The role of long-range transport and domestic emissions in
 determining atmospheric secondary inorganic particle
 concentrations across the UK

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# 13 ABSTRACT

Surface concentrations of secondary inorganic particle components over the UK have been 14 15 analysed for 2001-2010 using the EMEP4UK regional atmospheric chemistry transport model 16 and evaluated against measurements. In early 2003 an episode of substantially elevated 17 surface concentrations of ammonium nitrate was measured across the UK by the AGANet 18 network. The EMEP4UK model was able accurately to represent both the long-term decadal 19 surface concentrations and the episode in 2003. The latter was identified as consisting of three 20 separate episodes, each of less than 1 month duration, in February, March and April. The 21 primary cause of the elevated nitrate levels across the UK was meteorological, a persistent 22 high pressure system, but whose varying location impacted the relative importance of 23 transboundary versus domestic emissions. Whilst long-range transport dominated the elevated 24 nitrate in February, in contrast it was domestic emissions that mainly contributed to the March 25 episode, and for the April episode both domestic emissions and long-range transport 26 contributed. A prolonged episode such as the one in early 2003 can have substantial impact on 27 annual average concentrations. The episode led to annual concentration differences at the 28 regional scale of similar magnitude to those driven by long-term changes in precursor

emissions over the full decade investigated here. The results demonstrate that a substantial part of the UK, particularly the south and south-east, may be close to or exceeding annual mean limit values because of import of inorganic aerosol components from continental Europe under specific conditions. The results reinforce the importance of employing multiple year simulations in the assessment of emissions reduction scenarios on PM concentrations and the need for international agreements to address the transboundary component of air pollution.

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## 37 **1 Introduction**

38 Atmospheric particulate matter (PM) concentrations are governed by the transport, 39 transformation and deposition of many chemical species. PM has a range of impacts including on climate through radiative forcing and on human health. Considering the health impacts 40 41 alone, exposure to  $PM_{2.5}$  (the size fraction of particles with an aerodynamic diameter  $\leq 2.5$ µm) has been estimated to contribute to an average loss of life expectancy of around 6-7 42 43 months for residents of the UK, with an associated economic cost of some £16 billion per 44 annum (IGCB, 2007). EU legislation sets standards for ambient concentrations of PM, and 45 now includes an obligation on individual member states to reduce population-weighted exposure to PM<sub>2.5</sub> by a specified percentage between 2010 and 2020 (Heal et al., 2012). 46

47 The complexity of ambient PM composition and formation, combined with the influence of 48 meteorology on chemistry, dispersion and deposition, considerably complicates pinpointing 49 the contributions of different chemical pollutant emission sources to ambient PM at specific locations (USEPA, 2009; AQEG, 2012). Consequently, it is a complicated process to 50 formulate cost-effective policy action to reduce harm caused by PM. The inorganic chemical 51 components of PM, ammonium  $(NH_4^+)$ , sulphate  $(SO_4^{2-})$  and nitrate  $(NO_3^-)$ , constitute a 52 53 major fraction of PM<sub>2.5</sub> (Putaud et al., 2010). The anthropogenic emissions of the gaseous 54 precursors of inorganic PM - ammonia (NH<sub>3</sub>), sulphur dioxide (SO<sub>2</sub>) and nitrogen oxides 55  $(NO_x)$  – are also subject to various legislation that seeks to limit and reduce either a country's 56 total emissions or the emissions from individual sources or source sectors (Heal et al., 57 2012; Reis et al., 2012). For SO<sub>2</sub> and NO<sub>x</sub> in particular, emissions reductions have been very effective over the past few decades and this is reflected in reductions in ambient 58 59 concentrations of the gases (RoTAP, 2012). Despite this, PM<sub>10</sub> concentrations across much of 60 western Europe have not fallen significantly since the year 2000 (Harrison et al., 2008).

The longer lifetime of secondary PM components compared with their gaseous precursors 61 means that transboundary transport from Europe and meteorology are important drivers. 62 Previous studies suggest transatlantic transport of these secondary inorganic aerosol (SIA) 63 species has a small effect on EU surface SIA concentrations and deposition (Sanderson et al. 64 65 2008; Simpson et al. 2014), hence "transboundary" hereafter refers to Europe. This is of particular relevance for the design of air quality policies seeking to reduce PM concentrations, 66 67 especially as some limit values may be sensitive to a small number of high concentration episodes rather than long-term average concentrations. This is particularly important for the 68 69 nitrate component which has shown to be the dominant component on days when PM<sub>10</sub> exceeds 50  $\mu$ g m<sup>-3</sup> (Yin and Harrison, 2008). 70

There remains a gap in understanding the extent to which domestic emissions and transboundary import of secondary inorganic PM contribute inter-annually and to episodes of elevated concentrations in the UK (RoTAP). This was the motivation for this work. Ambient concentrations of the inorganic components have been measured since the 1990s on a monthly average basis, as part of the UK Acid Gas and Aerosol Network (AGANet <u>http://uk-</u> <u>air.defra.gov.uk/networks/network-info?view=aganet</u>, see Tang et al. (2009) for description of the approach), providing a dataset against which to compare model output.

78 In section 2 the modelling approach using the EMEP4UK Eulerian atmospheric chemistry 79 transport model (ACTM) (Vieno et al., 2010; Vieno et al., 2009) simulations and AGANet 80 measurements are fully described. In section 3, first the model performance is evaluated 81 against these AGANet measurements and then the results of sensitivity simulations to assess 82 the contributions of trans-boundary and domestic emissions to secondary inorganic particle 83 concentrations in the UK and their inter-annual variability are assessed. Section 4 discusses 84 this novel decadal inter-comparison and attribution results and conclusions are presented in 85 section 5.

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#### 87 2 Methods

# 88 2.1 Model description and set-up

The EMEP4UK model used for this work is a nested regional ACTM based on version v3.7 of the main EMEP model (Simpson et al., 2012). A detailed description of the EMEP4UK 91 model framework and setup are given in Vieno et al. (2010) and only brief relevant details are

92 presented here.

93 The EMEP4UK model is driven by the Weather Research Forecast (WRF) model version 3.1.1 (www.wrf-model.org). The model horizontal resolution scales down from 50 km  $\times$  50 94 95 km in the main EMEP 'Greater European' domain to  $5 \text{ km} \times 5 \text{ km}$  for the domain covering 96 the British Isles (Fig. 1). The boundary conditions for the inner domain are derived from the 97 results of the European domain in a one-way nested setup. The EmChem09 chemical scheme 98 was chosen for the present study, as it has been extensively validated at the European scale 99 (Simpson et al. (2012), www.emep.int). The EMEP mode is based on Berge and Jakobsen 100 (1998), but extended with photo-oxidant chemistry (Andersson-Skold and Simpson, 1999;Simpson et al., 1995). The EmChem09 mechanism used for this work has 72 species 101 102 and 137 reactions. Full details of the chemical scheme are given by Simpson et al. (2012). 103 Gas/aerosol partitioning used the EQSAM formulation (Metzger et al., 2002a;Metzger et al., 104 2002b). The calculated nitrate is then split into coarse and fine mode using a parameterised 105 approach dependent on relative humidity, as described by Simpson et al. (2012). In this 106 version of the EMEP model, nitrate is the only secondary inorganic component present in 107  $PM_{coarse}$  (the difference between  $PM_{10}$  and  $PM_{2.5}$ ). This split between  $PM_{2.5}$  and  $PM_{2.5-10}$  for 108 nitrate is rather uncertain as discussed in Aas et al. (2012); a more explicit aerosol scheme is 109 under development. The EQSAM scheme used here is equivalent to the EQSAM2 scheme 110 used in the global model TM5 (Karl et al., 2009;Huijnen et al., 2010).

