## Secondary Organic Aerosol Formation and Composition from the Photo-oxidation of Methyl Chavicol (Estragole)

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Keywords; methyl chavicol, estragole, oil palm, secondary organic aerosol, tandem mass spectrometry.

1 Abstract. The increasing demand for palm oil for uses in biofuel and food products is leading 2 to rapid expansion of oil palm agriculture. Methyl chavicol (also known as estragole and 1-3 allyl-4-methoxybenzene) is an oxygenated biogenic volatile organic compound that was 4 recently identified as the main floral emission from an oil palm plantation in Malaysian 5 Borneo. The emissions of methyl chavicol observed may impact regional atmospheric 6 chemistry, but little is known of its ability to form secondary organic aerosol (SOA). The 7 photo-oxidation of methyl chavicol was investigated at the European Photoreactor chamber 8 as a part of the atmospheric chemistry of methyl chavicol (ATMECH) project. Aerosol 9 samples were collected using a particle into liquid sampler (PILS) and analysed offline using 10 an extensive range of instruments including; high performance liquid chromatography mass 11 spectrometry (HPLC-ITMS), high performance liquid chromatography quadrupole time-of-12 flight mass spectrometry (HPLC-QTOFMS) and Fourier transform ion cyclotron resonance 13 mass spectrometry (FTICR-MS). The SOA yield was determined as 18 and 29 % for an 14 initial VOC mixing ratio of 212 and 460 ppbv respectively; using a VOC:NO<sub>x</sub> ratio of  $\sim$  5:1. 15 In total, 59 SOA compounds were observed and the structures of 10 compounds have been 16 identified using high resolution tandem mass spectrometry. The addition of hydroxyl and/or 17 nitro functional groups to the aromatic ring appears to be an important mechanistic pathway 18 for aerosol formation. This results in the formation of compounds with both low volatility 19 and high O:C ratios, where functionalisation rather than fragmentation is mainly observed as 20 a result of the stability of the ring. The SOA species observed can be characterized as semi-21 volatile to low volatile oxygenated organic aerosol (SVOOA and LVOOA) components and 22 therefore may be important in aerosol formation and growth.

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25 1. Introduction. The atmospheric oxidation of volatile organic compounds (VOCs) in the 26 presence of  $NO_x$  results in the formation of tropospheric ozone and secondary organic aerosol 27 (SOA). Whilst SOA formation is known to have adverse effects on climate and human health 28 (Solomon et al., 2007; Bernstein et al., 2004; Davidson et al., 2005; Pöschl, 2005), the VOC 29 oxidation pathways leading to SOA formation are poorly understood (Hallquist et al., 2009). It has been estimated that as many as  $10^4 - 10^5$  VOCs have been detected in the atmosphere, 30 31 all of which may undergo atmospheric oxidation and contribute to SOA formation (Goldstein and Galbally, 2007). Approximately 90% of all global VOC emissions are from biogenic 32 33 sources (Guenther et al., 1995). The most abundant biogenic emissions are attributed to 34 isoprene (35-40 %), monoterpenes (11-25 %) and oxygenated VOCs (reactive other VOCs 35 and other VOCs, 20-30%) (Guenther et al., 2000; Guenther et al., 1995). The largest source 36 of biogenic VOC emissions are from vegetation; including trees (which account for ~ 71 % 37 of emissions (Guenther et al., 1995), shrubs and crops, with a small emission source from grasslands and soils (Guenther et al., 1995; Zimmerman, 1979; Wiedinmyer et al., 2004; 38 39 Guenther et al., 2000). Oxygenated VOCs (OVOCs) have received more attention recently 40 due to the advances in instrumentation to detect and quantify these compounds in the ambient 41 atmosphere. Despite this, significant uncertainties still remain in our knowledge of the 42 sources, chemical composition and atmospheric oxidation mechanisms of OVOCs, in 43 particular higher molecular weight species (>  $C_5$ ) (Singh et al., 2000; Steiner et al., 2008; 44 Taipale et al., 2012; Schade and Goldstein, 2001; Bouvier-Brown, 2008).

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46 Methyl chavicol ( $C_{10}H_{12}O$ ), also known as estragole and 1-allyl-4-methoxybenzene, is a  $C_{10}$ 47 aromatic biogenic OVOC emitted from a variety of pine trees (including *ponderosa pine*), 48 shrubs (*clausena dunniana, straggley baeckea*) and common herbs (*basil, fennel, tarragon*) 49 (Werker et al., 1994; Simon et al., 1990; Southwell et al., 2003; Mirov, 1961; Bouvier-Brown 50 et al., 2009; De Vincenzi et al., 2000; Barazani et al., 2002; Adams, 2007; Holzinger et al., 51 2010; Holzinger et al., 2005). A recent publication identified significant methyl chavicol 52 emissions above the canopy of an oil palm plantation in Malaysian Borneo, with a mean midday flux of 0.81 mg m<sup>-2</sup>  $h^{-1}$  and a mean mixing ratio of 3.0 ppbv (maximum mixing ratio 53 54 observed ~ 7.0 ppbv) (Misztal et al., 2010). Methyl chavicol emissions from palm oil plantations were estimated to result in a global emission of ~ 0.5 Tg  $y^{-1}$  (Misztal et al., 2010). 55 56 There are currently 43 oil palm producing countries, with the majority of oil palm plantations concentrated in Indonesia and Malaysia (FAOSTAT, 2012). In 2011, there were 57 58 approximately 7.7 million hectares (Mha) of oil palm plantations in Indonesia (USDA, 2013) 59 and 5.0 Mha in Malaysia (MPOB, 2012). The increasing demand for palm oil for uses in food 60 products and biofuels is resulting in the rapid expansion of oil palm agriculture (Fitzherbert et 61 al., 2008). Consequently, methyl chavicol emissions are likely to have a considerable effect 62 on regional chemistry in locations where oil palm plantations are significant. Despite this, 63 there have been few literature reports which have investigated the atmospheric fate of methyl 64 chavicol, including gas-phase degradation, SOA formation, composition and yields.

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66 The gas phase products formed from the oxidation of methyl chavicol with hydroxyl radicals 67 (OH), and ozone (O<sub>3</sub>) has been investigated by Lee et al. (2006a); Lee et al. (2006b), and 68 more recently by Bloss et al. (2012), who reported measurements of the gas-phase reactivity 69 of methyl chavicol with 'OH and O<sub>3</sub>, and by Gai et al. (2013) who in addition investigated the 70 oxidation of methyl chavicol with NO<sub>3</sub>. In the study performed by Lee et al., (2006b) the 71 photo-oxidation of methyl chavicol resulted in significant SOA formation (yield 40 %) and 72 the formation of two abundant structurally unidentified gas phase compounds, MW 136  $(C_8H_8O_2, \text{ yield } 42 \pm 9 \text{ \%})$  and MW 150  $(C_9H_{10}O_2, \text{ yield } 23 \pm 5 \text{ \%})$ , detected using proton 73 transfer reaction mass spectrometry (PTR-MS). Bouvier-Brown et al. (2009) identified MW 74

75 136 as 4-methoxybenzaldhyde in the aerosol phase at Blodgett forest (California, US) and 76 suggested the identification of pinonaldehyde (MW 150) (Holzinger et al., 2005) could be in 77 part attributed to 4-methoxybenzene acetaldehyde (MW 150) identified in Lee et al. (2006b). 78 In addition, Cahill et al. (2006) tentatively identified 4-methoxybenzene acetaldehyde in 79 aerosol samples collected in the Sierra Nevada Mountains. More recently, Gai et al. (2013) 80 identified a futher two abundant gas phase products, MW 122 4-methoxytoluene, and MW 166 4-methoxybenzeneacteic acid, and identified MW 136 and MW 150 as 4-81 82 methoxybenzaldhyde and 4-methoxybenzene acetaldhyde, respectively, in support of the 83 literature. To our knowledge, however, the aerosol phase composition and mechanisms of 84 formation from the photo-oxidation of methyl chavicol has largely been unexplored.

