

1 Atmospheric tar balls: aged primary droplets from biomass 2 burning?

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9

10 **Abstract**

11 Atmospheric tar balls are particles of special morphology and composition that are fairly abundant
12 in the plumes of biomass smoke. These particles form a specific subset of brown carbon (BrC)
13 which has been shown to play a significant role in atmospheric shortwave absorption and thus
14 climate forcing. Here we suggest that tar balls are produced by the direct emission of liquid tar
15 droplets followed by heat transformation upon biomass burning. For the first time in atmospheric
16 chemistry we generated tar ball particles from liquid tar obtained previously by dry distillation of
17 wood in an all-glass apparatus in the laboratory with the total exclusion of flame processes. The
18 particles were perfectly spherical with a mean optical diameter of 300 nm, refractory, externally
19 mixed, and homogeneous in the contrast of the TEM images. They lacked any graphene-like
20 microstructure and exhibited a mean carbon-to-oxygen ratio of 10. All of the observed
21 characteristics of laboratory-generated particles were very similar to those reported for atmospheric
22 tar ball particles in the literature, strongly supporting our hypothesis regarding the formation
23 mechanism of atmospheric tar ball particles.

24 **1 Introduction**

25 Light absorption by anthropogenic aerosol is getting increasingly important as carbonaceous
26 particulates including black carbon (BC) become more predominant in the chemical composition of
27 tropospheric aerosol. Unlike atmospheric gases BC is not a single chemical species but a distinct
28 *type* of carbonaceous material having very strong specific light absorption over the entire solar
29 spectrum (Petzold et al., 2013). Further common characteristics are its fractal-like chain aggregate
30 structure, high thermal stability, insolubility in any solvents and specific microstructure (ibid.).
31 Some recent studies indicate that BC has become the second most potent climate forcing agent,

1 accounting for as much as 60% of the greenhouse absorption of excess carbon dioxide (Ramanathan
2 and Carmichael, 2008). It should be noted, however, that unlike CO₂ that absorbs only in the
3 infrared spectral range, atmospheric BC directly absorbs sunlight (both incoming and reflected)
4 over the entire solar spectrum with an exceptionally high specific efficiency (mass absorption
5 coefficient $> 5 \text{ m}^2 \text{ g}^{-1}$ at 550 nm). On a per mass basis BC is 360,000–840,000 times more efficient
6 in terms of instantaneous energy absorption than carbon dioxide (Jacobson, 2002), and its 100-year
7 global warming potential (GWP) is 910 (uncertainties $-90\% +100\%$) (Bond et al., 2013). Contrary
8 to the greenhouse gases, the mass concentrations of BC exhibit a very inhomogeneous spatio-
9 temporal distribution in the troposphere due to BC's short atmospheric residence time as well as the
10 distribution and variability of its emission sources. Unfortunately, there is no standard method for
11 the atmospheric measurement of BC, optical and thermo-optical methods are those that are most
12 frequently used (Andreae and Gelencsér, 2006; Petzold et al., 2013). Due to the combination of
13 different factors the assessment of the global climate forcing of BC is loaded with very high
14 uncertainty (best estimate $+1.1 \text{ W m}^{-2}$; 90% uncertainty bounds of $+0.27 \text{ W m}^{-2}$ to $+2.1 \text{ W m}^{-2}$
15 (Bond et al., 2013).

16 Quite recently it has been established that a substantial part of the carbonaceous continuum (brown
17 carbon, BrC) between pure graphite and non-absorbing organic aerosol contribute significantly to
18 atmospheric light absorption globally (Chung et al., 2012). In spite of the fact that most climate
19 models have so far ignored the absorption of BrC it may contribute by as much as 20% to the total
20 absorption at 530 nm (Chung et al., 2012; Liu et al., 2014). This high contribution of BrC
21 absorption may result in a change of sign in the net TOA forcing of aerosols (from being reflective
22 to slightly absorbing) over vast regions impacted by biomass smoke (idem). A specific group of
23 atmospheric particles that likely belongs to BrC regarding its optical properties are tar balls
24 (Alexander et al., 2008) which were first described in biomass smoke plumes (Pósfai et al., 2003,
25 Pósfai et al., 2004) with a contribution to particle number as high as 90%, e.g., in aged smoke from
26 smouldering fire or during an active fire season in the western United States (Hand et al., 2005).

