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The contribution of fungal spores and bacteria to regional and global aerosol number and ice nucleation immersion freezing rates

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Abstract

Primary biological aerosol particles (PBAP) may play an important role in aerosolclimate interactions, in particular through affecting ice formation in mixed phase clouds. However, the role of PBAP is poorly understood because the sources and distribution

- ⁵ of PBAP in the atmosphere are not well quantified. Here we include emissions of fungal spores and bacteria in a global aerosol microphysics model and explore their contribution to concentrations of supermicron particle number, cloud condensation nuclei (CCN) and immersion freezing rates. Simulated surface annual mean concentrations of fungal spores are $\sim 2.5 \times 10^4$ m⁻³ over continental midlatiudes and 1×10^5 m⁻³ over
- ¹⁰ tropical forests. Simulated surface concentrations of bacteria are $2.5 \times 10^4 \text{ m}^{-3}$ over most continental regions and $5 \times 10^4 \text{ m}^{-3}$ over grasslands of central Asia and North America. These simulated surface number concentrations of fungal spores and bacteria are broadly in agreement with the limited available observations. We find that fungal spores and bacteria contribute 8% and 5% respectively to simulated continental sur-
- ¹⁵ face mean supermicron number concentrations, but have very limited impact on CCN concentrations, altering regional concentrations by less than 1%. In agreement with previous global modelling studies we find that fungal spores and bacteria contribute very little (3×10^{-3} % even when we assume upper limits for ice nucleation activity) to global average immersion freezing ice nucleation rates, which are dominated by soot
- and dust. However, at lower altitudes (400 hPa to 600 hPa), where warmer temperatures mean that soot and dust may not nucleate ice, we find that PBAP controls the immersion freezing ice nucleation rate. This demonstrates that PBAP can be of regional importance for IN formation, in agreement with case study observations but in contrast to recent global model studies that have concluded PBAP are unimportant as ice nuclei.





1 Introduction

Primary biological aerosol particles (PBAP) include a wide range of biological particles emitted directly from the biosphere including bacteria, viruses, fungal spores, pollen and leaf debris. It has been suggested that PBAP can make a large contribution to ⁵ atmospheric aerosol (Jaenicke, 2005), influencing climate through scattering and absorbing radiation (the aerosol direct effect) and by altering the properties of clouds (the aerosol indirect effect). However, the impact of PBAP on climate is poorly constrained. Here we quantify the contribution of fungal spores and bacteria to global and regional aerosol number, cloud condensation nuclei (CCN) and immersion freezing rates.

- ¹⁰ The amount of PBAP emitted into the atmosphere is thought to be substantial with estimates as large as 1000 Tg yr⁻¹ (Jaenicke, 2005). Previous estimates of the global emission of fungal spores vary from 8–186 Tg yr⁻¹ (Elbert et al., 2007; Heald and Spracklen, 2009; Jacobson and Streets, 2009; Hoose et al., 2010b; Sesartic and Dallafior, 2011; Després et al., 2012). The global emissions of bacteria are even more un-
- ¹⁵ certain, spanning nearly two orders of magnitude from 0.4–28.1 Tg yr⁻¹ (Burrows et al., 2009b; Hoose et al., 2010b; Jacobson and Streets, 2009; Després et al., 2012). The majority of PBAP are thought to be emitted at supermicron sizes (dry diameter > 1 µm), with bacteria having diameter of about 1 µm, fungal spores 2–10 µm and pollen 30 µm (Després et al., 2012). As such, PBAP may constitute an important fraction of the num-
- ²⁰ ber concentration of supermicron particles, especially when other supermicron aerosol (e.g., dust and sea spray) are absent. Over the Amazon rainforest, PBAP contributes between 24 % (Huffman et al., 2012) and 40 % (Pöschl et al., 2010) of total supermicron number concentrations. Observations over Europe and central Asia show that PBAP can make up 20–30 % of the number concentration of particles with diameter > 0.2 µm
- (Matthais-Maser and Jaenicke, 1995; Matthais-Maser et al., 2000), whilst other studies have found a smaller (4%) contribution of PBAP to supermicron number over Europe (Huffman et al., 2010).





