

*We thank the reviewer for thorough consideration of the MS and the constructive comments, which contributed to the improvement of this manuscript, responses are presented below.*

## **Referee 1**

**Review** of the manuscript #acp-2013-543

by J. Ovadnevaite, A. Manders, G. de Leeuw, C. Monahan, D. Ceburnis, and C.D. O'Dowd

“A sea spray aerosol flux parameterization encapsulating wave state”

Atmospheric Chemistry and Physics (ACP)

### **Summary:**

The paper describes the use of two sets of in situ data for sea spray aerosol concentrations to obtain a sea spray source function (SSSF) in terms of Reynolds number  $R_H$  which involves friction velocity, significant wave height, and kinematic viscosity of seawater. Formulation of SSSF as a function of  $R_H$  is expected to account for more forcing factors than just the wind speed; through  $R_H$ , effects of wave field history, seawater temperature, and salinity (both used to obtain the seawater viscosity) could be included implicitly. The data and the approach used to derive the new parameterization are described. The performance of the new SSSF is evaluated with an independent in situ data set. Results obtained with the proposed SSSF are compared to existing SSSFs and used to estimate global SSA flux. How the use of  $R_H$  helps to account for sea state and environmental variables is discussed.

### **Significance:**

In the last decade or so, the air-sea interaction (ASI) community has been on a quest to find forcing parameter(s) that is (are) more suitable than wind speed (e.g.,  $U_{10}$ ) and friction velocity to parameterize ASI processes. Recognizing that wind speed alone cannot explain, and thus parameterize, the flux to the atmosphere of sea spray aerosols formed by breaking waves, we all try to account for one or more additional variables, either explicitly or implicitly (with nondimensional parameters such  $R_H$ ). Proposing a SSSF that incorporates the effect of wind, wave field, and the environment is necessary and useful not only for the ASI community but also for atmospheric chemistry, climate, and air quality studies.

### **Evaluation:**

The work described in the manuscript is undoubtedly significant. The authors have the expertise, data, and motivation to derive and propose a new SSSF. The manuscript is generally well written. There are, however, aspects/points of the manuscript that need improvement; also, numerous inconsistencies in notations and terminology should be sorted

out. Therefore, I recommend that this manuscript be accepted for publishing in ACP after major revision.

**Major comments:**

1. According to the title of the manuscript, “encapsulating wave state” seems to be the main improvement in the new SSSF. However, there is more discussion on the seawater temperature effect than on the wave field throughout the manuscript. The only figure that gives some idea how the use of Reynolds number improves the new SSSF is in the supplement, not in the main text. This needs to be rectified. In my opinion, all figures in the supplement are good to go in the main text.

*Response: The decision on which forcing parameter to use was based on the literature review, which is presented in paragraph 3.1. It was then verified whether this approach works indeed for the available data sets. In the revised version, we extended the discussion on how the use of the Reynolds number as a forcing parameter affects the SSSF properties (see text below) and included figure S1 into the main manuscript.*

*“For the current data set the advantage of using  $Re_{Hw}$  instead of wind speed was also obvious. Using the SSSF based on wind speed only, the mass flux for rising winds was two times lower than that for waning winds (Ovadnevaite et al., 2012); moreover, the flux-wind speed dependencies for these two different wind conditions were clearly separated (Ovadnevaite et al., 2012). For the new SSSF, parameterized in terms of  $Re_{Hw}$ , the difference between rising and waning winds disappeared. This not only reduced the scatter ( $R^2$  improved from 0.95 to 0.98 and chi square reduced from 16.4 to 5.8) but the relationships for rising or waning winds started to inter-cross, which also indicated that remaining data point scatter is due to a data measurement or derivation uncertainty rather than the real physical effect coming from the wave state.”*

2. The discussion on the wave state seems to rely mostly on the increase/decrease of the wind speed as a proxy for undeveloped and developed wave state. Then an extended presentation or discussion of the connection between increased/decreased wind and the sea state is needed.

