

1 **Source Sector and Region Contributions to BC and PM_{2.5} in Central Asia**

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32

33 **Abstract**

34 Particulate matter (PM) mass concentrations, seasonal cycles, source sector and source region
35 contributions in Central Asia (CA) are analyzed for the period April 2008-July 2009 using the
36 Sulfur Transport and dEposition Model (STEM) chemical transport model and modeled
37 meteorology from the Weather Research and Forecasting (WRF) model. Predicted Aerosol
38 Optical Depth (AOD) values (annual mean value ~0.2) in CA vary seasonally with lowest values
39 in the winter. Surface PM_{2.5} concentrations (annual mean value ~10 µg/m³) also exhibit a
40 seasonal cycle, with peak values and largest variability in the spring/summer, and lowest values
41 and variability in the winter (hourly values from 2 – 90 µg/m³). Surface concentrations of black
42 carbon (BC) (mean value ~0.1 µg/m³) show peak values in the winter. The simulated values are
43 compared to surface measurements of AOD, and PM_{2.5}, PM₁₀, BC, organic carbon (OC) mass
44 concentrations at two regional sites in the Kyrgyz Republic (Lidar Station Teplokluchenka (LST)
45 and Bishkek). The predicted values of AOD and PM mass concentrations and their seasonal
46 cycles are fairly well captured. The carbonaceous aerosols are underpredicted in winter, and
47 analysis suggests that the winter heating emissions are underestimated in the current inventory.

48 Dust, from sources within and outside CA, is a significant component of the PM mass and drives
49 the seasonal cycles of PM and AOD. On an annual basis, the power and industrial sectors are
50 found to be the most important contributors to the anthropogenic portion of PM_{2.5}. Residential
51 combustion and transportation are shown to be the most important sectors for BC. Biomass
52 burning within and outside the region also contributes to elevated PM and BC concentrations.
53 The analysis of the transport pathways and the variations in particulate matter mass and

54 composition in CA demonstrate that this region is strategically located to characterize regional
55 and intercontinental transport of pollutants. Aerosols at these sites are shown to reflect dust,
56 biomass burning and anthropogenic sources from Europe, South, East and CA, and Russia
57 depending on the time period.

58 Simulations for a reference 2030 emission scenario based on pollution abatement measures
59 already committed to in current legislation show that $PM_{2.5}$ and BC concentrations in the region
60 increase, with BC growing more than $PM_{2.5}$ on a relative basis. This suggests that both the health
61 impacts and the climate warming associated with these particles may increase over the next
62 decades unless additional control measures are taken. The importance of observations in CA to
63 help characterize the changes that are rapidly taking place in the region are discussed.

64 **1. Introduction**

65 Central Asia (CA), a region of republics located between Europe and Asia, faces severe
66 environmental problems, with origins dating back to the 1960s and best symbolized by the Aral
67 Sea catastrophe (Whish-Wilson, 2002). The Aral Sea has shrunk to only about 30 percent of its
68 1960 volume and roughly half its geographical size due to diversion of water for crop cultivation
69 and other purposes. The resulting desertification of the lake-bed has resulted in extensive dust
70 storms from the region, which have impacted the surrounding agriculture, ecosystem, and the
71 population's health.

72 The 2012 Environmental Performance Index (EPI), which tracks performance of 132
73 countries across a variety of environmental and ecosystem vitality indicators, ranked CA
74 countries among the weakest performers (Kazakhstan 129, Uzbekistan 130, Turkmenistan 131,
75 Tajikistan 121, and Kyrgyzstan 101) (Emerson et al., 2012). CA and the surrounding areas are
76 developing quickly and air pollution emissions are projected to increase significantly for the next
77 several decades (Shindell et al., 2012). In recognition of the need to improve the environmental
78 conditions in the region five CA countries have formulated the Framework Convention on
79 Preservation of Environment for Sustainable Development of CA (UNEP, 2006).

80 Despite the awareness of the environmental conditions, it remains an understudied region
81 and there is a general lack of air pollution observations within CA. Furthermore the recent
82 assessment of the intercontinental transport of pollution (HTAP, 2010) has indicated that the
83 major transport pathway of pollution from Europe to Asia is via low altitude flows passing
84 through CA. The magnitude of the pollution transport from Europe to Asia is highly uncertain in
85 large part due to the lack of observations of pollutants along this pathway. To help better
86 characterize the air pollution levels and the transport pathways in the region a study was

87 undertaken between Russia, Kyrgyz Republic, and USA scientists to observe and model aerosols
88 in the region. Measurements of particulate matter (PM) mass and composition were taken at two
89 locations in the Kyrgyz Republic (Lidar Station Teplokluchenka (LST) and Bishkek) and
90 modeling analysis was performed to assess the contributions of local, regional and distant
91 sources to the PM concentrations in the region (Miller-Schulze et al., 2012, Chen et al., 2012,
92 2013).

93 In this paper we present a modeling analysis of $PM_{2.5}$, PM_{10} , ($PM_{2.5}$ refers to particles in
94 the size range of less than 2.5 μm aerodynamic diameter (AD) and PM_{10} refers to particles in the
95 size range of less than 10 μm AD), black carbon (BC) and organic carbon (OC) mass
96 concentrations and aerosol optical depth (AOD) over the time period of April 2008 to July 2009.
97 The Sulfur Transport and dEposition Model (STEM), a hemispheric chemical transport model
98 (D'Allura et al., 2011), is used to estimate spatial and temporal variations in PM in CA, and to
99 assess the contributions to PM from wind-blown dust, open biomass burning, and anthropogenic
100 sources, and different geographical source regions and source sectors (transportation, power,
101 industry and residential). The simulated values are compared to surface measurements of AOD,
102 $PM_{2.5}$, PM_{10} , BC, OC mass concentrations at the two regional sites in CA. The transport of
103 aerosols into CA is also explored through three dimensional backward trajectory analysis.
104 Transport from CA and their impacts on downwind areas are also analyzed via forward trajectory
105 analysis. Finally we present results of how the PM concentrations may change in the future using
106 emission scenarios for 2030 that reflect possible air quality and climate policies.

107

108 **2. Data and Methods**

109 2.1. Observations

110 Surface observations from two sites established in the Kyrgyz Republic to measure PM
111 concentrations and AOD in CA are used in the analysis. The locations of the Bishkek
112 (42°40'46.65"N, 74°41'38.13"E, elevation 1743 above sea level (ASL)) and LST
113 (42°27'49.38"N, 78°31'44.17"E, elevation 1921 m ASL) sites are denoted by circle and triangle
114 markers, respectively, in Fig. 1. Both sampling sites are in mountain ranges with valleys to the
115 north, with mountains that reach elevations greater than 3500 m ASL south of the Bishkek site
116 and 4600 m ASL south of the LST site, and essentially no population to the south. At each site,
117 PM_{2.5} mass was measured continuously with tapered element oscillating microbalance (TEOM)
118 instruments and PM_{2.5}, PM₁₀, BC, and OC were obtained using filter-based sampling with
119 samples collected for 24 h every other day. AOD was measured every day at 10:30 am local time
120 (LT) using Microtops-II sun-photometers (SP). A stationary three wavelength aerosol Lidar
121 measured vertical profiles of extinction and depolarization on an event basis at the LST site. The
122 Lidar vertical profiles provide information on vertical distribution of the particles, and were also
123 used to calculate AOD from the Lidar Extinction (LE) profiles and to estimate the height of the
124 planetary boundary layer (PBL) as described in Chen et al., (2013). These observations sites are
125 now part of the UNEP project ABC measurement network
126 (<http://www.rrcap.ait.asia/abc/index.cfm>). Further details of the study can be found in Miller-
127 Schulze et al., (2011). Observations from these sites were obtained for the period April 2008 to
128 July 2009 (the TEOM measurements were available from April 2008 and filter measurements
129 began from July 1, 2008).

130 In addition, the model prediction skill in simulating the aerosols is evaluated using the
131 AOD observations from the AErosol RObotic NETwork (AERONET available at
132 <http://aeronet.gsfc.nasa.gov/>) located within the domain and the time period used in this study
133 along with PM₁₀ measurements from the European Monitoring and Evaluation Programme
134 (EMEP available at <http://www.nilu.no/projects/ccc/emepdata.html>) and the Acid Deposition
135 Monitoring Network in East Asia (EANET available at
136 <http://www.eanet.asia/product/index.html>) surface site networks. Table S1 lists the sites from the
137 AERONET, EMEP and EANET networks used in this study.

138 The Moderate Resolution Imaging Spectroradiometer (MODIS) collection 5.1 Level 2
139 AOD products (~ 10 km horizontal resolution) at 550 nm wavelength from Terra and Aqua
140 satellites were used to compare the observed and simulated AOD. The MODIS Level 2 data were
141 used and included land and ocean AOD retrieved via the dark target algorithm (Remer et al.,
142 2005, Levy et al., 2007), and the Deep Blue AOD over land (Hsu et al., 2004, Hsu et al., 2006),
143 which can retrieve AOD over bright and desert surfaces. This is particularly relevant for the CA
144 region, which contains major dust sources in western China, South Asia, the Middle East, and
145 Africa (Ginoux et al., 2001). The MODIS Level 2 to Level 3 averaging procedure outlined in
146 Hubanks et al., (2008) was employed to interpolate the 10 km Level 2 AOD products to the 60
147 km horizontal model resolution on a daily basis. Level 2 QA flag weightings were used to reduce
148 the uncertainty associated with the MODIS retrievals. In grid cells where both the MODIS dark
149 target and Deep Blue AOD were retrieved, the mean value of the two was used.

150 **2.2. Modeling System**

151 2.2.1 Meteorological Model

152

153 The Weather Research and Forecasting (WRF) model (Skamarock et al., 2008) version
154 3.2 was used to generate the meteorological fields needed for simulating the transport patterns in
155 the STEM model (D’Allura et al., 2011). The WRF simulations for each day were initialized
156 using the meteorological boundary conditions obtained from National Centers for Environmental
157 Prediction (NCEP) Final Analysis (FNL, <http://rda.ucar.edu/datasets/ds083.2/>). A daily 24 hour
158 spin up time for WRF was used (i.e. WRF was run for 48 hours each day and the first 24 hours
159 were treated as spin up and were discarded). The STEM model simulation time period was from
160 April 2008 to July 2009.

161 2.2.2 Chemical Transport Model

162 The STEM model (Carmichael et al., 2009) was used to simulate the mass of sulfate, BC,
163 OC, other primary emitted $PM_{2.5}$, and other primary emitted PM_{10} (i.e., non-carbonaceous PM
164 such as fly ash, road dust, and cement), which were simulated as a single mass component with
165 aerodynamic diameters less than or equal $2.5 \mu m$, and between 2.5 and $10 \mu m$ (denoted as coarse
166 fraction), respectively and referred to in this paper as $OPM_{2.5}$ and OPM_{10} , dust (fine and coarse)
167 and sea salt (fine and coarse). Nitrate and secondary organic aerosols (SOA) were not included in
168 the model for this application. The nitrate aerosol is estimated to be a minor component of the
169 PM mass in CA (Baurer et al., 2007). The importance of SOA will be discussed later in the
170 paper. The dry deposition of aerosols was modeled using the “Resistance in Series
171 Parameterization” (Wesely and Hicks, 2000) and wet deposition was calculated as a loss rate
172 based on the hourly precipitation calculated from the WRF model. Further details of the wet
173 scavenging can be found in Adhikary et al., (2007). The modeled AOD at 550 nm wavelength

174 was calculated using the simulated three dimensional aerosol distributions and species specific
175 extinction coefficients as described in Chung et al., (2010).

176 2.2.3 Modeling Domain

177 The STEM and WRF computation domains were identical, with a 60 ×60 km horizontal
178 resolution (249 ×249 horizontal grid cells) and 22 vertical layers up to 10 hPa. The domain (Fig.
179 1) covered much of the northern hemisphere in a polar stereographic projection, centered over
180 the Arctic region and extended to 35° N to include the major emission regions of North America,
181 Europe, and Asia. This modeling system has been applied to simulate aerosol distributions for
182 ARCTAS field campaign as described in D’Allura et al., (2011) and further details describing the
183 model can be found there. STEM was initialized with a one month spin up using March 2008.
184 Much of the analysis for this paper is focused on the domain denoted by the rectangle centered
185 over CA shown in Fig. 1. This domain has large gradients in topography (insert Fig. 1), which
186 significantly impact the transport patterns in the region.

187

188 2.2.4 Air Mass Trajectories

189

190 The CA observation sites are impacted by dust, anthropogenic pollution, and biomass
191 burning emissions from various source regions. To further understand the transport pathways and
192 source region influences on the PM distributions at these sites, three dimensional ten day air
193 mass trajectories (both forward and backward in time) from each site were calculated for the
194 entire time period (April 2008 – July 2009). In this trajectory analysis, we utilized the three
195 dimensional wind fields (including u, v and w components) along with the above ground level

196 (AGL) altitude simulated by the WRF meteorological model consistent with Dallura et al., 2011
197 study. These trajectories describe the general flow patterns based on wind fields alone and
198 provide useful information about the history of air mass particularly the influence of source
199 regions over which the air mass had resided before arriving at the site of interest. Note that these
200 trajectories do not account for any other atmospheric processes such as diffusion or chemical
201 evolution along its path (Kurata et al, 2004 and Guttikunda et al., 2005).

202 To understand the differences in transport patterns at the surface and aloft, and to study
203 the impact of topographic gradients in the vicinity of the sites, trajectories were initialized at
204 different altitudes (0.1 (100m), 0.3 (300m) 0.5 (500m), 1, 2, 3, and 5 km) a.g.l at the site
205 locations (i.e. latitude and longitude) daily every 3 hours for a ten day period both backward and
206 forward in time. The trajectories were terminated when they touched the ground, or went out of
207 the model domain or exceeded the ten day calculation period. The trajectories (at or below 1 km)
208 were used to characterize transport pathways impacting the surface concentrations at these sites,
209 which are discussed later in section 3.5.

210 211 **2.3. Emissions**

212 213 **2.3.1 Base emissions**

214
215 Anthropogenic emissions of BC, OC, PM_{2.5}, PM₁₀, and SO₂ were based on the ARCTAS
216 emissions described in D'Allura et al., (2011), but updated with newly available information. For
217 India and China BC, OC, and SO₂ emissions from Lu et al., (2011) were utilized, and INTEX-B
218 emissions were used for the rest of Asia (Zhang et al., 2009). For Europe, the EMEP 2008
219 (<http://www.ceip.at/webdab-emission-database/officially-reported-emission-data>) emissions were
220 used for SO₂, PM_{2.5}, and PM₁₀, and the EUCAARI 2005 inventory was used for the

221 carbonaceous particles (BC and OC) (Visschedijk et al. 2009 and Denier van der Gon et al.
222 2009). The shipping emissions came from the IIASA base year 2005 inventory (UNEP and
223 WMO, 2011). Mass conservative regrinding tools including MTXCALC and MTXCPLC from
224 the IOAPI m3tools suite (<http://www.baronams.com/products/ioapi/AA.html#tools>) were used to
225 interpolate the input raw emissions described above on to the model grid.

226 Anthropogenic emissions for SO₂, BC and OC were available by major economic sectors;
227 i.e., transportation, residential, industry, and power. The industry and power sectors were treated
228 as small and large point sources, respectively, and emitted into the first 6 model levels (lowest 2
229 km). The residential and transportation emissions were treated as near surface area sources and
230 partitioned into the first two model levels from surface to ~ 100m (i.e. AGL altitude of level 2)
231 with a 90 – 10 percent split. Monthly emission allocation factors were applied over India and
232 China for the economic sectors from Lu et al., (2011). The rest of the domain (i.e. excluding
233 India and China) used same emission rates for all months due to unavailability of monthly
234 emission allocation factors.

235 The Fire Inventory from NCAR (FINN v1) was used for BC, OC, CO, SO₂, PM_{2.5} and
236 PM₁₀ biomass burning emissions from forest, grassland and crop residual fires. The FINN
237 database, which is based on MODIS fire detection as thermal anomalies, provides global
238 coverage of fire emissions at a spatial resolution of ~ 1km on a daily timescale (Wiedinmyer et
239 al., 2011). The WRF-Chem fire utility (<http://bai.acd.ucar.edu/Data/fire/>) was employed to
240 interpolate the speciated FINN emissions to the WRF model grid. The gridded two-dimensional
241 FINN emissions were used as input to the WRF-Chem (Grell et al., 2005) plume rise model
242 (Grell et al., 2011), which implements the Freitas et al., (2007) and Freitas et al., (2010)

243 algorithm to compute injection heights and to calculate the vertical distribution of fire emissions
244 at an hourly time step, which were further utilized as input to STEM model simulations.

245 Sea salt and dust emissions were calculated using the WRF meteorological fields based
246 on the methods described in Gong, (2003) and Uno et al., (2004), respectively. The dust
247 emissions were further constrained with snow cover (SNOWC variable from WRF output) and
248 only grid cells with snow cover < 1% were used for dust emission calculations.

249 Fig. 2 shows the annual gridded anthropogenic SO₂ and BC, dust, and biomass burning
250 PM_{2.5} emissions in Gg per grid in and around CA. Large BC emission hotspots can be seen over
251 the Indo-Gangetic plain and eastern China. Significant BC emissions are also seen over Europe,
252 but are relatively lower in intensity than the Asian sources. The SO₂ emissions show Eastern
253 China as the largest source region followed by regions of South Asia, Europe, and Russia. The
254 major natural dust emission sources (Fig. 2c) include Africa, the Middle East, CA, Western India
255 boundaries, and Western China. The major sources of biomass burning are Eastern Europe,
256 portions of Siberian (between 40 – 60° N), Southeast Asia, Southern China and India (Fig. 2d).

257 Dust emissions have a strong seasonal cycle. The major dust sources in the region (Fig.
258 1) are located to the east, west and south of the observation sites and include the cool winter
259 deserts around the Aral and Caspian seas and those in western China and northern Pakistan as
260 well as the sub-tropical deserts in western India, around the Persian Gulf and northern Africa.
261 The emissions from the cool winter deserts occur when the surfaces are free of snow cover (from
262 March through October). Emissions from the sub-tropical deserts can occur throughout the year.

263 The open biomass burning emissions that impact CA also have a strong seasonality with
264 minimum impact in winter (Supplemental Materials Fig. S1). Fires typically begin in the spring
265 in Siberia along 50° N latitude and in northern India and South East Asia and in summer the high
266 latitude burning shifts to the west. In October the fire activity decreases and remains low until
267 spring, with the most active fire regions associated with agricultural burning in northern India
268 and southeast China.

