



Reliability of
uncertainties from
the inversion of CO₂
NEE

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Regional inversion of CO₂ ecosystem fluxes from atmospheric measurements: reliability of the uncertainty estimates

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Abstract

The Bayesian framework of CO₂ flux inversions permits estimates of the retrieved flux uncertainties. Here, the reliability of these theoretical estimates is studied through a comparison against the misfits between the inverted fluxes and independent measurements of the CO₂ Net Ecosystem Exchange (NEE) made by the eddy covariance technique at local (few hectares) scale. Regional inversions at 0.5° resolution are applied for the western European domain where ~ 50 eddy covariance sites are operated. These inversions are conducted for a 6-yr period (2002–2007). They use a mesoscale atmospheric transport model, a prior estimate of the NEE from a terrestrial ecosystem model and rely on the variational assimilation of in situ continuous measurements of CO₂ atmospheric mole fractions. The misfits averaged over monthly periods and over the whole domain, are in good agreement with the theoretical uncertainties for prior (respectively inverted) NEE, with positive chi-square tests for the variance at the 2% (respectively 20%) significance levels, despite the scale mismatch and the independence between the prior (respectively inverted) NEE and the flux measurements. The theoretical uncertainty reduction for the monthly NEE at the measurement sites is 53% while the inversion actually decreases the standard deviation of the misfits by as much as 38%. These results build confidence in the NEE estimates at the European/monthly scales and in their theoretical uncertainty from the regional inverse modeling system. However, the uncertainties at the monthly (respectively annual) scale remain larger than the amplitude of the inter-annual variability of monthly (respectively annual) fluxes, so that there is a low confidence in the inter-annual variations. The uncertainties at the monthly scale are significantly smaller than the seasonal variations. The seasonal cycle of the inverted fluxes is thus reliable. In particular, the CO₂ sink period over the European continent likely ends later than represented by the ecosystem model.

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1 Introduction

Inverse modeling of CO₂ surface fluxes consists in assimilating CO₂ atmospheric mole fraction measurements in an atmospheric transport model to retrieve the fluxes. The inversion systems also rely on prior statistical knowledge about the fluxes. This prior statistical knowledge typically consists in the compilation of estimates from vegetation models, flux inventories and flux climatologies with associated uncertainties. The inversion updates the prior estimate of the fluxes in order to decrease the misfits between the simulation of the CO₂ atmospheric mole fraction based on this estimate and the actual CO₂ measurements at atmospheric stations. These misfits are caused by a combination of the uncertainties in the fluxes and by a series of other sources of error (called “observation errors”) which include the measurement errors, the model transport errors, and the differences between the time/space scales addressed by the inversion system and the scales of representativity of the measurements. Most inversion systems assume that all errors can be represented statistically using normal distributions. Their flux retrieval relies on the Bayesian framework, which, accounting for the prior uncertainty and the observation errors, describes the most likely estimate of the posterior fluxes with the associated uncertainties.

The derivation of the uncertainty in the inverted fluxes is a strength of the Bayesian approach. However, it relies on the estimate of the statistics for the prior uncertainty and for the observation errors. There is a lack of independent data that could anchor and validate such statistics (Michalak et al., 2005; Gerbig et al., 2008; Chevallier et al., 2012). Therefore, a robust quantification of the posterior uncertainty remains challenging.

This study aims at evaluating the uncertainty estimates from an inversion system using comparisons with independent flux measurements, in the particular context of the inversion of the CO₂ Net Ecosystem Exchange (NEE) at high resolution over Western Europe, a region with one of the highest density of atmospheric and flux measurement stations.

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Broquet et al. (2011) (hereafter BR2011) developed a regional inverse modeling system based on a variational data assimilation framework (Chevallier et al., 2005) and on the atmospheric mesoscale transport model CHIMERE (Schmidt et al., 2001). They applied it for the inversion of the European CO₂ NEE during summers 2002–2007 at 0.5° and 6-h resolution with prior estimates from the Organizing Carbon and Hydrology In Dynamic Ecosystems process-based model (ORCHIDEE) (Krinner et al., 2005) and with the assimilation of hourly in situ mole fraction data from the CarboEurope-IP (hereafter CE) atmospheric continuous stations. They evaluated the results from the inversions by checking that the corrections applied by the inversions to the estimates from ORCHIDEE decreased the misfits to independent local flux measurements (at few hectares scale) from the CE eddy covariance flux towers during summer periods. Obviously, the inversion cannot solve the differences in space resolution between the model grid cells and the eddy covariance data nor the measurement error in these data (Hollinger and Richardson, 2005; Lasslop et al., 2008). However, the comparisons between the NEE estimates from the ecosystem or inversion model in the grid cells containing the locations of the flux towers and these measurements, both averaged over all flux tower locations in Europe and over 30-day periods, showed significant decrease of the misfits and a better fit with the temporal variability of the data due to inversion.

This study applies the same system as BR2011 for a 6-yr long inversion of the European CO₂ NEE during the period 2002–2007 and extends their comparisons between the inversion based NEE and the eddy covariance data. The period of inversion is long enough in this study to gather enough samples of monthly misfits between the eddy covariance measurements and the prior or posterior model NEE estimates, in order to derive robust statistics. These statistics are used here for evaluating the Bayesian uncertainty statistics of the inverse modeling system. Using the confidence in the uncertainties derived from such an evaluation, this paper also compares these uncertainties with the seasonal to inter-annual variations of the NEE in order to assess the reliability of the analysis of this variability in the inverted product.

The inverse modeling set-up is summarized in Sect. 2. The comparisons to eddy covariance measurements are detailed in Sect. 3 and analyzed in Sect. 4. Finally the confidence in the analysis of the seasonal to inter-annual variability in the prior and inverted NEE is discussed in Sect. 5. Conclusions are given in Sect. 6.