PBAP are typically considered to be efficient CCN (Bauer et al., 2003; Després et al., 2012). The low PBAP number concentrations are likely to limit the contribution of PBAP to total CCN concentrations in most parts of the atmosphere. PBAP may have a role as giant (> 2 μm) CCN, forming cloud droplets at low supersaturations (Möhler et al., 2007; Després et al., 2012).

PBAP may also act as ice nuclei (IN) (Möhler et al., 2007; Després et al., 2012; Murray et al., 2012). Detailed aerosol-cloud models have shown that bacteria can alter the properties of clouds if present in sufficiently high number concentrations (Phillips et al., 2009). Recent atmospheric measurements have observed the presence of PBAP in
precipitation (Christner et al., 2008) and shown that IN over both the continental US (Pratt et al., 2009) and the Amazon (Prenni et al., 2009) are composed of biological particles. Prenni et al. (2009) measured IN (< 2 µm diameter) with a continuous flow diffusion chamber and demonstrated that carbonaceous material, dominated by biological particles, make up 16–76 % of IN in the Amazon Basin during the wet season.

- Pratt et al. (2009) found that biological particles comprise ~ 33 % of ice-crystal residues (< 1.2 μm diameter) at 8 km altitude over the continental United States. Observed correlations between PBAP and IN concentrations during rain events over the continental United States, further suggest an important role for PBAP in the hydrological cycle (Huffman et al., 2013; Prenni et al., 2013). In contrast, recent modelling studies have
- found that PBAP make little contribution to global ice nucleation (Hoose et al., 2010a, b; Sesartic et al., 2013). For example, Hoose et al. (2010b) simulated that PBAP contribute less than 0.6 % to the global average ice nucleation rate. However, these previous global studies have not quantified the regional contribution of PBAP to ice nucleation which could be higher in areas of biological activity (e.g., over tropical forests) and
- ²⁵ in warm air masses (e.g., above ~ -15 °C). Here we use a global aerosol microphysics model to quantify the contribution of fungal spores and bacteria to regional and global aerosol and ice nucleation.





2 Methods

2.1 Model description

We used the GLOMAP-mode aerosol microphysics model (Mann et al., 2010) which is an extension to the TOMCAT global 3-D chemical transport model (Chipperfield, 2006).

- ⁵ The model is forced by analyses from the European Centre for Medium Range Weather Forecasts (ECMWF). We ran the model for the year 2000 (after 3 months model spinup) at a horizontal resolution of ~ $2.8^{\circ} \times 2.8^{\circ}$ with 31 vertical levels between the surface and 10 hPa.
- The model simulates aerosol component mass and number concentration (two-¹⁰ moment modal) in 7 lognormal modes: hygroscopic nucleation, Aitken, accumulation and coarse modes plus a non-hygroscopic Aitken, accumulation and coarse modes. The aerosol components simulated are sulfate, sea-salt, black carbon, particulate organic matter (POM) and dust. GLOMAP includes representations of nucleation, particle growth via coagulation, condensation and cloud processing, wet and dry deposition
- and in/below cloud scavenging. Mann et al. (2012) demonstrated that the modal version of GLOMAP simulates very similar aerosol compared to the sectional version of the same model (Spracklen et al., 2005).

In this work we implemented fungal spore and bacteria emissions into GLOMAP. We used emissions of fungal spores from the empirically optimised scheme of Heald and

- ²⁰ Spracklen (2009), where emissions are driven by leaf area index (LAI) and atmospheric water vapour concentrations. We apply the fine and coarse mode emissions calculated by Heald and Spracklen (2009), assuming that the fine mode are emitted with a diameter of 1.25 μ m and the coarse mode are emitted at 6.25 μ m. For bacteria emissions we followed Hoose et al. (2010b) which itself is based on Burrows et al. (2009b).
- ²⁵ Burrows et al. (2009b) used observations of bacteria number concentration synthesised from the literature (Burrows et al., 2009a) to estimate ecosystem-dependent fluxes. We applied ecosystem-dependent bacteria emission fluxes to match the upper emission estimate in Hoose et al. (2010b): oceans 226 m⁻² s⁻¹, crops 1578 m⁻² s⁻¹,



