1	A global survey of cloud overlap
2	based on CALIPSO and CloudSat measurements
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10	Running Head: Statistical properties of cloud overlap
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Abstract

Based on four year' 2B-CLDCLASS-Lidar (Radar-Lidar) cloud classification and 2B-FLXHR-LIDAR radiative products from CloudSat, this study evaluate the co-occurrence frequencies of different cloud types, analyzes their along-track horizontal scales and radiative effects, moreover, utilize the vertical distributions of cloud type to preliminary evaluate the cloud overlap assumptions.

The statistical results show that high cloud, altostratus, altocumulus and cumulus 33 are much more likely to co-exist with other cloud types. However, stratus (or 34 stratocumulus), nimbostratus and convective clouds are much more likely to exhibit 35 36 individual features. For global averages, Altostratus-over-Stratus/Stratocumulus cloud system has maximum horizontal scale (17.4 km) and standard deviation of scale (23.5 37 km), while Altocumulus-over-Cumulus has minimum scale (2.8 km) and standard 38 deviation (3.1 km). By considering the weight of each multilayered cloud type, we 39 find that the global mean cloud radiative effects of multilayered cloud systems during 40 daytime are about -41.3 W/m^2 and -50.2 W/m^2 at TOA and surface (contribution: 41 about 40%), respectively. Radiative contributions of High-over-Altocumulus and 42 High-over-Stratus/Stratocumulus (or Cumulus) to whole multilayered cloud systems 43 44 are dominant due to their more frequent occurrences.

After considering the overlap of cloud types, the cloud fraction based on the random overlap assumption is underestimated over the vast ocean except in the west-central Pacific Ocean warm pool. Obvious overestimations are mainly occurring over land areas in the tropics and subtropics. The investigation therefore indicates that incorporate overlap information of cloud types based on Radar-Lidar cloud classification into the overlap assumption schemes used in the current GCMs possible be able to provide an better predictions for total cloud fraction.

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55 **1. Introduction**

As the most important regulators of the Earth's climate system, clouds may significantly affect the radiation budget, the hydrological cycle and the large-scale circulation of the Earth (Hartmann et al., 1992; Stephens, 2005). However, due to an incomplete knowledge of the underlying physical processes, clouds are still poorly represented in climate and weather models (Zhang et al., 2005), and thus are considered as the major source of uncertainty in predictions of climate change by general circulation models (GCMs) (Cess et al., 1990).

Cloud type, which is of the important cloud macro-physical properties, is of 63 particular significance for the earth's radiation budget and hydrological cycle. 64 Different cloud types are governed by different kinds of atmospheric motions and 65 have different microphysical properties, thus can result in markedly distinct cloud 66 radiative effects (Ackerman et al., 1988; Betts and Boers, 1990; Hartmann et al., 1992) 67 and precipitation forms (or intensities). However, multilayered cloud systems, with 68 two or more cloud types occurring simultaneously over the same location but at 69 different levels in the atmosphere have been frequently reported by surface and 70 aircraft observations (Tian and Curry, 1989). The frequent co-occurrences of different 71 cloud types in the atmosphere intensify the complexity of present cloud climatology 72 73 studies. For example, the effects of individual cloud type on the surface and atmospheric radiation budgets depend on whether other clouds are also present above 74 or below them. In addition, cloud overlap variations also can significantly change 75 atmospheric radiative heating/cooling rates, atmospheric temperature, hydrological 76 77 processes, and daily variability (Chen and Cotton, 1987; Morcrette and Jakob, 2000; Liang and Wu, 2005). Therefore, to further improve radiation calculations of climate 78 prediction models and help understand cloud physical processes and evaluate the 79 schemes for generating clouds in those models, it is necessary to know the amount 80 and distribution of each cloud type, especially a detailed description of the 81 co-occurrence of different cloud types and their statistical properties. 82

83 Until now, many related works with cloud type and cloud overlap, which based on several fundamentally different types of passive observational datasets (typically 84 the International Satellite Cloud Climatology Project (ISCCP) and surface observer 85 reports), have focused on the geographic distributions and long-term variations of 86 different cloud types (e.g. Rossow and Schiffer, 1991; Rossow and Schiffer, 1999; 87 Hahn et al., 2001; Warren et al., 2007; Eastman et al., 2011; Eastman et al., 2013), 88 and their radiative effect investigations (Hartmann et al., 1992; Chen et al., 2000; Yu 89 90 et al., 2004), or specially aimed at the cloud properties retrieval of multilayered cloud by using multi-channel measurements from passive sensors (Chang and Li, 2005a, 91 Chang and Li, 2005b; Huang et al., 2005; Huang et al., 2006a; Huang et al., 2006b; 92 Minnis et al., 2007), and the statistics of cloud overlap based on the surface weather 93 reports and the measurements from the ground-based cloud radar (Warren et al., 1985; 94 Hogan and Illingworth, 2000; Minnis et al., 2005). However, these studies have 95 non-negligible limitations and uncertainties due to passive detection methods and 96 cloud classification algorithms generally fail to effectively detect multilayered clouds. 97 98 First, the existence of overlapping cloud layers may cause the upper clouds to be hidden from the view of a ground weather reporter, and lower clouds to be hidden 99 from the view of a passive satellite which leads to a significant underestimation of 100 high and low cloud frequencies by surface observer reports and ISCCP, thus introduce 101 significant biases in the trends analysis of cloud-type cover, retrievals of cloud 102 properties and evaluation of cloud radiative effects of different cloud types. Second, 103 104 most of these studies are limited to specific locations and time periods or multilayered 105 cloud systems, systematic researches about the co-occurrence statistics of different 106 cloud types on a global scale still have received much less attention.

Fortunately, the launch of the millimeter wavelength cloud profiling radar (CPR) on CloudSat (Stephens et al., 2002) and the cloud-aerosol lidar with orthogonal polarization (CALIOP) (Winker et al., 2007) on CALIPSO in late April 2006 provide us an unprecedented opportunity for detailed studying the three-dimensional structures of cloud on a global scale. Since becoming available in the middle of June 2006, CALIPSO and CloudSat data have been widely used to investigate the

three-dimensional distributions and structures of hydrometeor, and improve the cloud 113 overlap assumption used in GCMs (e.g. Barker, 2008; Luo et al., 2009; Kato et al., 114 2010; Li et al., 2011). By using Radar-only cloud classification product (that is, 115 2B-CLDCLASS dataset from CloudSat), Sassen and Wang (2008) already have 116 depicted the geographical distributions of each cloud type and provided their global 117 average occurrence frequency. In this study, we will pay more attention to investigate 118 the co-occurrence frequencies of different cloud types, analyze their along-track 119 120 horizontal scales and radiative effects by using the latest cloud classification and radiative fluxes products based on the combined measurements of these two active 121 sensors. At last, we also will preliminary evaluate how well the cloud overlap 122 assumptions can characterize the overlap of two apparently separated cloud types. 123 Although some statistical results are in reasonable agreement with previous works, 124 125 additional new insights are also gained in this investigation. It is hoped that these new results will be useful for future GCM evaluation and improvement. 126

The study is organized as follows. The dataset used is described in Section 2. Section 3 gives the zonal distributions and global statistics of co-occurrence frequency of different cloud types, then discusses their along-track horizontal scales and radiative effects. The evaluation the performance of cloud overlap assumptions based on co-occurrence frequency of cloud types is presented in the section 4.

132 **2. Data**

In the following study, four years (2007-2010) of data from the latest release of the CloudSat 2B-CLDCLASS-Lidar (version 1.0) product, which is referred as Radar-Lidar cloud classification, and 2B-FLXHR-LIDAR product are collected to analyze cloud types and discuss their co-occurrence frequency, horizontal scales and radiative effects.

