

**Aerosol airmass type mapping over the urban Mexico City region**

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# Aerosol airmass type mapping over the urban Mexico City region from space-based multi-angle imaging

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Received: 6 January 2013 – Accepted: 26 February 2013 – Published: 22 March 2013

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Published by Copernicus Publications on behalf of the European Geosciences Union.

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## Abstract

Using Multi-angle Imaging SpectroRadiometer (MISR) and sub-orbital measurements from the 2006 INTEX-B/MILAGRO field campaign, in this study we demonstrate MISR's ability to map different aerosol air mass types over the Mexico City metropolitan area.

The aerosol air mass distinctions are based on shape, size and single scattering albedo retrievals from the MISR Research Aerosol Retrieval algorithm. In this region, the research algorithm identifies dust-dominated aerosol mixtures based on non-spherical particle shape, whereas spherical biomass burning and urban pollution particles are distinguished by particle size. Four distinct aerosol air masses are identified in the MISR data on 6 March 2006; these results are supported by coincident, airborne high-spectral-resolution lidar (HSRL) measurements. Aerosol optical depth (AOD) gradients are also consistent between the MISR and sub-orbital measurements, but particles having  $SSA_{558} \approx 0.7$  must be included in the retrieval algorithm to produce good absolute AOD comparisons over pollution-dominated aerosol air masses. The MISR standard V22 AOD product, at 17.6 km resolution, captures the observed AOD gradients qualitatively, but retrievals at this coarse spatial scale and with limited spherical absorbing particle options underestimate AOD and do not retrieve particle properties adequately over this complex urban region. However, we demonstrate how AOD and aerosol type mapping can be accomplished with MISR data over urban regions, provided the retrieval is performed at sufficiently high spatial resolution, and with a rich enough set of aerosol components and mixtures.

## 1 Introduction

Aerosols play an important role in global climate forcing through their direct and indirect effects. They also have significant regional impacts on both climate and air quality (IPCC, 2007). Information on aerosol type is crucial for improving our understanding and assessment of anthropogenic influences of aerosols on climate. Particulate matter

ACPD

13, 7931–7978, 2013

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(PM), especially particles with aerodynamic diameter  $< 2.5 \mu\text{m}$ , have known health impacts, such as cardiopulmonary diseases (Schwartz and Marcus, 1990; Saldiva et al., 1995; Krewski et al., 2000). More generally, aerosol size information is of value for particulate matter air quality assessment. The spatial and temporal distribution of aerosol microphysical and optical properties is heterogeneous in nature and is caused by the wide variety of aerosol sources around the globe and relatively short atmospheric lifetime. In urban regions such as Mexico City, aerosols originate most commonly from industrial and domestic emissions, biomass burning, local transportation, and local wind-driven dust (Moffet et al., 2008; Stone et al., 2008; Aiken et al., 2010). Aerosol sources and aerosol types can vary on short spatial scales ( $\sim \text{km}$ ) in this region. Air quality standards are frequently violated by high PM concentrations in the Mexico City area (Molina and Molina, 2004), and the aerosols also have the potential to affect the air quality and climate of surrounding areas. For example, during the dry season, regional winds can transport aerosols from Mexico to the southeast United States (Wang et al., 2006; Voss et al., 2010). The study of aerosols therefore warrants continuous synoptic-scale monitoring, which can best be achieved through satellite measurements.

Although satellites provide global monitoring of aerosol optical depth (AOD), few space-borne sensors have the capability to distinguish different aerosol types. The Multiangle Imaging SpectroRadiometer (MISR) aboard the Terra satellite is one instrument that can retrieve some information about aerosol shape, size and single scattering albedo (SSA) under good retrieval conditions (Kahn et al., 2005, 2010). Particle type represents a constraint on particle origin and composition, and can contribute to mapping aerosol evolution and transport. Under good retrieval conditions and depending on the aerosol type, the Version 22 MISR Standard Aerosol Retrieval algorithm can distinguish two or three aerosol size groupings, about two SSA categories (absorbing vs. non-absorbing) and spherical vs. non-spherical particles. Over urban areas, Kahn et al. (2010) showed with MISR data that the aerosols are localized and vary over small spatial scales. This suggests the necessity for high-resolution AOD retrievals over urban areas.

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Comparisons of satellite aerosol property retrievals against suborbital measurements, such as those collected during field campaigns, provide unique opportunities to refine and assess the quality of the satellite retrievals. Using a combination of MISR standard aerosol retrievals (Martonchik et al., 1998, 2009) and MISR research algorithm retrievals (Kahn et al., 2001), along with ground and aircraft data from the Intercontinental Chemistry Transport Experiment-B (INTEX-B) field campaign, we analyze MISR aerosol retrievals in detail over the Mexico City area in this study. Although the MISR operational algorithm attempts to retrieve aerosol properties globally, and computational constraints limit the range of aerosol models that can be considered, the research algorithm allows us to explore the information content of the MISR data for a much broader range of particle types, and at significantly higher spatial resolution for this application.

Our validation data comes from the host of instruments that obtained aerosol physical and optical property measurements during the INTEX-B field campaign. The campaign was conducted in two stages. During the first stage, from 1–21 March, 2006, observations were made over Mexico and Gulf of Mexico. The second stage (17 April to 15 May 2006) focused on the trans-Pacific Asian pollution transport. Our study focuses on the first stage. Singh et al. (2009) provide an overview of the campaign, and details on instrumentation, flight plans, and first results. A complete overview of the meteorology during the campaign (INTEX-B/MILAGRO) is provided in Fast et al. (2007). Several studies analyzed the speciation and properties of aerosols and their evolution during transport (e.g. Doran et al., 2007; Yokelson et al., 2007; Querol et al., 2008; DeCarlo et al., 2008; Crouse et al., 2009; Miranda et al., 2009; Marley et al., 2009a, b; De Foy et al., 2011). Redemann et al. (2008) compared AOD from MODIS against values derived from airborne sun photometer measurements, and analyzed the differences between MODIS Collection 4 and Collection 5 retrievals. Livingston et al. (2008) also used airborne sun photometer measurements to evaluate Ozone Monitoring Instrument (OMI) AOD retrievals. The current study adds information about the performance of MISR's Standard aerosol retrieval algorithm to the existing literature, and also uses

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the satellite data to map AOD and aerosol type over the Mexico City Metropolitan area (MCMA) in more detail with the MISR research algorithm, providing regional context for the aerosol measurements made by multiple, suborbital sensors. We focus on identifying different aerosol types in the complex Mexico City urban setting, and on mapping AOD gradients and aerosol air mass types to the extent possible, using MISR data in the MCMA study region. Previous studies relating to MISR aerosol-type sensitivity focused on biomass burning and dust-dominated regions (Chen et al., 2008; Kalashnikova and Kahn, 2006; Kahn et al., 2009a), and locations affected by thin cirrus (Pierce et al., 2010), whereas this study focuses on the aerosols over the urban Mexico City basin. The paper is organized as follows: Sect. 2 briefly describes the datasets used, Sect. 3 discusses the sub-orbital constraints on aerosol properties for our study days, results from MISR research algorithm are discussed in Sect. 4, Sect. 5 presents notes on the sensitivity of MISR retrievals over our study area and a summary of this study is given in Sect. 6.

## 2 Study area and data used

The Mexico City Metropolitan area, consisting of the heavily populated Mexico City basin, is our study region (see Fig. 1). Mexico City is one of the world's largest megacities, and has undergone rapid urban development. We analyze data for two golden days, 6 and 15 March 2006, during which (1) MISR observations were made over the study region, (2) coincident ground and air observations are available, and (3) the key observational requirements of relatively cloud-free conditions and the presence of aerosols from different sources are met. The ground-based and aircraft data used in this study are described briefly in this section, along with the Version 22 MISR Level 2 standard aerosol product.

## 2.1 Ground-based measurements

During the field campaign, Aerosol Robotic Network (AERONET) CIMEL sun photometers were deployed at three sites labeled as T0, T1 in Fig. 1, and Tampico, about 500 km northeast of Mexico City (not shown), and five Microtops II sun photometers were deployed, one at each of the Hidalgo, UNAM, Corena, TEC, and UAMI sites (Castanho et al., 2007; Molina et al., 2008), also shown in Fig. 1. The AERONET is a global ground-based network of well calibrated sun photometers that measure spectral AOD at 340, 380, 440, 500, 670, 870, 1020 and 1640 nm with an accuracy of about  $\pm 0.015$  (Holben et al., 1998, 2001; Eck et al., 1999). AERONET also retrieves other column-effective aerosol properties, such as size distribution, refractive index, single scattering albedo, asymmetry parameter ( $g$ ) and phase function with varying frequency and degrees of accuracy (Dubovik and King, 2000). We use version-2 level-2 AOD and Angstrom Exponent (AE) data from the T0, T1 and Tampico stations for the two golden study days. The Microtops II are handheld sun photometers that measure solar radiance at five spectral wavelengths and provide AOD to within  $\pm 0.03$  (Ichoku et al., 2002). We use the AOD at visible wavelengths from all five ground-based Microtops sites to evaluate the corresponding values from both the MISR L2 aerosol product and the MISR research algorithm. For each ground-based site, Table 1 summarizes all the available ground and aircraft instruments, the aerosol properties used, geographic location and the availability of different observations on 6 and 15 March 2006.

## 2.2 Aircraft measurements

During the MILAGRO/INTEX-B field campaign, measurements were made by eight instrumented research aircraft from the United States: NASA's DC-8, J-31 and B200, NSF/NCAR's C-130, DOE's Gulfstream-1 (G1), the University of Washington Beechcraft Duchess and the US Forest Service Twin Otter. Details on the principal airborne platforms that participated in the INTEX-B campaign, and the scientific payloads mounted on the DC-8 and Duchess, can be found in Tables 1, 2a, and 2c, respectively,

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of Singh et al. (2009). Molina et al. (2010) summarize the scientific payloads on the C-130, G-1 and J-31 aircraft in Tables 5–7, respectively. Rogers et al. (2009) describe the High Spectral Resolution Lidar (HSRL) carried by the B200 aircraft. Outflow from biomass burning was sampled by a payload on the Twin Otter, as described by Yokelson et al. (2007). Only the J-31 and B200 aircraft acquired coincident aerosol property measurements on both our case study days. For these days, we use the spectral AOD from the NASA Ames Airborne Tracking Sunphotometer (AATS-14) onboard the J-31 aircraft and vertical profiles of aerosol extinction, backscatter and depolarization, along with aerosol classification products, from the HSRL onboard the B200 to evaluate aerosol retrievals from the MISR standard and research algorithms.