111 Anthropogenic emissions of NO<sub>x</sub>, NH<sub>3</sub>, SO<sub>2</sub>, primary PM<sub>2.5</sub>, primary PM<sub>coarse</sub>, CO, and non-112 methane volatile organic compounds (NMVOC) are included. PM<sub>10</sub> is the size fraction of particles with an aerodynamic diameter  $\leq 10 \ \mu$ m. For the UK, emissions values are taken 113 from the National Atmospheric Emission Inventory (NAEI, http://naei.defra.gov.uk) at 1 km<sup>2</sup> 114 115 resolution and aggregated to 5 km  $\times$  5 km resolution. The underpinning methods by which 116 these emission inventories have been established are reported by Hellsten et al. (2008) and Dore et al. (2008). For the rest of the outer domain, the model uses the EMEP 50 km  $\times$  50 km 117 118 resolution emission estimates provided by the Centre for Emission Inventories and Projections (CEIP, http://www.ceip.at/). Emissions estimates for international shipping 119 120 (ENTEC, 2010) are aggregated to 5 km  $\times$  5 km for those emissions within the inner domain. 121 The EMEP(4UK) model uses a yearly boundary condition for SIA at the edge of the 122 European domain adjusted for each year as describe in Simpson et al. (2012).

#### 123 2.2 Model experiments

Hourly surface concentrations of several pollutants, including NO<sub>2</sub>, SO<sub>2</sub> and NH<sub>3</sub>, and particle NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup> and NH<sub>4</sub><sup>+</sup>, were simulated for the decade 2001-2010. To quantify the influence of long-range (i.e. non-UK, or 'transboundary') and short-range (UK, 'domestic') emissions on the UK surface concentrations of these components, a perturbation experiment was carried out by setting UK land emissions to zero for the year. This provides an approximate model estimate of the contribution of non-UK emissions to gaseous and particulate matter concentrations in the UK.

## 131 2.3 Measurement data

132 Model surface concentrations were compared with observational data from the UK Acid 133 Gases and Aerosols Network (AGANet), which is one of the four component UK 134 Eutrophying and Acidifying Pollutants monitoring networks (Conolly et al., 2011; Tang et al., 2013). The AGANet monitoring sites were established in 1999 for the long-term simultaneous 135 measurement of the concentrations of SO<sub>2</sub>, HNO<sub>3</sub> and NH<sub>3</sub> gases and particle NO<sub>3</sub><sup>--</sup>, SO<sub>4</sub><sup>2--</sup> 136 and NH<sub>4</sub><sup>+</sup>, in relation to changes in European emissions of SO<sub>2</sub>, NO<sub>x</sub> and NH<sub>3</sub>. Measurements 137 138 are made using DELTA system (DEnuder for Long-Term Atmospheric sampling) and have monthly-averaged time resolution (Sutton et al., 2001). The size cut-off of the DELTA 139 140 sampler has been estimated to be ~4.5 µm (Tang et al., 2009), therefore the measured 141 concentrations are between the PM<sub>2.5</sub> and PM<sub>10</sub> size fractions.

The EMEP4UK model assigns all  $SO_4^{2-}$  and  $NH_4^+$  components to  $PM_{2.5}$ . Modelled  $NO_3^-$  is assigned to both  $PM_{2.5}$  and  $PM_{coarse}$  which leads to potential negative bias in modelled versus measured concentrations for  $NO_3^-$ . Four sites representing different areas of the UK (marked on Fig. 1) have been selected for the comparisons presented here: Strathvaich Dam (northwest Scotland); Bush (central Scotland); Rothamsted (south-east England); and Yarner Wood (south-west England).

#### 148 **3 Results**

The time series of the modelled and observed monthly mean surface concentrations of particle NO<sub>3</sub><sup>-</sup> and SO<sub>4</sub><sup>2-</sup> at the four selected AGANet sites are shown in Fig. 2 and Fig. 3, respectively. The observations span the period 2001-2010, whereas the model values span the period 2001-2010. A peak in 2003 in NO<sub>3</sub><sup>-</sup> concentrations can be seen in both observations

153 and the EMEP4UK simulations. Fig. 2 and Fig. 3, show generally good agreement between 154 the two datasets for three of the four sites included here, as illustrated quantitatively by the correlation and linear regression statistics for these particle-phase components and for the gas-155 156 phase species HNO<sub>3</sub> and SO<sub>2</sub> (Table 1). The model-measurement comparison at the 157 Strathvaich Dam site is adversely impacted by two extreme measurement values in 2006 and 158 2007 not present in the simulations. No anomaly is present in the meteorology at this location 159 for these two months. From an analysis of the mass balance of the aerosol components, the 160 two anomalous data points appears to be outliers and which may be attributed to sampling or analytical contamination in determination of  $NO_3^{-1}$  and  $SO_4^{2^{-1}}$ . 161 The anomaly could be 162 potentially due to local influence or an unusually high positive artefact on the HNO<sub>3</sub>. Recent investigations indicate that the AGANet HNO3 observation using the DELTA methodology 163 includes a positive bias from other NO<sub>v</sub> chemical species, which could include HONO 164 165 (heterogeneously oxidised), N<sub>2</sub>O<sub>5</sub> and PANs. However, there is nothing to indicate that 166 Strathvaich Dam should be affected more from this than other sites.

167 The spatial pattern across the British Isles and the inter-year variability of modelled annual mean surface concentrations are shown in Fig. 4 for NO<sub>2</sub>, SO<sub>2</sub> and NH<sub>3</sub> and in Fig. 5 for 168 particle  $NO_3^{-}$ ,  $SO_4^{2-}$  and  $NH_4^{+}$ . In each case, the top left panel shows the spatial distribution 169 for 2001 and the other 9 panels show the differences in annual mean concentrations for each 170 171 of years 2002-2010 relative to 2001. The maps for 2001 show highest concentrations of NO<sub>2</sub> 172 and SO<sub>2</sub> over central and south east England, related to UK emission sources, and over the 173 English Channel, mostly related to shipping emission sources. The highest concentrations of 174 NO<sub>2</sub> and SO<sub>2</sub> over the UK are in 2003, with the lowest concentrations during 2008-2010. The extended periods of elevated  $NO_3^-$  during February to April 2003 were sufficient to enhance 175 the annual average  $NO_3^-$  concentration across the whole of the UK in 2003 by between 0.2-176 0.3  $\mu$ g N m<sup>-3</sup> compared with preceding and subsequent years (Fig. 5a), with a even larger 177 enhancement in the annual mean for 2003 of 0.2-0.5  $\mu$ g N m<sup>-3</sup> for NH<sub>4</sub><sup>+</sup> (Fig. 5c). In contrast, 178 the somewhat less elevated  $SO_4^{2-}$  concentrations during this period led to a modest increase in 179 annual average  $SO_4^{2-}$  for 2003 of 0.0-0.1 µg S m<sup>-3</sup> (Fig. 5b). The spatial distribution of NH<sub>3</sub> 180 181 shows a very different pattern to the other modelled components, with highest modelled 182 concentrations in Brittany and north-west France and north-west England, reflecting the 183 distribution of modelled NH<sub>3</sub> emissions which mainly arise from agricultural sources.