It is well known that the ISCCP uses a combination of cloud top pressure and cloud optical depth to classify clouds into cumulus stratocumulus, stratus, altocumulus, altostratus, nimbostratus, cirrus/cirrostratus, and deep convective clouds. However, the traditional surface observations identify cloud by using some basic features (e.g. base height, horizontal and vertical dimensions, precipitation types) of the major cloud types (World Meteorological Organization, 1956; Parker, 1988; Moran et al., 1997). Based on these basic cloud characteristics, Wang and Sassen (2001) classified cloud types into eight classes by combining the ranging capabilities of active sensors (Radar and Lidar) and the auxiliary measurements from the other passive sensors (such as, infrared and microwave radiometers), and further indicated the overall agreement (about 70%) between the results from their algorithm and surface visual observations from the Southern Great Plains (SGP) CART site.

150 Based on the algorithm presented by Wang and Sassen (2001), the Radar-Lidar cloud classification identifies the cloud types by using two main steps. First, 151 combined radar and lidar cloud mask results are used to find a cloud cluster according 152 to their persistence in the horizontal and vertical directions. By performing the cloud 153 clustering analysis, a CloudSat granule may be divided into a number of cloud 154 clusters depending on the cloud systems present. Once a cloud cluster is found, cloud 155 height and phase, maximum effective radar reflectivity factor (Ze) and its temperature, 156 as well as the occurrence of precipitation, are then determined. Second, the cluster 157 158 mean properties as well as spatial inhomogeneties in terms of cloud top height, lidar and radar maximum signals are sent to a fuzzy classifier to classify the cluster into 159 one cloud type with an assigned confidence level. To improve classification flexibility, 160 a combination of rule based and fuzzy logical based classification is used in this 161 algorithm. The cloud phase determination is based on rule-based logics and the cloud 162 type classification is mainly based on the fuzzy logics (See Wang et al., Level 2 163 combined radar and lidar cloud scenario classification product process description and 164 interface control document. version 1.0. 2013. available 165 at 166 http://www.cloudsat.cira.colostate.edu/dataICDlist.php?go=list&path=/2B-CLDCLSS -LIDAR). The cloud types provided by this product (version 1.0) include: high cloud 167 (High), altostratus (As), altocumulus (Ac), stratus (St), stratocumulus (Sc), cumulus 168 (Cu), nimbostratus (Ns) and deep convective (Dc) clouds. The High cloud type 169 includes cirrus, cirrocumulus and cirrostratus, and the Cu cloud type represents 170 cumulus congestus and fair weather cumulus. Followed the study of Sassen and Wang 171 (2008), we also combine two level cloud types (St and Sc) as St+Sc in present study 172

in order to compare the results with other datasets. Due to combine the unique 173 complementary capabilities of Cloud profile radar (CPR) from CloudSat and 174 space-based polarization lidar (CALIOP), some CPR weaknesses (e.g. high surface 175 contamination in the lowest three to four vertical bins of CPR, and lower sensitivity to 176 optically thin clouds) will be minimized in the latest Radar-Lidar cloud classification 177 product, this eventually led to the significant improvement for High (cirrus or 178 cirrostratus) and lower cloud types (such as, St, Sc and Cu) identification in the 179 180 2B-CLDCLASS-Lidar product (please see Table 5).

By using CloudSat microphysical retrievals, combined CloudSat/CALIPSO 181 cloud mask and also utilizes lidar-based aerosol retrievals as inputs to a broadband, 182 two-stream, plane-parallel, adding and doubling radiative transfer model, the 183 2B-FLXHR-LIDAR product provide us the calculated radiative fluxes and 184 atmospheric heating rates at 240 m vertical increments (Henderson et al., 2013). 185 Incorporate the radiative influence of optically thin and low clouds that went 186 undetected CloudSat by significantly improved agreement 187 between 188 2B-FLXHR-LIDAR calculations and CERES observations. Henderson et al. (2013) showed that global mean outgoing shortwave radiation (OSR) and outgoing longwave 189 radiation (OLR) estimated from collocated CERES 190 observations and 2B-FLXHR-LIDAR calculations agree to within 4 and 5W/m², respectively, with 191 root-mean-square differences of $6W/m^2$ and $16W/m^2$ on monthly/5 ° scales. Due to the 192 passive sensors largely fail to resolve the cloud vertical overlap, thus the 193 2B-FLXHR-LIDAR product derived from these two active sensors is considered as a 194 vital dataset to examine radiative heating features in the atmosphere, and study the 195 196 variations of flux and heating rate caused by cloud vertical overlap (L'Ecuyer et al., 197 2008; Haynes et al., 2013). In this investigation, we only focus on the radiative effects of different multilayered cloud types at the top of atmosphere (TOA) and surface 198 during daytime by using this dataset. 199

Following cloud parameters in the 2B-CLDCLASS-Lidar product are used in this study: Cloud layer (CL), Cloud Layer Type (CLTY). In 2B-FLXHR-LIDAR product, only the TOACRE (cloud radiative effect at TOA) and BOACRE (cloud

radiative effect at surface) are used. In order to map the regional variability of the 203 studied variable, we group the global area into $2^{\circ} \times 2^{\circ}$ grid boxes in order to collect a 204 sufficient number of samples in each grid box. Following the definitions of cloud 205 fraction and cloud amount by Hagihara et al. (2010), the cloud-type fractions 206 (amounts) in a given grid box are defined as the number of a certain cloud type 207 profiles divided by the number of total cloud profiles (or total sample profiles) 208 collected in this box. In this investigation, we only provide the annual average cloud 209 210 properties of different cloud-types overlap in view of small seasonal variations. In addition, the comparisons of four years' average cloud fraction for different cloud 211 types between day- and night-time also are provided in the tables of this investigation. 212 It is worth noting that the full diurnal cycle cannot be captured by CALIPSO and 213 CloudSat. Thus, the day-night comparisons of cloud fraction are referred as the 214 215 comparison between the two overpass times of these satellites. In addition, Sassen et al. (2009) showed that the observed diurnal variations of cirrus by CALIPSO mostly 216 reflect real cloud process even if the strong solar noise signature possible impacts the 217 218 comparisons of cloud types between day and night in present study, especially for cirrus. For other cloud types, the uncertainty caused by the daylight noise for Lidar 219 may be smaller. Thus, the calculated annual mean cloud fractions for different cloud 220 types in this investigation still are reliable. 221

3. Simultaneous co-occurrence of different cloud types

223 **3.1. Zonal distributions of cloud overlap**

224 Multilayered cloud systems frequently occur in the atmosphere. Our statistical 225 results show that the seasonal variations of multilayered cloud fractions are small, the 226 seasonal globally averaged values range from 25% to 28%. These results are 227 comparable with the multilayered cloud fractions (about 27%) from the Geoscience Laser Altimeter System (GLAS) (Wylie et al., 2007). Further, we plot the global and 228 zonal distributions of annually averaged multilayered cloud fraction (see Fig. 1). In 229 Fig. 1a, the high-value and low-value centers of multilayered cloud fractions are very 230 obvious. For example, the equatorial central South America, western Africa, 231 Indonesia and the west-central Pacific Ocean warm pool are typical high-value 232

centers. For zonal distributions (Figure 1b), there are three obvious peaks in zonal
mean patterns, one major peak in the tropics, two minor peaks in the middle latitudes,
and two local minima in the subtropics. The local maximum during spring (black
thicker line) in the northern mid-latitudes that may be a result of high-level dust
transport being misidentified as high ice clouds or a manifestation of actual influences
of dust on ice nucleation (Chen et al., 2010; Yu et al., 2012; Yuan and Oreopoulos,
2013).