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86 The aim of this study is to characterize the SOA oxidation products formed from the photo-87 oxidation of methyl chavicol and determine their formation mechanisms. Experiments were 88 performed at the European Photoreactor chamber in Valencia, Spain, as a part of the 89 atmospheric chemistry of methyl chavicol (ATMECH) project. Aerosol samples were 90 collected using a particle into liquid sampler (PILS). SOA composition was investigated 91 using an extensive range of instruments, including; high performance liquid chromatography 92 ion trap mass spectrometry (HPLC-ITMS), high performance liquid chromatography 93 quadrupole time-of-flight mass spectrometry (HPLC-QTOFMS) and Fourier transform ion 94 cyclotron resonance mass spectrometry (FTICR-MS).

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## 96 2. Materials and methods

97 2.1 Chamber simulation experiments. Experiments were performed at the European
 98 Photoreactor (EUPHORE) in Valencia, Spain. The EUPHORE facility comprises of two 200
 99 m<sup>3</sup> hemispheric reaction chambers made of fluorinated ethyl propylene foil, with housings

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which may be closed to exclude sunlight. Chamber temperature is near ambient and chamber pressure is maintained at approximately 100 Pa above ambient. Dry scrubbed air is used within the chamber and two large fans ensure homogenous mixing. Further technical information regarding the chamber design and installation can be found in the literature (Becker, 1996; Klotz et al., 1998; Volkamer et al., 2001; Bloss et al., 2005). A series of experiments were performed during May 2012 and the initial mixing ratios, chamber temperatures and relative humidities are presented in Table 1.

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108 The chamber was cleaned before each experiment by flushing with scrubbed dry air 109 overnight. Methyl chavicol was introduced into the chamber through a heated air stream. 110 "Classical" photo-oxidation experiments were performed, where no additional 'OH source 111 was added into the chamber. The initial source of 'OH in these experiments was from the photolysis of HONO, formed from the heterogeneous reaction of NO2 and H2O on the 112 chamber walls (cf. (Sakamaki et al., 1983; Pitts et al., 1984; Svensson et al., 1987; Carter et 113 114 al., 1981, 1982)). An extensive range of monitors were used to measure chamber temperature 115 (temperature sensor, model PT100), pressure (Barometer, model AIR-DB-VOC), humidity (Hygrometer Watz, model Walz-TS2), solar intensity (J<sub>NO2</sub> Filter Radiometer), ozone 116 117 (Monitor Labs, model 9810) and NO<sub>x</sub> (Teledyne API, model NO<sub>x</sub>\_API-T200UP; photolytic converter). PTR-MS (Ionikon Analytik) and Fourier transform infra red (FTIR Nicolet 118 119 Magna, model 550), coupled to a white-type mirror system with an optical path length of 616 120 m, were used to monitor methyl chavicol decay and product formation. The chamber dilution 121 rate was calculated by measuring the decay of an inert tracer gas, sulphur hexafluoride  $(SF_6)$ , using FTIR; typical pseudo-first-order rate constants of  $2 \times 10^{-5}$  s<sup>-1</sup> were obtained, 122 123 corresponding to a dilution lifetime around 14 hours. The formation and evolution of 124 secondary organic aerosol (SOA) was measured using a scanning mobility particle sizer (TSI

Incorporated, model 3080) consisting of a differential mobility analyzer (model 3081) and acondensation particle counter (model 3775).

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## 128 **2.2 Aerosol sampling & sample preparation.**

129 A Brechtel Manufacturing Inc (California, US) model 4002 PILS was used for aerosol collection. The PILS inlet was connected to the chamber outlet using approximately 1.5 130 131 meters of 1/4" stainless steel tubing. Aerosol samples were collected using a PM1 impactor, with an average flow rate of 13 L min<sup>-1</sup>. Acidic, basic and organic gases were removed from 132 133 the sampled air through the use of denuders, prepared as per manufacture instructions. The wash flow rate was set at 240 µL min<sup>-1</sup> and consisted of optima LC-MS grade water (Fisher 134 135 Scientific, UK). The sample flow rate transferred the aerosol water mixture (optima LC-MS grade water) into sealed vials at a flow rate of 200 µL min<sup>-1</sup> for 30 minutes per sample. 136 Samples were collected before the addition of methyl chavicol or NO into the chamber and 137 138 continued sampling until after the chamber was closed. After sample collection, punctured 139 vial caps were replaced and securely sealed with parafilm. All vials were wrapped in foil to 140 minimise potential degradation from photolysis and were stored at -20 °C until analysis. 141 Collected PILS samples were evaporated to dryness using a V10 vacuum solvent evaporator 142 (Biotage, USA) and redissolved in 300 µL of 50:50 methanol:water (optima LC-MS grade, 143 Fisher, UK), with the exception of experiment  $MC_{high}$ , which was redissolved in 500  $\mu$ L.

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The PILS dilutes the sampled aerosol through the transfer of grown particles from the quartz impactor plate into sealed vials, condensation of steam and water uptake during particle growth; see Orsini et al. (2003) for further information. The sample dilution amount is usually determined by spiking a known concentration of lithium fluoride into the sample flow. However, no internal standard was used due to the potential effects on the analytical 150 method (e.g. adduct formation, ion suppression) and SOA composition. PILS samples were 151 evaporated to dryness to eliminate the unknown sample dilution amount. The re-suspension 152 of samples into a smaller volume, concentrated the aerosol compounds, almost certainly 153 allowing more SOA species to be observed. The re-suspension of the PILS samples into 154 50:50 methanol:water was performed to be more compatible with the high performance liquid 155 chromatography (HPLC) mobile phase and to increase the electrospray ionisation (ESI) 156 efficiency (cf. (Kebarle and Verkerk, 2009)). The PILS collection efficiency has previously 157 been determined, where no appreciable loss has been found for the particle diameter range 158 investigated (30 nm to 1 µm) (Orsini et al., 2003).

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160 2.3 HPLC-ITMS. SOA composition was investigated using an Agilent 1100 series HPLC 161 (Berkshire, UK) coupled to a HTC Plus ion trap mass spectrometer (IT-MS, Bruker 162 Daltonics, Bremen, Germany). A reversed phase Pinnacle C18 150 mm x 4.6 mm, 5 µm 163 particle size column (Thames Resteck, UK) was used. The HPLC mobile phase composition 164 consisted of (A) water (optima LC-MS grade, Fisher, UK) with 0.1 % formic acid (Sigma 165 Aldrich, UK) and (B) methanol (optima LC-MS grade, Fisher, UK). Gradient elution was 166 used, starting at 90 % (A) 10 % (B), moving to 0 % (A) 100 % (B) over 60 minutes, returning 167 to the initial starting conditions at 65 minutes. A 5 minute pre-run consisting of the starting 168 mobile phase composition was performed before each sample injection. The flow rate was set at 0.6 mL min<sup>-1</sup> with a sample injection volume of 60  $\mu$ L. ESI was used, with a dry gas flow 169 rate of 12 L min<sup>-1</sup>, a dry gas temperature of 365 °C and nebuliser gas pressure of 70 psi 170 171 (oxygen free nitrogen, OFN, BOC, UK). The MS was operated in alternating polarity mode, scanning from m/z 50 to 600. Tandem MS was achieved through the automated MS<sup>2</sup> function 172 173 within the Esquire software (Bruker Daltonics, software version 5.2).

