparison to the two other models.

#### 437 **3.3 Mixed systems: ammonium sulfate + humic acid**

438 Hygroscopic behavior of 100 nm particles containing ammonium sulfate + humic acid with dry mass ratios of 3:1, 1:1, 1:3, shown in Fig.4, present a reduction in GF at RH >439 440 80 % with increasing mass fraction of HA (decreasing AS content). For instance, the measured growth factors are 1.30, 1.21, and 1.18 at 80 % RH after full AS deliquescence 441 for the particles containing 25 wt%, 50 wt% and 75 wt% HA (dry composition) 442 443 compared to a growth factor of 1.45 for pure, deliquesced AS particles at 80 % RH. Adding HA causes the mixed particles to start to take up a small amount of water before 444 the complete deliquescence of AS, indicating HA aerosol particles have a litter effect on 445 the deliquescence of AS. A similar tendency had been observed by Brooks et al. (2004) 446 for mixtures containing HA and AS, which exhibit a size growth prior to 80 % RH. 447 448 Hygroscopic growth factors referring to the water uptake contribution by HA in the ZSR relation are obtained from the fitted growth curve of pure HA particles (Eq. 1). As for the 449 humic acid + AS system, E-AIM predictions of the growth factors of pure AS are used in 450 451 the ZSR relation here. The resulting ZSR prediction agrees relatively well with the 452 measured hygroscopic growth of the different mixtures. Also qualitatively, when

453 comparing the three mixtures, the ZSR prediction reproduces the lower GF of the 3:1 454 AS:HA particles at RH < 80 % least amount of HA and then by contrast the higher GF455 for RH > 80 % due to a large water uptake contribution from AS after deliquescence. 456 Model predictions using the E-AIM and AIOMFAC could not be performed for this 457 system because of the lack of knowledge about the actual molecular structure of the 458 humic acid samples used.

#### 459 **3.4 Mixed systems: ammonium sulfate + 4-hydroxybenzoic acid**

Figure 5 shows the measurement and model predictions for particles consisting of 460 ammonium sulfate + 4-hydroxybenzoic acid with dry mass ratios of 3:1, 1:1, 1:3, A GF 461 reduction from panels (a) to (c) at high relative humidity is mainly due to an increasing 462 463 mass fraction of 4-hydroxybenzoic acid. For example, the measured growth factors are 1.31, 1.26, and 1.10 at 80 % RH after full AS deliquescence for the particles containing 464 25 wt%, 50 wt%, and 75 wt% 4-hydroxybenzoic acid (dry mass percentages), 465 respectively. Hygroscopic behavior of mixed 4-hydroxybenzoic acid - AS particles is 466 found to be essentially unaffected by the presence of 4-hydroxybenzoic acid. 467 4-hydroxybenzoic acid aerosol particles have no influence on the deliquescence point of 468 469 ammonium sulfate with the low water solubility. Similar phenomenon was observed for mixtures of ammonium sulfate and organics containing glutaric, pinonic acid, and 470 phthalic acid with low water solubility by Cruz and Pandis 2000 and Hamer et al. 2002 471 However, the GF of such particles differ from pure AS particles due to the different basis 472 of normalization dry diameter includes insoluble organic phase for the same dry size 473 particles class. The ZSR relation agrees very well with the measured hygroscopic growth 474 for mixed particles within measurement error due to fitted hygroscopic growth factors for 475

4-hydroxybenzoic acid are used as input for the ZSR relation (Eq. 2). For the case of 476 particles containing AS and 4-hydroxybenzoic acid, the ideal solution curves shows a 477 large deviation from measured GFs, partly because of the solid organic phase, but it is 478 also obvious that such mixtures deviate from ideal behavior. As in the case of binary 479 4-hydroxybenzoic acid + water system (Fig. 2d), for the mixed systems with ammonium 480 481 sulfate (Fig. 5), E-AIM model predictions are referring to a system where the organic component remains liquid at all RH, i.e., without consideration of a solid organic phase 482 that is most likely present during these hydration experiments. However, the 483 484 crystallization and dissolution of ammonium sulfate is considered by the two thermodynamic models. Hence, the systematic offset observed when comparing model 485 results and measurements in Fig. 5 is mainly due to incorrect model assumptions for 486 these organic-inorganic mixtures. In the case of the AIOMFAC model prediction a solid 487 organic phase is assumed, i.e., it is assumed that the organic growth factor contribution 488 GF (4-hydroxybenzoic acid) =1.0 for the whole RH range, the resulting particle growth 489 prediction is still a bit higher than the measured hygroscopic particle growths above 80 % 490 RH. This is largely explained by the fact that the measurement indicate GF < 1.0 at RH 491 492  $\approx$  80 % and above, potentially due to particles morphology effects, which then presents 493 a systematic offset between measurements and model prediction. Microscopical particle morphology restructuring and associated size changes of solid particles at moderate to 494 495 high RH levels have been found in other experiments too. An interesting, yet contrasting phenomenon was observed by Sjogren et al. (2007). They investigated different mixtures 496 of ammonium sulfate + adipic acid in the RH range from  $\sim 5$  % to  $\sim 95$  % using 497 HTDMA instruments and an electrodynamic balance (EDB). In addition, Sjogren et al. 498