27 Tar balls can be easily recognized using transmission electron microscopy (TEM) by their relatively
28 narrow size range, almost perfectly spherical shape and their chemical composition. In contrast to
29 other spherical aerosol types such as sulphates, the amorphous tar ball spheres are refractory and do
30 not volatilize under the electron beam. These particles distinctly differ from BC particles as they
31 have much larger geometric sizes than the nanospheres of BC, they occur externally mixed (i.e.
32 without being coagulated with one another or other particles), and they do not have the internal
33 microstructure of concentrically wrapped, curved graphene-like layers that are typical for BC.

1 According to electron energy-loss elemental mapping of individual particles, tar balls consist
2 mostly of carbon and oxygen and only traces of sulphur, potassium, chlorine and silicon. These
3 elements are homogeneously distributed over the entire volume of a relatively fresh tar ball particle.
4 In the literature the C/O atomic ratio in atmospheric tar balls varies widely. Several studies
5 established high C/O ratios (7–10) (Pósfai et al., 2003; Pósfai et al., 2004; Hand et al., 2005; Niemi
6 et al., 2006; Adachi and Buseck, 2011), whereas others found some tar balls with significantly
7 lower C/O ratios in the range of 1–2 (Tivanski et al., 2007; China et al., 2013).

8 It should be stressed that tar ball particles might undergo ageing processes in the atmosphere
9 resulting in a significantly enhanced oxygen ratio in the outermost layer of the particles at a
10 thickness of 30–40 nm (Hand et al., 2005; Tivanski et al., 2007; China et al. 2013). During their
11 long range atmospheric transport tar ball particles occasionally form aggregates with up to 10
12 particles, including coagulation with dust particles (Hand et al., 2005; Deboudt et al., 2010).

13 It was hypothesized that tar ball particles form in secondary processes from pyrolysis products in
14 the atmosphere (Pósfai et al., 2004). The authors assumed that the low volatility products of
15 biomass pyrolysis undergo polymerisation in multiphase reactions resulting in highly refractory
16 carbonaceous particles. Based on the most characteristic features of the relatively fresh tar ball
17 particles (spherical shape, large size, lack of coagulation, homogeneous composition, lack of
18 internal core and graphene structures, high C/O atomic ratio) we now suggest a direct emission
19 mechanism for the droplets which may then undergo transformation processes. Our hypothesis is
20 that tar balls are ejected upon burning from the pores of plants as liquid tar droplets then undergo
21 chemical transformations that increase their viscosity and solidify them into highly refractory
22 particles, as observed in the atmosphere. Following this hypothesis the objective of our work was to
23 generate pure tar ball particles from liquid tar-water emulsions in the laboratory, mimicking
24 processes and conditions that may occur during biomass burning with one notable exception: with
25 the total exclusion of contact with open flame. A secondary goal was to generate pure tar ball
26 particles for the experimental determination of their optical properties that are relevant in radiative
27 transfer calculations.

28

29 **2 EXPERIMENTAL**

30 The experimental setup, including the dry distillation of wood was intended to simulate the series of
31 processes that may take place during the burning of wood. Liquid tar and water emulsion may form
32 inside the pores of wood by pyrolysis upon burning (simulated by dry distillation), then ejected as
33 small droplets (simulated by bubble bursting) by forces exerted by the evolving gaseous pyrolysis
34 products and partial vaporisation of water from the pores. It was observed that free water can

1 explosively vaporise upon fast pyrolysis (Mohan et al., 2006). It is also notable that the sizes of
2 atmospheric tar ball particles are comparable with those of the internal pores of various woody
3 species (Plötze and Niemz, 2011). The ejected droplets then pass through the glowing (or flaming)
4 zone where they possibly suffer a heat shock (simulated by passing through the heated zone) before
5 they are released into the atmosphere (simulated by the residence in the buffer flask). It should be
6 noted that the produced liquid tar may also suffer an initial heat shock under the conditions of dry
7 distillation. Heat shock may substantially accelerate chemical transformations of the tar and the
8 release of volatile compounds. It should be emphasized that – unlike typical conditions in biomass
9 fires – flame chemistry is totally excluded in the setup as direct contact with flames is completely
10 avoided.