### 2.3 The MISR L2 aerosol product

The Multi-angle Imaging SpectroRadiometer (MISR) is on board Terra, which is one of the NASA Earth Observing System (EOS) of satellites. Terra was launched on 18 December 1999 into a sun-synchronous orbit at an altitude of 704 km and has an equatorial crossing time of 10:30 a.m. (north to south). MISR images earth in four spectral bands centered at 446, 558, 672, 867 nm, and has nine push-broom cameras viewing at nine different angles,  $0$ ,  $\pm 26.1$ ,  $\pm 45.6$ ,  $\pm 60.0$ , and  $\pm 70.5$  degrees, covering the nadir, forward, and aft directions along the line-of-flight. The MISR level 2 aerosol data product (MIL2ASAE) contains: (1) aerosol optical depth (AOD) in 4 spectral channels, (2) fraction of AOD for three different particle size ranges, (3) fraction AOD assigned to non-spherical particles, (4) spectral single scattering albedo, (5) angstrom exponent, other parameters related to aerosol retrieval quality, and geographical information. In this paper, we use the MIL2ASAE Version 22 data product. The L2 aerosol retrieval is performed over  $17.6 \text{ km}^2$  regions that contain  $16 \times 16$  pixels of  $1.1 \text{ km}^2$  resolution. Homogeneity of atmospheric aerosol particles is assumed within each retrieval region. Two distinct algorithms are used for aerosol retrievals, one over dark water and the other for heterogeneous land. For aerosol retrievals over land, information from all 36 channels (9 cameras and 4 wavelengths) is used. Seventy-four mixtures of up to



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three different aerosol components are defined in a mixture file for Version 22 of the aerosol product, and the aerosol components are described in an aerosol physical and optical properties (APOP) file. Regionally averaged MISR radiances are compared to simulated TOA equivalent reflectance for each mixture, which are stored in a look-up table. Aerosol properties (e.g. total AOD, small/medium/large mode and spherical/non-spherical AOD fractions, SSA, AE) from all mixtures that satisfy a given set of  $\chi^2$  acceptance criteria are reported as successful retrievals. A detailed description of the MISR aerosol retrieval is given in Martonchik et al. (1998, 2009) and Kahn et al. (2009b).

Validation of MISR AOD (558 nm) against AERONET shows that about 70 %–75 % of MISR AOD retrievals fall within 0.05 or 20 % of AERONET AOD and about 50 %–55 % are within 0.03 or 10 % of AERONET AOD (Kahn et al., 2010). Several studies support and add detail to these overall retrieval quality results. Martonchik et al. (2004) and Christopher and Wang (2004) performed additional MISR AOD validation over bright deserts. Over continental United States, Liu et al. (2004) validated MISR AOD, and Redemann et al. (2005) and Schmid et al. (2003) performed validation over coastal water. Abdou et al. (2005) evaluated MODIS and MISR land and ocean AODs over AERONET stations. The AOD is designated as stage 3 validated, whereas other aerosol properties such as SSA, AE and fractional AOD are Stage 2 validated, as described in the MISR Data Quality Statement distributed with the data products ([http://eosweb.larc.nasa.gov/PRODOCS/misr/Quality\\_Summaries/misr\\_qual\\_stmts\\_new.html](http://eosweb.larc.nasa.gov/PRODOCS/misr/Quality_Summaries/misr_qual_stmts_new.html)). The MISR research algorithm used in this study is described in Sect. 3.3.

### 3 Suborbital constraints on aerosol properties for the MISR golden days

Figure 1a shows the MISR L1B RGB images (Nadir, 70° Forward and 70° Aftward cameras) from 6 March 2006 for our study region. The J31 flight track (carrying the AATS-14 instrument) and the B200 flight track (with HSRL instrument) for the same day are superposed as pink and red lines on the DF camera image, respectively. The key ground-based sites are also marked on the same image. Figure 1b is similar to



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Fig. 1a, except it is for 15 March 2006. In the following section, we use the data from all these ground-based and airborne instruments to assess aerosol characteristics, and spatial and temporal variability, on the two case study days. These results are then used to validate and test the limits of the MISR retrievals in the subsequent section.

AOD from the J31 and the ground-based instruments used in this study are interpolated to MISR mid-visible wavelength (558 nm) using the Ångström formula, and is reported at 558 nm throughout this paper.

### 3.1 Suborbital constraints on the aerosol environment for 6 March 2006

On 6 March 2006, AOD is available over four of the Microtops ground stations and over three AERONET stations (Tables 1b and 1c). Aerosol optical depth (Fig. 2a) shows diurnal variation with higher AOD in the afternoon hours compared to the morning. In Fig. 2, the lines in different colors depict the AOD variations over different ground stations (mapped in Fig. 1) in the field campaign area. In the forenoon (16:00–17:30 UTC; Local time = UTC –6h), the AOD range over the five stations is within 0.1, indicating low spatial variability. Between 17:00 and 18:00 UTC, AOD at the TEC station to the northwest decreases while AOD over all other stations increases. Figure 2a shows that in the afternoon, the AOD at the T1 and Tampico supersites in the northeast is  $\sim 0.1$ – $0.15$ , which is about half that at the T0 supersite ( $\sim 0.25$ ) in the central city. The regional wind circulation on 6 March may explain the diurnal and spatial distribution of aerosols on this day. During the first part of the campaign (1–8 March), the eastward movement of a high-pressure system at 700 hPa from northwestern Mexico caused the winds to blow from the north and east over Mexico (Fast et al., 2007). The clockwise circulation transported pollutants towards the Pacific Ocean that eventually were transported back over Mexico (Fast et al., 2007). From the MISR RGB image for 6 March (Fig. 1a), we find at least two biomass-burning fires, one each to the northeast and southeast of Mexico City. The wind circulation explains the transport of aerosols from these locations into the field campaign region, possibly missing the AERONET supersites farther north at T1 and Tampico.

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We can infer the type of aerosols in the study area by analyzing the vertical distribution of aerosol intensive properties from the HSRL measurements (Burton et al., 2012). Figure 3 shows the vertical distribution of aerosol properties on 6 March 2006 as measured by the HSRL instrument on B200 Flight 10. The HSRL made several observations around the Mexico City area from 16.75 to 17.75 UTC, and in the discussion below we concentrate on this time window, as MISR passed over the area at 17.25 UTC. The aerosol extinction profiles at 532 nm (Fig. 3a) show high extinction at the surface and at 3.5 to 5 km ASL (see red colors). This shows the high aerosol concentrations both in the boundary layer and higher in the atmosphere. During the MILAGRO campaign, the maximum daily mixing layer depths always reached 4.2 km ASL and frequently extended to 6.2 km ASL (Shaw et al., 2007). Mexico City is at an elevation of  $\sim 2.24$  km ASL. Figure 3b–d, respectively, present the aerosol extinction to backscatter ratio (532 nm), aerosol wavelength dependence (1064 nm/532 nm) or the backscatter related Angstrom exponent, and the aerosol depolarization ratio (532 nm). The aerosol extinction to backscatter ratio (Fig. 3b) has high values near the surface at some locations (e.g. see values corresponding to 17.20–17.25 UTC). In this time window, aerosol wavelength dependence (1064/532 nm) shows a similar pattern (Fig. 3c) while the aerosol depolarization ratio is low (Fig. 3d). High extinction-to-backscatter ratio, high wavelength dependence and low depolarization values can indicate the presence of urban aerosol, as shown in the HSRL classification result in Fig. 3e. On the other hand, high depolarization values (e.g. below  $\sim 3$  km and between 17.35–17.4 UTC in Fig. 3d) are indicative of the presence of dust particles (see Fig. 3e) (Burton et al., 2012). Qualitative classification of aerosol intensive parameters measured by HSRL (Burton et al., 2012), shown in Fig. 3e, indicates the presence of three distinct aerosol types (dusty mix, smoke and urban pollution particles) on 6 March. It is noteworthy that the dusty mix type identified by HSRL here is not pure dust. This identification occurs when depolarization measurements indicate the presence of dust along with other components (particularly smoke or pollution) that are not distinguishable by the HSRL (see Burton et al., 2012 for details). Several other studies that analyzed the

aerosol optical and chemical properties during the field campaign (e.g. Marr, et al., 2006; Marley et al., 2007, 2009a; Stone et al., 2008; Querol et al., 2008; Corr et al., 2009; Miranda et al., 2009; Bergstrom et al., 2010; De Foy et al., 2011) corroborate the aerosol type results from the HSRL and add detail, suggesting that the study area contained urban aerosols, including black carbon and organic matter, and mineral dust aerosols during the campaign period.

Observations made by instruments at ground sites suggest that on 6 March 2006, the average AOD (558 nm) in central Mexico City was 0.1 in the forenoon (16 UTC) and increased to  $\sim 0.4$  by 21 UTC (Fig. 2a). Lower AOD observations spaced about 30 km apart at the T1 and Tampico sites to the northeast of Mexico City suggest gradients in AOD in the Mexico City basin. Ground-based instruments observe three-to-four aerosol size modes that are likely from different aerosol sources, such as biomass burning, urban pollution and dust, and the vertical distribution of aerosol properties from the HSRL also indicates the presence of distinct smoke, urban and dusty mix aerosol layers on 6 March.

### 3.2 Suborbital constraints on the aerosol environment for 15 March 2006

In contrast to 6 March, the AOD from ground stations is higher in the study area on 15 March and there is not much variation in the AOD throughout the day (Fig. 2b). However, similar to 6 March, a spatial AOD gradient between central Mexico City and its surrounding region is observed in Fig. 2b. The lack of diurnal variation on 15 March can be attributed to locally uniform spatial mixing of aerosols due to high winds in the study area. A cold surge occurred between 14–15 March in this region, with northerly wind speeds as high as 15 m/s, which affected the local transport of pollutants over central Mexico (Fast et al., 2007). Kleinman et al. (2009) analyzed the DMA and PCASP aircraft measurements of aerosol size distribution and found three-to-four modes in MCMA on 15 March. This aerosol size distribution is produced by multiple aerosol sources in the region. Moffet et al. (2008) and Stone et al. (2008) suggest that the submicron-mode aerosols are comprised of industrial emissions, biomass and biofuel

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burning and aged organic carbon (OC). Aiken et al. (2010) show that the dominant source of BB OC aerosols found in the Mexico City area are from forest fires burning in the surrounding mountains. The coarse mode was dominated by inorganic dust particles, 50 % of which were coated with OC (Moffet et al., 2008). Wang et al. (2010) suggest traffic emissions, aging of aerosols, local photochemical production of secondary aerosol species and wind-blown dust as other sources of aerosols in MCMA. Analysis of the HSRL-measured intensive aerosol properties over our study area between 17:05 and 17:35 UTC on this day (Fig. 4) also shows that urban aerosols accounted for nearly 80 % (median value) of the total AOD.