The concentrations of the particle components  $NO_3^-$ ,  $SO_4^{2-}$  and  $NH_4^+$  are spatially smoother 184 185 across the UK than the gaseous precursors (Fig. 4 and Fig. 5). The modelled annual surface 186 concentrations of NO<sub>2</sub> and SO<sub>2</sub> (Fig. 4a and Fig. 4b) show that the concentrations of these gaseous components decline during 2001-2010 by substantially more than the decline in NO<sub>3</sub><sup>-</sup> 187 and  $SO_4^{2-}$ . Over much of the UK (particularly England), declines in modelled NO<sub>2</sub> and SO<sub>2</sub> 188 between 2001 and 2010 exceed 1  $\mu$ g N/S m<sup>-3</sup> in 2010 compared with the 0.1-0.2  $\mu$ g N m<sup>-3</sup> 189 decline in NO<sub>3</sub><sup>-</sup>, and the 0.1-0.3  $\mu$ g S m<sup>-3</sup> decline in SO<sub>4</sub><sup>2-</sup> (up to 0.4  $\mu$ g S m<sup>-3</sup> decline in 190 191 eastern England). On the other hand the model shows concentrations of NH<sub>3</sub> hardly changing over the decade; in fact increasing slightly, up to  $\sim 0.2 \text{ µg N m}^{-3}$  over England, especially for 192 2009 and 2010 (Fig. 4c), whereas, with the exception of 2003, the modelled concentration of 193 194 NH<sub>4</sub><sup>+</sup> in PM decreases from 2001-2010. Of note also, is a decrease of SO<sub>2</sub> annual surface concentration over the North Sea from 2007 onwards (Fig. 4b). 195

196 Fig. 6a and Fig. 6b (upper panels) show the modelled monthly-mean surface concentrations of NO<sub>2</sub> and SO<sub>2</sub>, respectively, for the first 5 months of 2003, which covers the period of high 197 198 secondary inorganic particle concentrations shown in (Fig. 2 and Fig. 3). To highlight the role 199 of UK sources, the differences between the base simulations and the simulations with zero 200 UK emissions are shown in the lower panels of Fig. 6a and Fig. 6b, with the data expressed as 201 the percentage of the modelled concentrations that are directly attributable to UK domestic 202 emissions (i.e. 100× (Base Run - Experiment)/Base), again as monthly averages. While the 203 lower maps clearly show the dominating contribution of UK domestic sources to NO<sub>2</sub> and 204 SO<sub>2</sub> concentrations over mainland UK, a smaller contribution in the vicinity of major 205 shipping channels reflects the fact that the scenario treated international shipping as part of 206 the non-UK emissions.

- Fig. 7a and Fig. 7b show similar model results to Fig. 6 but for surface concentrations of particle  $NO_3^-$  and  $SO_4^{2-}$ , respectively. For these components, there is a smaller percentage contribution from UK sources than for  $SO_2$  and  $NO_x$  concentrations.
- The highest concentrations of  $NO_2$  and  $SO_2$  occurred during February and March (Fig. 6), with highest concentrations for  $NO_3^-$  and  $SO_4^{2-}$  occurring during February, March and April (Fig. 7). Fig. 7 shows that, for February, up to 40% of the monthly average  $NO_3^$ concentrations over the UK are attributable to UK emissions. In March and April, the UK contribution to  $NO_3^-$  concentrations rises to up to 80%. The spatial pattern of the UK contribution to these concentrations differs between the months, with February showing the

smallest contribution of UK sources to  $SO_2$ ,  $NO_2$ ,  $NO_3^-$  and  $SO_4^{2-}$  concentrations. In contrast, the episodes in March and April 2003 have substantially larger contributions of UK emissions to  $NO_3^-$  and  $SO_4^{2-}$  concentrations.

A detailed comparison for 2003 between the measured and modelled  $NO_3^-$  concentrations at the Bush 1 site (Scotland) is shown in Fig. 8 with the modelled values presented as both monthly and daily means. There is a close agreement between observations (red line) and model (blue line) for these high concentration episodes, with the monthly values broadly agreeing within 10%.

224 The monthly modelled concentrations for the simulation with zero UK emissions are also 225 shown in Fig. 8 (green line). The modelled monthly  $NO_3^-$  concentrations (blue line) were enhanced by 2.6  $\mu$ g m<sup>-3</sup> by UK emissions in February, but by 5.0  $\mu$ g m<sup>-3</sup> in March and by 2.6 226  $\mu$ g m<sup>-3</sup> in April as compared with the model simulation with no-UK emissions (green line). 227 The daily mean model NO<sub>3</sub><sup>-</sup> concentrations highlight substantial temporal variability within 228 this February-April period. The daily average surface concentrations (orange line of Fig. 8) 229 show three separate episodes; the first approximately matches the period 12-28<sup>th</sup> of February 230 (F), the second  $10-27^{\text{th}}$  March (M) and the third  $1-30^{\text{th}}$  April (A). 231

The characteristic differences between these three periods are illustrated in Fig. 9. Here the 12:00 wind vector is superimposed to the mean modelled surface concentration of PM  $NO_3^$ for selected days during the three component episodes. It is seen that 12-15<sup>th</sup> Feb (episode F) and 17-20<sup>th</sup> Mar (episode M) were associate with stagnant air masses allowing  $NO_3^-$  PM concentrations to build up, while the period 11-14<sup>th</sup> Apr (episode A) was associated with a highlight polluted airmass arriving from the East.

The UK February episode was associated with an easterly light wind advecting PM  $NO_3^$ produced in the area of the north of France, Holland, north of Germany, and Denmark, where the centre of the high pressure was located (Fig. 9). During the March episode, the centre of the high pressure was over the UK with an associated light wind, clear sky, and cooler conditions leading to the accumulation of  $NO_3^-$  from UK emissions with little import of  $NO_3^$ or its precursors from outside the UK. The April episode was a mixture of conditions described for February and March.

The model sensitivity analyses of the proportions of UK nitrate and sulphate derived from UK emissions of anthropogenic precursors was extended over the whole period 2001-2010, and

- the results for the locations of the four study sites, Strathvaich Dam, Bush, Rothamsted and Yarner Wood (highlighted in Fig. 1) are shown in Fig 10. The 10 years analysed here shows that the monthly-averaged UK emissions contributions to  $SO_4^{2-}$  and  $NO_3^{-}$  at these sites ranges from 10% to 80%. Yarner Wood and Strathvaich Dam are closer than the other selected sites to areas of shipping emissions, therefore on average the  $SO_4^{2-}$  concentration at this site is less influenced by UK emissions compared with the other two sites.
- 253 Based on the simulations it is possible to estimate the annual contribution of non-UK 254 emissions to the different components of PM<sub>10</sub> at the four study sites. This is summarized in 255 Fig. 11 for the year 2003, also including the contribution of primary particulate matter 256 (emitted PM). Pollution import for PM<sub>2.5</sub> from non-UK sources ranges from an estimated 41% 257 for Bush 1, up to 63% for Yarner Wood, highlighting the importance of transboundary 258 pollution import on UK PM2.5 concentrations. The same model results for 2003 can be 259 expressed in terms of the contribution of non-UK emissions to the current European 260 Commission (EC, 2013) limit value for PM<sub>2.5</sub> and to the World Health Organisation (WHO, 261 2005) guideline value for  $PM_{2.5}$  at each of the four sites (Table 2). For these example sites, up 262 to 18% and 45% of the limit and guideline values, respectively, is provided by non-UK 263 emissions.

