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6 **Estimation of the direct and indirect impacts of fireworks on the**
7 **physicochemical characteristics of atmospheric PM₁₀ and PM_{2.5}**

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24 **Abstract:**

25 To quantify the total, direct and indirect impacts of fireworks individually,
26 size-resolved PM samples were collected before, during, and after a Chinese
27 folk-custom festival (Chinese New Year) in a megacity in China. Through chemical
28 analysis and morphological characterisation, a strong influence of fireworks on the
29 physicochemical characteristics of PM₁₀ and PM_{2.5} was observed. The concentrations
30 of many species exhibited an increasing trend during the heavy-firework period,
31 especially for K⁺, Mg²⁺ and Cr; and the results of the non-sea-salt ions demonstrated
32 an anthropogenic influence on K⁺ and Mg²⁺. Then, source apportionment was
33 conducted by receptor models and Peak Analysis. The total influence of the fireworks
34 was quantified by PMF, showing that the fireworks contributed higher fractions
35 (23.40% for PM₁₀ and 29.66% for PM_{2.5}) during the heavy-firework period than
36 during the light-firework period (4.28% for PM₁₀ and 7.18% for PM_{2.5}). The profiles
37 of the total fireworks obtained by two independent methods (PMF and Peak Analysis)
38 were consistent, with higher abundances of K⁺, Al, Si, Ca and OC. Finally, the
39 individual contributions of the direct and indirect impacts of fireworks were
40 quantified by CMB. The percentage contributions of resuspended dust, biomass
41 combustion and direct fireworks were 36.8±8.37%, 14.1±2.82% and 44.4±8.26%,
42 respectively, for PM₁₀ and 34.9±4.19%, 16.6±3.05% and 52.5±9.69%, respectively,
43 for PM_{2.5}, in terms of the total fireworks. The quantification of the total, direct and
44 indirect impacts of fireworks in the ambient PM gives an original contribution to

45 understand the physicochemical characteristics and mechanisms of such
46 high-intensity anthropogenic activities.

47 *Keywords:* PM; source apportionment; fireworks; PMF; CMB; Chinese New Year

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49

50 **1 Introduction**

51 Atmospheric particulate matter (PM) is recognised globally as a major
52 environmental issue with adverse effects on air quality, regional visibility, global
53 climate change and health effects (Ding et al., 2008; Robichaud and Ménard, 2014).
54 By scattering and absorbing incoming solar radiation and outgoing terrestrial radiation
55 directly, or by acting as cloud-condensation nuclei and thereby influencing the optical
56 properties of clouds indirectly, atmospheric aerosols can influence the radiation
57 balance of the earth's atmosphere (Lin et al., 2013; Shen et al., 2013). Ambient PM is
58 a complex mixture of components from a variety of sources, including natural and
59 anthropogenic sources (Zheng et al., 2005; Zhao et al., 2013a). In recent years,
60 concerns about short-term air quality degradation events and their continuous negative
61 effects to human health has increased, especially for PM pollution caused by
62 high-intensity anthropogenic activities.

63 Firework displays are high-intensity anthropogenic activities that create notable air
64 pollution and obvious short-term air-quality degradation. Firework displays are used
65 to celebrate popular fiestas, a practice common worldwide (e.g., at the New Year).
66 During firework episodes, there is usually a transient and spectacular increase in the
67 PM pollution. Fireworks contain a variety of metal salts, such as chlorates and
68 perchlorates (Wang et al., 2007; Crespo et al., 2012), leading to extremely high
69 ambient concentrations of these species during the celebration. These heavy metals
70 and perchlorates are all highly toxic (Shi et al., 2011) and are on average fine enough
71 to be easily inhaled and show a health risk to susceptible individuals. Both the

72 long-term and short-term hazardous impacts of fireworks on human health have been
73 paid significant attention by researchers (Wang et al., 2007; Vecchi et al., 2008;
74 Crespo et al., 2012; Cheng et al., 2013).

75 Studies have demonstrated that the displacement of fireworks could be an
76 important source category for atmospheric PM (Vecchi et al., 2008). Fireworks can
77 influence the PM directly by emitting firework-related species (such as certain heavy
78 metals). Additionally, the accessory effects, which were indirectly caused by the
79 activities of firework displays, should be taken into consideration in the firework
80 events. For example, pyrotechnic device explosions can lead to resuspension of
81 materials already deposited on the ground; and biomass combustion (fireworks are
82 made from paper and a cracker) occurs when the fireworks are displayed and
83 incinerated after display. Although firework-related pollution episodes are transient in
84 nature, they are highly concentrated, and the influence is continuous. Both the direct
85 and indirect influences of fireworks might significantly contribute to PM and the total
86 annual metal emissions. However, the quantification of firework contributions,
87 especially for its direct and indirect impacts, is very limited.

88 Studies on fireworks have mainly applied the following methods: burning fireworks
89 in specific laboratories or fields to characterise their chemical properties (Tsai et al.,
90 2012); and investigating the environmental impacts through ambient sampling
91 champion during firework periods (Sarkar et al., 2010; Crespo et al., 2012). The
92 former cannot reflect the actual ambient condition and indirect impacts. For the latter,
93 celebrations by fireworks are usually continued for only a few days. Thus, it has been

94 very difficult to quantify the contributions of fireworks to ambient PM, especially for
95 the quantification of indirect impacts. Selecting an appropriate period and site for this
96 subject is key. Fireworks are more prevalent in certain places than others. China is the
97 country that produces the most fireworks in the world (Shi et al., 2011). In addition,
98 setting off fireworks is a traditional way to celebrate the Chinese New Year (CNY,
99 Spring Festival) and is justifiably welcomed all over the country. CNY is the most
100 important folk-custom holiday in China. Celebrations during CNY season tend to spill
101 over into the preceding and succeeding days (usually until the Lantern Festival,
102 another important festival in China), along with sporadic fireworks. During the
103 firework episodes, the anthropogenic emission patterns are greatly changed (Huang et
104 al., 2012). Many ordinary activities are decreased, such as certain industries and
105 traffic (Feng et al., 2012), whereas degradation of the air quality might occur due to
106 displays of fireworks. This provides a unique opportunity to study the drastic source
107 changes and allows the quantification of the direct and indirect contributions of
108 fireworks under significantly different emission patterns.

109 Therefore, the purpose of this work is to individually quantify the total, direct and
110 indirect contributions of fireworks to size-resolved PM. A sampling campaign of PM₁₀
111 and PM_{2.5} was performed before, during, and after the CNY (as shown in Table S1) in
112 a megacity in China. The physicochemical characteristics of the PM during this
113 folk-custom festival were investigated, and the influence of fireworks on the
114 physicochemical changes was also studied. The tracer species of fireworks were
115 discussed and then used for the source identification. The total, direct and indirect

116 contributions of fireworks to PM were modelled using the Positive Matrix
117 Factorization (PMF), Peak Analysis (PA) and Chemical Mass Balance (CMB) models.
118 The quantitative assessment of the fireworks' direct and indirect impacts on the
119 ambient PM gives an original contribution to understand the physicochemical
120 characteristics and mechanisms during firework displays. The findings will aid in
121 studies on similar high-intensity anthropogenic activities.

