



Evolution of  
multispectral aerosol  
optical properties

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# Evolution of multispectral aerosol optical properties in a biogenically-influenced urban environment during the CARES campaign

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## Abstract

Ground-based aerosol measurements made in June 2010 within Sacramento urban area (site T0) and at a 40-km downwind location (site T1) in the forested Sierra Nevada foothills area are used to investigate the evolution of multispectral optical properties as the urban aerosols aged and interacted with biogenic emissions. Along with black carbon and non-refractory aerosol mass and composition observations, spectral absorp-  
5 tion ( $\beta_{\text{abs}}$ ), scattering ( $\beta_{\text{sca}}$ ), and extinction ( $\beta_{\text{ext}}$ ) coefficients for wavelengths ranging from 355 to 1064 nm were measured at both sites using photoacoustic (PA) instru-  
10 ments with integrating nephelometers and using cavity ring-down (CRD) instruments. The daytime average Ångström exponent of absorption (AEA) was  $\sim 1.6$  for the wave-  
15 length pair 405 and 870 nm at T0, while it was  $\sim 1.8$  for the wavelength pair 355 and 870 nm at T1, indicating a modest wavelength-dependent enhancement of absorption at both sites throughout the study. The measured and Mie theory calculations of mul-  
tispectral  $\beta_{\text{sca}}$  showed good correlation ( $R^2 = 0.85\text{--}0.94$ ). The average contribution of  
20 supermicron aerosol (mainly composed of sea salt particles advected in from the Pa-  
cific Ocean) to the total scattering coefficient ranged from less than 20 % at 405 nm to greater than 80 % at 1064 nm. From 22 to 28 June, secondary organic aerosol mass increased significantly at both sites due to increased biogenic emissions coupled with  
25 intense photochemical activity and air mass recirculation in the area. During this pe-  
riod, the short wavelength scattering coefficients at both sites gradually increased due to increase in the size of submicron aerosols. At the same time, BC mass-normalized absorption cross-section (MAC) values for ultraviolet wavelengths at T1 increased by  
 $\sim 60\%$  compared to the relatively less aged urban emissions at the T0 site. In contrast, the average MAC values for 870 nm wavelength were identical at both sites. These results suggest formation of moderately brown secondary organic aerosols formed in biogenically-influenced urban air.

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## 1 Introduction

Atmospheric aerosols impact the Earth's radiation budget by scattering and absorbing solar radiation, which is referred to as the aerosol direct effect (Charlson et al., 1992; Schwartz, 1996). This can lead to heating or cooling of the climate depending on the ratio of absorption and extinction coefficients, often characterized by the aerosol single scattering albedo (SSA), and the particle scattering asymmetry parameter as well as the albedo of the underlying surface (Chylek and Wong, 1995). In addition to these direct effects, aerosols can also have indirect effects on the radiation balance by changing the microphysical properties of clouds, thus influencing their optical properties and lifetime (Twomey, 1977; Coakley et al., 1987). Inorganic salts, such as sulfates, are important aerosol species that strongly scatter and backscatter solar radiation, usually cooling the climate (Charlson et al., 1992; Haywood and Ramaswamy, 1998). Black carbon (BC) aerosol is the dominant absorber over the solar spectrum (Bond and Bergstrom, 2006) and is associated with a warming effect on the climate (Haywood and Ramaswamy, 1998; Ramanathan et al., 2005). Furthermore, a number of studies (Andreae and Gelencser, 2006; Barnard et al., 2008; Chakrabarty et al., 2010; Gyawali et al., 2009; Kirchstetter et al., 2004; Lewis et al., 2008; Flowers et al., 2010; Lack et al., 2012) have identified organic aerosols (OA) as major absorbers at shorter wavelengths, often referred to as "brown carbon" (BrC). OA constitutes a large fraction of atmospheric aerosol mass; typical measurements range from ~20–90% of sub-micron-diameter aerosol mass (Zhang et al., 2007). These aerosols scatter light efficiently because their size is comparable to the wavelength of the visible solar radiation and typical values of the real part of their refractive index are fairly high ( $n = 1.4$  to  $2.0$ ). Additionally, they are transported far from the source region; hence, their climatic impact is not limited to the source region. However, the role of OA on the Earth's radiation budget is still poorly understood (Intergovernmental Panel on Climate Change, 2007).

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The Department of Energy's Carbonaceous Aerosols and Radiative Effects Study (CARES) field campaign was carried out in June 2010 in the Sacramento (California, USA) area to better understand the evolution of aerosol properties resulting from the various physical and chemical interactions between anthropogenic and biogenic emissions. A wide range of ground-based and airborne instruments were deployed to monitor comprehensive aerosol properties, meteorological variables, and gaseous species. Two ground sites, one within the Sacramento urban area (T0) and another in Cool, CA, ~ 40 km downwind in the forested Sierra Nevada foothills area (T1), were set up to study the evolution of the aerosols as they aged during transport. Zaveri et al. (2012) presents detailed descriptions of the overall research objectives of the CARES campaign, the various sampling platforms and instrumentation, and an overview of several key observations. Fast et al. (2012) describes the meteorological conditions during CARES.

Shilling et al. (2013) analyzed aircraft measurements obtained during CARES and found that production of secondary organic aerosol (SOA) was dramatically enhanced when anthropogenic emissions from Sacramento urban area mixed with isoprene-rich air from the Sierra Nevada foothills. A similar conclusion was reached by Setyan et al. (2012) based on measurements made at the T1 site. Cappa et al. (2012) reported BC absorption enhancements due to photochemical aging at T0 was only ~ 6 % at 532 nm, and attributed the slightly higher absorption enhancement at 405 nm to the influence of BrC on absorption in this wavelength region. In another study, Kassianov et al. (2012) showed that coarse mode aerosols contributed more than 50 % and up to 85 % of time to the total aerosol volume observed at T0 and T1 during CARES.

In this paper, we focus on a comparative analysis of aerosol absorption, scattering, extinction and single scattering albedo over wavelengths ranging from 355 nm to 1064 nm at T0 and T1. The objective of this study is to characterize the evolution of these multispectral optical properties as urban aerosols and precursor gases mixed and interacted with biogenic emissions as they were routinely transported to the forested Sierra Nevada foothills area.

We begin with a brief description of the measurement sites and instruments followed by a discussion of the time series of aerosol light absorption and scattering coefficients obtained at the T0 and T1 sites using photoacoustic (PA) and cavity ring-down (CRD) instruments. The BC mass concentration measurements from a single particle soot photometer (SP2) were analyzed together with the aerosol light absorption measurements to obtain BC mass normalized light absorption cross-sections (MAC). Also reported here are scattering coefficients obtained from Mie theory and scanning mobility particle sizer (SMPS) and aerosol particle sizer (APS) measurements of particle size distributions from 10 nm to 20  $\mu\text{m}$ .

## 2 Experimental

### 2.1 Ground sites

The CARES campaign took place in the Sacramento valley from the 2 to the 28 June, 2010. Figure 1 shows the location of the ground sampling sites overlaid with the dominant summer daytime wind patterns. Two ground sites were strategically chosen to study the evolution and transport of urban emissions and their interaction with biogenic emissions in the forested area. The urban site, T0 (altitude  $\sim 30$  m a.s.l.), was located on the American River College campus,  $\sim 14$  km northeast of the downtown Sacramento area. The downwind rural site, T1 (altitude  $\sim 450$  m a.s.l.) was located  $\sim 40$  km northeast of the T0 site on the Northside School campus in Cool, CA. In addition to the local urban and agricultural sources, emissions from Bay Area and the petrochemical refineries located in the Carquinez Strait are the major sources of air pollution in Sacramento and Central Valley. During strong southwesterly flow events, coarse-mode sea salt aerosols from the Pacific Ocean can also be advected to Sacramento area via the Carquinez Strait (Zaveri et al., 2012).

All measurements are reported at ambient temperature and pressure, and in Pacific Daylight Time (PDT), the local time in California during summer.