Based on the analysis of aerosol properties measured by ground-based and aircraft instruments on our case study days, the aerosol types found in the study area are again comprised of smoke, urban pollution and dust aerosols. However, the meteorology of the region is different on these days, which leads to differences in the spatial and temporal distributions of aerosols. Wang et al. (2010) suggest that during the daytime, over the Mexico City area, changes in aerosol size and composition occur over time scales of about a few hours. Spatial gradients in AOD and size distribution measured at various surface sites also suggest aerosol variability at small spatial scales. The selection of aerosol models for MISR research retrievals (discussed in Sect. 4) is based on published literature and the analysis of suborbital information on aerosol type presented in Sects. 3.1 and 3.2.

## 4 The MISR aerosol research retrievals with inputs from field campaign data

### Research retrieval theory

In the MISR Research Aerosol Retrieval algorithm (Kahn et al., 2001), the TOA radiation is simulated using a radiative transfer (RT) code developed by the MISR Team (Martonchik et al., 1998) that is based on the matrix operator method (Grant and Hunt, 1968). For a given aerosol particle analog, vertical distribution, sun and viewing

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geometry and surface reflectance properties, the RT code simulates the TOA radiances as would be observed by the MISR instrument. The radiances produced by up to four component aerosol particles are then weighted by their fractional contributions to the AOD to obtain the radiance of the aerosol mixture (Abdou et al., 1997). The over-land retrievals use all four MISR wavelengths. In the present study, MISR observations are simulated over MCMA. We assume a Rayleigh-scattering atmosphere with a surface pressure of 776 mb (Mexico City is at an elevation of  $\sim 2200$  m), and a standard mid-latitude temperature profile. The ozone abundance in the study area was obtained from Ozone Monitoring Instrument (OMI) data (Ahmad et al., 2003). The aerosols are assumed to be concentrated in a near-surface layer, except for medium-mode dust aerosols. The surface reflectance parameters are prescribed from the MISR Land Surface Product at each of the four MISR wavelengths, based on an analysis of the MISR surface retrieval record on low-AOD days, for the same viewing geometry and location of interest. In this case, the atmosphere is relatively cloud-free, the surface is reasonably well characterized, and the AOD is sufficient to provide a good atmospheric signal. These are reasonably good atmospheric conditions for remote-sensing aerosol retrievals.

The TOA simulated radiances are converted to TOA reflectance and compared to the MISR observed reflectance to determine the AOD and aerosol type. The agreement between simulated and observed TOA reflectance at all four wavelengths and at 9 viewing angles is assessed using three different Chi-Squared ( $\chi_{\text{abs}}^2$ ,  $\chi_{\text{geom}}^2$ ,  $\chi_{\text{spec}}^2$ ) tests (Kahn et al., 2001). The largest of ( $\chi_{\text{abs}}^2$ ,  $\chi_{\text{geom}}^2$ ,  $\chi_{\text{spec}}^2$ ) for a given mixture is designated as  $\chi_{\text{max-3}}^2$ . The comparisons are made for all possible combinations of aerosol particles in different proportions (from 0 to 100 % in increments of 5 %) within each four-component mixing group. In our study, the AOD for each combination is varied from 0.0 to 1.0, in steps of 0.05. We examined a hierarchy of relative and absolute acceptance criteria applied to ChiMin (the minimum value of  $\chi_{\text{max-3}}^2$  for all mixtures in a retrieval region), and looked for convergence toward a consistent result as more stringent criteria were applied. Kahn and Limbacher (2012) used similar criteria and provide further

details on this approach. Unless specified otherwise, values shown in this paper are based on those retrievals meeting the  $\chi_{\max-3}^2 < \text{ChiMin}+0.1$  criterion.

## Aerosol components and mixing groups

Table 2 lists the aerosol component particles that define the aerosol mixtures used in this study. Table 3 presents the four-component aerosol mixing groups. Each of the 45 distinct aerosol components in Table 2 is defined by spectral real and imaginary indices of refraction and a lognormal size distribution, given as the particle geometric mean radius ( $r_{\text{pg},\text{N}}$ ) and geometric standard deviation ( $\sigma_{\text{g}}$ ). The optical properties for each spherical component are derived using a standard Mie scattering code, with the exception of the dust grains. The component particles represent biomass burning, urban pollution and dust aerosol particles that span five particles size ranges (very very small (VVS), very small (VS), small (S), medium (M) and large (L) particles) and four single scattering albedo ranges (very absorbing (VA), absorbing (A), less absorbing (LA) and weakly absorbing (WA) particles). For biomass burning particle analogs in Table 2, the sizes are: VVS ( $r_{\text{pg},\text{N}} = 0.04 \mu\text{m}$ ), VS ( $r_{\text{pg},\text{N}} = 0.07 \mu\text{m}$ ), S ( $r_{\text{pg},\text{N}} = 0.13 \mu\text{m}$ ) and S2 ( $r_{\text{pg},\text{N}} = 0.16 \mu\text{m}$ ) and the mid-visible SSA values are: A ( $\omega_{0.558} = 0.84$ ), LA ( $\omega_{0.558} = 0.90$ ) and WA ( $\omega_{0.558} = 0.94$ ). The sizes of the other spherical particle types that represent both pollution and biomass burning particle analogs (Table 2) are: VVS ( $r_{\text{pg},\text{N}} = 0.06 \mu\text{m}$ ), VS ( $r_{\text{pg},\text{N}} = 0.12 \mu\text{m}$ ), S ( $r_{\text{pg},\text{N}} = 0.24 \mu\text{m}$ ), M ( $r_{\text{pg},\text{N}} = 0.24 \mu\text{m}$ ) and L ( $r_{\text{pg},\text{N}} = 0.5 \mu\text{m}$ ) and the mid-visible SSA values are: VA ( $\omega_{0.558} = 0.8$ ), A ( $\omega_{0.558} = 0.85$ ), LA ( $\omega_{0.558} = 0.9$ ) and WA ( $\omega_{0.558} = 0.95$ ). Strong spectral dependence of aerosol absorption defines the “steep” particles that represent biomass burning aerosols, whereas the “flat” particles that represent pollution aerosols have spectrally independent absorption (Bond and Bergstrom, 2006).

Table 3 lists the 23 mixing groups used in this study. These mixtures are created to test the ability of the MISR algorithm to distinguish between sizes (M1–M4), steep and flat SSA spectral slope (M6, M7, M21), spherical and non-spherical (M10–M13), absorbing and non-absorbing (M14–M17) particles (Kahn et al., 2001). Based on the

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targeted tests, the mixing groups are collected into 10 larger groupings listed in column 1 of Table 3. The last column in Table 3 provides a detailed description of the objective for each grouping. The mixing groups are created such that they span the range of sizes and optical properties of biomass burning, pollution and dust particles that were found in the MCMA during the INTEX-B field campaign.

## Research retrieval regions

Figure 5 shows the area over which MISR research retrievals are performed in this study for 6 March. There are more than 400 individual retrieval regions in the retrieval area in Fig. 5. Research retrievals are performed over  $825\text{ m} \times 825\text{ m}$  areas ( $3 \times 3$  275 m MISR Local Mode radiance pixels) and this defines the retrieval regions in our case. We call these “high-resolution” retrievals when compared to the  $17.6\text{ km} \times 17.6\text{ km}$  retrieval regions of the L2 MISR Standard aerosol product, and refer to them as HR regions in the paper. The locations of ground-based Microtops and AERONET stations are indicated as small white circles in Fig. 5. If ground observations of AOD are available at satellite overpass time, their locations are indicated as red circles in Figs. 5a and 6a for 6 March and March 15, respectively. The HR region closest to the ground station having a valid AOD observation is indicated by a red-black circled dot in Figs. 5a and 6a. HSRL AOD along the flight track is also shown for 6 March and March in Figs. 5a and 6a, respectively. AOD comparisons are tabulated in Table 4. The following section discusses the MISR research retrieval results.

### 4.1 MISR research retrievals over Mexico City basin on 6 March 2006

An analysis of the AOD and aerosol properties (shape, size, and SSA) from the MISR research retrieval algorithm, identifying aerosol air mass type, is presented here. Unless specified otherwise, the aerosol air mass and associated properties refer to MISR Research Algorithm retrievals. Figure 5a shows the spatial distribution of 558 nm AOD from the MISR research algorithm, overlaid with 558 nm AOD from ground-based

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of their SSA range and the % contribution from 0.12 and 0.26 size particles, and the differences are within the range of measurement uncertainties. Aerosol type classifications from HSRL (see Table 6) also show more smoke ( $\sim 55\%$ ) in A1 and more urban pollution particles in A2, A3 and A4 (41–52%). HSRL data imply that the prevalent 0.26  $\mu\text{m}$  particles observed by MISR in A1 are analogs of smoke particles and the smaller 0.12  $\mu\text{m}$  particles in A2 are better analogs of pollution particles. MISR retrievals over the central Mexico City area show that the aerosol air mass A3 is dominated by pollution particles (0.12  $\mu\text{m}$ ) that are mixed with  $\sim 35\%$  dust. HSRL observations verify the presence of more urban (51%) aerosols in A3 compared to A1, although the percent contribution of dusty mix to LAOD is similar (Table 6). Ground-based instruments that observed inorganic dust particles and sub-micron sized aerosols in the A3 area provide further validation of dust detected by MISR in A3. The broader size distribution of small particles in MISR retrievals over A1, A2, and A4, however, is not supported by the HSRL data, which instead shows  $\sim 39\%$ – $45\%$  contribution from dusty mix type aerosols. However, it is noteworthy that HSRL may have only observed a portion of the aerosol air masses identified by MISR e.g. HSRL observed the rightmost edge of A3 where MISR does see up to 45% dust. Also note that the dusty mix HSRL classification is not pure dust and this identification occurs when depolarization measurements indicate the presence of dust with other components (particularly pollution vs. smoke) (Burton et al., 2012). Table 6 shows the uncertainty in AOD assigned to different aerosol classes. We calculate the uncertainty value by considering HSRL AOD as the total AOD instead of the LAOD; this accounts for unclassified near-surface AOD and provides the lower limit on the calculations. However, as this location is a source region for pollution particles, the near-surface component is likely to contain a larger fraction of such particles than the total column. Also, note that MISR is generally not sensitive to the properties of aerosol components that contribute  $< 20\%$  to the total AOD (Kahn et al., 2001), which in this case could include the dust component reported by the HSRL over A2 and A4, where MISR detects up to 20 and 30% medium sized particles but no dust.