122

123 **2 Methodology**

124 **2.1 Sampling**

125 The PM₁₀ and PM_{2.5} samples were collected in Tianjin (a megacity in China).
126 Tianjin, the largest harbour of Northern China, is a fast-growing and economically
127 developed city that has a population of more than 12 million and has more than 1.5
128 million automobiles. The air quality of Tianjin has declined with rapid urbanisation
129 and industrialisation. The sampling site is sited on the rooftop of a six-story building
130 that is located in a mixed residential and commercial area in Tianjin. Usually,
131 substantial degradation would occur during the firework displays in such a mixed area.
132 The map of the sampling site was indicated in Fig. S1.

133 The sampling campaign of PM₁₀ and PM_{2.5} was performed from 30 January 2013 to
134 24 February 2013, including periods before, during, and after the CNY (until the
135 Lantern Festival). The sampling periods and the corresponding Chinese lunar calendar
136 were listed in Table S1. During the sampling periods, firework displays occurred for
137 the celebration of the CNY holiday. For the period from CNY's Eve to the Lantern

138 Festival, fireworks are allowed in China, and numerous fireworks were consumed;
139 thus, this period is defined as a heavy-firework period. For the period before CNY's
140 Eve, sporadic fireworks might be set off, so it is defined as a light-firework period.

141 Based on our previous works and other related studies (Shi et al., 2009; Xue et al.,
142 2010; Harrison et al., 2012; Tian et al., 2013a; Zhao et al., 2013 a, b), the PM_{2.5} and
143 PM₁₀ (24 hour samples) were simultaneously collected on quartz-fibre filters and
144 polypropylene-fibre filters using medium-volume air samplers (TH-150) at a flow rate
145 of 100 L/min. The detailed information for the sampling and quality assurance/quality
146 control (QA/QC) are available in the Supplementary Materials.

147

148 **2.2 Chemical Analysis**

149 The elemental compositions (Al, Si, Ca, V, Cr, Mn, Fe, Co, Cu, Zn, As and Pb) of
150 the samples collected on the polypropylene-fibre filters were determined by
151 inductively coupled plasma-mass spectrometry (ICP-AES) (IRIS Intrepid II, Thermo
152 Electron). Ion chromatography (DX-120, DIONEX) was used to analyse the
153 water-soluble ions (NO₃⁻, SO₄²⁻, Na⁺, K⁺ and Mg²⁺) collected on the quartz-fibre
154 filters. The organic carbon (OC) and elemental carbon (EC) concentrations of the
155 samples on the quartz-fibre filters were determined by DRI/OGC carbon analysis, a
156 technique based on the IMPROVE thermal/optical reflectance (TOR) protocol.

157 The background contamination was routinely monitored by blank tests. Enough
158 blank tests were conducted and used to valid and correct the corresponding data.

159 Certified reference materials (CRM, produced by National Research Center for

160 Certified Reference Materials, China) were used for quality assurance and quality
161 control. Blanks and duplicate sample analyses were performed for nearly 10% of the
162 samples. The pretreatment procedure, chemical analysis and QA/QC are described in
163 detail in the Supplementary Materials and refer to our previous works and other
164 related studies (Bi et al., 2007; Shi et al., 2009; Wu et al., 2009; Kong et al., 2010;
165 Xue et al., 2010; Zhao et al., 2013 a, b).

166 In addition, scanning electron microscopy (SEM) determinations were performed
167 by a JEOL JSM-7500F equipped with an X-ray energy dispersive spectrometer (EDS)
168 to perform a morphological characterisation and chemical analysis of the individual
169 particles.

170

171 **2.3 Modelling approaches**

172 **2.3.1 Receptor models**

173 Two widely used receptor models, Positive Matrix Factorisation (PMF) and
174 Chemical Mass Balance (CMB), were applied to quantify the total (sum of the direct
175 and indirect contributions), direct and indirect contributions of fireworks.

176 PMF is a useful factorisation methodology that can identify potential source
177 categories and source contributions when the source profiles are not known. It
178 identifies the source profile matrix F and quantifies the source contribution matrix G
179 based on observations at the receptor site (X). Following Paatro and Tapper (1994),
180 the PMF model can be represented in matrix form as:

$$181 \quad \mathbf{X} = \mathbf{GF} + \mathbf{E}, \quad (1)$$

182 The elements of the source contribution matrix G and source profile matrix F are
183 constrained to non-negative values for PMF. PMF uses the residual matrix elements
184 (e_{ik}) and uncertainty estimates (σ_{ij}) to calculate a minimum Q value by using a
185 weighed least square method, which is defined as:

$$186 \quad Q(E) = \sum_{i=1}^m \sum_{j=1}^n (e_{ij} / \sigma_{ij})^2, \quad (2)$$

187 where σ_{ij} is the uncertainty of the j^{th} species in the i^{th} sample, which is used to weight
188 the observations that include sampling errors, detection limits, missing data, and
189 outliers (Paatero,2007). The goal of PMF is to minimise this function.

190 PM data from the different sizes (PM_{2.5} and PM₁₀) were combined and inputted into
191 PMF, as has been done in related works (Amato et al., 2009; Aldabe et al., 2011). The
192 combined data showed satisfactory results, and further analysis demonstrated that the
193 profiles of PM_{2.5} and PM₁₀ were similar in this work. Additionally, different numbers
194 of factors and different Fpeak values were considered and tested when running PMF.
195 The calculations were allowed to repeat ten times from ten pseudorandom starting
196 points for each computation, to test if a global minimum point was reached. The error
197 model code (EM=-14) and the uncertainties required for PMF were chosen according
198 to the user's guide (Paatero, 2007).

199 Then, the CMB model was applied to quantify the individual contributions from the
200 direct and indirect impacts of fireworks. CMB is also a widely used receptor model,
201 for cases in which the number and profiles of sources are available (Watson et al.,
202 1984; Chen et al., 2012). Similar to PMF, the CMB can be described as:

$$203 \quad x_{ij} = \sum_{p=1}^p g_{ip} f_{pj} + e_{ij} \quad (3)$$

204 where x_{ij} is the j^{th} species concentration measured in the i^{th} sample; f_{pj} is the j^{th} species
 205 mass fraction in the p^{th} source; g_{ip} is the contribution of the p^{th} source to the i^{th} sample;
 206 and e_{ij} is the residual (Hopke,2003). In contrast to PMF, except for x_{ij} , f_{pj} should also
 207 be available for the CMB model. USEPA CMB8.2 (USEPA, 2004) was applied in this
 208 work. The main performance indices of CMB are the reduced chi square (χ^2), percent
 209 mass (PM) and R square (R^2). Understanding the information of sources is important
 210 for the CMB modelling. In this work, a field survey of sources was performed before
 211 applying the CMB model, to determine the source categories.