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## 2.2 Measurements of aerosol optical properties

During the CARES campaign, a number of photoacoustic instruments (PA) equipped with integrating reciprocal nephelometers were deployed at the T0 and T1 sites to study the magnitude and spectral dependence of aerosol light absorption ( $\beta_{\text{abs}}$ ) and scattering coefficients ( $\beta_{\text{sca}}$ ). To accomplish this, two groups from the University of Nevada, Reno (UNR) and from the Los Alamos National Laboratory (LANL), New Mexico deployed several PA instruments operating at multiple wavelengths. At T0, wavelengths included 375, 405, 532, 781, 870, and 1047 nm, while at T1, they were 355, 405, 532, 781, and 870 nm.

The PA technique provides real time, in-situ measurements of ambient aerosol light absorption (Arnott et al., 1999; Moosmüller et al., 2009). In this method, aerosol samples are illuminated in an acoustic resonator by a power-modulated laser. The absorbed energy heats the particles and the heat is quickly released to the surrounding air, producing a periodic variation in air pressure (i.e. a sound wave). The pressure of the sound wave is measured with a calibrated microphone located in the resonator, thereby producing a first-principle measurement of the  $\beta_{\text{abs}}$ . These PA instruments simultaneously measure the  $\beta_{\text{sca}}$  using a reciprocal nephelometer (Abu-Rahmah et al., 2006).

Since PA instruments are designed for the concurrent measurement of  $\beta_{\text{abs}}$  and  $\beta_{\text{sca}}$ , we can obtain the extinction coefficient ( $\beta_{\text{ext}} = \beta_{\text{abs}} + \beta_{\text{sca}}$ ), and other optical parameters like the single scattering albedo (SSA), and the Ångström exponent of absorption (AEA) using multiple wavelength measurements. The SSA is the ratio of the  $\beta_{\text{sca}}$  and  $\beta_{\text{ext}}$  and can be expressed as

$$\text{SSA} = \frac{\beta_{\text{sca}}(\lambda)}{\beta_{\text{abs}}(\lambda) + \beta_{\text{sca}}(\lambda)}. \quad (1)$$

The spectral dependence of SSA is related to those of  $\beta_{\text{abs}}$  and  $\beta_{\text{sca}}$  (Moosmüller and Chakrabarty, 2011). At T0, SSA was studied at  $\lambda = 405, 532, \text{ and } 870 \text{ nm}$ , and at T1 it

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was investigated at  $\lambda = 355, 532, \text{ and } 870 \text{ nm}$ . The AEA expressed by:

$$AEA = - \frac{\ln(\beta_{\text{abs}}(\lambda_1)/\beta_{\text{abs}}(\lambda_2))}{\ln(\lambda_1/\lambda_2)} \quad (2)$$

characterizes the spectral dependence of aerosol light absorption assuming a simple power-law dependence between the wavelengths  $\lambda_1$  and  $\lambda_2$  (Moosmüller et al., 2011).

The AEA at T0 was derived from the PA measurements of  $\beta_{\text{abs}}$  at 405 and 870 nm, while at T1 it was derived from similar measurements at 355 and 870 nm. These wavelengths were chosen to emphasize light absorption by organic aerosol coatings and intrinsic absorption (Gyawali et al., 2009). Additionally, AEA was estimated with a power law fit for the MAC values for wavelengths ranging from UV to near IR when data were available simultaneously for both sites.

The  $\beta_{\text{ext}}$  data were also obtained with the CRD operating at 1064 nm at both T0 and T1 sites. The operational principle and design of the CRD have previously been described in detail (Smith and Atkinson, 2001; Radney et al., 2009).

### 2.3 Collocated measurements used in this study

BC mass concentrations were measured at T0 and T1 with a single particle soot photometer (SP2, Schwarz et al., 2006) calibrated with Acheson Aquadag (Subramanian et al., 2010). The SP2 was calibrated with Aquadag; recent studies have shown that diesel soot and wood smoke BC are better represented by fullerene soot (Moteki and Kondo, 2010; Laborde et al., 2012). So the SP2-measured BC mass was increased by 60% as a first order correction to a fullerene soot-equivalent value, based on the results of Moteki and Kondo (2010).

Within a particle diameter range from 8 to 858 nm, the particle size distributions were measured at T1 in 70 logarithmically-spaced size bins by a scanning mobility particle sizer (SMPS) system consisting of a differential mobility analyzer (DMA) and a condensation particle counter (CPC; TSI Inc., MN; model 3772) (Setyan et al., 2012).

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Similar measurements were conducted at T0 for particle diameters from 12 to 736 nm in 115 size bins. Particle size distribution ranging from 0.5 to 20  $\mu\text{m}$  in 52 size bins were measured with an aerodynamic particle sizer (APS; TSI, Shoreview, MN) at both sites. Measurements of size-resolved chemical composition of non-refractory species in particles of an aerodynamic diameter less than 1  $\mu\text{m}$  ( $\text{PM}_{10}$ ) were simultaneously acquired with a high resolution aerosol mass spectrometer (HR-AMS; Setyan et al., 2012). The HR-AMS provides mass concentrations of inorganic and organic aerosols.

### 2.4 Mie model calculations of $\beta_{\text{sca}}$

The interaction of a single homogeneous, spherical particle with plane-wave electromagnetic radiation can be computed from Mie theory (Kerker, 1969; Hulst, 1981). A model assuming spherical aerosols was applied (Wiscombe, 1980), that required particle size distributions and complex refractive indices:  $m = n_r + in_i$ . Admittedly, atmospheric aerosols vary widely in composition, mixing state, shape, and size (a few nanometers to 100  $\mu\text{m}$ ; Seinfeld and Pandis, 2006); but for simplicity the Mie model used here assumed a size-independent refractive index  $1.53 + i10^{-6}$ . The assumed value of the real component is commonly used for  $(\text{NH}_4)_2\text{SO}_4$ , and is nearly constant for the wavelength ranging from 450 to 700 nm (Sloane, 1984; Redemann et al., 2001). A recent study has suggested an increase in the real part of the refractive index from 1.48 to 1.55 for OA upon heterogeneous OH oxidation (Cappa et al., 2011), consistent with our chosen value.

From the SMPS-APS aerosol size distribution (given by  $\frac{dN}{d\log D_p}$ ), the  $\beta_{\text{sca}}$  is estimated using the following equation:

$$\beta_{\text{sca}} = \int_{D_{\text{min}}}^{D_{\text{max}}} \frac{\pi D_p^2}{4} Q_{\text{sca}}(\lambda, m, D_p) \frac{dN}{d\log D_p} d\log D_p \quad (3)$$

where  $Q_{\text{sca}}$  the Mie scattering efficiency is a function of refractive index  $m$ , wavelength  $\lambda$ , and particle diameter  $D_p$ .

Mobility diameter ( $D_m$ ) measured by the SMPS equals to  $D_p$ , assuming the particles are spherical and singly-charged. However, aerodynamic diameter ( $D_a$ ), as reported by the APS, needs to be converted to the equivalent  $D_p$  for Mie theory calculations. For this purpose,  $D_a$  was divided by the square root of the density of sea salt aerosol ( $\rho = 2.25 \text{ g cm}^{-3}$ ), assuming the coarse mode particles are spherical (Zaveri et al., 2012).

### 3 Results and discussion

#### 3.1 Aerosol transport and meteorology

Figure 2 shows the time series of the aerosol optical properties and the meteorological conditions at T0 and T1 sites from the 2 to 28 June 2010. A study of the meteorological parameters characterizing the transport of aerosols during the CARES campaign was published in this special issue by Fast et al. (2012). In brief, near-surface thermally-driven upslope flows including synoptic southwesterly (SW) winds were favorable for transport of the urban plume from Sacramento to the T1 site near Cool. These patterns were occasionally interrupted by a northwesterly (NW) flow, which carried the urban plume southeast to the San Joaquin Valley (Fig. 1), thereby reducing the interaction of the urban plume with biogenic emissions at T1 and recirculation to T0. During these periods (10–13, 16–17, and 20–21 June 2010), the measured  $\beta_{\text{sca}}$  at both sites was significantly lower than during the rest of the campaign. In Fig. 2, the NW flows are indicated by the shaded areas.