240 In all multilayered clouds, we further pick out the annual most frequently multilayered cloud systems and provide their zonal distributions (Figure 2). It is worth 241 noting that the overlap from the same cloud type (e.g. High+High) is not important 242 for numerical climate simulation due to their similar cloud properties and 243 temperatures when they are not separated too far. Thus, treating them as a single layer 244 cloud may not introduce severe errors in the calculation of their cloud propertie 245 (Wang and Dessler, 2006). Figure 2 clearly indicates that the zonal patterns of 246 different combinations of different cloud types are very different. For example, 247 248 multilayered cloud systems which include high clouds either have one peak in the tropics (High+Ac and High+Cu) or three peaks in the tropics and mid-latitudes 249 (High+St/Sc, High+Ns and High+As). The high clouds in the major peak in the 250 tropics may be caused by the large-scale ascent or by the dissipating deep convection. 251 However, gentle large-scale ascent and ice cloud production from frontal convection 252 are likely responsible for the two minor peaks of multilayered cloud systems in the 253 mid-latitudes storm tracks. Besides these combinations of cloud types, 254 As-over-strati-form clouds or Ac-over-strati-form clouds also tend to concentrate in 255 the mid-latitudes (60° and pole-ward). In fact, the distributional patterns of cloud in 256 different geographical regimes may depend on environmental factors in these regimes, 257 such as sea surface temperature, lower tropospheric stability, and vertical velocity 258 (Klein and Hartmann, 1993; Norris and Leovy, 1994). In recent work, by studying the 259 relations between various cloud types and sea surface temperature over the tropical 260 oceans, Behrangi et al. (2012) indicated that as SST increases, the fraction of 261 multilayered clouds increases up to an SST of 303 K, and then decreases for SSTs 262

greater than 303 K. The ranges of SST are very different for different combinations of 263 cloud types, such as, high cloud over strati-form or nimbostratus tend to occur 264 between 292 and 294 K, but high cloud over altocumulus or altostratus or cumulus 265 tend to exist between 302 and 304 K even though almost all of them have major peak 266 values in the tropics. In addition, Yuan and Oreopoulos (2013) further indicated that 267 large-scale pressure vertical velocity is found to anti-correlate well with the 268 percentage of multilayered cloud systems. Strong subsidence thus favors low cloud 269 270 formation and suppresses ice cloud generation, explaining why multilayered clouds are very infrequent over major stratocumulus dominated oceanic areas around 30° 271 latitudes. 272

However, these multilayered cloud systems are very difficult to be effectively 273 detected by passive satellites (such as, ISCCP) and surface weather reporters, 274 especially during the nighttime and for those cloud systems which include very thin 275 cirrus (Sassen and Cho, 1992; Liao et al., 1995). For example, when a high-level 276 transparent cirrus cloud overlies a boundary layer stratus cloud, the retrieved cloud 277 278 top heights typically lie between the cirrus and the stratus cloud heights (e.g., Baum and Wielicki, 1994) leading to mis-assignment of cloud types by ISCCP. For cloud 279 properties retrieval, the influence of liquid water clouds and precipitation on the 280 radiances observed at the top of the atmosphere (TOA) also is one of the greatest 281 impediments to accurately determining cloud ice mass for multi-layered systems with 282 ice clouds above water clouds (Huang et al., 2006a). 283

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3.2. Global statistics of cloud overlap

Global average overlapping percentages of different combinations of cloud types 285 286 over land and ocean during daytime and nighttime are provided in Tables 1 and 2, respectively. These tables show that high cloud, As, Ac and cumulus types are much 287 more likely to co-exist with other cloud types regardless of day or night, land or ocean. 288 The frequency of High-over-Ac even may exceed the frequency of single-layered 289 altocumulus cloud, indicating that these two types actually exhibit a stronger 290 291 meteorological association. However, due to under large-scale subsidence regions, stratus/stratocumulus and nimbostratus are much more likely to exhibit individualism 292

features, particularly for stratus/stratocumulus over the ocean. For convective clouds, 293 they are also typically single. Although cumulus occurs in unstable air whereas 294 altostratus occurs in stable air, there is still a small percentage of overlap between 295 them. Globally, 44% (50%) and 35% (39%) of low clouds (St/Sc +Cu) over land and 296 ocean during daytime (nighttime) are overlapped by other cloud types aloft, 297 respectively. About 23% (26%) and 20% (25%) of low clouds over land and ocean 298 during daytime (nighttime) are connected with high clouds, respectively. These 299 300 percentages are comparable with those (about 30%) provided by Yuan and Oreopoulos (2013). In addition, it is worth noting that high clouds also include 301 cirrostratus and cirrocumulus, thus the overlap fraction of deep convection lying 302 below high clouds is about 29%, and larger than the fraction (about 24%) of 303 cirrus-over-convection clouds based on ICESat/GLAS (Geoscience Laser Altimeter 304 305 System) (Wang and Dessler, 2006).

Based on above figures and tables, we plot the global distributions of annual 306 mean dominant cloud types and their cloud fractions (see Fig.3a-3b). Here, the cloud 307 308 types include single-layered and multilayered cloud systems. The Figure 3c-3d shows that the global distributions of the annual mean dominant multiple cloud types and 309 corresponding cloud amounts. It is evident from Fig.3a-3b that stratocumulus and 310 stratus are the dominant cloud types worldwide, particularly over the ocean. High 311 clouds are mainly concentrated in the tropics and subtropics. In addition, over 312 Antarctica, the most frequent cloud type is altostratus. These results are in reasonable 313 agreement with the findings based on the ISCCP D1 dataset (Doutriaux-Boucher and 314 Seze, 1998). But, Fig.3a-3b also shows that altostratus also prevails over the 315 316 arid/semi-arid land in the Northern Hemisphere, such as, the northwestern part of China and North America. In contrast, altocumulus is the dominant cloud type over 317 the arid/semi-arid land of the Southern Hemisphere, such as Australia and the 318 southern part of Africa. However, all these features are not observed by 319 Doutriaux-Boucher and Seze (1998) using the ISCCP D1 dataset. In fact, the obvious 320 regional and seasonal variations of altocumulus and altostratus possible are related 321 with the frequency of dust activities (Choi et al., 2009). In addition, over some deserts 322

(such as the Sahara Desert), the most prevalent cloud type is a low level cloud
(stratocumulus and stratus) in ISCCP D1 rather than a high cloud in our results. This
discrepancy may be due to inadequate identification of airborne dust as low level
clouds by ISCCP, as suggested by the low values of effective droplet radius reported
by Han et al. (1994) over these regions.

General speaking, the High-over-St/Sc and High-over-Cu cloud systems are 328 more popular over the vast ocean of the tropics and mid-latitudes, while 329 330 High-over-Ac cloud systems tend to exist over the land in the same latitudes (see Fig.3c). Especially, the As-over-Cu only occurs at the northwest of China. In addition, 331 the As-over-St/Sc cloud systems are dominant in the high-latitudes. Figure 3d shows 332 the multilayered cloud-type amount, defined as the ratio of the cloud fraction of one 333 multilayered cloud combination to the cloud fraction of total multilayered cloud 334 systems. In addition, we note that there is still some multilayered cloud systems 335 (almost is High-over-St/Sc) over the major stratocumulus dominated oceanic areas, 336 which are generally unfavorable to upper level cloud formation due to persistent 337 338 strong subsidence. The major source of high cloud possible is topography-driven gravity wave activity, advection from neighboring tropical convection centers such as 339 the Amazon Basin, the Congo Basin, or ascent associated with mid-latitude fronts. 340

341 3.3. Along-track horizontal scales and radiative effects of cloud overlap

The horizontal scale of a multilayered cloud system along the CALIPSO/CloudSat track is determined by calculating the number of continuous profiles (*N*), which include same combination of cloud types in the vertical column. Considering the 1.1 km along-track resolution of CPR measurements, the along-track scale (*L* in km) of a multilayered cloud system is $L = N \times 1.1$ (Zhang et al., 2014).