212 **2.3.2 Peak Analysis**

213 In the present study, Peak Analysis was used to quantify the species abundances of
 214 the fireworks based on the observations of the PM and chemical species. This method
 215 was successfully applied to determine the profiles of the vehicle emissions (Ke et al.,
 216 2013). The highest and lowest PM or species concentrations were used to represent
 217 the peak and background observations, respectively. The peak period had the strongest
 218 fireworks density, whereas the background values corresponded to the lowest
 219 fireworks density. Then, the species abundances were obtained by normalising their
 220 concentrations with the corresponding PM concentrations, as follows (Ke et al, 2013):

$$221 \quad F_j = \frac{C_{p,j} - C_{b,j}}{C_{p,PM} - C_{b,PM}}, \quad (4)$$

222 where F_j is the abundance (g per g of PM) for the j^{th} species, $C_{p,j}$ and $C_{b,j}$ are the j^{th}
 223 species concentrations ($\mu\text{g}/\text{m}^3$) in the peak observation and the background

224 observation, respectively; and $C_{p, PM}$ and $C_{b, PM}$ are the PM concentrations ($\mu\text{g}/\text{m}^3$) in
225 the peak and the background observations, respectively.

226 The uncertainty (σ_{F_j}) of the j^{th} species abundance (F_j) was defined as follows (Ke
227 et al, 2013):

$$228 \quad \sigma_{F_j} = F_j \sqrt{\left(\frac{\sigma_{p,j}}{C_{p,j}}\right)^2 + \left(\frac{\sigma_{b,j}}{C_{b,j}}\right)^2}, \quad (5)$$

229 where $\sigma_{p,j}$ and $\sigma_{b,j}$ are the measurement uncertainties ($\mu\text{g}/\text{m}^3$) of the j^{th} species in
230 the peak observation and the background observation, respectively. The results of the
231 Peak Analysis method were employed to describe the profiles of the total fireworks.

232

233 **3 Results and discussion**

234 **3.1 Physicochemical characteristics of PM₁₀ and PM_{2.5}**

235 The PM samples were acquired on two filters for each sampling day, so consistency
236 tests play an important role in the QA/QC process. The comparisons between the
237 concentrations measured on the polypropylene-fibre filters and those on the
238 quartz-fibre filters were shown in Fig. S2. A satisfactory consistency (slopes close to
239 unity and high correlations) were observed, indicating good quality assurance.
240 Because the quartz-fibre filters tend to absorb water and be shredded during sampling
241 handling (Cheng et al., 2011), concentrations on the polypropylene-fibre filters were
242 used in the following discussion.

243 The concentrations of the PM₁₀ and PM_{2.5} in Tianjin during the sampling periods
244 are summarised in Fig. S3. The average concentration of PM₁₀ was 212.95 $\mu\text{g}/\text{m}^3$, and
245 that of PM_{2.5} was 140.59 $\mu\text{g}/\text{m}^3$, with an average ratio of PM_{2.5}/PM₁₀ of 0.66. The

246 $PM_{2.5}/PM_{10}$ values were 0.65 and 0.66 during the light-firework and heavy-firework
247 periods, respectively. The T-test was used to analyse the difference between the
248 $PM_{2.5}/PM_{10}$ values in the two periods, and the results indicated an insignificant
249 difference with $p>0.05$. The PM_{10} and $PM_{2.5}$ concentrations were $148.74 \mu\text{g}/\text{m}^3$ and
250 $96.80 \mu\text{g}/\text{m}^3$, respectively, during the light-firework period and $249.08 \mu\text{g}/\text{m}^3$ and
251 $165.23 \mu\text{g}/\text{m}^3$, respectively, during the heavy-firework period. The highest
252 concentrations were observed on CNY's Eve, when massive firework displays usually
253 occur all over the country (Feng et al., 2012), indicating the huge influence of
254 fireworks on the PM concentrations. Additionally, the concentrations of PM_{10} and
255 $PM_{2.5}$ during non-firework period were $133.30 \mu\text{g}/\text{m}^3$ and $83.98 \mu\text{g}/\text{m}^3$, which were
256 sampled from Tianjin in March and April, 2013. Compared with non-firework period,
257 the PM levels during light-firework period were slightly higher and those during
258 heavy-firework period were much higher.

259 The study of the chemical composition is critical for understanding the
260 physicochemical characteristics of pollution during the folk-custom festival. The
261 average concentrations of the chemical species in PM_{10} and $PM_{2.5}$ during the
262 light-firework period and the heavy-firework period were exhibited in Fig. 1, and the
263 abundance of the species (fractions of the species in PM) in PM_{10} and $PM_{2.5}$ were
264 summarised in Fig. S4. According to Fig. 1 and Fig. S4, the crustal elements (Al, Si,
265 Fe, and Ca), carbonaceous species (OC and EC) and several water-soluble ions (Cl^- ,
266 NO_3^- and SO_4^{2-}) were important species in PM_{10} and $PM_{2.5}$ during the sampling
267 periods. The concentrations of OC were 9.84 and $15.58 \mu\text{g}/\text{m}^3$ in PM_{10} and were 7.61

268 and 11.32 $\mu\text{g}/\text{m}^3$ in $\text{PM}_{2.5}$ during the light- and heavy-firework periods, respectively.
269 The concentrations of EC were 5.59 (in PM_{10} during the light-firework period), 6.79
270 (in PM_{10} during the heavy-firework period), 4.20 (in $\text{PM}_{2.5}$ during the light-firework
271 period) and 4.55 (in $\text{PM}_{2.5}$ during the heavy-firework period) $\mu\text{g}/\text{m}^3$. The ratio of
272 OC/EC was higher during the heavy-firework period for both PM_{10} and $\text{PM}_{2.5}$, with
273 values of 1.76 (light-firework) and 2.32 (heavy-firework) for PM_{10} and 1.81
274 (light-firework) and 2.49 (heavy-firework) for $\text{PM}_{2.5}$. Additionally, it was interesting
275 to find that K^+ played an important role during the heavy-firework period, in contrast
276 to its effect during the light-firework period. Compared with prior studies (Kong et al.,
277 2010; Tian et al., 2013b), several differences can be observed in chemical
278 compositions.

279 (Fig. 1)

280 In addition, for further characterisation, images and micrographs of the quartz-fibre
281 filters were exhibited. Fig. S5 shows photos of the quartz filters with the PM_{10} and
282 $\text{PM}_{2.5}$ samples for two cases: a normal day in the light-firework period and CNY's
283 Eve in the heavy-firework period. A difference can be observed between the filters.
284 Furthermore, Fig. S6 shows micrographs of $\text{PM}_{2.5}$ for the same days. There were
285 many more particles for the samples from CNY's Eve than for those from the normal
286 day, demonstrating the much higher concentration levels on CNY's Eve.