The SSA was lowest when NW flow was present from 10 to 14 June. SSA values as low as  $\sim 0.42$  were observed at 355 and 532 nm during the 12 June. NW flows significantly reduced the aerosol transport from the Bay Area to T0 and T1. During these periods, the SSA was reduced due to the dominance of fresh local emissions (probably from motor vehicles). The SSA at T0 was slightly lower than that at T1, since

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T0 was affected by traffic emission of BC more than T1. The SSA was as high as 0.98 at both sites during periods of significant southwesterly (SW) flow patterns and high temperatures.

Between 2 and 22 June, T1  $\beta_{\text{sca}}$  followed the same temporal patterns as T0  $\beta_{\text{sca}}$  with a slight delay, suggesting a dominant influence of regional air masses with transport from T0 to T1. The inset panel (Fig. 2b) presents the spatial and temporal features of aerosol transport from T0 to T1 during the 15 and 16 June, representing the direct transport of the Sacramento plume to Cool, mixing with the air masses at the Sierra's foothills dominated by biogenic emissions (Fast et al., 2012). Scattering coefficient features were delayed by about five hours at T1 during the 16 June. According to Fast et al. (2012), on days with SW flows the transport time between the T0 and T1 varied from 2 to 8 h where the longer times were associated with urban plumes originating from downtown Sacramento. During these days, 532 and 355 nm  $\beta_{\text{sca}}$  at T0 and T1 were as high as 35 and 45  $\text{Mm}^{-1}$ , respectively. Furthermore, during SW flows diurnal characteristics such as morning and evening rush-hour traffic emissions were almost indistinguishable in  $\beta_{\text{sca}}$  measurements at T0 and T1. This suggests that the pollution levels in the Sacramento and Cool regions were dependent on direction and magnitude of wind flows rather than only (or even primarily) on local emissions.

During the final six days of the campaign (22–28 June), 355 nm  $\beta_{\text{sca}}$  at T1 was enhanced relative to 532 nm  $\beta_{\text{sca}}$  measured at T0 as a consequence of ultrafine and submicron aerosol that began to grow vigorously into the size range where scattering is larger at shorter wavelengths. For instance, during this period,  $\beta_{\text{sca}}$  at 355 nm increased on average from 15 to 37  $\text{Mm}^{-1}$  (147%), while  $\beta_{\text{sca}}$  at 532 nm increased from 12 to 19  $\text{Mm}^{-1}$  (58%). Similarly, during the same period,  $\beta_{\text{abs}}$  at 355 nm increased from 2 to 4  $\text{Mm}^{-1}$  (107%) and at 532 nm from 1.5 to 2.3  $\text{Mm}^{-1}$  (58%). This period was the most favorable for the formation of secondary organic aerosols (SOA) due to the summer-time meteorological conditions such as maximum temperature  $\sim 39^\circ\text{C}$  at T0 and T1 sites (Fig. 2c). Higher temperatures result in higher emission rates for biogenic volatile organic compounds (VOC; isoprene, monoterpenes) (Worton et al.,

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2011). Enhanced SOA formation was observed when the urban plume interacted with biogenic emissions during the campaign (Setyan et al., 2012). Setyan et al. (2012) described the  $PM_{10}$  chemical composition and size distribution of species at T1, including the OA components. In brief,  $PM_{10}$  mass was dominated by OA (80 %) followed by sulfate (9.9 %). Furthermore, oxygenated OA (OOA) comprised 90 % of the total mass of organics, while hydrocarbon-like OA (HOA) comprised only 10 %. The OOA fraction corresponds to SOA and the HOA fraction to traffic-related primary emissions. From this  $PM_{10}$  classification, it is apparent that the enhanced scattering of 355 nm radiation at T1 was dominated by the OOA components of the SOA.

## 3.2 Daily average of aerosol chemical, physical, and optical properties

### 3.2.1 Site T0

Figure 3a, b shows the daily daytime average of  $PM_{10}$  organic mass concentration and particle diameter analyzed by the AMS and SMPS at T0 from the 12 to 28 June. Also presented in Fig. 3 are the PA measurements of  $\beta_{sca}$  (at 405 and 870 nm) and  $\beta_{abs}$  (at 405, 870, and 1047 nm) during the same period. The vertical bars represent one standard deviation of the averaged measurements during daylight hours (06:00 to 18:00 PDT).  $PM_{10}$  organic mass concentration measurements were available only from the 17 to 25 June. Scattering at 405 nm correlated especially well with the mean diameter of  $PM_{10}$  from after the 22 June to the end of the campaign, when  $PM_{10}$  particle growth contributed to enhanced scattering at 405 nm relative to 870 nm. The maximum  $PM_{10}$  mean diameter was 68 nm with  $\beta_{sca}$  of  $50 Mm^{-1}$  for the 28 June, while the  $\beta_{sca}$  at 870 nm remained constant at  $\sim 11 Mm^{-1}$ . The daily daytime average BC mass concentrations varied between 0.06 and  $0.28 \mu g m^{-3}$  with an overall average of  $0.16 \mu g m^{-3}$  (Fig. 3a).

Figure 3d–f shows the daily daytime average SSA, AEA (405, 870 nm), and the  $\beta_{abs}$ . The SSA was lower for both 405 and 870 nm during NW flows due to the increased effect of fresh traffic emissions. The difference between the SSA at 405 and 870 nm decreased continuously as the campaign progressed due to the enhanced formation

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of SOA and recirculation of aged aerosols. The SSA exhibited a similar value of  $\sim 0.90$  at 405 and 870 nm after the 25 June. The average AEA was nearly constant at  $\sim 1.5$ , indicating enhanced absorption at shorter wavelengths at T0, even in the background air. The daily daytime average  $\beta_{\text{abs}}$  plotted for three wavelengths (Fig. 3f) exhibited similar variations with BC mass concentrations and PM<sub>1</sub> organic mass concentrations (Fig. 3a). BC at T0 ranged from 0.6 to 0.28  $\mu\text{g m}^{-3}$  (Fig. 3a) with an overall average of 0.16  $\mu\text{g m}^{-3}$ . The peaks of  $\beta_{\text{abs}} \sim 5.8$  and 1.9  $\text{Mm}^{-1}$  at 405 and 870 nm, respectively were observed during the 14 and 17 June and found to be associated with the corresponding peak values of BC mass concentrations. Figure 4 shows a field emission scanning electron microscope (FE-SEM) image of particles collected at the T0 site on a nuclepore membrane. The image shows a broad size distribution of soot aggregates as large as 4.5  $\mu\text{m}$  in the longest dimension (Fig. 4i). Freshly emitted soot particles can be mixed with partly coated soot particles (Fig. 4ii) or coated by other material (Fig. 4iii) (darker region) such as unburned fuel or lubricating oil, or organic matter.

### 3.2.2 Site T1

Figure 5 presents the same measurements for the T1 site as Fig. 3 did for the T0 site, but at wavelengths 355 and 870 nm and from the 2 to 28 June. Between the 8 and 11 June, the average mass concentration of PM<sub>1</sub> organics decreased from 4 to 1  $\mu\text{g m}^{-3}$ , while the mean diameter of these particles decreased from 64 to 40 nm. As mentioned previously, the NW flows disrupted the transport of the urban plume from Sacramento to the T1 site, which would otherwise have been observed after photochemical and physical processing. The aerosol size increases during atmospheric processing as a result of the coagulation and condensation of both organic and inorganic species, affecting aerosol optical properties (Reid et al., 1998). After the 22 June, the growing mean diameter of PM<sub>1</sub> resulted in enhanced  $\beta_{\text{sca}}$  at 355 nm at T1 and also at 405 nm at T0 (as previously mentioned), though the effect was more pronounced at T1. The maximum PM<sub>1</sub> mean diameter at T1 reached  $\sim 98$  nm while  $\beta_{\text{sca}}$  at 355 nm

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peaked at  $55 \text{ Mm}^{-1}$  on the 28 June. The  $\beta_{\text{sca}}$  at 870 nm was slightly higher after the 22 June, but remained nearly constant  $\sim 10 \text{ Mm}^{-1}$ .