2 Inverse modeling set-up

This section summarizes the configuration of the inverse modeling system. More details and explanations about this set-up can be found in BR2011. 3-hourly prior estimates of the NEE from a simulation of the ORCHIDEE model are corrected with 6-h/0.5° resolution increments by the inversion system based on the assimilation of hourly averages of atmospheric mole fraction measurements at a series of sites: the Biscarosse (BIS), Cabauw (CBW), Monte Cimone (CMN), Gif-sur-Yvette (GIF), Heidelberg (HEI), Hegyhatsal (HUN), Jungfraujoch (JFJ), Kasprowy Werch (KAS), Lampedusa (LMP), La Muela (LMU), Mace Head (MHD), Ochsenkopf (OXK), Plateau Rosa (PRS), Puy De Dôme (PUY), Schauinsland (SCH), Trainou (TRN) and Westerland (WES) CE continuous stations¹ (see Fig. 1 and Table 1). Measurements from the period 2002 to 2007 are exploited here. The hourly data are selected for assimilation depending on UTC time and site altitude. Data from high altitude stations (at locations higher than 1000 m a.s.l.) are assimilated between 0:00 and 6:00 (UTC time is used hereafter) while other data are assimilated between 12:00 and 20:00 in order to avoid periods during which CHIMERE, like any regional transport model, bears large transport biases.

The CHIMERE model, denoted **H**, is used to simulate the atmospheric mole fractions and thus to compute the misfits to the atmospheric mole fraction measurements for a given estimate of the NEE f . The configuration used for CHIMERE corresponds to a 0.5° horizontal resolution, with 20 vertical layers and covers the domain 10.5° W–

¹http://ce-atmosphere.lscce.fr/DATA_RELEASE/index.php?p=ava

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and representativity errors (Peylin et al., 2011, BR2011) and they are ignored. Uncertainties in boundary conditions can be a critical source of error in regional inversions (Göckede et al., 2010b; Lauvaux et al., 2012) and several studies attempted to adjust them using the inverse modeling framework (Peylin et al., 2005). Here, the potential error in the temporal and spatial variability in the concentrations from the global inversion that is used to apply the boundaries conditions is ignored, but a general offset is applied before the inversion to cancel biases from the boundaries among other sources of systematic errors (see below). Therefore, the observation error \mathbf{R} is set up with estimates of the CHIMERE configuration transport and representativity errors only.

Comparisons between simulated and measured radon concentrations at HEI, GIF, PUY and MHD are used to define the typical ratios between the transport and representativity errors and the observed temporal variability in the hourly concentrations, and subsequently the transport and representativity errors for CO₂. Seasonal estimates of the hourly errors (and thus of the hourly observation errors) are derived with the following definition of seasons (used hereafter): winter = January–March; spring = April–June; summer = July–September; fall = October–December. These estimates are typically about 3.5 ppm at high altitude stations and at nighttime for any season. At the other stations, during the afternoon and evenings, they lie between 11 and 17 ppm during fall and winter (when the vertical mixing is the lowest and thus when the model has difficulties to represent the vertical stratification close to the ground) or between 4 and 8 ppm in spring and summer.

Observation errors for different hours are assumed to be uncorrelated, which likely balances potential overestimations of the standard deviation (STD) for hourly errors when using comparisons to Radon measurements (BR2011). Therefore, even though the configuration of observation errors for hourly data have a scale that is similar to that of the synoptic variability in CO₂ at the measurement sites, their averages at daily scale are significantly smaller. Thus the accuracy of the comparison between the model simulations and the CO₂ measurements is high enough to allow for decreasing significantly the uncertainties in the fluxes at daily scale through the inversion.

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Correlations in **B** are configured with values exponentially decreasing as a function of the lag between NEE times and space locations. Correlation e-folding lengths are set to 1 month and 250 km, but prior uncertainties for different 6-h window of the day are not correlated. Like in the study of Chevallier et al. (2010), the STD in **B** is proportional to the heterotrophic respiration (using scaling factors derived so that daily uncertainties are similar to the ones diagnosed by Chevallier et al., 2012). This STD is thus lower in fall and winter than in spring and summer but a ceiling value is imposed for each 6-h window so that the daily uncertainty for a given $0.5^\circ \times 0.5^\circ$ grid cell remains smaller than $\sim 2.6 \text{ gCm}^{-2} \text{ day}^{-1}$. This limits the differences between wintertime and summertime uncertainties with STD for uncertainties in 30-day mean NEE over the whole European domain ranging from 0.37–0.45 $\text{gCm}^{-2} \text{ day}^{-1}$ in February to 0.54–0.58 $\text{gCm}^{-2} \text{ day}^{-1}$ in September.

One inversion is conducted for each one of the six years from 2002 to 2007. Before the inversions, a general offset (independent of space and time) is applied to the initial and boundary conditions in order to remove the bias $1/n_{\text{obs}} \sum_1^{n_{\text{obs}}} \mathbf{H}_i \mathbf{r}^b - \mathbf{y}_i^o$ (where \mathbf{H}_i is the i -th line of **H**) between the prior model atmospheric mole fractions and the whole set of n_{obs} CE data that will be assimilated from 2002 to 2007. This bias originates from systematic errors in the boundary conditions, in the transport model and in the prior estimate of the fluxes. The offset is needed to deal with this bias since the inversion system is configured to catch random errors only. It prevents the system from adjusting the mean estimate of the NEE for the period 2002–2007 but the inversion can improve the estimate of the seasonal to inter-annual variability in the NEE over Europe. Prior and posterior uncertainties are thus related to the estimate of the variations of the NEE around its mean value for 2002–2007.

The Monte Carlo estimate of the posterior uncertainties is based on ensembles of inversions with synthetic prior fluxes and observations (called Observing System Synthetic Experiments, OSSEs) which, by construction, sample the prior uncertainty and the observation error, and, subsequently, the Bayesian statistics for the posterior uncertainty. These samplings converge towards the Bayesian error statistics for a growing

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number of ensemble members. It is assumed that the uncertainty reduction (i.e. the relative difference between the prior and posterior uncertainty) in monthly European NEE does not vary significantly from year to year or from one season to another one. This assumption was checked by comparing the results from OSSEs that have been conducted for typical months of summer 2003 and 2006, for 2 weeks in December 2007 and for two weeks in July 2007. The results had a very small difference between summer 2003 and 2006, or between December and July 2007 (with less than 2 % difference in uncertainty reduction) when considering the average over Europe, despite significant changes in the observation network (between 2003 and 2006), in prior and observation uncertainties (between July and December), and in meteorological conditions (between all cases). The prohibitive cost of the computations prevents from building ensembles of OSSEs for the whole period of interest. Therefore, based on the assumption that the uncertainty reduction does not vary significantly for the NEE averaged at European scale, the monthly estimate of posterior uncertainties in this paper (see Sect. 3.1 and Figs. 2 and 3) are all derived as the product of the monthly estimate of prior uncertainties (characterized by the **B** matrix) by the estimate of uncertainty reduction from the OSSEs for a typical month during summer 2006. This uncertainty reduction is ~ 60 % when considering the average of NEE over the whole European domain used here.