269 **2.3.2 Future Emissions Scenarios**

270 In addition to the base emissions, a series of simulations were analyzed using emission
271 scenarios for 2030. These scenarios were developed for the WMO/UNEP report that looked at
272 short lived climate pollutants as described in Shindell et al., (2012) and Anenberg et al., (2012).
273 The reference scenario for 2030 was based on the implementation of control measures currently
274 approved in the various regions and assumed their perfect implementation. The 2030 reference
275 scenarios were developed from a reference global emissions inventory with a 2005 reference
276 year, and assumed significant growth in fossil fuel use relative to 2005, leading to increases in
277 estimated CO₂ emissions (45%). Abatement measures prescribed in current legislation were
278 projected to lead to reductions in air pollutant emissions, which varied by pollutant and region.
279 In the 2030 reference scenario, total primary PM_{2.5} emissions remain approximately constant,
280 while BC and OC decline by a few percent. However, in the study domain emission changes
281 varied widely. BC emissions increased by 10 – 100% in CA, South and Southeast Asia and in
282 western China, and decreased in East Asia and Europe. The PM_{2.5} emissions showed similar
283 regional changes but grew at smaller rates (10 – 40%). SO₂ emissions generally increased

284 throughout the region by 10 – 20%. Spatial maps of emission changes for the 2030 reference
285 scenario are presented in Figs. S2b, S3b, and S4b.

286 A series of emission control scenarios for 2030 were developed to evaluate the impact of
287 additional abatement measures designed to reduce the levels of short lived climate pollutants
288 (e.g., BC). The BC measures in the scenarios included two different sets of assumptions (low and
289 lowest). The first focused on reductions from incomplete combustion sources. These included
290 implementation of Euro 6 equivalent vehicle emission standards (requiring installation of diesel
291 particulate filters) and improving traditional biomass cook stoves in developing countries
292 (assuming 25% decrease in BC and 80 – 90% decreases in OC, CO, non-methane volatile
293 organic compounds(NMVOC), methane, and direct PM_{2.5}, relative to emissions from traditional
294 stoves). Under this scenario BC and PM_{2.5} emissions in the study region are projected to decrease
295 throughout most of the domain, with SO₂ emissions showing almost no change (see Figs. S2c,
296 S3c, and S4c).

297 The lowest option assumed the additional elimination of high-emitting vehicles, biomass
298 cook stoves (in developing countries), and agricultural waste burning. These BC measures in the
299 lowest scenario reduced global anthropogenic BC emissions by 75%. Measures targeting BC
300 also substantially reduced total primary PM_{2.5} (-50%), OC (-79%), NO_x (-27%), and CO (-44%).
301 These BC measures have little impact on SO₂ emissions. Projected emissions of BC and OC
302 under these scenarios are reduced most in Asia, followed by Africa. North America, and Europe.

303 These measures were also combined with a scenario designed to stabilize greenhouse
304 gases at 450 ppm of CO₂ equivalent (lowest+450ppm scenario), consistent with a global average
305 temperature increase of ~2° C. These CO₂ measures reduced SO₂ (-30%) (Fig. S4d) and NO_x (-

306 20%), but had little further impact on BC (~5% decline, Fig. S2d) since the major sources of CO₂
307 differ from those of BC. PM_{2.5} emissions were substantially further reduced under this scenario
308 (Fig. S3d).

309 **2.4. Simulations Analyzed**

310 The CA region is strategically located and aerosols at these sites are shown to reflect
311 impact of varied dust (including CA, Western China, Africa and Middle East), biomass burning
312 (including Europe, Siberia, South Asia and North America) and anthropogenic sources
313 (including Europe, South, East and Central Asia, and Russia) depending on the time period. So
314 we choose different regions for the anthropogenic, dust and biomass burning in order to capture
315 the impact and the associated seasonality of these main regions on the regional distribution of
316 aerosols in this region. We have more anthropogenic regions to better understand how regional
317 changes in emissions may impact CA PM levels.

318 Several simulations were analyzed for this paper. The *base* simulation included all
319 sources and used the meteorology from the WRF model for the period April 2008 – July 2009.
320 To investigate the contributions from specific source sectors, additional simulations were
321 performed where emissions from one sector were set to zero everywhere. The contribution from
322 each sector was calculated as the difference between the base simulation and the simulation with
323 emissions from that particular sector set to zero. This was repeated for each sector and for
324 biomass burning. Additional simulations were performed to assess the source contribution from
325 specific regions to the particle levels in CA. The specific regions used are shown in Fig.1. In
326 these simulations all anthropogenic emissions were set to zero in that region. In a similar manner
327 regional dust and fire sources were also studied and the source regions are also shown in Fig.1.

328 Finally, simulations were performed using the *reference* 2005 and 2030 emissions and the *low*
329 and *lowest + 450 CO₂* 2030 scenarios (described above). For these runs, the meteorology, open
330 biomass burning, and dust emissions were the same as in the base run (i.e., 2008/2009).

331 **2.5. Model Evaluation**

332 We have evaluated this model framework in other regions outside CA including arctic region and
333 continental US previously, which are summarized below. The domain configuration and settings
334 of the WRF/STEM modeling system used in this study have been applied to provide
335 meteorology and air quality forecasting during the ARCTAS field campaign in 2008 (Dallura et.
336 al., 2011) as mentioned earlier in sections 2.2.3 and 2.3.1 respectively. The study by Dallura et
337 al., used WRF v2.2 and pre-emission ARCTAS emissions data
338 (http://mic.greenresource.cn/arctas_premission), real time biomass burning emissions from the
339 RAQMS modeling group (<http://raqms-ops.ssec.wisc.edu/>) and evaluated the performance of the
340 WRF-STEM modeling framework (used in this study) through the case study of the ARCTAS
341 mission DC-8 flight conducted on July 9 2008. The WRF model compared well with observed
342 meteorological variables (including temperature, pressure, wind speed and wind direction) along
343 the flight and correctly simulated the various meteorological processes (i.e. cyclonic circulation,
344 low pressure system, anticyclones and geostrophic wind flows) that facilitate transport of
345 pollutants into the Arctic region. This study also compared CO along the flight and found that
346 the simulated values were able to capture the magnitude and temporal variability seen in
347 observed values. The study also found that the fires from North Asia and anthropogenic CO from
348 China were the major sources contributing to observed CO levels along the flight suggesting that

349 the midlatitude pollution and summertime forest fires/biomass burning transport events were
350 well represented in this modeling system.

351 Huang et al, 2012 used a subset of this domain (covering the region of continental North
352 America) to simulate summertime sectoral and regional contributions to BC over continental US
353 using the WRF/STEM modeling framework. Note that the Huang et al., 2012 study used the
354 same WRF meteorology, ARCTAS emissions and RAQMS biomass burning emissions used in
355 the Dallura et al., 2011 study, but used the full-chemistry version of the STEM model. The
356 comparison of the simulated aerosol BC from the STEM tracer model with corresponding BC
357 values from the full chemistry version of the STEM model showed that the simulated tracer BC
358 was able to capture trend and the same relative variability seen in the full chemistry predictions.
359 Huang et al., 2012 evaluated the model performance aloft and at surface using measurements
360 from ARCTAS mission DC8 flights conducted on June 20 and 22 and 24 that sampled over
361 California and IMPROVE surface site network. Huang et al., 2012 found that the predicted BC
362 values captured many of the vertical features seen in the flight observations with a tendency to
363 over predict surface BC and $> 4\text{km}$. The comparison of predicted BC with observed values from
364 the IMPROVE network showed that the model was able to capture the gradients in BC values
365 with high concentrations in western and eastern NA regions with slight positive and negative
366 biases over the mountain regions and the eastern US/California. In this paper we further evaluate
367 the performance of the model over the selected domain in this study (Fig. 1) using MODIS and
368 AERONET AOD and PM_{10} surface observations as described in the following sections.

369

370 **3. Results and Discussion**

371 **3.1 Regional Perspective**

372
373 CA is a region with high aerosol loadings as shown in the mean MODIS retrieved AOD
374 at 550 nm for the time period of study (April 2008 – July 2009) (Fig. 3a). AOD (period mean)
375 throughout CA (~ 45-90° E, 35-50° N) are greater than 0.25, with the highest regional values
376 around the desert areas near the Caspian and the Aral seas. There are also high values (>0.6)
377 along CA's eastern border, which reflect the deserts and rapidly developing cities in western
378 China, and to the south over Pakistan and northern India.

379 The period-mean predicted surface concentrations of PM_{2.5}, BC, total dust (fine and
380 coarse) and PM_{2.5}/PM₁₀ ratio are shown in figure 4. The BC levels in CA are typically less than
381 0.3 µg/m³ and its spatial pattern reflects contributions from both anthropogenic and biomass
382 burning sources (Fig. 4a). The simulation period mean PM_{2.5} concentrations in CA (10 to
383 35µg/m³) (Fig. 4c) have a similar geographical distribution as AOD (Fig.3a). Dust is the major
384 component of predicted PM₁₀ in CA as seen from the high dust concentrations (25-100 µg/m³) in
385 Fig. 4b and low values (0.3 – 0.4) of the predicted PM_{2.5}/PM₁₀ ratio (Fig. 4d). The natural dust
386 source regions including Western China, Middle East, Africa and western India have similar
387 PM_{2.5}/PM₁₀ ratio values (0.3-0.4) reflecting the major contribution of dust to PM over these
388 regions. The high predicted PM_{2.5}/PM₁₀ ratio values (>0.6) are seen over southern and eastern
389 China indicate that the non-dust sources are the dominant contributors to PM in this region.

390 **3.2. Model Evaluation with AOD and PM measurements**

392 3.2.1 Comparison with MODIS and AERONET AOD

393 The predicted period mean AOD spatial distribution is shown in Fig. 3b (using only data
394 from grid cells where MODIS AOD was available. The white colored areas denote regions
395 where MODIS AOD data was not available). The simulated AOD values capture the main
396 observed spatial features including enhanced AOD over desert regions of Asia including East
397 Asia, West Asia and along the western border of India, Eastern China, Northern India covering
398 the Indo Gangetic Plain and Southeast Asia that are known to have large impacts of
399 anthropogenic and wildfire emissions. However, the period mean AOD values are biased low
400 relative to MODIS AOD over the regions of Northern India and Eastern China. This could be in
401 part related to uncertainty associated with anthropogenic emissions over these regions and the
402 60km model resolution used in this study. The simulated AOD values are overpredicted relative
403 to MODIS AOD over regions surrounding CA including parts of Eastern Europe, Russia,
404 Northern China, Western Asia and Africa. These biases could be partly attributed to the
405 uncertainty associated with regional transport of dust shown in spatial patterns of simulated dust
406 and $PM_{2.5}/PM_{10}$ ratio (Fig. 4b and d).

407 The model prediction skills in simulating the temporal and spatial patterns in AOD was
408 evaluated by comparing the predicted daily AOD with the corresponding measured values at 142
409 sites from the AERONET program (See Table S1 for AERONET site details) located within the
410 domain and for the time period used in this study. We have also compared the AERONET AOD
411 with MODIS on a daily time scale by extracting the daily MODIS retrieved AOD corresponding
412 to the AERONET site locations for the simulation time period. The comparison of the predicted
413 daily AOD with the available MODIS retrievals ($n = 29680$ using MODIS and simulated AOD
414 extracted at the AERONET site locations, which are paired in space and time) is shown in Fig
415 3c. The model values show a similar mean value (average simulated and MODIS AOD are 0.24

416 and 0.31 respectively), with a negative bias and an underprediction in the variability. The
417 comparison of model predictions with respect to AERONET AOD data ($n = 22875$) shows much
418 closer agreement with mean modeled and AERONET values of 0.21 and 0.23 respectively; (note
419 the comparison is based on paired data for the times only when AERONET data was available so
420 the means are different than the MODIS/model comparison). Also shown is the comparison
421 between MODIS and AERONET (for times/locations with paired AERONET measurements; $n =$
422 12719) with AERONET and MODIS mean values of 0.24 and 0.29 respectively. The comparison
423 results of AERONET with respect to MODIS are similar to corresponding values of the
424 MODIS/MODEL comparison, indicating that MODIS retrievals are biased high in the study
425 domain. A more detailed analysis of the AOD comparison by region and season is included in
426 the Supplemental Materials (Table S2 and Figs. S5 and S6)..

427 3.2.2 Comparison with PM_{10} observations from EMEP and EANET network

428 We also evaluated the simulated PM_{10} values with monthly mean observed data for the
429 simulation time period from the EANET network over Asia (see Fig. S7). The modeled values
430 are underpredicted as evident from the mean observed and modeled PM_{10} values of 32.2 and 22
431 $\mu\text{g m}^{-3}$ ($n = 314$). The normalized mean bias and error are $\sim -32\%$ and 44 % respectively. This
432 could be partly attributed to the uncertainty associated with dust emissions that have a significant
433 impact on the EANET site locations (See Table S1 for PM_{10} site locations). We also evaluated
434 the simulated PM_{10} values over Europe using the available monthly mean observations from
435 EMEP for the 2002-2003 time period (See Table S1 for EMEP PM_{10} site locations). The mean
436 observed and modeled values of PM_{10} are 23.9 and 22.2 $\mu\text{g m}^{-3}$ ($n = 130$). The normalized mean

437 bias and error for the EMEP PM₁₀ are -7 and 43 % respectively suggesting an overall
438 underprediction by the model.

439 The evaluation of this model framework in other regions outside CA including arctic
440 region and continental US (described earlier in Sect. 2.5) along with the comparison of regional
441 distribution and temporal variability in simulated AOD using corresponding MODIS and
442 AERONET measurements indicates that the predictions of aerosol mass and composition at the
443 hemispheric scales are able to capture important aspects of horizontal gradients and variability,
444 but have considerably higher uncertainties associated with emission estimates (in particular
445 forest fires/biomass burning and natural dust emissions) and wet removal processes (Bates et al.,
446 2006).

447 **3.3 Comparison with surface observations in CA**

448 The surface observations at the two CA sites provide the opportunity for the first time to
449 evaluate the performance of chemical transport models in estimating the distribution of aerosols
450 in CA and to assess the emission estimates in the region. A comparison of the predicted and
451 observed meteorology is presented in Fig. 5, where the distributions of key meteorological
452 parameters for the entire measurement period are shown as box-plots. The model accurately
453 predicted the magnitude and variability in temperature and relative humidity. For example the
454 model mean value of temperature and relative humidity are 279.3K and 61.6% in comparison to
455 the observed values of 280.3K and 59.2% at the LST site. The model wind speeds were biased
456 high by about 30% (mostly in winter, see section 3.4) and the direction had a southwest bias.
457 These biases are due in part to the 60 km model grid size, which is too coarse to capture the steep
458 gradients in topography in and around the observation sites, and to the site placement in small N-

459 S oriented valleys. More details regarding the evaluation of the modeled meteorology can be
460 found in the Supplemental Materials, Table S3.

461 The observed and modeled distributions of AOD and PM are compared in Fig. 6. The
462 AOD observations based on the LE on average are ~50% larger than those from the SP. Modeled
463 AOD on average are ~20-30% higher when compared to SP at the Bishkek and LST sites and ~1
464 % lower when compared to the LE values. The variability in the predictions is slightly under-
465 estimated. $PM_{2.5}$ is over predicted (~50%) and the spread is accurately captured, while PM_{10} is
466 over predicted by ~70%. This leads to an underestimation of the $PM_{2.5}/PM_{10}$ (0.4 predicted
467 versus 0.5 observed) and also helps account for the overestimation in modeled AOD (by ~20-
468 30%).

469 Chemical analysis of the filter and soil samples in the CA dust regions have been used to
470 estimate the dust contribution to measured PM at the two sites and to help identify source regions
471 of importance (Park et al., 2014). The emission regions within CA, including around the Aral
472 Sea, and western China were identified as the most important dust sources, which is consistent
473 with the regions identified in the simulations. Dust was estimated to comprise between 5-40% of
474 $PM_{2.5}$ mass at the LST site and to vary by season (minimum values in winter). The observation-
475 based estimates of dust percentage contribution suggest that modeled dust is over predicted by
476 ~2 times. Thus it appears that dust is a main reason for the over prediction of $PM_{2.5}$ and PM_{10} ,
477 and that dust emission models need to be refined for CA applications.

478 The overestimation in PM mass at the surface could also be impacted by errors in the
479 modeled PBL heights. The PBL height as determined by the Lidar aerosol profiles varies
480 seasonally and is highest in the summer (from 2-4 km AGL) and lowest in the winter (Nov-Feb,

481 0.5-1.5 km AGL) (Fig. S5). The predicted PBL heights show a similar seasonal cycle with a
482 tendency to under-predict the heights in all seasons as indicated by the comparison of the
483 distributions of the observed and predicted values (Fig. 5), and this occurs in all seasons (Fig
484 S8). The lower PBL height in the model contributes a systematic high bias in surface
485 concentrations driven by near surface emissions. Further statistical details of the model-
486 observation comparison can be found in the supplemental materials (Table S4). The seasonal
487 variability in the observations is discussed in further detail later (section 3.4).

488 **3.4 Source Contributions to PM_{2.5}**

489 Model simulations were performed to identify the component, source region and
490 emission sector contributions to PM_{2.5} mass. Period means for the spatial average over the entire
491 CA region (see Fig. 1) and for the grid cells for the Bishkek and LST observation locations are
492 presented in Fig.7, and their comparison provides insights into the spatial variability of PM and
493 its sources within CA, and how representative the observation sites are at characterizing CA PM.
494 The component contribution to AOD at the sites and for the CA average are similar, with the
495 major contributions coming from fine dust, sulfate, and OC. Spatial maps of mean percent
496 contributions of the various components (i.e., BC, OC, sulfate, OPM, dust and sea salt) to AOD
497 and PM_{2.5} mass are presented in Figs. S9 & S10, respectively. Coarse particles contribute ~10%
498 to mean AOD. Dust accounts for >60% of the calculated PM_{2.5} mass at the observation sites and
499 for the CA region. The dust source regions (see Fig. 1) contributing to PM_{2.5} vary within CA.
500 Dust from the CA source regions has the largest influence on the region mean dust- PM_{2.5} mass.
501 At the LST site, which is located in the far east of CA, western China dust sources have their
502 largest influence (~40%). African and Middle East source regions have their largest influence on

503 the Bishkek site (20 and 15%, respectively), and collectively contribute ~25% to regional CA
504 dust $PM_{2.5}$.

505 The source region contributions to the non-dust $PM_{2.5}$ are very similar for the Bishkek
506 and LST sites, with CA sources making the largest contribution (~50%) followed by Europe
507 (~20%), the Middle East (~15%), and biomass burning (~15% from all sources). For the entire
508 CA region the European source contribution is as large as the CA sources (~30% each), with
509 larger contributions from biomass burning and Russia sources and smaller contributions from
510 Middle East emissions than at the observation sites. The significant contribution from Europe
511 sources reflects their large anthropogenic emissions and the general westerly transport patterns.
512 On average the impact from South Asia sources are small. North America sources contribute
513 between 3 to 7% to $PM_{2.5}$ in CA. Of the biomass burning contribution to $PM_{2.5}$, the Siberian and
514 European fires (see Fig. 1 for fire regions) contribute 63 and 25%, respectively, with
515 contributions from South/Southeast Asia and North America fires each contributing ~5%. The
516 power and industrial sectors are identified by the simulations as the largest contributors (~40%
517 each) to non-dust $PM_{2.5}$ mass in CA.