Figure.4a-4d presents the zonal variation of cloud along-track horizontal scales for these multilayered cloud systems and their probability distribution function (PDF). As described by the Figure 4a-4b, the High+St/Sc, As+St/Sc, High+Ns and High+Dc cloud systems have obvious zonal variations. Among them, High+St/Sc and As+St/Sc have minimum scales (about 10 km) at tropics and maximum scales (reach 20km) in the latitudes of 40° pole-ward (that is, storm track). However, the along-track

horizontal scales of High+Ns and High+Dc decrease from tropics to polar region. For 353 other cloud systems, the zonal variations of their scales are small, especially for 354 High+Cu, As+Cu and Ac+Cu (around 3km). We also provide the global average 355 along-track horizontal scales and standard deviation (STD) of these cloud systems in 356 Figure 4c-4d. Generally speaking, As+St/Sc has maximum scale (17.4 km) and STD 357 (23.5 km), while Ac+Cu has minimum scale (2.8 km) and STD (3.1 km). Based on 358 the relative larger STDs than mean scales, it is clear that the along-track horizontal 359 360 scales of these cloud systems all have larger variation range on a global extent. By assuming a typical grid resolution of 1° in global climate models, we find that all 361 multilayered cloud types cannot be resolved by global climate models. Those 362 multilayered cloud systems which include cumulus (such as, High+Cu, Ac+Cu and 363 As+Cu) even cannot be captured by regional climate models with higher grid 364 resolution (about 15 km). 365

Further, Figure 5a-5b shows that the zonal distributions of cloud radiative effect 366 for these multilayered cloud systems at the top of atmosphere (TOA) during daytime. 367 368 In addition, we also give the zonal distributions of weighted cloud radiative effect by taking into account the frequency of occurrence of each cloud type only during 369 daytime (Figure 5c-5d). Although the zonal distributions of cloud radiative effect for 370 these cloud systems are similar, that is, decrease from tropics to high-latitudes. 371 However, their radiative effects can be grouped into several obvious different classes. 372 For example, middle-over-low (such as, As+Sc/St and As+Cu) cloud systems have 373 comparable radiative effect (maximum value: around -300 W/m^2), while 374 high-over-low (such as, High+Sc/St and High+Cu) cloud systems have smaller 375 radiative effect (maximum value: around -150 W/m^2). By considering the weight of 376 each multilayered cloud type, we find that their contributions to cloud radiative effect 377 of whole multilayered cloud system are different (Figure 5c-5d). At tropics, High+Ac 378 and High+Cu contribute the cloud radiative effects for -9 W/m^2 and -8 W/m^2 , 379 respectively. Other types have obvious zonal distributions, their contributions range 380 from 0 to -6 W/m^2 . At mid-high latitudes, the some mid-over-low (such as, As+Sc/St) 381 cloud systems are more important to regional energy balance, especially over the 382

Southern ocean regions. Similar with Figure 5, the Figure 6 presents the results at the 383 surface during daytime. In summary, the trends are similar, but all cloud types have 384 larger radiative effects at the surface than at TOA, that is, their effect would be an 385 obvious surface cooling. It is clear that the energy differences of cloud radiative 386 effects between surface and TOA stay in atmosphere and can significantly change 387 atmospheric radiative heating/cooling rates and atmospheric temperature, but the 388 impacts are very different for different multilayered cloud types. In atmosphere 389 390 (Figure not shown), these cloud types all cause a weak atmospheric heating at low and middle latitudes (about 0.5-3 W/m^2 radiative changes), whereas weaker atmospheric 391 cooling also can be seen at high-latitudes for some multilayered cloud types (e.g. 392 As+Sc/St, Ac+Sc/St and High+Sc/St). 393

On a global scale, the ranges of their global mean radiative effect almost are 394 between -100 W/m^2 to -350 W/m^2 except for High+Dc (black dots are mean values 395 and lines present standard deviation in Figure 7a-7b). In the Figure 7c and 7d, the 396 black bars present the weighted radiative effects of each cloud type at TOA and 397 398 surface, respectively. Generally speaking, the global mean cloud radiative effects are about -103.1 W/m² and -118.8 W/m² at TOA and surface, respectively. The 399 percentages of radiative contribution from multilayered cloud systems reach 40.1% 400 (about -41.3 W/m^2) and 42.3% ((about -50.2 W/m^2) at TOA and surface, respectively. 401 It is clear that the existences of multilayered cloud system are distinctly important to 402 Earth's radiative energy balance. Further analysis show that two-layered and 403 three-layered (or more layers) cloud systems contribute the total cloud radiative effect 404 about -27.2 (-33.1 W/m²) and -14.1 (-17.1 W/m²) at TOA (surface), respectively. 405 However, the radiative effects of ten multilayered cloud types which we study reach 406 -22.7 W/m² and -27.1 W/m² at TOA and surface (contribution: 22%). The High+Ac 407 and High+Sc/St (or Cu) have relative smaller effects than High+Dc and Ac+Sc/St (or 408 Cu), but their contributions to the cloud radiative effect of whole multilayered cloud 409 system still are maximum due to their more frequent occurrence or larger weights (see 410 gray line in Figure 7c-7d), especially their widely distributions from tropics to middle 411 latitudes (Figure 3). However, the other cloud types possible are important to the 412

regional cloud radiative effects. For example, the mid-to-upper level cloud frequently
coexists with boundary layer cloud (e.g. As+St/Sc and High+St/Sc) over the Southern
ocean cause the overestimations of middle cloudiness by ISCCP, and are partially
responsible for TOA shortwave radiation bias in the climate models over this region
(Haynes et al., 2011).

418 **4. Evaluation of cloud overlap assumptions based on cloud types**

Based on the advantages of two active sensors, we also preliminary evaluate how 419 420 well the cloud overlap assumptions can characterize the overlap of two apparently separated cloud types by using 2B-CLDCLASS-Lidar cloud type product. As we 421 know, the cloud overlap assumption has been widely used to describe the real cloud 422 vertical distribution and parameterization of the total cloud fraction in a given model 423 grid box. Several basic cloud overlap assumptions have been proposed, such as, 424 maximum, random, random-maximum and minimum overlap (Hogan and Illingworth, 425 2000). The most common cloud overlap scheme in current GCMs is called 426 "random-maximum" overlap. It assumes that cloud layers separated by any clear 427 428 layers are randomly overlapped while vertically-continuous cloud layers overlap maximally (Stephens et al., 2004). If given the cloud fractions of upper and lower 429 layers as C₁ and C₂, the total cloud fractions of the two cloud layers based on these 430 overlap assumptions thus are given by: 431

432
$$C_{random} = C_1 + C_2 - C_1 \times C_2$$

433
$$C_{max} = \max(C_1, C_2)$$
, and

434
$$C_{min} = \min(1, C_1 + C_2).$$
 (1)

435 In addition, if we know the real overlap fraction $C_{overlap}$, then the observed total cloud 436 fraction C_{real} can be written as:

$$C_{real} = C_1 + C_2 - C_{overlap} \tag{2}$$

However, Hogan and Illingworth (2000) proposed a simpler and more useful
expression for the degree of cloud layer overlap (that is, exponential random overlap).
In the expression, the mean observed cloud fraction of two cloud layers can be
determined by the linear combination of maximum and random overlap in terms of an
"overlap parameter" *a* as:

443
$$C_{real} = a \times C_{max} + (1 - a) \times C_{random}$$
(3)