*Response: The following discussion was added to the “Approach” section.*

*“Wind waves are formed by transferring wind energy to the water surface through friction. Continuous wind stress will increase the wave height until it reaches a limit and breaks which in turn results in energy dissipation. Wave age and sea state will usually depend upon wind history: e.g. periods of decreasing wind speed would correspond to more developed seas with a relatively high wave age and periods of increasing wind speed should*

*be broadly analogous to less developed seas with a relatively low wave age [Callaghan et al., 2008].”*

3. The stated goal of the study presented in this manuscript is to “derive a new sea spray source function” (p. 4, lines 1-5). However, the current organization of the manuscript places the description of the approach in section 3 and extremely brief description of the derivation in the preamble of section 4; the description of the data (section 2) takes precedence. In my opinion, this organization is appropriate to present new data and evaluate their use for estimating sea spray flux. However, if one is to follow the stated goal, the organization has to emphasize the derivation of the new SSSF parameterization. The flow of the text then should be something in the line: section 2 Approach; section 3 Data; section 4 Derivation; section 5 Results. Section “Approach” should start with general expressions needed for the new SSSF and establish what kind of data you need to derive the terms in these expressions. Then section “Data” comes naturally to show what data you have used, in situ and model. Section “Derivation” is important because you have to convince the reader that your derivation is sound before offering estimates of the global production flux.

*Response: Indeed this is a good suggestion and the paper has been reorganized according to reviewer’s suggestions.*

4. Possible flow of section “Approach” is as follows:

- a. Give general form of the SSSF  $dF/d\log D$  as a product of shape (size distribution) function and scaling factor that depends on forcing factors; e.g., equation (3) in de Leeuw et al. (2011) can be used.
- b. Justify the use of lognormal distribution (as opposed to other functional forms) for the size distribution, and inform that several modes can be present (references needed). Then give the specific form of  $dF/d\log D$  as in equation (4) in the manuscript.
- c. Introduce the possibility to control the contribution of each lognormal mode to the overall shape of the size-resolved flux with its own scaling factor that can be parameterized in terms of  $R_H$ . This will establish that you need to derive  $Fi(R_H)$ .
- d. Show how flux  $F$  for each mode can be derived from equation like equation (3) in the manuscript (lines 1-7 in your section 3.2)
- e. Show how  $R_H$  can introduce several forcing factors (your section 3.1 up to line 11 on p. 8.

*Response: We thank the reviewer for such detailed and valuable comments; we have followed up on this in the revised manuscript.*

5. The use of Reynolds number  $R_H$  rather than the breaking-wave parameter  $R_B$  (which uses the peak angular frequency of **wind waves**  $\omega_p$ ) is justified with the availability of data for significant wave height  $H_s$  from the ECMWF wave model. The distinction is important because to obtain  $\omega_p$  for  $R_B$ , one should determine the wind sea part of the wave spectrum excluding swell components (Zhao et al., 2003, p. 481). Likewise, to control the possible contribution of swell components to  $R_H$ , one needs to have wave spectra so that the wind sea part is separated and the  $H_s$  corresponding to this wind sea part is determined. However, often  $H_s$  values, especially those from altimeter or wave model, are determined for the entire wave spectrum, and these  $H_s$  values most likely contain some swell components. This point should be clarified and its influence on the performance of the new SSSF discussed. Reference: Zhao et al., 2003, Tellus, 55B, 478–487.

**Response:**

*We agree that this distinction is important, and we have indeed used the significant height of wind waves from the ECMWF model, as was stated in the manuscript (in the description of the data used), resulting uncertainties were described in the error propagation section. Our decision to use  $R_H$  was not determined by the data availability, it was based on the suggestion by [Zhao and Toba, 2001], who stated that the typical length scale to construct  $R_b$  is ambiguous and  $H_s$  was proposed instead. Additionally, this has been highlighted in the Approach section of the revised manuscript.*

**Specific comments**

p. 2, line 16: “inherently includes a sea surface temperature dependence”—what about salinity?