287

288 3.2 Influence of fireworks on the physicochemical characteristics of PM pollution

289 Comparing the mass concentrations and abundances of species during the

290 heavy-firework period with those during the light-firework period could help
291 researchers learn more about the influence of this intensive human activity. As shown
292 in Fig. 1, concentrations of most species (such as crustal elements, heavy metal
293 species, carbonaceous species, Cl^- , Na^+ , K^+ , and Mg^{2+}) exhibited increasing trends
294 during the heavy-firework period. It is believed that Al, Ca, Cr, Cu, Pb, Cl^- , Na^+ , K^+ ,
295 and Mg^{2+} might represent firework-related species. Potassium is one of the major
296 components of fireworks because potassium compounds in black powder (commonly
297 in the form perchlorate or chlorate) act as the main oxidisers during burning, with the
298 corresponding chemical equations being $2\text{KClO}_3=2\text{KCl}+3\text{O}_2$ and $\text{KClO}_4=\text{KCl}+2\text{O}_2$.
299 Ca compounds (such as calcium chloride and sulphate) and Cu compounds (such as
300 copper chloride and oxide) give rise to orange and blue colourations, respectively. A
301 Cr compound (CuCr_2O_4) is used as a catalyst for propellants. Cu, K, and Cr are used
302 to provide silvery and glitter effects as well. Mg is a useful metallic fuel and is also
303 used to produce sparks and crackling stars (in the form of the 50:50 Mg:Al alloy
304 magnalium). Al also can be used alone as a common constituent for fuel, sparks and
305 glitter effects. Pb can help to achieve steady and reproducible burning rates. Many
306 components are in the form of perchlorate or chlorate, leading to high concentrations
307 of Cl^- . The abruptly high emissions of these elements due to firework combustion can
308 explain the high concentrations of these firework-related species in atmospheric PM
309 during the heavy-firework period. It is noteworthy that certain firework-related heavy
310 metals (Cr, Pb, Cu, etc.) are dangerous elements because of their toxicity and are
311 forbidden by law in many countries. Such high concentrations in a short time,

312 especially in a place where a considerable number of people are gathered, might be of
313 concern.

314 Except for the directly firework-related species, an increase was also observed for
315 most of the crustal elements (such as Al, Si and Ca). Although Al and Ca might derive
316 from industrial and direct firework sources, the abrupt increase of crustal elements
317 might also be due to the resuspension of materials already deposited on the ground,
318 caused by pyrotechnic device explosions. Additionally, higher concentrations of K^+
319 and OC, which are good markers of biomass combustion, might imply the
320 contribution of biomass combustion during the heavy-firework period. The higher
321 concentrations of these indirect markers during the heavy-firework period can be
322 ascribed partly to the indirect influence of fireworks. The important contributions of
323 the resuspended dust and biomass combustion must be taken into consideration as
324 sources of PM in these firework events. Moreover, the mass ratios of NO_3^- to SO_4^{2-}
325 (NO_3^-/SO_4^{2-}) during the heavy-firework period were lower than the ratios during the
326 light-firework period. Similarly, lower NO_3^-/SO_4^{2-} during CNY was observed in
327 Beijing (Feng et al., 2012). The change in NO_3^-/SO_4^{2-} might be partly due to differing
328 formation mechanisms. Wang et al. (2007) reported the dominance of metal-catalysed
329 heterogeneous formation of sulphate during the firework period. Goodman et al.
330 (2001) reported a different formation mechanism for nitrate. However, the formation
331 of secondary particles might be influenced by considerable factors (such as
332 meteorological conditions and precursors) and is very complex.

333 Some of the species mentioned here require further study. SEM micrographs and

334 EDS spectra of particles on the normal day and on CNY's Eve were exhibited in Fig.
335 S7. The individual-particle analysis showed a higher K level on CNY's Eve than on
336 the normal day. Furthermore, K^+ , Cr, and Mg^{2+} particularly stand out as species that
337 have far higher concentrations during the heavy-firework period than that during the
338 light-firework period. The H/L values (the ratios of the concentrations in the
339 heavy-firework period to those in the light-firework period) of the K^+ , Cr, and Mg^{2+}
340 concentrations were 6.29, 5.52, 3.97 for PM_{10} and 5.78, 4.63, 6.32 for $PM_{2.5}$ (as shown
341 in Fig. 1), respectively. The mass concentrations may not completely reflect the
342 composition of PM, so a comparison of the species abundances was also performed.
343 As shown in Fig. S4, the abundances of K^+ , Cr, and Mg^{2+} were obviously higher
344 during the heavy-firework period, with H/L values of 3.08, 4.44, 1.78 for PM_{10} and
345 2.68, 2.06 and 2.37 for $PM_{2.5}$, respectively. The high H/L values of the abundances
346 can demonstrate the intensive influence of the fireworks on these species. For further
347 investigation, the daily variations in the concentrations and abundances of the most
348 firework-influenced species (K^+ , Mg^{2+} and Cr) were shown in Fig. S8. Similar
349 temporal patterns were observed for these species. Both the mass concentrations and
350 abundances of K^+ , Mg^{2+} and Cr showed sharp peaks for CNY's Eve for $PM_{2.5}$ and
351 PM_{10} . Obvious increases also occurred on the fifth day of the Chinese lunar calendar
352 and the Lantern Festival, which are important folk-custom days for fireworks. Thus,
353 K^+ , Mg^{2+} and Cr could indicate the influence of fireworks, which is important for
354 further identification of the source categories of fireworks.