11 **2.1 Pyrolysis**

12 Upon biomass burning pyrolysis occurs in the deeper zones of the pores of the plants in which the
13 oxygen supply becomes limited and the temperature is between ~200 and 500 °C (Ohlemiller, 1985;
14 Mohan et al., 2006). We simulated this process by the dry distillation of wood. In our experiments
15 we produced liquid tar by the dry distillation of dry chops of European Turkey oak (*Quercus cerris*)
16 which is one of the most commonly used firewood in Hungary (Hungarian National Food Chain
17 Safety Office, Forestry Directorate, 2013). The water content of the firewood was 7.1 wt%, the size
18 of the wood chops was about 25×10×10 mm. About 170 g of dry chops was subjected to the dry
19 distillation process yielding a liquid tarry condensate of about 40 ml. Dry distillation was performed
20 in a long-neck 100 ml Kjeldahl flask fixed in a slightly tilted position in order to collect the
21 condensed products at the mouth of the flask. Although the flask was open during the distillation
22 process, there was no contact with the gas flame since the experiment was conducted under a closed
23 hood. The temperature was raised at rate of about 25 °C min⁻¹ up to 530 °C as measured with a
24 thermometer (Testo 925 K-type thermocouple thermometer). Distillation lasted about 20 minutes.
25 The products of dry distillation were collected in 40 ml vials, separated into tar and water phases at
26 and an approximate volume ratio of 1:3. It is well established that a high water yield might cause
27 phase separation (Oasmaa et al., 2010). Precipitation of solids was not observed. Due to their high
28 reactivity and instability the distillation products were used for particle generation within few days
29 after their production.

30 **2.2 Particle generation and analysis**

31 A closed all-glass apparatus (Figure 1) was designed and constructed in which droplets were
32 generated by bubbling purified nitrogen (Messer, purity 99.5%) through tar-water emulsion (~1:1
33 volume ratio). The bubbler was a 60 ml peptide synthesis fritted funnel of 10–20 µm porosity

1 (Sigma-Aldrich Co.) which was held in a water bath at 99 °C. The generated droplets were passed
2 through a glass tube of 200 mm length (12 mm internal diameter) heated directly with a Bunsen
3 burner from outside. The temperature of the heated zone (30 mm long) varied between 560 and 630
4 °C, as measured with a thermometer (Testo 925 K-type thermocouple thermometer). Since the gas
5 flow rate in the tube was $\sim 0.6 \text{ l min}^{-1}$, the residence time in the heated zone was about 0.3 s. After
6 the heated zone the nitrogen flow was mixed with dry air at a flow rate of 7.4 l min^{-1} , then passed
7 through a buffer volume of 10.75 l (residence time 1.2 min). At the outlet the particles were
8 collected on TEM grids (lacey Formvar/carbon TEM copper grid of 200 mesh, Ted Pella Inc., USA)
9 fixed on 13.1 mm disks of quartz filters (Whatman QMA) placed into a filter holder (syringe-filter
10 holder). The sampling time was 5 minutes in all cases.