forests 187 m⁻² s⁻¹, grasslands 1811 m⁻² s⁻¹, shrubs 619 m⁻² s⁻¹, tundra 579 m⁻² s⁻¹, desert/land-ice 52 m⁻² s⁻¹. We used the MODIS International Global Biosphere Programme (IGBP) global land cover classification to determine the spatial distribution of different ecosystems. We mapped ecosystem types defined by Burrows et al. (2009a)
onto MODIS IGBP land cover classifications, weighting the emission flux by the area fraction of each ecosystem as determined by MODIS. The global average land-surface bacteria emission flux is 410 m⁻² s⁻¹ in our implementation, which is similar to the 380 m⁻² s⁻¹ reported by Burrows et al. (2009a). We used an emission diameter of 1 μm for bacteria as used by previous studies (Hoose et al., 2010a). We assumed that both bacteria and fungal spores are composed of POM, are hydrophilic on emission (Heald and Spracklen, 2009) and are emitted into the hygroscopic modes. Other global model studies (e.g., Sesartic et al., 2013) have assumed that fungal spores are hydrophobic on emission, with this assumption extending the simulated lifetime of PBAP.

2.2 PBAP observations

- ¹⁵ We compared simulated PBAP number concentrations against observations synthesised from the literature. Observations of the number concentration of PBAP in the atmosphere are limited, long-term observations are rare and there are specific measurement issues. For example, many studies report the number of culturable fungi or bacteria, despite the fact that this method only accounts for a fraction of the total num-
- ²⁰ ber. For bacteria, the fraction of total bacteria which are cultural can be as low as 1 % (Burrows et al., 2009a). Furthermore, most observational techniques rely on manual counting, a method that is subject to significant operator bias.

We used two previous studies that had synthesised observations of the number concentrations of fungal spores (Sesartic and Dallafior, 2011) and bacteria (Burrows et al.,

25 2009a) in surface air. Sesartic and Dallifor (2011) synthesised observations of fungal spores from both culturable and culture-independent techniques. We report the mean, maximum and minimum of the observations. Burrows et al. (2009a) synthesised ob-





servations of number concentration of bacteria, applying scaling factors to convert culturable to total bacteria concentrations. They give a best estimate as well as an upper and lower bounds through which they attempt to account for uncertainty in both culturable bacteria number concentration as well as the ratio of total to culturable bacteria.

⁵ Both studies report number concentrations as a function of broad ecosystem types (forest, shrub, grassland, crop, tundra). We used the IGBP land cover classification from MODIS to sample the model in a similar manner.

To further evaluate fungal spore number concentrations we synthesised observations of long-term (those with at least a full annual cycle) fungal spore number concentrations from the literature. Observations are typically made using 7 day spore traps and microscopic identification and counting techniques; these methods are inherently uncertain and subject to operator error. Observations are available in both hemispheres and span a range of environments from densely vegetated to urban.

3 Results

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Figure 1 shows simulated surface annual mean number concentrations of fungal 15 spores and bacteria. GLOMAP-mode simulates similar continental surface mean number concentrations for both fungal spores $(2.4 \times 10^4 \text{ m}^{-3})$ and bacteria $(1.9 \times 10^4 \text{ m}^{-3})$, but with different spatial patterns. Simulated concentrations of fungal spores are typically $2 \times 10^4 \text{ m}^{-3}$ over mid-latitude continental regions and exceed $1 \times 10^5 \text{ m}^{-3}$ over tropical forests matching the regions of greatest fungal spore emission (Heald and 20 Spracklen, 2009). Simulated surface concentrations of bacteria are typically $2 \times 10^4 \text{ m}^{-3}$ over most continental regions, but are greater over grassland regions of central Asia and North America where concentrations of $5 \times 10^4 \text{ m}^{-3}$ are more typical. Simulated concentrations of bacteria are lower over tropical forest regions than over other continental regions due to low emission flux assumed for these ecosystems combined 25 with rapid wet deposition. Over oceans, annual mean concentrations of bacteria $(7.8 \times 10^3 \text{ m}^{-3})$ are substantially greater than fungal spores $(1.9 \times 10^3 \text{ m}^{-3})$, since we





apply an ocean flux of bacteria but no such flux for fungal spores. This oceanic flux of bacteria means that the simulated global oceanic mean bacteria concentration is only a factor 2.5 lower than the continental mean, compared to a ratio of 12 for fungal spores. The magnitude and spatial distribution of our simulated bacteria number concentrations is similar to that simulated by Burrows et al. (2009b).