According to Setyan et al. (2012), organics (OOA + HOA) dominated the average chemical composition with 80 % of  $\text{PM}_1$  mass, followed by sulfate (9.9 %), ammonium (4.5 %), nitrate (3.6 %), and BC (1.6 %). In addition to BC, organic species emitted directly from combustion processes or formed via the oxidation of biogenic emission are the main light absorbing carbonaceous aerosols in the atmosphere (Andreae and Crutzen, 1997). Figure 6, shows a FE-SEM image of particles collected at the T1 site, displays: (a) fractal soot aggregates with open (Fig. 6i) and collapsed structures (Fig. 6ii), consistent with the presence of fresh and aged particles; (b) soot-inclusion with a spherical particle (Fig. 6iii) which is representative of the complex mixing state of soot particles; (c) spherical and near-spherical particles (Fig. 6iv) consistent with the presence of organic aerosols. For comparison, Chakrabarty et al. (2013) reported the morphology of incense smoke aerosols – a class of BrC – to be non-coalescing and weakly-bound aggregates.

As the day progresses, the fractal soot particles begin to increase in mass and collapse into a more spherical form upon coating by organics, sulfate, ammonium, nitrate, and water (Moffet and Prather, 2009). The coating on the BC particles leads to absorption enhancement up to 1.6 (Moffet and Prather, 2009), while the AEA can be as high as 1.6 due to non-absorbing coating (Gyawali et al., 2009; Lack and Cappa, 2010). Laboratory studies have also shown that organic coatings enhance BC absorption (Cross et al., 2010).

Figure 5d–f presents the daily daytime average SSA, AEA, and  $\beta_{\text{abs}}$  at 355 and 870 nm. Days with NW flows exhibited lower SSA in comparison to that of SW flows both at 355 and 870 nm with values 0.7 and 0.8, respectively. The daily daytime average BC mass concentration varied from 0.02 to  $0.15 \mu\text{g m}^{-3}$  (Fig. 5a) with an overall average of  $0.07 \mu\text{g m}^{-3}$ . The lower BC mass concentrations were primarily observed during the NW flows. As observed at the T0 site, the SSA at both wavelengths became increasingly similar after the 22 June with values  $\sim 0.90$ . This was consistent with the

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concurrently observed increasing mass of PM<sub>1</sub> organics and diameter of the particles. The daily daytime average AEA varied from 1.3 to 2.3, and remained ~ 1.8 after the 22 June, suggesting enhanced absorption at the shorter wavelengths likely due to coating by mildly absorbing organic species on BC. Rizzo et al. (2011) reported slightly lower value of average AEA of 1.5 at 370 and 880 nm for the Amazon Basin in a remote forested site during the dry season when the biogenic emission and soil dust (including soot) accounted for about 75 % and 13 %, respectively, of the total aerosol mass. The  $\beta_{\text{abs}}$  at 355 nm ranged from 0.8 to 5.4 Mm<sup>-1</sup> with an overall average of 2.6 Mm<sup>-1</sup>. Similarly,  $\beta_{\text{abs}}$  at 870 nm ranged from 0.2 to 0.9 Mm<sup>-1</sup>. The steadily increasing organic mass from the 22 to the 28 June at T1 produced an strong impact on aerosol light scattering at 355 nm with ~ 84 % increase in  $\beta_{\text{sca}}$ , while  $\beta_{\text{abs}}$  at 355 nm increased by ~ 35 %. For comparison,  $\beta_{\text{sca}}$  and  $\beta_{\text{abs}}$  at 870 nm increased by ~ 5 % and by ~ 11 %, respectively, during the same period. This suggests that the SOA formed from the oxidation of mixed biogenic and anthropogenic emissions in the forested region (T1) developed a modest absorption at 355 nm, much less than the strong intrinsic SOA UV absorption observed for biomass burning aerosols (Gyawali et al., 2009).

### 3.3 Black carbon mass normalized absorption cross-section

PA measurements of absorption coefficients correlated well with BC mass concentrations simultaneously measured with the SP2. This correlation yields BC mass normalized absorption cross-sections, MAC (m<sup>2</sup> g<sup>-1</sup>) as the slope of the linear regression together with the respective standard error levels, for T0 and T1, from near UV to near IR wavelengths (Fig. 7). Results are presented for the period from the 22 to 28 June as this was the longest time period during which all the SP2 and PA instruments were operating continuously at both sites. As mentioned previously, these days were favorable for transport of the urban plume from Sacramento to the T1 site and its vicinity. Additionally, a steady buildup of aged pollutants resulted in high pollution levels. The average MAC values for T1 ranged from 18.9 ± 3.0 at 355 nm to 5.6 ± 0.9 m<sup>2</sup> g<sup>-1</sup> at 870 nm, while for T0 the values ranged from 11.8 ± 0.9 at 375 nm to 5.5 ± 0.1 m<sup>2</sup> g<sup>-1</sup> at 870 nm. In general,

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average MAC values at T0 were lower than MAC values at T1 for similar wavelengths. For example, the average MAC values at T1 are about 1 %, 8 %, 30 %, and 60 % higher than at T0. Brown carbon could account for  $\sim 10\%$  to  $30\%$  of total absorption at mid-visible wavelengths to 370 nm (Yang et al., 2009). Our MAC value of  $\sim 5.5 \text{ m}^2 \text{ g}^{-1}$  at 870 nm for T0 and T1 compares well with Bond's and Bergstrom's (2006) suggested value of  $7.5 \pm 1.2 \text{ m}^2 \text{ g}^{-1}$  for freshly emitted BC at 550 nm (or  $4.7 \text{ m}^2 \text{ g}^{-1}$  at 870 nm, assuming a  $\lambda^{-1}$  dependence). Using a PA and an OCEC analyzer instrument, Doran (2007) found similar MAC values of 5.6 and  $5.5 \text{ m}^2 \text{ g}^{-1}$  at 870 nm for partially coated BC at sites T1 and T2 downwind of Mexico City.

A power law fit for the MAC values is presented in Fig. 7. The two distinct power law fits give the averaged AEA (negative slope of the log-log plot) of  $0.91 \pm 0.04$  (for T0) and  $1.34 \pm 0.05$  (for T1), indicating that the aerosol light absorption at T0 was mainly influenced by fresh traffic emissions, while at T1 it was influenced by aged BC coated with SOA formed from interactions between anthropogenic and biogenic emissions. For comparison, an AEA value of 0.8 has been reported for a laboratory measurement of freshly emitted kerosene soot particles for a similar wavelength range (355–1047 nm) by using a similar technique (Gyawali et al., 2012). The inset panels in Fig. 7 show the regression analysis of  $\beta_{\text{abs}}$  at 870 nm as a function of BC mass concentration for T0 and T1. The slopes of the regression lines, which represent the average BC mass normalized MAC, were determined to be  $5.53 \pm 0.10$  (for T0) and  $5.57 \pm 0.08 \text{ m}^2 \text{ g}^{-1}$  (for T1). Even with substantially lower BC mass concentrations and  $\beta_{\text{abs}}$  at 870 nm, the strong correlations ( $R^2 = 0.92$  and  $0.95$  at T0 and T1) between  $\beta_{\text{abs}}$  and BC mass concentration suggest BC as the principal absorbing component at 870 nm. A good correlation ( $R^2 > 0.60$ ) was observed for the remaining wavelengths, except for 355 and 375 nm ( $R^2 < 0.35$ ) (not shown). This suggests wavelength dependent absorption by organic species at the UV wavelengths. Also, the rather small increases in absorption for 870 and 532 nm wavelengths at T1 compared to T0 suggests that the additional coating on the aged BC particles at T1 may not have produced an appreciable lensing effect, and that the observed increases in absorption at 405 nm and UV wavelengths



at T1 may be mainly due to the formation of brown SOA. While these results are generally consistent with the findings of Cappa et al. (2012), a more detailed analysis of the absorption data with the core-shell Mie theory, constrained with the observed BC coating thickness (from SP2 measurements) and morphological information (based on SEM images), is needed to estimate the relative contributions of the lensing effect and brown SOA to the total multispectral absorption observations at both T0 and T1. Such a study is planned and results will be published in a separate paper.