## 265 **4 Discussion**

Inorganic particle components were simulated over the period 2001-2010. This is the first time that high spatial resolution (5 km) and temporal resolution (1 hour) simulations of inorganic atmospheric species have been undertaken across the whole UK for a multi-year period, and the first time that the EMEP(4UK) simulations have been compared with the UKwide AGANet monitoring network.

271 Two inorganic aerosol schemes were available for the EMEP and EMEP4UK model: the 272 EQSAM (used in this work) and the MARS scheme (Simpson et. al., 2012). As discussed in 273 section 2.1, both schemes use a bulk approach for particle formation. The EQSAM aerosol 274 scheme was used here as it has demonstrated good performance in the TM5 atmospheric 275 chemistry transport model (Karl et al., 2009;Huijnen et al., 2010). However the bulk approach 276 may lead to uncertainties in the simulated SIA, as shown in Hu et al. (2008), as the particle sizes are not explicitly resolved in the model. The current aerosols scheme and size 277 278 partitioning in the EMEP model has been validated and compared with observations across Europe as shown in Fagerli and Aas (2008) and in Simpson et al. (2006). In addition, in a recent model intercomparison (Carslaw, 2011b, a) SIA and its gaseous precursors simulated by EMEP4UK showed good agreement with observations.

The smoother distribution of particle components (Fig. 5 and Fig. 7) as compared with their gaseous precursors (Fig. 4 and Fig. 6) reflects the longer timescales for forming these secondary pollutants, as compared with the emissions-driven patterns for the primary pollutant gases (AQEG, 2012). The lifetime for oxidation of  $NO_x$  and  $SO_2$  to  $HNO_3$  and  $H_2SO_4$  is up to a few days and comparable to transnational air-mass transport times. Hence the lifetime of formation plays an important role in determining the influence of non-UK emissions on SIA concentrations in the UK.

- 289 The highest modelled concentrations over this period are in 2003, particularly for PM NO<sub>3</sub><sup>-</sup> and  $NH_4^+$ , and to a lesser extent for  $SO_4^{2-}$ , whilst lowest concentrations for each of these 290 components are in 2008-2010. The notably high PM NO<sub>3</sub><sup>-</sup> concentrations in February to April 291 2003 were observed at AGANet stations across the UK and could be well reproduced by the 292 model (Fig. 2, Table 1). Concentrations of PM  $SO_4^{2-}$  were also elevated during this period, 293 294 although by a smaller amount, and were also well captured by the model (Fig. 3, Table 1). 295 The magnitude of this elevation in annual average PM NO<sub>3</sub><sup>-</sup> concentration in 2003 is greater 296 than the decline in annual average concentration across the whole decade to 2010 of 0.1-0.2  $\mu$ g N m<sup>-3</sup> (Fig. 5). The August 2003 heatwave (Vieno et al., 2010) was not associated with 297 298 high nitrate as the higher temperature limits the partitioning to the condensed phase. 299 However, a secondary peak in sulphate is noted during summer 2003, which is directly 300 attributed to the 2003 August heatwave, whereby elevated temperatures lead to faster SO<sub>2</sub> 301 oxidation to sulphate (Dawson et al., 2007; Jacob and Winner, 2009).
- 302 Although the magnitude of monthly/daily elevated  $NO_3^-$  is similar for the three months of 303 February, March and April 2003, each month has a different characteristic. A distinctive 304 meteorological feature for the three months was a persistent high pressure over the UK and 305 Europe (unusual for this season) with an associated relatively cool temperature and little rainfall (not shown). The location and persistency of the high pressure strongly influenced the 306 307 production and transport of NO<sub>3</sub><sup>-</sup>. Although emissions of NO<sub>3</sub><sup>-</sup> precursors are controlled, the 308 model analysis shows the substantial influence of meteorology underpinning the high 309 concentrations of  $NO_3^-$  observed in the UK during the first part of 2003. Wang et al. (2014) 310 examined the drivers of PM concentrations in the Shanghai region. Similar to our results for

311 the UK they showed that meteorology determined whether the dominant contributor to PM concentrations was local emissions or regional transport. The authors suggest that particular 312 313 attention should be given to emissions controls in the upwind adjacent provinces, as well as in 314 local areas, for developing effective strategies to reduce PM<sub>2.5</sub> pollution in Shanghai, again 315 consistent with our conclusions. Zhang et al. (2014) also found that PM concentrations in 316 central China have a clear link with long-range transport. A recent study in the USA by 317 Mwaniki et al. (2014) showed nitrate to have a large variation in winter time, contributing 318 substantially to elevated PM events.

319 The geographic origins of the PM episodes have been investigated in the model perturbation 320 experiment. The monthly average surface concentrations for the zero UK emissions 321 experiment show that surface concentrations of SO<sub>2</sub> and NO<sub>2</sub> are mainly driven by UK 322 emissions (Fig. 6) and by similar proportions of UK emissions throughout the period of high surface concentrations of NO<sub>3</sub><sup>-</sup>. However, the proportions of the NO<sub>3</sub><sup>-</sup> that are derived from 323 324 UK and non-UK emissions changes between months (Fig. 7). The model results show that for February 2003 trans-boundary emissions had a small influence on NO<sub>3</sub><sup>-</sup>, whereas for March 325 326 and April the trans-boundary transport of  $NO_3^-$  and/or its precursors was substantial. 327 Abdalmogith et al. (2006) suggest that the annual average import of  $NO_3^-$  aerosol to the UK 328 from Europe (as an average of 2002 and 2003) is between 35-65% of the UK total NO<sub>3</sub><sup>-</sup> concentration. Our study has found that, for 2003 (Fig. 7), the import to the UK from Europe 329 330 was in the range 20-60% of UK total NO<sub>3</sub><sup>-</sup> concentrations, with this proportion varying 331 between the three episodes (labelled F, M and A in Fig. 8). Abdalmogith et al. (2006) 332 concluded that the 2003 NO<sub>3</sub><sup>-</sup> spring event was not well represented by their model, and the low emissions resolution (10 km  $\times$  10 km grid) was suggested as a possible cause. In the 333 334 present study the elevated  $NO_3^-$  concentrations are well represented by the EMEP4UK model 335 at 5 km x 5 km resolution. However, we find that simulation at 50 km  $\times$  50 km horizontal 336 spatial resolution of the EMEP4UK model outer domain also represented these features 337 (results not included here), indicating that transport and dispersion were the main drivers of 338 the pollution events. As shown in Fig 10, over the full 10-year period there was a substantial 339 variation (10% to 80%) in the contribution of UK emissions to SIA concentrations in the UK.