**Response:** *included ‘and salinity’*

p.4, line 5: “sea surface water temperature”—it should be either “sea surface temperature” or “seawater temperature” (i.e., bulk seawater, below the cool skin) and use it consistently; introduce the acronym SST on first encounter. Figure S2 shows that you use SST for Figure 7. What temperature do you use for the viscosity for the figures with in situ data? Bulk (measured during the experiments) or SST (from ECMWF)? If both bulk seawater temperature and SST are used, you should mention how much difference between them you expect and how this could affect the uncertainty of the new SSSF.

**Response:** *SST has been used throughout in this study, corrected in the text.*

p. 4, Section 2: As noted in the major comments, a section describing the data comes more logically after you have described your approach and established what kind of data this approach would need to derive terms used in the expressions. Consider exchanging the places of sections 2 and 3.

***Response: Changed.***

p. 4, lines 9-10: two in situ data (Mace Head and SEASAW)—what are the seawater temperatures and salinity during the measurements? Salinity at Mace Head and in open ocean could be different because of the aging of the particle arriving at Mace Head, while SEASAW measures at the ambient salinity. What salinity then should be used to determining the seawater viscosity for each of these two data sets? How does the salinity for the in situ data compare to the assumed salinity of 35 psu for the global calculations (p. 14, lines 4-5)?

***Response: Measurements at Mace Head are representative for aerosol formed over the open ocean (see the “discussion” section in this paper, and references [Ceburnis et al., 2008; O’Dowd et al., 2013; Rinaldi et al., 2009]); therefore, salinity typical of Atlantic Ocean (35‰) was chosen for the viscosity calculations. This value is also valid for the SEASAW cruise in the North Atlantic. The variation of salinity in the open ocean is so small that their effects on the viscosity is insignificant [Sharqawy et al., 2010], e.g.: salinity variations of 10‰ would have an effect on viscosity of ~1%. Since the variation in the ocean salinity of 10 ‰ is quite extreme, a constant salinity of 35‰ can be safely assumed for SSSF derivation. However, appropriate viscosity values should be used when the source function is applied to brackish waters such as the Baltic Sea [Mårtensson et al., 2003; Sofiev et al., 2011]. The latter statement has been included in the revised manuscript.***

p. 4, title of section 2.1 and p. 6 title of section 2.2: Because the data you use aim to combine sea spray aerosol in two size regions (small and large or submicron and supermicron), it is more important to convey with the title the sizes of the particles that each dataset provides, not the place of observation. Thus, these two titles could be changes to “Small sea spray particles” and “Large sea spray particles” or the likes. This will also avoid using SEASAW acronym in a title.

***Response: Corrected to “Submicron particle observations” and “Super-micron particle observations”***

p.6, title of section 2.3: Consider changing it to “Wave field” or something in this sense. The type of data that you need for your approach is important. Where these data come from (i.e., ECMWF) can be stated in the text. In this way you also avoid using undefined acronym in a title.

***Response: corrected to “Wave data”***

p. 7, section 3: As noted in the major comments, the description of your approach should come before the description of the data.

***Response: done***

p. 8, lines 12-20 belong to a section that describes the specifics of your derivation (see major comments). Once you have established in your “Approach” section that  $R_H$  is to be used, and identified in your “Data” section what data is available for this purpose, in a “Derivation” section you show how exactly you computed your  $R_H$ .

***Response: corrected according to the comment***

p. 9, line 8 onward to p.11, line 22: This text is more appropriate in “Derivation” section (see major comments). Your section “Results” should start with line 23 on p. 11.

***Response: corrected according to the comment***

p. 9, line 27: “contributes only 2-4% to the total flux.” How was this determined? From your data or from literature review?

***Response: It was determined from our data using deposition velocities provided in [Hoppel et al., 2002]. Specified in the revised manuscript.***

p. 10, section 3.3—Again, this subsection is more appropriate in a section describing your derivation.

***Response: corrected according to the comment***

p. 10, line 14: How  $\Delta H_s$  and  $\Delta U_{10}$  were determined? You did it from the data you used or ECMWF gives them?