Here, the overlap parameter a is considered as a function of layer separation. If a=0444 corresponds to random overlap and a=1 to maximum overlap. As C_{real} departs more 445 and more from C_{max} (trends toward C_{min}), a becomes negative. But, in the case of 446 vertically non-continuous cloud, they indicated that random overlap assumption 447 works well. Based on the several months' data from ICESat/GLAS observations, 448 Wang and Dessler (2006) showed that overlap difference between observed and based 449 450 on random overlap still exist when describe the real overlap of two separated cloud types (vertical separation >0.5 km). However, their work only focused on the tropical 451 area and is limited to simple cloud classification based on space-based lidar. To 452 expand their study to the global extent and more complete cloud classification, we 453 follow the study of Wang and Dessler (2006) to further estimate the overlap of two 454 separated cloud types of each combination of different cloud types in each grid box, 455 moreover evaluate the performances of random overlap assumption and calculate the 456 overlap parameter *a* for each multilayered cloud type in each grid box. 457

458 In order to do this, we first group each multilayered cloud system. For example, for the High+St/Sc multilayered cloud systems in same grid box, we don't group them 459 into many layers according to the vertical separation of two types for convenience, but 460 only consider two layers and group all high clouds into the upper layer and all 461 strati-from clouds are grouped into the lower layer. Then, four possible values for the 462 combined cloud fraction of the two cloud types at different layers are calculated by 463 assuming random overlap, maximum overlap, minimum overlap and actually 464 observed. In view of random cloud overlap is extensively thought to better 465 466 characterize cloud overlap behavior than minimum overlap and maximum overlap, here we only provide the difference of cloud fraction between random overlap and 467 actually observed. At last, the overlap parameter a for each multilayered cloud types 468 in each grid will be calculated based on the equation (3). However, it is worth noting 469 470 that due to we don't group multilayered cloud types into many layers according to the vertical separation of two types, thus only one value of overlap parameter a for each 471 multilayered cloud system in each grid is obtained. The *a* may be considered as the 472

473 mean value of all overlap parameters at different layer separation. Here, we define the
474 relative difference (RD) between random and real overlap for one of the multilayered
475 cloud types as:

476
$$RD = (C_{random} - C_{real})/C_{real}$$
(4)

In addition, the cumulative relative difference (CRD) between random and real overlap for all multilayered cloud types (about 17 different combinations of different cloud types) in each $2 \times 2^{\circ}$ grid box is given by:

480
$$CRD = \sum_{i=1}^{17} RD^i \times w^i$$
 $i=1, 2, 3 ..., 17$ (5)

481 Similar with the definition of CRD, we define cumulative overlap parameter (COP) in 482 each $2\times 2^{\circ}$ grid box as:

483
$$COP = \sum_{i=1}^{17} a^i \times w^i$$
 $i=1, 2, 3 ..., 17$ (6)

484 where *w* is the weight coefficient for one of multilayered cloud types in each $2^{\circ} \times 2^{\circ}$ 485 grid box. It can be written as follows:

486

$$w^{i} = f^{i} / \sum_{i=1}^{17} f^{i} \qquad i=1, 2, 3 ..., 17$$
(7)

487 where f is the cloud fraction of each multilayered cloud type in every grid box.

Fig. 8a-8b show the zonal distributions of the relative difference for ten of the main 488 multilayered cloud types and the cumulative relative difference of all multilayered 489 cloud types (gray line). The results show that differences still exist even if random 490 cloud overlap assumption is thought to better describe cloud overlap behavior than 491 other schemes when the cloud layers appear to be separated. The cloud fractions 492 based on the random overlap assumption are underestimated for High+St/Sc, 493 As+St/Sc and Ac+St/Sc at all latitudes. These differences even exceed -5%. The 494 495 cloud fraction of high-over-altocumulus system is overestimated at all latitudes. The 496 peak values of difference are mainly located at mid- and high- latitudes in both Hemispheres and can reach 5%. For other types, the relative differences are smaller 497 than for the above four types, and alternate with latitudes. In summary, the cumulative 498 relative difference of all multilayered cloud types is small (gray lines), and almost is 499 negative at the all latitudes. In the Figure 8c-8d, we further show the zonal 500 distributions of overlap parameter for ten of the main multilayered cloud types and the 501

cumulative overlap parameter of all multilayered cloud types. It is clear that the 502 overlap parameters for High+St/Sc, As+St/Sc and Ac+St/Sc at all latitudes all are 503 negative, indicate that their C_{real} depart from C_{max} (trend toward C_{min}) and a tendency 504 for an even lower degree of overlap than that predicted by the random overlap 505 assumption. Thus, the linear combination of maximum and random overlap 506 assumptions, which has an exponential parameterization of overlap parameter a, 507 possible are problematic due to negative overlap parameters at those regions, where 508 509 above three multilayered cloud types are dominant, especially over the major stratocumulus dominated oceanic areas where the High+St/Sc accounts for 80% of 510 multilayered cloud. However, the overlap parameters almost are positive for High+Ns 511 and High+Ac. This indicates that their C_{real} more trend to take values anywhere 512 between the C_{max} and C_{random} , thus the exponential random overlap can predict the real 513 514 overlap of these two types very well. In summary, the cumulative overlap parameters of all multilayered cloud types (gray lines) almost are negative at the all latitudes. But, 515 there are three points still need to be further interpreted. First, the cumulative overlap 516 517 parameters at tropics and Nouthern Hemisphere have small values (even have positive values), thus random overlap or exponential random overlap still can works well. 518 Second, at the Southern Hemisphere, the cumulative overlap parameters become 519 larger and more trend toward C_{min} , thus it is difficult to provide better prediction by 520 using the random overlap or exponential random overlap. This possible partially 521 interpret why the climate model errors in TOA fluxes over the Southern Ocean are 522 among the largest anywhere in the world (Trenberth and Fasullo, 2010). Third, the 523 524 cloud overlap parameters are very different each other even if they possible belong to 525 the similar cloud overlap systems (e.g. middle-over-low). For example, the overlap parameters of As+St/Sc and Ac+St/Sc over the Southern ocean are obvious distinct 526 with As+Cu and Ac+Cu. Based on these results, we suggest that a linear combination 527 528 of minimum and random overlap assumptions possible may further improve the predictions of real cloud fraction for those multilayered cloud types at the Southern 529 Hemisphere (e.g. As+St/Sc and Ac+St/Sc), especially over the ocean of 40°S 530 pole-ward. These results also further indicate that incorporating co-occurrence 531

information of different cloud types on a global scale by using Radar-Lidar cloud
classification into the overlap assumption schemes used in the current GCMs possible
be able to provide an better predictions for vertically projected total cloud fraction.
However, to apply cloud type information to test model output, we have to take into
account that the cloud type definitions of the particular dataset which include a
number of classification rules and assumptions. Thus, some further studies still are
needed.

539 The global distributions and statistical results of the cumulative relative difference and the cumulative overlap parameter for all multilayered cloud types are 540 shown in Fig. 9 and Table 3 and 4, respectively. The Figure 9a is for the cumulative 541 relative difference, whereas the Figure 9b is for the cumulative overlap parameter. In 542 Figure 9a, we find that the cloud fractions based on random overlap assumption main 543 544 are underestimated over the vast ocean except the west-central Pacific Ocean warm pool. Obvious overestimations are mainly located at the lands of tropics and 545 subtropics, particularly at the regions with low multilayered cloud fraction, such as 546 547 equatorial central South America, southern and northern Africa, Australia and the Antarctic continent, where the high-over-altocumulus system is the dominant 548 multilayered cloud type. This pattern indicates that land surface effects may favor an 549 exponential random overlap (ERO). In Figure 9b, the distributions of the cumulative 550 overlap parameter are similar with those results of cumulative relative difference. 551 Negative overlap parameters also main occur over the vast ocean except the 552 west-central Pacific Ocean warm pool. The typical negative high-values centers are 553 554 correspondence with the major stratocumulus dominated oceanic areas very well. The 555 positive overlap parameters almost locate the lands of tropics and subtropics and the Antarctic continent. Globally, by using the random overlap, the overlap fractions are 556 overestimated by 24%, 21.9%, 30% and 133.3% for high clouds over altostratus, 557 strati-form clouds, nimbostratus, and or altocumulus over strati-form clouds over land 558 during daytime, respectively (Table 3). The overestimation also happens for 559 altostratus over cumulus and strati-form clouds. However, the overlap of high cloud 560 with altocumulus and cumulus are underestimated by -32.6% and -25% over land 561

during daytime, respectively. The underestimations (or overestimations) of cloud 562 fraction by random overlap assumption finally can cause the overestimations (or 563 underestimations) of cloud radiative effects. For example, the overestimations of 564 cloud radiative effect are obvious for High+St/Sc and Ac+St/Sc (about 3.9 W/m² at 565 surface) and As+St/Sc (about 3.9 W/m² at surface), whereas the underestimations of 566 cloud radiative effect are obvious for High+Ac and High+Cu (Table 3). For nighttime, 567 the differences of overlap fraction are similar (Table 4). In summary, the difference 568 between Coverlap and Creal are more obvious for high cloud over altocumulus, 569 strati-form clouds and altocumulus over strati-form clouds. 570