518

519 **3.5. Seasonal Variations in AOD and PM at the Observation Sites**

520 AOD has a seasonal cycle with the lowest values in winter and highest values in spring
521 and summer as shown in Fig. 8 by the AOD observations at the LST site from both the SP and
522 the LE profiles. A similar seasonal cycle was observed at the Bishkek site (not shown) and in

523 the MODIS AOD retrievals (Fig. S11). The modeled AOD captures this seasonal variation, with
524 a tendency to over predict the values during the periods with the lowest observed AOD.

525 The seasonal cycles in $PM_{2.5}$ (Fig. 9) and PM_{10} are similar to those for AOD, with
526 minimum values in October – February. At the LST site $PM_{2.5}$ from both the filter based and the
527 non-volatile TEOM measurements are plotted. Both the observations and the model find a strong
528 similarity in the time series at the two sites.

529 There is also a clear seasonality in the surface meteorology in the region as shown by the
530 time series in surface temperature and relative humidity at the two sites (Fig. 10). There are
531 distinct temperature minima in the winter and relative humidity minima in the summer. However
532 there is not a clear seasonality in wind speed and direction, and the winds are generally from the
533 south and less than 4m/s throughout the year at the LST site (not shown).

534 The source region and component contributions exhibit seasonal variability as shown by
535 the modeled contributions to $PM_{2.5}$ mass in Fig. 11. Dust is found to be the main driver of the
536 seasonal cycle of $PM_{2.5}$. The dust contribution to $PM_{2.5}$ is peak in spring and minimum in winter
537 (<20%). During this time period the transport of air masses to the sites are from the west and the
538 southwest. When the transport is from the east then dust sources from western China can impact
539 the stations. This transport pattern occurs episodically throughout the year, with contributions
540 from western China sources as large as 20 to 50%. The dust seasonal cycle is in turn influenced
541 by the seasonal variations in meteorology that drives the dust emissions and transport. The
542 seasonal changes in the dust source regions can be seen in the seasonal spatial maps of AOD
543 (Fig. S11). Throughout the domain, AOD in the dust regions are highest in March – October and
544 lowest in winter (Fig. S11) as the nearby desert regions are snow covered.

545 Biomass burning also adds to the seasonal cycle, and its contribution is minimum in the
546 winter. South Asia sources can impact the sites in the winter time. The periods when North
547 America sources impact the site are associated with strong transport events across the Atlantic
548 and subsequent subsidence towards the surface associated with high pressure systems as they
549 move towards CA. The transport pathways are discussed in more detail in Sect. 3.7.

550 **3.6 Source Contributions to BC**

551 Because of its dual role as an air pollutant and as a climate warming agent there is special
552 interest in understanding the regional and sector contributions to BC (Ramanathan and
553 Carmichael, et al., 2008). BC comprises on average only about 1-2% of PM_{2.5} mass in CA. The
554 period mean predicted BC surface concentrations are ~0.1 µg/m³ at the two observation sites and
555 0.15 µg/m³ for the CA regional average. As shown in Fig. 7, European emissions contribute
556 ~50% to the mean BC concentrations in CA, while Middle-eastern and biomass burning sources
557 each contribute ~15-20%. Residential and transport are the most important sectors each
558 contributing ~30% to BC in CA, followed by industry (~20%), and with power the least
559 important. This is in contrast to the sector contributions to non-dust PM_{2.5} mass, where power
560 and industry are the most important sectors. On average biomass burning contributes ~10% to
561 BC mass, with Siberian and European fires accounting for 61% and 33%, respectively. The
562 source contributions to OC are shown in Fig. S12.

563 There is also large seasonal variability in BC concentrations and source sector/region
564 contributions (Fig. 12). BC surface concentrations show the highest values in fall/winter (as do
565 the observations), when there is maximum contribution from the residential sector, reflecting the
566 wide-spread use of biofuels and coal for heating in the region. The source region contributions

567 vary by season, with maximum contributions from Europe and China. South Asia sources
568 contribute in the winter. Biomass burning also is an important source of BC and plays an
569 important role in influencing daily and seasonal variability in BC concentrations.

570 Predicted BC captures the seasonality and the magnitude of the spring and summer
571 values as observed, but concentrations are biased low in the fall/winter. Median BC
572 concentrations (and variability) are underestimated by a factor of 2 at both observation sites (Fig.
573 6 and Table S4)). The high wind speed bias in winter (~ factor of 2), should result in too rapid
574 dispersion and could contribute to the negative bias, but the negative bias in the PBL heights
575 should lead to higher predicted concentrations. Thus this negative bias is likely related to
576 emissions (an indication of an underestimation of the heating fuel use).

577 The OC concentrations follow a similar seasonal cycle as BC and are also under
578 predicted (Fig. 6 and Table S4)). Furthermore the OC/BC ratio is under predicted by a factor of
579 ~3 (Fig. 6). The observed OC/BC ratio follows a seasonal cycle with values >15 in summer and
580 ~5 in September through April. Part of this under prediction in OC and the OC/BC ratio is due to
581 the fact that SOA is not estimated in the model. However a source contribution of OC using the
582 filter data and chemical mass balance (CMB) approach found that SOA sources were very low in
583 winter and only ~ 20% in summer (Miller-Schulze et al., 2011). Thus SOA cannot account for
584 the model under prediction of winter values. There appears to be an underestimation of regional
585 OC primary emissions. SOA can however help account for the large values of OC/BC observed
586 in the summer and not predicted.

587 Biomass burning emissions cannot account for the underestimation in winter BC and
588 OC. The largest impact of fires at the observation sites is in the late summer, when the fires are

589 concentrated in western Russia and the wind direction is such that the smoke is transported into
590 CA. Fires from South Asia can impact the sites associated with the fires and high pollution levels
591 in northern India and with winds from the south, which can occur in late fall, but not frequently.

592 The fact that BC and OC are systematically under predicted in the winter suggests that
593 local/regional emissions during the heating season may be underestimated. This is supported by
594 the results of the CMB analysis of OC discussed above that found the contribution from biofuel
595 combustion increased 2-3 times in the fall and winter periods. The uncertainty in emissions can
596 also be partly caused by the lack of seasonal emissions over this region as described earlier in
597 section 2.

598

599 **3.7 Vertical Distributions**

600 Figure 13 shows the predicted weekly averaged vertical distributions of $PM_{2.5}$, dust, and
601 BC for the entire simulation period at the LST site. These plots show more clearly that much of
602 the variability in the PM loadings is associated with dust and biomass burning episodes (as
603 represented by the enhancements in BC). Typically the high PM episodes show elevated PM
604 mass that extend from the surface to 2 to 4 km. The vertical extents show a seasonality
605 associated with seasonal variations in the PBL heights. These vertical distributions indicate that
606 much of the transport of aerosols in CA occurs via low altitude pathways. In some cases there are
607 large amounts of dust and biomass burning aerosol in the 3-6 km altitude range that are
608 decoupled from the surface (e.g., dust in early May 2009), reflecting that some aerosols are lifted
609 out of the boundary layer and are transported at high altitude over CA, enhancing AOD but not

610 contributing to ground-level mass concentrations at the observation sites. These vertical features
611 are confirmed by the aerosol extinction profiles observed at the LST site as discussed in Chen et
612 al., (2012 b). The variation in weekly averaged AOD can be significant (Fig. 13 bottom panel)
613 and is driven by variations in dust and biomass burning emissions.

614

615 **3.8 Transport Pathways**

616 The three dimensional ten day air mass trajectories (described in section 2.2) were utilized to
617 further understand the transport pathways of air masses entering into and exiting out of the CA
618 region and its subsequent impact of source regions on the aerosol distributions at the CA sites.

619

620 3.7.1 Transport into CA

621 The air mass transport into CA is discussed through back trajectories associated with the
622 five events labeled on Fig. 13. These five events represent transport episodes with elevated
623 surface PM_{2.5} (averaged over the three hour time window consistent with trajectory time step)
624 with varying contributions from biomass burning, anthropogenic pollution, and dust sources. In
625 each trajectory figure (Figs. 14 and 15), the regions with active dust (blue diamond hatches) and
626 biomass burning emissions (green square hatches) for the event time period and prior ten days
627 are identified and MODIS AOD (values printed in black) overlaid. The trajectories are color
628 coded by region to distinguish the source impacts. The regions include: Africa (blue), Middle
629 East (green), CA (yellow), North Asia biomass (> 50° N, black), Europe (brown), China (red)
630 and South Asia (orange).

631 Figure 14 shows the first two events for August 2008. The first event in early August
632 (Fig. 13, event labeled 1) is a high dust event associated with trajectories from the west passing
633 over the dust regions of the Aral Sea (~45N, 55E) and eastern trajectories passing over the
634 Taklimakan region of western China (90-100E, 40N). These were both regions with active dust
635 emissions as indicated by the elevated MODIS AOD values. Thus the PM increases during this
636 event were due largely to dust emitted from CA and western China deserts. The second event in
637 the latter half of August is characterized by high levels of BC without dust. During this episode
638 the transport to the site was under the influence of a high pressure system located to the
639 northwest and air masses were transported over the active fire region in western Russia.

640 Figure 15 shows winter and spring events. The November episode (event 3) is a period
641 with elevated BC and PM_{2.5} from pollution sources from South Asia (including some fires) and
642 western China and low fire and dust emission activity. The January episode (event 4) is a period
643 of elevated BC with air masses coming from Europe, indicating the influence of anthropogenic
644 pollution coming from this industrialized region, and from CA sources. Dust emissions from CA
645 and Africa were low during this period. The final illustrative episode is for April 2009 (event 5),
646 a period with both elevated levels of dust from western China, CA and Africa and BC from both
647 fire and anthropogenic pollution from Europe, CA and Russia sources.

648 These examples provide insights into the source region contributions to PM mass in CA
649 as presented in Figs. 11 & 12). CA is an ideal location to observe a variety of source regions as it
650 is at the crossroad of transport patterns with air masses impacted from dust, anthropogenic
651 activity and biomass burning from different geographical regions.

652 3.7.2 Long range transport of CA sources

653 The transport pathways out of CA were also evaluated by calculating forward trajectories
654 from the observation sites. Selected forward trajectories initialized at or below 1 km are used to
655 represent the transport of boundary layer PM from CA and these are shown for summer, winter
656 and spring periods in Fig. 16. In these plots the MODIS AOD, dust, and fire emissions plotted
657 for each event represent values averaged over the subsequent ten days and trajectories were
658 stopped if they impacted the surface. During the summer, outflow from CA is towards the north
659 in association with the summer monsoon system. Figure 16a shows the subset of forward
660 trajectories that reside for at least 3 days over the region 48 - 65° N during June 2008.
661 Trajectories typically pass over Russia and reach into the Arctic and also can be caught in
662 westerly storm tracks and reach the higher latitudes of the northern hemisphere. During the
663 winter, air mass transport out of CA is associated with the winter monsoon system and the
664 transport pathway is over Mongolia and then over eastern China as seen in Fig. 16b. In this
665 figure, forward trajectories that pass over the region west of 130° E during late December 2008
666 are shown. Figure 16c illustrates transpacific transport of CA air masses. Plotted are the forward
667 trajectories that stay within the 30 – 50° N region for at least 3 days during the month of April
668 2009. During the spring transport from CA is dominated by strong westerly flows and air masses
669 are transported over China, Korea, and Japan and then across the Pacific, reaching North
670 America in 7 – 10 days.

671 PM arising from dust and anthropogenic emissions from CA impact the entire northern
672 hemisphere as illustrated in the hemispheric maps of seasonal average surface dust
673 concentrations due to CA emissions only (Fig. 17). The predominant westerly flows in all
674 seasons in the northern hemisphere result in the bulk of the CA emissions being transported to

675 the west. The contribution of CA sources to surface PM concentrations of $0.35 \mu\text{g}/\text{m}^3$ or greater
676 covers large portions of the northern hemisphere, including the Arctic, all of Asia, much of
677 Europe, and portions of the continental US.

678 There are episodic and seasonal components to the intercontinental transport as shown in
679 the time series of the vertical profiles of $\text{PM}_{2.5}$ at Mt. Bachelor, Oregon (43.97N, 121.69W,
680 2700m agl) (Fig. 18). The bulk of the CA particle transport takes place in the free troposphere
681 and impacts surface concentrations in the US as the boundary layer grows and entrains “plumes”
682 aloft. This occurs most frequently in spring, summer and fall. The episodic contributions of CA
683 sources to surface concentrations can exceed $1.5 \mu\text{g}/\text{m}^3$. In the fall, there is also strong transport
684 of dust from CA across Europe and out into the Atlantic.

685

686 **3.9 Future Scenarios**

687 How might PM levels change in CA over the next few decades? To address this question,
688 simulations were repeated for various emission scenarios developed and used in the
689 WMO/UNEP assessment on short-lived climate pollutants (Shindell et al., 2012) as described in
690 Sect. 2. Dust and biomass burning emissions and meteorology were the same as those used in the
691 2008/2009 simulations. The period mean changes in surface BC and $\text{PM}_{2.5}$ concentrations in
692 2030 for the reference scenario are shown in Fig. 19a, d, respectively. This scenario reflects all
693 present agreed policies affecting emissions and assumes that they are fully implemented. Under
694 this scenario $\text{PM}_{2.5}$ increases significantly in South Asia and western China (>50%) and in parts
695 of CA, including the area where the sampling sites are located. $\text{PM}_{2.5}$ decreases in Western

696 Europe and Eastern China (< 10%). BC surface concentrations show a similar pattern to PM_{2.5},
697 although covering larger portions of CA with relatively larger increases in BC than in PM_{2.5}.
698 These results suggest that health impacts and climate warming due to BC and PM_{2.5} may increase
699 in coming decades unless additional emission control measures are implemented.

700 Results for two other scenarios are also presented in Fig. 19. One scenario specifically
701 targets BC emission reductions in recognition that BC is also a major contributor to atmospheric
702 warming (Ramanathan and Carmichael, 2008). These additional measures significantly reduce
703 2030 BC concentrations by greater than 35% throughout most of the domain, with only a few
704 regions (e.g., Myanmar and eastern Afghanistan) showing increases in BC relative to 2005
705 levels. This scenario assumes that all BC emission reduction measures are perfectly implemented
706 and 100% effective. BC measures also impact emissions of co-emitted pollutants (e.g., OC and
707 SO₂). PM_{2.5} concentrations under this scenario (Fig. 19e) are reduced, but by much smaller
708 amounts, and concentrations still increase relative to 2005 over large regions of South Asia and
709 western China, and parts of CA. These results suggest that health impacts in these regions may
710 increase due to the PM_{2.5} increases whereas positive radiative forcing and health effects due to
711 BC may decrease. When the BC measures are used along with greenhouse gas measures aimed at
712 keeping CO₂ levels below 450 ppm, the PM_{2.5} levels in South Asia are lower than 2005 levels
713 (Fig. 19f), with few exceptions (one being Myanmar region). This is due to the large decreases in
714 SO₂ and NO_x emissions under this scenario, resulting in significant reductions in particulate
715 nitrate and sulfate (e.g., a ~30% increase in particulate sulfate in CA).

716 To get a regional perspective of how the future emission changes would specifically
717 impact the CA region (See Fig. 1 for the CA region definition used in this study), we have

718 summarized the changes in emissions and the corresponding concentrations in Table 1. The
719 reference 2030 emissions scenario reports an increase in emissions of BC, SO₂ and PM_{2.5} over
720 Central Asia by ~ 22, 17 and 14 % respectively w.r.t to base 2005 levels. However, the
721 corresponding BC, SO₄ and anthropogenic portion of PM_{2.5} decrease by ~ 5, 12 and 5 %
722 respectively. This suggest that on average the concentration levels go down even though CA
723 emissions increase due to long range transport of pollutants into CA region from the surrounding
724 regions, but locations within CA still increase when dominated by local sources. The Low GWP
725 emission scenario predicts decrease in BC and PM_{2.5} emissions by 31% and 10% respectively
726 with the corresponding decrease in concentrations by ~ 37% and 10 % respectively, while SO₂
727 emissions remained same as the reference 2030 scenario. The lowest + 450 ppm scenario shows
728 a consistent decreasing trend in emissions and concentration of all the species. This analysis
729 suggests that the impact of the changes in major source region emissions and their subsequent
730 transport to Central Asia will play a major role in determining the future aerosol levels in CA.

731 **4. Summary**

732 AOD in CA during the period April 2008 through July 2009 averaged ~0.3 and displayed
733 a seasonal cycle with the lowest values in the winter and highest values in spring to mid-summer
734 with observed values of AOD > 0.6 and even > 0.8. Surface PM_{2.5} measured at two sites in
735 eastern CA averaged ~10 µg/m³ but with large variability (hourly values from 2 - 90 µg/m³).
736 Surface concentrations of PM also showed a seasonal cycle with peak values and largest
737 variability in the spring/summer, and lowest values and variability in the winter. BC at these sites
738 averaged ~0.1 µg/m³ with peak values (~ 1 µg/m³) in the winter.

739 The seasonal cycles and source sector and source region contributions to PM in CA were
740 analyzed using the STEM chemical transport model. Dust was the largest component of the
741 PM_{2.5} and PM₁₀ mass in the region in all seasons except winter, whereas sulfate was the largest
742 anthropogenic component of the PM_{2.5} mass. Dust was also found to be the major driver of the
743 seasonal cycles of AOD and PM concentrations. On an annual basis the power and industrial
744 sectors were the most important contributors to PM_{2.5}, while residential and transportation were
745 the most important sectors for BC. Open biomass burning within and outside the region also
746 contributed to elevated PM and BC concentrations and to the temporal variability.

747 The model simulations showed a systematic over prediction of PM mass. This is most
748 likely due in large part to the over prediction in dust. Carbonaceous PM was underpredicted and
749 it is speculated that the winter emissions associated with residential heating may be
750 underestimated in the current emissions inventory. The predicted wind speeds were biased high
751 (by ~30%) and the direction had a southwest bias. The high bias in wind speeds may also
752 contribute to the over-prediction in PM₁₀, as dust emissions depend strongly on wind speed.
753 Efforts to improve the dust emissions and to improve the wind speed and direction predictions
754 using a finer model resolution are planned. Additional efforts are needed to improve the
755 anthropogenic emissions estimates for CA.