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The comparison of aerosol properties from MISR against sub-orbital HSRL observations illustrates the capability of MISR to qualitatively distinguish aerosol air masses in the MCMA, and suggests that the relative differences in small vs. coarse mode particles in the four aerosol air masses are robust. Based on the comparisons with HSRL we find that on this day, MISR is able to distinguish two air masses in the study region – one dominated by smoke particles (A1) and the others that are a mixture of smoke, pollution and/or dust particles (A2–A4). And as expected, the aerosol type information from MISR, although significant, must be viewed as qualitative.

### 4.2 MISR research retrievals over Mexico City Basin on 15 March 2006

Figure 6a shows the mid-visible AOD retrieved by the MISR research algorithm in our study region on 15 March 2006. AOD ranges between 0.15 and 0.5, higher than that on March 06 (Fig. 5a). The MISR L1B true-color image in Fig. 1b shows more smoke from fires surrounding the Mexico City basin on this day than on 6 March (compare Fig. 1a,b), and a mixture of clouds and fires in the western half of the study region. Note that MISR cannot perform aerosol retrievals over the cloud-covered regions. A gradient can be seen in both AOD and MISR particle property maps in Fig. 6. In the northern half of the figure, there is an east-west gradient in the AOD that is likely due to varying concentrations of biomass burning aerosols from the fires on the NE side of the Mexico City basin. Northerly winds as high as  $15 \text{ ms}^{-1}$  were observed in the area on 15 March (Fast et al., 2007). Gradients in AOD in the SE quadrant also corroborate the gradient in smoke concentration seen qualitatively in Fig. 1b. Where available, the retrieved AOD comparison to ground-based AOD is shown in Fig. 6a (red circles). At MISR overpass time (17.26 UTC), a gradient in AOD is also reflected in the surface measurements, with higher AOD at Hidalgo (0.54) than at the T0 (0.4) or UAMI (0.41) sites. Hidalgo is closer to Mexico City, whereas T0 is to the north, and UAMI is to the southeast, as shown in Fig. 6, providing qualitative verification of the MISR-retrieved AOD pattern. Near-coincident HSRL observations provide further validation, with higher AOD (0.47) in the city (near Hidalgo) and lower AOD outside the city (AOD = 0.43 at T0 and 0.32

at TEC and UNAM/Corena) (see Table 4 for AOD comparisons). At UAMI, the MISR AOD (0.41) is in good agreement with the Microtops and HSRL AODs (0.40, 0.44, respectively), whereas at other ground sites, MISR AOD is cloud contaminated (see Fig. 1b.) (Notice the differences in the locations of clouds relative to the surface in the forward and aft camera views that resulted in the cloud-contaminated retrievals).

Returning to Fig. 6b, the fraction AOD non-spherical is higher (50–80 %) around central Mexico City and lower (generally < 10 %) elsewhere. This is due to the presence of the thin patchy cirrus clouds at high altitudes (> 9.5 km ASL) in the region, as confirmed by the J31 and B200 aircraft field catalogs (<http://catalog.eol.ucar.edu/cgi-bin/milagro/report/index>). Examination of cirrus reflectance from MODIS also indicates the presence of thin localized cirrus clouds over the central city. However, the HSRL aerosol classification in the SW quadrant indicates that urban aerosols made the greatest contribution to AOD over the city, whereas a mixture of dust and urban aerosols were the dominant retrieved AOD components outside the city (Fig. 4). In other parts of the study area (NW, NE and SE quadrants), the particle properties agree qualitatively with the presence of smoke, as observed by MISR (see Fig. 1b). The research algorithm favors ~ 50 % VVS and ~ 30 % VS sized biomass burning aerosols in the NE quadrant. The HSRL transect to the north also shows > 55 % smoke in this region (classification in this region not shown in Fig. 4). SSA co-varies with AOD, similar to 6 March. However, the aerosol air masses in the study area are, in general brighter (higher SSA) on March 15. Regions dominated by biomass burning aerosols (e.g. NW quadrant) might have different SSA values due to the burning of different fuel types or the presence of biomass burning aerosols from different fire stages (e.g. flaming vs. smoldering) (Reid et al., 2005) on the two days. Aiken et al. (2010) mention that the fires were somewhat more intense in early March. Also, during the first 13 days of the field campaign (March 1–13, 2006) the surface conditions in MCMA were usually dry, with less than 10 % relative humidity (RH) in the afternoon. A cold surge during March 14–15 increased the RH to 40 % at the surface and 60 % at ~ 3 km (Fast et al., 2007) where smoke aerosols were

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found. Higher RH at the altitude with smoke (3 and 4 km) can also result in hygroscopic growth of the aerosols, contributing to higher SSA on 15 March.

Based on the comparisons of MISR research retrievals against sub-orbital data, we confirm that MISR can distinguish aerosol air mass qualitatively, based on shape, size and SSA. From MISR research retrievals, the following conclusions are drawn about the two case study days. We see different aerosol air masses on each day. On both days, spherical absorbing particles dominate over non-absorbing ones, although there is regional variation in this. The size of the dominant absorbing (SSA = 0.85) particles is smaller ( $r_{\text{eff}} = 0.06 \mu\text{m}$ ) on 6 March compared to 15 March ( $r_{\text{eff}} = 0.12 \mu\text{m}$ ). Except for the central Mexico City area (SW quadrant), there is more dust on 6 March relative to 15 March. This result is confirmed by HSRL observations. Drier conditions on 6 March favor the presence of more dust. Spherical absorbing particles having steeply sloping spectral absorption are favored more than their flat counterparts on both the days. Smoke and pollution particles are distinguished based on particle size. SSA from both AERONET and MISR research retrievals suggest that, on an average, the aerosol air masses are brighter (higher SSA) on 15 March.

### 4.3 Analysis of MISR L2 standard aerosol product over our study region

The MISR L2 standard AOD in the MCMA (our study area) was higher than the surrounding regions on both 6 and 15 March 2006. AOD in MCMA is higher on 15 March than on 6 March, all in agreement with sub-orbital and research retrievals. However, the AOD on both days is lower than all the in-situ observations used in this study. AOD was not retrieved over a few regions within MCMA that resulted in either fill values (from neighboring retrievals) or no reported AOD values. We further investigated the performance of the MISR standard aerosol retrieval algorithm over MCMA by looking closely at the retrieval diagnostic parameters and indicators reported for each  $17.6 \times 17.6 \text{ km}^2$  retrieval region in the L2 Aerosol product. Successful aerosol retrievals identified primarily large and small/medium spherical non-absorbing particle mixtures. Mixtures containing dust were selected in few other instances. In one

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instance, the standard algorithm picked dust mixtures on 15 March in regions where thin cirrus clouds were present. When the retrieved AOD was very low ( $< 0.05$ ), a large number of successful mixtures ( $> 50$ ) contributed towards the regional best estimate AOD. As discussed by Kahn et al. (2010), we find that in the urban environment of Mexico City, the standard MISR algorithm tends to pick mixtures with high single scattering albedo ( $SSA_{558} = 1.0$ ), resulting in an underestimation of AOD. The Regional Class Indicator flag shows that retrievals were not attempted over regions flagged as topographically complex. Retrieval flags (RetrAppMask) for regions with no L2 AOD retrievals also show that over most of these regions, retrievals failed due to poor correlation of the equivalent reflectance spatial distribution from one MISR view angle to another. Poor angle-to-angle correlation can result from features such a complex terrain, aerosol plume edges, cloud edges, cloud shadows, and unscreened glint regions. We further investigated the diagnostic flags for 6 months of MISR L2 data for the same spacecraft paths (P026, P025) as the 6 March and 15 March observations, and find the above results to hold true for all L2 retrievals over our study region having similar viewing geometry. The feasibility of retrieving AOD using the research retrieval algorithm and better agreement of AOD and aerosol properties with validation data indicates that the heterogeneity in aerosol particle type and aerosol loading over the  $17.6 \times 17.6$  km retrieval regions of the MISR standard algorithm, the presence of very dark pollution particles ( $SSA_{558} = 0.7$ ) in MCMA, complex topography and the vertical distribution of aerosols (smoke at  $\sim 4$  km) limit the retrieval results from the MISR standard algorithm. This suggests the need to include darker pollution-like particles and to perform higher spatial resolution retrievals with the MISR observations for urban regions such as Mexico City.

### 5 Notes on MISR aerosol type sensitivity over urban regions

Based on the results from our study, a synthesis of the sensitivity of MISR observations to urban aerosol type is given in this section. The sensitivity of MISR to the aerosol

particle properties has been explored in earlier publications (Kahn et al., 1997, 1998, 2001; Kalashnikova and Kahn, 2006; Chen et al., 2008; Pierce et al., 2010). This section provides details specific to a complex urban environment such as the Mexico City Metropolitan Area. We also note the findings from the MISR standard algorithm here.