Figure 2 compares simulated number concentrations of fungal spores and bacteria in surface air against observations (Sesartic and Dallafior, 2011; Burrows et al., 2009a). Both studies report observed number concentration as a function of ecosystem type. We used the MODIS IGBP land cover classification to sample the model in a similar

- ¹⁰ manner to that of the observational studies. Fungal spore number concentrations are simulated to within a factor of 3 over shrub, grassland and crop ecosystems. Over forests, the model overpredicts observed concentrations of fungal spores. Limiting the observational dataset to culture independent techniques (Sesartic and Dallafior, 2011), increases observed concentrations by only 40% on average and is not sufficient to curlain the model bies that the linear dependence of emission functions of the model bies of the second second
- explain the model bias. It is possible that the linear dependence of emission flux on LAI applied by Heald and Spracklen (2009) is too strong, or that the particle size we apply over these ecosystems is too small. Additional observations over tropical ecosystems are required to explore this further.

Bacteria number concentrations simulated by the model reasonably match (within a factor 2) observed number concentrations over ocean, desert/ice and tundra environments, but are underpredicted by a factor 2–4 over forests, grasslands and crops (Fig. 2b). Given that we are employing bacteria emissions from Burrows et al. (2009b) which are based on these observations, good agreement for bacteria is expected. Poor understanding of the seasonal cycle in emissions (Burrows et al., 2009a; Hoose et al.,

²⁵ 2010b), combined with the limited set of observations preclude a more quantitative comparison.

To further evaluate simulated fungal spore number concentrations we compared against long-term observations of fungal spore number (Fig. 3). The model reasonably captures (within a factor 2) observed annual mean number concentrations at some





sites (Taiwan, Portugal, Spain and Chile), but overpredicts at other locations (Poland and Singapore). As a mean across all sites, simulated annual mean number concentrations are biased high (normalised mean bias (NMB) = 52 %), driven by the high model bias for Singapore. Despite this bias, the model typically captures the observed seasonal cycle at Northern Hemisphere (NH) mid-latitude sites with greater number concentrations during the summer as observed (Tong and Lighthart, 2000; Yttri et al., 2011).

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We calculated the simulated contribution of fungal spores and bacteria to total supermicron number concentrations. Fungal spores are simulated to contribute 8% of annual mean continental surface supermicron number concentrations. The contribution is typically ~ 25% over much of the continental NH midlatitudes matching observed contributions in these regions (Matthais-Maser and Jaenicke, 1995; Matthais-Maser et al., 2000). Over tropical forest regions we simulate that fungal spores contribute up to 50% of supermicron number concentrations (Fig. 1b), similar to the large observed contribution (Pöschl et al., 2010; Huffman et al., 2012). Bacteria have a smaller sim-

- ¹⁵ contribution (Pöschl et al., 2010; Huffman et al., 2012). Bacteria have a smaller simulated contribution to surface supermicron number concentrations, contributing 5 % to continental mean supermicron number concentrations, with a maximum contribution of 25 % over parts of North America, boreal Asia and southern Africa (Fig. 1d). Over the oceans, where sea spray dominates supermicron aerosol number and the PBAP emis-
- sion flux is smaller, the contribution of PBAP is small (surface ocean mean of 0.4 % for fungal spores and 1 % for bacteria).

Figure 4 shows simulated zonal annual mean number concentrations of fungal spores and bacteria, exhibiting similar patterns to previous studies (Hoose et al., 2010b; Sesartic et al., 2013). Hoose et al. (2010a, b) apply a similar mass emission of fungal spores compared to our study but assume a larger emission diameter (they emit all spores at 5 µm), explaining the greater number concentrations we simulate both at the surface and aloft. We also simulate greater number concentration of fungal spores compared to Sesartic et al. (2013), at least partly due to the greater emission flux we apply in our study. Our simulated zonal annual mean number concentrations of fun-





gal spores and bacteria are greatest in the lower troposphere (number concentrations up to $1 \times 10^4 \text{ m}^{-3}$) decreasing to about 100 m^{-3} at 400 hPa. Number concentrations of soot and dust are substantially larger, with annual zonal mean soot and dust number concentrations as large as 1000 cm^{-3} and 1 cm^{-3} respectively in the NH lower troposphere.