756 Currently there are few measurements in CA that can be used to quantify the
757 intercontinental transport of pollution from Europe to Asia. The analysis of the transport
758 pathways and variations in PM mass and composition observed at the two sites in CA
759 demonstrate that this region is strategically located to characterize regional and intercontinental
760 transport of pollutants. Aerosols at these sites were shown to reflect dust, biomass burning, and

761 anthropogenic sources from South, East, and CA, Europe, and Russia depending on the time of
762 year. For example, during the spring fine particles from Europe and Africa were transported to
763 CA, on to eastern Asia, and then across the Pacific to North America.

764 Observations of PM and its composition in this region are of growing importance as it is
765 estimated that $PM_{2.5}$ levels are likely to increase significantly in Central and South Asia and
766 western China over the next few decades. Simulations for a reference 2030 emission scenario
767 showed that BC concentrations had a larger relative increase than $PM_{2.5}$ concentrations. This
768 suggests that health impacts and climate warming associated with these pollutants may increase
769 over the next decades unless additional control measures are implemented. Continued pollutant
770 observations in CA will help to characterize the changes that are rapidly taking place in the
771 region.

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773
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786
787 **6. References**

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943

944 **7. Table Captions**

945 Table:1 Summary of changes in Central Asia (CA) region (See figure 1 for CA region definition)
946 emissions and concentration under the future emission scenarios (See Sect. 3.9 for more details)

947

948 **8. Figure Captions**

949 Fig. 1. WRF-STEM modeling domain set up and source region definition used in the
950 simulations. Modeling analysis was done on the hemispheric scale. The black dotted rectangular
951 box denotes the subset of the modeling domain used in this analysis. The triangle and circle
952 markers denote locations of the LST and Bishkek observation sites. The anthropogenic source
953 regions are denoted by colored regions with the A notation: A1 (N. America), A2 (Europe), A3
954 (Russia), A4 (Middle East), A5 (Central Asia), A6 (China) and A7 (South + Southeast Asia).
955 The dust source regions are indicated by the magenta rectangles with the D notations: D1
956 (Africa), D2 (Middle East), D3 (Central Asia) and D4 (Western China). The biomass burning
957 sources regional boundaries are indicated by the long dashed black lines with B notations: B1 (N.
958 America i.e. region west of 25 W), B2 (Europe i.e. region west of 45E and 40 N and above), B3
959 (Siberia : i.e east of 45 E and 40 N and above) and B4 (South Asia i.e. below 40 N). The insert
960 shows the topography around the observation sites.

961 Fig. 2. Spatial distribution of a) BC b) SO₂ c) Natural dust d) Biomass burning PM_{2.5} emissions
962 averaged over the simulation period in Gg/yr/grid.

963 Fig. 3 Spatial distribution of (a) MODIS and (b) modeled AOD along with (c) Comparison of
964 MODIS, AERONET and Simulated AOD shown as box whisker plots averaged over the
965 simulation period. The box plots are based on data that are paired in time and space (See Sect.
966 3.2.1 for more details). The triangle and circle markers on the spatial plots denote the location of
967 LST and Bishkek sites. The numbers on the map denote contour values at sharp gradients. In
968 each box whisker panel, the middle line denotes the median value, while the edges of the box
969 represent 25th and 75th percentile values respectively. The whiskers denote the maximum and
970 minimum values.

971 Fig. 4. Spatial distribution of simulated a) BC ($\mu\text{g}/\text{m}^3$), b) Dust ($\mu\text{g}/\text{m}^3$), c) PM_{2.5} ($\mu\text{g}/\text{m}^3$), and d)
972 PM_{2.5}/PM₁₀ ratio averaged over the simulation period. The values on the map denote contour
973 values at sharp gradients.

974 Fig. 5. Comparison of predicted meteorological variables from WRF model with observations
975 shown as box and whisker plots over the simulation period (a) Temperature (K), (b) Relative
976 Humidity RH (%), (c) Wind Speed (m/s), (d) Wind Direction (°), and (e) PBL height (m). Lidar
977 denotes the LST (Lidar Station Teplokluchenska) site. In each box whisker panel, the middle line
978 denotes the median value, while the edges of the box represent 25th and 75th percentile values
979 respectively. The whiskers denote the maximum and minimum values.

980 Fig. 6. Comparison of predicted aerosols with observations shown as box and whisker plots over
981 the simulation period at a) LST and b) Bishkek sites. SP and LE denote the AOD from the sun-
982 photometer (SP) and integrated from the vertical extinction profiles (LE). OBS and TEOM
983 denote filter and TEOM measurements while the MDL denotes the modeled values respectively.
984 Lidar denotes the LST (Lidar Station Teplokluchenska) site. In each box whisker panel, the
985 middle line denotes the median value, while the edges of the box represent 25th and 75th
986 percentile values respectively. The whiskers denote the maximum and minimum values. The
987 triangle marker denotes the mean value.

988 Fig. 7. Summary of period mean contributions by source regions and sectors for AOD, PM_{2.5},
989 dust, non-dust PM_{2.5}, sulfate and BC in % for the grid cells containing the Bishkek and LST

990 observation sites, and spatially averaged over the Central Asia region. See Fig. 1 for
991 anthropogenic, dust and fire source regions. Lidar denotes the LST (Lidar Station
992 Teplokluchenka) site.

993 Fig. 8. Temporal variability in simulated AOD compared with observations at the LST site. The
994 box-plots of monthly values are shown. AOD from the sun-photometer (SP) and integrated from
995 the vertical extinction profiles (LE) are shown. MDL denotes modeled values. Lidar denotes the
996 LST (Lidar Station Teplokluchenka) site. In each box whisker panel, the middle line denotes the
997 median value, while the edges of the box represent 25th and 75th percentile values respectively.
998 The whiskers denote the maximum and minimum values.

999 Fig. 9. Comparison of simulated PM mass with filter-based observations at Central Asia sites (a)
1000 $PM_{2.5}$ (LST) along with TEOM non-volatile measurements, (b) $PM_{2.5}$ (Bishkek), (c) PM_{10} (LST),
1001 and (d) PM_{10} (Bishkek) in ($\mu\text{g}/\text{m}^3$). Lidar denotes the LST (Lidar Station Teplokluchenka) site.

1002 Fig. 10. Temporal variability in simulated (a) Temperature (K) and (b) Relative Humidity RH
1003 (%) from WRF model compared with observations at the LST and Bishkek sites. Lidar denotes
1004 the LST (Lidar Station Teplokluchenka) site.

1005 Fig. 11. Simulated composition of $PM_{2.5}$ at the LST site by a) Species ($\mu\text{g}/\text{m}^3$), b) Fine dust
1006 source regions($\mu\text{g}/\text{m}^3$) c) Anthropogenic $PM_{2.5}$ source regions ($\mu\text{g}/\text{m}^3$) d) Anthropogenic $PM_{2.5}$
1007 source ($\mu\text{g}/\text{m}^3$) e) Biomass $PM_{2.5}$ source regions($\mu\text{g}/\text{m}^3$).The contributions from source region
1008 and sectors denote the non – dust portion of $PM_{2.5}$ mass.

1009 Fig. 12. Simulated composition of BC at the LST site by a) Source regions ($\mu\text{g}/\text{m}^3$), b) Source
1010 sector ($\mu\text{g}/\text{m}^3$), and c) Biomass burning source regions ($\mu\text{g}/\text{m}^3$).

1011 Fig. 13. Time altitude cross sections of weekly averaged predicted BC, dust and $PM_{2.5}$ for the
1012 simulation period at the LST site. The numbers denote the specific episodes of aerosol
1013 enhancements for back trajectory analysis in Fig. 14 and Fig. 15. Lidar denotes the LST (Lidar
1014 Station Teplokluchenka) site.

1015 Fig. 14. Ten day air mass back trajectories for August 2008 (events 1 and 2 as denoted in Fig.
1016 13) color coded by source regions. The blue diamond and green square hatched areas denote the
1017 natural dust and biomass burning emission sources while the number in black denote MODIS
1018 AOD contours averaged over the event time period and ten day prior time window. The
1019 trajectories are color coded by source regions including Africa (blue), Middle East (green),
1020 Central Asia (yellow), North Asia biomass ($> 50^\circ$ N, black), Europe (brown), China (red) and
1021 South Asia (orange). Lidar denotes the LST (Lidar Station Teplokluchenka) site.

1022 Fig. 15. Same as Fig. 14 but for November 2008, January 2009 and April 2009 (events 3, 4, 5
1023 denoted in Fig. 13).

1024 Fig. 16 Ten day air mass forward trajectories illustrating the seasonality in transport pathways
1025 out of CA for a) June 2008, b) December 2008, and c) April 2009. The blue diamond, green
1026 square hatched areas denote the natural dust and biomass burning emission sources while the
1027 number in black denote MODIS AOD contours, respectively, averaged over the event time

1028 period and subsequent ten day time window. Lidar denotes the LST (Lidar Station
1029 Teplokluchenka) site.

1030 Fig. 17. Seasonally averaged surface total dust (PM_{10}) concentrations from Central Asia dust
1031 emissions. DJF (top left panel) denotes the average for the months of December, January and
1032 February. MAM (top right panel) denotes the average for months of March, April and May. JJA
1033 (bottom left panel) denotes the average for months of June, July and August while SON (bottom
1034 right panel) denotes average for months of September, October and November.

1035 Fig. 18. Time series of predicted surface concentration at 6 h time step (top panel) and weekly
1036 averaged time altitude cross sections (bottom panel) of total dust (PM_{10}) from Central Asia dust
1037 emissions at Mt. Bachelor, Oregon.

1038 Fig. 19. Percent change in simulated period mean surface BC and $PM_{2.5}$ concentrations for future
1039 2030 emission scenarios relative to the base year (2005) a),d) reference 2030, a),e) BC measures
1040 (low) and c),f) BC (lowest) and greenhouse gas measures aimed at keeping CO_2 levels below
1041 450 ppm. Refer to Sec. 2.3 for more details on emission scenarios.

1042
1043 **9. Supplemental Materials Figure and Table Captions**

1044 Table S1. List of Sites from AERONET, EANET and EMEP used in this study (See Sect. 3.2 for
1045 details)

1046 Table S2. Statistical summary of comparison of regional average MODIS and modeled AOD
1047 over selected source regions including CA, China, Europe, Middle East, Russia and South Asia .
1048 (See Fig 1 and Sect.3.2 for more details)

1049 Table S3. Comparison of the observed and predicted surface meteorology at the observation
1050 sites.

1051 Table S4. Comparison of observations and model of AOD, $PM_{2.5}$, PM_{10} , BC and OC at the LST
1052 and Bishkek sites.

1053
1054 Fig. S1. Seasonal variability in spatial distribution of biomass burning $PM_{2.5}$ emissions in
1055 Gg/month/grid (a) April 2008, (b) August 2008, (c) November 2008, and (d) May 2009.

1056 Fig. S2. Spatial distribution of a) base year 2005 BC emissions (Gg/yr/grid) along with percent
1057 change (w.r.t to base year 2005) in b) Reference 2030 BC emissions c) 2030 BC emissions with
1058 BC measures (low) and d) 2030 BC emissions with BC (lowest) and greenhouse gas measures
1059 aimed at keeping CO_2 levels below 450ppm. The triangle and circle markers denote locations of
1060 the LST and Bishkek sites. Refer to Sec. 2.3 for more details on emission scenarios.

1061 Fig. S3. Spatial distribution of a) base year 2005 $PM_{2.5}$ emissions (Gg/yr/grid) along with percent
1062 change (w.r.t to base year 2005) in b) Reference 2030 $PM_{2.5}$ emissions c) 2030 $PM_{2.5}$ emissions
1063 with BC measures and d) 2030 $PM_{2.5}$ emissions with BC and greenhouse gas measures aimed at

1064 keeping CO₂ levels below 450ppm. The triangle and circle markers denote locations of the LST
1065 and Bishkek sites. Refer to Sec. 2.3 for more details on emission scenarios.

1066 Fig. S4. Spatial distribution of a) base year 2005 SO₂ emissions (Gg/yr/grid) along with percent
1067 change (w.r.t to base year 2005) in (b) Reference 2030 SO₂emissions c) 2030 SO₂ emissions with
1068 BC measures and d) 2030 SO₂ emissions with BC and greenhouse gas measures aimed at
1069 keeping CO₂ levels below 450ppm. The triangle and circle markers denote locations of the LST
1070 and Bishkek sites. Refer to Sec. 2.3 for more details on emission scenarios.

1071 Fig. S5. Comparison of regional average AOD from MODIS with simulated values shown as box
1072 plots over the simulation period for selected source regions including Central Asia, China,
1073 Europe, Middle East, Russia and South Asia. (See Fig 1 and Sect.3.2 for more details)

1074 Fig. S6. Comparison of regional average AOD from MODIS with simulated values shown as
1075 monthly box plots over the simulation period for selected source regions (a) Central Asia (b)
1076 Middle East (c) Europe (d) China (e) South Asia and (f) Russia (See Fig 1 and Sect.3.2 for more
1077 details)

1078 Fig. S7. Comparison of predict PM₁₀ with monthly observations from the European Monitoring
1079 and Evaluation Programme (EMEP available at <http://www.nilu.no/projects/ccc/emepdata.html>)
1080 and the Acid Deposition Monitoring Network in East Asia (EANET available at
1081 <http://www.eanet.asia/product/index.html>) surface site networks shown as box and whisker plots
1082 over the simulation period In each box whisker panel, the middle line denotes the median value,
1083 while the edges of the box represent 25th and 75th percentile values respectively. The whiskers
1084 denote the maximum and minimum values.

1085 Fig. S8. Comparison of observed and predicted PBL heights (m) at the LST site. Observed PBL
1086 heights were determined from the Lidar profiles.

1087 Fig. S9. Spatial distribution of predicted species contributions (%) to AOD averaged over the
1088 simulation period a) Carbonaceous aerosols (BC+OC), b) SO₄, c) Other PM, and d) Dust.

1089 Fig. S10. Spatial distribution of predicted species contributions (%) to PM_{2.5} averaged over the
1090 simulation period a) Carbonaceous aerosols (BC+OC), b) SO₄, c) Other PM_{2.5}, and d) Dust.

1091 Fig. S11. Seasonal variability in spatial distribution of MODIS and simulated AOD averaged
1092 over the simulation period.

1093 Fig S12. Summary of period mean contributions by source regions and sectors for OC in % for
1094 the grid cells containing the Bishkek and LST observation sites, and spatially averaged over the
1095 Central Asia region. See Fig. 1 for anthropogenic and fire source regions.

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1099 Table:1 Summary of changes in Central Asia (CA) region (See figure 1 for CA region definition)
 1100 emissions and concentration under the future emission scenarios (See Sect. 3.9 for more details)
 1101

| Emission Scenario | Emissions Gg yr ⁻¹ | | | Emissions change (%) w.r.t to base 2005 | | |
|-------------------------|-------------------------------|-----------------|------------------|---|-----------------|------------------|
| | BC | SO ₂ | PM ₂₅ | BC | SO ₂ | PM ₂₅ |
| Base 2005 | 39 | 1130 | 197 | | | |
| Reference 2030 | 47 | 1326 | 224 | 22.1 | 17.3 | 13.9 |
| Low GWP 2030 | 27 | 1325 | 177 | -31.1 | 17.3 | -10.1 |
| Lowest GWP + 450 | 21 | 1058 | 115 | -46.9 | -6.4 | -41.5 |

| | Concentration (µg m ⁻³) | | | Concentration change (%) w.r.t to base 2005 | | |
|-------------------------|-------------------------------------|-----------------|------------------|---|-----------------|------------------|
| | BC | SO ₄ | PM ₂₅ | BC | SO ₄ | PM ₂₅ |
| Base 2005 | 0.17 | 3.04 | 4.26 | | | |
| Reference 2030 | 0.16 | 2.65 | 4.03 | -4.2 | -12.6 | -5.3 |
| Low GWP 2030 | 0.11 | 2.64 | 3.85 | -36.6 | -13 | -9.5 |
| Lowest GWP + 450 | 0.10 | 2.04 | 3.06 | -38.5 | -32.9 | -28 |

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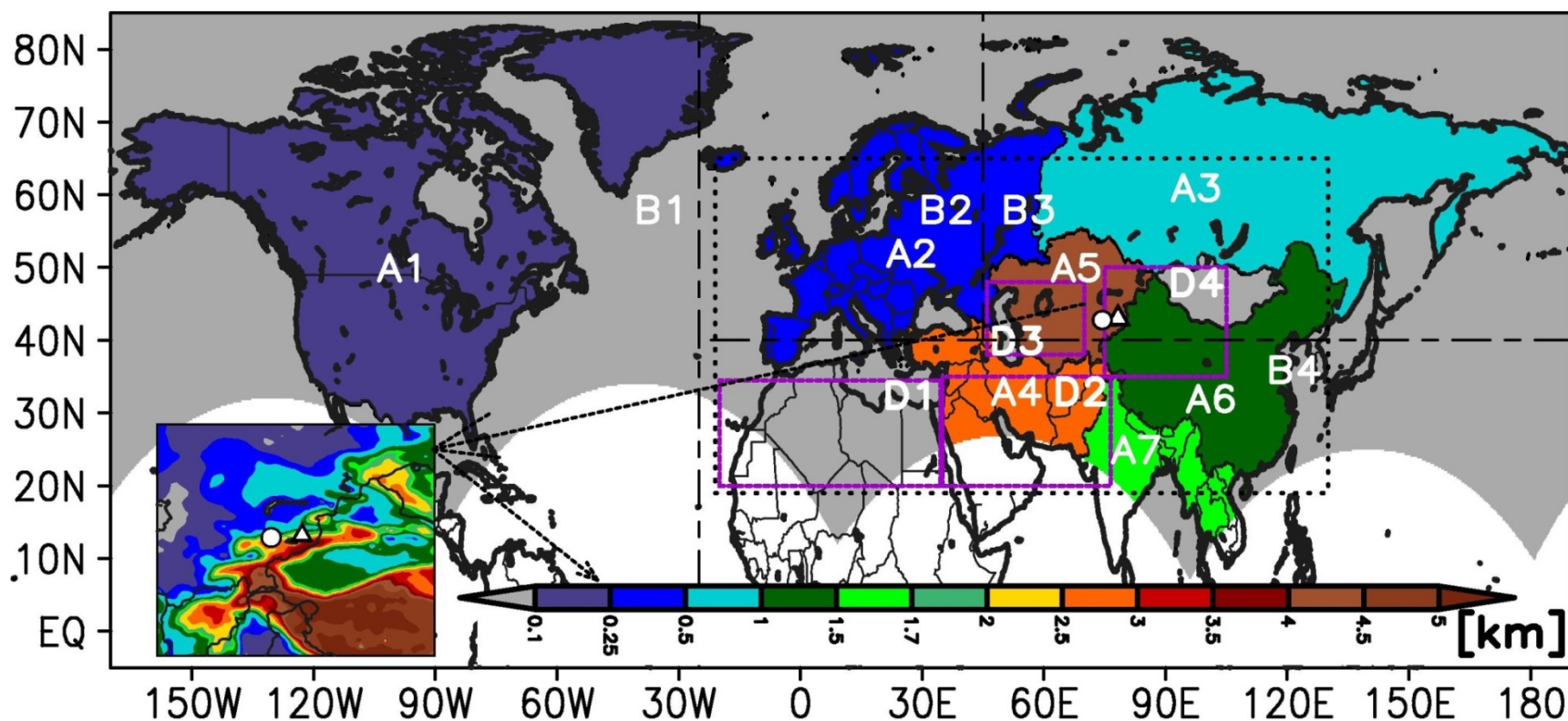


Fig. 1. WRF-STEM modeling domain set up and source region definition used in the simulations. Modeling analysis was done on the hemispheric scale. The black dotted rectangular box denotes the subset of the modeling domain used in this analysis. The triangle and circle markers denote locations of the LST and Bishkek observation sites. The anthropogenic source regions are denoted by colored regions with the A notation: A1 (N. America), A2 (Europe), A3 (Russia), A4 (Middle East), A5 (Central Asia), A6 (China) and A7 (South + Southeast Asia). The dust source regions are indicated by the magenta rectangles with the D notations: D1 (Africa), D2 (Middle East), D3 (Central Asia) and D4 (Western China). The biomass burning sources regional boundaries are indicated by the long dashed black lines with B notations: B1 (N. America i.e. region west of 25 W), B2 (Europe i.e. region west of 45E and 40 N and above), B3 (Siberia : i.e east of 45 E and 40 N and above) and B4 (South Asia i.e. below 40 N). The insert shows the topography around the observation sites.