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175 2.4 FTICR-MS. A solariX Fourier transform ion cyclotron resonance mass spectrometer with a 9.4-T superconducting magnet (Bruker Daltonics, Coventry, UK) was used and 176 externally calibrated using L-Arginine (Sigma Aldrich, UK, purity 98 %). Samples were 177 178 introduced into the ESI source through direct infusion, using a Hamilton 50 µL syringe (Hamilton, Switzerland) at a flow rate of 120 µL min<sup>-1</sup>. Spectra were acquired in both 179 180 positive and negative ionisation modes over a scan range of m/z 50 - 800. The ESI 181 parameters were set to a dry gas flow rate of 3.7 L min<sup>-1</sup>, dry gas temperature of 220 °C, and 182 a nebulizer gas pressure of 1.2 bar (nitrogen, BOC, UK). Broadband detection mode was 183 used, with 64 spectra averages obtained for each spectrum. Ion accumulation in the ICR cell 184 was set to 0.5 seconds with a source accumulation time of 0.002 seconds. The collision RF 185 and ion cooler time was set to favour lower masses at 1300 Vpp and 0.010 seconds, respectively. An approximate resolution of 38,000 at m/z 400 was obtained for both 186 187 ionisation modes. The spectral analysis was performed using DataAnalysis 4.0 software 188 (Bruker Daltonics, Breman, Germany). Monoisotopic elemental formulae were calculated 189 using the following restrictions; unlimited C, H and O were allowed and up to 3 N atoms, 190 O:C < 3, H:C > 0.5, DBE < 20, and in positive mode, Na and K adducts were also allowed. 191 The accuracy of the molecular formulae (elemental composition) assignment in shown by the 192 error; where the error equals the difference between the exact and measured mass for the 193 assigned molecular formula. The mass error (also referred to as mass accuracy) is displayed 194 in ppm and is calculated by dividing the mass error by the exact mass for the assigned molecular formula and multiplying by  $10^6$ . The molecular formula score refers to the fit of 195 196 the theoretical and measured isotopic distribution and abundance for the assigned molecular 197 formula, and is displayed in percentage. The molecular formula score is not calculated for a 198 signal to noise (S/N) ratio below 5. The combination of a high score and low mass accuracy 199 will result in few potential molecular formula assignments for a compound at a given m/z. A

200 compound with an m/z below 300, with a high score (100%) and low mass accuracy (< 5 201 ppm) results in only one potential molecular formula (Kind and Fiehn, 2006).

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203 2.5 HPLC-QTOFMS. A Dionex ultimate 3000 HPLC (Thermo Scientific Inc, UK) was 204 coupled with an ultra high resolution quadrupole time-of-flight mass spectrometer (HPLC-205 QTOFMS) (maXis 3G, Bruker Daltonics, Coventry, UK). The HPLC utilised the same 206 reverse phase Pinnacle C18 column and mobile phase composition as described in the HPLC-207 ITMS analysis. The gradient elution runtime was shortened, starting at 90 % (A) 10 % (B), 208 moving to 0 % (A) 100 % (B) over 50 minutes and returning to the initial starting conditions 209 at 55 minutes. A 5 minute pre-run was performed before each sample injection using the starting mobile phase composition. The flow rate was set to 0.6 mL min<sup>-1</sup> and the column 210 211 temperature controlled at 20 °C. A user defined autosampler method was created, drawing 58  $\mu$ L of sample into the sample loop, followed by 2  $\mu$ L of a 10 ppm external standard mix and 212 20 µL of 50:50 methanol:water (optima LC-MS grade, Fisher, UK). The HPLC-QTOFMS 213 was externally calibrated using ESI-L low concentration tuning mix (Agilent Technologies, 214 UK). ESI was used and the parameters were set to a dry gas flow rate of 10 L min<sup>-1</sup>, dry gas 215 216 temperature of 350 °C and a nebulizer pressure of 4 bar (nitrogen, BOC, UK). Tandem mass spectra were acquired for a mass range of m/z 50 to 800 using the auto MS<sup>2</sup> function within 217 218 the Compass 1.3 micrOTOF-SR3 software, control version 3.0 (Bruker Daltonics, UK). The 219 three most abundant precursor ions per spectrum were automatically selected by the software 220 and subjected to collision induced dissociation (CID). The collision energy for CID 221 fragmentation was set at 8.0 eV at a collision RF of 800.0 Vpp. The spectral analysis was 222 performed using DataAnalysis 4.0 software (Bruker Daltonics, Breman, Germany). The 223 molecular formula error and score was automatically calculated by the DataAnalysis software 224 using the same methods as described in the FTICR-MS analysis.

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226 2.6 Standards & calibrations. A 10 ppm external standard (ES) mix was used to monitor the 227 ITMS detector variation over the course of the sample analysis. The ES mix was also used for 228 the optimisation of the HPLC-QTOFMS method, internal mass calibration and to determine 229 the retention time shift of the SOA compounds due to the shortening of the gradient elution. 230 The ES mix consisted of 5 compounds, 4-methoxybenzoic acid (Sigma Aldrich, UK, purity 231 99 %), hexanedioic acid (Sigma Aldrich, UK, purity 99 %), cis-pinonic acid (Sigma Aldrich, 232 UK, purity 99 %), 2-hydroxyhexanoic acid (Acros Organics, Belgium, purity 95 %) and 2,6-233 dimethyl-3-nitrophenol (synthesized by University College Cork). These compounds were 234 selected based on their range of retention times, stability, variety of functional groups and 235 ionisation efficiency in both positive and negative ionisation modes. Calibrations were 236 performed using the HPLC-ITMS for any structurally identified SOA compounds where 237 standards were commercially available. Calibrations ranged in concentration from 0.02 to 2 238 ppm and consisted of a minimum of 5 concentrations, with 3 replicate measurements.

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240 3. Results & discussion. Three experiments carried out as part of the ATMECH campaign 241 are discussed here: (i)  $MC_{(0)}$ ; a chamber background experiment, where no VOCs or  $NO_x$ 242 were added to the chamber and the chamber housing was opened to expose the chamber to 243 light. None of the methyl chavicol SOA compounds identified were observed in this 244 experiment. (ii) MC<sub>high</sub>; a photo-oxidation experiment with high initial mixing ratios of 245 methyl chavicol (460 ppbv) and NO (92 ppbv) and, (iii) MC<sub>low</sub>; a photo-oxidation experiment 246 with lower mixing ratios of methyl chavicol (212 ppbv) and NO (38 ppbv), with a similar 247 initial VOC:NO<sub>x</sub> ratio (~5:1) to MC<sub>high</sub>. The VOC/NO<sub>x</sub> ratio represents the lower MC/NO<sub>x</sub> 248 ratio of an agro-industrialized oil palm plantation site in northern Borneo (Hewitt et al., 2009; MacKenzie et al., 2011); where the boundary layer (500-800 m)  $NO_x$  concentration (75<sup>th</sup>) 249

250 percentile) was ~ 0.6 ppbv (Hewitt et al., 2009), with a methyl chavicol abundance of ~ 3 251 ppbv at midday (MacKenzie et al., 2011), corresponding to a MC/NO<sub>x</sub> ratio of 5. The 252 temporal evolution of NO<sub>x</sub>, O<sub>3</sub>, methyl chavicol and SOA growth are shown in Figure 1 and 253 show similar profiles to previous aromatic photo-oxidation experiments, with initiation of 254 aerosol formation occurring when the photo-chemical system enters into a relatively "low NO" state (cf. (Rickard et al., 2010)). The maximum SOA mass observed, corrected for wall 255 loss and chamber dilution, was 420  $\mu g~m^{\text{-3}}$  and 126  $\mu g~m^{\text{-3}}$  in MC\_{high} and MC\_{low,} respectively. 256 257 The SOA yield (Y) was calculated using the equation given in Odum et al. (1996), where the amount of aerosol mass formed [ $\Delta M_0$ , µg m<sup>-3</sup>] was divided by the amount of methyl chavicol 258 reacted [ $\Delta MC$ ,  $\mu g m^{-3}$ ], assuming spherical aerosol shape with a density of 1.4 g cm<sup>-3</sup>. The 259 260 SOA yield was determined as 18 % and 29 % for experiments MC<sub>low</sub> and MC<sub>high</sub>, 261 respectively, showing a larger yield at higher initial mixing ratios as seen previously (Song et al., 2005; Odum et al., 1996; Pankow, 1994a, b). 262

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264 3.1 SOA composition. The PILS samples were analysed using a series of complementary 265 analytical techniques. Initially, the HPLC-ITMS was used to screen the PILS samples for 266 SOA species. Any compounds observed in the PILS samples before the introduction of 267 methyl chavicol and NO into the chamber in MC<sub>high</sub> and MC<sub>low</sub> were excluded from further 268 analysis. Compounds which displayed changes in their chromatographic peak areas (and thus 269 concentration) were investigated further. In the MChigh experiment, 59 SOA compounds were 270 observed in the PILS samples using HPLC-ITMS. Of these compounds, 56 were observed with matching retention times and/or fragmentation patterns in the MC<sub>low</sub> experiment. Three 271 compounds at a MW of 214, 226 and 250 g mol<sup>-1</sup> were not observed in the lower 272 273 concentration experiment, MC<sub>low</sub>. In MC<sub>high</sub>, the intensities of these compounds in the HPLC-ITMS analysis were observed just above the limit of detection (defined as 3 x S/N). The 274