- 5 – On the two study days, the MISR research algorithm cannot distinguish between weakly absorbing and non-absorbing particles. The weakly absorbing ( $\omega_{0.558} = 0.95$ ) spherical particles in mixtures were found to be replaceable by non-absorbing ( $\omega_{0.558} = 1.0$ ) spherical particles in the retrievals. In regions where dust particles were picked more frequently by the research algorithm, the dust particle ( $r_{\text{eff}} = 0.75 \mu\text{m}$ ;  $\omega_{0.558} = 0.97$ ) could be substituted by a larger non-spherical red-dust (ellipsoidal) particle ( $r_{\text{eff}} = 1.18 \mu\text{m}$ ) with a lower SSA (0.88). Based on earlier sensitivity studies (Kahn et al., 2001; Kalashnikova and Kahn, 2006), this is expected, though this detail is specific to a complex urban environment such as MCMA.
- 10 – Also, for those sites where very dark particles dominate, we find that the multiple mixtures passing our acceptance criteria yield a range of AOD (0.1–0.25). Only the lower SSA ( $\sim 0.7$ ) solutions were found to provide AODs that matched well with suborbital observations, highlighting ambiguity in the MISR results under these circumstances.
- 15 – In situations such as on 6 and 15 March 2006, when aerosols from local pollution mix with transported biomass burning aerosol from fires on adjacent mountains, the MISR research algorithm could not distinguish between particles that show steep vs. flat spectral behavior of aerosol absorption or SSA (i.e. smoke vs. pollution). However, urban and smoke aerosols were distinguished based on particle size, as confirmed by suborbital validation data.
- 20 – As discussed in previous work (Kahn et al., 2010), retrieval sensitivity was found to vary with environmental conditions such as surface brightness, surface elevation,
- 25

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scene heterogeneity, presence of clouds, aerosol optical depth and the range of scattering angles observed by MISR. For example, obtaining robust results from the research algorithm over the fire plumes to the east of Mexico City was difficult, because the plume appeared to move relative to the ground as the camera angle changed due to plume height, and the plumes were too small to co-register consistently at higher elevations. This also made the relative abundance of spherical vs. non-spherical particles difficult to constrain at locations where elevated smoke was in the FOV of some of the MISR cameras. High-altitude cirrus clouds posed similar challenges on 15 March.

- Analysis of the MISR L2 standard aerosol product (V22) showed that the aerosol optical depth over MCMA (mean  $\sim 0.1$ ) was higher than the surrounding regions (mean  $\sim 0.05$ ) on both days, and that the V22 AOD was higher on 15 March than on 6 March. However, in regions where co-incident ground based AERONET or Microtops observations were available, MISR L2 V22 underestimated the AOD (see Table 4). Our analysis shows that in the V22 climatology, the lack of spherical absorbing ( $SSA_{558} = 0.85\text{--}0.95$ ) particles at sizes other than  $0.12\ \mu\text{m}$  effective radius and very absorbing ( $SSA_{558} = 0.7$ )  $0.12\ \mu\text{m}$  effective radius particles (found to be pollution particle analogs in MCMA) would resolve the AOD underestimation in these cases.
- The MISR V22 standard AOD retrievals over central Mexico City were missing on both days for two major reasons: the presence of clouds, and poor correlation of the equivalent reflectance spatial distribution from one MISR view angle to another (caused by spatial heterogeneity in aerosol loading, particle types, and cloud occurrence, as well as topographic complexity). Similar issues were found in the V22 AOD product for other overpasses in this region as well.

## 6 Summary and conclusions

In this study we have assessed the ability of the MISR Research aerosol retrieval algorithm to distinguish different aerosol air mass types in the Mexico City Metropolitan Area on two days, 6 March and 15 March 2006, during the INTEX-B field campaign for which we have coincident, ground-based and aircraft observations. Additionally, the MISR Research retrieval results were used to evaluate the performance of the MISR V22 Standard aerosol retrieval algorithm in our study area. Comparison of aerosol properties retrieved from MISR against the sub-orbital HSRL verify the ability of MISR to distinguish qualitatively between different aerosol air masses in MCMA. Both MISR and sub-orbital observations suggest the presence of mixtures of dust, biomass burning and urban aerosols in the study area. We summarize the findings from the MISR Research retrieval algorithm here.

- In MCMA, MISR AOD shows regional variability at  $< 17.6$  km scales on both 6 March and 15 March 2006. The AOD and its variability are lower on 6 March than on 15 March. Sub-orbital measurements confirm these results and also provide qualitative verification of the MISR retrieved AOD pattern in the study area. Local meteorology governs the distribution of aerosols in MCMA.
- On both the study days, the MISR research algorithm identified distinct aerosol air masses in the study area. Aerosol air masses downwind of the biomass burning fires were aptly distinguished from regions where dust aerosols were more prevalent (e.g. over central Mexico City on 6 March).
- Smoke particle sizes were different on the two days and the aerosol air masses were, on average, brighter (higher SSA) on 15 March. This is likely due to the difference in relative humidity conditions, as was observed by meteorological instruments on the two days, and possibly also to differences in fire intensity, burning and relative contribution of different fuels (e.g. grassland vs. forest) and/or due to

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different stage of fires (e.g. flaming vs. smoldering) on the two days (Aiken et al., 2010; Crounse et al., 2009; Marley et al., 2009a; Yokelson et al., 2011).

- Based on the retrieved particle size parameter, we show that the algorithm is able to distinguish smoke from urban aerosols. For example, for 6 March, comparisons with HSRL data imply that the prevalent 0.26  $\mu\text{m}$  particles observed by MISR in aerosol airmass A1 of Fig. 5 are analogs of smoke particles, and the smaller 0.12  $\mu\text{m}$  particles in A2 are better analogs of pollution particles. We conclude that urban pollution particles are smaller than smoke aerosols in this situation.
- Detailed analysis of MISR research retrieval results suggests that two distinct aerosol air masses were identified over the Mexico City metropolitan area using MISR research retrievals: one dominated by smoke and another consisting of a mixture of smoke, dust and pollution particles. In general, > 70 % of the AOD was attributed to spherical absorbing particles and up to 15 % to spherical non-absorbing particles. Non-spherical particle dust analogs contributed up to 30 %. The gradients in the particle properties agree qualitatively with HSRL in most cases.

In summary, over an urban area, surface heterogeneity, lack of specific knowledge about particle microphysical properties, limited angular sampling, poorly constrained aerosol vertical distribution, coupled with low AOD conditions (as on 6 March) can make it difficult to constrain particle properties. MISR research retrievals from this study demonstrate that over MCMA, MISR can distinguish at least two-to-three aerosol modes (fine and medium/coarse), and that fine mode (< 0.26 micron) spherical absorbing aerosols are dominant in the region during our study period. MISR retrievals cannot tell with confidence the difference between medium mode and coarse mode aerosol types in relatively low AOD situations over bright and varying urban surfaces. The distinction between urban and biomass burning aerosols under these circumstances is made based on particle size, though not on particle SSA. Taken together, MISR was able to aptly distinguish regions dominated by dust, smoke and/or urban aerosols for

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our study days. Taking advantage of over 12 yr of global observations from MISR, our future work will focus on determining better constraints on particle properties for mapping the aerosol air mass type and AOD gradients in global mega-city environments.

*Acknowledgements.* We acknowledge the collaborative effort of a large number of participants and multi-national agencies that contributed to the MILAGRO/INTEX-B Campaign. We are thankful to Jens Redemann (PI) for the spectral AOD measurements from the AATS-14 instrument on J31 aircraft. We gratefully acknowledge the NASA Langley Flight Research Service Directorate for their support of B200 flight operations during MILAGRO. Support for the HSRL deployment during MILAGRO and the analyses of these data was provided by the NASA Science Mission Directorate, the NASA CALIPSO project, and the Office of Science (BER), US Department of Energy (Atmospheric Science Program), Interagency Agreement No. DE-AI02-05ER6398. We also acknowledge AERONET site managers at Mexico City A. Leyva, Technician H. R. Estevez from the Institute of Geophysics at UNAM and the AERONET global PI, B. Holben, for making available an extensive and high quality aerosol data set. We thank the PIs of various instruments at the five ground sites (Hidalgo, Mexico City, UNAM, UAMI and Corena). Size distribution, meteorological, and aerosol optical depth data from these sites were used to evaluate MISR retrievals. Special thanks to Bob Yokelson for helpful discussions about the fires during our study period, and to B. De Foy for insights about the relative humidity data. Thanks go to S. Madronich, L. Molina, and J. Meitin for their dedication in making the MILAGRO campaign a success for all. The work of R. Kahn is supported in part by NASA's Climate and Radiation Research and Analysis Program under H. Maring, NASA's Atmospheric Composition Program under R. Eckman, which also supported F. Patadia, and the NASA Earth Observing System MISR instrument project.

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**Table 1a.** Aircraft, ground and satellite based instruments, the aerosol properties used from these instruments and their availability on the two case study days.

Instrument	Property	6 Mar	15 Mar
AATS-14	Aerosol Optical Depth (AOD)	Y	Y
HSRL	ADEP, ASR, BSC, EXT, SA, WVD*	Y	Y
Microtops	AOD	see Table 1b	see Table 1b
Sunphotometer	AOD, AE, SSA	see Table 1c	see Table 1c
MISR	AOD, AE, SSA	Y	Y

\* ADEP: Aerosol Depolarization Ratio (532 nm); ASR: Aerosol Scattering Ration (532 nm); BSC: Aerosol Backscatter Coefficient (532 nm); EXT: Aerosol Extinction Coefficient (532 nm); SA: Extinction to Backscatter ratio (532 nm); WVD: Wavelength Dependence (532 nm)

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**Table 1b.** Ground-based Microtops Network in the INTEX-B field campaign region

Site	Latitude	Longitude	Altitude (m)	Wavelength (nm) <sup>c</sup>	Start/End	6 Mar <sup>b</sup>	15 Mar <sup>b</sup>
HIDALGO	19° 26.316 N	99° 8.854 W	2260	340; <b>440;675;870</b> ;936	13–29 Mar	N	Y
UNAM	19° 19.484 N	99° 10.805 W	2300	340; <b>440;675;870</b> ;936	6–29 Mar	Y	N
CORENA	19° 16.390 N	99° 12.277 W	2570	<b>440;870</b> ;936;1640;2100	6–29 Mar	Y	Y
TEC <sup>a</sup>	19° 35.703 N	99° 13.654 W	2350	<b>440;870</b> ;936;1640;2100	6–29 Mar	Y	N
UAM I	19° 21.536 N	99° 4.429 W	2250	380; <b>500;675;870</b> ;1640	6–29 Mar	Y	Y

<sup>a</sup> Tecnológico de Monterrey <sup>b</sup> Y/N => Yes/No => AOD observation is/is not available <sup>c</sup> Wavelengths in bold indicate the wavelengths at which AOD observation is available.

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**Table 1c.** AERONET sites in the INTEX-B field campaign region.