11 Particles were also collected directly at the outlet of the bubbler (without heating) on TEM grids for
12 analysis.

13 The morphology and elemental composition of the particles were studied in bright-field TEM
14 images obtained using a Philips CM20 TEM operated at 200 kV accelerating voltage. The possible
15 presence of an internal structure was checked in high-resolution electron micrographs. The electron
16 microscope was equipped with an ultra-thin-window Noran Voyager detector that allowed the
17 energy-dispersive X-ray analysis (EDS) of the elemental compositions of individual particles. A
18 dolomite ($\text{CaMg}(\text{CO}_3)_2$) standard was analysed to obtain sensitivity factors ("k-factors") for the
19 quantitative thin-film analyses of C and O. Spectra were acquired for 60 s, with the diameter of
20 electron beam adjusted to include the individual tar ball particles.

21

22 **3 RESULTS**

23 **3.1 Morphology, size and structure of the laboratory-generated particles**

24 The morphology of the laboratory-generated particles is similar to atmospheric tar ball particles
25 reported in biomass smoke. In the samples collected directly at the outlet of the bubbler (without
26 applying heat shock) deformed, egg-shaped particles were observed besides a few spherical
27 particles (Figure 2/a). These particles were present as both aggregates and individual particles. In
28 some aggregates the interstitial void between the particles was filled with an apparently liquid phase
29 which is presumably the aqueous phase of the emulsion (Figure 2/a). The deformed shape of the
30 particles and the presence of a liquid phase indicated that the majority of these particles were liquid
31 tar droplets of varying degree of viscosity. This is not surprising since these droplets were emitted
32 by bubble bursting (direct emission, in analogy with the ejection of droplets from pores).

1 In contrast to the aerosol samples collected directly after the bubbler, almost only perfectly
2 spherical particles were observed in the samples collected at the outlet of the apparatus. In other
3 terms, particles undergoing a heat shock at ~600 °C solidified to the extent that they did not spread
4 on sample grid. The observed size distribution of the in-vacuo optical diameters of the laboratory-
5 generated particles collected on grids is shown in Figure 3, together with those of ambient tar ball
6 particles determined using the very same method (Pósfai et al., 2004). There is fairly good
7 agreement between the two size distributions, and the mean size (300 nm) is well within the range
8 reported for atmospheric tar balls (30–500 nm) by others (Pósfai et al., 2003; Fu et al., 2012;
9 Adachi and Buseck, 2011). Similarly to the atmospheric tar ball particles the laboratory-generated
10 particles did not contain any internal core or a layered microstructure, based on their homogeneous
11 contrast in the bright field TEM image (Figure 2/d).

12 The ‘raw’ particles collected without a heat shock were mostly liquid droplets whereas those which
13 underwent ageing (heat shock at 600 °C) were clearly solidified. Tar ball particles observed in the
14 atmosphere were all solids as seen in their compact spherical morphology that is preserved upon
15 impact on sampling grids. In the burning of biomass ejected tar droplets cannot escape into the
16 atmosphere without passing through a high temperature zone of variable height and temperature.
17 This fact lends strong support to our experimental approach that uses heat shock to simulate this
18 basic process occurring during biomass burning. Indeed, this heat shock was clearly needed to
19 produce rigid spherical particles very similar to atmospheric tar balls. The observed phenomenon,
20 the flash solidification of tar droplets may follow from the ultra-high reactivity of tar constituents
21 which is well-known in tar (or bio-oil) chemistry. The tar (or bio-oil) is an unstable mixture of a
22 plethora of different chemical compounds. In the overview by Lu et al. (2009) four stages of the
23 ageing of bio-oil were distinguished, associated with fast increase in the viscosity: “thickening,
24 phase separation, gummy formation from the pyrolytic lignins (around 140 °C), and char/coke
25 formation from the gummy phase at higher temperatures”. It is possibly the last stage of ageing that
26 is relevant in both our experiments and atmospheric tar ball formation. Unfortunately, no direct
27 justification of this assumption is possible since atmospheric observations of tar balls are concerned
28 only with the “end-products” of formation, whereas experimental observations of tar ageing are
29 always performed in bulk. However, there is one indirect implication from a recent study
30 demonstrating that tar can polymerise and form char already inside the pores of the burning biomass
31 at higher temperatures (Pattanotai et al., 2013).