275 similarity of the oxidation products formed, but the lower initial mixing ratio in MC<sub>low</sub>, 276 suggests these compounds were not observed in the MC<sub>low</sub> experiment due to a decrease in 277 the formation yields at lower initial mixing ratios and/or decrease in the gas-particle 278 absorption due to the smaller amount of aerosol mass formed (Pankow, 1994a, b; Odum et al., 1996; Kroll and Seinfeld, 2008). In MC<sub>high</sub>, fragmentation data was obtained for 56 of the 279 59 SOA compounds using HPLC-ITMS<sup>2</sup>. In many cases it was not possible to identify the 280 281 compound structures of the SOA species due to the low mass resolution of the ITMS and lack 282 of commercially available standards. This resulted in the use of the FTICR-MS to aid in the 283 identification of the SOA molecular formulae and compound structures. The use of FTICR-284 MS significantly aided in compound identification, providing the molecular formulae for 49 285 of the 59 SOA compounds with average error of 0.89 ppm for negative ionisation mode and 4.75 ppm for positive ionisation mode.  $FTICR-MS^2$  could not be performed due to the lack of 286 prior chromatographic separation and the low concentration of the SOA compounds. Instead, 287 HPLC-QTOFMS<sup>2</sup> was used to obtain high mass resolution compound fragmentation data for 288 289 the SOA compounds. The HPLC-QTOFMS identified the molecular formulae of 55 of the 59 290 SOA compounds with an average error of 4.13 ppm for negative ionisation mode and 18.34 291 ppm for positive ionisation mode. The use of the HPLC-QTOFMS was complementary to the 292 FTICR-MS, allowing the comparison of two high mass resolution data sets to determine the 293 molecular formulae of the SOA compounds, as shown in Table 2 and SI Table 1 & 2. The use 294 of prior chromatographic separation with the QTOFMS was advantageous and allowed the 295 molecular formulae of the 10 low concentration SOA compounds not identified using 296 FTICR-MS to be determined. Of the 59 SOA compounds, the FTICR-MS and the HPLC-297 QTOFMS were in agreement of the molecular formulae for 40 SOA compounds. Only one 298 high resolution mass spectrometric technique provided the molecular formulae for 14 SOA compounds and for 5 SOA compounds the molecular formulae provided by the HPLC-299

300 QTOFMS and FTICR-MS were not in agreement. A complete list of the identified SOA 301 species including the molecular formulae identification and associated errors can be found in 302 the supplementary information, SI Tables 1 and 2.

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304 A Van Krevelen plot of the 59 SOA compounds is shown in SI Figure 1. The average O:C 305 and H:C ratio was determined as 0.46 and 1.37, respectively. Of the 59 SOA compounds, the 306 structures of 10 have been assigned and are shown in Table 2. The structures of (4-307 methoxyphenyl)acetic acid and 4-methoxybenzoic acid have been confirmed using the 308 retention time and fragmentation patterns of commercially available standards. All other 309 compound structures have been determined from the deprotonated or protonated molecular species fragmentations obtained from the HPLC-ITMS<sup>2</sup> and HPLC-QTOFMS<sup>2</sup>. Calibrations 310 311 were performed for 4-methoxybenzoic acid and (4-methoxyphenyl)acetic using the 312 commercially available standards. Detector variation during sample analysis was determined 313 for both compounds by measuring the peak area of 4-methoxybenzoic acid in the 10 ppm ES 314 mix. A 6 % standard deviation is shown and includes a detector variation of 5.14 % (based on 315 4 replicate measurements) plus a negligible amount for the PILS collection efficiency (see 2.2 316 Aerosol sampling & sample preparation). The concentration of 4-methoxybenzoic acid and (4-methoxyphenyl)acetic acid in MC<sub>high</sub> was determined as  $1.26 \pm 0.08$  and  $0.41 \pm 0.02 \,\mu g \,m^{-1}$ 317 318 <sup>3</sup>; representing a total percentage SOA mass of 0.44  $\pm$  0.03 and 0.14  $\pm$  0.01 %, respectively. 319 In MC<sub>low</sub>, the concentration of 4-methoxybenzoic acid was determined as  $0.23 \pm 0.01 \ \mu g \ m^{-3}$ , 320 corresponding to a percentage SOA mass of 0.26 ± 0.02 %. The HPLC-ITMS intensity of (4-321 methoxyphenyl)acetic acid in the MClow experiment was observed below the limit of 322 quantification (defined as  $5 \ge S/N$ ) and thus the concentration and total percentage SOA mass 323 for this compound could not be determined.

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325 The product ions of two deprotonated molecular species are discussed here as examples to 326 illustrate the methodology used to assign SOA compound structures. Compound 1 with a MW of 243 g mol<sup>-1</sup> was assigned the molecular formula  $C_{10}H_{13}NO_6$  with 5 double bond 327 328 equivalents (Khan et al.). Compound 1 contains the same number of carbon atoms and one 329 more degree of saturation than the original VOC precursor, methyl chavicol ( $C_{10}H_{12}O$ ). In 330 addition, compound 1 was identified in the first PILS sample containing SOA (shortest 331 reaction time, between 41 to 71 minutes into MC<sub>high</sub>) in the MC<sub>high</sub> experiment. The similarity 332 of the carbon number, degree of saturation, and the identification of this compound during the 333 initial particle growth, would suggest the structure of compound 1 was similar to that of the 334 precursor, a substituted methoxyphenyl. The product ions of m/z 242 [M-H]<sup>-</sup> (compound 1) 335 are summarized in Table 3. Compound 1 was identified as 3-(5-hydroxy-4-methoxy-2-336 nitrophenyl)propane-1,2-diol using the observed fragments discussed below and shown in Figure 2. The highest intensity fragment ion at m/z 224 is due to the loss of water (H<sub>2</sub>O) 337 338 occurring through hydrogen abstraction, Figure 2A. The base peak loss of H<sub>2</sub>O would suggest 339 the presence of an aliphatic alcohol, most likely terminal. The loss of H<sub>2</sub>O results in an 340 intermolecular rearrangement of the fragment ion, resulting in the formation of a double 341 bond, indicated by the increase in the DBE by 1. The fragment ion at m/z 182 has formed as a 342 result of a subsequent loss of  $C_2H_2O$  from m/z 224, which is supported by the decrease in the 343 DBE by 1 for the remaining fragment ion. The fragment ion at m/z 182 results from a total 344 loss of C<sub>2</sub>H<sub>4</sub>O<sub>2</sub> suggesting the presence of a second hydroxyl group on the leaving group, 345 most likely on the adjacent carbon to the first alcohol group, Figure 2B. The fragment ion at 346 m/z 167 has formed as a result of an odd electron cleavage (OE), resulting in the formation of  $[C_7H_5NO_4]$  and the loss of  $[C_3H_7O_2]$ , Figure 2C. OE cleavages are unusual in CID and are 347 348 often associated with resonance stabilised ring structures and nitrogen containing functional 349 groups (Fu et al., 2006; Holčapek et al., 2010; Hayen et al., 2002; Holčapek et al., 2007).