BR2011 give a discussion on the various sources of error for such estimates of uncertainty reduction and of posterior uncertainties. This study, by assessing the reliability of the prior and posterior uncertainties, indirectly assesses the impact from these potential sources of errors. Posterior uncertainties in annual NEE could also be estimated based on ensembles of 1-yr long OSSEs. However, this has not been attempted due to the high computational cost that it would require, and due to the low confidence in the results derived at annual scale (which is discussed in Sect. 4).

3 Comparisons to averages of eddy covariance measurements

3.1 Protocol and justification

Hourly data from the gap-filled CE-level 4 (CE-L4) product (Papale et al., 2006) are used to evaluate the inversions. These data are derived from the averaging of quality controlled eddy covariance measurements from a large set of sites that are spread over the main regions and ecosystems of Europe. For the period 2002–2007, ~ 45–50 eddy covariance sites have data in the CHIMERE domain (see the maps of Fig. 2 and Table 2).

In the following, the “misfits” refer to the differences between the prior or posterior estimates of the NEE and the CE-L4 data averages. They are used in this paper to evaluate the “uncertainties” which hereafter refer to the estimates, in the inversion, of the prior uncertainty characterized by the **B** matrix, and of the posterior uncertainty based on the OSSEs. This evaluation relies on the fact that both the misfits and the uncertainties can be used to statistically quantify the actual “errors” between the prior or posterior estimate of NEE by the inversion and the true NEE.

There are several sources of bias between eddy covariance measurements and model NEE during the period 2002–2007 which cannot be quantified. First, there can be annual biases in the eddy covariance measurements, due to imperfect data filtering and to gap-filling, which are large when compared to annual averages of the data, but, a priori, not when compared to monthly averages (Luysaert et al., 2009; Lasslop et al., 2010). The weight of these biases for data averages is far larger than that of random measurement errors on individual data since the autocorrelation in time for these errors is negligible (Lasslop et al., 2008). Eddy covariance sites also often show large sinks which are due to the regrowing nature of local ecosystems for many of these sites (Jung et al., 2011) while the actual sink should be smaller at the model larger scale which merges such regrowing ecosystems with near-equilibrium of disturbed ecosystems. Second, the analysis of the average of the inverted NEE over the whole period 2002–2007 is not sensible because of the application of a general offset

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to the concentrations before the inversion. The definition and the configuration of the inversion here is therefore dedicated to the estimate the variations of the NEE around its mean value for 2002–2007.

As a consequence of these long-term bias sources, the NEE estimates from the models and from the data are shifted homogeneously in space and time so that their average over 2002–2007 and over all the locations of CE-L4 sites in Europe is cancelled before the comparisons, and, thus, so that these comparisons are unbiased and focused on the seasonal to inter-annual variability. All the results provided in the following are based on the estimates of the differences between the NEE and its 2002–2007 and European mean. Luyssaert et al. (2012) estimates the mean value for the long term carbon uptake by ecosystems in Europe to be $-0.12 \pm 0.04 \text{ gC m}^{-2} \text{ day}^{-1}$.

Figure 2 shows the averages over all the time and space locations when and where CE-L4 data are available during each 30-day period within the CHIMERE domain, of the prior and inverted NEE at 0.5° resolution and of the CE-L4 data. The spatial averaging over the different CE-L4 locations in Europe and over 30-day periods is assumed to strongly decrease the random measurement errors in the CE-L4 data (Lasslop et al., 2008) as well as the differences of representativity between these data and the estimates from the model which should be high when considering individual CE-L4 measurements (at a scale smaller than 1 km^2) and the corresponding $0.5^\circ \times 0.5^\circ$ model grid cells but which are assumed to be random, uncorrelated between the different measurement sites and not fully correlated over time at a given site. This assumption is supported by the good fit obtained by BR2011 between inverted estimates of NEE and spatially averaged CE-L4 data despite large misfits at individual sites. However, the residual differences in representativity between the averages (i.e. the average of the differences at local and hourly scale) may be still significant.

In a similar way, Fig. 3 shows the 30-day mean averages of the prior and inverted NEE over the whole CHIMERE domain in order to characterize the temporal variations of the European NEE in the light of the evaluation of the results from the inversion at CE-L4 locations. In Figs. 2 and 3, the uncertainties provided for the averages of the

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prior NEE are those from the configuration for the **B** matrix in the inversion framework. The posterior uncertainties are based on the product of these prior uncertainties by the estimate of uncertainty reduction for the averages of NEE over CE-L4 locations (Fig. 2) or over 30-day and Europe (Fig. 3). The uncertainties in the monthly mean NEE for the period 2002–2007 are conservatively derived for a given month, based on the values obtained for specific years, and assuming full correlations between uncertainties in monthly NEE from year to year since there is no available estimate about the correlation of uncertainties from year to year.

Figure 4 shows the distribution of all prior and posterior misfits between modeled and measured averages of NEE over 30 days and over CE-L4 locations from Fig. 2. The prior and posterior uncertainties from the inversion and the CE-L4 locations vary from month to month and from year to year. In theory, the estimate of the STD of the misfits from Fig. 4 can be used to check the quadratic mean of the STD for these different prior and posterior uncertainties only, i.e. to evaluate the STD of the mean distribution of the uncertainties. However, most of the estimates of STD of the prior or posterior uncertainties in the monthly NEE at CE-L4 locations (and shown in Fig. 2) have less than 20 % differences with their quadratic mean (the largest relative difference ~ 35 % occurs for the posterior uncertainty in December 2004). According to these estimates from the inversion, individual monthly uncertainties are relatively close and thus the knowledge on the mean distribution of uncertainties that will be derived from the comparisons with misfits to CE-L4 data will give good insights into individual monthly uncertainties.