355 Species in PM may result from anthropogenic and natural sources. To focus the

356 characteristics of anthropogenic emissions, the non-sea-salt (nss) ions were calculated.
357 Assuming that all of the Na^+ ions were from sea salt, the concentrations of nss-SO_4^{2-} ,
358 nss-Cl^- , nss-K^+ and nss-Mg^{2+} in PM_{10} and $\text{PM}_{2.5}$ were calculated based on the
359 composition of average seawater. The relative mass concentrations of SO_4^{2-} , Cl^- , K^+
360 and Mg^{2+} to Na^+ are 0.252, 1.8, 0.037 and 0.119, respectively (Feng et al., 2012).
361 Nearly all SO_4^{2-} and K^+ (>98%) and most Mg^{2+} (>75%) ion in both PM_{10} and $\text{PM}_{2.5}$
362 are from non-sea-salt. It is interesting to find a higher percentage of nss-Cl^- in $\text{PM}_{2.5}$
363 (72%) than in PM_{10} (57%), indicating the stronger influence of anthropogenic
364 emissions on the Cl^- in fine PM. This result is reasonable because sea salt contributes
365 a higher fraction to the coarse fraction of PM (Keuken et al., 2013). To investigate the
366 anthropogenic impacts of fireworks, a comparison was conducted between nss-ions
367 during the light-firework and heavy-firework periods. The nss-SO_4^{2-} accounts for
368 more than 98% of the SO_4^{2-} in both the $\text{PM}_{2.5}$ and PM_{10} , and there was no obvious
369 difference ($p > 0.05$) during the two periods. The concentrations of nss-Cl^- , nss-K^+
370 and nss-Mg^{2+} as well as their percentages in the total ions were exhibited in Fig. S9.
371 For these three ions, much higher concentrations and higher percentages occurred
372 during the heavy-firework period, especially on CNY's Eve, with more than 88% of
373 the ions resulting from anthropogenic impacts. These results suggest the large
374 anthropogenic influence on Cl^- , K^+ , Mg^{2+} , which may be mainly caused by fireworks
375 during the Chinese folk-custom festival; as a result, they were effective to indicate the
376 presence of fireworks. In the present work, the sources to Cl^- are complex, including
377 not only anthropogenic but also natural sources (Vassura et al., 2014). Additionally,

378 considering insufficient concentrations of Cr in the PM mass, K^+ and Mg^{2+} may be
379 more powerful as tracers of fireworks for the following source apportionment, as
380 indicated by related reports (Wang et al., 2007; Cheng et al., 2013).

381

382 **3.3 Sources of PM**

383 To quantitatively evaluate the total, direct and indirect impacts of fireworks on
384 ambient PM, source apportionment of size-resolved PM samples was modelled by the
385 PMF, Peak Analysis and CMB models in this section.

386 **3.3.1 Total contributions of fireworks by PMF modelling**

387 PMF was first applied to identify the possible source categories and to quantify
388 their contributions to PM during the sampling periods. The variation in the Q values,
389 actual condition based on the field survey, the estimated source profiles and source
390 contributions, the correlations between measured and estimated concentrations were
391 taken into consideration when judging the performance of PMF solutions. Finally, the
392 five-factor solution and $F_{peak}=0.1$ were determined for fitting. The fitting plot
393 between the measured and estimated PM concentrations was exhibited in Fig. S10.
394 The slope of the regression was 0.96, and the Pearson correlation coefficient was 0.98,
395 suggesting perfect performance of PMF in this run (the estimated PM concentrations
396 for most samples were similar to the measured concentrations).

397 The source profiles obtained by PMF are listed in Fig. 2 and Table S2. According
398 to Fig. 2, Factor 1 exhibited high loadings for Al, Si, Ca (0.31, 0.35 and 0.63 in
399 normalized source profiles as shown in Table S2), etc., which are associated with

400 crustal dust (Pant and Harrison et al., 2012). In Factor 2, relatively higher loadings of
401 Al, Si and carbonaceous species were observed. Previous studies demonstrated that
402 simultaneously high Al, Si and carbonaceous species might indicate coal combustion
403 as the source category (Zhang et al., 2011; Pant and Harrison et al., 2012). Factor 3
404 correlates strongly with SO_4^{2-} and NO_3^- , consistent with source categories related to
405 secondary particles (secondary sulphate and secondary nitrate) (Gao et al., 2011; Tian
406 et al., 2013a). Factor 4 is mainly characterised by OC and EC (0.48 and 0.50 in
407 normalized source profiles), which were indicative of vehicular exhaust (Pant and
408 Harrison et al., 2012). The percentage contributions of these source categories were
409 summarised in Fig. 2 as well.

410 **(Fig. 2)**

411 In Factor 5, K^+ presented obviously high weightings. As discussed above, K^+ may
412 be a tracer of fireworks. And the higher loading of Mg^{2+} (0.65 in normalized source
413 profiles in Table S2) and Cr (0.71 in normalized source profiles) in this factor might
414 also indicate the impacts of fireworks. Furthermore, it is interesting to find the
415 relatively higher weightings of other species, such as OC, Al, Si and Ca. Strong links
416 with K^+ and OC could demonstrate biomass combustion (Cheng et al., 2013). Factor 5
417 was also associated with Al, Si and Ca, which are crustal elements. Biomass
418 combustion might be indirectly caused by fireworks, which can occur when the
419 fireworks are displayed and incinerated after display. Crustal elements might result
420 from the resuspension of materials already deposited on the ground (caused by
421 pyrotechnic device explosions). Therefore, Factor 5 represented the total influence of

422 fireworks, which might include the direct-firework contribution and indirect impacts
423 (biomass combustion and resuspended dust). PMF extracts source profiles and
424 quantifies contributions based on the temporal variation of the chemical species, so
425 source categories in one emission pattern might be identified as one factor. In this
426 work, direct fireworks, resuspended dust and biomass combustion caused by
427 fireworks may have similar emission patterns.

428 As exhibited in Fig. 2, the total influence of fireworks, including the direct-firework
429 and indirect impacts, contributed 19.29% to PM_{10} and 24.09% to $PM_{2.5}$ during the
430 entire sampling periods. The averaged percentage source contributions to PM_{10} and
431 $PM_{2.5}$ during the light-firework and heavy-firework periods, respectively, are
432 calculated and shown in Fig. 3. A large difference can be observed. During the
433 light-firework period, the total influence of fireworks contributed 4.28% to PM_{10} and
434 7.18% to $PM_{2.5}$; while during the heavy-firework period, the total influence of the
435 fireworks increased to rather high fractions (23.40% for PM_{10} and 29.66% for $PM_{2.5}$).
436 The time series of the percentage contributions of the total firework impacts on PM_{10}
437 and $PM_{2.5}$ were exhibited in Fig. 4, which could represent the trend of the total
438 firework impacts (including direct fireworks, resuspended dust and biomass
439 combustion). The most significant peak of the total firework contributions was
440 presented on CNY's Eve, indicating the heavy impacts of the total fireworks on this
441 day, although the contributions may be overestimated due to the uncertainties in the
442 results by PMF. In most cities in China, fireworks are allowed from CNY's Eve to the
443 Lantern Festival (namely, the heavy-firework period in this work). Numerous

444 fireworks were displayed on the night of CNY's Eve, as it is the most important
445 celebration of the year. Another peak of firework contributions was observed on the
446 Lantern Festival. The Lantern Festival is also an important festival in China and is the
447 last day that fireworks are allowed. The variation in the firework contributions was
448 consistent with the Chinese folk celebrations, which demonstrate the good
449 performance of PMF for modelling the total firework contributions in this work.