499 (2007) studied the morphology of samples of their mixed aerosols containing solid (insoluble) adipic acid using scanning electron microscopy (SEM). Growth factors 500 indicating significant water uptake at RH below the full deliquescence of AS and 501 systematic deviations from ZSR predictions observed are explained by Sjogren et al. 502 503 (2007) as a result of morphological effects, including an inverse Kelvin effect, leading to 504 enhanced particle growth factors due to water uptake into cracks, veins and pores of polycrystalline solids. In contrast, our observations indicate a shrinking 505 of 4-hydroxybenzoic acid containing particles with increasing RH or at least a decrease of 506 507 their mobility-equivalent diameters.

# 3.5 Mixtures of biomass burning organic surrogate compounds with ammonium sulfate

510 The water soluble organic carbon (WSOC) fraction in biomass burning aerosol is mainly composed of neutral compounds, a large fraction of which consisting of sugar-like 511 512 compounds such as levoglucosan, mannosan and D-glucose. Levoglucosan, a major pyrolysis product of cellulose and hemicellulose, contributes substantially (16 % - 31)% by 513 mass) to the total organic fraction in  $PM_{2.5}$  (Mochida and Kawamura, 2004; Iinuma et al., 514 2007; Claevs et al., 2010; Engling et al., 2013; Samburova et al., 2013). In general, MDA 515 have been identified as pyrolysis products of lignin, which is a major constituent of 516 woods (Mochida and Kawamura, 2004; Hoffer et al., 2006; Iinuma et al., 2007; Fu et al., 517 2009; Dusek et al., 2011; Psichoadaki and Pandis. 2013). We use 4-hydroxybenzoic acid 518 519 to represent as a surrogate the MDA fraction; The polyacidic (PA) fraction of organic 520 compounds is found in all samples of biomass burning aerosols (Decesari et al., 2006). 521 Using the H-NMR technique for aerosol analysis of samples from the Po valley in Italy

more than 40% of the water soluble organic carbon was identified as PA having 522 molecular structures similar to humic materials (HMs) (Decesari et al., 2002; Fuzzi1 et 523 al.,2001; Dinar et al., 2006a, b, 2007; Pope et al., 2010; Zamora et al., 2011; Fors et al., 524 2010). Therefore, organic surrogate compounds (levoglucosan, 4-hydroxybenzoic acid, 525 and humic acid) have been proposed to represent the composition of WSOC on the basis 526 527 of speciation methods and functional groups analysis (Decesari et al., 2006). The effects of such organic surrogate compounds on the hygroscopic behavior of mixed 528 organic-inorganic particles containing also ammonium sulfate are measured and 529 530 discussed in following. We use the relative abundances of the three model compound classes based on the chemical composition analysis of atmospheric particles reported by 531 532 the Decesari et al. (2006). The chemical compositions of two distinct mixtures are given 533 in Table 2. Mix-bio-dry and mix-bio-wet are compositions typical of biomass burning aerosols in the two different seasons (dry and wet) in the Amazon basin near Rondônia, 534 535 Brazil (Decesari et al., 2006).