### 3.4 Modeled aerosol light scattering

Mie theory scattering calculations were performed using the particle size distributions measured by the SMPS-APS system, assuming that all particles are spherical. To avoid over-counting the particle size distribution in the SMPS-APS overlap range, the first 7 bins of the APS measurements were not included in the Mie theory calculations.

In the following subsections, the estimated submicron  $\beta_{sca}^{sub}$  refers to the Mie theory calculations based on the SMPS measurements, whereas the estimated supermicron  $\beta_{sca}^{sup}$  refers to the Mie theory calculations based on the APS measurements. The Mie theory calculations are performed with 2.5 and 5 min averages of the SMPS data at T0 and T1 and one minute averages of APS data at both sites. These values were then averaged to 30 min values to compare with the measured aerosol optical data by the PA and CRD instruments.

#### 3.4.1 Submicron and supermicron aerosol light scattering

The time series of the estimated submicron  $\beta_{sca}^{sub}$  and estimated supermicron  $\beta_{sca}^{sup}$  are presented in Fig. 8 at wavelengths 355, 532 and 1064 nm for both sites for the comparative study. The estimated supermicron  $\beta_{sca}^{sup}$  increases with wavelength for both sites. However, the magnitude of supermicron  $\beta_{sca}^{sup}$  only became significant during the SW flow events, suggesting dominant contributions of transported sea salt and dust particles during those events.

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After the 22 June, the estimated submicron  $\beta_{\text{sca}}^{\text{sub}}$  increased continuously at all wavelengths at T0 and T1, especially at 355 and 532 nm. Note that the estimated submicron  $\beta_{\text{sca}}^{\text{sub}}$  for T1 at 355 nm increased continuously from  $\sim 15 \text{ Mm}^{-1}$  to  $\sim 60 \text{ Mm}^{-1}$  from the 22 June through the end of the campaign, while the estimated supermicron  $\beta_{\text{sca}}^{\text{sup}}$  remained constant at  $\sim 3 \text{ Mm}^{-1}$ . During the same period, both the estimated submicron  $\beta_{\text{sca}}^{\text{sub}}$  and supermicron  $\beta_{\text{sca}}^{\text{sup}}$  at 1064 nm remained nearly constant at  $\sim 4 \text{ Mm}^{-1}$ . The difference in the scattering at the two wavelengths is driven by growth in the size of ultrafine and submicron aerosols, perhaps with a small constant contribution of larger particles.

The estimated total scattering coefficient,  $\beta_{\text{sca}}^{\text{Total}}$  (SMPS + APS), is compared with the estimated super micron scattering coefficient,  $\beta_{\text{sca}}^{\text{sup}}$  (APS). Figure 9 presents the fraction of multispectral scattering due to the supermicron aerosols, i.e. the ratio of estimated scattering coefficients due to supermicron particles to the total estimated scattering coefficients. Each data point is the average value from the 3 to the 23 June 2010 when the SMPS and APS were operating successfully both at T0 and T1. The scattering contribution from supermicron aerosols increased strongly as a function of wavelength for both T0 and T1 sites. Additionally, the ratio indicates that the supermicron scattering for all wavelengths is higher at T0 than at T1. This is likely due to the large particles generated from traffic and urban construction in the proximity of the T0 site, and to the fact that the relatively short atmospheric lifetime results in only a small fraction of large particles reaching the T1 site.

### 3.4.2 Measured and estimated aerosol light scattering

Figure 10 provides a time series of 30-min averaged  $\beta_{\text{sca}}$  measurements by the PA and CRD instruments and the estimated  $\beta_{\text{sca}}$ . The PA  $\beta_{\text{abs}}$  at 870 nm was used with 1064 nm CRD  $\beta_{\text{ext}}$  measurements to calculate 1064 nm  $\beta_{\text{sca}}$  by assuming a  $\lambda^{-1}$  dependence of BC absorption to estimate  $\beta_{\text{abs}}$  and thus  $\beta_{\text{sca}}$  at 1064 nm.

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The measured and the estimated  $\beta_{\text{sca}}$  at 532 nm compared quite well from the 2 to 14 June, while the disagreement between the two quantities were appreciably higher on 15, 16 and 18 June. For example, the difference between these quantities was as high as 20 and 10  $\text{Mm}^{-1}$  on the 8 June at T0 and T1, respectively. Similar trends were also  
5 observed for estimated and modeled  $\beta_{\text{sca}}$  at 1064 nm with substantial deviations during coarse mode events. During these days, significant amounts of coarse mode particles consisting of sea salt were transported from the Pacific Ocean to the Sacramento area (Zaveri et al., 2012; Fast et al., 2012). The largest discrepancies between the estimated and measured  $\beta_{\text{sca}}$  observed during the dominant transport period of coarse mode  
10 particles suggest a partial loss of coarse mode particles in sampling lines between the APS and PA instruments. The APS was located directly below the inlet, minimally impacted by any bends or elbows in the aerosol delivery system, while the PA and CRD instruments were placed inside the trailer. The regression analyses at 532 and 1064 nm were examined (inset panel, Fig. 10) when CRD, PA, SMPS, and APS were  
15 operating successfully, for the common period from the 12 to 22 June. The comparison shows an excellent correlation of  $R^2 = 0.89$  to 0.85, but the estimated  $\beta_{\text{sca}}$  were a factor of 1.20 and 2.06 larger at 532 and 1064 nm, respectively. In general, discrepancies between the measured and estimated  $\beta_{\text{sca}}$  for the shorter wavelengths (e.g. 355 and 532 nm) are understandable if we consider 5% and 15% uncertainties for  $\beta_{\text{abs}}$  and  
20  $\beta_{\text{sca}}$  measurements when using PA (Lewis et al., 2008), including other errors linked to the measurements of aerosol size and concentrations. However, the more than double overestimation of the  $\beta_{\text{sca}}$  at 1064 nm could have been caused by coarse mode particle loss in the inlet system, as well as the effect of nonspherical particle scattering for the coarse mode particles.

25 The time series as well as the regression analysis between the measured and estimated  $\beta_{\text{sca}}$  for T1 are also examined at 355 and 1064 nm and are presented in Fig. 10 (right panels). The measured and estimated  $\beta_{\text{sca}}$  compared very well, though occasional deviations at 355 nm occurred before the 14 June. For the whole dataset, the comparison shows an excellent correlation of  $R^2 = 0.93$  to 0.95, while the scattering

coefficients were a factor of 0.87 and 0.89 lower than the measured values for T1 at 355 and 1064 nm, respectively.

Electron microscopy analysis shows that relative abundance of spherical or near-spherical particles compared to total number of particles is approximately 44 % (295 of 670 particle) and 70 % (350 of 500 particles) for T0 and T1, respectively. Soot particles at T1 (Fig. 6) appeared more compacted compared to T0 (Fig. 4) probably due to aging, resulting in more roundish structures. The more spherical morphology of the particles at T1 compared to that of T0 might explain the better correlation between the measured and estimated  $\beta_{\text{sca}}$  using Mie theory that assumes homogeneous spherical particles.

#### 4 Summary

As part of the CARES field campaign in June 2010, multispectral measurements of aerosol light absorption, scattering, and extinction were obtained at the American River College campus (site T0) located within the Sacramento urban area, and at the Northside School campus (site T1) located in the forested Sierra Nevada foothills area, about 40 km to the northeast of the T0 site. The objective of this study was to characterize the evolution of the aerosol optical properties as the interactions between urban and biogenic emissions led to enhanced production of SOA during the southwesterly flow events. Under this predominant daytime flow pattern, the average SSA remained high (> 0.9) at both sites due to increased SOA formation. In contrast, the SSA values were appreciably lower during the occasional northwesterly flow events when both sites were impacted by fresh local emissions of BC.