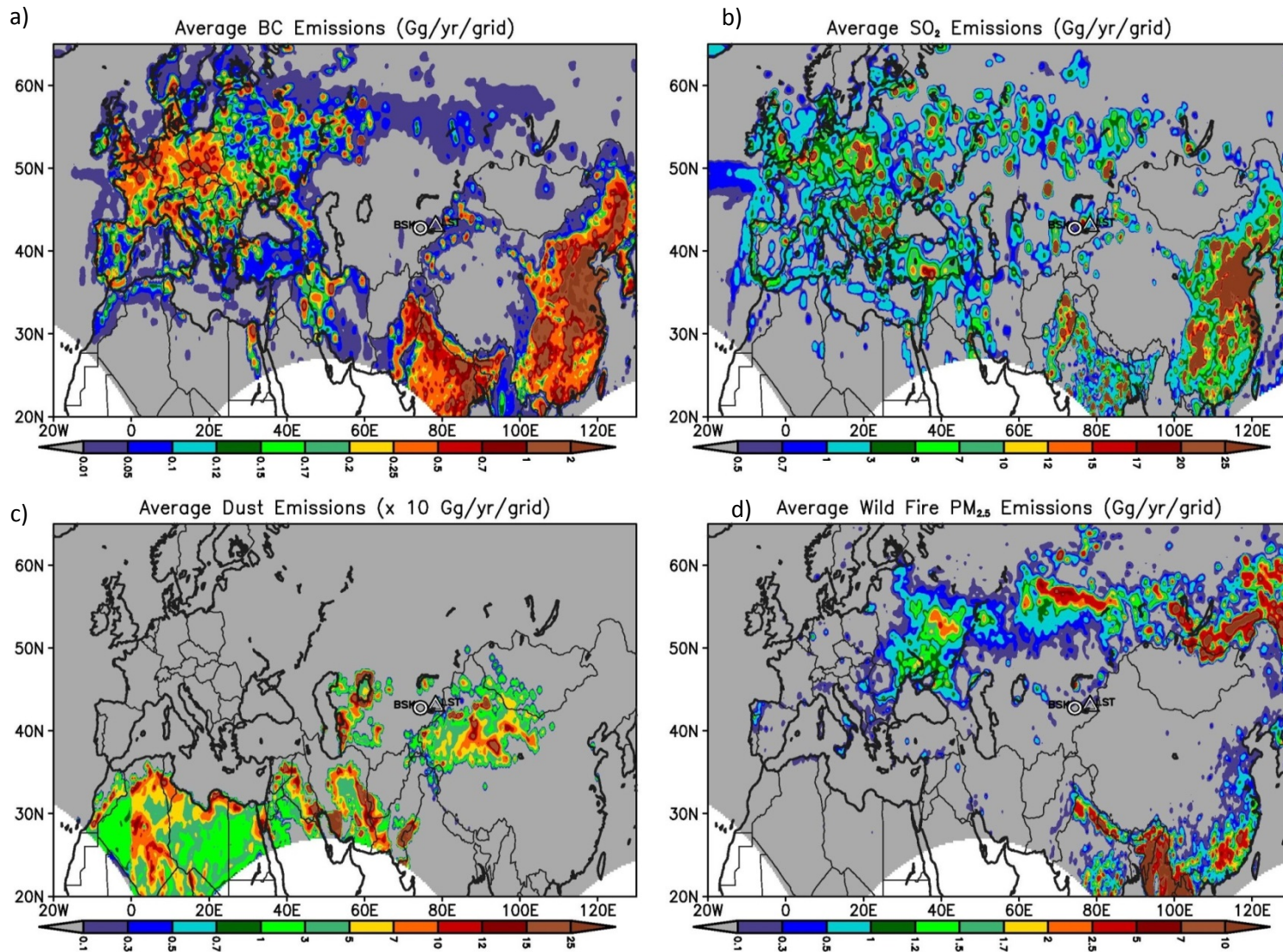


Fig. 2. Spatial distribution of a) BC b) SO₂ c) Natural dust d) Biomass burning PM_{2.5} emissions averaged over the simulation period in Gg/yr/grid.

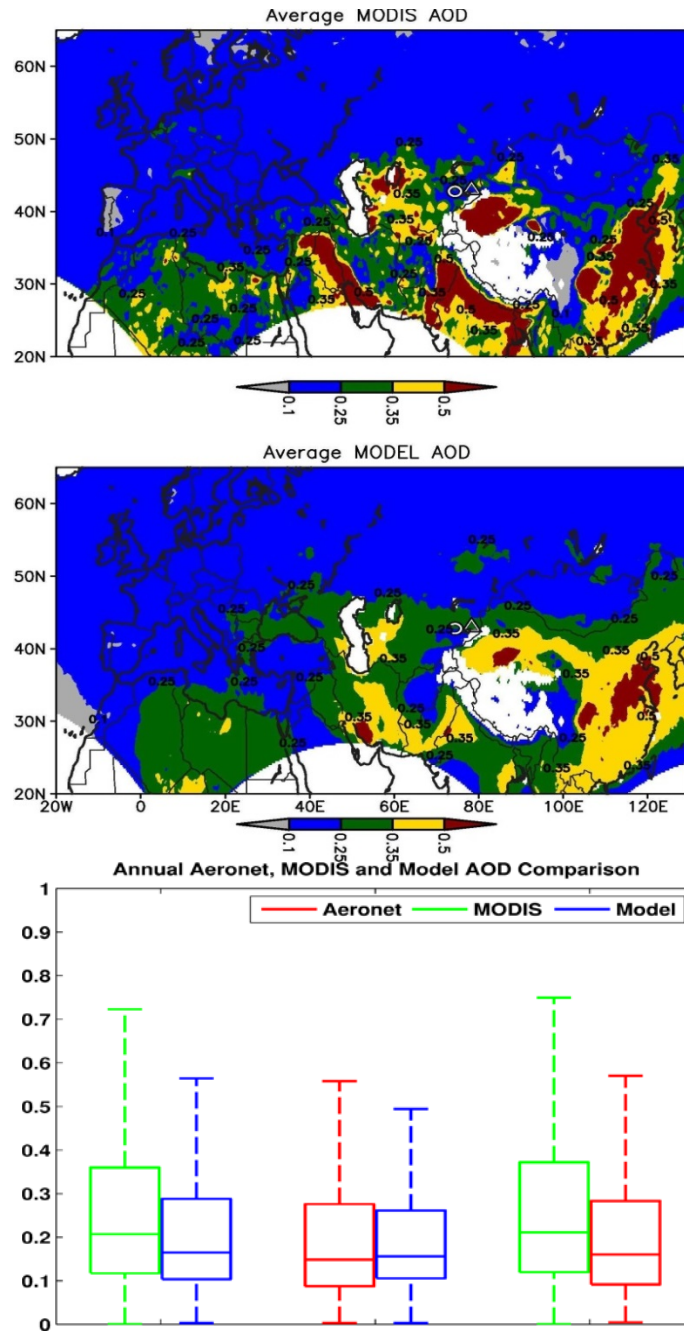


Fig. 3 Spatial distribution of (a) MODIS and (b) modeled AOD along with (c) Comparison of MODIS, AERONET and Simulated AOD shown as box whisker plots averaged over the simulation period. The box plots are based on data that are paired in time and space (See Sect. 3.2.1 for more details). The triangle and circle markers on the spatial plots denote the location of LST and Bishkek sites. The numbers on the map denote contour values at sharp gradients. In each box whisker panel, the middle line denotes the median value, while the edges of the box represent 25th and 75th percentile values respectively. The whiskers denote the maximum and minimum values.

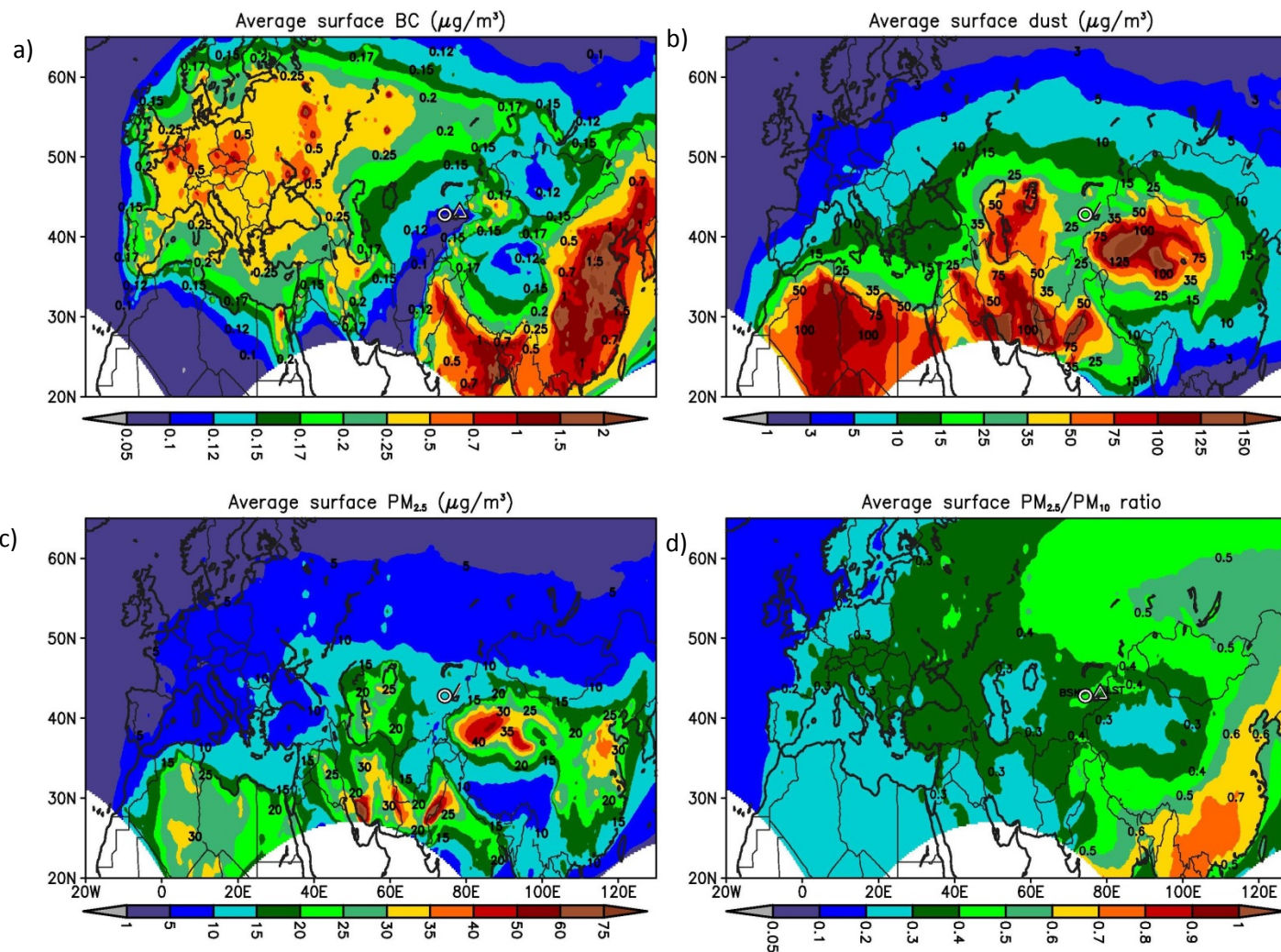


Fig. 4. Spatial distribution of simulated a) BC ($\mu\text{g}/\text{m}^3$), b) Dust ($\mu\text{g}/\text{m}^3$), c) $\text{PM}_{2.5}$ ($\mu\text{g}/\text{m}^3$), and d) $\text{PM}_{2.5}/\text{PM}_{10}$ ratio averaged over the simulation period. The values on the map denote contour values at sharp gradients.

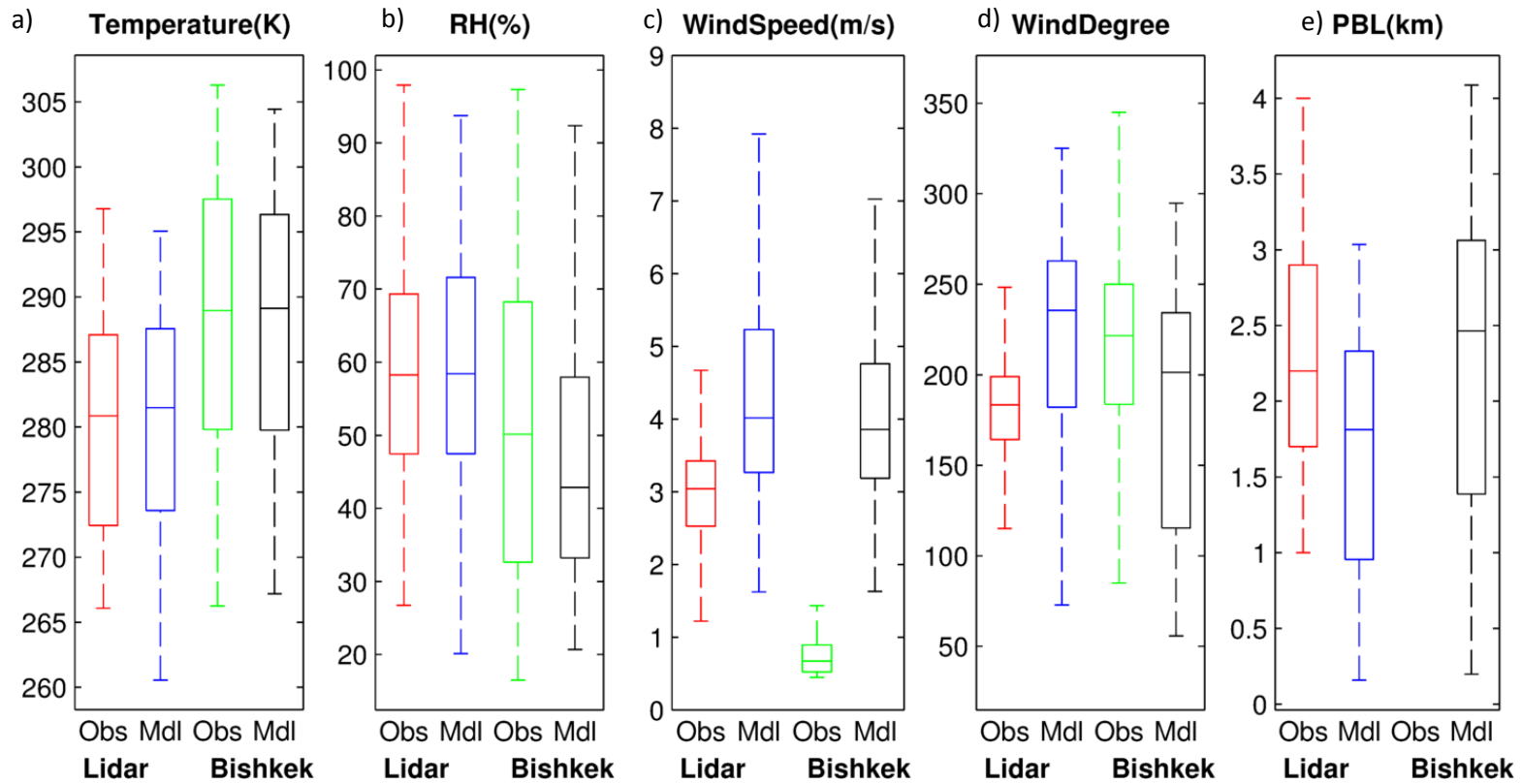
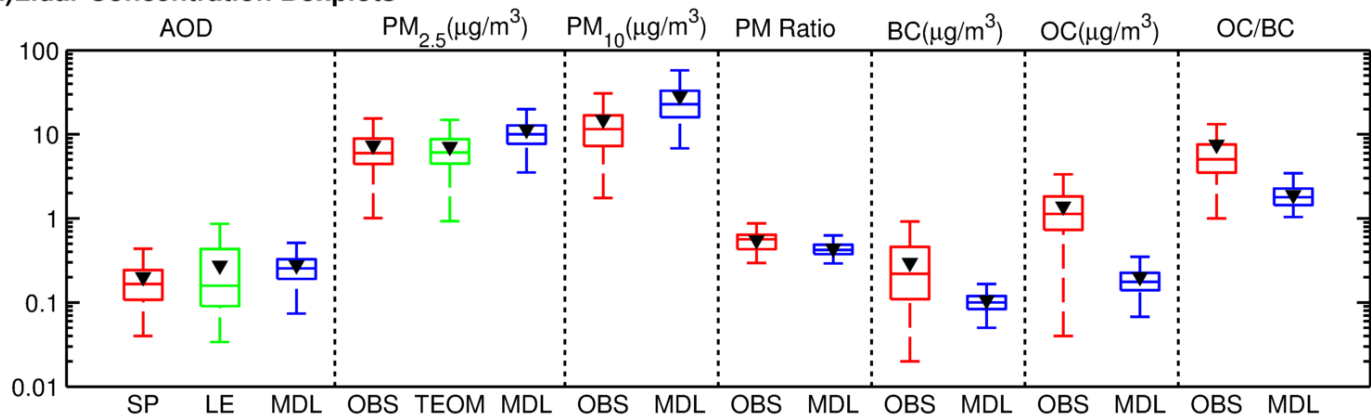