Finally, Table 3 displays the annual anomalies to the 2002–2007 mean in the CE-L4 data and in the prior and posterior estimates of the NEE in order to evaluate potential improvements from the inversion bringing the estimate of the inter-annual variability of the NEE in closer agreement to the CE-L4 data. However, the number of annual misfits (i.e. 6 prior misfits and 6 posterior misfits) is too low to get a reliable sample of the statistics for the uncertainties in the annual anomalies of the NEE.

3.2 Results

The analysis of the prior misfits in Fig. 2 reveals differences between ORCHIDEE and the CE-L4 data averages that are generally positive during spring and summer and negative during fall and winter. These differences are even systematically positive in June–July and systematically negative from November to February. Values for these prior misfits exceed the monthly STD of the prior uncertainty for nearly 28 % of the months. This agrees very well with the assumption that the misfits have Gaussian distributions defined by the estimates of the prior uncertainties since 68 % of Gaussian distributions lies within one STD of their mean. The sampling of the prior misfits (Fig. 4) has a kurtosis and a skewness coefficients equal to -0.6 and 0.4 respectively which also supports the assumption that the misfits and actual errors follow a Gaussian distribution. However, Fig. 2 shows that the flatness of the distribution of the prior monthly misfits (seen in Fig. 4) is mainly due to the fact that positive values during spring–summer are generally larger than the negative values during fall–winter. The prior STD of monthly misfits is $0.64 \text{ g C m}^{-2} \text{ day}^{-1}$ and should be compared with the values for the quadratic mean of the monthly prior uncertainties: $0.76 \text{ g C m}^{-2} \text{ day}^{-1}$.

Figure 2 indicates that the inversions strongly decrease the misfits to CE-L4 data compared to the prior estimates. There are only 14 cases (out of 72) for which misfits are increased by the inversion (essentially during fall 2002 and summer–fall 2004). Unlike the prior misfits, the posterior misfits have a significant number of both positive and negative values during all seasons. Subsequently, the correlation between the monthly model estimates and the data is raised from 0.87 to 0.96 by the inversion, these high values being mostly due to the consistency of the seasonal cycles since these scores remain quite unchanged when removing annual means from the monthly estimates. During 2005–2007, the posterior misfits are smaller than the STD of the posterior uncertainties (except for 4 cases) but, due to larger values during 2002 and 2004 (regardless of the season), 32 % of these misfits exceed the monthly STD of the posterior uncertainty, which, again, agrees very well with the assumption that the

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misfits follow Gaussian distributions defined by the estimates of the posterior uncertainties. This assumption is also supported by the kurtosis and skewness coefficients of the sample of posterior misfits (Fig. 4) which are equal to 0.2 and 0.4 respectively and by the value for the posterior STD which is equal to $0.4 \text{ gCm}^{-2} \text{ day}^{-1}$ and, thus, which is close to the value for the quadratic mean of the monthly posterior uncertainties: $0.36 \text{ gCm}^{-2} \text{ day}^{-1}$. The distribution of the posterior monthly misfits, which bears a weaker signature of seasonal variations than the prior distribution, has a small value for the kurtosis coefficient, which becomes positive after inversion, showing that the inversion has been capable of applying stronger corrections in spring–summer when the prior had larger errors.

The uncertainty reduction for the NEE at the CE-L4 available sites, defined by the relative difference between the quadratic means of the prior and posterior uncertainties integrated over the location of the CE-L4 available sites for each year, is equal to 53 % (the relative difference between the estimate of the prior and posterior uncertainties based on the OSSEs varies from 48 % when integrated over the locations of the sites in 2007 to 56 % when integrated over the locations of the sites in 2004). The reduction in the STD of the monthly misfits from the distributions in Fig. 4 is 38 %.

The comparison between Figs. 2 and 3 indicates that the seasonal variations of the prior and posterior NEE and the corrections from the inversion are qualitatively similar for the whole European domain and at CE-L4 locations. This is supported by the correlation between the prior (respectively posterior) monthly NEE for the whole Europe and the prior (respectively posterior) monthly NEE at the CE-L4 locations which is 0.97 (respectively 0.98) and by the correlation between the corrections from the inversion for the whole Europe and at the CE-L4 locations which is 0.87.

Misfits in the annual anomalies to the 2002–2007 mean are decreased by the inversion in 2002, 2003, 2006 and 2007 but increased in 2004 and 2005 (Table 3). The regular increase of the annual NEE (defining a positive NEE as a source of CO₂) in the data at CE-L4 sites from 2002 to 2006 is not supported by the prior nor by the posterior estimates since the prior (respectively posterior) estimates identify strong positive

anomalies in 2003 (in 2004). Actually, at the annual scale, prior or posterior misfits can be large compared to the typical anomalies given by the prior and posterior estimates or by the averages of the data. These misfits exceed $80 \text{ gCm}^{-2}\text{yr}^{-1}$ in 2003 for the prior and in 2004 for the posterior while the largest anomaly given by the CE-L4 data is $67 \text{ gCm}^{-2}\text{yr}^{-1}$ in 2002. Furthermore, the estimate of the prior uncertainty in the annual anomalies at CE-L4 locations from 2002 to 2007 given by the set-up for the **B** matrix (using a 1-month correlation scale throughout each year) ranges from 110 to $130 \text{ gCm}^{-2}\text{yr}^{-1}$ which is systematically larger than all the misfits to the CE-L4 annual anomalies and systematically larger than all the model or data annual anomalies. Finally, the variations of annual anomalies from the prior and posterior estimates for the whole European domain are very different from that at CE-L4 locations. In particular, the posterior anomalies reach their maximum and minimum values during years that are different when considering the whole Europe and CE-L4 sites.