During the last week of the campaign, under sustained southwesterly flow, the SOA mass increased significantly at both sites due to increased biogenic emissions coupled with intense photochemical activity and air mass recirculation in the area. This resulted in a strong impact on 355 nm light scattering due to larger submicron particles. The estimated (Mie theory model)  $\beta_{\text{sca}}$  compared very well with measured  $\beta_{\text{sca}}$ , with occasional

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deviations during the strong southwesterly flow events that brought coarse mode sea salt aerosols from the Pacific Ocean to the Sacramento area. Within the measurement uncertainties of PA, SMPS, and APS, the very good correlations ( $R^2 > 0.85$ ), with slopes  $\sim 1$  between the measured and estimated  $\beta_{\text{sca}}$ , indicate that our Mie theory calculations were realistic for all wavelengths excluding 1064 nm at T0. The overestimation of calculated  $\beta_{\text{sca}}$  at 1064 nm at T0 during the pronounced coarse-mode events appeared to be due to the loss of some coarse mode particles in the sampling lines between the APS and the optical instruments. The coarse mode scattering dominated at wavelengths  $\geq 870$  nm.

The month-long daytime average Ångström exponent of absorption (AEA) was  $\sim 1.6$  for the wavelength pair 405 and 870 nm at T0, while it was  $\sim 1.8$  for the wavelength pair 355 and 870 nm at T1, indicating a modest wavelength-dependence of absorption at both sites throughout the study. During the last week of the campaign (i.e. the period when SOA mass increased significantly), the BC mass-normalized absorption cross-section (MAC) for ultraviolet wavelengths at T1 increased by  $\sim 60\%$  compared to that of the relatively less aged urban emissions at the T0 site. In contrast, the MAC values for 870 nm wavelength were nearly identical at T0 and T1. These results suggest formation of moderately brown SOA in biogenically-influenced urban air as opposed to absorption enhancement due to the lensing effect caused by SOA coating. While these results are generally consistent with the conclusions of Cappa et al. (2012), detailed model analysis of the absorption data, constrained with the observed BC coating thickness and morphological information, is needed to estimate the relative contributions of brown SOA and the potential lensing effect caused by it to the total multispectral absorption observations at both sites.

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**Fig. 1.** Locations of the ground sites, T0 and T1, along with the dominant summer daytime wind patterns (Image courtesy of Google maps).

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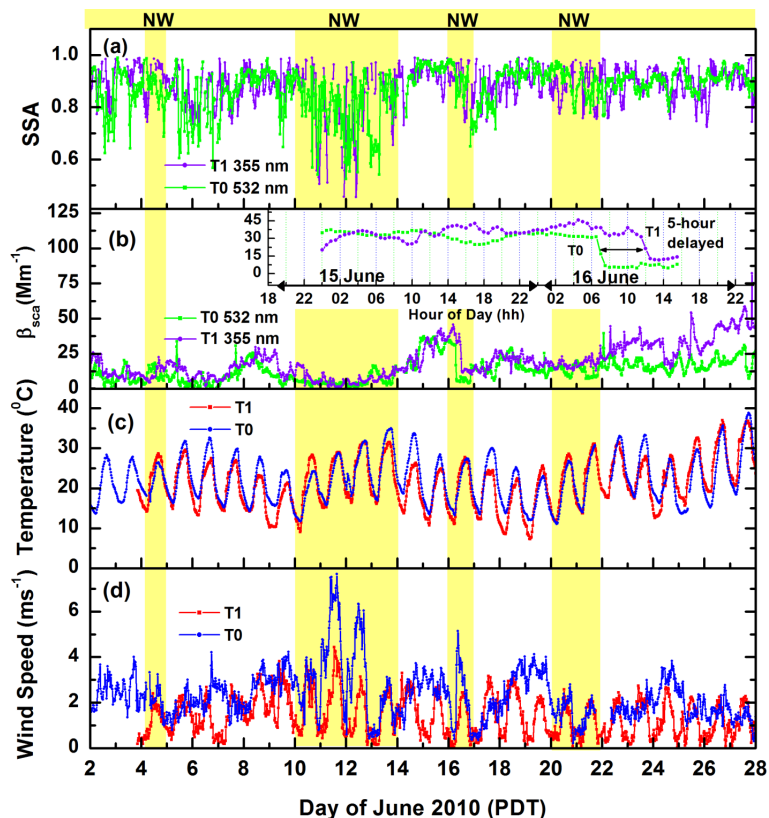
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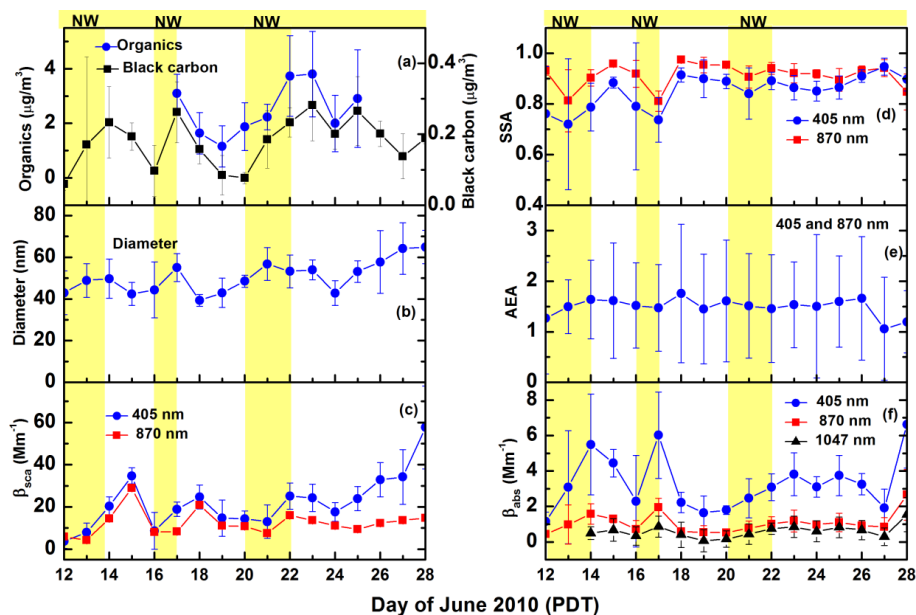
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**Fig. 2.** T0 and T1 time series plots of (a) single scattering albedo (SSA), (b) aerosol light scattering coefficient ( $\beta_{\text{sca}}$ ) at 532 and 355 nm, (c) temperature, and (d) wind speed from the 2 to 28 June 2010. The inset panel highlights the aerosol transport from T0 to T1 and associated time difference during the 15 and 16 June 2010. Each data point is a 30-min average of the measurements. Measurements during northwesterly (NW) flow are indicated by the shaded areas.

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**Fig. 3.** Daily daytime averages at T0 from 12 to 28 June, 2010, of: **(a)** BC mass concentration and PM<sub>1</sub> organic mass concentration **(b)** PM<sub>1</sub> particle mean diameter, **(c)** aerosol scattering coefficient ( $\beta_{\text{sca}}$ ), **(d)** single scattering albedo (SSA), **(e)** Ångström exponent of absorption (AEA), and **(f)** absorption coefficients ( $\beta_{\text{abs}}$ ) at 405, 870 and 1047 nm. Vertical bars represent one standard deviation of measurements. Each data point is averaged from 06:00 to 18:00 PDT. The northwesterly flows (NW) are indicated by the shaded areas.