Fig. 5. Comparison of predicted meteorological variables from WRF model with observations shown as box and whisker plots over the simulation period (a) Temperature (K), (b) Relative Humidity RH (%), (c) Wind Speed (m/s), (d) Wind Direction ($^{\circ}$), and PBL height (m). Lidar denotes the LST (Lidar Station Teplokluchenska) site. In each box whisker panel, the middle line denotes the median value, while the edges of the box represent 25th and 75th percentile values respectively. The whiskers denote the maximum and minimum values.

a) Lidar Concentration Boxplots



b) Bishkek Concentration Boxplots

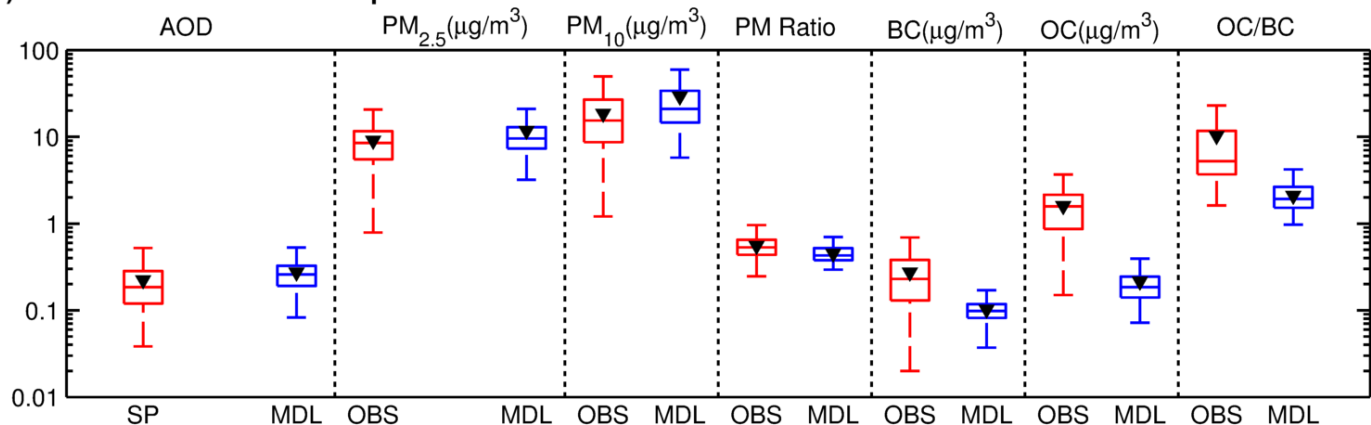


Fig. 6. Comparison of predicted aerosols with observations shown as box and whisker plots over the simulation period at a) LST and b) Bishkek sites. SP and LE denote the AOD from the sun-photometer (SP) and integrated from the vertical extinction profiles (LE). OBS and TEOM denote filter and TEOM measurements while the MDL denotes the modeled values respectively. Lidar denotes the LST (Lidar Station Teplokluchenska) site. In each box whisker panel, the middle line denotes the median value, while the edges of the box represent 25th and 75th percentile values respectively. The whiskers denote the maximum and minimum values. The triangle marker denotes the mean value.

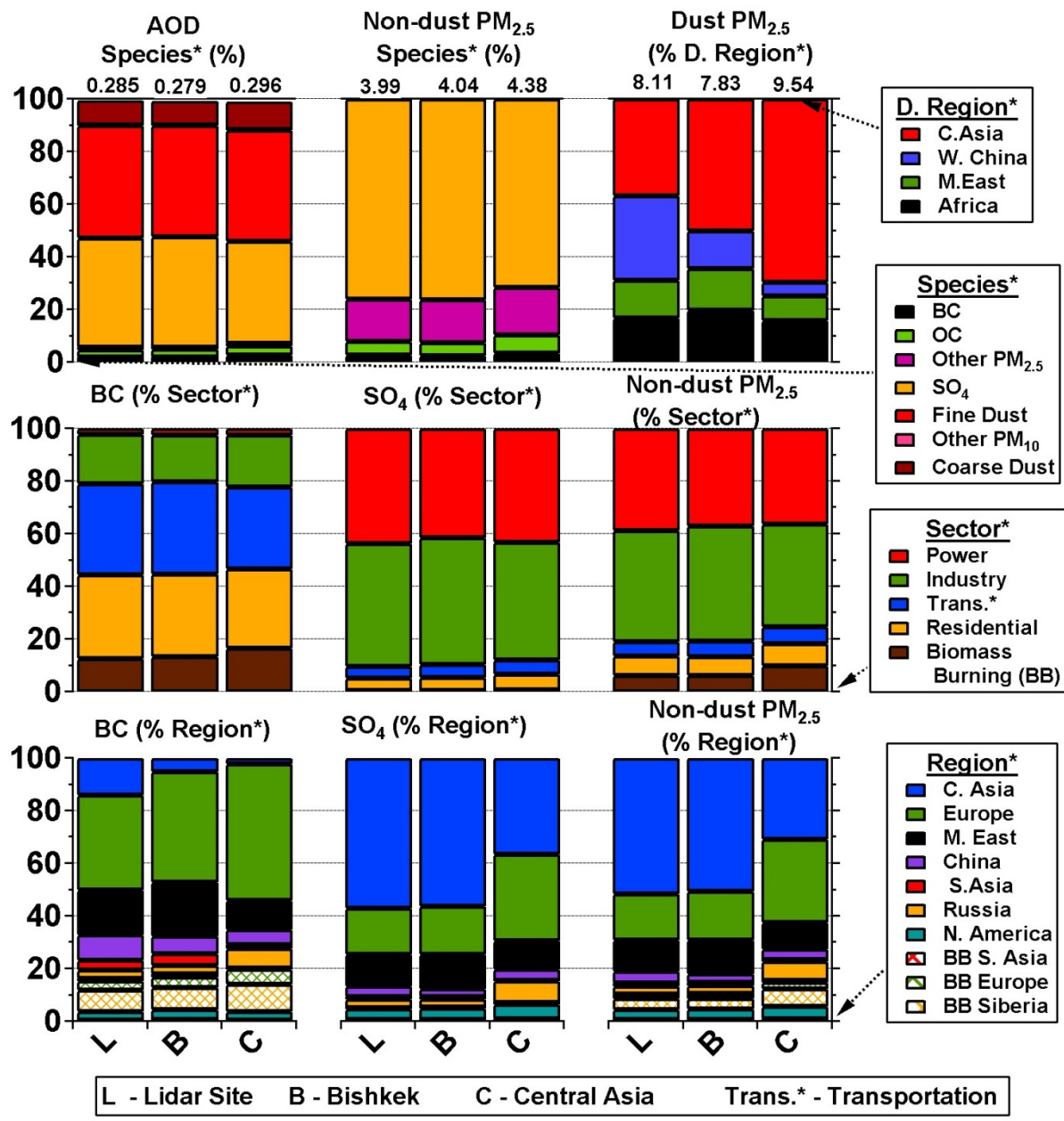


Fig. 7. Summary of period mean contributions by source regions and sectors for AOD, PM_{2.5}, dust, non-dust PM_{2.5}, sulfate and BC in % for the grid cells containing the Bishkek and LST observation sites, and spatially averaged over the Central Asia region. See Fig. 1 for anthropogenic, dust and fire source regions. Lidar denotes the LST (Lidar Station Teplokluchenka) site.

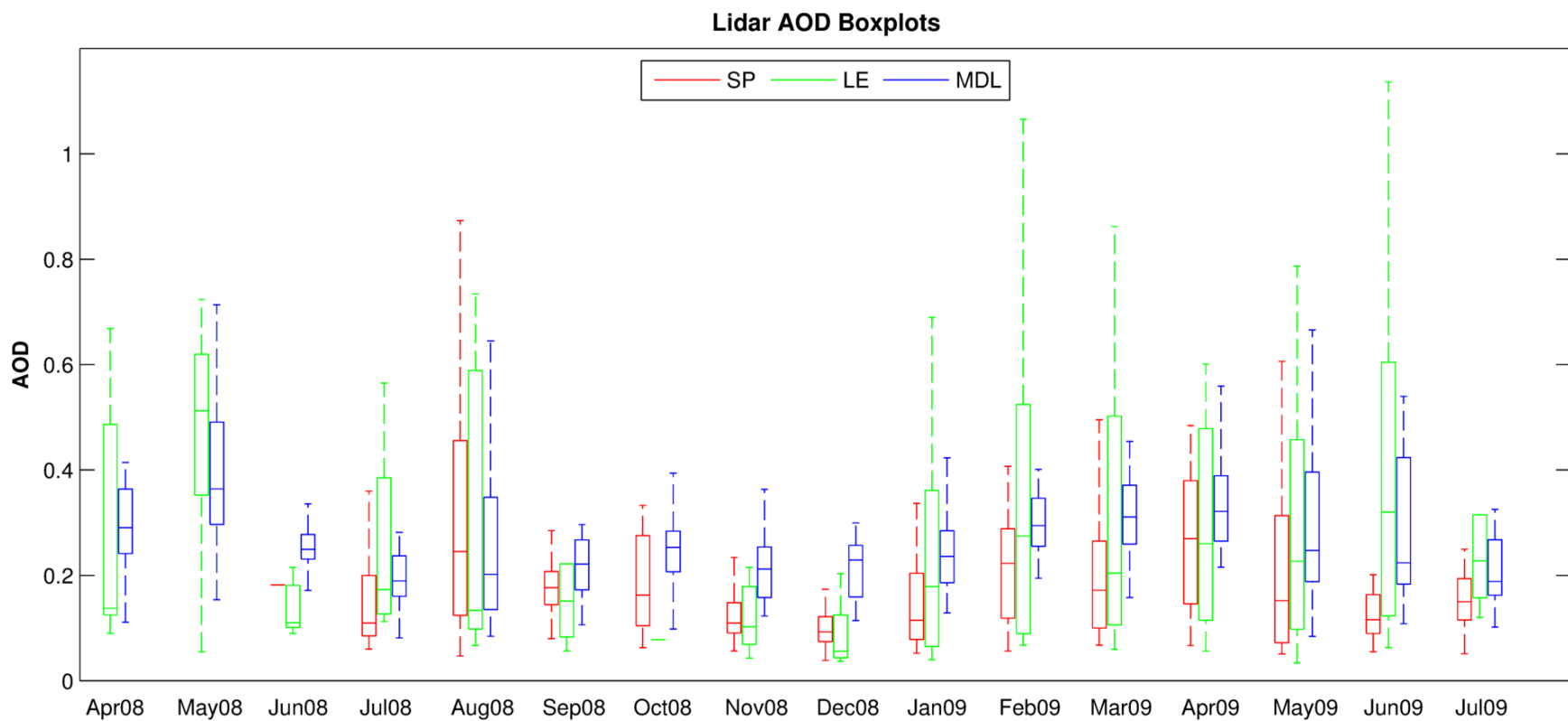


Fig. 8. Temporal variability in simulated AOD compared with observations at the LST site. The box-plots of monthly values are shown. AOD from the sun-photometer (SP) and integrated from the vertical extinction profiles (LE) are shown. MDL denotes modeled values. Lidar denotes the LST (Lidar Station Teplokluchenka) site. In each box whisker panel, the middle line denotes the median value, while the edges of the box represent 25th and 75th percentile values respectively. The whiskers denote the maximum and minimum values.

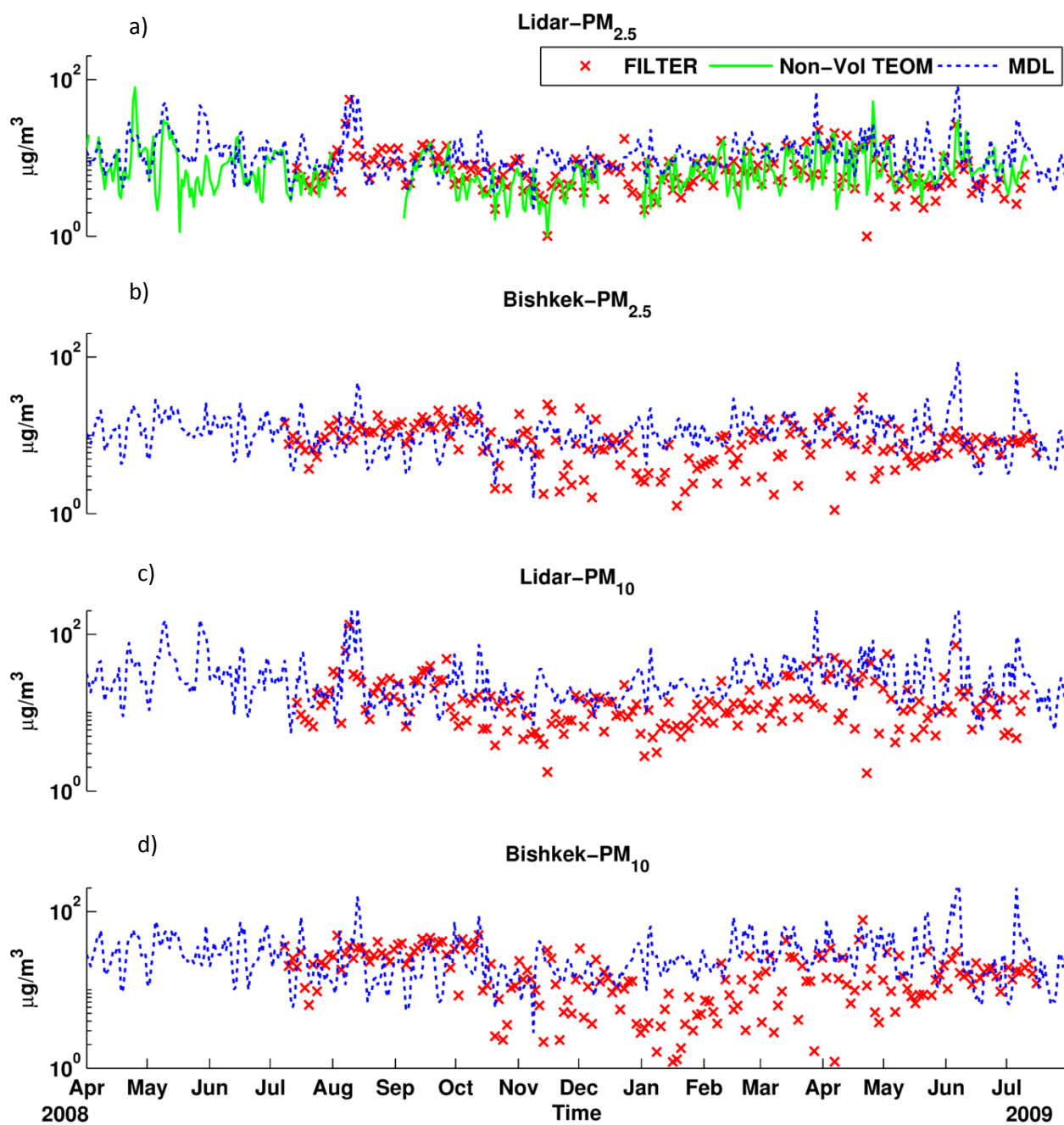


Fig. 9. Comparison of simulated PM mass with filter-based observations at Central Asia sites (a) PM_{2.5} (LST) along with TEOM non-volatile measurements, (b) PM_{2.5} (Bishkek), (c) PM₁₀ (LST), and (d) PM₁₀ (Bishkek) in ($\mu\text{g}/\text{m}^3$). Lidar denotes the LST (Lidar Station Teplokluchenka) site.

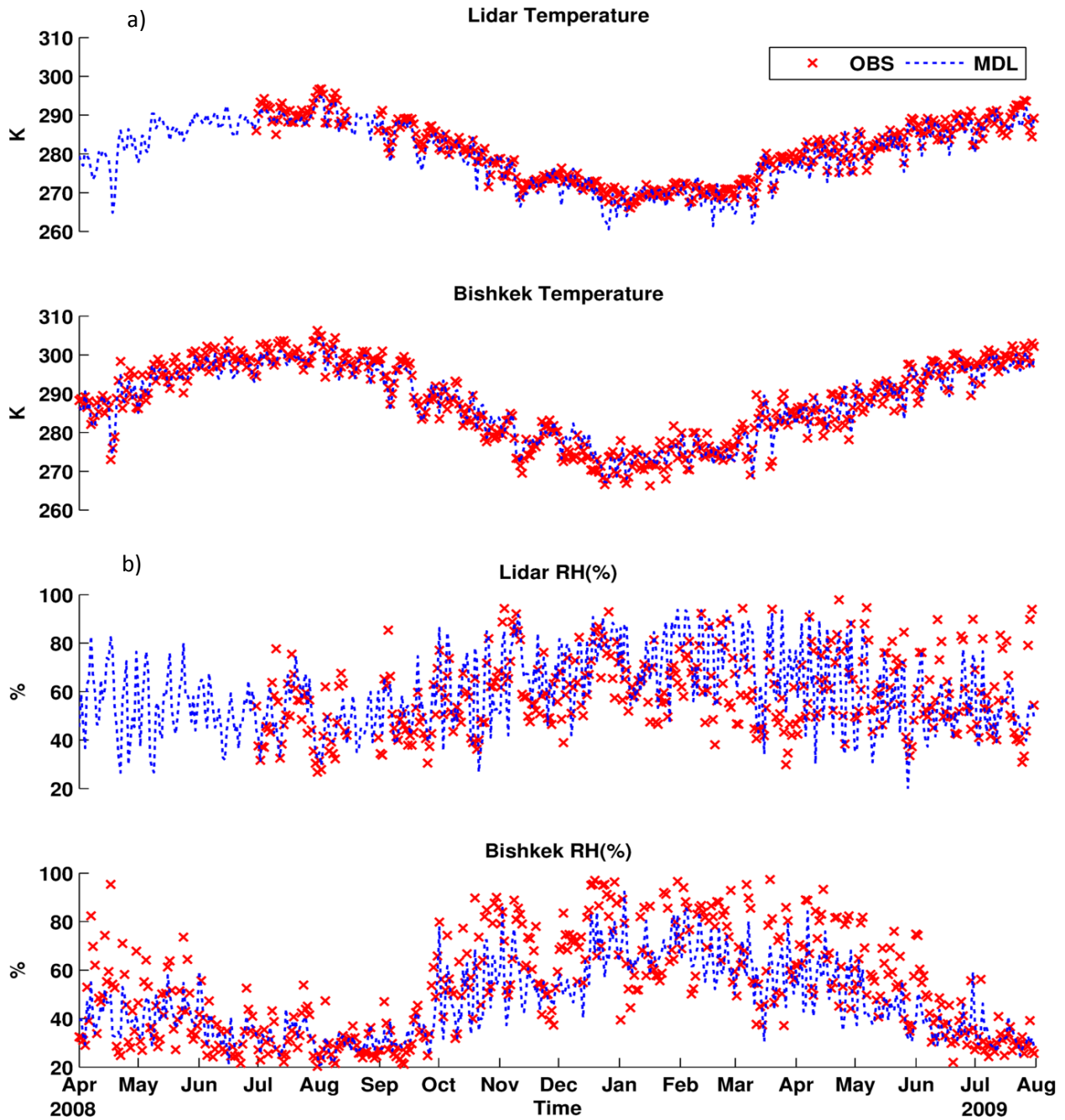


Fig. 10. Temporal variability in simulated Temperature (K) and (b) Relative Humidity RH (%) from WRF model compared with observations at the LST and Bishkek sites. Lidar denotes the LST (Lidar Station Teplokluchenka) site.