***Response: Uncertainties were found in ECMWF documents. Reference has been added to the MS.***

p. 10, line 17: Here for the first time the symbol  $dF/d\log D$  appears for the SSSF which is the main subject of your study. You should start with it in a section “Approach” right after the Introduction (see major comments).

*Response: done*

p. 10, lines 22-24: How did you connect the two size ranges when they differed? Averaging? Interpolating?

*Response: they weren't connected, data points were fitted with lognormal distribution, minimising the fitting error.*

p. 10, line 15: “five lognormal size distributions” which you suggest are associated with different physical mechanisms (p. 11, lines 12-13). The reference to Monahan et al. (1986) here is not enough to support your suggestion as Monahan et al. recognize two processes, bubble bursting and spume droplets torn from the wave crests. You do not consider spume production here. So what are the other four processes of production? Perhaps it is not different processes, but different contributions of the same process to different sizes?

*Response: In fact, bubble bursting produces film and jet drops through different mechanisms: ejection of film droplet from the opening bubble cap and jet droplets from the rising jet [Monahan et al., 1986; Spiel, 1994; 1997]. These mechanisms produce particles of different sizes, moreover, Spiel [1994] indicated that droplet size distributions produced from air bubbles are often bimodal. In addition, film drops are produced from plunging wave, spilling wave and trough breaking, which in turn can result in different bubble spectra and, therefore, different particle distributions, as well as a production from droplets falling back into the ocean causing splashes (like raindrops do) [Lewis and Schwartz, 2004], and these processes have been studied in much less detail. Different wind speed onsets as stated by [Monahan et al., 1986] could also be related to different wind speed effects on the particle size distribution. Considering the current state of knowledge in the area, we can only speculate on different bubble sizes resulting in different particle modes and expect the instrumental development bridging the gap. In fact, this is the first time that different mode dependencies were documented in contrast to earlier studies with a single dependency for all particles. We expect that the results from this study will stimulate further theoretical, modelling or laboratory studies. We have followed up on this in the revised manuscript.*

p. 12, lines 6-7: “the most common one in the real ambient environment”—needs a reference that 8 m/s is the most common one.

***Response: reference to [Rinaldi et al., 2013] has been added.***

p. 13, Figure 6: Suggest exchanging the places of figures 5 and 6. Currently, your figures 4 and 6 compare the newly-derived SSSF with your own data. It is thus more logical to show them as figures 4 and 5. Then you expand the evaluation of the new SSSF by comparing it to external SSSFs in Figure 6.

***Response: done***

p. 13, section 4.2: Because your new SSSF is based on a limited data set and needs validation before it can be used with confidence on a global scale, you need to start this section with a “disclaimer” in this sense.

***Response: The following text has been included:***

***‘Although validation of the OSSA-SSSF should be still performed on a global scale (manuscript in preparation), it has been used for the preliminary calculation of the annual mean production flux for the year 2006. This was achieved with a simple modelling tool, developed at TNO, which calculates the fluxes based on the prescribed parameterization, and uses ECMWF meteorological and wave data as an input.’***

p. 13, lines 26-27: “simple modeling tool”—is this tool developed by the authors? Or is it from ECMWF?

***Response: It is a tool developed at TNO. It calculates fluxes based on the prescribed parameterization, and uses ECMWF meteorological and wave data as an input. This description has been included into the revised MS.***

p. 14, line 1: “Supplement (Fig. S2)” —again, I suggest all supplement figures to come in the main text (see major comment 1).

***Response: done***

p. 14, lines 9-10: “constant viscosity (not show)” —pity. A difference map showing reduced and enhanced production with say blue and red colors, respectively, would have been a good case for showing the seawater temperature effect and thus boost the potential this parameterization would have when well validated with more data.



*Response: The comparison has been included into the revised MS.*

p. 15, lines 5-10: All these are speculations, a possible interpretation. The data do not show unambiguously that it is the wave state that changes the spread of the data in the panels on the right and left. So you have to state this.