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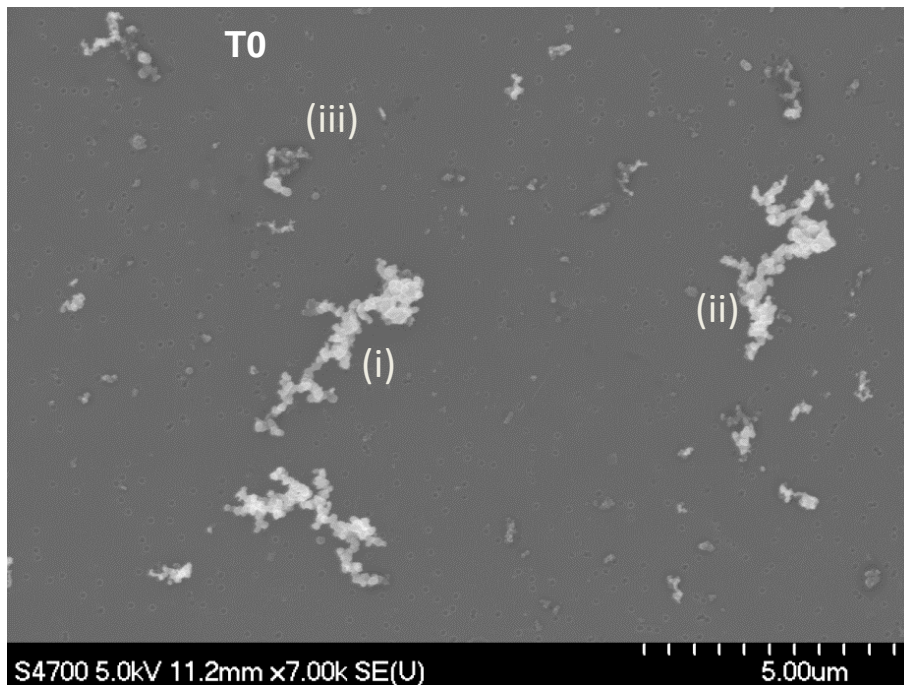
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**Fig. 4.** Field emission scanning electron microscope image of particles collected at T0 site on a nuclepore membrane, illustrating a broad distribution of fractal soot aggregates. (i) Elongated fractal soot aggregate, (ii) fresh soot mixed with coated soot and (iii) darker region around the soot suggesting soot coated with other material.

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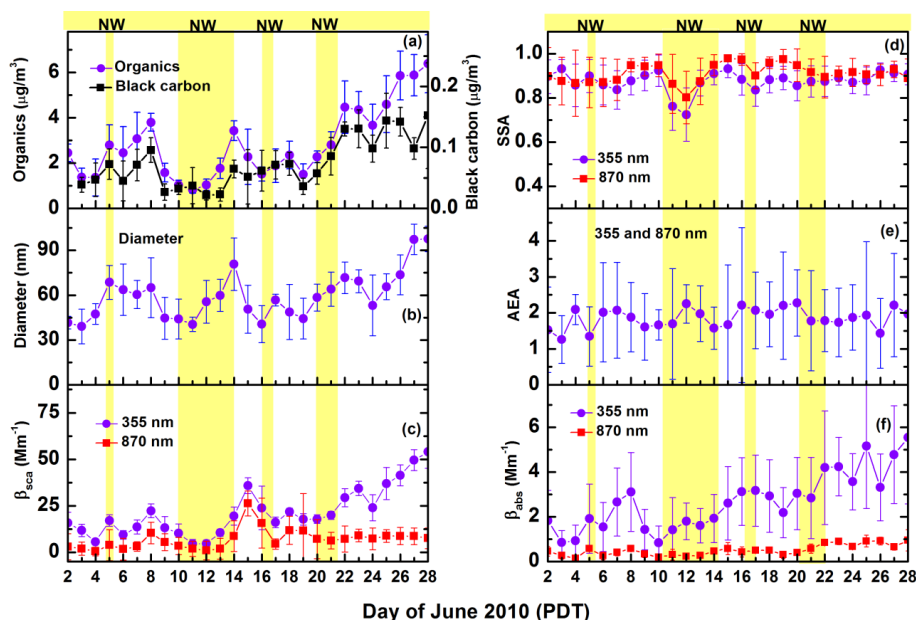
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**Fig. 5.** Daily daytime averages at T1 from 2 to 28 June, 2010, of: **(a)** BC mass concentration and PM<sub>1</sub> organic mass concentration, **(b)** PM<sub>1</sub> particle mean diameter, **(c)** aerosol scattering coefficient ( $\beta_{\text{sca}}$ ), **(d)** single scattering albedo (SSA), **(e)** Ångström exponent of absorption (AEA), and **(f)** absorption coefficients ( $\beta_{\text{abs}}$ ) at 355 and 870 nm. Bars represent one standard deviation. Each point is averaged from 06:00 to 18:00 PDT. The northwesterly flows (NW) are indicated by the shaded areas.

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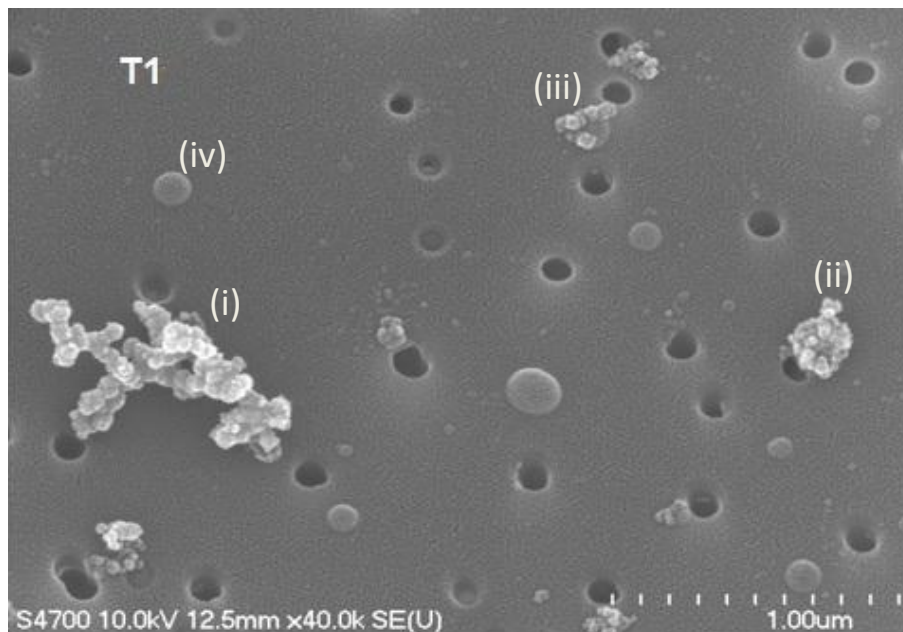
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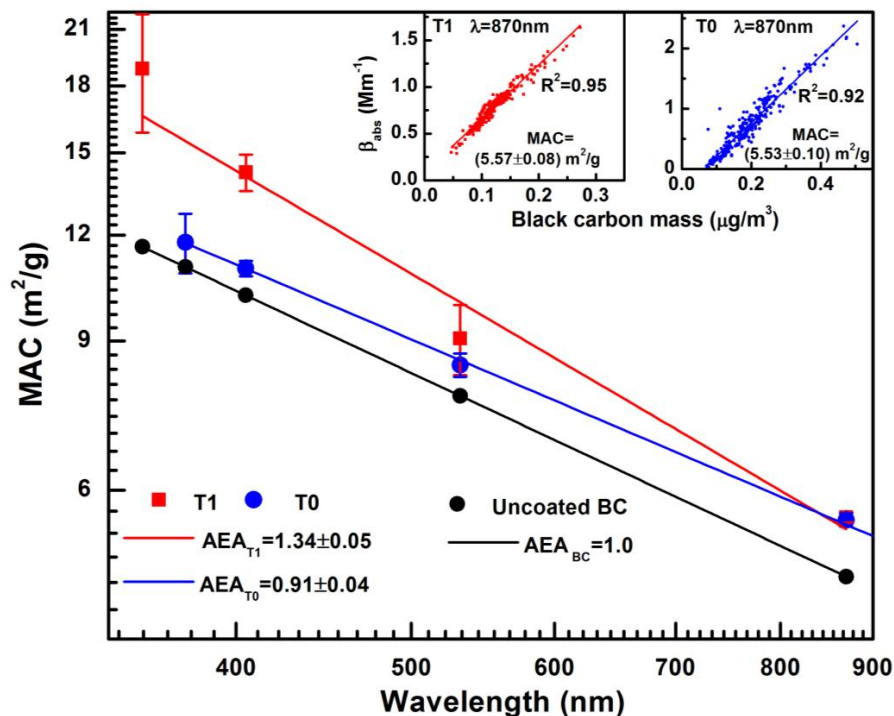


**Fig. 6.** FE-SEM image of particles collected at T1 site on a nuclepore membrane. The dark circles are the holes in the collection substrate. (i) Open fractal soot aggregate, (ii) collapsed soot aggregate, (iii) soot-inclusion with a spherical particle (top), and (iv) spherical particle.