450 **(Fig. 3)**

451 **(Fig. 4)**

452 **3.3.2 Species abundances of the total firework impacts**

453 In addition to PMF, Peak Analysis was also employed to better understand the total
454 firework impacts. As discussed above, the profiles and contributions of the total
455 firework impacts were determined by PMF for PM₁₀ and PM_{2.5}. Furthermore, Peak
456 Analysis (Ke et al., 2013) was employed in this section to investigate the species
457 abundances of the total fireworks in terms of the observations. The species
458 abundances of the total firework impacts obtained by the two independent methods
459 (PMF and Peak Analysis) were exhibited in Fig. 5. Considering the complexity
460 mentioned previously, the secondary ions were not included in the comparison.
461 Comparing the firework profiles, the abundances of most chemical species by Peak
462 Analysis were similar to the corresponding values by PMF. As shown in Fig. 5, the
463 abundance of K⁺, which is the main marker of direct-fireworks as discussed above,
464 were consistent in the three firework profiles, with values of 16.34% by PMF, 15.21%
465 by Peak Analysis for PM₁₀ and 17.33% by Peak Analysis for PM_{2.5}. The Al, Si and Ca

466 (resuspended dust elements) as well as OC (marker of biomass combustion along with
467 K^+) levels were also in agreement. The abundances of Al were 5.87%, 6.72% and
468 7.02%; Si were 9.87%, 10.07% and 11.85%; and OC were 6.32%, 5.87% and 6.60%,
469 estimated by PMF, by Peak Analysis for PM_{10} and by Peak Analysis for $PM_{2.5}$,
470 respectively. For a further investigation of the similarity among these profiles,
471 regression analyses and correlation coefficients (R) were computed and shown in Fig.
472 5. It is visible from Fig. 5 that all of the correlation coefficients were greater than 0.9,
473 suggesting that the total firework profiles obtained by PMF and by Peak Analysis
474 were concordant. In addition, the firework profiles of $PM_{2.5}$ were similar with those of
475 PM_{10} , implying that it is reasonable to introduce the combined dataset of $PM_{2.5}$ and
476 PM_{10} into PMF.

477 (Fig. 5)

478 3.3.3 Contributions of the direct and indirect firework impacts

479 As discussed above, the total influence of the fireworks might include indirect
480 impacts (resuspended dust and biomass combustion) and direct-fireworks. Thus, it is
481 necessary to deeply evaluate the individual impacts of fireworks. In this work, the
482 total firework profiles calculated by Peak Analysis were applied as the receptors in
483 the CMB model, and the source profiles of three contributors (resuspended dust,
484 biomass combustion and direct-fireworks) were incorporated into the model, to
485 individually determine the direct and indirect impacts of fireworks. In this work, the
486 source profiles of the resuspended dust were from our prior works in Tianjin (Zhang
487 et al., 2011); the biomass combustion profiles were from speciate 4.0 of the US EPA;

488 and the firework profiles were taken from a reported work (Tsai et al., 2012). The
489 performance indices of CMB in this work were summarised in Table S3. The values
490 of the performance indices met the requirement, indicating that the results of CMB
491 might be reliable.

492 The individual contributions to the total firework impacts (based on Peak Analysis)
493 were exhibited in Fig. 3 and Table S3. According to the estimations, the percentage
494 contributions of resuspended dust, biomass combustion and direct-fireworks were
495 $36.82 \pm 8.37\%$, $14.08 \pm 2.82\%$ and $44.44 \pm 8.26\%$, respectively, for PM_{10} , accounting for
496 the total firework contribution. For $PM_{2.5}$, the percentage contributions were estimated
497 to be $34.89 \pm 4.19\%$ from resuspended dust, $16.60 \pm 3.05\%$ from biomass combustion,
498 and $52.54 \pm 9.69\%$ from direct-fireworks. The sum of resuspended dust, biomass
499 burning and direct-fireworks was $>100\%$ for $PM_{2.5}$ and $< 100\%$ for PM_{10} , however,
500 they were in the range of the 80-120%, which met the requirement of the CMB. The
501 results demonstrated that fireworks can lead to a comprehensive influence on the
502 ambient PM. In addition to the direct-firework influence, resuspended dust and
503 biomass combustion indirectly caused by fireworks should also be considered.

504

505 **4 Conclusions**

506 To quantify the total, direct and indirect impacts of fireworks, size-resolved PM
507 samples were collected in a megacity in China. The sampling campaign covered a
508 Chinese folk-custom festival (CNY), which provides a unique opportunity to quantify
509 the contributions of fireworks under significantly different emission patterns. The

510 strong influence of fireworks on the physicochemical characteristics of atmospheric
511 PM_{10} and $PM_{2.5}$ was observed. The highest PM concentrations were observed on
512 CNY's Eve, when massive firework displays usually take place all over the country.
513 The concentrations of most species (such as crustal elements, heavy metal species,
514 carbonaceous species, Cl^- , Na^+ , K^+ , and Mg^{2+}) exhibited increased trends during the
515 heavy-firework period. Among these, K^+ , Mg^{2+} and Cr showed the most obvious
516 increase, and the results of non-sea-salt ions demonstrated the anthropogenic
517 influence on these species. K^+ , Mg^{2+} and Cr can be good tracers for fireworks,
518 especially for K^+ and Mg^{2+} , which had higher concentrations. Subsequently, source
519 apportionment was conducted using receptor models. The total influence of fireworks
520 was quantified by PMF, contributing higher fractions during the heavy-firework
521 period than those during the light-firework period. The profiles of the total fireworks
522 obtained by PMF and Peak Analysis were consistent, with higher abundances of K^+ ,
523 Al, Si, Ca and OC. Finally, the individual contributions of the direct and indirect
524 impacts of fireworks were determined by the CMB model based on profiles from
525 Peak Analysis. The present study demonstrated that fireworks might lead to a
526 comprehensive influence on the ambient PM. Both the direct influence and indirect
527 impacts (resuspended dust and biomass combustion) caused by fireworks should be
528 considered. The present work can be helpful in understanding the physicochemical
529 characteristics and mechanisms of such high-intensity anthropogenic activities.

530

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Figure captions

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671 **Fig. 1.** The averaged concentrations of the chemical species in PM₁₀ and PM_{2.5} during
672 the light-firework period and the heavy-firework period.

673

674

675 **Fig. 2.** Source profiles ($\mu\text{g}/\text{m}^3$) and percentage source contributions (%) estimated by
676 PMF for PM₁₀ and PM_{2.5}.

677

678

679 **Fig. 3.** The total influence of fireworks on PM₁₀ and PM_{2.5} (%) during the
680 light-firework and heavy-firework periods, estimated by PMF (column chart), and the
681 individual percentage contributions to the total firework impacts estimated by CMB
682 based on Peak Analysis (pie chart).

683

684

685 **Fig. 4.** The daily percentage contributions of the total firework impacts to PM₁₀ and
686 PM_{2.5}, estimated by PMF.