*Response: The statement cannot be unambiguously proven, however, data showed that there were higher waves and associated drag coefficient in the regions of lower temperature. The phrase “ We postulate that...” has been included into text:*

*“In addition to the spread due to SST, the OSSA-SSSF also accounts for wave state, which reduces the effect of temperature on the fluxes and brings some of the low temperature points closer to the Gong-derived fluxes. We postulate that this is due to the on average larger values of the wave height and drag coefficient in the lower temperature regions. As an example, the low flux values calculated using the Jaeglé SSSF at high wind speeds and at low SST (Fig. 8a, right panel) are not observed in the fluxes calculated with the OSSA-SSSF (Fig. 8a, left panel).”*

p. 15, line 8: “Aitken”—this is the first time that Aitken mode appears as a term. Would be helpful to mention Aitken mode when the corresponding particle sizes are introduced for the first time.

*Response: done*

*p. 14, line 20: The comparisons were made for instantaneous global data (2 January 2006, 00:00 UTC) for number fluxes of particles with a dry diameter smaller than 0.15  $\mu\text{m}$  (Aitken mode) or 1  $\mu\text{m}$  and for the mass flux of particles smaller than 1  $\mu\text{m}$ .*

p. 16, line 21: change to “kinematic viscosity of seawater”

*Response: changed*

p. 16, line 23-24: needs a reference for the statement here.

*Response: reference to [Hinds, 1982] has been included*

p. 17, lines 8-18: Some advantages, yes, but still need to mention that the data set used is limited and more data for validation of OSSA-SSSF are necessary.

*Response: It was a reference to the specific advantage – derived from in-situ data sets - and the arguments were presented in the original text. Validation issues were presented elsewhere.*

p. 17: Should include a paragraph discussing similarities and contrasts with the only other SSSF in terms of  $R_H$ , that of Norris et al. (2013, GRL). I understand that this paper appeared after you submitted the manuscript; still the comparison would be useful.

*Response: The Norris et al. (2013) function covers only a small part of the size range presented in this paper. Moreover, it was derived from the same SEASAW data set. Therefore, the value of the suggested comparison is marginal.*

p. 26, Figure 1: The title on the abscissa in panel (d) should be “Wind speed,  $U_{10}$ ” not “ECMWF.” That  $U_{10}$  is from ECMWF should be said in the captions.

*Response: corrected*

p. 30, Figure 5: Why the SSSF of Norris et al. (2012) is higher than OSSA-SSSF? You use the same SEASAW data for the large sea spray aerosol.

*Response: There could be several reasons: Norris et al. (2012) used wind speed as a forcing parameter; therefore, the Flux-wind speed dependency was different from that of Flux – Reynolds number dependency, and caused a difference at certain wind speeds. Moreover, SEASAW data points were largely scattered (as stated in the data description of the manuscript) and differences in the fitting methods could have also resulted in the discrepancy; however, the differences are within the uncertainties presented here.*

## **Editorial**

*Response: all editorial comments have been addressed.*

p.2, line 21: Here “sea spray aerosol,” on p. 3, line 21 you use sea-spray aerosol.” Suggest using “sea spray aerosol” (no hyphen) consistently throughout the text.

p. 3, line 2: Monahan et al., 1986 is not in the reference list

p. 3, line 11—you use “whitecap fraction;” in line 24, you use “whitecap coverage.” Suggest using “whitecap fraction” consistently throughout the text.

p. 3, line 14: Here “white cap,” also “white-capping” (p.11, line 20). Suggest using “whitecap” and “whitecapping” consistently throughout the text.

p. 4, line 14: change to “in November”

p. 5, lines 5-6: You use acronyms “HR-ToF-AMS” and “AMS” for the High Resolution Time of Flight Aerosol Mass Spectrometer. Suggest introduce here only “AMS” and use one acronym throughout the text.

p. 5, line 14: remove dash after “elevated”

p. 6, line 13: New paragraph for “Flux estimates...”