32 **3.2 Chemical composition of laboratory-generated tar ball particles**

33 Figure 4 shows a typical EDS spectrum of a tar ball particle produced from thermally aged tar
34 droplets. Some of these particles contained other elements (K, S, Si) at most in trace amounts if at

1 all, which is consistent with previous observations of atmospheric tar ball particles (Pósfai et al.,
2 2003; Pósfai et al., 2004; Adachi and Buseck, 2011; Niemi et al., 2006). The carbon-to-oxygen
3 ratios of the tar ball particles after thermal shocking are listed in Table 1. The C/O ratios varied
4 between 6 and 18, the average was about 10. The carbon-to-oxygen ratios of liquid tar droplets and
5 aggregates were found to be much more variable and spatially inhomogeneous ranging from close
6 to one (hydrocarbon-like species) up to the high bound value of solid tar ball particles. This is
7 possibly due to phase separation processes such as volatilization and condensation as well as the
8 variable water content of the droplets formed from aqueous emulsion.

9 It should be noted that the elemental composition of tar ball particles might depend on many
10 factors, including the type of the burned biomass and the conditions of burning. Furthermore, most
11 methods used for the determination of elemental abundances in individual particles are semi-
12 quantitative only, thus they involve significant uncertainties. Nevertheless, the EDS spectra of the
13 tar balls generated in our experiments are very similar to those reported for atmospheric tar ball
14 particles (Adachi and Buseck, 2011).

15

16 **4 Conclusions**

17 For the first time perfectly spherical carbonaceous particles very similar to atmospheric tar balls in
18 all of their observed properties were produced in the laboratory with the total exclusion of flame
19 processes. All of the characteristic features (perfectly spherical shape, size range, lack of
20 coagulation, lack of internal structure, homogeneous composition, high C/O atomic ratio) of
21 laboratory-generated particles match those observed in atmospheric tar ball particles. Based on
22 these findings and the initial assumptions it can be hypothesized that tar ball particles may indeed
23 form by the ejection of liquid tar droplets (pyrolysis products of biomass burning) from the pores of
24 the burning biomass, followed by rapid thermal transformation upon passing through the flame or
25 glow zone of the fire. In the light of atmospheric observations of tar ball particles it can be added
26 that flame chemistry in the fire zone or multiphase chemical reactions in the smoke plume may also
27 contribute to the ageing (charring/solidification) of the ejected tar droplets. The experimental setup
28 that was used in this study has the further advantage that pure tar ball particles can be generated for
29 the direct experimental determination of atmospherically relevant optical properties of this
30 important subset of light-absorbing carbonaceous (brown carbon) particles. However, in order to
31 better understand the complex processes of tar ball formation further studies are clearly warranted.

32

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1 Table 1. Carbon and oxygen atomic content of laboratory-generated tar balls measured with
 2 TEM/EDS.