687

688

689 **Fig. 5.** Profiles of fireworks (g/g) estimated by PMF for PM₁₀ and PM_{2.5}, Peak
690 Analysis for PM₁₀ and Peak Analysis for PM_{2.5}, and the regression plots between these
691 profiles.

692

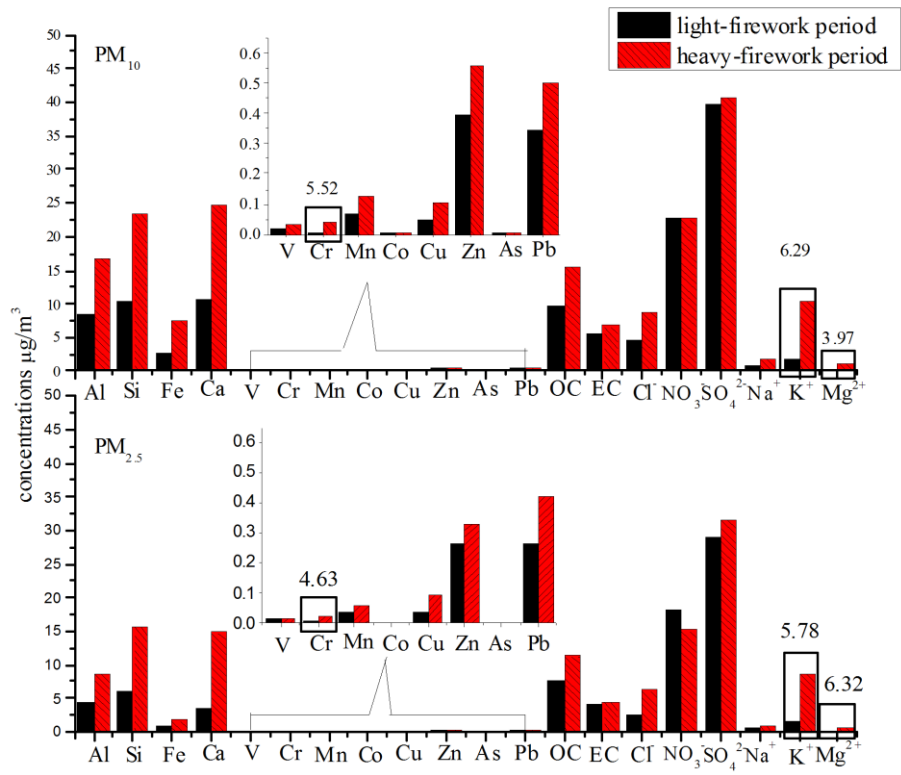
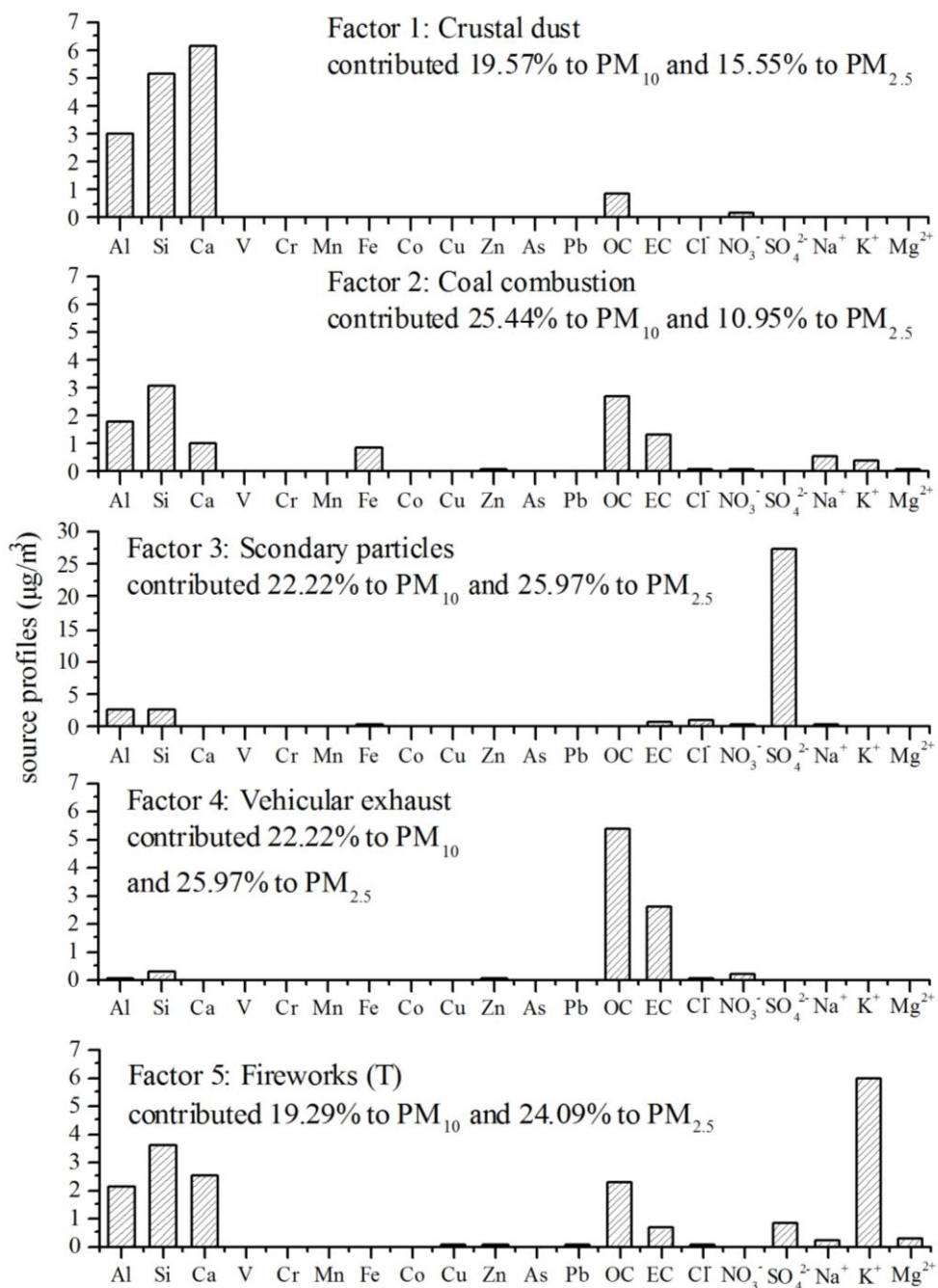