571 **5. Summary and discussion**

Although cloud types and their co-occurrence variations are the most significant 572 components of the global climate system and cloud climatology studies, systematic 573 researches about their statistical properties on a global extent still have received much 574 less attention. This study quantitatively evaluates the co-occurrence frequencies of 575 different cloud types, analyzes their along-track horizontal scales and radiative effects 576 577 by using the latest cloud classification (2B-CLDCLASS-Lidar) and radiative fluxes products (2B-FLXHR-LIDAR) based on the 4 years' combined measurements of 578 CALIPSO and CloudSat. In addition, we also preliminary evaluate the cloud overlap 579 assumptions and compare the global average cloud fraction of each cloud type by 580 using four different datasets. Although some statistical results are in reasonable 581 agreement with previous works, additional new insights are gained in this paper. 582

The statistical results clearly show the high cloud, altostratus, altocumulus and 583 cumulus types are much more likely to co-exist with other cloud types. However, the 584 stratus/stratocumulus and nimbostratus, which typically are under large-scale 585 subsidence regions, and convective clouds are much more likely to exhibit individual 586 feature. General speaking, the High-over-St/Sc and High-over-Cu cloud systems are 587 more popular over the vast ocean of the tropics and mid-latitudes, while 588 High-over-Ac cloud systems tend to prevail over the land in the same latitudes. In 589 addition, the As-over-St/Sc cloud systems are dominant in the high-latitudes. The 590 zonal variations of along-track horizontal scale also are obvious distinct for different 591

multilayered cloud systems. For global averages, As+St/Sc has maximum scale (17.4 592 km) and STD (23.5 km), while Ac+Cu has minimum scale (2.8 km) and STD (3.1 593 km). Generally speaking, the percentages of radiative contribution from multilayered 594 cloud systems reach 40.1% (about -41.3 W/m^2) and 42.3% ((about -50.2 W/m^2) at 595 TOA and surface, respectively. However, the radiative effects of ten multilayered 596 cloud types which we study reach -22.7 W/m^2 and -27.1 W/m^2 at TOA and surface 597 (contribution: 22%) during daytime, respectively. High+Ac and High+Sc/St (or Cu) 598 599 cloud systems are predominant in the weighted global mean cloud radiative effects due to their more frequent occurrence. 600

The active sensors allow us to preliminary evaluate how well the overlap 601 assumptions can describe the real overlap of two separated cloud types. The results 602 show that differences still exist even if the random cloud overlap assumption is 603 thought to better describe cloud overlap behavior than other schemes for two 604 separated cloud layers or types. In summary, the cloud fractions based on the random 605 overlap assumption mainly leads to an underestimation over the vast ocean except for 606 607 the west-central Pacific Ocean warm pool. Obvious overestimations are primarily occurring in the lands areas of the tropics and subtropics, particularly in regions with 608 low multilayered cloud fractions. In view of negative overlap parameters main occur 609 over the vast ocean, especially over the ocean of 40 S pole-ward, thus we suggest that 610 a linear combination of minimum and random overlap assumptions possible may 611 further improve the predictions of real cloud fraction for those multilayered cloud 612 types (e.g. As+St/Sc and Ac+St/Sc) over Southern oceans, which possible are 613 partially responsible for TOA shortwave radiation bias in the climate models over this 614 615 region (Haynes et al., 2011). However, the positive overlap parameters almost locate 616 the lands of tropics and subtropics and the Antarctic continent, it indicates that random overlap or exponential random overlap still can works well at these areas. 617

Although many previous studies already quantitatively evaluates the global mean cloud fraction of each cloud type by using different datasets, some difference in the results from several datasets still are inevitable and obvious because of different approaches and limitations (see Table 5). Generally speaking, compared to the results

from Radar-only cloud classification, the new results from Radar-Lidar cloud 622 classification are in more reasonable agreement with at least one of the other datasets, 623 typically ISCCP. However, we also find some new features, which weren't observed 624 by using the ISCCP D1 dataset (Doutriaux-Boucher and Seze, 1998). For example, 625 altostratus and altocumulus prevail over the arid/semi-arid land of the Northern 626 Hemisphere (northwestern part of China and North America) and the Southern 627 Hemisphere (Australia and the southern part of Africa), respectively. Although the 628 629 representations and simulations of these middle-level clouds in global climate models still are poor and under-predicted (Zhang et al., 2005), it is certain that the balance of 630 phases for these mixed-phase clouds (that is, midlevel clouds) due to any cloud layer 631 temperature or ice nuclei (IN) change will cause a potentially large radiative impact in 632 local regions (Sassen and Khvorostyanov, 2007). Thus, in order to better quantify the 633 634 feedback of an individual cloud type to these regions and document the local cloud climatology, related studies with midlevel clouds over these arid/semi-arid regions 635 should probably be focused on the impact of dust aerosol on their radiative effects and 636 637 so-called "cold rain process" (Huang et al., 2006c; 2006d; Su et al., 2008; Wang et al., 2010). 638

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Table 1. Globally averaged overlapping percentages of different cloud types over land and ocean during daytime.

	SL^{a}	ML^{b}	High	As	Ac	St/Sc	Cu	Ns	Deep	surface		
High	8.8	14.5	3.7	2.5	4.3	3.2	2.8	1.0	0.4	Land		
	8.8	16.4	4.1	2.2	3.5	5.2	3.5	1.2	0.3	Ocean		
As	6.5	6.7		0.9	1.0	2.0	1.1	0.4		Land		
	4.2	6.1		0.5	0.9	2.5	1.0	0.3		Ocean		
Ac	5.3	7.0		0.01	1.1	0.9	1.1	0.04		Land		
	3.1	6.4		0.01	0.8	1.5	1.0	0.08		Ocean		
St/Sc	10.5	6.2				0.3	0.5			Land		
	21.9	9.4				0.4	0.7			Ocean		
Cu	3.9	5.1				0.1	0.3			Land		
	6.6	5.9				0.2	0.3			Ocean		
Ns	4.0	1.5				0.02	0.09			Land		
	4.1	1.6				0.02	0.05			Ocean		
Deep	0.8	0.4								Land		
_	0.8	0.3								Ocean		

^aThe SL represents the single-layered cloud. ^bThe ML represents the multi-layered cloud. And, those boldfaced values indicated the

868 overlapping percentages of different cloud types over ocean.