4 Discussion on the reliability of the estimate of uncertainties

4.1 Reliability of the estimate of uncertainties for the monthly means

Despite many potential sources of differences (explained in Sects. 2 and 3.1) between the STD of the misfits shown in Fig. 4 and the quadratic mean of the STD of the uncertainties from the inversions, comparing these two statistical quantifications of the actual errors in the inverted NEE shows consistencies. The STD of the prior (respectively posterior) monthly misfits is smaller (larger) than the quadratic mean of the STD of monthly prior (posterior) uncertainties at CE-L4 locations. However, the relative difference between the STD of the misfits and that of the estimates of the uncertainties by the inversion is only about 15 % for the prior NEE and 11 % for the posterior NEE. Applying the chi-square test of the variance of the misfits sampling against the variance of the uncertainties yields positive results with significance level of 2 % (for the prior sampling) and 20 % (for the posterior sampling). These results give a high confidence in the

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estimate of the STD for the monthly uncertainties and thus in the configuration of the inversions (basically of the observation error along with that of the prior uncertainty).

Subsequently, the estimate of $\sim 53\%$ uncertainty reduction from the inversion for monthly NEE at the CE-L4 sites is also relatively close to the reduction of STD of the monthly misfits ($\sim 38\%$), though larger, which was expected given the various sources of inconsistencies in the comparison listed in Sect. 3.1. This yields confidence in the estimate of $\sim 60\%$ uncertainty reduction for 30-day mean NEE over the whole CHIMERE domain from OSSEs during summers 2003 and 2006.

According to the statistical results from this section and from Sect. 3.2, the assumption that the actual errors at monthly scale are well characterized by the Gaussian distributions of the uncertainties from the inversion seems more robust for the posterior estimates than for the prior estimates. The analysis in Sect. 5 relies mostly on the estimate of posterior uncertainties.

4.2 Reliability of the estimate of uncertainties for the seasonal and annual means

The strong seasonal patterns in the prior misfits, with positive values in spring–summer and negative values in fall–winter, reveal seasonal errors (in the sense of actual differences with the true fluxes) in ORCHIDEE. Such large scale errors likely occur with such a model in which many processes underlying the NEE are driven with parameters relatively homogeneous in space and time. In particular, the too simple modeling of crop phenology in the version of ORCHIDEE used here (where crops are treated as a type of grass) likely yields an abnormally high positive NEE (a sink that is too small) during summer, especially during the heat wave of summer 2003 (Smith et al., 2010b). It may induce high correlations between monthly uncertainties during a given season. On the other hand, the change of sign in the prior misfits from spring–summer to fall–winter suggests negative correlations between the uncertainties in prior monthly estimates from different seasons at CE-L4 sites even though they are far from occurring systematically at other flux measurement sites in the world according to Chevallier et al.

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(2012). This could be explained by the fact that in Europe, the ecosystem model, like actual ecosystems, balances its sink in spring–summer by its source during fall–winter to get an annual budget which is relatively close to equilibrium (compared to the typical fluxes in spring–summer or in fall–winter), and thus it may compensate a sink that is too small in spring–summer by a source that is too small during fall–winter. Therefore, the set-up of the correlations for daily prior uncertainties in NEE using values exponentially decreasing with 1-month correlation scale throughout each year may lead to significant errors in the estimate of the prior uncertainties at seasonal to annual scales in Europe even if the estimate of the prior uncertainties at monthly scale is good.

As explained in Sect. 2, this study has no estimate for the posterior uncertainty in NEE at the annual scale. The prior uncertainty in annual anomalies at CE-L4 locations is larger than the estimates of annual anomalies or than the prior or posterior misfits in anomalies. The comparisons between the inter-annual variability from the prior and posterior estimates and from CE-L4 data (Table 3) do not raise confidence in the prior annual anomalies nor in the corrections applied by the inversion to these anomalies. Therefore, these comparisons at the annual scale support the idea that the prior and posterior uncertainties in the annual anomalies are larger than the anomalies derived by the models. However these comparisons do not help evaluating typical values for these uncertainties. Furthermore, the inter-annual variations of the models at CE-L4 sites do not seem representative of these variations over the whole Europe. Finally, the potential annual biases in the CE-L4 data due to filtering and gap-filling change from year to year, which bears consequences for the inter-annual variability of annual budgets. Therefore, it seems difficult to draw conclusions from the comparisons to CE-L4 data at the annual scale about the uncertainties in annual anomalies of the European NEE.

5 Reliability of the analysis of the seasonal to inter-annual variability

Section 4 yields confidence in the estimates of uncertainties in monthly NEE at CE-L4 sites and subsequently in the uncertainties in the monthly estimates of NEE at the European scale. The significance of the seasonal to inter-annual variations over Europe can thus be evaluated through comparisons to the uncertainties provided at the European scale. The high correlation between the NEE or the corrections from the inversion between Figs. 2 and 3 indicate the good agreement between the variations in monthly estimates of the European NEE at 0.5° resolution and the variations in the monthly estimates restricted to CE-L4 locations. The corrections to the seasonal to inter-annual variations over Europe can thus also be evaluated by checking whether such variations are improved compared to that of the eddy covariance data averages at CE-L4 locations.

5.1 The seasonal cycle

The amplitude of the seasonal cycle provided by ORCHIDEE is supported by the inversion. The monthly mean inverted NEE varies around its annual mean from $\sim -1.85 \pm 0.23 \text{ gCm}^{-2} \text{ day}^{-1}$ in May to $\sim 1.3 \pm 0.22 \text{ gCm}^{-2} \text{ day}^{-1}$ in November with differences between May and June or between November and December that are smaller than the posterior uncertainties in European NEE (Fig. 3). The CE-L4 eddy covariance data also indicate minimum values in May–June and maximum values in November–December which, along with the high correlations between the monthly prior or posterior NEE and these data, gives confidence in the phase of the seasonal cycle from ORCHIDEE or from the inversion.

The typical estimate of the long-term mean NEE in Europe by Luysaert et al. (2012), which is equal to $-0.12 \pm 0.04 \text{ gCm}^{-2} \text{ day}^{-1}$ (see Sect. 3.1), is smaller than the posterior uncertainties in monthly NEE. Considering this mean value and the monthly variability which is analyzed here, the inverted NEE for Europe should have a significantly (i.e. greater than the STD of the posterior uncertainty) negative value from April to July

(in 2002, 2003 and 2005) or to August (in 2004, 2006 and 2007) while the prior NEE, in general, should not be significantly negative from July. On average, the uptake should last from March/April to August considering the estimates from the inversion for the monthly variability and that of Luyssaert et al. (2012) for the long-term mean.