#### 536 3.5.1 Water uptake of mix-bio-dry and mix-bio-wet particles

The hygroscopic behavior of mix-bio-dry particles in terms of GF is presented in Fig. 6a. 537 Mixtures of organic surrogate compounds and AS in these dry season model particles do 538 not show any growth below 65 % RH. However, mix-bio-dry particles begin to take up 539 water at 65% RH and show steep growth between 75 % and 80 % RH, where the partial 540 deliquescence of AS contributes increasingly to the water uptake and, hence, the overall 541 growth factor. Similar water uptake behavior prior to full AS deliquescence has been 542 543 reported by Zardini et al. (2008) and Wu et al. (2011) for different organic + ammonium sulfate mixed aerosol systems. The E-AIM model prediction for mixtures consisting of 544

ammonium sulfate + levoglucosan with a comparable dry mass percentage ratio of 68 545 wt%: 26 wt% is in relatively good agreement with the measured growth factors above 75 546 % RH, this reveals that levoglucosan, as part of the mixture of organic biomass burning 547 organic surrogate compounds, largely contributes to the water uptake of mixtures 548 containing organic surrogate compounds and ammonium sulfate before the deliquescence 549 550 of AS. The ZSR model curve is calculated on the basis of the growth factors of the pure components: for AS using E-AIM, for levoglucosan, 4-hydroxybenzoic acid and humic 551 acid using the fitted expression (Eq. 1). The ZSR prediction tends to agree well with the 552 553 water uptake investigated at RH above 80 % RH, while large deviations are found for the range between 0 % and 80 % RH, for similar reasons as discussed for the AS + 554 levoglucosan systems (Fig. 3 and related text). 555

The hygroscopic behavior of mix-bio-wet particles is shown in Fig. 6b. In contrast to 556 mix-bio-dry particles, mix-bio-wet aerosols show little water uptake below 80 % RH. 557 This result is expected given the lower mass fraction of hygroscopic organics (e.g., only 558 9.2 wt % levoglucosan) in the mix-bio-wet particles. The observed hygroscopic behavior 559 of mixed organic-inorganic aerosols of other HTDMA studies on biomass burning 560 aerosols published in the literature, e.g. Wu et al. (2011), cannot be compared directly to 561 562 our measurements, because the samples and organic : inorganic rations are different. 563 However, the work by Wu et al. (2011) shows that other mixtures containing AS and organic acids found in biomass burning aerosol from Brazil, show significant water 564 565 uptake at relative humidities below 80 %, similar to our mix-bio-dry case. In addition, the E-AIM model prediction for mixtures consisting of AS and levoglucosan by mass 566 percentage ratio of 87 wt% : 9.2 wt% is in good agreement with the measured growth 567

568 curve, which indicates that levoglucosan mainly contributes to the hygroscopic behavior 569 of the organic aerosol fraction of the mixtures consisting of biomass burning model 570 organics and AS. Also, due to the limited water uptake prior to AS deliquescence in the 571 mix-bio-wet case, the ZSR prediction results in a good description of the measured 572 growth curve.

573 To summarize, the hygroscopic growth factor of mixed organic + ammonium sulfate particles is affected by the presence and relative composition of organic surrogate 574 compounds from biomass burning. For example, the growth factors during humidification 575 of mixed particles composed of model organics and AS at 80 % RH are 1.35 for 576 577 representative dry season aerosols and 1.37 for wet season aerosols. These growth factors 578 are lower than the GF of 1.45 for pure AS particles, which is of course expected given 579 the lower hygroscopicity of the organic components and the general finding that water 580 uptake contributions according to Eq. (2) usually describes a mixture's GF quite well. The measured GF values of our biomass burning model systems are similar to the ones 581 reported by Jung et al. (2011) for biomass burning aerosols sampled in Ulaanbaatar, 582 583 Mongolia, for which the GF were found to be between 1.30 and 1.35 at 80 % RH during hydration. Effects of organic surrogate compounds on the deliquescence behavior of AS 584 are found with increasing mass fraction of hygroscopic organic surrogate compounds, 585 586 particularly levoglucosan, from aerosol mixtures representing the wet and dry seasons in the Amazon basin. In the case of dry period aerosols with an enhanced mass fraction of 587 588 organic surrogate compounds, a smoothing of the hygroscopic behavior is observed, likely due to the continuous water uptake by levoglucosan and partial dissolution and 589 additional water uptake by ammonium sulfate. This result is similar to the observed 590

591 behavior in simple, binary mixtures of levoglucosan + AS, suggesting that 592 4-hydroxybenzoic acid and HA show little to no effect on the hygroscopic behavior of 593 mixed particles during a hydration experiment starting at dry conditions.