351 Assuming the remaining deprotonated radical fragment ion at m/z 167 [C<sub>7</sub>H<sub>5</sub>NO<sub>4</sub>]<sup>•</sup> is a 352 substituted methoxyphenyl, the subtraction of the methoxy  $[OCH_3]$  and aromatic  $[C_6H_2]^-$ 353 group from the molecular formula would leave N<sub>1</sub>O<sub>3</sub> unaccounted for. This suggests either a 354 nitrate group (R-ONO<sub>2</sub>), or a hydroxyl (R-OH) and a nitro (R-NO<sub>2</sub>) group are attached to the 355 ring. The presence of a nitrate group on the ring is likely to result in the loss of  $NO_2$  or  $ONO_2$ 356 from the fragmentation of the carbon-oxygen or oxygen-nitrogen bond during CID (Zhao and 357 Yinon, 2002; Holčapek et al., 2010). Furthermore, the lability of the nitrate group often 358 results in spontaneous fragmentation in the softest ESI conditions, resulting in the fragment 359 ions ONO<sub>2</sub> and NO<sub>2</sub> at m/z 63 and m/z 47, respectively (Holčapek et al., 2010; Yinon et al., 360 1997). However, no fragment ion at m/z 63 for ONO<sub>2</sub> was observed. A peak at m/z 137 (intensity 1.33%) was observed and was attributed to  $[C_7H_5O_3]^{-1}$ , the loss of NO from the 361 362 fragment ion at m/z 167 [C<sub>7</sub>H<sub>5</sub>NO<sub>4</sub>], Figure 2C. The loss of NO is a typical for nitro functional groups in negative ionisation mode using CID (Holčapek et al., 2010; Fu et al., 363 2006; Schmidt et al., 2006; Yinon et al., 1997). The rearrangement of bonds from R-NO<sub>2</sub> to 364 365 R-ONO results in the loss of NO (Schmidt et al., 2006). Nitro functional groups usually result 366 in the loss of NO and NO<sub>2</sub>. However, the loss of only NO has been observed for some 367 compounds containing a nitro functional group and has previously been suggested to be the 368 result of an electron donating substituent in the para position to the nitro group, enhancing the 369 loss of NO by resonance stabilisation (Bursey and McLafferty, 1966; Bursey, 1969).

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The location of phenyl substitutions has proved to be difficult to determine using CID due to the lack of ring fragmentation as a result of resonance stabilisation. In addition, the Ncontaining compounds that were observed to undergo ring fragmentation exhibited complex 374 re-arrangements making the identification of these compound structures a difficult task. The 375 most likely locations of phenyl substitutions can be identified however, by considering the 376 formation mechanisms of these compounds in the gas phase (Ziemann and Atkinson, 2012; 377 Calvert et al., 2002). Methyl chavicol has two phenyl substituents, a methoxy group and an 378 hydrocarbon (HC) chain, both of which are ortho, para directing (March, 1992). The stronger 379 activating group of the two phenyl substituents, the methoxy group, will determine the most 380 energetically favoured and resonance stabilised position of an addition to the ring (March, 381 1992). The para position to the methoxy group is occupied by the HC chain and therefore the 382 initial oxidation of a hydroxyl radical to the ring of methyl chavicol would be most 383 energetically favoured at the ortho position to the methoxy group (Ziemann and Atkinson, 384 2012). The location of the nitro group on the ring is more difficult to assign. Assuming the 385 hydroxyl radical is already attached to the ring, the most strongly activating group would now 386 be the hydroxyl group, which is also ortho, para directing (March, 1992). The ortho position 387 to the hydroxyl group is more sterically hindered by the adjacent HC chain and hydroxyl 388 group, compared to the para position, which is only sterically hindered by the HC chain. It is 389 therefore suggested that the nitro group is located in the para position to the hydroxyl group. 390 The suggested location of the nitro and hydroxyl group on the ring is also supported by the 391 mechanism suggested in (Bursey, 1969; Bursey and McLafferty, 1966), where the loss of 392 only NO is observed for a nitro functional group during CID when an activating group is 393 located in the para position to the nitro group.

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Compound 5, with a MW of 198 g mol<sup>-1</sup> was assigned the molecular formula  $C_{10}H_{14}O_4$  with 4 DBE. The product ions of m/z 197 [M-H]<sup>-</sup> (compound 5) are summarized in Table 4. Compound 5 was identified as 3-(3-hydroxy-4-methoxyphenyl)propane-1,2-diol from the product ions discussed here and shown in Figure 3. Both compounds 1 and 5 exhibit similar 399 HC chain fragmentation, with the loss of 18 Da (H<sub>2</sub>O) and 42 Da (C<sub>2</sub>H<sub>2</sub>O<sub>2</sub>), Figure 3A & B. 400 The product ion  $[C_7H_7O_2]$  at m/z 123 resulted from an even electron (EE) loss of  $C_3H_6O_2$ , 401 unlike the OE loss of  $[C_3H_7O_2]$  observed for compound 1, Figure 3C. Compound 5 does not 402 have a nitro group present on the ring and as a result the resonance stabilization of the 403 aromatic ring is lower than in compound 1. The decrease in resonance stabilisation results in 404 an EE cleavage and the abstraction of a hydrogen from the leaving group to the aromatic ring. 405 Hydrogen abstraction from the loss group results in an intermolecular re-arrangement and the 406 loss of C<sub>3</sub>H<sub>6</sub>O<sub>2</sub>. Therefore, the same HC chain has been suggested for both compounds 1 and 407 5. Assuming a methoxyphenyl sub-structure, the deprotonated product ion would leave 408  $[C_6H_3O]$  from product ion  $[C_7H_7O_2]$  at m/z 123, suggesting a hydroxyl group is attached to 409 the aromatic ring.

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411 **3.2. Mechanism of formation.** A proposed mechanism for the formation of compounds 1, 5, 412 8, 9 and 10 is shown in Figures 4a and b. The formation of these compounds can be 413 rationalised as the products of methyl chavicol oxidation by considering typical gas phase 414 oxidation mechanisms (Ziemann and Atkinson, 2012; Calvert et al., 2002). The reaction rate 415 constants of methyl chavicol with 'OH and  $O_3$  have previously been determined as 5.20 ±  $0.78 \times 10^{-11}$  and  $1.03 \pm 0.23 \times 10^{-17}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>, respectively (Gai et al., 2013). The 416 proportion of methyl chavicol reacting with 'OH and O<sub>3</sub> during MC<sub>low</sub> and MC<sub>high</sub> is shown in 417 418 SI, Figures 2 and 3, respectively; where throughout both experiments the reaction of methyl 419 chavicol with 'OH is observed to dominate over the reaction with O<sub>3</sub>. The initial oxidation of 420 methyl chavicol will proceed mainly through the 'OH radical addition mechanism (Atkinson, 421 1997a; Calvert et al., 2002; Ziemann and Atkinson, 2012) and can attack the ring and/or the 422 HC chain. OH radical addition can occur on either carbon of the double bond of the HC 423 chain, resulting in the formation of primary and secondary  $\beta$ -hydroxyalkyl radicals, with the

424 secondary β-hydroxyalkyl radical pathway being the most favoured (approximately 85 % 425 using (Peeters et al., 2007) 'OH addition structure activity relationship) (Atkinson, 2000, 426 1997a; Cvetanovic, 1976). The resulting  $\beta$ -hydroxyalkyl radicals react predominantly with O<sub>2</sub> 427 to form  $\beta$ -hydroxyperoxy radicals. The high concentration of NO at the beginning of MC<sub>high</sub> 428 and MC<sub>low</sub> will result in the conversion of NO to NO<sub>2</sub> (leading to O<sub>3</sub> formation) and the 429 formation of  $\beta$ -hydroxyalkoxy radicals as the major pathway.  $\beta$ -hydroxyalkoxy radicals can 430 react with O<sub>2</sub>, decompose or isomerize. Decomposition and isomerisation are expected to be 431 the dominant pathways, with the exception of the HOCH<sub>2</sub>CH<sub>2</sub>O' radical (from ethene + 'OH), 432 for which decomposition and reaction with O<sub>2</sub> can be competitive (Atkinson, 1997a, b; Fuchs 433 et al., 2011).

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435 For both types of β-hydroxyalkoxy radicals formed, decomposition followed by rapid 436 formation reaction with  $O_2$ leads to the of formaldehyde,  $HO_2$ and (4methoxyphenyl)acetaldehyde (Atkinson, 1997a; Orlando et al., 2003). Isomerisation through 437 438 a 1, 5-H atom shift from the aromatic ring to the HC chain is suggested to be of minor 439 importance due to the resonance stability of the ring. The reaction with  $O_2$  (minor pathway) 440 would result in the loss of HO<sub>2</sub> and the formation of the observed first generation compound, 441 1-hydroxy-3-(4-methoxyphenyl)propan-2-one (compound 9), Figure 4a (A). Further 442 oxidation of this compound through the addition of a hydroxyl radical to the ring results in 443 the formation of 1-hydroxy-3-(3-hydroxy-4-methoxyphenyl)propan-2-one (compound 10), Figure 4a (B). As discussed in the previous section, the initial hydroxyl addition to the ring 444 445 will occur at the ortho position to the methoxy group, the position which is most energetically 446 favoured and resonance stabilised. Compound 8, 2-hydroxy-3-(3-hydroxy-4-447 methoxyphenyl)propanal, is also suggested to be a second generation compound which has formed through the oxidation of the primary  $\beta$ -hydroxyalkoxy radicals with O<sub>2</sub> (the less 448

449 favou 450 ring, I

favoured pathway) and has been further oxidised by the addition of a hydroxyl radical to the ring, Figure 4b (A).