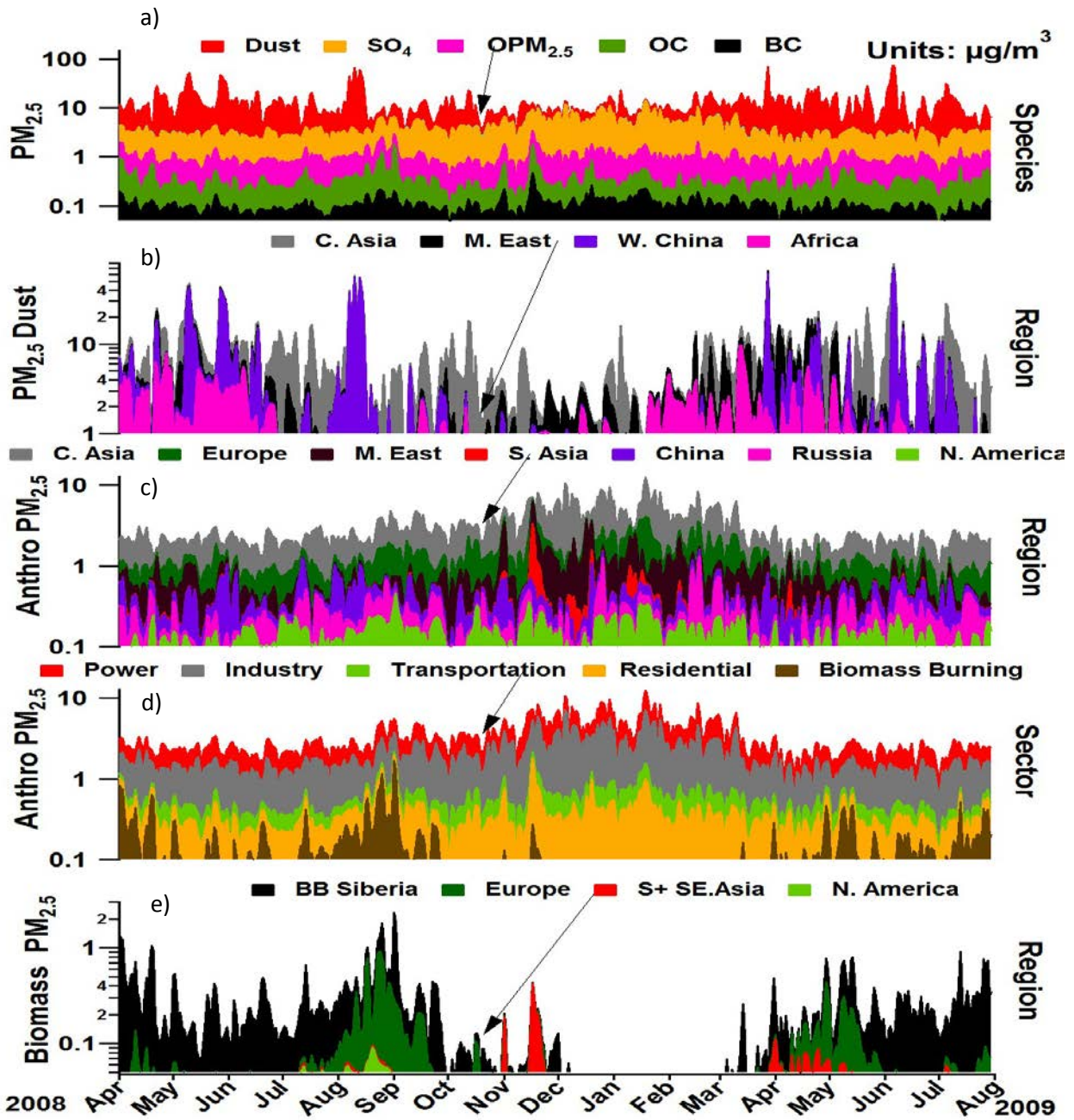


Fig. 11. Simulated composition of $PM_{2.5}$ at the LST site by a) Species ($\mu\text{g}/\text{m}^3$), b) Source sector ($\mu\text{g}/\text{m}^3$), and c) Source regions ($\mu\text{g}/\text{m}^3$). The contributions from source region and sectors denote the non – dust portion of $PM_{2.5}$ mass.

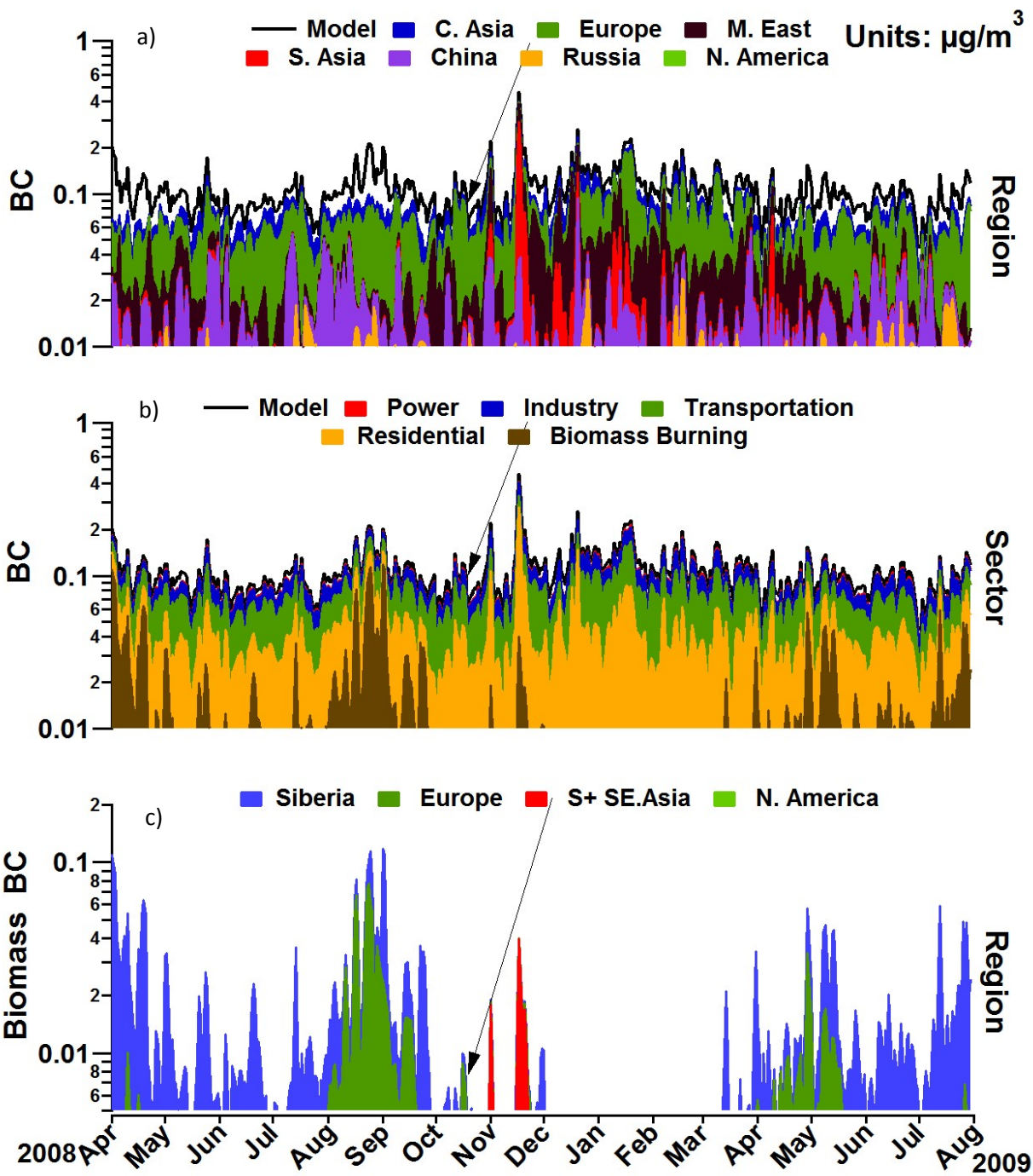


Fig. 12. Simulated composition of BC at the LST site by a) Source regions ($\mu\text{g}/\text{m}^3$), b) Source sector ($\mu\text{g}/\text{m}^3$), and c) Biomass burning source regions ($\mu\text{g}/\text{m}^3$).

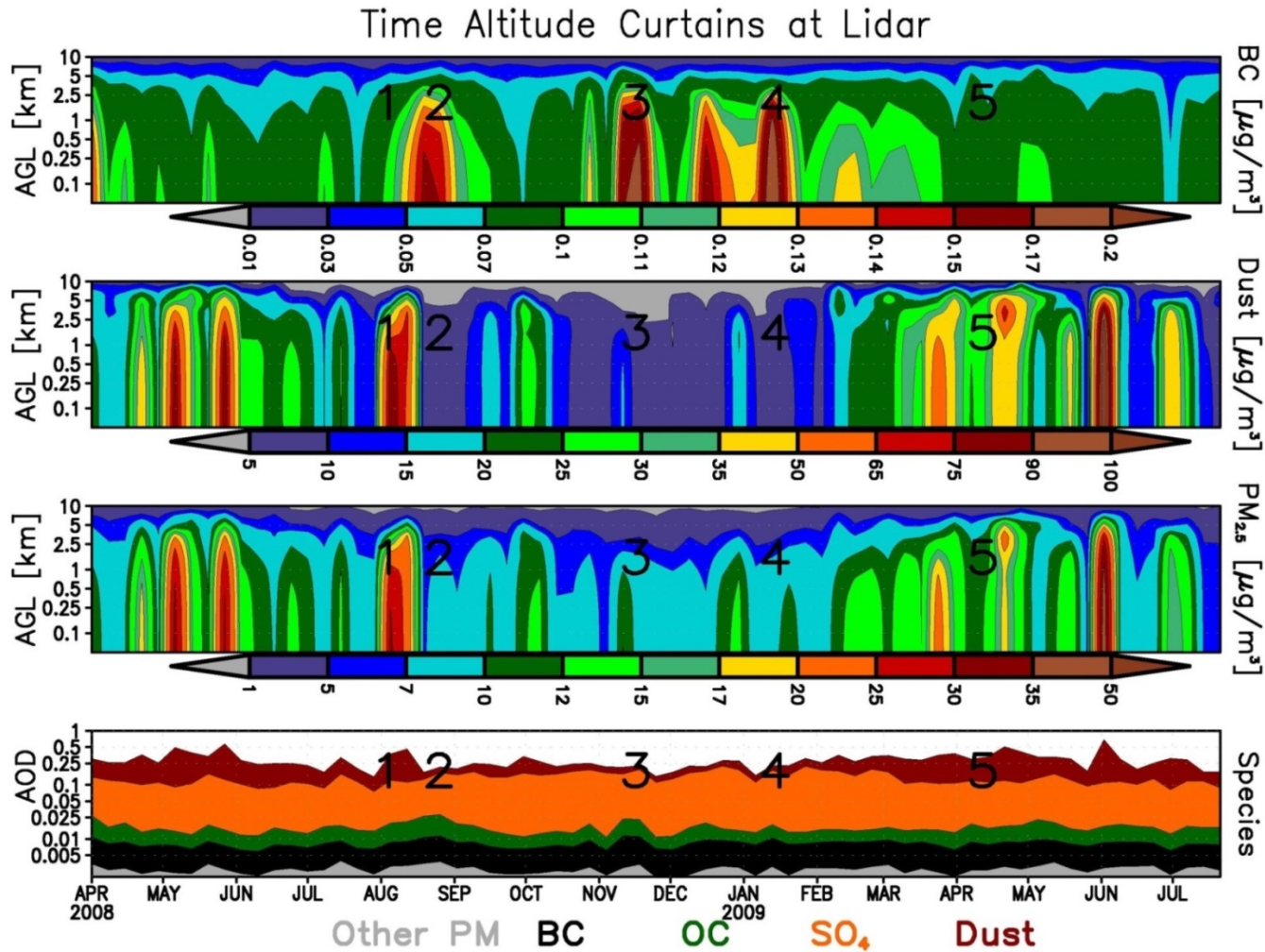


Fig. 13. Time altitude cross sections of weekly averaged predicted BC, dust and PM_{2.5} for the simulation period at the LST site. The numbers denote the specific episodes of aerosol enhancements for back trajectory analysis in Fig. 14 and Fig. 15. Lidar denotes the LST (Lidar Station Teplokluchenska) site.

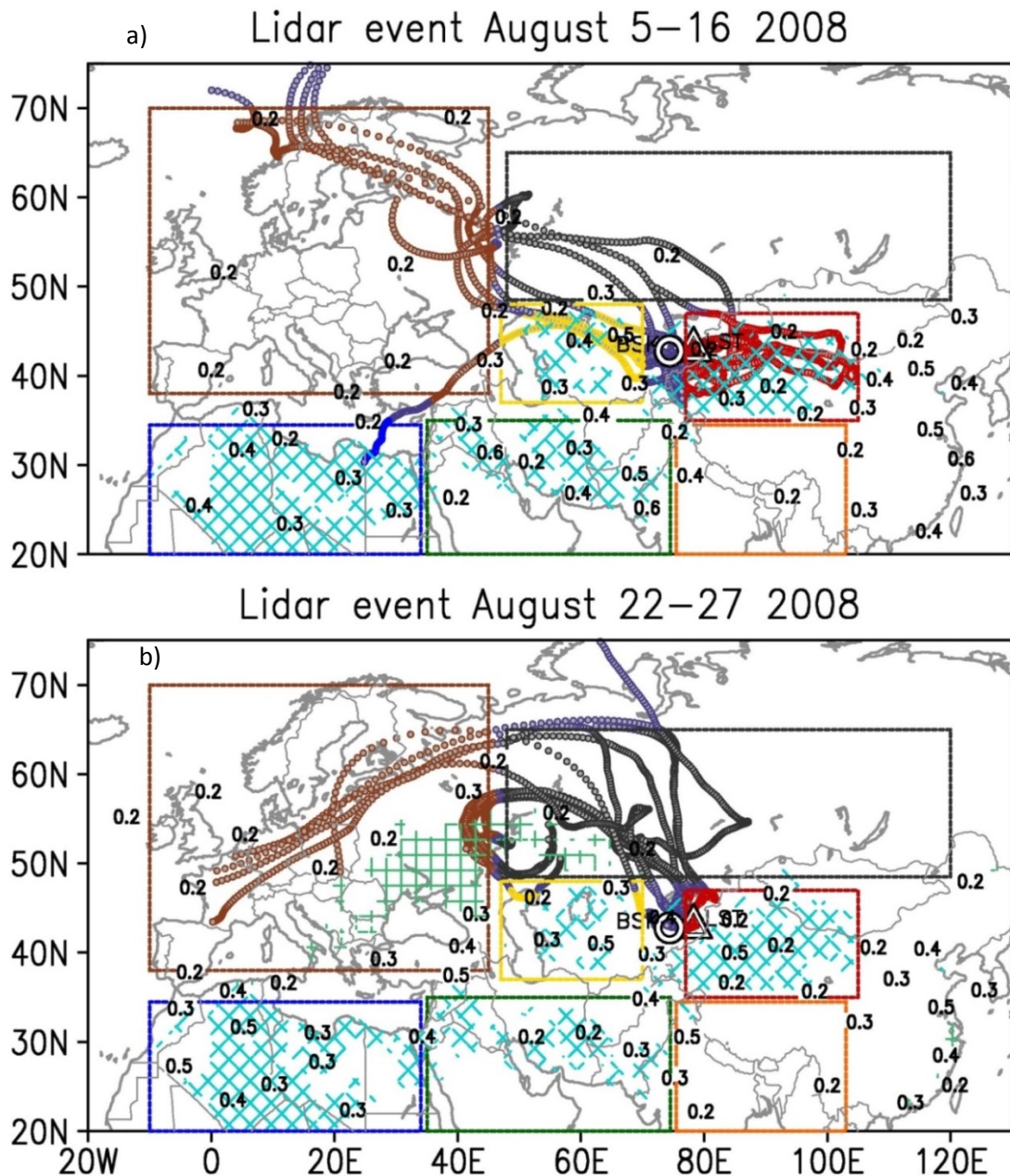


Fig. 14. Ten day air mass back trajectories for August 2008 (events 1 and 2 as denoted in Fig. 11) color coded by source regions. The blue diamond and green square hatched areas denote the natural dust and biomass burning emission sources while the number in black denote MODIS AOD contours averaged over the event time period and ten day prior time window. The trajectories are color coded by source regions including Africa (blue), Middle East (green), Central Asia (yellow), North Asia biomass (> 50° N, black), Europe (brown), China (red) and South Asia (orange). (green), Central Asia (yellow), North Asia biomass (> 50° N, black), Europe (brown), China (red) and South Asia (orange). Lidar denotes the LST (Lidar Station Teplokluchenka) site.