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| 893 | Table 2. Globally averaged overlapping percentages for different cloud types over |
|-----|-----------------------------------------------------------------------------------|
| 894 | land and ocean during nighttime.                                                  |

|       | $SL^{a}$ | ML <sup>b</sup> | High | As   | Ac  | St/Sc | Cu   | Ns   | Deep | surface |  |
|-------|----------|-----------------|------|------|-----|-------|------|------|------|---------|--|
| High  | 12.0     | 17.4            | 5.5  | 3.2  | 6.6 | 2.6   | 1.8  | 1.3  | 0.3  | Land    |  |
|       | 8.8      | 20.8            | 4.7  | 2.3  | 5.0 | 7.6   | 4.4  | 1.3  | 0.3  | Ocean   |  |
| As    | 6.9      | 7.4             |      | 1.0  | 1.1 | 1.9   | 0.9  | 0.4  |      | Land    |  |
|       | 3.9      | 6.3             |      | 0.4  | 0.9 | 2.6   | 1.0  | 0.3  |      | Ocean   |  |
| Ac    | 4.6      | 8.5             |      | 0.01 | 1.2 | 0.7   | 0.6  | 0.05 |      | Land    |  |
|       | 3.1      | 8.1             |      | 0.01 | 1.0 | 1.9   | 1.2  | 0.08 |      | Ocean   |  |
| St/Sc | 6.4      | 5.1             |      |      |     | 0.2   | 0.3  |      |      | Land    |  |
|       | 23.8     | 12.1            |      |      |     | 0.4   | 0.8  |      |      | Ocean   |  |
| Cu    | 2.0      | 3.4             |      |      |     | 0.1   | 0.2  |      |      | Land    |  |
|       | 5.9      | 6.9             |      |      |     | 0.2   | 0.4  |      |      | Ocean   |  |
| Ns    | 3.9      | 1.7             |      |      |     |       | 0.08 |      |      | Land    |  |
|       | 4.0      | 1.7             |      |      |     |       | 0.05 |      |      | Ocean   |  |
| Deep  | 0.8      | 0.3             |      |      |     |       |      |      |      | Land    |  |
|       | 0.9      | 0.3             |      |      |     |       |      |      |      | Ocean   |  |

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<sup>a</sup>The SL represents the single-layered cloud. <sup>b</sup>The ML represents the multi-layered cloud. And, those boldfaced values indicated the

overlapping percentages of different cloud types over ocean.

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Table 3. Cloud fractions of different multilayered cloud types based on different overlap assumptions and observations during daytime. Here,  $C_{overlap}$  and  $C_1 \times C_2$  are the overlap cloud fraction from observations and overlap assumptions. "*a*" presents the overlap parameter.

| Cloud type | C <sub>max</sub> | Crandom | C <sub>real</sub> | $C_1 \times C_2$ | $C_{overlap}$ | $R^{a}$ (W/m <sup>2</sup> ) | Diff. <sup>b</sup> | а       |
|------------|------------------|---------|-------------------|------------------|---------------|-----------------------------|--------------------|---------|
| High+As    | 23.3             | 33.4    | 34.0              | 3.1              | 2.5           | 0.8                         | 24.0%              | -0.06   |
|            | (25.2)           | (32.9)  | (33.3)            | (2.6)            | (2.2)         | (0.8)                       | (18.2%)            | (-0.05) |
| High+Ac    | 23.3             | 32.7    | 31.3              | 2.9              | 4.3           | -2.4                        | -32.6%             | 0.15    |
|            | (25.2)           | (32.3)  | (31.2)            | (2.4)            | (3.5)         | (-2.3)                      | (-31.4%)           | (0.15)  |
| High+St/Sc | 23.3             | 36.1    | 36.8              | 3.9              | 3.2           | 1.0                         | 21.9%              | -0.05   |
|            | (31.3)           | (48.6)  | (51.3)            | (7.9)            | (5.2)         | (3.9)                       | (51.9%)            | (-0.16) |
| High+Cu    | 23.3             | 30.2    | 29.5              | 2.1              | 2.8           | -1.3                        | -25.0%             | 0.1     |
|            | (25.2)           | (34.5)  | (34.2)            | (3.2)            | (3.5)         | (-0.7)                      | (-8.6%)            | (0.03)  |
| High+Ns    | 23.3             | 27.5    | 27.8              | 1.3              | 1.0           | 0.7                         | 30.0%              | -0.07   |
|            | (25.2)           | (29.5)  | (29.7)            | (1.4)            | (1.2)         | (0.5)                       | (16.7%)            | (-0.05) |
| High+Deep  | 23.3             | 24.2    | 24.1              | 0.3              | 0.4           | -0.1                        | -25.0%             | 0.11    |
|            | (25.2)           | (26.0)  | (26.0)            | (0.3)            | (0.3)         | (0.0)                       | (0.0%)             | (0.0)   |
| As+St/Sc   | 16.7             | 27.7    | 27.9              | 2.2              | 2.0           | 0.6                         | 10.0%              | -0.02   |
|            | (31.3)           | (38.4)  | (39.1)            | (3.2)            | (2.5)         | (2.5)                       | (28.0%)            | (-0.1)  |
| As+Cu      | 13.2             | 21.0    | 21.1              | 1.2              | 1.1           | 0.4                         | 9.1%               | -0.01   |
|            | (12.5)           | (21.5)  | (21.8)            | (1.3)            | (1.0)         | (1.7)                       | (30.0%)            | (-0.03) |
| Ac+St/Sc   | 16.7             | 26.9    | 28.1              | 2.1              | 0.9           | 2.2                         | 133.3%             | -0.12   |
|            | (31.3)           | (37.8)  | (39.3)            | (3.0)            | (1.5)         | (3.9)                       | (100.0%)           | (-0.23) |
| Ac+Cu      | 12.3             | 20.2    | 20.2              | 1.1              | 1.1           | 0.0                         | 0.0%               | 0.0     |
|            | (12.5)           | (20.8)  | (21.0)            | (1.2)            | (1.0)         | (0.5)                       | (20.0%)            | (-0.02) |

918 a Calculated from  $(C_{random}-C_{real}) \times (global mean cloud radiative effect of each cloud type).$  b Calculated from  $(C_1 \times C_2 - C_{overlap}) / C_{overlap}$ .

919 And, those boldfaced values in the brackets indicated the overlapping percentages of different cloud types over ocean surface. But for R<sup>a</sup>,

920 the values indicated the cloud radiative effect difference between real and random overlap at TOA and Surface (in the brackets),

921 respectively. Here, only cloud radiative effects during daytime are considered.

Table 4. Cloud fractions of different multilayered cloud types based on different overlap assumptions and observations during nighttime. Here,  $C_{overlap}$  and  $C_1 \times C_2$  are the overlap cloud fraction from observations and overlap assumptions. "*a*" presents the overlap parameter.