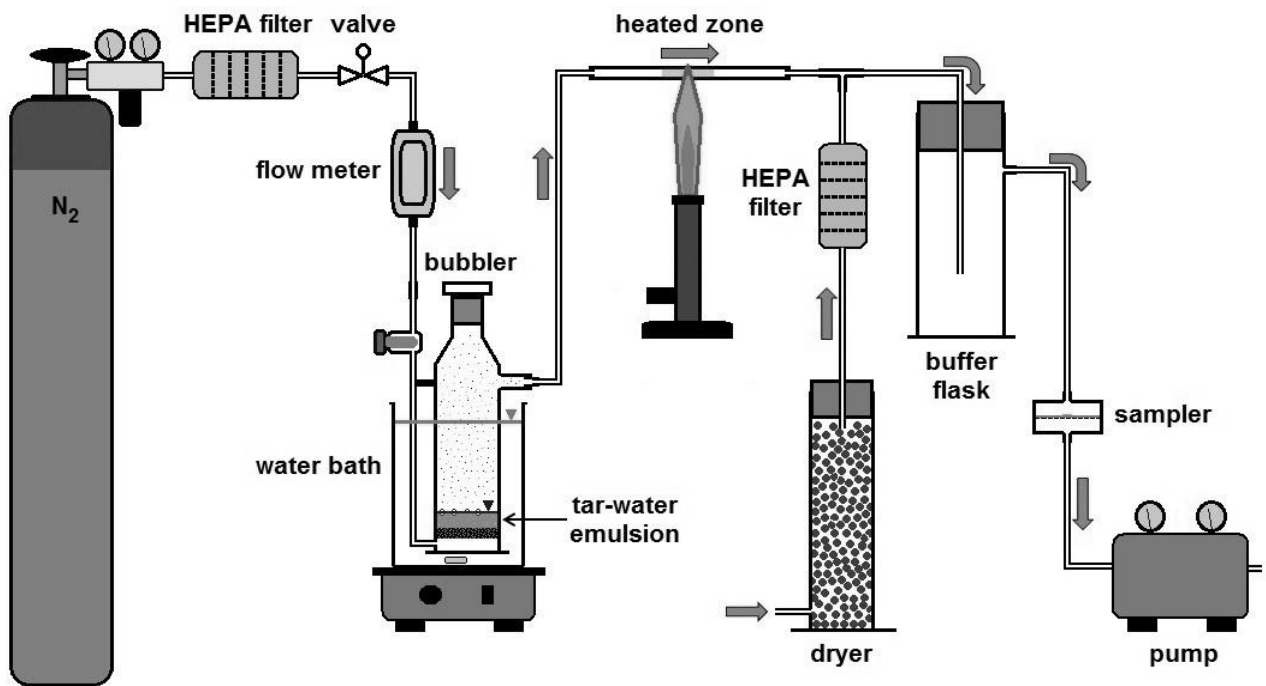
	Carbon [%]:	Oxygen [%]:	C/O ratio:
1	91	8.6	10
2	90	9.4	9.7
3	90	9.7	9.3
4	95	5.2	18
5 *	93	7.0	13
6	90	9.7	9.3
7	89	11	8.2
8	92	7.6	12
9	90	10	8.6
10	91	8.7	10
11	88	12	7.0
12	90	9.4	9.7
13	87	13	6.4
14 **	86	14	6.0
15 *	90	10	8.8
Average:	90%	9.8%	9.8
RSD:	2.6%	24%	30%

* Traces of potassium detected.