The low number concentrations of PBAP in comparison to other aerosol types, means that both fungal spores and bacteria have little impact on global CCN concentrations. In our simulations, bacteria increase global mean surface CCN concentrations (0.2 % supersaturation) by 0.01 %. Including fungal spores in the model reduces global mean surface CCN concentrations very slightly (by 0.001 %) through a marginal suppression of nucleation. Regionally, both bacteria and fungal spores alter CCN concentrations by less than 1 % even over tropical forest regions.

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To calculate the potential contribution of PBAP to ice nucleation we quantified the contribution of different aerosol sources (dust, soot, bacteria and fungal spores) to ¹⁵ immersion freezing rates: the dominant heterogeneous ice nucleation pathway in the atmosphere (Hoose et al., 2010a). Immersion freezing rates were calculated using the parametrization of Hoose et al. (2010a, b) which is based on classical nucleation theory and laboratory experiments. As in Hoose et al. (2010a, b) we assumed that only 0.1 % of fungi and bacteria and 1 % of soot have the potential to be IN active. No upper limit

is applied for dust (100 % of particles can act as IN). The potential of PBAP to nucleate ice is uncertain (Murray et al., 2012), so we carried out a sensitivity study where we assumed that all PBAP can be IN active with no upper limits for IN formation from PBAP. This simulation matches the PBAP-max simulation in Hoose et al. (2010a, b).

Figure 4 shows zonal annual mean immersion freezing rates for fungal spores, bacteria, soot and dust. We find that global immersion freezing rates are dominated by dust (96.4%) and soot (3.6%) with PBAP contributing only 1.4×10^{-5} %. Hoose et al. (2010a, b) also calculated a minimal contribution from PBAP (1.2×10^{-5} %) with large contributions from dust (87.6%) and soot (12.4%). The lower contribution from soot in our study is due to the lower absolute number concentrations of soot that we





simulate. We simulate a larger contribution from PBAP $(3 \times 10^{-3} \%)$ under the upper limit for IN formation from PBAP, but global rates are still dominated by dust and soot.

Our simulated spatial pattern of immersion freezing rates is similar to that from previous studies (e.g., Hoose et al., 2010b). Bacteria and fungal spore immersion freezing rates are greatest in the lower tropsosphere at high latitudes and 400 hPa to 600 hPa in the tropics. Immersion freezing rates of soot and dust are maximum at higher altitudes, being greatest at 400 hPa to 600 hPa at high latitudes and 400 hPa to 200 hPa in the tropics. Above 400 hPa, immersion freezing rates of soot and dust are as large as 1×10^{-6} cm⁻³ s⁻¹, several orders of magnitude greater than immersion freezing rates of either fungal spore or bacteria (1×10^{-14} cm⁻³ s⁻¹). However, at lower altitudes simulated immersion freezing rates of PBAP, dust and soot are more comparable. Between 400 hPa and 600 hPa, simulated freezing rates of fungal spores and bacteria are as great as 1×10^{-12} cm⁻³ s⁻¹, greater than the freezing rates of soot or dust at these altitudes. Dust and soot are known to be important IN at temperatures below about -15 °C,

 ¹⁵ but their ability to nucleate ice at warmer temperatures is unclear (Murray et al., 2012). Figure 5 shows the contribution of PBAP (bacteria and fungal spores) to total zonal annual mean immersion freezing rates. Above 400 hPa, PBAP contribute less than 0.001% to zonal annual mean immersion freezing rates. At warmer temperatures, PBAP can make an important contribution to zonal annual mean freezing rates with
 ²⁰ contribution to total freezing rates reaching 100%.

To examine this behaviour in more detail, Fig. 6 shows the contribution of PBAP to immersion freezing rates at ~ 480 hPa and 600 hPa. At 480 hPa, PBAP, contributes up to 100 % of total immersion freezing rates from 30° N to 30° S, with large contributions simulated over both continental and oceanic regions. At 600 hPa, immersion freezing rates are very slow (< 1×10^{-14} cm⁻³ s⁻¹) at tropical latitudes, with PBAP contributing a large fraction of total immersion freezing rates at high latitudes (> 45° N and from ~ 30° S–40° S).