594 4 Conclusions

According to field studies reported in the literature, aerosol particles from biomass 595 596 burning events always contain a variety of inorganic and organic compounds. Different compositions of these aerosol particles have a significant influence on their 597 physicochemical properties, in particular hygroscopic behavior. Differences regarding 598 aerosol number concentration and particle composition were observed for the dry and wet 599 seasons in the Amazon and other regions (Artaxo et al., 2002; Decesari et al., 2006; 600 601 Rissler et al., 2006). In this work, we focused on three organic compounds (levoglucosan, 4-hydroxybenzoic acid, and humic acid) to represent common compound classes from 602 biomass burning. These organics are also representative of three different water uptake 603 604 characteristics. Hygroscopic growth measurement for the two-component organic + AS particles show that certain organic compounds can have an important influence on the 605 overall particle diameter growth factor and the partial deliquescence of AS, e.g., mixtures 606 of levoglucosan with AS. With increasing mass fraction of levoglucosan, a clear shift of 607 the onset of AS deliquescence to lower RH is occurring, which also leads to an overall 608 more smooth looking hygroscopic growth factor curve. In contrast, 4-hydroxybenzoic 609 acid and humic acid show no obvious effect on the deliquescence RH of AS. Also, due to 610 the limited solubility of these two organic compounds, the hygroscopic growth factors at 611 612 RH below 95 %, as measured, are reduced relative to that of pure AS particles.

Mixtures of organic surrogate compounds with AS, representing atmospheric aerosols 613 from biomass burning were made, with chemical compositions determined on the basis of 614 different organic and inorganic component fractions observed for the dry and the wet 615 period in the Amazon basin. The most striking difference in measured hygroscopic 616 617 growth curves comparing the two seasons is due to presence of different amounts of 618 levoglucosan as surrogate compound, implying that highly oxidized organic compounds like levoglucosan may play an important role in controlling the hygroscopic behavior of 619 atmospheric particles at RH below the full deliquescence of inorganic salts, such as AS. 620 621 Therefore, a main advantage of using organic surrogate compounds representing the complex WSOC fraction of biomass burning, aerosol is their use for laboratory 622 experiments and associated evaluation and improvement of thermodynamic mixing 623 models and parameterization for the predictions of hygroscopic behavior and CCN 624 activity in atmospheric models. 625

This work focuses on the water uptake and deliquescence behavior of organic 626 compounds from biomass burning sources and their influence on the water uptake of 627 mixed organic-ammonium sulfate aerosol particles. Ambient biomass burning aerosol 628 particles may undergo the humidity cycles depending on the RH history of an air parcel. 629 Humidity cycles may possible lead to solid-liquid phase transition hysteresis with distinct 630 efflorescence behavior of the organic deliquescence and components 631 and organic-inorganic-mixtures. Associated changes of aerosol hygroscopicity of mixed 632 633 particles similar to the multicomponent systems studies in this work will be a topic of studies in the future. 634

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1095	Table 1.	Substances	and	their	physical	properties	used	in this	work.
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	Chemical compound	Chemical formula	Molar Mass [g mol <sup>-1</sup> ]	Density in solid and liquid state [g cm <sup>-3</sup> ]	Solubility g/100cm <sup>3</sup> H <sub>2</sub> O	Solution surface Tension [J m <sup>-2</sup> ]	Manufacture
_	Ammonium sulfate	(NH4) <sub>2</sub> SO4	132.140	1.770ª, 1.550 <sup>b</sup>	74.400 (at 20℃)	0.072	Alfa Aesar, 99.95%
_	Levoglucosan	C <sub>6</sub> H <sub>10</sub> O <sub>5</sub>	162.100	1.618° 1.512 <sup>d</sup>		0.073 <sup>e</sup> (0.01-10 mg/mL)	Aldrich, 99%
_	4-Hydroxyben zoic acid	C7H6O3	138.100	1.460 1.372 <sup>f</sup>	0.675(at 25 ℃)	0.070 <sup>g</sup>	Alfa Aesar, 99.99%
Humic acids			NA	0.800 <sup>h</sup>	NA	0.073 <sup>i</sup>	Aldrich, 99%
109 109 109 110	<ul> <li>P7</li> <li>P8 <sup>a</sup> Clegg and W</li> <li>P9 <sup>b</sup> Lienhard et a</li> <li>P00 <sup>c</sup> Tuckermann</li> </ul>	exler (2011a); 1. (2012); and Cammenga (20	004);				

1101 <sup>d</sup> Jedelsk'y et al. (2000);

1102 <sup>e</sup>Kiss et al. (2005);

1103 <sup>f</sup> Yates III and Wandruszka (1999);

1104 <sup>i</sup> Mikhailov et al. (2008).