Location	Latitude	Longitude	Altitude (m)	Wavelength (nm)*	Start/End	6 Mar	15 Mar
Mexico City	19° 20.033 N	99° 10.917 W	2268	<b>340;380;500;</b> <b>440;675;870;</b> 1020;1640	1999–2000	N	N
T0-Max-Mex	19° 29.280 N	99° 8.820 W	2268	340; <b>380;500;</b> <b>440;675;870;</b> 1020;1640	2 Mar–18 Apr	Y	Y
T1-Max-Mex	19° 48.166 N	99° 58.917 W	2272	<b>340;380;500;</b> <b>440;675;870;</b> 1020;1640	6–31 Mar	Y	Y
Tampico-Max-Mex	22° 16.667 N	97° 51.833 W	15	<b>340;380;500;</b> <b>440;675;870;</b> 1020;1640	1–30 Mar	Y	N

\* Wavelengths in bold indicate the wavelengths at which AOD observation is available.

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**Table 2.** Aerosol component particles used in the MISR research aerosol retrieval algorithm.

P#	Component Model	$r_{\text{eff}}$ ( $\mu\text{m}$ )	$r_{\text{pg,N}}$ ( $\mu\text{m}$ )	$\sigma_g$ ( $\mu\text{m}$ )	$\omega_{0.446}$	$\omega_{0.558}$	$\omega_{0.672}$	$\omega_{0.867}$	$\eta_r$ (all $\lambda\text{s}$ )
p1	bioburn_VVS_A	0.06	0.04	1.6	0.861	0.838	0.816	0.777	1.5
p2	bioburn_VVS_LA	0.06	0.04	1.6	0.91	0.8990.888	0.868	1.5	
p3	bioburn_VVS_WA	0.06	0.04	1.6	0.95	0.939	0.928	0.908	1.5
p4	bioburn_VS_LA	0.12	0.07	1.6	0.91	0.899	0.888	0.868	1.5
p5	bioburn_VS_WA	0.12	0.07	1.6	0.95	0.939	0.928	0.908	1.5
p6	bioburn_S_A	0.22	0.13	1.6	0.861	0.838	0.816	0.777	1.5
p7	bioburn_S_LA	0.22	0.13	1.6	0.91	0.899	0.888	0.868	1.5
p8	bioburn_S_WA	0.22	0.13	1.6	0.95	0.939	0.928	0.908	1.5
p9	bioburn_S2_A	0.28	0.16	1.6	0.861	0.838	0.816	0.777	1.5
p10	bioburn_S2_LA	0.28	0.16	1.6	0.91	0.899	0.888	0.868	1.5
p11	sph_abs_flat_VVS_LA	0.06	0.03	1.65	0.9	0.9	0.9	0.9	1.45
p12	sph_abs_flat_VVS_WA	0.06	0.03	1.65	0.95	0.95	0.95	0.95	1.45
p13	sph_abs_flat_VS_VA	0.12	0.06	1.7	0.8	0.8	0.8	0.8	1.45
p14	sph_abs_flat_VS_A	0.12	0.06	1.7	0.85	0.85	0.85	0.85	1.45
p15	sph_abs_flat_VS_LA	0.12	0.06	1.7	0.9	0.9	0.9	0.9	1.45
p16	sph_abs_flat_VS_WA	0.12	0.06	1.7	0.95	0.95	0.95	0.95	1.45
p17	sph_abs_flat_S_VA	0.26	0.12	1.75	0.8	0.8	0.8	0.8	1.45
p18	sph_abs_flat_S_A	0.26	0.12	1.75	0.85	0.85	0.85	0.85	1.45
p19	sph_abs_flat_S_LA	0.26	0.12	1.75	0.9	0.9	0.9	0.9	1.45
p20	sph_abs_flat_S_WA	0.26	0.12	1.75	0.95	0.95	0.95	0.95	1.45
p21	sph_abs_flat_M_VA	0.57	0.24	1.79	0.80	0.80	0.80	0.80	1.45
p22	sph_abs_flat_M_A	0.57	0.24	1.79	0.85	0.85	0.85	0.85	1.45
p23	sph_abs_flat_M_LA	0.57	0.24	1.79	0.9	0.9	0.9	0.9	1.45
p24	sph_abs_flat_M_WA	0.57	0.24	1.79	0.95	0.95	0.95	0.95	1.45
p25	sph_abs_steep_VVS_LA	0.06	0.03	1.65	0.928	0.90	0.863	0.748	1.45
p26	sph_abs_steep_VVS_WA	0.06	0.03	1.65	0.965	0.95	0.93	0.884	1.45
p27	sph_abs_steep_VS_VA	0.12	0.06	1.7	0.821	0.800	0.773	0.720	1.45
p28	sph_abs_steep_VS_A	0.12	0.06	1.7	0.866	0.850	0.829	0.785	1.45
p29	sph_abs_steep_VS_LA	0.12	0.06	1.7	0.911	0.9	0.885	0.853	1.45
p30	sph_abs_steep_VS_WA	0.12	0.06	1.7	0.956	0.950	0.942	0.925	1.45
p31	sph_abs_steep_S_VA	0.26	0.12	1.75	0.792	0.80	0.80	0.791	1.45
p32	sph_abs_steep_S_A	0.26	0.12	1.75	0.842	0.85	0.85	0.844	1.45
p33	sph_abs_steep_S_LA	0.26	0.12	1.75	0.894	0.9	0.901	0.897	1.45
p34	sph_abs_steep_S_WA	0.26	0.12	1.75	0.946	0.950	0.951	0.949	1.45
p35	sph_abs_steep_M_VA	0.57	0.24	1.79	0.768	0.80	0.821	0.842	1.45
p36	sph_abs_steep_M_A	0.57	0.24	1.79	0.822	0.85	0.868	0.886	1.45
p37	sph_abs_steep_M_LA	0.57	0.24	1.79	0.879	0.9	0.914	0.926	1.45
p38	sph_non_abs_VVS	0.06	0.03	1.65	1.0	1.0	1.0	1.0	1.45
p39	sph_non_abs_VS	0.12	0.06	1.70	1.0	1.0	1.0	1.0	1.45
p40	sph_non_abs_S	0.26	0.12	1.75	1.0	1.0	1.0	1.0	1.45
p41	sph_non_abs_M	0.57	0.24	1.79	1.0	1.0	1.0	1.0	1.45
p42	sph_non_abs_L	1.28	0.5	1.85	1.0	1.0	1.0	1.0	1.45
p43	dust_grains_mode1_h1_0to10_H2	0.75	0.5	1.5	0.919	0.977	0.994	0.997	1.51(green)
p44	dust_grains_mode1_h10_0to10_H2	0.75	0.5	1.5	0.977	0.999	0.943	0.978	1.61(green)
p45	nonspherical_ absorbing_1.18.Io (red dust)	1.18	0.47	2.59	0.805	0.88	0.914	0.98	1.53

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**Table 3.** Aerosol mixing groups used in the MISR research aerosol retrieval algorithm.

Groups	Mixing Groups	Component1	Component2	Component3	Component4	Mixture Description
Group 1	M1	p19	p29	p33	p37	These 4 mixtures have particles with a constant SSA but different particles sizes. This group of mixtures (M1-M4) tests the sensitivity of MISR algorithm to particle size for our study and the most likely SSA in the study area. The sizes are VVS, VS, S, M in the 4 mixtures and the SSA of each mixture is LA, WA, LA, and Non-absorbing, respectively.
	M2	p16	p20	p30	p34	
	M3	p2	p4	p7	p10	
	M4	p38	p39	p40	p41	
Group 2	M5	p31	p32	p35	p36	This mixture of VA and A type of S and M steep particles tests if a combination of size and SSA yields better results compared to above group of mixtures with constant SSA
Group 3	M6	p17	p18	p19	p20	Mixture of Flat Small or Medium particles with different SSA is used to test the sensitivity to distinguish pollution from smoke particles
	M7	p21	p22	p23	p24	
Group 4	M8	p13	p27	p29	p15	These mixing groups test the likely SSA of particle sizes that produce acceptable results. Sensitivity to steep and flat particles is also tested.
	M9	p14	p20	p29	p33	
Group 5	M10	p18	p22	p32	p43	Same as the above group of mixtures but with a dust component (2 different sizes) added to the flat and steep particles of different sizes (S, M).
	M11	p19	p33	p45	–	
Group 6	M12	p19	p33	p37	p43	This group tests if dust can be replaced by a non-absorbing medium sized particle. M12 consists of dust and M13 has a medium non-absorbing particle.
	M13	p25	p29	p33	p41	
Group 7	M14	p12	p15	p33	p41	This group of mixtures consists of particles with different sizes and SSA and tests MISR algorithm's sensitivity to absorbing vs non-absorbing particles.
	M15	p25	p29	p42	p43	
	M16	p19	p40	p41	p42	
	M17	p33	p37	p42	p43	
Group 8	M18	p2	p4	p7	p9	This group of mixtures consists of biomass burning (BB) particles used by Chen et al. (2008). They are used to test if the retrieval algorithm favors these particles over their spherical absorbing counterparts used in groups 1–7. The sensitivity to size and SSA of BB is also tested in this group.
	M19	p2	p4	p6	p10	
	M20	p3	p5	p8	p26	
Group 9	M21	p2	p6	p11	p18	Mixture of BB and pollution like VVS and S particles with LA and A SSA. Similar to group 3, this group tests the algorithm's sensitivity to distinguish pollution from smoke particles
Group 10	M22	p2	p9	p44	–	Same as the group 5 but BB particles.
	M23	p1	p2	p7	p43	

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**Table 4.** AOD (at 558 nm and 532 nm) comparisons between MISR Level 2 (both standard and research retrievals) and other available ground based and aircraft instruments.