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452 As a relatively "low NO<sub>x</sub> state" is entered in MC<sub>high</sub> and MC<sub>low</sub> the RO<sub>2</sub> + RO<sub>2</sub> or HO<sub>2</sub> 453 reaction will begin to dominate over the competing reaction with NO (Atkinson, 1997a; 454 Stockwell et al., 1990). The cross/self reaction of  $\beta$ -hydroxyperoxy radicals will proceed 455 mainly through two pathways; the radical pathway and non-radical pathway (hydrogen 456 abstraction), with the radical pathway accounting for approximately 30 - 80 % of the RO<sub>2</sub> + RO<sub>2</sub> reaction (Atkinson, 1997a; Madronich and Calvert, 1990). The radical pathway (major 457 458 pathway) will result in the formation of  $\beta$ -hydroxyalkoxy radicals with the loss of O<sub>2</sub>. The  $\beta$ -459 hydroxyalkoxy radicals can then undergo oxidation through the same mechanisms as 460 discussed above, resulting in a secondary pathway for the formation of compounds 8, 9 and 461 10, Figure 4b (B), Figure 4a (C) and (D), respectively. A third minor pathway to the formation of compounds 8, 9 and 10 can also occur through the  $RO_2 + RO_2$  non radical 462 463 pathway; where one peroxy radical abstracts a hydrogen atom from another peroxy radical, resulting in the formation of an alcohol and carbonyl, respectively, with the loss of O2 464 465 (Madronich and Calvert, 1990; Howard and Ingold, 1968). The hydrogen atom is abstracted 466 from the carbon bonded to the peroxy radical, thus the abstraction of a hydrogen atom from a 467 secondary β-hydroxyperoxy radical will result in the formation of 1-hydroxy-3-(4-468 methoxyphenyl)propan-2-one (compound 9), Figure 4a (E). The further oxidation of this 469 compound though the reaction with 'OH will result in the formation of 1-hydroxy-3-(3-470 hydroxy-4-methoxyphenyl)propan-2-one (compound 10), Figure 4a (F). Moreover, the abstraction of a hydrogen from a primary  $\beta$ -hydroxyperoxy radical followed by the further 471 472 oxidation of an 'OH radical to the ring, will result in the formation of 2-hydroxy-3-(3-473 hydroxy-4-methoxyphenyl)propanal (compound 8), Figure 4b (C).

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The formation of the diol on the HC chain of compound 1 [3-(5-hydroxy-4-methoxy-2-475 476 nitrophenyl)propane-1,2-diol], and compound 5 [3-(3-hydroxy-4-methoxyphenyl)propane-477 1,2-diol], could have occurred through two mechanisms; unimolecular isomerisation of the  $\beta$ hydroxyalkoxy radical through a 1,5 H-atom shift, or the self/cross RO<sub>2</sub> reactions of the β-478 479 hydroxyperoxy radicals through the non-radical pathway. The isomerisation pathway would 480 seem unlikely due to the formation of a alkyl radical on the carbon where the H-atom was 481 abstracted, which could decompose, isomerise, or react with O<sub>2</sub> with the latter resulting in the 482 formation of a more oxidised product than observed. Decomposition would result in the 483 formation of a compound with fewer carbon atoms than required, and isomerisation would 484 still result in an alkyl radical. The self/cross reactions of  $\beta$ -hydroxyperoxy radicals would 485 appear to be the more likely pathway, particularly under low NO<sub>x</sub> conditions. After the 486 formation of a diol on the HC chain, the further oxidation via hydroxyl radical addition to the 487 ring would result in the formation of the third generation SOA compound, 3-(3-hydroxy-4-488 methoxyphenyl)propane-1,2-diol (compound 5), Figures 4a (G) and Figure 4b (D). Further 489 addition of NO<sub>2</sub> to the ring of compound 5 through the hydrogen atom abstraction pathway 490 leads to the formation of a fourth generation SOA compound 3-(5-hydroxy-4-methoxy-2-491 nitrophenyl)propane-1,2-diol (Compound 1), Figures 4a (H) and Figure 4b (E). A hydroxyl 492 radical can abstract a hydrogen atom from the oxygen-hydrogen bond of the hydroxyl group 493 on the substituted phenol, resulting in the formation of a phenoxy radical and the loss of H<sub>2</sub>O 494 (Forstner et al., 1997; Atkinson, 2000; Atkinson, 1994). The phenoxy radical can then react 495 with NO<sub>2</sub> to form a substituted nitrophenol (Atkinson, 2000; Atkinson, 1994; Forstner et al., 496 1997).

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498 Compounds 2, 3, 4, 6 and 7, contain acid functional groups and less carbon atoms than the 499 original VOC precursor, methyl chavicol. These compounds appear to be later generation 500 SOA species and could have formed through a number of potential mechanisms, such as 501 reactions with ozone (O'Neal and Blumstein, 1973; Orzechowska and Paulson, 2005; Neeb et 502 al., 1996; Calvert et al., 2000), and/or with hydroxyl radicals (Forstner et al., 1997; Gai et al., 503 2013), although the detailed reaction mechanisms for the formation of organic acids from 504 hydroxyl radicals has not been properly established (Carlton et al., 2009). Compounds 4 and 505 7 appear to be the further oxidation products of compounds 2 and 6, through the addition of a 506 hydroxyl radical to ring. In addition, compound 3 appears to be the further oxidation product 507 of compound 7, through a second addition of a hydroxyl radical to the ring. Here we suggest 508 the second addition of the hydroxyl radical to the ring of compound 7 is in the para position 509 to the hydroxyl group, the most resonance stabilised and least sterically hindered position.

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511 **3.3 Atmospheric relevance.** The SOA yield obtained in this study for the photo-oxidation of 512 methyl chavicol, in  $MC_{low}$  (18 %) and  $MC_{high}$  (29 %) are comparatively lower than the 40 % 513 SOA yield reported previously (Lee et al., 2006b), although there are a number of key 514 difference between the two studies. Lee et al. (2006b) used ammonium sulfate seed 515 (compared to the nucleation only experiments presented here), which has been shown to 516 increase the SOA yields of aromatic precursors (Kroll et al., 2007; Huang et al., 2013; Lu et 517 al., 2009). In addition, the percentage relative humidity (% RH) was approximately 5 times 518 greater in the study performed by Lee et al. (2006b). Recent publications have shown that the 519 SOA mass formed from a substituted aromatic compound (p-xylene), increases with 520 increasing % RH, approximately by a factor of 2 over a % RH range of 5 to 75 % (Zhou et 521 al., 2011; Healy et al., 2009). Nevertheless, it is clear the photo-oxidation of methyl chavicol 522 results in significant SOA formation. Recent literature has shown oxygenated biogenic VOCs

523 containing 10 carbon atoms (including eucalyptol, verbenone, linalool) resulted in an SOA 524 vield between 16 to 20 %, with the use of neutral (Iinuma et al., 2008; Varutbangkul et al., 2006; Lee et al., 2006b) or acidic (Iinuma et al., 2008) seed. It is difficult to directly compare 525 SOA yields from the oxidation of a similar VOC precursors in the literature due to the 526 527 limitations of using chamber derived data (Camredon et al., 2007). However, reported SOA yields of methyl chavicol were the highest of all oxygenated VOCs investigated (SOA yield 528 529 26 – 40 %) (Lee et al., 2006b; Varutbangkul et al., 2006). Although these experiments are at 530 concentrations higher than the real atmosphere, they suggest that methyl chavicol can act as 531 an important SOA precursor in regions where methyl chavicol emissions are significant, such 532 as downwind from pine forests and oil palm plantations.