Fig. 1

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Fig. 2

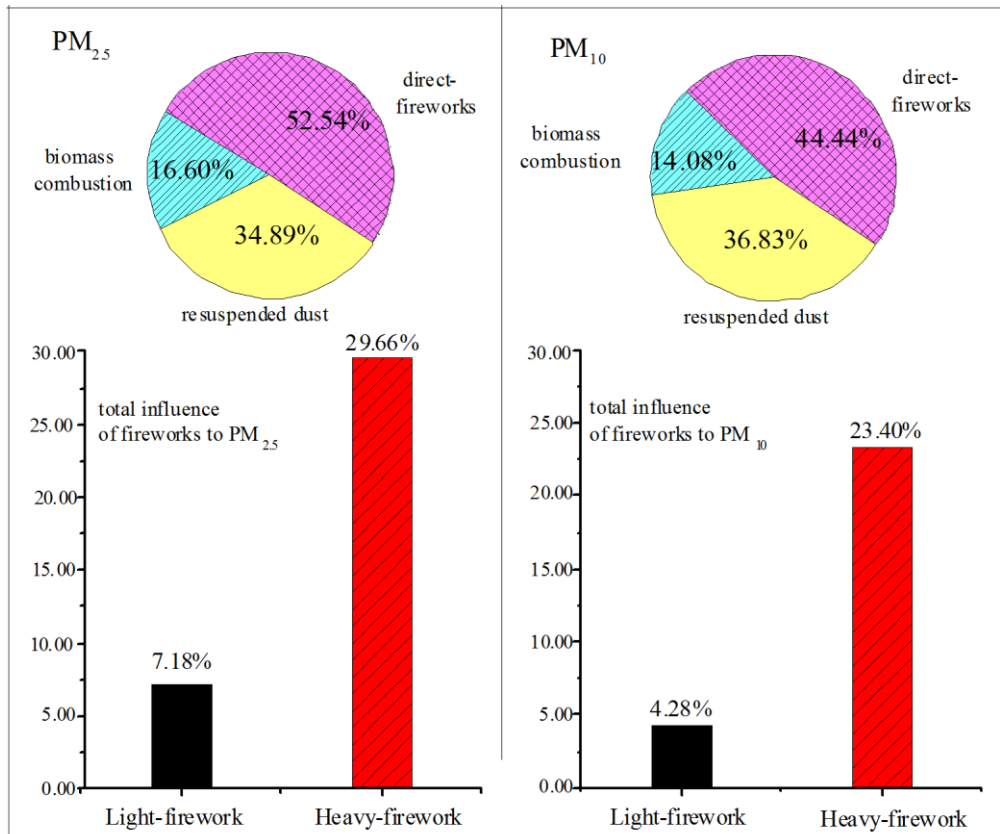


Fig. 3

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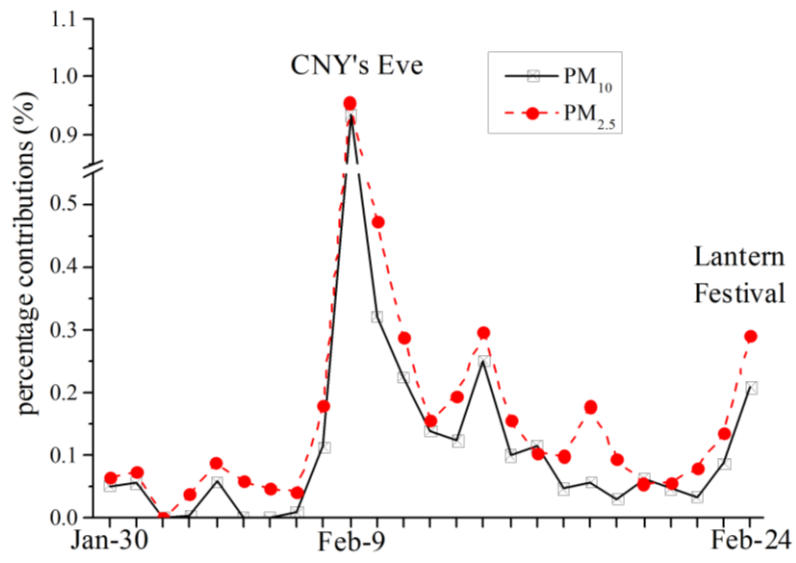
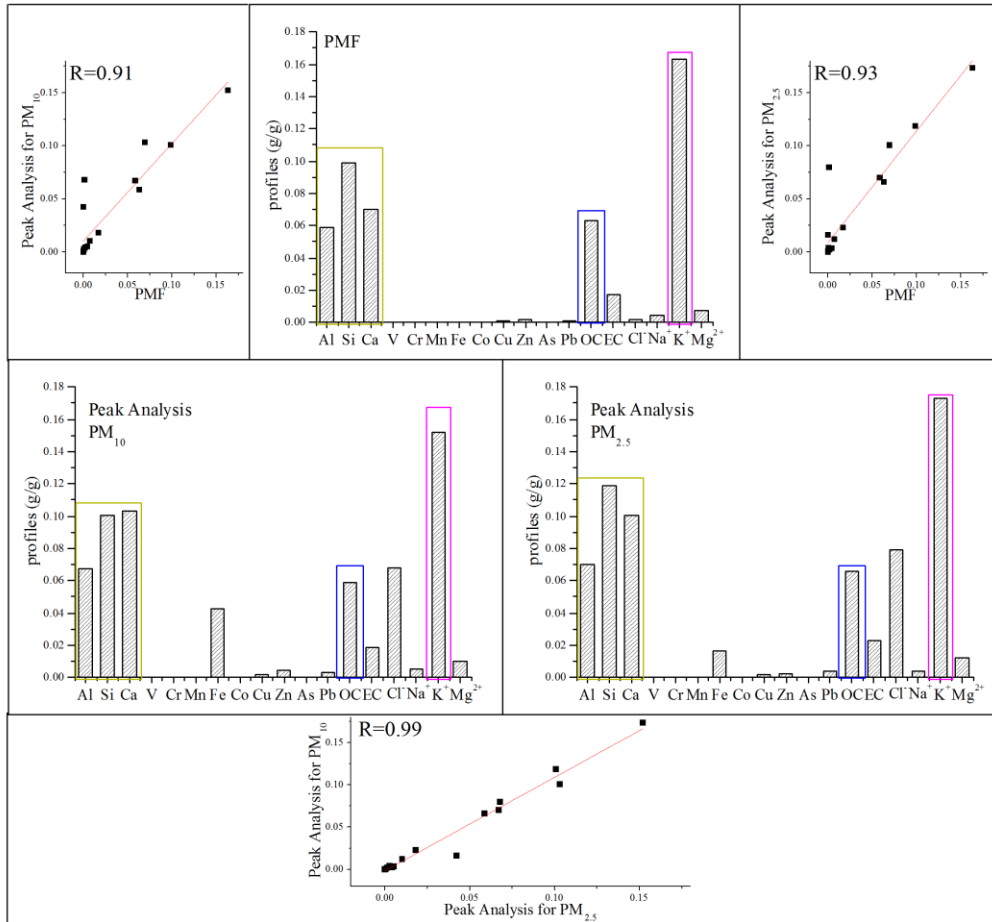


Fig. 4

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Fig. 5