**Table 2.** Initial dry diameter ( $D_{h,dry}$ ) (RH < 5 %) and minimum mobility diameter ( $D_{h,min}$ )

1111 in hydration (h) mode of the HTDMA experiments.

-	Aerosol type	Dh,dry (nm)	D <sub>h,min (nm)</sub>
-	Ammonium sulfate	100.6	99.5
-	Levoglucosan	99.8	99.6
-	Humic acid	100.4	100.3
_	4-Hydroxybenzoic acid	100.2	95.0
-			

1134	<b>Fable 3.</b> Coefficients (a, b, c) of the fitted growth curve parameterization to measured
1135	growth factor data using Eq. (1). Measured growth factors of $D_0$ nm particles used in Eq
1136	1) were first corrected for the Kelvin effect.
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	Chemical compounds a h c

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	Chemical compounds	а	b	С
	Levoglucosan	0.45602	-0.69869	0.44755
	4-Hydroxybenzoic			
	acid	-0.14061	0.22767	-0.09526
	$D_0 = D_{h,dry}$			
	Humic acid	0.33579	-0.60172	0.40850
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1153 Table 4. The chemical composition of biomass-burning model mixtures studied, given as1154 mass percentages (wt %).

Mixture name	Ammonium sulfate	Levoglucosan	4-Hydroxybenzoic	Humic acid
Mix-bio-dry	68.0%	26.0%	3.0%	3.0%
Mix-bio-wet	87.2%	9.2%	1.5%	2.1%
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1172 Fig. 1. Schematic of the hygroscopicity tandem different mobility analyzer (HTDMA) system.



**Fig. 2.** Hygroscopic diameter growth factor (GF) for  $D_0$  (initial dry diameter RH < 5 %) aerosol particles. The measurements, model calculations, and fitted expression Eq. (1) represent conditions of particle growth during a hydration experiment from 5 % to 90 % RH at 298.15 K. Measured growth factors are corrected for the Kelvin effect and and therefore shown vs. water activity. Symbols: measured GF are shown with respect to  $D_0 = D_{h, dry}$  (black squares) or  $D_0 = D_{h\&d,min}$  (open circles). Systems: (a) ammonium sulfate (b) levoglucosan, (c) humic acid, and (4) 4-hydroxybenzoic acid.

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**Fig. 3.** Hygroscopic growth factors of aerosol particles containing mixtures of levoglucosan with ammonium sulfate at three different dry state mass fractions. The measurements and model calculations represent the particle growth during a hydration experiment from 5 % to 90 % RH at 298.15 K. Measured growth factors are corrected for the Kelvin effect and and therefore shown vs. water activity. Mass ratio of AS: Levoglucosan: (a) 3:1, (b) 1:1, (c) 1:3.

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**Fig. 4.** Hygroscopic growth factors of aerosol particles containing mixtures of humic acid (HA) and ammonium sulfate at three different dry state mass ratios. The measurements and ZSR model calculations represent the particle growth during hydration experiments from 5 % to 90 % RH at 298.15 K. Measured growth factors are corrected for the Kelvin effect and and therefore shown vs. water activity.



**Fig. 5.** Hygroscopic growth factors of aerosol particles containing mixtures of 4-hydroxybenzoic acid with ammonium sulfate at three different dry mass ratios. The measurements and model calculations represent particle growth during hydration experiment from 5 % to 90 % RH at 298.15 K. Measured growth factors are corrected for the Kelvin effect and and therefore shown vs. water activity. Mass ratio of AS:4-Hydroxybenzoic Acid: (a) 3:1, (b) 1:1, (c) 1:3.

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**Fig. 6.** Hygroscopic growth factors of 100nm (dry diameter) particles consisting of mixtures of organic surrogate compounds with ammonium sulfate representing particles of **(a)** dry and **(b)** wet seasonal periods in the Amazon . The measurements and model calculations describe the particle growth during hydration experiments from 5 % to 90 % RH at 298.15 K systems. Measured growth factors are corrected for the Kelvin effect and and therefore shown vs. water activity.



Fig. 7. Comparison of E-AIM and AIOMFAC-based mass growth factors (a) and growth diameter growth
factors (b) for the binary ammonium sulfate + water system at 298.15 K.