p. 6, line 15: Here and figures 3a and 4, you used “dry particle diameter ( $D_{dry}$ ).” You also use  $D$  (p. 9, line 7 and figure 2), and  $D_p$  (p. 13, line 1 and figure 5). Make a choice and use the chosen symbol consistently in the text and figures.

p. 6, line 15: Here you introduce a symbol in parentheses “( $D_{dry}$ )”. On p. 7, line 23, you introduce a symbol with commas “, $ReH$ ,”. Choose one way of introducing new symbols and use it consistently throughout the text.

p. 6, lines 17-18: Remove sentence “More details...(2012).” You said this already in lines 3-4 above.

p. 6, line 18: Comma after “SSSF”—sentence beginning with an introductory clause.

p. 6, line 27: Here and on p. 14 (lines 2 and 3) and in the caption of figure 1—revise to avoid “hly”; should be unit for hour “hr”

p. 7, line 1: “should be “basis at a 0.5x0.5”

p. 7, lines 4-5: Do you need to mention JASON-1, JASONN-2, and ENVISAT? Knowing the names of the missions whose data WAM assimilates is not adding anything to clarify your presentation. You can write just “...from satellite altimetry data (Abdalla et al., 2010)” and rely on the reference to provide details for the interested readers.

p. 7, lines 8-10: You use here “break” for everything--wave breaking, air breaking, and bubble breaking. Better use “waves break,” “bubbles burst,” and “air ruptures”

p. 7, line 12: Suggest changing “the fraction of whitecaps covering the ocean surface” to “the fraction of the ocean surface covered by whitecaps”

p. 9, line 13: typo “mass flux”

p. 9, line 14: Suggest change to “was representative of open ocean conditions”

p. 10, lines 6-7: Here “number size distribution ( $N$ )”—is this not the same as number concentration  $N(D)$  on p. 9, line 4? If different, make the difference clear; if not, better choose one term and symbol and use them consistently. Same for  $H$  (p. 10, line 7) and  $HMBL$  (p. 9, line 5).

p. 10, line 17: Here and many other places in the text and in Table 1 and in the captions of the figures you give order of magnitude in the form 1e5. All figures give order of magnitude with 105. Use the 10-base form consistently in the text, table, and figures.

p. 11, line 17: “were not unexpected”—why don’t you say “were expected”?

p. 11, line 21: Here acronym SST appears. See specific comment on the surface versus bulk temperatures.

p. 11, line 24: Here you say “ $dF(D)/d\log(D)$  vs  $D$ ” but Figure 4 shows  $dF/d\log D$  vs  $D_{dry}$ . See previous comments on using symbols consistently. Also, “ $dF(D)/d\log(D)$  “ should be “ $dF/d\log D$ ” for consistency with previous use and symbols in the figures.

p. 12, line 4: Introduce acronym OSSA-SSSF here, on first encounter. Remove the sentence “Below...” on p. 11, lines 7-8.

p. 12, lines 23-24: Suggest change “periods which are more manifested in the winter season” to read “periods usually occulting in winter.”

p. 13, line 20: Remove “the particle vacuum aerodynamic diameter,” you already defined  $D_{va}$  (p.13, line 13). On the same line, suggested removing  $D_m$ ; yet another diameter symbol, which is never used later.

p. 14, line 4: Change “sea surface temperature (SST)” to “SST.” This acronym is already introduced by this point.

p. 14, line 10: For consistency, use “OSSA-SSSF” instead of “OSSA source function”

p. 14, line 28: This sentence should start a new paragraph.

p. 15, lines 5 and 10: Use either “OSSA-SSSF” or “OSSA SSSF.” Consistency!

Acronyms: All these must be spelled in full and introduced as acronyms on first encounter: SEASAW (p. 2 and p. 4; acronyms must be spelled and introduced in both the abstract and the main text), ECMWF, CLASP, ERA, WAM, NOAA, EMEP, IFS, PM

***Response: all corrected***

## References:

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