The simulated changes in the gaseous precursors for 2001-2010 follow the reductions in UK emissions over that period especially for NO<sub>2</sub> and SO<sub>2</sub> (MacCarthy et al., 2012). The change of SO<sub>2</sub> annual surface concentration especially after 2007 over the North Sea (Fig. 4b) is a

- 343 direct response to the introduction of a sulphur emission control area (SECA) in the North 344 Sea, including the English Channel, by the 2007 MARPOL convention on marine pollution 345 (Dore et al., 2007). Under the convention the sulphur content of bunker fuel was restricted to 346 1.5 % by mass in 2007 (and will be further reduced to 0.1% in SECAs by 2020). This has 347 resulted in a substantial reduction of emissions of SO<sub>2</sub> from the shipping sector.
- 348 The results in Fig. 4 and Fig. 5 illustrate the non-linear relationship between changes over time in SO<sub>2</sub> and NO<sub>2</sub> surface concentrations over the 2001- 2010 decade and changes in the 349 respective PM  $SO_4^{2-}$  and  $NO_3^{-}$  concentrations. The sensitivity of PM  $SO_4^{2-}$  to changes in its 350 precursors is, however, considerably greater than for  $NO_3^-$ . The small decline in  $NO_3^-$  and low 351 sensitivity to UK NO<sub>x</sub> emission found in this work was supported by the results in (Harrison 352 et al., 2013). The formation of both  $NO_3^-$  and  $SO_4^{2-}$  requires  $NH_4^+$  as a counter-ion and there 353 appear to be sufficient NH<sub>3</sub> emissions not to be a limiting factor to  $SO_4^{2-}$  formation. 354 355 Conversely, UK NO<sub>x</sub> emissions are still relatively high, especially in urban areas, so with an abundance of NO<sub>x</sub> available for formation of ammonium nitrate available NH<sub>3</sub> eventually 356 may be consumed. Consequently, in areas of high NO<sub>x</sub> emissions, NO<sub>3</sub><sup>-</sup> formation appears to 357 be more sensitive to  $NH_3$  emissions than is the case for  $SO_4^{2-}$  formation. This is consistent 358 with Redington et al. (2009) whose modelling showed that  $SO_4^{2-}$  formation in the UK was 359 360 less sensitive to a 30% NH<sub>3</sub> emissions reduction than NO<sub>3</sub><sup>-</sup> formation.
- The modelled annual average  $NH_4^+$  shows a change between 2001 and 2010 over the UK 361 which is intermediate between that of  $NO_3^-$  and  $SO_4^{2-}$  (Fig. 5c). By 2010,  $NH_4^+$ 362 concentrations decreased by 0.3-0.4 µg N m<sup>-3</sup> over most of England, but, as was the case for 363  $NO_3^-$  concentrations, annual average  $NH_4^+$  concentrations in 2003 were elevated by 0.2-0.3 364 µg N m<sup>-3</sup> compared with preceding and subsequent years. This confirms that the episodes of 365 elevated NO<sub>3</sub><sup>-</sup> in 2003 were driven by ammonium nitrate specifically. The modelled decrease 366 in PM NH<sub>4</sub><sup>+</sup> concentrations as compared with minimal decrease (and some increase) in NH<sub>3</sub> 367 368 concentrations over the period 2001-2010 is consistent with the conclusions of Bleeker et al. (2009) and Horvath et al. (2009) for other parts of Europe that reducing SO<sub>2</sub> emissions have 369 370 contributed to maintaining or even increasing gaseous NH<sub>3</sub> concentrations.
- 371

372 Current EU legislation has established a limit value of 25  $\mu$ g m<sup>-3</sup> for annual mean PM<sub>2.5</sub> for 373 the protection of human health; at the same time, the World Health Organisation (WHO)

- publishes a guideline value of 10  $\mu$ g m<sup>-3</sup> annual mean PM<sub>2.5</sub> for the protection of human 374 375 health. As Fig. 11 illustrates, determining the contribution of transboundary and regional 376 transport to local PM concentrations is vital to inform policy development, as local measures 377 can only address the local contribution. For the four sites analysed for 2003, Fig. 11 shows the 378 share of non-UK contribution to modelled PM2.5 concentrations ranging from 63% (Yarner 379 Wood) to 41% (Bush 1). It is also clear that  $PM_{10}$  at these locations is dominated by sea-salt. 380 As these stations are representative of rural or background levels, it is likely that the relative 381 long-range contribution to PM<sub>2.5</sub> concentrations at urban hotspots is smaller, but still 382 substantial.
- Table 2 expresses the non-UK contribution to modelled annual mean  $PM_{2.5}$  relative to the EC limit value and WHO guideline value for  $PM_{2.5}$  (for the protection of human health). The non-UK contribution ranges from 5% at Strathvaich to 18% at Rothamsted for the limit value at 25  $\mu g m^{-3}$  (or 14% to 45% for the same sites with respect to the guideline value of 10  $\mu g m^{-3}$ ). This indicates a clear gradient of non-UK contribution from greatest in the south-east and least in the north; this is likewise visible in Fig. 5.
- 389 The results presented here clearly demonstrate the need for international agreements to 390 address the transboundary component of air pollution. If, for instance, an overall limit value 391 of  $10 \ \mu g \ m^{-3}$  were to be established following the WHO guideline, a substantial number of 392 UK monitoring sites (Fig. 2) in particular in the south and south-east of the country may be 393 close to or exceed annual mean limit values due to import of inorganic particle components 394 from continental Europe under specific conditions.
- In the view of these results, the rather moderate further reductions agreed by parties to the Convention on Long-range Transboundary Air Pollution in the revision of the Gothenburg Protocol (Reis et al., 2012) for the period between 2010 and 2020 would result in a substantial remaining contribution of transboundary aerosol transport to UK particulate matter concentrations for the next decade.
- The results further illustrate how the inter-annual variability of surface concentrations of nitrate for the 2001-2010 decade as a response to changes in meteorological conditions is larger than the effect of changes in anthropogenic emissions. This suggests that for compliance assessment, an average over several years would provide a more robust basis than individual years, where a few short episodes can have a major influence.

## 405 **5** Conclusion

406 For the first time the EMEP4UK model has been operated at high resolution for a multi-year 407 period (2001-2010) and simulated secondary inorganic component concentrations compared 408 with observations from the AGANet network. The drivers of three remarkably high secondary 409 inorganic aerosol episodes across the UK have been investigated in detail, revealing 410 contrasting causes for different periods. The EMEP4UK model was able accurately to 411 represent both the long-term decadal (2001-2010) surface concentrations of particulate matter 412 (PM) and specific episodes of elevated PM NO<sub>3</sub><sup>-</sup> in 2003. The latter was identified as consisting of three separate episodes, each of less than 1 month duration, in February, March 413 414 and April. The primary cause of the elevated nitrate levels across the UK was meteorological, 415 related to a persistent high pressure system, with the contribution of imported pollution 416 differing markedly between these events.

417 The findings emphasise the importance of employing multiple year simulations in the 418 assessment of emissions reduction scenarios on PM concentrations. The inter-annual 419 variability of surface concentrations of nitrate for the 2001-2010 decade as a response to 420 changes in meteorological conditions is larger than the effect of changes in anthropogenic 421 emissions. For instance, up to 60% of  $NO_3^-$  may be imported from outside the UK under 422 specific conditions.

423 Our results highlight how inter-annual variability can profoundly affect the sensitivity to the 424 attainment of limit values for ambient PM concentrations as a result of non-domestic 425 contributions from transboundary air pollution transport.