5 The significant positive increment in the NEE between July and September is the main pattern of the correction to the seasonal cycle from the inversion, which results in a more regular decrease in time of the uptake from June to September. This regular decrease of the uptake during summer can also be identified in the CE-L4 averages. The shape of the corrections and of the variations in monthly mean NEE from the
10 inversion in 2003 fits well with that which was obtained independently by Smith et al. (2010b) who tested explicit crop modelling within ORCHIDEE, which raises additional confidence in the posterior estimate of the seasonal variations.

5.2 The inter-annual variability of monthly to annual means

15 The inter-annual variability of the monthly inverted NEE has a STD ranging from 0.07 gCm⁻² day⁻¹ during January to 0.23 gCm⁻² day⁻¹ in August while the STD for the posterior uncertainty is systematically (for every year and any month) higher than 0.15 gCm⁻² day⁻¹, and higher than 0.2 gCm⁻² day⁻¹ from May to November (Fig. 3). The estimate of the STD of the inter-annual variability for a given month here is based on 6 values only, but this figure still shows that the differences from year to year that are
20 obtained here do not generally exceed the posterior uncertainty in individual monthly estimates. Therefore, the inter-annual variability of the monthly inverted NEE during 2002 to 2007 does not seem significant enough compared to uncertainties so that it can be safely analysed. This is highlighted by the larger decrease in the misfits from the inversion during 2003 than for other years which is problematic since it yields a mean
25 sink for June–September 2003 which is higher than that for 2002 and 2005 while the heat wave has likely generated a large positive anomaly in NEE at the European scale during summer 2003 (Ciais et al., 2005). This example suggests that the inter-annual

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variability in seasonal NEE is smaller than the large uncertainties that the seasonal NEE bears and thus that it cannot be safely analysed too.

Finally, the prior uncertainty in annual NEE for the whole Europe ($\sim 80 \text{ gC m}^{-2} \text{ yr}^{-1}$) is far larger than the STD of the inter-annual variability in the inverted estimate of annual NEE ($\sim 20 \text{ gC m}^{-2} \text{ yr}^{-1}$, see Table 3). A tremendous reduction of uncertainty in annual NEE from the inversion is not likely. Therefore this confirms the indications from Sect. 4 that there is a low confidence in the posterior estimate of the inter-annual variability in annual NEE at CE-L4 sites, and subsequently for the European domain.

6 Conclusions

This paper compares flux uncertainties estimated by a regional atmospheric inversion system and actual misfits between the retrieved NEE and eddy covariance data at the European/monthly scale. The flux derived from the atmospheric inversion are much closer to the flux measurements than the prior fluxes. In addition, there is a remarkable agreement between the statistics of the estimated uncertainties and that of the distribution of the misfits, despite the differences in scale between the inversion system and the measurement representativity, and despite the measurement errors in the validation data. These facts generate confidence in the configuration of the inversion.

The comparison between the theoretical uncertainties from the inversion and the actual misfits to local eddy covariance measurements also raises confidence in the estimate of monthly NEE at the European scale and of their seasonal variations within the uncertainties bounds derived by the inversion. In particular, the correction of the NEE seasonal cycle in summer appears significant and is a robust result from the inversion. However, this study indicates that the NEE inter-annual variability is difficult to monitor with the present set-up of the inversion as its amplitude is similar to the posterior uncertainty.

The characterization of large scale errors in ORCHIDEE suggests that a combination of the estimates from such a model and those from independent sources of information

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Table 2. CE eddy covariance sites providing the NEE L4 data used in this study.

Identifier Locality	NEE L4 data availability	Location	Site Responsible
ATNeu Neustift	2002–2004	11.31° E, 47.11° N	Georg Wohlfahrt Univ. Innsbruck
BEBra Brasschaat	2002, 2004–2007	4.52° E, 51.30° N	Reinhart Ceulemans, Ivan Janssens Univ. Antwerp Wilrijk
BEJal Jalhay	2006–2007	6.07° E, 50.56° N	Luis Francois LPAP, Univ. Liège
BELon Lonzee	2004–2007	4.74° E, 50.55° N	Marc Aubinet GxABT, Univ. Liège
BEVie Vielsalm	2002–2007	5.99° E, 50.30° N	Marc Aubinet GxABT, Univ. Liège
CHOe1 Oensingen grassland	2002–2003, 2006–2007	7.73° E, 47.28° N	Ammann Christoph ART
CHOe2 Oensingen crop	2004–2007	7.73° E, 47.28° N	Nina Buchmann ETH-Zuerich
CZBK1 Bily Kriz forest	2002–2007	18.53° E, 49.50° N	Marian Pavelka CzechGlobe
CZBK2 Bily Kriz grassland	2004–2006	18.54° E, 49.49° N	Marian Pavelka CzechGlobe
CZwet Czechwet	2005–2006	14.77° E, 49.02° N	Marian Pavelka CzechGlobe
DEGeb Gebesee	2003	10.91° E, 51.10° N	Werner Kutsch, Olaf Kolle vTI/MPI Jena
DEGri Grillenburg	2004–2007	13.51° E, 50.94° N	Christian Bernhofer TU Dresden – Meteorology
DEHai Hainich	2002–2007	10.45° E, 51.07° N	Olaf Kolle, Alexander Knohl MPI Jena/Univ. Goettingen
DEKli Klingenberg	2004–2007	13.52° E, 50.89° N	Christian Bernhofer TU Dresden – Meteorology
DEMeh Mehrestedt	2003–2006	10.65° E, 51.27° N	Axel Don vTI
DETha Tharandt	2002–2007	13.56° E, 50.96° N	Christian Bernhofer TU Dresden – Meteorology
DEWet Wetzstein	2002–2006	11.45° E, 50.45° N	Corinna Rebmann, Olaf Kolle MPI Jena
DKFou Foulum	2005	9.58° E, 56.48° N	Joergen Olesen DIAS
DKLva Rimi	2004, 2006–2007	12.08° E, 55.68° N	Kim Pilegaard Risoe National Laboratory
DKSor Soroe	2002–2007	11.64° E, 55.48° N	Kim Pilegaard Risoe National Laboratory
ESES1 El Saler (Valencia)	2002–2003	–0.31° E, 39.34° N	Maria Jose Sanz Fundacion CEAM
ESVDA Vall d'Alinyà (Lleida)	2004–2007	1.44° E, 42.15° N	Arnaud Carrara Fundacion CEAM

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Table 2. Continued.