| Cloud type | C <sub>max</sub> | Crandom | C <sub>real</sub> | $C_1 \times C_2$ | Coverlap | $R^{a}(W/m^{2})$ | Diff. <sup>b</sup> | а       |
|------------|------------------|---------|-------------------|------------------|----------|------------------|--------------------|---------|
| High+As    | 29.4             | 39.5    | 40.5              | 4.2              | 3.2      | -                | 31.3%              | -0.1    |
|            | (29.6)           | (36.8)  | (37.5)            | (3.0)            | (2.3)    | -                | (30.4%)            | (-0.1)  |
| High+Ac    | 29.4             | 38.6    | 35.9              | 3.9              | 6.6      | -                | -40.9%             | 0.29    |
|            | (29.6)           | (37.5)  | (35.8)            | (3.3)            | (5.0)    | -                | (-34.0%)           | (0.22)  |
| High+St/Sc | 29.4             | 37.5    | 38.3              | 3.4              | 2.6      | -                | 30.8%              | -0.1    |
|            | (35.9)           | (54.9)  | (57.9)            | (10.6)           | (7.6)    | -                | (39.5%)            | (-0.16) |
| High+Cu    | 29.4             | 33.2    | 33.0              | 1.6              | 1.8      | -                | -11.1%             | 0.05    |
|            | (29.6)           | (38.6)  | (38.0)            | (3.8)            | (4.4)    | -                | (-13.6%)           | (0.07)  |
| High+Ns    | 29.4             | 33.4    | 33.7              | 1.6              | 1.3      | -                | 23.1%              | -0.08   |
|            | (29.6)           | (33.6)  | (34.0)            | (1.7)            | (1.3)    | -                | (30.8%)            | (-0.1)  |
| High+Deep  | 29.4             | 30.2    | 30.2              | 0.3              | 0.3      | -                | 0.0%               | 0.0     |
|            | (29.6)           | (30.4)  | (30.5)            | (0.4)            | (0.3)    | -                | (33.3%)            | (-0.13) |
| As+St/Sc   | 14.3             | 24.2    | 23.9              | 1.6              | 1.9      | -                | -15.8%             | 0.03    |
|            | (35.9)           | (42.4)  | (43.5)            | (3.7)            | (2.6)    | -                | (42.3%)            | (-0.17) |
| As+Cu      | 14.3             | 18.9    | 18.8              | 0.8              | 0.9      | -                | -11.1%             | 0.02    |
|            | (12.8)           | (21.7)  | (22.0)            | (1.3)            | (1.0)    | -                | (30.0%)            | (-0.03) |
| Ac+St/Sc   | 13.1             | 23.1    | 23.9              | 1.5              | 0.7      | -                | 114.3%             | -0.08   |
|            | (35.9)           | (43.1)  | (45.2)            | (4.0)            | (1.9)    | -                | (110.5%)           | (-0.29) |
| Ac+Cu      | 13.1             | 17.8    | 17.9              | 0.7              | 0.6      | -                | 16.7%              | -0.02   |
|            | (12.8)           | (22.6)  | (22.8)            | (1.4)            | (1.2)    | -                | (16.7%)            | (-0.02) |

938 a Calculated from  $(C_{random}-C_{real}) \times (global mean cloud radiative effect of each cloud type)$ . b Calculated from  $(C_1 \times C_2 - C_{overlap}) / C_{overlap}$ .

939 And, those boldfaced values in the brackets indicated the overlapping percentages of different cloud types over ocean surface. But for R<sup>a</sup>,

940 the values indicated the cloud radiative effect difference between real and random overlap at TOA and Surface (in the brackets),

- 941 respectively. Here, only cloud radiative effects during daytime are considered.
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Table 5. Comparison of Global cloud type occurrence frequency averages over land 959 and ocean by using four different datasets. CloudSat (Radar-Lidar cloud 960 classification): 2B-CLDCLASS-Lidar product (January/2007-December/2010); 961 962 CloudSat (Radar-only cloud classification): **2B-CLDCLASS** product (June/2006-June/2007). Surface: Annual Means of extended surface observer reports 963 (Hahn and Warren, 1999); ISCCP: ISCCP Annual means from 1986-1993 (Rossow 964 and Schiffer, 1999). Here, the statistical results of the latter three datasets all are from 965 the study of Sassen and Wang (2008). 966

|       | Clou        | Clo         | udSat    | Surface |      | ISCCP |      |       |
|-------|-------------|-------------|----------|---------|------|-------|------|-------|
|       | (Radar      | (Rada       | ur-only) |         |      |       |      |       |
| Туре  | Land        | Ocean       | Land     | Ocean   | Land | Ocean | Land | Ocean |
| High  | 23.3 (29.4) | 25.2 (29.6) | 9.6      | 10.9    | 23.1 | 14.0  | 19.3 | 15.6  |
| As    | 13.2 (14.3) | 10.3 (10.2) | 12.7     | 12.0    | 4.8  | 6.5   | 8.7  | 9.7   |
| Ac    | 12.3 (13.1) | 9.5 (11.2)  | 6.8      | 6.7     | 17.2 | 17.1  | 8.6  | 10.2  |
| St+Sc | 16.7 (11.5) | 31.3 (35.9) | 13.5     | 22.5    | 18.9 | 39.4  | 10.7 | 18.3  |
| Cu    | 9.0 (5.4)   | 12.5 (12.8) | 1.7      | 1.7     | 4.2  | 9.8   | 7.7  | 12.7  |
| Ns    | 5.5 (5.6)   | 5.7 (5.7)   | 8.6      | 8.3     | 6.3  | 7.9   | 3.2  | 3.0   |
| Deep  | 1.2 (1.1)   | 1.1 (1.2)   | 1.8      | 1.9     | 3.2  | 5.3   | 2.5  | 2.4   |

967 <sup>a</sup> The results from CloudSat (Radar-Lidar) are reported separately for day- and night-time. The values in

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parentheses indicate the cloud fractions of different cloud types during nighttime.

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### 985 **Figure captions**

Figure 1. (a) The global distribution  $(2 \times 2^{\circ} \text{ grid boxes})$  of annually averaged multilayered cloud fraction. (b) The zonal distributions of seasonal averaged multilayered cloud fraction.

Figure 2. Zonal distributions of annual most frequently occurring multilayered cloud
types based on the 2B-CLDCLASS-Lidar product.

Figure 3. The global distributions of (a) the annual mean dominant cloud types and (b)
the corresponding cloud fractions. And, the global distributions of (c) the annual
mean dominant multiple cloud types and (d) the corresponding cloud amounts.

Figure 4. (a)-(b):The zonal variation of cloud along-track horizontal scales for these
multilayered cloud systems and (c)-(d): their probability distribution.

Figure 5. (a)-(d): The zonal distributions of cloud radiative effect and weighted cloud
radiative effect for different multilayered cloud systems at the top of atmosphere
(TOA) during daytime.

999 Figure 6. Same with Figure 5, but at the surface during the daytime.

Figure 7. The global average cloud radiative effect and weighted cloud radiative effect for different multilayered cloud types at TOA and surface only during daytime. The gray line presents the global average frequency of occurrence of each cloud type only during daytime (that is, weights). The total weighted cloud radiative effects of whole multilayered cloud system are also showed in the figure 7c and 7d. TOA (-22.7 W/m<sup>2</sup>); Surface (-27.1 W/m<sup>2</sup>).

- Figure 8. (a)-(b): The zonal distributions of the relative difference for different
  multilayered cloud types and the cumulative relative difference of all
  multilayered cloud types (gray line). (c)-(d): The zonal distributions of the
  overlap parameter for different multilayered cloud types and the cumulative
  overlap parameter of all multilayered cloud types (gray line).
- Figure 9. The global distributions of (a) the cumulative relative difference and (b) thecumulative overlap parameter of all multilayered cloud types.
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1016 Figure 1. (a) The global distribution  $(2^{\circ}\times 2^{\circ})$  grid boxes) of annually averaged 1017 multilayered cloud fraction. (b) The zonal distributions of seasonal averaged 1018 multilayered cloud fraction.





Figure 2. Zonal distributions of annual most frequently occurring multilayered cloud
types based on the 2B-CLDCLASS-Lidar product.













Figure 5. (a)-(d):The zonal distributions of cloud radiative effect and weighted cloud
radiative effect for different multilayered cloud systems at the top of atmosphere
(TOA) during daytime.





1109 Figure 6. Same with Figure 5, but at the surface during the daytime.





Figure 7. The global average cloud radiative effect and weighted cloud radiative effect for different multilayered cloud types at TOA and surface only during daytime. The gray line presents the global average frequency of occurrence of each cloud type only during daytime (that is, weights). The total weighted cloud radiative effects of whole multilayered cloud system are also showed in the figure 7c and 7d. TOA (-22.7 W/m<sup>2</sup>); Surface (-27.1 W/m<sup>2</sup>).





Figure 8. (a)-(b): The zonal distributions of the relative difference for different multilayered cloud types and the cumulative relative difference of all multilayered cloud types (gray line). (c)-(d): The zonal distributions of the overlap parameter for different multilayered cloud types and the cumulative overlap parameter of all multilayered cloud types (gray line).