Date	Site	Instrument	Time <sup>a</sup> (UTC)	Distance from Site <sup>b</sup>	AATS-14 Pressure Altitude	Sub-orbital 558 AOD (532 AOD)	MISR-R <sup>c</sup>	MISR L2 <sup>c</sup>
6-Mar-06	UAMI	Microtops	17.33	–	–	0.21 <b>(0.22)</b>	0.18	–
		HSRL <sup>d</sup>	17.10	2.49 km	–	– <b>(0.19)</b>	(778 m)	–
		AATS-14	17.28	2.74 km	2.63 km	0.13 <b>(0.15)</b>	–	–
	T0-AERONET	CIMEL	17.32	–	–	0.25 <b>(0.27)</b>	0.13	0.10
		HSRL	16.84	1.41 km	–	– <b>(0.21)</b>	(550 m)	(9 km)
		AATS-14	17.47	230 m	2.63 km	0.11 <b>(0.13)</b>	–	–
	TEC	Microtops	17.33	–	–	0.13 <b>(0.15)</b>	0.11	0.1
HSRL		–	–	–	–	(615 m)	(2.9 km)	
15-Mar-06	UAMI	AATS-14	16.8	5.65 km	5.35 km	0.005 <b>(0.005)</b>	–	–
		Microtops	17.25	–	–	0.40 <b>(0.43)</b>	0.41	0.22
		HSRL	17.18	4.2 km	–	– <b>(0.44)</b>	(2.2 km)	(6.7 km)
	HIDALGO	AATS-14	17.86	6 km	4.9 km	0.25 <b>(0.25)</b>	–	–
		Microtops	17.25	–	–	0.54 <b>(0.58)</b>	0.28	0.12
		HSRL	17.16	1.63 km	–	– <b>(0.47)</b>	(1.13 km)	(5.4 km)
	UNAM	AATS-14	17.25	922 m	2.6 km	0.19 <b>(0.20)</b>	–	–
		Microtops	–	–	–	–	0.43	–
	CORENA	HSRL	17.22	2.3 km	–	– <b>(0.32)</b>	(2.3 km)	–
		Microtops	17.7	–	–	2.5 (anamalous)	–	–
		HSRL	17.36	1.75 km	–	0.32	–	–
	T0-AERONET	CIMEL	17.48	–	–	0.41 <b>(0.44)</b>	0.25	0.12
		HSRL	17.14	42.6 m	–	– <b>(0.43)</b>	(1.85 km)	(9.4 km)
		AATS-14	17.23	112 m	2.63 km	0.22 <b>(0.23)</b>	–	–

<sup>a</sup> MISR overpass time is 17.37 UTC on 6 March 2006 and 17.26 UTC on 15 March 2006.

<sup>b</sup> Distance from the Site listed in column 2.

<sup>c</sup> MISR-R is the AOD from MISR research retrieval algorithm and MISR L2 is the standard MISR AOD product.

<sup>d</sup> The HSRL provides layer AOD (~ 0–~ 7 km a.g.l.) unlike columnar measurements from sun photometers. HSRL AOD is at 532 nm.

Note: AOD at 558 nm are calculated using the angstrom formula.

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**Table 5.** Characteristics of aerosol air masses based on shape, size and single scattering albedo as identified from the MISR research retrievals over the Mexico City metropolitan area.

Date	Air Mass	Shape	AOD (558 nm) Range	Size ( $r_{\text{eff}}$ (in $\mu\text{m}$ ) % Contribution				SSA (558 nm)	Comment	
				0.06	0.12	0.22 – 0.26	0.57			0.75
6 Mar 2006	A1	Spherical	0.25–0.35	< 25	10–30	<b>30–80</b>	–	–	0.85–0.9	Region comprised of small mode particles ( $r_{\text{eff}} < 0.26 \mu\text{m}$ )
	A2	Spherical	0.1–0.25	<b>5–35</b>	<b>15–50</b>	<b>35–45</b>	< 20	–	0.9–0.95	Region comprised of small ( $r_{\text{eff}} \leq 0.26 \mu\text{m}$ ) and medium ( $r_{\text{eff}} = 0.57 \mu\text{m}$ ) mode particles
	A3 / MC*	Spherical + Non-spherical/ Dust	0.1–0.18	< 25	<b>15–50</b>	<b>25–35</b>	–	<b>10–40</b>	0.9–0.95	Region comprised of small ( $r_{\text{eff}} \leq 0.26 \mu\text{m}$ ) and large ( $r_{\text{eff}} = 0.75 \mu\text{m}$ ) mode particles
	A4	Spherical	0.1–0.18	< 25	<b>20–40</b>	<b>15–35</b>	< 30	–	0.9–0.95	Region comprised of small ( $r_{\text{eff}} \leq 0.26 \mu\text{m}$ ) and medium ( $r_{\text{eff}} = 0.57 \mu\text{m}$ ) mode particles
15 Mar 2006	NE Quad	Spherical	0.2–0.45	< 25	<b>10–50</b>	<b>10–45</b>	<b>5–55</b>	–	0.9–1.0	Region comprised of small ( $r_{\text{eff}} < 0.26 \mu\text{m}$ ) and medium ( $r_{\text{eff}} = 0.57 \mu\text{m}$ ) mode particles
	NW Quad	Spherical	0.2–0.35	5–40	5–50	<b>10–45</b>	< 40	–	0.9–0.95	Region comprised of small ( $r_{\text{eff}} \leq 0.26 \mu\text{m}$ ) and medium ( $r_{\text{eff}} = 0.57 \mu\text{m}$ ) mode particles
	SE Quad	Spherical	0.1–0.3	<b>15–60</b>	< 25	< 30	< <b>50</b>	–	0.88–0.95	Region comprised of small ( $r_{\text{eff}} \leq 0.26 \mu\text{m}$ ) and ( $r_{\text{eff}} < 0.26 \mu\text{m}$ ) mode particles Note that the AODs closer to Mexico City are cloud contaminated
	SW Quad	Spherical + Non-spherical/ Cirrus	Cloud Contaminated	–	–	–	–	–	–	Cirrus clouds found in this area

\*MC = Mexico City

Note: numbers in bold highlight the particle sizes in each mixture that makes highest % contribution to the total AOD



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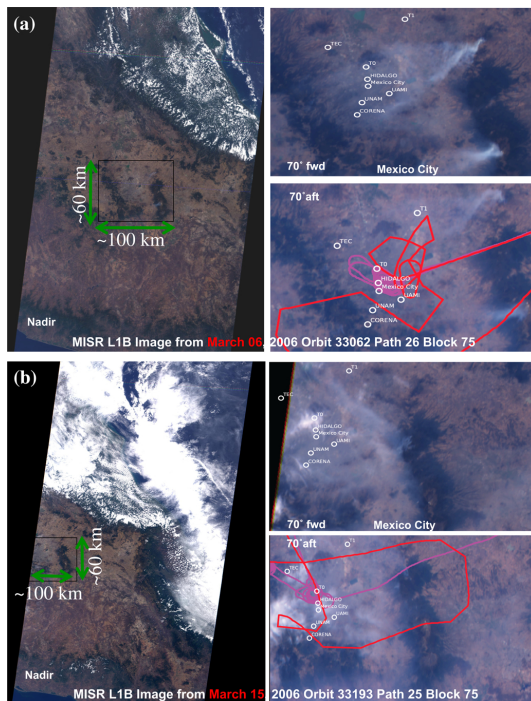
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**Table 6.** Characteristics of aerosol air masses from HSRL data over the Mexico City metropolitan area. These air masses correspond to the 6 March 2006 air masses identified by MISR in Table 5.

Air mass	HSRL-AOD Range over each air mass	% DUST- AOD (Uncertainty)	% Smoke-AOD (Uncertainty)	%Urban-AOD (Uncertainty)
A1	0.14–0.30	37 % (7 %)	55 % (10 %)	4 % (1 %)
A2	0.21–0.25	45 % (19 %)	3 % (1.5 %)	52 % (22 %)
A3	0.19–0.25	38 % (15 %)	10 % (4 %)	50 % (20 %)
A4	0.18–0.25	39 % (9 %)	14 % (3 %)	41 % (9 %)

Note: (1) The DUST in column 3 pertains to both “dusty mix” and pure dust. The dusty mix identification occurs when depolarization measurements indicate the presence of dust with other components (particularly pollution vs. smoke) (Burton et al., 2012). (2) Smoke pertains to both smoke and fresh smoke classes (3) %Contribution of Smoke, Dust and Urban AOD values are weighted mean values (weighted by AOD). The percentage in brackets indicate the uncertainty in %contribution for the given aerosol type. This is the uncertainty due to the fraction of total AOD that remains unclassified from extinction measurements below 300 m.



**Fig. 1. (a)** Nadir, 70° forward and 70° aft ward view of Mexico City from MISR (L1B RGB image) on 6 March 2006. Overlaid are locations of ground based instruments and the J31 (pink) and B200 (red) flight tracks (bottom right image). Names of the ground based stations are indicated in the Figure. These also include the AERONET stations at supersites T0 and T1. The 70° forward view image shows fires burning at at least 2 different locations on the mountains to the east of Mexico City and the transport of smoke from these fires over the MCMA study area. **(b)** Same as Fig. 1a, except, it is for 15 March 2006. More burning activity can be seen on this day (see top right image) compared to Fig. 1a for 6 March 2006. Also, notice the presence of clouds around T0 supersite (top right image) and around central Mexico City (bottom right image).

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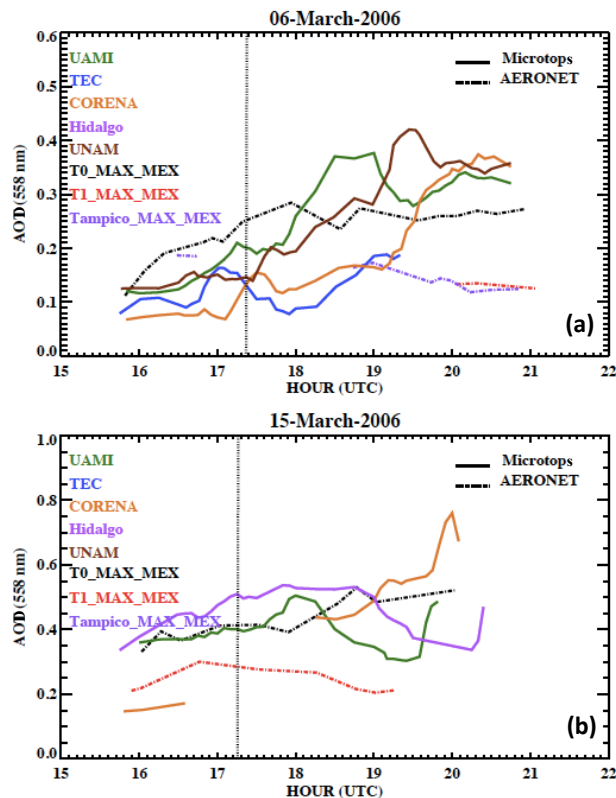
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**Fig. 2.** (a) Diurnal variation of AOD (558 nm) on 6 March 2006, over the ground based stations (shown in Fig. 1) in MILAGRO/INTEX-B field campaign area around Mexico City. Aerosol optical depth from AERONET stations at supersites T0, T1 and Tampico are also shown. (b) Same as (a) but for 15 March 2006.