Identifier Locality	NEE L4 data availability	Location	Site Responsible
FRHes Hesse	2002–2007	7.06° E, 48.67° N	André Granier INRA Champenoux
FRLBr Le Bray	2002–2005	–0.76° E, 44.71° N	Denis Loustau INRA Pierroton
FRLq1 Laqueuille intensive	2004–2007	2.73° E, 45.64° N	Katja Klumpp INRA Clermont
FRLq2 Laqueuille extensive	2004–2007	2.73° E, 45.63° N	Katja Klumpp INRA Clermont
FRPue Puechabon	2002–2007	3.59° E, 43.74° N	Serge Rambal CEFE
HUBug Bugac	2002–2007	19.60° E, 46.69° N	Zoltan Tuba Eotvos Lorand Univ.
HUMat Matra	2004–2006	19.72° E, 47.84° N	Zoltan Tuba Eotvos Lorand Univ.
IECa1 Carlow crop	2004–2007	–6.91° E, 52.85° N	Mike Jones Trinity College Dublin
IEDri Dripsey	2003	–8.75° E, 51.98° N	Gerard Kiely Univ. College Cork
ITamp Amplero	2002–2007	13.60° E, 41.90° N	Dario Papale Univ. Tuscia Viterbo
ITCol Collelongo	2002–2007	13.58° E, 41.84° N	Giorgio Matteucci IEIF CNR
ITCpz Castelporziano	2002–2007	12.37° E, 41.70° N	Dario Papale Univ. Tuscia Viterbo
ITLav Lavarone	2002–2003	11.28° E, 45.95° N	Damiano Gianelle Fondazione E. Mach
ITLec Lecceto	2005–2007	11.27° E, 43.30° N	Lorenzo Genesio IBIMET CNR
ITLMa La Mandria	2003–2004, 2006	7.15° E, 45.58° N	Fabio Petrella IPLA SpA
ITMal Malga Arpaco	2003	11.70° E, 46.11° N	Antonio Raschi IBIMET CNR
ITMBo Monte Bondone	2003	11.04° E, 46.01° N	Damiano Gianelle Fondazione E. Mach
ITNon Nonantola	2002–2003	11.08° E, 44.68° N	Franco Miglietta IBIMET CNR
ITPia Pianosa	2002–2003	10.07° E, 42.58° N	Vaccari Francesco Primo IBIMET CNR
ITPT1 Parco Ticino forest	2002–2004	9.06° E, 45.20° N	Günther Seufert JRC
ITRen Renon	2002–2007	11.43° E, 46.58° N	Stefano Minerbi, Leonardo Montagnani Province of Bolzano
ITRo1 Roccarespanpani 1	2002–2007	11.93° E, 42.40° N	Dario Papale Univ. of Tuscia Viterbo

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Table 2. Continued.

Identifier Locality	NEE L4 data availability	Location	Site Responsible
ITRo2 Roccarespampani 2	2002–2007	11.92° E, 42.39° N	Dario Papale Univ. of Tuscia Viterbo
ITSRo San Rossore	2002–2007	10.28° E, 43.72° N	Alessandro Cescatti JRC
NLCa1 Cabauw	2003–2007	4.92° E, 51.97° N	Eddy Moors WUR
NLLan Langerak	2005–2006	4.90° E, 51.95° N	Eddy Moors WUR
NLLoo Loobos	2002–2007	5.74° E, 52.16° N	Eddy Moors WUR
NLLut Lutjewad	2006–2007	6.35° E, 53.39° N	Eddy Moors WUR
NLMoi Molenweg	2005–2006	4.63° E, 51.65° N	Eddy Moors WUR
PLwet Rzecin (PolWet)	2004–2005, 2007	16.30° E, 52.76° N	Janusz Olejnik Univ. Poznan
PTEsp Espirra	2002–2007	−8.60° E, 38.63° N	Gabriel Pita Univ. Técnica de Lisboa
PTMi1 Mitra II (Evora)	2003–2005	−8.00° E, 38.54° N	Joao Santos Pereira Univ. Técnica de Lisboa
PTMi2 Mitra IV (Tojal)	2004–2007	−8.02° E, 38.47° N	Casimiro Pio Univ. de Aveiro
SKTat Tatra Danielov Dom	2005–2007	20.16° E, 49.12° N	Dario Papale Univ. Tuscia Viterbo
UKAMo Auchencorth Moss	2003, 2005–2006	−3.23° E, 55.79° N	Marc Sutton CEH Edinburgh
UKEBu Easter Bush	2004–2007	−3.20° E, 55.86° N	Marc Sutton CEH Edinburgh
UKESa East Saltoun	2003–2005	−2.85° E, 55.90° N	John Moncrieff Univ. Edinburgh
UKGri Griffin	2005–2006	−3.79° E, 56.60° N	John Moncrieff Univ. Edinburgh
UKHam Hampshire	2004–2005	−0.86° E, 51.12° N	Matthew Wilkinson Forest research – EHSD
UKHer Hertfordshire	2006	−0.47° E, 51.78° N	Keith Goulding BBSRC
UKPL3 Pang/Lambourne forest	2005–2007	−1.26° E, 51.45° N	Richard Harding CEH Edinburgh