** Traces of potassium and chlorine detected.

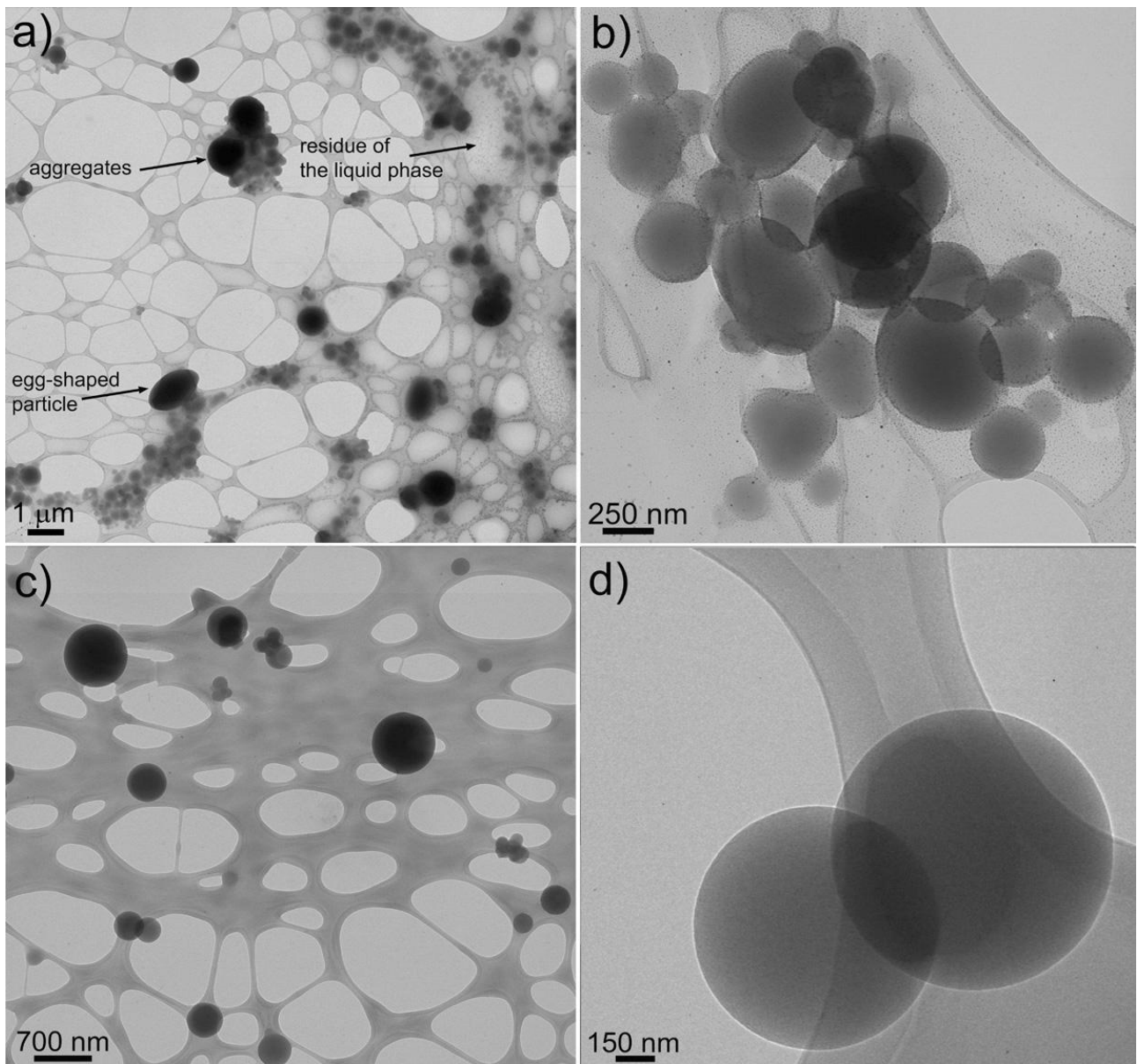
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Figure 1. All-glass apparatus for generation and collection of tar ball particles from liquid tar-water emulsions.