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4 Discussion and conclusions

We have explored the contribution of fungal spores and bacteria to global aerosol number concentrations. We included existing emission schemes for fungal spores and bacteria in a global aerosol microphysics model. Simulated surface number concentra-

- tions of fungal spores and bacteria were typically 2 × 10⁴ m⁻³ over many continental regions. Simulated number concentrations reasonably matched (typically within a factor 2) available observations, although the model overpredicts fungal spore concentrations over forest ecosystems and underpredicts bacteria number concentrations over grass, shrub and crop ecosystems. A more detailed evaluation of the model is not possible
 because observations of fungal spore and bacteria number are limited, are subject to methodological issues and rely on counting techniques with inherent operator error. Long-term observations (longer than a few weeks) of PBAP number are particularly scare. New methods employing laser induced fluorescence to identify and count biological particles (e.g., Gabey et al., 2010, 2011; Huffman et al., 2010) may offer new
- ¹⁵ opportunities to evaluate model predictions.

We found that fungal spores and bacteria contributed 8% and 5% respectively to global continental mean supermicron number concentrations. Regionally, the contribution was greater with fungal spores contributing 25% of supermicron number concentration over many continental mid-latitude regions and up to 50% over tropical forests. The low number concentrations of fungal spores and bacteria compared to other aerosol types results in a limited contribution (< 1%) of PBAP to regional CCN

concentrations. It is important to note that PBAP may be able to act as giant (> $2 \mu m$) CCN (Möhler et al., 2007), something that we did not study here.

We used an existing parametrization of immersion freezing rates (Hoose et al., 2010a, b) in combination with our simulated aerosol number to quantify the contribution of PBAP to ice nucleation. We found that fungal spores and bacteria contribute less than 3×10^{-3} % to global immersion freezing rates, matching recent global model studies that find PBAP to be unimportant as a source of IN at the global scale (Hoose

et al., 2010a, b). Although PBAP has little impact on global immersion freezing rates, we found PBAP may be important at altitudes between 400 hPa and 600 hPa, where warm temperatures (> -15 °C) inhibit the formation of ice from soot and dust. At these altitudes, PBAP dominate immersion freezing rates in our simulations, matching case study observations that recorded a large contribution of PBAP to IN formation (Christner et al., 2008; Pratt et al., 2009; Prenni et al., 2009).

Whilst we acknowledge that the IN activity of fungal spores and bacteria is uncertain (Murray et al., 2012; Hoose and Möhler, 2012), our study suggests that there are regions of the atmosphere where biological particles contribute substantially to ice nucleation rates, motivating additional research on the role of PBAP as IN. Furthermore, recent studies have suggested that PBAP emissions are related to rainfall and relative humidity (Huffman et al., 2013; Schumacher et al., 2013; Prenni et al., 2013) creating daily variability in emissions not accounted for here and potentially leading to tighter coupling between PBAP emissions and climate.

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Fig. 1. Simulated surface annual mean (a) fungal spore number concentrations, (b) fractional contribution of fungal spores to supermicron surface number, (c) bacteria number concentrations and (d) fractional contribution of bacteria to supermicron number concentrations.

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Interactive Discussion

Fig. 2. Comparison of simulated (solid) and observed (dashed) surface **(a)** fungal spore and **(b)** bacteria number concentrations across different ecosystems. Boxes show minimum, mean and maximum concentrations. Observed concentrations are a synthesis of total bacteria number concentrations in near surface air from Burrows et al. (2009a). The observed maximum for shrub and grasslands is 8.4×10^4 m⁻³ and extends off the scale. Simulated concentrations are the mean, maximum and minimum annual mean surface concentrations for that ecosystem type.

Fig. 3. Evaluation of simulated fungal spore number concentrations. **(a–g)** Comparison of observed (circles) and simulated (black lines) monthly mean concentrations. **(h)** Comparison of simulated and observed annual mean concentrations. Observations are from Ho et al. (2005), Sousa et al. (2008), Grinn-Gofron et al. (2011), Herrero et al. (2006), Lim et al. (1998), Henriquez et al. (2001), Hasnain et al. (2012) respectively.

Fig. 6. Percentage of immersion freezing simulated to be due to PBAP (bacteria and fungal spores) in July 2000 at (a) 480 hPa and (b) 608 hPa. Values are for the upper limit contribution of PBAP to immersion freezing (see text).