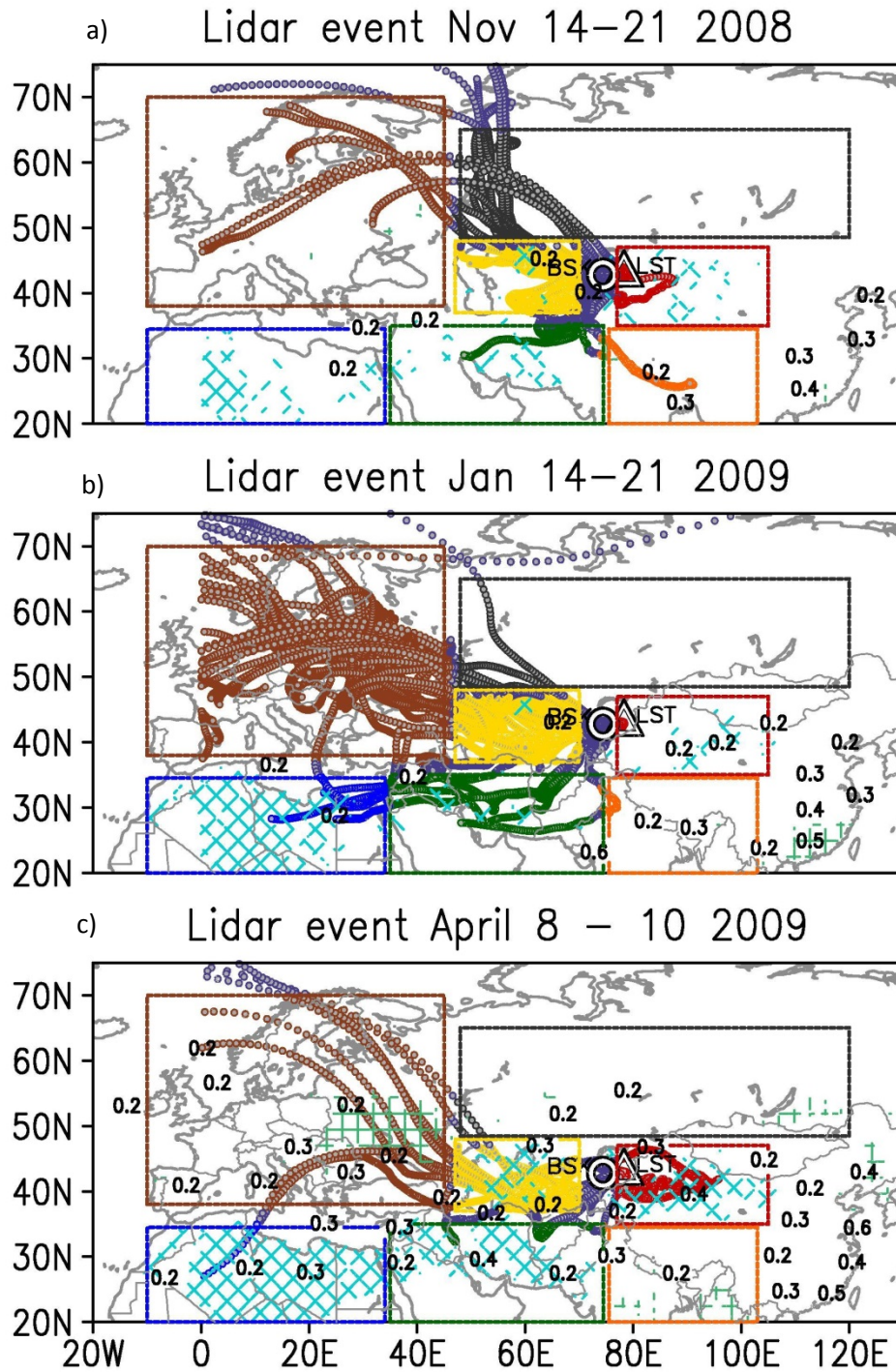


Fig. 15. Same as Fig. 14 but for November 2008, January 2009 and April 2009 (events 3, 4, 5 denoted in Fig. 13).

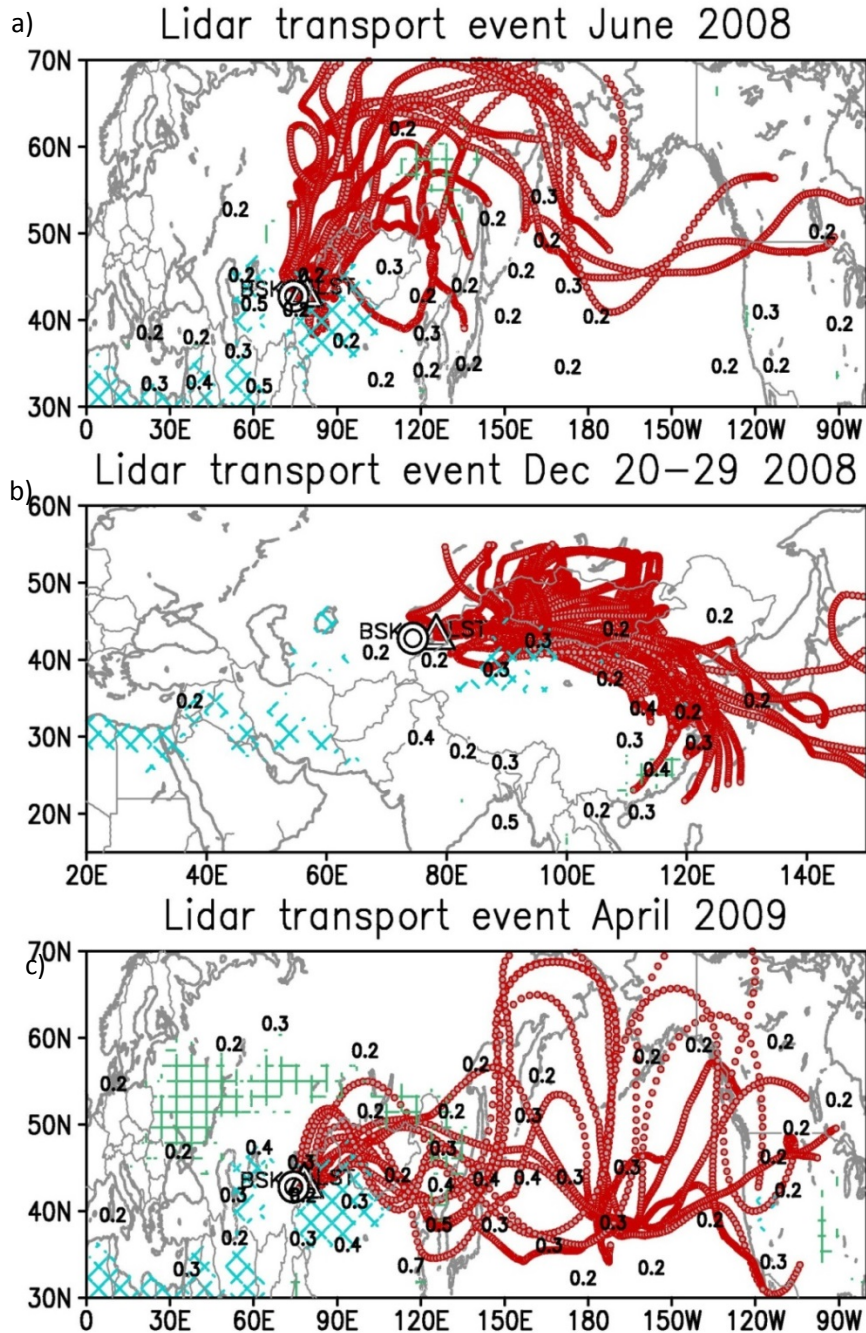


Fig. 16 Ten day air mass forward trajectories illustrating the seasonality in transport pathways out of CA for a) June 2008, b) December 2008, and c) April 2009. The blue diamond, green square hatched areas denote the natural dust and biomass burning emission sources while the number in black denote MODIS AOD contours, respectively, averaged over the event time period and subsequent ten day time window. Lidar denotes the LST (Lidar Station Teplokluchenska) site.

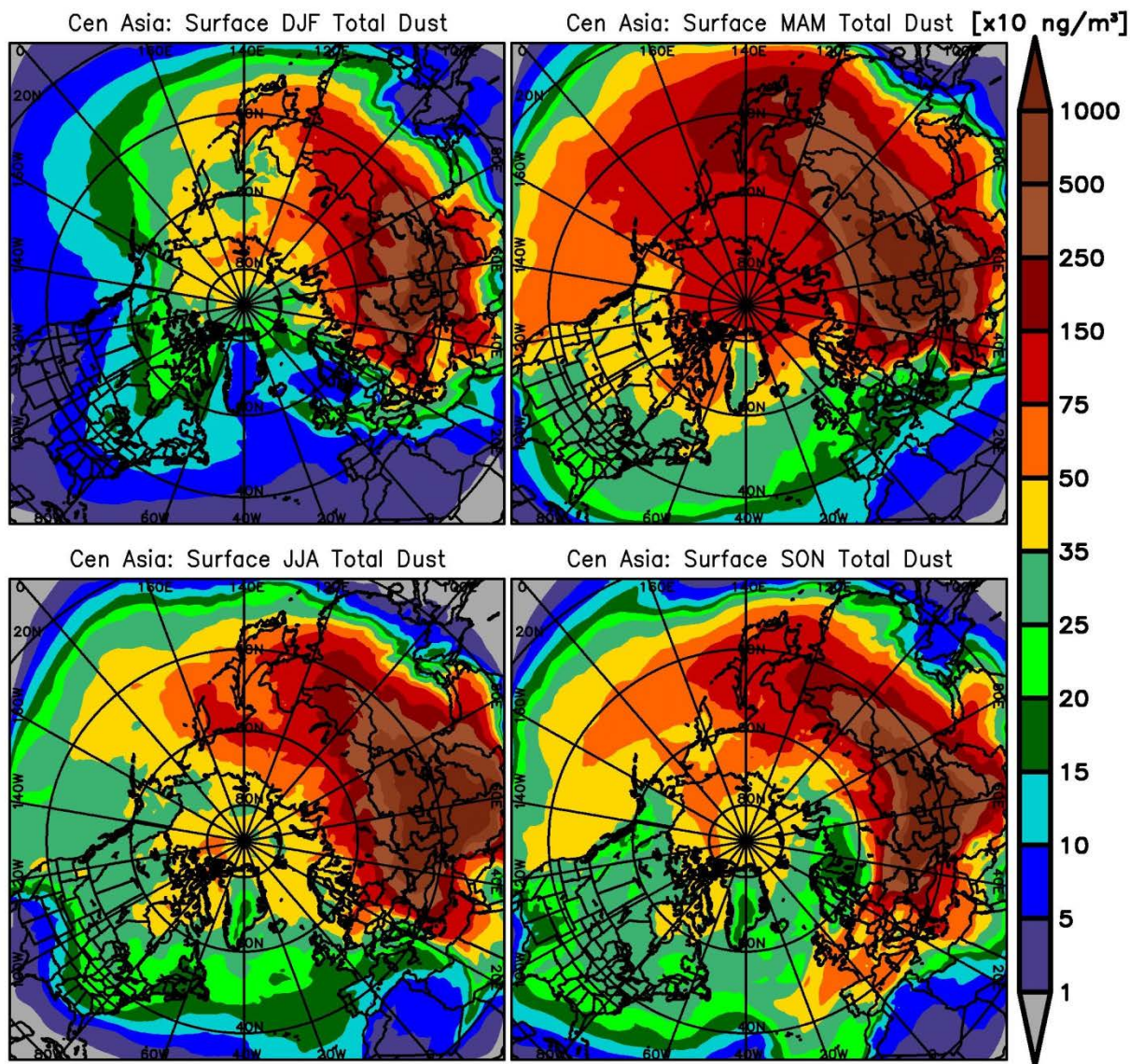


Fig. 17. Seasonally averaged surface total dust (PM₁₀) concentrations from Central Asia dust emissions. DJF (top left panel) denotes the average for the months of December, January and February. MAM (top right panel) denotes the average for months of March, April and May. JJA (bottom left panel) denotes the average for months of June, July and August while SON (bottom right panel) denotes average for months of September, October and November.

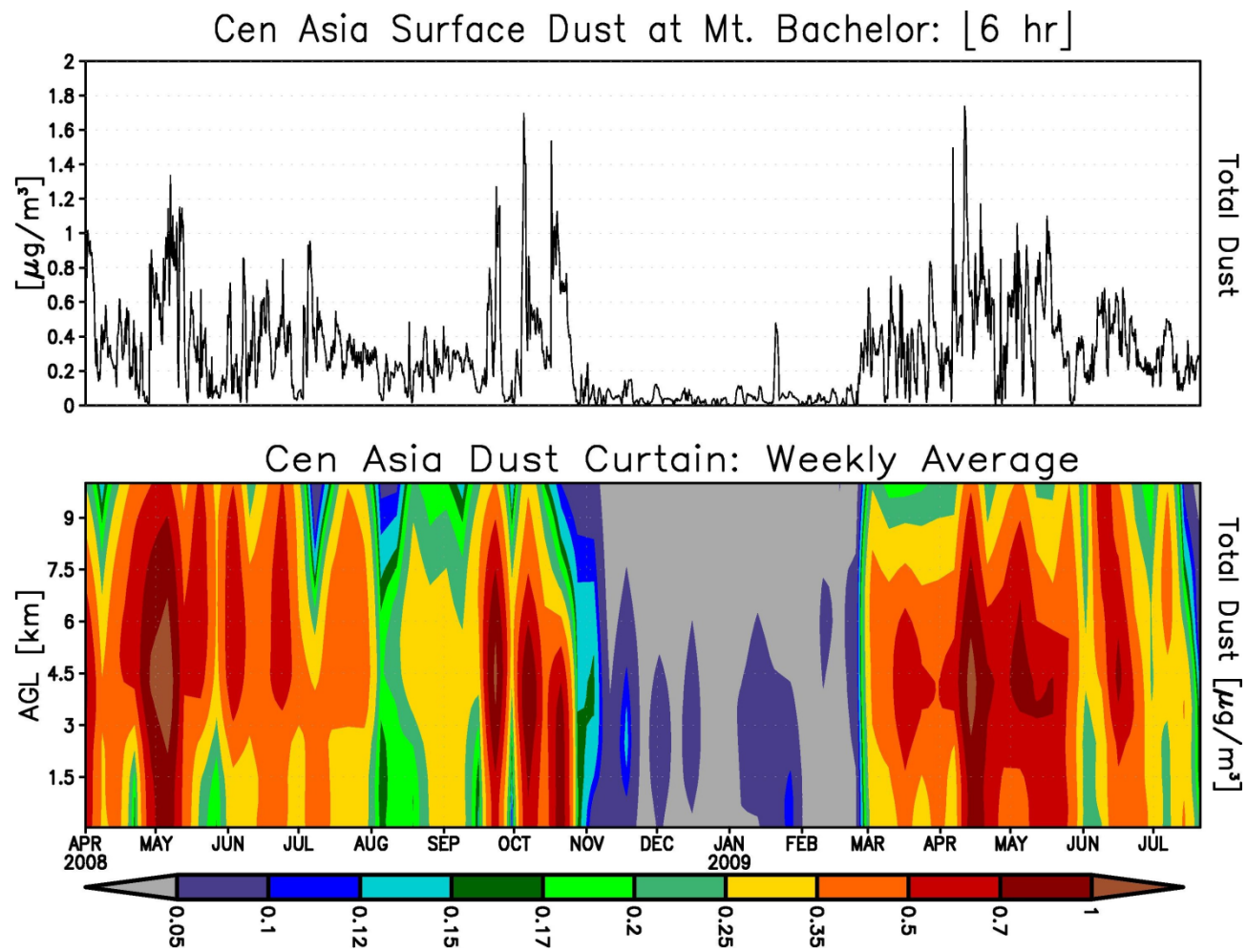


Fig. 18. Time series of predicted surface concentration at 6 h time step (top panel) and weekly averaged time altitude cross sections (bottom panel) of total dust (PM_{10}) from Central Asia dust emissions at Mt. Bachelor, Oregon.

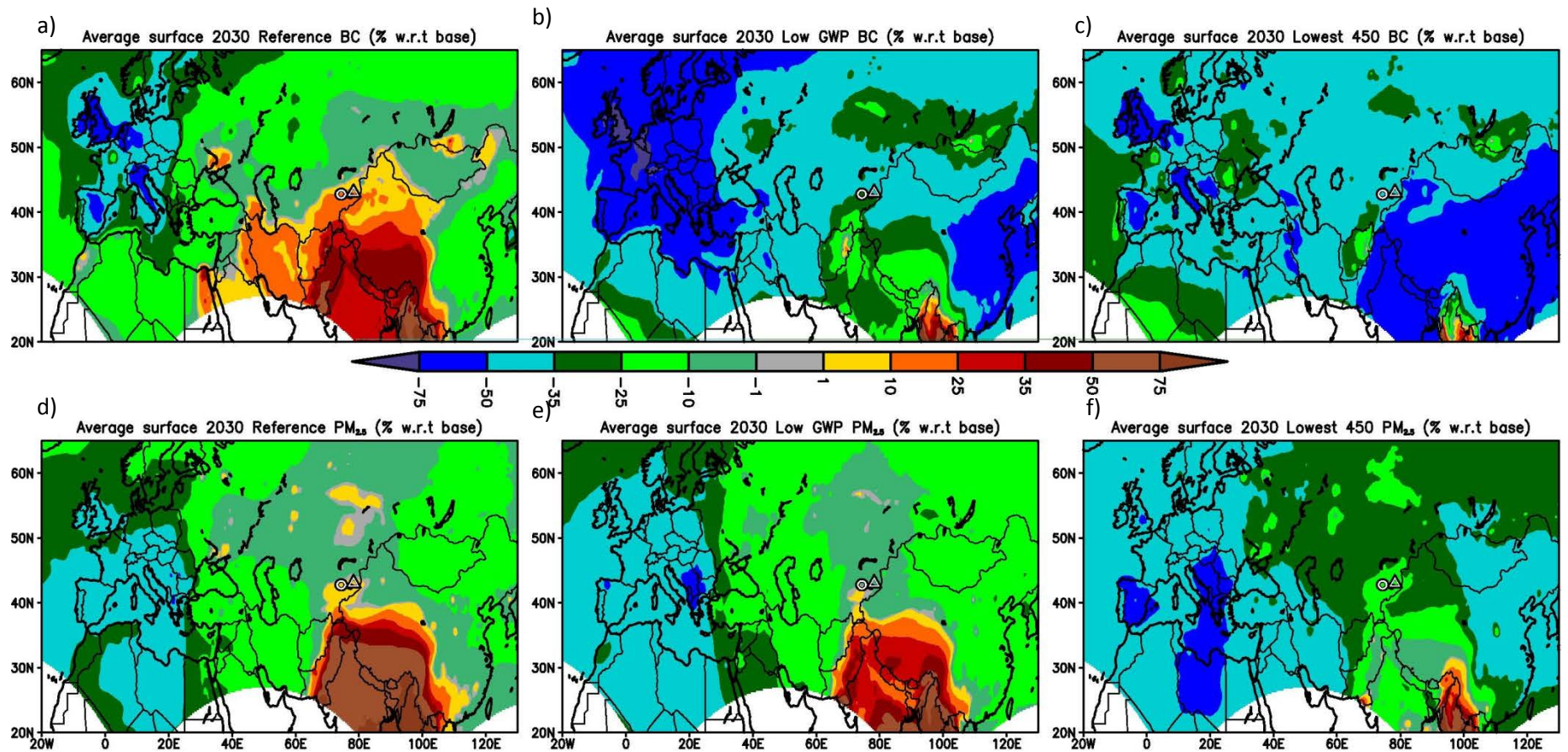


Fig. 19. Percent change in simulated period mean surface BC and PM_{2.5} concentrations for future 2030 emission scenarios relative to the base year (2005) a),d) reference 2030, a),e) BC measures (low) and c),f) BC (lowest) and greenhouse gas measures aimed at keeping CO₂ levels below 450 ppm. Refer to Sec. 2.3 for more details on emission scenarios.