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534 Aerosol loadings where methyl chavicol emissions are significant, such as northern Borneo, have been found to range from 5  $\mu$ g m<sup>-3</sup> (800 meters above the oil palm plantation) to 100  $\mu$ g 535 m<sup>-3</sup> (in a chimney plume of an near-source oil palm processing plant) (MacKenzie et al., 536 2011). The aerosol loadings formed in these experiments are considerably higher (MC<sub>low</sub> 126 537 and MC<sub>high</sub> 430 µg m<sup>-3</sup>) than the aerosol loadings observed in ambient conditions. It is 538 539 therefore likely that more compounds are observed in the aerosol phase in this study than 540 would be at atmospherically relevant conditions; due to more higher volatility species 541 partitioning into the aerosol phase at higher mass loadings (Pankow, 1994a, b; Odum et al., 542 1996). However, the structurally identified compounds were characterized as semi- to low-543 volatility oxygenated organic aerosol; where at atmospherically relevant conditions a sizable 544 fraction of these compounds would be expected to exist in the aerosol phase (cf. (Donahue et 545 al., 2012)).

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547 The SOA compounds identified in this study will be representative of methyl chavicol 548 oxidation products formed in polluted environments (high  $NO_x$ ) and downwind of pollution 549 sources (low  $NO_x$ , high  $O_3$ ). At the start of the chamber experiments, the high NO 550 concentrations will be representative of methyl chavicol emissions directly next to a high NO 551 source, such as a processing plant (MacKenzie et al., 2011). Here, the peroxy radicals (ROO') 552 will preferentially react with NO forming alkoxy radicals (RO<sup>•</sup>) and NO<sub>2</sub> (leading to O<sub>3</sub> 553 formation). As a relatively low NO environment in the chamber is entered (i.e. the majority 554 of NO has been converted to NO<sub>2</sub>), the RO<sub>2</sub> radicals will increasingly react with RO<sub>2</sub> or 555 (primarily) HO<sub>2</sub>; instead of NO. The oxidation products formed whilst the NO concentration 556 is relatively low but the NO<sub>2</sub> concentration is near maximum, will be representative of the 557 methyl chavicol oxidation products formed in polluted environments, i.e. agro-industrialized 558 oil palm plantation site (MacKenzie et al., 2011). As the chamber experiment progresses, the 559  $NO_2$  concentration decreases, approaching zero (as the  $NO_x$  is not replenished in the 560 chamber) and the O<sub>3</sub> concentration increases from the photolysis of NO<sub>2</sub>. This chamber 561 scenario then corresponds to an environment representative of the products formed downwind of an agro-industrialized oil palm plantation; where there is less pollution (low 562 563  $NO_x$ ), but  $O_3$  is present.

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Four compounds with a MW of 122, 136, 150 and 166 g mol<sup>-1</sup> were observed in the gas phase using PTR-MS and may be attributed to 4-methoxytoluene, 4-methoxybenzaldhyde, 4methoxybenzene acetaldehyde and (4-methoxyphenyl)acetic acid, respectively, in agreement with Lee et al. (2006b), Spada et al. (2008) and Gai et al. (2013). These compounds are formed as a result of decomposition leading to higher volatility species and are therefore not included in Figures 4a and b. The gas phase oxidation mechanisms of these compounds can be observed in Gai et al. (2013). In contrast to Cahill et al. (2006) and Bouvier-Brown et al. 572 (2009) 4-methoxybenzaldhyde (MW 136) and 4-methoxybenzene acetaldehyde (MW 150) 573 were not identified in the aerosol phase in this study. Compound vapour pressures were 574 calculated using the UManSysProp website (http://ratty.cas.manchester.ac.uk/informatics/) at 575 298.15 K, using the Nannoolal vapour pressure and boiling point extrapolation method (Nannoolal et al., 2008; Nannoolal et al., 2004) and the saturation concentration ( $C^*$ ,  $\mu g m^{-3}$ ) 576 577 determined (Donahue et al., 2006a). The calculated volatility of these compounds suggests they are intermediate VOCs (4-methoxybenzaldehyde,  $C^* = 4.96 \times 10^5 \ \mu g \ m^{-3}$  and 4-578 methoxybenzene acetaldehyde,  $C^* = 3.02 \times 10^5 \ \mu g \ m^{-3}$ ). The use of gas phase scrubbers for 579 580 organics in the PILS sampler used in this study may indicate that previous ambient 581 observations are due to positive artifacts from gas phase absorption to filters.

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The saturation concentration (C\*,  $\mu g m^{-3}$ ) (Donahue et al., 2006a) and O:C ratio were 583 determined for all the identified compounds and plotted in a O:C /log C\*,  $\mu$ g m<sup>-3</sup> volatility 584 585 basis set space (Donahue et al., 2013; Jimenez et al., 2009), as shown in Figure 5. All of the 586 identified SOA compounds retained the aromatic ring, with O:C ratios between 0.30 to 0.63 587 and H:C ratios between 1.00 and 1.40. The oxidation of methyl chavicol and its early 588 generation products resulted in the formation of low vapour pressure and a high O:C ratio 589 species, due to the lack of ring fragmentation. This resulted in the movement of the SOA 590 compounds to lower volatilities and higher O:C ratios, thus functionalisation rather than 591 fragmentation was mainly observed. The majority of the structurally identified SOA species 592 underwent oxidation on the aromatic ring, through the addition of 'OH and/or NO<sub>2</sub>. The 593 formation of compound 1 (3-(5-hydroxy-4-methoxy-2-nitrophenyl)propane-1,2-diol) through 594 the addition of a NO<sub>2</sub> group on the aromatic ring resulted in the movement of this species to 595 the low volatility oxygenated organic aerosol region (LVOOA), and just outside the extremely low volatility oxygenated organic aerosol (ELVOOA) nucleator region proposed 596

by Donahue et al. (2013). Ring addition appears to be an important pathway, resulting in the formation of low volatility species with high O:C ratios, which may also be important for other aromatic compounds. Only structures for 8 of the 59 compounds detected could be tentatively identified; however, 2 structures were confirmed with authentic standards. Further work is required to characterize the SOA formed from methyl chavicol oxidation at different mixing ratios and with different oxidants (O<sub>3</sub>, NO<sub>3</sub>), NO<sub>x</sub> levels, RHs and seed aerosol compositions.

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Acknowledgements. The assistance of scientists at EUPHORE and the York Centre of Excellence in Mass Spectrometry is gratefully acknowledged. This work was supported by EUROCHAMP-2 (TA Project E2-2011-04-19-0059). ARR acknowledgements the support of the National Centre for Atmospheric Science. The York Centre of Excellence in Mass Spectrometry was created thanks to a major capital investment through Science City York, supported by Yorkshire Forward with funds from the Northern Way Initiative. KEP acknowledges support of a NERC PhD studentship (NE106026057).

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Exp.	Date	Exp. description		Initial	mixing ratio	Experimental range <sup>b</sup>		
			MC <sup>c</sup> NO NO <sub>2</sub> O <sub>3</sub>		Temp	RH		
			[ppbv]	[ppbv]	[ppbv]	[ppbv]	[K]	[%]
MC <sub>(0)</sub>	08.05.2012	Chamber background	0	0.3	0.7	0	294 - 312	0.7 - 4.7
MC <sub>low</sub>	11.05.2012	Photosmog low concentration	212	38	8	2	298 - 308	0.9 – 14.7
$MC_{high}$	15.05.2012	Photosmog high concentration	460	92	3	5	297 - 306	2.1 -10.7

Table 1 – The initial experimental mixing ratios, temperature and relative humidity range for the experiments discussed.

<sup>a</sup> On the opening of the chamber covers. <sup>b</sup> From the opening to the closing of the chamber covers. <sup>c</sup> FTIR measurement.