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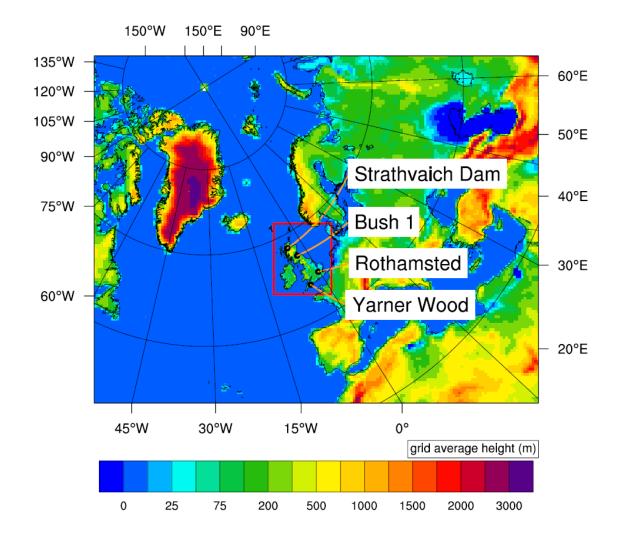


Fig. 1: The EMEP4UK Greater European domain, modelled at 50 km  $\times$  50 km horizontal resolution and, outlined in red, the nested British Isles domain, modelled at 5 km  $\times$  5 km horizontal resolution. The colour scale indicates grid-average altitude. The four UK AGANet measurement sites used in this study are also shown.

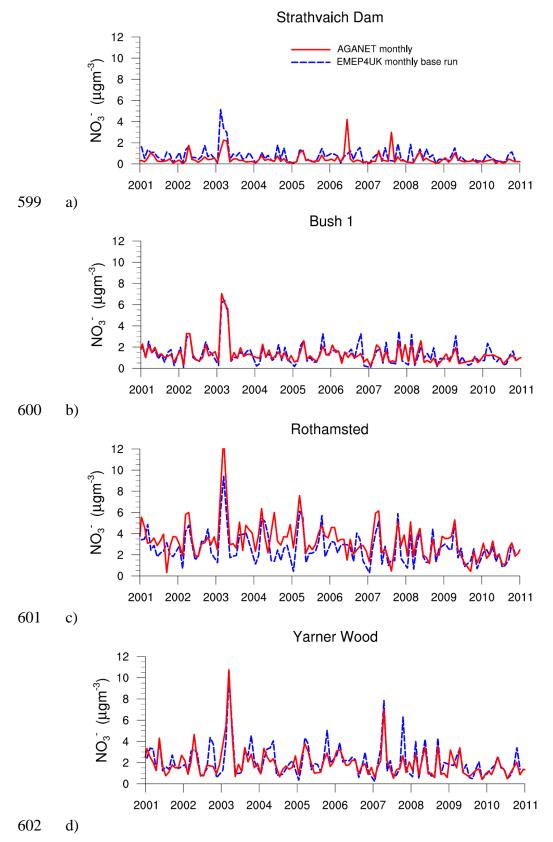


Fig. 2: Monthly average surface concentrations of particulate matter nitrate, observed (RED)
and modelled (BLUE), for 2001-2010 at four sites of the AGANet network: Strathvaich Dam
(north-west Scotland), Bush (central Scotland), Rothamsted (south-east England), and Yarner
Wood (south-west England).

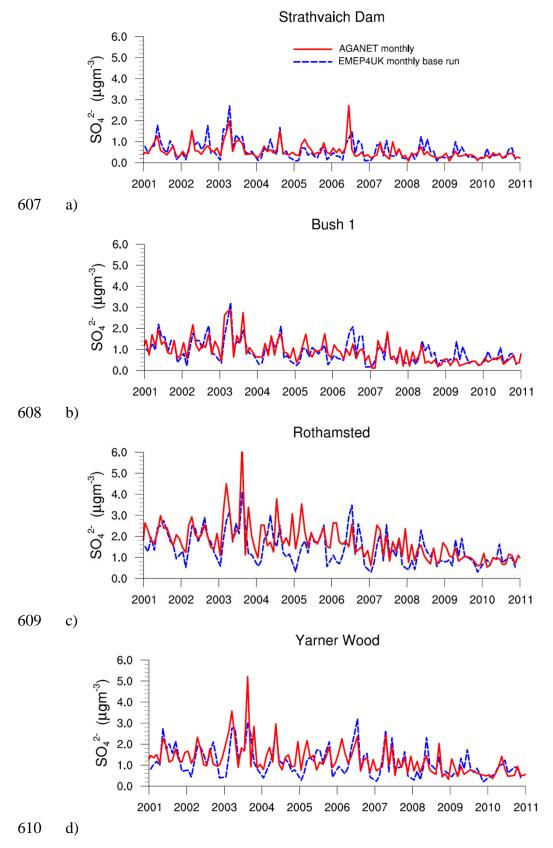


Fig. 3: Monthly average surface concentration of particulate matter sulphate, observed (RED)
and modelled (BLUE), for 2001-2010 at four sites of the AGANet network: Strathvaich Dam
(north-west Scotland), Bush (central Scotland), Rothamsted (south-east England), and Yarner

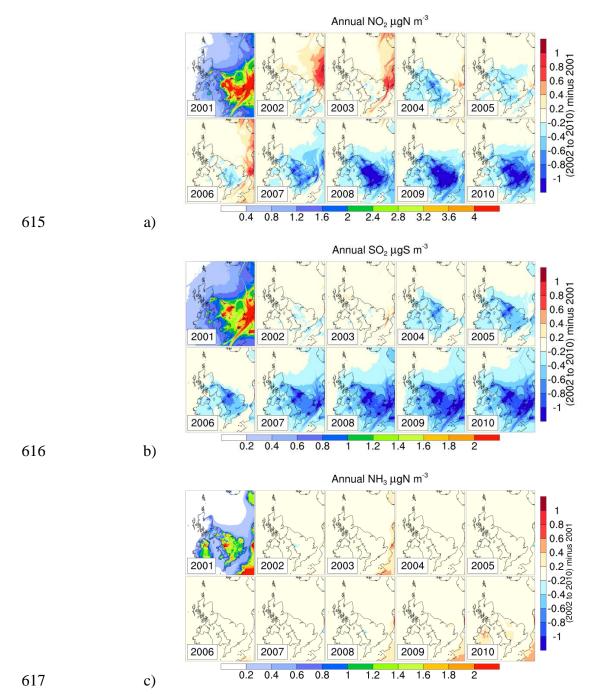
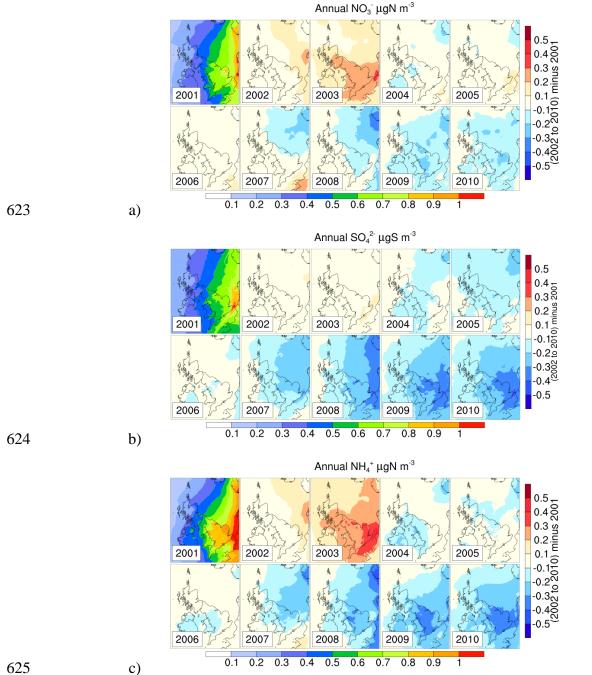
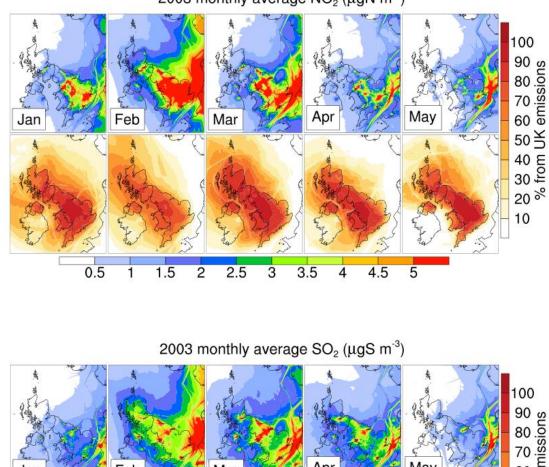