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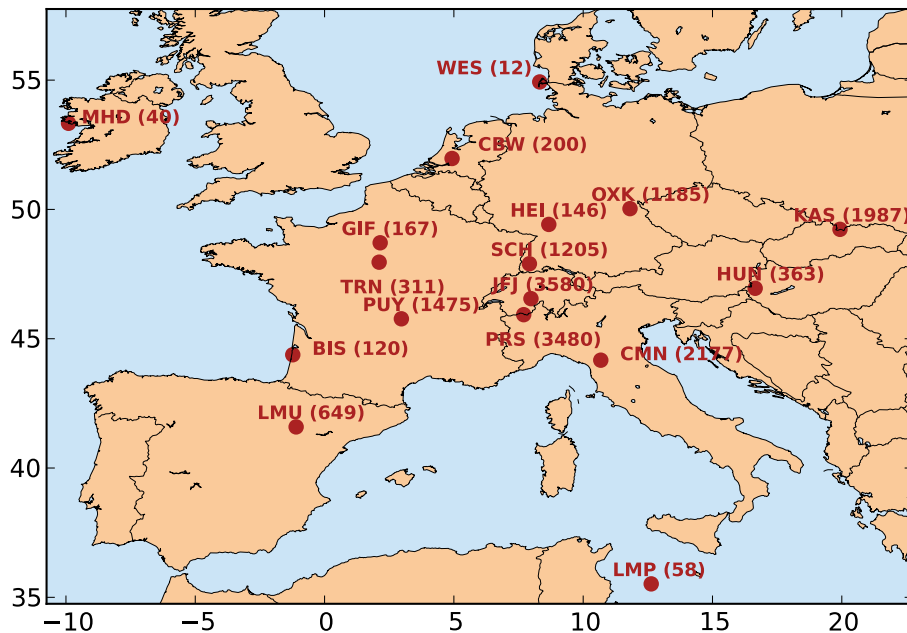


Fig. 1. European domain and localisation of the CarboEurope IP atmospheric stations used for the inversion of NEE. The height of the stations is given in m.a.s.l. between parentheses.

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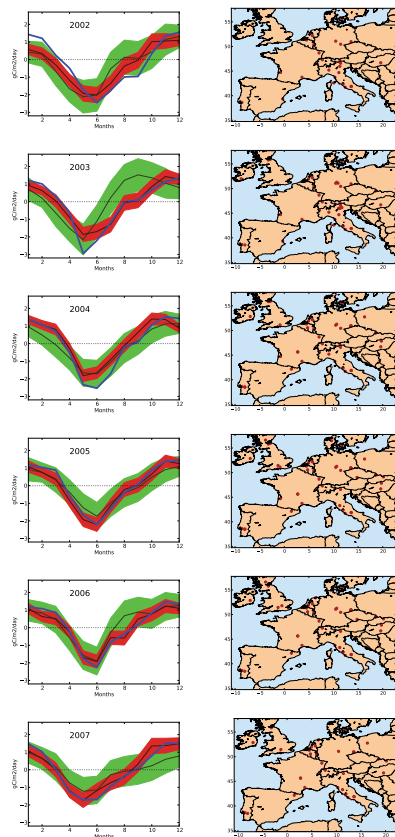


Fig. 2. Temporal evolution of monthly (i.e. 30-day mean) NEE ($\text{gCm}^{-2}\text{day}^{-1}$; negative values: sink) at the CE-L4 locations specified for a full year in the maps. Blue: CE-L4 data averages; green: ORCHIDEE; red: inverted fluxes; shaded areas: NEE \pm standard deviation of the uncertainty in NEE.

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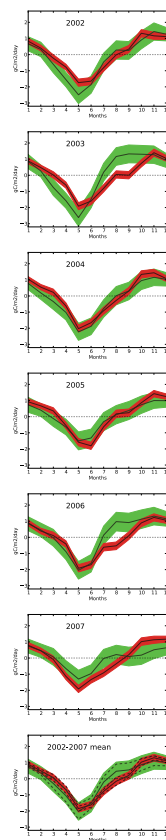


Fig. 3. Temporal evolution of monthly (i.e. 30-day mean) NEE ($\text{gCm}^{-2}\text{day}^{-1}$; negative values: sink) over the whole European domain of CHIMERE. Green: ORCHIDEE; red: inverted fluxes; shaded areas: NEE \pm standard deviation of the uncertainty in NEE. Dotted lines: NEE \pm standard deviation of the variations of NEE for a given month from 2002 to 2007.

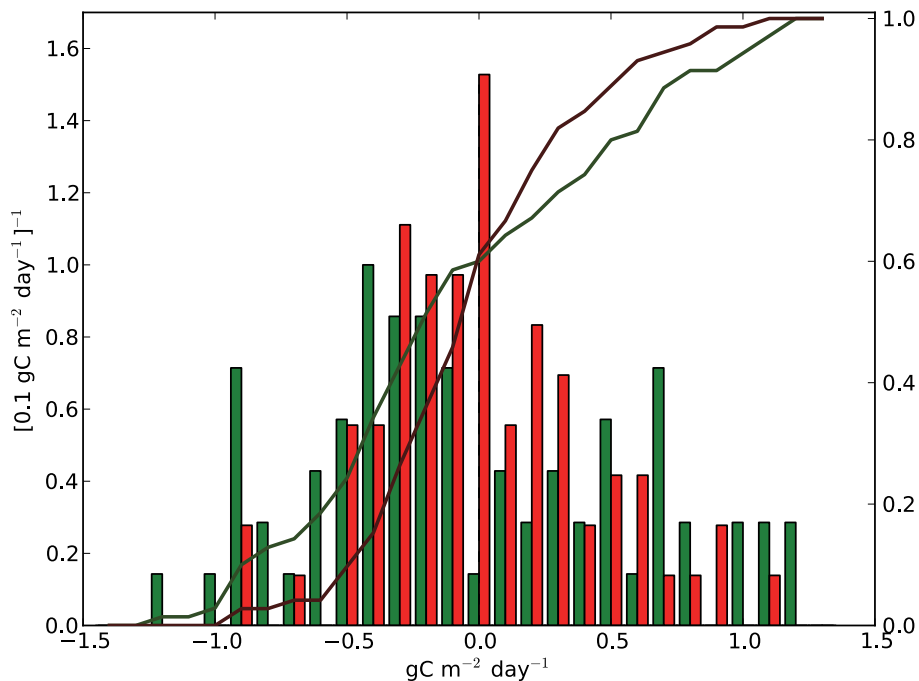


Fig. 4. Normalized distribution (bars, left axis) and cumulative distribution function (lines, right axis) of the monthly misfits in NEE ($\text{gCm}^{-2} \text{day}^{-1}$) between ORCHIDEE (green) or the inverted NEE (red) and the CE-L4 averages from Fig. 2.