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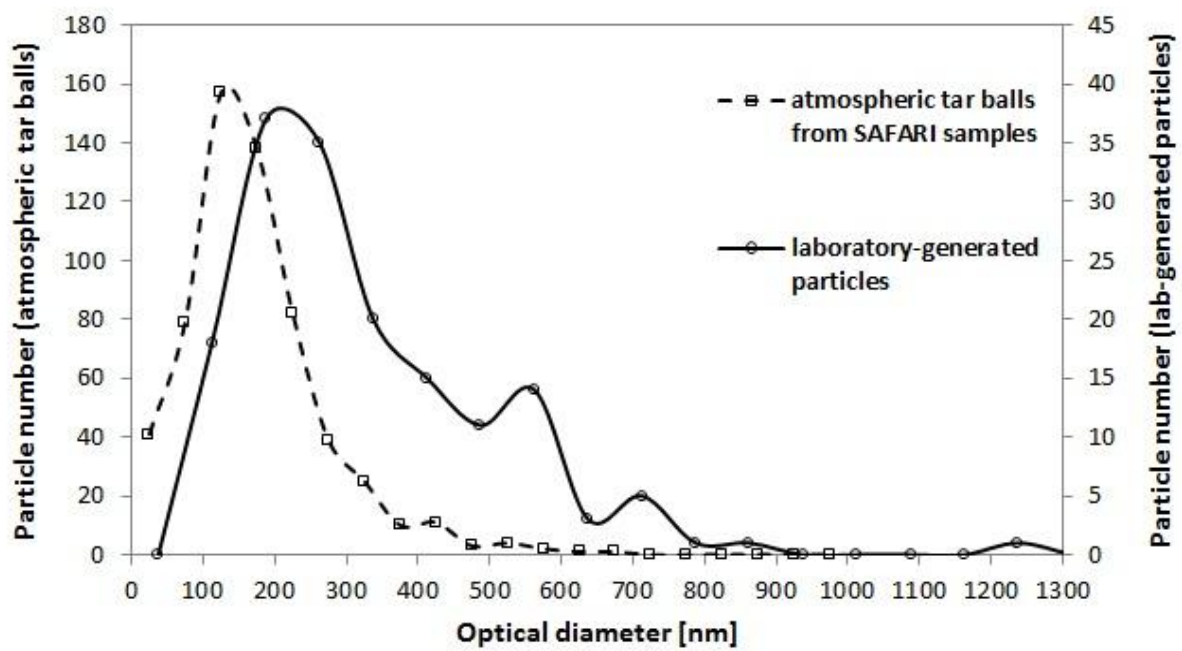
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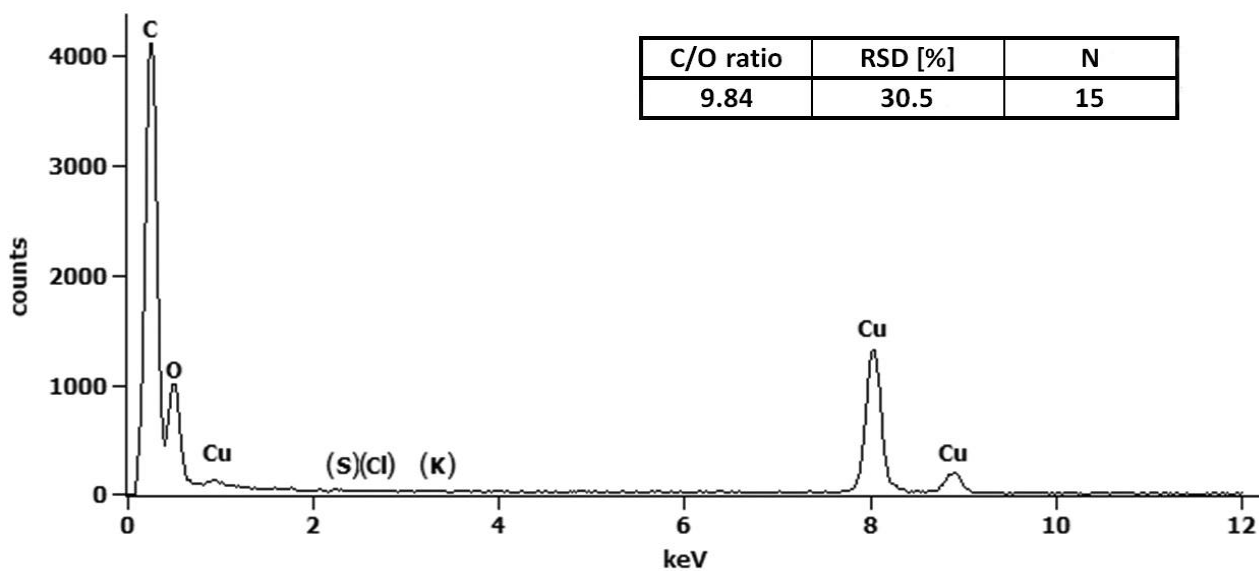
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Figure 2. Bright-field TEM images of particles collected after the bubbler (without heating) (a and b) and those collected at the outlet of the apparatus (after heat shock and ageing) (c and d).



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Figure 3. Size distributions of atmospheric tar balls from SAFARI samples (Pósfai et al., 2004) and laboratory-generated particles.



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3 Figure 4. Typical EDS spectrum from a laboratory-generated tar ball particle and the average C/O
4 molar ratio of 15 measured particles. (The copper peaks are artifacts that originate from the
5 supporting grid.)