Table 2 – The 10 structurally identified SOA compounds including; retention time ( $t_R$ ), molecular formula (MF) identification and associated errors using HPLC-ITMS, FTICR-MS and HPLC-QTOFMS

			MC <sub>high</sub>								
Compound	IUPAC name	Compound	MW	t <sub>R</sub>	FTICR-MS	FTICR-MS	HPLC-	HPLC-			
Compound	IOF AC lialle	structure	$[g mol^{-1}]$		MF	MF error	QTOFMS	QTOFMS MF			
						[ppm]	MF	error [ppm]			
1		OH	243	22.2	C <sub>10</sub> H <sub>13</sub> NO <sub>6</sub>	0.6	$C_{10}H_{13}NO_6$	-0.7			
	3-(5-hydroxy-4-methoxy-2- nitrophenyl)propane-1,2-diol	O OH O N OH H <sub>3</sub> C O									
2	(4-methoxyphenyl)acetic acid**	°H O P P O	166	28.1	C <sub>9</sub> H <sub>10</sub> O <sub>3</sub>	0.8	C <sub>9</sub> H <sub>10</sub> O <sub>3</sub>	1.2			
3	2,5-dihydroxy-4- methoxybenzoic acid	HO HO HO HO OH	184	10.2	a		C <sub>8</sub> H <sub>8</sub> O <sub>5</sub>	48			

4		0 	182	16.1	$C_9H_{10}O_4$	0.8	C <sub>9</sub> H <sub>10</sub> O <sub>4</sub>	-0.3
	(3-hydroxy-4- methoxyphenyl)acetic acid	ОН Н3С						
5	3-(3-hydroxy-4- methoxyphenyl)propane-1,2- diol	ОН ОН Н <sub>3</sub> С	198	20.2	C <sub>10</sub> H <sub>14</sub> O <sub>4</sub>	0.9	C <sub>10</sub> H <sub>14</sub> O <sub>4</sub>	2.4
6	4-methoxybenzoic acid**	HO O H <sub>3</sub> C O HO O	152	30.1	C <sub>8</sub> H <sub>8</sub> O <sub>3</sub>	0.3	C <sub>8</sub> H <sub>8</sub> O <sub>3</sub>	-1.4
7	3-hydroxy-4-methoxybenzoic acid	HO O HO OH H <sub>3</sub> C	168	33.0	C <sub>8</sub> H <sub>8</sub> O <sub>4</sub>	0.7	C <sub>8</sub> H <sub>8</sub> O <sub>4</sub>	0.2

8		0	196	25.2	$C_{10}H_{12}O_4$	1	$C_{10}H_{12}O_4$	2.5
	2-hydroxy-3-(3-hydroxy-4- methoxyphenyl)propanal	ОН						
9	1-hydroxy-3-(4- methoxyphenyl)propan-2-one	OH H <sub>3</sub> C	180	27.6	a		C <sub>10</sub> H <sub>12</sub> O <sub>3</sub>	-7.8
10	1-hydroxy-3-(3-hydroxy-4- methoxyphenyl)propan-2-one	HO HO H <sub>3</sub> C OH	196	19.8	C <sub>10</sub> H <sub>12</sub> O <sub>4</sub> *	5	C <sub>10</sub> H <sub>12</sub> O <sub>4</sub> *	9.4

 $a^{a}$  = Deprotonated or protonated molecular species not observed in FTICR-MS spectra due to low concentration. \* Identified as [M+Na]<sup>+</sup>, the Na adduct has been removed from molecular formula and molecular weight corrected. \*\* Compound structures confirmed using the commercially available standards.

Table 3 – Deprotonated molecular species fragmentation for compound 1, obtained from the use of the HPLC-ITMS<sup>2</sup> and the HPLC-QTOFMS<sup>2</sup>

MF	[M-H] <sup>-</sup>	DBE	Fragment	Fragment ion	DBE	Loss [Da]	Electron	Fragment	MF	Fragmentation
			ion [ <i>m/z</i> ]	MF			fragmentation	ion MF	Score	shown
								error	[%]	
								[ppm]		
C <sub>10</sub> H <sub>13</sub> NO <sub>6</sub>	242	5	224	C <sub>10</sub> H <sub>10</sub> NO <sub>5</sub>	6	18	EE	-2.1	100	Figure 2A
			182	C <sub>8</sub> H <sub>8</sub> NO <sub>4</sub>	5	(18+42) = 60	EE	-1	100	Figure 2B
			167	C <sub>7</sub> H <sub>5</sub> NO <sub>4</sub>	5*	(18+57) = 75	OE	8.8	100	Figure 2C
			137	C <sub>7</sub> H <sub>5</sub> O <sub>3</sub>	4**	105	OE	-19.2	100	Figure 2C

The highest intensity fragment ion is shown in bold. DBE = double bond equivalent. Electron fragmentation, EE = even electron, OE = odd electron. MF = molecular formula. \*DBE was manually calculated, as automated DBE calculation is incorrect for radical fragment ions (DBE = 5.5 - 0.5 (for one 'hydrogen atom deficiency') = 5, see Pellegrin. (1983) for the calculation of DBE and DBE correction for radical ions). \*\*DBE manually calculated, (DBE = 5 - 1 (for two 'hydrogen atom deficiencies') = 4).

MF	[M-H] <sup>-</sup>	DBE	Fragment	Fragment	DBE	Loss [Da]	Electron	Fragment	MF	Fragmentation
			ion [ <i>m/z</i> ]	ion MF			fragmentation	ion MF	Score	shown
								error	[%]	
								[ppm]		
C <sub>10</sub> H <sub>14</sub> O <sub>4</sub>	197	4	179	C <sub>10</sub> H <sub>11</sub> O <sub>3</sub>	5	18	EE	-19.3	100	Figure 3A
			137	C <sub>8</sub> H <sub>9</sub> O <sub>2</sub>	4	(18+42) = 60	EE	-0.5	100	Figure 3B
			123	C <sub>7</sub> H <sub>7</sub> O <sub>2</sub>	4	74	EE	-3.4	100	Figure 3C

Table 4 – Deprotonated molecular species fragmentation for compound 5, obtained from the use of the HPLC-ITMS<sup>2</sup> and the HPLC-QTOFMS<sup>2</sup>

The highest intensity fragment ion is shown in bold. DBE = double bond equivalent. Electron fragmentation, EE = even electron. MF = molecular formula.

Figure 1 – Temporal profiles of methyl chavicol,  $O_3$ , NO, NO<sub>2</sub> and SOA mass for MC<sub>low</sub> and MC<sub>high</sub> from the opening to the closing of the chamber housing. A = MC<sub>high</sub>, (opening chamber housing = 8:42 am, closing of chamber housing 12:45 pm) B = MC<sub>low</sub>, (opening chamber housing = 8:52 am, closing of chamber housing 12:42 pm). SOA mass is displayed on the secondary y-axis and corrected for wall loss and chamber dilution. Dashed lines display the PILS sample start time. PILS sample start time 10.43am in MC<sub>low</sub> broken during transport.

Figure 2 – Proposed deprotonated molecular species fragmentation for compound 1 in negative ionisation mode. Dashed lines indicate the location of fragmentation.

Figure 3 – Proposed deprotonated molecular species fragmentation for compound 5 in negative ionisation mode. Dashed lines indicate the location of fragmentation.

Figure 4a – Mechanism of formation for the identified SOA compounds through the secondary  $\beta$ - hydroxyalkyl radical pathway, compounds 1, 5, 9 and 10, shown in brackets, refer to Table 2 for compound identification. See text for the explanation of the mechanism, letters refer to the text explanation. Boxes highlight identified SOA compounds.

Figure 4b – Mechanism of formation for the identified SOA compounds through the primary  $\beta$ -hydroxyalkyl radical pathway, compounds 1, 5 and 8, shown in brackets, refer to Table 2 for compound identification. See text for the explanation of the mechanism, letters refer to the text explanation. Boxes highlight identified SOA compounds.

Figure 5 – Oxygen to carbon ratio (O:C) and saturation concentration log C\* (Donahue et al., 2006b) space to show the movement of the identified SOA compounds to lower volatilities upon oxidation in  $MC_{high}$ . Related generations of compounds are shown in the same colour. The change of shape but use of the same colour indicates a change in the SOA compound

structure through the reaction with 'OH radicals or  $NO_2$ . See legend for SOA compound identification and refer to Table 2. O:C/log C\* space with associated volatilities have been redrawn from Donahue et al. (2013) and Jimenez et al. (2009).



Figure 1





m/z 197



50



Figure 4a



Figure 4b