Fig. 4: Modelled annual average surface concentrations of (a)  $NO_2$ , (b)  $SO_2$  and (c)  $NH_3$ . The top left panel of each figure shows the concentrations in 2001 (horizontal scales in  $\mu$ g N m<sup>-3</sup> or  $\mu$ g S m<sup>-3</sup>, as relevant). The remaining panels illustrate the difference in surface concentrations in each of years 2002 to 2010 compared with 2001 (vertical scales in  $\mu$ g N m<sup>-3</sup> or  $\mu$ g S m<sup>-3</sup>, as relevant).



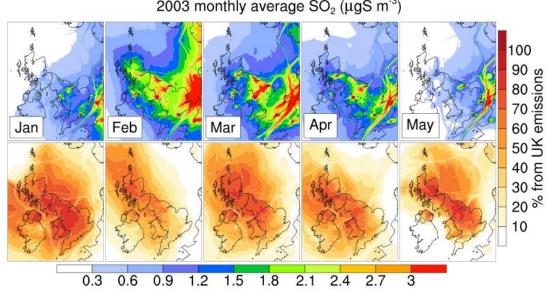
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Fig. 5: Modelled annual average surface concentrations of (a)  $NO_3^-$ , (b)  $SO_4^{2-}$  and (c)  $NH_4^+$ . 626 The top left panel of each figure shows the concentrations in 2001 (horizontal scales in µg N 627  $m^{-3}$  or  $\mu g$  S  $m^{-3}$ , as relevant). The remaining panels illustrate the difference in surface 628 concentrations in each of years 2002 to 2010 compared with 2001 (vertical scales in  $\mu$ g N m<sup>-3</sup> 629 or  $\mu g S m^{-3}$ , as relevant). 630



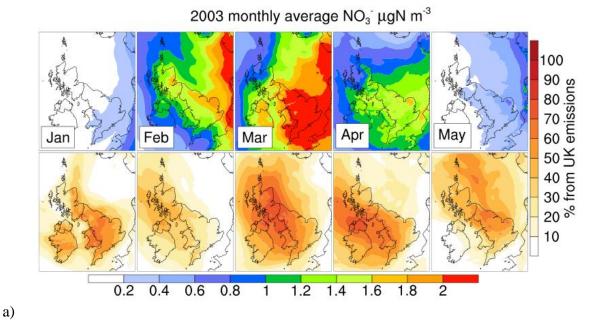
2003 monthly average NO<sub>2</sub> (µgN m<sup>-3</sup>)

631 a)



632 b)

Fig. 6: Modelled monthly average surface concentrations and UK contributions for a) NO<sub>2</sub> and b) SO<sub>2</sub> through January to May 2003. In each case the upper panel shows the mean concentrations ( $\mu$ g N m<sup>-3</sup> or  $\mu$ g S m<sup>-3</sup>), while the lower panel shows the percentage contribution from UK emissions (calculated as [100 × (Base – no UK emi)/Base] between the base simulation and the simulation with zero UK emissions).



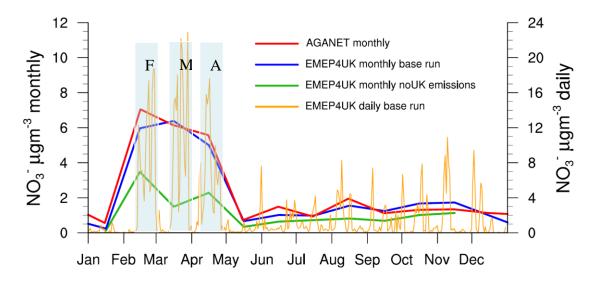
2003 monthly average  $SO_4^{\ 2^-}\,\mu gS\ m^{\ -3}$ 100 Apr May Feb Mar Jar 20 % 10 0.1 0.4 0.2 0.3 0.5 0.6 0.7 0.8 0.9 1

639 b)

638

640 Fig. 7: Modelled monthly average surface concentrations and UK contributions for a)  $NO_3^{-641}$ 641 and b)  $SO_4^{-2-}$  through January to May 2003. In each case the upper panel shows the mean 642 concentrations (µg N m<sup>-3</sup> or µg S m<sup>-3</sup>), while the lower panel shows the percentage 643 contribution from UK emissions (calculated as [100 ×(Base – no UK emi)/Base] between the 644 base simulation and the simulation with zero UK emissions).

Bush 1 (LHS)



646

Fig. 8: Modelled and observed monthly mean (left axis) and daily mean (right axis) surface concentrations of  $NO_3^-$  at the Bush site (Scotland) for the year 2003. The red line is the measured monthly values from the AGANet network, the blue line is the modelled monthly means for the base run, the green line is the modelled monthly means for the experiment with no UK emissions, and the orange line is the modelled daily means for the base run. (All model values are averages of hourly data). The blue shadows highlight the three episodes labelled F, M and A.



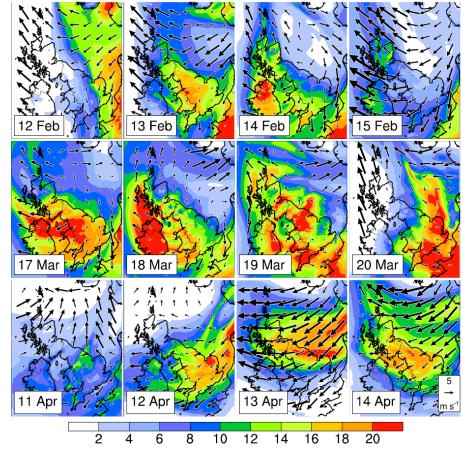


Fig. 9: Modelled daily mean surface concentration of  $NO_3^-$  and the 12:00 wind vector for 12-15<sup>th</sup> Feb (episode F), 17-20<sup>th</sup> Mar (episode M) and 11-14<sup>th</sup> Apr (episode A).