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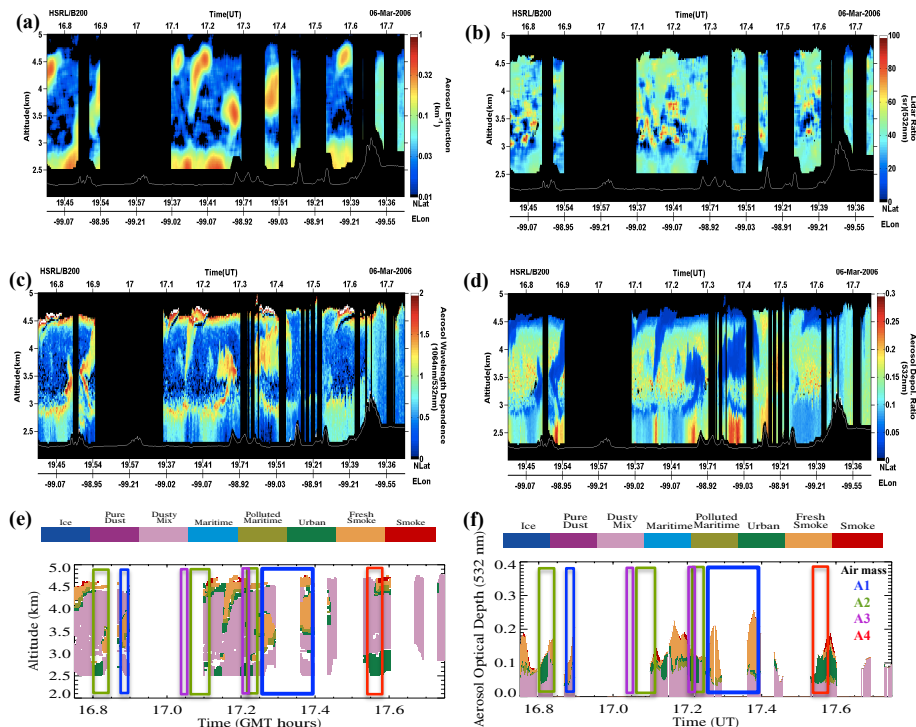
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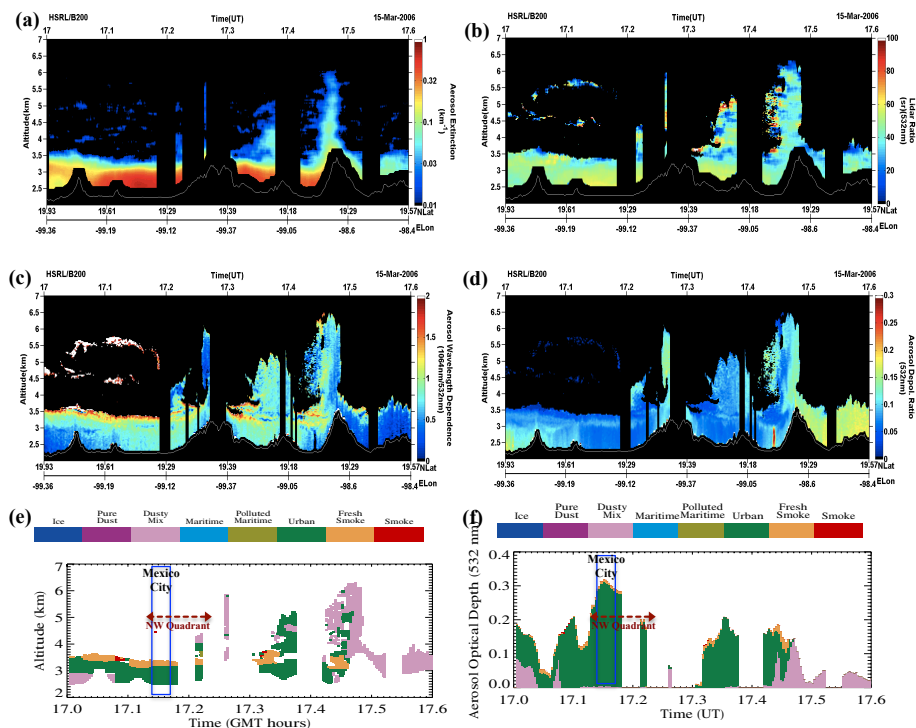
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**Fig. 3.** HSRL observation on 6 March 2006: **(a)** Aerosol extinction, **(b)** aerosol extinction to back-scatter (Lidar) ratio, **(c)** aerosol wavelength dependence, **(d)** aerosol depolarization ratio, **(e)** vertical distribution of aerosol types identified by HSRL, **(f)** AOD attributed to aerosol types identified by HSRL. The approximate intersections of HSRL observations with the four aerosol air masses, as identified by MISR, are marked in Fig. 5e, f. The legend for the air mass is shown in Fig. 5f. Note that air masses over some region were observed multiple times by HSRL as the B200 aircraft circled in the study region (see Fig. 1a for B200 flight path). The elevations shown are above sea level (a.s.l.).

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**Fig. 4.** HSRL observation on 15 March 2006: **(a)** aerosol extinction, **(b)** aerosol extinction to back-scatter (Lidar) ratio, **(c)** aerosol wavelength dependence, **(d)** aerosol depolarization ratio, **(e)** vertical distribution of aerosol types identified by HSRL, **(f)** AOD attributed to aerosol types identified by HSRL. Blue box in Fig. 4e, f depicts the flight path over Mexico City.

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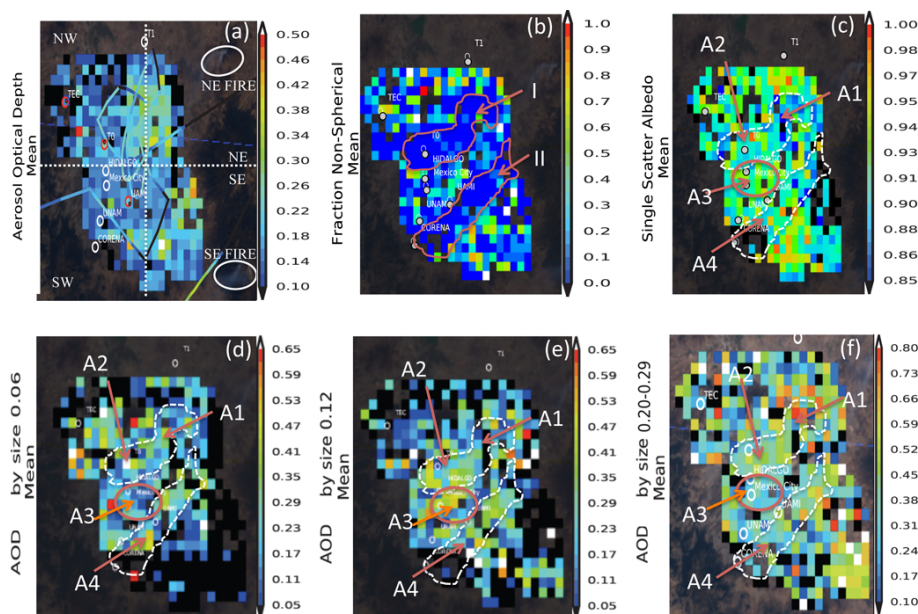
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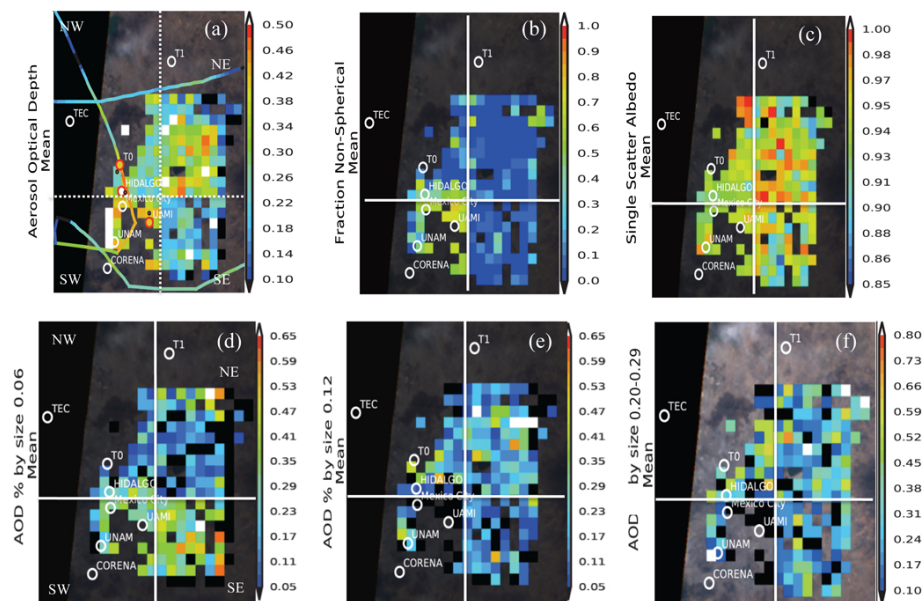


**Fig. 5.** Aerosol properties from MISR research retrieval algorithm over MCMA for 6 March 2006: **(a)** aerosol optical depth at 558 nm. The HSRL 532 nm AOD along the flight path is superposed in panel **(a)**. For HSRL, black color indicates missing data. **(b)** Non-spherical fraction of total AOD, **(c)** Single Scattering Albedo at 558 nm, **(d)** fraction of AOD by  $0.06\ \mu\text{m}$  particles, **(e)** fraction of AOD by  $0.12\ \mu\text{m}$  particles, **(f)** fraction of AOD by  $0.2\text{--}0.28\ \mu\text{m}$  particles. Figure 5d–f represent the small mode fraction of AOD. Distribution of large mode fraction of AOD is similar to Fig. 5b. The 4 aerosol air masses identified by MISR are shown in Fig. 5c–f.

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**Fig. 6.** Aerosol properties from MISR research retrieval algorithm over MCMA on 15 March 2006: **(a)** aerosol optical depth at 558 nm. The HSRL 532 nm AOD along the flight path is superposed in panel **(a)**. For HSRL, black color indicates missing data, **(b)** non-spherical fraction of AOD, **(c)** Single Scattering Albedo at 558 nm, **(d)** fraction of AOD by size  $0.06\ \mu\text{m}$ , **(e)** fraction of AOD by size  $0.12\ \mu\text{m}$ , **(f)** fraction of AOD by size  $0.26\ \mu\text{m}$ . Figure 6d–f represent the small mode fraction of AOD. Distribution of large mode fraction of AOD is similar to Fig. 6b.

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