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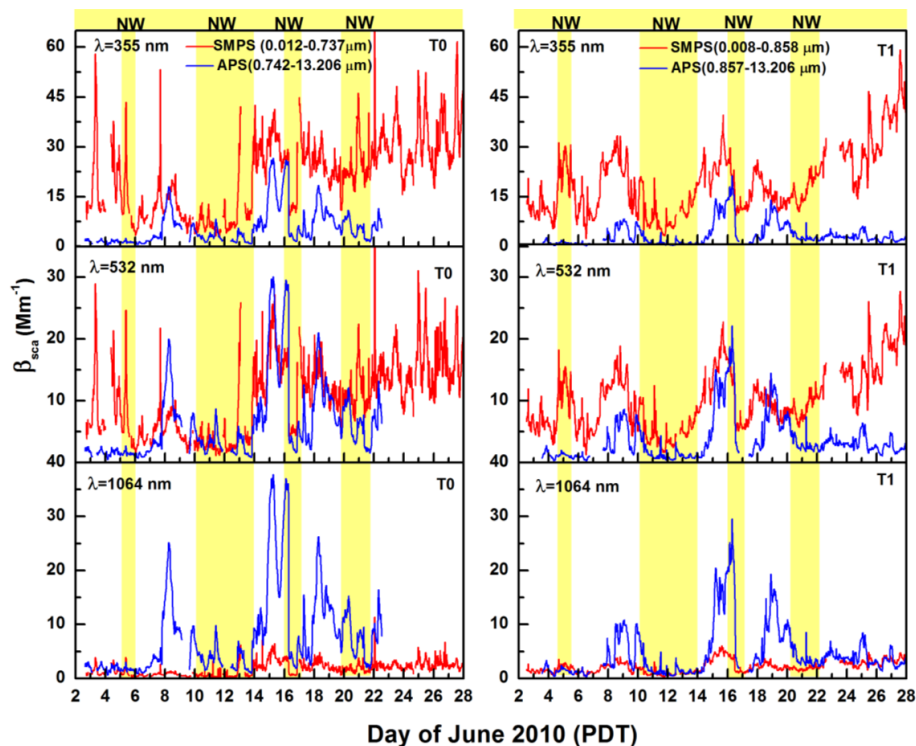
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**Fig. 7.** BC mass normalized absorption cross-section (MAC) versus wavelength for the 2 to 28 June 2010 at T0 and T1. The inset panels show the regression analyses of  $\beta_{\text{abs}}$  at 870 nm and BC mass concentration yielding MACs as slopes. The error bars represent the standard errors. Also presented in the plot are the MAC values for uncoated BC (assuming inverse wavelength dependence from wavelength 355 to 870 nm) as a reference for the observed MACs.

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**Fig. 8.** Time series of the modeled supermicron (Mie theory calculations of APS) and submicron (Mie theory calculations of SMPS) aerosol scattering coefficient at 355, 532, and 1064 nm for T0 (left panel) and T1 (right panel). The northwesterly flows (NW) are indicated by the shaded areas.

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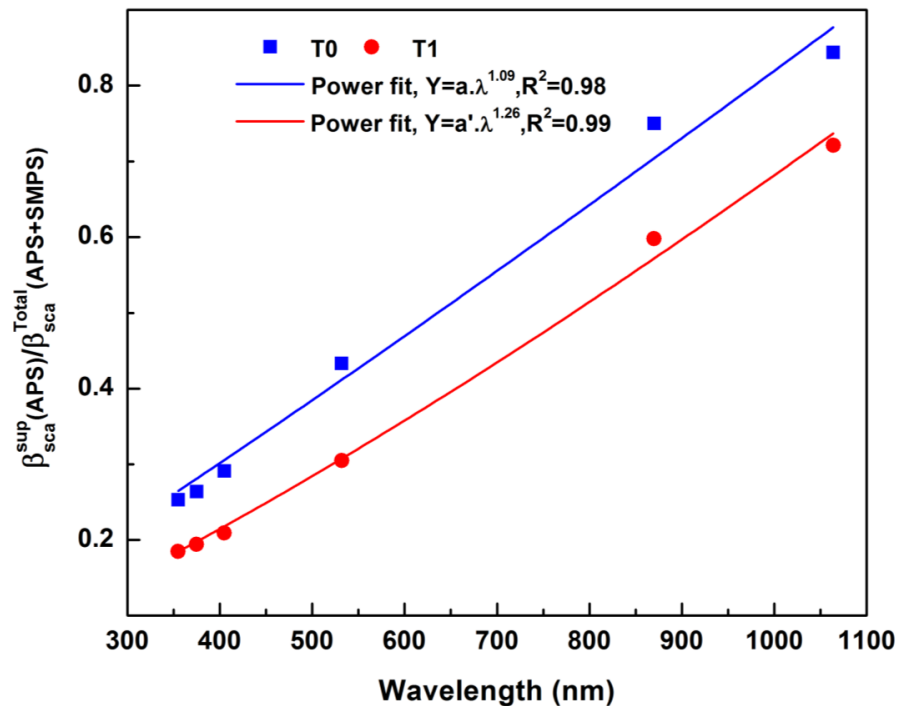
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**Fig. 9.** The estimated scattering contribution from supermicron aerosol for T0 and T1. Each point is the average for the common period (from 3 to 23 June 2010) shared among the SMPS and APS at T0 and T1.

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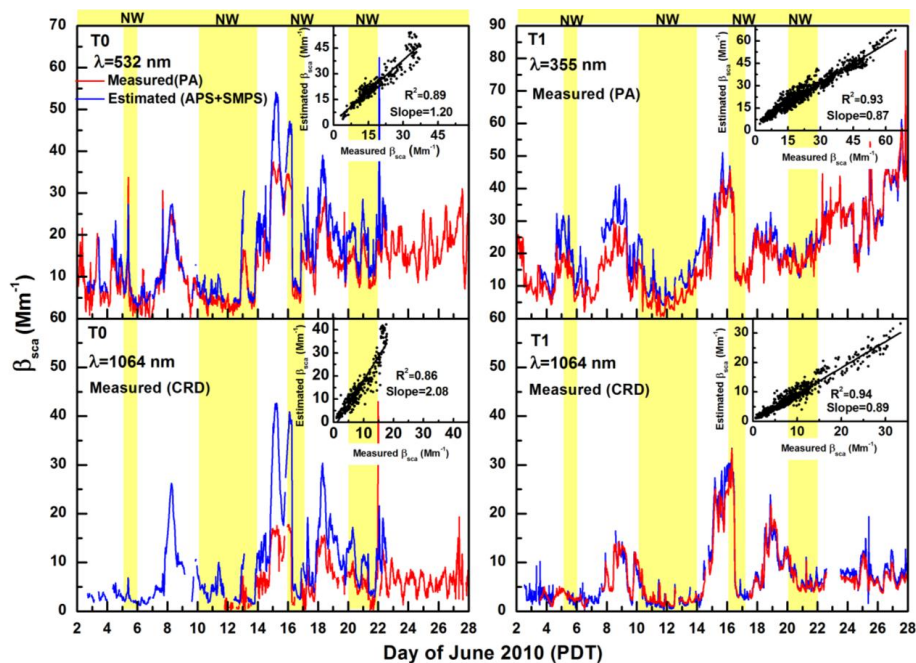
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**Fig. 10.** Time series of measured (PA and CRD) and estimated (Mie theory calculations of SMPS APS measurements) aerosol scattering coefficient ( $\beta_{\text{sca}}$ ) at T0 (left panel) and T1 (right panel) for 30 min average data. The northwesterly flows (NW) are indicated by the shaded areas. The inset panels show the regression analysis of measured and estimated  $\beta_{\text{sca}}$ .

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