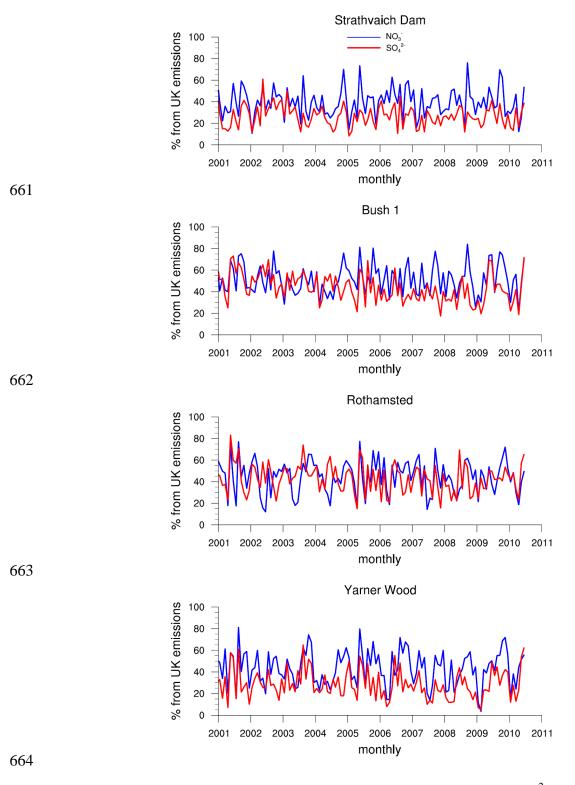


Fig 10: Modelled monthly average proportions of  $NO_3^-$  (blue line) and  $SO_4^{2-}$  (red line) derived from UK precursor emissions, for 4 sites in the UK for the decade 2001-2010 (calculated as [100 ×(Base – no UK emi)/Base] between the base simulation and the simulation with zero UK emissions).



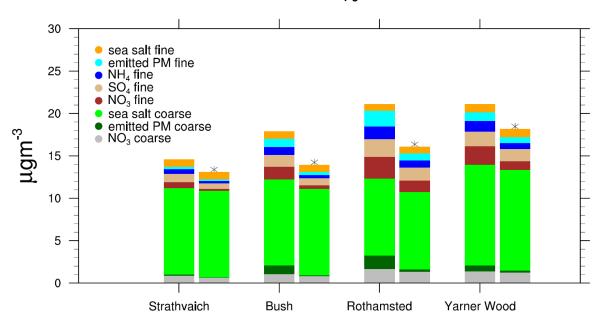


Fig. 11: Mean composition of  $PM_{10}$  components as estimated by the EMEP4UK model for four sites across the UK, averaged for the whole of 2003. The model base run (including all national and international emissions) is compared with the results from a simulation excluding UK emissions (\*). The difference in magnitudes between the pairs of adjacent bars indicates the PM derived from emissions within the UK. As well as the SIA components, the total modelled  $PM_{10}$  includes the contribution from emitted primary fine PM ( $PM_{2.5}$ ) and primary  $PM_{coarse}$  (i.e.  $PM_{2.5-10}$ ), and fine and coarse sea salt.

# 678 Tables

Table 1: Mean concentrations, and correlation and regression statistics, for monthly-averaged modelled and measured  $NO_3^-$  and  $SO_4^{2^-}$  in particulate matter, and  $HNO_3$  and  $SO_2$  gas for the period 2001-2010 at four sites of the AGANet network: Strathvaich Dam (north-west Scotland), Bush 1 (central Scotland), Rothamsted (south-east England), and Yarner Wood (south-west England). The comparison is based on a linear fit where measurement = slope \* model + intercept.

	Particulate NO <sub>3</sub> <sup>-</sup>					
-	Strathvaich Dam	Bush 1	Rothamsted	Yarner Wood		
Measurement mean	0.49 µg m <sup>-3</sup>	1.37 μg m <sup>-3</sup>	3.35 µg m <sup>-3</sup>	1.98 µg m <sup>-3</sup>		
Model mean	0.77 μg m <sup>-3</sup>	1.42 μg m <sup>-3</sup>	2.73 μg m <sup>-3</sup>	2.23 µg m <sup>-3</sup>		
R	0.49	0.91	0.81	0.86		
Slope	0.59	0.96	0.68	0.95		
Intercept	0.48 µg m <sup>-3</sup>	0.10 µg m <sup>-3</sup>	$0.44 \ \mu g \ m^{-3}$	0.34 µg m <sup>-3</sup>		
	Particulate SO <sub>4</sub> <sup>2–</sup>					
-	Strathvaich Dam	Bush 1	Rothamsted	Yarner Wood		
Measurement mean	0.57 μg m <sup>-3</sup>	0.94 µg m <sup>-3</sup>	1.75 μg m <sup>-3</sup>	1.20 µg m <sup>-3</sup>		
Model mean	0.61 μg m <sup>-3</sup>	0.95 µg m <sup>-3</sup>	1.48 µg m <sup>-3</sup>	1.28 µg m <sup>-3</sup>		
r	0.72	0.79	0.65	0.69		
Slope	0.86	0.76	0.56	0.65		
Intercept	0.12 µg m <sup>-3</sup>	0.24 µg m <sup>-3</sup>	0.50 µg m <sup>-3</sup>	0.36 µg m <sup>-3</sup>		
	HNO <sub>3</sub>					
-	Strathvaich Dam	Bush 1	Rothamsted	Yarner Wood		
Measurement mean	0.23 μg m <sup>-3</sup>	0.57 μg m <sup>-3</sup>	1.89 μg m <sup>-3</sup>	0.73 μg m <sup>-3</sup>		
Model mean	0.16 µg m <sup>-3</sup>	0.36 µg m <sup>-3</sup>	0.96 µg m <sup>-3</sup>	0.56 µg m <sup>-3</sup>		
r	0.77	0.45	0.35	0.59		
Slope	0.59	0.44	0.32	0.65		
Intercept	0.03 µg m <sup>-3</sup>	0.11 μg m <sup>-3</sup>	0.36 µg m <sup>-3</sup>	0.09 µg m <sup>-3</sup>		
	$SO_2$					
-	Strathvaich Dam	Bush 1	Rothamsted	Yarner Wood		
Measurement mean	0.18 µg m <sup>-3</sup>	1.28 μg m <sup>-3</sup>	1.92 μg m <sup>-3</sup>	0.75 μg m <sup>-3</sup>		
Model mean	0.43 µg m <sup>-3</sup>	1.43 µg m <sup>-3</sup>	2.05 µg m <sup>-3</sup>	1.16 µg m <sup>-3</sup>		
r	0.62	0.60	0.80	0.83		
Slope	1.5	0.57	0.71	1.09		
Intercept	0.16 μg m <sup>-3</sup>	0.71 μg m <sup>-3</sup>	0.68 µg m <sup>-3</sup>	0.35 μg m <sup>-3</sup>		

Table 2: Model simulated contributions of Continental European PM<sub>2.5</sub> import to the current

687 European Commission limit value (EC, 2013) and to the World Health Organisation guideline

value (WHO, 2005) at each of the four sites for the EMEP4UK model simulations for the year

689 2003.

Continental European contribution	Strathvaich Dam	Bush 1	Rothamsted	Yarner Wood
EC limit value of 25 $\mu$ g m <sup>-3</sup>	5%	8%	18%	15%
WHO guideline of 10 $\mu$ g m <sup>-3</sup>	14%	20%	45%	38%